Chapter 15 Bile Acids and Metabolic Syndrome

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Abstract Bile acids (BAs) are not only facilitators of dietary lipid absorption but also important signaling molecules that participate in various metabolic pathways. Some major signaling pathways involving the nuclear BAs receptor farnesoid X receptor (FXR) and the G protein-coupled BAs receptor TGR5/M-BAR have been identified to be the targets of BAs. BAs affect diverse metabolic pathways including glucose metabolism, lipid metabolism, and energy expenditure via these major pathways. Therefore, BA signaling mechanisms are attractive therapeutic targets of the metabolic syndrome. Actually, bile acid-binding resin (BABR) originally used to treat hypercholesterolemia also stimulates incretin secretion and improves glucose metabolism. In addition to BABR, the clinical applications of FXR and TGR5/M-BAR agonists are ongoing for the treatment of metabolic syndrome. The effects of bariatric surgery on glycemic control are also associated with BA metabolism.

In this chapter, we summarize current knowledge of the metabolic regulation mechanisms of BAs and propose BA signaling pathways as a therapeutic target of the metabolic syndrome.

Keywords Farnesoid X receptor • TGR5/M-BAR • Glucose metabolism • Lipid metabolism • Energy metabolism

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15.1 Introduction

Bile acids (BAs) are the main constituents of bile and amphipathic molecules, containing both hydrophilic and hydrophobic regions. BAs are synthesized from cholesterol in the liver, stored in the gallbladder, and flow into the small intestine after meal ingestion. Intestinal BAs facilitate digestion and absorption of lipids and fat-soluble vitamins [1].

Recent reports have suggested that BAs are responsible not only for the absorption of lipids but also for signal transduction. Some major signaling mechanisms have been identified including the MAPK pathways, the nuclear hormone receptor farnesoid X receptor (FXR)-mediated pathway, and the G protein-coupled receptor TGR5/M-BAR (also named GPR131)-mediated pathway [2-5]. The main role of the FXR signaling pathway is regulating both BA biosynthesis and enterohepatic circulation to maintain BA homeostasis [6]. In addition, FXR signaling has been known for regulating lipogenesis gene expression and improving hepatic steatosis [7]. Moreover, recent studies have shown that BAs and FXR signaling are associated with the beneficial glycemic effects of bariatric surgery [8-10]. TGR5/M-BAR signaling pathway stimulates energy expenditure in the brown adipose tissue (BAT) and the skeletal muscle [11]. Furthermore, TGR5/M-BAR is involved in inducing incretin secretion, such as glucagon-like peptide-1 (GLP-1) [12]. GLP-1 is secreted by dietary stimulation from enteric L cell and promotes insulin secretion by binding to the GLP-1 receptor in the pancreatic β cell. Further, GLP-1 maintains pancreatic function, and GLP-1 receptor agonists have been developed for the treatment of diabetes [13]. Taken together, BAs not only participate in digestion and absorption of lipids but also in various metabolic pathways. BA signaling participates in various diseases such as cancer, immune disorders, and metabolic syndrome [14-16]. In this chapter, we summarize current knowledges of the metabolic regulation mechanisms via BAs signaling and propose BA signaling pathways can be a therapeutic target of the metabolic syndrome.

15.2 FXR Signaling and Metabolic Syndrome

15.2.1 Glucose Metabolic Regulation of FXR

Glucose induces the expression of *FXR* and *CYP7A1*, and insulin reduces their expression in vitro [17]. Further studies have shown that BAs seem to regulate gluconeogenesis, but the mechanisms remain poorly understood. Some studies have indicated that the expression of phosphoenolpyruvate carboxykinase (*PEPCK*), the rate-limiting enzyme of gluconeogenesis, is suppressed by BAs in human liver cancer cells (HepG2 cells) and murine liver [18–20]. Additionally, other enzymes that participate in gluconeogenesis, such as glucose 6-phosphatase (G6Pase) and fructose 1,6-bisphosphatase 1 (FBP1), are also repressed by BAs [18]. These effects



