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Presynaptic Terminals

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Preface

This book unites leading international experts to discuss recent advances in functional studies on key proteins and protein complexes in stepwise synaptic vesicle phases with the final step of neurotransmitter release (synaptic vesicle exocytosis) in response to a single neuronal signal, action potential, at presynaptic terminals. A number of key proteins and protein complexes also consist of each stepwise synaptic vesicle phase with the first step of synaptic vesicle endocytosis to maintain stable neurotransmitter release in response to unceasing neuronal signals arriving at the presynaptic terminals.

Prior to the synaptic vesicle fusion, synaptic vesicles are docked at the active zone and primed to be ready to fuse. This step is thought to be regulated by a complex of active zone proteins. The complex is important for tethering Ca^{2+} channels to the active zone and loading synaptic vesicles there. For the synaptic vesicle exocytosis in response to Ca^{2+} influx accompanying an action potential, synaptic vesicles fuse with the active zone plasma membrane using a fusion machinery composed of vesicle- and target-SNARE proteins, synaptobrevin-2, syntaxin-1, and SNAP-25. The vesicle fusion machinery is finely regulated by interaction with cytosolic proteins such as complexin, tomosyn, Munc 18, and others. The Ca^{2+} sensor is synaptotagmin expressed as a synaptic vesicle protein having a low-affinity binding property with Ca^{2+} . Synaptotagmin interacts from time to time with Ca^{2+} channels, SNARE proteins, lipid membranes, and others directly or indirectly, and controls synaptic vesicle fusion. Ca^{2+} influx is tuned temporally and spatially by Ca^{2+} binding proteins with higher binding affinity than that of synaptotagmin, such as calmodulin and others, which sense residual Ca^{2+} at the active zone, reflecting a history of presynaptic firing.

Endocytosis is a complicated synaptic vesicle step. There are at least three morphologically distinguished ways of endocytosis: clathrin-coated, kiss-and-run, and bulk endocytosis. These distinct pathways are triggered by different neural firing activity. Dynamin isoforms are selectively activated in relation to synaptic activity for fission of the vesicle membrane neck. The clathrin coat consists of many proteins including adaptor proteins in the inner layer. After fission,

the clathrin-coated vesicles rapidly shed the coat proteins. Endocytosis is important for retrieval of membrane added by synaptic vesicles fusion at the active zone. Thus, it is a rate-limiting step for the next round of exocytosis and is necessary for local recycling of synaptic vesicles to maintain stable neurotransmitter release in a limited space.

The endocytosed synaptic vesicles are filled with neurotransmitter by transporters, such as VGLUTs (glutamate), VGATs (GABA), VMAT (monoamine), and VACT (acetylcholine), using H^+ gradient by the H^+ pump V-ATPase. The refilled synaptic vesicles are stored in vesicle pools: a recycling pool and a large reserve (resting) pool. Synaptic vesicles in the reserve (resting) pool are immobilized in a meshwork of cytoskeleton (actin)-binding protein synapsin and remain unreleased even under severe physiological stress, while synaptic vesicles in the recycling pool are stored for incoming physiological neural signals and follow the stepwise synaptic vesicle phases at the presynaptic terminal. The contributions to this book will cover these various facets.

Presynaptic Terminals in a Historical Context

Neurotransmitter Release Is Triggered by Calcium Influx

del Castillo and Katz had found that increasing the extracellular Ca^{2+} concentration enhanced transmitter release, whereas lowering the concentration reduced and ultimately blocked synaptic transmission (del Castillo and Katz 1954b). Because transmitter release is an intracellular process, these findings implied that Ca^{2+} must enter the neuron to influence transmitter release. The extracellular Ca^{2+} concentration, approximately 2 mM in vertebrates, is normally four orders of magnitude greater than the intracellular concentration, approximately 10^{-7} M at rest. However, because these Ca^{2+} channels are sparsely distributed along the axon they cannot, by themselves, provide enough current to produce a regenerative action potential.

Katz and Miledi found that the Ca^{2+} channels were much more abundant at the presynaptic terminal (Katz and Miledi 1967). There, in the presence of tetraethylammonium and tetrodotoxin, a depolarizing current pulse was sometimes able to trigger a regenerative depolarization that required extracellular calcium, a calcium spike. Katz and Miledi therefore proposed that Ca^{2+} serves dual functions. It is a carrier of depolarizing charge like Na^+ , and it is a special chemical signal—a second messenger—conveying information about changes in membrane potential to the intracellular machinery responsible for transmitter release. Calcium ions are able to serve as an efficient chemical signal because of their low resting concentration, approximately 10^5 -fold lower than the resting concentration of Na^+ . As a result, the small amounts of ions that enter or leave a cell during an action potential can lead to large percentage changes in intracellular Ca^{2+} that can trigger various biochemical

reactions. Proof of the importance of Ca^{2+} channels in neurotransmitter release has come from more recent experiments showing that specific toxins that block Ca^{2+} channels also block neurotransmitter release.

The properties of the voltage-gated Ca^{2+} channels at the squid presynaptic terminal were measured by Llinás and his colleagues (Llinás et al. 1981). Using a voltage clamp, Llinás depolarized the terminal while blocking the voltage-gated Na^+ channels with tetrodotoxin and the K^+ channels with tetraethylammonium. He found that graded depolarizations activated a graded inward Ca^{2+} current, which in turn resulted in graded release of transmitter. In this book, Karina Leal and I discuss the properties of the voltage-gated Ca^{2+} channels and importance of Ca^{2+} channels activity in neurotransmitter release in Chap. 9.

Transmitter Is Released in Packets with a Fixed Amount of Transmitter

How does the influx of Ca^{2+} trigger release? Katz and his colleagues provided the key insight into this question by showing that neurotransmitter is released in discrete amounts they called *quanta* (del Castillo and Katz 1954a; Fatt and Katz 1952). Each quantum of neurotransmitter produces a postsynaptic potential of fixed size, called the *quantal synaptic potential*. The total postsynaptic potential is made up of a large number of quantal potentials. Excitatory postsynaptic potentials (EPSPs) seem smoothly graded in amplitude because each quantal (or unit) potential is small relative to the total potential.

Katz and Fatt obtained the first clue as to the quantal nature of synaptic transmission in 1951 when they observed spontaneous postsynaptic potentials of approximately 0.5 mV in the nerve–muscle synapse of the frog (Fatt and Katz 1952). Like end-plate potentials (EPPs) evoked by nerve stimulation, these small depolarizing responses were largest at the site of nerve–muscle contact and decayed electronically with distance. The unit EPP in response to a presynaptic action potential is identical in amplitude (approximately 0.5 mV) and shape to the spontaneous miniature postsynaptic potentials (miniature EPPs). Importantly, the amplitude of each EPP is an integral multiple of the unit potential. Increasing the external Ca^{2+} concentration does not change the amplitude of the unit EPP. However, the proportion of failures decreases and the incidence of higher-amplitude responses composed of multiple quantal units increases. These observations show that an increase in external Ca^{2+} concentration does not enhance the *size* of a quantum of neurotransmitter (that is, the number of acetylcholine molecules in each quantum at motor nerve terminals) but rather acts to increase the average number of quanta that are released in response to a presynaptic action potential. The greater the Ca^{2+} influx into the terminal, the larger the number of transmitter quanta released.

Thus, del Castillo and Katz concluded that neurotransmitter is released in packets with a fixed amount of transmitter, a quantum: The amplitude of the EPP varies in a stepwise manner at low levels of acetylcholine release, the amplitude of each step increase is an integral multiple of the unit potential, and the unit potential has the same mean amplitude as that of the spontaneous miniature EPPs.

Quantal Neurotransmitter Release: Vesicular or Not Vesicular?

The quantal unit release of neurotransmitter in packets was then proposed as vesicular release by del Castillo and Katz (1957). The proposal was called the “vesicular hypothesis”. Against the “vesicular release”, Tauc (1926–1999) and his colleagues proposed a challenging hypothesis “non-vesicular release” (Tauc 1979).

A review paper titled “Quantal Neurotransmitter Release: Vesicular or Not Vesicular?” was written by Tauc in 1997 (Tauc 1997) after establishment of the “vesicular theory”. In the introduction he wrote as follows:

The reader is probably surprised by the incongruity of the question proposed in the title. Where is the problem? Everybody knows, and any textbook will certify that neurotransmitters are released through exocytosis of synaptic vesicles. So be it and there is no need to go further. Yet, a number of researchers question the vesicular release, which is often presented as a fact, whereas it cannot claim more than a status of the hypothesis. The purpose of this paper is to cast doubt on the vesicular hypothesis and to invite the reader to give a second thought to the neurotransmitter release mechanism.

I would recommend the reader of this book to read through his paper.

According to Tauc’s paper, in the original formulation of the “vesicular hypothesis” presented by Katz at a meeting in Gif-sur-Yvette in 1955 (del Castillo and Katz 1957), it was assumed that the recently discovered synaptic vesicles contain a transmitter substance, and that when, in their random movement, they touch the presynaptic membrane at critical contact zones, they discharge their content by exocytosis. Against the “vesicular hypothesis”, Tauc proposed his unique “non-vesicular theory” in 1979 from observations of acetylcholine release from *Aplysia* neurons (see reviews by Tauc 1979, 1982). He demonstrated that the quantum release of acetylcholine is performed by a complex macromolecular membrane structure, or “vesigate” composed of several functional subunits. It is suggested that the vesigate possesses a number of receptors for cytoplasmic acetylcholine equivalent to the number of acetylcholine molecules in a quantum. The vesigate receptors are thought to be in dynamic equilibrium with cytoplasmic acetylcholine; in order to be releasable, all or most of the receptors have to be occupied by acetylcholine. Synchronized activation of a given number of subunits forms a full quantum. The translocation mechanism is unknown, but it is triggered by Ca^{2+} and may involve a membrane protein identified as “mediatophore” (Dunant and Israël 1995) and some others of the so-called synaptic proteins. It was suggested that the vesigates were located at morphologically identified presynaptic

active zones. Tauc suggested multiple functions of synaptic vesicles; they probably serve as reserves for acetylcholine, but their immediate function may be buffering of Ca^{2+} that enters the terminal during stimulation.

Since Tauc's proposal, 35 years have passed and most of the readers of this book may not know the "non-vesicular theory". The "mediatophore", a "vesigate" component, is the V0c subunit of the V-ATPase (Birman et al. 1990), expressed as a synaptic vesicle H^+ transporter in any presynaptic terminals. Israël and collaborators purified a 150–200 kDa protein complex from a *Torpedo marmorata* electric organ (Birman et al. 1986; Israël et al. 1986). This complex was found to be composed of a single very hydrophobic 17 kDa polypeptide, which upon reconstitution in proteoliposomes showed calcium-dependent pore properties. The 17 kDa protein, initially designated "mediatophore", finally turned out to be the V0c subunit of the V-ATPase (Birman et al. 1990). In this book, Oussama El Far and Michael Seagar demonstrate their recent findings on the V-ATPase in Chap. 7 "The Synaptic Vesicle V-ATPase: A Regulatory Link Between Loading and Fusion?". They discuss the role of the V-ATPase in the presynaptic terminal: in addition to the primary role of vesicle loading, the V0 sector in regulating SNARE-mediated membrane fusion.

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At the presynaptic terminal of chemical synapses, in response to an action potential, synaptic transmission is performed by synaptic vesicle exocytosis. This process is orchestrated by several key proteins and protein complexes. Removal of excess membrane resulted from synaptic vesicle exocytosis, and local reuse of synaptic vesicles is necessary to maintain stable neurotransmitter release in response to unceasing neuronal signals arriving at the presynaptic terminals. Several vesicle steps in this process are controlled by other key proteins and protein complexes. The functional roles of most of the key proteins and protein complexes in stepwise synaptic vesicle phases in the presynaptic terminal are discussed in the introductory Chap. 1 by Sumiko Mochida.

Presynaptic Active Zone Proteins

The active zone is a unique structure of the presynaptic terminals where neurotransmitters are released by synaptic vesicles exocytosis. For the synaptic vesicle exocytosis, a specific architecture with proteins complex is composed. The anatomy of the active zone and the composition of active zone proteins, tethering Ca^{2+} channels, and loading synaptic vesicles are demonstrated in Chap. 2 by Thomas Dresbach. Currently, several proteins unique in the active zone, including Bassoon,

Piccolo, RIM1, RIM-BP, Munc13-1, CAST/ELKS, and α -liprin, have been identified and characterized. Toshihisa Otsuka, who found CAST in 2002 and has demonstrated its roles as a core protein of the large complex composed of active zone proteins, discusses the network of protein–protein interaction at the presynaptic active zone in Chap. 3. Prior to synaptic vesicle exocytosis, synaptic vesicles loaded at the active zone are docked and primed: a phase of synaptic vesicle ready for fusion with plasma membrane. Possible molecular mechanisms for this vesicle phase are also discussed in Chaps. 2 and 3.

Synaptic Vesicle Fusion

Synaptic vesicle fusion with active zone membrane is the primary action in response to an arrival of neural signal, action potential, at presynaptic terminals. A synaptic vesicle protein, VAMP2/synaptobrevin-2, and target plasma membrane proteins, syntaxin 1 and SNAP-25, are called SNAREs [soluble NSF attachment protein (SNAP) receptors], and form a complex at the active zone and act as the vesicle fusion machinery. The details of assembly, activation, and disassembly of this fusion machinery are discussed in Chap. 4 by Ira Milosevic and Jakob B. Sørensen, specialists in the functional study of presynaptic terminal proteins.

The SNARE complex formation is regulated by several cytosolic proteins, such as tomosyn and complexin. Tomosyn was found as a syntaxin-1-binding protein and named “friend (*tomo* in Japanese) of syntaxin” in Takai’s lab in 1998. In Chap. 5, the former members of the lab Yasunori Yamamoto and Toshiaki Sakiaska demonstrate roles of tomosyn in regulation of the SNARE complex-involved pre-fusion step and the Ca^{2+} -triggered synaptic vesicle fusion step. Complexin/synaphin was found in two labs in 1995. Since then Hiroshi Tokumaru has been studying its function. He discusses in Chap. 6 recent observations that complexin has at least two independent roles: clamping of the *trans*-SNARE complex and activation of Ca^{2+} -triggered synchronous release of neurotransmitter. In addition, Oussama El Far and Michael Seagar discuss in Chap. 7 the role of the V-ATPase, the V0 sector in regulating SNARE-mediated membrane fusion, in addition to the primary role of vesicle refilling with neurotransmitters.

Ca^{2+} -triggered synchronous release of neurotransmitter is mediated by a Ca^{2+} -sensor synaptotagmin expressed as a synaptic vesicle protein. Among 17 isoforms in the synaptotagmin family, synaptotagmin I is the best characterized for the synchronous fast neurotransmitter release. In Chap. 8, Tei-ichi Nishiki discusses accumulated findings for synaptotagmin I functions, including his recent studies. Ca^{2+} -triggered synchronous release of neurotransmitter is initiated by Ca^{2+} entry from Ca^{2+} channels at the active zone. Karina Leal and I demonstrate the properties of the voltage-gated Ca^{2+} channels and modulation of Ca^{2+} channels activity by other Ca^{2+} -sensor proteins in Chap. 9.

Synaptic Vesicle Endocytosis

Endocytosis is a complicated synaptic vesicle phase. There are at least three morphologically distinguished ways of endocytosis: clathrin-coated, kiss-and-run, and bulk endocytosis. These distinct pathways are triggered by different neural firing activity and mediated by different protein–protein interactions. An explanation of the detailed molecular mechanisms of each endocytosis is provided by Ira Milosevic in Chap. 10. Thanks to Ira, the reader can easily understand the function of each protein and the complicated protein complexes.

Endocytosis is important for rapid retrieval of membrane added by synaptic vesicle fusion at the active zone. Thus, it is a rate-limiting step for the next round of exocytosis, and is necessary for local recycling of synaptic vesicles to maintain stable neurotransmitter release in a limited space. Shota Tanifuji and I discuss how dynamin isoforms are key molecules to decode action potential firing for initiating fission of vesicle membrane neck in Chap. 11.

Synaptic Vesicle Refilling and Storage

Synaptic vesicles recycled into the presynaptic terminal must be refilled with neurotransmitters. In the mammalian central nervous system, the majority of neurons utilize amino acids such as glutamate, γ -aminobutyric acid (GABA), and glycine. Glutamate is the major excitatory neurotransmitter, whereas GABA and glycine are inhibitory. These amino acids are synthesized in the presynaptic cytoplasm and transported into synaptic vesicles. Shigeo Takamori overviews advances in the vesicular loading process and discusses some controversial concepts that may have important consequences for synaptic transmission in Chap. 12.

Synaptic vesicles loaded with neurotransmitters should be stored for reuse. Synapsin I was the first presynaptic protein to have its function demonstrated in synaptic vesicle storage by Llinás and Greengard in 1985. Given its ability to bind both the vesicular membrane and actin filaments in a phosphorylation-dependent manner, the classical role attributed to synapsins is the reversible tethering of synaptic vesicles to the actin cytoskeleton. In Chap. 13, Fabrizia C. Guarnieri, Fabio Benfenati, and Flavia Valtorta, specialists in synapsins, discuss recent evidence suggesting other aspects of the synaptic vesicle life cycle, such as docking, fusion, and recycling.

Synaptic Vesicle Pools

Synaptic vesicles are accumulated in the classical three-pool model; the readily releasable pool provides fast initial neurotransmitter release, the recycling pool maintains release during physiological level of neural activity, and the reserve

pool is inert in terms of neurotransmitter release under physiological activity. In Chap. 14, Sven Truckenbrodt and Silvio O. Rizzoli discuss recent findings for roles of synaptic vesicle pools in addition to their classical roles of different pools.

Together these expert contributions provide a thorough review of recent works in the high-profile and exciting field of presynaptic terminals research, and more importantly provide compelling evidence that we still have much to learn about the role of these crucial proteins and their interactions for synaptic vesicle life at presynaptic terminals. The work described here provides the basis for such research.

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Part I

Overview

Chapter 1

Overview: Presynaptic Terminal Proteins Orchestrate Stepwise Synaptic Vesicle Phases

Sumiko Mochida

Abstract In mammalian central and peripheral nervous systems, most of presynaptic terminals operate as follows. Synaptic vesicles docked and primed at the active zone are ready for exocytosis and form a synaptic vesicle pool, the readily releasable pool. Neural electrical signal, an action potential, reached to the presynaptic nerve terminal induces the opening of voltage-gated Ca^{2+} channels and a rapid influx of Ca^{2+} at the active zone. The Ca^{2+} transient at the active zone triggers neurotransmitters release by synaptic vesicle exocytosis and controls the release efficacy for incoming neuronal signals. After exocytosis, the synaptic vesicle membrane is recycled from the nerve terminal membrane by endocytosis. Renewal synaptic vesicles are filled with transmitter and reserved in a pool, the reserve pool. Storage of synaptic vesicles in the pools and the mobilization from the presynaptic membrane and the reserve pool are controlled by Ca^{2+} signals after action potential firing. How synaptic vesicles in each phase are controlled? Studies over the last 25 years have revealed that individual proteins in the presynaptic terminal form complexes and orchestrate each of the synaptic vesicle phases and that Ca^{2+} sensor proteins promote synaptic vesicle in a phase to a next stage of the phase along the maturation pathway. To understand presynaptic terminals, in this book, specialists for presynaptic protein(s) illustrate in detail the involvement and the regulation in each synaptic vesicle phase. This overview chapter shortly follows, step by step, the synaptic vesicle phases in mammalian presynaptic terminals.

Keywords Synaptic vesicles • Exocytosis • Endocytosis • Presynaptic proteins • Ca^{2+} -binding proteins

1.1 Introduction

Since Sir Bernard Katz demonstrated electrophysiologically synaptic transmission at the neuromuscular junction in 1950s (Fatt and Katz 1952), how the neurotransmitter release from the presynaptic terminal is regulated had been covered

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with a veil. In the 1990s, a large number of proteins were found in the presynaptic terminals, including in synaptic vesicles' membrane, cytoplasm, and plasma membrane (Südhof 1995). In 1993, the SNAREs (soluble NSF attachment protein receptors) hypothesis for synaptic vesicle fusion with plasma membrane was proposed by Dr. James Rothman (Yale University) (Sollner et al. 1993b; Rothman 1994). In addition, a Ca^{2+} -sensor protein synaptotagmin (Perin et al. 1991) that triggers synaptic vesicle exocytosis was also proposed by Dr. Thomas Südhof (Stanford University) (Geppert et al. 1994). These attractive proposals opened the curtain of the stage for the play of synaptic vesicle exocytosis in the theater named "Presynaptic terminals." The evidence of accumulated experimental results revealed that the players for the exocytosis are a part of presynaptic proteins and that other proteins play a role in different synaptic vesicle phases such as endocytosis, refilling, storage, and mobilization of synaptic vesicles. Since the proposals by Drs. James Rothman and Thomas Südhof, for two decades, we have got huge amount of information about these proteins functioning in presynaptic mechanisms. Honorably, Drs. James Rothman and Thomas Südhof were awarded the Nobel Prize in 2013. That was an exciting event for us who have been investigating presynaptic protein functions. However, we are not familiar with all of presynaptic proteins and are bewildered to understand how synaptic vesicles are regulated for stable neurotransmitter release in response to unceasing nerve signals. In this book the reader would see how synaptic vesicles in a certain phase are situated on the stages of each act (chapter) such as "docking and priming of synaptic vesicles at the active zone" (Chaps. 2 and 3), "fusion of synaptic vesicles with plasma membrane" (Chaps. 4, 5, 6, 7, 8, and 9), "endocytosis of synaptic vesicles" (Chaps. 10 and 11), "refilling and storage of synaptic vesicles" (Chaps. 12 and 13), and "synaptic vesicle pools" (Chap. 14) that are orchestrated by protein complexes. This chapter shortly describes possible mechanisms in stepwise synaptic vesicle phases following the recycling pathway (Fig. 1.1) that supports stable neurotransmitter release in response to unceasing nerve signals.

1.2 Ca^{2+} Is an Essential Messenger for Presynaptic Functional Processes

Calcium ions are essential messengers that regulate various cellular processes. At the neuronal presynaptic active zone, an action potential results in opening of calcium channels and transient increases in Ca^{2+} of up to 200 μM at domains around the channel pore (Silver et al. 1994). Calcium channels are linked to synaptic vesicles through SNAREs (Sollner et al. 1993b; Rothman 1994) and a Ca^{2+} -sensing protein synaptotagmin (Catterall 2000) that initiates synaptic vesicles exocytosis (Perin et al. 1991). The distance between presynaptic Ca^{2+} channels and synaptotagmin determines the efficacy (Mochida et al. 1996) and speed of exocytosis, suggesting that the spatial diffusion of Ca^{2+} is crucial for the regulation of synaptic

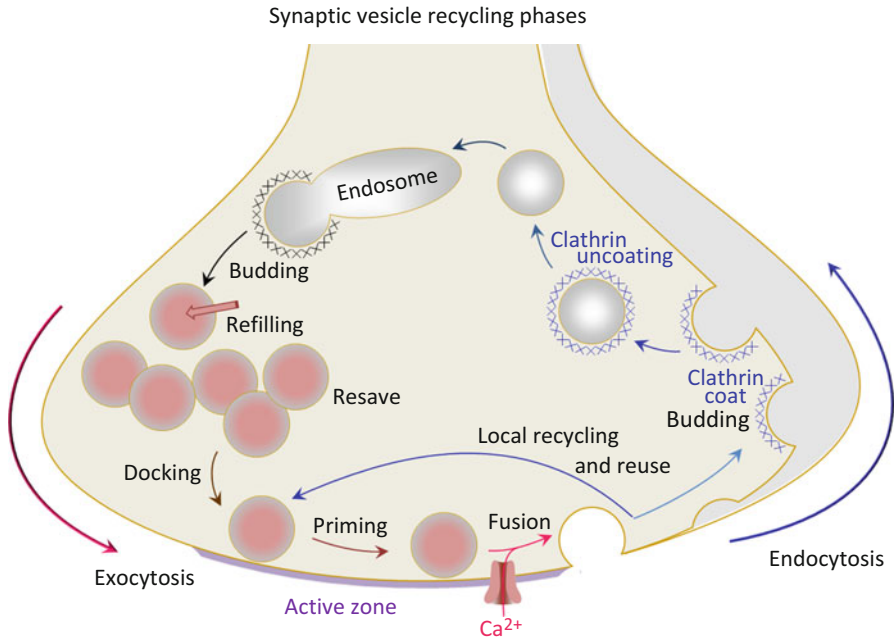


Fig. 1.1 Synaptic vesicle recycling phases at the presynaptic terminal. Synaptic vesicles in the presynaptic terminal follow the recycling pathway composed of stepwise phases of “docking and priming of synaptic vesicles at the active zone,” “fusion of synaptic vesicles with the plasma membrane,” “endocytosis of synaptic vesicles from the plasma membrane,” “refilling and storage of synaptic vesicles,” and “synaptic vesicles trafficking” to maintain stable neurotransmitter release in response to unceasing nerve signals. Each of the synaptic vesicle phases is orchestrated by individual protein complex

transmission (Neher and Sakaba 2008; Eggermann et al. 2012). Like exocytosis, presynaptic short-term plasticity (Catterall and Few 2008; Catterall et al. 2013) and vesicle pool maintenance such as the readily releasable pool (RRP) (Sakaba and Neher 2001; Junge et al. 2004) and the reserve pool (RP) (Llinás et al. 1991) are regulated in a Ca^{2+} -dependent manner. After an action potential firing, Ca^{2+} levels rapidly peak at the active zone and then gradually decline to resting levels. During this replenishment period, various Ca^{2+} -binding proteins act cooperatively to regulate synaptic vesicle competence to respond to incoming nerve signals (Mochida 2011b). Likewise, it is known that other post-exocytosis Ca^{2+} receptors in presynaptic terminals control other aspects of synaptic function such as synaptic vesicle endocytosis (Poskanzer et al. 2003; Nicholson-Tomishima and Ryan 2004) and structural remodeling of presynaptic morphology (Su et al. 2012). These Ca^{2+} -mediated regulations of synaptic vesicles phases indicate that Ca^{2+} sensor proteins play a role after action potential firing for progression of synaptic vesicles phases to support stable neurotransmitter release in response to unceasing nerve signals.

Evidence in a large presynaptic terminal of the calyx of Held, where multiple Ca_v2 channels are expressed, provides a starting point to understand roles in modulation of presynaptic Ca^{2+} channels to regulate synaptic transmission in the central neurons. Sympathetic superior cervical ganglion (SCG) neurons form a well-characterized cholinergic synapse in long-term culture (Mochida et al. 1994b; Ma and Mochida 2007), and synaptic transmission is mediated by $\text{Ca}_v2.2$ channels (Mochida et al. 1995, 2003). The SCG neurons are an ideal cell model for modulation of $\text{Ca}_v2.2$ and brain-derived $\text{Ca}_v2.1$ (Mochida et al. 1996, 2003, 2008; Stephen and Mochida 2005; Bucci et al. 2011). The large cell body and nucleus allow for the manipulation of gene expression and function in mature neurons via acute microinjection of cDNA, small interfering RNA (siRNA), dominant-negative transgenes, peptides, antibodies, and metabolites (Mochida et al. 2003, 2008; Baba et al. 2005; Krapivinsky et al. 2006; Ma and Mochida 2007), an approach not technically feasible for cultured neurons from the central nervous system. In addition, synaptic activity and short-term plasticity can be accurately monitored by recording excitatory postsynaptic potentials (EPSPs) evoked by paired or repetitive action potentials in presynaptic neurons. Using this approach, we have uncovered a critical role of cytoplasmic N-terminal and I–II loop interaction (Bucci et al. 2011) and calmodulin (CaM), CaM-like Ca^{2+} sensor proteins, and Ca^{2+} /CaM-dependent protein kinase II (CaMKII) binding to the cytoplasmic C-terminal (Mochida et al. 2008; Nakajima et al. 2009) in regulation of Ca^{2+} channel activity, thus highlighting molecular mechanisms through which presynaptic function and plasticity are regulated by Ca^{2+} channel modulation. CaM is a Ca^{2+} effector sensing residual Ca^{2+} that mediates time- and space-dependent synaptic depression and facilitation via effects on Ca_v2 channel gating (Mochida et al. 2008; Leal et al. 2012). $\text{Ca}_v2.1$ channel has an “effector checkpoint” associating with CaMKII to control channel fitness for function (Magupalli et al. 2013). In Chap. 9 Karina Leal demonstrates “regulation of Ca^{2+} channels in the active zone.” Likewise, functional studies of presynaptic proteins are possible in a model system for fast cholinergic transmission between rat SCG neurons mediated by N-type Ca^{2+} channels activation (Mochida et al. 1996, 2003). In this chapter and in Chaps. 3, 5, 7, and 11, evidences observed in the model synapse for roles of presynaptic proteins in different synaptic vesicles phases are also discussed.

1.3 Docking and Priming of Synaptic Vesicles at the Active Zone

1.3.1 The SNARE Hypothesis

The SNARE hypothesis proposed as that SNAREs are involved in vesicle docking and priming (Sollner et al. 1993b; Rothman 1994). SNAREs assemble with a 1:1:1 stoichiometry into stable ternary complexes that are disassembled by NSF,

an ATPase, working together with α -SNAP (Sollner et al. 1993b; Hayashi et al. 1995). SNAREs represented by synaptobrevin (or VAMP-1, vesicle-associated membrane protein 1) (Trimble et al. 1988) are vesicle membrane, or “v-SNAREs,” whereas SNAREs represented by syntaxin and SNAP-25 are target membrane, or “t-SNAREs” (Sollner et al. 1993b; Rothman 1994). As v- and t-SNAREs are predominantly located on the vesicles and target membranes, respectively, it has been proposed that the formation of SNARE complex may play a critical role in establishing and stabilizing membrane docking and priming (Sollner et al. 1993b; Rothman 1994). However, functional experiments using clostridial neurotoxins have shown that disruption of the v-SNARE, synaptobrevin (Hunt et al. 1994), or that of the t-SNARE, SNAP-25 (Banerjee et al. 1996), does not affect the docking or priming of synaptic vesicles. Genetic deletion of the t-SNARE, syntaxin, or the v-SNARE in *Drosophila* had profound effects on the function of secretory pathways, with complete loss of synaptic transmission (Broadie et al. 1995; Schulze et al. 1995; Sweeney et al. 1995; Deitcher et al. 1998). These results indicate that SNAREs do not play an essential role in synaptic vesicle docking and priming.

1.3.2 Contribution of Active Zone Proteins

The active zone beneath the presynaptic membrane is the principal site for Ca^{2+} -dependent exocytosis (Landis et al. 1988). The release probability scales with the size of the active zone (Holderith et al. 2012). The full molecular composition of the active zone is presently unclear, but many active zone proteins, including RIM1 (Rab-interacting molecule 1), Munc13, RIM-BP, α -liprin, ELKS, CAST/ERC2, Piccolo/Aczonin, and Bassoon, have been identified (Brose et al. 1995; Wang et al. 1997, 1999, 2002; tom Dieck et al. 1998; Fenster et al. 2000; Ohtsuka et al. 2002; Takao-Rikitsu et al. 2004) (see Chap. 2). Dr. Südhof (2012) reviews that among the active zone proteins, emerging evidence suggests that five evolutionarily conserved proteins, RIM, Munc13, RIM-BP, α -liprin, and ELKS proteins, form the core of active zones. The core of active zones forming a single large protein complex is thought to dock and prime synaptic vesicles, recruits Ca^{2+} channels to the docked and primed vesicles, tethers the vesicles and Ca^{2+} channels to synaptic cell-adhesion molecules, and mediates synaptic plasticity (Sudhof 2012). It should be noted that the core active zone proteins are not specific for active zones, or even neurons. ELKS and α -liprins were discovered in nonneuronal cells (Serra-Pages et al. 1995; Nakata et al. 1999), and RIMs, Munc13s, and RIM-BPs are at least partially expressed in neuroendocrine and other secretory cells, suggesting that these proteins perform additional general functions (Sudhof 2012).

In addition to five evolutionarily conserved proteins, RIM, Munc13, RIM-BP, α -liprin, and ELKS (Sudhof 2012), a highly homologous protein with ELKS called CAST/ERC2 also plays a role as a core of the active zone proteins complex in mammalian synapses, including synaptically coupled SCG neurons (Ohtsuka et al. 2002; Wang et al. 2002; Takao-Rikitsu et al. 2004; Kaeser et al. 2011;

tom Dieck et al. 2012) (see Chap. 3). In SCG neurons CAST/ERC2, but not ELKS, colocalizes with other active zone proteins to presynapses (Mochida et al. unpublished data). Disruption of CAST interaction with RIM or Bassoon reduced neurotransmitter release (Takao-Rikitsu et al. 2004). However, how this protein family regulates neurotransmitter release remains unclear. Bruchpilot, a *Drosophila* orthologue of CAST/ELKS, is required for active zone structural integrity. During synaptic plasticity, remodeling of the active zone related to Bruchpilot has been suggested (Weyhersmüller et al. 2011). Bruchpilot is also required for Ca^{2+} channel clustering (Kittel et al. 2006; Wagh et al. 2006), suggesting a potential function for CAST in the mammalian active zone (Kiyonaka et al. 2012). Indeed, CAST deletion in mice reduces the active zone size in the retina (tom Dieck et al. 2012) and impairs inhibitory neurotransmission in the hippocampus (Kaeser et al. 2009). RIM1, a binding partner of CAST/ELKS, interacts with Munc13-1 and has been implicated in docking (Wojcik and Brose 2007) and priming of synaptic vesicles (Betz et al. 2001). Another binding partner, Bassoon (Takao-Rikitsu et al. 2004), is involved in activity-dependent RRP reloading with synaptic vesicles to the release site at excitatory synapses (Hallermann et al. 2010). Therefore, several lines of evidence indicate that the active zone protein complex plays fundamental roles in synaptic vesicle docking and priming that remodel exocytosis and short-term plasticity to ensure the long-term stability of synaptic vesicle release efficacy.

1.3.3 Regulation by Presynaptic Activity

Loss of rapid Ca^{2+} signal by a Ca^{2+} chelator with rapid on-rate binding slowed the rate of recovery from RRP depletion with action potential firing train (Mori et al. 2014), suggesting a control of the kinetics of synaptic vesicle release from the RP, or synaptic vesicles priming by cytoplasmic proteins at the active zone, such as Munc13-1 and RIM1. RIM1 tethers Ca^{2+} channels (Kiyonaka et al. 2007; Kaeser et al. 2011) and binds to the synaptic vesicle proteins, Rab3 (Coppola et al. 2001) and synaptotagmin-1 (Schoch et al. 2002). The binding of RIM1 and Munc13-1 is thought to be an important component for priming of synaptic vesicles (Deng et al. 2011) (see Chap. 3). RIM1 has C_2 domains but does not bind Ca^{2+} ; thus, RIM1 binding to synaptotagmin-1 possibly regulates activation of Munc13-1 during the priming process. A rapid Ca^{2+} rise may control synaptic vesicles ability to be modulated by active zone proteins.

Following firing of action potentials, residual Ca^{2+} accumulated at the active zone activates kinases (Zucker and Regehr 2002) and Ca^{2+} -binding proteins (Mochida et al. 2008; Mochida 2011a; Leal et al. 2012) that modulate synaptic transmission. Among the numerous kinases of the presynaptic terminal, protein kinase C reportedly regulates reloading of synaptic vesicles into the RRP (Gillis et al. 1996) and a short-term form of presynaptic plasticity, posttetanic potentiation (PTP) (Brager et al. 2003; Beierlein et al. 2007). For rapid remodeling of synaptic efficacy at the active zone, phosphorylation of active zone protein(s) is ideal.

An active zone-associated serine/threonine kinase, SAD, phosphorylates RIM1, and controls size of the RRP, suggesting activity-dependent phosphorylation of RIM1 and other active zone proteins, may be involved in the RRP control (Inoue et al. 2006). RIM1 is also phosphorylated by protein kinase A (PKA), another serine/threonine kinase (Lonart et al. 2003). Recent genomic and proteomic analyses have revealed more than 600 kinases in humans (Manning et al. 2002). Therefore, we are only at the starting point for understanding the phosphorylation signaling pathways that control the structure and function of the active zone.

1.4 Synaptic Vesicle Fusion

1.4.1 Fusion Machinery: SNARE Protein Complex

Membrane fusion of synaptic vesicles to the presynaptic plasma membrane is generally mediated by SNARE proteins (see Chap. 4). At the active zone, the v-SNARE protein synaptobrevin (VAMP) forms a complex with the t-SNARE proteins syntaxin-1 and SNAP-25 (Sollner et al. 1993b). v- and t-SNARE proteins in the two fusing membranes form a trans-complex that involves a progressive zippering of the four-helical SNARE complex in an N- to C-terminal direction (Hanson et al. 1997). Zippering of trans-SNARE complex forces the fusing membranes into close proximity, destabilizing their hydrophilic surfaces. Assembly of the full trans-SNARE complex opens the fusion pore. Fusion pore expansion transforms the initial “trans”-SNARE complex into “cis”-SNARE complex. The “cis”-SNARE complex is dissociated by ATPase NSF and its adaptor proteins SNAPs (Sollner et al. 1993a).

Prior to SNARE complex formation, syntaxin-1 is in closed conformation. For the SNARE complex assembly, syntaxin-1 stretches the C-terminal (Misura et al. 2000; Dulubova et al. 2002). Munc18-1 binds to the closed conformation of syntaxin-1 (Hata et al. 1993; Dulubova et al. 2002). Munc18-1 remains attached to syntaxin-1 in the assembling SNARE complex and switches its binding mode to an interaction with the SNARE complex (Dulubova et al. 2007). In a physiological context, SNARE complex assembly alone does not mediate fusion (Hata et al. 1993). Munc18-1 binding to assembling SNARE complexes is essential for synaptic vesicle fusion, whereas Munc18-1 binding to closed syntaxin-1 is not (Khvotchev et al. 2007; Gerber et al. 2008; Deák et al. 2009; Zhou et al. 2012). How SNARE complexes induce fusion pore opening is unclear, as is the role of Munc18-1 in fusion. This will be discussed in Chap. 4.

1.4.2 Regulation of SNAREs

SNARE complex formation is regulated by cytoplasmic proteins, such as tomosyn (see Chap. 5) and complexin (see Chap. 6) or synaptic vesicle membrane protein V-ATPase (see Chap. 7), through their SNARE-binding domain.

1.4.2.1 Tomosyn

Tomosyn was originally identified as a syntaxin-1-binding protein (Fujita et al. 1998), and mammals express several splice variants derived from two genes (Groffen et al. 2005). Tomosyn forms a SNARE-like complex with syntaxin-1 and SNAP-25 through the C-terminal VAMP-like domain, and the tomosyn-SNARE complex cannot be displaced by synaptobrevin-2 (Pobbati et al. 2004). Thus, the tomosyn-SNARE complex would preclude SNARE-mediated association of primed vesicles with their target membrane before transmitter release triggered by action potential firing. In contrary, inhibition of vesicles priming by tomosyn is also proposed by the evidence of increase in vesicle docking and neurotransmitter release in the absence of tomosyn-1 (McEwen et al. 2006) and reduction of the RRP reduced in tomosyn-1 overexpressed chromaffin cells (Yizhar et al. 2004).

In cultured SCG neurons, both overexpression and knockdown of tomosyn negatively affect neurotransmitter release (Baba et al. 2005). This indicates that tomosyn levels at the active zone may be critical for efficient control of transmitter release. In addition, the interaction of tomosyn with syntaxin-1 is negatively regulated by PKA phosphorylation of tomosyn. Thus, in vivo, the competition between the formation of the nonproductive tomosyn/syntaxin-1/SNAP-25 complex and the fusion competent synaptobrevin/syntaxin-1/SNAP-25 complex may be controlled by multiple regulatory processes. Furthermore, N-terminal WD-40 repeat domain is also important for the regulation of SNARE complex (Yamamoto et al. 2009) and inhibited vesicle release from the microinjected SCG neurons. Thus, tomosyn inhibits vesicle release by catalyzing oligomerization of SNARE complex through the N-terminal WD-40 repeat domain in addition to the inhibitory activity of the C-terminal VAMP-like domain. Together, tomosyn has multiple sites to inhibit SNARE complex formation.

1.4.2.2 Complexin

Complexin interacts with SNAREs as an antiparallel α -helix, through its central region (Ishizuka et al. 1995; McMahon et al. 1995; Pabst et al. 2000; Bracher et al. 2002). It interacts selectively with the ternary SNARE complex but not with monomeric SNARE proteins. Complexin promotes interaction of the transmembrane regions of syntaxin and synaptobrevin and stabilizes the C-terminal part of the SNARE complex and acts as an inhibitor of vesicle fusion. Complexin also acts as a promoter for synaptic vesicle fusion. This dual functionality is dependent on neuronal activity arriving at the synapse. By acting as a fusion clamp in inhibiting fusion, and a promoter of priming during action potential firing, complexin expression levels at the active zone regulate size of the RRP, important for presynaptic short-term plasticity (Jorquera et al. 2012). In addition, recent evidences suggest that complexin is a cofactor for synaptotagmin in Ca^{2+} -triggered fusion reactions (Reim et al. 2001; Tang et al. 2006; Cai et al. 2008; Jorquera et al. 2012;

Cao et al. 2013). The loss of function induced a decrease in Ca^{2+} triggering of release, an increase in spontaneous mini release, and a decrease in the size of the RRP.

Complexin, when bound to assembled SNARE complexes, contains two short helices flanked by flexible sequences (Chen et al. 2002). The central, more C-terminal alpha helix is bound to SNARE complex and is essential for all complexin functions (Maximov et al. 2009). The accessory, more N-terminal alpha helix is required only for the clamping but not for the activating function of complexin (Neher 2010). The flexible N-terminal sequence of complexin, conversely, mediates only the activating but not the clamping function of complexin (Xue et al. 2007; Maximov et al. 2009). The equally flexible C-terminal sequence, in turn, is required only for the clamping and priming activities of complexin but not for its Ca^{2+} -triggering activity (Kaeser-Woo et al. 2012). Thus, all three activities of complexin—clamping, priming, and activation of Ca^{2+} triggering—require distinct complexin sequences.

1.4.2.3 V-ATPase

The vacuolar proton pump (V-ATPase) is a huge multi-subunit complex composed of two distinct non-covalently associated sectors. The cytosolic V1 sector hydrolyzes ATP, providing the energy for the V0 membrane sector to translocate protons into the vesicle lumen. The proton gradient is then used by vesicular transporters to load synaptic vesicles with specific neurotransmitters. The primary role of the V-ATPase in vesicle loading is widely accepted. However, multiple studies point to an additional general role of the V0 sector in regulating SNARE-mediated membrane fusion. In a search for synaptic vesicle-binding proteins, Thomas and Betz suggested an interaction of the soluble V-ATPase V0d subunit with synaptophysin, a synaptic vesicle protein of unknown function that interacts with VAMP2 (Thomas and Betz 1990). A complex of V0a and c also shown to associate with assembled SNARE complexes, as well as with VAMP2 (Morel et al. 2003). The V0a subunit is involved in a late step of synaptic vesicle exocytosis and its deficit induces severe defects in evoked synaptic transmission in *Drosophila* photoreceptors. These effects were independent of V0a action in acidification (Hiesinger et al. 2005). The V0c subunit, the most hydrophobic component of the V-ATPase V0 sector, directly interacts with the juxtamembrane VAMP2 tryptophan residues 89 and 90 via the cytosolic loop that links TMR 3 and 4 (Di Giovanni et al. 2010). Perturbing V0c/VAMP2 binding, using interfering peptides from V0c loop 3.4 or VAMP2 juxtamembrane domain, inhibited glutamatergic as well cholinergic neurotransmitter release (Di Giovanni et al. 2010). The 1 VAMP2: 1 V0c-binding stoichiometry suggests that the c-subunit ring could organize v-SNAREs into a radial array and determine the number of SNARE complexes that assemble around the fusion pore (EL Far and Seagar 2011).

1.4.3 Ca^{2+} Sensors: Synaptotagmins

Ca^{2+} sensor synaptotagmins transduce the presynaptic Ca^{2+} signal for release, acting on a primed fusion machinery that is ready to go (see Chap. 8). Synaptotagmins are evolutionarily conserved transmembrane proteins with two cytoplasmic domains (Perin et al. 1990, 1991) that bind Ca^{2+} (Brose et al. 1992). C_2 domains were initially defined in PKC isozymes as a conserved sequence of unknown function. Studies on synaptotagmin-1 showed that C_2 domains constitute autonomously folding Ca^{2+} /phospholipid-binding domains (Perin et al. 1990; Davletov and Sudhof 1993; Sutton et al. 1995). In addition, C_2 domains constitute protein interaction domains and, in the case of synaptotagmin-1, bind to syntaxin-1 and to SNARE complexes (Bennett et al. 1992; Sollner et al. 1993a). Functional study of synaptotagmin-1 with knockout forebrain neurons revealed that synaptotagmin-1 is selectively essential for fast Ca^{2+} -triggered release (Geppert et al. 1994): Introduction into the endogenous mouse synaptotagmin-1 gene of a point mutation that decreased the synaptotagmin-1 Ca^{2+} -binding affinity ~ 2 -fold also decreased the Ca^{2+} affinity of neurotransmitter release ~ 2 -fold. In addition to mediating Ca^{2+} triggering of release, synaptotagmin-1 clamps mini release (Littleton et al. 1993; Xu et al. 2009), thus serving as an essential mediator of the speed and precision of release by association with SNARE complexes.

Sixteen synaptotagmins are expressed in brain, eight of which bind Ca^{2+} . Initial functional studies were carried out with synaptotagmin-1. Synaptotagmin-2 and synaptotagmin-9 also act as Ca^{2+} sensors for synchronous synaptic vesicle exocytosis with different kinetics (Xu et al. 2007). These synaptotagmins are expressed in synapses corresponding to transmission of different speed of neural signals. Synaptotagmin-2 as the fastest synaptotagmin is expressed in the neurons mediating sound localization, which requires extremely fast synaptic responses (Sun et al. 2007), whereas synaptotagmin-9 is the slowest synaptotagmin that is primarily expressed in the limbic system mediating slower emotional responses (Xu et al. 2007). Synaptotagmin-10 is a Ca^{2+} sensor for IGF-1 exocytosis that differs from the Ca^{2+} sensor function of synaptotagmin-1 in synaptic vesicle and neuropeptide vesicle exocytosis in olfactory neurons (Cao et al. 2011). Thus, even in a single neuron, different synaptotagmins can act as Ca^{2+} sensors for distinct Ca^{2+} -triggered fusion reactions. All synaptotagmin-controlled fusion reactions appear to require complexin as a cofactor (Reim et al. 2001; Cai et al. 2008; Jorquera et al. 2012; Cao et al. 2013).

1.4.4 Regulation of Ca^{2+} Channels

1.4.4.1 Interaction with Active Zone Proteins

RIM, an active zone protein that is required for vesicle docking and priming (Koushika et al. 2001; Gracheva et al. 2008; Deng et al. 2011; Han et al. 2011,

Kaesler et al. 2011) and is implicated in synaptic plasticity (Castillo et al. 2002; Schoch et al. 2002), interacts with the C-terminal cytoplasmic tails of $\text{Ca}_v2.1$ and 2.2 channels (Coppola et al. 2001; Hibino et al. 2002; Kaesler et al. 2011) (see Chap. 9). The interaction of RIM with Ca^{2+} channel is essential for recruiting Ca^{2+} channels to presynaptic active zone (Kaesler et al. 2011) and determines channel density and vesicle docking at presynaptic active zone (Han et al. 2011). RIM-binding protein, RIM-BPs, also interacts with $\text{Ca}_v2.1$ and 2.2 channels (Hibino et al. 2002). The tripartite complex composed of RIM, RIM-BPs, and C-terminal tails of the Ca_v2 channels regulates the recruitment of Ca_v2 channels to active zones. RIM also interacts with $\text{Ca}_v\beta$ subunits and shifts the voltage dependence of inactivation to more positive membrane potentials, increasing Ca^{2+} channel activity (Kiyonaka et al. 2007). Regulation of presynaptic Ca^{2+} channel function and vesicle docking and priming by RIM provides an additional potential pathway to increase the release probability of synaptic vesicles docked close to Ca_v2 channels.

1.4.4.2 Interaction with t-SNAREs

The v-SNARE synaptobrevin-2 and t-SNAREs syntaxin-1 and SNAP-25 are required for fusion of synaptic vesicle with a plasma membrane to release neurotransmitters (Sudhof 2004) (see Chap. 4). Both $\text{Ca}_v2.1$ and $\text{Ca}_v2.2$ channels colocalize densely with syntaxin-1 at the presynaptic nerve terminals (Cohen et al. 1991; Westenbroek et al. 1992, 1995). These channels can be isolated as a complex with SNAREs from central neurons (Bennett et al. 1992; Yoshida et al. 1992; Leveque et al. 1994). The t-SNARE proteins syntaxin-1A and SNAP-25, but not the v-SNARE synaptobrevin-2, specifically interact with the $\text{Ca}_v2.2$ channel by binding to the intracellular loop between domains II and III of the $\alpha_{1.2}$ subunit_{718–963}, named as the synprint (synaptic protein interaction) site (Sheng et al. 1994). This interaction is Ca^{2+} dependent, with maximal binding at 20 μM Ca^{2+} and reduced binding at lower or higher Ca^{2+} concentrations (Sheng et al. 1996), suggesting sequential steps of association and dissociation of SNAREs with Ca_v2 channels as a function of Ca^{2+} concentration. Two peptide segments separated by a flexible linker within the synprint site independently bind both syntaxin-1A and SNAP-25 (Yokoyama et al. 2005). $\text{Ca}_v2.1$ channels have an analogous synprint site, and different channel isoforms have distinct interactions with syntaxin and SNAP-25 (Rettig et al. 1996; Kim and Catterall 1997), which may confer specialized regulatory properties that contribute to synaptic modulation.

Through interaction with $\text{Ca}_v2.1$ and $\text{Ca}_v2.2$ channels, presynaptic t-SNAREs regulate Ca^{2+} channel function. Coexpression of syntaxin-1A and/or SNAP-25 with $\text{Ca}_v2.1$ or $\text{Ca}_v2.2$ channels shifts the voltage dependence of inactivation toward more negative membrane potentials and reduces the availability of the channels to open (Bezprozvanny et al. 1995; Wiser et al. 1996; Zhong et al. 1999). Coexpression of SNAP-25 can reverse the inhibitory effects of syntaxin on $\text{Ca}_v2.2$ channels (Wiser et al. 1996; Jarvis and Zamponi 2001). The synprint site

binds to the entire H3 helix in the cytoplasmic domain of syntaxin-1A (Sheng et al. 1994, 1996; Bezprozvanny et al. 2000). However, the transmembrane region and only a short segment within the H3 helix are critical for channel modulation (Bezprozvanny et al. 2000). Deletion of the synprint site weakened the modulation of the channels by syntaxin-1A, but did not abolish it, arguing that the synprint site acts as an anchor in facilitating channel modulation but is not required absolutely for modulatory action.

1.4.4.3 Interaction with Synaptotagmin-1

Synaptotagmin-1, synaptotagmin-2, synaptotagmin-3, and synaptotagmin-9 serve as the Ca^{2+} sensors for the fast, synchronous neurotransmitter release (Geppert et al. 1994; Sudhof 2004; Xu et al. 2007). Synaptotagmin-1 contains two homologous C_2 domains, which bind Ca^{2+} to initiate synchronous transmitter release (Sudhof 2004, 2012). The C_2B domain of synaptotagmin-1 binds to the synprint sites of both $\text{Ca}_v2.1$ and $\text{Ca}_v2.2$ channels (Sheng et al. 1997). Moreover, syntaxin-1 interacts competitively with either synprint or synaptotagmin-1 in a Ca^{2+} -dependent manner, such that at low Ca^{2+} concentrations syntaxin-1 binds synprint, whereas at higher concentrations ($>30 \mu\text{M}$) its association with synaptotagmin-1 increases. The sequential Ca^{2+} -dependent binding of syntaxin-1 to the synprint site and then to synaptotagmin-1 in vitro may reflect stepwise protein interactions that occur during exocytosis (Sheng et al. 1996). Coexpression of synaptotagmin-1 can relieve the inhibitory effects of SNAP-25 on $\text{Ca}_v2.1$ channels (Wiser et al. 1997; Zhong et al. 1999). Relief of Ca^{2+} channel inhibition by formation of a complete synaptotagmin/SNARE complex favors Ca^{2+} influx through Ca_v2 channels, thus providing a potential mechanism to increase the release probability of synaptic vesicles that are docked close to Ca_v2 channels (Catterall and Few 2008).

1.4.4.4 Regulation of Ca^{2+} Channel by Ca^{2+} and Ca^{2+} Sensor Proteins

During trains of depolarizations, P/Q-type Ca^{2+} currents increase in size during the first pulses due to facilitation and then inactivate in a pulsewise manner (Cuttle et al. 1998; Lee et al. 2000). Both facilitation and inactivation of $\text{Ca}_v2.1$ channels are dependent on CaM (calmodulin) (Lee et al. 1999, 2000; DeMaria et al. 2001). In the C-terminal domain of the $\text{Ca}_v2.1$ subunit, N-terminal lobe of CaM interacts with the IQ-like motif, while C-terminal lobe of CaM interacts with a second nearby downstream site, the CaM-binding domain (CBD) (Lee et al. 1999; DeMaria et al. 2001). Following depolarization pulses, high-affinity binding of Ca^{2+} to the C-terminal lobe of CaM and interaction with the IQ-like motif of $\text{Ca}_v2.1$ channels cause facilitation, whereas subsequent lower-affinity binding of Ca^{2+} to the N-terminal lobe of CaM and interaction with the CBD of $\text{Ca}_v2.1$ channels cause inactivation (Catterall and Few 2008).

Calmodulin-like Ca^{2+} sensor proteins (CaS), which possess four EF-hand Ca^{2+} -binding motifs organized in two lobes connected by a central α helix, are expressed in neurons. CaBP1 (calcium-binding protein 1) is a member of a sub-family of neuron-specific CaS (nCaS) highly expressed in the brain and retina (Haeseleer et al. 2000) and is colocalized with presynaptic $\text{Ca}_v2.1$ channels in some synapses (Lee et al. 2002). Like CaM, CaBP1 binds to the CBD of $\text{Ca}_v2.1$, but its binding is Ca^{2+} independent (Lee et al. 2002). CaBP1 causes rapid inactivation that is independent of Ca^{2+} , and it does not support Ca^{2+} -dependent facilitation (Lee et al. 2002). Another nCaS VILIP-2 (visinin-like protein-2) highly expressed in the neocortex and hippocampus (Burgoyne and Weiss 2001) also modulates $\text{Ca}_v2.1$ channels. VILIP-2 increases Ca^{2+} -dependent facilitation but inhibits Ca^{2+} -dependent inactivation (Lautermilch et al. 2005). CBD and IQ-like motifs of $\text{Ca}_v2.1$ are required for binding of VILIP-2. Thus, CaBP1 and VILIP-2 bind to the same site as CaM but have opposite effects on $\text{Ca}_v2.1$ channel activity.

In the presynaptic terminal, efficacy of transmitter release is regulated by Ca^{2+} just after Ca^{2+} channel open triggered by an action potential. During Ca^{2+} declining to resting level, Ca^{2+} binding to CaM, and nCaS proteins regulates presynaptic Ca^{2+} channels and causes facilitation and inactivation of the Ca^{2+} current for incoming action potential at the nerve terminal. The steep dependence of neurotransmitter release on the presynaptic Ca^{2+} current predicts that this type of regulation should profoundly alter release probability. Differential expression of these Ca^{2+} -dependent regulatory proteins may provide a means of cell-type-specific regulation of presynaptic Ca^{2+} channels. To study regulation of presynaptic $\text{Ca}_v2.1$ channels, synapses that express homogeneous $\text{Ca}_v2.1$ channels are ideal. SCG neurons have endogenous N-type but not P/Q-type Ca^{2+} currents. In the presence of N-type Ca^{2+} blocker, ω -conotoxin GVIA, P/Q-type Ca^{2+} currents are recorded from neurons transfected with cDNA encoding $\text{Ca}_v2.1$ channels by microinjection (Mochida et al. 2003, 2008). In these transfected SCG neurons, mutations in the CBD of $\text{Ca}_v2.1$ channels reduced depression of transmitter release induced by the second action potential, called paired-pulse depression (Mochida et al. 2008). In contrast, mutations in the IQ-like motif of $\text{Ca}_v2.1$ channels reduced paired-pulse facilitation and augmentation of EPSPs (Mochida et al. 2008). In the presence of endogenous CaM, the second action potential releases less amount of transmitter with the interval ≤ 50 ms and releases more with the interval ≥ 50 ms, suggesting regulation of P/Q-type Ca^{2+} channels with higher-affinity binding of Ca^{2+} to the C-terminal lobe of CaM lasts longer at the presynaptic active zone and resulting in facilitation of transmitter release. Expression of VILIP-2, which blocks Ca^{2+} -dependent inactivation of P/Q-type Ca^{2+} current, induced paired-pulse facilitation, while expression of CaBP1, which blocks Ca^{2+} -dependent activation of P/Q-type Ca^{2+} current, induced paired-pulse depression (Leal et al. 2012). Thus, CaM and VILIP-2 may respond to residual Ca^{2+} as “facilitation sensors” by binding to the IQ-like motif in the C-terminus of $\text{Ca}_v2.1$ channels and causing Ca^{2+} -dependent facilitation of the presynaptic Ca^{2+} current. Synaptic facilitation and augmentation in transfected SCG neurons share a common mechanism: activation of nCaS proteins by residual Ca^{2+} increases “instantaneous” Ca^{2+} entry via $\text{Ca}_v2.1$ channels in an activity-dependent manner, which in turn increases

neurotransmitter release according to the power law of neurotransmission. Why does different nCaS regulate Ca_v2.1 function at the same sites as CaM? Their affinity and binding speed to Ca²⁺ are different (Faas et al. 2011). CaM has lower affinity and higher binding speed to Ca²⁺ than nCaS proteins, suggesting temporal regulation of Ca_v2.1 activity by CaM and nCaS proteins (Mochida et al. 2008; Leal et al. 2012). The divergent actions of nCaS proteins on Ca_v2.1 channels may fine-tune the function and regulatory properties of presynaptic P/Q-type Ca²⁺ currents, allowing a greater range of input-output relationships and short-term plasticity at different synapses (Catterall and Few 2008).

1.4.4.5 Regulation of Ca²⁺ Channel by CaMKII

CaMKII is the most prominent Ca²⁺/CaM-dependent regulator of the postsynaptic response, including long-term potentiation (Schulman and Greengard 1978; Kennedy et al. 1990; Luscher et al. 2000; Shepherd and Huganir 2007). CaMKII also regulates presynaptic function (Llinas et al. 1985, 1991), including effects on synaptic plasticity (Chapman et al. 1995; Lu and Hawkins 2006). CaMKII binds to Ca_v2.1 channel (α1₁₈₉₇₋₁₉₁₂) and enhances activity by slowing inactivation and positively shifting the voltage dependence of inactivation (Jiang et al. 2008). Surprisingly, these effects on the function of Ca_v2.1 channels require binding of an autophosphorylated form of CaMKII (Magupalli et al. 2013), but do not require the catalytic activity of the enzyme (Jiang et al. 2008). Dephosphorylation of CaMKII does not reverse the binding (Magupalli et al. 2013). Furthermore, CaMKII binding to Ca_v2.1 C-terminus₁₈₉₇₋₁₉₁₂ increases phosphorylation of synapsin-1 and induces oligomers of synapsin-1 (Magupalli et al. 2013). It was proposed that noncatalytic regulation of Ca_v2.1 channels by bound CaMKII serves to enhance the activity of those channels that have the effector of the Ca²⁺ signal (i.e., CaMKII) in position to bind entering Ca²⁺ and respond to it (Jiang et al. 2008). This form of regulation is similar to regulation by SNAREs and RIM, as described above; that is, the activity of the Ca_v2.1 channels is increased by formation of a complete SNARE complex with synaptotagmin and RIM bound (Zhong et al. 1999; Kiyonaka et al. 2007), which serves as the effector of the Ca²⁺ signal for initiation of synaptic transmission. This “effector checkpoint” mechanism serves to focus Ca²⁺ entry through those Ca²⁺ channels whose effectors (i.e., a complete SNARE complex and CaMKII) are bound and ready to respond (Jiang et al. 2008; Magupalli et al. 2013).

1.5 Synaptic Vesicle Endocytosis

Neurons in both central and peripheral nervous systems encounter a wide range of activity in the form of action potential firing patterns. In accord, membrane transport systems, in particular, synaptic vesicle recycling pathways in synapses that mediate fast neurotransmission, must maintain the ability to rapidly react

to ongoing changes in action potential firing and adjust membrane trafficking to provide sufficient membrane replenishment. Synaptic vesicle recycling through repetitive cycles of exocytosis and endocytosis is well established to operate under different conditions of synaptic activity and are typically classified for fast synapses by imaging and electrophysiology into fast and slow modes (Royle and Lagnado 2003; Wu 2004), while morphological retrieval is usually described as “kiss-and-run” fusion/fission, full-collapse vesicle retrieval, and bulk modes (Ceccaldi et al. 1995; Heuser and Reese 1973; Royle and Lagnado 2003). The relationship between the kinetic phases with morphological retrieval is a matter of ongoing debate and may depend on the particular synapse.

1.5.1 Synaptic Activity and Synaptic Vesicle Endocytosis

The slow mode of endocytosis is mediated by the classic clathrin-mediated pathway (Heuser and Reese 1973; Granseth et al. 2006) and is responsible for refilling of the RRP via the RP (Kuromi and Kidokoro 2002; Sudhof 2004). The clathrin-mediated pathway is considered to be the main pathway in high activity hippocampal synapses (Granseth et al. 2006) where full-collapse fusion events are broadly distributed across the synaptic bouton adjacent to the release site, whereas “kiss-and-run” fusion events are concentrated near the center of the synapse close to the active zone (Park et al. 2012). In contrast, the fast mode of endocytosis involves the rapid reuse of synaptic vesicles that bypasses the RP (Artalejo et al. 1995). In hippocampal neurons, “kiss-and-run” retrieval with a time constant 1–2 s and preference for vesicles with a high release probability is increased by rapid firing and can shape the kinetics of neurotransmission over a wide range of firing patterns (Zhang et al. 2009). In contrast, low-frequency firing activates both fast (~3 s) and slow pathways (after 10 s) (Zhu et al. 2009). As firing frequency increases, slow endocytosis becomes the predominant pathway (Zhu et al. 2009). In addition to these modes of synaptic vesicle recycling, bulk endocytosis is also rapidly triggered during strong stimulation (Wu and Wu 2007; Clayton et al. 2008). The primary signal coupling activity to synaptic vesicle recycling is believed to be Ca^{2+} (Balaji et al. 2008; Hosoi et al. 2009; Wu et al. 2009; Yao et al. 2009) acting at a Ca^{2+} sensor (Poskanzer et al. 2003; Nicholson-Tomishima and Ryan 2004); however, the molecular mechanism for the selection of a specific pathway for membrane trafficking during dynamic changes in action potential properties is poorly understood.

1.5.2 Dynamin Isoform Selects Distinct SV Recycling Pathway in Response to Neural Activity

A candidate mechanism for synaptic vesicle recycling indexed to cell activity could involve a protein central to endocytosis. Most forms of endocytosis in the

physiological range requires the protein dynamin, a GTPase that mediates fission of synaptic vesicles from the presynaptic terminal membrane (Takei et al. 1995). There are three dynamin isoforms (Cao et al. 1998; Ferguson et al. 2007); dynamin-1 and -3 are highly expressed in brain, while dynamin-2 is ubiquitous (Cook et al. 1994; Cao et al. 1998). Knockout of dynamin-1 and dynamin-3 in central neurons suggests that dynamin-1 is required for fast synaptic vesicle recycling with high-frequency neuronal activity (Ferguson et al. 2007; Klingauf 2007; Hayashi et al. 2008) and dynamin-2 may control synaptic vesicle recycling after neuronal activity (Ferguson et al. 2007). In these studies, dynamin-3 has no direct effect on synaptic vesicle endocytosis, but cooperates with dynamin-1 to support optimal rates of synaptic vesicle endocytosis (Raimondi et al. 2011). In contrast to central synapses, dynamins 1, 2, and 3 are equally expressed in peripheral sympathetic neuron (see Chap. 11). Combined genetic knockdown and direct physiological measurements of synaptic transmission from paired SCG neurons show that three isoforms of dynamin work individually to match vesicle reuse pathways having distinct rate and time constants with physiological action potential frequencies. Dynamin-3 resupplied the RRP with slow kinetics independent of action potential frequency, but acted quickly, within 20 ms of the incoming action potential. Under high-frequency firing, dynamin-1 regulated synaptic vesicle recycling to the RRP with fast kinetics in a slower time window of greater than 50 ms. Dynamin-2 displayed a hybrid response between the other isoforms. Collectively, dynamin isoforms select appropriate vesicle reuse pathways associated with specific neuronal firing patterns of sympathetic neurons.

Endocytosis at many synapses requires initiation by $\text{Ca}^{2+}/\text{CaM}$ and dynamin (Wu et al. 2009). The clathrin-mediated pathway (Heuser and Reese 1973; Granseth et al. 2006) is responsible for refilling of the RRP via the RP (Kuromi and Kidokoro 2002; Sudhof 2004). Traditionally, synaptic vesicles in the RP are thought to solely support maintenance of RRP size during high-frequency synaptic activity (Sudhof 2004). Thus, in hippocampal synapses, the clathrin pathway is considered to operate during high levels of activity (Granseth et al. 2006) and involves $\text{Ca}^{2+}/\text{CaM}$ -dependent dynamin activation (Wu et al. 2009). In SCG neurons, synaptic vesicle recycling was blocked in the presence of slow Ca^{2+} -chelator EGTA (Mori et al. 2014). The precise Ca^{2+} -triggering pathway for endocytosis is not well established, but it is known that when isolated nerve terminals in the brain are stimulated, a group of phosphoproteins essential for synaptic vesicle endocytosis including dynamin are coordinately dephosphorylated by calcineurin, a $\text{Ca}^{2+}/\text{CaM}$ -dependent protein phosphatase (Cousin and Robinson 2001). Thus, a candidate mechanism for the slow Ca^{2+} -mediated regulation of endocytosis involves CaM, calcineurin, and endocytic phosphoproteins representing Ca^{2+} -sensors, mediators, and effectors, respectively. In contrast, studies at the calyx of Held nerve terminal with rapid Ca^{2+} -chelator BAPTA and at cerebral cortex neurons suggest that the nanodomain Ca^{2+} simultaneously triggers exocytosis and endocytosis of synaptic vesicles (Yamashita et al. 2010), cooperating with a Ca^{2+} sensor, synaptotagmin (Nicholson-Tomishima and Ryan 2004). Furthermore, the rate of vesicle exocytosis is controlled by dynamin isoform following consecutive action potential firing

(Armbruster et al. 2013). Recent high-sensitivity pHluorin assays of vesicle endocytosis in cultured hippocampal and cortical neurons demonstrated that acceleration of endocytosis is followed by the stalling during action potential bursts. The acceleration of endocytosis was blocked by mutation of dynamin-1 at the phosphorylation site (Armbruster et al. 2013), suggesting that dynamin isoforms control optimization of the synaptic vesicle recycling rate for varying synaptic activity. However, molecular mechanisms for the selection of a Ca^{2+} sensor and an isoform of dynamin for membrane trafficking during dynamic changes in firing properties are, so far, poorly understood.

1.5.3 Time Course of Endocytosis

The time course of endocytosis varies at different synapses and with the intensity of stimulation. Endocytic styryl dyes, applied in a pulse-chase manner after electrical stimulation, revealed that membrane recovery after an exocytic burst (e.g., 20–100 action potentials at 20 Hz at hippocampal synapses in culture or 10 s at 30 Hz at the frog neuromuscular junction) required less than 1 min to complete and had a time constant in the range of 20–30 s (Ryan et al. 1996b; Wu and Betz 1996), similar to what had been predicted by earlier morphological experiments (Miller and Heuser 1984). Real-time measurements of endocytosis by the use of pHluorin confirmed that the average time constant of endocytosis is in the range of 15–20 s when the system is not saturated (e.g., 50 action potentials at 10 Hz) and subsequent studies strongly suggested that under these conditions the endocytic process is dependent on the clathrin coat (Granseth et al. 2006; Balaji and Ryan 2007; Kim and Ryan 2009). At high levels of activity, the endocytic rate slows down, indicating that under these conditions the surface accumulation of synaptic vesicle membranes exceeds the endocytic capacity (Sankaranarayanan and Ryan 2001; Granseth et al. 2006; Balaji and Ryan 2007).

In contrast to the speed of endocytosis estimated by capacity measurements and pHluorin imaging, electrophysiological measurements showed a more rapid time window of tens of milliseconds, suggesting a role for dynamin in the clearance of endocytic vesicle cargo from active zones. A requirement (<20 ms after an action potential) for rapid dynamin action in synaptic vesicle membrane trafficking and active zone clearance has been proposed for the *Drosophila shibire* mutant (Kawasaki et al. 2000) and at the calyx of Held after dynamin dysfunction (Hosoi et al. 2009), respectively. In SCG neurons, with three isoforms, two of them gating distinct kinetic response pathways and the third isoform shared between the two and contingent on firing pattern, the dynamin endocytosis system appears well positioned to manage replenishment of the RRP of synaptic vesicles under a range of physiological action potential firing patterns encountered by neurons in vivo (Tanifuji et al. 2013). Studies at the calyx of Held (Hosoi et al. 2009; Neher 2010) indicate that rapid dynamin action may be the rate-limiting step for the RRP replenishment suggesting that dynamin isoforms may

be crucial regulatory control points for the membrane's response to cell activity. Indeed, dynamin knockdown impaired the ability of cells to translate high-frequency action potential firing into recovery and maintenance of the RRP (Tanifuji et al. 2013) (see Chap. 11).

In addition, ultrafast endocytosis at mouse hippocampal synapses is recently demonstrated using “flash-and-freeze” electron microscopy (Watanabe et al. 2013). Docked vesicles fuse and collapse into the membrane within 30 ms of the stimulus. Compensatory endocytosis occurs within 50–100 ms at sites flanking the active zone. Invagination is blocked by inhibition of actin polymerization, and scission is blocked by inhibiting dynamin. The intact synaptic vesicles are not recovered, suggesting that this form of recycling is not compatible with kiss-and-run endocytosis; moreover, it is 200-fold faster than clathrin-mediated endocytosis. The “ultrafast endocytosis” is suggested as a membrane trafficking specialized to restore the surface area of the membrane rapidly.

Endocytosis after single-vesicle exocytosis during spontaneous release in the calyx of Held was extremely fast (56 ms time constant) using capacitance measurements (Sun et al. 2002). When ~200 vesicles were stimulated by a single action potential, or thousands of vesicles by multiple action potentials at low frequency (<2 Hz), the time constant of endocytosis was only twofold slower (~115 ms), which is not a significant increase considering that many more vesicles were endocytosed after action potential-induced release than were after spontaneous release events. However, when the stimulation frequency was increased, endocytosis slowed down dramatically. After 10 stimuli at 20 or 333 Hz, the time constants of endocytosis increased to 2.3 and 8.3 s, respectively (Sun et al. 2002). The decrease in endocytosis rate did not depend on the cytosolic Ca^{2+} concentration or number of stimuli but on the net increase in membrane area produced by the sum of exo- and endocytosis (Sun et al. 2002). It is likely that the number of unretrieved vesicles at a given time determines the speed of endocytosis.

Measurements of the endocytic recovery of synaptic vesicle membranes by capacitance recording in frog saccular hair cells, axon terminals of goldfish bipolar neurons, and calyx of Held synapses showed time scales in a similar range and a longer time course after a strong exocytic load (Parsons et al. 1994; von Gersdorff and Matthews 1994; Sun and Wu 2001; Sun et al. 2002; Wu et al. 2005). These studies also revealed the existence of an additional fast kinetic component of endocytosis (~1 s), which has been proposed to be mediated by a distinct molecular mechanism (von Gersdorff and Matthews 1994; Neves et al. 2001; Hull and von Gersdorff 2004; Jockusch et al. 2005). A fast dynamin-independent endocytosis has been observed in calyx of Held, dorsal root ganglion and SCG neurons (Zhang et al. 2004; Xu et al. 2008; Lu et al. 2009).

1.5.4 Clathrin-Mediated Endocytosis

Some general principles apply to clathrin-mediated endocytosis in all cellular contexts (Conner and Schmid 2003; Jung and Haucke 2007; Dittman and Ryan 2009;

Kirchhausen 2009; McMahon and Boucrot 2011). The nucleation of a clathrin-coated pit starts with the interaction of clathrin adaptors and/or a subset of their accessory factors with the lipid bilayer and with membrane proteins. In this nucleation stage, obligatory intrinsic components of the vesicle membrane (Koo et al. 2011; Miller et al. 2011), such as those that direct vesicle traffic, are likely to play a dominant role. Subsequently, interactions of the adaptors with each other, with other accessory factors, with cargo proteins, and with clathrin lead to the rapid growth of the coat in a feedforward, cooperative fashion (see Chap. 10). Eventually, a deeply invaginated clathrin-coated bud with a narrow neck is formed. Fission of this neck in a reaction that requires the GTPase dynamin (Koenig and Ikeda 1989; Conner and Schmid 2003; Ferguson and De Camilli 2012) leads to a free vesicle that rapidly uncoats.

1.5.4.1 Clathrin Coat

The slow mode of endocytosis is operated by the classic clathrin-coated pits (Heuser and Reese 1973; Granseth et al. 2006). The clathrin coat is composed of two layers: an inner layer of adaptors and an outer clathrin layer. The clathrin adaptors typically comprise a membrane-binding folded module and flexible arms, which may terminate in an additional small folded module (Edeling et al. 2006). The folded module binds cytoplasmically exposed domains or endocytic “motifs” of vesicle membrane proteins as well as the head group of PI(4,5)P₂, a phosphoinositide concentrated in the plasma membrane (Beck and Keen 1991; Traub 2003; Owen et al. 2004; Zoncu et al. 2009; Jackson et al. 2010). The arms bind clathrin heavy chain as well as other adaptors and endocytic factors (Edeling et al. 2006; Schmid and McMahon 2007). Their elongated flexible unfolded structure is optimally suited to capture these proteins in the cytosol and to help concentrate them at growing coated buds. The most abundant adaptor is AP-2, a member of a heterotetrameric family of adaptors that interacts with tyrosine-based endocytic motifs (Bonifacino and Traub 2003), such as the one present in the synaptic vesicle protein SV2 (Haucke and De Camilli 1999), and with dileucine-based motifs, such as those in vesicular neurotransmitter transporters (Bonifacino and Traub 2003; Fei et al. 2008). The other major synaptic endocytic clathrin adaptor is AP180 (Morgan et al. 2000; Ford et al. 2001). Another adaptor is stonin 2 that along with AP-2 plays a role in the internalization of synaptotagmin, the Ca²⁺ sensor of the synaptic vesicle (Zhang et al. 1994; Walther et al. 2001, 2004). Several other proteins with endocytic adaptor properties have been identified, for example, Epsin (Ford et al. 2001; Boucrot et al. 2012), Dab (Morris and Cooper 2001), ARH (He et al. 2002), β-arrestin (Lin et al. 1997), etc. However, so far these proteins have not been shown to play a major role in synaptic vesicle recycling (Yoshihara and Littleton 2002).

In addition to the core components of the coat, i.e., the adaptors and clathrin, several factors that assist and/or regulate the nucleation, loading, and dynamics of endocytic clathrin-coated pits were identified (Slepnev and De Camilli 2000;

Dittman and Ryan 2009; McPherson 2010). Some of these proteins, collectively referred to as clathrin accessory factors (Slepnev and De Camilli 2000) may be considered core components of the coat. Modules often present in such factors include SH3 domains, BAR domains, ENTH/ANTH, and PH domains, whereas short amino acid motifs include proline-containing motifs (for SH3 domain binding), NPF motifs (for EH domain binding), clathrin boxes (for clathrin binding), and several motifs that recognize the appendage domains of the clathrin adaptor AP-2 (Slepnev and De Camilli 2000; Dittman and Ryan 2009; McPherson 2010). The relatively low affinity involved in the interactions mediated by these modules and motifs (low micromolar range) in clathrin accessory factors is ideally suited to allow the rapid formation and disassembly of molecular networks (Yoshihara and Littleton 2002).

1.5.4.2 Clathrin Uncoating

After fission, newly generated clathrin-coated vesicles rapidly shed their coat proteins. Clathrin shedding is ATP dependent and requires Hsc70 ATPase and its cofactor auxilin (Guan et al. 2010; Xing et al. 2010; Yim et al. 2010), whereas shedding of the adaptors is dependent on PI(4,5)P₂ hydrolysis by the PI(4,5)P₂ phosphatase synaptojanin. Genetic disruption of synaptojanin 1, or of endophilin, produces a striking accumulation of clathrin-coated vesicles in nerve terminals and delayed recycling kinetics in mice, and also in worms and flies (Cremona et al. 1999; Schuske et al. 2003; Wu 2004; Dickman et al. 2006; Hayashi et al. 2008; Milosevic et al. 2011). The combined action of Hsc70 and synaptojanin makes the uncoating reaction irreversible (Yoshihara and Littleton 2002). How these two reactions are coordinated? Although auxilin binds clathrin and AP-2, auxilin recruitment to budding coated vesicles requires its tensin homology domain. The tensin homology domain binds membrane monophosphoinositides (Guan et al. 2010), suggesting that the catalytic action of synaptojanin 1 may mediate such coordination. Conversion of PI(4,5)P₂ to PI4P by synaptojanin would not only remove membrane-binding sites for the clathrin adaptors but also generate binding sites for auxilin (Guan et al. 2010).

1.5.5 Membrane Fission

The physical separation of the clathrin-coated bud to generate a free vesicle requires the GTPase dynamin (Praefcke and McMahon 2004; Ferguson and De Camilli 2012). Dynamin oligomerizes into spirals at bud necks and mediates fission in a process involving GTP hydrolysis (Hinshaw and Schmid 1995; Takei et al. 1995). Dynamin recruitment occurs as pits mature to their deeply invaginated state (Merrifield et al. 2002). The PH domain of dynamin, which binds acidic phospholipids, PI(4,5)P₂, and the proline-rich carboxy-terminal region, which

functions as a protein-protein interaction domain, are important for dynamin's function and recruitment (Okamoto et al. 1997; Shupliakov et al. 1997). BAR domain-containing proteins and proteins that may help coordinate formation of the vesicle neck with the recruitment of dynamin bind this region (generally through SH3 domains) (Itoh and De Camilli 2006). BAR proteins that bind dynamin often also bind the PI(4,5)P₂ phosphatase synaptojanin and or N-WASP, thus suggesting a functional partnership between these proteins (Itoh and De Camilli 2006; Takenawa and Suetsugu 2007). However, genetic studies in mice support a primary uncoating function of synaptojanin (Cremona et al. 1999; Hayashi et al. 2008; Milosevic et al. 2011). Concerning N-WASP, its activation in proximity of dynamin (Kessels and Qualmann 2002; Koch et al. 2011) may reflect a role of actin in neck formation/elongation or in fission, by providing tension at bud necks, or in propelling newly formed vesicles away from endocytic sites (Yoshihara and Littleton 2002).

Dynamin binds to filamentous actin (Schafer et al. 2002), suggesting possible interdependencies between dynamin and actin during clathrin-mediated endocytosis (Mooren et al. 2009). The requirement for actin during clathrin-mediated endocytosis is, however, a point of controversy (Shupliakov et al. 2002; Sankaranarayanan et al. 2003; Bourne et al. 2006; Schmid and McMahon 2007; Taylor et al. 2012). Increased membrane tension partially inhibits compensatory endocytosis, and actin appears to regulate endocytosis during changes in membrane tension (Boulant et al. 2011), suggesting that the requirement for actin during endocytosis may vary with activity (Heidelberger et al. 2002) and may be transient (Merrifield et al. 2002). Depletion of dynamin perturbed organization of the actomyosin cytoskeleton in U2-OS cells, implicating dynamin in remodeling actin filaments for orchestrating the global actomyosin cytoskeleton in vivo (Mooren et al. 2009). The capacitance measurements of presynaptic terminal membrane of calyx of Held synapses demonstrated that block of myosin II activation by myosin light chain kinase inhibitors slowed down both fast and slow forms of endocytosis but not affected exocytosis (Yue and Xu 2014), suggesting that myosin may regulate vesicle scission through actin and dynamin mediation. Furthermore, activity-dependent markers FM1-43 and horseradish peroxidase revealed that myosin IIB inactivation greatly slowed vesicular replenishment of the recycling pool, suggesting that myosin IIB-driven membrane tension or actin dynamics regulate the major pathway for synaptic vesicle retrieval (Chandrasekar et al. 2013).

1.6 Synaptic Vesicle Recycling Pathways

Two pathways for synaptic vesicles in the vesicle cycle have been defined: a pathway where the vesicles recycle via an endosomal intermediate after endocytosis (endosomal recycling) and a shorter pathway where vesicles recycle directly

without a trafficking intermediate (local recycling) (Sudhof 1995). These two cycles are sometimes presented as mutually exclusive alternatives, and the existence of the endosomal pathway has been doubted. However, strong evidence suggests that both pathways are employed in parallel, although at different rates (Sudhof 2004). Three lines of evidence implicate an endosomal intermediate in the recycling of some synaptic vesicles. First, endosomes are observed morphologically after extensive stimulation of nerve terminals, suggesting that at least a subset of vesicles fuse with endosomes after endocytosis (Sudhof 1995). Second, the majority of synaptic vesicles contain Rab5 that mediates endosome fusion (Fischer von Mollard et al. 1994), suggesting that these vesicles pass through endosomes. Third, synaptic vesicles contain high concentrations of the SNARE Vti1a, which functions in membrane fusion involving endosomes but not in membrane fusion at the plasma membrane, indicating that the majority of vesicles recycle via endosomes at some point in their lifetime (Antonin et al. 2000).

Direct local recycling of synaptic vesicles without an endosomal intermediate is also supported by multiple lines of evidence. In classical experiments, Whittaker and colleagues (Jones et al. 1982) demonstrated that after stimulation of nerve terminals, a small subset of vesicles becomes preferentially filled with newly synthesized neurotransmitters. Furthermore, this vesicle subset is localized close to the membrane and is the first to undergo exocytosis upon stimulation (Barker et al. 1972). These observations indicate that synaptic vesicles can be divided into a smaller subpopulation of active recycling vesicles and a larger population of reserve vesicles. The concept of local recycling without endosomal intermediates was proposed with the observation that synaptic vesicles labeled with FM1-43 dye during endocytosis are subject to re-exocytosis without dilution of the dye (Murthy and Stevens 1998). This result is consistent with the notion that the vesicles in the recycling pool do not pass through endosomes as an intermediate.

The third vesicle cycle in the nerve terminal called “reuse” was proposed by using FM dyes with fast and slow dissociation constants (Klingauf et al. 1998). This “reuse” pathway is that after exocytosis, the fusion pores of the vesicles in the RRP close quickly, the vesicles refill with neurotransmitters immediately, and the vesicles are then re-primed for another round of exocytosis without ever leaving the active zone (Pyle et al. 2000). This “reuse” pathway results in very fast turnaround of vesicles after exocytosis, providing an attractive idea in view of the economy. The concept of the “reuse” pathway is based on two critical findings. First, vesicles in the RRP loaded with FM dyes showed an extremely short time of fusion pore opening, so short the regular FM1-43 dye was not released, but the faster dissociating dye FM2-10 was released. In contrast to this differential destaining reaction, fusion of vesicles recruited from the RP showed much longer times of fusion pore opening and equivalent dissociation of FM1-43 and FM2-10 dyes. Second, after depletion of the RRP, this pool refills much faster than can be explained by repopulation from the reserve pool. Thus, vesicles in the RRP recover the ability to re-exocytose locally without recycling through the RP.

1.7 Synaptic Vesicle Refilling and Storage

1.7.1 *Synaptic Vesicle Refilling*

For recycled synaptic vesicles to be reused, vesicles must be fully refilled with neurotransmitter. At hippocampal glutamatergic synapses, vesicle refilling is completed during vesicle recycling (Zhou et al. 2000), suggesting that vesicle refilling could occur in milliseconds (Sudhof 2004). Glutamate is taken up into vesicles via vesicular glutamate transporters (VGLUTs) (see Chap. 12) using H^+ gradient (see Chap. 7) and membrane potential. The time constant for vesicle acidification estimated in hippocampal cell culture is 0.4 s (Gandhi and Stevens 2003) or 4–5 s (Atluri and Ryan 2006).

Transporters responsible for vesicular uptake of classical neurotransmitters from the cytoplasm belong to three distinct families, one for monoamines (vesicular monoamine transporter, VMAT) and acetylcholine (vesicular acetylcholine transporters, VACHT), another for transmitters such as GABA (vesicular GABA transporter, VGAT) (Reimer et al. 1998), and a third for glutamate (VGLUT) (Bellocchio et al. 2000).

There are two VMAT isoforms, VMAT1 and VMAT2, encoded by separate genes and displaying different cellular distributions and pharmacological properties (Erickson et al. 1992; Liu et al. 1992b). In rodents, VMAT1 is expressed predominantly in neuroendocrine cells (e.g., adrenal medulla, small intensely fluorescent cells), while VMAT2 is expressed in peripheral, central, and enteric neurons (Weihe et al. 1994; Peter et al. 1995). The two VMATs also differ in substrate affinity. VMAT2 has three times higher affinity for dopamine, noradrenaline, and adrenaline than VMAT1 and 100 times greater affinity for histamine. In addition, VMAT2 has a higher turnover number than VMAT1, which is especially appropriate for rapidly recycling vesicles (Peter et al. 1995; Erickson et al. 1996). Heterotrimeric G proteins have been shown to regulate the monoamine uptake and thus content of both large dense core and small synaptic vesicles. VMAT2 is more sensitive to G-protein regulation than VMAT1 (Holtje et al. 2000).

The VACHT gene is localized to the first intron of the choline acetyltransferase (ChAT) gene (Bejanin et al. 1994; Erickson et al. 1994). Both ChAT and VACHT genes are in the same transcriptional orientation and both are required to express the cholinergic phenotype. There are two classes of VACHT mRNAs in the rat, R- and V-types, encoding the same VACHT protein. The R-type VACHT mRNAs contain common 5'-noncoding sequences (exon R) with two ChAT mRNAs and may therefore be transcribed from the same promoter (Bejanin et al. 1994). The V-type mRNA species differs from the R-type mRNAs by the 5'-noncoding sequences (Bejanin et al. 1994; Erickson et al. 1994). However, the molecular mechanism by which the V-type mRNA species is produced has not been clearly elucidated.