FXR dependent metabolic regulation

Fig. 15.1 FXR-dependent metabolic regulation. FXR signaling regulates lipid and glucose metabolism. FXR signaling reduces lipogenesis (SREBP1c) and induces fatty acid β oxidation (PPAR α) and plasma TG clearance (LPL and VLDL-R), resulting in decreased plasma VLDL levels. Plasma HDL-C uptake is also increased by FXR and SRB1 activity. FXR signaling upregulates glycogenesis and glucose uptake, downregulates glycogenolysis, and reduces blood glucose levels. Hepatic FXR signaling is also associated with gluconeogenesis, but the controlling mechanism is still unclear. *Angptl3* angiopoietin-like protein 3, *ApoCII/CIII* apolipoprotein CII/CIII, *FXR* farnesoid X receptor, *G6Pase* glucose-6-phosphatase, *HDL-C* high-density lipoprotein cholesterol, *LPL* lipoprotein lipase, *PEPCK* phosphoenolpyruvate carboxykinase, *PPAR* α peroxisome proliferator-activated receptor α , *SR-B1* scavenger receptor B1, *SREBP1c* sterol regulatory element-binding protein 1c, *TG* triglyceride, *VLDL-R* very low-density lipoprotein receptor

are diminished in both *FXR* and *SHP* knockout mice, supporting the postulate that BAs repress gluconeogenesis in an FXR-SHP-dependent manner [20]. However, others have reported that FXR-dependent signaling induces *PEPCK* expression and increases gluconeogenesis in primary hepatocytes and rat hepatoma cell lines [21]. Furthermore, latest research has revealed that activating intestinal FXR inhibits GLP-1 secretion in L cells [22]. In terms of glycogen synthesis, BAs increase hepatic glycogen synthesis and storage, resulting in decreased blood glucose levels in an FXR-dependent manner (Fig.15.1) [23]. A previous study demonstrated that long-term FXR activation (3 months) with a synthetic FXR agonist, GW4064, worsened glucose intolerance and insulin resistance in high-fat-fed C57BL/6J mice [20, 24]. The mechanism behind the bad effect of GW4064 is lowering the BA pool size following FXR activation. In contrast, some reports have suggested that short-term (10 days) FXR activation by the synthetic FXR agonist GW4064 reduced glycolytic gene expression and improved insulin resistance in *ob/ob* or *db/db* mice [23, 25]. From these data, the difference of the

GW4064 administration period may lead to the opposite result. Actually, long-term administration of BAs, the endogenous natural ligands of FXR, did not decrease the BA pool size and subsequently improved glucose intolerance and insulin resistance [24].

15.2.2 Lipid Metabolic Regulation of FXR

BAs are associated with regulating triglyceride (TG) metabolism as well as cholesterol metabolism. The relationship between BAs and TG was first reported in the treatment of gallstones with CDCA. CDCA treatment decreased the serum TG level in patients with gallstones [26]. In fact, BAs or a synthetic FXR agonist affected TG metabolism via several mechanisms including the FXR-mediated pathway. SHP, the target of FXR, suppressed the upregulation of sterol regulatory element-binding protein-1c (SREBP-1c), the master regulator of fatty acid and TG synthesis, to reduce the expression of the lipogenic genes such as acetyl-CoA synthetase, acetyl-CoA carboxylase, stearoyl CoA desaturase 1, and fatty acid synthase [7, 27]. In addition, the TG-lowering effects were attenuated in SHP knockout mice, indicating that SREBP-1c-mediated lipogenesis is inhibited in an FXR-SHP-dependent manner [7]. Additionally, FXR activation by BAs increases the expression of apolipoprotein (Apo) CII to activate lipoprotein lipase, which stimulates TG hydrolysis in very low-density lipoprotein (VLDL) and chylomicrons and facilitates TG clearance from the serum [28]. The expressions of ApoCIII and angiopoietin-like protein 3 (Angptl3), inhibiting lipoprotein lipase activity, were repressed by FXR stimulation with BAs [29–31]. In addition, FXR induces the gene expression of the VLDL receptor, which is responsible for plasma TG clearance [32].