There are four cloned isoforms of VGAT in rat and human, termed GAT1-4. Among four isoforms, GAT-1 is localized primarily on presynaptic terminals

of GABAergic neurons (Dingledine and Korn 1985; Conti et al. 1998). Each of the GABA transporter isoforms is a member of the family of Na^+/Cl^- -coupled transporters. GAT-1 cotranslocates two sodium ions and one chloride ion with each uncharged GABA molecule (Dingledine and Korn 1985) and is therefore electrogenic, carrying on positive charge into the vesicle. The VGAT is also responsible for glycine uptake in glycinergic neurons (Christensen et al. 1990; Burger et al. 1991). Probably, the biosynthetic enzyme glutamic acid decarboxylase (GAD), which is concentrated on the vesicle surface (Reetz et al. 1991), creates high amounts of GABA that are preferentially loaded into the vesicles in GABAergic neurons (Angel et al. 1983). In contrast, the VGLUT transports glutamate much better than aspartate and thus discriminates between these two transmitter candidates.

Two Na^+ - and Cl^- -dependent glycine-specific transporters, GlyTs, were identified in rat brain vesicles (Mayor et al. 1981). These observations were confirmed by the successive cloning of two closely related members of the Na^+/Cl^- -coupled transporter family (GlyT1 and GlyT2a) (Guastella et al. 1992; Liu et al. 1992a; Smith et al. 1992). Five GlyT1 variants (a, b, c, e, f) have been described (Guastella et al. 1992; Liu et al. 1992a). A second GlyT2 isoform (GlyT2b), which differs by five amino acids in the N-terminal and does not transport glycine, has also been reported (Ponce et al. 1998).

Three vesicular glutamate transporters, VGLUT1-3, are encoded by solute carrier genes *Slc17a6-8*. VGLUT1 (*Slc17a7*) and VGLUT2 (*Slc17a6*) are expressed in glutamatergic neurons, while VGLUT3 (*Slc17a8*) is expressed in neurons classically defined by their use of another transmitter, such as acetylcholine and serotonin. VGLUT1 and VGLUT2 are considered the most reliable markers for glutamatergic neurons. Their expression pattern is to a large extent complementary, with VGLUT1 mainly expressed in the cerebral and cerebellar cortex and hippocampus and VGLUT2 mainly expressed in deeper brain regions including the thalamus and the brainstem (Fremeau et al. 2001; Herzog et al. 2001; Kaneko and Fujiiyama 2002). The spatial distribution is not absolute, however; VGLUT2 is, for example, expressed in subpopulations of the cerebral cortex and hippocampus throughout life. There is also a temporal difference in VGLUT isoform expression, where VGLUT2 is most abundantly expressed during embryonic and early postnatal development, where after VGLUT1 becomes the dominating isoform in certain brain areas (Miyazaki et al. 2003).

In contrast to the VMATs and VAcHT, which rely chiefly on the pH gradient (ΔpH) across the vesicle membrane to drive active transport, VGLUT depends almost entirely on the electrical gradient ($\Delta\psi$), vesicular GABA transport depends equally on $\Delta\psi$ and ΔpH , and vesicular glycine transport resembles vesicular GABA transport in the bioenergetics mechanism (Fykse and Fonnum 1996, 1988; Maycox et al. 1990). However, competition studies using synaptic vesicles from different brain regions have not definitively resolved whether a single transporter packages both GABA and glycine or distinct proteins package the two transmitters (Kish et al. 1989; Christensen et al. 1990; Burger et al. 1991).

1.7.2 *Synaptic Vesicle Storage*

Refilled synaptic vesicles are organized in two distinct vesicle clusters, a large RP (reserve pool) and a quantitatively smaller RRP (readily releasable pool) in which synaptic vesicles contact the presynaptic membrane and eventually fuse with it upon action potential firing (Neher 1998; Sudhof 2004). In the RP synaptic vesicles are restrained by the actin-based cytoskeleton. The synapsins are members of a multigene family of synaptic vesicle-specific phosphoproteins that are implicated in the regulation of neurotransmitter release and synapse formation (De Camilli et al. 1990). Accumulated evidence has demonstrated that the synapsins are both necessary and sufficient for synaptic vesicles to bind actin filaments and are responsible for the formation and maintenance of synaptic vesicle clusters in the nerve terminal (Ceccaldi et al. 1995; Pieribone et al. 1995; Valtorta et al. 1995) (see Chap. 13). Modulation of synaptic vesicles and actin binding after synapsin phosphorylation by CaMKII (Bahler and Greengard 1987; Benfenati et al. 1992; Ceccaldi et al. 1995), PKA, and mitogen-associated protein kinase Erk 1/2 (Jovanovic et al. 1996; Nielander et al. 1997; Hosaka et al. 1999) controls the transition of synaptic vesicles from the RP to the RRP, thus regulating the efficiency of neurotransmitter release.

In hippocampal neurons, synapsin I dissociates from synaptic vesicle and disperses into the axon during firing, whereas it reclusters upon return to the resting state, and the dispersion of the proteins depends on phosphorylation by CaMK and extracellular signal-regulated kinase (Chi et al. 2001, 2003). Injection of synapsin I into developing neurons stimulated the maturation of quantal neurotransmitter release and increased clustering of synaptic vesicles (Lu et al. 1992; Valtorta et al. 1995). In contrast, dysfunction of endogenous synapsins by the intracellular injection of anti-synapsin antibodies disrupted clusters of synaptic vesicles, decreased neurotransmitter release evoked by high-frequency stimulation in the lamprey giant reticulospinal neurons (Pieribone et al. 1995), and induced the disappearance of posttetanic potentiation, appearance of posttetanic depression, and increased synaptic depression in *Aplysia* ganglion neurons (Humeau et al. 2001). Furthermore, mutant mice lacking synapsin I, synapsin II, or both decreased the number of synaptic vesicle and the maximal release of neurotransmitters, induced depression during high-frequency stimulation, and increased recovery times after synaptic depression (Li et al. 1995; Rosahl et al. 1995; Ryan et al. 1996a). Together, synapsin is responsible for formation of the storage of refilled vesicles under the control of Ca^{2+} -dependent CaMKII activity (see Chap. 13).

1.8 Synaptic Vesicle Pools and Trafficking

1.8.1 *Synaptic Vesicle Pools*

Recycling synaptic vesicles in the presynaptic terminal are clustered in two distinct pools, a large reserve pool (RP) and a quantitatively smaller readily releasable pool

(RRP) (Sudhof 1995, 2004; Neher 1998) (see Chap. 14). The RP size is regulated by synapsin under the control of Ca^{2+} -dependent CaMKII activity (Llinás et al. 1991) (see Sect. 1.7.2), while the RRP size is regulated in turn by active zone proteins complex (Sudhof 2004, 2012) (see Sect. 1.3.2). The RRP size estimated by a cumulative plot of the excitatory postsynaptic currents (EPSCs) amplitudes evoked by presynaptic high-frequency stimulation is smaller than that estimated by the application of a hypertonic sucrose solution or presynaptic strong depolarization (Moulder and Mennerick 2005; Sakaba 2006; Stevens and Williams 2007). This discrepancy reflects the presence of reluctant synaptic vesicles, which are scarcely released by an action potential at glutamatergic synaptic terminals, as well as at cholinergic SCG neuron terminals (Ma et al. 2009). Consistently, deconvolution analysis of EPSCs evoked by a long depolarizing pulse at the calyx of Held revealed that release-competent of synaptic vesicles can be separated into fast- and slow-releasing vesicle pools (Sakaba and Neher 2001). The vesicles in the fast-releasing pool are responsible for phasic release during a high-frequency train of action potentials, whereas vesicles in the slow-releasing pool contribute primarily to asynchronous release, when the intracellular concentration of calcium ions is increased globally during the late period of the train (Sakaba 2006). Thus, vesicles in the slow-releasing pool can be classified as reluctant synaptic vesicles at the calyx of Held. The coupling between synaptic vesicles and Ca^{2+} channel in the active zone determines the fast- and slow-releasing pools (Wadel et al. 2007).

The RRP of synaptic vesicles is the most important vesicle pool for neurotransmitter release. However, this pool constitutes only a tiny fraction of the total vesicle pool. The RRP is a component of the recycling pool of vesicles, defined as all vesicles that can be labeled with FM1-43 when synapses are stimulated extensively. After the RRP depletion with extensive stimulation, vesicles are recruited for exocytosis from the RP to the active zone. The RRP and the RP together constitute the recycling pool. The total recycling pool has been estimated at 21–25 vesicles/synapse in hippocampal cultures, with ~17–20 vesicles in the RP (Murthy and Stevens 1999). Presynaptic terminals thus contain a small number of active vesicles (the recycling pool), of which a third is in the RRP. Since morphologically the same synapses were shown to contain ~200 synaptic vesicles (Shupliakov et al. 1997), the size of the recycling pool is surprisingly small, with the majority of synaptic vesicles being inactive, a resting pool (Sudhof 2000). The smallest pool is the most active in release, while the largest pool appears to participate in release very little under regular conditions (see Sect. 1.6).

1.8.2 Synaptic Vesicle Trafficking

Synaptic vesicles trafficking into the RRP is regulated by actin and myosin II motors in response to action potential firing activity (Mochida et al. 1994a; Lee et al. 2012), in addition to the vesicle trafficking into the RP (Chandrasekar et al. 2013). Posttetanic potentiation at the calyx of Held synapse is caused by

increases not only in release probability but also in the RRP size estimated from a cumulative plot of EPSCs amplitudes (see Sect. 1.8.1), which contribute to the augmentation phase and the late phase of posttetanic potentiation, respectively, suggesting that synaptic vesicles in the fast-releasing pool was increased. Increase in the size of fast-releasing pool coupled to a decrease in the size of slow-releasing pool, indicating that the pools can be converted for each other (Sakaba and Neher 2001; Lee et al. 2012). The posttetanic complementary changes of fast- and slow-releasing pool sizes were abolished by inhibitors of myosin II or myosin light chain kinase (Lee et al. 2012). In SCG neuron, after the RRP depletion with extensive action potential firing, the rate of the RRP replenishment was slowed with myosin IIB loss of function (Hayashida and Mochida, unpublished data). Together, these data suggest that, responding to high firing activity, myosin II mediates mobilization of synaptic vesicles from the RP to the RRP, supplying active vesicles.

In SCG neuron, rapid-on-rate Ca^{2+} -chelator BAPTA reduced the RRP size in a vesicle-use-dependent manner (Mori et al. 2014), suggesting the existence of a low-affinity Ca^{2+} sensor in RRP replenishment that acts via fast endocytosis. A candidate sensor may be synaptotagmin that mediates endocytosis in *Drosophila* neuromuscular synapse and mammalian cortical synapses (Poskanzer et al. 2003; Nicholson-Tomishima and Ryan 2004). The rapid replenishment of the RRP in the SCG neurons is delayed with myosin VI loss of function (Hayashida and Mochida, unpublished data), suggesting that distinct motor protein bears specifically different synaptic vesicle trafficking pathways.

1.9 Conclusion

The presynaptic terminal is a tiny space where thousands of proteins (Takamori et al. 2006) are orchestrated by a conductor, action potential, to generate a chemical signal of neurotransmitter released from a synaptic vesicle. Between the action potential firing, presynaptic proteins complexes play a role in each synaptic vesicle phase, endocytosis, refilling of vesicles with transmitters, vesicle storage, trafficking of vesicle to the active zone, and docking and priming at the release site. The reader of this book will be impressed strongly by the attractive harmony of well-organized proteins' actions in each chapter.

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Part II
Presynaptic Active Zone Proteins

Chapter 2

Active Zone Assembly

Thomas Dresbach

Abstract The formation of neurotransmitter release sites, or active zones, is a fundamental process in neuronal development. It allows neurons to communicate with their targets through the regulated exocytosis of neurotransmitter. To this end, active zones, including a complex network of presynaptic scaffolding proteins called the cytomatrix of active zones, have to be formed at defined sites inside an axon. This poses a substantial challenge to cellular transport and assembly mechanisms, because a complex network of cytomatrix proteins has to be formed exactly opposite postsynaptic specializations. A large number of proteins have been implicated in active zone assembly, but how these proteins interact to mediate the transport, recruitment, and organization of the cytomatrix network has remained unclear.

Here I will review and discuss current notions of the various steps required to ultimately form active zones in axons of vertebrate and invertebrate neurons. To execute the whole process, the cell biological machinery of a neuron appears to generate organelles designed for the transport of cytomatrix components; these organelles then undergo trafficking events that are regulated to ensure the deposition of cytomatrix material at nascent active zones. Local signals act to both capture and release cytomatrix material at active zones in a controlled manner. Cell adhesion molecules allow for transsynaptic signalling, to synchronize pre- and postsynaptic assembly. Various cell adhesion systems may cooperate to mediate the local assembly of active zones and to endow each active zone with its specific functional properties. Together, these events act to coordinate the process of active zone assembly, thus generating the output sites for synaptic signalling.

Keywords CAZ, cytomatrix of active zones • PTVs, Piccolo-Bassoon transport vesicles • Precursor trafficking • Axonal pause sites • Synaptic cell adhesion molecules • Neurexins, receptor protein tyrosine phosphatases

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2.1 Introduction

Synapses are asymmetric cell-cell contact sites designed for the rapid communication between neurons, occurring on a millisecond time scale. Their structural asymmetry correlates with functional asymmetry: the presynaptic side is specialized for the regulated, depolarization- and calcium-dependent exocytosis of neurotransmitter, while the postsynaptic side is specialized for the detection of neurotransmitter by clustered neurotransmitter receptors. Neurotransmitter release occurs from axonal specializations called presynaptic boutons, which may be spaced along the length of the axon (“en passant boutons”) or located at the distal ends of axonal arbors (“boutons terminaux”). Each bouton harbors the machinery required for neurotransmitter release, including as component parts (a) synaptic vesicles storing neurotransmitter; (b) a specialized, on average 500 nm diameter, area of the axonal plasma membrane called active zone (Couteaux and Pecot-Dechavassine 1970), where neurotransmitter release can occur; and (c) a network of cytoplasmic scaffolding proteins termed the *cytomatrix* of active zones or CAZ (Dresbach et al. 2001, 2006a). This network of scaffolding proteins restricts neurotransmitter release to active zones and regulates its properties (Schoch and Gundelfinger 2006; Sudhof 2012). The active zone including its associated CAZ are situated directly opposite to an analogous specialization of the postsynaptic plasma membrane: neurotransmitter receptors are clustered in the dendritic or somatic membrane, and a network of cytoplasmic scaffolding proteins termed the *postsynaptic density*, or PSD, acts to recruit, cluster, and regulate postsynaptic receptors (Boeckers 2006). Thus, the CAZ and the PSD are aligned to each other, spaced by the synaptic cleft, and may be connected to each other by transsynaptic interactions bridging the synaptic cleft. This is also suggested by the fact that a complex of proteins of the CAZ and the PSD is preserved in biochemical preparations (Langnaese et al. 1996; Phillips et al. 2001).

The organization of the axon as a cable with multiple presynaptic boutons allows for long-range connectivity of a presynaptic cell with a large number of postsynaptic partners. This organization poses several challenges to the underlying cell biological mechanisms: first, the neuron has to transport presynaptic material into the axon and provide modes for its selective deposition in boutons. Whatever the mechanisms are, motor and adaptor proteins providing axonal transport as well as cytoskeletal elements and signals allowing for local accumulation of synaptic material in boutons must be involved (Sabo et al. 2006; Maeder et al. 2014). Second, the assembly and maintenance of the presynaptic machinery must occur directly opposite postsynaptic sites. It is likely that cell adhesion molecules and transsynaptic signalling events – including direct physical interactions and diffusible factors – have a role in local synapse assembly and maintenance (Shen and Scheiffele 2010; Brose 2013; Chia et al. 2013). In addition, increasing the half-life of protein complexes after their initial assembly – for example, by locally slowing protein degradation at active zones – may be involved in active zone maintenance (Waites et al. 2013). Third, the complex architecture of the presynaptic apparatus,

including synaptic vesicle clusters, docked synaptic vesicles, and the CAZ itself, has to be assembled within any presynaptic bouton. Current notions hold that this involves specialized precursor organelles that deliver sets of pre-assembled molecular complexes in a modular fashion (Fejtova and Gundelfinger 2006; Oswald and Sigrist 2009).

2.2 Structure and Molecular Architecture of the CAZ

The CAZ is a key component of presynaptic bouton architecture. It is both an ultrastructural signature and a functional pivot: as outlined below, the proteins of the CAZ may determine the site of neurotransmitter release. In electron microscopy, the CAZ becomes visible as a prominent electron-dense meshwork, both in sections of brain tissue and in biochemical fractions of synaptic junctions. Based on its ultrastructural appearance it has also been termed the presynaptic particle web or presynaptic grid (Gray 1963; Triller and Korn 1985; Pfenninger et al. 1969; Phillips et al. 2001). This is because using aldehyde fixation and phosphotungstic acid staining, regularly spaced electron-dense projections seem to arise from the plasma membrane, with synaptic vesicles located between them in close apposition to the plasma membrane. Using quick-freeze methods, which work without aldehyde fixation and are thought to preserve synapses in a close to native state, filaments of various lengths became visible, some of them apparently cross bridging synaptic vesicles, while others seem to emerge from the plasma membrane, potentially tethering synaptic vesicles to the active zone (Landis et al. 1988; Hirokawa et al. 1989; Tatsuoka and Reese 1989; Gotow et al. 1991). Using aldehyde-free fixation and electron tomography, at least two types of material have recently been detected that may physically link synaptic vesicles to the active zone: about 50–100 nm long filaments and structures less than 20 nm long with an average length of 5 nm (Siksou et al. 2007; Fernandez-Busnadiego et al. 2010; Siksou et al. 2011). Both types of material appear to tether synaptic vesicles to the active zone, but their molecular identity has not been revealed. The presynaptic scaffolding protein Bassoon (Chap. 3, Figs. 3.1 and 3.4) may represent one of the longer filaments: using fluorescence nanoscopy Dani and colleagues found that a C-terminal epitope of Bassoon is located about 50 nm away from the synaptic cleft, while a more N-terminally located epitope is located 80 nm away, suggesting that at least part of Bassoon is filamentous (Dani et al. 2010). The shorter tethers may represent parts of the machinery that keeps a pool of synaptic vesicles docked at the active zone plasma membrane in a fusion competent state (Siksou et al. 2009, 2011; Fernandez-Busnadiego et al. 2010).

In molecular terms, the CAZ is thought to represent a supramolecular complex where all CAZ-specific proteins are directly or indirectly connected to each other (Schoch and Gundelfinger 2006; Wang et al 2009) (Chap. 3, Figs. 3.1 and 3.4). Some CAZ proteins have been shown, by electron microscopy, to be highly enriched or even exclusively localized at active zones, including RIM (Wang

et al. 1997), Bassoon (tom Dieck et al. 1998), and Piccolo/Aczonin (Cases-Langhoff et al. 1996; Wang et al. 1999; Fenster et al. 2000), CAST/ELKS2 (Ohtsuka et al. 2002), and Munc13-1 (Limbach et al. 2011), and their ultrastructural distribution relative to each other has been studied in detail (Limbach et al. 2011). Of these, Bassoon and Piccolo occur only in vertebrates, while the others occur also in invertebrates. Other proteins with important CAZ-related functions, such as RIM-BPs and Syd2/liprins, are less well characterized with respect to their exact localization. Notably, SNARE proteins (Chap. 4) and sec1/Munc18 proteins (SM proteins), which are essential for neurotransmitter release, occur within the CAZ and along non-synaptic areas of the neuronal plasma membrane (Garcia et al. 1995). How then, is neurotransmitter release restricted to active zones? One obvious answer is provided by the fact that Munc13 proteins, a family of multifunctional CAZ proteins, are essential for making synaptic vesicles fusion competent in a process called priming (Augustin et al. 1999; Brose et al. 2000; Varoqueaux et al. 2002). RIM recruits Munc13 to active zones and activates its fusion-competence conferring action (Andrews-Zwilling et al. 2006; Deng et al. 2011). Thus, although additional factors may recruit synaptic vesicles to active zones, the action of RIM as a Munc13 activator and the action of Munc13 in making synaptic vesicles fusion competent suffice to explain why synaptic vesicle exocytosis cannot occur anywhere else than at active zones. The process of priming appears to involve the formation of small, 2.5 nm bridges tethering synaptic vesicles to the plasma membrane (see above) and may thus be manifested morphologically as docking (Siksou et al. 2009, 2011; Fernandez-Busnadiego et al. 2010).

Overall, the molecules of the CAZ complex serve to (1) dock and prime synaptic vesicles through RIM and Munc13 proteins; (2) recruit voltage-gated calcium channels through RIM, RIM-binding proteins, and Bassoon; and (3) mediate pre-synaptic plasticity, e.g., through actions of RIM, Munc13, and Bassoon (Gundelfinger and Fejtova 2012; Sudhof 2012, 2013). The features and functions of CAZ proteins are described in detail in this book Chap. 3. Their pivotal importance for determining the exact site of neurotransmitter release and for regulating transmitter release in the context of synaptic plasticity raises the question as to how the CAZ is formed. This can be broken down to more specific questions, including: (a) How is CAZ material delivered to nascent synapses? (b) How is the site of CAZ assembly determined? (c) What does it take to form a fully functional CAZ?

2.3 Generation and Trafficking of CAZ Precursors

CAZ proteins do not have transmembrane regions. Thus, there is no principle need for them to be trafficked through the secretory pathway. However, there is a considerable body of evidence suggesting that at least some CAZ proteins require transport on the surface of vesicles. The delivery of CAZ proteins to the plasma

membrane of nascent synapses is an integral part of active zone assembly, because it is directly related to questions such as: Are CAZ complexes preformed and merely deposited at the active zone? How does the deposition occur? Do sizable units of CAZ proteins enter the nascent synapse or are individual CAZ molecules added in a gradual fashion? Current notion holds that CAZ proteins are transported along axons as packets detectable by fluorescence microscopy, that these packets are distinct from other packets harboring synaptic vesicle precursors, and that, despite their principally independent mobility, both types of packets intermittently “meet” at axonal pause sites before they accumulate at a nascent synapse. In mammalian neurons, Bassoon, Piccolo, and ELKS2 appear to travel on the surface of a specialized class of dense core vesicles termed Piccolo-Bassoon transport vesicles (PTVs). These vesicles differ from synaptic vesicle precursor vesicles, which carry a comprehensive set of synaptic vesicle proteins (Ahmari et al. 2000). The concept of PTVs carrying CAZ material has also been applied to explain CAZ trafficking in zebrafish (Easley-Neal et al. 2013) and invertebrate model systems such as *C. elegans* and *Drosophila* (Goldstein et al. 2008; Oswald and Sigrist 2009; Maeder et al. 2014), but which CAZ proteins or sets of CAZ proteins are transported by dense core vesicles in these systems is not clear.

The largest body of data analyzing CAZ trafficking exists for mammalian PTVs. These 80 nm dense core vesicles were originally identified in embryonal rat brain through immunoisolation using anti-Piccolo antibodies (Zhai et al. 2001; Shapira et al. 2003). Axonal PTVs carry not only Piccolo and Bassoon, but also Munc13-1, ELKS2/CAST1/ERC2, and RIM1- α (Ohtsuka et al. 2002; Shapira et al. 2003; Takao-Rikitsu et al. 2004; Maas et al. 2012), suggesting that they may transport preassembled CAZ material. Bassoon, Piccolo, and ELKS2 also colocalize at the level of the Golgi apparatus and require a functional Golgi apparatus for trafficking, suggesting that PTVs are generated at Golgi compartments and that parts of the CAZ may be preassembled at this early stage of the secretory pathway (Dresbach et al. 2006a, b; Maas et al. 2012). In fact, Piccolo and Bassoon appear to be required for the generation of PTVs at the Golgi apparatus. In contrast, Munc13-1 requires a distinct type of Golgi-derived vesicle, and RIM1- α does not associate with the Golgi apparatus at all. Instead, RIM1- α binds to a post-Golgi membranous compartment (Maas et al. 2012). Nonetheless, Munc13-1 and RIM1- α are associated with Bassoon and Piccolo packets in axons, suggesting that PTV generation involves an initial step followed by maturational steps: Bassoon and Piccolo, acting at the level of the Golgi apparatus, seem to drive the biogenesis of primordial PTVs that also contain ELKS2, while Munc13-1 and RIM1- α are recruited to PTVs later.

The possibility that PTVs may undergo maturational steps, by which they acquire their full set of proteins, poses a difficulty to a clear definition for what may be termed a “PTV” in an experimental observation. However, so far, all data sets are consistent with the assumption that Bassoon – including recombinant versions of Bassoon – are associated with PTVs during all stages of PTV biogenesis, rendering Bassoon a frequently used marker for PTVs. Live imaging studies using GFP-Bassoon have revealed that mobile Bassoon puncta, presumably

PTVs, travel principally independently of synaptic vesicle precursors in axons, but frequently pause at sites where synaptic vesicle precursors pause, too (Bury and Sabo 2011). Co-trafficking and co-pausing of synaptic vesicle precursors with punctate fluorescence signals for CAZ markers UNC-10-GFP, Syd-2, and SAD-1, has also been observed in *C. elegans* axons (Wu et al. 2013). Synaptic vesicle precursor pausing at axonal “stop sites” had been discovered earlier in cultured neurons (Sabo et al. 2006) and has since been observed in axons of live zebrafish and *C. elegans*, too (Easley-Neal et al. 2013; Wu et al. 2013), indicating that this represents in vivo behavior of presynaptic trafficking organelles. The molecular composition of such stop sites has remained elusive, but it has repeatedly been observed that synaptic vesicle precursors and other presynaptic cargo co-pause at these sites, and these sites have also been called “mini synapses” (Maeder et al. 2014). Pausing at such sites may reflect some kind of “probing” of precursor organelles for synaptogenic sites, perhaps including exo- and endocytotic recycling. In cultured neurons, such pause sites frequently become synaptic junctions (Sabo et al. 2006), raising the possibility that they represent predefined specializations of the axonal membrane endowed with the competence to capture synaptic vesicle precursors and PTVs.

In live zebrafish spinal chord axons forming an axosomatic presynaptic nerve terminal, synapsin, VAMP, and N-cadherin each travel as discrete and independently moving packets toward the nerve terminal. Presynaptic accumulation of each of these proteins occurs sequentially over a time course of 90 min, with VAMP arriving first, followed by N-cadherin, and finally Synapsin, thus establishing a time course for the arrival of distinct protein classes at active zones in vivo (Easley-Neal et al. 2013). This time window resembles what has been observed in cultured neurons, where synaptic vesicles and CAZ material accumulate at nascent synapses over 1–2 h, and can be detected within 30 min of the appearance of a new synapse (Friedman et al. 2000). In the zebrafish study, VAMP was used as a marker for synaptic vesicle precursors, while N-cadherin was used as a marker for PTVs, with the caveat that in cultured rodent hippocampal neurons, only 50 percent of N-cadherin reside on PTVs, leaving the possibility open that an N-cadherin containing type of organelles distinct from PTVs was imaged. In any case, this study establishes a time course for the sequential deposition of three proteins at a defined synapse in vivo. While there was little co-trafficking of VAMP and N-cadherin (except at pause sites) in this study, significant co-trafficking of Synaptophysin and Bassoon was observed in cultured hippocampal neurons (Bury and Sabo 2011). This is consistent with electron microscopy analysis performed on the same preparation, which reveals clusters of organelles harboring both clear core vesicles immuno-positive for synaptic vesicle markers and dense core vesicles immuno-positive for Bassoon and Piccolo, presumably representing PTVs. The PTVs were usually located in the center of a cloud of synaptic vesicle precursors (Tao-Cheng 2007). Thus, both live imaging studies and ultrastructural studies suggest that there is significant co-trafficking of PTVs and synaptic vesicle precursors in cultured neurons.

2.4 Regulation of CAZ Delivery

What are the motors and adaptors that may mediate the trafficking of active zone material? *Drosophila* axons of motor neurons lacking the kinesin-3 motor *imac* grow out and contact their target muscle fibers, indicating that other motors than kinesin-3 mediate membrane addition for axonal outgrowth. But both synaptic vesicles and active zone material fail to accumulate in *imac* mutants (Pack-Chung et al. 2007), implicating kinesin-3 in the delivery of active zone material to nerve terminals. However, mechanisms for the precise regulation of anterograde and retrograde transport combined with regulated pausing at stop sites and deposition of material at synaptic sites are needed to fully describe the trafficking of presynaptic material. Dense core vesicles circulate inside the axons of *Drosophila*, in that they travel to the distal axon, return toward the proximal axon, and embark on another round of anterograde traffic. Apparently, they undergo sporadic capture at en passant boutons, and this must be finely regulated to prevent excessive accumulation at a subset of boutons and to guarantee filling of all boutons (Wong et al. 2012). Whether active zone carriers display the same circulation behavior remains to be tested. In addition, what regulates the balance of capture versus loss of material at presynaptic boutons remains to be characterized in detail. In *C. elegans* double deficient in the kinases *cdk-5* and *pct-1*, presynaptic material is mislocalized to dendrites, although transport into the axon is maintained. This points to a role for these kinases in keeping a proper balance between anterograde and retrograde transport (Ou et al. 2010; Goodwin et al. 2012). At axonal pause sites, the monomeric GTPase ARL-8 promotes the dissociation of material, presumably by regulating the association of material with KIF1A motors, while the Jun-kinase pathway and the CAZ protein Syd-2/liprin- α promote the maintenance of material (Wu et al. 2013). Overall, these observations lead to several conclusions: synaptic vesicle precursors and active zone material (presumably carried on dense core vesicles) co-trafficking in *C. elegans* and *Drosophila* axons are captured at pause sites that may become synapses, and the balance of capturing and dissociation regulates both the amount of protein at individual boutons and the filling of en passant boutons of an entire axon. In addition, anterograde and retrograde trafficking have to be finely balanced to allow for net accumulation of presynaptic material in axons. Finally, the local accumulation of active zone material may be regulated both by kinases and by active zone proteins. The latter observation raises the possibility that some active zone proteins arrive earlier at nascent synapses and may be more stable than others. For example, in *C. elegans* and *Drosophila*, the CAZ proteins SYD-2/liprin- α and SYD-1 are required for active zone assembly by acting through ELKS1 (Patel et al. 2006; Dai et al. 2006). In mammals, RIM1 recruits and activates Munc13-1 (Andrews-Zwilling et al. 2006; Deng et al. 2011). Likewise, Bassoon and Piccolo qualify as early and relatively stable organizers of active zones in mammals: both proteins are among the earliest molecules to accumulate at nascent synapses (Friedman et al. 2000; Zhai et al. 2001). In addition, the exchange rates of Bassoon at synapses are significantly lower than those

observed for Munc-13 (Tsurriel et al. 2009). Thus, a combination of regulated capture, time course of arrival, binding affinities, and protein stability may allow certain CAZ proteins to create a platform for the hierarchical recruitment of further CAZ material through protein-protein interactions. It remains to be seen if such hierarchical actions are conserved or modified during evolution and how they interact with the signalling cascades outlined above.

The features of Bassoon and Piccolo can be taken to illustrate current notions of CAZ assembly as emerging for vertebrates: in rat and mouse neurons, Bassoon and Piccolo containing PTVs are transported anterogradely via the motor KIF5b and the adaptor syntabulin (Su et al. 2004; Cai et al. 2007). Syntabulin is a motor adaptor specific for PTVs (and mitochondria; Cai et al. 2005), since perturbing syntabulin function impairs PTV transport while leaving synaptic vesicle protein trafficking unaffected (Cai et al. 2007; Bury and Sabo 2011). Bassoon also binds to dynein light chain directly, and this interaction is crucial for proper trafficking of Bassoon (Fejtova et al. 2009). Thus, the minimum prerequisite for axonal circulation of PTVs, i.e., the interaction with an anterograde and a retrograde motor complex, is given. Remarkably, this scenario includes three of a very small number of vertebrate-specific presynaptic proteins, i.e., Bassoon, Piccolo, and syntabulin. To our knowledge, the only other vertebrate-specific presynaptic proteins are synuclein and the Bassoon interaction partner Mover/SVAP30/TPRGL1 (Kremer et al. 2007; Ahmed et al. 2013), both of which are synaptic vesicle-associated proteins. This raises the possibility that PTV biogenesis, PTV trafficking, and perhaps some interactions of the CAZ with synaptic vesicles may represent mechanisms unique to vertebrates. On the other hand, mammalian PTVs do contain evolutionarily conserved proteins (see below), suggesting that PTV function may build on conserved mechanisms.

2.5 Modes of CAZ Assembly from PTVs

Detailed analyses of the features of PTVs and of GFP-Bassoon yielded further insights into the mechanisms underlying mammalian active zone formation, beyond axonal trafficking and local capture. Overall, these studies suggest that (a) active zones may be assembled from the unitary insertion of a small number of PTVs into the presynaptic membrane; (b) theoretically, this assembly process could readily occur by exocytotic fusion of PTVs with the plasma membrane; and (c) Bassoon and Piccolo act to keep ubiquitin-mediated proteolysis at bay, thus stabilizing active zone material for synapse maintenance. These notions are supported by several lines of evidence: first, biochemical fractions enriched for PTVs include a remarkable set of proteins destined for the plasma membrane of active zones, including voltage-gated calcium channels, the SNAREs syntaxin-1 and SNAP-25, as well as bona fide CAZ proteins, such as RIM1, Munc13, and CAST1, and CAZ-associated proteins such as Munc18-1. In addition, a fraction of N-cadherin seems to reside on PTVs (Zhai et al. 2001; Shapira et al. 2003). This suggests that a

comprehensive set of proteins, including all categories of molecules involved in active zone function, could be delivered to nascent synapses en bloc. Second, mobile puncta of GFP-Bassoon, presumably PTVs en route toward boutons, carry unitary amounts of active zone material: active zones contain integer multiples – on average 2–3 times – of the amount of Bassoon, Piccolo, or RIM1 carried by individual PTVs (Shapira et al. 2003). Thus, active zones may be assembled through the material delivered by 2–3 PTVs. This unitary mode of delivery is distinct from the gradual accumulation of scaffolding proteins and receptors at the postsynaptic density, suggesting that presynaptic active zone assembly may be fundamentally different from the assembly of the postsynaptic machinery in this respect (Bresler et al. 2004). How exactly does the deposition of CAZ material occur? It is tempting and plausible to speculate that PTVs may deliver CAZ material by exocytotic fusion: exocytosis would – in a single step – introduce voltage-gated calcium channels, N-cadherin, and syntaxin-1 into the plasma membrane as integral membrane proteins, and the entire CAZ as well as SNAP-25 would be deposited immediately adjacent to the plasma membrane. Considering the quantitative analysis mentioned above, 2–3 PTVs could suffice to generate an average active zone. Whether this occurs indeed, and whether similar exocytotic events may occur at pause sites, has so far remained elusive due to technical obstacles. An obvious approach would be to label PTVs with a tag that should become exposed at the external cell surface during exocytosis, e.g., using a tagged luminal protein or a lumenally tagged transmembrane protein. However, to date no intravesicular or transmembrane protein is known to reside exclusively on PTVs. Therefore, this approach has not been feasible to employ yet.

2.6 CAZ Stability Through Regulation of Proteolysis

Irrespective of the mode of CAZ deposition from PTVs, another feature of Bassoon and Piccolo has recently added insights into the mechanisms of CAZ assembly: both Bassoon and Piccolo were shown to bind to and downregulate the ubiquitin E3 ligase Siah1 through their N-terminal zinc-finger domains (Waites et al. 2013). Remarkably, the simultaneous knockdown of Bassoon and Piccolo leads to profound loss of a large number of proteins and ultimately to synapse disassembly. The levels of Munc13-1 and RIM1 were strongly reduced, as were the levels of synaptic vesicle proteins. By electron microscopy, a loss of postsynaptic densities was detected, indicating that in the absence of Bassoon and Piccolo a large number of pre- and postsynaptic components are degraded. The loss of all presynaptic components upon double knockdown of Bassoon and Piccolo, including synaptic vesicle proteins and CAZ proteins, could be explained by increased ubiquitination and ubiquitin-dependent degradation at the active zone. Alternatively, some components may be lost because they lack binding partners. Presumably, a combination of these two mechanisms leads to the ultimate loss of the synaptic junction. Interestingly, postsynaptic proteins and PSDs were

degraded, too, indicating that under normal conditions the CAZ stabilizes the PSD through transsynaptic interactions.

It is difficult to predict if similar mechanisms operate at invertebrate active zones due to the multiple actions of the proteasomal system. For example, loss of the invertebrate ubiquitin ligase RPM-1/Highwire increases the number of synaptic boutons and active zones (Wan et al. 2000; Zhen et al. 2000). Thus, proteolytic degradation does not necessarily lead to the loss of synapses, but instead has the capacity to regulate signalling pathways (Liao et al. 2004; Nakata et al. 2005; Yan et al. 2009). In addition, proteolysis is known to cause the elimination of transient synapses during *C. elegans* development (Ding et al. 2007). Regulated proteolysis can therefore regulate active zone assembly positively and negatively. In any case, the knockdown data obtained in cultured hippocampal neurons establish a major role for Bassoon and Piccolo in protecting mammalian synapses against degradation and suggest a model for vertebrate active zone assembly, whereby Bassoon and Piccolo generate PTVs at the Golgi apparatus, travel along axons via Syntabulin/Kif5b driven motor activity, and stabilize presynaptic architecture by downregulating ubiquitin-dependent proteolysis at active zones. It would be interesting to know if the ubiquitin-inhibiting actions of the zinc fingers of Bassoon and Piccolo are constitutively active, e.g., during PTV biogenesis and trafficking, or become activated during active zone assembly at nascent synapses, e.g., through transsynaptic signalling from the postsynaptic side.

2.7 Cell Adhesion Molecules and Transsynaptic Signalling

Local cues must exist, which govern the assembly of the CAZ at nascent synapses. In general, such cues may be provided by several modes: diffusible factors generated in a certain brain region may endow axons entering this area with the general capacity to accumulate presynaptic material. In addition, such diffusible factors could be released locally and promote presynaptic assembly in axons close to the source (Ou and Shen 2010; Jin and Garner 2008; Chia et al. 2013). Cell adhesion molecules provide another mode of synapse induction, and a large number of cell adhesion molecules have indeed been implicated in presynaptic assembly (Dalva et al. 2007; Giagtzoglou et al. 2009). Remarkably, most of these synaptic cell adhesion molecules have been implicated in psychiatric disorders such as autism and schizophrenia, suggesting that their action in coordinating synapse assembly is a crucial contribution to normal brain function (Sudhof 2008; de Wit and Ghosh 2014; Kleijer et al. 2014).

Both diffusible factors and cell adhesion molecules most likely elicit intracellular signalling cascades in axons. This may involve enzymatic signalling cascades, e.g., phosphorylation or de-phosphorylation, modification of the actin cytoskeleton, and the induction of direct protein-protein interactions. Some studies use synaptic vesicle accumulation as a readout for presynaptic assembly, i.e., they do not directly test for CAZ assembly. It is plausible to assume that a mechanism that

causes the accumulation of synaptic vesicles, in particular if they are capable of undergoing stimulated exo-/endocytotic recycling, also causes the assembly of the CAZ, because the CAZ contains proteins required for synaptic vesicle fusion and stability (see above). However, even if both synaptic vesicle recruitment and CAZ assembly are caused by a certain inductor, the exact pathways may only partially overlap, and there may be distinct pathways preferentially promoting one or the other. For example, in axons of cultured neurons that contact fibroblasts expressing the cell adhesion molecule neuroligin-1, synaptic vesicle precursors pause for longer than usual at the contact site, while the pause duration for PTVs is not changed. Yet, both types of organelles accumulate at the contact site, apparently recruited through distinct mechanisms (Bury and Sabo 2014). Thus, a certain caveat remains when either synaptic vesicle recruitment or cytomatrix protein recruitment is used as the sole readout for presynaptic assembly, and it will be interesting to dissect the respective pathways.

As outlined below, an increasing number of cell adhesion molecules have been implicated in the induction of presynaptic assembly, while the intracellular pathways elicited by their action are only beginning to be characterized. Of note, cell adhesion molecules do not only act in synapse formation but also mediate subsequent maturation steps, as well as synaptic maintenance and plasticity. In fact, some cell adhesion molecules seem to have essential roles in steps downstream of synapse formation (Dalva et al. 2007; Giagtzoglou et al. 2009; Sudhof 2008; Wittenmayer et al. 2009). In addition, most cell adhesion molecules represent large protein families including multiple isoforms. It is generally believed that this diversity may provide a “specificity code,” by which combinations of cell adhesion molecules specify if and where an axon can make a synaptic connection and what the functional properties of that synapse are going to be. Thus, studying cell adhesion molecules has at least two major goals, i.e., to understand the mechanisms by which they induce synapse assembly and to understand how they confer specificity.

In the context of active zone assembly, three types of heterophilic cell adhesion molecules have received particular attention recently: (a) postsynaptic cell adhesion molecules that bind to presynaptic receptor tyrosine phosphatase proteins (RPTPs), including Slitrks, IL1RAPL1, NGL-3, and TrkC; (b) postsynaptic cell adhesion molecules that bind to presynaptic neurexins, including neuroligins, leucine-rich repeat transmembrane neuronal proteins (LRRTMs), and cerebellin precursor protein 1 (Cbln1); and (c) the postsynaptic cell adhesion molecule LRRTM4, a member of the LRRTM family mentioned above, that binds to presynaptic proteoglycans. On the presynaptic side, RPTPs and neurexins are transmembrane proteins, while the proteoglycan binding partners of LRRTM4 lack a transmembrane region (Sudhof 2008; Brose 2013; Takahashi and Craig 2013; Song and Kim 2013; de Wit and Ghosh 2014). Overall, a picture emerges in which a large number of heterophilic postsynaptic cell adhesion molecules can be categorized based on their interactions with a smaller number of presynaptic receptors.

2.7.1 *Cell Adhesion Molecules Binding to RPTPs*

Receptor protein tyrosine phosphatases (RPTPs) (Chap. 3, Fig. 3.3) represent a family of eight subtypes. The extracellular domains of the RPTPs LAR, PTP σ , and PTP δ have been implicated in cell adhesion and cell matrix interactions based on the structure of their extracellular domains. The intracellular domains of LAR, PTP σ , and PTP δ contain a catalytically active D1 domain and a less active or inactive D2 domain. The postsynaptic partners of these RPTPs bind their ligands with distinct affinities: Slitrks bind to PTP σ and PTP δ , TrkC to PTP σ , IL1RAPL1 to PTP δ , and NGL-3 and IL1RacP bind to all three PTPs. Thus, RPTPs are targeted by distinct but overlapping sets of postsynaptic adhesion molecules, suggesting that they may act as hubs, perhaps to fine-tune synapse assembly and synapse function according to a cell-specific or even synapse-specific interaction code (Takahashi and Craig 2013). The postsynaptic adhesion molecules targeting presynaptic PTPs all induce presynaptic assembly in a co-culture assay, in which non-neuronal cells expressing a potential inducer of synaptogenesis are co-cultured with neurons to see if the neuronal processes can be induced to form synapses. This assay was originally used to show that neuroligins are potent inducers of presynaptic differentiation (Scheiffele et al. 2000). This co-culture assay has since been instrumental in testing if a certain cell adhesion protein can induce synapse assembly. Knockout experiments – designed to test if a certain protein is essential for synapse formation – have been more difficult to interpret: no single knockout so far has abolished synapse formation, presumably due to additive actions among distinct adhesion molecules or due to some level of redundancy between isoforms of a certain gene family. In fact, if the interaction code mentioned above is designed to finely regulate connectivity, one may expect a loss of a certain subtype of synapses, rather than a global lack of synapse formation.

Nonetheless, significant progress has recently been made in understanding synapse-specific actions of PTP binding proteins: while the majority of PTP interaction partners induce presynaptic assembly in axons of excitatory neurons (and some in both excitatory and inhibitory neurons), Slitrk-3 selectively induces inhibitory synapse assembly. Slitrk-3 interacts with PTP δ to induce inhibitory synapse formation, while the Slitrk-1, Slitrk-2, Slitrk-4, and Slitrk-5 isoforms interact with PTP σ to induce excitatory synapse formation (Takahashi et al. 2011, 2012; Yim et al. 2013). Interestingly, PTP δ not only mediates inhibitory synapse formation in concert with Slitrk-3, but also excitatory synapse formation when activated by IL1RAPL1 or IL1RacP (Valnegri et al. 2011; Yoshida et al. 2011, 2012). How distinct combinations of cell adhesion molecules and PTPs govern the selective assembly of excitatory, inhibitory, or both types of synapses has yet to be uncovered.

2.7.2 *Cell Adhesion Molecules Binding to Neurexins*

Vertebrate neurexins are encoded by three genes that contain two promoters each. Considering multiple alternative splice sites, a large number of neurexin isoforms may exist (Sudhof 2008; Reissner et al. 2013). Neurexins bind to several postsynaptic cell adhesion molecules, most notably neuroligins, LRRTMs and Cbln1. Neuroligins (Dean and Dresbach 2006; see also Chap. 3, Fig. 3.3) were the first identified binding partners for neurexins (Ichtchenko et al. 1995; Boucard et al. 2005; Chih et al. 2006), and an interaction of neuroligin-1 with neurexins was the first example of a pair of pre- and postsynaptic cell adhesion proteins inducing presynaptic assembly (Scheiffele et al. 2000; Dean et al. 2003). Like for cell adhesion molecules to date, knockout of neuroligins or neurexins did not abolish active zone formation, but revealed essential roles for synaptic transmission and pre- and postsynaptic maturation (Missler et al. 2003; Varoqueaux et al. 2006; Chubykin et al. 2007; Wittenmayer et al. 2009). But their potency for inducing synapse assembly in cultured neurons suggests that they do contribute to early stages of synaptogenesis, too, albeit in a redundant or additive way. Both neuroligins and LRRTMs are postsynaptic type I transmembrane proteins with a C-terminal, i.e., intracellular, PDZ binding site. In cultured neurons, overexpression of neuroligin-1, neuroligin-2, and neuroligin-3 induces both excitatory and inhibitory synapse formation, with neuroligin-2 preferentially inducing inhibitory synapses (Graf et al. 2004; Prange et al. 2004; Chih et al. 2005; Levinson et al. 2005), while LRRTM2 is specific for excitatory synapses (de Wit et al. 2009; Ko et al. 2009).

Unlike neuroligins and LRRTMs, Cbln1 is a secreted glycoprotein. Cbln1 is produced by cerebellar granule cells, which give rise to parallel fiber axons in the cerebellum, and links the postsynaptic glutamate receptor GluR δ 2 to presynaptic neurexins. Parallel fibers express neurexins and secrete Cbln1, while their postsynaptic targets, the Purkinje cells, are the only cells expressing GluR δ 2. Moreover, GluR δ 2 is exclusively localized to parallel fiber – Purkinje cell synapses. This layout provides an example of defined connectivity and biochemical specialization in an intact circuit that can be experimentally addressed. Knockout of either Cbln1 or GluR δ 2 results in Purkinje cell spines lacking presynaptic inputs as well as mismatched synapses with misaligned active zones. Apparently, GluR δ 2 induces active zone assembly by clustering four neurexin molecules in incoming axons through the action of Cbln1 (Uemura et al. 2010; Lee et al. 2012; Mishina et al. 2012).

2.7.3 *LRRTM4 Binding to Proteoglycans*

LRRTM4 is preferentially expressed in the molecular layer of the dentate gyrus and localized to excitatory postsynaptic sites. It interacts with presynaptic heparan

sulfate proteoglycans called glypicans and syndecans, and these interactions mediate the synaptogenic action of LRRTM4. Thus, this LRRTM isoform does not act through neurexins, but instead through binding to proteoglycans. Moreover, the restricted expression of LRRTM4 is another example of cell-type specific actions of a synaptogenic protein (see GluR δ 2 in Purkinje cells) and raises hopes for eventually understanding the putative “code” provided by synaptogenic cell adhesion molecules.

2.7.4 Cell Adhesion Systems and Presynaptic Signalling

Overall, these observations raise at least two prominent questions: first, what are the modes of interaction between the distinct cell adhesion systems described above? So far, there is no satisfying answers to this question, but some observations provide interesting perspectives. For instance, glypicans do not have a transmembrane region, yet they mediate presynaptic assembly induced by LRRTM4. The *Drosophila* glypican Dally-like interacts with the RPTP dLar, raising the possibility that at this point LRRTM4 signalling is directed toward the RPTP pathway (Song and Kim 2013). The RPTP pathway in turn may share targets with the neurexin pathway, since both RPTPs and neurexins directly or indirectly bind to liprin- α (Takahashi and Craig 2013). However, a recent study reveals that the intracellular (presynaptic) domain of neurexins is not required to mediate synapse assembly induced by neuroligin-1 or LRRTM2, suggesting that the extracellular part of neurexins binds to a yet-to-be identified presynaptic interaction partner (Gokce and Sudhof 2013). Finally, further interactions with other cell adhesion systems and intracellular may come into play, such as Salms, SynCAMs, Farp1 (Mah et al. 2010; Fogel et al. 2007; Cheadle and Biederer 2012), and the N-cadherin/ β -catenin system, which appears to cooperate with the neuroligin system (Stan et al. 2010; Aiga et al. 2011).

Second, what are the adhesion-induced intracellular signalling pathways elicited in axons? Currently, it is unclear to what extent the tyrosine phosphatase activity of RPTPs is involved. Likewise, it is not clear how neurexins and how proteoglycans signal into the presynaptic terminal. A pathway involving direct protein-protein interactions seems to emerge from studies in invertebrates: at the *Drosophila* neuromuscular junction, neurexin forms a complex with the presynaptic CAZ protein SYD-1, which mediates local accumulation of neurexin at nascent active zones. SYD-1 driven presynaptic accumulation of neurexin in turn increases the clustering of postsynaptic neuroligin. This process may allow for synchronous assembly of the pre- and postsynaptic site and act as a positive feedback in which the nascent active zone and the postsynaptic density stabilize each other (Owald et al. 2012). SYD-1 arrives early at nascent synapses and recruits liprin- α to a peripheral area of the active zone. SYD-1 mutants have fewer active zones, and the remaining active zone material is mistargeted. Under normal conditions, the *Drosophila* CAZ protein Bruchpilot, a member of the ELKS family of CAZ proteins,

concludes CAZ biogenesis, but in SYD-1 mutants Bruchpilot forms ectopic aggregates (Owald et al. 2010). Together, these observations suggest a pathway in which an interaction of neurexin with the CAZ protein SYD-1 initiates the synchronous recruitment of pre- and postsynaptic material, followed by the recruitment of liprin- α to SYD-1. Liprin- α interacts with a number of CAZ proteins, including the *Drosophila* RPTP LAR and RIM1, and SYD-1 interacts with Bruchpilot. Thus, a cascade of protein-protein interactions downstream of SYD-1 may explain active zone assembly at the *Drosophila* neuromuscular junction. A similar intracellular pathway seems to operate at the HSNL neuromuscular junction in *C. elegans*, where SYD-1 causes the hierarchical recruitment of SYD-2/liprin- α , followed by the recruitment of ELKS and RIM. At this synapse, the cell adhesion tandem initiating this process may consist of SYG-2, an epithelial transmembrane protein expressed on so-called guidepost cells, and SYG-1, a HSNL neuron transmembrane protein (Hallam et al. 2002; Dai et al. 2006; Patel et al. 2006). SYG-1 somehow induces local F-actin formation to recruit an F-actin binding protein called NAB-1, which in turn recruits SYD-1 to initiate the downstream cascade of events (Chia et al. 2012).

To what extent this cascade of intracellular protein-protein interactions is conserved in vertebrates remains to be determined. Little is known about the function of vertebrate liprins, but a distant mammalian SYD-1 orthologue, called mSYD-1, has recently been identified. Unlike the invertebrate scenario, but like for virtually all mammalian proteins involved in synaptogenesis, reducing the levels of mSYD-1 does not prevent active zone formation. However, knockdown of mSYD-1 does reduce synaptic vesicle docking to active zones and inhibits presynaptic assembly induced by overexpressing postsynaptic neuroligin-1 or NGL-3. Thus, mSYD-1 appears to be important for presynaptic assembly induced both via neurexin and via RPTPs. Finally, mSYD-1 binds to Munc18 and to an isoform of liprins, called liprin- α 2, and overexpression of mSYD-1 in cultured cerebellar granule cells increases the number of synapses. Structurally, mSYD-1 displays both similarities and differences compared with its invertebrate orthologues. Thus, a number of features are evolutionarily conserved in mSYD-1, while others seem to be vertebrate specific (Wentzel et al. 2013). In any case, the hierarchy of intracellular interactions suggested by work in vertebrates and invertebrates provides a framework for further analysis of the interactions occurring downstream of axonal transmembrane receptor signalling.

Of note, the above discussion focussed on direct protein-protein interactions, but the regulation of such interactions by posttranslational modifications, in particular phosphorylation, likely has a role in active zone assembly. The tyrosine kinase Fer, the tyrosine phosphatase SHP-2, and the N-cadherin interaction partner β -catenin interact to recruit synaptic vesicles and Bassoon to synapses. Presynaptic depletion of Fer elevates tyrosine phosphorylation of β -catenin and prevents the local recruitment of Bassoon (Lee et al. 2008). How these phosphorylation events link to the protein-protein interaction pathways described above has yet to be unraveled.

2.8 Perspectives and Open Questions

Presynaptic specializations allow neurons to convey signals to their postsynaptic targets. Active zones define the exact location and the functional properties of synaptic signal transmission by locally assembling the CAZ, i.e., a complex network of multidomain scaffolding proteins. Why does none of these CAZ proteins have a transmembrane region? Irrespective of the possible answer to this question, the nature of CAZ proteins poses a fundamental challenge to the cellular machinery: these proteins have to be delivered with exquisite precision to defined sites along the axonal membrane and have to be arranged to provide the functional architecture for the presynaptic neurotransmitter release machinery. As detailed in this chapter, a large number of cellular and molecular mechanisms are harnessed to guarantee CAZ assembly, starting with the formation of CAZ precursors at the Golgi apparatus, involving regulated trafficking, capturing and dissociation events during axonal transport, and culminating in the local deposition and organization of CAZ material under the control of transsynaptic signalling.

Our insight into these mechanisms obviously generates further questions, for example:

- How do protein-protein interactions and enzymatic actions act together in active zone assembly? Which events regulate the balance of capture versus dissociation at axonal stop sites, and which ones organize the incorporation of captured components into the nascent CAZ? What is the molecular composition of axonal stop sites?
- Do PTVs fuse with the plasma membrane to deposit active zone material? Do they carry luminal proteins that signal across the synaptic cleft?
- How do synaptic cell adhesion systems interact with each other during active zone assembly? Does an interaction code exist that guides the specification of synaptic connectivity and function? Does the increase in isoform number of cell adhesion molecules from invertebrates to vertebrates reflect a need for an expansion of the code in more complex brains?
- How do mutations in synaptic cell adhesion molecules contribute to psychiatric disorders? What exactly is “aberrant” in nervous systems that form in the presence of such mutations? Is it an imbalance between excitation and inhibition, and how exactly does it arise? Does it involve impaired synaptic “maturation,” and what is the contribution of active zones to synaptic maturation?

Addressing such topical questions is an exciting challenge and should help us understand one of the most fundamental questions in neuroscience: how do neurons connect to form a functional network?

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Chapter 3

Network of Protein-Protein Interactions at the Presynaptic Active Zone

Toshihisa Ohtsuka

Abstract Presynaptic active zone is a slightly electron dense region beneath the presynaptic plasma membrane, where synaptic vesicles, containing neurotransmitters, dock, fuse, and release the content into the synaptic cleft in a Ca^{2+} -dependent manner. This highly ordered regulation of neurotransmitter release from the presynaptic active zone is crucial for normal brain functions such as learning and memory, emotion, and consciousness. Currently, a few active zone-specific proteins have been identified and characterized, including Bassoon, Piccolo/Aczonin, RIM1, Munc13-1, CAST/ERC2, and ELKS. These relatively large proteins with significant domain structures have been shown to interact with each other, forming a large macromolecular complex, and play pivotal roles in the structure and function of the presynaptic active zone. In this chapter, I would like to mainly describe and focus on protein-protein interactions among these active zone proteins and attempt to correlate the disruption of some of these interactions with deficits in synaptic functions such as neurotransmitter release and synaptic plasticity.

Keywords CAST/ERC2 • ELKS • RIMs • Munc13s • Bassoon • Piccolo/Aczonin

3.1 Introduction

Neurons in the brain communicate with each other at synapses, which are considered to be molecular and cellular basis for homeostasis of neural network and higher brain functions such as learning and memory. Synapses consist of three major regions: presynaptic apparatus, synaptic cleft, and postsynaptic region. In the presynaptic region, it is characterized that neurotransmitter-containing synaptic vesicles are clustered and mitochondria essential for ATP supply are present (Südhof 1995; Sheng 2014). In addition, there is a slightly electron dense region beneath the presynaptic plasma membrane, also known as the active zone, where some of the synaptic vesicle clusters are directly associated with the membrane (Landis et al. 1988; Südhof 1995). Upon elevation of intracellular Ca^{2+}

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concentration after the arrival of action potentials, primed vesicles rapidly undergo fused with the membrane at the active zone and release neurotransmitters into the synaptic cleft, which subsequently bind to and activate appropriate neurotransmitter receptors located in postsynaptic plasma membrane, resulting in prompt firing of postsynaptic neurons (Burns and Augustine 1995). Over 50 years ago, electron microscopic analyses revealed the existence of the active zone at subsynaptic level (Gray 1963; Couteaux and Pecot-Dechavassine 1970; Landis et al. 1988), but its molecular composition of the active zone had been obscure until recently. In contrast to the postsynaptic density (PSD), the active zone is relatively small and it has been difficult to isolate the active zone fraction separated from the PSD fraction, which thus have become an obstacle to systematically and biochemically identify active zone-specific proteins so far. Nevertheless, in the last two decades, the development of molecular biology and biochemistry (ex. mass spectrometry) allowed researchers to identify active zone-specific proteins in mammals such as rat and mice, including RIM1, Munc13-1, Bassoon, Piccolo/Aczonin, CAST/ERC2, and ELKS (Hida and Ohtsuka 2010; Südhof 2012). Some of these proteins are also found in invertebrates including *Drosophila* and *C. elegans* (Kittel et al. 2006; Wagh et al. 2006; Deken et al. 2005). Genetic studies especially in mice and flies demonstrate that these active zone proteins play pivotal roles in the structure and function of the presynaptic active zone (Südhof 2012; Kittel et al. 2006). However, the mode of interactions among active zone proteins and their physiological relevance are not fully understood and then much more complicated than previously envisaged. In this chapter, mainly focusing on protein-protein interactions among active zone proteins and related proteins, I would like to provide with a current overview of structural integrity of the presynaptic active zone.

3.2 Active Zone-Specific Proteins

3.2.1 Bassoon and Piccolo

Bassoon and Piccolo are well-established active zone proteins which were originally identified by use of classic biochemistry and molecular expression cloning (tom Dieck et al. 1998; Cases-Langhoff et al. 1996). They are quite large (420 kDa for Bassoon and ~500 kDa for Piccolo), containing some similar and/or distinct domains along the entire length (see Fig. 3.1) (Fenster et al. 2000; Schoch and Gundelfinger 2006). It is N-terminal zinc finger domains and two C₂-domains (C₂A and C₂B) that Piccolo specifically contains; the former is suggested to bind to a small G protein rab3A binding partner, PRA1, and the latter C₂A-domain is known to bind to Ca²⁺ (see Table 3.1; Fenster et al. 2000; Gerber et al. 2001). Its binding ability to PRA1 suggests that Piccolo is involved in intracellular trafficking presumably mediated by Rab3, but so far it has been unclear. The mode of binding between the C₂A-domain and Ca²⁺ is resolved by a crystal structure analysis using NMR spectroscopy (Gerber et al. 2001). The C₂A-domain

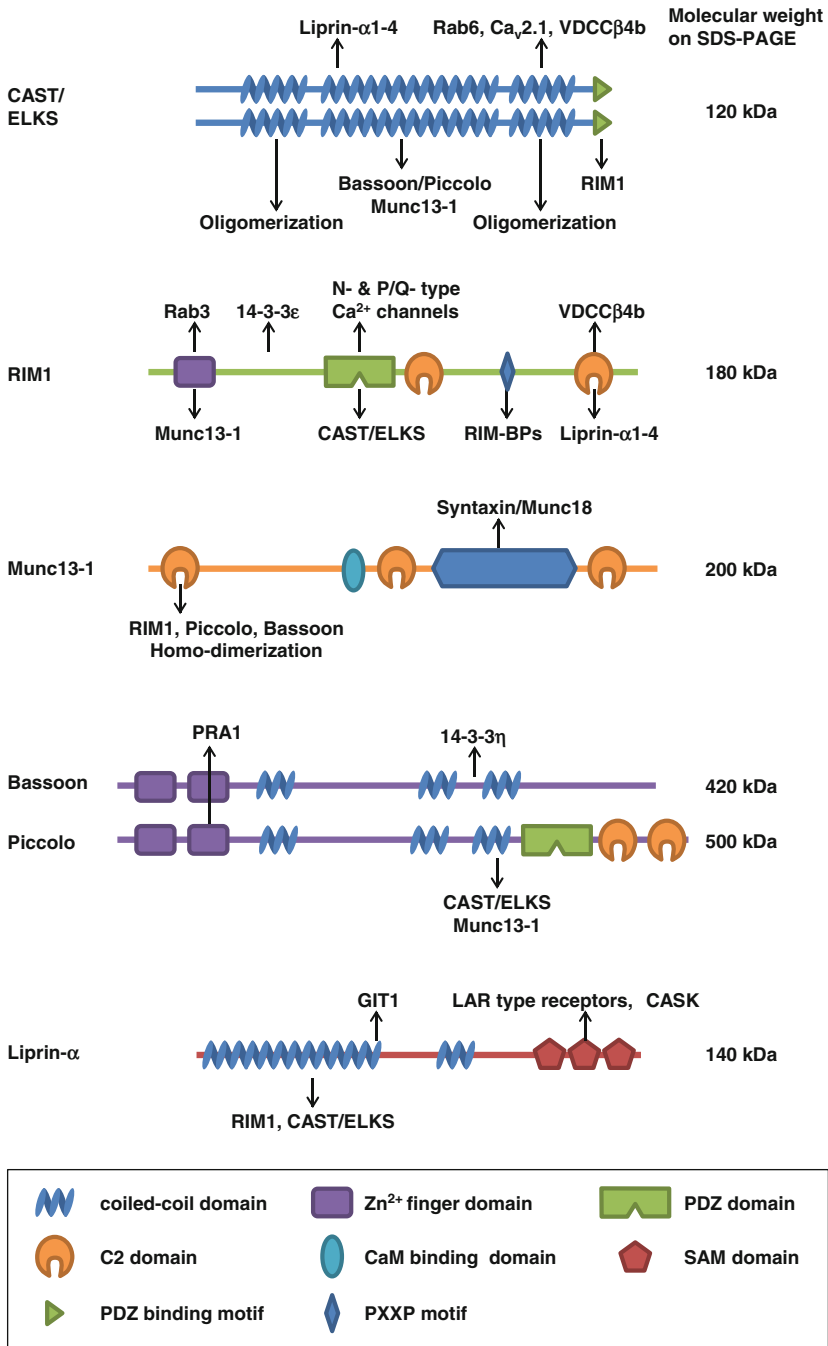


Fig. 3.1 Active zone proteins and their binding partners. RIM1 and Munc13-1 are representatives of each protein family member. For detail, see the ref (Schoch and Gundelfinger 2006)

Table 3.1 List of protein-protein interactions

Interaction	Preparation	Methods	Results	References
CAST-Liprin- α	Cultured hippocampal neurons	Co-expression	Increased synaptic localization of liprin- α 1	Ko et al. (2003b)
CAST-Ca ²⁺ channels	BHK6 cells	Co-expression	Shifted toward the hyperpolarizing direction	Kiyonaka et al. (2012)
CAST-RIM1	Cultured SCG neuron	Peptide inhibition	Reduced EPSP amplitude	Takao-Rikitsu et al. (2004)
CAST-Bassoon	Cultured SCG neuron	Binding inhibition	Reduced EPSP amplitude	Takao-Rikitsu et al. (2004)
RIM-Rab3	INS-1E cells	RIM overexpression	Enhanced glucose-stimulated secretion	Iezzi et al. (2000)
RIM-Ca ²⁺ channel	RIM knockout mice	Electrophysiology	Rescued the decreased Ca ²⁺ channel localization	Kaeser et al. (2011)
RIM-VDCC β 4b	PC12 cells	Overexpression	Increased acetylcholine release	Kiyonaka et al. (2007)
RIM-Munc13-1	Cultured hippocampal neurons	Dominant-negative overexpression	Decreased EPSC amplitude	Betz et al. (2001)

This does not cover all the interactions, but focuses on CAST, RIM, and Bassoon. For more detail, see the refs (Schoch and Gundelfinger 2006; Südhof 2012) and elsewhere

of Piccolo is mechanistically flexible and shows a relatively low affinity for Ca²⁺ (100–200 μ M), suggesting that Piccolo functions in presynaptic short-term plasticity when concentration of Ca²⁺ increase during repetitive stimulation (Gerber et al. 2001). On the other hand, since the C₂B-domain of Piccolo lacks four of the five canonical aspartate residues essential for Ca²⁺ binding in C₂-domains, it seems that the Piccolo C₂B-domain does not function as a Ca²⁺-binding domain (Gerber et al. 2001).

Bassoon and Piccolo each has several coiled-coil domains, which are composed of α -helices and involved in protein-protein interactions (tom Dieck et al. 1998). Especially, three coiled-coil regions are highly conserved and then the third coiled-coil domain of Bassoon and Piccolo directly binds to the second coiled-coil domain of CAST and ELKS (Fig. 3.1; Takao-Rikitsu et al. 2004). They share the same amino acids sequence in CAST for the binding site, and they may compete with each other to bind to CAST in vivo.

Bassoon has another binding protein, 14-3-3 adaptor protein, at the region between second and third coiled-coil domains (Fig. 3.1: Schröder et al. 2013). 14-3-3 proteins are known to be abundant in various types of cells with versatile cellular functions such as the control of signal transduction, cell proliferation and differentiation (Mackintosh 2004). They bind to phosphoserine-based motifs in their target molecules, and eventually the family member 14-3-3 ϵ directly binds

to the region containing critical Ser residue at amino acids 2845 (rat Bassoon) in the phosphorylation-dependent manner. Elimination of this interaction indeed causes the significant decrease in molecular exchange rate of Bassoon in primary cultured hippocampal neurons (Schröder et al. 2013). Thus, phosphorylation-dependent complex formation by adaptor protein 14-3-3 may regulate the homeostasis of the presynaptic active zone partly through the control of the molecular exchange rate of Bassoon.

Bassoon also binds to RIM-binding proteins and in turn controls presynaptic P/Q-type Ca^{2+} channels (Davydova et al. 2014). Bassoon deletion or disruption between Bassoon and RIM-binding protein interaction causes the reduction of synaptic P/Q-type Ca^{2+} channels, suggesting that Bassoon specifically targets P/Q-type Ca^{2+} channels to the presynaptic active zone. As described below, RIM1 also binds to Ca^{2+} channels and possibly scaffolds both P/Q- and N-type Ca^{2+} channels (Kaeser et al. 2011). Thus, it is of interest how Ca^{2+} channels use differently these active proteins for neurotransmitter release at the presynaptic active zone.

3.2.2 *RIM1*

RIM1 was originally identified as a target molecule for the small G protein Rab3A (Wang et al. 1997) and is the most studied active zone protein, in particular essential for priming step of neurotransmitter release (Deng et al. 2011). As a scaffold molecule at the active zone, RIM1 has a number of binding partners such as Munc13-1, CAST/ELKS, RIM-BP2, Liprin- α s, 14-3-3, and Ca^{2+} channels (α and β subunits) (Betz et al. 2001; Ohtsuka et al. 2002; Wang et al. 2000, 2002; Schoch et al. 2002; Kaeser et al. 2008; Kiyonaka et al. 2007; Kaeser et al. 2011). Rab3A directly binds to the zinc finger domain of RIM1 in a GTP-form-dependent manner (Wang et al. 1997). This zinc finger domain is shared with another active zone protein Munc13-1, which is involved in the control of priming step of vesicle cycling (Deng et al. 2011). 14-3-3 is known to bind to phosphorylated residues as described above (Mackintosh 2004) and then bind to a region between the zinc finger and PDZ domain (Kaeser et al. 2008). This region is phosphorylated by PKA, but its physiological significance is currently unknown (Lonart et al. 2003; Kaeser et al. 2008). The first protein that directly binds to the RIM1 PDZ domain is a family of CAST/ERC2 and ELKS (Ohtsuka et al. 2002; Wang et al. 2002), and more recently $\alpha 1$ subunits of Ca^{2+} channels are identified as the PDZ domain-binding protein by the use of yeast two-hybrid method (Kaeser et al. 2011). Voltage-dependent Ca^{2+} channels consist of $\alpha 1$ subunit and auxiliary β and $\alpha 2\delta$ subunits (Catterall 2005). N- and P/Q-type Ca^{2+} channels largely control neurotransmitter release from the presynaptic active zone and the R-type also contributes, whereas L- and T-type channels do not (Wu et al. 1999). The C-terminal region of N- and P/Q-type Ca^{2+} channels selectively binds to the PDZ domain of RIM1, but L- and T-type channels do not (Kaeser et al. 2011). This is quite intriguing that CAST also directly binds to the PDZ domain of RIM1 (Ohtsuka et al. 2002; Wang et al. 2002).

Then, it would be of great interest to clarify whether and how CAST competes or simultaneously binds to the PDZ domain of RIM1 with Ca^{2+} channels. While the K_d value for binding between Ca^{2+} channel and the PDZ domain is $10.3 \mu\text{M}$ (P/Q-type) and $23.4 \mu\text{M}$ (N-type) (Kaesler et al. 2011), that of binding between the ELKS C-terminal peptide and the RIM PDZ domain is $0.27 \mu\text{M}$ (Lu et al. 2005). Since the C-terminal sequences of ELKS and CAST are almost identical, CAST probably has the similar high affinity for the RIM PDZ domain. Thus, it is likely that the protein complex of the CAST/ELKS family and RIM is much more stable in the active zones in vivo than that of the Ca^{2+} channel and RIM. Further biochemical studies and high-resolution electron microscopy should uncover the more precise mode of interaction among RIM1, CAST/ELKS, and Ca^{2+} channels at the active zones, which may contribute to our exact understanding of the relationship of active zone proteins' function and fast Ca^{2+} -dependent neurotransmitter release. Additionally, the β -subunit of Ca^{2+} channels binds to the C-terminal C2B domain of RIM1, which affects the inactivation of Ca^{2+} channels at least in vitro (Kiyonaka et al. 2007). This interaction is not required for clustering of Ca^{2+} channels (Kiyonaka et al. 2007). RIM-BPs bind to the region containing PXXP motif in RIM1 and are also shown to interact with L-, N-, and P/Q-type Ca^{2+} channels (Hibino et al. 2002). Thus, RIM1 has the ability to directly bind to the Ca^{2+} channels and indirectly bind to them at least through its binding to RIM-BPs.

3.2.3 *Munc13-1*

Munc13 is a mammalian orthologue of *C. elegans* UNC-13 that is one of the uncoordinated (UNC) mutants defective for locomotor function and perception (Brenner 1974; Brose et al. 1995). Munc13 consists of a protein family including Munc13-1, Munc13-2, Munc13-3, and Munc13-4 (Brose et al. 1995; Schoch and Gundelfinger 2006). Alternative splicing of the Munc13-2 gene further produces two isoforms: ubMunc13-2 and bMunc13-2 of which the former is ubiquitously expressed, but the latter is specific to the brain (Betz et al. 2001). Among them, Munc13-1 was the first to be localized to the presynaptic active zone (Brose et al. 1995). Munc13-1 plays an essential role in the release of neurotransmitter, examined by electrophysiological analyses using Munc13-1 KO mice in which the release of neurotransmitter is completely abolished and the KO mice die immediately after birth possibly due to deficits in neuromuscular junction (NMJ) function in the lung (Augustin et al. 1999). Munc13-1 directly interacts with Syntaxin, which could correlate Munc13-1 with SNARE system through Syntaxin (Betz et al. 1997). For example, in *C. elegans*, Munc13 binding is thought to induce the open conformation of Syntaxin from the closed form, following the formation of a loose SNARE complex (Richmond et al. 2001). The N-terminal region of Munc13-1 moreover has several other interacting proteins such as RIM1 (Betz et al. 2001), Piccolo/Bassoon (Wang et al. 2009), Munc13-1 itself (Lu et al. 2006), and calmodulin (Junge et al. 2004), and the C-terminal has DOC2 α (Orita et al. 1997) and msec7-1 ARF GEF (Neeb et al. 1999).

3.2.4 *CAST/ERC2 and ELKS*

CAST (cytomatrix at the active zone-associated structural protein) was first purified and identified from the rat brain (Ohtsuka et al. 2002) and subsequently isolated by yeast two-hybrid method as ERC2 (Wang et al. 2002). CAST/ERC2 has many coiled-coil regions over its entire sequence and a unique three amino acids IWA at its C terminus. The IWA motif is essential for CAST/ERC2 binding to the PDZ domain of RIM as described above (Ohtsuka et al. 2002; Wang et al. 2002; Fig. 3.1). Other active zone proteins Bassoon, Piccolo, and Munc13-1 also directly bind to the middle coiled-coil region of CAST (Takao-Rikitsu et al. 2004; Wang et al. 2009). CAST has a family member, named ELKS, which was originally identified as a gene product translocated in the different chromosome in a thyroid carcinoma (Nakata et al. 1999). CAST and ELKS show a relatively high homology (~70 % amino acids identity) and presumably form hetero- and/or homo-oligomers through their N- and C-terminal coiled-coil regions (Deguchi-Tawarada et al. 2004). In addition to these active zone proteins, CAST has other synaptic proteins as binding partners such as liprins, Rab6, and Ca²⁺ channels (Ko et al. 2003b; Monier et al. 2002; Kiyonaka et al. 2012). Liprin, a mammalian homologue of *C. elegans* SYD-2, binds to the middle coiled-coil region of CAST (Ko et al. 2003b). Rab6, a subfamily member of small GTP binding protein, and Ca²⁺ channels directly bind to the C-terminal coiled-coil regions of ELKS and CAST, respectively (Monier et al. 2002; Kiyonaka et al. 2012). Although not all the interactions are examined, it is likely that ELKS has the same ability to bind to these active zone and synapse-related proteins (Fig. 3.1).

CAST and ELKS have distinct biochemical features in that CAST is highly resistant to extraction with nonionic detergents, and then tightly associated with the active zone cytomatrix, while ELKS is more soluble with the detergents (Ohtsuka et al. 2002; Deguchi-Tawarada et al. 2004). Currently, it is still obscure why these closely related proteins have distinct localization and solubility in neurons, but it seems likely that they have the same and distinct binding partners which may affect their biochemical features.

3.2.5 *Liprin*

Proteins of liprin α -family were originally identified by the yeast hybrid method, which shows that they interact with the cytoplasmic regions of leukocyte common antigen related (LAR) (Serra-Pages et al. 1995). Liprin proteins consist of N-terminal coiled-coil domains, one C-terminal liprin homology (LH) domain, and three sterile alpha motifs (SAMs) (Serra-Pages et al. 1998). Vertebrate has at least four liprin α -family members (liprin α 1-4), while *Drosophila* and *C. elegans* have one homologue, liprin- α , and Syd-2, respectively (Zhen and Jin 1999; Dai et al. 2006). Syd-2 was first identified and characterized in a genetic screening to

find synaptic deficient mutants in *C. elegans* (Zhen and Jin 1999). In the Syd-2 mutant animals, the length of the active zone became longer than wild types. Liprin- α has some binding partners such as LAR, GIT1, CASK, RIM1, and CAST/ELKS (see Fig. 3.1; Ko et al. 2003a; Olsen et al. 2005; Stryker and Johnson 2007; Schoch et al. 2002; Ko et al. 2003b).

3.3 Physiological Significance of Active Zone Protein-Protein Interactions

The interaction of RIM1 and Munc13-1 was first reported that its interaction is involved in a priming step of neurotransmitter release (Betz et al. 2001), which is mediated by the interaction of zinc-finger domain of RIM1 and C1 domain of Munc13-1 as described above (Betz et al. 2001; Dulubova et al. 2005). Consistent with these observations, in the RIM1 knockout mice, the expression level of Munc13-1 is significantly reduced to ~50 % compared to that of wild-type mice (Schoch et al. 2002). Thus, this interaction may also affect the homeostasis of Munc13-1 in vivo. The C1 domains are well conserved among Munc13 family members, but Munc13-4 lacks this domain (Schoch and Gundelfinger 2006), suggesting that these members may have different mechanisms for their stability in the nerve terminals. Mechanistically, this N-terminal C2A domain can homodimerize, which then disturbs the priming function of Munc13-1 via the MUN domain (Deng et al. 2011).

Another example is the interaction among CAST and RIM1 or Bassoon/Piccolo (Takao-Rikitsu et al. 2004). Microinjection of a peptide encoding C-terminus of CAST, which disrupts interaction between CAST and RIM1, significantly impairs EPSC examined in primary cultured superior cervical ganglion neurons of rat (Fig. 3.2c, d) (Takao-Rikitsu et al. 2004). Conversely, the microinjection of the CAST-binding domain of RIM1 also impairs EPSC (Takao-Rikitsu et al. 2004). The exact mechanism for this impairment is not clear, but it is possible that this disruption causes RIM1 to be mislocalized in the nerve terminals. This idea may be supported by the following observations: (1) In CAST/ERC2 KO mice, RIM1 level associated with presynaptic membranes is significantly reduced (Kaeser et al. 2009); (2) exogenously expressed RIM1, lacking its PDZ domain (CAST-binding domain), is diffusely localized throughout axons (Ohtsuka et al. 2002); and (3) exogenously expressed CAST, lacking C-terminal IWA motif, is correctly localized with Bassoon in axons (Ohtsuka et al. 2002). These suggest that CAST functions as an anchoring protein for RIM1 at the active zone, while CAST may not require RIM1 for its correct localization to the active zones in cultured neurons. In addition, perturbation of interaction between CAST and Bassoon in the SCGNs significantly reduces EPSC in the SCGNs (Fig. 3.2f, g; Takao-Rikitsu et al. 2004). But, since exogenously expressed CAST, lacking the Bassoon-binding domain, and Bassoon, lacking the CAST-binding domain, are both correctly localized to the

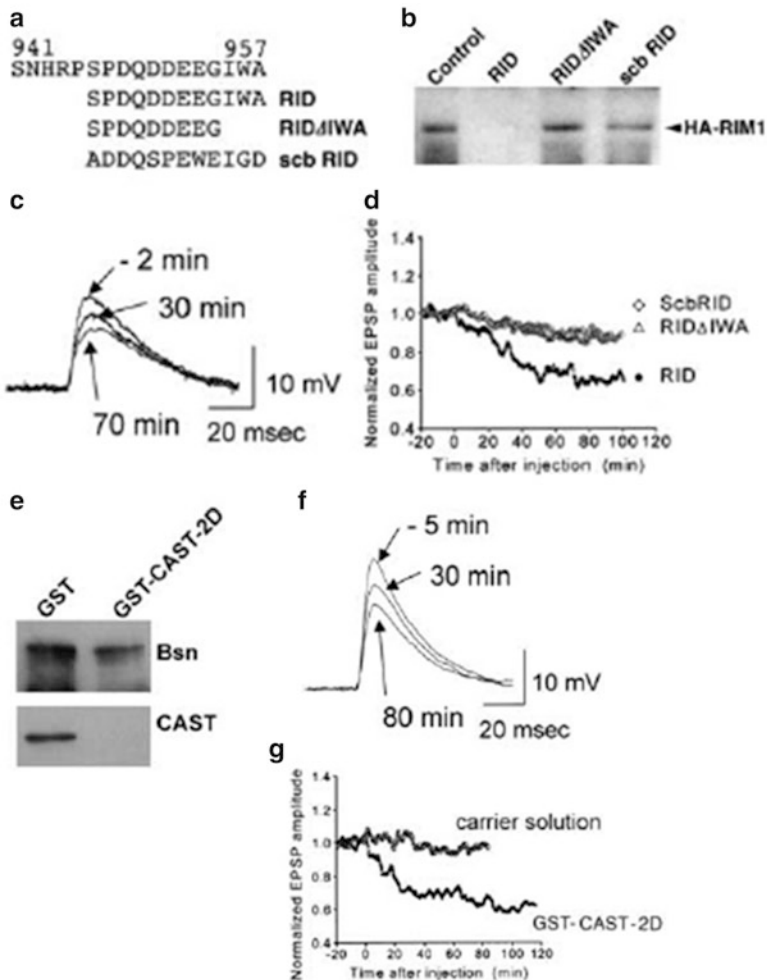


Fig. 3.2 Physiological significance of the protein-protein interaction. (a–d) Effects of the COOH-terminal regions of CAST on synaptic transmission. (a) Sequences of the CAST peptides. RID, RIM1-interacting domain; scb RID, scrambled RID. (b) Effects of the peptides (5 μ M each) on the binding of HA-RIM1 to immobilized GST-CAST C-terminal region. The binding was inhibited by RID, but not by RID Δ IWA or scb RID. (c, d) Effects of the CAST peptides (1 mM each in the injection pipette) on synaptic transmission. Presynaptic neurons were stimulated every 20 s. CAST peptides were introduced into presynaptic neurons at $t = 0$. EPSPs from representative experiments with the injection are illustrated in c. Normalized and averaged EPSP amplitudes are plotted from five experiments with RID, RID Δ IWA, or scb RID peptide in d. (e–g) Effect of the Bassoon-binding domain (BsnBD) of CAST on synaptic transmission. (e) Effect of GST-CAST-2D (BsnBD) on the binding of CAST and Bassoon. Immunoprecipitation assay of Myc-CAST and EGFP-Bassoon was performed in the presence of GST alone or GST-CAST-2D (5 μ M each), followed by Western blotting using the anti-Myc and anti-GFP Abs. GST-CAST-2D inhibited the binding, but GST alone did not. (f, g) Effect of GST-CAST-2D (150 μ M) on synaptic transmission. The presynaptic neuron was stimulated every 20 s. The recombinant CAST protein was introduced into the presynaptic neuron at $t = 0$. EPSPs from the representative experiments with the injection are illustrated in (f). Normalized and averaged EPSP amplitudes are plotted from seven experiments with GST-CAST2D or the carrier solution alone in (g) (Source: Adapted from Takao-Rikitsu et al. 2004)

active zones in primary cultured neurons, these bindings are not required for each protein's localizations to the active zones. Bassoon has an N-terminal palmitoylation motif, which may partly contribute to its localization in the nerve terminals (Sanmarti-Vila et al. 2000; Dresbach et al. 2003). In contrast, the CAST-binding domain of Piccolo has no effect on the amplitude of EPSC under the conditions where that of Bassoon affects the amplitude as described above (Takao-Rikitsu et al. 2004). It is currently unknown for this, but it may be due to the instability of microinjected GST-fusion protein of Piccolo (CAST-binding region) or that the binding of CAST and Piccolo is less contributed in vivo than that of CAST and Bassoon.

3.4 Insights from Genetic Studies in *Drosophila* and Mice

Drosophila has the ELKS/CAST homologue, named Bruchpilot which is thought to play important roles in excitatory neurotransmission (Fig. 3.3; Kittel et al. 2006). Bruchpilot consists of coiled-coil regions over the entire sequence like CAST and ELKS, but it shows higher molecular weight ~190 kDa with a distinct C-terminal region, which also lacks the C-terminal IWA sequence characteristic for CAST and ELKS. Bruchpilot decorates around *Drosophila* active zone, so-called T-bars

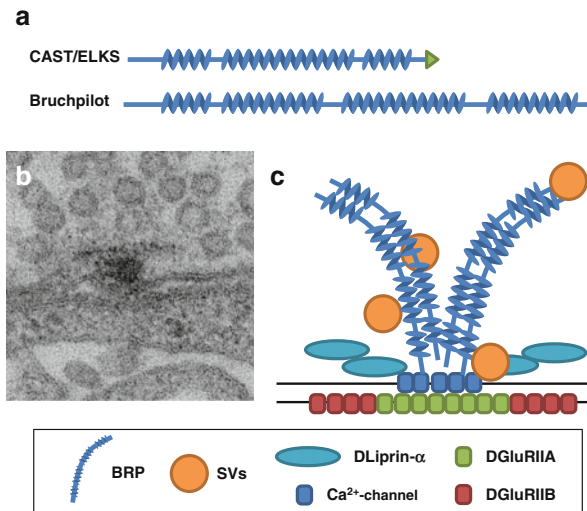


Fig. 3.3 T-bar, *Drosophila* active zone. (a) Comparison between molecular structures of CAST/ELKS and the *Drosophila* homologue Bruchpilot. Bruchpilot contains the distinct C-terminal region which lacks the IWA sequence. (b) Electron micrograph for T-bar in *Drosophila* neuromuscular junction. Courtesy from Drs. Stephan Sigrid and Christine Quentin. (c) Possible model of T-bar. The N-terminal region of Bruchpilot is closely associated with presynaptic plasma membranes where it directly binds to and recruits cacophony, *Drosophila* Ca²⁺ channel (Fouquet et al. 2009)

(Fig. 3.3b), and there directly interacts with Cacophony, a *Drosophila* Ca^{2+} channel, controlling its clustering at the presynaptic active zone (Kittel et al. 2006; Fouquet et al. 2009). Pulldown and yeast two-hybrid assay reveal that the N-terminal region of Bruchpilot physically interacts with the C-terminal region of cacophony (Fig. 3.3a; Fouquet et al. 2009). Indeed, in the Bruchpilot mutants, T-bar is lost and the Ca^{2+} channel is diffusely clustered, resulting in impairment of evoked synaptic vesicle release and short-term plasticity such as paired-pulse facilitation (Kittel et al. 2006). At least in *Drosophila*, Bruchpilot may be involved in the generation of slots for Ca^{2+} channel insertion into the presynaptic active zone, while Ca^{2+} channel itself is not involved in targeting of Bruchpilot to the active zone (Kawasaki et al. 2002; Fouquet et al. 2009).

Another line of evidence that CAST has physiological function for active zone structure comes from the studies of CAST KO retina (tom Dieck et al. 2012). Immunofluorescence and electron microscopy reveal that in the CAST KO retina, the size of the rod presynaptic active zone is reduced and Ca^{2+} channel complement is perturbed which results in significantly diminished amplitudes of the b-wave in scotopic electroretinogram. On the other hand, in the mice mutants, clustering of Ca^{2+} channels is intact although CAST directly interacts with the channels as described above (Fig. 3.1; Kiyonaka et al. 2012). It is thus currently an open question if CAST is involved in targeting of Ca^{2+} channels in mammals. Outside of the retina, CAST/ERC2 deletion was used to study hippocampal synapses where the overall structure of synapses is almost intact, whereas inhibitory synaptic transmission is significantly impaired, but excitatory transmission is not affected (Kaeser et al. 2009). This result seems to contradict with the previous observations in the mouse retina (tom Dieck et al. 2012), primary cultured SCG neurons (Fig. 3.2; Takao-Rikitsu et al. 2004), and *Drosophila* (Kittel et al. 2006) that CAST controls at least excitatory neurotransmitter release.