BAs also suppress the expression of microsomal triglyceride transfer protein (*MTP*) and *ApoB* by FXR-independent mechanisms to inhibit the formation of VLDL and chylomicrons [33]. Not only VLDL but also high-density lipoprotein (HDL) clearance is suggested to be under the modulation of BAs. The expression of scavenger receptor B1 (*SRB1*), a molecule in charge of hepatic uptake of HDL, is reduced, and HDL-C is elevated in *FXR* knockout mice [34]. In addition, the administration of an FXR ligand increases hepatic *SRB1* expression and decreases HDL-C levels [35].

BAs control other major regulators of lipid metabolism such as PPAR α and pyruvate dehydrogenase kinase-4 (PDK4). The nuclear receptor PPAR α , which is activated by free fatty acids (FFA), decreases serum TG levels and exerts an important role for controlling enzymes participating in fatty acid oxidation [36]. A study suggested that BAs regulate PPAR α directly via FXR in humans, although not in mice [37]. *PDK4* is also upregulated by BAs in an FXR-dependent manner, resulting in the inactivation of pyruvate dehydrogenase, a decrease in glycolysis, and an increase in fatty acid β oxidation (Fig.15.1) [38].

15.2.3 Anti-atherosclerotic Effect of FXR

Some researches show that FXR signaling is associated with onset of atherosclerosis. ApolipoproteinE (*apoE*)-*FXR* double-knockout mice fed with atheromainduced diet developed severe atherosclerosis [39]. On the other hand, foam cell formation and atherosclerosis development are inhibited in both low-density lipoprotein receptor (*LDLR*)-*FXR* double-knockout mice and *apoE-FXR* doubleknockout mice [40, 41]. FXR activation by the synthetic FXR agonist of INT-747 reduced formation of aortic plaque [42]. And more, treatment of synthetic FXR agonist of WAY-362450 also inhibited diet-induced hypertriglyceridemia and aortic lesion formation [43].

15.2.4 Intestinal FXR and Metabolic Regulation

Intestinal FXR has been recently identified as a possible target for improving metabolic syndrome. Intestinal FXR activation induces the expression of fibroblast growth factor (FGF)15/19, and several studies have demonstrated that FGF15/19 affects glucose and energy homeostasis. FGF19 transgenic mice showed increased hepatic β oxidation, reduced adipose tissue weight, and improved glucose tolerance and insulin sensitivity [44]. In mice, hepatic acetyl-CoA carboxylase 2 (ACC2) mRNA was decreased, and the mass of the BAT was increased. ACC2 exists at the mitochondrial membrane and converts acetyl-CoA to malonyl-CoA. ACC2 activation results in an elevation of malonyl-CoA levels, which inhibit carnitine palmitoyl transferase-1 (CPT-1) activation [45]. CPT-1 transfers FFA from the cytoplasm to the mitochondria and induces fatty acid β oxidation. In addition, hyperglycemia is improved upon administration of FGF19 protein in obese mice [46]. Furthermore, activation of intestinal FXR by administration of fexaramine, an FXR agonist, improved obesity and insulin resistance by inducing FGF15, changing the serum BA composition and stimulating systemic TGR5/M-BAR [47]. These results suggest the possibility that metabolic disease is improved through the intestinal FXR-FGF15/19 signaling pathway.

The primary BAs excreted into the intestine become deconjugated BAs and are converted into various secondary BAs by microbial enzymes [48]. In germfree (GF) mice, reduction of gut microbiota facilitating BA deconjugation leads to increased tauro-beta-muricholic acid (T- β -MCA) and decreased beta-muricholic acid. In comparison to conventionally raised mice, FXR-dependent BA synthesis is reduced in GF mice by increasing T- β -MCA of FXR antagonist. Therefore, the microbiota is associated with regulating BA homeostasis via the inhibition of intestinal FXR signaling by changing the BA composition [49]. In contrast to previous reports, recent studies have noted that alteration of the BA composition by microbiota and inhibition of intestinal FXR activity improved lipid and glucose metabolism. Increased T- β -MCA reduced intestinal FXR activation and decreased



Fig. 15.2 Conflicting mechanisms of metabolic regulation via intestinal FXR activity. (a) Inhibiting FXR activation decreases hepatic TG levels and improves glucose metabolism. (b) Intestinal FXR activation of FXR agonist leads to FGF15/19 production and improves NAFLD. Synthesized FGF15/19 changes BA metabolism and serum BA composition, which causes TGR5/ M-BAR activation, reduced inflammatory cytokine release, and improved insulin resistance. *BABR* bile acid-binding resin, *FGF15/19* fibroblast growth factor 15/19, *FXR* farnesoid X receptor, *NAFLD* nonalcoholic fatty liver disease, *SREBP1c* sterol regulatory element-binding protein 1c, *Tβ-MCA* tauro-β-muricholic acid

serum ceramide levels through repression of ceramide synthesis, which reduced expression of hepatic *SREBP-1c* and resulted in an improvement of obesity and nonalcoholic fatty liver disease (NAFLD) [50–52]. Additionally, intestinal FXR deactivation may also improve glucose metabolism as well as lipid metabolism. FXR activation in L cells decreased glycolysis, proglucagon expression, and cAMP levels [22]. Thus, GLP-1 production and secretion were inhibited [22]. Conflicting opinions suggest that microbiota regulation of BA homeostasis and intestinal FXR activation are involved in controlling hepatic lipid accumulation and glucose metabolism (Fig.15.2). Further studies are needed to clarify the roles of intestinal FXR signaling for improving metabolic diseases.

15.3 TGR5/M-BAR Signaling and Metabolic Syndrome

15.3.1 Glucose Metabolic Regulation of TGR5/M-BAR

BA administration improved metabolism including glucose tolerance and insulin resistance. The beneficial effects of BAs, such as decreasing gluconeogenesis and increasing glycogen synthesis, seem to occur not only via FXR signaling but also via other signaling molecules such as TGR5/M-BAR. TGR5/M-BAR signaling induces GLP-1 secretion in murine enteroendocrine STC-1 cells [53]. Moreover, a semisynthetic TGR5/M-BAR agonist 6-ethyl-23(S)-methylcholic acid (6EMCA or INT-777 [54]) stimulates GLP-1 secretion in both murine and human enteroendocrine cells. In this study, knockdown of TGR5/M-BAR by shRNA reduced 6EMCA-induced secretion of GLP-1 in STC-1 cells [12]. A natural TGR5/M-BAR agonist oleanolic acid also improves glucose metabolism [55]. This evidence indicates the importance of TGR5/M-BAR in GLP-1 secretion. An in vivo study with TGR5/M-BAR knockout and TGR5/M-BAR transgenic mice strongly supports the relationship between TGR5/M-BAR and GLP-1 secretion [56]. Considering the current mechanism, TGR5/M-BAR activation increases cAMP levels and the ATP/ADP ratio, which leads to subsequent plasma membrane depolarization and Ca2+ mobilization, resulting in increased GLP-1 release (Fig.15.3) [12]. Hence, these studies suggested that GLP-1 secretion was stimulated by TGR5/M-BAR signaling in vivo and vitro. BAs and TGR5/M-BAR could become therapeutic targets of diabetes.

15.3.2 Energy Metabolic Regulation in TGR5/M-BAR

BAs have been reported to stimulate adaptive thermogenesis and energy expenditure via TGR5/M-BAR [11]. TGR5/M-BAR activation leads to increased intracellular cAMP levels, activation of PKA, and induction of CREB phosphorylation. This series of signaling activity induces the expression of genes bearing a cAMPresponsive element (CRE) and exists in various tissues (Fig.15.3) [57, 58].

In the BAT, TGR5/M-BAR stimulation increases the intracellular cAMP level and induces cAMP-dependent iodothyronine deiodinase type 2 (*Dio2*) expression, which converts inactive thyroxine (T4) to active 3,5,3'-triiodothyronine (T3) to evoke increased energy expenditure [11, 59]. Dio2 increases the nuclear T3 level without various unwanted side effects caused by increased blood T3 levels. Only 20 % of nuclear T3 is produced and secreted from the human thyroid gland, and the remaining nuclear T3 is supplemented from other tissues. Dio2 supplies approximately 50 % of the T3 in the nucleus including the BAT [60]. The BAT is one of the most important targets of BAs to increase energy expenditure. Although BAT had been regarded as a tissue only in newborn infants, recent studies with FDG-PET revealed the existence of BAT around the neck and shoulders in adult humans,