3.5 Perspective and Conclusion

Accumulating evidence suggests that the presynaptic active zone proteins play pivotal roles in neurotransmitter release and molecular organization of the active zone (Fig. 3.4), consequently affecting short- and long-term plasticity and animal behavior. As described in this chapter, several active zone-specific proteins have been identified and characterized, but exact molecular composition and their protein-protein network of interaction are still obscure and complex. One important issue is then the relationship between active zone proteins and Ca^{2+} channels of which elucidation will lead to more precise understating of spatially and temporally regulated Ca^{2+} -dependent transmitter release. It is not known for N-type Ca^{2+} channel-specific scaffold proteins. In addition, another crucial issue is how specific targeting of active zone proteins to the destination beneath the presynaptic plasma membranes is controlled. So far, in spite of numerous efforts, there have been no transmembrane proteins tightly and specifically associated with the presynaptic

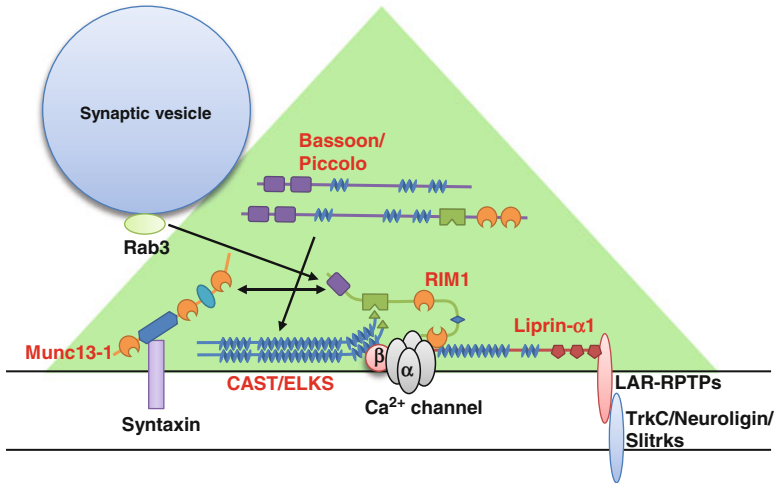


Fig. 3.4 Mode of protein-protein interactions among active zone proteins

active zone. Once such transmembrane proteins are identified, more mechanistic approaches could be available to examine the structure and function of the presynaptic active zone, which should open up a new avenue in this exciting field and shed new light on our understanding of higher brain functions.

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Part III
Synaptic Vesicle Fusion

Chapter 4

Fusion Machinery: SNARE Protein Complex

Ira Milosevic and Jakob B. Sørensen

Abstract SNARE proteins constitute the minimal machinery needed for membrane fusion. SNAREs operate by forming a complex, which pulls the lipid bilayers into close contact and provides the mechanical force needed for lipid bilayer fusion. At the chemical synapse, SNARE-complex formation between the vesicular SNARE VAMP2/synaptobrevin-2 and the target (plasma membrane) SNAREs SNAP25 and syntaxin-1 results in fusion and release of neurotransmitter, synchronized to the electrical activity of the cell by calcium influx and binding to synaptotagmin. Formation of the SNARE complex is tightly regulated and appears to start with syntaxin-1 bound to an SM (Sec1/Munc18-like) protein. Proteins of the Munc13-family are responsible for opening up syntaxin and allowing sequential binding of SNAP-25 and VAMP2/synaptobrevin-2. N- to C-terminal “zippering” of the SNARE domains leads to membrane fusion. An intermediate, half-zipped, state represents the “primed” vesicle, which is ready for release when C-terminal SNARE assembly is triggered by synaptotagmin. Following fusion, the SNAREs are recycled by the action of the AAA-ATPase NSF (N-ethylmaleimide-sensitive factor). In recent years, the lipid requirements for the SNARE mechanism have been scrutinized, and roles for the “noncanonical” SNAREs in the synapse are emerging, yet much remains to be learned about the spatial and temporal regulation of fusion.

Keywords Exocytosis • Membrane fusion • SNARE protein • Synapse • Neuron • Synaptic vesicle

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4.1 SNARE Complex and Membrane Fusion: Introduction

Many fundamental cellular functions rely on the transport processes through membrane fusion because cells are subdivided into subcellular compartments by lipid bilayer membranes. Membrane fusion is a cooperative and synchronized process characterized by three central steps, although each of these steps represents a complex sequence of events on its own (Risselada and Grubmuller 2012). In the first step, two bilayers come into very close contact, sometimes as close as 1 nm. During the second step, an initial lipid structure develops that connects two bilayers. In the third step, this structure transforms into a funnel-like structure, the fusion pore, which connects the two membranes and allows flux of interior content (e.g., neurotransmitters). The exact mechanisms underlying this complex sequence of events are not fully understood, in spite of the fact that some aforementioned intermediates have been directly observed (Risselada and Grubmuller 2012).

One of the most important, as well as best-studied, examples of membrane fusion is neurotransmitter release at the neuronal synapse, a process which requires fusion of synaptic vesicles with the presynaptic plasma membrane. The current prevailing view is that regulated neurotransmitter release at the neuronal synapse relies on the same basic proteinaceous machinery as other membrane trafficking events (Jahn and Fasshauer 2012; Sudhof 2013). Soluble NSF attachment protein (SNAP) receptors (SNAREs) are presently considered the core constituents of the protein machinery responsible for membrane fusion. Most members of the SNARE protein family were identified by their possession of a conserved homologous stretch of 60–70 amino acids, referred to as the SNARE motif (Klopper et al. 2007; Terrian and White 1997; Weimbs et al. 1998). Four SNARE motifs assemble spontaneously into a thermostable, sodium dodecyl sulfate- and protease-resistant coiled-coil bundle, called the SNARE core complex (Antonin et al. 2000a; Fasshauer et al. 1998a; Sollner et al. 1993b; Sutton et al. 1998). Heptad repeats in components of the core complex form 16 conserved layers of interacting amino acid side chains, which are arranged perpendicularly to the axis of the complex. All layers except one contain hydrophobic amino acids. The unique central layer, termed the “0-layer,” is hydrophilic and consists of three glutamines (Q_s) and one arginine (R) stabilized by ionic interactions (Sutton et al. 1998). Based on this characteristic, SNARE proteins are classified into four subfamilies: Q_a -, Q_b -, Q_c -SNAREs (Q -SNAREs contribute a glutamine residue to the 0-layer), and R-SNAREs (R-SNAREs contribute an arginine residue to the 0-layer) (Fig. 4.1a, b) (Bock et al. 2001; Fasshauer et al. 1998b). All analyzed SNARE complexes to date have a $Q_aQ_bQ_cR$ composition and consist of four SNARE proteins, with the exception of the neuronal synaptic SNARE complex, which is comprised of three SNARE proteins: synaptosome-associated protein of 25 kDa (SNAP-25; (Oyler et al. 1989)), syntaxin-1 (Bennett et al. 1992), and synaptobrevin-2/vesicle-associated membrane protein (VAMP) 2 (Baumert et al. 1989; Trimble et al. 1988).

The fusogenicity of the SNAREs relies on their differential localization: synaptobrevin/VAMP is a vesicular protein, whereas syntaxin-1 and SNAP-25

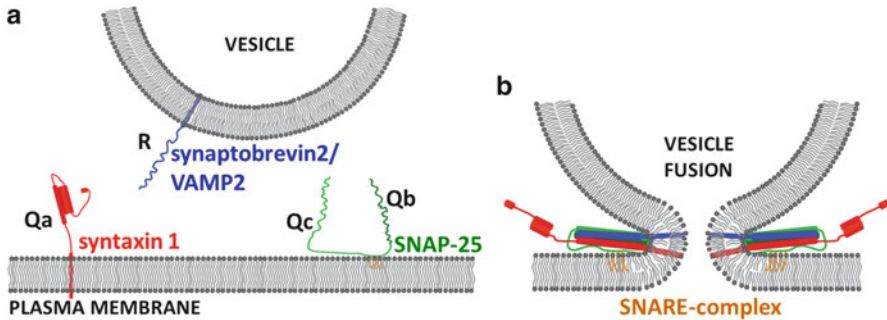


Fig. 4.1 Schematic of the three neuronal SNARE proteins that mediate synaptic vesicle fusion by forming a SNARE complex: syntaxin-1 (red), SNAP-25 (green) and synaptobrevin-2/VAMP2 (blue). The color coding for these proteins is used in all other figures. (a) Syntaxin-1 (Qa) and SNAP-25 (Qbc) are present in the plasma membrane, while synaptobrevin-2/VAMP2 (R) is in the synaptic vesicle. (b) Formation of SNARE complex composed of syntaxin-1, SNAP-25, and synaptobrevin-2/VAMP2 drives fusion of the vesicle with the plasma membrane

are attached to the plasma membrane. Assembly of a SNARE complex between the membranes (in *trans*) leads to fusion, driven by the energy liberated during SNARE-complex formation. During fusion, the membranes merge and the SNARE proteins find themselves in the same membrane, forming what is referred to as a *cis*-SNARE complex. SNARE-complex disassembly by the ATPase NSF is needed to recycle SNAREs for another round of fusion (see below). The central role of the SNARE complex in exocytosis at the neuronal synapse is well documented. The first demonstration came from studies with neurotoxins that selectively cleave SNAREs and potently inhibit exocytosis (Montecucco and Schiavo 1995; Niemann et al. 1994). Tetanus toxin cleaves synaptobrevin/VAMP, while botulinum neurotoxins (BoNT) A, B, and C cleave SNAP-25, synaptobrevin/VAMP, and syntaxin, respectively. Reconstitution studies of purified proteins in liposomes indicated that SNAP-25, syntaxin-1, and synaptobrevin-2/VAMP2 are sufficient to fuse membranes and can be viewed as representing a “minimal fusion machinery” (Weber et al. 1998). Another study expressed the same SNARE proteins on the cellular surface and detected spontaneous cell-to-cell fusion, showing that SNAREs are sufficient to fuse biological membranes (Hu et al. 2003).

SNAP-25 (Table 4.1) is a Q_{bc} -SNARE and contributes two of the four α -helices to the neuronal SNARE complex (Fasshauer et al. 1998b; Sutton et al. 1998). This arrangement is unique to the SNAP-25 family, which is involved in fusion of vesicles with the plasma membrane, whereas in intracellular fusion reactions, the Q_b - and Q_c -SNARE motifs are supplied by separate proteins (Fukuda et al. 2000). The SNARE motifs are present at the N- and C-termini of SNAP-25 and are separated by a central cysteine-rich membrane targeting domain (also called a linker domain; (Sutton et al. 1998)). SNAP-25 is highly conserved among species, with little variation in length. The two alternatively spliced variants, SNAP-25a and SNAP-25b, have high homology, differing only by nine amino acids (Bark and Wilson 1994). They are efficiently targeted to the plasma membrane due to the

palmitoylation of the four cysteine residues in the linker domain (Gonzalo et al. 1999; Hess et al. 1992). SNAP-25 is most abundant in brain, where an interesting developmental shift in the expression of the isoforms has been described: SNAP-25a is more abundant in embryonic brain, whereas the expression of SNAP-25b increases robustly after birth to become the predominant isoform in most, but not all, adult brain areas (Bark et al. 1995; Boschert et al. 1996). Impairment of this switch towards the SNAP-25b isoform in mice leads to premature mortality and a change in short-term plasticity in hippocampal synapses (Bark et al. 2004). Ablation of the SNAP-25 gene in mice results in embryonic lethality (Washbourne et al. 2002), and Ca^{2+} -triggered secretion from neuroendocrine cells without SNAP-25 is nearly abolished (Sorensen et al. 2003). Both SNAP-25 isoforms can rescue secretion when expressed in SNAP-25 knock-out cells, but the SNAP-25b isoform is more efficient in driving vesicle priming than the SNAP-25a isoform (Sorensen et al. 2003), which has been attributed to a more efficient binding of synaptotagmin-1, the calcium sensor for exocytosis, by SNAP-25b (Mohrman et al. 2013).

Syntaxin-1 (Table 4.1) is a prototypic Q_a -SNARE and it contributes one of the four α -helices forming the neuronal SNARE complex (Fasshauer et al. 1998b; Sutton et al. 1998). This 35 kDa plasma membrane protein consists of a transmembrane domain, a SNARE motif, and an N-terminal H_{abc} -domain (Bennett

Table 4.1 Major proteins involved in synaptic vesicle exocytosis at the neuronal synapse

Exocytic protein	Function
Syntaxin	Plasma membrane transmembrane protein and central component of SNARE complex; involved in docking, priming, and fusion
SNAP25	Plasma membrane-anchored protein and central component of SNARE complex; involved in docking, priming, and fusion
VAMP/ synaptobrevin	Synaptic vesicle transmembrane protein and central component of SNARE complex; involved in priming and fusion
Synaptotagmin	Ca^{2+} sensor, binds $\text{PI}(4,5)\text{P}_2$, lipids, and the SNARE complex and regulates fusion through docking, priming, and fusion triggering
Complexin	Binds and regulates SNARE complex
Munc13	Involved in synaptic vesicle priming; opens syntaxin within Munc18 and interacts with RIM
Munc18	Involved in synaptic vesicle docking and priming; binds syntaxin in the closed configuration
NSF	Disassembles SNARE complex
α - and β -SNAP	Cofactor to NSF
Rab3	Regulates synaptic vesicle cycle; interacts with RIM and rabphilin
RIM1	Involved in synaptic vesicle priming; interacts with synaptotagmin, Munc13, and RimBPs (Rim-binding proteins)
N-, P-, Q-type Ca^{2+} channels	Regulate calcium influx to the presynaptic terminus and subsequently trigger exocytosis
PIP1 γ	Key $\text{PI}(4,5)\text{P}_2$ -synthesizing enzyme at the presynaptic plasma membrane

et al. 1992). Two paralogs, syntaxin-1A and syntaxin-1B, share high homology and localization to the plasma membrane (Bennett et al. 1992). While their expression pattern largely overlaps, with only subtle changes in distribution, it is essentially restricted to neuronal and neuroendocrine cells (Ruiz-Montasell et al. 1996). The N-terminal H_{abc}-domain of syntaxin-1 is implicated in the regulation of protein accessibility. This region reversibly binds to the SNARE motif and can maintain two distinct syntaxin-1 conformations. In the “open” conformation (H_{abc}-domain not bound to the SNARE motif), the protein is able to form a functional SNARE complex, whereas in the “closed” conformation (H_{abc}-domain bound to the SNARE motif) it is not (Dulubova et al. 1999). In *Drosophila*, deletion of the syntaxin-1A homologue completely blocks neurotransmitter release (Schulze et al. 1995). In mouse, genetic ablation of syntaxin-1A does not lead to dramatic phenotypes, indicating that syntaxin-1B is sufficient to maintain vital functions (Fujiwara et al. 2006; Gerber et al. 2008), but long-term potentiation was affected due to a defect in the catecholamine systems (Fujiwara et al. 2006; Mishima et al. 2012).

Synaptobrevin/VAMP (Table 4.1) is a prototypic R-SNARE and contributes one of the four α -helices that compose the neuronal SNARE complex (Fasshauer et al. 1998b; Sutton et al. 1998). It is an abundant 13 kDa synaptic vesicle protein with a central SNARE motif, a C-terminal transmembrane region, and a proline-rich N-terminus. Two of the best-studied isoforms, synaptobrevin-1/VAMP1 and synaptobrevin-2/VAMP2, differ mainly in the hydrophobic C-terminus and in the poorly conserved N-terminus (Elferink et al. 1989; Trimble et al. 1988). Although a partial overlap in their expression pattern is apparent, synaptobrevin-1 and synaptobrevin-2 are differentially distributed in the brain, suggesting specialized functions for each isoform. Synaptobrevin-2/VAMP2 is, in general, more evenly distributed, while synaptobrevin-1/VAMP1 expression is located predominantly in neurons with somatomotor function (Trimble et al. 1990). Ablation of the synaptobrevin-2/VAMP2 gene is postnatally lethal (Schoch et al. 2001). Evoked synaptic exocytosis from hippocampal neurons without synaptobrevin-2/VAMP2 is severely decreased, but fusion is not completely abolished (Schoch et al. 2001). The vesicles that fuse in the absence of synaptobrevin-2/VAMP2 are unable to endocytose and recycle quickly, implying that synaptobrevin-2/VAMP2 is also necessary for rapid synaptic vesicle endocytosis (Deak et al. 2004). Synaptobrevin-2 is by far the most abundant synaptic vesicle protein, with 60–70 copies per vesicle (Takamori et al. 2006).

Fusion mediated by SNAREs only is relatively slow, due to uncoordinated fusion events. Given that neurons require high levels of spatially and temporally coordinated activity, SNARE proteins in neurons are tightly regulated at different stages of their generation and action: transcriptional regulation of gene expression, targeting to the correct compartment membranes, functionality in targeted membranes, posttranslational modification (e.g., phosphorylation), assembly and disassembly of the SNARE complex, and fusion triggering by calcium. Consequently, many accessory factors that modulate multiple SNARE complexes are needed to ensure the sophisticated control that characterizes membrane fusion in neurons (Sudhof 2013).

4.2 SNARE-Assembly Mechanism and Fusion

4.2.1 SNARE-Complex Assembly I: Role of Closed Syntaxin and Munc18-1

Syntaxin-1 is found in dense clusters with diameters of 50–70 nm on the plasma membrane (Barg et al. 2010; Lang et al. 2001; Rickman et al. 2010; Sieber et al. 2007). The lipids phosphatidylinositol-4,5-bisphosphate (PIP₂) and cholesterol both participate in clustering syntaxin-1 (Honigmann et al. 2013; Lang et al. 2001; van den Bogaart et al. 2011a) – but see Murray and Tamm (2009). The syntaxin-1 clusters are so dense that they are thought to preclude the formation of the SNAP-25/syntaxin acceptor complex for synaptobrevin/VAMP and possibly even the closed conformation of syntaxin-1 (Sieber et al. 2007) (Fig. 4.2a). SNAP-25 also forms clusters, which are distinct or partly overlapping with the syntaxin clusters (Knowles et al. 2010; Lang et al. 2001). The first event leading to SNARE-complex assembly and fusion is therefore arguably the recruitment of syntaxin-1 and SNAP-25 from these clusters. This might happen spontaneously by lateral diffusion of SNAREs into the membrane from the rim of clusters, resulting in a certain abundance of reactive syntaxin-1 in the membrane, in equilibrium with clustered and thus unavailable syntaxin-1 or SNAP-25 (Bar-On et al. 2009, 2012; Lang et al. 2002), or conversely the vesicles might themselves induce recruit SNAREs to the underlying membrane (Barg et al. 2010; Knowles et al. 2010).

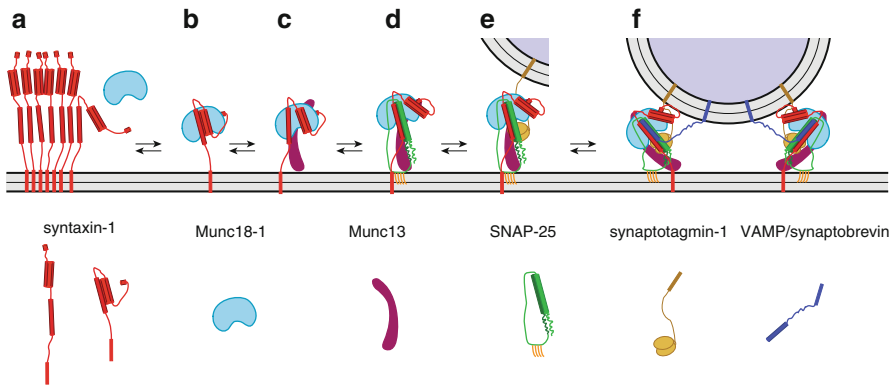


Fig. 4.2 Overview of events leading up to formation of a fusion complex between vesicle and plasma membrane. (a) Syntaxin-1 (and SNAP-25) exists in the plasma membrane as clusters of ~70 nm diameter. (b) Munc18-1 binds to syntaxin-1 in its closed configuration, where the H_{abc}-domain is folded back on the SNARE domain. (c) Munc13 proteins bind to and open syntaxin-1 within syntaxin/Munc18 dimers. (d) Open syntaxin can now bind to SNAP-25. (e) Vesicle docking in adrenal chromaffin cells involves binding of synaptotagmin to syntaxin/SNAP-25 dimer. (f) Synaptobrevin-2/VAMP2 binds to the acceptor complex, creating the fusogenic complex. Note that it is unknown whether Munc13 and Munc18 are still bound to the complex at this time. Also note that complexin, which probably binds to the assembling SNARE-complex, has been left out

Once outside the clusters, syntaxin-1 can associate with SNAP-25 in various configurations (An and Almers 2004; Freedman et al. 2003; Halemani et al. 2010; Laage et al. 2000; Margittai et al. 2001; Misura et al. 2001a; Rickman et al. 2010).

Munc18-1/nsec-1 (Table 4.1) is a soluble kidney-shaped protein, which stabilizes closed syntaxin by binding the H_{abc}-H3 helical bundle through its central cavity (Misura et al. 2000) (Fig. 4.2a, b). This naturally leads to the expectation that Munc18-1 is a negative regulator of secretion, but knockout experiments revealed that Munc18-1 and its homologues are required for fusion in the cell (Harrison et al. 1994; Hosono et al. 1992; Verhage et al. 2000). Further experiments showed that membrane-anchored syntaxin can form SNARE complexes even when bound to Munc18-1 (Zilly et al. 2006). The issue of the essential positive function of Munc18-1 in exocytosis has still not been resolved and remains one of the most interesting open questions in the exocytosis field (for a recent review on SNAREs and Munc18, see (Rizo and Sudhof 2012)). One important function for Munc18 is its binding to syntaxin-1 in the closed configuration during trafficking of both proteins to the cell membrane (Medine et al. 2007; Rowe et al. 1999). In this configuration, Munc18-1 protects the cell from the formation of ectopic SNARE complexes when syntaxin-1 traffics through the Golgi-TGN area (Rowe et al. 1999). Accordingly, in the Munc18-1 knockout mouse, the level of syntaxin-1 is reduced (by about 70 %), although part of the syntaxin-1 population of syntaxin-1 is still properly localized at synapses (Toonen et al. 2005). Thus, the function of Munc18-1 as a chaperone for syntaxin is important, but not sufficient to explain the complete arrest of secretion in Munc18-1 knockout mice (Verhage et al. 2000). It appears likely that initial recruitment of syntaxin from the dense clusters on the plasma membrane might be regulated by Munc18-1 binding to syntaxin-1 adopting the closed configuration at the edge of syntaxin clusters (Fig. 4.2a).

Munc18-1 exerts several actions along the exocytotic pathway. In the absence of Munc18 (or Unc18), vesicle docking to the plasma membrane is absent (Voets et al. 2001; Weimer et al. 2003). This might be expected from the chaperone function of Munc18, because docking also depends on syntaxin-1 expression (de Wit et al. 2006; Hammarlund et al. 2007). However, expression of a Munc18-1 mutant in chromaffin cells, which rescued syntaxin abundance, was unable to rescue docking or secretion, and, conversely, expression of Munc18-2 rescued syntaxin abundance and docking, but not secretion (Gulyas-Kovacs et al. 2007). Expression of a mutant defective in syntaxin binding stimulated release in PC12-cells (Schutz et al. 2005). Therefore, Munc18-1 must play a positive role downstream from docking and distinct from binding to closed syntaxin. Further experiments showed that overexpression of SNAP-25 in Munc18-1 null cells, which presumably increases the amount of syntaxin/SNAP-25 dimers, fully rescued vesicle docking, but secretion remained fully depressed (de Wit et al. 2009). The vesicular docking factor in chromaffin cells was identified as synaptotagmin-1 (de Wit et al. 2009), whereas there is conflicting evidence as to whether VAMP-2/synaptobrevin-2 is involved in this step (Borisovska et al. 2005; Wu et al. 2012). Synaptotagmin-1 seems to be necessary for docking in chromaffin cells by binding

to SNAP-25, presumably within SNAP-25/syntaxin dimers (Mohrmann et al. 2013), but Munc18-1 carries out an essential role in fusion downstream of this step.

The different functions of Munc18-1 along the exocytotic pathway might correlate with different binding configurations with the SNAREs. One configuration is the aforementioned binding to closed syntaxin; another is the binding to the assembled (or assembling) SNARE complex (Carr et al. 1999; Dulubova et al. 2007; Khvotchev et al. 2007; Shen et al. 2007). Both configurations include an interaction with an N-terminal peptide of syntaxin-1 (Burkhardt et al. 2008; Dulubova et al. 2007; Shen et al. 2007). Interfering with the N-terminal peptide reduces Munc18 stimulation of lipid mixing in vitro (Schollmeier et al. 2011; Shen et al. 2007) and exocytosis in vivo (Deak et al. 2009; Khvotchev et al. 2007; Zhou et al. 2013a). Mutations in Munc18 (and its homologue in *Caenorhabditis elegans*), which abolish binding to the N-terminal syntaxin, also strongly reduce synaptic transmission in some (Deak et al. 2009; Johnson et al. 2009), but not all (Meijer et al. 2012) investigations. In in vitro fusion assays, it was surprisingly found that only the N-terminal part of syntaxin, and not the Habc-domain, is essential for Munc18-1 dependent stimulation of vesicle fusion (Rathore et al. 2010; Shen et al. 2010), and furthermore, in *C. elegans*, the N-terminal domain of syntaxin could be transferred onto SNAP-25 without loss of synaptic transmission (Rathore et al. 2010). This indicates that the N-terminal domain of syntaxin acts to recruit Munc18-1 to the assembled (or assembling) SNARE complex to perform its essential stimulating function. However, it is still unclear what this function is.

One possibility is that Munc18-1 assists in nucleating or finalizing SNARE-complex assembly (Shen et al. 2007). This is supported by the observation that the stimulatory role of Munc18-1 in in vitro fusion assays depends on the exact nature of the R-SNARE and is abolished upon mutation of synaptobrevin-2 or its replacement by other isoforms (Schollmeier et al. 2011; Shen et al. 2007). Biochemical experiments have shown that the four-helix SNARE bundle binds to the same cavity in Munc18, which interacts with the H_{abc}-domain of closed syntaxin (Xu et al. 2010), but binding to the SNARE complex has a lower affinity than binding to closed syntaxin (Burkhardt et al. 2008; Xu et al. 2010). Binding was also found to occur directly between the 3a-domain of Munc18-1 and the C-terminal end of the synaptobrevin/VAMP SNARE domain (Xu et al. 2010), which would localize this part of Munc18-1 right at the fusion pore. Notably, the 3a-domain has been shown by random and targeted mutagenesis to be essential for fusion (Boyd et al. 2008; Martin et al. 2013). The basic conceptual problem with the hypothesis that Munc18-1 stimulates SNARE-complex assembly is that SNAREs assemble readily to drive membrane fusion in vitro without the need for Munc18-1 (Schuette et al. 2004; Weber et al. 1998). Consequently, in in vitro fusion assays, Munc18-1 only has a relatively mild effect on fusion and it only becomes stimulatory after preincubation of liposomes under conditions that probably result in initial SNARE-complex formation. Thus, it is currently hard to understand from these findings why Munc18-1 should be absolutely required for SNARE-complex formation in the cell.

It has been suggested that Munc18 might directly catalyze lipid mixing itself, once it is brought into contact with the fusion site by binding to the SNAREs (Rizo and Sudhof 2012). In this model, assembly of the SNAREs would bring the membranes together by exerting force on them, leading to tight apposition and dehydration of the two membranes. Munc18 might then act to stimulate lipid splaying, which might be the rate-limiting step for fusion (see below), and would result in the formation of a lipid stalk. Possibly, Munc18 could provide a surface over which the lipids might bend, catalyzing fusion. While there is no direct evidence to support this model – and it does not account for the difference between in vitro and in vivo findings – it is in agreement with the notion that all SNARE-driven fusion events in the cell require a SM-protein.

4.2.2 SNARE-Complex Assembly II: Opening Syntaxin and Roles of Munc13/CAPS

Since the closed conformation of syntaxin blocks SNARE-complex assembly, opening syntaxin is a key step towards membrane fusion. This opening step must be correlated with a change in interaction mode of Munc18-1. Replacement of wild-type syntaxin with a mutated version – the so-called LE-mutant (Dulubova et al. 1999), which tends to adopt the open conformation more readily (Dulubova et al. 1999) – promoted spontaneous release and increased vesicular release probability (Gerber et al. 2008), presumably because of facilitated formation of SNARE complexes between vesicles and plasma membrane.

In the cell, the opening of syntaxin must be followed or accompanied by binding to SNAP-25, which yields the acceptor complex for synaptobrevin-2/VAMP2 (Fig. 4.2c, d). A role for Munc18-1 in catalyzing this step by keeping syntaxin in a half-open configuration has been proposed based on biochemical studies (Burkhardt et al. 2008). Structural studies have led to the suggestion that a conformational change in Munc18-1 might drive the formation of the acceptor complex while syntaxin remains bound to Munc18-1 (Christie et al. 2012; Colbert et al. 2013; Hu et al. 2011), although these studies did not agree on whether the N-terminal part of syntaxin would engage Munc18-1 to open or close syntaxin.

Proteins of the Munc13/CAPS family (Table 4.1) act by mediating or stimulating the opening of syntaxin, probably within Munc18/syntaxin dimers (Fig. 4.2c). These proteins contain a catalytic so-called Mun domain, which mediates vesicle priming (Basu et al. 2005; Stevens et al. 2005). In the absence of Munc13 proteins, neurosecretion is completely abolished in neurons (Augustin et al. 1999; Richmond et al. 1999; Varoqueaux et al. 2002), whereas overexpression strongly stimulates priming in adrenal chromaffin cells (Ashery et al. 2000). Munc13 interacts directly with syntaxin, with the SNARE complex and with Munc18 (Ma et al. 2011). These rather weak interactions might play key roles in opening syntaxin within syntaxin/Munc18 dimers and allowing SNARE-complex assembly (Ma et al. 2011). The

important role of Munc13 proteins in opening syntaxin was demonstrated in *Drosophila*, where expression of the LE-mutated open syntaxin partly overcomes the secretion defect in Munc13-deficient neurons (McEwen et al. 2006; Richmond et al. 2001). However, in mouse open syntaxin-1B did not overcome the lethal phenotype of the Munc13-1 knockout (Gerber et al. 2008).

CAPS-1 and CAPS-2 also contain a Mun domain, in addition to a PIP₂-binding pleckstrin homology domain, which are both essential for their function (Grishanin et al. 2002; Khodthong et al. 2011). Deletion of CAPS-1 and CAPS-2 leads to a subtle phenotype in neurons, where vesicles can be primed only transiently, by increases in the basal calcium concentration, but soon fall back to the non-primed state (Jockusch et al. 2007). Therefore, there might be two different priming pathways, one governed by Munc13 proteins and one by CAPS proteins. In fusion of dense-core vesicles, CAPS acts at the priming step (Elhamdani et al. 1999; Liu et al. 2008; Speidel et al. 2008), and it has been further shown that CAPS binds to the individual SNAREs and orchestrates the formation of the SNARE complex (Daily et al. 2010; James et al. 2009). Thus, CAPS proteins might play similar roles as Munc13 proteins, but the presence of a PH domain in CAPS and C1- and C2-domains in Munc13 might confer the proteins with different regulatory properties. In fusion of dense-core vesicles, the absence of CAPS proteins can be partly overcome by expression of open syntaxin (Hammarlund et al. 2008; Liu et al. 2010), indicating the similarity in function between Munc13 and CAPS in opening syntaxin. However, Munc13 overexpression could not compensate for CAPS deficiency, or vice versa, (Jockusch et al. 2007; Liu et al. 2010); therefore, CAPS and Munc13 proteins must perform other, distinct, functions in the exocytotic cascade.

Deletion of the H_{abc}-domain of syntaxin – while maintaining the N-terminal peptide intact – supported fast evoked release in syntaxin-1-deficient neurons, but the RRP and spontaneous release were depressed (Zhou et al. 2013b). This finding, together with the only partial rescue of Munc13 or CAPS deficiencies by LE-mutated open syntaxin, indicates that although the closed conformation of syntaxin-1 is inhibitory for SNARE-complex formation per se, the ability to open syntaxin up – presumably catalyzed by Munc13 or CAPS proteins – plays a specific positive role in vesicle priming, which cannot be overcome by constitutively open syntaxin. This seems to play the largest role during sustained calcium elevations, where priming has to be fast to keep up with fusion. This correlates well with the fact that Munc13 proteins are stimulated by calcium and diacylglycerol (Lipstein et al. 2013; Rhee et al. 2002; Shin et al. 2010). Thus, the opening of syntaxin by Munc13 might be a pivotal regulation point of the synaptic cycle, and the regulatory domains of Munc13 (and CAPS) might have developed to link the activity of G-protein-regulated receptors and the calcium concentration to the synaptic priming speed.

The above establish the involvement of the major players in (calcium-independent) exocytosis and neurotransmitter release: the SNARE proteins, Munc18, and Munc13. In a striking experiment, it was shown that the essential functions of SNAREs, Munc18, and Munc13 can be reconstituted in vitro in a

fusion assay (Ma et al. 2013). In these experiments, Munc18 displaced SNAP-25 from syntaxin, and fusion then became Munc13 dependent. Thus, it was concluded that syntaxin being bound to Munc18 in the closed conformation is the starting point for fusion (Fig. 4.2a–c), not “free” syntaxin/SNAP-25 dimers, which would be susceptible to spontaneous disassembly by the activity of α -SNAP/NSF (see below). These syntaxin/Munc18 dimers then bind SNAP-25 and synaptobrevin-2/VAMP2 through the action of Munc13 (Fig. 4.2d), in a pathway which is resistant to α -SNAP/NSF action (Ma et al. 2013). It should be noted that this conclusion does not contradict the observation that the LE-mutated “open syntaxin” can partly bypass the need for Munc13 in vivo, because recent studies have shown that the LE-mutated syntaxin in fact binds to Munc18 in a closed configuration with only slightly lower affinity than wild-type syntaxin (Burkhardt et al. 2008; Colbert et al. 2013). But when bound to Munc18, LE-mutated syntaxin allows formation of the SNARE complex without further cofactors (Burkhardt et al. 2008). Therefore, LE-mutated syntaxin might be called “open” in the sense that it allows spontaneous formation of the SNARE complex within syntaxin/Munc18 dimers.

4.2.3 *SNARE-Complex Assembly III: Zippering the SNARE Bundle*

Productive formation of the syntaxin/SNAP-25 dimer probably takes place while syntaxin remains bound to Munc18-1 (see above). Biochemical experiments and experiments in adrenal chromaffin cells are consistent with the idea that this dimer binds to synaptotagmin, which docks vesicles to the SNARE acceptor complex (de Wit et al. 2009; Mohrmann et al. 2013; Rickman et al. 2004, 2006) (Fig. 4.2e). A specific step corresponding to synaptotagmin binding to SNAP-25/syntaxin has not been detected in neurons, where morphological docking as detected by the electron microscope is driven by another complex consisting of RIM (Rab3-interacting molecule), RIM-BP (RIM-binding protein), and Rab3 or Rab27 (Sudhof 2013). Nevertheless, it is likely that the same sequence of events takes place in neurons, even though it does not correspond to a visible phenotype in EM micrographs. The next event on the path to vesicle fusion is most likely synaptobrevin/VAMP binding to the acceptor complex to form the ternary SNARE complex, which drives membrane fusion itself (Fig. 4.2f). This is probably a heavily regulated step towards fusion. The protein tomosyn, which carries a SNARE motif and is classified as an R-SNARE, binds to the SNAP-25/syntaxin dimer to block or limit vesicle priming, which then requires synaptobrevin-2/VAMP2 to replace tomosyn in a poorly characterized fashion (for a review, see (Ashery et al. 2009)).

The main framework for our thinking about the SNARE-assembly step is the “zipper hypothesis” (Hanson et al. 1997a; Lin and Scheller 1997), which was suggested following the realization that SNARE-complex formation aligns the SNARE domains in parallel (Hanson et al. 1997b; Sutton et al. 1998). This placed

the C-terminal membrane anchors of synaptobrevin-2/VAMP2 and syntaxin in the same end of the complex, suggestive of a mechanism where N- to C-terminal assembly (“zippering”) brings the membranes closer and closer together, until fusion results. In the simplest possible version of this model, the complex would be linked to the membrane via stiff linker between the SNARE domains and transmembrane anchors, linking complex formation directly to deformation of the membranes (see below).

Experiments relying on deletion studies, peptide interference in cells, and *in vitro* fusion assays uniformly support the idea that ternary SNARE-complex formation starts in the N-terminal end and progresses towards the C-terminus (Chen et al. 2001; Fasshauer and Margittai 2004; Matos et al. 2003; Melia et al. 2002; Pobbati et al. 2006; Xu et al. 1999b). It is the formation of the SNARE complex, which leads to structuring of the SNARE domains of SNAP-25 and synaptobrevin-2/VAMP2, which are unstructured when free in solution (Fasshauer et al. 1997). However, the α -helical SNARE motifs are fairly promiscuous, which *in vitro* can lead to formation of several other products, such as a 2:1 complex, where the binding site for synaptobrevin-2/VAMP2 is occupied by a second syntaxin (Fasshauer and Margittai 2004; Xiao et al. 2001). This structure – as well as others (Misura et al. 2001a, b) – represents off-pathway products (kinetic traps), which are unproductive for fusion and therefore exacerbate the very slow kinetics of SNARE-driven fusion *in vitro*. Blocking formation of these alternative complexes results in markedly sped up *in vitro* fusion (Pobbati et al. 2006). In the cell, it is likely that the formation of the SNAP-25/syntaxin acceptor complex while bound to Munc18 protects against the formation of off-pathway complexes, which might be yet another function for Munc18 (Rizo and Sudhof 2012).

Experiments performed in cells support the idea of N- to C-terminal assembly of the SNARE complex. Infusion of an antibody, which blocked SNARE-complex assembly, into chromaffin cells led to the suggestion that the SNARE complex might coexist in two different states, a “loose” and a “tight” state, before exocytosis (Xu et al. 1999b). Differences in the activity dependence of neurotransmission block caused by tetanus toxin (TeNT) and botulinum neurotoxin D (BoNT/D), which bind to the N- or the C-terminal end of the synaptobrevin-2/VAMP2 SNARE domain, respectively, led to the suggestion that the N-terminal, but not the C-terminal, half of the SNARE domain is shielded before fusion (Hua and Charlton 1999).

Mutagenesis studies have shown that mutating the hydrophobic layers in the middle of the SNARE bundle to cause a local destabilization has very different consequences depending on where along the bundle the mutation is placed. Mutations in the middle of the bundle – or towards the N-terminal end – caused a decrease in forward vesicle priming rate, whereas mutations in the most C-terminal layers – layers +7 and +8 – cause a depression in fusion speed (Sorensen et al. 2006; Walter et al. 2010; Weber et al. 2010; Wei et al. 2000). The depression in speed was graded when several destabilizing mutations were compared, and – importantly – temperature unfolding experiments showed that C-terminal mutations caused the C-terminal end of the complex to disassemble at lower temperatures, while the

N-terminal end of the complex remained unaffected, indicating that the two ends of the SNARE complex fold independently (Sorensen et al. 2006). Conversely, a mutation in syntaxin layer +7, which tightens the C-terminal end, caused increased spontaneous and evoked release in *Drosophila* (Lagow et al. 2007). Thus, fusion rate or, equivalently, fusion probability might correlate directly with the stability of the very C-terminal end of the SNARE bundle.

Since N-terminal assembly correlates with vesicle priming, whereas C-terminal assembly causes vesicle fusion, some mechanism must arrest further SNARE complex zippering after initial N-terminal assembly until arrival of the calcium signal (but see below for a different view). This mechanism could in principle be (1) intrinsic to the SNARE complex, such that two sub-domains fold independently, separated by an energy barrier; (2) the repulsion between the membranes, which will put up an energy barrier for C-terminal assembly; or (3) an accessory protein, which arrests the SNARE complex in the half-zipped state. In fact, it is likely that all of these mechanisms contribute to the partial assembly of SNARE complexes. First, elegant experiments using forced unzipping and zippering of single SNARE complexes (without accessory proteins) by optical or magnetic tweezers have revealed that the N-terminal and C-terminal halves of the SNARE bundle fold/unfold in discrete steps (Gao et al. 2012; Min et al. 2013). This is followed by zippering of the linker and transmembrane domains to yield the fully zippered complex (Stein et al. 2009). Interestingly, the N- and C-terminal halves seem to assemble as binary switches, so that further intermediate assembly steps are not discernible. The assembly of the N-terminal half of the SNARE complex arranges the C-terminal domains of the acceptor complex into the same structure as the *cis*-complex (Li et al. 2014), indicating that N-terminal assembly sets the stage for later C-terminal assembly. Second, the same experiments showed that, with a repulsion force on the C-terminal end of the SNAREs between 12pN and 20pN (Gao et al. 2012), or between 11 pN and 34 pN (Min et al. 2013), the SNARE complex would rest in a state where only the N-terminal end was assembled. Above this range, the N-terminal end would disassemble. Thus, it is likely that repulsion between membranes would keep the SNARE complex in a partially assembled state. Third, recent *in vitro* and *in vivo* experiments have found that the accessory protein complexin binds to the SNARE complex and inserts its so-called accessory helix into the C-terminal end of the partially assembled complex, where it blocks further assembly until calcium binds to synaptotagmin and relieves the complexin block [e.g., (Giraudo et al. 2006; Kummel et al. 2011; Malsam et al. 2012; Tang et al. 2006; Xue et al. 2007)] (See chapter on complexins; Chap. 6). Because the binding site for complexin is created during formation of the SNARE complex (Chen et al. 2002; Pabst et al. 2000), the two former mechanisms might be required to arrest the SNARE-complex formation long enough for complexin to bind.

Not all data support the view that assembly of the C-terminal half of the SNARE complex leads to membrane fusion. Fully assembled SNARE complexes – or SNARE complexes only slightly frayed at the very C-terminal end – have been identified between non-fused – or hemifused – membranes (Hernandez et al. 2012; Shin et al. 2014). Thus, full assembly of the SNARE domains into a complex might

not be sufficient to fuse the membranes. According to this view, it might be the zippering of the linker domains and transmembrane anchors that triggers fusion, assisted by calcium binding to synaptotagmin. This scenario is consistent with mutagenesis conducted in cells, because the only mutations that were found to selectively compromise secretion rate were located in layers +7 and +8, and indeed it was suggested that the “partially assembled” complex might only need to assemble layer +8 (Walter et al. 2010). Thus, whether SNARE-complex assembly is arrested at the zero layer in the cell is unclear.

The physical movements in the SNAREs associated with membrane fusion in living cells have been detected using inter- and intramolecular FRET (fluorescence resonance energy transfer) (An and Almers 2004; Degtyar et al. 2013; Wang et al. 2008; Zhao et al. 2013). These studies have detected changes in FRET reflecting SNARE-complex formation, or other conformational changes, before and after fusion. However, because of the long exposures required to detect the small FRET signals, it has been hard to establish unequivocally which signals are derived from changes before or after membrane fusion. Recently, this problem was overcome using a four-electrode electrochemical detector array on a glass coverslip to detect and localize fusing vesicles in chromaffin cells in TIRF microscopy independently of the FRET signal (Zhao et al. 2013). Averaging the FRET signal around many fusing vesicles led to the detection of a FRET signal, which preceded release by ~90 ms. The FRET probe used [SCORE for SNARE complex reporter (An and Almers 2004)] was an intramolecular SNAP-25 probe constructed to display FRET upon SNARE-complex formation. Since 90 ms is probably too fast to be caused by vesicle priming in chromaffin cells, this experiment detected a structural change in SNAP-25 linked to membrane fusion itself. This might be interpreted as evidence that the second SNARE motif of SNAP-25 only joins the SNARE complex at the time of fusion (An and Almers 2004). Alternatively, the FRET signal might reflect a more subtle movement, for instance, a rotation, associated with final SNARE-complex zippering.

Recently, it was suggested that synaptotagmin might act as a distance regulator, which would prevent SNARE-complex assembly entirely until calcium influx (Jahn and Fasshauer 2012; van den Bogaart et al. 2011b). In this model, the SNARE complex acts as a “one-shot” device, which assembles uninterrupted to fuse the membranes as soon as the N-terminal ends engage. Thus, in this model there is no function for a partially assembled SNARE complex. While this model is attractive in that it can explain the FRET signal detected immediately before fusion (Zhao et al. 2013), it is hard to reconcile with the known effects of complexin on vesicle priming and fusion, since complexin cannot bind until the SNARE complex has formed. It further appears inconsistent with the finding that mutations in the N-terminal end of the SNARE complex selectively affect vesicle priming, a reaction that takes part upstream of calcium influx.

4.2.4 SNARE-Complex Assembly IV: Fusing the Membranes

Whereas a consistent picture is emerging regarding the steps leading up to membrane fusion, membrane merger itself remains incompletely understood. This is due, at least in part, to the fact that the membrane merger process cannot be studied directly in living cells, whereas *in vitro* systems might not reproduce essential features of the cellular fusion process. Nevertheless, recent years have seen substantial progress using indirect methods and mathematical simulations that allow us to paint a preliminary picture, which will undoubtedly become more complete as cellular methods for the measurement of lipid mixture with high temporal resolution are developed.

It is commonly assumed that SNARE-dependent membrane fusion follows the same basal steps as protein-less membrane fusion (Chernomordik and Kozlov 2003) (Fig. 4.3). Initially, the proximal leaflets approach each other (Fig. 4.3a), which results in dehydration of the lipid head groups, splaying of lipids (Fig. 4.3b) and lipid stalk formation, where the proximal leaflets are fused. This lipid stalk can now either expand, yielding a hemifused state and then a fusion pore, or the lipid stalk can directly yield a fusion pore. There is extensive evidence that SNARE-mediated fusion can pass through the hemifused state (Abdulreda et al. 2008; Giraud et al. 2005; Lu et al. 2005; Reese et al. 2005; Wong et al. 2007) (Fig. 4.3c). The next step is fusion pore generation by breaking the hemifused membrane to yield the fully fused state (Fig. 4.3d). In synaptic transmission, neurotransmitter release starts as soon as the fusion pore is generated, and for small synaptic vesicles, it is expected that even the formation of a transient fusion pore might be enough to empty the vesicle for neurotransmitter (Bruns and Jahn 1995). In contrast, for dense-core vesicles, transmitter might be released both

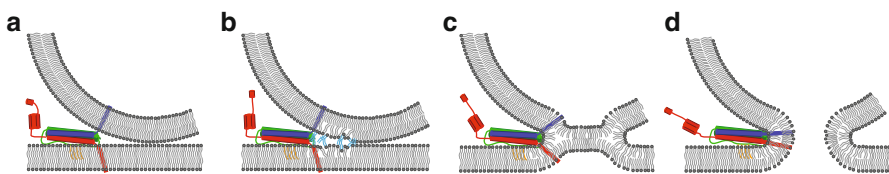


Fig. 4.3 Final SNARE-mediated fusion of membranes. Note that only the SNARE complex has been drawn, even though complexin and synaptotagmin also are expected to participate at this stage (a) Formation of the SNARE complex leads to close apposition between the membranes, leading to dehydration of the leaflets and approach of lipid head groups from the two membranes. (b) The next step is probably lipid splaying, i.e., some lipids will flip out of the leaflet and form bridges with lipids from the opposing leaflet. Splayed lipids have been drawn in cyan. (c) After formation of a lipid stalk (not shown), the fusion pathway might transit through the hemifused state, where distal leaflets meet to form a bilayer. (d) The C-terminal ends of syntaxin and synaptobrevin-2/VAMP2 cause destabilization of the hemifused state and lead to full membrane fusion, which relaxes bending stress in the SNAREs and leads to a fully zippered complex. See Risselada and Grubmüller (2012) for a more detailed account of the last events leading to membrane fusion

during fusion pore formation and as the fusion pore expands (Albillos et al. 1997; Zhou et al. 1996).

How is SNARE-complex assembly linked to the fusion of the lipid membranes? One view sees the conclusion of the SNARE-catalyzed membrane fusion process as a highly structured and reproducible superstructure, often consisting of a large number (5–15) of SNARE complexes arranged in a radial and symmetrical fashion around the nascent fusion pore (Megighian et al. 2013; Montecucco et al. 2005). Whereas most investigators assume that the fusion pore would be lined by lipids, in some models this lipid pore would be preceded by a transient proteinaceous pore lined by the transmembrane domains of syntaxin and synaptobrevin-2/VAMP2 (Han et al. 2004). Recent findings make such a fixed high-order structure unlikely. *In vitro* experiments have shown that a single SNARE complex suffices to fuse membranes (Shi et al. 2012; van den Bogaart et al. 2010), whereas three complexes are necessary for keeping the fusion pore open (Shi et al. 2012). This fits very well with titration experiments of all three SNAREs in living cells, which is consistent with two to three SNARE complexes being enough to cause fast fusion (Arancillo et al. 2013; Mohrmann et al. 2010; Sinha et al. 2011). Similar results had been obtained previously in cracked-open PC12 cells (Hua and Scheller 2001). Even though more experiments are needed to understand whether the number of engaging SNARE complexes is fixed or variable, and whether it is stochastic or under regulatory control, these experiments are most easily reconciled with a model wherein SNAREs fuse membranes essentially as independent devices resulting in variable overall stoichiometry, which might be reflected in the multiple kinetic components of exocytosis. This is further in agreement with the fact that no mechanism that would organize ring-formed higher-order SNARE structures in the cell has so far been established. One such possible mechanism would be domain sharing by SNAP-25, i.e., the two SNARE domains of SNAP-25 might join different but neighboring complexes, which might organize multiple SNARE complexes in a ring-formed structure (Tokumaru et al. 2001). However, this arrangement was not supported by experiments in chromaffin cells (Mohrmann et al. 2010).

The assumption that the energy of formation of the SNARE complex drives membrane fusion has prompted considerations about whether the energy of a single SNARE complex would suffice to overcome the energy barrier for fusion. Theoretical calculations using continuum models have placed the energy barrier for membrane fusion at $\sim 40 k_B T$ (k_B is the Boltzmann constant; T is the absolute temperature) (Cohen and Melikyan 2004; Kuzmin et al. 2001). This estimate has been compared to the energy released by forming a single SNARE complex as measured in a surface force apparatus ($\sim 35 k_B T$) (Li et al. 2007) or as measured using optical tweezer manipulation of single complexes ($\sim 65 k_B T$) (Gao et al. 2012). However, isothermal calorimetry resulted in markedly lower estimates of the energy release by a SNARE complex, around $\sim 19 k_B T$ (Wiederhold and Fasshauer 2009). The large discrepancy between those three studies (note that $\sim 2.3 k_B T$ corresponds to a factor 10 difference in equilibrium constant at physiological temperatures) remains unexplained but is likely related to the difficulty in

obtaining reversible measurements within an experimentally accessible time scale, combined with the fact that assembly and disassembly displays hysteresis (Fasshauer et al. 2002). In any case, it is unlikely that all of the energy liberated by the formation of a SNARE complex can be harnessed for membrane fusion. Assembly of the C-terminal half of the SNARE complex liberates less energy than assembly of the N-terminal end (Wiederhold and Fasshauer 2009), even though, in the optical tweezers experiment, the C-terminal end still released an impressive $\sim 28 k_B T$ (Gao et al. 2012). It is formation of the C-terminal end that couples to membrane fusion, whereas assembly of the N-terminal end drives vesicle priming (see above). Therefore, it is important to understand whether assembly of the N-terminal end results in a tense structure that stores energy eventually used for C-terminal assembly. However, destabilizing mutations in the N-terminal end did not compromise fusion speeds in chromaffin cells (Sorensen et al. 2006; Walter et al. 2010; Wiederhold et al. 2010), whereas in neurons such mutations actually slightly increased release probability (Weber et al. 2010). This is most easily reconciled with the assembly of the N-terminal end of the SNARE complex resulting in a stable structure (i.e., a minimum in the energy landscape), which might result in an increase in the effective size of the energy barrier for fusion. Thus, partial SNARE-complex assembly might actually increase the energy barrier for fusion, in order to set the state for fast calcium-triggered fusion. This is exactly what is expected in the “complexin as a clamp” model (see above), where tight assembly of the N-terminal end of the SNARE complex leads to complexin binding and clamping of C-terminal SNARE-complex assembly. Another possibility is that a similar feature is encoded in the SNARE complex itself and then exacerbated by interaction with complexin/synaptotagmin.

The energy barrier for membrane fusion is lowered by $\sim 10 k_B T$ during the arrival of an action potential (Rhee et al. 2005). Thus, a low number of SNARE complexes (down to a single one) might release enough energy to fuse the membranes with a rate consistent with neurotransmission. Simulations using coarse-grain models have resulted in lower estimates for the overall fusion barrier [reviewed in (Risselada and Grubmuller 2012)]. Notably, several energy barriers could be distinguished. The first consists of close approach and dehydration of the membranes. The next is the occurrence of splayed lipids, i.e., lipids with exposed hydrophobic tails reaching over towards the opposite membrane. This state might constitute the main energy barrier, while the stalk is at a local energy minimum (Markvoort and Marrink 2011; Risselada and Grubmuller 2012). Expansion of the fusion pore might constitute another downstream energy barrier (Katsov et al. 2004). SNARE assembly will likely be able to overcome the first energy barrier and cause close membrane apposition. It has been suggested that Munc18 might directly act to induce lipid mixing (Rizo and Sudhof 2012), which would help overcome the second energy barrier. Similarly, it has been found that synaptotagmins help expand the fusion pore (Bai et al. 2004b; Wang et al. 2001, 2006). Thus, it is likely that we will come to see the SNAREs as part of a larger fusion machine, which has to overcome several distinct energy barriers.

Whatever the exact nature of the energy barrier(s), if the energy of formation of the SNARE complex is harvested to fuse the membranes, then force must be transduced to the membranes via the linkers between the SNARE domains and the transmembrane domains (TMD) of syntaxin-1 and synaptobrevin-2/VAMP2. Force transduction might take place by the formation of continuous α -helices throughout the linker and TMD, as found in a crystal structure that included those regions (Stein et al. 2009). Because α -helices are stiff structures, progressive formation of a continuous α -helix from the N- to the C-terminal would link the conformation of synaptobrevin-2/VAMP2 to membrane deformation. However, mutagenesis experiments have shown that α -helical continuity per se is not absolutely required for membrane fusion. In *in vitro* fusion assays, inserting helix breakers (five amino acids, including two prolines) in synaptobrevin-2/VAMP2 did not inhibit lipid mixing, whereas insertion in syntaxin reduced fusion by a factor of two (McNew et al. 1999). Insertion of flexible domains into the linkers progressively reduced lipid mixing, and again syntaxin was more susceptible than synaptobrevin-2/VAMP2, indicating that the SNARE complex acts asymmetrically on the two membranes. Simulations have shown that the syntaxin linker has considerable stiffness, making the molecule adopt an upright posture in the membrane (Knecht and Grubmüller 2003), while the synaptobrevin-2/VAMP2 linker is more flexible and might be partly inserted into the membrane (Ellena et al. 2009; Kweon et al. 2003).

Experiments in chromaffin cells and cultured neurons have shown that insertion of additional flexible sequences of 2–8 amino acids in the synaptobrevin-2/VAMP2 linker compromises vesicle priming, induces a longer delay before secretion starts, and slows down release from individual vesicles (Bretou et al. 2008; Guzman et al. 2010; Kesavan et al. 2007). Surprisingly, in chromaffin cells expressing a synaptobrevin-2/VAMP2 with a 6-amino acid addition in linker, secretion – when it got under way after a longer delay – was as fast as in the wild-type situation (Kesavan et al. 2007). With a linker encompassing 22 additional amino acids, secretion was indistinguishable from synaptobrevin-2/VAMP2 knockout cells (Kesavan et al. 2007). In neurons, insertion of three or seven amino acids in the linker of syntaxin-1 eliminated the ability to support evoked release (Zhou et al. 2013a). Further studies have identified two tryptophans in the synaptobrevin-2/VAMP2 linker as essential in imposing a fusion clamp, which favors evoked rather than spontaneous fusion (Fang et al. 2013; Maximov et al. 2009). Overall, there is little doubt that the linkers of syntaxin and synaptobrevin-2/VAMP2 are important for transducing force to the membranes as part of the evoked release mechanism (see discussion of spontaneous release below).

In a very recent and fascinating study, it was demonstrated that replacing the TMDs of both syntaxin and synaptobrevin-2/VAMP2 with lipid anchors, which inserted into only one membrane leaflet, still allowed a substantial amount of fast evoked release from central neurons (Zhou et al. 2013a). This was unexpected, because a previous study performed *in vitro* was unable to reconstitute fusion upon anchoring the SNARE domains to lipids (McNew et al. 2000). One important

difference between the studies – apart from the obvious difference between *in vitro* studies and studies carried out in cells – is that in the 2000 study, SNARE domains were linked to single lipids, whereas in the 2013 study, the SNAREs were linked to longer palmitoylation stretches, which presumably conferred a much more solid lipid anchor, consisting of several lipid moieties, onto the SNAREs. These considerations are thus in line with the idea that the main function of the TMD regions of the SNAREs is to transfer force to the membranes, whereas the details of how this is achieved appear to be of secondary importance.

Likewise, the finding that fast release was still present, although depressed in magnitude, after insertion of 4–5 amino acids in the linker of synaptobrevin-2/VAMP2 (Kesavan et al. 2007), indicates that the exact properties of the synaptobrevin-2/VAMP2 linker – while obviously important to optimize release of neurotransmitter – are not crucial to obtain membrane fusion *per se*. It is interesting to compare linker mutations with mutations in the C-terminal layers of the SNARE complex, where even single-point mutations, or deletions of single amino acids, lead to severe phenotypes *in vivo* (Criado et al. 1999; Gil et al. 2002; Sorensen et al. 2006; Walter et al. 2010) and *in vitro* (Hernandez et al. 2012; Siddiqui et al. 2007). Thus, it appears that although the stability and detailed topology of the SNARE bundle are very important, linker domains and transmembrane domains are less restricted. Nevertheless, analysis of single-vesicle fusion events has shown that the SNAREs add force to drive membrane fusion not only during fusion pore formation but also during the subsequent expansion of the fusion pore (Bretou et al. 2008; Guzman et al. 2010; Kesavan et al. 2007).

Thus, within the wild-type proteins, the SNARE TMDs are likely to play an important role in fusion pore formation and expansion. Deletion of the C-terminal half of the synaptobrevin-2/VAMP2 TMD was found to suppress secretion in PC12 cells (Fdez et al. 2010), possibly due to arrest in the hemifused state. Dimerization of the TMD of synaptobrevin-2/VAMP2 has also been demonstrated (Laage and Langosch 1997; Laage et al. 2000), but the mutation that eliminated dimerization did not inhibit fusion (Fdez et al. 2010). Addition of one or two amino acid residues to the very C-terminal (i.e., the intravascular) end of synaptobrevin-2/VAMP2 inhibited fusion in chromaffin cells, according to the transfer energy of the residues from water to the membrane (Ngatchou et al. 2010). This led to the suggestion that the C-terminal end of the TMD is pulled into the membrane – driven by formation of the SNARE complex – leading to disruption of the hemifused stalk or membrane, and fusion pore formation.

Simulations have provided important insights into the final events leading up to membrane fusion [reviewed in (Markvoort and Marrink 2011; Risselada and Grubmuller 2012)]. Molecular dynamics simulations confirmed experimental findings that a single or a few SNARE complexes can drive fusion and identified important roles for the SNARE linkers and TMRs in inducing lipid disordering that eventually leads to stalk formation (Risselada et al. 2011). Following formation of the hemifused state, the C-terminal ends of the TMRs help formation of the fusion pore, which releases bending stress in the SNAREs by placing both charged C-terminal ends into the hydrophilic pore (Lindau et al. 2012; Risselada

et al. 2011), consistent with the crystal structure (Stein et al. 2009). One simulation also identified important roles for dimerization (Risselada et al. 2011). These findings all contrast to a certain degree with the experimental evidence mentioned above that dimerization is not necessary and that the TMD and linkers can be manipulated without losing fusogenicity. However, it is important to remember that until molecular dynamics simulations have been carried out on these mutants, it is unclear to what extent they might have furnished the system with alternative pathways of fusion that might substitute for specific endogenous properties.

In conclusion, there is compelling – even overwhelming – evidence to conclude that SNARE-complex formation liberates energy, which is transferred to the membrane through the linkers and TMD as a corresponding force to drive membrane fusion. This force participates in closely aligning the membranes, leading to dehydration of the lipid head groups, at which point the fusion process becomes governed by poorly characterized lipid-protein and lipid-lipid interactions. It appears most likely that the subsequent events are lipid splaying, stalk formation, and the formation of a hemifusion intermediate, which gives way to the formation of a fusion pore and release of the vesicular content [for a model incorporating a transient proteinaceous pore, see (Jackson 2010)]. The SNAREs continue to drive fusion throughout these stages, but it seems likely that the last part of the process can proceed in several different ways.

4.3 Disassembly and Recycling of the SNARE Proteins

After synaptic vesicle fusion, the tight *cis*-SNARE complexes need to be disassembled, thereby recycling the SNAREs for subsequent fusion reactions (Fig. 4.4). The SNARE-complex disassembly process is achieved by the action of a complex consisting of the ATPase NSF and its cofactors α - β - γ -SNAP (Sollner et al. 1993a) (Table 4.1). α -SNAP is known to bind to the *cis*-SNARE complexes and, in turn, to recruit and activate NSF, which liberates individual SNAREs from the complex. α -SNAP and its two homologues, β - and γ -SNAP, were originally discovered as factors required for recruiting NSF to membranes in cell-free transport assays (Clary and Rothman 1990; Whiteheart et al. 2001). Both NSF and α -SNAP have been shown to actively participate in all intracellular processes involving membrane fusion.

In spite of the fact that SNARE proteins are in vast excess, they still may become limiting during the vesicle cycle if they are not rapidly recycled. The best example is given by the analysis of a temperature-sensitive mutation in the *Drosophila* homologue of NSF, *comatose* or dNSF-1, which revealed pronounced synaptic depression during repetitive stimulation (Littleton et al. 1998, 2001). These and other data (Banerjee et al. 1996; Xu et al. 1999a) led to the realization that NSF is not necessary for fusion per se but required to recycle the SNAREs. Likewise, it was recently reported that mammalian neurons without α -SNAP and with a hypomorphic β -SNAP level displayed additional rundown during phases of high

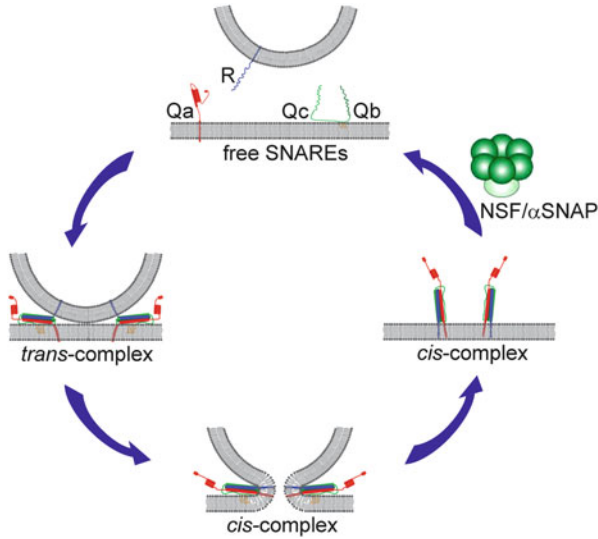


Fig. 4.4 Schematic of the canonical SNARE protein cycle. SNARE components: syntaxin-1 (red), SNAP-25 (green), and synaptobrevin-2/VAMP2 (blue). Membrane fusion through formation of a *trans*-SNARE complex proceeds from a loose state (in which only the N-terminal portion of the SNARE motifs is “zipped up”) to a tight state (in which the zipping process is mostly completed), and this is followed by the opening of the fusion pore. During fusion, the *trans*-complex relaxes into a *cis*-configuration. Dissociation of the *cis*-complex and repriming requires the energy input of ATP hydrolysis and is achieved through the binding of the ATPase protein NSF (N-ethylmaleimide-sensitive factor) together with SNAPs (soluble NSF attachment proteins) that function as cofactors

synaptic activity (Burgalossi et al. 2010), demonstrating the importance of NSF’s cofactors in priming new vesicles for release.

While *cis*-SNARE-complexes should act as substrates for α - β - γ -SNAP and NSF in order to be disassembled for another round of fusion, *trans*-SNARE complexes should be resistant, lest their disassembly would block fusion. Different mechanisms have been suggested to protect *trans*-SNARE complexes from disassembly, including steric hindrance by the membrane or resistance of partially assembled complexes to SNAP binding (Weber et al. 2000). In a recent study, it was found that formation of the *trans*-SNARE complex within the confined environment of Munc18 and Munc13 protects *trans*-SNARE complexes from NSF-mediated disassembly (Ma et al. 2013).

Generally, it is believed that the activity of NSF is so high that it acts soon after fusion to disassemble the resultant *cis*-SNARE complexes and to liberate the SNAREs that drove the merger of membranes. Consequently, in the resting state most SNAREs in the membrane of neurosecretory cells are uncomplexed (Lang et al. 2002), and when blocking the action of NSF, secretion runs down only slowly, whereas blocking the function of syntaxin leads to a much faster block of secretion

(Littleton et al. 1998). However, there is some evidence that NSF and α - β -SNAP could have an acute function immediately prior to vesicle fusion (Burgalossi et al. 2010; Kuner et al. 2008). This was taken to indicate that under some conditions, α -SNAP/NSF-dependent priming occurs immediately prior to the fusion step and is needed to free the SNAREs from the inactive *cis*-complex state (Kuner et al. 2008). However, it is also possible that NSF and α - β -SNAP interact with the *trans*-SNARE complex in a way which has yet to be determined.

4.4 SNAREs, Lipids, and Membrane Fusion

Apart from the SNAREs and associated proteins, other cellular factors also regulate the kinetics, the extent of fusion and the preparation of vesicle for release. Among those factors, membrane lipids are especially noteworthy [for review see (Chasserot-Golaz et al. 2010; Darios et al. 2007)]. Since lipids are the main constituents of the fusing membranes, modifying lipids can directly change the intrinsic fusogenic properties of membranes. In addition, lipids act to recruit and/or activate a large number of different proteins to create a local environment in which exocytosis takes place.

Although it has been known since the 1950s that stimulation of pancreatic cell secretion leads to increased phosphorylation of phosphatidylinositides (PIs) (Hokin and Hokin 1953), it is still an ongoing work to characterize all the PI molecules that are needed for the exocytic process. This is partially due to the PI versatility given that the inositol head group of PI can be reversibly phosphorylated at various positions, resulting in seven naturally occurring PIs. All PIs show distributions restricted to well-characterized membrane territories and can be rapidly interconverted by specialized lipid kinases and phosphatases, which add or remove specific phosphate groups; some forms are also broken down by phospholipases (Cremona and De Camilli 2001; Di Paolo and De Camilli 2006).

PI(4,5)P₂ is a key PI player in regulated exocytosis as well as endocytosis in neurons and neuroendocrine cells [reviewed by (Cremona and De Camilli 2001; Martin 2001; Saheki and De Camilli 2012)]. The canonical pathway of PI metabolism places PI(4)P as the precursor of PI(4,5)P₂. In this pathway, PI4-kinases (PI4Ks) phosphorylate PI to produce PI(4)P, which then serves as a substrate for PIP5-kinases (PI5Ks). Two types of PIP5Ks are responsible for the PI(4,5)P₂ synthesis and each exists as several isoforms. For example, phosphatidylinositol 4-phosphate 5-kinase I γ (PI4P5K-I γ) is the major isoform that produces PI(4,5)P₂ at the neuronal active zone (Wenk et al. 2001). To account for the complex demands for PI(4,5)P₂ at the active zone, PI4P5K-I γ is tightly regulated by Ca²⁺, Arf6, phosphorylation, and phosphatidic acid, the product of phospholipase D activity [see also below; (Aikawa and Martin 2003; Fruman et al. 1998)].

The first clue suggesting a direct role of PI(4,5)P₂ in regulated exocytosis came from studies on permeabilized chromaffin and PC12 cells which showed that PI(4,5)P₂ was required for an ATP-dependent priming step preceding Ca²⁺-triggered

fusion (Eberhard et al. 1990; Hay et al. 1995). A search for the cytosolic factors required for this energy requiring priming step in permeabilized neuroendocrine cells led to the identification of two enzymes involved in the PI metabolism: a phosphatidylinositol transfer protein (PITP; (Hay and Martin 1993)) and a PI4P5K (Hay et al. 1995). A model was suggested in which PI was delivered to the vesicle membrane via PITP, phosphorylated to PI(4)P by vesicular protein PI4K-II, and finally converted to PI(4,5)P₂ by PI4P5K recruited from the cytoplasm (Hay et al. 1995). In subsequent work it was observed that the PI(4,5)P₂-binding PH domain from PLCδ₁ became localized to the plasma membrane and inhibited Ca²⁺-dependent exocytosis in chromaffin cells (Holz et al. 2000). Further, overexpression of the PI4P5K-Iγ caused an increase in the plasmalemmal PI(4,5)P₂ level and the primed vesicle pool, whereas overexpression of a membrane-tagged PI(4,5)P₂ phosphatase eliminated plasmalemmal PI(4,5)P₂ and inhibited secretion, showing that the balance between the generation and degradation rates of the plasmalemmal PI(4,5)P₂ directly regulates vesicle priming (Milosevic et al. 2005). Dual roles of PI(4,5)P₂ in both exocytosis and endocytosis suggest that this lipid may control the plasma membrane trafficking and a model in which a PI cycle is nested within the secretory vesicle cycle was proposed (Cremona and De Camilli 2001).

Many proteins involved in regulated exocytosis have been shown to interact with PI(4,5)P₂ *in vitro*, and based on these interactions, it can be postulated that PI(4,5)P₂ has a function in vesicle docking, in priming, and in particular the fusion reaction. Most notably, PI(4,5)P₂ binds to synaptotagmin family members and CAPS proteins (Bai et al. 2004a; Loyet et al. 1998; Schiavo et al. 1996; van den Bogaart et al. 2012). Other relevant interactions include binding of PI(4,5)P₂ to Mints, which bind Munc18s and are implicated in docking (Okamoto and Sudhof 1997), and rabphilin 3, an effector of Rab3 proteins, which might control SNARE-complex formation (Chung et al. 1998). Molecular details of how PI(4,5)P₂ forms a platform for vesicle recruitment have recently been proposed (Honigsmann et al. 2013). Specifically, synaptotagmin-1 was shown to interact independently of calcium with the polybasic linker region of syntaxin-1 already associated with PI(4,5)P₂ at the plasma membrane. This interaction might cause vesicle docking at least *in vitro* (Kim et al. 2012).

Besides PI(4,5)P₂, PI hydrolysis products or other PIs may act as recruitment or signaling factors to prime secretory vesicles for fusion. Diacylglycerol (DAG) production through hydrolysis of PI(4,5)P₂ by phospholipase C is now considered to be needed for the priming process, owing to the activation of protein kinase C and Munc13, which then modulate the function of syntaxin-1 (Bauer et al. 2007). DAG is further hydrolyzed by DAG lipases to liberate fatty acids and monoacylglycerols. PI(3)P is located on a subpopulation of neurosecretory vesicles and positively regulates secretion (Meunier et al. 2005). In addition, PIKfyve kinase that can produce PI(3,5)P₂ from PI(3)P on secretory vesicles has been proposed to negatively affect exocytosis (Osborne et al. 2008), yielding an opposite effect and revealing how fine-tuning of membrane fusion by PIs can potentially control the number of vesicles undergoing priming. Finally, synaptic PI(3,4,5)P₃ has recently been shown to contribute to syntaxin clustering and exocytosis (Khuong et al. 2013).

Phosphatidylserine (PS) and cholesterol are involved in the spatial definition of exocytotic sites [recently reviewed by (Ammar et al. 2013)]. In the plasma membrane, PS is mainly present in the inner membrane leaflet and it contributes substantially to its negative charge. PS is necessary for synaptotagmin binding and thus for fusion triggering (Zhang et al. 2009; Zhang and Jackson 2010). It was recently found that exocytosis is associated with outward translocation of PS, which in turn is required for compensatory endocytosis (Ory et al. 2013). Cholesterol depletion provided a clue for a role of cholesterol in neurosecretory cell exocytosis (Chamberlain et al. 2001), which was supported by additional biochemical and imaging experiments implying that SNARE proteins concentrate in cholesterol-dependent clusters (Lang et al. 2001).

Growing evidence also supports a role for phosphatidic acid (PA) during exocytosis: the local formation of PA by phospholipase D1 underneath the vesicle regulates the fusion competency of secretory vesicles docked at the plasma membrane of neurosecretory cells, suggesting a direct role in membrane fusion (Vitale et al. 2001; Zeniou-Meyer et al. 2007). Because PA is a cone-shaped lipid, it will promote the formation of bend lipid structures displaying negative curvature, which is required during formation of the hemifusion state. Several constituents and regulators of the fusion machinery have also been shown to bind to PA, including NSF, small GTPases, and syntaxin-1 (Jang et al. 2012). As mentioned above, PA is an essential cofactor of PI4P5K-1 γ , which produces PI(4,5)P₂, which in turn recruits and activates phospholipase D, suggesting a positive feedback loop in the synthesis of PI(4,5)P₂ and PA (Jang et al. 2012).

Finally, fatty acids have been proposed to play an important function in membrane fusion. Arachidonic acid, omega-3, and omega-6 unsaturated fatty acids were found to directly promote SNAP-25/syntaxin-3 assembly and the formation of the ternary SNARE complex leading to dendrite expansion (Darios and Davletov 2006). In chromaffin cells, arachidonic acid promoted vesicle docking and increased quantal size (Garcia-Martinez et al. 2013). It remains to be seen whether endogenous levels of free fatty acids suffice to stimulate the SNARE mechanism.

In summary, lipids play multiple roles in membrane fusion, acting either individually, sequentially, or simultaneously with other lipids. The rapid enzymatic production and degradation of lipids has the potential to modify the physiological function at the synapse within seconds or minutes without the need for protein synthesis/degradation. Further studies will be needed to understand the interplay between lipids and SNAREs in regulation membrane fusion.

4.5 Noncanonical SNAREs in Synaptic Transmission

Spontaneous miniature release is the release of single neurotransmitter quanta in the absence of an action potential. Even though most spontaneous release events are triggered by calcium – similar to evoked release – there is much evidence to show that spontaneous events are subject to separate regulation and that at least some of

the vesicle fusion events follow a different mechanistic route [for a recent review, see (Ramirez and Kavalali 2011)]. Although spontaneous release cannot transfer time-locked information from one neuron to another, it can nevertheless be important for controlling firing in the postsynaptic cell (Carter and Regehr 2002). Whether the fusion machinery and the vesicles themselves are different from those that support evoked release is, however, controversial.

Knockout of SNAP-25 or synaptobrevin-2/VAMP2 almost eliminates evoked release, whereas spontaneous release is affected much less (Bronk et al. 2007; Deitcher et al. 1998; Delgado-Martinez et al. 2007; Schoch et al. 2001; Schulze et al. 1995; Washbourne et al. 2002). Indeed, normalizing spontaneous release in SNAP-25 and synaptobrevin-2/VAMP2 knockouts to the primed vesicle pool would lead to frequencies at least as high as in wild-type neurons (Bronk et al. 2007; Delgado-Martinez et al. 2007; Schoch et al. 2001). The most likely explanation for this is substitution by non-cognate SNAREs, which form complexes with syntaxin-1 and support spontaneous release. For instance, exogenously expressed SNAP-23 can fully restore spontaneous release in SNAP-25 KO neurons, whereas evoked release is strongly asynchronous (Delgado-Martinez et al. 2007). As another example, endogenous or exogenous cellubrevin effectively substitutes for synaptobrevin-2/VAMP2 in its absence, although it seems to play no role in the presence of synaptobrevin-2/VAMP2 (Borisovska et al. 2005; Deak et al. 2006). These findings imply that SNAREs are partly interchangeable in the cell as long as the 3Q:R-rule is observed (Fasshauer et al. 1998b), but only a few of them – the neuronal SNAREs – are able to effectively link to complexin and synaptotagmin to support evoked release. In contrast, knockout or reduction of the syntaxin-1 level appears to reduce both spontaneous and evoked release in parallel (Arancillo et al. 2013; Stewart et al. 2000; Zhou et al. 2013a). Thus, syntaxin-1 might be special and not amenable to substitution. The most likely explanation is the structure of this SNARE, combined with the interaction with Munc18-1 and Munc13 proteins, which are necessary to open up syntaxin-1 as an obligatory part of the vesicular priming machinery.

Likewise, mutation of SNAP-25 and synaptobrevin-2/VAMP2 often leads to milder phenotypes for spontaneous release than for evoked release. This is true for insertions in the synaptobrevin-2/VAMP2 linker domain (Deak et al. 2006; Guzman et al. 2010) and for deletion of two phenylalanines within the linker (Fang et al. 2013; Maximov et al. 2009). Furthermore, mutations inside the SNARE bundle of SNAP-25 around the middle of the complex increased spontaneous release rates (Weber et al. 2010). These and other findings indicate that the structural requirements for spontaneous release are easier to fulfill than those for evoked release. In contrast, upon destabilizing mutation in the C-terminal end of the SNAP-25 SNARE motif, spontaneous release suffered even more than evoked release (Weber et al. 2010). Conversely, a mutation in syntaxin that tightened the C-terminal end of the SNARE complex led to an increase of both evoked and spontaneous release (Lagow et al. 2007). These findings indicate that an obligatory prerequisite for spontaneous release is the firm assembly of the C-terminal end of the SNARE bundle, just as for evoked release. Since this is the process that initiates

lipid splaying and stalk formation (see above), the membrane fusion pathway itself might be conserved between spontaneous and evoked release.

What is then the difference between evoked and spontaneous release in terms of SNARE-complex assembly? As explained above, the prerequisite for evoked release is the presence of a fusion clamp, which is most likely engaged in the partly zippered SNARE complex, preventing C-terminal assembly. This clamp might include complexin and synaptotagmin, or the repulsion between the membranes, but it is likely that it also depends on the details of the SNARE-complex assembly pathway. Firm N-terminal assembly might stabilize the vesicle in a trough in the energy landscape, which sets up an additional energy barrier for fusion compared to the unprimed vesicle. Conversely, a looser assembly of the N-terminal end might allow the complex to “skip over” the clamped state, progressing directly to C-terminal assembly and release (Weber et al. 2010). This might explain why mutations will often disinherit spontaneous release, whereas evoked release is much more susceptible to mutation and substitution by other SNAREs.

Synaptic vesicles contain several other SNAREs in addition to synaptobrevin-2/VAMP2, including vti1a and VAMP4 (Antonin et al. 2000b; Raingo et al. 2012; Ramirez et al. 2012; Takamori et al. 2006). In recent years, it has been found that these SNAREs might participate in synaptic vesicle fusion, which results in either spontaneous or asynchronous release. VAMP-4 expression is able to restore evoked release in synaptobrevin-2/VAMP2 knockout neurons, but the resulting release is asynchronous release, which is more susceptible to the calcium buffer EGTA (Raingo et al. 2012). Conversely, knockdown of VAMP-4 under some circumstances attenuated asynchronous release, and using pHluorin assays, it was shown that VAMP-4 traffics independently of synaptobrevin-2/VAMP2 (Raingo et al. 2012). Finally, it was shown that VAMP-4 – as a R-SNARE – is able to substitute for synaptobrevin-2/VAMP2 and form a SNARE complex with syntaxin-1 and SNAP-25; however, this SNARE complex did not bind complexin or synaptotagmin-1, which accounts for the asynchronicity of release.

Vti1a is a Q_b -SNARE and is therefore expected to take up the same position in a SNARE complex as the N-terminal domain of SNAP-25. It has been mainly associated with intracellular fusion events, such as endosome fusion and endosome-to-Golgi fusion (Brandhorst et al. 2006; Mallard et al. 2002). Using pHluorin fusion proteins, one group concluded that vti1a traffics on spontaneously fusing synaptic vesicles, and knockdown of vti1a reduced the frequency of spontaneous release (Ramirez et al. 2012). However, vti1a-pHluorin-carrying vesicles fused during prolonged stimulation trains, although this was less prominent than for synaptobrevin-pHluorin (Hoopmann et al. 2010; Ramirez et al. 2012), showing that vti1a-carrying vesicles are not entirely resistant to calcium. It was concluded that vti1a is resident on vesicles that do not contain synaptobrevin-2/VAMP2 (Ramirez et al. 2012). It remains to be understood what the vti1a SNARE partners for this fusion pathway would be, since synaptobrevin-2/VAMP2 as an R-SNARE is not expected to be replaceable by a Q_b -SNARE. VAMP7/TI-VAMP is an R-SNARE belonging to the longin family of R-SNAREs with extended N-terminal domains (Filippini et al. 2001). VAMP7 was identified as another

marker for the resting vesicle pool, vesicles of which cycle only spontaneously or reluctantly (Hua et al. 2011). However, differences in the cycling between VAMP-7 and vti1a make it unlikely that they reside in the same vesicle population or participate in the same SNARE complex (Ramirez and Kavalali 2012). Indeed, it was recently shown that Reelin, a secreted glycoprotein, stimulates spontaneous release by specifically recruiting VAMP-7 – but not vti1a containing – vesicles (Bal et al. 2013).

An open question is how dominant a role the noncanonical SNAREs play in asynchronous and spontaneous release under physiological conditions, given that the neuronal SNAREs – syntaxin-1, synaptobrevin-2/VAMP2, and SNAP-25 – are very abundant and also participate in those events, and knockout or expression of mutants of the neuronal SNAREs can eliminate most spontaneous events and asynchronous release in cultured neurons. Most likely, the relative expression levels and/or separation into different vesicle pools will determine the relative participation of the different SNAREs in fusion. In this scenario, the noncanonical SNAREs might play their largest role in minority neuron populations displaying stronger expression; for instance, VAMP-4 was found to be strongly expressed in CCK-interneurons (Raingo et al. 2012), which are known to display strongly asynchronous release (Hefft and Jonas 2005). Much more research is needed before the importance of the noncanonical SNAREs in synaptic transmission can be assessed.

4.6 Membrane Fusion and SNARE Complex: Beyond 2014

Most of the research work described in this review has been designed to explore the properties and mechanistic action of one or a few specific components of the membrane fusion machinery. These types of studies have been essential to understand how the SNARE machinery works and are leading the field towards a stage of maturity in which the inner workings of the fusion machinery in the living cell can be described concisely and reconstituted *in vitro*. A few key questions that will still have to be answered are:

- What is the minimal essential function of Munc18 in membrane fusion?
- Is the stoichiometry of SNARE complexes participating in fusion regulated, and is it predetermined or stochastic?
- What is the nature of the fusion pathway(s) downstream of SNARE-complex assembly?

While the basic discoveries in membrane fusion and SNAREs emerged from biochemical and electrophysiological studies, continuous progress in this research field will be driven by new technologies and methodologies. In recent years, new genomic, biophysical (computational and molecular modelling), and imaging (super-resolution microscopy) approaches have pointed to new properties of membrane fusion and SNARE-complex action. In the future, expression from

endogenous loci of SNARE proteins bearing genetically encoded fluorescent tags will likely provide new ways to study SNAREs and mechanisms of membrane fusion in space and time in living cells and organisms. Some of the key questions for this new phase of discovery are:

- How, and at which stage, is the SNARE mechanism regulated – and how are different levels of regulation (for instance, expression level, phosphorylation, Munc13-activation, and inhibition by tomosyn) integrated?
- How does regulation of the SNARE mechanism result in tissue- and cell-specific release properties?
- What role do the basal biophysical properties of the SNARE mechanism (and its regulation) play in the context of neuronal networks, and how does the SNARE mechanism influence the working of the brain in health and disease?

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Chapter 5

Roles of Tomosyn in Neurotransmitter Release

Yasunori Yamamoto and Toshiaki Sakisaka

Abstract SNARE complexes and synaptotagmin mediate synaptic vesicle fusion with the plasma membrane of the active zone for the neurotransmitter release from presynaptic nerve terminals responding to neuronal signals. Many regulatory proteins for the SNARE complex formation have been identified. Among them, our originally identified protein, tomosyn, is likely to be a key molecule for the regulation of the SNARE complex-involved pre-fusion step and the Ca^{2+} -triggered synaptic vesicle fusion step. Tomosyn inhibits SNARE complex formation and thereby inhibits synaptic vesicle fusion by sequestering target SNAREs through its C-terminal VAMP-like domain in a Ca^{2+} -independent manner. The N-terminal WD40 repeats are the site for its binding to synaptotagmin-1, a Ca^{2+} -sensor protein, in a Ca^{2+} -dependent manner. The interaction negatively regulates the Ca^{2+} -dependent synaptic vesicle fusion mediated by synaptotagmin-1. Thus, tomosyn is a potent inhibitor, temporally and stepwisely regulating the synaptic vesicle fusion at the active zone, for the synchronized and fast neurotransmitter release.