Fig. 15.3 TGR5/M-BAR-dependent metabolic regulation. TGR5/M-BAR activation leads to increased intracellular cAMP levels, the activation of PKA, and induction of CREB phosphorylation. This series of signaling activity induces the expression of genes bearing CRE and exists in various tissues. TGR5/M-BAR signaling induces energy expenditure in the muscle and BAT, increases GLP-1 secretion in the intestinal L cell, and reduces inflammatory cytokine release in immune cells. *CRE* cAMP response element, *CREB-p* cAMP response element-binding protein phosphorylation, *Dio2* deiodinase iodothyronine type II, *eNO* endothelial NO, *T3* triiodothyronine

especially under brief cold exposure [61–63]. Furthermore, several groups have shown the importance of BAT in adult humans. In healthy patients, the amount of BAT is large and its activity is high but is reduced in obese patients [64–66]. In addition, TGR5/M-BAR and Dio2 are co-expressed in human skeletal muscle, suggesting the existence of the thermogenic mechanism in humans [11]. A human genetic study revealed the association between a single nucleotide polymorphism (SNP), rs3731859, of the TGR5/M-BAR gene and various metabolic indexes including BMI, waist circumference, intramyocellular lipid, and fasting serum GLP-1 levels [67]. Moreover, a recent study found another type of adipocyte called "beige" cells derived from the white adipose tissue. These adipocytes also respond to cyclic AMP stimulation with high uncoupling protein (UCP) 1 expression and respiration rates similar to BAT cells [68, 69]. These accumulating findings suggest a therapeutic approach to improve obesity and metabolic syndrome by increasing energy expenditure through TGR5/M-BAR stimulation.

15.3.3 Anti-atherosclerotic Effect of TGR5/M-BAR

BAs are also associated with atherosclerosis [70, 71]. Treatment with TGR5/M-BAR agonist INT-777 represses the activation of inflammatory cytokines such as NF- κ B and inhibits foam cell formation and subsequent atherosclerotic plaques (Fig. 15.3). In addition, INT-777 does not inhibit atherosclerosis in *TGR5/M-BAR* knockout mice, supporting that the TGR5/M-BAR activation reduces atherosclerosis [70]. Furthermore, TGR5/M-BAR induces the mRNA expression of endothelial NO synthase (*eNOS*) and activates eNOS by phosphorylation of eNOS at amino acid position 1177 [72]. Recent study have also shown that treatment of TGR5/M-BAR agonist of taurolithocholic acid increases Akt phosphorylation and intracellular Ca²⁺, which induces NO production and inhibits monocyte adhesion in vascular endothelial cells. This signaling may be associated with antiatherosclerotic effect of TGR5/M-BAR [73].

15.4 Bile Acids in Clinical Application

15.4.1 Bariatric Surgery

Bariatric surgery may provide another clue to clarify the link between BAs and glucose homeostasis. Bariatric surgery, especially gastric bypass surgery, is an established treatment for obesity and type 2 diabetes mellitus, although the mechanism of its effectiveness is still unclear. Interestingly, the improved glycemic control is observed soon after the operation when the body weight is still unchanged. Therefore, some of the antimetabolic syndrome effects of the surgical intervention are independent of body weight reduction. A recent study suggests that BAs may participate in the immediate effect of bariatric surgery. After gastric bypass, the bile flow is altered, which leads to increased plasma BA levels and incretin secretion [8]. Hormonal factors and the gut microbiota may be involved in the effect of the operation. The gut microbiota is responsible for enteral BA metabolism, and the spectrum of the gut microbiota is affected after gastrointestinal surgery. For example, the predominance of Firmicutes was reportedly mitigated, and other species including methanogens and *Prevotellaceae* were also suppressed after bariatric surgery [9]. In addition to these studies, recent research has revealed that FXR is associated with the effect of bariatric surgery [10]. In FXR knockout mice, metabolic improvements such as weight loss and improved glucose tolerance were reduced after bariatric surgery. Furthermore, the surgery changed the gut microbial communities differently between wild-type and FXR knockout mice. This study suggested that BAs may affect glucose homeostasis via FXR signaling and alterations of the gut microbiota after bariatric surgery.