Keywords Neurotransmitter release • Exocytosis • SNARE • Tomosyn • Synaptotagmin-1

5.1 Introduction

Tomosyn is a syntaxin-1-binding protein that we originally identified (Fujita et al. 1998). Tomosyn means tomo (friend in Japanese) of syntaxin-1. Tomosyn contains N-terminal WD40 repeats, a tail domain, and a C-terminal domain homologous to VAMP2. The C-terminal VAMP-like domain is responsible for binding to syntaxin-1 (Fujita et al. 1998) (Fig. 5.1a). Tomosyn belongs to the Lgl (lethal giant larvae) family that is conserved from yeast to human (Kagami et al. 1998; Hattendorf et al. 2007; Ashery et al. 2009) (Fig. 5.1b). The Lgl family is characterized by N-terminal fourteen WD40 repeats constituting two β -propeller structures (seven WD40 repeats constitute one β -propeller structure) (Hattendorf et al. 2007) and

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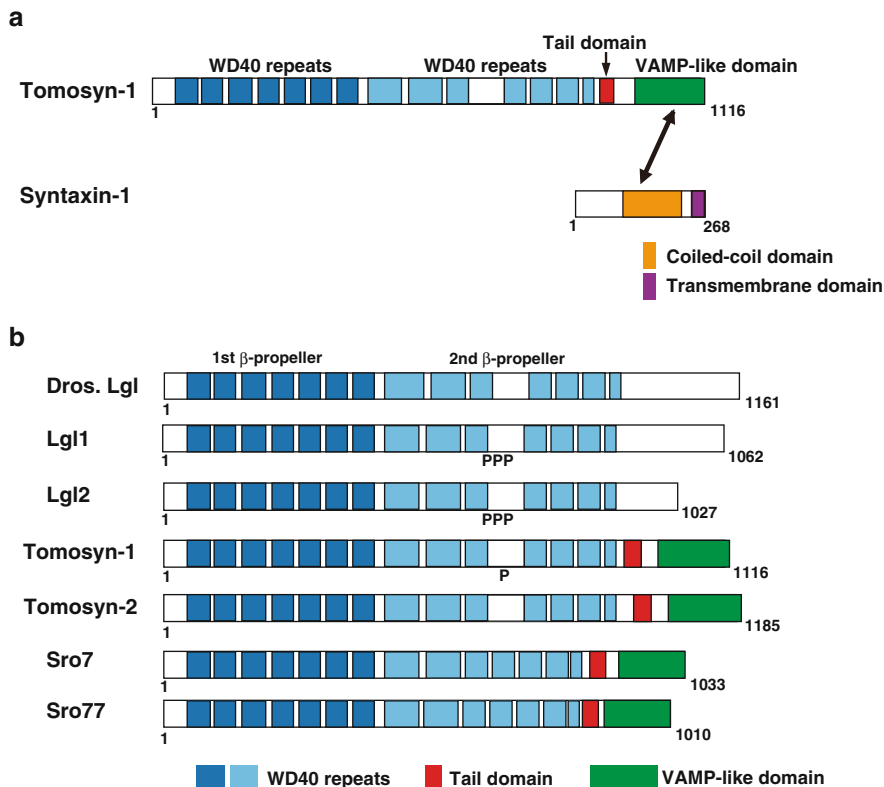


Fig. 5.1 Schematic representation of tomosyn. (a) Tomosyn is a syntaxin-1-binding protein. Tomosyn is composed of N-terminal WD40 repeats, a tail domain, and a C-terminal VAMP-like domain. Tomosyn binds to syntaxin-1 through the C-terminal VAMP-like domain. (b) Tomosyn belongs to the Lgl family. The Lgl family is characterized by the N-terminal WD40 repeats that constitute two β -propeller structures. Sro7 and Sro77 are yeast orthologues of tomosyn. Among the family members, only Lgl does not have the tail domain and the C-terminal VAMP-like domain. Phosphorylation sites are indicated with P

composed of Lgl, tomosyn, Sro7, and Sro77. Among the family members, only Lgl does not have a tail domain and a C-terminal domain homologous to VAMP2. Lgl, which was firstly identified as a tumor suppressor gene in *Drosophila*, has been shown to be involved in polarity formation and cell-cell adhesion in epithelial cells (Wirtz-Peitz and Knoblich 2006; Yamanaka and Ohno 2008). Sro7 and Sro77, yeast orthologues of tomosyn, have been shown to regulate exocytosis in yeast (Lehman et al. 1999). Lgl, tomosyn, and Sro7 directly interact with SNARE proteins (Fujita et al. 1998; Lehman et al. 1999; M \ddot{u} sch et al. 2002; Gangar et al. 2005), suggesting that the Lgl family plays roles in the SNARE-dependent vesicle trafficking. However, the common mode of action among the Lgl family members remains elucidated. Among the Lgl family members, the origins of tomosyn and Sro7 are evolutionally old (Klopper et al. 2008). During the evolution from prokaryotes to metazoan, Lgl is thought to be generated by gene duplication of tomosyn (Klopper et al. 2008).

Therefore, elucidating modes of action for tomosyn and Sro7 is important for understanding the function of the Lgl family. In this chapter we describe, based on our findings, roles of tomosyn in the synaptic vesicle fusion phase. In the vertebrate nervous system, tomosyn-1 is expressed dominantly in the whole brain, while tomosyn-2 is expressed in the restricted area of the brain (Groffen et al. 2005). From here, we refer to tomosyn-1 as tomosyn.

5.2 SNARE-Dependent Vesicle Fusion Machinery

Synaptic vesicles are transported to the active zone in the presynaptic plasma membrane where Ca^{2+} channels are located. Depolarization induces Ca^{2+} influx into the cytosol of nerve terminals through the Ca^{2+} channels, and this Ca^{2+} influx initiates the fusion of the vesicles with the plasma membrane, finally leading to exocytosis of neurotransmitters (Südhof 2004). Soluble *N*-ethylmaleimide-sensitive fusion protein (NSF) attachment protein (SNAP) receptors (SNAREs) are essential for the synaptic vesicle exocytosis (Sutton et al. 1998; Weber et al. 1998; Jahn and Scheller 2006; Rizo and Rosenmund 2008). Synaptic vesicles are endowed with vesicle-associated membrane protein 2 (VAMP2) as a vesicular SNARE (*v*-SNARE), whereas the presynaptic plasma membrane is endowed with syntaxin-1 and SNAP-25 as target SNAREs (*t*-SNAREs) (Fig. 5.2a). VAMP2 interacts with SNAP-25 and syntaxin-1 to form a stable SNARE complex (Trimble et al. 1988; Bennett et al. 1992; Söllner et al. 1993; Chen and Scheller 2001). The formation of the SNARE complex then brings synaptic vesicles and the plasma membrane into close apposition and provides the energy that drives the mixing of the two lipid bilayers (Weber et al. 1998; Chen and Scheller 2001; Jahn and Scheller 2006; Rizo and Rosenmund 2008). Although the previous study using the SNARE complex reconstituted on liposomes demonstrated that the SNARE complex was sufficient for membrane fusion, the fusion kinetics was very slow (Weber et al. 1998). These facts indicate *in vivo* existence of a special factor(s) to regulate SNARE assembly for fast membrane fusion characteristic of neurotransmitter release.

5.3 Tomosyn Regulates SNARE Complex Formation Through the C-Terminal VAMP-Like Domain

Tomosyn interacts with SNAP-25 and syntaxin-1 to form a stable tomosyn-SNARE complex through the C-terminal VAMP-like domain (Fujita et al. 1998) (Fig. 5.2b). The tomosyn-SNARE complex formation sequesters *t*-SNAREs on the presynaptic plasma membrane, leading to inhibition of the SNARE complex formation (Fujita et al. 1998; Hatsuzawa et al. 2003; Pobbati et al. 2004; Sakisaka et al. 2008;

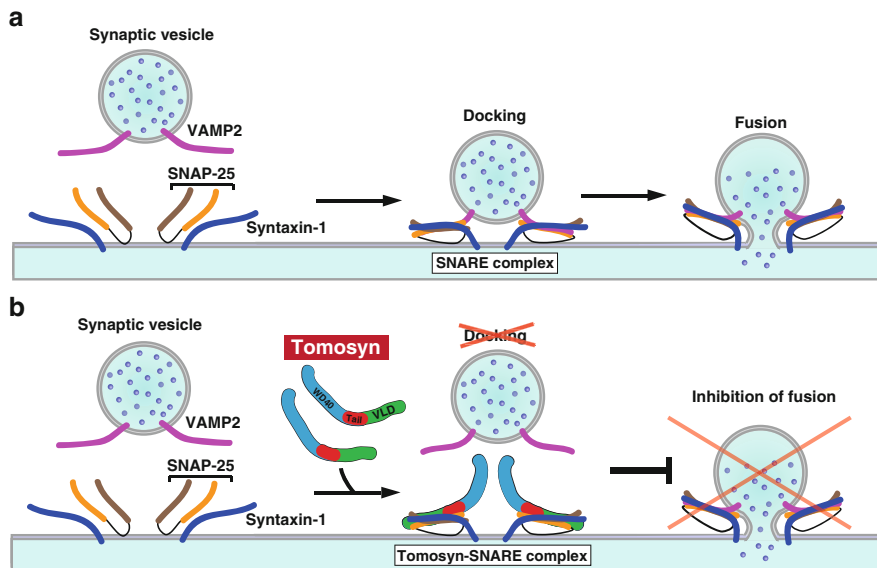


Fig. 5.2 Regulation of the SNARE complex formation by tomosyn. **(a)** Synaptic vesicle fusion driven by SNARE complexes. Syntaxin-1, SNAP-25, and VAMP2 form a ternary SNARE complex, allowing the synaptic vesicle to dock at the presynaptic membrane. Subsequently, the SNARE complexes catalyze membrane fusion in a zipper-like fashion. **(b)** Inhibition of the SNARE complex formation by tomosyn. Tomosyn binds to syntaxin-1 and SNAP-25 through the C-terminal VAMP-like domain (VLD), thereby forming a stable tomosyn-SNARE complex. The tomosyn-SNARE complex formation inhibits the SNARE complex formation by sequestering the t-SNAREs, leading to inhibition of membrane fusion

Yamamoto et al. 2009; Ashery et al. 2009). Consistent with the inhibitory activity on the SNARE complex formation, the genetic ablation of tomosyn in mice and *C. elegans* leads to enhancement of neurotransmitter release (Sakisaka et al. 2008; Gracheva et al. 2006; McEwen et al. 2006), and the overexpression in superior cervical ganglion (SCG) neurons inhibits neurotransmitter release induced by an action potential (Baba et al. 2005). Accumulating evidence suggests that tomosyn controls exocytotic efficacy of synaptic vesicles. Paired-pulse facilitation at mossy fiber synapses of hippocampi is decreased in the tomosyn-deficient mice (Sakisaka et al. 2008). In response to repetitive presynaptic action potentials, the tomosyn-overexpressing neurons show severe synaptic depression, in contrast to remarkable synaptic facilitation in control neurons (Baba et al. 2005). However, it is unclear how tomosyn controls the Ca^{2+} -dependent exocytosis, since the C-terminal VAMP-like domain sequesters the t-SNAREs in a Ca^{2+} -independent manner. We showed that tomosyn is directly phosphorylated by protein kinase A (PKA), which in turn reduces its interaction with syntaxin-1 and enhances the formation of the SNARE complex (Baba et al. 2005). In addition, Rho-associated serine/threonine kinase (ROCK) activated by Rho small G protein phosphorylates syntaxin-1, which in turn increases the affinity of syntaxin-1 for tomosyn and forms a stable complex with

tomosyn, resulting in inhibition of the formation of the SNARE complex during neurite extension (Sakisaka et al. 2004). Thus, the inhibitory activity of the C-terminal VAMP-like domain is regulated via the well-known signal transduction pathways.

5.4 Tomosyn Regulates SNARE Complex Formation Through the N-Terminal WD40 Repeats

The N-terminal WD40 repeats of tomosyn are also responsible for potent inhibition of neurotransmitter release. It has been demonstrated that catecholamine secretion is potently inhibited in chromaffin cells by overexpressing the N-terminal WD40 repeats (Yizhar et al. 2007). Intriguingly, the inhibitory activity of the N-terminal WD40 repeats in the chromaffin cells depends on Ca^{2+} concentration (Yizhar et al. 2004, 2007). We have also demonstrated that acetylcholine release from SCG neurons in long-term culture is potently inhibited by microinjecting the tomosyn fragment encompassing the N-terminal WD40 repeats (Sakisaka et al. 2008). Similarly to the inhibitory activity of the N-terminal WD40 repeats in the chromaffin cells, the inhibitory activity of tomosyn in the SCG neurons is influenced by Ca^{2+} concentration (Baba et al. 2005). In *C. elegans*, tomosyn associates with synaptic vesicles through the N-terminal WD40 repeats (McEwen et al. 2006), raising the possibility that the N-terminal WD40 repeats may negatively regulate the function of synaptic vesicle in a Ca^{2+} -dependent manner. While we have shown that tomosyn oligomerizes the SNARE complex through the N-terminal WD40 repeats (Sakisaka et al. 2008), this does not account for the Ca^{2+} -dependent inhibitory activity of the N-terminal WD40 repeats since the oligomerization takes place in a Ca^{2+} -independent manner. Therefore, the N-terminal WD40 repeats are expected to functionally interact with a Ca^{2+} -responsive protein(s) involved in the regulation of the synaptic vesicle fusion.

Indeed, we have demonstrated that tomosyn directly binds to synaptotagmin-1, a synaptic vesicle protein with two C_2 domains that both bind to Ca^{2+} , through the N-terminal WD40 repeats in a Ca^{2+} -dependent manner (Yamamoto et al. 2010a). Synaptotagmin-1 underlies Ca^{2+} responsiveness in the neurotransmitter release (Geppert et al. 1994; Sutton et al. 1995; Shao et al. 1998; Fukuda et al. 1999; Augustine 2001; Fernandez et al. 2001; Chapman 2008). Upon Ca^{2+} binding, synaptotagmin-1 induces positive curvature of the presynaptic membrane by inserting the hydrophobic loops in the C_2 domains into the presynaptic membrane, thereby catalyzing fast synaptic vesicle fusion in cooperation with the SNARE complex (Martens et al. 2007; Stein et al. 2007; Xue et al. 2008; Hui et al. 2009) (Fig. 5.3a). Importantly, the Ca^{2+} -dependent binding between tomosyn and synaptotagmin-1 impairs the synaptotagmin-1 catalysis (Yamamoto et al. 2010a) (Fig. 5.3b), indicating that tomosyn negatively regulates the synaptotagmin-1-mediated step of Ca^{2+} -dependent neurotransmitter release through the N-terminal WD40 repeats. Furthermore, the Ca^{2+} -dependent binding enhances the activity of the

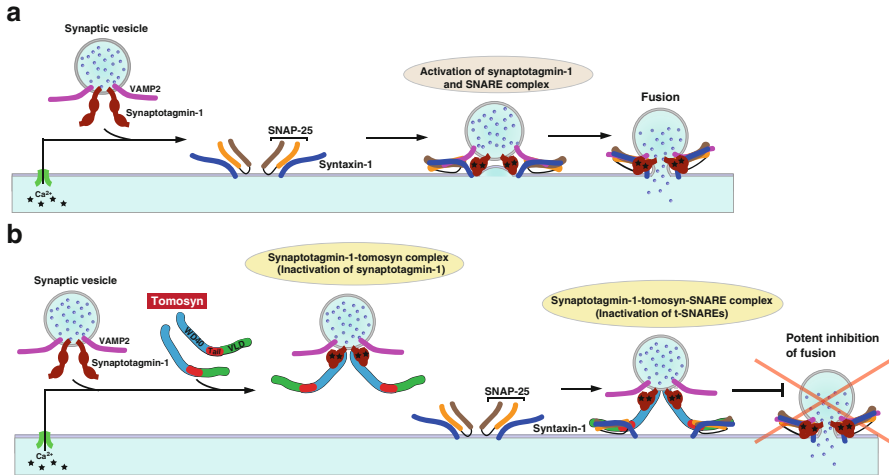


Fig. 5.3 Regulation of the Ca²⁺-dependent synaptic vesicle fusion by tomosyn. **(a)** Synaptotagmin-1 is a Ca²⁺ sensor that cooperates with the SNARE complex toward membrane fusion. Upon Ca²⁺ influx, Ca²⁺ binds and activates synaptotagmin-1. Activated synaptotagmin-1 bends the presynaptic membrane, thereby facilitating the SNARE complex-driven membrane fusion. **(b)** Tomosyn acts as an alternative Ca²⁺ sensor that negatively regulates the synaptic vesicle fusion. Upon Ca²⁺ influx, tomosyn binds and inactivates Ca²⁺-bound synaptotagmin-1 through the N-terminal WD40 repeats. The synaptotagmin-1 binding enhances sequestration of t-SNAREs by the C-terminal VAMP-like domain (VLD) of tomosyn. Eventually, membrane fusion is potently inhibited in a Ca²⁺-dependent manner

C-terminal VAMP-like domain of tomosyn to sequester t-SNAREs (Yamamoto et al. 2010a) (Fig. 5.3b). These findings raise an attractive possibility that the interplay between tomosyn and synaptotagmin-1 underlies the inhibitory control of Ca²⁺-dependent neurotransmitter release. In response to a rise in Ca²⁺ concentration, synaptotagmin-1 on the synaptic vesicle catches tomosyn and inactivates its own catalysis for membrane fusion. Simultaneously, the synaptotagmin-1-tomosyn complex enhances sequestering of t-SNAREs on the presynaptic membrane and blocks the SNARE assembly. Eventually, the Ca²⁺-dependent synaptotagmin-1-tomosyn-SNARE complex formation will ensure inactivation of the fusion machineries on both the donor and target membranes and thereby inhibit priming of the synaptic vesicles. Synaptotagmin-1, cooperating with tomosyn on synaptic vesicles, would act as an alternative Ca²⁺ sensor to negatively control exocytotic efficacy of the synaptic vesicles, sensing Ca²⁺ concentration change near Ca²⁺ channel clusters.

5.5 The Tail Domain Regulates the Activity of Tomosyn

We have demonstrated that the tail domain of tomosyn acts as a regulatory domain for the C-terminal VAMP-like domain (Yamamoto et al. 2009, 2010b). The tail domain can directly bind to either the C-terminal VAMP-like domain or the

N-terminal WD40 repeats (Yamamoto et al. 2009). The binding of the tail domain to the C-terminal VAMP-like domain represses the activity of the C-terminal VAMP-like domain to inhibit the SNARE complex formation, which is restored by the binding of the tail domain to the N-terminal WD40 repeats (Yamamoto et al. 2009). Therefore, tomosyn will be in a state of equilibrium between two conformational states upon the tail domain binding. In one conformational state (state I), the tail domain binds to the N-terminal WD40 repeats, leading to exposure of the C-terminal VAMP-like domain. The exposed C-terminal VAMP-like domain efficiently forms the tomosyn-SNARE complex, resulting in the potent inhibition of the SNARE complex formation. In the other conformational state (state II), the tail domain masks the C-terminal VAMP-like domain and thereby blocks the tomosyn-SNARE complex formation (Yamamoto et al. 2009) or enables VAMP2 to displace tomosyn from the tomosyn-SNARE complex (Yamamoto et al. 2010b), resulting in loss of the inhibition of the SNARE complex formation. In support of the idea of equilibrium, full-length tomosyn moderately inhibited the SNARE-driven membrane fusion relative to the tomosyn fragment encompassing only the C-terminal VAMP-like domain *in vitro* (Yamamoto et al. 2010b), suggesting that full-length tomosyn exists in both states. Given that full-length tomosyn inhibited the SNARE-driven membrane fusion more than the tomosyn fragment encompassing both the tail domain and the C-terminal VAMP-like domain did *in vitro* (Yamamoto et al. 2010b), the state I may be a dominant state. What drives the conformational change from state I to state II? We previously reported that PKA phosphorylates tomosyn, resulting in reducing the binding of the C-terminal VAMP-like domain to syntaxin-1 (Baba et al. 2005). Therefore, PKA might be a possible regulator to drive the conformational change. The physiological meaning of the structural regulation of tomosyn upon the tail binding still remains elusive. We have generated tomosyn-deficient mice, characterized them electrophysiologically, and revealed that the tomosyn-deficient mice lacked short-term potentiation (Sakisaka et al. 2008). Therefore, the structural regulation of tomosyn may be important for the short-term memory. Further studies will be needed for understanding the regulation of the conformational change of tomosyn.

5.6 Tomosyn Regulates the Readily Releasable Pool Size

Evidence is accumulating that tomosyn regulates the readily releasable pool (RRP) size in response to repetitive presynaptic activity. Tomosyn-deficient mice show reduced paired-pulse facilitation in hippocampi (Sakisaka et al. 2008), suggesting that, without tomosyn, the first action potential depletes synaptic vesicles in the RRP. Tomosyn-overexpressing presynaptic SCG neurons evoke smaller excitatory postsynaptic potentials (EPSPs) but cannot respond to following repetitive action potentials, thus inducing severe synaptic depression (Baba et al. 2005). In addition,

synchronization for repeated transmitter release was lost under tomosyn loss-function by point mutations in the N-terminal WD40 repeats (Baba et al. 2005). The SCG neuron in culture forms synapses with many varicosities wrapping the cell soma (Baba et al. 2005; Ma et al. 2009); therefore, the RRP size is relatively large, 84–180 synaptic vesicles (Ma et al. 2009; unpublished data), calculated from the depletion of the RRP with a train of high-frequency action potentials. The averaged EPSP amplitude is ≈ 20 mV (Baba et al. 2005; Ma et al. 2009), suggesting that the number of releasable vesicles in response to an action potential is well controlled. From the averaged EPSP integral, we estimate that neurotransmitters are released from ≈ 50 synaptic vesicles in the GFP-overexpressing or non-transfected SCG neurons (Baba et al. 2005; Ma et al. 2009). However, only 14 synaptic vesicles in tomosyn-overexpressing neurons (unpublished data) and 12–20 synaptic vesicles in the tomosyn mutant-overexpressing neurons (Baba et al. 2005) can be exocytosed in response to an action potential. Therefore, tomosyn is a key molecule to determine the size of the RRP.

The size of the RRP in the central nervous system is extremely small (1–2 % of the total number of vesicles) (Schikorski and Stevens 2001; Sakaba et al. 2002; Rizzoli and Betz 2004). The vast majority of the synaptic vesicles are reserved at the presynaptic nerve terminals despite Ca^{2+} influx (Südhof 2000; Rizzoli and Betz 2005), and a subset of them is accordingly mobilized to prevent depletion of the RRP (Südhof 2000; Harata et al. 2001; Rizzoli and Betz 2005), resulting in maintaining of the RRP size. As mentioned above, tomosyn is the molecule that inactivates synaptotagmin-1 in response to Ca^{2+} influx and thereby perturbs the SNARE machinery activation (Yamamoto et al. 2010a) (Figs. 5.2b and 5.3b). Interestingly, the presynaptic interplay between tomosyn and synaptotagmin-1 controls the EPSP shape in the falling phase, enabling the neurons to respond to high-frequency action potentials (unpublished data). This result raises the possibility that the RRP size, i.e., exocytotic efficacy of release-ready synaptic vesicles, might be determined by the interaction between tomosyn and synaptotagmin-1 during arrivals of consecutive neuronal signals to the presynaptic terminal. The Ca^{2+} -dependent synaptotagmin-1-tomosyn-SNARE complex formation as depicted in Fig. 5.3b may ensure inactivation of the fusion machineries on both the donor and target membranes under high Ca^{2+} concentration accumulating with repetitive Ca^{2+} influxes to reserve the synaptic vesicles, leading to maintaining of the RRP size. By laser photolysis of caged calcium in a rat calyx of Held synapse, a rise in Ca^{2+} concentration to 1–2 μM readily evoked release (Bollmann et al. 2000; Felmy et al. 2003). Brief local Ca^{2+} rise to 10–25 μM is sufficient to achieve the amount and the kinetics of the physiological transmitter release (Schneppenburger and Neher 2005). An increase to >30 μM depleted the RRP in <0.5 ms (Bollmann et al. 2000). In addition, 40 μM is the peak concentration for synaptic vesicles at the release site during an action potential (half-width approximately 0.4 ms; Meinrenken et al. 2002). Therefore, in our scenario, the synaptotagmin-1-tomosyn-SNARE complex will be maximally formed in the high-range concentration (10–50 μM) of local Ca^{2+} rise that depletes the RRP (Meinrenken et al. 2002), in order to negatively control exocytotic efficacy of synaptic vesicle in a late phase

of transmitter release preventing the RRP depletion. Severe synaptic depression induced by repetitive action potentials in tomosyn-overexpressing neurons (Baba et al. 2005) supports that the synaptotagmin-1-tomosyn-SNARE complex strongly retains low efficacy of synaptic vesicles for exocytosis in the accumulated Ca^{2+} rise. By contrast, our in vitro Ca^{2+} titration analysis shows that the synaptotagmin-1-tomosyn-SNARE complex formation is not saturated at 10–50 μM and proceeds more as the Ca^{2+} concentration increases (unpublished data). However, this result does not debate on the physiological relevance of the synaptotagmin-1-tomosyn-SNARE complex formation. The in vitro biochemical reactions do not reconstitute the local Ca^{2+} rise as seen in neurons. It has been reported that a specific membrane lipid, PI(4,5)P₂, increases Ca^{2+} affinity of synaptotagmin-1 (Radhakrishnan et al. 2009). Therefore, to address biochemically the precise dependency on Ca^{2+} concentration for the synaptotagmin-1-tomosyn-SNARE complex formation, it will be required to develop more physiologically relevant assay reflecting the local Ca^{2+} rise and the membranous environment.

5.7 Conclusions and Perspectives

The crystal structure of the N-terminal WD40 repeats of Sro7, the yeast orthologue of tomosyn, has been solved (Hattendorf et al. 2007). Based on the solved structure, Sro7 is suggested to bind to Sec9, a yeast counterpart of SNAP-25, through the N-terminal WD40 repeats and thereby inhibits the SNARE complex formation. While Sec9 binds to Sro7 through both the N-terminal region and the SNARE motifs, the N-terminal region of Sec9 is not conserved in mammalian SNAP-25 (Hattendorf et al. 2007). As far as we examined, the N-terminal WD40 repeats of tomosyn had no inhibitory activity on the SNARE complex formation. Therefore, the inhibitory activity of the N-terminal WD40 repeats of Sro7 on the SNARE complex formation may not be evolutionally conserved. On the other hand, the binding of tomosyn to synaptotagmin-1 is in good agreement with the association of tomosyn with the synaptic vesicles in *C. elegans* (McEwen et al. 2006), raising a possibility that the activity of the N-terminal WD40 repeats to inhibit the synaptotagmin-1 function might be evolutionally conserved between nematodes and mammals. The N-terminal WD40 repeats also have the activity to oligomerize the SNARE complex (Sakisaka et al. 2008). However, it remains to be elucidated whether the inhibitory activity on the synaptotagmin-1 function and the oligomerization activity on the SNARE complex are mutually exclusive or compatible. Tomosyn adopts two conformational states upon reciprocal intramolecular bindings of the tail domain (Yamamoto et al. 2009). In one conformational state where the tail domain binds to the N-terminal WD40 repeats, tomosyn potently inhibits the SNARE complex formation through the C-terminal VAMP-like domain. In the other conformational state where the tail domain binds to the C-terminal VAMP-like domain, the inhibitory activity of the C-terminal VAMP-like domain is decreased. The binding of synaptotagmin-1 to the N-terminal WD40 repeats

enhances the tomosyn-SNARE complex formation through the C-terminal VAMP-like domain (Yamamoto et al. 2010a). Therefore, synaptotagmin-1 binding may stabilize the former conformational state of tomosyn, leading to enhancement of the tomosyn-SNARE complex formation. Future structural studies of full-length tomosyn, the synaptotagmin-1-tomosyn complex, and the synaptotagmin-1-tomosyn-SNARE complex will be required to address these concerns.

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Chapter 6

Regulation of SNAREs: Complexin

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Abstract Complexin (also called as synaphin), a small cytoplasmic protein, binds to SNAP receptor (SNARE) complex (membrane fusion machinery) and regulates neurotransmitter release at the post-priming stage. Several lines of evidence have demonstrated that complexin has at least two independent roles: clamping of the *trans*-SNARE complex and activation of Ca²⁺-triggered synchronous release of neurotransmitter. Both functions require its central SNARE binding domain. Recent studies suggest that complexin contains several functional domains that either stimulate or inhibit neurotransmitter release. Thus, the function of complexin is likely more complex than expected from its small size.

Keywords Complexin • SNARE complex • Exocytosis • Synaptic vesicles • Synaptotagmin 1

6.1 Introduction

Action potential-evoked neurotransmitter release is triggered by Ca²⁺ influx through voltage-gated calcium channels at the active zone. The increase in Ca²⁺ concentration initiates rapid signaling cascades that lead to the exocytosis of synaptic vesicles. Two soluble *N*-ethylmaleimide-sensitive factor attachment protein receptor (SNARE) proteins from the presynaptic membrane, syntaxin-1 and SNAP25, and one SNARE protein from the synaptic vesicle membrane, VAMP2 (also known as synaptobrevin-2), form a four-helix bundle (called the *trans*-SNARE complex or SNAREpin) that catalyzes membrane fusion (Kasai et al. 2012). The synaptic vesicle protein synaptotagmin 1 serves as a major Ca²⁺ sensor for fast action potential-evoked synaptic vesicle exocytosis. The rapid interactions between synaptotagmin 1, the SNARE complex, and membrane phospholipids induced by Ca²⁺ are critical for membrane fusion.

The evoked neurotransmitter release is regulated by several cytosolic proteins including complexin. Complexin and its binding to the SNARE complex are critical

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for fast neurotransmitter release. Microinjection of the SNARE binding site peptide of complexin into the squid presynaptic terminal almost completely abolished neurotransmitter release, demonstrating the requirement of complexin binding to SNARE complex for synaptic vesicle exocytosis (Tokumaru et al. 2001). Several lines of evidence have demonstrated that complexin has at least two independent roles: clamping of the *trans*-SNARE complex to prevent membrane fusion and activation of Ca^{2+} -triggered synchronous release of neurotransmitter.

6.2 Complexin Binds to the SNARE Complex

Complexins are soluble proteins (134 residues) identified as SNARE complex binding proteins (Ishizuka et al. 1995; Takahashi et al. 1995; McMahon et al. 1995). Four isoforms of complexin are present in mammals. Complexin-1 and complexin-2 are major brain isoforms. They are very similar (134 residues, 86 % identical), but their distributions are quite different (Ishizuka et al. 1999). Complexin-1 was predominantly expressed in the cerebral cortex (the IV cortical layer), thalamus, locus coeruleus, gigantocellular reticular field, cuneate nucleus, and cerebellar basket and stellate cells, whereas complexin-2 was predominant in the cerebral cortex (the II, III, and VI cortical layers), claustrum, hippocampal CA2 and CA3, granule cell layer of the dentate gyrus, entorhinal cortex, amygdaloid nuclei, substantia nigra pars compacta, superior colliculus, pontine reticulotegmental nucleus, and inferior olive. On the other hand, complexin-3 (158 residues) and complexin-4 (160 residues) are membrane-bound minor isoforms and are predominantly expressed in the retina (Reim et al. 2005). Complexin contains an α -helical domain subdivided into the accessory helix (residues 23–47) and the central helix (residues 48–70), flanked by N- and C-terminal unstructured regions (Chen et al. 2002; Bracher et al. 2002). The central α -helix mediates the interaction with the assembled SNARE complex. The crystal structure of the complexin/SNARE complex revealed that the central helix sits in the middle of the assembled SNARE complex, near the central zero-layer surface groove between VAMP2 and syntaxin-1 in an antiparallel fashion (Fig. 6.1).

6.3 Complexin Regulates Synchronous Ca^{2+} -Dependent Ultrafast Exocytosis

Complexin-1/2 double knockout or double knockdown mice show 60–70 % reduction of Ca^{2+} -triggered synchronous ultrafast release at hippocampal glutamatergic synapses (Reim et al. 2001; Maximov et al. 2009). Likewise, disruption of the only gene for complexin in *Drosophila* results in marked reduction of neurotransmitter release (Huntwork and Littleton 2007). Both complexin-1/2 double knockout and

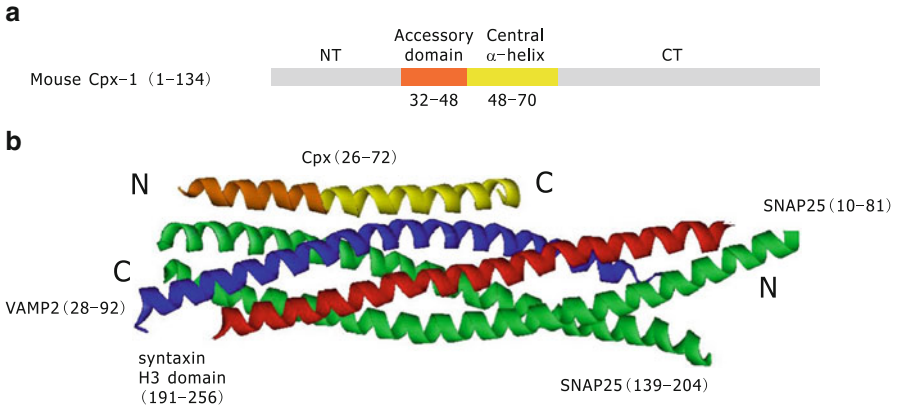


Fig. 6.1 (a) The primary structure of mouse complexin-1. NT, NH₂-terminal region; CT, COOH-terminal region. (b) The crystal structure of a SNARE complex and complexin (Cpx) (Adapted from Kasai et al. 2012)

double knockdown neurons can be rescued by overexpression of complexin-1 (Xue et al. 2007). However, complexin mutants that cannot bind to the SNARE complex do not restore synaptic activity. The central helix peptide (residues 46–74) competes with full-length complexin for SNARE complex, and microinjection of the peptide into the squid presynaptic terminal completely inhibits synaptic transmission (Tokumaru et al. 2001). Interestingly, the phenotype of complexin-1/2 double knockout mice is apparently similar to that of synaptotagmin 1-deficient mice (Geppert et al. 1994). However, unlike in synaptotagmin 1 double knockout mice, deficits in synchronous release in complexin-1/2 double knockout mice can be overcome by increased external Ca²⁺ concentration (12 mM) (Reim et al. 2001). Complexin does not bind Ca²⁺. Therefore, complexin and synaptotagmin 1 likely play distinct roles closely associated with each other in synaptic vesicle exocytosis.

6.4 Complexin Clamps *trans*-SNARE Complex

The formation of the SNARE complex starts from the N-terminal of SNARE motifs, gradually proceeding toward the C-terminal. The resulting *trans*-SNARE complex would then bring the membranes gradually into close apposition. If the SNARE complex is a universal fusion machinery for both regulated and constitutive exocytosis, a fusion clamp molecule would be necessary to prevent zippering of the SNARE complex, which automatically causes membrane fusion. The fusion clamp model predicts that an abundance of complexins may inhibit fusion activities. As expected, overexpression of complexin-1/2 inhibits acetylcholine and dopamine release from PC12 cells (Itakura et al. 1999). Likewise, excess amount of complexin decreases insulin secretion from cultured insulin-secreting cell lines

(Abderrahmani et al. 2004) and inhibits liposome fusion (Schaub et al. 2006) and fusion of intact cells mediated by flipped SNAREs (Giraudo et al. 2006). In addition, the complexin-1/2 double knockdown in cultured mouse cortical neurons increases 3–4-fold the frequency of spontaneous neurotransmitter release (synaptic vesicle exocytosis) (Maximov et al. 2009). These results have led to the suggestion of a clamping role of complexin to prevent the full zippering of the *trans*-SNARE complex (complexin clamping model) (Giraudo et al. 2006; Schaub et al. 2006; Tang et al. 2006). Complexin binds to the partially assembled *trans*-SNARE complex through its central helix. Then, the N-terminal accessory helix (residues 26–42) may form an alternative four-helix bundle with syntaxin/SNAP25 instead of VAMP2 C-terminus near the membrane to prevent full zippering (Giraudo et al. 2009). Biochemical studies predict that the complexin clamp would be competitively relieved by Ca²⁺-bound synaptotagmin 1 (Tang et al. 2006). When Ca²⁺ signal arrives, synaptotagmin 1 relieves the clamp by competing or cooperating with complexin, thereby inducing fast Ca²⁺-evoked fusion of synaptic vesicle and plasma membrane (complexin-synaptotagmin 1 switch model).

However, both the complexin clamping and the complexin-synaptotagmin 1 switch models remain speculative, and several pieces of data are incompatible with these models. First, deletion of clamp molecules, complexin-1/2, does not enhance neurotransmitter release (Reim et al. 2001). Second, overexpression of complexin (>10-fold) does not inhibit glutamate release from cultured hippocampal neurons (Xue et al. 2007). Third, complexin stimulates SNARE-mediated liposome fusion (Malsam et al. 2009). This stimulatory effect required a preincubation at low temperature. Fourth, immunoprecipitation studies reveal that synaptotagmin 1 and complexin coexist in SNARE complex (Tokumaru et al. 2008). Finally, complexin dissociates orders of magnitude too slowly to be displaced from the SNARE complex after Ca²⁺ enters the nerve terminal. The complexin-synaptotagmin 1 switch model requires that Ca²⁺-bound synaptotagmin 1 displaces complexin from the SNARE complex within 1 ms (Llinas et al. 1981; Augustine et al. 1985) between the time that Ca²⁺ enters the presynaptic terminal and the neurotransmitter is released. The kinetic analyses show that complexin binds to the SNARE complex rapidly and dissociates slowly (Pabst et al. 2002; Li et al. 2007). Two studies estimate the dissociation constant of complexin/SNARE complex to be 0.31 and 2.5 s⁻¹. These values indicate that the time required for unclamping with Ca²⁺-synaptotagmin 1 is approximately 3.2–4 s.

A recent study shows a compensatory increase in complexin-3/4 mRNA levels in complexin-1/2 double knockdown neurons (Yang et al. 2013). Further, overexpression of membrane-bound complexin-3 increased spontaneous exocytosis. Thus, the increased spontaneous release in complexin-1/2 double knockdown neuron could simply result from increased complexin-3. However, this hypothesis is not compatible with the finding that overexpression of mouse complexin-3 or complexin-4 rescues the phenotype of complexin-1/2 double knockout hippocampal neurons (Reim et al. 2005).

6.5 Complexin Enhances Synaptic Vesicle Exocytosis

As described above, the central helix peptide (residues 46–74) has a SNARE complex binding activity similar to full-length complexin. Presynaptically microinjected, the central helix peptide nevertheless inhibits neurotransmitter release (Tokumaru et al. 2001). Thus, N- (amino acids 1–45) and/or C-terminal (amino acids 75–134) regions of complexin very likely mediate functions other than SNARE complex binding. In chromaffin cells, N-terminal domain (residues 1–27) of complexin-2 accelerates fusion of primed vesicle, whereas C-terminal domain (residues 74–134) prevents premature exocytosis (Dhara et al. 2014). The function of N-terminal domain and C-terminal domain in neurons is controversial. Reduced synchronous neurotransmitter release (synaptic vesicle exocytosis) in complexin-1/2 double knockout neurons is rescued by overexpression of complexin, but not with the complexin mutant lacking the N-terminal part (Cpx_{27–134}), suggesting that the extreme N-terminal domain (residues 1–26) is effective for recovering synaptic vesicle exocytosis (Xue et al. 2007). Interestingly, the region (residues 29–47) adjacent to the extreme N-terminal domain inhibits synaptic vesicle exocytosis (Xue et al. 2007). There is also a report that C-terminal part of the complexin contributes to the stimulation of neurotransmitter release. Malsam et al. (2009) demonstrated that stimulation of liposome fusion is no longer seen when the C-terminal domain of complexin is deleted (Cpx_{1–77}), or serine 115 is mutated. In this case, N-terminal portion (residues 1–75) of complexin seems to work inhibitory in liposome fusion. The N-terminal lacking mutants (Cpx_{27–134} and Cpx_{41–134}) promote liposome fusion more strongly than wild-type complexin.

We found that complexin directly associates with synaptotagmin 1, a major calcium sensor for ultrafast neurotransmitter release, through its C-terminal region (Tokumaru et al. 2008). The binding is Ca²⁺-independent and is enhanced in the presence of the SNARE complex. Because synaptotagmin 1 does not bind to the SNARE complex in the absence of Ca²⁺ (Lai et al. 2011; Zhou et al. 2013), it is likely that complexin recruits synaptotagmin 1 to *trans*-SNARE complex before Ca²⁺ influx (Fig. 6.2).

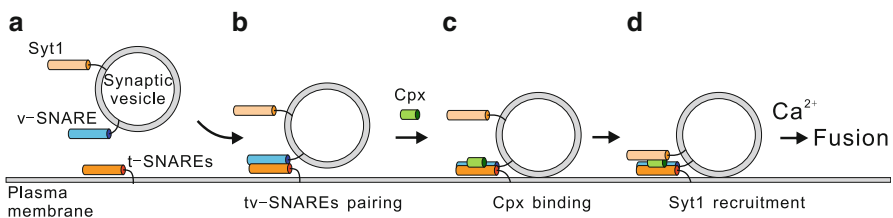


Fig. 6.2 Model for complexin function. The assembly of the SNARE complex between v-SNARE (VAMP2) and t-SNAREs (syntaxin 1/SNAP25) (a and b) is followed by rapid binding of complexin to the complex (see Pabst et al. 2002; Li et al. 2007) (c). Then synaptotagmin 1 is recruited to the complex of complexin/SNAREs (d). The complexin/SNAREs/synaptotagmin 1 complex awaits Ca²⁺ influx to trigger membrane fusion (Adapted from Tokumaru et al. 2008)

6.6 Conclusion

A large body of evidence suggests that complexin is important for Ca^{2+} -evoked SNARE-mediated membrane fusion, having at least two independent roles: clamping of the *trans*-SNARE complex and activation of Ca^{2+} -triggered synchronous release of neurotransmitter. Both functions require its central SNARE binding domain. Recent studies suggest that complexin contains several functional domains that either stimulate or inhibit neurotransmitter release. It is still not clear how complexin works. As described in Sect. 6.5, similar experiments produce opposite results. The difference may be due to the concentration of complexin used in each study. Higher concentration of complexin may produce nonphysiological interactions. If SNARE complex has nonphysiological, low-affinity binding site for complexin near the synaptotagmin 1 binding site, higher concentrations of complexin may inhibit synaptotagmin 1 binding to the SNARE complex.

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Chapter 7

The Synaptic Vesicle V-ATPase: A Regulatory Link Between Loading and Fusion?

Oussama El Far and Michael Seagar

Abstract The vacuolar proton pump (V-ATPase) is a huge multi-subunit complex composed of two distinct non-covalently associated sectors. The cytosolic V1 sector hydrolyses ATP, providing the energy for the V0 membrane sector to translocate protons into the vesicle lumen. The proton gradient is then used by vesicular transporters to load synaptic vesicles with specific neurotransmitters. The primary role of the V-ATPase in vesicle loading is widely accepted. However, multiple studies in a variety of model organisms point to an additional general role of the V0 sector in downstream events, notably in regulating SNARE-mediated membrane fusion. This chapter outlines the molecular pharmacology of the V-ATPase and its role in the synaptic vesicle cycle. It then focuses specifically on molecular interactions between V0 subunits and synaptic vesicle trafficking proteins and reviews their relevance to late steps in neurotransmitter release. While this secondary role for the V-ATPase membrane sector is not yet fully established, we speculate that it could provide a regulatory link between vesicle filling and fusion, acting as a filter that allows loaded vesicles to engage the fusion machinery.

Keywords V-ATPase • SNARE proteins • Membrane fusion • Neurotransmitter release • Proton pump

7.1 Introduction

Early observations (Del Castillo and Katz 1954; Heuser et al. 1974; Peper et al. 1974) established the quantal theory, whereby neurotransmitters are released in discrete packets, and proposed synaptic vesicle content to be the functional unit

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of neurotransmission. Nerve endings contain synaptic vesicles, spherical organelles of 30–40 nm in diameter, that fuse with the plasmalemma in response to an increase in intra-terminal calcium concentration, releasing neurotransmitters into the synaptic cleft. Vesicles dock with the presynaptic plasma membrane and acquire precise molecular characteristics that render them responsive to sudden local increase in calcium concentration (Neher and Sakaba 2008), the amplitude of which is determined both by the distance that separates the calcium channel from the releasable vesicle and the calcium-buffering properties of the cytoplasm. Membrane fusion is an extremely rapid process, leading to merging of the synaptic vesicle and plasma membranes and exocytosis of the luminal contents into the synaptic cleft.

An average synaptic vesicle contains 1–2 copies of the vacuolar proton pump (V-ATPase). The V-ATPase consumes ATP to pump protons into the synaptic vesicle lumen. Specific vesicular transporters then use the proton gradient to load vesicles with different neurotransmitters (glutamate, acetylcholine, GABA, glycine, etc.). Following vesicle filling, findings from a variety of model systems suggest that the V0 membrane sector plays an additional role at late steps in exocytosis, notably by interacting with SNAREs. Thus, the V-ATPase potentially provides a regulatory link between loading and exocytosis that is common to all synaptic vesicles, in spite of the diversity of their contents. In this chapter, we will highlight studies to investigate the implication of the membrane sector (V0) of the V-ATPase in SNARE-dependent neurotransmitter release.

7.2 V-ATPase: Molecular Structure and Pharmacology of a Vesicular Proton Pump

V-ATPase is a specialised 900 kDa enzyme complex (Fig. 7.1), containing at least 14 different subunits, some of which are present as multiple copies. Its primary function is to translocate protons and acidify intracellular compartments, using a rotary mechanism driven by ATP hydrolysis. The V-ATPase complex is made of two distinct sectors V0 and V1. The former is a membrane-embedded 260 kDa oligomer, which constitutes a discontinuous transmembrane pathway for proton transport. It is reversibly associated with a 650 kDa cytosolic V1 sector that carries the ATPase activity (Nishi and Forgac 2002). Electron microscopy studies show V0 has a flexible hexameric doughnut-like structure, (Wilkens et al. 1999; Clare et al. 2006; Wilkens and Forgac 2001), associated with a spherical extramembranous V1 sector (Wilkens et al. 1999). As this chapter will focus on the role of the V0 sector in vesicle trafficking and fusion, we will briefly outline the molecular properties of the V0 subunits.

V-ATPase subunits (Fig. 7.1a) are conventionally designated by ATP6V1 or ATP6V0 followed by letters, using the upper case for V1 (*A–H*) and the lower case

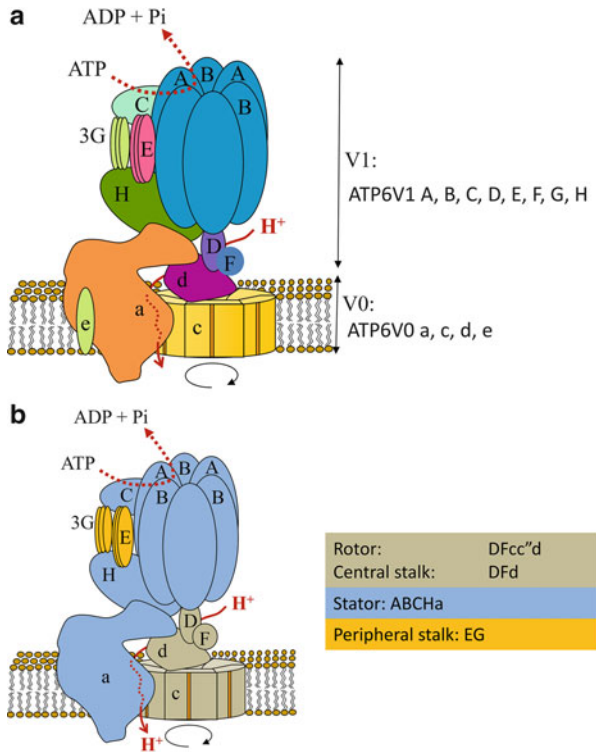


Fig. 7.1 Subunit structure of V-ATPase. (a) Schematic representation of V-ATPase subunit structure. The V-ATPase is composed of two reversibly attached sectors, ATP6V1 (extramembranous) and ATP6V0 (transmembranous). (b) Schematic representation of V-ATPase functional subdomains. The stator (blue) ATP6V1ABCHV0a, the rotor ATP6V1DFV0cc''d and central stalk ATP6V1DFV0d (grey) and the peripheral stalk ATP6V1EG (yellow). ATP hydrolysis drives rotation of the rotor relative to the stator. The rotation of the c-ring relative to a subunit translocates protons through discontinuous channels from the cytosol into the synaptic vesicle lumen without opening a continuous transmembrane pore

for V0 (a, c, d, e). In higher eukaryotes the V0 sector contains five distinct subunit types a, c, c'', d and e subunits, plus accessory proteins.

V0a subunits (100 kDa) contain a hydrophilic cytosolic N-terminal domain and a C-terminal domain with multiple transmembrane regions (TMR). The membrane topology of the a subunit is still controversial, although recent studies in yeast strongly indicate 8 TMRs. Vertebrate V0a subunits (a1–a4) are coded by four genes. Four a1 splice variants have been identified, and the a1-I form is specifically targeted to nerve terminals (Morel et al. 2003).

V0c (4 TMR, 16 kDa) and c'' subunits (5 TMR, 23 kDa), sometimes called proteolipids, are exceptionally hydrophobic proteins with high sequence similarity that assemble into an oligomeric ring structure containing five copies of c and one copy of c''. Proton transport across the membrane occurs at the interface between transmembrane helices of the a and c subunits. c-subunit helices also constitute the

binding site for the V-ATPase inhibitors bafilomycin and concanamycin (Bowman et al. 2006).

V0d subunit is a soluble 38 kDa protein, which has two isoforms in mammals (d1 and d2), although only the d1 isoform is found in the brain (Nishi et al. 2003). It binds peripherally to the cytoplasmic side of the c-subunit ring and participates in forming the central rotational stalk connecting V1 to the c-subunit ring. This subunit was independently identified as a binding partner for the synaptic vesicle membrane protein synaptophysin and designated physophyllin (Thomas and Betz 1990).

Mammalian V0 may also contain peripheral e1 or e2 subunit isoforms and the accessory proteins Ac45 and M8-9. However, the stoichiometry of these subunits remains to be clarified.

Five principal classes of mammalian V-ATPase inhibitors have been described: plecomacrolide antibiotics, archazolids, benzolactone enamides, indolyls and the so-called late-generation inhibitors (Perez-Sayans et al. 2009). Plecomacrolide antibiotics, mainly bafilomycin and concanamycin, are the most frequently used and best characterised inhibitors. They are active at nanomolar concentrations, bind to the V0c subunit and disrupt proton pumping by inhibiting rotation of transmembrane helices relative to each other in the c-subunit ring.

7.3 The Synaptic Vesicle Cycle: Loading, Release Modes and SNARE Proteins

The classical view of the nerve terminal describes three functionally distinct synaptic vesicle pools (Rizzoli and Betz 2005). (1) The readily releasable pool ($\approx 1\%$) corresponds to a few loaded, docked and primed vesicles that await only Ca^{2+} influx to trigger exocytosis of their contents. (2) The recycling pool (10–15%), under stimulation at physiological frequencies, undergoes continuous cycles of docking, fusion, endocytosis and loading. (3) Finally the reserve pool accounts for 80–90% of the total population and corresponds to vesicles that only respond to intense stimulation. This pool is probably mobilised when the recycling pool is depleted.

Vesicles in the recycling pool are loaded by concerted action of the V-ATPase and vesicular neurotransmitter transporters (see following Sect. 7.4). Their entry into the readily releasable pool involves SNARE interactions at the vesicle/plasma membrane interface. Briefly, syntaxin 1 and SNAP-25 in the plasma membrane bind to VAMP2 (synaptobrevin) in the vesicle membrane. Trimeric SNARE complexes in a trans configuration constitute a minimal fusion machine, in which helical zippering overcomes lipid repulsion, pulling opposing membranes into close proximity. This is thought to result in hemifusion (Hernandez et al. 2012; Schaub et al. 2006), in which the outer leaflet of the vesicle has merged with the inner leaflet of the plasma membrane. Hence the lumen of a readily releasable

vesicle is thought to be separated from the synaptic cleft by only a single lipid bilayer. However, recent reports indicate that Ca^{2+} -triggered fusion events must start from point contacts that are not hemifused (Diao et al. 2013) and that local bilayer protrusions from t-SNARE vesicles facing v-SNARE vesicles have an increased membrane curvature that might reduce the kinetic barrier to fusion (Bharat et al. 2014). Throughout these events SNARE interactions are chaperoned, stabilised and regulated by a variety of accessory SNARE-binding proteins (see Chap. 4 by Ira Milosevic and Jakob Balslev Sørensen).

Experiments *in vitro* with pure recombinant proteins in a controlled lipid environment have furthered our understanding of SNARE function. Upon reconstitution into artificial vesicles, trans-assembly of v-SNAREs and t-SNAREs triggers membrane fusion (Di Giovanni et al. 2010b; Karatekin et al. 2010; Weber et al. 1998). Furthermore when SNARE proteins are expressed on the cell surface (Giraud et al. 2005), they induce intercellular fusion. Similar to synaptic vesicle fusion with the plasma membrane, *in vitro* SNARE-mediated fusion can be modulated by different SNARE-interacting proteins such as complexin, Munc13 and Munc18 or calcium sensors such as synaptotagmin and calmodulin (Di Giovanni et al. 2010b; Ma et al. 2013; Schaub et al. 2006; Tucker et al. 2004).

Complexins (also called synaphins) are a family of small soluble acidic proteins. Complexin I and II are mainly neuronal and III and IV are retina specific (Reim et al. 2005). They inhibit full SNARE assembly by binding partially assembled trimeric SNARE complexes (Chen et al. 2002; Reim et al. 2001; Tokumaru et al. 2001) and are involved in controlling neurotransmitter release (Huntwork and Littleton 2007; Maximov et al. 2009; Tokumaru et al. 2001; Xue et al. 2007, 2010). The C-terminal domains of complexins I and II have lipid-binding properties (Diao et al. 2013; Malsam et al. 2009; Wragg et al. 2013) and directly bind synaptotagmin 1 in a calcium-enhanced manner (Tokumaru et al. 2008). Although synaptotagmin can directly bind to the assembled SNARE complex, independently from its interactions with complexin (Davis et al. 1999; Tokumaru et al. 2008), complexin recruits Ca^{2+} /synaptotagmin through its C-terminal domain to trigger membrane fusion (Tokumaru et al. 2008). This interaction is thought to release complexin-induced inhibition after Ca^{2+} entry (Schaub et al. 2006; Tang et al. 2006).

In the recent years a complex network of dynamic protein interactions at the active zone, which control different steps in the synaptic vesicle cycle, has been elucidated. These findings, which will be described in detail elsewhere (see Chap. 4 by Ira Milosevic and Jakob Balslev Sørensen), have led to a profound breakthrough in the understanding of synaptic vesicle docking and maturation, as well as the calcium dependency of exocytosis and endocytosis. However, the precise molecular events that result in membrane fusion are less clear.

Synaptic vesicle contents initially escape via a channel-like structure or fusion pore, which perforates the lipid diaphragm separating the vesicle lumen from the surface of the nerve terminal. Using SNARE proteins in opposing lipid membranes, coarse-grained molecular dynamic simulations showed that splayed lipids represent the main barrier in membrane fusion and the trans SNARE complex probably plays a crucial role in the consecutive steps from membrane contact to fusion pore

expansion (Risselada and Grubmüller 2012). Although the implication of SNARE proteins in membrane fusion was part of the discoveries rewarded by the 2013 Nobel Prize in Physiology or Medicine, the detailed molecular processes that take place during the final step in synaptic vesicle/plasma membrane fusion remain enigmatic. Functionally distinct SNARE-mediated release modes have been described, although the molecular mechanisms that underlie transitions between these different release modes are unknown. A “full fusion” mode leading to a complete collapse of the synaptic vesicle membrane into the nerve terminal plasma membrane contrasts with the so-called kiss and run fusion mode in which synaptic vesicles partially release their contents through a fusion pore that can open and close. In order to account for the reversal of the fusion pore opening, it has been suggested that a protein-based scaffold might inhibit its expansion or even constitute a fusion pore gating mechanism (Almers and Tse 1990; Chernomordik and Kozlov 2008). In 2001, Peters et al. suggested that the V0 sector of the V-ATPase could play this kind of role in yeast vacuole fusion. As basic membrane fusion events are conserved from yeast to man and ruled by similar molecular mechanisms (Ferro-Novick and Jahn 1994), this idea has been extrapolated to synaptic vesicle fusion (Morel et al. 2001). However, the conceptual leap from yeast to the nerve terminal must also account for numerous specialisations, including transitions between different release modes, spontaneous and asynchronous release as well as the extreme rapidity of synchronous synaptic release.

7.4 V-ATPase and Vesicular Transporters in Vesicle Loading

The eukaryotic V-ATPase is located on intracellular membrane compartments, including synaptic vesicles, where it couples ATP hydrolysis to proton pumping into the lumen. However, the V-ATPase can also be expressed either constitutively (Breton et al. 1996; Schlesinger et al. 1997; Wagner et al. 2004) or in a transient manner (Zhang et al. 2010) at the plasma membrane of certain specialised cells where it contributes to extracellular acidification (Breton et al. 1996; Schlesinger et al. 1997; Wagner et al. 2004) and intracellular alkalinisation (Zhang et al. 2010).

As well as the two reversibly associated V1 and V0 sectors, the V-ATPase can be divided into functional subdomains (Fig. 7.1b). A V1DFV0cc''d complex constitutes the rotor: comprised of the c-ring (V0cc'') and the central stalk (V1DFV0d). The rotor is surrounded by the stator: comprised of the catalytic V1AB hexamer and the collar (V1CHV0a). The catalytic hexamer and the collar are connected by peripheral stalk V1EG complexes. Hydrolysis of ATP by the V1AB hexamer drives rotation of the rotor relative to stator. Rotation of the V0c-ring relative to V0a subunit translocates protons at their interface, from the cytosol into the synaptic vesicle lumen. Exactly how protons transit the membrane is not known. However, the dominant hypothesis postulates two offset

hemi-channels. The first hemi-channel delivers protons from the cytosol to a conserved glutamate residue located in c-subunit TMR 4 at the periphery of the c-ring, the second from the c-ring to the lumen. Thus transport is achieved without opening a continuous transmembrane pore.

Proton influx acidifies intracellular compartments (pH 5.2–5.5). Furthermore the proton gradient also actively participates in generating synaptic vesicle membrane potential ($\Delta\Psi$). Specific neurotransmitter transporters in the vesicle membrane use either $\Delta\Psi$ for glutamate loading or proton cotransport for GABA, acetylcholine and monoamine uptake (Liu and Edwards 1997; Maycox et al. 1990; Moriyama et al. 1992; Nelson and Lill 1994). Acidification by V-ATPase is an important factor in vesicular trafficking and the dynamics of cellular compartments. It regulates membrane protein sorting (Nishi and Forgac 2002) (Marshansky and Futai 2008) and endo-membranous degradation and is involved in autophagy (Williamson and Hiesinger 2010; Williamson et al. 2010).

Reversible dissociation of V1 and V0 sectors arrests proton transport and is a physiological process used to regulate ATPase activity and luminal acidification (Kane 1995; Qi et al. 2007; Sumner et al. 1995). The dissociation of sectors is very sensitive to cellular environment and occurs principally in response to nutrient depletion, probably via a pH-dependent mechanism (Qi et al. 2007). The subunit composition of the V1 sector, namely, V1A isoforms and V1C (Perez-Sayans et al. 2012), determines coupling efficiency and therefore regulates proton transport. The factors that regulate assembly/disassembly have been mainly studied in the lower eukaryotes and in yeast, the a subunit (Vph1 and Stv1) plays a crucial role. Disassembly involves microtubules while assembly relies on the cytosolic Rabconnectins or RAVE (Regulator of H⁺-ATPase of Vacuolar and Endosomal membranes) complex. The glycolytic enzyme aldolase, possibly acting as a glucose sensor, also regulates V-ATPase activity by direct interaction with the b subunit and disruption of binding results in disassembly of V1/V0 (Lu et al. 2001, 2007). Furthermore V-ATPase and aldolase can also form a ternary complex with ARNO/Arf6 (Merkulova et al. 2011), proteins which have been implicated in linking intravesicular pH with the regulation of trafficking in the endocytic pathway (Hurtado-Lorenzo et al. 2006). Specifically the recruitment of the small GTPase Arf6 and ARNO (cytohesin-2) from the cytosol to the endosome membrane surface is dependent on acidification of the lumen by V-ATPase. Arf6 and ARNO bind to V0c and V0a subunits, respectively, and disruption of binding inhibited endocytosis, suggesting that intraluminal pH sensing by the V-ATPase can impact membrane trafficking. The relevance of these different pathways (Fig. 7.2) to the regulation of V-ATPase activity and membrane trafficking in the nerve terminal has not yet been explored in detail. However, studies in zebrafish hair cells have shown that rabconnectin3 α modulates V-ATPase activity in synaptic vesicles by promoting assembly of V1 and V0 (Einhorn et al. 2012).

Vesicle loading with transmitter thus depends on the V-ATPase, which is a universal component of all synaptic vesicles, acting upstream of the transporter (glutamate, GABA, etc.) that defines specific vesicle content. Thus the V-ATPase is ideally situated to provide quality control of vesicle filling by monitoring and regulating the proton gradient. Presumably it is more cost-effective for the nerve

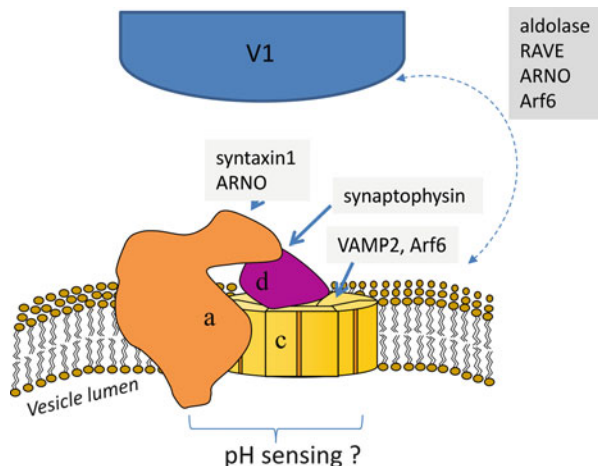


Fig. 7.2 Interactions of metabolic and trafficking proteins with V0 subunits. Schematic representation of molecular interactions involving the V-ATPase V0 sector. Interactions (*arrows*) are represented in two functional categories: those that are implicated in vesicle trafficking and exocytosis (VAMP2, syntaxin1, synaptophysin, ARNO and Arf6) and others involved in control of V1/V0 interactions and pH sensing (ARNO, Arf6, RAVE and aldolase)

terminal to avoid the exocytosis of empty or partially filled vesicles. But how could the trafficking and fusion machinery know when a synaptic vesicle is full? It has been suggested (Morel et al. 2003) that when a vesicle is fully loaded, proton efflux from the lumen stops, because it is no longer required to compensate neurotransmitter influx. Hence maximal acidification is attained, which would result in dissociation of V1. In this way vesicles could sense luminal pH and translate it into a “full” signal at the vesicle surface. Thus isolated V0 sectors, unmasked by V1 assembly, would become available to engage the docking and fusion machinery (Morel et al. 2003) (Fig. 7.3). While this attractive concept seems compatible with the quantal nature of transmitter release, it leads to further challenging questions. Can empty vesicles fuse? When proton transport is blocked, does exocytosis still occur?

Vesicular neurotransmitter concentration itself does not seem to be a limiting factor for fusion (Edwards 2007), as vesicles with a reduced neurotransmitter content still fuse (Zhou et al. 2000). Inhibition of proton transport by bafilomycin A1 leads to diminished activity when postsynaptic responses are used to monitor presynaptic exocytosis (Di Giovanni et al. 2010a; Zhou et al. 2000). However, this does not rule out the possibility that empty vesicles still fuse. More recent studies in the calyx of Held (Xue et al. 2013) using FM dye to monitor vesicle exocytosis showed that in the presence of bafilomycin A1, vesicles fuse and fully participate in the recycling synaptic vesicle pool. These results suggest that unacidified neurotransmitter-free synaptic vesicles can still accomplish fusion. In apparent contradiction with this finding, data from Poëa-Guyon and collaborators support the view that luminal acidification is a prerequisite for fusion (Poea-Guyon et al. 2013). They argue that the V-ATPase has a role in intraluminal pH sensing like it does in endosomes (Hurtado-Lorenzo et al. 2006). However, bafilomycin,

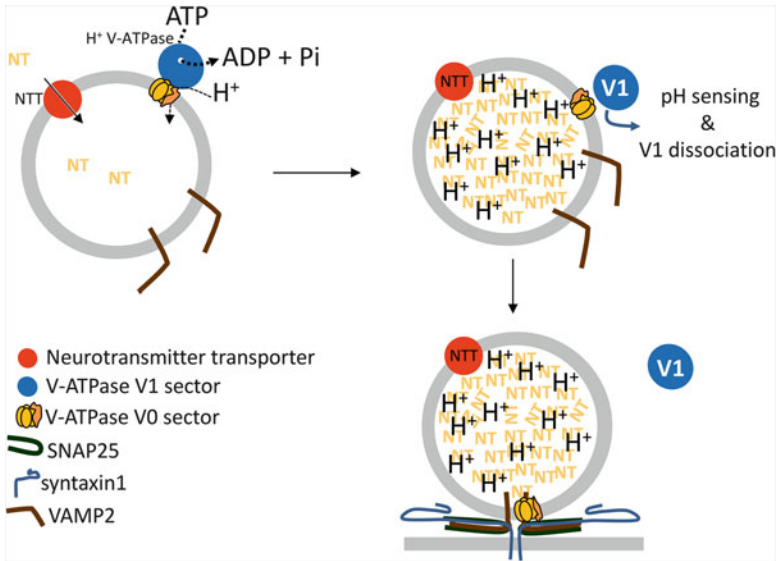


Fig. 7.3 Regulatory links between loading and fusion. ATP-driven H^+ transport through fully assembled V-ATPase at synaptic vesicle membranes is crucial for synaptic vesicle loading with neurotransmitters (NTT). Completion of synaptic vesicle loading leads to dissociation of the V1 sector and renders V0 accessible to bind SNARE proteins. V0 sector is speculatively located near the fusion pore

which binds to V-ATPase c-subunit, promotes V1 disassembly and stabilises a conformation of V0 that mimics a maximally acidified “ready for exocytosis” state.

7.5 A Role for V0 Subunits in Membrane Trafficking and Exocytosis

In the preceding section we reviewed evidence that V0 subunits may carry molecular signals (domains unmasked by dissociation of V1, bound ARNO/Arf6, conformational changes intrinsic to V0 subunits) that report intraluminal pH and thus indirectly monitor loading. In this section we address the possibility that V0 subunits play a direct role in docking and fusion downstream of loading. This role can be viewed from different angles: pore-formers and/or lipid destabilisers and SNARE partners.

7.5.1 *Pore-Formers and/or Lipid Destabilisers*

From the early 1980s, reports appeared in the literature initially suggesting that the V0 sector of the V-ATPase has a direct role in acetylcholine release. In an attempt at identifying a putative presynaptic translocator of acetylcholine (ACh) that could directly release ACh molecules from the cytoplasm into the synaptic cleft, Israel and collaborators purified a 150–200 kDa protein complex from *Torpedo marmorata* electric organ (Birman et al. 1986) (Israel et al. 1986). This complex was found to be composed of a single very hydrophobic 17 kDa polypeptide, which upon reconstitution in proteoliposomes showed calcium-dependent pore properties. The 17 kDa protein, initially designated “mediatophore”, finally turned out to be the V0c subunit of the V-ATPase (Birman et al. 1990). These findings were not pursued by other laboratories since the authors advocated a controversial non-vesicular release model, incompatible with the mainstream view of synaptic vesicle exocytosis.

Although V0c is intrinsically expressed in release-incompetent neuroblastoma cells, overexpression of V0c conferred vesicle-mediated calcium-induced release properties (Bugnard et al. 1999). Most interestingly, freeze-fracture images from these cells were similar to synaptic vesicle fusion events observed much earlier at frog neuromuscular junction (Heuser et al. 1979) or *Torpedo* synaptic terminals upon neurotransmitter release (Muller et al. 1987). Immunolabelling of freeze-fractured *Torpedo* electric organ synaptosomes showed the presence of this protein on synaptic vesicles at the docking interface with the plasma membrane (Morel et al. 2001).

Overexpression of V0c in substantia nigra also increased dopamine release. Although the precise molecular mechanism underlying DA release is not yet clear, it has been suggested that overexpressing V0c might be a useful rescue strategy, in addition to enzymes of the dopamine synthetic pathway, for the gene therapy of Parkinson’s disease (Jin et al. 2012).

In an independent investigation into how Ca^{2+} /calmodulin (Ca^{2+} /CaM) signals completion of docking and triggering in yeast vacuolar fusion (Peters and Mayer 1998), Peters et al. (2001) reported that the V0 sector is implicated in vacuolar fusion, independently of its proton transport activity, and displays pore-like properties (Almers 2001; Morel et al. 2001; Zimmerberg 2001). They showed that V0c binds Ca^{2+} /CaM and that CaM-dependent V0-V0 trans-complexes assembled after vacuolar docking and downstream of SNARE complex pairing. The presence of yeast V0a subunit, on both vacuoles destined to fuse, was later shown to be required for over 80 % of fusion events (Bayer et al. 2003) and that this protein is involved in calcium efflux from vacuoles, without being part of the Ca^{2+} release channel (Bayer et al. 2003). In photoreceptor synapses, V0a was shown to directly interact with Ca^{2+} /CaM. While binding is not necessary for synaptic targeting of V0a, this investigation uncovered functional regulation of V-ATPase by CaM (Zhang et al. 2008). Furthermore impairment of CaM binding to V0a resulted in dose-dependent neuronal toxicity. It is interesting also to note that V0c was also reported

to be part of connexon-free gap junctions in crustaceans (Dermietzel et al. 1989; Finbow and Pitts 1993). V0a has a regulatory role in insulin secretion as deficiency in the V0a subunit in insulin-containing granules (V0a3) drastically impaired secretion from beta cells in mouse pancreatic islets (Sun-Wada et al. 2006).

Exosomes are small vesicular structures (30–100 nm) contained in endosome-derived multivesicular bodies (MVB) (van Niel et al. 2006). In a variety of cell types including neurons (Faure et al. 2006), MVBs fuse with the plasma membrane and release exosomes into the extracellular milieu. A study on cuticle formation in *C. elegans* uncovered the involvement of *vha5* (V0a of *C. elegans*) in MVB fusion with the apical membrane during release of exosomes and hedgehog-related cuticle components (Liegeois et al. 2006). Furthermore microglial phagosome/lysosome fusion in zebrafish was shown to be mediated by V0a, independently of its proton transport activity (Peri and Nusslein-Volhard 2008). In a different register, bone-resorbing osteoclasts are multinucleated, giant cells of haematopoietic origin, formed by the fusion of mononuclear pre-osteoclasts derived from myeloid cells. Deficiency in V0d2 subunit dramatically inhibited pre-osteoclast fusion and therefore bone resorption, independently of V-ATPase proton pump activity (Lee et al. 2006). This study suggests that V-ATPase V0 components are not only involved in intracellular fusion mechanisms but can also be required for cellular fusion.

7.5.2 SNARE Partners

In a search for synaptic vesicle-binding proteins, early studies by Thomas and Betz suggested an interaction of the soluble V-ATPase V0d subunit with synaptophysin, a synaptic vesicle signature protein of unknown function that interacts with VAMP2 (Thomas and Betz 1990). The association of V-ATPase components with SNARE proteins was first reported using immunoprecipitation from rat brain extracts (Galli et al. 1996). In this study using anti-VAMP2 antibodies, several V0 subunits and synaptophysin were co-immunoprecipitated. In elegant differential co-immunoprecipitation studies, a complex of V0a and V0c was found to associate with assembled SNARE complexes, as well as with VAMP2 (Morel et al. 2003). In an unbiased screen for genes implicated in synaptic function in *Drosophila* photoreceptors, V0a subunit was found to be involved in a late step of synaptic vesicle exocytosis and its deficit induces severe defects in evoked synaptic transmission. Interestingly, these effects were independent of V0a action in acidification (Hiesinger et al. 2005). Further investigation of the molecular mechanism underlying these defects demonstrated a direct interaction of the N-terminal part of the t-SNARE syntaxin with V0a. In an attempt to dissect SNARE protein interactions with V0c, the most hydrophobic component of the V-ATPase V0 sector, a direct interaction with the v-SNARE VAMP2 was uncovered (Di Giovanni et al. 2010a). Interaction details were mapped on both binding partners and shown to involve the V0c cytosolic loop that links TMR 3 and TMR 4 and the juxtamembrane VAMP2 tryptophan residues 89 and 90, formerly shown by the same group to mediate

binding to $\text{Ca}^{2+}/\text{CaM}$ (Di Giovanni et al. 2010a, b). Furthermore, VAMP2 interactions with $\text{Ca}^{2+}/\text{CaM}$ and V0c are mutually exclusive. Mutating these tryptophan residues inhibited Ca^{2+} -dependent exocytosis in PC12 cells (Quetglas et al. 2002) as well as in neurons (Maximov et al. 2009). Most interestingly, perturbing V0c/VAMP2 binding, using interfering peptides from V0c loop 3.4 or VAMP2 juxtamembrane domain, inhibited glutamatergic as well as cholinergic neurotransmitter release from neurons in cortical slices and cultured SCG. Inhibition was not observed if both peptides were co-injected (unpublished data) or upon mutation of the tryptophan residues in VAMP2 peptide or scrambling the V0c loop 3.4 peptide sequence (Di Giovanni et al. 2010a, b). The 1 VAMP2: 1 V0c binding stoichiometry suggests that the c-subunit ring could organise v-SNAREs into a radial array and determine the number of SNARE complexes that assemble around the fusion pore (El Far and Seagar 2011). In this case, due to intrinsic hydrophilic properties of V0d, the V0a N-terminal domain as well as the extravesicular loops of V0c, hemifusion intermediates are unlikely in fusion events involving V0.

7.6 Conclusions and Future Directions

In this chapter we have highlighted over 30 years of research that converges to support a role for the V0 sector of the V-ATPase in diverse forms of SNARE-mediated fusion: organelle fusion (yeast vacuoles, phagosomes/lysosomes), regulated secretion, exosomal release from MVBs and synaptic neurotransmitter release. These findings are consistent with a model in which V0 function goes beyond that of an ATP-dependent proton pump, to include monitoring of vesicle pH, loading with neurotransmitters and membrane fusion. Implicit in these observations is the hypothesis that intraluminal pH promotes conformational transitions of V0 subunits, which modulate direct interactions with SNAREs and impact the fusion process. If how and when V0 subunits act in membrane fusion are still open to debate. At the interface of membranes destined to fuse, the presence of a structure that geometrically organises a fixed number of trans SNARE complexes could be a simple way to standardise the energy resources available to drive membrane fusion. Alternatively, partial SNARE complex assembly could pin membranes together and provide precisely located scaffolding for proteins that act downstream to form a channel-like pore or catalyse rearrangements of lipid pore intermediates. Models of this kind are not yet energetically delimited, but certainly deserve theoretical investigation. Progress will require answers to some of the following questions. Do V0 subunits provide signals indicating that SNARE assembly can begin? Can they organise SNAREs into radial oligomeric arrays with appropriate properties to overcome energy barriers to membrane fusion? Might this also involve V0 subunits destabilising bilayers to promote opening of a lipid-lined fusion pore? Can pure recombinant V0 subunits form a protein-lined pore? Future investigations will hopefully address some of these issues.

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Chapter 8

Ca²⁺ Sensors: Synaptotagmins

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Abstract Synaptotagmin is a synaptic vesicle membrane protein that is postulated to function as a calcium (Ca²⁺) sensor for neurotransmitter release. This protein contains two Ca²⁺-binding domains (C₂A, C₂B) in its cytoplasmic region. Genetic studies provide strong evidence supporting the idea that synaptotagmin is the Ca²⁺ sensor for the fast synchronous component of evoked transmitter release. In addition, synaptotagmin appears to be involved in docking and priming (by clamping spontaneous fusion) of synaptic vesicles and regulating fusion pores as well as vesicle endocytosis. In vitro studies demonstrate that synaptotagmin binds to phospholipid membranes in the presence and absence of Ca²⁺. Furthermore, in response to Ca²⁺, the tips of synaptotagmin C₂ domains penetrate lipid bilayers, altering the membrane curvature. Synaptotagmin also binds to the soluble N-ethylmaleimide-sensitive factor attachment protein receptor (SNARE) complex that is believed to be the core fusion machinery, although the findings on the Ca²⁺ dependency of binding of synaptotagmin to SNARE have been inconsistent and controversial. Taken together, synaptotagmin appears to trigger a fast synchronous component of transmitter release by binding to Ca²⁺, probably through the interactions with both membranes and SNAREs.

Keywords Exocytosis • Phospholipids • SNARE • Synaptic vesicle • Synchronous release

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8.1 Introduction

Signal transmission at chemical synapses is mediated by neurotransmitters stored in synaptic and dense-core vesicles in nerve terminals. When an action potential reaches the nerve terminal, neurotransmitters are exocytosed at the active zone by the calcium ion (Ca^{2+})-dependent fusion of these vesicles with presynaptic plasma membranes. This vesicle fusion is mediated by soluble *N*-ethylmaleimide-sensitive factor attachment protein receptors (SNAREs) localized on both vesicular and presynaptic plasma membranes (see Chap. 4). The complex formation of SNAREs between these two membranes is believed to drive membrane fusion. While SNAREs are constitutively active and have no Ca^{2+} sensitivity in triggering membrane fusion, neurotransmitter release is highly regulated by Ca^{2+} . Therefore, some other molecule must serve as a Ca^{2+} sensor, transducing the Ca^{2+} signals to the SNARE complex.

With respect to Ca^{2+} influx into presynaptic nerve terminals, evoked neurotransmitter release can be classified into two kinetically distinct components: a fast synchronous component and a slow asynchronous component (Goda and Stevens 1994). These two components of transmitter release may be differentially regulated by two distinct Ca^{2+} sensors with different Ca^{2+} -binding affinities. A low-affinity Ca^{2+} sensor senses a local increase in Ca^{2+} concentration in the vicinity of open Ca^{2+} channels. It can trigger a fast transmitter release that is synchronized within sub-millisecond time scales after Ca^{2+} influx. In contrast, a Ca^{2+} sensor with higher affinity mainly responds to a more diffuse increase in Ca^{2+} concentration during a transient change in Ca^{2+} or during repetitive action potential firing. This sensor can induce slow, long-lasting transmitter release.

The best candidate for the low-affinity Ca^{2+} sensor for fast synchronous release is synaptic vesicle membrane proteins, synaptotagmins I and II. Synaptotagmins are a large family of membrane proteins characterized by one transmembrane region and two Ca^{2+} -binding domains. Synaptotagmins are highly conserved across evolution (from nematodes to humans), and there are at least 17 isoforms in mice and humans (Craxton 2010). Among them, eight synaptotagmins (I, II, III, V, VI, VII, IX, and X) bind to Ca^{2+} . In this chapter, following overviews of the structure and function of synaptotagmin, we review our current knowledge of the protein with a particular focus on the interaction of synaptotagmin I with phospholipids and SNAREs. Furthermore, we discuss the possible functional roles of synaptotagmins in evoked neurotransmitter release. We apologize to the authors of many important studies that we could not cite because of the scope and space limitations of this article.

8.2 Overview of Synaptotagmins

8.2.1 *Synaptotagmin I, II, and IX Subfamily*

Synaptotagmin I (referred to as synaptotagmin without other mentioning) is the best characterized isoform in the synaptotagmin family (Matthew et al. 1981; Perin

et al. 1990; Takahashi et al. 1991). Among other isoforms in the family, synaptotagmin II is the most closely related to synaptotagmin I (76 % sequence identity in mice) (Geppert et al. 1991). Synaptotagmin IX, which was originally cloned as synaptotagmin V (Craxton and Goedert 1995; Hudson and Birnbaum 1995), shares a high sequence identity (49–54 %) with synaptotagmins I and II. These three synaptotagmins are classified in an evolutionarily conserved sub-family that is involved in Ca²⁺-dependent secretory vesicle exocytosis (Fukuda 2003).

Synaptotagmins I and II are the most widely distributed isoforms in the nervous system as well as the endocrine system (Marquèze et al. 1995; Fox and Sanes 2007; Matsuoka et al. 2011). In the nervous system, synaptotagmin I is primarily expressed in rostral brain regions (i.e., olfactory bulb, cerebral cortex, and hippocampus), while synaptotagmin II is abundantly expressed in caudal brain neurons (i.e., spinal cord, brainstem, and cerebellum) (Matthew et al. 1981; Geppert et al. 1991; Takahashi et al. 1991; Marquèze et al. 1995; Mittelsteadt et al. 2009). Synaptotagmin IX is also expressed at high levels in the brain (limbic system and striatum) but not in the spinal cord or adrenal glands (Craxton and Goedert 1995; Hudson and Birnbaum 1995; Xu et al. 2007).

Synaptotagmins I and II are localized on synaptic vesicles in neurons. On the synaptic vesicle surface, synaptotagmin I reportedly exists at a high local concentration. In highly purified synaptic vesicles, the average copy number of synaptotagmin per vesicle is calculated to be 15.2 (Takamori et al. 2006). Assuming that the diameter of synaptic vesicles is approximately 40 nm and the size of a typical compact globular protein is approximately 10 nm, the volume of the synaptic vesicle surface where vesicle proteins are localized is calculated as approximately 79,547 nm³ (the volume of a sphere with diameter 60 nm minus the volume of a sphere with diameter 40 nm = 113,040 nm³ – 33,493 nm³). These calculations suggest that the local concentration of synaptotagmin on the synaptic vesicle surface is approximately 317 μM.

8.2.2 Structure

Members of the synaptotagmin family share a domain configuration: an amino (N)-terminal intravesicular region followed by a single transmembrane domain, a linker region, and two carboxyl (C)-terminal C₂ domains (Perin et al. 1991; Fig. 8.1a). The C₂ domain is a Ca²⁺- and phospholipid-binding motif consisting of approximately 130–150 residues that was originally identified in the Ca²⁺-dependent isoforms of protein kinase C (Nishizuka 1988). The two synaptotagmin C₂ domains are referred to as C₂A and C₂B. X-ray crystallography and nuclear magnetic resonance (NMR) spectroscopy analysis revealed that both C₂ domains of synaptotagmin I are composed of a β-sandwich formed by two β-sheets, each consisting of four antiparalleled β-strands, with three flexible loops on top and

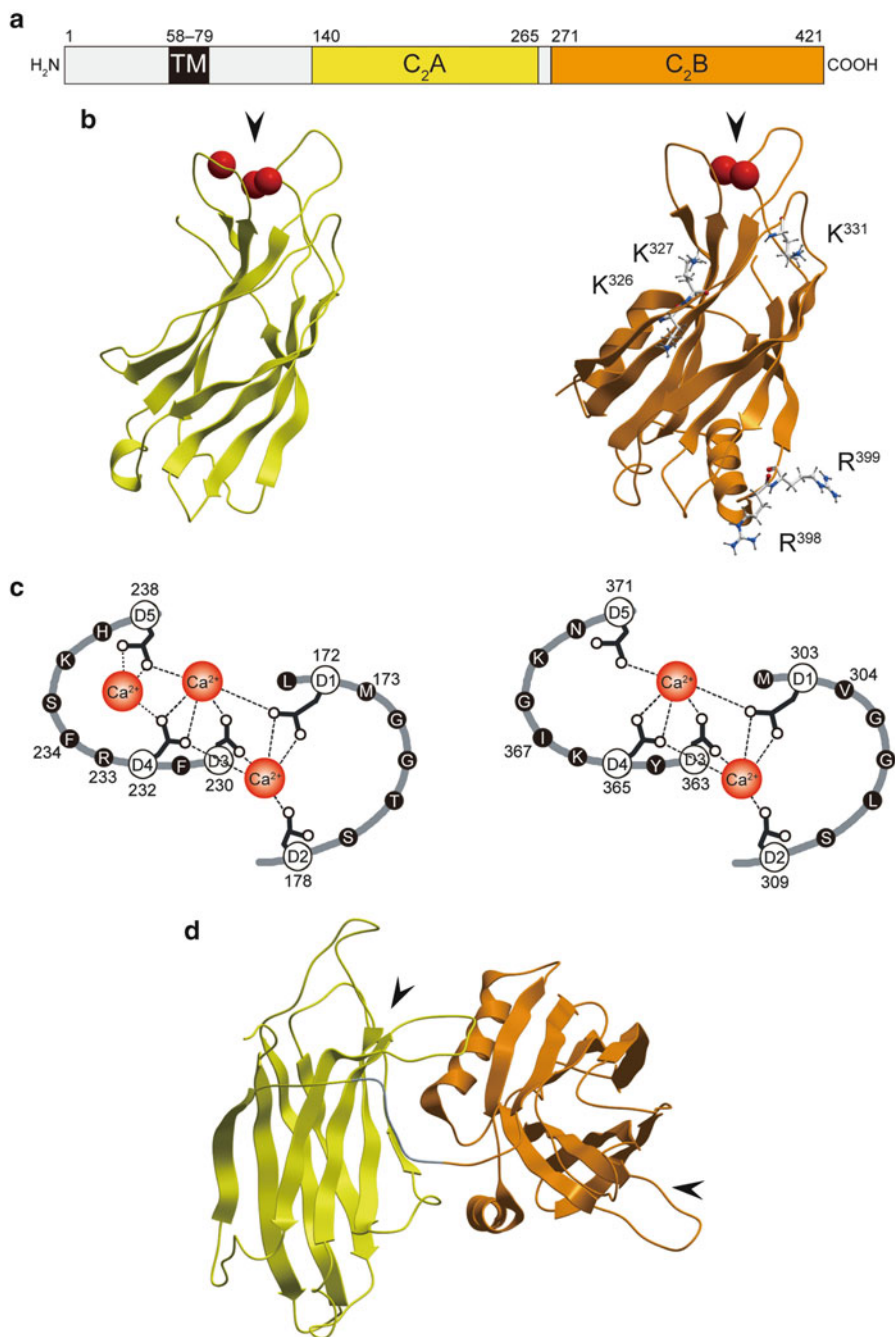


Fig. 8.1 Structures of rat (**a–c**) and human (**d**) synaptotagmins. (**a**) Domain diagram of rat synaptotagmin I. TM, transmembrane. (**b**) Side views of ribbon diagrams of the structures of the C₂A (*left*; PDB file 1BYN; Shao et al. 1998) and C₂B (*right*; PDB file 1K5W; Fernandez et al. 2001) domains in the presence of Ca²⁺ (*red spheres*). Indicated amino acid residues are shown as *ball and sticks*. (**c**) Ca²⁺-binding sites in the C₂A (*left*) and C₂B (*right*) domains, modified

four loops at the bottom (Sutton et al. 1995; Shao et al. 1996; Fernandez et al. 2001; Fig. 8.1b).

In the top face of the C₂ domains, two loops of three contain five negatively charged aspartate (Asp, D) residues forming Ca²⁺-binding sites (D¹⁷², D¹⁷⁸, D²³⁰, D²³², and D²³⁸ in rat C₂A and D³⁰³, D³⁰⁹, D³⁶³, D³⁶⁵, and D³⁷¹ in rat C₂B) (Shao et al. 1998; Fernandez et al. 2001; Fig. 8.1c). However, X-ray crystal structure analysis indicated that Asp³⁷¹ in the C₂B domain does not bind to Ca²⁺ (Cheng et al. 2004). The C₂A domain of synaptotagmin I binds three Ca²⁺ ions with an estimated affinity of 60–75 μM, 400–500 μM, and >1 mM (Ubach et al. 1998). The isolated C₂B domain binds two Ca²⁺ ions with similar calcium affinities in the range of 300–600 μM (Fernandez et al. 2001). Thus, intrinsic Ca²⁺-binding affinities of both C₂ domains are low but dramatically increased in the presence of negatively charged phospholipids (5–50 μM) (Brose et al. 1992; Fernández-Chacón et al. 2001; Radhakrishnan et al. 2009). The binding of Ca²⁺ changes only the electrostatic potential of the isolated domains without inducing large conformational change in these domains (Shao et al. 1998; Fernandez et al. 2001).

The bottom face of the C₂B domain has two additional α-helices that are absent in the C₂A domain (Fernandez et al. 2001) and a basic region containing two conserved arginine (Arg, R) residues (R³⁹⁸ and R³⁹⁹ in rats; Araç et al. 2006). Another basic area on the surface of the C₂B domain, which is called a polylysine (Lys, K) region (K³²⁶, K³²⁷, and K³³¹ in rats), is located in the fourth β-strand on the edge of a concaved side of its β-sandwich. Thus, the surface of the C₂B domain possesses distinctly basic (top) and acidic (side, bottom) areas, which are postulated to be important for the Ca²⁺-independent interactions of synaptotagmin through the C₂B domain (Fig. 8.1b, c).