15.4.2 Bile Acid-Binding Resins

Bile acid-binding resin (BABR) is an effective drug for the treatment of hypercholesterolemia by lowering LDL cholesterol. BABR absorbs BAs in the intestine, thereby preventing their uptake in the ileum, interrupting their enterohepatic circulation, and facilitating their excretion in the feces. The inhibition of enterohepatic circulation leads to a reduction of the BA pool size, repression of FXR-SHP and FGF15/19 signaling, and induction of CYP7A1 expression and synthesis of BAs from the cholesterol to maintain the BA pool size. A decrease in intrahepatic cholesterol levels activates SREBP-2, which induces the expression of the LDL receptor (LDLR) to enhance cholesterol uptake, reducing serum cholesterol levels. In addition to lowering the serum cholesterol effect, there is interaction between BABR and glucose metabolism [74]. In a diet-induced obesity rat model, BABR decreased serum glucose and improved glucose tolerance [75, 76]. In a clinical trial, a first-generation member of BABR cholestyramine improved glycemia by 13 % in patients with type 2 diabetes [77]. In addition, a second-generation BABR colesevelam also improved glucose clearance and increased serum GIP and GLP-1 levels in patients with type 2 diabetes mellitus [78]. These studies clarified that BABR is not absorbed in the body and there are few unwanted side effects. Furthermore, BABR can decrease blood glucose levels only in high-glucose situations and do not affect normal condition. As a result, in January 2008, the Food and Drug Administration (FDA) in the United States approved this drug as a therapeutic drug for diabetes [77, 79–82].

Although how BABR improves diabetes remains unknown, several possible mechanisms have been proposed. BABR-mediated improvement of hepatic insulin sensitivity depends on downregulating the hepatic cholesterol-LXR-IRS2 pathway [83]. In addition, BABRs induce GLP-1 secretion via the activation of TGR5/M-BAR or GPR40, each being activated by BAs binding with BABR or unabsorbed long-chain fatty acids [53, 84, 85]. Further, BABRs affect the composition of the BA pool and peripheral BAs, resulting in an induction of peripheral energy expenditure and improvement of glucose tolerance [74]. The BABR effects of improving diabetes may be explained by the inhibition of intestinal FXR as well as TGR5/M-BAR signaling [22]. BABR inhibits intestinal FXR activation and improves glucose metabolism by increasing proglucagon gene expression and inducing GLP-1 secretion in *ob/ob* mice [22]. These findings suggest that inhibiting FXR in the L cell via BABR could be a new target for diabetes.

15.4.3 Drug Targeting for Bile Acids Receptor

Currently, BABR has been approved by the FDA and has been clinically used as a diabetes treatment drug. The association between bariatric surgery and BAs homeostasis was confirmed. In addition to BABR and bariatric surgery, other clinical

applications based on the mechanism of metabolic control via BAs signaling are ongoing. For instance, INT-747 (also named 6-ethyl-CDCA), which is a synthetic FXR agonist, exerts a hepatoprotective effect in patients of primary biliary cirrhosis (PBC) [86–88], and a phase III clinical study has already been completed and confirmed the effect of PBC. In addition to medicine, INT-747 has also entered into a study for NAFLD treatment. A phase II clinical trial for NAFLD has been completed, and an improvement was observed in type 2 diabetes mellitus patients with NAFLD. Clinical trials with TGR5 agonists, such as INT-777, are ongoing, and future studies are expected [12, 54, 89]. Altogether, these clinical applications will elucidate the BA signaling mechanisms that will lead to the improvement of metabolic disorders including obesity and diabetes.

15.5 Conclusion

Today, BAs have become important molecules to control metabolic homeostasis. We mainly discussed the relationship between BA metabolism and signal transmission, such as the FXR and TGR5/M-BAR pathways and the possibility that BAs may improve metabolic diseases. Current evidence shows that BAs regulate lipid, glucose, and energy metabolism via FXR or TGR/M-BAR-mediated pathways. Furthermore, the clinical application of FXR and TGR/M-BAR agonists is ongoing.

Recent studies have focused on intestinal FXR signaling; however, conflicting data have been reported regarding the metabolic regulation of intestinal FXR activity. Further studies are necessary to determine intestinal FXR signaling taking into consideration various factors such as microbiota regulation, BA pool size, and BAs composition.

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