While considering the mechanism underlying the function of synaptotagmin in neurotransmitter release, the two synaptotagmin C₂ domains are the most interesting features. Because the tandem C₂A and C₂B domains are connected by a short flexible linker, the relative orientation of the two C₂ domains, especially Ca²⁺-binding sites, is critical. In some cases, the image of the structure of the synaptotagmin I cytoplasmic region (referred to as the C₂AB fragment) has been drawn by connecting the NMR structure of each C₂ domain with a manually drawn line based on the crystal structure of synaptotagmin III cytoplasmic region in the absence of Ca²⁺ (Sutton et al. 1999). In such images, the Ca²⁺-binding regions of both synaptotagmin C₂ domains are directed toward each other. Crystal structural analysis of the C₂AB fragment of human synaptotagmin I, in the absence of Ca²⁺, revealed that its conformation is in contrast to that of synaptotagmin III. The C₂A and C₂B domains of synaptotagmin I are related by ~108° rotation about the axis of



Fig. 8.1 (continued) from Fernandez et al. (2001). **(d)** Ribbon diagram of the structure of human synaptotagmin I (PDB file 2R83; Fuson et al. 2007). ICM Browser software (Molsoft L.L.C., San Diego, CA) was used to generate three-dimensional structures. Ca²⁺-binding pockets are indicated by arrowheads. The same color coding is used for each C₂ domain (C₂A in yellow, C₂B in orange) in all figures

a linker connecting the two C₂ domains (Fuson et al. 2007). In this configuration, the Ca²⁺-binding sites of the C₂A domain interact with an α -helix at the bottom of the C₂B domain (Fig. 8.1d). Therefore, this structure of synaptotagmin, in the absence of Ca²⁺, is called the closed form.

In contrast to crystallographic analysis, NMR and electron paramagnetic resonance (EPR) spectroscopy of the synaptotagmin C₂AB fragment demonstrate that the two C₂AB domains are flexibly linked and do not interact with each other in solution (Araç et al. 2006; Herrick et al. 2009). EPR spectroscopy also shows that the Ca²⁺-binding sites of each C₂ domain face in roughly opposite directions when synaptotagmin binds to SNARE complexes in solution (Lai et al. 2011). These findings suggest that the Ca²⁺-binding sites in one C₂ domain interact with synaptic vesicle membranes, and those in the other C₂ domain bind to presynaptic membranes when synaptotagmin binds to a SNARE complex between two fusion partners (termed a *trans* complex) at nerve terminals.

The structure of synaptotagmin bound to the SNARE complex embedded in membranes appears to be different from that in solution. Single-molecule Förster resonance energy transfer (also called fluorescence resonance energy transfer, FRET) analysis revealed the structure of synaptotagmin bound to a SNARE complex in the *cis* (on the same membrane) conformation in lipid bilayers in the presence of Ca²⁺. Binding to the membrane-embedded *cis*-SNARE complex stabilizes the conformation variability of the synaptotagmin C₂AB fragment and arranges the Ca²⁺-binding loops of both C₂A and C₂B domains such that they face away from the SNARE complex. This configuration allows the two C₂ domains to interact with the same membrane (Choi et al. 2010). In the complex between synaptotagmin and SNAREs, the C₂B bottom face interacts with the SNARE complex. However, the conserved Arg residues are sufficiently exposed to allow potential interaction with membranes. Further studies are required to determine the structure of synaptotagmin when the protein binds to the *trans*-SNARE complex that is ideally formed between two membranes.

8.3 Multiple Roles of Synaptotagmin in Ca²⁺-Dependent Neurotransmitter Release

8.3.1 A Ca²⁺ Sensor for Neurotransmitter Release

As described in the above section, synaptotagmin I is an integral synaptic vesicle membrane protein. Multiple Ca²⁺ ions bind to its cytoplasmic C₂ domains that are known to interact with membrane lipids at physiological concentrations ($\geq 1 \mu\text{M}$) in the presence of negatively charged phospholipids (Brose et al. 1992). Based on its role in evoked neurotransmitter and the aforementioned features, the protein has been postulated to be a Ca²⁺ sensor of synaptic vesicle exocytosis. Synaptotagmin I

is also postulated to function as a Ca²⁺ sensor for spontaneous release as well as evoked release (Xu et al. 2009).

Pioneering work on genetically mutated animals revealed a critical role for synaptotagmin I in Ca²⁺-dependent evoked transmitter release (DiAntonio et al. 1993; Littleton et al. 1993; Nonet et al. 1993; Geppert et al. 1994). In agreement with these findings, microinjection of synthetic C₂ domain inhibitory peptides or antibodies against synaptotagmin into presynaptic neurons or endocrine cells inhibits neurotransmitter release (Bommert et al. 1993; Elferink et al. 1993; Fukuda et al. 1995; Mochida et al. 1997; Ohara-Imaizumi et al. 1997). Somewhat surprisingly, synaptotagmin is not required for Ca²⁺-dependent transmitter release in response to a stimulus per se. This is because, in the absence of synaptotagmin, vesicle exocytosis continues to occur at a normal level but with slower kinetics (Shoji-Kasai et al. 1992; Shin et al. 2003; Nishiki and Augustine 2004a). Most notably, in primary cultured hippocampal neurons of mice lacking synaptotagmin I, synchronous transmitter release is attenuated by more than 95 %, leaving only asynchronous release as the main component of transmitter release (Shin et al. 2003; Nishiki and Augustine 2004a). In the synaptotagmin-deficient *Drosophila* neuromuscular junction (NMJ), synchronous release is also abolished and asynchronous release is increased (Yoshihara and Littleton 2002). These findings suggest that synaptotagmin I regulates evoked neurotransmitter release in two different ways: triggering synchronous release and suppressing asynchronous release. It was recently reported that, in mice, synchronous release triggered by synaptotagmin I in the hippocampus CA1 region is important for recognizing recent fear memories, while that in the prefrontal cortex is required for storage and/or retrieval of distant fear memories (Xu et al. 2012).

In some synapses (i.e., inhibitory synapses between basket cells and granule cells in the dentate gyrus of the hippocampus), synaptotagmin I is not absolutely required for synchronous release (Kerr et al. 2008). This suggests the existence of other fast Ca²⁺ sensors. Genetic studies in mice strongly indicate that synaptotagmins II and IX also function as the Ca²⁺ sensor for the synchronous component of transmitter release, in addition to synaptotagmin I (Pang et al. 2006a, b; Sun et al. 2007; Xu et al. 2007). Synaptotagmins I, II, and IX can also function as Ca²⁺ sensors for fast secretion in endocrine cells (Voets et al. 2001; Iezzi et al. 2005; Nagy et al. 2006; Lynch and Martin 2007; Zhu et al. 2007). Thus, synaptotagmins I, II, and IX are the best current candidates to serve as Ca²⁺ sensors triggering synchronous transmitter release. Although the Ca²⁺ sensor for asynchronous release is still under debate (Yao et al. 2011), it has recently been reported that synaptotagmin VII is involved in triggering asynchronous transmitter release (Bacaj et al. 2013).

Although the two synaptotagmin C₂ domains share a high degree of sequence homology with each other (41 % identity) (Perin et al. 1991), their physiological roles in neurotransmitter release clearly are unequal and nonredundant. Many physiological studies of several different research groups have clearly shown that the binding of Ca²⁺ to the C₂B domain is essential for synaptotagmin to trigger synchronous release (Littleton et al. 1994, 2001; Mackler et al. 2002; Nishiki and

Augustine 2004b; Shin et al. 2009; Yoshihara et al. 2010; Lee et al. 2013). Especially, among multiple Asp residues coordinating Ca^{2+} -binding sites in the C_2B domain, the second and third Asp (D^{309} (D2) and D^{363} (D3) in rats) residues are essential for synchronous release (Nishiki and Augustine 2004b).

It remains controversial as to whether the binding of Ca^{2+} to the C_2A domain is involved in transmitter release. Neutralizing negative charges of the second Asp (D2) residue in the C_2A domain (D^{229} in *Drosophila*), which is essential for the binding of Ca^{2+} to the synaptotagmin C_2A domain, by substituting with asparagine (Asn, N) does not alter synaptic transmission in *Drosophila* NMJ (Robinson et al. 2002). In contrast, the substitution of Asp²²⁹ with glutamate in the *Drosophila* synaptotagmin C_2A domain impairs transmitter release (Striegel et al. 2012). The substitution of Asp²³²Asn (D4N in the C_2A domain) in mouse synaptotagmin enhances synaptic transmission (Stevens and Sullivan 2003). Substitution of positively charged R²³³ in the Ca^{2+} -binding loop of the C_2A domain with glutamine (Gln, Q) reduces the Ca^{2+} -binding affinity of synaptotagmin and causes a corresponding reduction in the Ca^{2+} sensitivity of transmitter release (Fernández-Chacón et al. 2001). However, R²³³ is not directly involved in forming Ca^{2+} -binding sites in the C_2A domain. Taken together, these findings suggest that the C_2A domain may regulate exocytosis, but its specific function remains unclear.

8.3.2 Docking of Secretory Vesicles

Although synaptotagmin has been extensively studied as a Ca^{2+} sensor for neurotransmitter release, this is not the only function of synaptotagmin. It has been postulated that synaptotagmin also plays multiple roles in synaptic vesicle exocytosis. For example, synaptotagmin is involved in vesicle docking and fusion clamping before Ca^{2+} influx when synaptic vesicles are preparing for Ca^{2+} -triggered exocytosis. Neither synaptic vesicle depletion nor decreased vesicle docking is observed in hippocampal neurons cultured from synaptotagmin-deficient mice (Geppert et al. 1994). However, several studies show that synaptotagmin is critical for synaptic vesicle docking and maintaining docked vesicles at some synapses in *Caenorhabditis elegans*, *Drosophila*, and squid (Jorgensen et al. 1995; Reist et al. 1998; Fukuda et al. 2000; Loewen et al. 2006). Studies in neuroendocrine cells also show that synaptotagmin is implicated in vesicle docking through its binding to SNAREs located on presynaptic plasma membranes (Chieriegatti et al. 2002; de Wit et al. 2009; Mohrmann et al. 2013). However, synaptotagmin is not absolutely necessary for vesicle docking because even in the synapses of *Drosophila* mutants lacking synaptotagmin, docked vesicles were observed at the release site and spontaneous transmitter release was recorded (Reist et al. 1998; Loewen et al. 2006).

The physical distance of primed synaptic vesicles to the sites of Ca^{2+} influx significantly influences the kinetics of vesicle exocytosis. To release synaptic vesicles synchronously and rapidly (within 100 μs) in response to Ca^{2+} influx

(Sabatini and Regehr 1996), they should be positioned adjacent to Ca²⁺ channels. Synaptotagmin has been reported to interact directly or indirectly with Ca²⁺ channels in vitro, and this interaction has been postulated to position synaptic vesicles to Ca²⁺ entry sites (Bennett et al. 1992; Leveque et al. 1992; Yoshida et al. 1992; Lévêque et al. 1994; Charvin et al. 1997; Sheng et al. 1997) (see Sect. 9.4.2). Recent studies in the calyx of Held demonstrate that synaptotagmin II harboring mutations in R³⁹⁹ and R⁴⁰⁰ greatly inhibits synchronous release, while the intrinsic Ca²⁺ sensitivity of synaptic vesicle for release is unchanged. This suggests that the protein positions releasable vesicles near Ca²⁺ channels and facilitates transmitter release (Young and Neher 2009). Similar mutations in synaptotagmin I (R³⁹⁸Q and R³⁹⁹Q) also reduce synchronous release from hippocampal neurons (Xue et al. 2008). Nevertheless, the involvement of these amino acid residues in the binding of synaptotagmin to molecules at release sites, especially Ca²⁺ channels, remains to be elucidated.

8.3.3 *Clamp for Spontaneous Vesicle Fusion*

After docking, synaptic vesicles need to wait in a primed and fusion-competent state for evoked release. Therefore, spontaneous fusion of vesicle membranes with presynaptic membranes must be suppressed until Ca²⁺ influx. This process maintains the size of the pool of primed vesicles. Early physiological studies indicate that synaptotagmin has a fusion clamp role that blocks spontaneous vesicle exocytosis at resting Ca²⁺ levels in nerve terminals (DeBello et al. 1993; DiAntonio et al. 1993; Littleton et al. 1993; Nonet et al. 1993; Popov and Poo 1993; Broadie et al. 1994; Morimoto et al. 1995). If synaptotagmin acts as a fusion clamp, direct or indirect Ca²⁺-independent binding of synaptotagmin to the fusion machinery (probably the *trans*-SNARE complex) would be expected. The clamping function for spontaneous release appears to be mediated by the poly-Lys region in the C₂B domain (K³²⁷, K³²⁸, and K³³² in rat synaptotagmin II; Kochubey and Schneggenburger 2011) and the C₂A domain (K²⁴⁰, K²⁴¹, K²⁴², and K²⁴³ in *Drosophila*, Mace et al. 2009).

In a recently proposed model, complexin, a presynaptic soluble protein, functions as a primary fusion clamp by binding to the *trans*-SNARE complex in a Ca²⁺-independent manner (see Chap. 6). Subsequent binding of Ca²⁺ to synaptotagmin releases the clamp from the SNARE complex, and this triggers membrane fusion (Südhof and Rothman 2009). However, synaptotagmin can compete with complexin for binding to SNARE in the presence or absence of Ca²⁺ (Tang et al. 2006). Therefore, Ca²⁺-independent binding of synaptotagmin appears to displace complexin from the *trans*-SNARE complex (Tang et al. 2006; Krishnakumar et al. 2011). Thus, synaptotagmin takes over the clamping role of complexin and functions as a secondary fusion clamp. Alternatively, complexin may indirectly associate with the *trans*-SNARE complex by binding to synaptotagmin (Tokumaru et al. 2008). In the latter case, synaptotagmin and

complexin may function synergistically to enhance their clamp activities (Giraudo et al. 2006). The Ca^{2+} -independent functions of synaptotagmin in fusion clamping, as well as in vesicle docking, increase a pool of release-ready vesicles, from which rapid synchronous release can occur after Ca^{2+} -dependent clamp removal. Unraveling these Ca^{2+} -independent functions of synaptotagmin will be necessary to understand how the protein works in transmitter release.

8.3.4 Fusion Pore Regulation

Ca^{2+} influx into presynaptic nerve terminals causes the vesicle membrane to fuse with the presynaptic membrane. An aqueous fusion pore is formed, and this pore connects the lumen of a vesicle with the space of the synaptic cleft. Although opening and dilating the fusion pore allows the release of neurotransmitters from vesicles, it is difficult to elucidate the exocytosis mechanism of a synaptic vesicle because its lifetime is extremely short. To analyze the nature of fusion pores electrophysiologically, detailed amperometric measurements have been performed using adrenal chromaffin cells and rat pheochromocytoma PC12 cells.

Mutations in the Ca^{2+} -binding sites of synaptotagmin alter fusion pore lifetimes and the transition of an open fusion pore to a dilating fusion pore (Wang et al. 2001, 2003a, 2006; Bai et al. 2004b). Mutations of lipid-penetrating residues in the Ca^{2+} -binding loops of synaptotagmin (see Sect. 8.4.1) also alter fusion pore dilation (Lynch et al. 2007). Furthermore, mutations that selectively impair the binding of synaptotagmin to SNAREs can destabilize open fusion pores (Bai et al. 2004b). A single-vesicle SNARE-mediated fusion assay revealed that the opening and expansion of the fusion pore also require synaptotagmin and Ca^{2+} (Lai et al. 2013). These results suggest that, during exocytosis, the assembly of synaptotagmin and SNARE complexes opens and stabilizes fusion pores by preventing them from closing. Although fusion pore dynamics are apparently regulated by Ca^{2+} -bound synaptotagmin, further studies are needed to unravel the mechanisms underlying these synaptotagmin functions.

8.3.5 Endocytosis of Synaptic Vesicles

Synaptotagmin is postulated to play a role as a Ca^{2+} sensor for endocytosis and exocytosis of synaptic vesicles. Genetic disruption of synaptotagmin in *C. elegans* demonstrates its involvement in vesicle recycling (Jorgensen et al. 1995). In *Drosophila* and mouse neurons lacking synaptotagmin, endocytosis is significantly impaired and the endocytic rate is severely lowered (Poskanzer et al. 2003; Nicholson-Tomishima and Ryan 2004). Synaptotagmin appears to control the endocytic rate through the binding of Ca^{2+} to both C₂A and C₂B domains (Yao et al. 2012a). Similar findings are observed for clathrin-mediated endocytosis in

synaptotagmin-deficient adrenal chromaffin cells (Yao et al. 2012b, but see Lee et al. 2013). Although the molecular mechanisms underlying the function of synaptotagmin in Ca^{2+} -regulated vesicle endocytosis remain unknown, it is interesting that synaptotagmin binds to proteins involved in clathrin-mediated vesicle endocytosis such as the AP-2 adapter complex (Zhang et al. 1994) and stonin 2 (Martina et al. 2001; Walther et al. 2001) (see Chap. 10). Taken together, these findings suggest that synaptotagmin plays a role as a Ca^{2+} sensor for slow clathrin-mediated endocytosis. However, synaptotagmin may not be essential for this process.

8.4 Interaction with Lipids, Inositol Polyphosphates, and Presynaptic Proteins

During synaptic vesicle exocytosis, several interactions of synaptotagmin are important mechanisms that underpin the physiological functions of the protein in neurotransmitter release. Synaptotagmin interacts with phospholipids, inositol high-polyphosphates (IHPS), and SNAREs. A critical issue is whether these interactions are Ca^{2+} independent or dependent. The original clone harbors an Asp residue at position 374, instead of glycine that is highly conserved among the synaptotagmin family (Osborne et al. 1999; Desai et al. 2000). This mutation causes misfolding of the C_2B domain (Ubach et al. 2001). Therefore, binding experiments with recombinant synaptotagmin, which were conducted before 2000, may be affected by this mutation and need to be reassessed.

8.4.1 Interaction with Lipid Membranes

Both C_2A and C_2B domains of synaptotagmin interact with phospholipid membranes in a Ca^{2+} -dependent manner (Davletov and Südhof 1993; Fernandez et al. 2001). Negatively charged phospholipids such as phosphatidylserine (PS) enhance this interaction and increase the apparent Ca^{2+} affinity of C_2 domains and thus enable them to bind Ca^{2+} in the physiological range that triggers transmitter release (Brose et al. 1992). Upon Ca^{2+} binding, hydrophobic residues in the Ca^{2+} -binding loops of the C_2 domains (methionine 173 and phenylalanine 234 in rat C_2A and valine 304 and isoleucine 367 in rat C_2B ; Fig. 8.1c) penetrate the membrane (Chapman and Davis 1998; Davis et al. 1999; Herrick et al. 2006; Hui et al. 2006). These amino acids are important for the synaptotagmin C_2AB fragment to induce membrane curvature changes and to tubulate membranes in the presence of Ca^{2+} (Martens et al. 2007; Hui et al. 2009). The tubulation of liposomes by the C_2AB fragment requires the C_2B domain and its Ca^{2+} -binding sites (Martens et al. 2007; Hui et al. 2009). Atomic force microscopy reveals that the binding of

the C₂AB fragment perturbs the bilayer structure and induces the formation of stable indentations in bilayers (Shahin et al. 2008). These hydrophobic amino acids in the Ca²⁺-binding loops seem to be important for the Ca²⁺ sensitivity of transmitter release (Rhee et al. 2005). At the *Drosophila* NMJ, membrane penetration of the C₂B domain is more important for transmitter release than that of the C₂A domain (Paddock et al. 2011).

In the absence of Ca²⁺, synaptotagmin also binds to phosphatidylinositol 4,5-bisphosphate (PtdIns(4,5)P₂) through its C₂B domain (Schiavo et al. 1996). The interaction with PtdIns(4,5)P₂ markedly enhances the Ca²⁺-binding affinity of synaptotagmin (Radhakrishnan et al. 2009; van den Bogaart et al. 2012). A conserved poly-Lys region of the C₂B domain (K³²⁶ and K³²⁷ in rats), critical for synchronous release (Mackler and Reist 2001; Borden et al. 2005; Li et al. 2006), is involved in the binding of synaptotagmin to PtdIns(4,5)P₂ (Bai et al. 2004a; Li et al. 2006; van den Bogaart et al. 2012). However, one study reports that mutations in the same Lys residues have no significant effect on binding to PtdIns(4,5)P₂ (Radhakrishnan et al. 2009). Two conserved Arg residues at the bottom of the C₂B domain, which are critical for synchronous release (Xue et al. 2008; Young and Neher 2009), also interact with the negatively charged phospholipid head groups in the absence of Ca²⁺ (Araç et al. 2006).

There is increasing evidence supporting the idea that synaptotagmin has an ability to bridge two membranes through Ca²⁺-dependent and Ca²⁺-independent lipid binding. Several different models have been proposed. In the presence of Ca²⁺, the C₂B domain alone can bind to membranes by the Ca²⁺-binding loops at the top and two conserved Arg residues at the bottom of the C₂B domain. This brings two membranes into close proximity within 4 nm (Araç et al. 2006). In contrast, tandem C₂ domains, but not individual domains, cross-link apposed membranes by binding individual C₂ domains to each membrane with a distance of approximately 9 nm in the presence of Ca²⁺ (Connell et al. 2008; van den Bogaart et al. 2011). While this type of model is called the direct-binding model, another model is called the oligomerization model (Seven et al. 2013). In this latter model, synaptotagmin bridges membranes through *trans* protein–protein interactions between synaptotagmins bound to different membranes via their Ca²⁺-binding loops (Hui et al. 2011). Thus, although the mechanism underlying membrane bridging by synaptotagmin is controversial, these Ca²⁺-dependent and Ca²⁺-independent interactions between synaptotagmin and membrane lipids appear to be crucial for the regulation of SNARE-mediated membrane fusion.

8.4.2 Interaction with Inositol Polyphosphate

Inositol polyphosphates play important roles in cellular functions. Synaptotagmins I and II bind IHPS including inositol 1,3,4,5-tetrakisphosphate; inositol 1,3,4,5,6-pentakisphosphate; and 1,3,4,5,6-hexakisphosphate (InsP₆) through their C₂B domain (Fukuda et al. 1994; Niinobe et al. 1994; Mehrotra et al. 1997; Mikoshiba

et al. 1999). In neurons and adrenal chromaffin cells, the binding of IHPS to the C_2B domain appears to be important for synaptotagmin to keep the primed vesicles away from spontaneous fusion at resting levels of intracellular Ca^{2+} (Llinás et al. 1994; Ohara-Imaizumi et al. 1997; Yang et al. 2012). Recently, NMR analysis revealed that the InsP_6 -binding site in the C_2B domain is overlapped with the AP-2-binding region (Joung et al. 2012). Indeed, the interaction between synaptotagmin with AP-2 is altered by InsP_6 (Mizutani et al. 1997). Likewise, the binding of IHPS to the synaptotagmin C_2B domain is suggested to be involved in endocytosis of synaptic vesicles in rat superior cervical ganglion neurons (Mochida et al. 1997). Thus, intracellular levels of IHPS appear to regulate synaptotagmin functions in synaptic vesicle cycling.

8.4.3 Ca^{2+} Dependency of Interactions with SNAREs

Synaptic vesicle exocytosis requires three neuronal SNARE proteins: syntaxin and synaptosomal-associated protein of 25 kDa (SNAP-25) on the plasma membrane (t-SNAREs) and synaptobrevin (also called vesicle-associated membrane protein) on the synaptic vesicles (v-SNARE). Therefore, the interactions between synaptotagmin and the neuronal SNAREs are important for synaptotagmin to trigger the SNARE-mediated vesicle exocytosis. Despite extensive studies over the last two decades, how synaptotagmin and SNAREs couple Ca^{2+} binding to vesicle fusion remains unclear.

Binding between native proteins has been monitored by immunoprecipitation of brain detergent extracts with antibodies against synaptotagmin or SNAREs. These studies demonstrate that synaptotagmin and SNAREs form Ca^{2+} -independent constitutive complexes (Bennett et al. 1992; Yoshida et al. 1992; Söllner et al. 1993; Rickman and Davletov 2003; Nagy et al. 2006; Masumoto et al. 2012). Some of these studies show that the interactions between native synaptotagmin and SNAREs are unaltered by Ca^{2+} (Söllner et al. 1993; Masumoto et al. 2012). Thus, these biochemical properties of the binding of synaptotagmin to SNAREs provide strong support for the hypothesis that synaptotagmin is involved in docking of vesicles and clamping of vesicle fusion in presynaptic nerve terminals at resting Ca^{2+} levels.

In contrast to studies using native proteins, there are many reports using recombinant proteins for binding experiments. In most of them, *Escherichia coli* has been routinely used as a host cell for the production of proteins fused with various affinity tags (e.g., glutathione *S*-transferase (GST) and polyhistidine), whereas baculovirus–insect cell expression systems and purification methods for full-length synaptotagmin have only been established recently (Vrljic et al. 2011). However, somewhat surprisingly, the results of binding experiments differ substantially from those for brain-derived proteins. Bacterially expressed proteins are used in solution, and the binding of bacterially expressed recombinant proteins is highly regulated by Ca^{2+} (Earles et al. 2001; Fernández-Chacón et al. 2001; Littleton et al. 2001; Zhang et al. 2002; Wang et al. 2003b; Bai et al. 2004b; Tang et al. 2006; Dai et al. 2007;

Lynch et al. 2007; Hui et al. 2009; but see Ernst and Brunger 2003). The kinetics of these Ca^{2+} -dependent interactions between synaptotagmin and SNAREs, as well as the Ca^{2+} concentration required, are within the physiological range of transmitter release (Davis et al. 1999; Tang et al. 2006). However, Ca^{2+} -induced binding of synaptotagmin to syntaxin depends on which protein is immobilized onto the beads as bait through an affinity tag in a pull-down assay (Kee and Scheller 1996). Moreover, although the interaction between synaptotagmin and SNAREs is highly sensitive to the ionic strength (Tang et al. 2006), many binding experiments have been conducted at ionic strengths that are lower than physiological conditions (50–100 mM NaCl or KCl), and more Ca^{2+} -dependent binding is observed. More recently, one-dimensional NMR spectroscopy revealed that the primary binding mode of synaptotagmin to the SNARE complex is formed by a poly-Lys region in the C₂B domain. In contrast, Ca^{2+} causes the aggregation of synaptotagmin–SNARE complex assemblies through two Arg residues at the bottom of the C₂B domain, enhancing the binding of synaptotagmin to the SNARE complex (Zhou et al. 2013). Although there are discrepancies, Ca^{2+} -dependent interactions with SNAREs in vitro have been extensively characterized because such interactions provide an attractive mechanism by which Ca^{2+} influxes could be coupled directly to membrane fusion.

8.4.4 Interaction with t-SNAREs

Brain-derived SNAREs can exist in several forms in detergent-containing solutions of binding experiments, e.g., ternary SNARE complexes, t-SNARE heterodimers consisting of syntaxin and SNAP-25, and isolated individual SNAREs. The assembly of the synaptic fusion complex probably begins with the formation of the binary complex, consisting of syntaxin and SNAP-25, on the presynaptic plasma membrane. Immunoprecipitation from brain extracts cannot tell us which SNARE protein binds to synaptotagmin in the absence of Ca^{2+} . To identify the synaptotagmin-binding partner in SNARE complexes, purified native proteins and/or recombinant proteins are required for binding experiments. Rickman and colleagues (Rickman and Davletov 2003; Rickman et al. 2004) conducted binding experiments using purified native t-SNAREs. The group combined immunoaffinity chromatography, preparative SDS-PAGE, and electroelution techniques to purify proteins from the brain. Their binding experiments show that synaptotagmin binds to the t-SNARE heterodimer in the absence of Ca^{2+} (Rickman and Davletov 2003).

The t-SNARE-binding site on synaptotagmin appears to involve the poly-Lys region in its C₂B domain as well as a tyrosine (Tyr, Y) residue at 311 (Rickman et al. 2004, 2006) corresponding to the Tyr residue that is well characterized in the *Drosophila* mutant AD3 (DiAntonio and Schwarz 1994). In contrast, the nature of the synaptotagmin-binding site on the t-SNARE heterodimer is ambiguous. When recombinant proteins expressed in the mammalian cell culture system (HEK293, human embryonic kidney cells) are used, synaptotagmin binds to syntaxin, but not

to SNAP-25, through the poly-Lys region of its C₂B domain. This suggests that synaptotagmin binds to a t-SNARE dimer through an interaction between its C₂B domain and syntaxin (Masumoto et al. 2012). However, the GST-fused C₂AB fragment of synaptotagmin shows no ability to bind to brain-derived syntaxin or SNAP-25, whereas GST-C₂AB binds to the t-SNARE heterodimer consisting of the brain-derived proteins at a 1:1:1 stoichiometrical molar ratio (Rickman and Davletov 2003). This discrepancy may be due to the denaturation of brain-derived syntaxin during preparative SDS-PAGE and its refolding through binding to SNAP-25.

Binding of the synaptotagmin GST-C₂A domain to syntaxin in brain extracts is greatly enhanced by Ca²⁺ (Li et al. 1995). Mutating Asp residues in the Ca²⁺-binding sites of the synaptotagmin C₂A domain completely abolishes its syntaxin-binding ability (Shao et al. 1997; Davis et al. 1999). However, synaptotagmin with the same mutation is still able to trigger transmitter release at the *Drosophila* NMJ (Robinson et al. 2002). Taken together, these findings suggest that the physiological significance of the Ca²⁺-enhanced synaptotagmin–syntaxin interaction is in processes other than Ca²⁺-dependent synaptic vesicle exocytosis.

Ca²⁺ also increases the binding affinity of GST-SNAP-25 to the C₂AB fragment of recombinant synaptotagmin but does not alter the stoichiometry of the binding at saturation (Gerona et al. 2000). This is confirmed by cross-linking experiments (Lynch et al. 2007). C-terminal acidic amino acid residues in SNAP-25 (especially D¹⁷⁹, D¹⁸⁶, and D¹⁹³) are required for the Ca²⁺-dependent binding of synaptotagmin to the SNARE complex (Gerona et al. 2000; Zhang et al. 2002; Lynch et al. 2007). Although mutations in D¹⁷⁹ and D¹⁸⁶ inhibit the Ca²⁺-dependent binding of synaptotagmin to the SNARE complex by approximately 50 % (Zhang et al. 2002), mutations in the same amino acids only caused a subtle reduction in vesicle exocytosis from chromaffin cells (Sørensen et al. 2002; Mohrmann et al. 2013). While both synaptotagmin C₂ domains are required for Ca²⁺-regulated binding to SNAP-25, the C₂A domain is partly involved in Ca²⁺-dependent binding activity (Gerona et al. 2000). Moreover, whereas mutations in R¹⁹⁹ and K²⁰⁰ in the synaptotagmin C₂A domain greatly reduce the Ca²⁺-regulated binding (Lynch et al. 2007), these amino acids are not involved in forming Ca²⁺-binding sites. Regardless of these findings, the physiological significance of the Ca²⁺-enhanced synaptotagmin I–SNAP-25 interaction in Ca²⁺-dependent synaptic vesicle exocytosis remains unclear.

8.4.5 Interactions with Membrane-Reconstituted SNAREs

The incorporation of bacterially expressed SNAREs and/or synaptotagmin to lipid membranes appears to influence their protein–protein interactions and changes their Ca²⁺ dependency. In single-molecule FRET analysis, the synaptotagmin C₂AB fragment binds to the t-SNARE heterodimer that is formed in bilayers containing full-length syntaxin in the absence of Ca²⁺ (Weninger et al. 2008). Liposomes

containing full-length synaptotagmin bind to liposomes containing binary t-SNARE complexes and PtdIns(4,5)P₂ in the absence of Ca²⁺ (Kim et al. 2012). These observations are consistent with the findings that synaptotagmin is involved in vesicle docking through binding to t-SNARE in chromaffin cells (Chieriegatti et al. 2002; de Wit et al. 2009; Mohrmann et al. 2013), as discussed in Sect. 8.3.2.

In single-molecule FRET analysis, the low-affinity interaction between the synaptotagmin C₂AB fragment and trimeric *cis*-SNARE complexes in lipid bilayers is dramatically increased with Ca²⁺ addition (Bowen et al. 2005). Similar results are obtained when the C₂AB fragment binds to the *cis*-SNARE complexes reconstituted in lipid bilayers deposited within microfluidic channels (Dai et al. 2007). However, it is unclear whether Ca²⁺ enhances the binding of synaptotagmin to SNARE complexes in nerve terminals, because synaptotagmin is able to insert the Ca²⁺-binding loops of both C₂ domains into phospholipid bilayers even in the presence of *cis*-SNARE complexes (Dai et al. 2007). Indeed, two-photon fluorescence cross-correlation spectroscopy, a powerful technique to quantify interactions between two individual fluorescently labeled liposomes, has revealed that Ca²⁺ does not significantly enhance the binding of membrane-anchored synaptotagmin to liposomes containing the *cis*-SNARE complex (Vennekate et al. 2012). Thus, although the significance of Ca²⁺-dependent binding of synaptotagmin to membrane-embedded SNAREs remains unclear, synaptotagmin appears to initially bind to the t-SNARE heterodimer, and/or the *trans*-SNARE complex, after vesicle docking in the resting state and might still bind to the *cis*-SNARE complex during or immediately after vesicle fusion. When residual concentrations of Ca²⁺ in the release site return toward the resting level, synaptotagmin may dissociate from the *cis*-SNARE complex.

8.5 In Vitro Reconstitution of SNARE-Mediated Fusion Triggered by Ca²⁺-Synaptotagmin

8.5.1 Fusion Between *t*-SNARE and *v*-SNARE Liposomes

Dozens of studies have attempted to establish an in vitro reconstituted fusion system consisting of only lipids and four proteins (synaptotagmin, synaptobrevin, syntaxin, and SNAP-25). However, despite the physiological evidence for synaptotagmin as the primary Ca²⁺ sensor for synaptic vesicle exocytosis, in vitro reconstitution of synaptotagmin function has proven to be very difficult. Tucker et al. (2004) and Martens et al. (2007) showed that Ca²⁺ and the synaptotagmin C₂AB fragment increase the speed of fusion between neuronal t-SNARE- and v-SNARE-reconstituted liposomes. Under these experimental conditions, the C₂AB fragment binds to t-SNAREs before Ca²⁺ addition, suppressing SNARE-mediated liposome fusion (Chicka et al. 2008). However, mutations in the Ca²⁺-binding sites of the C₂B domain have no effect on liposome fusion, whereas mutations in the C₂A

domain completely inhibit the acceleration effect of the C_2AB fragment (Stein et al. 2007). This is opposite to the roles of synaptotagmin C_2 domains in transmitter release, as discussed in Sect. 8.3.1.

In contrast to the C_2AB fragment, some in vitro liposome fusion assays using full-length synaptotagmin reconstituted into liposomes with synaptobrevin have failed to observe a Ca^{2+} -dependent acceleration of SNARE-mediated fusion. Similar results are also obtained in a “flipped” SNARE cell–cell fusion assay (Giraud et al. 2006). These studies have yielded ambiguous results in that while Ca^{2+} has no effect on SNARE-mediated fusion in the presence of full-length synaptotagmin (Mahal et al. 2002; Giraud et al. 2006), Ca^{2+} –synaptotagmin inhibits fusion when both t-SNARE and v-SNARE liposomes contain PS (Stein et al. 2007). In another study, fusion is enhanced at 10 μM Ca^{2+} but decreased at higher Ca^{2+} concentrations (100 μM) (Lee et al. 2010). Moreover, one report indicates that Ca^{2+} accelerates liposome fusion only at ≥ 2 mM (Kyoung et al. 2011). At (almost) physiological ionic strength (100–150 mM potassium salts), full-length synaptotagmin increases fusion between t-SNARE and v-SNARE liposomes in a Ca^{2+} -independent manner, although Ca^{2+} –synaptotagmin triggers SNARE-mediated liposome fusion at low ionic strength (5 mM KCl) (Mahal et al. 2002; Stein et al. 2007; van den Bogaart et al. 2011).

Wang et al. (2011) recently reported that reconstituted full-length synaptotagmin can trigger the acceleration of SNARE-mediated liposome fusion in a Ca^{2+} concentration-dependent manner ($[\text{Ca}^{2+}]_{1/2} = 250$ μM). This occurs when t-SNARE-reconstituted liposomes contain PS and $\text{PtdIns}(4,5)\text{P}_2$ and are preincubated with v-SNARE-reconstituted liposomes before the addition of Ca^{2+} to the fusion assay. In their fusion assay system, the binding of Ca^{2+} to the C_2B domain is more important than that to the C_2A domain, which is very similar in neurons. Full-length, membrane-embedded synaptotagmin has no ability to clamp SNARE-mediated fusion in the absence of Ca^{2+} (Wang et al. 2011), which is different from the findings for the C_2AB fragment (Chicka et al. 2008). In Ca^{2+} -dependent neurotransmitter release, it is thought that synaptic vesicles are required to be docked and primed at the release site by a mechanism that is not yet understood. For instance, complexin, which binds to assembled SNAREs, has been proposed to clamp the SNARE complex until Ca^{2+} influx, suggesting a cooperative action with synaptotagmin (Südhof and Rothman 2009). Obviously, more work is needed for deciphering the clamping function of synaptotagmin in vitro.

8.5.2 Fusion of Synaptic Vesicles with t-SNARE Liposomes

Instead of using v-SNARE liposomes reconstituted with full-length synaptotagmin, secretory vesicles isolated from animal tissue have been used for the in vitro fusion assay. Synaptic vesicles purified from the rat brain can fuse constitutively with t-SNARE liposomes containing syntaxin and SNAP-25 (Holt et al. 2008). However, fusion of synaptic vesicles with t-SNARE liposomes under these experimental

conditions shows no Ca^{2+} dependency (but see Hu et al. 2002). This may be due to the *cis* association of synaptotagmin to synaptic vesicle membranes, which contains 15 % PS (Takamori et al. 2006), because when synaptotagmin is incorporated in a PS-containing membrane, the protein binds to its own membrane by Ca^{2+} and prevents itself from binding to target membranes (Stein et al. 2007; Vennekate et al. 2012). This is in agreement with findings that the C_2AB fragment of synaptotagmin is inserted into t-SNARE liposomes by Ca^{2+} to accelerate the fusion of these liposomes with v-SNARE liposomes (Chicka et al. 2008). In vivo, this *cis* association of synaptotagmin to secretory vesicles may be suppressed by ATP (Park et al. 2012).

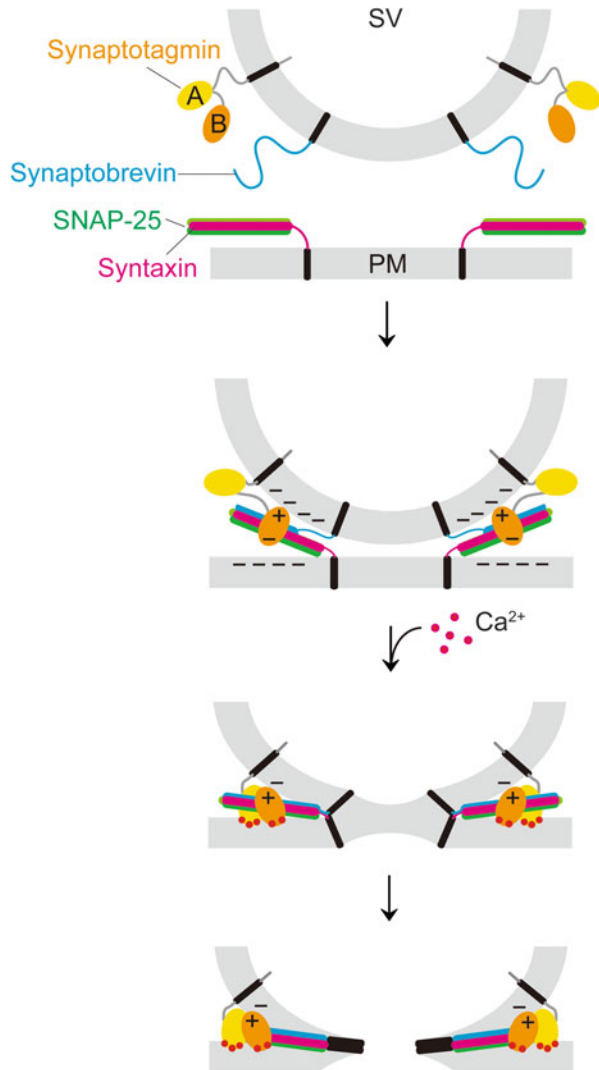
8.6 Molecular Mechanisms of Action of Synaptotagmin During Synaptic Vesicle Docking, Priming, and Fusion

As described above, extensive evidence indicates that synaptotagmin acts as a Ca^{2+} sensor in neurotransmitter release, but its mechanism of action, especially the role of the interaction between synaptotagmin and the SNARE complex, remains unclear. Figure 8.2 illustrates one of the current models for the possible roles of synaptotagmin in transmitter release. In this model, synaptotagmin binds to the t-SNARE heterodimer through the interaction between its C_2B domain and syntaxin at resting Ca^{2+} levels during or after vesicle docking.

During vesicle priming, synaptotagmin suppresses spontaneous SNARE-mediated vesicle fusion until Ca^{2+} enters. One possible mechanism would be that synaptotagmin indirectly prevents the assembly of the SNARE complex by regulating the distance between the vesicles and the plasma membrane (the 2nd in Fig. 8.2). When synaptotagmin binds to t-SNAREs, the conserved two Arg residues at the bottom of the C_2B domain appear to face toward either of the two membranes and bind to the membrane through the interaction between positive charges of these Arg residues and negative charges of the surface of the membranes. In this case, Ca^{2+} -binding sites on the top of the C_2B domain would face toward the other membrane. Because the Ca^{2+} -binding sites are coordinated by negatively charged multiple Asp residues, repulsive forces would generate between these residues and phospholipid membranes, suppressing the assembly of the SNARE complex. After Ca^{2+} binding, these negative charges on the top of the C_2B domain are neutralized and the repulsive forces between the two membranes disappear (the 3rd in Fig. 8.2). Simultaneously, the tips of the C_2 domains penetrate the membranes upon Ca^{2+} binding and locally bend the membrane, inducing curvature. These Ca^{2+} -dependent interactions between synaptotagmin and the membranes could remove the fusion clamp from the *trans*-SNARE complex, accelerating SNARE-mediated fusion (the 4th in Fig. 8.2).

Alternatively or concomitantly, synaptotagmin acts as a fusion clamp by directly blocking the full assembly of the partially assembled *trans*-SNARE complex. In

Fig. 8.2 A model for the possible roles of synaptotagmin during neurotransmitter release. *SV* indicates a synaptic vesicle, and *PM* indicates the presynaptic plasma membrane; symbols represent C_2A (A) and C_2B (B) domains of synaptotagmin; + and – indicate positive and negative charges, respectively. Ca^{2+} ions are shown as *small red spheres*. The N-terminal half (Habc) region of syntaxin and the linker region of SNAP-25 are not shown for simplicity



this case, synaptotagmin and complexin may function synergistically to enhance their clamp activities (Giraudo et al. 2006). Upon Ca^{2+} binding, synaptotagmin may relieve the clamp by disengaging from SNAREs (Leveque et al. 2000) or by Ca^{2+} -dependent higher-affinity binding to SNAREs, thus displacing the primary clamp complexin (Yang et al. 2010).

8.7 Conclusions

We have summarized the structure and function of synaptotagmin and its interactions with phospholipids and SNAREs. Extensive studies over the past quarter century strongly suggest that synaptotagmin serves as the Ca^{2+} sensor for the synchronous component of evoked neurotransmitter release. Although the mechanisms mediating the function of synaptotagmin in Ca^{2+} -dependent evoked release remain unknown, the binding of synaptotagmin to SNAREs and phospholipids appears to be involved. Evidence summarized in this review suggests that synaptotagmin most likely acts on the *trans*-SNARE complex during or after vesicle docking in a Ca^{2+} -independent manner and synchronizes SNARE-mediated vesicle fusion at least by interacting with phospholipid membranes upon Ca^{2+} binding. Several important questions remain to be answered. For example, does synaptotagmin directly act on SNARE complexes, alter their conformation, and facilitate their full assembly in a Ca^{2+} -dependent manner to trigger membrane fusion? How do synaptotagmin and complexin work together to clamp SNARE-mediated fusion? How do synaptotagmin, a Ca^{2+} sensor for synchronous release, and Ca^{2+} sensors for asynchronous release regulate the balance of these two components of transmitter release? Most importantly, would it be possible for synaptotagmin to exert its function as a Ca^{2+} sensor within 100 μs after Ca^{2+} influx in the nerve terminal? Further *in vitro* and *in vivo* studies will surely provide new exciting insights into the detailed mechanism of the molecular machinery governing evoked transmitter release.

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Chapter 9

Regulation of Active Zone Ca²⁺ Channels

Karina Leal and Sumiko Mochida

Abstract At the presynaptic active zone, Ca²⁺ influx through voltage-gated Ca_v2 channels triggers fast, synchronous neurotransmitter release from synaptic vesicles. Synaptic vesicles localized to release sites are tightly coupled with presynaptic Ca_v2 channels whereby neurotransmitter release is proportional to the Ca²⁺ current, or the Ca²⁺ concentration, with the third or fourth power. Ca_v2 channel activity is regulated directly or indirectly by multiple mechanisms through protein-protein interactions, before and after synaptic vesicle exocytosis, resulting in fine-tuning of Ca²⁺ entry that effectively modulates basal neurotransmitter release and underlies presynaptic short-term plasticity. Presynaptic active zone proteins form a large complex, which tether Ca_v2 channels, dock and prime synaptic vesicles at release sites, and possess regulatory function. Ca_v2 channel modulation, which is upstream of synaptic vesicle exocytosis, that leads to changes in Ca²⁺ influx provides a powerful and efficient way to regulate synaptic transmission. In this chapter, we review progress toward understanding the cellular and molecular mechanisms that modulate the activity of Ca²⁺ channels at the presynaptic active zone. A remaining challenge is to understand how these processes work together to shape synaptic transmission and synaptic plasticity.

Keywords Calcium channel • Ca_v2.1 • Ca_v2.2 • SNARE • G protein • Neurotransmitter • Synaptic transmission

9.1 Introduction

It is well established that an increase in active zone Ca²⁺ concentration following influx through voltage-gated Ca_v2 channels triggers fast and synchronous neurotransmitter release. Through effects on ion channel activity and neurotransmitter release, Ca²⁺ signals can lead to a range of alterations in synaptic function,

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including synaptic plasticity. After a brief overview of Ca_v2 channel structure and function, this chapter reviews advancement toward understanding the molecular mechanisms that modulate the activity of Ca_v2 channels at the active zone and highlights the importance of the Ca_v2 channel regulation in synaptic plasticity.

Ca^{2+} entry through a single (Stanley 1993) or multiple Ca_v2 channel (Holderith et al. 2012; Sheng et al. 2012) can trigger neurotransmitter release, using the SNARE complex (soluble NSF (*N*-ethylmaleimide-sensitive fusion protein) attachment protein receptor), a key component of the vesicle fusion machinery (Hanson et al. 1997; Otto et al. 1997). The SNARE complex consists of vesicle-associated v-SNARE protein synaptobrevin (VAMP) and two plasma membrane-associated t-SNARE proteins, SNAP-25 and syntaxin-1A (Bajjalieh and Scheller 1995; Sollner et al. 1993; Sudhof 1995, 2004) (see Chap. 4). Synaptotagmin, a Ca^{2+} sensor of the synaptic vesicle membrane, is required to drive fusion of a mature release-ready SNARE complex. Upon Ca^{2+} entry, Ca^{2+} binds to synaptotagmin, resulting in a conformational change in the SNARE complex, which causes fusion of synaptic vesicles with plasma membrane and release of neurotransmitter into the synaptic cleft (see Chap. 8).

The active zone protein complex, which includes RIM, Munc-13, RIM-BP, α -liprin, and ELKS, cooperates to provide molecular scaffolds to tether synaptic vesicles and render vesicles fusion ready. Additionally, the active zone protein complex interacts with the synaptic vesicle protein rab3 and couples Ca_v2 channels via the C-terminal tail of the pore-forming subunit to release sites (Sudhof 2012). Ca_v2 channels also interact with SNARE proteins; biochemical studies have shown Ca_v2 channels to be isolated in a complex with SNARE proteins (Bennett et al. 1992; Leveque et al. 1994; Yoshida et al. 1992) and colocalize with syntaxin-1A at presynaptic nerve terminals (Westenbroek et al. 1992; Westenbroek et al. 1995). The interaction of SNARE proteins and Ca_v2 channels relies on direct binding to a *synaptic protein interaction (synprint)* site located within the intracellular loops of the pore-forming subunit of Ca_v channels (Rettig et al. 1996; Sheng et al. 1994). The *synprint* site serves as a major regulatory domain of Ca_v2 channels by multiple presynaptic proteins beyond SNAREs, as discussed later in this chapter.

Furthermore, we will review Ca_v2 channel regulation by G-protein-coupled receptors. Once neurotransmitter is released, it initiates a feedback regulation of Ca_v2 channel activity through G-protein-coupled receptors. $\text{G}\beta\gamma$ produced by the receptors activation inhibits Ca_v2 channel activity (Brown and Sihra 2008). We also discuss PIP_2 regulation on Ca_v2 channel activity. PIP_2 has a similar function to modulation of Ca_v2 channel activity by $\text{G}\beta\gamma$, suggesting that integrating second messenger signaling offers a mechanism for regulation of Ca_v2 channels, although the role of PIP_2 regulation of Ca_v2 channels in synaptic transmission has yet to be studied directly.

Finally, we will review fine-tuning of Ca_v2 channel activity by Ca^{2+} sensor (CaS) proteins, including calmodulin (CaM) and Ca^{2+} -calmodulin-dependent kinase II (CaMKII). Neuronal signals induce Ca^{2+} elevation at the active zone. During the decline of Ca^{2+} concentration to basal level, Ca^{2+} signal activates several CaS proteins that modulate Ca_v2 channel activity. Thus, neuronal activity

determines Ca^{2+} entry through Ca_V2 channels under Ca^{2+} -dependent regulation of key CaS proteins and thereby efficacy of synaptic transmission. We summarize the latest work in Ca_V2 channel regulation at neuronal synapses in two parts, corresponding to the Ca^{2+} -dependent regulation. First, we discuss CaMKII regulation of Ca_V2 channels. Second, we describe progress in Ca^{2+} channel regulation by neuronal CaS proteins, CaM, and related CaM-like proteins. Together, regulation of Ca_V channels comprises an emerging field in understanding the cellular and molecular mechanisms of synaptic transmission and plasticity.

9.2 Presynaptic Calcium Channels

9.2.1 Structure and Function

The family of voltage-gated Ca^{2+} channels is comprised of 10 members that serve distinct roles in cellular signaling. The voltage-gated Ca^{2+} channel currents recorded in different cell types have diverse physiological and pharmacological properties (Tsien et al. 1988). The Ca_V2 subfamily requires strong depolarization for activation; therefore, Ca_V2 channels are defined as high-voltage-activated (HVA) Ca^{2+} channels (Tsien et al. 1991). The Ca_V2 subfamily is specifically blocked with high affinity by peptide toxins from spider ($\text{Ca}_V2.1$: ω -agatoxin IVA; $\text{Ca}_V2.3$: SNX-482) and snail venom ($\text{Ca}_V2.2$: ω -conotoxin GVIA) (Miljanich and Ramachandran 1995). The Ca_V2 subfamily is relatively insensitive to dihydropyridines which primarily blocks the Ca_V1 subfamily of channels. Predominantly found at presynaptic nerve terminals, Ca^{2+} influx through Ca_V2 channels at the active zone triggers fast and synchronous release of neurotransmitter from synaptic vesicles (Fig. 9.1a).

Voltage-gated Ca^{2+} channels are composed of four or five distinct subunits encoded by multiple genes (Catterall 2000). The Ca_V2 channels are made up of an $\alpha 1$ subunit and distinct auxiliary subunits β and $\alpha 2\delta$, sometimes γ (Fig. 9.1a; Hofmann et al. 1999; Catterall 2000). The $\alpha 1$ subunit is the largest subunit (190–250 kDa) and incorporates the conduction pore, the voltage sensors and gating apparatus, and documented sites of regulation by second messengers, drugs, and toxins. The $\alpha 1$ subunit is organized in four homologous domains (I–IV), each containing six transmembrane α helices (S1–S6) and a membrane-associated P loop between S5 and S6. The voltage sensor module is identified as S1 through S4 segments, while segments S5 and S6 make up the pore of the channel (Fig. 9.1b). The large intracellular loops of the $\alpha 1$ subunit, including the N- and C-termini, serve as sites of interaction by different regulatory proteins that influence Ca^{2+} signals and channel function (Fig. 9.1b; Catterall 2000; Catterall and Few 2008).

The auxiliary subunits significantly affect the function of the channel (Hofmann et al. 1999; Dolphin 2003). The intracellular β subunit (50–65 kDa) is a hydrophilic protein associated with the $\alpha 1$ subunit. $\text{Ca}_V\beta$ subunits greatly enhance cell surface

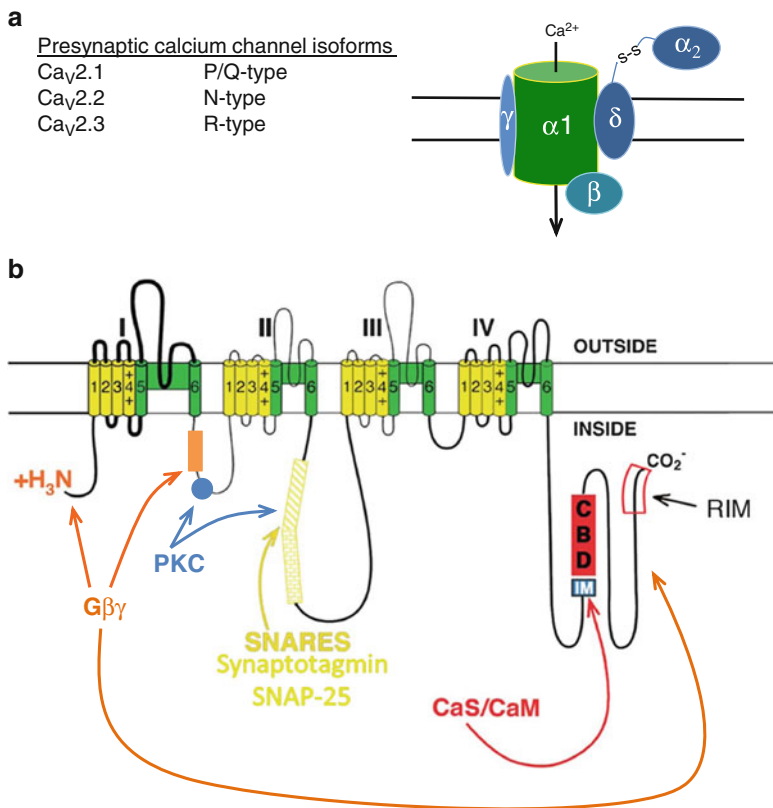


Fig. 9.1 Ca²⁺ channel structure and organization. **(a)** Overview of presynaptic voltage-gated Ca²⁺ channel isoforms and conducted currents. Representation of subunit composition of Ca²⁺ channels and auxiliary subunits. **(b)** Assumed membrane topology of Ca²⁺ channel α_1 subunit composition (Adapted from Catterall and Few 2008). The α_1 subunit consists of four homologous domains (I–IV), each consisting of six transmembrane segments (S1–S6). Cylinders represent predicted helices. The length of lines linking segments, including the N- and C-termini, corresponds approximately to the length of the polypeptide segments represented. S1–S4, shown in yellow, represents the voltage-sensing module. S5–S6, shown in green, represents the pore-forming unit. The large intracellular loops linking the different domains of the α_1 subunit serve as sites of interaction of different regulatory proteins important for channel regulation, including SNARE proteins, CaM, and Ca²⁺ sensor (CaS) proteins

membrane expression of the α_1 subunits. Additionally, Ca_v β subunits alter the kinetics and voltage dependence of activation and inactivation of Ca_v channels. The transmembrane $\alpha_2\delta$ subunit is encoded by a single gene, posttranslationally cleaved, disulfide-bonded, and lipid anchored to yield the mature α_2 and γ subunits (Catterall 2000; Davies et al. 2007; Dolphin 2003). The $\alpha_2\delta$ subunit, like the β subunit, also enhances cell surface expression of the α_1 subunit. Although the $\alpha_2\delta$ subunit has less effect on kinetics and voltage dependence of gating, $\alpha_2\delta$ does play a role in efficient coupling of Ca²⁺ entry to neurotransmitter release at presynaptic active zones (Hoppa et al. 2012). The γ subunit is a component of skeletal muscle

Ca_v1 channels and is unlikely a component of Ca_v2 channels in the brain. Further research is needed to elucidate the function and physiological role of the γ subunit of Ca_v channels.

9.2.2 Ca^{2+} Channels in Synaptic Transmission

In the central nervous system (CNS), Ca_v2 channels are the major sources of Ca^{2+} entry into the presynaptic compartment (Fig. 9.1a; Dunlap et al. 1995). $\text{Ca}_v2.1$ channels, which mediate P/Q-type currents, are mainly found at the mammalian neuromuscular junction and at most fast conventional synapses in the CNS (Cohen et al. 1991). In contrast, $\text{Ca}_v2.2$ channels, which conduct N-type currents, play a predominant role in neurotransmitter release at synapses in the peripheral nervous system (Olivera et al. 1994) and in certain synapses in the CNS (Poncer et al. 1997). Although the role of $\text{Ca}_v2.3$ channels in synaptic transmission is less well understood, $\text{Ca}_v2.3$ channels, which mediate R-type currents, are also localized to nerve terminals and have been shown to contribute in a much smaller extent to neurotransmitter release in some CNS synapses (Gasparini et al. 2001). At a given synapse, Ca^{2+} entry through a single Ca^{2+} channel is sufficient to trigger neurotransmitter release with low probability (e.g., chick ciliary ganglia) (Stanley 1993). However, more than one Ca^{2+} channel resides at the synaptic active zone and is thought to cooperate in triggering neurotransmitter release (e.g., rat hippocampal glutamatergic axonal terminals) (Holderith et al. 2012; Sheng et al. 2012). In both cases, Ca^{2+} entry determines the release probability, or synaptic efficacy; thus, modulation of the Ca^{2+} signal entering the presynaptic terminal is a critical factor for regulation of synaptic transmission. The release probability of a single synaptic vesicle has been shown to increase with the number of Ca^{2+} channels at the active zone and has been correlated with changes in short-term plasticity (Sheng et al. 2012). As mentioned above, at the presynaptic active zone, nerve impulse-triggered vesicle fusion is mediated by both assembly of the SNARE complex (Bajjalieh and Scheller 1995; Sollner et al. 1993; Sudhof 1995, 2004) and Ca^{2+} binding to synaptotagmin. Thus, the Ca^{2+} channel and SNARE complex coupling determines vesicle fusion efficiency (Mochida et al. 1996; Wadel et al. 2007).

Neurotransmitter release happens in two phases: a fast synchronous (or phasic) component and a slow asynchronous (tonic) component (Atluri and Regehr 1998; Barrett and Stevens 1972; Goda and Stevens 1994; Hubbard 1963; Rahamimoff and Yaari 1973). Both forms of neurotransmitter release are highly Ca^{2+} dependent and mediated by low affinity Ca^{2+} -sensor protein, such as synaptotagmin-1 (Yoshihara and Littleton 2002; Nishiki and Augustine 2004) and by higher affinity Ca^{2+} -sensor protein, such as Doc2 (Yao et al. 2011), respectively. Fast postsynaptic responses are the result of synchronous release driven by the strictly timed presynaptic Ca^{2+} current onset (Llinas et al. 1981; Sabatini and Regehr 1996), whereas residual Ca^{2+} remaining in the presynaptic terminal after an action potential sustains the slower asynchronous release, which provides a level of tonic neurotransmitter release at a number of synapses (Atluri and Regehr 1998; Hagler and Goda 2001; Lu and

Trussell 2000). The fast synchronous neurotransmitter release is proportional to the third or fourth power of Ca^{2+} influx (Dodge and Rahamimoff 1967; Katz and Miledi 1970; Zucker and Regehr 2002; Augustine et al. 1987); thus, modulation of presynaptic Ca^{2+} channels that leads to changes in Ca^{2+} influx provides an efficient way to modulate neurotransmitter release.

9.2.3 Ca^{2+} Channels in Presynaptic Short-Term Synaptic Plasticity

Ca^{2+} entry accompanied with neuronal action potentials determines the release probability, or synaptic efficacy. Thus, the strength of synaptic transmission can vary during repetitive presynaptic action potential firing and depends heavily on the previous firing pattern at a synapse. Neurons fire at frequencies ranging from less than once per second (1 Hz) to several hundred Hz at specialized synapses in the brain (von Gersdorff and Borst 2002). Ca^{2+} accumulates at the presynaptic active zone during high frequency action potential firing and prolonged firing, thus inducing short-term plasticity which represents an increase or decrease of synaptic strength that lasts from hundreds of milliseconds to seconds (Abbott and Regehr 2004; Zucker and Regehr 2002). The same pattern of action potential firing does not necessarily induce the same form of synaptic plasticity at different synapses (Ding et al. 2008; Dittman et al. 2000). All synapses in the brain and the peripheral nervous system show some form of short-term plasticity, either facilitation, depression, or a combination of both facilitation and depression. The input–output relationship between the pre- and post-synaptic neurons can be dynamically modulated by short-term synaptic plasticity, which determines how the signal is transformed from one neuron to the other (Zucker and Regehr 2002). Therefore, short-term plasticity is thought to regulate the activity of neural networks and information processing in the nervous system (Abbott and Regehr 2004; Katz and Miledi 1968; Zucker and Regehr 2002). Although postsynaptic changes, for example, receptor desensitization, have been observed at some synapses, short-term plasticity typically reflects a presynaptic change in neurotransmitter release (Del Castillo and Katz 1954; Katz and Miledi 1968; Zucker and Regehr 2002). However, the cellular and molecular mechanisms underlying short-term plasticity are not well understood. One common factor is that these forms of short-term plasticity are all Ca^{2+} dependent (Xu et al. 2007). Thus, Ca^{2+} channels are poised to play an important role in synaptic transmission and short-term synaptic plasticity.

9.3 Interaction with Active Zone Proteins, RIM and RIM-BP

The active zone is located at the presynaptic plasma membrane precisely opposite the synaptic cleft. The opening of the active zone Ca^{2+} channel creates a steep gradient of increased intracellular Ca^{2+} concentration, a Ca^{2+} nanodomain, at the

Ca²⁺ source (e.g., the voltage-gated Ca_v2. pore). If open channels are sufficiently close, their nanodomains will overlap and create a microdomain of elevated Ca²⁺. The location of Ca²⁺ channels and the docking of synaptic vesicles to the release site are regulated by Rab-interacting molecule (RIM), a presynaptic active zone protein (Han et al. 2011). RIM interacts with the C-terminal sequences of Ca_v2.1 and Ca_v2.2 channels (Coppola et al. 2001; Hibino et al. 2002; Kaeser et al. 2011) and plays a role in determining the Ca²⁺ channel density at the active zone. Associated RIM-binding proteins, RIM-BPs, are SH3-domain proteins that also bind to RIMs and interact with Ca_v2.2 channels and possibly to Ca_v2.1 channels (Hibino et al. 2002; Sudhof 2004). Together, the molecular complex consisting of RIM, RIM-BPs, and C-terminal tails of the Ca_v2 channels regulate the recruitment of Ca_v2 channels to active zones.

RIM also binds directly to the synaptic protein-interaction (*synprint*) site of Ca_v2.2 channels (Coppola et al. 2001) where syntaxin-1A, SNAP-25, and synaptotagmin-1 interact (Zhong et al. 1999; Catterall 2000) (see below Sect. 9.4). RIM binding to the α1 subunit of Ca_v2.2 channels is Ca²⁺ independent, whereas Ca²⁺ can modulate the association of SNAP-25 and synaptotagmin-1 with RIM (Coppola et al. 2001). Yet it is not known whether binding of RIM to either SNAP-25 or synaptotagmin-1 affects RIM- or syntaxin-1A-dependent regulation of Ca²⁺ channel activity (see below Sect. 9.4.1). In heterologous expression systems, RIM interacts with the β-subunit of Ca_v2 channels and slows inactivation of channel gating (Kiyonaka et al. 2007).

Furthermore, RIMs have been implicated in synaptic plasticity (Castillo et al. 2002; Schoch et al. 2002) as the coupling between Ca²⁺ influx and transmitter release is reduced with removal of RIMs. Knockout of RIM1α showed a significant decrease in synaptic strength and alterations in short-term synaptic plasticity at hippocampal synapses (Schoch et al. 2002). Regulation of presynaptic Ca²⁺ channel function and vesicle docking by RIM provides an additional potential pathway to increase the release probability of synaptic vesicles docked close to Ca_v2 channels.

9.4 Interaction with Vesicle Fusion Proteins

9.4.1 SNAREs, Syntaxin-1 and SNAP-25

Both Ca_v2.1 and Ca_v2.2 channels contain a particular synaptic protein-interaction (*synprint*) site that binds synaptic proteins such as syntaxin-1A, SNAP-25, RIM, and synaptotagmin (Fig. 9.1b; Spafford et al. 2003; Zhong et al. 1999). The *synprint* site is localized in the intracellular loop that links domains II and III of Ca_v2 channels (Catterall 1999) and is a key motif for targeting synaptic proteins to Ca_v2.1 and Ca_v2.2 channels (Mochida et al. 2003; Szabo et al. 2006). The *synprint* site is not present in Ca_v2.3 channels and the Ca_v1 channel subfamily (Spafford and Zamponi 2003). As well as being a critical site for targeting, the *synprint* site is

also an important modulatory region that enables synaptic proteins like syntaxin-1A to regulate Ca_V channel activity. In nonneuronal cells, binding of syntaxin-1A shifts the voltage dependence of inactivation of $\text{Ca}_V2.1$ and $\text{Ca}_V2.2$ channels to more hyperpolarized potentials, thus reducing channel availability (Stanley 2003). Further work has shown that cleavage of syntaxin-1A by botulinum toxin C1 also shifts the voltage dependence of inactivation to more depolarized potentials, indicating a relief of inhibition and increased channel availability (Stanley 2003; Bergsman and Tsien 2000). However, the question remains, how does syntaxin-1A modulate Ca^{2+} channel gating? So far, structure function studies have identified two adjacent cysteines within the transmembrane domain of syntaxin-1A that are crucial for Ca^{2+} channel regulation and coordinating Ca^{2+} entry (Trus et al. 2001). Truncation of syntaxin-1A that includes the two adjacent cysteines does not mimic the reduction in current amplitude, suggesting an intact full-length syntaxin-1A is necessary to mediate its effect (Trus et al. 2001). Additionally, the 10 N-terminal residues of syntaxin-1A are able to interact with $\text{Ca}_V2.2$ channels and inhibit their activity (Davies et al. 2011). These results point to two different forms of interactions by syntaxin-1A, a modulatory interaction via the *synprint* site and perhaps a yet identified regulatory association site on Ca_V2 channels. The interaction with syntaxin-1A, a target-SNARE, suggests a negative regulation of basal neurotransmitter release, preventing vesicle exocytosis by controlling Ca_V2 channel activity.

In heterologous expression systems, SNAP-25 when coexpressed with $\text{Ca}_V2.1$ or $\text{Ca}_V2.2$ channels also shifts the voltage dependence of inactivation to more hyperpolarized potentials (Stanley 2003). This was confirmed in hippocampal neurons, where silencing of SNAP-25 leads to an increase in $\text{Ca}_V2.1$ channel currents due to a large depolarizing shift in voltage dependence of inactivation, thus increasing the availability of Ca_V channels (Condliffe et al. 2010). Similarly, SNAP-25 was found to bind to the *synprint* site of $\text{Ca}_V2.1$ and $\text{Ca}_V2.2$ channels and is capable of preventing syntaxin-1A-mediated inhibition (Wiser et al. 1996; Zhong et al. 1999; Jarvis and Zamponi 2001). Thus, association of SNAP-25, another target-SNARE, with Ca^{2+} channels through the *synprint* site may provide a feedback mechanism to modulate Ca^{2+} entry at the presynaptic active zone and conceivably affect neurotransmitter release (Catterall 1999; Pozzi et al. 2008).

The *synprint* site also interacts with the SNARE complex that consists of the vesicle and the target SNAREs. In contrast to the negative regulation of transmitter release by a target SNARE syntaxin-1A, the *synprint* site and the SNARE complex interaction relieves inhibition of Ca_V2 channel activity and is crucial for synchronous neurotransmitter release. Disruption of the interaction between Ca^{2+} channel and the SNARE complex with peptides derived from the *synprint* domain, or deletion of the *synprint* site, reduces synaptic transmission (Rettig et al. 1997; Mochida et al. 1996; Keith et al. 2007; Harkins et al. 2004). Injection of *synprint* peptides derived from $\text{Ca}_V2.2$ channels into presynaptic superior cervical ganglion neurons (SCGN) in culture significantly decreased excitatory postsynaptic responses (Mochida et al. 1996). The rapid, synchronous component of synaptic transmission was selectively inhibited following peptide injection. These results provide substantial evidence that the *synprint* region, in addition to the C-terminal RIM-binding site, is also a chief regulatory site of neurotransmitter release.

9.4.2 *Synaptotagmin-1*

Synaptotagmin-1 has been observed to play a modulatory role in Ca^{2+} channel activity; with an increase in Ca^{2+} concentration, synaptotagmin-1 displaces syntaxin-1A from the *synprint* site (Sheng et al. 1997). Synaptotagmin-1 is the putative calcium sensor for fast, synchronous neurotransmitter release coupling Ca^{2+} influx to vesicle fusion (Sudhof 2004). Synaptotagmin-1 binds Ca^{2+} to initiate synchronous neurotransmitter release via two rich negatively charged domains, C2A and C2B, which have distinct targets (Sudhof 2004). Once bound with Ca^{2+} , the C2A domain allows for insertion of synaptotagmin-1 into the plasma membrane, whereas the C2B domain binds to the *synprint* site of $\text{Ca}_v2.1$ and $\text{Ca}_v2.2$ channels (Fernandez-Chacon et al. 2001; Sheng et al. 1997). Direct binding of synaptotagmin-1 to the *synprint* site does not directly affect Ca^{2+} channel gating kinetics; instead, it lessens syntaxin-1A-dependent inhibition of $\text{Ca}_v2.2$ channels (Wiser et al. 1997; Atlas 2001). Binding of syntaxin-1A to the *synprint* site is Ca^{2+} dependent, low Ca^{2+} concentration favors binding of syntaxin-1A to the *synprint* site, whereas higher Ca^{2+} concentration promotes association with synaptotagmin-1 (Sheng et al. 1996). This sequential Ca^{2+} -dependent binding to the *synprint* site may be a central regulatory mechanism of neurotransmitter release. At low Ca^{2+} concentration, Ca^{2+} channel activity is inhibited by bound syntaxin-1A. Upon formation of a complete synaptotagmin/SNARE complex ready for exocytosis, high Ca^{2+} concentration at the mouth of the Ca^{2+} channel relieves this inhibition, allowing for neurotransmitter release.

In nonneuronal cells, synaptotagmin-1 was also shown to reverse the inhibitory effect of SNAP-25 on $\text{Ca}_v2.1$ channel currents. Thus, competitive binding to the *synprint* site of $\text{Ca}_v2.1$ and $\text{Ca}_v2.2$ channels provides a potential mechanism to increase the release probability of synaptic vesicles docked near Ca^{2+} channels at the presynaptic active zone (Catterall and Few 2008). Furthermore, synaptotagmin-1 has also been shown to bind directly, in a Ca^{2+} -dependent manner, to auxiliary β_{4a} subunit of Ca_v2 channels (Vendel et al. 2006). The splice variant β_{4a} subunit may confer functions other than modulation of channel gating and cell surface expression. This interaction between the β_{4a} subunit of Ca^{2+} channels and synaptotagmin-1 may act as an additional scaffolding element to facilitate synaptic protein- Ca^{2+} channel coupling for neurotransmitter release (Weiss 2006).

9.4.3 *Phosphorylation of Synprint Site*

Activation of PKC has been shown to enhance $\text{Ca}_v2.2$ channel current directly, by phosphorylation of the domain I–II linker region with only limited effect on $\text{Ca}_v2.1$ channels. In contrast, phosphorylation of the *synprint* site by PKC and CaMKII strongly inhibits its binding to syntaxin-1A and SNAP-25 (Yokoyama et al. 1997), thus blocking the negative shift in the voltage dependence of inactivation of $\text{Ca}_v2.2$

channels (Jarvis and Zamponi 2001; Yokoyama et al. 2005). Phosphorylation of the $\text{Ca}_v2.2$ *synprint* site regulates the ability of the *synprint* site to interact with syntaxin-1A and SNAP-25. However, phosphorylation of syntaxin-1A and SNAP-25 with PKC or CaMKII does not affect the interaction with the *synprint* site (Mochida et al. 1998). Thus, these data demonstrate that phosphorylation of the *synprint* site by PKC or CaMKII may serve as a biochemical switch for interactions linking $\text{Ca}_v2.2$ channels and SNARE proteins. Additionally, phosphorylation of the *synprint* site of $\text{Ca}_v2.1$ channels by a different kinase, glycogen synthase kinase-3 (GSK-3), has also been shown to prevent binding of syntaxin-1A, SNAP-25, and synaptotagmin-1. Phosphorylation by GSK-3 inhibits neurotransmitter release, perhaps hindering SNARE-dependent regulation of $\text{Ca}_v2.1$ channel properties and therefore limiting Ca^{2+} entry (Zhu et al. 2010).

9.5 Interaction with Intracellular Messengers

9.5.1 G Proteins

G-protein inhibition of Ca_v2 channels supports a well-known component of presynaptic inhibition (Wu and Saggau 1997). Voltage-dependent G-protein ($\text{G}\beta\gamma$) inhibition of Ca_v2 channels represents a fundamental example of Ca^{2+} channel modulation. G-protein-coupled receptors in the nerve terminal bind neurotransmitters and provide negative feedback to inhibit presynaptic $\text{Ca}_v2.1$ and $\text{Ca}_v2.2$ channel currents resulting in a decrease in neurotransmitter release (Hille 1994; Ikeda and Dunlap 1999). Acetylcholine, norepinephrine, GABA, glutamate, biogenic amines, and many neuropeptides inhibit Ca_v2 channels in this manner. As mentioned previously, inhibition of Ca^{2+} currents is a very potent mechanism in negative regulation of neurotransmitters because of the steep dependence of Ca^{2+} influx on neurotransmitter release. This form of Ca_v2 channel inhibition is due to $\text{G}\beta\gamma$ subunits released from pertussis toxin-sensitive, heterotrimeric G proteins of the G_i/G_o class (Hille 1994; Ikeda and Dunlap 1999). Functionally, G-protein inhibition causes a positive shift in the voltage dependence of activation of the Ca^{2+} current, but not statically. Instead, inhibition can be reversed by strong positive depolarization (Fig. 9.2a; Bean 1989; Marchetti et al. 1986; Tsunoo et al. 1986) or repetitive physiological channel activation (Artim and Meriney 2000; Brody et al. 1997), in a manner that may support short-term plasticity (Fig. 9.2b, c) (Brody and Yue 2000). Relief of G-protein inhibition by paired stimulation results in facilitation in Ca^{2+} current and synaptic response (Fig. 9.2). Interestingly, reversal of inhibition by depolarization at the presynaptic terminal may provide a novel form of short-term plasticity that does not rely on residual Ca^{2+} for synaptic facilitation (see Catterall and Few 2008).

Studies revealed Ca_v2 channel inhibition is caused by direct binding of the G-protein $\beta\gamma$ subunit (Herlitze et al. 1996; Ikeda 1996; Zamponi and Snutch 1998),

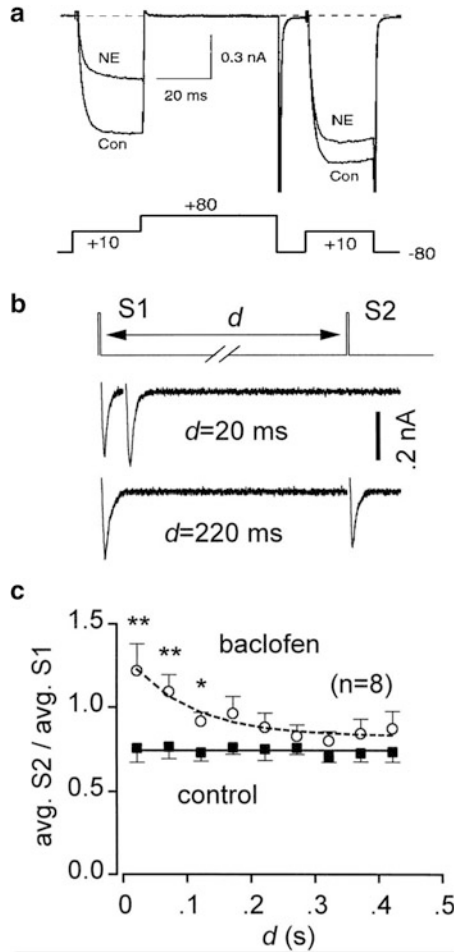


Fig. 9.2 G-protein-mediated regulation of Ca^{2+} channels and synaptic transmission. **(a)** Activation of G proteins by 10 μM norepinephrine (NE) inhibits Ca^{2+} current in SCGNs. In response to paired-pulse voltage stimulation, relief of G-protein inhibition induced facilitation of Ca^{2+} currents conducted by endogenous $\text{Ca}_v2.2$ channels (Adapted from Ruiz-Velasco and Ikeda 2000). **(b and c)** G-protein activation relieves paired-pulse synaptic depression in cultured hippocampal neurons (Adapted from Brody and Yue 2000). **(b)** Example of response traces of paired stimulus protocol (*top*, S1, S2) and synaptic response (*bottom*) in baclofen, a GABA-B receptor agonist. **(c)** In control, synaptic depression is present at all paired-pulse stimulus intervals tested (*solid squares*). In the presence of baclofen, at short time intervals facilitation of synaptic responses is observed (*open circles*)

making the channel difficult to open. Further studies have identified three sites of $\text{G}\beta\gamma$ interaction with the α_1 subunit of Ca_v2 channels, the N-terminus (Canti et al. 1999), the intracellular loop connecting domains I and II ($\text{L}_{\text{I-II}}$) (Herlitzte et al. 1997; Zamponi et al. 1997), and the C-terminus (Delmas et al. 2005;

Furukawa et al. 1998; Li et al. 2004; Qin et al. 1997). The binding of the G $\beta\gamma$ subunit to the N-terminus and L_{I-II} is most effective in initiating inhibition. The transient reversal of inhibition by strong depolarization probably reflects temporary unbinding of G $\beta\gamma$ from the channel as the channel nears its open state (Bean 1989; Boland and Bean 1993; Elmslie et al. 1990). However, the structural mechanisms underlying G-protein modulation of Ca_v2 channels remain poorly understood (Dolphin 2003).

Voltage-independent inhibition of Ca_v2 channels is observed in many neurons and is dependent on intracellular signaling pathways (Dunlap et al. 1995; Hille 1994; Strock and Diverse-Pierluissi 2004). Voltage-independent inhibition of Ca_v2 channels often involves G proteins of the G_q family, which modulate levels of phosphatidylinositol lipids by inducing hydrolysis of phosphatidylinositol biphosphate via activation of phospholipase C enzymes (Delmas et al. 2005). Although regulation of Ca_v2 channels by voltage-independent inhibition of G proteins has not yet been observed to affect synaptic transmission. Inhibition of Ca_v2.2 channels specifically involves interplay between *synprint* site interactions and G-protein G $\beta\gamma$ subunits. A requirement of G-protein inhibition on presynaptic Ca_v2 channels is the presence of intact syntaxin-1A in nerve terminals (Stanley and Mirotznik 1997). Cleavage of syntaxin-1A by botulinum toxin 1C prevents G-protein regulation of presynaptic Ca²⁺ channels. Further research showed that expression of syntaxin-1A is a prerequisite for tonic G-protein inhibition of Ca_v2.2 channels (Jarvis et al. 2000).

In the CNS, G-protein modulation of presynaptic Ca_v2 channels is a potent negative regulator of synaptic transmission. At the calyx of Held, modulation by GABA and glutamate acting on GABA-B receptors and metabotropic glutamate receptors, respectively, has been shown to directly affect Ca²⁺ currents and neurotransmitter release (Takahashi et al. 1996; Kajikawa et al. 2001). In hippocampal autapses, a train of action potential-like stimuli relieves synaptic inhibition caused by activation of GABA-B receptors (Brody and Yue 2000), resulting in synaptic facilitation. Furthermore, in SCGNs, injection of purified G $\beta\gamma$ significantly reduced neurotransmitter release by direct modulation of presynaptic Ca_v2.2 channels (Stephens and Mochida 2005).

9.5.2 PIP₂

Phosphatidylinositol-4,5-bisphosphate (PIP₂) has recently been reported to modulate presynaptic Ca_v2.1 and Ca_v2.2 channels. PIP₂ is a known regulator of exocytosis in some cell types and may affect synaptic transmission and plasticity (Koch and Holt 2012). In addition, recent studies have shown PIP₂ to directly influence the synaptic vesicle release machinery and thereby potentially affect Ca²⁺-triggered exocytosis (Park et al. 2012). Perfusion of PIP₂ has a similar function to modulation by G $\beta\gamma$ subunit in excised membrane patches; PIP₂ shifts the voltage dependence of inactivation strongly to more hyperpolarized

potentials, thus reducing channel activity (Wu et al. 2002). To add to the complexity of presynaptic regulators, activation of PKA blocks PIP₂-mediated inhibition (Wu et al. 2002). Similarly, a reduction in PIP₂, by rapamycin-induced translocation of an inositol lipid 5-phosphatase or by a voltage-sensitive 5-phosphatase, causes a decrease in Ca_v2 channel currents with different sensitivities (Byung-Chang et al. 2010). In heterologous expression systems and SCGNs, Ca_v2.2 channels were inhibited to a greater extent than Ca_v2.1 channels, presumably due to inactivation of Ca²⁺ channels (Byung-Chang et al. 2010). Such regulation by PIP₂ might therefore be a potential mechanism to regulate Ca²⁺ channel activity and thereby neurotransmitter release. Thus, integrating second messenger signaling pathways offers another mechanism in which cells may access for regulation of Ca_v2 channels. However, the role of PIP₂ regulation of Ca_v2.1 or Ca_v2.2 channels in synaptic transmission has yet to be studied directly.

9.6 Interaction with CaMKII

Regulation of neurotransmitter release by second messengers through modulation of SNARE proteins may play a critical role in synaptic transmission (Sudhof 1995). As already discussed, SNARE protein interaction with Ca²⁺ channels controls multiply the channel properties that govern Ca²⁺ influx and vesicle exocytosis. Several protein kinases are expressed in the presynaptic terminals including CaMKII, protein kinase C (PKC), and protein kinase A (PKA), which have been shown to phosphorylate Ca_v2.2 channels and SNARE proteins (Hirling and Scheller 1996). In the postsynaptic compartment, CaMKII is the most prominent Ca²⁺ sensor-dependent regulator and a key component of long-term potentiation (Kennedy et al. 1990; Luscher et al. 2000; Shepherd and Huganir 2007). CaMKII also modulates presynaptic function (Llinas et al. 1985, 1991) and seems to influence synaptic plasticity at some synapses (Chapman et al. 1995; Lu and Hawkins 2006). CaMKII binds to presynaptic Ca_v2.1 channels and slows inactivation and positively shifts the voltage dependence of inactivation, therefore enhancing channel activity (Jiang et al. 2008). Once activated, CaMKII can autophosphorylate, leading to autonomous activity, which is required for modulation of Ca_v2.1 channel properties (Magupalli et al. 2013) and which does not require the catalytic activity of CaMKII (Jiang et al. 2008). Moreover, autophosphorylated CaMKII can also bind simultaneously to a neuronal substrate synapsin-1A. The α1 subunit of the Ca_v2.1 channel serves as a platform for association of CaMKII at the site of Ca²⁺ influx, where it is persistently activated and poised to phosphorylate synapsin-1A and other nearby substrates to regulate synaptic vesicle dynamics and synaptic plasticity.

To test the role of CaMKII-mediated regulation of Ca_v2.1 channels in synaptic transmission, Ca_v2.1 channels were expressed in SCGNs and injected with a competing peptide that blocks the interaction of CaMKII with the channel. Perfusion of the competing peptide (Ca_v2.1₁₈₉₇₋₁₉₁₂) prevented both synaptic

facilitation and depression, suggesting that binding of CaMKII is required for short-term presynaptic plasticity (Magupalli et al. 2013). Additionally, expression of a CaMKII inhibitor, CaMKIIN (Chang et al. 1998), which prevents CaMKII from binding to Ca_v2.1 channels (Jiang et al. 2008), also blocks synaptic facilitation and depression (Magupalli et al. 2013). Facilitation and inactivation of Ca_v2.1 channels by binding of Ca²⁺/CaM mediate the short-term plasticity (Mochida et al. 2008) (see Sect. 9.7.1). The evidence defines the functional properties of a signaling complex of CaMKII, Ca_v2.1 channels, and the binding partner CaM and suggests an effector checkpoint model to enhance local Ca²⁺ signal. CaMKII binding to Ca_v2.1 channels is required to increase the activity of CaM which can effectively generate a cellular response via local Ca²⁺ signal (Magupalli et al. 2013).

9.7 Interaction with Ca²⁺ Sensor Proteins

CaM is the founding member of a large family of related Ca²⁺ sensor (CaS) proteins that are primarily expressed in neurons in the brain and retina (Braunewell and Gundelfinger 1999; Burgoyne and Weiss 2001; Haeseleer et al. 2002; Haeseleer and Palczewski 2002). CaS proteins and CaM share similar structural motifs. CaM has four functional EF-hand motifs, N-terminal EF hands (1 and 2) and C-terminal hands (3 and 4) that respond to the coordination of Ca²⁺, joined by central α -helix (Burgoyne and Weiss 2001; DeMaria et al. 2001; Lee et al. 2003). Like CaM, all CaS proteins contain 4 putative EF-hand motifs although the first or second EF hand is often not able to bind Ca²⁺ due to changes in amino acid sequence (Burgoyne 2007). Many share a consensus sequence for N-terminal myristoylation (Burgoyne 2007; Burgoyne and Weiss 2001; Haeseleer et al. 2002; Mikhaylova et al. 2011). CaS proteins are similar in structure and displace CaM from shared binding sites in the α_1 subunits of Ca_v channels, but they contain different molecular determinants that confer distinct forms of regulation.

9.7.1 *Calmodulin*

Repetitive Ca²⁺ current recording showed Ca²⁺-influx-dependent facilitation and inactivation of presynaptic Ca_v2.1 channels at the calyx of Held synapse in the rat brainstem (Forsythe et al. 1998; Inchauspe et al. 2004) or in transfected nonneuronal cells expressing Ca_v2.1 channels (Lee et al. 1999, 2000; DeMaria et al. 2001). In addition, during high-frequency presynaptic activity of consecutive action potentials, Ca_v2.1 channel currents show both Ca²⁺-dependent facilitation and inactivation, which contribute to facilitation and depression of excitatory postsynaptic responses (Borst and Sakmann 1998; Cuttle et al. 1998; Forsythe et al. 1998). The degree of Ca²⁺ current facilitation and inactivation observed

strongly correlates to the amount of synaptic facilitation and depression according to the power law (Inchauspe et al. 2004; Ishikawa et al. 2005). Ca²⁺ influx through Ca_v2.1 channels is necessary for synaptic facilitation as it is absent in Ca_v2.1 channel knockout mice (Inchauspe et al. 2004; Ishikawa et al. 2005). Collectively, these data suggest that Ca_v2.1 channels preferentially support increases in neurotransmitter release compared to Ca_v2.2 channels, which show little facilitation and greater G-protein inhibition (Inchauspe et al. 2007).

The mechanism for Ca²⁺-dependent facilitation of Ca_v2.1 channel current (CDF) and Ca²⁺-dependent inactivation of Ca_v2.1 channel currents (CDI) as tested in nonneuronal cells involves the Ca²⁺ sensor protein, CaM, binding to two adjacent sites on the C-terminus of the channel—the calmodulin-binding domain (CBD) and the upstream IQ-like motif (IM) (Figs. 9.1b and 9.3a; DeMaria et al. 2001; Lee et al. 1999, 2003). Both of these binding sites are involved in Ca²⁺-dependent feedback regulation (Lee et al. 1999, 2003; DeMaria et al. 2001). The IM site is required for CDF, whereas the CBD is required for CDI. Mutation of the IQ-like domain, IM-AA, completely prevents CDF, whereas deletion of the CBD inhibits CDI (Lee et al. 1999, 2003). Similarly, regulation of Ca_v2.1 channels by CaM is lobe specific. Mutation of the two EF hands in the carboxyl-terminal lobe of CaM primarily prevents CDF, whereas mutation of the EF hands in the amino-terminal lobe primarily prevents CDI (DeMaria et al. 2001; Erickson et al. 2001; Lee et al. 2003).

A molecular model has emerged based on these experiments that describe Ca²⁺/CaM-dependent facilitation and inactivation of Ca_v2.1 channels (Fig. 9.3a). When Ca_v2.1 channels open, a local rise in intracellular Ca²⁺ activates the two high-affinity C-terminal Ca²⁺-binding EF hands of CaM, which initiates or strengthens an interaction with the IQ-like motif causing CDF. Following prolonged Ca²⁺ entry, an increase in global intracellular Ca²⁺ leads to binding of Ca²⁺ to the lower-affinity N-terminal EF hands of CaM, where it interacts with both the IQ-like domain and CBD to produce CDI (DeMaria et al. 2001; Lee et al. 1999, 2003). This biphasic regulation of Ca_v2.1 channels by CaM depends on Ca_v2.1 channel density expressed in neurons (Xu et al. 2007; Mochida et al. 2008; Catterall and Few 2008). For example, Ca_v2.1 currents recorded at the cell body of SCGN show CDF (Mochida et al. 2008), while at the presynaptic terminal of calyx of Held synapse, CDI follows CDF (Forsythe et al. 1998). Both CDF and CDI are blocked by coexpression of a CaM inhibitor peptide (Lee et al. 1999; Xu and Wu 2005), suggesting that Ca²⁺-dependent modulation of Ca_v2.1 channels is caused by two sequential interactions with CaM or a related Ca²⁺-sensing protein. Ca_v2.2 and Ca_v2.3 channels have inactivation that depends on CaM, but neither shows evidence of Ca²⁺/CaS-dependent facilitation of Ca²⁺ channel activity (Liang et al. 2003). These data suggest Ca_v2.1 channels are unique in that regulatory CaS proteins may modulate CDF where these channels are specifically expressed.

Direct evidence supporting the CaS protein regulation of Ca_v2.1 channels in synaptic transmission has emerged from studies of transfected SCGNs. Mutation of CaS-binding sites, IM and CBD, on the C-terminus of Ca_v2.1 channels prevents CaM binding and consequently prevents synaptic facilitation and depression in

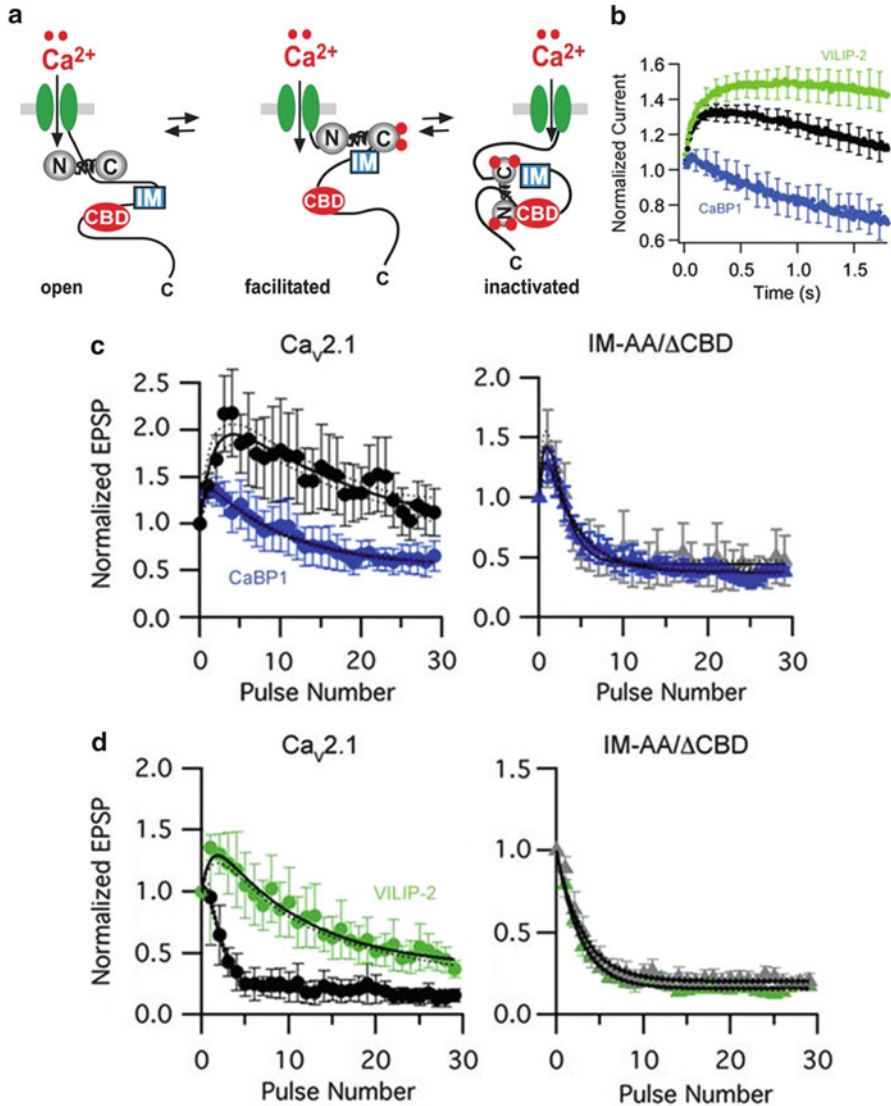


Fig. 9.3 Mechanism of Ca^{2+} sensor regulation of $\text{Ca}_v2.1$ channel currents and synaptic transmission. **(a)** Model for Ca^{2+} /CaM-dependent facilitation and inactivation of Ca^{2+} channel currents. The IQ-like domain (IM, blue) and the CaM-binding domain (CBD, red) are sites of interaction for CaM and CaS proteins. Upon Ca^{2+} channel opening, a local rise in intracellular Ca^{2+} activates the C-terminal lobe of CaM, strengthening the interaction with the IM site, which leads to facilitation of the Ca^{2+} current. Following prolonged Ca^{2+} influx, CaM becomes fully liganded and binds to both the IM site and CBD to produce inactivation of the Ca^{2+} current (Adapted from Catterall and Few 2008). **(b)** Ca^{2+} channel currents recorded from transfected nonneuronal cells expressing $\text{Ca}_v2.1$ channels in response to a train of depolarizations at 100 Hz. $\text{Ca}_v2.1$ channel currents modulated by endogenous CaM (black) show initial Ca^{2+} -dependent facilitation followed by Ca^{2+} -dependent inactivation. Overexpression of CaBP1 (blue) blocks Ca^{2+} -dependent facilitation, while coexpression of VILIP-2 (green) blocks Ca^{2+} -dependent inactivation (Adapted from Catterall and Few 2008). **(c and d)** Synaptic transmission mediated by $\text{Ca}_v2.1$ channels or mutant $\text{Ca}_v2.1$

transfected SCGNs (Mochida et al. 2008). Furthermore, injection of peptides into synaptic terminals that disrupt CaM binding reduced both Ca²⁺ current inactivation and synaptic depression (Xu and Wu 2005).

9.7.2 CaBP1 and VILIP-2

Included in this superfamily are the neuronal CaS proteins calcium-binding protein 1 (CaBP1) and visinin-like protein 2 (VILIP-2) that are similar in structure to CaM. Unlike CaM localized primarily in neurons (Haeseleer et al. 2000; Paterlini et al. 2000), CaBP1 is expressed in the cerebral cortex, in the hippocampus, and in the retina (Haeseleer et al. 2000) and VILIP-2 is highly expressed in the neocortex and hippocampus (Burgoyne and Weiss 2001).

CaBP1 colocalizes with presynaptic Ca_v2.1 channels and binds to the CaM-binding domain in the C-terminus of α 1 subunit of Ca_v2.1 channels similar to CaM (Lautermilch et al. 2005; Lee et al. 2002). CaBP1 has distinct regulatory effects on Ca_v2.1 channel properties. CaBP1 causes rapid inactivation of Ca_v2.1 channel currents in a Ca²⁺-independent manner (Lee et al. 2002). During trains of depolarization pulses, CaBP1 causes enhanced inactivation and prevents facilitation of Ca_v2.1 channel currents (Fig. 9.3b; Lee et al. 2002; Few et al. 2011). Thus, the changes in amino acid sequence of CaBP1 have great effects on its regulatory properties. VILIP-2 is another neuronal CaS protein that modulates Ca_v2.1 channels but with regulatory effects different from those of CaM and CaBP1. VILIP-2 increases Ca_v2.1 channel facilitation in response to trains of depolarization pulses, but inhibits Ca_v2.1 channel inactivation (Fig. 9.3b; Lautermilch et al. 2005; Nanou et al. 2012). Thus, CaBP1 and VILIP-2 bind to the same site as CaM but have opposite effects on Ca_v2.1 channel activity. This differential effect by CaM, CaBP1, and VILIP-2 in regulating Ca_v2.1 channels is a potentially important determinant of Ca²⁺ entry in neurotransmission. Chimeric analysis of CaS protein domains transferred to CaM has shed light on the regulatory domains necessary for CaBP1 and VILIP-2 to mediate their distinct effect (Nanou et al. 2012; Few et al. 2011).

Using transfected SCGNs, CaBP1 and VILIP-2 have been shown to alter synaptic transmission by direct modulation of Ca_v2.1 channels (Fig. 9.3c, d; Leal et al. 2012). Coexpression of Ca_v2.1 channels with CaBP1, which enhances inactivation of Ca_v2.1 channel currents, significantly reduces synaptic facilitation and enhances synaptic depression (Fig. 9.3c; Lee et al. 2002; Leal et al. 2012). In contrast, expression of Ca_v2.1 with VILIP-2, which enhances facilitation of Ca_v2.1



Fig. 9.3 (continued) channels lacking sites of CaM/CaS-dependent regulation (IM-AA/ Δ CBD) expressed alone or with CaBP1 (blue) or VILIP-2 (green) in transfected SCGNs. Mean normalized EPSP amplitude with SEM induced by presynaptic action potentials at 20 Hz in 1 mM Ca²⁺ (c) and 2 mM Ca²⁺ (d)

channel currents (Lautermilch et al. 2005), reduces synaptic depression and enhances synaptic facilitation when synaptic depression dominates (Fig. 9.3d; Leal et al. 2012). CaS-dependent modulation by CaBP1 and VILIP-2 is absent when Ca_v2.1 channels with impaired IM motif and CBD are coexpressed (Fig. 9.3c, d; Leal et al. 2012). These data indicate that CaBP1 and VILIP-2 by directly binding to the C-terminal regulatory site of Ca_v2.1 channels can have opposing effects on short-term synaptic plasticity, either favoring synaptic depression or facilitation. CaS proteins via regulation of presynaptic channels may play a critical role in determining the diversity of short-term synaptic plasticity at CNS synapses.

Additionally, alternative splicing of Ca_v2 channel mRNAs has recently been shown to impact the diversity of Ca_v2 channel regulation. Alternative splicing of exons encoding the C-terminal domain of Ca_v2.1 channels affects modulation by Ca²⁺ and CaM (Chaudhuri et al. 2004). For Ca_v2.2 channels, alternative splicing of exons encoding the intracellular linker between domains I and II affects the regulation by opiates and tyrosine phosphorylation (Altier et al. 2007; Raingo et al. 2007). Thus, presynaptic Ca_v2 channel regulation by multiple mechanisms is important for fine-tuning Ca²⁺ entry and supporting diversity of synaptic plasticity.

9.8 Conclusion

Regulation of active zone Ca²⁺ channels is an important determinant of Ca²⁺ influx for synchronous synaptic transmission. Interactions of Ca_v2 channels with synaptic proteins function in two ways, localizing vesicles and Ca²⁺ channels at the active zone for exocytosis and modulating Ca²⁺ channel activity and thereby neurotransmitter release. The regulation by synaptic proteins and second messenger pathways is complex. At rest, Ca_v2 channels are inhibited by the interaction of active zone proteins and a t-SNARE. Neuronal firing relieves this inhibition, allowing the SNARE complex and synaptotagmin to interact with Ca_v2 channels and initiate Ca²⁺-triggered exocytosis of synaptic vesicles. However, the precise molecular and structural mechanism of these protein interactions to regulate Ca_v2 channels remains unknown. The intracellular *synprint* site is necessary for Ca_v2 channel activation to trigger neurotransmitter release, while other intracellular sites function to inhibit the response such as intracellular messenger Gβγ. Additionally, local Ca²⁺ signal at the active zone acts on CaM and neuronal CaS proteins that modulate Ca_v2 channels inducing short-term plasticity. CaS proteins, CaM, CaBP1, and VILIP-2, sensing different Ca²⁺ signal level with neuronal activity, lend to diversity of synaptic modulation via direct temporal interactions with Ca_v2.1 channels. Future work to understand the structural basis of CaS regulation will bring understanding to the selective modulation of Ca_v2 channels and short-term plasticity.

Recent studies in synaptic preparations where presynaptic terminals may be molecularly modified and physiologically recorded will provide future insight in the emerging field of presynaptic Ca²⁺ channel regulation in synaptic transmission

and synaptic plasticity. Overall, modifying the function and regulatory properties of presynaptic Ca_v2 currents allows for a greater range of regulatory diversity upstream of neurotransmitter release.

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Part IV
Synaptic Vesicle Endocytosis

Chapter 10

Endocytic Machinery at the Neuronal Synapse

Ira Milosevic

Abstract Neurotransmission, a quantal process that conveys signals from one nerve cell to another, forms the basis of intercellular communication in nervous and sensory systems. Even at modest levels of neuronal activity, the hundreds of synaptic vesicles typically present at each synapse would be rapidly used up in the absence of equally robust mechanisms that form new synaptic vesicles. Thus, normal physiology of neuronal synapses is critically dependent on synaptic vesicle recycling. However, 40 years after Heuser and Reese showed that the synaptic vesicles are locally formed and recycled at the presynaptic terminal, the precise mechanism(s) of synaptic vesicle recycling remain(s) elusive. A major role in this process is thought to be played by clathrin-mediated endocytosis, a form of endocytosis that utilizes the clathrin coat, the GTPase dynamin, and a variety of accessory factors. The contribution of two other types of endocytosis, kiss-and-run and bulk endocytosis, to the regeneration of new synaptic vesicles is still an open question. This chapter summarizes current knowledge on endocytic modes and their machinery at the presynaptic terminals, with a strong emphasis on clathrin-mediated endocytosis as the predominant pathway of synaptic vesicle recycling. Given the essential nature of this topic, future progress in this field will not only advance our understanding of synaptic transmission but also have wide implications for neurophysiology, pharmacology, and medicine.

Keywords Neuron • Synapse • Synaptic vesicle • Endocytosis • Synaptic vesicle recycling • Clathrin-mediated endocytosis • Bulk endocytosis • Kiss-and-run

10.1 Biogenesis and Recycling of Synaptic Vesicles at the Presynaptic Terminal

As a consequence of the membrane-based organization of life, cells developed processes by which they can shuttle molecules between compartments, as well as release them to the extracellular space. This latter process, referred to as exocytosis, is

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of particular importance since it enables the cell to communicate with its surrounding. It can occur constitutively or in a regulated manner, triggered by specific signals. For neurons and neuroendocrine cells, the specialized cells whose main function is to secrete signaling molecules rapidly and on demand, this signal is an increase in the intracellular calcium (Ca^{2+}) concentration (Douglas 1968).

In neurons, Ca^{2+} -triggered exocytosis is a complex and highly controlled process (Sudhof 2013). It is based on secretory vesicles, individual modules that contain a defined amount (quantum) of signaling molecules (neurotransmitters or signaling peptides/amines) destined to be released. Neurotransmitters accumulate in the synaptic vesicles, while peptides and biogenic amines are stored in large dense-core vesicles (LDCVs). Both types of vesicles commonly accumulate at the contact points between neurons, called synapses, and in close proximity to the plasma membrane until directed to undergo exocytosis. Although synaptic vesicles and LDCVs differ in a number of aspects, they share the fundamental mechanism of release (De Camilli and Jahn 1990).

The existence of synaptic vesicles is essential for maintaining the properties of neuronal synapses, including directionality of the signal, quantal release, and modulation of synaptic signal. Presynaptic nerve terminals can store a limited number of synaptic vesicles; therefore, the used membranes and proteins must be rapidly recycled in order to prevent their depletion and consequent synaptic fatigue (Fig. 10.1). The conserved vesicle recycling mechanisms at the synapse, which

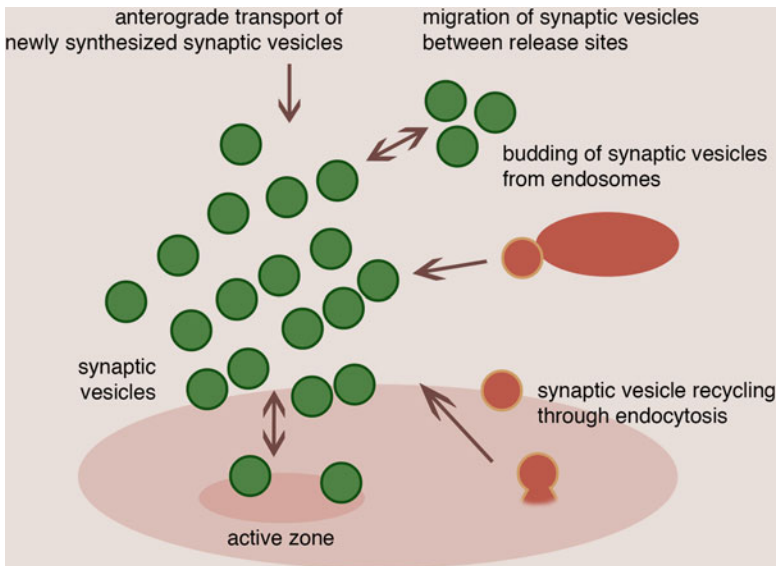


Fig. 10.1 Schematic representation of the synaptic vesicle dynamics at the presynaptic terminus. Synaptic vesicles that accumulate at the presynaptic terminus originate from various sources. Synaptic vesicles are either actively transported to the synapses or recycled locally, both in close proximity to the active zone (periactionic zone) and by budding from endosomes. Furthermore, significant exchange of synaptic vesicles happens between adjacent synapses

result in the formation of new homogeneously sized synaptic vesicles through endocytosis, enable neuronal cells to sustain high rates of synaptic transmission. Consequently, nerve terminals must be capable of regenerating SVs locally with high efficiency and fidelity, and as a rule they should be able to meet any demands imposed by neuronal activity.

Neurotransmission starts with a short-lasting event in which the electrical membrane potential of a neuron rapidly rises and falls (action potential), allowing calcium entry in a presynaptic nerve terminal and thus triggering the fusion of synaptic vesicles with the presynaptic plasma membrane to release neurotransmitters. The exocytic process encompasses targeted translocation of vesicles to the release sites, the initial contact with the plasma membrane (docking), the preparation of vesicles for the fusion (priming), and the Ca^{2+} -triggered fusion of membranes that results in the release of vesicular content (Sudhof 2013). The hundreds of synaptic vesicles typically present at the synapse would be used up rapidly without an equally robust mechanism for forming new synaptic vesicles, even at a modest level of neuronal activity. Thus, to compensate for the added membrane during exocytosis, the cells maintain the net surface area by recycling the added proteins and lipids through a process of compensatory endocytosis (McMahon and Boucrot 2011; Saheki and De Camilli 2012).

Over 40 years after it was shown that the synaptic vesicles are locally formed and recycled at the presynaptic terminal (Heuser and Reese 1973), the precise mechanisms of SV recycling remain elusive and are presently heavily debated (McMahon and Boucrot 2011; Saheki and De Camilli 2012). The uniquely small and homogeneous size of synaptic vesicles, as well as their defined protein composition, suggests the existence of very precise mechanisms that are intimately linked with the endocytic machinery that shapes and fissions them. Three mechanisms for synaptic vesicle endocytosis have been proposed up to date: clathrin-mediated endocytosis, bulk endocytosis, and direct reformation of vesicles via fast closure of a transient fusion pore (“kiss-and-run”), as depicted in Fig. 10.2. In spite of the multiple endocytic pathways that exist, it is presently considered that the clathrin-mediated endocytosis is a major pathway for SV recycling (Dittman and Ryan 2009; Heuser and Reese 1973; Murthy and De Camilli 2003).

During the last three decades, exocytosis and endocytosis have been studied independently in great detail. Although still mechanistically unclear, it is currently believed that these processes are tightly coupled (Gundelfinger et al. 2003; Wu et al. 2014). Further, it has been proposed that the proteins and membrane recently added (after vesicle fusion) must be removed from sites of fusion so that the docking of new synaptic vesicles can take place (Hosoi et al. 2009). However, it is presently debated whether the added proteins remain associated with each other or diffuse throughout the plasma membrane (Fernandez-Alfonso et al. 2006; Wienisch and Klingauf 2006; Willig et al. 2006). In any case, at the neuronal synapses, the processes of regulated exocytosis and compensatory endocytosis are considered tightly coupled and evolutionary perfected.

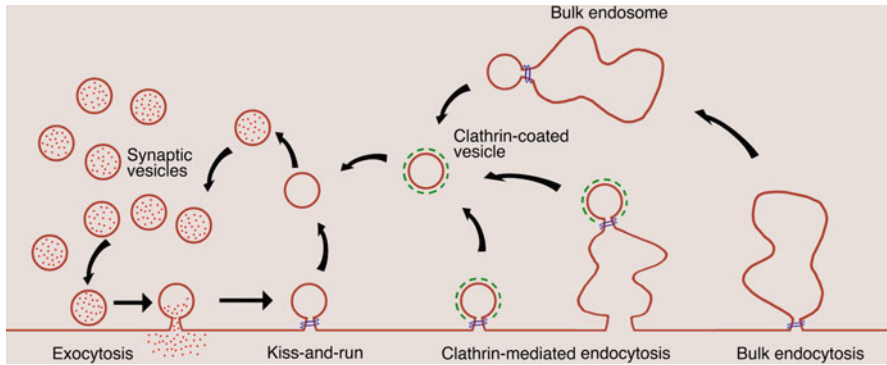


Fig. 10.2 Schematic representation of membrane traffic at the synapse illustrating endocytosis of synaptic vesicle membranes via clathrin-coated pits from the plasma membrane, “kiss-and-run,” and bulk endocytosis followed by vesicle formation via unknown mechanisms from endocytic intermediates. This recycling traffic is interconnected with housekeeping membrane recycling involving clathrin-mediated endocytosis and canonical early endosomes

10.2 Clathrin-Mediated Endocytosis: A Major Pathway for Synaptic Vesicle Recycling at the Neuronal Synapse

Clathrin-mediated endocytosis is a classic example of vesicle formation mediated by coat assembly (Bonifacino and Glick 2004; Saheki and De Camilli 2012). Clathrin-coated profiles (pits and vesicles) are rarely observed in resting presynaptic terminals, and their number increases only after stimulation (Heuser and Reese 1973; Roth and Porter 1964). Therefore, most proteins involved in clathrin-coated endocytosis are present in the cytosol of the presynaptic terminal, and their engagement in the process is triggered during and after stimulation.

The basic aspects of clathrin-mediated endocytosis were described using electron microscopy by Roth and Porter (Roth and Porter 1964). Understanding of the process was greatly expanded in the 1970s by several biochemical and morphological studies performed on the coated vesicles. In 1975 and 1976, two major studies conducted by Barbara Pearse purified the coated vesicles and identified clathrin as the most abundant protein (Pearse 1975, 1976). Furthermore, it was shown that clathrin is able to self-assemble into a honeycomb-shaped lattice to form the clathrin coat at low pH (Van Jaarsveld et al. 1981; Woodward and Roth 1978). Additional work led to the discovery of a clathrin assembly factor, a heterotrimeric protein complex found in the coated vesicles, which possessed the ability to bind clathrin and assist in the assembly of coats at physiological pH (Keen et al. 1979; Van Jaarsveld et al. 1981). The pool of this protein complex that is distributed to the plasma membrane was later named adaptor protein 2 (AP2), and it was suggested that the AP2 is also responsible for cargo sorting.

The basic steps of clathrin-mediated endocytosis are well described (Fig. 10.3; (Kirchhausen 2009; McMahon and Boucrot 2011; Saheki and De Camilli 2012)). The creation of the clathrin-coated vesicle is a highly orchestrated, dynamic process that involves simultaneous activity of an extensive network of protein–protein

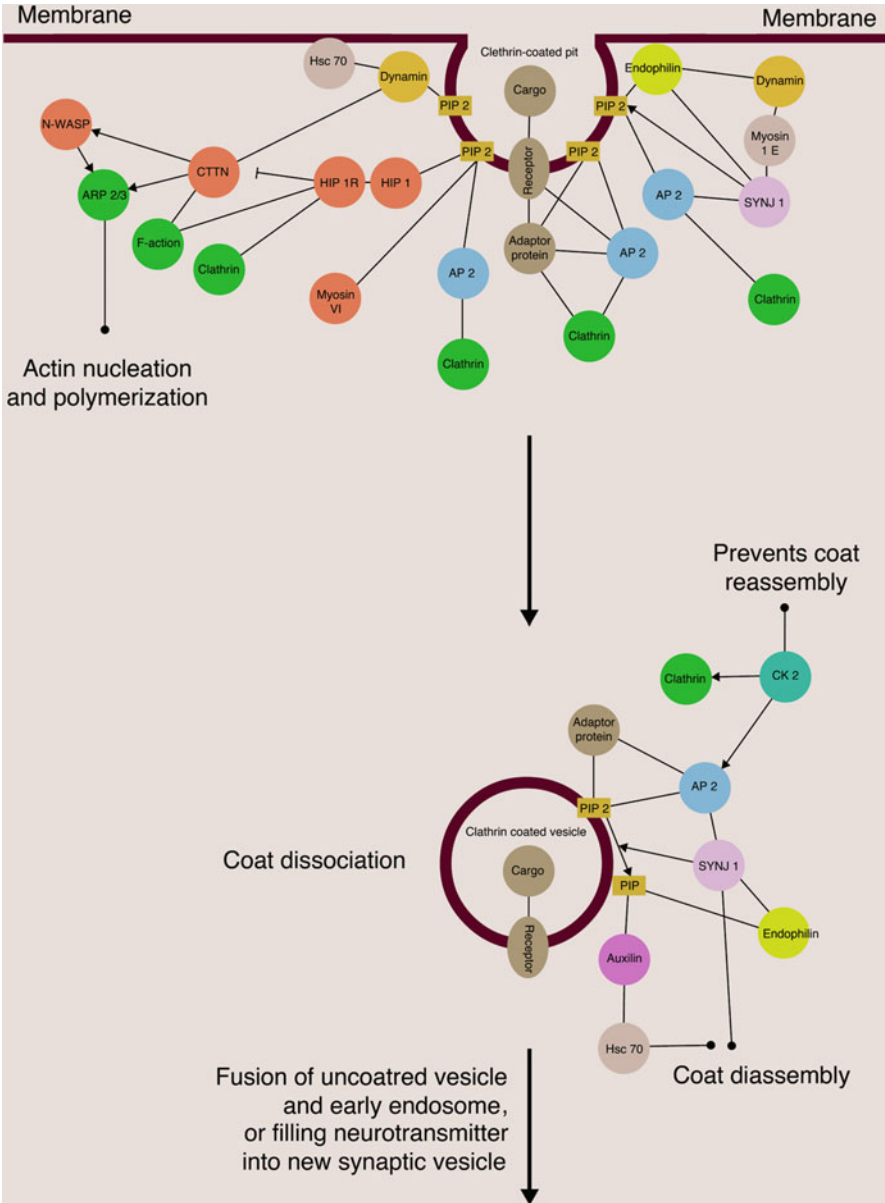


Fig. 10.3 Schematic of molecular machinery that drives clathrin-mediated endocytosis

interactions along with regulated phosphorylation and dephosphorylation events. A long-standing question in this field is how the formation of clathrin-coated pits starts (Antonescu et al. 2010; Cocucci et al. 2012; Ehrlich et al. 2004; Henne et al. 2010; Umasankar et al. 2012). The process is thought to be initiated by the local increase in the amount of plasmalemmal phosphatidylinositol-4,5-bisphosphate [PI(4,5)P₂] and the number of cytosolic domains of cargo/SV proteins in the inner layer of the plasma membrane. While PI(4,5)P₂ is likely increased through the action of recruited PI-4 and PI-5 kinases, the SV proteins (cargo) are added through the preceding exocytic step. Further, members of adaptor protein family with a high affinity for PI(4,5)P₂ and selective cargo proteins are being recruited, as described below in more details.

The binding of adaptor proteins determines the site of a coated pit formation by further initiating the recruitment of clathrin triskelia, which can polymerize in the characteristic lattice that adds to the invaginated coated pit formation. Accessory proteins, most prominently the Bin–Amphiphysin–Rvs (BAR) family members, which have structural and/or regulatory functions, are recruited to the forming pit as well. These proteins are primarily involved in the membrane curvature formation and remodeling of membrane and actin cytoskeleton. When clathrin-coated pit formation is completed, the fission of the pit is mediated by the GTPase dynamin, which assembles at the pit neck in a collar-like structure. After dynamin action, a freely moving coated vesicle will rapidly lose its coat through the action of uncoating factors. PI(4,5)P₂ phosphatases (predominantly synaptojanin 1, which is recruited to its site of action by BAR protein endophilin) convert PI(4,5)P₂ into PI and subsequently promote the dissociation of clathrin adaptors and accessory proteins. Finally, the coat is being disassembled by an ATPase Hsc70 and its cofactor auxilin, and the coat-free vesicle containing the cargo/SV proteins can either tether and fuse with the presynaptic endosomes or get loaded with neurotransmitters and prepared for a new round of exocytosis.

As outlined above, the endocytic proteins are recruited to the site of vesicle formation in a sequential manner, each protein having its specific times of arrival and departure. For easier understanding, the formation of a new vesicle through clathrin-mediated endocytosis can be considered a five-step process, as suggested by McMahon and Boucrot (2011):

1. *Nucleation of a coated pit*: FCHO proteins bind PI(4,5)P₂-enriched zones on the plasma membrane and recruit EPS15–EPS15R and intersectin, which subsequently initiates clathrin-coated pit formation by recruiting adaptor protein 2, AP2.
2. *Cargo selection*: AP2 can directly recruit several classes of cargo proteins through its μ - and σ -subunits. Other cargo-specific adaptors (e.g., stonin and epsin) can also bind AP2 and thus recruit their cargo to the AP2 hub.
3. *Coat assembly*: Self-polymerizing clathrin triskelia are recruited by the AP2 hub and form a pentagon- and hexagon-based clathrin coat around the nascent pit. Several accessory proteins support the coat formation.
4. *Vesicle scission*: The GTPase dynamin is recruited at the pit's neck by BAR domain-containing proteins, where it can self-polymerize and induce membrane

scission upon GTP hydrolysis. The actin polymerization at the neck of the pit also aids in vesicle production.

5. *Vesicle uncoating*: Auxilin recruits the ATPase Hsc70 to disassemble the clathrin coat and produce a coat-free vesicle that contains the cargo proteins. Synaptojanin facilitates this reaction by releasing adaptor proteins from the vesicle membrane through its PI(4,5)P₂ phosphatase activity. The components of the clathrin machinery are then freed and can become available for another round of clathrin-coated vesicle formation.

10.2.1 Molecular Machinery That Drives Clathrin-Mediated Endocytosis

The formation of new synaptic vesicles through clathrin-coated endocytic vesicles is driven by complex and highly dynamic molecular machinery. Over 50 different proteins are thought to have roles in this process (for review, see McMahon and Boucrot 2011). Although clathrin-mediated endocytosis has been studied for four decades and many details are well understood (as mentioned above), the complete molecular machinery needed for this process is likely not yet known. The difficulty of unraveling the complete set of involved proteins and lipids is not only a result of the large number of components needed and the small size of the presynaptic terminal but is also due to the dynamic nature of the endocytic machinery whose composition can change in a matter of seconds. Recent advancements in live imaging of fluorescently labeled proteins, using confocal microscopy, have started to provide good temporal resolution of these dynamic events (Cocucci et al. 2012; Taylor et al. 2011). When combined with morphological, physiological, molecular, and genetic studies, key insights into the main molecular players that govern the clathrin-mediated endocytosis and its regulation have been obtained (as recently reviewed by Saheki and De Camilli 2012). The short summary on main protein participants is presented first, followed by lipids in the next section. For an easier overview, the proteins have been listed in Table 10.1 and classified in five groups. This classification is somewhat arbitrary, as some proteins wear multiple hats (e.g., endophilin acts as a membrane curvature sensor/inducer as well as an adaptor protein for vesicular glutamate transporter 1, VGLUT1).

1. Core clathrin coat components: clathrin and clathrin adaptors (e.g., AP2, AP180, epsin, stonin)
2. Membrane curvature sensors and inducers (e.g., endophilins 1–3, amphiphysin, SNX9, FCHO, syndapin, Toca/CIP4/FBP17)
3. Scaffolding proteins (e.g., Tuba HIP1, HIP1R, actin, Eps15, Eps15R, intersectin)
4. Scission protein (dynamins 1–3)
5. Uncoating molecules (synaptojanin, endophilin, auxilin, Hsc70)

Table 10.1 Major proteins involved in clathrin-mediated endocytosis and synaptic vesicle recycling at the neuronal synapse

Molecule	Function
<i>Proteins</i>	
FChO	Major nucleator of clathrin-coated pit, interacts with intersectin, Esp15, and dynamin
Toca/CIP4/ FBP17	Initiates actin cytoskeleton nucleation and reorganization, binds N-WASP and dynamin
Syndapin	Coordinates endocytosis and actin nucleation, binds N-WASP, dynamin, and synaptojanin
Endophilin	BAR protein (membrane curvature sensor and inducer) that binds dynamin and synaptojanin, implicated in vesicle fission and uncoating
Amphiphysin	BAR protein (membrane curvature sensor and inducer) that binds AP2, clathrin, N-WASP, dynamin, and synaptojanin 1
Clathrin	Consists of heavy and light chains, builds clathrin lattice around endocytic vesicles, and interacts with dynamin, AP2, amphiphysin, and a number of other molecules
AP2	Heterotetramer that acts as clathrin adaptor for several synaptic vesicle membrane proteins; major interactor of clathrin, AP180/CALM, stonin, epsin, intersectin, Esp15, amphiphysin, auxilin, and PIPKI γ ; binds PI(4,5)P $_2$
AP180/CALM	Clathrin adaptor for synaptobrevin-2/VAMP2, interacts with AP2 and clathrin, binds PI(4,5)P $_2$
Stonin	Clathrin adaptor for synaptotagmin; interacts with AP2, Eps15, and intersectin; binds PI(4,5)P $_2$
Epsin	Adaptor for clathrin and ubiquitinated membrane proteins that may also act in membrane deformation and fission; interacts with AP2, Eps15, and intersectin; binds PI(4,5)P $_2$
Intersectin	Scaffolding protein that coordinates and recruits many other proteins; interacts with AP2, FCHO, Eps15, epsin, stonin, N-WASP, Cdc42, dynamin, and synaptojanin 1
Eps15	Scaffolding protein that recruits other proteins; interacts with AP2, intersectin, epsin, and stonin
Actin	Makes 5 nm cytoskeletal filaments around endocytic pits
Dynamin	GTPase that executes membrane fission by pinches off endocytic vesicles/ clathrin-coated pits during endocytosis
PIPKI γ	PI(4,5)P $_2$ synthesizing enzyme that interacts with AP2 and talin
Synaptojanin 1	PI(4,5)P $_2$ phosphatase that acts on PI(4,5)P $_2$ and couples fission of clathrin-coated pits with subsequent vesicle uncoating
Auxilin	Recruits Hsc70 to clathrin-coated vesicle, interacts with clathrin, dynamin, and AP2
Hsc70	ATPase that disassembles clathrin cage, interacts with clathrin and auxilin

10.2.1.1 Core Clathrin Coat Components: Clathrin and Clathrin Adaptor Proteins

As mentioned above, adaptor proteins are able to simultaneously bind both the plasma membrane lipids and clathrin molecules, bringing the two together. Clathrin

is thought to have a central role in the clathrin-mediated endocytosis: as a core component of clathrin coat, it interacts with a number of proteins including adaptor proteins (e.g., AP2, AP180/CALM, epsin), membrane curvature inducing proteins (e.g., amphiphysin), as well as the clathrin coat disassembly machinery (synaptojanin, Hsc70, auxilin). The two most common adaptor proteins at the presynaptic terminals are considered to be AP2 and AP180.

10.2.1.1.1 Clathrin: Self-Polymerizing Lattice Protein

Clathrin represents a perfect case of a “form following function” protein: it forms a polyhedral lattice that surrounds and shapes the forming vesicle. Clathrin was named and isolated by Barbara Pearse in 1975/1976. In the cytosol, it adopts a characteristic triskelion (from the Greek for “three-legged structure”) shape that is composed of three clathrin heavy chains and three clathrin light chains interacting at their C-termini. While the heavy chains mainly provide the structural backbone of the clathrin lattice, the light chains regulate the formation and disassembly of a clathrin lattice. The structure of clathrin triskelion and clathrin coat is thoughtfully investigated (Kirchhausen 2000, 2009; Kirchhausen and Harrison 1981). Clathrin heavy chain (192 kDa) has multiple subdomains, including the N-terminal, ankle, distal leg, knee, proximal leg, and trimerization domains. Only the N-terminal domain is comprised of a seven-bladed β -propeller structure; the other domains are shaped as a superhelix composed of short alpha helices. Clathrin light chains (23–25 kDa) primarily bind to the proximal leg of the heavy chains, with some interaction near the trimerization domain (for more information, see Kirchhausen 2000).

In the cytoplasm of the presynaptic terminal, where clathrin-coated endocytosis takes place continuously, clathrin triskelia are often already bound to an adaptor protein, whose function is to link clathrin to the membrane. A membrane-attached triskelion easily connects to other membrane-attached triskelia or recruits other triskelia from the cytosol. Clathrin triskelia can assemble into either 5-sided rings (pentagons) that are needed for curved lattice formation or 6-sided rings (hexagons) that yield a flatter lattice. Self-polymerizing properties of triskelia result first in a basket-like (bud) structure and eventually lead to a soccer ball-like (pit) structure that engulfs the membrane of the nascent vesicle to be endocytosed. By constructing different combinations of pentagons and hexagons, vesicles of different sizes can assemble. The smallest clathrin cage commonly imaged has 12 pentagons and only two hexagons.

The well-described clathrin triskelion structure has advanced the understanding of molecular mechanisms of clathrin-mediated endocytosis and formed the basis of a search for compounds that interfere with clathrin polymerization and coat structure assembly. So far, two chemical compounds, Pitstop 1 and Pitstop 2, have been characterized as the first-known clathrin inhibitors that can selectively block the endocytic ligand association with the clathrin terminal domain (von Kleist et al. 2011).

10.2.1.1.2 AP2: Adaptor for Clathrin and Several Synaptic Vesicle Proteins

AP2 is the main adaptor of clathrin-coated vesicles, and as such, it has been studied extensively over the years (as reviewed in McMahon and Boucrot 2011; Owen et al. 2004). The crystal structure of the individual AP2 subunits depicts well how the complex can, at the same time, collect cargo, assemble clathrin, and recruit endocytic accessory proteins (Collins et al. 2002; Owen et al. 1999; Traub et al. 1999). AP2 is a heterotetrameric protein complex that is composed of two large subunits (α and β 2) and two smaller subunits (μ 2 and σ 2) (reviewed by Kirchhausen 1999; Owen et al. 2004). It consists of a core and two appendages. The core is made of two N-terminal domains of α and β 2 large subunits (referred to as the trunks), the μ subunit and the σ subunit (Heldwein et al. 2004). The two large subunits also have a globular C-terminal domain (known as the ear or appendage) that is connected to the trunks by a flexible unstructured linker, allowing the ear to reach out and to interact with accessory proteins (Owen et al. 2004). Binding motifs for AP2 ears are being found in a growing number of proteins with accessory roles in clathrin-mediated endocytosis (Slepnev and De Camilli 2000). In particular, the β 2 subunit contains two binding sites for clathrin: one (stronger binding site) in the hinge region and the other (weaker binding site) in the appendage domain (Owen et al. 2000; ter Haar et al. 2000).

In order for the transmembrane receptors to be incorporated into a newly forming clathrin-coated pit, these proteins require an appropriate sorting signal. The μ 2 subunit of AP2 has the ability to bind to YXX \emptyset and [DE]XXXL [LI] sequences (where single letters designate the amino acids, with X as any amino acid, and \emptyset as any bulky hydrophobic amino acid) (Bonifacino and Traub 2003). These motifs act as strong endocytic signals, and experimental data support the possible engagement of the motif by a complex of α / σ 2 as well as cross-linking studies showing a β 2 interaction (Bonifacino and Traub 2003). Next, the adaptor-associated kinase AAK1 and auxilin, members of the Ark/Prk family of kinases, can phosphorylate μ 2 in vitro and have been implicated in μ 2 activation, which allows for YXX \emptyset cargo capture and exposes another AP2 PI(4,5)P₂-binding site that was sequestered in the unphosphorylated state (Edeling et al. 2006; Smythe 2002). Clathrin was reported to promote this activation by stimulation of kinase activity (Conner et al. 2003; Jackson et al. 2003). Some of the AP2 interacting proteins include synaptotagmin, synaptojanin, synaptic vesicle 2 (SV2), AP180/CALM, stonin, amphiphysin, PIPK1 γ , intersectin, epsin, Eps15, and auxilin (as reviewed by Saheki and De Camilli 2012).

10.2.1.1.3 AP180: Specific Adaptor Protein for Synaptobrevin-2/VAMP2

Adaptor protein 180 (AP180) plays an important role in clathrin-mediated endocytosis at the presynaptic terminal, firstly by recruiting and promoting polymerization of clathrin triskelia to the plasma membrane's site of a newly forming bud and secondly by serving as a specific adaptor for SNARE protein synaptobrevin-2/

vesicle-associated membrane protein 2 (VAMP2) (for review, see Maritzen et al. 2012). Besides being able to simultaneously bind the plasma membrane PI(4,5)P₂ and lipids (via an ANTH domain) as well as clathrin, bringing the two together, AP180 can also bind AP2 and thus recruit VAMP2/synaptobrevin-2 to the endocytic pit (Maritzen et al. 2012). Deletion of the AP180 homologue in a fruit fly leads to fewer synaptic vesicles and an overall decrease in neurotransmission (Bao et al. 2005). In addition, the C-terminus of AP180 can be used as a specific inhibitor of clathrin-mediated endocytosis. The ubiquitous form of the AP180 protein in mammals is CALM (clathrin assembly lymphoid myeloid leukemia protein), named after its association with myeloid and lymphoid leukemias (Maritzen et al. 2012).

10.2.1.1.4 Epsin: Adaptor for Ubiquitinated Membrane Proteins with a Role in Membrane Deformation and Fission

Epsin functions as an adaptor by recognizing ubiquitinated cargo and as an endocytic accessory protein by contributing to endocytic network stability/regulation and membrane bending [(Chen and De Camilli 2005; Chen et al. 1998, 1999, 2009); reviewed by (Saheki and De Camilli 2012; Sen et al. 2012)]. Similar to AP180, epsin has a PI(4,5)P₂ binding domain and is able to recruit clathrin to PI(4,5)P₂-containing membranes. However, unlike AP180, epsin can itself deform the lipid membrane by inserting helix0, which results in membrane deformation/curvature. Thus, a dual role for epsin has been proposed: (1) it promotes clathrin-coated pit formation in conjunction with clathrin polymerization, and (2) it actively drives the deformation of the membrane, primarily at the edges of invagination sites, by binding PI(4,5)P₂ in the inner layer of plasma membrane and inserting helix0. Besides binding to clathrin and PI(4,5)P₂, epsin is a specific adaptor for ubiquitinated membrane proteins, and it interacts with AP2, intersectin, Eps15, EHD, and ubiquitin (for more information, see Saheki and De Camilli 2012; Sen et al. 2012).

10.2.1.1.5 Stonin: More than a Specific Adaptor for Synaptotagmin

Stonin (termed stonin 2 in mammals, stoned B in fruit flies) was initially considered as a specific adaptor for synaptotagmin-1 (Diril et al. 2006; Jung et al. 2007; Maritzen et al. 2010). In addition to binding synaptotagmin, stonin can also bind the plasma membrane lipid PI(4,5)P₂ and AP2, as well as Eps15 and intersectin (reviewed by Maritzen et al. 2010). Neurons with stonin loss-of-function mutation show defective neurotransmission and a reduced number of synaptic vesicles at the presynaptic terminal (Fergestad et al. 1999; Mullen et al. 2012; Phillips et al. 2010; Stimson et al. 2001). Interestingly, deletion of stonin in mice accelerated synaptic vesicle endocytosis and elevated the synaptic vesicle pool size, in addition to causing selective missorting of synaptotagmin-1 to the neuronal surface

(Kononenko et al. 2013). These data are consistent with synaptotagmin-1 being a facilitator of SV membrane retrieval in mice, flies, and worms.

10.2.1.2 Membrane Curvature Sensors and Inducers

A superfamily of BAR (Bin–Amphiphysin–Rvs) domain-containing proteins plays an important role in membrane dynamics in a cell by inducing membrane curvature *de novo*, detecting curvature of a particular shape or size in order to recruit cytosolic factors to membranes, and stabilizing already present curvature (Daumke et al. 2014; Frost et al. 2009). Each member of this family comprises a structurally conserved region of approximately 200 amino acids, based on long α -helices, which can sense and/or induce membrane curvature. The BAR superfamily is subdivided into BAR/N-BAR modules that bind to membranes of high positive curvature, F-BAR modules that bind to a different range of positive membrane curvatures, and I-BAR modules that bind to negatively curved membranes (reviewed by Frost et al. 2009; Itoh and De Camilli 2006). Here we review selected BAR proteins with proposed function in endocytosis and/or at the neuronal synapse.

10.2.1.2.1 FCHO: Sculpts the Initial Bud Site and Recruits the Clathrin Machinery

In parallel with the recruitment of the first AP2 molecules to the formation site of new clathrin-coated pit, FCHO 1 and FCHO 2 (Fer/Cip4 homology (FCH) domain-only proteins 1 and 2; FCHO 2 shares 94.6 % identity with mouse FCHO 1) are also recruited [reviewed by (McMahon and Boucrot 2011)]. These F-BAR domain-containing proteins are recruited to the plasma membrane and required for both clathrin-coated vesicle budding and to mark sites of clathrin-coated pit formation. More specifically, FCHO has been considered a key nucleator of endocytic clathrin-coated pits (Henne et al. 2010). Changes in FCHO 1/2 expression levels correlated directly with numbers of clathrin-coated budding events, ligand endocytosis, and synaptic vesicle marker recycling (Henne et al. 2010). In addition, FCHO 1/2 proteins recruit the scaffold proteins Eps15 and intersectin, which in turn engage AP2 (McMahon and Boucrot 2011).

10.2.1.2.2 Endophilin: A Multifunctional Endocytic Adaptor

Endophilin-A (henceforth endophilin) is a BAR protein that is required for clathrin-mediated endocytosis by acting as the hub of a protein network that coordinates cargo packing, bud constriction, actin assembly, and recruitment of factors needed for fission and uncoating (de Heuvel et al. 1997; Itoh and De Camilli 2006; Milosevic et al. 2011; Ringstad et al. 1997; Takei et al. 1999). The three mammalian endophilin proteins (A1, A2, and A3) are present in the brain and enriched at the synapses. Besides the characteristic BAR domain needed to sense and introduce

membrane curvature, endophilins have the Src homology 3 (SH3) domain needed for the protein interactions and, in particular, for the recruitment of dynamin and synaptojanin 1 at the neck of the clathrin-coated pits (de Heuvel et al. 1997; Ringstad et al. 1997). Cells lacking dynamin accumulate endophilin-rich tubes capped by a clathrin cage, suggesting that the endophilin polymerizes at the vesicle neck and its recruitment to clathrin-coated pits is upstream of dynamin function (Ferguson et al. 2009). However, genetic and targeted ablation studies at invertebrate and mammalian synapses demonstrated that the lack of functional endophilin results not only in an accumulation of clathrin-coated pits but also of clathrin-coated vesicles, revealing a phenotype that is similar to that seen with loss of synaptojanin function (Milosevic et al. 2011; Schuske et al. 2003; Verstreken et al. 2003). Other prominent endophilin binding partners include vesicular glutamate transporter 1 (VGLUT1), which is responsible for loading neurotransmitter into synaptic vesicles (Vinatier et al. 2006), and a number of proteins implicated in neurodegenerative disorders, including huntingtin and ataxin-2 [underlying Huntington's disease and spinocerebellar ataxia type 2, respectively (Ralsler et al. 2005)] as well as parkin [a protein linked to Parkinson's disease (Trempe et al. 2009)]. Overall, endophilin acts as one of the central players in endocytosis and signaling by linking cargo loading with fission and uncoating.

10.2.1.2.3 Amphiphysin Coordinates Membrane Curvature Induction

Amphiphysin has an N-terminal BAR domain involved in dimerization, lipid interaction, and membrane bending, a middle clathrin- and adaptor protein-binding domain, and a C-terminal SH3 domain (most recently reviewed by Saheki and De Camilli 2012). Two mammalian amphiphysins with similar overall structure exist: amphiphysin 1 and amphiphysin 2/bridging integrator 1 (BIN1). Amphiphysin 1 is strongly expressed in the brain, where its primary function is thought to be the recruitment of dynamin to the sites of clathrin-mediated endocytosis (Saheki and De Camilli 2012). The ubiquitous amphiphysin 2, which is highly expressed in muscles and involved in the formation and stabilization of the T-tubule network, does not contain clathrin- or adaptor protein-binding sites and has been recently identified as a risk locus for late onset Alzheimer's disease (reviewed by Tan et al. 2013).

10.2.1.2.4 SNX9 Stimulates Dynamin's GTPase Activity

Sorting nexin 9 (SNX9) is a ubiquitously expressed BAR domain protein that has been classified as a member of the sorting nexin (SNX) protein family, given that it contains a variant of the PX domain characteristic of this family (Lundmark and Carlsson 2009). The PX domain is located in the middle of the primary structure and is followed by a linker and the C-terminal BAR domain. This particular domain organization is only shared by the sequentially similar SNX18. In addition, these

proteins are the only sorting nexins to have a Src homology 3 (SH3) domain and are thought to function in protein-sorting events in different compartments of the cell, including the plasma membrane and neuronal synapse [reviewed by (Lundmark and Carlsson 2009)]. SNX9 binds to dynamin and is considered partly responsible for the recruitment of dynamin to its site of action and stimulation of its GTPase activity to facilitate the scission reaction (Lundmark and Carlsson 2003; Soulet et al. 2005). In addition, SNX9 also has a high capacity for membrane reshaping, and it may participate in the formation of the narrow neck of endocytic vesicles before scission occurs (Lundmark and Carlsson 2009; Soulet et al. 2005). Last but not least, SNX9 can also activate the actin regulator N-WASP and coordinate actin polymerization with vesicle fusion (Shin et al. 2007).

10.2.1.2.5 Syndapin/Pascin Coordinates Curvature Acquisition with Vesicle Fission and Actin Cytoskeleton

Syndapin, also called pascin (protein kinase C and casein kinase II interacting protein), is a Fes/CIP4 homology Bin–Amphiphysin–Rvs 161/167 (F-BAR) and SH3 domain-containing protein. Three genes give rise to three main homologues in mammalian cells (Kessels and Qualmann 2004). They each function in different endocytic and vesicle trafficking pathways and provide critical links between the cytoskeletal network in different cellular processes, such as neuronal morphogenesis and cell migration (reviewed by Quan and Robinson 2013). The membrane remodeling activity of syndapin via its F-BAR domain and its interaction partners, dynamin and neural Wiskott–Aldrich syndrome protein (N-WASP), which bind to its SH3 domain, are central to its function. Signaling pathways leading to the regulation of syndapin function by phosphorylation are presently contributing to our understanding of the broader functions of this family of proteins (Quan and Robinson 2013).

10.2.1.2.6 Toca1/FBP17/CIP4: Nucleates and Organizes Actin Cytoskeleton

An important signaling pathway to the actin cytoskeleton links the Rho family GTPase Cdc42 to the actin-nucleating Arp2/3 complex through N-WASP. However, these components are not sufficient to mediate Cdc42-induced actin polymerization in a physiological context. Ho et al. (2004) identified Toca1 as an essential component of the Cdc42 pathway. TOCA1 binds both N-WASP and Cdc42 and promotes Cdc42-induced actin nucleation by activating the N-WASP–WIP complex (Ho et al. 2004). This 547-amino acid protein belongs to the evolutionarily conserved PCH-protein family, which also includes CIP4 and FBP17 (Lippincott and Li 2000), and, like the other members of this family, TOCA1 contains an N-terminal FCH domain, two coiled-coil regions, and a C-terminal SH3 domain (Ho et al. 2004).

10.2.1.3 Clustering/Scaffolding Molecules

Adaptor and scaffold proteins serve as platforms for the assembly of multiprotein complexes and regulate the efficiency of clathrin coat formation.

10.2.1.3.1 Eps15: A Scaffolding Protein That Recruits Other Endocytic Proteins

The Eps15 protein has 3 N-terminal Eps15 homology (EH) domains, a central coiled-coil region, an AP2-binding domain, and a proline-rich domain (Bakowska et al. 2005), while its C-terminal region contains two ubiquitin-interacting motifs (Fallon et al. 2006). Eps15 co-associates with FCHo, epsin, and intersectin: Eps15 and FCHo 1/2 localize to the rim of the growing clathrin-coated pit (Henne et al. 2010; Tebar et al. 1996). In their absence, clathrin coat assembly aborts (Cocucci et al. 2012). Similar to epsin, Eps15 binds ubiquitin and thus may help to recruit ubiquitinated cargo molecules into clathrin-coated pits (Polo et al. 2002).

10.2.1.3.2 Intersectin: Scaffolding Protein That Coordinates and Recruits Other Endocytic Proteins

Intersectin is an evolutionarily conserved adaptor/scaffold proteins that coordinate endocytic membrane traffic with the actin assembly machinery and signaling (Pechstein et al. 2010; Tsyba et al. 2011). It has been shown that intersectin interacts with a number of endocytic and cytoskeletal factors, namely, dynamin, Eps15, epsin, stonin 2, Cdc42, and even the SNARE proteins SNAP-25 and SNAP-23 that have a role in exocytosis (Pechstein et al. 2010; Tsyba et al. 2011). Furthermore, it has been recently proposed that intersectin, as a multimodular scaffolding and adaptor protein shuttling between the active and periaxial zones, may also act as a regulator of synaptic vesicle recycling by aiding the assembly of macromolecular protein complexes that execute exocytic and endocytic processes (Pechstein et al. 2010).

10.2.1.3.3 Actin: Role in Endocytosis

As one of the most important building blocks of the cell cytoskeleton, monomeric actin has a potential to form filaments essential for a number of biological functions. At the neuronal presynaptic terminus, actin has a prominent role in vesicular trafficking, both in exocytic and endocytic pathways (for review, see Brodsky 2012; Kirchhausen 1999; Mooren et al. 2012; Saheki and De Camilli 2012; Schafer 2002; Stammes 2002).

In addition, actin may play different roles in endocytosis through interactions with different regulatory proteins. Firstly, spatial organization through anchoring of

actin filaments is thought to generate hot spots for endocytosis by generating a shield to stop endocytic proteins from diffusion. Secondly, the force generated by actin polymerization and fiber formation can aid clathrin-coated pit or vesicle invagination, fission, and transport of vesicles.

Actin polymerization is not rate limiting for clathrin-coated pit dynamics or transferrin uptake in cultured cells under normal growth conditions (Saffarian et al. 2009), but clathrin coat pit dynamics is stalled when membrane tension is elevated (Boulant et al. 2011). Actin polymerization is known to be regulated by Rho GTPases: at the plasma membrane, the Rho GTPase Cdc42 together with PI (4,5)P₂ and SH3 domain-containing protein Grb2 activates the auto-inhibited WASP protein family (Saarikangas et al. 2010). These proteins initiate polymerization as well as branching of actin via the Arp2/3 complex. Furthermore, several proteins, including syndapin and intersectin, link dynamin and other clathrin-associated proteins to actin polymerization machinery (Merrifield et al. 2005; Pechstein et al. 2010; Quan and Robinson 2013). Last but not least, endocytic vesicles have been shown to be transported at the tips of actin tails, and an intensification of dynamin staining at vesicular scission is followed by an increased actin signal, suggesting that dynamin and actin have sequential roles at the late stages of endocytosis (Merrifield et al. 2002). After the clathrin coat is shed, free vesicles can recruit actin and move rapidly from the fission site; however, the details of this directed motion are not well understood (Brodsky 2012; Merrifield et al. 2002).

10.2.1.4 Vesicle Scission

10.2.1.4.1 Dynamin: Molecular Scissor for Vesicles

The endocytic vesicle is excised from the plasma membrane by membrane scission that is executed through the GTPase dynamin (Campelo and Malhotra 2012; Ferguson and De Camilli 2012). Dynamin is thus essential for synaptic vesicle recycling at the synapse. The molecular mechanism of dynamin-induced membrane scission has been studied in details over the last two decades (Campelo and Malhotra 2012; Ferguson and De Camilli 2012; Morlot and Roux 2013). Mechanistically, this mechanochemical enzyme forms a spiral around the neck of an invaginating vesicle. Once the spiral is formed, it extends lengthwise and compresses the membrane through GTP hydrolysis (Morlot and Roux 2013). The lengthening and tightening of the coil around the vesicle neck causes it to break, resulting in the pinching off of the vesicle from the plasma membrane. In addition, dynamin may affect the earlier stages of endocytic invagination, but the precise mechanisms are not yet clear (Mettlen et al. 2009). Mammals have 3 dynamins (dynamin 1, dynamin 2, and dynamin 3); dynamins 1 and 3 are considered to be expressed primarily in the central nervous system, while dynamin 2 is ubiquitously expressed (Ferguson and De Camilli 2012). Synapses without dynamins 1 and 3 show a strong accumulation of clathrin-coated pits connected by long membrane

tubules to the plasma membrane (Ferguson et al. 2007; Raimondi et al. 2011). In fibroblasts without dynamin 1 and 2, these long tubules are coated with endophilin and actin (Ferguson et al. 2009), suggesting that invagination and actin polymerization still persist in the absence of dynamin and that a model in which dynamin is recruited after endophilin has formed a thin clathrin-coated pit neck (see also Milosevic et al. 2011). Recently, an additional mechanism of vesicle fission has been suggested, involving the generation of line tension in the bud neck due to the PI(4,5)P₂ enrichment in the tubular invagination and PI4P enrichment in the bud, presumably created through the action of phosphatase synaptojanin (Xiao et al. 2006). A compelling model now emerges that couples both dynamin-mediated membrane constriction and line tension instability in promoting more efficient membrane scission. Lastly, despite the large body of evidence that dynamin is required for an efficient fission of new vesicles from the plasma membrane, it is also known that vesicles can bud from membrane surfaces in a dynamin-independent manner (as reviewed by Campelo and Malhotra 2012).

10.2.1.5 Proteins That Uncoat Clathrin-Coated Vesicles

After scission, clathrin-coated vesicles rapidly shed their coat through a coordinated action of the phosphatase synaptojanin 1 (recruited by endophilins), the J domain kinase auxilin/GAK, and the ATP/chaperone Hsc70.

10.2.1.5.1 Synaptojanin 1: PI(4,5)P₂ Phosphatase with a Role in Clathrin-Coated Vesicle Uncoating

Synaptojanin 1 is a PI(4,5)P₂ phosphatase with both 4-phosphatase and 5-phosphatase (its N-terminal domain is homologous with yeast Sac1) activity and a proline-rich domain that interacts with the SH3 domains of endophilin and amphiphysin (Haffner et al. 1997; McPherson et al. 1996). Out of two synaptojanin 1 isoforms, brain-specific 145 kD and ubiquitously expressed 170 kD, only the 170-kD isoform has an asparagine–proline–phenylalanine (NPF) domain which binds Eps15 and a C-terminal region containing binding sites for the ear domains of AP2 and clathrin (Haffner et al. 1997; Perera et al. 2006). Using multicolor total internal reflection fluorescence microscopy, Perera et al. (2006) reported that the two synaptojanin 1 isoforms are differentially recruited to clathrin-coated pits: the 170-kD isoform was present at all stages of clathrin-coated pit formation, whereas the 145-kD isoform was recruited at a late stage of clathrin-coated pit formation, together with endophilin and dynamin. Thus, dynamic phosphoinositide metabolism occurs throughout the lifetime of a clathrin-coated pit.

Synaptojanin 1-deficient mice die shortly after birth (Cremona et al. 1999). Their neurons have increased levels of PI(4,5)P₂ and their presynaptic terminals accumulate clathrin-coated vesicles. Hippocampal neurons without synaptojanin 1 show enhanced synaptic depression during prolonged high-frequency stimulation, as well

as a delayed recovery (Cremona et al. 1999). Taken together, these results argue for a critical role of phosphoinositide metabolism in endocytosis and synaptic vesicle recycling, which is further addressed below.

10.2.1.5.2 Auxilin: A Trigger for Clathrin Cage Disassembly

Vertebrates have two auxilin isoforms: the brain-specific auxilin-1 and the ubiquitous auxilin-2/cyclin G-associated kinase (GAK) (Umeda et al. 2000; Ungewickell et al. 1995). Auxilin is recruited to the clathrin-coated vesicle immediately after it pinches off from its fission site, and it binds the clathrin lattice near C-terminal helical tripod and the crossing of two ankle segments and a terminal domain of clathrin leg (Lee et al. 2006; Massol et al. 2006; Milosevic et al. 2011). Through its J domain, auxilin binds and recruits the ATPase Hsc70 to the clathrin lattice and consequently initiates a set of critical interactions (described below in more detail; (Xiao et al. 2006)).

In addition to the J domain and clathrin-binding site, auxilin also contains a phosphoinositide phosphatase (PTEN)-like region, which may retain phosphoinositide-binding activity in spite of the fact that the critical residues in the catalytic domain are different. Auxilin binding produces a local change in clathrin heavy-chain contacts, creating a detectable global distortion of the clathrin coat; Fotin et al. (2004) thus proposed a mechanism by which local destabilization of the lattice promotes general uncoating. It was further found that mice without auxilin-1 have a high rate of early postnatal mortality (although surviving pups had a normal life span) and impaired synaptic vesicle recycling with increased number of clathrin-coated vesicles (Yim et al. 2010). Interestingly, similar to endophilin, auxilin-1 has also been connected to Parkinson's disease: two separate and likely pathogenic mutations in the auxilin-1 gene have been found in two independent case studies (Edvardson et al. 2012; Koroglu et al. 2013).

10.2.1.5.3 Hsc70 Triggers Disassembly of Clathrin Cages

The heat-shock cognate protein 70 (Hsc70) works together with auxilin to remove the clathrin coat from the newly formed vesicles (Rothman and Schmid 1986). Hsc70 belongs to the Hsp70 family that contains both the heat-inducible and constitutively expressed members (the latter are also called Hsc proteins). In addition to its role in endocytosis, Hsc70 functions as an ATP-dependent chaperone (protein that helps protein folding), and it associates transiently with nascent polypeptides to facilitate correct folding (Tavaria et al. 1995). When Hsc70 is in the ATP-bound form, its association with the polypeptide is weak, while the ADP-bound Hsc70 form acts a tight clamp. Importantly, Hsc70 and its substrate are brought together by a J domain-containing protein (auxilin in the case of clathrin coat), which strengthens the initial weak interaction (Xing et al. 2010). More specifically, auxilin interacts with the clathrin lattice in such way that Hsc70

can bind clathrin's hydrophobic C-terminal sequence, thus generating a local distortion of the clathrin lattice that is stabilized by ATP hydrolysis (Jiang et al. 2007; Xing et al. 2010). It is thus essential that auxilin is recruited to the clathrin-coated vesicle after the fission step is completed; otherwise, the action of Hsc70-driven uncoating will hamper the formation of the clathrin-coated pit. It is presently unclear how the lattice assembly–disassembly cycle is controlled or, more specifically, how the auxilin recruitment is timed: a possible mechanism points to lipid modification by synaptojanin 1 phosphatase action (Milosevic et al. 2011).

10.2.2 Vesicular Fate After Clathrin Coat Shedding

While the textbook models of clathrin-mediated endocytic recycling imply that the newly uncoated vesicles fuse with early endosomes (Fig. 10.2) (Heuser and Reese 1973), it has also been suggested that synaptic vesicles can be derived directly from the uncoating of endocytic clathrin-coated vesicles (Fig. 10.2; (Saheki and De Camilli 2012; Takei et al. 1996)). Indeed, live imaging studies have shown that an individual SV that has taken up an extracellular tracer by a single endocytic event can entirely release the tracer by a subsequent single fusion event and without dilution in the intermediate compartment (Murthy and Stevens 1998; Ryan et al. 1997). The characterization of clathrin coat machinery, together with the discovery of new vesicle coats evolutionarily related to clathrin coat (COPI and COPII), led to a dogma that curved proteinaceous scaffolds are essential for vesicle formation. This cornerstone of vesicular trafficking has been recently challenged by studies of synapses that still contain synaptic vesicles regardless of almost complete ablation of clathrin and the endocytic adaptor AP2 (Gu et al. 2008; Kim and Ryan 2009; Sato et al. 2009). While clathrin coat-independent SV recycling has been proposed for decades, to date, no molecular mechanism has been suggested. Following high rates of neurotransmitter release, transient endosome-like structures accumulate at the synapses, and they are generally considered to result from bulk endocytosis (Fig. 10.2) and not from gradual fusion of clathrin-coated vesicles with an existing endosome (Murthy and De Camilli 2003). Their formation is essential for membrane trafficking at the synapse, controlling both SV recycling and the availability of presynaptic membrane proteins and lipids (Saheki and De Camilli 2012).

10.2.3 Lipids Involved in Clathrin-Mediated Endocytosis and Synaptic Vesicle Recycling

Membrane lipids have an important regulatory function in exocytosis, endocytosis, and membrane traffic in general (Cremona and De Camilli 2001). It has been known since the 1950s that stimulation of cell secretion leads to increased phosphorylation

of phospholipids (Hokin and Hokin 1953). Ten years later, Larrabee and collaborators (Larrabee et al. 1963) revealed that this modification is limited to a minor fraction of phospholipids, the phosphatidylinositides (PIs). PIs are present in all eukaryotic cells where they generally constitute less than 10 % of total cellular phospholipids (Janssens 1988; Rana and Hokin 1990). The importance of these molecules is best illustrated by the long-standing research that has accompanied them. The intense research on (1) membrane structure (~1930–1950) coincided with the characterization of PIs, (2) cell signaling (~1950–1980) with phosphatidylinositol-4-phosphate [PI(4)P] and PI(4,5)P₂, and (3) membrane trafficking and the cytoskeleton (~1980–2005) with the remaining PIs. The inositol head group of PI can be reversibly phosphorylated at various positions, resulting in seven naturally occurring PIs. The molecules of the PI family are stable and versatile (Irvine 2005): all forms can be rapidly interconverted by specialized lipid kinases and phosphatases, which add or remove specific phosphate groups. Some forms are also broken down by phospholipases.

Hundreds of proteins have domains that recognize particular PIs, and the continual changes in the relative population of these lipids profoundly affect cellular activities. In general, PI-responsive proteins can be grouped into two classes (Suh and Hille 2005). The first class comprises cytoplasmic enzymes, vesicle trafficking factors, and proteins involved in cytoskeletal rearrangement. These proteins have recognition domains (e.g., phox homology (PX), PH, E/ANTH, FERM, FYVE, Tubby; reviewed by Lemmon 2003) which interact with lipid head groups facing the cytoplasm. They are attracted to target membranes through their lipid ligand and shuttle between membrane and cytoplasm as the PI composition changes. The second class of proteins comprises intrinsic membrane proteins and includes ion channels and transporters. Their activity is either dependent on or blocked by specific PIs. They presumably recognize the PI head group and interact laterally with their phospholipid ligand in the inner leaflet of the plasma membrane.

Since PIs are heterogeneously distributed in the cellular membranes, it was suggested that these lipids determine which proteins are recruited to each membrane and when they are active (Cremona and De Camilli 2001; De Matteis and Godi 2004). The different membrane-restricted PIs may serve to program/control vesicular trafficking, which in turn may regulate a multitude of cellular signaling events. PI(4)P is mainly detected on the Golgi apparatus, PI(3)P on early endosomes, PI(3,5)P₂ on late endosomes, and PI(3,4)P₂, PI(4,5)P₂, and PI(3,4,5)P₃ on the plasma membrane (Cremona and De Camilli 2001; De Matteis and Godi 2004). Enzymes of PI metabolism often serve as molecular switches; they are interconnected and precisely regulated. The PI phosphatases eliminate inappropriate PI synthesis products and terminate the signal, the act of which may in itself create a messenger for a different trafficking event. Because different PI kinases are localized to specific target sites, PI turnover regulates exocytosis, endocytosis, and intracellular membrane trafficking. To further understand the spatial and temporal regulation of membrane turnover, it is necessary to understand which of the numerous PI kinases and phosphatases are involved and how they are regulated.

The best characterized PI, PI(4,5)P₂, was originally isolated from the brain and described as a brain lipid (Dittmer and Dawson 1960; Ellis and Hawthorne 1961; Folch 1946; Tomlinson and Ballou 1961). A few decades later, PI(4,5)P₂ was recognized as a precursor of two major signaling molecules, I(1,4,5)P₃ and diacylglycerol (DAG) (Berridge and Irvine 1984), and for many years it was assumed that PI(4,5)P₂ was simply the source of these molecules. Over the last 20 years, a large body of evidence describing additional functions of this phospholipid has accumulated, and PI(4,5)P₂ is presently considered to be one of the most important signaling molecules and a key player in regulated exocytosis and endocytosis in neurons and neuroendocrine cells (Cremona and De Camilli 2001; Martin 2001; Wenk and De Camilli 2004). In addition, the dual roles of PI(4,5)P₂ in exocytosis and endocytosis led to the conclusion that this lipid controls the plasma membrane trafficking and a model in which a PI cycle is nestled within the secretory vesicle cycle (Cremona and De Camilli 2001).

PI(4,5)P₂ has been found to control the level of exocytosis (Gong et al. 2005; Milosevic et al. 2005) and endocytosis (Cremona et al. 1999; Di Paolo and De Camilli 2003; Ford et al. 2001; Krauss et al. 2003). Moreover, many exocytic and endocytic proteins have been shown to interact with PI(4,5)P₂ (reviewed by Saheki and De Camilli 2012; Wenk and De Camilli 2004). In exocytosis, PI(4,5)P₂ is bound by synaptotagmin and CAPS proteins (Loyet et al. 1998; Schiavo et al. 1996), Mints (Okamoto and Sudhof 1997), and rabphilin 3 (Chung et al. 1998). In endocytosis, PI(4,5)P₂ has a central role, as some of the PI(4,5)P₂ binding proteins include clathrin adaptor proteins (AP2, AP180, Hip1, and epsin) as well as dynamin (Traub 2003).

The importance of PI(4,5)P₂ in the recruitment of endocytic and endocytic proteins has been demonstrated by several functional studies. The clathrin coat assembles on PI(4,5)P₂ liposomes in vitro (Takei et al. 1998), and manipulations which stimulated clathrin coat nucleation were shown to act through PI(4,5)P₂ synthesis (Cremona et al. 1999; Ford et al. 2001). Dephosphorylation of PI(4,5)P₂ by overexpression of a membrane-tagged inositol 5'-phosphatase domain of synaptojanin 1 (IPP1-CAAX) or PI(4,5)P₂ masking by PH-PLCδ₁ and neomycin inhibited clathrin-mediated endocytosis (Jost et al. 1998; Krauss et al. 2003). Furthermore, neurons without synaptojanin 1 had elevated PI(4,5)P₂ levels and defective synaptic vesicle recycling, presumably due to a delay in clathrin coat disassembly (Cremona et al. 1999). In short, PI(4,5)P₂ seems to play an important role in all steps of endocytic process: clathrin coat recruitment (through clathrin adaptor proteins), fission of endocytic pits (through dynamin), and clathrin uncoating (through synaptojanin 1).

Most recently, another phosphatidylinositol besides PI(4,5)P₂, phosphatidylinositol-3,4-bisphosphate [PI(3,4)P₂], which is formed by class II phosphatidylinositol-3-kinase, PI3K C2α, has been implicated in a spatiotemporal control of clathrin-mediated endocytosis (Posor et al. 2013). Timed formation of PI(3,4)P₂ by PI3K C2α is needed for a selective enrichment of the BAR protein SNX9 at the late-stage endocytic intermediates. Consequently, reduction in PI(3,4)P₂ or PI(3)K C2α levels affected the maturation of late-stage clathrin-coated pits before fission.

10.3 Clathrin-Independent Pathways of Endocytosis at the Presynaptic Terminal

While clathrin-mediated endocytosis is presently considered the predominant pathway of synaptic vesicle recycling, the contribution of other endocytic types that play a role in the re-formation of new synaptic vesicles is still an open question. These pathways do not use clathrin and its attendant molecular machinery, and they differ in their kinetics and mechanism of endocytic vesicle formation and associated molecular machinery. Here, I will discuss in brief the characteristics of two endocytic pathways that are studied at the presynaptic terminals, kiss-and-run and bulk endocytosis.

10.3.1 Kiss-and-Run

Classical description of membrane fusion at the presynaptic terminal postulates that the fused synaptic vesicle fully collapses into the plasma membrane and is subsequently retrieved by a clathrin-mediated endocytosis (Fig. 10.2) (Rizzoli and Jahn 2007). In contrast, kiss-and-run is a type of synaptic vesicle release wherein the synaptic vesicle docks and transiently fuses with the plasma membrane enough to allow the neurotransmitter release, but the vesicle itself does not fully collapse into the plasma membrane, and its proteins do not mix with the plasma membrane proteins (see Fig. 10.2; for review Rizzoli and Jahn 2007; Saheki and De Camilli 2012).

The idea of a kiss-and-run exocytic/endocytic model was first proposed in 1955 by Katz and Castillo, but the first systematic studies and the actual term “kiss-and-run” originated from Ceccarelli and collaborators in 1973. These classical studies proposed that synaptic vesicle fusion occurs transiently *via* the opening of a small fusion pore followed by rapid closure, and without the full collapse of the synaptic vesicle membrane (Ceccarelli et al. 1972, 1973). This model suggests that the synaptic vesicle retains its biochemical identity, and it is largely based on the increased incidence of uncoated “omega” membrane profiles with a narrow neck attached to the plasma membrane (Ceccarelli et al. 1973; He and Wu 2007; Koenig et al. 1998). However, it remained unclear whether these synaptic vesicles fully fuse or reseal their necks.

Interestingly, this mode of synaptic vesicle fusion allows the synapse to restrict the release of transmitters through the narrow fusion pore, thus resulting in a sub-quantal release (He et al. 2006; Klyachko and Jackson 2002). If compared to full collapse synaptic vesicle fusion, this might also be a mechanism to regulate synaptic strength (Choi et al. 2000). At present, the presence of kiss-and-run mode at nerve terminals is controversial (Rizzoli and Jahn 2007). The strongest evidence in favor of this pathway was derived from optical assays based on partial destaining of single synaptic vesicle loaded with slowly dissociating (~3 s) styryl

FM dye FM1-43 (Aravanis et al. 2003; Klingauf et al. 1998; Pyle et al. 2000). The results indicated partial dye retention in synaptic vesicles, as was further supported using a hydrophilic FM1-43 quencher, bromophenol blue (Harata et al. 2006). In another imaging study, Gandhi and Stevens (2003) imaged single synaptic vesicle exocytosis–endocytosis cycle by overexpressing synapto-pHluorin in hippocampal neurons. A rapid fluorescent transient with a retrieval time of less than 1 s was observed, which was credited to the fast kiss-and-run mode (Gandhi and Stevens 2003). Subsequent studies based on pH-sensitive “quantum dots” have shown that synaptic vesicles loaded with these tiny inorganic particles exhibited distinct patterns of photoluminescence upon kiss-and-run versus full collapse fusion (Zhang et al. 2007, 2009).

In spite of the fact that kiss-and-run may provide more efficient and faster vesicle recycling, there is a long-standing debate over kiss-and-run and full fusion. One of the major issues with kiss-and-run supporting data, and subsequently, the basis for some counterarguments against kiss-and-run, is a short fusion event that is hard to capture on record (reviewed by Rizzoli and Jahn 2007). However, accumulation of partially empty vesicles following secretion favors the kiss-and-run mechanism, suggesting that during the secretory process, only a portion of the vesicular contents are able to exit the cell, which could only be possible if secretory vesicles were to temporarily establish continuity with the cell plasma membrane, release a portion of their contents, and then detach and reseal.

10.3.2 *Bulk Endocytosis*

While clathrin-mediated endocytosis is considered the most common endocytic form at the presynaptic terminals under physiological conditions, bulk endocytosis occurs most commonly upon intense stimulations and following the addition of a large quantity of vesicle membrane during a short time period (Clayton et al. 2008; Hayashi et al. 2008; Heuser and Reese 1973; Holt et al. 2003; Miller and Heuser 1984; Paillart et al. 2003; Wu and Wu 2007). Some examples of strong stimulations include high levels of neuronal activity *in vivo* and induced membrane depolarization by high extracellular concentrations of potassium or by a high-frequency train of action potentials *in vitro*. Given that bulk endocytosis occurs in a response to a quick, massive addition of membranes to the spatially limited presynaptic terminus, much larger areas of presynaptic plasma membrane are internalized in comparison to clathrin-mediated endocytosis. Furthermore, the excess plasma membrane is rapidly recaptured in a nonselective manner, through formation of deep plasma membrane infoldings, which subsequently undergo fission and generate intracellular cisternae (endosome-like intermediates) from which synaptic vesicles can subsequently bud off (for more information, please see Clayton et al. 2008; Heuser and Reese 1973; Teng et al. 2007; Torri-Tarelli et al. 1987).

At present, the molecular mechanisms of bulk endocytosis are not well understood. It has been proposed that high levels of neuronal activity and, subsequently,

high calcium levels in the presynaptic terminus activate the calcium-dependent serine–threonine phosphatase calcineurin, which quickly dephosphorylates dynamin (Liu et al. 1994). Dephosphorylated dynamin can interact with the BAR family members to induce the formation of deep membrane infoldings: this is likely done through the interaction of F-BAR protein syndapin with the actin cytoskeleton, Arp2/3, and N-WASP (Andersson et al. 2008; Clayton et al. 2009). The fission of these infoldings from the plasma membrane is an active process that was originally proposed to be executed by dynamin, although recent publications have shown that bulk endocytosis happens even in the absence of dynamins (Ferguson et al. 2007; Hayashi et al. 2008; Raimondi et al. 2011).

Even though the model has been proposed for a while, it is presently not known how the large cisternae (endocytic intermediates) that are formed by bulk endocytosis eventually convert to new synaptic vesicles. Several scenarios have been recently discussed (Saheki and De Camilli 2012). In short, synaptic vesicles may re-form from cisternae/endocytic intermediates by a coat-mediated mechanism, by a novel protein- or lipid-mediated mechanism independent of classical coats, and/or by clathrin-mediated endocytosis after the large cisternae back-fuse with the plasma membrane.

1. Synaptic vesicles re-form from cisternae/endocytic intermediates by a coat-dependent mechanism. It was originally proposed that such a mechanism would happen via the clathrin coat-mediated budding (Takei et al. 1996). However, the recent studies on presynaptic terminals performed by electron tomography have shown that the overwhelming majority of clathrin-coated pits are connected to the plasma membrane, even when they appear to be emerging from endosomes in the single electron microscope section (Ferguson et al. 2007; Hayashi et al. 2008; Raimondi et al. 2011). Thus, the coats may likely differ from the clathrin coat. Two potential candidates involve AP2-like complexes that can function independently of clathrin, AP1, and AP3 (Faundez et al. 1998; Glyvuk et al. 2010; Kim and Ryan 2009; Nakatsu et al. 2004; Newell-Litwa et al. 2009). However, despite AP1 and AP3 are involved in synaptic vesicle recycling (Glyvuk et al. 2010; Voglmaier et al. 2006), they are not considered to be a major component of the presynaptic terminus.
2. Synaptic vesicles re-form from cisternae/endocytic intermediates by a novel mechanism independent of classical coats that is either protein or lipid mediated (Graham 2004; Kirchhausen 2000). In vitro studies have shown that selective BAR proteins can tubulate lipids and even generate small vesicles (Gallop et al. 2006; Wang et al. 2009). It is likely that this mechanism cannot sort the membrane cargo efficiently; thus, the fidelity of synaptic vesicle reformation may be compromised, and a new cycle of exocytosis and clathrin-dependent endocytosis may be needed to re-form a vesicle with proper size and composition. Recent studies in worms have raised the possibility of clathrin-independent mechanisms supporting neurotransmission (Gu et al. 2008; Sato et al. 2009).
3. Synaptic vesicles re-form by clathrin-mediated endocytosis after the large cisternae/endocytic intermediates back-fuse with the plasma membrane. Thus,

clathrin-dependent endocytosis may be the only pathway needed for the generation of synaptic vesicles. However, robust conversion of the endocytic intermediates was observed at synapses lacking dynamin 1, in which clathrin-mediated endocytosis is severely impaired, thus calling this possibility into question (Ferguson et al. 2007).

Lastly, it is important to note that at highly active presynaptic terminals, clathrin-mediated endocytosis and bulk endocytosis can occur concurrently. The dephosphorylation of dynamin does not prevent its interactions with the BAR proteins amphiphysin and endophilin, and therefore the two processes are permitted to happen independently of each other.

10.4 Concluding Remarks

In conclusion, much has been learned about endocytosis and the mechanisms of synaptic vesicle recycling at the presynaptic terminals, but a great deal remains unknown. The core features of the basic mechanism of clathrin-mediated endocytosis have been established. However, as pointed out before, little is known about parallel endocytic pathways that re-form synaptic vesicles. In addition, the dynamics and fate of newly endocytosed vesicles and endosomal intermediates, including the mechanisms by which they give rise to new synaptic vesicles, have barely been investigated thus far. Furthermore, it remains a mystery how the pace of the synaptic vesicle recycling process is controlled and how its flexibility is maintained. Traditionally, the high speed of synaptic vesicle recycling, and small size of nerve terminals, has hampered studies of this sort. Recently, several mouse models with defective endocytosis were generated: their synapses accumulate recycling intermediates that are stable for minutes to hours, as opposed to milliseconds to seconds in wild-type synapses (Ferguson et al. 2007; Milosevic et al. 2011; Raimondi et al. 2011). Moreover, a higher level of understanding may be achieved through current advances in super-resolution microscopy, ultrafast sample stimulation, and high-pressure freezing techniques, followed by electron microscopy and tomography, making it possible to inspect synaptic organelles within milliseconds of their formation (Kittlmann et al. 2013; Watanabe et al. 2013). Given the essential nature of these questions, and the importance of efficient synaptic vesicle recycling, it is to be expected that further progress along these lines will not only advance the field of synaptic transmission but have wide-ranging implications for pharmacology, neurophysiology, and medicine.

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Chapter 11

Dynamin Is a Key Molecule to Decode Action Potential Firing

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Abstract The maintenance of neurotransmission relies on the replenishment of synaptic vesicles (SVs) adapted to wide variations in the number and frequency of incoming action potentials (APs). A candidate mechanism for SV recycling indexed to AP firing activity could involve a protein-initiating endocytosis. Dynamin is a GTPase, which mediates fission of SVs from the presynaptic terminal membrane. There are three dynamin isoforms, dynamin 1, 2 and 3, in mammalian neurons. Knockout of dynamin 1 and 3 in central neurons suggests a role of each dynamin isoform in neuronal activity. We have carefully accessed the SV replenishment into the release site (readily releasable pool, RRP) in relation to AP firing activity and dynamin 1, 2 and 3 mediation. The three isoforms in sympathetic superior cervical ganglion neurons, an ideal model for direct physiological measurement of synaptic transmission combined with genetic knockdown, mediate the RRP replenishment, having distinct rate and time constants. Individual isoforms regulate distinct SV recycling pathways that cover the full range of physiological AP frequency. Thus, dynamin 1, 2 and 3 decode AP firing for SV recycling in sympathetic neurons. In this chapter, we review dynamin, in mammalian central and peripheral neurons, that is a key molecule for the selection and regulation of distinct SV recycling pathways in sensing of presynaptic AP firing patterns.

Keywords Dynamin • Action potential firing • Membrane recycling • Synaptic vesicle replenishment

11.1 Introduction

Neurons in both central and peripheral nervous systems encounter a wide range of activities in the form of action potential (AP) firing patterns. In accord, membrane transport systems, in particular, synaptic vesicle (SV) recycling pathways in synapses that mediate fast neurotransmission, must maintain the ability to rapidly react to ongoing changes in AP firing and adjust membrane trafficking to provide sufficient membrane replenishment. SV recycling through repetitive cycles of

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exocytosis and endocytosis is well established to operate under different conditions of AP firing at presynaptic terminals.

AP firing triggers exocytosis of SVs. The SV membrane, fused with the presynaptic nerve terminal membrane, is retrieved by various morphological forms of endocytosis (Ceccarelli et al. 1973; Heuser and Reese 1973; Wu 2004; Wu et al. 2009). For endocytosis, dynamin is an essential protein that mediates fission of SVs from the presynaptic nerve terminal membrane (Shpetner and Vallee 1989; Obar et al. 1990; Takei et al. 1995; Roux et al. 2006). The functional role of dynamin was revealed by *Drosophila* mutant flies carrying a temperature-sensitive allele of dynamin (*Drosophila shibire*) at the nonpermissive temperature (Koenig and Ikeda 1989; Chen et al. 1991; Kuromi and Kidokoro 2002). Since then, numerous evidences have demonstrated for dynamin as a key molecule in the SV endocytosis (Ferguson and De Camilli 2012; Saheki and De Camilli 2012).

In mammals, three isoforms, dynamin 1, 2 and 3, are present (Sontag et al. 1994; Cook et al. 1996; Cao et al. 1998). Each dynamin isoform has distinct expression pattern; dynamin 1 is expressed primarily in brain, whereas dynamin 2 is expressed ubiquitously (Cook et al. 1994, 1996; Cao et al. 1998; Ferguson et al. 2007). Dynamin 3 is also highly expressed in brain and testis (Nakata et al. 1993; Cook et al. 1996; Cao et al. 1998). In the central nervous system (CNS), knockout of dynamin 1 in the mice cortical neurons demonstrated a selective activity-dependent requirement for dynamin 1 in SV endocytosis (Ferguson et al. 2007). Together with following study of dynamin 1 and 3, double knockout suggests that dynamin 1 is required for SV recycling during high-frequency stimulation, and dynamin 3 cooperates with dynamin 1 to support optimal rates of SV recycling (Ferguson et al. 2007; Raimondi et al. 2011). The evidence of dynamin 1 knockout also suggested that dynamin 2 is required for SV recycling after cessation of stimulation (Ferguson et al. 2007).

In the mammalian peripheral nervous system, we have shown roles of dynamin in the fast cholinergic synaptic transmission between rat superior cervical ganglion (SCG) neurons applying the inhibitors (Lu et al. 2009) and genetic knockdown (Tanifuji et al. 2013) combined with direct physiological measurements of the readily releasable pool (RRP) in the active zone. The effects of dynamin inhibitor, dynasore, and peptide perturbing dynamin interaction with amphiphysin suggest that dynamin and synaptic activity regulate SV recycling into the RRP through two distinct pathways (Lu et al. 2009). Moreover, knockdown of each dynamin isoform confirmed the specific selection of SV recycling pathways by activation of individual dynamin in response to various neuronal firing patterns (Tanifuji et al. 2013). With dynamin isoform mediation, a presynaptic terminal covers synaptic transmission in response to full range of physiological AP firing.

This chapter reviews the role of dynamin and its isoforms in SV recycling, especially the molecular mechanisms linking variation in presynaptic neuronal activity to SV recycling. We focus on progressed functional studies of dynamin and its isoforms at presynaptic terminals in the mammalian CNS, at the calyx of Held, hippocampal and cortical neurons and peripheral sympathetic neurons.

11.2 Dynamin Activation in the CNS

Ca^{2+} influx after APs arrived at presynaptic nerve terminal triggers SV exocytosis and also initiates various forms of endocytosis (Gad et al. 1998; Hosoi et al. 2009; Wu et al. 2009). Recent studies at the calyx of Held nerve terminal using Ca^{2+} chelators EGTA and BAPTA suggest the essential role of Ca^{2+} in triggering endocytosis both at the active zone and the peri-active zone (Yamashita et al. 2010). In addition, numerous studies have revealed a modulatory role for Ca^{2+} in endocytosis at nerve terminals in different synaptic preparations (Balaji et al. 2008; Wu et al. 2009; Yao et al. 2009; Yamashita et al. 2010). Interestingly, the rate of SV exocytosis is controlled by Ca^{2+} accumulation following consecutive AP firing (Armbruster et al. 2013). High-sensitivity vGlut 1-pHluorin assays of SV endocytosis in cultured hippocampal and cortical neurons demonstrated that acceleration of endocytosis is followed by the stalling during AP bursts and that the biphasic dependence on electrical activity is blocked by mutation of dynamin at the phosphorylation site (Armbruster et al. 2013). These observations suggest that the rate of endocytosis varies with number and frequency of APs due to dephosphorylation of dynamin following Ca^{2+} influx with repeated AP firing. Thus, dynamin controls optimization of the SVs recycling rate for varying synaptic activity.

Most forms of endocytosis in the physiological range require the protein dynamin, a large GTPase that oligomerizes around the neck of clathrin-coated pits and catalyzes SV fission triggered by GTP hydrolysis (Takei et al. 1995; Marks et al. 2001; Praefcke and McMahon 2004). With the use of the nonhydrolyzable GTP analogue, GTP γ S, to inhibit endocytosis (Takei et al. 1995; Song et al. 2004), it reduced synaptic transmission in an activity-dependent manner at the calyx of Held (Yamashita et al. 2005; Xu et al. 2008); however, endocytosis independent on dynamin GTP hydrolysis was also suggested (Xu et al. 2008).

11.2.1 *Selective Requirement of Dynamin Isoforms in Activity-Dependent and -Independent SV Recycling*

Dynamin 1 is present at high concentrations in presynaptic nerve terminals of the CNS. Ferguson et al. (2007) demonstrated a selective requirement of dynamin 1 in the SV endocytosis only during high-frequency stimulation, but not after cessation of the stimulus train. Genetically engineered mice that lack dynamin 1 are able to form functional synapses, even though their postnatal viability is limited. Dynamin 1 knockout mice appear normal at birth, with near-normal numbers of neurons and SVs. However, they exhibit failure to thrive within several hours after birth and die within 2 weeks of birth. At inhibitory presynaptic nerve terminals of dynamin 1 knockout cortical neurons, electron microscopy analysis revealed massive increase in clathrin-coated pit abundance under spontaneous network activity. Their higher levels of tonic activity lead to a build-up of clathrin-coated

intermediates with perturbation of endocytic protein functions (Hayashi et al. 2008). Under intense stimulation with high-potassium buffer, larger-branched tubular networks connected to the plasma membrane capped by clathrin-coated buds were increased (Ferguson et al. 2007). Visualization of endocytosis by expressing synapto-pHluorin in cultured dynamin 1 knockout cortical neurons shows completely blocked endocytosis during stimulation with 300 APs at 10 Hz, yet resumes at a normal rate after termination of the stimulus. This endocytic blockade during stimulation is fully rescued by dynamin 1 transfection. Synaptic vesicle endocytosis is also rescued by the overexpression of dynamin 3 in dynamin 1 knockout neurons, whereas partially rescued by dynamin 2. Thus, gene knockout studies with the cortical neurons demonstrate that dynamin 1 is required during stimulation, but not indispensable after cessation of stimulation, and that dynamin 3 has a greater functional similarity with dynamin 1. The efficient formation of endosome-like structures in dynamin 1 KO synapses during the high-potassium stimulation, whereas SV recycling in these mutant neurons detected profound endocytic defect during the field stimulation (300 APs at 10 Hz) suggests that different endocytic pathways are activated by dynamin 1/3 and 2 in response to different modes of stimulation (Hayashi et al. 2008).

Furthermore, dynamin 3 plays a role in supporting optimal rates of SV endocytosis by cooperating with dynamin 1 (Raimondi et al. 2011). Dynamin 3 knockout mice do not show any obvious pathological phenotype observed in dynamin 1 knockout mice; however, dynamin 1 and dynamin 3 double-knockout mice have a more severe phenotype than dynamin 1 single-knockout mice. They exhibit lack of milk in the stomach and hunched posture 2 h after birth and die within several hours after birth. Compared to dynamin 1 knockout synapses, the double-knockout nerve terminals in cultured cortical neurons show more severe endocytic defect, confirmed by a massive accumulation of clathrin-coated pits at presynaptic nerve terminals and by a delay in compensatory endocytosis in response to a stimulus monitored with vGlut 1-pHluorin, a pH-sensitive probe that is very efficiently targeted to SVs (Balaji and Ryan 2007). However, consecutive rounds of stimulation at 10 Hz with the interval of 10 min show that, given sufficient time, vGlut 1-pHluorin signals recover in dynamin 1- and dynamin 3 double-knockout neurons albeit at a much reduced rate, indicating a contribution of dynamin 2 to SV recycling. Overall, in the CNS, overlapping roles of dynamin 1 and dynamin 3 in SV endocytosis related to high synaptic activity and a role of dynamin 2 and/or dynamin-independent mechanisms in basic SV endocytosis have been suggested.

11.2.2 Dynamin-Mediated Ultrafast Endocytosis

SVs are thought to be regenerated from plasma membrane approximately 20 s after fusion by the classic clathrin-mediated endocytosis (Heuser and Reese 1973) or within 1 s by kiss-and-run endocytosis that retrieves fusing vesicles by reversing their neck (Ceccarelli et al. 1973; Zhang et al. 2009). However, combination of

optogenetics and rapid high-pressure freezing, ‘flash-and-freeze’ approach, allows for visualizing synaptic ultrastructure with electron microscopy following a single physiological stimulation. This approach revealed an ultrafast mode of endocytosis, which occurs within 50 to 100 ms after the onset of the stimulus, requiring actin and dynamin (Watanabe et al. 2013a). In cultured mouse hippocampal neurons expressing channelrhodopsin-2, a light-activated channel protein, a 10-ms pulse of blue light elicits an influx of sodium ions, leading to an AP. Within 30 ms of the stimulus, docked vesicles fuse and collapse into the membrane. These fusions are observed within the active zone, and vesicle-depleted active zones are repopulated with a full complement of docked vesicles with a time constant of 3.8 s. This value is consistent with that for the recovery of the RRP previously measured electrophysiologically in mouse hippocampal neurons (Pyott and Rosenmund 2002). Following exocytosis, the shallow invaginated pits occur at locations outside the active zone within 50 ms after stimulation. In addition, within 50–100 ms, large vesicles which have about fourfold greater surface area than normal SVs are generated. This compensatory endocytosis seems not to be mediated by clathrin coats and is inhibited by latrunculin A which disrupts polymerization of actin and dynasore which interferes with the GTPase activity of dynamin. Thus, the ultrafast endocytosis appears to compensate the membrane added to the plasma membrane immediately after exocytosis, in clathrin-independent but actin- and dynamin-dependent manner.

11.2.3 Role for Dynamin in Exocytic-Endocytic Coupling

Several recent studies interfering with the function of endocytic proteins have reported inhibitory effects on SV exocytosis, indicating a role for endocytic proteins in regulation of SV exocytosis (Saheki and De Camilli 2012). Dynamin dysfunction with *Drosophila shibire* mutant (Kawasaki et al. 2000) and that in the calyx of Held (Hosoi et al. 2009) demonstrated that rapid dynamin action is required for synaptic transmission in response to repetitive firing of APs, indicating that clearance of used SVs including the cargo proteins from the active zone is triggered by dynamin activation. This step is the rate limiting for transmitter release, and delayed clearance leads to the short-term synaptic depression (i.e. gradual decrease in transmitter release in response to each AP firing) (Hosoi et al. 2009; Neher 2010). Dynamin dysfunction by dynasore, anti-dynamin antibody or dynamin inhibitory peptide which perturbs dynamin binding to the SH3 domain of amphiphysin delayed SV recruitment to docking sites and enhanced short-term synaptic depression at the calyx of Held. Another study with measurements of capacitance, which is proportional to net changes in neuron surface area and thus constitute measurement of synaptic exocytosis and endocytosis, also showed that dynasore slows down the RRP replenishment 100 ms after the first pulse (Wu et al. 2009). Clearance of the active zone could be achieved by rapid lateral diffusion of SV proteins towards the peri-active zone, an area that surrounds the

active zone and into which SV membranes are recycled following exocytosis (Roos and Kelly 1999; Haucke et al. 2011). In consistent, ultrafast endocytic invaginations are outside the active zone and are often observed flanking the active zone (Watanabe et al. 2013a). Thus, exocytic-endocytic coupling could be achieved by the direct dynamin-mediated retrieval of SVs near the exocytic site.

11.3 Dynamin Activation in SCG Neurons

Evidence in a large presynaptic terminal of the calyx of Held (Yamashita et al. 2005, 2010; Hosoi et al. 2009; Neher 2010) and other central neurons (Newton et al. 2006; Ferguson et al. 2007; Raimondi et al. 2011; Hayashi et al. 2008) provides a general role for dynamin and its isoforms in SV recycling to regulate synaptic transmission in the CNS. We have used a model system for the genetic analysis of fast cholinergic transmission between rat SCG neurons to examine the role of dynamin isoforms in activity sensing (Tanifuji et al. 2013). The SCG neurons are a useful model to study presynaptic terminal proteins because of the large cell body and nucleus for the manipulation of gene expression and function via acute microinjection of siRNA, peptides and antibodies (Mochida et al. 1994, 2008; Ma et al. 2009), an approach not technically feasible for cultured neurons from the central nervous system. Dynamin function was disrupted by inhibitors (Sect. 11.3.1), or each isoform was knocked down by the specific siRNAs (Sects. 11.3.2, 11.3.3, 11.3.4, and 11.3.5) injected into presynaptic neurons. These neurons were challenged with various AP firing patterns, and the resultant changes in the replenishment of the RRP were monitored by recording evoked excitatory postsynaptic potentials (EPSPs).

11.3.1 *Sympathetic Neurons Maintain Synaptic Transmission via the SV Recycling Through Dynamin-Mediated Pathways*

In SCG neurons, dynamin dysfunction by either P4 peptide (QVPSRPNRP) which interferes linkage of dynamin to clathrin coats through interaction with amphiphysin or dynasore, a specific cell-permeable dynamin inhibitor, impaired synaptic transmission with various presynaptic AP firing patterns, such as paired AP and consecutive APs at low or high frequency (Lu et al. 2009). These electrophysiological recordings from P4- or dynasore-treated SCG neurons demonstrated that sympathetic neurons have two dynamin-mediated SV replenishment pathways, one activity dependent and the other activity independent (Lu et al. 2009). In addition, the differential mechanisms of P4 and dynasore for dysfunction of dynamin suggest that the RRP refills at two time constants, fast and slow, with

the RRP refilling through distinct dynamin-mediated pathways. The fast refilling rate reflects a rapid SV replenishment into the RRP from the reserve pool (RP) in an amphiphysin-dependent endocytic pathway, whereas the slow refilling rate involves a gradual SV replenishment through an amphiphysin-independent endocytic pathway (Lu et al. 2009). Together, these data provide evidence for physiological and molecular heterogeneity in endocytosis.

11.3.2 Dynamin 1, 2 and 3 Differentially Mediate Rapid SV Recycling in Sympathetic Neurons

All three isoforms of dynamin are expressed in peripheral SCG neurons (Tanifuji et al. 2013), similarly to central neurons (Cao et al. 1998; Ferguson et al. 2007). Dynamin isoforms were specifically knocked down in presynaptic neurons by microinjection of siRNA, and the transfected neurons were challenged with various AP firing patterns. Applying a paired-pulse protocol to assess rapid replenishment of the RRP, changes in EPSP amplitude after an AP-evoked transmitter release were monitored by eliciting two consecutive APs at various interstimulus intervals (ISIs) in the siRNA-injected neuron (Fig. 11.1a, left). Under control conditions, at the synapses injected with control siRNA (control), paired-pulse ratio (2nd/1st EPSP ratio) values were less than 1.0 (paired-pulse depression) at short ISIs (≤ 100 ms), whereas at longer ISIs paired-pulse ratio values were ~ 1.0 , in agreement with our previous studies (Lu et al. 2009; Ma et al. 2009). Shown in Fig. 11.1a, right, dynamin 1 knockdown (KD) increased paired-pulse depression at short ISIs (50–100 ms), but did not increase it at ISIs of 20 or 30 ms. On the other hand, dynamin 2 or 3 KD increased paired-pulse depression at short ISIs (20–100 ms). At longer ISIs (100 ms \leq), however, paired-pulse ratio values at dynamin 1, 2 or 3 KD synapses were 60–70 % of that for control. It is unlikely that basal release probability is affected by dynamin loss of function because the mean EPSP amplitude of the first recording was unchanged. Therefore, in contrast to findings in central neurons of dynamin knockout mouse, each dynamin isoform differently contributes to rapid SV recycling.

The rate of endocytosis is generally thought to range from hundreds of milliseconds to hundreds of seconds (Royle and Lagnado 2003; Wu 2004), estimated by capacity measurements (Sun et al. 2002) and synapto-pHluorin imaging (Fernandez-Alfonso and Ryan 2004). However, recent studies in cultured hippocampal neurons (Watanabe et al. 2013a) and at *Caenorhabditis elegans* neuromuscular junctions (Watanabe et al. 2013b) have provided evidence that, by optogenetics coupled with high-pressure freezing, dynamin-mediated SV endocytosis occurs on a millisecond timescale following a single physiological stimulus (see Sect. 11.2.2). The ‘flash-and-freeze’ electron microscopy revealed that the ultrafast mode of endocytosis occurs within 50–100 ms after a single pulse of blue light, consistent with rapid temporal windows of dynamin-mediated SV recycling in SCG neurons.

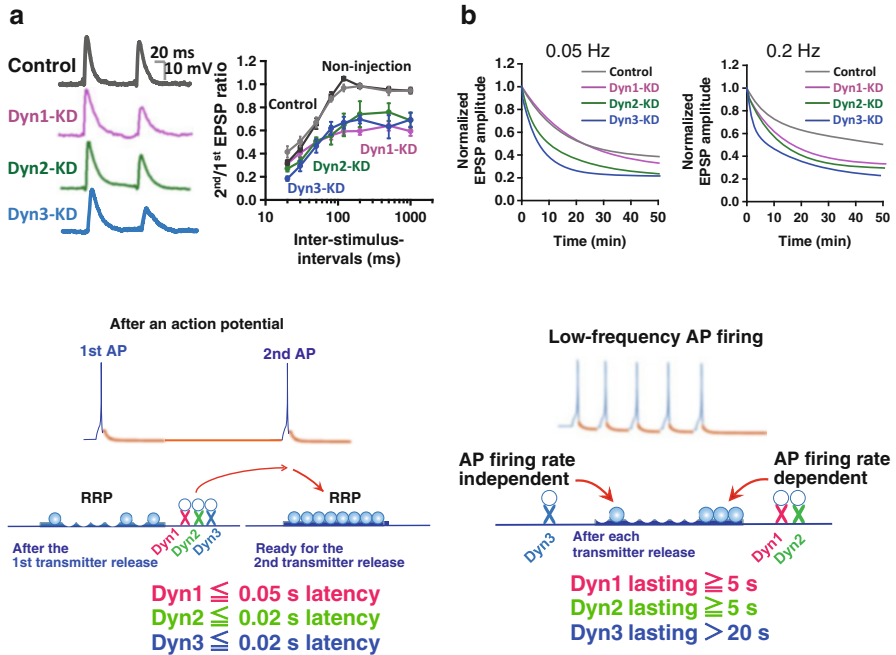


Fig. 11.1 The RRP replenishment mediated by dynamin 1, 2 or 3 under low-frequency AP firing. Presynaptic neurons were injected with control or dynamin siRNA (control, Dyn1-KD, Dyn2-KD or Dyn3-KD). **(a)** Changes in EPSP amplitude after an AP-evoked transmitter release were monitored by eliciting two consecutive APs at various ISIs (20–1,000 ms), every 1 min, in the siRNA-injected neuron. (*left*) EPSPs from a representative recording with an interstimulus interval of 120 ms. (*right*) The averaged paired-pulse ratio (2nd/1st EPSP ratio) is plotted against the ISIs. Dyn1-KD increased paired-pulse depression (PPD) at ISIs of 50–1,000 ms, whereas Dyn2- or 3-KD increased PPD at ISIs of 20–1,000 ms. (*Scheme*) Results of paired-pulse ratio demonstrate an asymmetry in the involvement of dynamin in SV recycling with a distinct latency after AP generation. After the first neurotransmitter release, dynamin 1, 2 or 3 is activated with a latency of ≤ 0.05 , ≤ 0.02 and ≤ 0.02 s, respectively, to replenish the RRP ready for the second transmitter release. **(b)** Changes in the EPSP amplitude elicited by consecutive presynaptic APs at 0.05 or 0.2 Hz were monitored over 50 min. (*left*) Single exponential decay curves fitted with the averaged EPSP amplitudes at 0.05 Hz were reduced more rapidly with Dyn3-KD than Dyn1- or 2-KD. (*right*) Single (control, Dyn1-KD or Dyn2-KD) or double (Dyn3-KD) exponential decay curves fitted with averaged EPSP amplitudes at 0.2 Hz were reduced more rapidly with each dynamin-KD. (*Scheme*) During low-frequency AP firing, dynamin 1 and 2 are activated dependent on AP firing rate ≥ 0.2 Hz, while dynamin 3 is activated independent on the AP firing rate. The RRP replenishment process mediated by dynamin 1 and 2 lasts 5 s, while that mediated by dynamin 3 lasts more than 20 s

11.3.3 Distinct Roles of Dynamin 1, 2 and 3 During High- or Low-Frequency AP Firing in Sympathetic Neurons

Endocytosis is essential for maintaining transmitter release during repetitive AP firing (Wu 2004). At cultured hippocampal synapses expressing synapto-pHluorin

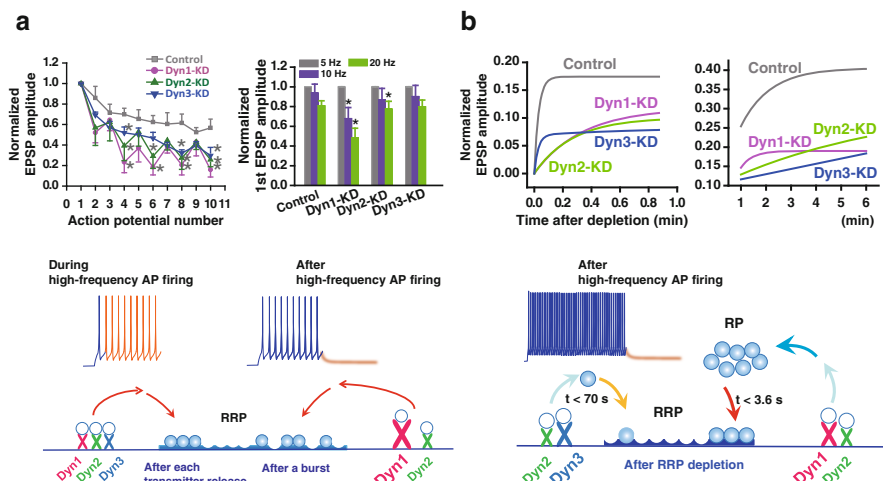


Fig. 11.2 The RRP replenishment mediated by dynamin 1, 2 or 3 under high-frequency AP firing. (a) EPSPs elicited by 2-s AP trains at 5, 10 or 20 Hz were monitored every 2 min, 3 times for each frequency. (left) Normalized and averaged peak amplitudes from the end of the previous EPSP at 10 Hz were plotted against AP number, indicating that each dynamin isoform contributes to SV recycling during high-frequency AP firing. (right) Normalized 1st EPSP amplitudes of each train to that of the 5-Hz train decreased 2 min after a 5- or 10-Hz AP train in Dyn1-KD neurons and after 10-Hz AP train in Dyn2-KD neurons. (scheme) During high-frequency AP firing, each dynamin isoform contributes to SV recycling in the RRP, while dynamin 1 and 2 also mediate it after high-frequency AP firing. (b) The recovery of SVs in the RRP after full depletion due to a 4-min AP train at 5 Hz was monitored by measuring the EPSP amplitude every 1 s. EPSP amplitudes normalized to the mean value before the depletion were averaged and fitted with single exponential growth curves to estimate the recovery rate and the time constant. (left) Dyn1- or 2-KD delayed the fast recovery phase. (right) Dyn2- or 3-KD delayed the slow recovery phase. (Scheme) After high-frequency AP firing dynamin 1 drives the fast RRP replenishment pathway via RP, whereas dynamin 3 drives the slow replenishment pathway. Dynamin 2 contributes to driving both fast and slow replenishment pathways. Time constant for the fast replenishment of empty RRP with SVs from the RP is <3.6 s, while that of the slow RRP replenishment is <70 s in presynaptic SCG neurons

(Fernandez-Alfonso and Ryan 2004), fast endocytosis occurs during AP firing, although it is slowed down after repetitive stimulation (Sun et al. 2002), suggesting that AP firing frequency at nerve terminal determines the time course of endocytosis. In SCG neurons, dynamin 1, 2 or 3 KD induced severe synaptic depression at 5 or 10 Hz (Fig. 11.2a, left) AP train, in contrast to control synapses that only induced synaptic depression at higher frequency of 20 Hz (Tanifuji et al. 2013). In addition, dynamin 1 KD increased the number of EPSP failures during 2-s AP trains at high-frequency AP firing. Therefore, our results suggest that each dynamin isoform contributes to maintain SV replenishment during high-frequency AP firing. At 2 min after 5 or 10-Hz AP train, the recovery of first EPSP amplitude of each train from depression was impaired with dynamin 1 KD and weakly with dynamin

2 KD (Fig. 11.2a, right), suggesting that dynamin 1 and 2 mediate longer-lasting, at least 2 min, SV recycling after high-frequency AP firing.

In addition to the effect of dynamin isoform KD on SV replenishment during high-frequency AP firing, we also found that at control synapses, synaptic transmission gradually depressed over the 50-min-recording session under low-frequency presynaptic AP firing at 0.05 or 0.2 Hz (Fig. 11.1b) but was reduced more rapidly with dynamin 1, 2 or 3 KD than control level of depression following repetitive APs at 0.2 Hz (Fig. 11.1b, right). Dynamin 3 KD also showed a rapid reduction of the EPSP amplitudes at a much lower AP firing frequency, 0.05 Hz (Fig. 11.1b, left). Together, these data suggest that dynamin 1- or 2-mediated SV replenishment is activated by AP firing at 0.2 Hz and completes within 5 s after AP generation, in an AP firing rate-dependent manner, whereas dynamin 3-mediated SV replenishment is activated by AP at lower than 0.05 Hz and takes more than 20 s, in an AP firing rate-independent manner.

11.3.4 Dynamin 1, 2 and 3 Have Distinct Roles in SV Recycling Pathways with Distinct Kinetics

Multiple recycling pathways are used by SVs with the selection of pathway depending on AP firing frequency (Granseth et al. 2006; Lu et al. 2009; Zhang et al. 2009; Zhu et al. 2009; Cheung et al. 2010). The classic clathrin-mediated endocytic pathway is responsible for the RRP refilling via an RP, whereas the fast mode of endocytosis involves rapid reuse pathway of SVs that bypass the RP (Lu et al. 2009). As discussed above, the fast SV replenishment of the RRP involves SV transport from the RP via dynamin-dependent and clathrin-mediated pathway or de novo sorting via an endosomal pool, whereas the slow SV replenishment of the RRP involves another mode of SV recycling, which bypasses the RP via dynamin-mediated pathway (Lu et al. 2009). The kinetics of refilling of the RRP with SVs following full RRP depletion due to a train of 4-min APs at 5 Hz was monitored by measuring the EPSP amplitude every 1 s. The refilling rate of the fast phase was delayed with dynamin 1 or 2 KD, whereas that of the slow phase was delayed with dynamin 2 or 3 KD, respectively (Fig. 11.2b). Thus, in sympathetic neurons, dynamin isoforms have distinct specificity for fast and slow recycling pathways. Taken together, dynamin 1 mainly regulates the RRP replenishment with a rapid rate for the selective recovery of SV depletion during and after high-frequency AP firing, whereas dynamin 3 mainly regulates the RRP replenishment at a slow rate, mediating a separate endocytic pathway in an AP firing frequency-independent manner. Dynamin 2 exhibits a contribution equally to both rapid and slow replenishment.

11.3.5 Dynamin 1, 2 and 3 Decode AP Firing for SV Replenishment into the RRP

How dynamin 1, 2 and 3 contribute to the RRP replenishment via distinct SV recycling pathways linking variation in neuronal activity is speculated in the schemes (Figs. 11.1 and 11.2). In SCG neurons, individual dynamin isoforms regulate distinct SV reuse pathway that covers the full range of physiological AP firing frequency patterns for the selection of specific vesicle reuse modes that replenish a shared RRP. Dynamin 1-mediated SV recycling pathway is primarily activated during and after high-frequency AP firing at >5 Hz with a latency of 0.05 s and lasting 5 s after AP generation. In contrast, dynamin 3-mediated SV recycling pathway supports a separate mode of the RRP replenishment that was independent of AP firing frequency and rapidly activated within 0.02 s after AP generation. Dynamin 2 mediates both SV recycling pathways, with hybrid properties between the other isoforms. Overall, fine-tuning mechanisms of SV recycling dependent on dynamin isoforms allow synapses to maintain stable neurotransmission during dynamic changes in AP firing properties.

11.4 Concluding Remarks

In mammals, dynamin is encoded by three different genes, whose products undergo further alternative splicing to generate a multiplicity of variants (Cao et al. 1998). Given the differences in the expression pattern between dynamin isoforms and the unique protein-protein interactions of each isoform, additional studies are required to fully address the issue of allocation between dynamin isoforms in the nervous systems, even though some studies in non-neuronal cells demonstrate that each dynamin isoform regulates distinct endocytic pathways (Shpetner and Vallee 1989; Artalejo et al. 2002; Lu et al. 2008). At central synapses, knockout studies of dynamin isoforms revealed that clathrin-mediated endocytosis is tailored to work well under different conditions of synaptic activity which activates specific dynamin (Ferguson et al. 2007; Raimondi et al. 2011). However, while these studies collectively establish a general role for dynamin and its isoforms in membrane recycling, several important questions remain unanswered. First, how do the three dynamin isoforms collaborate in single synapses to maintain stable SV recycling pools in response to AP firing properties? To address this question would require synaptic electrophysiological methods to measure ongoing synaptic transmission and quantitate the recovery of the RRP after stimulation. Second, how do individual dynamin isoforms allocate specific time windows and rate constants to allow a cell to respond to variable patterns of electrical stimulation? To address this question would necessitate an acute dynamin knockdown rather than a mouse knockout approach, for which genetic compensation may occur. Moreover, to address the role of dynamin isoforms in RRP maintenance, the study should be done in a system in

which dynamin loss-of-function specifically occurs in the presynaptic but not postsynaptic cell to avoid complications from dynamin-dependent postsynaptic regulation. In SCG neuron, dynamin isoforms were specifically knocked down in presynaptic neurons by microinjection of siRNA. The transfected neurons were challenged with various AP firing patterns, and the resultant changes in the recovery of readily releasable SVs were monitored by recording evoked EPSPs. The studies revealed distinct dynamin isoforms controlling unique vesicle pools may allow synapses to dynamically respond to rapid or complex APs burst into EPSPs that reflect a cell's history of synaptic firing, while simultaneously maintaining the general capability for fast and stable SV recycling and maintenance after sparse single APs. Whether there is a spatial synaptic organization to dynamin isoform-dependent recycling pathways remains a challenging future issue.

Ultrastructurally, the recent study using 'flash-and-freeze' microscopy (Watanabe et al. 2013a) supports the proposal that dynamin mediates exocytic-endocytic coupling to clear the vesicle release site for the next set of exocytosis (Hosoi et al. 2009). Dynasore used for the study on exocytic-endocytic coupling is reported to inhibit the GTPase activity of dynamin 1, dynamin 2 and Drp 1, the mitochondrial dynamin (Macia et al. 2006), but not dynamin 3. Thus, which dynamin isoform mediates the clearance pathway remains to be determined. The new 'flash-and-freeze' microscopy technique (Watanabe et al. 2013a) also supports our findings that dynamin isoforms differently drive SV recycling with a more rapid time window than that estimated by membrane capacity measurements (Sun et al. 2002) and vesicle trafficking with fluorescence imaging (Fernandez-Alfonso and Ryan 2004). The rapid activation of dynamin isoforms covers the range of AP frequency patterns for the selection of specific vesicle reuse modes; however, the molecular mechanism underlying the relationship of dynamin isoforms and sensing cell activity will require further investigation.

Increase in intracellular Ca^{2+} accompanying AP firing at presynaptic nerve terminals plays a critical role in SV endocytosis and efficient replenishment of the RRP (Wu et al. 2009; Denker and Rizzoli 2010; Yamashita 2012). Thus, identification of Ca^{2+} sensor proteins is essential to understand the molecular mechanism for the selection of dynamin isoforms, sensing cell activity, to drive the specific SV recycling pathway. In this regard, synaptotagmin is one of the candidate molecules to play a role in fast endocytosis (Poskanzer et al. 2003; Nicholson-Tomishima and Ryan 2004; Watanabe et al. 2010), which forms complexes with presynaptic proteins such as AP2 (Takei et al. 1999), a clathrin coat protein that interacts with dynamin. On the other hand, endocytic proteins including dynamin are phosphoproteins. Upon AP firing at presynaptic nerve terminals in the brain, dynamin is dephosphorylated by the Ca^{2+} /calmodulin (CaM)-dependent phosphatase calcineurin (Cousin and Robinson 2001; Sun et al. 2010), suggesting that CaM, calcineurin and endocytic phosphoproteins represent Ca^{2+} sensors, mediators, and effectors, respectively, for slow endocytosis. The low- and high-affinity Ca^{2+} sensors seem to be used to drive endocytosis at different synapses or different developmental stages. At the calyx of Held synapses, Ca^{2+} elevation in the Ca^{2+} nano-domain around Ca^{2+} channels triggers dynamin-mediated endocytosis

via low-affinity Ca^{2+} binding molecules, whereas bulk Ca^{2+} elevation outside of the Ca^{2+} nano-domain, peri-active zone triggers clathrin-mediated endocytosis via Ca^{2+} /CaM/calcineurin mediation at immature synapses, but not in mature synapses (Yamashita et al. 2010). In summary, given that specific interactions between dynamin isoforms and Ca^{2+} sensor proteins must initiate SV endocytosis dependent on presynaptic activity, it remains a challenging future issue to elucidate the molecular mechanisms.

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Part V
Synaptic Vesicle Refilling and Storage

Chapter 12

Transport of Amino Acid Neurotransmitters into Synaptic Vesicles

Shigeo Takamori

Abstract Fast chemical neurotransmission at the synapse is mediated by the release of neurotransmitters from synaptic vesicles (SVs) by exocytosis. In the mammalian central nervous system, the majority of neurons utilize amino acids such as glutamate, γ -aminobutyric acid (GABA), and glycine. Glutamate is the major excitatory neurotransmitter, whereas GABA and glycine are inhibitory. These amino acids are present at relatively high levels in the cytoplasm of presynaptic terminals and are accumulated into SVs for their exocytotic release. Over the past several decades, this essential process has been biochemically characterized and proteins responsible for neurotransmitter loading have been molecularly identified. Analysis of knockout animals has elucidated physiological significance of this process and moreover has deepened our understanding of glutamatergic and GABAergic neural circuits. However, the precise mechanism of the transport system remains largely unknown. In this chapter, I overview advances in the vesicular loading process and discuss some controversial concepts that may have important consequences for synaptic transmission.

Keywords Synaptic vesicles • Vesicular glutamate transporter • Vesicular GABA transporter • Vacuolar-type H^+ ATPase • Cl^- channels

12.1 V-ATPase Drives Neurotransmitter Uptake

Unlike plasma membrane neurotransmitter transporters that utilize the Na^+ gradient across the membrane as a driving force, transporters responsible for neurotransmitter refilling into SVs rely on a proton electrochemical gradient across the vesicle membrane, generated by the vacuolar-type H^+ ATPase (V-ATPase) (Moriyama et al. 1992). The V-ATPase consists of at least 13 subunits, comprising the largest protein complex (~800 kDa complex) present on SVs (Takamori et al. 2006) (see Chap. 7). It is divided into two functional domains: V1 is a peripheral sector and V0 is a membrane sector. The overall structure resembles the mitochondrial F_0F_1 -ATP

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synthase which catalyzes ATP synthesis from ADP by using the H^+ gradient (Nelson et al. 2002). V-ATPase performs the exact opposite task. It uses the hydrolyzing energy of ATP and translocates H^+ from the cytoplasm into the vesicle lumen. As a result, the lumen becomes more acidic and the voltage more positive, both of which act to drive neurotransmitter transport into SVs. While the potential across the SV membrane has not been experimentally determined, the pH gradient across SV membrane has been estimated from vesicular pH measurements (Maycox et al. 1988; Moriyama and Nelson 1987). By using pH-sensitive GFP variants, which are targeted to the luminal region of SVs in neuronal culture preparations, vesicular pH was determined as 5.6–5.8 (Miesenbock et al. 1998). Assuming that the cytoplasmic pH is close to neutral (7.0–7.4), ΔpH was estimated to be approximately ~ 1.5 pH unit (~ 30 -fold gradient). It should be noted that because of the small size of SVs (diameter of 40 nm), a pH of 5.5 ($[H^+] = 10^{-5.5}$) corresponds to less than one free H^+ in the lumen (Takamori et al. 2006). Although little is known about the intrinsic buffering of the vesicle lumen, this simple calculation indicates that few H^+ ions are required to establish the proton electrochemical gradient. This markedly contrasts to Na^+ , or other ion gradients such as K^+ or Cl^- , across the plasma membrane. Moreover, in contrast to the relatively stable ion gradient of Na^+ and K^+ across the plasma membrane, the proton electrochemical gradient across the SV membrane is made and dissipated during repeated exocytosis and endocytosis. Given the continuous activity at synapses, it is probably more economical for SVs to utilize minor ion species such as H^+ , which involves less ion movement than major ions such as Na^+ .

12.2 The Regulation of $\Delta\mu H^+$

The proton electrochemical gradient ($\Delta\mu H^+$) generated by V-ATPase consists of an electrical gradient ($\Delta\Psi$, inside positive) and a chemical gradient (ΔpH , inside acidic) (Fig. 12.1). Their relative influence on the $\Delta\mu H^+$ can be modulated by other ion channels or transporters present on SVs. The extent of both components has been assessed in isolated SVs by fluorometric assays using either voltage-sensitive dyes (i.e., oxanol) for $\Delta\Psi$ or membrane-permeable weak-base dyes such as acridine orange for ΔpH (Maycox et al. 1988; Tabb et al. 1992). V-ATPase is an electrogenic pump; thus, in the absence of counterion movements, H^+ influx is restricted by the voltage developed as a result of H^+ entry, and thereby a large membrane potential ($\Delta\Psi$) is established. On the other hand, when counterions are present, their movement across the SV membrane compensates for charges associated with H^+ influx. While this facilitates greater H^+ influx into the lumen to establish a greater pH gradient (ΔpH), $\Delta\Psi$ is attenuated (Fig. 12.1a, b). The physiological counterion that affects $\Delta\mu H^+$ is chloride. It has been shown that $\Delta\Psi$ is maximal in the absence of Cl^- (and other haloids), while ΔpH is very small. As extravesicular Cl^- increases, $\Delta\Psi$ is attenuated, whereas a larger pH

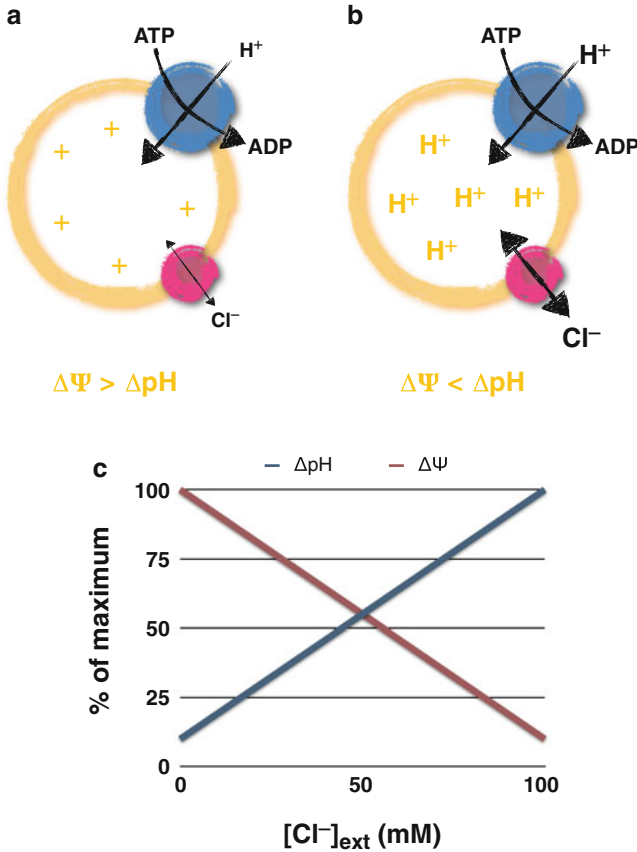


Fig. 12.1 Electrogenic property of the vacuolar-type H^+ ATPase. Vacuolar-type H^+ ATPase (V-ATPase) (blue) utilizes an energy produced by ATP hydrolysis and generates a proton electrochemical gradient that consists of two components, $\Delta\Psi$ and ΔpH . Since the V-ATPase is electrogenic, H^+ influx is critically restricted by $\Delta\Psi$, and either accompanying anion influx or efflux of cation is necessary to facilitate proton transport into the lumen. SVs contain a putative Cl^- channel (pink) that affects the balance of $\Delta\Psi$ and ΔpH . (a) In the presence of low Cl^- , H^+ influx is small and more $\Delta\Psi$ is generated. (b) In the presence of high Cl^- , Cl^- influx would dissipate $\Delta\Psi$; therefore, more H^+ can flow into SVs. This facilitates ΔpH buildup and attenuates $\Delta\Psi$. (c) The relationship between $\Delta\Psi$ and ΔpH as a function of external Cl^- concentrations. Both components have been monitored by using fluorescent indicators for the voltage and ΔpH

gradient is generated (Fig. 12.1c). The molecular identity of this Cl^- pathway has been elusive (discussed below).

Experimentally, it is possible to manipulate the two components of $\Delta\mu H^+$ in isolation. For instance, ammonia (NH_3) is a proton acceptor and can penetrate into the SV lumen where it attenuates ΔpH in a concentration-dependent manner (Hell et al. 1988). Likewise, the K^+/H^+ exchanger, nigericin, produces proton efflux in concert with K^+ influx, to selectively dissipate ΔpH yet facilitate $\Delta\Psi$ (Tabb

et al. 1992). The $\text{Mg}^{2+}/\text{H}^+$ exchanger, A23187, has also been used as a substitute for nigericin (Wolosker et al. 1996). In contrast, the K^+ ionophore, valinomycin, eliminates the formation of $\Delta\Psi$ and therefore strongly augments ΔpH (Moriyama and Nelson 1987). Given that changes in the composition of $\Delta\mu\text{H}^+$ have been indirectly monitored, the absolute values of intravesicular pH and transmembrane voltage in any conditions are unknown. Yet, it is generally believed that for cationic neurotransmitters such as acetylcholine and monoamines, uptake depends largely on ΔpH . By contrast, the uptake of anionic neurotransmitter such as glutamate depends predominantly on $\Delta\Psi$. The zwitterionic transmitters GABA and glycine both depend on ΔpH and $\Delta\Psi$ for efficient uptake (Edwards 2007). As will be discussed in the following sections, the precise mechanism of how H^+ drives neurotransmitter transport is not completely understood.

12.3 Mechanisms of Neurotransmitter Uptake into Synaptic Vesicles

The biochemical mechanism underlying the uptake of amino acid neurotransmitters into SVs has been characterized using isolated SVs from mammalian brains. Glutamate uptake into SV preparations was shown to be dependent on ATP hydrolysis, because proton ionophores such as CCCP or FCCP abolished ATP-dependent glutamate accumulation, demonstrating that the process is dependent on a proton gradient (Naito and Ueda 1983, 1985). A prominent feature of glutamate uptake into SV preparations is an unusual biphasic dependence on chloride concentrations in the assay medium (Naito and Ueda 1985). Glutamate uptake activity was found to be maximal in the presence of $\sim\text{mM}$ of Cl^- , yet relatively depressed at lower or higher Cl^- concentrations. The extent of depression depended on glutamate concentrations used for the assays. In terms of the composition of $\Delta\mu\text{H}^+$, at low mM Cl^- , $\Delta\Psi$ predominates over ΔpH . Manipulations to selectively dissipate ΔpH , such as nigericin and NH_4^+ applications, had no overwhelming effect on glutamate uptake, whereas those that abolished $\Delta\Psi$ such as valinomycin strongly reduced it (Hell et al. 1988; Moriyama et al. 1990; Naito and Ueda 1985). Given that the majority of glutamate at neutral pH exists in the negatively charged form, these results led to a long-standing belief that glutamate uptake is predominantly dependent on $\Delta\Psi$ across the SV membrane. In fact, when $\Delta\Psi$ was artificially generated by VAL and Rb^+ , significant glutamate transport was detected, albeit to a lesser extent (~ 50 -fold or more) than that achieved by the activation of V-ATPase (Shioi and Ueda 1990). This led to the extreme hypothesis that $\Delta\Psi$ alone is sufficient to drive glutamate transport. Furthermore, the nonselective anion channel blocker, DIDS, was found to attenuate V-ATPase activity and glutamate transport with different IC_{50} values, leading to another hypothesis that Cl^- directly binds to the glutamate transporter and allosterically activates it (Harteringer and Jahn 1993). This theory was also able to explain why low

transport activity was observed in the absence of Cl^- . In this context, the attenuation of glutamate transport in high Cl^- conditions can be explained by the attenuation of $\Delta\Psi$ as Cl^- increases. On the other hand, there is substantial evidence to suggest the pH gradient is required for glutamate transport. First, 20 mM NH_4^+ dramatically reduced uptake, a concentration that is thought to completely abolish ΔpH yet facilitate $\Delta\Psi$ (Carlson et al. 1989). Second, application of either NH_4^+ or A23187 completely dissipated ΔpH , but effects on glutamate uptake were dependent on the Cl^- and glutamate concentrations used to measure glutamate transport activity. Both treatments facilitated glutamate transport at low Cl^- concentrations but attenuated it at higher Cl^- concentrations (Wolosker et al. 1996), indicating a complex regulation of glutamate transport by both Cl^- and $\Delta\mu\text{H}^+$. Third, when intravesicular pH was clamped with external solution by using nigericin, glutamate transport was sensitive to pH, reaching a maximum at pH ~6.8 and thus indicating that not only ΔpH but also optimal pH is required to drive glutamate transport (Tabb et al. 1992). These results suggest that pH is an essential factor for glutamate transport and optimal protonation of amino acid side chains of the transporter is crucial for its function. Investigations to clarify the mechanisms involved have largely used reconstitution systems (see below).

There has been some evidence that outward leak of neurotransmitter from the synaptic vesicle may affect transport kinetics (Wolosker et al. 1996). *In vitro* radiotracer flux assays have demonstrated that this dissipation of ΔpH promotes glutamate efflux. Furthermore, glutamate efflux was promoted by the application of Cl^- at relatively high concentrations similar to that attained at steady state following glutamate transport. Collectively, such evidence indicates that changes in intravesicular pH affect glutamate storage. Although the mechanism and pathway for this glutamate leak has not been established, these results suggest that the combination of glutamate efflux and influx determine the rate of glutamate transport. Despite these biochemical observations, whether $\Delta\mu\text{H}^+$ is essential for neurotransmitter maintenance in the SVs remains controversial. Application of the V-ATPase inhibitor, Bafilomycin A₁ (BafA₁), in hippocampal autaptic cultures resulted in activity-dependent attenuation of EPSCs supporting the idea that there is almost no glutamate leak from already filled SVs (Ikeda and Bekkers 2009). In contrast, glutamate transported into isolated SVs *in vitro* was found to be somewhat more leaky. Acidification of SVs by glutamate can be monitored by acridine orange, thus providing a proxy measure for glutamate transport into SVs (Maycox et al. 1988). Upon application of BafA₁ or FCCP, such acidification was rapidly reversed, indicating that glutamate as well as H^+ leaks out by disrupting $\Delta\mu\text{H}^+$ (Hnasko et al. 2010; Maycox et al. 1988). Consistent with these observations, glutamate leak was also observed in an assay of radio-labeled glutamate flux, although the rate and extent of the efflux therein seemed to be dependent on BafA₁ in a concentration-dependent manner (our unpublished observations). In addition to glutamate, proton leakage exhibited different properties both *in vitro* when monitored by acridine orange and also *in vivo* measured with a pH-sensitive luminal probe (i.e., pHluorin). As mentioned, BafA₁ rapidly reversed acridine orange quenching, while BafA₁ application did not dramatically alter the acidity

of the SV lumen, measured as fluorescent changes from pHluorin expressing SVs (Sankaranarayanan and Ryan 2001). Therefore, it is unclear if glutamate efflux, as well as H^+ leak, is relevant in physiological conditions.

In contrast to glutamate transport, transport of inhibitory neurotransmitters such as GABA and glycine into SVs is less well characterized. Due to the low affinity of the carrier (>5 mM) and the meager amount of GABA-containing SVs isolated from whole brain tissues, the signal-to-noise ratio of GABA/glycine uptake assays is much lower than that for glutamate (Burger et al. 1991; Takamori et al. 2000b). Nevertheless, GABA/glycine uptake also depends on a proton electrochemical gradient generated by the V-ATPase (Fykse et al. 1989; Hell et al. 1991; Kish et al. 1989). Selective dissipation of $\Delta\Psi$ and ΔpH revealed that GABA and glycine transport into SVs was moderately inhibited by both modifications. As with glutamate transport, the uptake of GABA and glycine was dependent on Cl^- , albeit to a lesser degree (Hell et al. 1990; Kish et al. 1989). Collectively, GABA and glycine transport has been proposed to be dependent equally on $\Delta\Psi$ and ΔpH . How these gradients drive GABA and glycine transport is uncertain, though a recent study that reconstituted vesicular GABA transporter protein has proposed a novel model in which GABA transport is driven solely by $\Delta\Psi$ and is stoichiometrically coupled to Cl^- transport (Juge et al. 2009) (see more details below in Sect. 12.6).

12.4 Molecular Identities of Vesicular Glutamate and GABA Transporters

12.4.1 VGLUTs

The vesicular glutamate transporters were originally cloned as Na^+ -dependent inorganic phosphate transporters and categorized into the group of type I phosphate transporters (Takamori 2006). The gene encoding one of the phosphate transporter family members has been identified to be upregulated in cortical neuron preparations following exposure to sub-toxic levels of a glutamate receptor agonist. The gene was highly homologous to the founding member of the phosphate transporter family, NaPi-1, and highly expressed in the central nervous system. When mRNA of the gene was heterologously expressed in *Xenopus oocytes*, substantial Pi uptake was observed in a Na^+ -dependent manner. This evidence led the gene responsible to be named brain-specific Na^+ -dependent inorganic phosphate transporter 1 (BNP1). However, subsequent studies on BNP1 protein suggested it was localized to SVs rather than the plasma membrane (Bellocchio et al. 1998; Takamori et al. 2000a). Moreover, BNP1 was not ubiquitously expressed in all neurons in the CNS, but restricted to those containing glutamate (Bellocchio et al. 1998). When BNP1 was heterologously expressed in neuroendocrine cell lines, vesicles isolated from these cell lines were capable of transporting glutamate. Intriguingly, this process was akin to that observed in a biochemical transport assay of isolated SVs, suggesting

that BNP1 indeed functions as a vesicular glutamate transporter (Bellocchio et al. 2000; Takamori et al. 2000a). Notably, by expressing BNP1 in non-glutamatergic neurons in culture, the cells were subsequently capable of quantal glutamate release. Such data clearly supports a primary role of BNP1 for glutamate transport into SVs, and consequentially, BNP1 was renamed as vesicular glutamate transporter 1 (VGLUT1).

VGLUT1 expression was not detected in all brain regions where glutamatergic synaptic transmission is thought to occur. In areas such as the hypothalamus and striatum, the absence of VGLUT1 expression promoted the hypothesis that other VGLUT isoforms may be responsible for glutamate uptake. Indeed, two more VGLUT isoforms were identified and named: VGLUT2 and VGLUT3 (Takamori 2006 and references therein). The genes for VGLUT1, VGLUT2, and VGLUT3 are now categorized into the solute carrier protein (SLC17) family and coded as SLC17A7, SLC17A6, and SLC17A8, respectively. The amino acid sequences of VGLUT1–3 share nearly 80 % identities. Specifically, their transmembrane regions are almost identical to one another (>95 % identities), yet their N- and C-terminal cytoplasmic tails are highly variable, indicating that all three share a common mechanism in glutamate transport and diversities among VGLUTs may exist in their trafficking that requires distinct protein-protein interactions with cytosolic components. Indeed, the glutamate transport activity of VGLUT isoforms did not significantly vary in measurements from heterologous systems, whereas the subcellular distributions of individual VGLUTs in neuroendocrine cell lines were somewhat different. When VGLUT1 and VGLUT2 were expressed in PC12 cells, a greater quantity of VGLUT2 was localized at the cell surface compared to VGLUT1 (Fremeau et al. 2001). In addition, throughout the CNS, VGLUT1 and VGLUT2 are almost exclusively expressed at the presynaptic terminals of glutamatergic neurons, whereas VGLUT3 is expressed not only at presynaptic terminals but, in some interneurons, is also present at somatodendritic locations (Fremeau et al. 2002). Some of the key factors that determine cellular localization of VGLUTs will be discussed separately in Sect. 12.7.

In addition to the distinct subcellular localization of VGLUT isoforms within neurons, the three isoforms show complementary expression patterns in the mammalian CNS with very little overlap. In the adult brain, excitatory neurons in the cortex, hippocampus, and cerebellar cortex (granule cells) mainly express VGLUT1, whereas excitatory neurons in the thalamus and brainstem predominantly express VGLUT2 (Fremeau et al. 2001; Fujiyama et al. 2001). Consistent with their expression patterns, glutamatergic transmission in the hippocampus was largely shut down in VGLUT1 knockout mice (Fremeau et al. 2004; Wojcik et al. 2004), whereas in the thalamus, transmission was lost in VGLUT2 knockout mice (Moechars et al. 2006). Some residual glutamatergic transmission was observed in hippocampal preparations (both cultured hippocampal neurons and hippocampal slices) to suggest that VGLUT2 is transiently expressed during early postnatal development. Indeed, the developmental regulation of VGLUT1 and VGLUT2 expression occurs in many brain regions (Miyazaki et al. 2003; Nakamura et al. 2005). Overall, the expression of VGLUT1 is very low at birth

and increases during postnatal development up to 3 weeks, whereas the expression of VGLUT2 is detectable already at birth and stays relatively constant throughout postnatal development. Consistent with the low expression of VGLUT1 in early postnatal development, VGLUT1 knockout mice survive up to 3 weeks after birth without any apparent deficiency, suggesting its limited importance for glutamatergic transmission during development. VGLUT1 knockout mice begin to suffer from feeding and die, in most cases, during postnatal 4th week (Fremeau et al. 2004; Wojcik et al. 2004). In contrast, VGLUT2 knockout mice die immediately after birth presumably due to the lack of glutamatergic transmission in the brainstem involved in the generation of respiratory rhythm (Moechars et al. 2006; Wallen-Mackenzie et al. 2006). In contrast to the expression of VGLUT1 and VGLUT2, VGLUT3 is expressed in a small population of neurons not traditionally considered to be glutamatergic neurons, including cholinergic neurons in the striatum, a small set of GABAergic interneurons in the cortex and the hippocampus, and dopaminergic neurons in the raphe (Fremeau et al. 2002). VGLUT3 knockout mice exhibit non-convulsant seizures and deafness (Ruel et al. 2008; Seal et al. 2008). The latter is likely caused by a loss of glutamate release from inner hair cells in the cochlea where VGLUT3 is highly expressed (Akil et al. 2012). Furthermore, VGLUT3 knockout mice exhibited an unexpected hypercholinergic phenotype, suggesting that, together with the fact that VGLUT3 is coexpressed with vesicular acetylcholine transporter, glutamate transport by VGLUT3 affected the amount of acetylcholine in the same vesicles (Gras et al. 2008).

12.4.2 VGAT

Vesicular GABA transporter was identified as a rat orthologue of *unc-47*, one of the *C. elegans* genes responsible for the GABAergic transmission (McIntire et al. 1997; Sagne et al. 1997). In the worm, the 26 GABA-expressing neurons are required to inhibit contractions of the head muscles during foraging, to inhibit contractions of the body muscles during locomotion, and to stimulate contraction of the enteric muscles that mediate the defecation cycles. The *unc-47* mutant showed impairments of all behaviors and, importantly, responded normally to GABA receptor agonists, indicating the role of *unc-47* gene product at the presynaptic site. Furthermore, the *unc-47* gene product was selectively localized to SVs. When a rat orthologue of *unc-47* was transfected into PC12 cells, the isolated intracellular membrane acquired the ability to transport GABA, in a similar manner to that observed in isolated synaptic vesicles, adding weight to the idea that the *unc-47* gene product functions as a vesicular GABA transporter (VGAT). Similar to synaptic vesicles, GABA uptake by VGAT was competitively inhibited by glycine, albeit with lower efficiency (Burger et al. 1991), supporting the notion that these inhibitory neurotransmitters in the CNS share a common transporter (thereby, VGAT is also referred to as vesicular inhibitory amino acid transporter, VIAAT) (Sagne et al. 1997). In fact, VGAT is not only localized at glutamic acid

dehydrogenase (GAD)-positive GABAergic terminals but also at putative glycinergic terminals (Chaudhry et al. 1998; Wang et al. 2009). Furthermore, loss of VGAT caused a drastic reduction of neurotransmitter release in both GABAergic and glycinergic neurons, further suggesting that GABA and glycine are transported by the same transporter (Wojcik et al. 2006). Recent studies revealed that the plasma membrane glycine transporters (GlyT2) ensured high levels of cytoplasmic glycine at glycinergic terminals (Rousseau et al. 2008), whereas the presence of GAD was associated with high levels of cytoplasmic GABA at GABAergic terminals. In this respect, although they share the same vesicular transporter to accumulate the respective neurotransmitters, the type of neurotransmitter is defined by the relative concentration of transmitter in the cytoplasm. Little is known about how VGAT is regulated and the subsequent effects on inhibitory neurotransmission. Although VGAT is constitutively phosphorylated *in vivo*, dephosphorylation of VGAT did not alter its transport activity (Bedet et al. 2000).

Inactivation of VGAT led to embryonic lethality as a result of an abdominal defect known as omphalocele (Saito et al. 2010; Wojcik et al. 2006), which was also observed in GAD67 knockout mice. Both effects indicate a crucial role for GABAergic transmission during prenatal development. As expected, the loss of VGAT caused a drastic reduction in both GABAergic and glycinergic neurotransmission. However, as a reduced number of IPSCs remained, it is possible that GABA release could have occurred independently of VGAT (Wojcik et al. 2006). Whether another VGAT isoform was responsible or the residual IPSCs were caused by non-vesicular GABA release remains uncertain.

12.5 Channels and Transporters: $\Delta\mu\text{H}^+$ Modulators?

Since vesicle refilling is a secondary active transport process, modulating the driving force would have profound consequences. Little is known on the regulation of V-ATPase function; however, it is thought that the proportion of ΔpH and $\Delta\Psi$ is affected by the permeability of counterions that accompany H^+ flux (Grabe and Oster 2001). As described above, one of the factors that potentially affect $\Delta\mu\text{H}^+$ is a chloride channel that supports vesicle acidification. From earlier evidence it was proposed that the CIC-3 chloride channel was partly responsible for the acidification of SVs (Stobrawa et al. 2001). This was supported by observations from crude SV fractions isolated from CIC-3 knockout mouse brains, which exhibited significantly lower Cl^- -induced acidification. However, this conclusion was complicated by the fact that CIC-3 knockout mice underwent severe neuronal degeneration after 2 weeks, with complete loss of hippocampal structures in adulthood. Moreover, expression of VGLUT1, glutamate-induced acidification, and glutamate uptake were all reduced in the SV fraction. Thus, the alternative hypothesis is that the impairment of Cl^- -induced SV acidification resulted from a reduction in VGLUT1-laden SVs, rather than the loss of CIC-3. Indeed, the amplitude of miniature EPSCs recorded from CA1 hippocampal pyramidal cells did not differ between wild-type

and CIC-3 knockout brains, further casting doubt that CIC-3 was responsible for SV acidification and, by association, Cl^- -dependent glutamate uptake. This hypothesis was supported by subsequent evidence from CIC-3-deficient SVs isolated prior to the onset of neural degeneration, which showed normal Cl^- -dependent acidification, glutamate uptake, and VGLUT1 expression (Schenck et al. 2009). In contrast, vesicles isolated from VGLUT1-overexpressing PC12 cells exhibited an enhanced Cl^- -induced acidification, raising the possibility that VGLUT1 itself has a Cl^- conductance (Bellocchio et al. 2000). Indeed, SVs isolated from VGLUT1 knockout mice showed a marked reduction in Cl^- -induced SV acidification, suggesting that VGLUT1 has a chloride conductance responsible for SV acidification (Schenck et al. 2009). However, this model has been recently challenged (Juge et al. 2010) (see below). In any case, the Cl^- influx into SVs has only been monitored indirectly using acridine dye measurements of Cl^- -induced acidification. A direct demonstration of Cl^- transport via VGLUT1 will be necessary to clarify the model.

As with glutamate transport, a role for CIC-3 in GABA loading into SVs has been proposed (Riazanski et al. 2011). When miniature IPSCs in the hippocampal CA1 region of CIC-3 KO mice were analyzed, there was a clear reduction in both their amplitude and frequency. Furthermore, the SV fraction devoid of CIC-3 showed deficient Cl^- -induced acidification as revealed by an acridine orange assay. Collectively, it was suggested that the additional acidification of GABA vesicles resulting from CIC-3 activity would facilitate proton-dependent GABA loading into SVs. As with glutamate, the role of CIC-3 in GABA uptake and its significance to synaptic transmission remains controversial (Stauber and Jentsch 2013).

The cation/ H^+ exchange has been proposed to decrease ΔpH and thus increase $\Delta\Psi$. Such a process clearly diverges from anion conductance that would facilitate ΔpH . In an *in vitro* acidification assay, addition of Ca^{2+} reduced ΔpH once a stable baseline was established (Goncalves et al. 1999a, b). More recently, a cation/ H^+ exchange mechanism was demonstrated in isolated SVs, and a Na^+/H^+ exchanger (NHE) detected in the SV proteome (Goh et al. 2011; Gronborg et al. 2010). NHE activity pushes out protons at the expense of Na^+ (or K^+) influx. As a result, ΔpH was decreased and $\Delta\Psi$ was facilitated, providing favorable conditions for glutamate uptake. Moreover, replacing cytoplasmic K^+ with a non-permeable cation (NMDG^+) attenuated quantal release of glutamate at the calyx of Held synapse, indicating the importance of cation/ H^+ exchange for normal glutamate loading into SVs (Goh et al. 2011).

In addition to biochemical demonstrations of vesicular channels/transporters, it is possible to take electrophysiological recordings on SV membranes once they have been incorporated into liposomes or planar bilayers. From these preparations three cation conductances and one anion conductance were detected (Sato et al. 1992). Intriguingly, although not demonstrated in mammalian SVs, the ion conductances in the Torpedo electric organ SVs were sensitive to pH (Ahdut-Hacohen et al. 2004). Specifically, these channels were active at neutral pH and inactivated in acidic conditions. To date, the relationship between the ion conductances and indications from biochemical experiments remains enigmatic; furthermore, how these ion permeations translate into neurotransmitter loading is unknown.

12.6 Reconstitution: Simpler Is Better?

The cloning of VGAT and the three VGLUT isoforms has allowed for detailed investigation into their mechanism of action. By expressing the proteins in isolation, this system avoids complexities resulting from other SV proteins. For instance, their effect on $\Delta\mu\text{H}^+$ could be studied in the absence of potentially confounding influences from putative Cl^- channels and cation/ H^+ exchanger proteins. The reconstitution of recombinant VGLUT1 protein with a F_0F_1 -ATP synthase (which can be used as a proton pump) facilitated Cl^- -induced acridine orange quenching in a dose-dependent manner (Schenck et al. 2009). This is consistent with the fact that overexpression and gene knockout of VGLUT1 resulted in facilitated and reduced Cl^- -induced acidification, respectively (Bellocchio et al. 2000; Schenck et al. 2009), supporting that VGLUT1 has a Cl^- conductance. Having demonstrated that glutamate transport and the Cl^- conductance coexist in VGLUT1 proteins, it was subsequently shown that $\Delta\Psi$ -driven (nigericin-resistant) glutamate uptake was markedly facilitated when liposomes were filled with high concentration of Cl^- . Thus, the Cl^- efflux pathway appears to be required for efficient glutamate influx by maintaining charge neutrality during transport cycle. In addition, VGLUT1 liposomes also exhibited a significant nigericin-sensitive uptake that depended on external Cl^- concentrations, indicating that VGLUT can also be driven by ΔpH . Remarkably, Cl^- -filled VGLUT1 liposomes took up glutamate very efficiently even in the absence of external Cl^- and thus strongly opposed the previous hypothesis that Cl^- directly binds to the carrier and allosterically activates it (Harteringer and Jahn 1993). One intrinsic caveat of the experimental strategies of the study was that the composition of $\Delta\mu\text{H}^+$ could be affected when Cl^- was replaced with other anions such as gluconate. More recent reconstitution studies, however, have argued against several important conclusions summarized above. Juge and colleagues used a reconstitution system in which the proton pump was omitted (Juge et al. 2010). Instead, the authors created $\Delta\Psi$ by manipulating internal and external K^+ concentrations in the presence of the K^+ ionophore valinomycin. They demonstrated glutamate uptake occurred transiently and peaked within 2 min. Interestingly, glutamate transport elicited by the Val-induced K^+ -diffusion potential was greatly reduced in the absence of external Cl^- and drastically increased by several mM of Cl^- , with a Hill coefficient of 3.3. Furthermore, when ΔpH was imposed by simply loading liposomes with a solution of pH 5.5 in the assay buffer (pH 7.0), there was no significant glutamate uptake. This led the authors to propose that VGLUT functions as a Cl^- -activated glutamate uniporter whose driving force was solely provided by $\Delta\Psi$ (Fig. 12.2).

Juge and colleagues also investigated GABA transport by reconstituting VGAT into liposomes (Juge et al. 2009). Similar to glutamate transport by VGLUTs, GABA transport by VGAT also showed a biphasic dependency on external Cl^- when VGAT was co-reconstituted with a proton pump. However, they demonstrated that GABA uptake could be driven by $\Delta\Psi$ generated by the combination of K^+ gradient and valinomycin and, unexpectedly, stimulated by the presence of

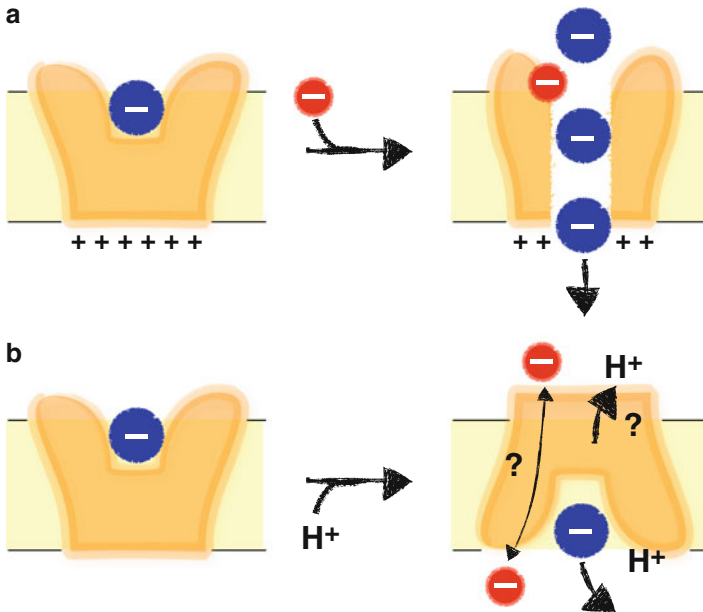


Fig. 12.2 Models for glutamate transport by VGLUT. **(a)** $\Delta\Psi$ -driven transport. In this model, $\Delta\Psi$ solely drives glutamate transport. Negatively charged glutamate binds to VGLUT, but is not transported efficiently even when there is $\Delta\Psi$. Cl^- directly binds to VGLUT and promotes conformational change of VGLUT protein. Glutamate is transported electrophoretically as if VGLUT is a Cl^- -activated glutamate uniporter. **(b)** ΔpH -driven transport. In outward-facing conformation, glutamate binds to the substrate-binding site that can shift VGLUT to inward-facing conformation. Protonation of the luminal side of VGLUT causes a release of bound glutamate released from VGLUT. Protons that are once bound to VGLUT are then released either the same side (glutamate symport) or the other side (glutamate/ H^+ antiport) of VGLUT protein. VGLUT protein has a putative Cl^- permeation pathway which may help to facilitate ΔpH or to compensate charge movement associated with glutamate influx. Blue balls and red balls indicate glutamate and Cl^- , respectively

external Cl^- . Whereas Cl^- was proposed to directly activate VGLUTs, Cl^- was shown to be cotransported with GABA or glycine via VGAT with a GABA- Cl^- stoichiometry of 1:2. This led the authors to a compelling hypothesis that VGAT is a Cl^- /GABA cotransporter. Although the liposomes contained only VGAT protein and therefore represented the simplest assay system to monitor VGAT activity, it is not easy to reconcile all the biochemical data that clearly indicated ΔpH -driven GABA uptake into isolated SVs (Fykse et al. 1989; Hell et al. 1991; Kish et al. 1989) (Fig. 12.3). One possible drawback of the aforementioned reconstitution experiments is their use of high acetate concentrations as a substitute anion for Cl^- . The protonated form of acetate (CH_3COOH) is to some extent membrane permeable and can release H^+ when deprotonated. Careful consideration of the effects of acetate on pH is required to exclude the involvement of ΔpH on GABA transport. Furthermore, although the authors attempted to establish ΔpH by preloading a low acidic buffer into liposomes, number of free protons in a limited space, especially if

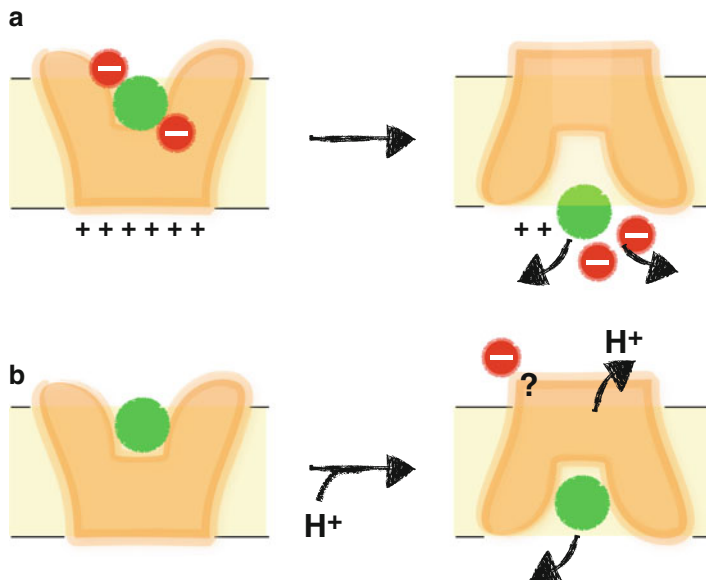


Fig. 12.3 Models for GABA transport by VGAT. **(a)** $\Delta\Psi$ -driven transport. In this model, $\Delta\Psi$ solely drives GABA transport. Unlike the $\Delta\Psi$ -driven glutamate transport, GABA transport is coupled to Cl^- transport with a stoichiometry of $\text{GABA-Cl}^- = 1:2$. As such, GABA transport involves two negative charge movements, which would consume $\Delta\Psi$ and facilitate ΔpH formation. **(b)** ΔpH -driven transport. A line of evidence suggested that ΔpH can drive GABA transport via GABA/H^+ antiport mechanism. Although Cl^- affects the efficacy of GABA transport, the role of Cl^- is largely unknown. *Green balls* and *red balls* indicate GABA and Cl^- , respectively

protons were coupled to substrate transport by an antiport mechanism, the reaction would have stopped immediately as the efflux of a single proton would evoke massive alkalization of the liposome lumen.

In summary, despite recent advances provided by the reconstitution of vesicular transporters, the exact mechanisms and bioenergetics of the transport processes are largely unknown. To improve understanding, experimental conditions require further refinement, including methods to produce proteoliposomes, buffer compositions, and a quantitative assay for the proton electrochemical gradient. Additionally, if pH plays a role in transport process, structural information of the transporters at various pH will open a new avenue for experimental design.

12.7 Regulations of Synaptic Vesicle Refilling and Quantal Size

Since postsynaptic receptors at the CNS synapses are not always saturated by neurotransmitters released from a single SV, alterations in the amount of neurotransmitter in a SV may potentially influence the efficacy of synaptic transmission.

Heterologous expression of VGLUT1 in hippocampal neurons increased both the amplitude and frequency of miniature EPSCs, suggesting that SVs with higher VGLUT1 expression can accumulate more glutamate (Wilson et al. 2005; Wojcik et al. 2004). However, increased expression of the transporter would in theory affect the kinetics of vesicle refilling rather than steady-state level of neurotransmitters (Edwards 2007). One explanation is that increased expression of VGLUT1 resulted in an enlargement of vesicles, leading to greater glutamate accumulation without changing its concentration. In fact, overexpression of *Drosophila* VGLUT (DVGLUT) in the neuromuscular junction of larvae increased the postsynaptic response in parallel with vesicle volume (Daniels et al. 2004). Yet, gradual reductions in DVGLUT expression did not alter mEPSP amplitude, but did produce a decrease in their frequency, indicating that only a single copy of DVGLUT was sufficient to fill SVs with glutamate to the normal level (Daniels et al. 2006). In this context, it should be noted that there were no detectable differences in mEPSCs in VGLUT1 heterozygous mice (Fremeau et al. 2004; Wojcik et al. 2004), supporting the idea that the expression of VGLUTs is not related to steady-state glutamate content. However, as VGLUT heterozygous mice exhibited a number of behavioral phenotypes, including clonic seizures, increased fear, and depression (Leo et al. 2009; Schallier et al. 2009; Tordera et al. 2007), the possibility remains that a reduction in VGLUT expression influences synaptic transmission.

Another parameter that might affect quantal size is concentration of neurotransmitter in the cytoplasm. Evidence from a radiotracer assay of isolated SVs revealed that increasing substrate concentration resulted in greater substrate accumulation such that transport kinetics could be adequately described by a Michaelis-Menten equation (Wilson et al. 2005; Wolosker et al. 1996). Furthermore, when the concentration of cytosolic glutamate was increased at the calyx of Held, glutamate content was also increased, demonstrated by an enhancement in EPSC amplitude (Ishikawa et al. 2002). Also at the calyx of Held, vesicle refilling rates were measured by flash photolysis of caged glutamate following washout of cytoplasmic glutamate (Hori and Takahashi 2012). The time constant of glutamate refilling was approximately 15 s, much faster than previous estimated from biochemical assays. As observed for glutamate uptake in isolated SVs, neurotransmitter-refilling rate at the synapse was also affected by cytoplasmic Cl^- concentrations, albeit to a lesser extent.

12.8 Trafficking of VGLUTs and VGAT to and Within Presynaptic Terminals

The expression of vesicular transporters on SVs can be regulated either at the point of biosynthesis in the soma or by factors that modulate their sorting and/or targeting to SVs. Given their crucial role in neurotransmitter release, the efficacy of their trafficking to required destinations may have profound physiological implications.

Although little is known concerning how VGLUTs and VGAT are conveyed from the soma to locations throughout the endoplasmic reticulum (ER)-Golgi network, VGLUT3 appears to utilize a unique sorting mechanism. Unlike VGLUT1, VGLUT2, and VGAT, which all preferentially localize on SVs at presynaptic sites, VGLUT3 also localizes at dendrites in multiple brain regions including the striatum, where it may promote retrograde glutamate signaling from the postsynaptic cells (Fremeau et al. 2002). Molecular mechanisms underlying this difference in subcellular localization are totally unexplored to date. At presynaptic sites, the ratio of the transporters on SVs and at the plasma membrane can be differentially regulated among VGLUT isoforms. When heterologously expressed in PC12 cells, VGLUT1 is targeted to secretory vesicles more efficiently than VGLUT2 (Fremeau et al. 2001). These vesicular transporters transiently remain within the plasma membrane upon exocytosis. Thus, the mechanism by which the endocytic machinery recognizes and initiates the incorporation of the transporters into newly endocytosed vesicles would determine their distributions at the presynaptic terminals. Interestingly, the kinetics of VGLUT1 recycling during and after stimulation is faster than that of VGLUT2 (Foss et al. 2013). How the recycling kinetics are controlled molecularly has been investigated through mutagenesis experiments. Essentially, both typical and atypical dileucine-like endocytic motifs in VGLUTs and VGAT moieties control transporter trafficking, albeit to different degrees (Foss et al. 2013; Santos et al. 2013). All VGLUT isoforms contain a dileucine motif at their C-terminus and disruption of this motif differentially affects distribution of VGLUT1 and VGLUT2 (Foss et al. 2013; Voglmaier et al. 2006). In case of VGLUT1, disruption of the motif slows down its activity-dependent recycling, although it does not entirely eliminate its endocytosis. In contrast, the disruption of the motif in VGLUT2 resulted in a dramatic redistribution of VGLUT2 proteins on the cell surface, suggesting that the reliance on the motif for effective recycling differs between VGLUT isoforms. Indeed, VGLUT1 contains two additional dileucine-like motifs at its N-terminus, which may also contribute to its endocytosis. Disruption of all three motifs resulted in limited accumulation of VGLUT1 at synaptic sites and impairment of its activity-dependent recycling, indicating that N-terminus dileucine motifs cooperatively function to sort VGLUT1 to presynaptic sites and, along with the C-terminal motif, promote its recycling. In contrast, when the N-terminus of VGLUT1 was mutated, no abnormalities in SV recycling were reported, suggesting these three motifs are functionally redundant. Yet, differences in surface expression of N-term and C-term mutants indicate that the motifs do have distinct and probably complex mechanisms that underlie transporter targeting and recycling. It has just emerged that VGAT also contains an atypical dileucine-like motif in its N-terminus, which is similarly required for its distribution at presynaptic sites, localization on SVs, and activity-dependent recycling (Santos et al. 2013). The question remains as to how these dileucine motifs dictate trafficking and recycling of vesicular transporter proteins, while other SV proteins can be correctly targeted in the absence of these structures.

While the general principle of vesicle targeting remains enigmatic, features of the specific trafficking mechanism for VGLUT1 have been determined. VGLUT1

has unique proline-rich domains (PRDs) at the C-terminus that interact with endophilin A family proteins at their SH3-domain. Disruption of this interaction had a subtle effect on the steady-state distribution of VGLUT1, but slowed its retrieval from the plasma membrane during high-frequency stimulations (Voglmaier et al. 2006). Furthermore, this interaction may confer lower release probability of VGLUT1-laden SVs compared to that of VGLUT2-laden SVs (Weston et al. 2011).

12.9 Concluding Remarks

Over the past several decades, we have learned much about the molecular basis of neurotransmitter refilling into SVs. Identification of vesicular transporters for glutamate and GABA has provided molecular probes suitable for studying the anatomy of neurotransmitter-specific neural circuits in the mammalian CNS. In addition, the ability to inactivate individual transporters *in vivo* has allowed researchers to impair synaptic transmission from specific neural populations, thereby greatly enhancing knowledge of how certain glutamatergic and GABAergic circuits contribute to brain function. However, much remains to be solved regarding precise mechanisms of how the transporters work and whether this process is limiting for synaptic physiology and pathology.

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Chapter 13

Synapsins and Synaptic Vesicle Storage

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Abstract The synapsins constitute a family of evolutionarily conserved neuronal phosphoproteins associated with the cytosolic surface of synaptic vesicles. In mammals, the family comprises three members encoded by distinct genes that give rise to various splicing isoforms. In the central nervous system, the vast majority of neurons expresses at least one synapsin isoform. However, the functions of these proteins are not fully understood to date. Given their ability to bind both the vesicular membrane and actin filaments in a phosphorylation-dependent manner, the classical role attributed to synapsins is the reversible anchorage of synaptic vesicles to the cytoskeletal matrix present in the presynaptic terminal. However, recent evidences suggest the implication of synapsins in other aspects of the synaptic vesicle life cycle, such as docking, fusion and recycling. Genetic manipulation of synapsins in various in vitro and in vivo models has proved that they are dispensable for the proper development of functional neuronal networks but are essential modulators of synaptic neurotransmission and play differential roles at excitatory and inhibitory synapses. Indeed, mice lacking synapsins are viable and do not display gross brain abnormalities but exhibit generalised epileptic seizures as well as autism-related behavioural abnormalities. Consistently, several mutations have been identified in *SYN1* and *SYN2* genes in patients affected by epilepsy and/or autism spectrum disorders.

This chapter overviews the current knowledge about synapsin structure and function in the modulation of synaptic vesicle release, as well as the mechanisms leading to synaptic pathology when their properties are altered.

Keywords Synapsins • Synaptic vesicles • Synaptic transmission • Epilepsy • Autism

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13.1 Introduction

Four decades of research have addressed the study of the synapsins in neuronal physiology, since the first description of Protein I (later renamed synapsin I) as a major target of phosphorylation by cyclic AMP-dependent kinases in synaptic membrane fractions by Paul Greengard in 1972 (Johnson et al. 1972). Though, we are still far from the complete understanding of the multiple functions that these proteins exert in neuronal development and synaptogenesis, synapse organisation, modulation of synaptic vesicle trafficking and plasticity.

Soon after their discovery, it turned out that synapsins I and II, the latter identified in 1978 and initially named Protein III (Forn and Greengard 1978), are presynaptic proteins able to reversibly associate with the cytosolic side of synaptic vesicle membrane (De Camilli et al. 1983a, b; Huttner et al. 1983) and with cytoskeletal components (Bahler and Greengard 1987; Fesce et al. 1992; Valtorta et al. 1992b) in a phosphorylation-dependent manner. Indeed, synapsins are physiological substrates of cyclic AMP-dependent and Ca^{2+} /calmodulin-dependent protein kinases that phosphorylate them upon neuronal activation (Greengard et al. 1993). Given these properties, synapsin I was postulated to be involved in the regulation of neurotransmitter release through the reversible tethering of synaptic vesicles to the actin cytoskeleton (Benfenati et al. 1991; Greengard et al. 1987, 1993; Valtorta et al. 1992a). However, recent evidences also imply synapsins in post-docking steps and vesicle recycling (Bloom et al. 2003; Fassio et al. 2006; Hilfiker et al. 1998, 2005), as well as in neurite extension and synapse formation (Fornasiero et al. 2010). More than 20 years after the identification of synapsin I, a third member of the family was described (Kao et al. 1998). In contrast to synapsins I and II, which are increasingly expressed during neuronal maturation, synapsin III is highly expressed in growth cones at early stages of development (Ferreira et al. 2000) and its specific function is still not completely elucidated. It is now clear that the three members of the family share many features but are not completely redundant. However, the precise roles and pattern of expression of each synapsin isoform remain elusive and need further clarification.

13.2 The Synapsin Family

13.2.1 *Genes and Promoter Regions*

In mammals the synapsin family is composed of three members encoded by distinct genes: *SYN1*, *SYN2* and *SYN3*. In humans, the *SYN* genes are located on chromosomes X, 3 and 22, respectively. Synapsin genes were identified in vertebrate and invertebrate organisms; however in invertebrates only a single synapsin gene is present. The intron/exon structure is conserved from *C. elegans* to humans, as well as in the three mammalian genes, suggesting that duplication events

occurred from a single ancestor, probably when vertebrates diverged from invertebrates. This interpretation is also supported by the observation that two other gene families, *raf* and *TIMP* (tissue inhibitor of metalloproteinases), are in close physical linkage to *Syn* genes. In fact, each synapsin gene lies in very close proximity to a *TIMP* and a *raf* gene family member (Kao et al. 1999).

Synapsins are widely and selectively expressed in neurons of the central and peripheral nervous systems. The proximal promoter of the *SYN1* gene is characterised by the absence of TATA and CAAT consensus motifs and is enriched in CpGs that are subjected to epigenetic regulation (Ekici et al. 2008; Paonessa et al. 2013; Sauerwald et al. 1990). Neuron-specific expression of synapsin I is driven by the presence of a binding site for the transcriptional repressor NRSF/REST (neuron-restrictive silencer factor/RE-1 silencing transcription factor), which negatively regulates *SYN1* expression in non-neuronal cells both in rodent and human promoter regions (Li et al. 1993; Schoch et al. 1996). A positive regulation is instead mediated by the binding of Sp1, a ubiquitous transcriptional activator able to bind to GC-rich regions and to recruit the transcription initiation complex to TATA-less promoters. Interestingly, it has recently been shown that Sp1 and REST binding sites lie in close proximity in the *SYN1* promoter and that the two transcription factors act in a strict functional interplay. During neuronal differentiation and development, Sp1 binding to the *SYN1* promoter is fine-tuned by REST, and the progressive decline in REST levels favours Sp1 binding and synapsin I expression (Paonessa et al. 2013). In addition, a cAMP-responsive element (CRE) is present and confers basal activation by the CRE-binding (CREB) transcription factor but does not modulate *SYN1* expression in a cAMP-sensitive manner (Hoesche et al. 1995). On the contrary, the factor *zif268/Egr-1*, which recognises specific binding sites (EBS), was shown to mediate transcriptional activation upon forskolin treatment (James et al. 2004).

The *SYN1* and *SYN2* promoters display very little sequence homology, still they share many features (absence of TATA and CAAT boxes, GC enrichment, neuronal specificity) (Chin et al. 1994). In the *SYN2* promoter, binding sites for Sp1 and *zif268/Egr-1*, but not for REST, have been identified (Petersohn et al. 1995), raising the question as to how synapsin II confinement in neuronal cells could be achieved. Two binding sites for the activating protein 2 α (AP-2 α) are also present and mediate *SYN2* activation in a cAMP/protein kinase A (PKA)-modulated manner (Skoblenick et al. 2010).

The *SYN3* promoter is completely uncharacterised. Synapsin III differs from the other two members in terms of its expression pattern: two out of the six described splicing isoforms, namely, synapsins IIIe and f, are expressed only in non-neuronal tissues (placenta and spleen), probably thanks to a second promoter that resides upstream exon 9 (Porton et al. 1999). In addition, while synapsins I and II progressively increase in their levels during development, synapsin III is predominantly expressed in the fetal brain, or during the first days in vitro when neurons are cultured, and then declines (Ferreira et al. 2000; Porton et al. 1999). In the adult brain, synapsin III is highly expressed by immature neurons in the neurogenic niches (Pieribone et al. 2002).

13.2.2 Splicing Isoforms and Protein Domain Structure

Alternative splicing events of the three synapsin genes give rise to various isoforms. Two distinct isoforms are produced for synapsin I and II (named a and b), and at least six transcripts are described for synapsin III (a–f). The resulting proteins are highly homologous at their N-termini but greatly differ in the C-terminal portion (see Fig. 13.1) (Porton et al. 1999; Südhof 1990; Südhof et al. 1989). The N-terminal region can be subdivided into three domains, namely, domains A, B and C, which, except for domain B, are highly conserved across different isoforms and species. Conversely, the C-terminal portion is composed of differentially spliced domains (domains D–J).

Domain A is a short N-terminal region that contains a conserved phosphorylation site (site 1) target of PKA and Ca²⁺/calmodulin-dependent kinases (CaMK) I and IV (Huttner et al. 1981). Domain B is the least conserved of the domains of the

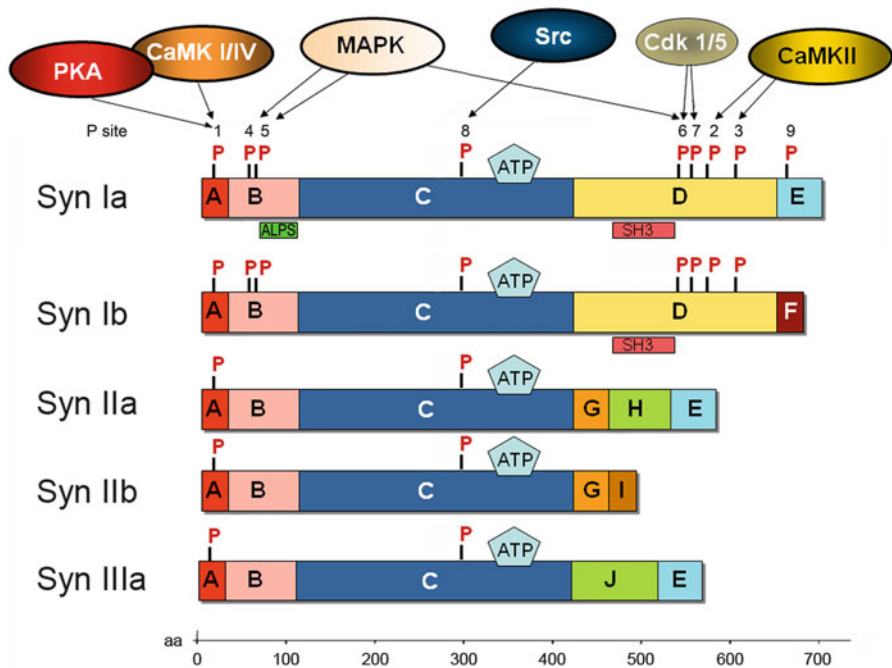


Fig. 13.1 Schematic representation of the domain structure of mammalian synapsin I, II and III proteins. Main splicing isoforms are reported. Known phosphorylation (P) sites (numbered according to the literature), as well as the ALPS motif, the ATP binding site and the proline-rich region mediating the interaction with SH3 domains, are indicated. All isoforms share a conserved N-terminal portion (A, B and C domains), while they differ in alternatively spliced C-terminal domains (D–J). *PKA* protein kinase A, *CaMK* Ca²⁺/calmodulin-dependent protein kinase, *MAPK* mitogen-activated protein kinase, *Cdk* cyclin-dependent kinase, *ALPS* amphipathic lipid packing sensor, *ATP* adenosine triphosphate, *SH3* Src homology 3 domain (Adapted with authors' permission from Fornasiero et al. 2010)

N-terminal region. It is considered to be a linker region, connecting domain A to domain C. However, mutations in this domain appear to have a strong impact on the functions of this protein (Guarnieri and Valtorta, unpublished results). It is characterised by the presence of small amino acids and probably has an elongated conformation in solution (Brautigam et al. 2004). Recently, an amphipathic lipid packing sensor (ALPS) motif has been recognised in this domain. This motif is evolutionarily conserved and is deputed to the specific binding of highly curved membranes (Krabben et al. 2011). In synapsin I, domain B contains two phosphorylation sites (sites 4 and 5) for mitogen-activated protein kinase (MAPK)/extracellular signal-regulated kinase (ERK) (Jovanovic et al. 1996; Matsubara et al. 1996). These two sites are probably present also in synapsin II and III, although their phosphorylation has never been experimentally demonstrated (Jovanovic et al. 2001). Domain C is the core region, highly conserved among the various members of the family. It mediates the principal functions of synapsins, i.e. the interaction with actin filaments and synaptic vesicle phospholipids (Bahler and Greengard 1987; Benfenati et al. 1989b; Benfenati et al. 1989c; Cheetham et al. 2001), as well as synapsin homo- and hetero-dimerisation (Hosaka and Südhof 1999; Monaldi et al. 2010) that probably contributes to synaptic vesicle clustering. A highly conserved phosphorylation site (site 8) is present in this domain and is targeted by the tyrosine kinase Src. The phosphorylation at this site has been experimentally demonstrated for synapsins I and II (Messa et al. 2010; Onofri et al. 2007). Domain C is the only portion for which a crystal structure is available, and it appears folded as a compact structure composed of amphipathic α -helices and β -sheets. Interestingly, it has been shown that the three-dimensional structure of synapsin I strongly resembles that of an ATP-dependent glutathione synthetase and that all synapsins can bind ATP (Esser et al. 1998), even though an enzymatic activity has never been proved. After domain C, the amino acid sequence starts to diverge in the various synapsins. However, all isoforms bear an elongated proline-rich tail, namely, domain D in synapsin I, domain G in synapsin II and domain J in synapsin IIIa. In synapsin I, domain D contains phosphorylation sites 2 and 3 for CaMKII (Czernik et al. 1987). Similar consensus sites are present also in synapsin III, but their accessibility to phosphorylation remains to be determined (Kao et al. 1998). In the same region, site 6 is targeted by MAPK/ERK and cyclin-dependent kinase 5 (Cdk5), and site 7 is specifically targeted by Cdk5 (Jovanovic et al. 1996; Matsubara et al. 1996). The proline-rich domain D mediates the binding to important molecular partners, such as CaMKII (Benfenati et al. 1992) and Rab3A (Giovedi et al. 2004b), as well as the SH3 (Src homology 3 domain)-containing protein Src (Onofri et al. 1997). Downstream of the proline-rich region, domain E, common to all the 'a' isoforms, is highly conserved and it was recently shown to play an important role in modulating synapsin function. In fact, this region is required for the proper targeting of synapsins to the presynaptic terminals (Gitler et al. 2004b), as well as for synapsin I oligomerisation and synaptic vesicle clustering, and is implicated in both the pre- and post-docking steps of synaptic vesicle exocytosis (Fassio et al. 2006; Hilfiker et al. 1998; Monaldi et al. 2010). In addition, a novel phosphorylation site (site 9) targeted by the

ataxia-telangiectasia mutated (ATM) kinase has been recently identified in this domain (Li et al. 2009). The short domains F and I present in synapsin I and II 'b' isoforms, respectively, are poorly conserved and remain as yet uncharacterised.

13.3 Synapsin Expression and Biochemical Properties

13.3.1 *Spatial and Temporal Regulation of Synapsin Expression*

Virtually all neurons in the central and peripheral nervous system express at least one synapsin isoform, with the notable exception of specialised systems characterised by the presence of ribbon synapses (bipolar cells of the retina, hair cells of the inner ear, taste cells) that use distinct mechanisms of vesicle clustering and exo-endocytosis (Mandell et al. 1990). Many presynaptic terminals appear to contain all I/II isoforms, even if at differential abundance. As an example, cerebellar Purkinje cells express high levels of synapsin Ib, lower levels of synapsin Ia and IIb, while lack synapsin IIa (Südhof et al. 1989). Overall, in the cerebral cortex, synapsin I is more abundant than synapsin II, in a ratio of 2:1, and the ratios of Ia to Ib and IIa to IIb are both consistently 1:2 (De Camilli et al. 1990). In the olfactory cortex, however, synapsin II is higher than synapsin I, and the IIa to IIb ratio is 3:2 (Stone et al. 1994). Another interesting example of differential expression of synapsin isoforms is given by the distinct types of synaptic inputs coming from the retina, the visual cortex and GABAergic interneurons on relay neurons of the lateral geniculate nucleus in the thalamus. Indeed, retinogeniculate synapses do not display synapsin I or II expression, while both isoforms are present in feedback corticogeniculate synapses and only synapsin I is expressed in interneurons (Kielland et al. 2006). This characteristic pattern may reflect specific needs of each type of synaptic connection to fine-tune vesicle release in modulatory inputs or to reach powerful transmission in driver synapses. This interpretation has been strengthened by the observation that the thalamocortical input on visual cortex, which represents another driver synapse in the visual pathway, is devoid of synapsins (Owe et al. 2013). Recently, array tomography experiments confirmed that synapsin I is present in all glutamatergic and GABAergic presynaptic terminals in the mouse cortex (Micheva et al. 2010).

Synapsin III is expressed at very low levels throughout the brain, with high regional variation in the adult mouse. Its presence in presynaptic terminals suggests, at least in part, a similar function to synapsins I and II. However, this third member of the family bears the peculiarity of being expressed at high levels in the dentate gyrus and the olfactory bulb, known to be neurogenic regions of the adult brain. In addition, in these regions, synapsin III localises to the cell soma rather than to nerve terminals, indicating a completely different – but still unclear – function (Pieribone et al. 2002).

In the rat brain, synapsin I expression slightly precedes peaks of synaptogenesis, according to developmental specificity of different regions (Zurmohle et al. 1994). Thus, in the neocortex and cerebellum, synapsin I mRNA starts to increase at postnatal day 7 (P7) and peaks at P17, when synaptogenesis rate is high in these regions. Synapsin I mRNA expression is already detectable in the first postnatal week in the thalamus, piriform cortex and hippocampus (particularly in the CA3 subregion and the dentate gyrus), which mature earlier. High levels of synapsin I mRNA are maintained constant – or slightly decreased – during adulthood, especially in the CA3 region of the hippocampus, suggesting that this protein is important for synapse formation during development as well as for synapse maintenance and remodelling/plasticity during adult life (Zurmohle et al. 1994). At the protein level, synapsins I and II were shown to steadily increase during postnatal development of the mouse brain, with synapsin I being already detectable at P5. Synapsins IIa and IIb are barely detectable during the first week after birth and then increase with different kinetics (slower for IIa and much faster for IIb) (Bogen et al. 2009). These observations also indicate that the progressive increase of synapsin protein is probably due to post-transcriptional/translational mechanisms of regulation, as the rate of mRNA synthesis is about constant. Another possibility, which has been verified *in vitro*, is that synapsin proteins are progressively stabilised by their incorporation into mature synaptic vesicles produced during neuronal development, despite a constant efficiency in transcription and translation, as their half-life gradually increases (Daly and Ziff 1997).

While the levels of synapsins I and II correlate with the extent of synapse formation *in vivo* and *in vitro*, synapsin III is highly expressed in the first week of development and subsequently declines (Ferreira et al. 2000; Pieribone et al. 2002). *In vitro*, this period corresponds to active neurite elongation, and, consistently with a role in this process rather than in synapse formation, synapsin III is enriched in growth cones and at extrasynaptic sites. Indeed, depletion of synapsin III from hippocampal neurons in culture leads to a defect in axonal elongation, but not in the time course of synapse formation (Ferreira et al. 2000).

Low levels of synapsin I expression have been detected in non-neuronal cell types, such as epithelial cells, pancreatic β -cells, cell lines of neuronal and endocrine origin and, interestingly, astrocytes (see, e.g. Haycock et al. 1988; Maienschein et al. 1999; Romano et al. 1987). In astrocytes, synapsin I appears to be secreted via exosomes and to contribute to neurite outgrowth of neuronal cells (Wang et al. 2011).

13.3.2 Binding to Synaptic Vesicles

Synapsins, and in particular synapsin I, have been extensively characterised in terms of their synaptic vesicle-binding properties. The first evidences for a direct interaction of synapsin I with the cytosolic surface of synaptic vesicles came from electron microscopy immunoferritin/oxidase staining and from biochemical

purification of the organelles (De Camilli et al. 1983b; Huttner et al. 1983; Valtorta et al. 1988). These studies showed that the interaction is specific, as other membranous compartments in the terminal (such as endoplasmic reticulum cisternae, mitochondria or large dense-core vesicles) were unlabelled, and relatively stable, as it resisted to isotonic, but not to hypotonic, lysis of the nerve ending. Dephosphorylated synapsin I was proved to bind synaptic vesicles as well as artificial phospholipid membrane with high affinity ($K_d \approx 10$ nM) (Benfenati et al. 1989a, 1989b). Phosphorylation of the protein on sites 2 and 3, targets of CaMKII, causes a fivefold decrease in the binding affinity to synaptic vesicles (Schiebler et al. 1986). In intact terminals, also site 1 phosphorylation by PKA and CaMKI induces the dissociation of synapsins from the vesicle membrane (Hosaka and Südhof 1999). Recently, it was estimated that eight molecules of synapsin I are present on the surface of each vesicle (Takamori et al. 2006), confirming that synapsins represent approximately 6 % of the total vesicle proteins (Huttner et al. 1983).

The lipid-binding activity of synapsin I is predominantly mediated by the N-terminal portion of the protein, which is able to partially penetrate into the lipid bilayer after an initial electrostatic interaction with the acidic phospholipids (especially phosphatidylserine and phosphatidylinositol) of the vesicular membrane (Benfenati et al. 1989b). The specific regions involved in membrane insertion were mapped on synapsin I. Three regions, two of which contain an amphipathic α -helix, were found to be able to penetrate the vesicular membrane. They all reside in domain C and are exposed on the surface of one side of the protein that does not overlap with the dimerisation domain (Cheetham et al. 2001). These regions are highly conserved across isoforms and species and probably mediate association with synaptic vesicles also in synapsins II and III.

The C-terminal tail of synapsin I is able to bind the synaptic vesicle surface through the interaction with protein components of the vesicles rather than with phospholipids (Benfenati et al. 1989a). One of these protein binding partners is the regulatory domain of vesicle-associated CaMKII (Benfenati et al. 1992). Synapsin I is also the major binding protein of the tyrosine kinase c-Src on the synaptic vesicle membrane. The interaction between the proline-rich domain D of synapsin I and the SH3 domain of Src triggers a basal level of activation of the kinase, which in turn phosphorylates synapsin I itself on site 8 (Onofri et al. 1997). This phosphorylation confers to the surrounding sequence of synapsin I SH2 domain-binding properties and recruits the SH2 domain of Src. Thus, Src binding is reinforced by combined SH3/SH2 interactions, leading to massive activation of the kinase (Onofri et al. 2007). Through its SH3-binding regions, synapsin I is able to interact with many additional partners, such as the MAPK adaptor Grb2, the p85 subunit of PI3K, phospholipase C- γ , amphiphysins I and II, Crk, p47, α -spectrin (Onofri et al. 2000) and syndapin (Qualmann et al. 1999).

Synapsin I interacts in an SH3-independent manner with Rab3A (Giovedi et al. 2004b). Rab proteins are monomeric G proteins ubiquitously involved in the modulation of membrane trafficking. The Rab3A-C isoforms are specific for synaptic vesicles, and their GTP/GDP cycle is strictly connected to the dynamics of

synaptic vesicle exo-endocytosis (Geppert and Südhof 1998). Similarly to what was described for Src, the interaction of synapsin I and Rab3A influences the functional properties of both proteins: synapsin I stimulates Rab3A GTP binding and GTPase activity, while Rab3A inhibits synapsin I ability to bind and bundle actin and to cluster synaptic vesicles (Giovedi et al. 2004a).

Synapsin II is more tightly bound to synaptic vesicles than synapsin I, possibly because it lacks part of the C-terminal tail that contains highly charged residues, thus making hydrophobic interactions prevalent. An additional binding site for synaptic vesicles has been recognised in domain B of synapsin II, likely involving interaction with protein components (Thiel et al. 1990).

Many approaches have demonstrated that synapsins also have a stabilising activity on the vesicle membrane. Indeed, it was shown that high concentrations of synapsin I – similar to those reached at presynaptic terminals – are able to counteract the effects of destabilising stimuli on artificial liposomes (Benfenati et al. 1993; Cheetham et al. 2003) and to confer break resistance to artificial lipid bilayers (Pera et al. 2004). Given that synaptic vesicles have a very small diameter (around 40–60 nm), they are supposed to be unstable due to high curvature stress. The binding of synapsins may be important to balance the different surface pressures on the external and internal leaflet, to stabilise the small vesicles and to impede unwanted fusion events.

Interestingly, the curvature of the vesicular membrane per se may be a key determinant of the specific binding of synapsins to synaptic vesicles. Synapsin I contains an amphipathic lipid packing sensor (ALPS) motif that specifically senses highly curved membranes and facilitates synapsin binding to (and clustering of) synaptic vesicles (Krabben et al. 2011). This motif is perfectly conserved in all synapsin isoforms and likely exerts similar functions in synapsins II and III.

Thus, the association of synapsins with synaptic vesicles is mediated by multiple domains of the molecule, which interact with either phospholipids or protein components. It is conceivable that binding specificity is achieved by membrane curvature sensing, as well as by interaction with resident synaptic vesicle proteins.

13.3.3 Binding to Actin Cytoskeleton

Synapsin I was shown to interact with several cytoskeletal proteins, but the binding to actin is of particular interest given that actin is the major component of the cytoskeleton in the presynaptic terminal. The ability of synapsins to bind both the membrane of synaptic vesicles and the actin cytoskeleton has led to the hypothesis that they are involved in the cross-linking and anchoring of synaptic vesicles to the cytomatrix of the nerve terminal.

Purified dephosphorylated synapsin I is able to bind ($K_d = 1\text{--}2\ \mu\text{M}$) and bundle actin filaments *in vitro*. The bundling activity is reduced when synapsin I is phosphorylated by PKA, almost completely abolished upon CaMKII phosphorylation (Bahler and Greengard 1987), significantly reduced by MAPK (Jovanovic

et al. 1996) and not affected by Cdk5 phosphorylation (Matsubara et al. 1996). The ability to bundle actin implies the presence of multiple binding sites in each synapsin molecule and/or the ability of synapsin molecules bearing a single binding site to dimerise. At least two regions responsible for actin binding reside in the N-terminal (domain B) and middle portion of the protein (end of domain C), but the presence of the C-terminal tail is necessary for maintaining the bundling activity (Bahler et al. 1989). In addition, domain C and E, but not A, peptides interfere with the ability of synapsin I to bind and bundle actin filaments (Hilfiker et al. 2005).

Interestingly, dephospho-synapsin I also promotes monomeric actin nucleation and polymerisation, and phosphorylation by CaMKII strongly inhibits this function (Fesce et al. 1992; Valtorta et al. 1992b).

Synapsin II has a much stronger actin binding and bundling activity, which is completely abolished by PKA phosphorylation (Nielander et al. 1997).

13.3.4 Homo- and Hetero-dimerisation of Synapsins

Analysis of the crystal structure of synapsin I domain C showed that the protein forms tightly associated dimers and tetramers, which are stabilised by ATP binding and physiological Ca^{2+} concentrations (Brautigam et al. 2004; Esser et al. 1998). The contact area between the subunits of the oligomers is very large, indicating a stable interaction. Given that the C-domain is the most conserved region in all isoforms, synapsin hetero-dimerisation was also investigated. Yeast two-hybrid screenings and immunoprecipitation assays proved that the major synapsin binding partner is another synapsin molecule. All three synapsins are able to interact with themselves and with the other isoforms. The interaction is strong and stable, with the exception of a less intense binding between synapsins I and III, and the full-length domain C is required for the association to occur (Hosaka and Südhof 1999). Homo- and hetero-dimerisation is an important feature of synapsins, as it implies that synaptic vesicles are coated by multiple heterogeneous dimers that possibly exert very similar functions but possess different regulatory properties. Since synapsin isoforms are differentially expressed in neurons, the exact composition of the dimers in each terminal may have a functional relevance in the specific regulation of vesicle life cycle.

In addition to domain C, the highly conserved domain E has a prominent role in mediating synapsin dimerisation. Indeed, domain E peptides selectively bind to synapsins I and II and inhibit the formation of synapsin dimers (Monaldi et al. 2010). The involvement of domain E in the dimerisation of synapsins is also relevant for the specific targeting of synapsins to nerve terminals. In fact, it was shown that domain E and dimerisation are two important determinants of synaptic targeting, in particular for the correct transport of isoforms with weak targeting potential such as synapsin IIb (Gitler et al. 2004b).

13.4 Modes of Synapsin Action in the Presynaptic Terminal

13.4.1 Role in Synaptic Vesicle Pool Organisation

In the presynaptic terminal, synaptic vesicles are organised in at least three distinct and functionally relevant pools: the readily releasable pool, the recycling pool and the resting pool (Rizzoli and Betz 2005). The readily releasable pool comprises a small number of vesicles (1–10 % of the total) that are docked at the membrane, in an intermediate state of fusion and ready to be rapidly released in response to a Ca^{2+} influx. The recycling pool comprises 5–20 % of all vesicles and can be recruited after a moderate stimulation in order to substitute docked vesicles that had undergone release. The resting pool accounts for the majority of vesicles in the terminal (typically 80–90 %). This pool is quite inactive and is recruited after intense stimulation; thus it is considered the true depot for synaptic vesicles.

Given the properties described so far, i.e. the ability to bind synaptic vesicles and actin in a phosphorylation-dependent manner, synapsins were hypothesised to be primarily involved in the organisation of synaptic vesicle pools in the presynaptic terminal (Greengard et al. 1993). The first evidences supporting this idea came from microinjection studies. Delivery of dephosphorylated synapsin I into the squid giant synapse inhibited neurotransmitter release, due to a decrease in the quanta released by a constant stimulus, and this effect was abolished upon CaMKII phosphorylation. Conversely, the injection of CaMKII enhanced neurotransmitter release (Llinas et al. 1985, 1991). These observations have suggested a model in which the inhibitory effect of dephosphorylated synapsin I on neurotransmitter release is achieved through the cross-linking of synaptic vesicle to each other and to the actin cytomatrix (Benfenati et al. 1991). Direct visual proof of the anchorage of synaptic vesicles to actin filaments mediated by synapsin I came from video-enhanced microscopy of fluorescently labelled components incubated *in vitro*. The interaction of synapsin I to actin and synaptic vesicles is rapid, as after 3–20 s of incubation, synapsin I is able to bundle actin filaments or drive the polymerisation of the monomers and to tether synaptic vesicles to the formed cytoskeletal network. Again, these effects are completely abolished by CaMKII phosphorylation (Ceccaldi et al. 1995).

One mechanism by which synapsins could regulate neurotransmitter release is the reversible association/dissociation to synaptic vesicles and F-actin, according to their phosphorylation state. This was biochemically demonstrated, as depolarisation of rat synaptosomes resulted in a rapid translocation of synapsin I from the particulate to the soluble cytosolic fraction. The stoichiometry of phosphorylation of soluble synapsin I was higher with respect to that of synapsin I in the particulate fraction, and the time course of translocation paralleled that of phosphorylation, suggesting that phosphorylation promotes the dissociation of synapsin from synaptic vesicles and actin (Sihra et al. 1989). The translocation of synapsin I upon neuronal activation was also demonstrated in living hippocampal neurons in

culture through immunocytochemistry and video-imaging analysis of GFP-labelled synapsin Ia (Chi et al. 2001). It was shown that a substantial portion of synapsin molecules present in the presynaptic bouton detaches from synaptic vesicles and diffuses in the axon during electrical stimulation. This dissociation is faster than vesicle turnover itself (monitored through the uptake of the FM4-64 dye), indicating a physical separation of synapsin I from synaptic vesicles that precedes their exocytosis. Both synapsin diffusion and vesicle turnover were slowed down by transfection with non-phosphorylatable mutants on sites 1, 2 and 3 (Chi et al. 2001). These results are consistent with ultrastructural analyses performed on the frog neuromuscular junction, which indicated a partial dissociation of synapsin I from synaptic vesicles upon electrical stimulation. Indeed, upon neuronal activation, synaptophysin (a transmembrane vesicular protein) was still associated with vesicle membranes, whereas synapsin I was partially diffused in regions of the terminal devoid of synaptic vesicles (Torri-Tarelli et al. 1990, 1992).

Together these studies pointed to a model in which synapsin I (and probably synapsin II in a similar manner) is involved in the regulation of the number of synaptic vesicles available for exocytosis after a depolarisation event, through the dynamic organisation of the pools of vesicles (Fig. 13.2). In its dephosphorylated form, synapsin I may bind the majority of synaptic vesicles and link them to each other, thanks to synapsin dimerisation, and to actin filaments, both preformed or newly polymerised on vesicle membranes. In this manner, synaptic vesicles are captured in a cytoskeletal network and are unavailable for exocytosis. Upon stimulation, Ca^{2+} influx can activate protein kinases, such as CaMKII, that in turn phosphorylate synapsin I. In its phosphorylated form synapsin I loses its affinity for synaptic vesicles and the actin cytoskeleton, therefore detaching and releasing vesicles that can undergo fusion (Greengard et al. 1993). It is also important to note that not all synapsin molecules detach from synaptic vesicles upon Ca^{2+} entry; otherwise vesicle release would probably be massive rather than fine-tuned. The detachment of synapsin molecules from the resting pool appears to be a stochastic process. CaMKII phosphorylation probably proceeds from the periphery to the centre of the cluster, given that CaMKII immune-reactivity is clearly enriched around – not inside – the vesicle cluster. Thus, the number of vesicles that the kinase is able to reach would probably be dependent on the duration of the depolarising stimulus (Tao-Cheng et al. 2006).

The modulation of synapsin function by phosphorylation is rather complex. As much as nine phosphorylation sites have been extensively characterised for synapsin I up to now, but others have already been identified and wait for functional depiction (John et al. 2007; Li et al. 2009; Nuwal et al. 2011).

Phosphorylation at site 1 (Ser-9 in the rat sequence) by PKA/CaMKI induces only subtle changes in the overall conformation of the synapsin molecule (Benfenati et al. 1990) but significantly reduces the binding of synapsins to synaptic vesicles and actin in both mature (Chi et al. 2001; Menegon et al. 2006) and developing neurons (Bonanomi et al. 2005), leading to increased recycling activity.

Phosphorylation at sites 2 (Ser-566) and 3 (Ser-603) by CaMKII leads to major conformational changes (Benfenati et al. 1990) and to a drastic decrease in the

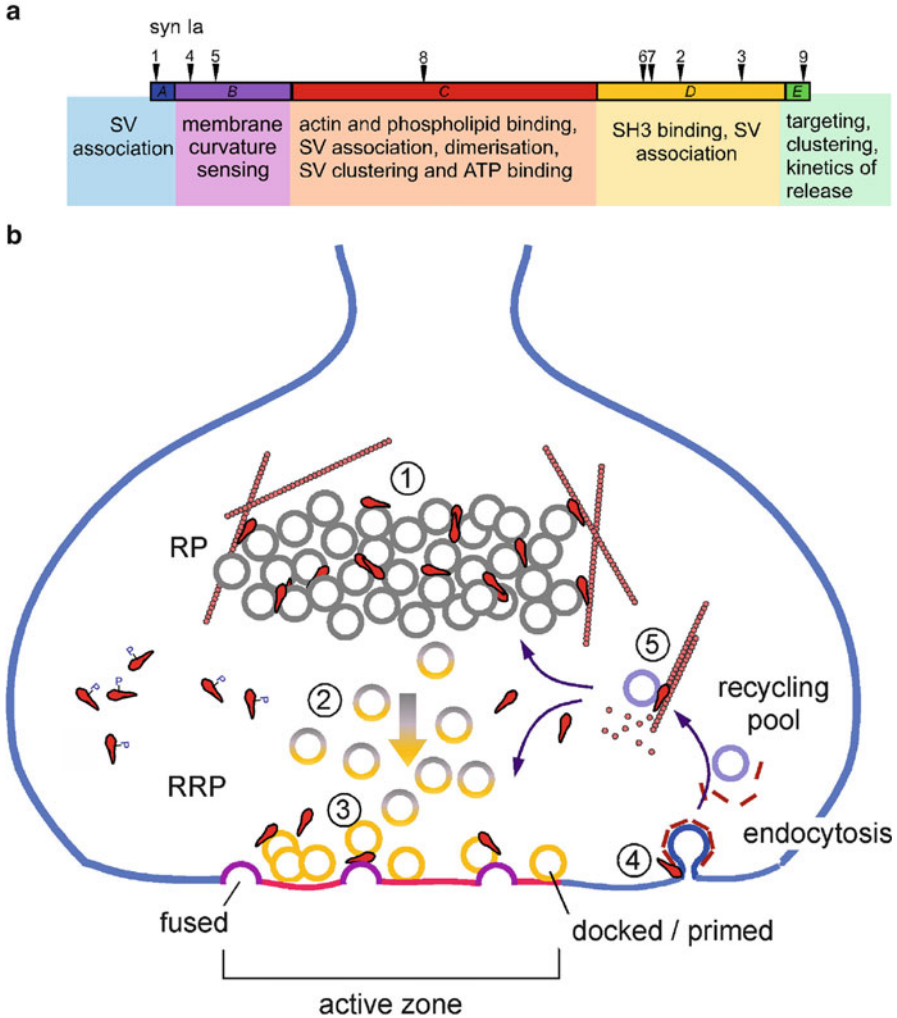


Fig. 13.2 Roles of synapsins in the presynaptic compartment. **(a)** Schematic representation of synapsin Ia, summarising the biological functions attributed to each domain. **(b)** Mechanisms of regulation of the synaptic vesicle cycle. (1) Synapsins (in red) mediate the clustering of synaptic vesicles of the resting pool (RP, in grey), distant from the active zone, by linking adjacent synaptic vesicles to each other and to the actin cytoskeleton. (2) Upon stimulation, synapsins get phosphorylated, lose affinity for synaptic vesicles and actin and relieve a subset of vesicles from physical constraint. These vesicles are now free to reach the active zone and undergo fusion. (3) Part of the synapsin molecules does not dissociate from synaptic vesicles and is found in close proximity to the active zone membrane, within the readily releasable pool (RRP, in yellow). Here, synapsins might contribute to docking, post-docking and fusion events. (4) After synaptic vesicle fusion, synapsins re-localise in endocytic regions, where they interact with proteins involved in endocytosis and probably participate in the process. (5) After the reuptake, synapsins may stimulate actin polymerisation and contribute to redirecting vesicles back to the RP or RRP. SV synaptic vesicle (Reproduced with authors' permission from Cesca et al. 2010)

ability of synapsin I to interact with actin and synaptic vesicles (Bahler and Greengard 1987; Chi et al. 2001; Schiebler et al. 1986). Interestingly, although CaMKII and synapsin I are both expressed starting from early developmental stages, it has been shown that they are functionally coupled only in mature presynaptic terminals (Menegon et al. 2002). It has been hypothesised that, before synapse specification, synapsin I is responsible for the clustering of synaptic vesicles in the growth cone and that its function is primarily modulated by PKA. As synaptogenesis begins, in addition to PKA, synapsin becomes strongly dependent on CaMKII regulation (Bonanomi et al. 2005). In mature neurons, CaMKII phosphorylation was shown to be particularly relevant for controlling synaptic vesicle mobilisation under low-frequency stimulation (Chi et al. 2003).

Similar to site 1 phosphorylation, phosphorylation at sites 4 (Ser-62), 5 (Ser-67) and 6 (Ser-549) by MAPK/ERK (or by Cdk1 at site 6) results in a significant decrease in the ability of synapsin I to bind, bundle and polymerise actin but has no marked effects on its interaction with purified synaptic vesicles *in vitro* (Jovanovic et al. 1996). Opposite to what was observed with sites 1, 2 and 3, basal levels of phosphorylation on sites 4, 5 and 6 are quite high; thus they possibly mediate a tonic control on synapsin function (Jovanovic et al. 2000). Synapsin phosphorylation by MAPK is promoted by exposure to brain-derived neurotrophic factor (BDNF) and seems to be a key step in the BDNF-driven modulation of neurotransmitter release (Jovanovic et al. 1996, 2000). On the contrary, depolarisation induced by KCl results in a fast Ca^{2+} -dependent decrease in the phosphorylation level of these sites, mediated by calcineurin (Jovanovic et al. 2001). The kinetics of site 4/5/6 phosphorylation is strictly dependent on the frequency of stimulation: at low frequencies (5 Hz), MAPK activation prevails and synapsin I is phosphorylated on these sites; at high frequencies (10–20 Hz), calcineurin is strongly activated and the net effect is synapsin I dephosphorylation. The effects of MAPK/calcineurin on sites 4, 5 and 6 are relevant in modulating synapsin activity over a wide frequency range: synapsin is phosphorylated at low stimulation frequency and dephosphorylated at high frequency, thus mobilising synaptic vesicles for release (Chi et al. 2003). MAPK phosphorylation actively drives synaptic vesicle recruitment, probably through synapsin I detachment from the actin cytoskeleton, both in growth cones and mature synapses (Schenk et al. 2005).

Phosphorylation on sites 6 and 7 by Cdk5 has been less extensively studied (Matsubara et al. 1996). Interestingly, it has been recently shown that Cdk5 has a negative effect on synaptic vesicle mobilisation from the resting pool (Kim and Ryan 2010). Recent data identify synapsin I as the central switch in mediating the synaptic effects of Cdk5. Synapsin I is constitutively phosphorylated on the Cdk5 sites at rest. Phosphorylation of synapsin I by Cdk5 increases its binding to actin, leaving the interaction with synaptic vesicles unaltered (Verstegen, Fassio and Benfenati, personal communication).

Finally, phosphorylation of synapsin I at site 8 (Tyr-301, residing in domain C) by Src results in an increase in its ability to bind actin and synaptic vesicles and to dimerise. The overexpression of a site 8 dephosphomimetic mutant in hippocampal neurons in culture leads to increased vesicle exo-endocytosis upon

electrical stimulation, suggesting that phosphorylation on this site favours the sequestration of synaptic vesicles and negatively influences their recruitment from the resting pool (Messa et al. 2010). Depolarisation induces Src phosphorylation in a Ca^{2+} -dependent manner, with a peak at 2 min upon stimulation and persistence up to 30 min, raising the possibility that tyrosine phosphorylation counteracts the effects of serine phosphorylation late after stimulation in order to reassemble synaptic vesicle pools.

The various phosphorylation sites are subjected to dephosphorylation by distinct protein phosphatases. As already mentioned, sites 4, 5 and 6 are under a dominant control of calcineurin, while sites 1, 2 and 3 are selectively dephosphorylated by protein phosphatase 2A (Jovanovic et al. 2001).

Given the complexity of the network of phosphorylating/dephosphorylating enzymes contributing to synapsin regulation, the final effect of a stimulus might be hard to predict, depending on many concomitant factors such as the intensity and duration of stimulation, the selective signal-transduction pathway activated, the presence of synaptic efficacy modulators such as BDNF and the crosstalk among the various pathways. Recent evidence showed that the blockage of exocytosis as well as of endocytosis, while not hampering phosphorylation of the protein, impairs the diffusion of synapsin I in the axonal shaft that occurs upon stimulation. These observations suggest that, in addition to phosphorylation, other unknown signals coming from the plasma membrane affect synapsin I localisation within the presynaptic terminal (Orenbuch et al. 2012b).

Further complicating the picture, synapsins are subjected to other regulatory post-translational modifications, such as the addition of O-linked N-acetylglucosamine (O-GlcNAc) on serine and threonine residues and fucosylation. O-GlcNAc sites flank phosphorylation sites in domains B and D of synapsin I and modulate the phosphorylation state and functional properties of the protein (Cole and Hart 1999; Luthi et al. 1991; Skorobogatko et al. 2014; Tallent et al. 2009). Fucosylation regulates synapsin I turnover, preventing the protein from being degraded by the Ca^{2+} -activated protease calpain (Murrey et al. 2006).

In mature synapses, many evidences suggest that synapsins are primarily involved in keeping a large proportion of synaptic vesicles unavailable for exocytosis, through reversible anchorage to each other and to the actin cytoskeleton; thereby they are thought to maintain the resting pool of synaptic vesicles (Benfenati et al. 1991). A small subset of vesicles, referred to as the readily releasable pool, is instead docked at the plasma membrane and is relatively depleted of synapsin immunoreactivity (Pieribone et al. 1995; Siksou et al. 2007). Injection of anti-synapsin I antibodies in the lamprey reticulospinal axons resulted in depletion of the distal cluster of synaptic vesicles, while the number of vesicles adjacent to the plasma membrane was unaffected (Pieribone et al. 1995). In addition, disruption of synapsin function by injection of a domain E-derived peptide dramatically decreased the number of vesicles distant from the plasma membrane, leaving docked vesicles unaltered (Hilfiker et al. 1998).

In synapsin knockout (KO) mice, the number of distal synaptic vesicles (>100 nm apart from the active zone) was greatly reduced with respect to the

wild type (WT) (Gitler et al. 2004a; Rosahl et al. 1995; Siksou et al. 2007). Analysis of the three-dimensional structure of the presynaptic terminal using advanced electron tomography showed that synaptic vesicles are linked to each other by short 30 nm-long filaments, consistent with synapsin dimension and structure. In mice deleted for all synapsin genes, the connecting filaments among synaptic vesicles were still present (Siksou et al. 2007), indicating that additional players participate in vesicle clustering (Shupliakov et al. 2011). However, in single as well as double or triple KO hippocampal neurons in culture, the reduction in the number of synaptic vesicles at presynaptic terminals was paralleled by vesicle relocalisation in adjacent axonal shafts (Fornasiero et al. 2012; Orenbuch et al. 2012a). Notably, the dispersion of synaptic vesicles could be rescued by chronic inhibition of synaptic activity, indicating that molecules other than synapsins are able to cluster synaptic vesicles at the nerve terminal but that synapsins are essential for retaining vesicles at release sites during neuronal activity (Fornasiero et al. 2012). Overexpression of synapsin IIa appears sufficient to rescue the synaptic vesicle reduction and dispersion observed in triple KO neurons (Gitler et al. 2008; Orenbuch et al. 2012a).

13.4.2 *Post-docking Roles*

Synapsins have been proposed to have a role also in the late stages of synaptic vesicle life cycle in the presynaptic terminal, after vesicles have docked or fused. As mentioned above, in stimulated nerve terminals, synapsins are to a great extent dissociated from the synaptic vesicle membrane. However, dissociation is not complete (Chi et al. 2001; Torri-Tarelli et al. 1990, 1992). In the lamprey giant synapses, stimulation increases the fraction of synapsin I detected in the pool of vesicles proximal to the active zone (Bloom et al. 2003).

The injection of peptides from synapsin domain E and C inhibited and slowed the kinetics of neurotransmitter release. This effect was accompanied by a reduction in the number of vesicles in the resting pool but not of the docked vesicles, suggesting that synapsins may have a second function much more related to the priming/fusion process itself (Hilfiker et al. 1998, 2005). These observations are consistent with the finding that synapsin I KO mice display glutamate release defects without changes in the number of docked vesicles (Li et al. 1995). Interestingly, in Purkinje cells, the overexpression of domain E peptides still resulted in a decrease of vesicles in the resting pool but not in the readily releasable pool, and, opposite to glutamate, it accelerated GABA release kinetics, suggesting that synapsins may exert differential modulation of vesicle fusion at glutamatergic and GABAergic synapses (Fassio et al. 2006). As previously mentioned, synapsin I, and to a lower extent synapsin II, interacts with the vesicle-associated small G protein Rab3A (Giovedi et al. 2004b). GTP-bound Rab3A is able to associate with the synaptic vesicle membrane, and it negatively influences vesicle priming and fusion. Upon GTP hydrolysis, GDP-Rab3A detaches from synaptic vesicles

and relieves the inhibition. The interaction with synapsin I stimulates the GTPase activity of Rab3A. Thus, synapsin I may stimulate priming/fusion by accelerating GTP hydrolysis and Rab3A detachment from synaptic vesicles (Giovedi et al. 2004a).

Actin filaments predominantly decorate the periphery of the synaptic vesicle cluster, while are rarely detected inside, thus providing a structural scaffold for vesicle accumulation. Upon synaptic activation, actin filaments surrounding the cluster further polymerise, probably contributing to vesicle endocytosis and recycling – even though the actual role of actin in modulating synaptic vesicle recycling is largely debated (Sankaranarayanan et al. 2003; Shupliakov et al. 2002). Interestingly, in stimulated synapses, synapsin was observed within the filamentous actin cytomatrix lateral to the active zone, where endocytosis takes place, in association with synaptic vesicles recycling back to the cluster (Bloom et al. 2003). This observation raised the possibility that synapsins participate in endocytosis of synaptic vesicles.

Synapsin was never detected on clathrin-coated intermediates, indicating that it probably dissociates before endocytosis and re-associates once synaptic vesicles have been released in the cytoplasm (Bloom et al. 2003; Torri Tarelli et al. 1992). Given these observations, the model proposed is the following: synapsin at rest is localised mainly in the resting pool and preserves its clustering; upon stimulation synapsin dissociates and accumulates in the endocytic zone, where it promotes actin polymerisation and contributes to the recycling of synaptic vesicles back to the recycling or resting pool. It has been hypothesised that this function of synapsin I could be modulated by MAPK phosphorylation: MAPK sites are phosphorylated under basal conditions and dephosphorylated by calcineurin upon stimulation (Jovanovic et al. 2001). Calcineurin is implicated in the coordinated depolarisation-induced dephosphorylation of other proteins as well, such as amphiphysin I/II, dynamin I and synaptojanin. These proteins are now classified as ‘dephosphins’; they are all involved in synaptic vesicle endocytosis, and their calcineurin-mediated dephosphorylation is necessary for triggering the process (Cousin and Robinson 2001). It is possible that in the endocytic zone synapsin interacts with some of these regulators, thus modulating synaptic vesicle recycling. Indeed, a direct interaction of amphiphysin I/II with the SH3-binding motif present in domain D of synapsin I has been demonstrated (Onofri et al. 2000). Synapsin I is also able to interact with intersectin, which in turn regulates dynamin function in vesicle fission (Evergren et al. 2006).

13.5 Role of Synapsins in Synaptic Transmission and Plasticity

A large number of studies have addressed the contribution of synapsins to synaptic transmission and plasticity, mainly taking advantage of mice deleted for one or more synapsin genes. The data generated are quite complex to interpret, and in

more than one case, they are difficult to reconcile with each other, partly because of differences in the methods used and partly because of possible compensatory mechanisms that may confound the results. Synapsin KO mice are viable and fertile, with normal life expectancy and no gross brain abnormalities (Gitler et al. 2004a; Rosahl et al. 1995), even though this family of proteins has been extensively implicated also in neuronal development and synaptogenesis (Fornasiero et al. 2010). Thus, despite their abundance in neuronal tissue, synapsins are not essential for overall brain function.

Mice deleted for synapsins, with the notable exception of synapsin III KO mice (Feng et al. 2002), experience generalised tonic-clonic seizures starting from the second month of age (Cambiaghi et al. 2013; Etholm et al. 2011; Feng et al. 2002; Ketzeff et al. 2011; Li et al. 1995; Rosahl et al. 1993, 1995). Importantly, several nonsense and missense mutations have been identified in the human *SYN1* gene in families and sporadic individuals affected by epilepsy and/or autism spectrum disorders (Fassio et al. 2011; Garcia et al. 2004; Giannandrea et al. 2013; Lignani et al. 2013). The *SYN2* gene has been recognised as a susceptibility locus for epilepsy (Cavalleri et al. 2007; Lakhan et al. 2010), and various mutations have been recently described in patients affected by autism or epilepsy (Corradi et al. 2014). This strong association with epilepsy suggests that synapsins may have a role in the control of the excitability of neuronal networks and that their absence could lead to excitation/inhibition imbalance.

13.5.1 Synapsins and Synaptic Transmission: Differential Role at Excitatory and Inhibitory Synapses

As previously mentioned, injection experiments in which either phospho- or dephosphorylated synapsin I, as well as peptides or anti-peptide antibodies, were delivered into presynaptic terminals support a model whereby dephospho-synapsins act as a physical constraint on the resting pool of synaptic vesicles, while the PKA- or CaM kinase-phosphorylated forms leave synaptic vesicles free to fuse with the plasma membrane. This action, aside from being important for the formation and maintenance of the resting pool of vesicles, implies that synapsins are involved in the fine regulation of the equilibrium between the resting and the recycling pool of vesicles, thus determining the amount of neurotransmitter released (Benfenati et al. 1991; Fassio et al. 2011 [la review di Sem. Cell. Dev. Biol.]). Indeed, the injection of dephosphorylated synapsin I into the squid giant synapse decreased the amplitude and rate of rise of postsynaptic potentials, whereas the injection of either phosphorylated or heat-inactivated protein was ineffective. Conversely, injection of CaMKII increased the amplitude and rate of rise of postsynaptic potentials (Llinas et al. 1985, 1991). The injection of antibodies directed against the domain E of synapsin I in lamprey reticulospinal presynaptic terminals caused the depletion of the resting pool of vesicles and enhanced depression following high-, but not

low-, frequency stimulation (Pieribone et al. 1995). Similar results were obtained after the injection of peptides derived from domain E and C in the squid giant synapse (Hilfiker et al. 1998, 2005).

In agreement with these observations, all synapsin KO mice, with the exception of *Syn3*^{-/-} (Feng et al. 2002), display a reduction of the resting and recycling pools and, consequently, an increased synaptic fatigue under high-frequency stimulation that is particularly pronounced in glutamatergic terminals. The study of synaptic vesicle recycling at single synaptic boutons using FM dyes showed that the number of vesicles exocytosed during brief action potential trains and the total recycling pool were reduced in *Syn1*^{-/-} neurons, while the kinetics of endocytosis was unaltered (Ryan et al. 1996). Similar results were obtained using the pH-sensitive probe synaptopHluorin in triple KO neurons, in which the recycling pool was significantly reduced, while the readily releasable pool was unaffected (Fornasiero et al. 2012). In *Syn1*^{-/-} mice glutamate release from cortical synaptosomes was impaired and the recovery of synaptic transmission after high-frequency stimulation was delayed (Li et al. 1995). A moderate increase of synaptic depression was observed also at inhibitory synapses of cultured *Syn1*^{-/-} neurons at high stimulation frequencies, accompanied by a slowdown of recovery from depression (Baldelli et al. 2007). Synaptic depression after repetitive stimulation was increased at excitatory, but not inhibitory, neurons in *Syn2*^{-/-} (Medrihan et al. 2013; Rosahl et al. 1995) and further enhanced in excitatory terminals of *Syn1/2*^{-/-} (Rosahl et al. 1995), as well as triple KO animals (Farisello et al. 2013; Gitler et al. 2004a; Vasileva et al. 2012), probably because of defective resting pool size and recruitment of vesicles to the readily releasable pool.

Overexpression of synapsin Ia in the rat calyx of Held resulted in the redistribution of vesicles in the terminal and increased depression during repetitive stimulation, possibly because the excess synapsin molecules favoured the formation of dimers not associated with the vesicle membrane, thereby interfering with synaptic vesicle clustering. Recovery from depression was accelerated, possibly through synapsin-actin interactions that speeded up vesicle endocytosis and recycling (Vasileva et al. 2013).

Conversely, mice lacking synapsin III exhibit no change in vesicle density, an increase in the size of the recycling pool and a significant reduction in synaptic depression (Feng et al. 2002), suggesting that synapsin III does not participate to the maintenance of the resting pool but is involved in limiting the number of recycling vesicles.

In addition to the pre-docking roles mainly responsible for the effects described so far, post-docking roles have also been hypothesised for synapsins, contributing to the alteration of neurotransmitter release observed upon isolated action potentials when synapsin function is perturbed. The injection of domain E and C peptides significantly reduced the amplitude and slowed the kinetics of evoked excitatory postsynaptic currents (eEPSCs), without altering the number of docked vesicles (Hilfiker et al. 1998). The lack of synapsin I induced a decrease in the amplitude of the evoked inhibitory postsynaptic currents (eIPSCs) in cultured *Syn1*^{-/-} hippocampal autaptic neurons due to a reduced number of vesicles released per

single action potential and a reduced readily releasable pool, with no change in the probability of release, quantal size or number of synaptic contacts (Baldelli et al. 2007; Chiappalone et al. 2009). Conversely, the lack of synapsin II leads to an increase in the amplitude of eIPSCs in the dentate gyrus granule neurons (Medrihan et al. 2013). A decrease of eIPSC amplitude was observed in autaptic neurons from *Syn3*^{-/-} (Feng et al. 2002).

In triple KO neurons the reduction in eIPSC amplitude was accompanied by a decreased number of docked vesicles in inhibitory terminals, as assessed by electron microscopy (Gitler et al. 2004a). Opposite to inhibitory transmission, *Syn1*^{-/-} cortical autaptic neurons displayed an increase in eEPSC amplitude attributable to an increased readily releasable pool, with no change in release probability (Chiappalone et al. 2009).

It is worth to note that spontaneous synaptic transmission is unaffected in the absence of synapsins, as the amplitude, frequency and kinetics of both miniature EPSCs and IPSCs were essentially unchanged in all synapsin KO mice (Baldelli et al. 2007; Chiappalone et al. 2009; Feliciano et al. 2013; Feng et al. 2002; Gitler et al. 2004a), indicating that the number of synaptic contacts and the postsynaptic apparatus are comparable in WT and KO neurons.

A more specific role for synapsin II in the regulation of GABA release has been recently unravelled. In granule neurons of the dentate gyrus, synapsin II deletion resulted in higher amplitude and faster kinetics of eIPSCs, due to an increase in the synchronously released fraction of the readily releasable pool. High-frequency stimulation causes, in addition to synchronous release, a delayed asynchronous release component that lasts up to seconds. The absence of synapsin II selectively and dramatically hampered asynchronous release at inhibitory, but not excitatory, synapses. Synapsin II controlled the amount of the Ca²⁺-dependent asynchronous release, through the interaction with the P/Q-type of Ca²⁺ channels. Opposite to synapsin II, synapsin I deletion increased the asynchronous release at inhibitory synapses (Medrihan et al. 2013). In this scenario, synapsins I and II appear to compete at a post-docking step on the same readily releasable pool of inhibitory vesicles, by either facilitating release synchronisation or desynchronising GABA release, respectively.

The results described so far highlight the differential effects of the synapsins in excitatory and inhibitory transmission. This difference may at least partly stem from differential expression levels of synapsin isoforms in the two neuronal subtypes. As an example, excitatory synapses positive for VGLUT1 (vesicular glutamate transporter 1) and inhibitory terminals positive for VGAT (vesicular GABA transporter) were shown to express the highest and the lowest levels of synapsin I, respectively (Micheva et al. 2010). Interestingly, other vesicular/presynaptic proteins, such as SV2B, synaptophysin, syntaxin 1A, SNAP-25 or 23 and synaptotagmins, showed a preferential expression in VGLUT1- compared to VGAT-positive synapses, suggesting that probably the release machineries in the two kinds of synaptic terminals slightly differ in order to match distinct physiological needs (Bragina et al. 2007; Gronborg et al. 2010). Synapsin ablation seems to have a stronger effect on the depression of excitatory synapses, but the milder

effect observed in inhibitory synapses could have a higher impact on the network excitability, because of the high-frequency bursting activity that characterises inhibitory neurons and makes them more sensitive to vesicle depletion. At the post-docking level, synapsin deletion mainly impairs inhibitory transmission, while leaving basal excitatory transmission unaffected or even enhanced.

The final outcome of these effects is an imbalance between excitatory and inhibitory inputs, with a hyperexcitability of the network that is probably at the basis of the epileptic phenotype observed in all synapsin KO mice, but *Syn3*^{-/-}. The absence of the epileptic phenotype in *Syn3*^{-/-} mice strengthens the hypothesis that Syn III plays a differential role with respect to the other isoforms, as suggested by electrophysiology (Feng et al. 2002) and by the observation that its expression progressively drops in the adult life.

The hyperexcitability of mature Syn-deficient neuronal networks was investigated through the use of the multi-electrode array (MEA) platform. Primary cultures of cortical neurons derived from *Syn1*^{-/-} mice displayed a diffuse spontaneous hyperactivity characterised by a general increase in firing rate, bursting rate and burst duration, as well as a higher degree of activity synchronisation, that became more evident at later stages of network maturation (Chiappalone et al. 2009). Studies in acute cortico-hippocampal brain slices of 3-week-old triple KO mice revealed that hyperexcitability of synapsin KO circuits is detectable before the incidence of manifest epileptic seizures, which arise in the animals at 2 months of age. Slices treated with the K⁺ channel blocker 4-aminopyridine were characterised by a higher incidence of fast glutamatergic inter-ictal events and a wider spread of ictal activity to the surrounding cortical areas with respect to age-matched WT slices (Boido et al. 2010). The membrane potential of CA1 hippocampal triple KO neurons was found to be more depolarised than in WT cells in presymptomatic mice and even more so in adult animals. This observation was attributed to a decrease in tonic GABA currents, due to a defective GABA release and spillover (Farisello et al. 2013).

In addition to the differential effects of synapsin deletion on the intrinsic characteristics of excitatory and inhibitory neurons, the net effect on a formed neuronal network also depends on dynamic adaptations to an altered equilibrium (homeostatic plasticity), synaptic plasticity phenomena and developmental components. The existence of compensatory adaptations was recently proposed, starting from the observation that the GABA-synthesising enzyme GAD67 was upregulated in triple KO animals (Ketzel et al. 2011). An increase in inhibitory transmission in specific developmental windows might partly contribute to suppress the seizures until 2 months of age or, vice versa, it might contribute to epileptogenesis and network hypersynchronisation. Indeed, it was recently shown that the altered inhibitory activity is subjected to developmental modulation in synapsin KO mice: sIPSC amplitude and frequency (recorded from layer 5 pyramidal neurons of the entorhinal cortex) were indeed reduced in 2/3-week-old triple KO slices with respect to WT; the differences between genotypes disappeared between 1.5 and 3.5 months of age; in mice older than 7 months of age, sIPSC amplitude and frequency were larger in KO than in WT (Ketzel and Gitler 2014). This may indicate that the failure of phasic inhibitory transmission at the beginning of KO

mice development may contribute to epileptogenesis, but then compensatory mechanisms emerge that try to counteract the established epilepsy, but ultimately aggravate the network synchronisation.

13.5.2 Involvement of Synapsins in Synaptic Plasticity Phenomena

A vast amount of studies have been performed, aimed at analysing the potential involvement of synapsins in short- and long-term plasticity phenomena in the brain.

Concerning short-term plasticity phenomena, the most studied paradigms are paired-pulse facilitation or depression (PPF or PPD, respectively) and post-tetanic potentiation (PTP) (Zucker and Regehr 2002). PPF was increased in excitatory cortical neurons from *Syn1*^{-/-} mice (Chiappalone et al. 2009), as well as in CA1 pyramidal neurons of *Syn1*^{-/-} hippocampal slices (Rosahl et al. 1993). Synapsin I deletion did not affect the paired-pulse ratio in inhibitory neurons (Baldelli et al. 2007; Chiappalone et al. 2009). *Syn2*^{-/-} CA1 pyramidal neurons (Rosahl et al. 1995) and *Syn3*^{-/-} cultured hippocampal neurons (Feng et al. 2002) showed no changes in PPF. PPD was not affected in *Syn2*^{-/-} at short inter-stimulus intervals but was increased at longer intervals (2–3 s) (Medrihan et al. 2013). Interestingly, in triple KO CA1 pyramidal neurons, PPF was increased in young presymptomatic animals but normalised to the WT condition in adult epileptic mice. PPD was slightly increased in young triple KO mice at short inter-stimulus intervals, while it was significantly lower in adult epileptic mice compared to WT (Farisello et al. 2013). The paired-pulse ratio at short inter-stimulus intervals has an intrinsic kinetics of milliseconds, too fast to be dependent on vesicle mobilisation from the resting pool. Thus, synapsins probably affect PPF and PPD through post-docking mechanisms.

PTP was unchanged in *Syn1*^{-/-} slices with respect to WT, while it was decreased in *Syn2*^{-/-} and even more affected in double KO preparations, indicating that both synapsins I and II participate in modulating this short-term increase in synaptic efficacy (Rosahl et al. 1995). PTP was completely abolished in triple KO slices compared to WT (Farisello et al. 2013). PTP of inhibitory transmission was not affected in *Syn1*^{-/-} neurons (Baldelli et al. 2007). PTP operates in a timescale of seconds and probably involves the Ca²⁺-dependent mobilisation of synaptic vesicles from the resting pool. Thus, synapsins are likely to affect this short-term plasticity paradigm at a pre-docking step, by facilitating vesicle recruitment upon their phosphorylation.

Recently, a new form of short-term plasticity has been described in the CA3-to-CA1 hippocampal synapses. During prolonged stimulation at 5–20 Hz, after a first rapid increase in synaptic efficacy and a subsequent decay, a second delayed response enhancement (DRE) arises in 3–4 s and lasts for approximately

75 additional stimuli. The DRE is strictly dependent on temperature, as it clearly appears at 37 °C but not at 24 °C, on the presence of an intact actin cytoskeleton and of synapsin I and/or II, as it completely disappeared in synapsin I/II double KO hippocampal slices (Bogen et al. 2009; Jensen et al. 2007). This form of plasticity may be important for maintaining synaptic activity during prolonged stimulation periods and may rely both on the synapsin-mediated recruitment of synaptic vesicles from the resting pool and on post-docking functions of these proteins.

Mice deleted for synapsin genes do not exhibit long-term potentiation (LTP) defects (Li et al. 1995; Rosahl et al. 1995; Spillane et al. 1995) and display mild memory and learning deficits (Corradi et al. 2008; Gitler et al. 2004a; Greco et al. 2013; Silva et al. 1996). However, a primary role of synapsin I in mediating LTP and learning has been recently proposed. Synapsin I and ERK were shown to be phosphorylated during contextual fear conditioning. In addition, transgenic mice overexpressing a constitutively active form of p21^{Ras}, a potent upstream synaptic activator of ERK, displayed an increased phosphorylation of both ERK and synapsin I (site 4/5) and enhanced presynaptic short-term plasticity and LTP induced by high-frequency stimulation. These functional effects were dependent on the presence of synapsin I and were probably mediated by a facilitation of neurotransmitter release due to increased recruitment of synaptic vesicles from the resting pool to the readily releasable pool, as the number of docked vesicles and the frequency of miniature EPSCs were higher in transgenic mice with respect to WT mice. The activation of the p21^{Ras}/ERK/synapsin I signalling pathway was accompanied by enhancement of hippocampus-dependent learning abilities of transgenic mice mediated by synapsin I, as this effect was abolished by crossing transgenic mice with synapsin I KO animals (Kushner et al. 2005). BDNF may be the trigger of p21^{Ras}/ERK/synapsin I signalling activation during learning (Tyler et al. 2002). A role for BDNF has already been proved for PTP, which is enhanced by BDNF-driven MAPK phosphorylation of synapsin I (Valente et al. 2012).

Synapsin I levels were found to be increased during LTP and spatial learning in the rat hippocampus (Gomez-Pinilla et al. 2001; Sato et al. 2000), as well as at the sensorimotor synapse in the invertebrate model *Aplysia* in which potentiation had been induced with serotonin treatment (Hart et al. 2011). In the latter case, the serotonin-induced increase in synapsin levels was required for the establishment of LTP, as acute administration of small interfering RNAs that blocked synapsin induction completely abolished synaptic potentiation (Hart et al. 2011).

Synapsin was also implicated in learning and behavioural paradigms in *Drosophila*. In fact, the protein is necessary for the formation of odour-sugar memory traces in the mushroom bodies and requires functional PKA phosphorylation sites to exert this effect (Michels et al. 2011). Synapsin function is specifically required in GABAergic interneurons for short-term, but not long-term, habituation of olfactory avoidance behaviour, and this effect implies CaMKII phosphorylation (Sadanandappa et al. 2013).

13.6 Concluding Remarks

Synapsins have been classically studied for their contribution to synaptic vesicle storage in the presynaptic terminal. Recent evidences described in this chapter point to the fact that, although synapsins play a crucial role in the maintenance of synaptic vesicle clusters, this phenomenon involves also other mechanisms and that, on the other hand, many other functions can be envisaged for synapsins both at the pre- and the post-docking levels. In addition, differential roles start to be depicted for each synapsin isoform. How the various isoforms distribute in the central nervous system and take part in the definition of the functional nature of each neuronal subpopulation is far from being completely understood.

Mutations in synapsins have been strongly linked to epilepsy and autism spectrum disorders (Corradi et al. 2014; Fassio et al. 2011; Garcia et al. 2004), and several polymorphisms have been positively associated with schizophrenia and other psychosis (Chen et al. 2004a, b; Lee et al. 2005; Saviouk et al. 2007). Thus, the thorough unravelling of the precise roles exerted by synapsins under physiological and pathological conditions is fundamental for envisaging new therapeutic approaches for these unresolved diseases.

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Part VI
Synaptic Vesicle Pools

Chapter 14

Synaptic Vesicle Pools: Classical and Emerging Roles

Sven Truckenbrodt and Silvio O. Rizzoli

Abstract Synaptic vesicles display a marked functional specialisation that warrants the definition of the so-called synaptic vesicle pools. In the classical three-pool model, the readily releasable pool (1–2 % of all vesicles) provides fast initial neurotransmitter release, the recycling pool (10–20 %) maintains release during physiological levels of stimulation, and the reserve pool (~80 %) is inert in terms of neurotransmitter release under physiological stimulation. But this classification according to release propensity does not convey the whole range of functional versatility of synaptic vesicles. Recent research has demonstrated that the release-incompetent reserve pool may act as a buffer for soluble proteins essential to synaptic vesicle recycling. Furthermore, new pool concepts have been proposed which illustrate several emerging aspects of synaptic vesicle pool physiology. A super-pool of vesicles is exchanged between synapses, with potential implications for synaptic plasticity. A surface or readily retrievable pool of synaptic vesicles decorates the membrane of synaptic boutons and may be essential for maintaining the recycling pool through rapid compensatory endocytosis. Recent data on the pool of spontaneously releasing vesicles suggests that there may be more functional and molecular heterogeneity among synaptic vesicles than anticipated. Finally, understanding the regulation of pool transitions remains a largely unresolved issue.

Keywords Synaptic vesicles • Synaptic vesicle pools • Synaptic transmission • Neuronal communication • Cell biology

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14.1 Synaptic Vesicle Pools: From Observation to Concept and Back

Synaptic vesicles are similar in ultrastructure, subcellular localisation and, presumably, molecular composition. Nonetheless, heterogeneity in their probability to release neurotransmitter has been observed for decades and in an array of preparations (reviewed by Rizzoli and Betz 2005). This has led to the proposal of different ‘pools’ of synaptic vesicles. The earliest antecedents of the concept that synaptic vesicles may exist in different functional states lie in observations by Birks and MacIntosh (1961) on cat sympathetic ganglia and Elmqvist and Quastel (1965) on human intercostal muscles. Both researcher tandems noted that a fraction of the releasable neurotransmitter in their preparation was more readily available for release than the rest. They concluded that two stores – or pools – of neurotransmitter must exist in the synaptic terminal: one easier and faster to release, but also depleting upon prolonged stimulation, and one that releases with slower kinetics and only upon strong and prolonged stimulation. Birks and MacIntosh (1961) even explicitly suggested that synaptic vesicles might be the organellar correlate for the observed kinetics of neurotransmitter release, despite the fact that the ‘vesicle hypothesis’ of neurotransmitter release was only just beginning to emerge at the time. Sir Bernard Katz had published the concept of quantal release, which proposes that neurotransmitter comes in distinct packages of uniform size, in the early 1950s (Fatt and Katz 1950, 1952; del Castillo and Katz 1954). The discovery of synaptic vesicles at around the same time (Palade and Palay 1954; de Robertis and Bennet 1955) made it tempting to combine these two observations and deduce that synaptic vesicles must store these ‘quanta’ of neurotransmitter (Palay 1956; del Castillo and Katz 1956). Evidence that synaptic vesicles are locally recycled (Heuser and Reese 1973; Ceccarelli et al. 1973) and refilled within the synapse (Molenaar et al. 1973a, b) soon lent added weight to the notion of a specialised recycling pool of vesicles maintaining neurotransmitter release and of a complementary reserve pool that is more reluctant in terms of neurotransmitter release and recycling.

Until today, this dualism shapes the consensus on synaptic physiology and communication, amply substantiated by experimental evidence (Rizzoli and Betz 2005; Denker and Rizzoli 2010; Alabi and Tsien 2012). The only known role of synaptic vesicles was, for decades after their discovery, to store and actively release neurotransmitter. The assumption that the cell always works as an economically efficient entity suggested that all vesicles had to participate in active neurotransmitter release in the one or the other physiological situation: the recycling pool during ‘normal’ activity and the reserve pool during extreme stress situations. In this model, all synaptic vesicles are assigned an intuitive, active and direct role in neuronal communication. This model of the synaptic bouton worked for decades. It is appealing because of its simplicity and apparent efficiency. In recent years, however, experimental evidence has accumulated that suggests that only a small fraction of synaptic vesicles actually participates in neurotransmitter release at

any one time, even under severe physiological stress (e.g. Denker et al. 2011a; Körber et al. 2012). This and other observations of unanticipated versatility of synaptic vesicle function continue to trigger an ongoing rethinking of our general understanding of the cell biology of synaptic boutons and the roles of different pools of synaptic vesicles. We present here the current knowledge on synaptic vesicle pools and their dynamics, integrate emerging roles of synaptic vesicles beyond active neurotransmitter release and discuss possible implications for synaptic physiology, as well as for general cell biology.

14.2 The Three Classical Synaptic Vesicle Pools

Ever since the earliest studies on synaptic physiology (see Sect. 14.1), synaptic vesicles have been classified into distinct pools based on neurotransmitter release kinetics. Indeed, the propensity to release is still the main criterion for defining synaptic vesicle pools. The following pools can be distinguished (see Fig. 14.1): a specialised *recycling pool* which maintains neurotransmitter release under physiological stimulation, a *reserve pool* which is inert in terms of neurotransmitter release under physiological stimulation and a *readily releasable pool* of vesicles, which supports the early, fast and strong response to a bout of stimulation.

The fraction of releasable synaptic vesicles in any given preparation depends heavily on the applied type and frequency of stimulation. Any discussion of

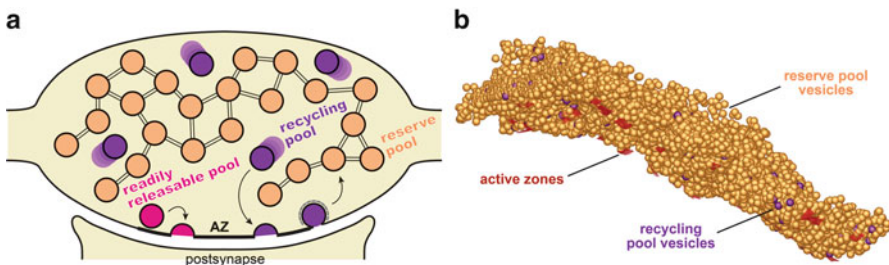


Fig. 14.1 The three-pool model of synaptic vesicle release. **(a)** The readily releasable pool (*pink*) consists of vesicles docked at the active zone, primed for release. The recycling pool (*purple*) consists of vesicles that carry neurotransmitter release under physiological levels of stimulation, and the reserve pool (*orange*) consists of vesicles that are not mobilised under physiological levels of stimulation. While the recycling pool vesicles are mobile, the reserve pool vesicles are immobile and tethered by a meshwork of cytoskeletal elements and synapsin (represented by *double lines*). AZ active zone. *Grey blocks* around vesicles represent clathrin molecules. **(b)** The spatial mixing of recycling and reserve pool vesicles can be visualised by FM-dye labelling and photoconversion (see [Methods Box](#)). In this reconstruction of a zebrafish neuromuscular junction, vesicles of the recycling pool (*purple*), labelled during 2 h of free behaviour of the living animal, are thoroughly intermixed with the inert reserve pool vesicles that do not contain dye (*orange*). The plasma membrane is omitted from the reconstruction for an unobstructed view of the vesicle cluster; *red areas* indicate active zones (Adapted from Denker et al. 2011a)

synaptic vesicle pools thus has to take into account the stimulation paradigms and experimental conditions under which the evidence for described pool kinetics has been obtained. For example, in cultured hippocampal neurons as many as 50 % of all vesicles can be made available for release during bouts of tetanic stimulation (Opazo et al. 2010), or as little as 15–20 % may sustain prolonged low-frequency stimulation (Harata et al. 2001a, b). In some preparations, like the frog neuromuscular junction, even the entire pool of vesicles can be made available for release upon strong supra-physiological stimulation (Rizzoli and Betz 2005). The stimulation paradigm chosen can thus heavily influence the experimental outcome. To be considered physiological, a stimulation paradigm should mimic what can be observed for the cell type in question in the living and behaving animal, both in frequency and in type (e.g. electric, high potassium or osmolarity shock). Most studies on synaptic vesicle dynamics are, however, dependent on *ex situ* preparations, which are not lifelike. Most studies have been performed with unphysiologically strong stimulation paradigms and in buffers that might alter the excitability of the preparation. Nonetheless, the three pools mentioned above have, based on their different release kinetics, consistently been identified in a wide range of preparations and under different stimulation protocols, leading to a surprisingly robust three-pool model (Rizzoli and Betz 2005; Denker and Rizzoli 2010; Alabi and Tsien 2012).

14.2.1 *The Recycling Pool*

The recycling pool consists of those synaptic vesicles that independently maintain a constant rate of transmitter release upon physiological stimulation (Rizzoli and Betz 2005). They fuse with the presynaptic membrane, release neurotransmitter, endocytose, refill and repeat the cycle (Südhof 2004) – thus the term *recycling* pool. Vesicles with such a behaviour have been described in a wide array of preparations, e.g. in hippocampal cultures (Harata et al. 2001a, b), the frog neuromuscular junction (Richards et al. 2003), the *Drosophila* neuromuscular junction (Kuromi and Kidokoro 1998, 2000) and the Calyx of Held (de Lange et al. 2003) (for a detailed discussion, see Rizzoli and Betz 2005).

Remarkably, pool sizes scale very closely with synapse size and the ratios of pools are largely the same in all commonly investigated preparations (Rizzoli and Betz 2005). The recycling pool generally makes up 5–20 % of all vesicles, in synapses with total vesicle numbers differing by several orders of magnitude (e.g. 500 for large hippocampal synapses and 500,000 for the frog neuromuscular junction). However, this might be more flexible at small central synapses. Marra et al. (2012) found, using FM1-43 loading and photoconversion (see [Methods Box](#)), on average 15–20 % of all vesicles in hippocampal slices to be part of the recycling pool. In a comparable preparation, Rose et al. (2013) found a still bigger recycling fraction of synaptic vesicles: on average 20–25 %. The variability found in both studies between those small central synapses (mostly 50–500 synaptic vesicles)

also seems to be much larger than between large motor neuron or sensory synapses (which often contain several tens or even hundreds of thousands of synaptic vesicles). Both studies reported a negative correlation of recycling pool size and synapse size (as number of synaptic vesicles): up to 100 % of all vesicles in synapses with 50 or less synaptic vesicles belong to the recycling pool and around 20 % on average in synapses with more than 300 synaptic vesicles (Rose et al. 2013).

Methods Box: Tools for Investigating Synaptic Vesicle Recycling

FM-dye labelling and photoconversion. FM dyes are widely used as probes to study endocytotic processes. They do not cross biological membranes but readily partition into them and become fluorescent upon doing so. There are several variants of FM dyes, with different partitioning kinetics and fluorescence properties; the most commonly used FM dye in neurobiology is FM1-43. When added to the medium of cultured cells, FM1-43 partitions into the outer leaflet of the cell membrane and is thereby taken up into recycling synaptic vesicles. FM1-43 can also be washed out from membranes easily, which allows to monitor exocytosis of previously labelled synaptic vesicles as destaining (loss of dye and fluorescence). FM1-43 can also be used to visualise recycling synaptic vesicles in electron microscopy via a process called photoconversion. Fluorescent dyes such as FM1-43 generate reactive oxygen species under constant illumination. When adding the membrane-permeable compound diaminobenzidine (DAB) to a sample and illuminating it, these reactive oxygen species will lead to the formation of a membrane-impermeable electron-dense precipitate from DAB inside vesicles labelled with FM1-43.

pHluorin. pHluorins are pH-sensitive variants of GFP. They can be targeted to synaptic vesicles by coupling to the transmembrane domain of a synaptic vesicle protein (e.g. synaptobrevin 2). The pHluorin tag resides in the vesicle lumen, where fluorescence is quenched by the acidic pH (~5.5) of synaptic vesicles. Upon stimulation, vesicles fuse with the plasma membrane and thereby expose their lumen to the neutral (pH ~7.4) extracellular medium, which leads to an unquenching of pHluorins and an increase in fluorescence. Synaptic vesicle recycling can be monitored by this change in fluorescence.

Antibody tagging and pH-sensitive dyes. Some synaptic vesicle transmembrane proteins contain a vesicle luminal domain that is large enough to generate antibodies against it (primarily synaptotagmin I; Kraszewski et al. 1995). Applied to the extracellular medium of neurons, these antibodies bind to recycling vesicles, since the vesicle lumen is exposed to the extracellular space upon exocytosis. Vesicles tagged with antibodies will continue

(continued)

to recycle and can be tracked by using antibodies labelled with fluorescent probes. The pH-sensitive dye cypHer5E can be coupled to antibodies to investigate synaptic vesicle recycling, similar in principle to pHluorins. In contrast to pHluorins, however, cypHer5E is quenched in the neutral pH of extracellular medium and has its peak fluorescence in the pH of acidified synaptic vesicles. The advantage of antibody tagging over the use of pHluorins is that no overexpression is necessary, but endogenous proteins can be tagged.

All of these numbers have been derived from *ex situ* approaches. These rely on artificial stimulation protocols and artificial buffers. Both may influence the release propensities of synaptic vesicles by changing the excitability of the preparation and the frequency with which it is excited, compared to the situation *in situ*. This gap in our understanding of synaptic physiology has recently been addressed by Denker et al. (2011a). The authors used an array of model systems (invertebrates, vertebrates, neuromuscular junctions, central synapses, sensory synapses) to assess the number of synaptic vesicles recycling during normal behaviour of an animal. They injected the membrane dye FM1-43 into the body cavity of animals and allowed them to behave freely for 2 h, followed by rapid dissection of the labelled synapses and generation of an electron-dense precipitate inside FM1-43-labelled synaptic vesicles by photoconversion for analysis in electron microscopy (see [Methods Box](#)). Denker et al. (2011a) found only 1–5 % of all vesicles in any synaptic bouton to participate in neurotransmitter release. Even under the extreme physiological stress of a locust being hunted by one of its natural predators, the frog, the fraction of recycling vesicles used in the neuromuscular junction of the locust's hind legs (with which it propels itself away from predators as its main escape mechanism) did not exceed 5 % of the total vesicle pool until the locust was caught after about 5–10 min (1 % for not hunted control locusts). This confirms the viability of the concept of a small recycling pool under physiological conditions and demonstrates that it might even be considerably smaller than previously described from *ex situ* preparations.

14.2.2 The Readily Releasable Pool

Readily releasable vesicles are defined by their immediate availability for release in response to a stimulus. These are the only synaptic vesicles for which a privileged localisation in the synaptic bouton can be pinpointed – they are docked directly at the active zone and are primed for release (Schikorski and Stevens 2001). These synaptic vesicles functionally belong to the pool of recycling vesicles, but they are nonetheless traditionally sorted into their own pool because of repeated

observations of markedly faster and stronger release kinetics in the initial phase of response to a stimulation train (e.g. Elmqvist and Quastel 1965; Neves and Lagnado 1999; Delgado et al. 2000). Since studies addressing the readily releasable pool usually relied on particularly strong stimulation paradigms, the fast and strong initial response phase might, however, be artificially induced and it is unclear what its relevance might be in the living and behaving animal.

The readily releasable vesicles can thus be regarded as a subpopulation of the recycling pool that is ready for immediate release due to being docked at the active zone and being primed for release. It has to be noted, however, that not all docked vesicles are also necessarily readily releasable (Xu-Friedman et al. 2001; Rizzoli and Betz 2004). The readily releasable vesicles are the smallest fraction of all vesicles in a synaptic bouton, often less than 1 % (see Table 14.1). In consequence, the readily releasable pool is exhausted within milliseconds at high-frequency stimulation, in the course of only a few action potentials, often less than 10–20 (see Table 14.1). The readily releasable pool can thus be considered the basis of a fast and strong response mechanism of the neuron, but is too small to maintain neurotransmitter release during prolonged physiological stimulation – that is the role of the recycling pool.

14.2.3 *The Reserve Pool*

The reserve pool consists of all the synaptic vesicles that do not functionally belong to the two pools described above, i.e. all vesicles that do not release and recycle under physiological stimulation conditions. This is by far the largest of the three classical pools, making up at least ~80 % of all synaptic vesicles in most synaptic preparations. At least some of these vesicles can be forced to release under severe supra-physiological stimulation, such as prolonged high-frequency electrical stimulation (at least 5–10 Hz in frog neuromuscular junctions (Richards et al. 2000; Heuser and Reese 1973), 30 Hz in *Drosophila* larva neuromuscular junctions (Kuromi and Kidokoro 2000)) or application of high potassium (de Lange et al. 2003; Takei et al. 1996). It is very likely, however, that these vesicles are not involved in release and recycling at all under physiological conditions, since the exchange of vesicles with the recycling pool is apparently too low and slow to provide a reservoir for prolonged stimulation (Richards et al. 2000), and since a large fraction of the entire vesicle complement of a synapse remains unreleased even under severe physiological stress (Denker et al. 2011a). Prolonged physiological stimulation is carried exclusively by recycling vesicles. Reserve pool vesicles are immobilised in a meshwork of cytoskeletal elements, the highly abundant (Wilhelm et al. 2014) vesicle- and cytoskeleton-binding protein synapsin (Pechstein and Shupliakov 2010; Cesca et al. 2010) and maybe other less abundant proteins (Siksou et al. 2007).

The majority of the total pool of vesicles does not get mobilised even under extreme physiological stress: ~95 % of all synaptic vesicles in the neuromuscular junction of the locust hind leg do not release when the locust is hunted down and killed by a frog (Denker et al. 2011a). This means that the ‘reserve’ pool vesicles,

Table 14.1 Readily releasable pool (RRP) size and corresponding number of action potentials (AP) to exhaustion of the RRP during high-frequency stimulation in four preparations commonly used in synaptic vesicle research

Preparation	RRP vesicles	% of all vesicles	RRP vesicles released per AP	RRP exhausted after
Hippocampal culture ^{1,3}	~5–10 ^{1,2}	~5.35 ²	~0.1–0.5 ^{2,3,4}	~20–50 APs ^{3,14}
Frog NMJ	~10,000 ^{5,6,7,8,14}	~2 ⁵	~600–700 ^{5,9,14}	~15 APs ⁹
<i>Drosophila</i> larva NMJ	~300 ¹⁰	~0.36 ^{10,14}	~75 ^{10,14}	~4 APs ¹⁰
Calyx of Held	~2,400 ¹¹	~1.2 % ^{11,12,14}	~400 ^{12,14}	~6 APs ^{12,14}

¹Schikorski and Stevens (1997); ²Schikorski and Stevens (2001); ³Stevens and Williams (2007); ⁴Hanse and Gustafsson (2001); ⁵Rizzoli and Betz (2004); ⁶Heuser and Reese (1973); ⁷Ceccarelli et al. (1973); ⁸Molgo and Pecot-Dechavassine (1988); ⁹Richards et al. (2003); ¹⁰Delgado et al. (2000); ¹¹Sakaba and Neher (2001); ¹²de Lange et al. (2003); ¹³Hippocampal cultures display much more inter-bouton variability than the other preparations. They can easily range from 50 to 1,000 vesicles (Marra et al. 2012) and entire boutons can be completely silent in release (Stevens and Wang 1995; Hanse and Gustafsson 2001; Kerchner and Nicoll 2008). These factors may account for the higher numbers derived for percentage of RRP vesicles and the higher number of APs necessary to exhaust the RRP. ¹⁴Numbers derived by the authors of this review, with numbers for variables taken from the publications cited in this table

which have traditionally been perceived as a functional reserve of neurotransmitter for situations of high stimulation and high demand on release during extreme physiological stress, may not serve that purpose at all. However, these vesicles may have other roles essential to synaptic function, such as buffering soluble cofactors for synaptic vesicle recycling into the synapse (see Sect. 14.5). It has been speculated that recycling pool vesicles turn into reserve pool vesicles over time and possibly also the other way around (Kamin et al. 2010; Denker and Rizzoli 2010), but published data on the long-term dynamics of recycling and reserve pool vesicles that could support this hypothesis are still lacking.

14.3 Nonclassical Synaptic Vesicle Pools and Other Functional Concepts

In addition to the three-pool model described above, several more pools of synaptic vesicles have been proposed. The main three of these are the *spontaneous pool* of vesicles releasing without apparent stimulation, the *surface or readily retrievable pool* of vesicles stranded or deposited in a state of fusion with the plasma membrane and available for compensatory retrieval from there in response to exocytosis of other vesicles, and the *super-pool* of vesicles shared between boutons. These pool concepts provide new perspectives on the physiology of synaptic boutons by identifying potential additional layers of functional versatility, but their specific impact and distinct cell biological roles are still heavily debated. The classical concept of defining synaptic vesicle pools by their release behaviour is often not applicable to these new pool concepts; for the surface or readily retrievable pool and the super-pool, release kinetics do not play any direct role. This highlights the necessity to investigate synaptic vesicles not only in terms of active neurotransmitter release but to also consider additional roles in presynaptic function.

14.3.1 *The Super-Pool*

The ‘super-pool’ of synaptic vesicles was introduced to conceptualise observations of high and rapid rates of exchange of vesicles between neighbouring synaptic boutons (Darcy et al. 2006; Staras et al. 2010; Welzel et al. 2011; see Fig. 14.2a). Inter-bouton mobility was found for recycling pool vesicles (Darcy et al. 2006; Staras et al. 2010) as well as, interestingly, for reserve pool vesicles (Fernández-Alfonso and Ryan 2008; Kamin et al. 2010). It is unclear how the immobilised reserve pool vesicles would participate in such an inter-bouton exchange, but it is possible that individual vesicles peel off from the cluster held together by synapsin and become mobile or that chunks of vesicles break out of the cluster. Interfering with the clustering of reserve pool vesicles by acute injection of synapsin

antibodies (Pieribone et al. 1995), inhibition of phosphatases that have been linked to vesicle clustering via synapsin (Betz and Henkel 1994) or synapsin knockouts (Orenbuch et al. 2012; Fornasiero et al. 2012) increases the inter-bouton mobility of synaptic vesicles; so it is likely that synapsin binding is at least partially restricting reserve pool mobility in the proposed super-pool. The concept of the super-pool forces us to consider the synaptic bouton as a much more dynamic compartment than previously envisioned, with high rates of in- and efflux of synaptic vesicles (Staras and Branco 2010). This may be especially important in synaptic plasticity, where it could be the basis for adjusting synapse strength in neighbouring connections, accompanied by the redistribution of other synaptic elements, like active zone or adhesion proteins (reviewed by Staras and Branco 2010). In line with this interpretation, synapsin, the main factor for organisation and integrity of the synaptic vesicle cluster, has been shown to become more mobile upon stimulation and to be exchanged between neighbouring synapses (Chi et al. 2001; Tsuriei et al. 2006). The redistribution of this essential structural organisation protein in synaptic boutons and the entailing liberation of synaptic vesicles from the meshwork, resulting in increased mobility (Fornasiero et al. 2012; Orenbuch et al. 2012), may well be the basis for long-term plasticity. This model is in line with observations that long-term plasticity can be driven mainly presynaptically, through changes in the frequency and reliability of neurotransmitter release at individual synaptic connections (Zakharenko et al. 2001; Emptage et al. 2003; Enoki et al. 2009), at least under some circumstances (Mayford et al. 2012; Collingridge et al. 2010). It has recently been reported that neuronal activation can trigger elimination of unreliable synapses and, in turn, strengthen other neighbouring synapses in long-term plasticity (Wiegert and Oertner 2013). Altering the vesicle complement of a synaptic bouton by super-pool reorganisation, mediated by synaptic activity, could induce such a strengthening or loss of synaptic connections.

14.3.2 The Surface or Readily Retrievable Pool

A substantial amount of up to 20 % of all synaptic vesicle epitopes has been found to reside on the plasma membrane of synaptic boutons at all times (Sankaranarayanan et al. 2000; Granseth et al. 2006; Wienisch and Klingauf 2006; Fernández-Alfonso et al. 2006; Balaji and Ryan 2007; Opazo et al. 2010; Revelo et al. 2014). This surface pool might be available for rapid retrieval, compensating for the increase in cell membrane area upon synaptic vesicle exocytosis (Gandhi and Stevens 2003; Hua et al. 2011a; see Fig. 14.2b).

To release neurotransmitter, synaptic vesicles must fuse with the plasma membrane. Thereby, they become integral parts of the plasma membrane, at least in full-fusion models of synaptic release (see Rizzoli 2014 for a review discussing different modes of vesicle fusion, including full fusion and kiss-and-run, in which a vesicle only makes very brief contact with the cell membrane and is retrieved without collapse into the membrane). Whether the resulting patches of vesicle

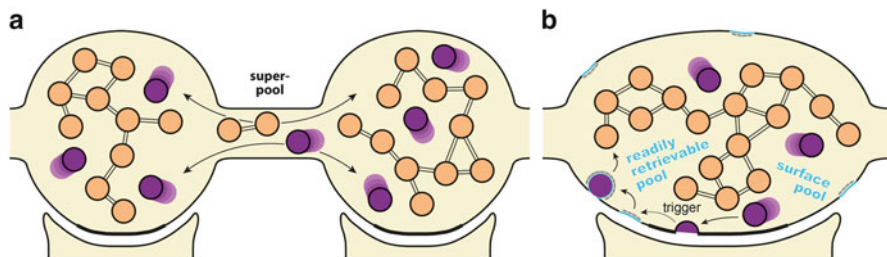


Fig. 14.2 The super-pool and the surface or readily retrievable pool. **(a)** The super-pool consists of synaptic vesicles that can be shared among neighbouring synapses. Many types of neurons contain synapses that are arranged along the axon like ‘beads on a string’, which allows the exchange of vesicles depicted here. Its functional relevance might lie in altering synaptic strength in long-term plasticity by rearranging the vesicle complement between neighbouring synapses, including complete elimination of synapses. Recycling (*purple*) as well as reserve pool vesicles (*orange*) can be exchanged. **(b)** The surface or readily retrievable pool (*turquoise*) consists of synaptic vesicles deposited on the plasma membrane of the synaptic bouton. At least some of these vesicles are available for rapid compensatory endocytosis, triggered by the exocytosis of synaptic vesicles. *Grey* blocks around vesicles represent clathrin molecules

molecules stay largely intact until retrieval or whether they intermix with plasma membrane contents (i.e. if the vesicles lose integrity on the membrane upon full fusion) has been a matter of debate. Some studies employed constructs of synaptic vesicle proteins (e.g. synaptotagmin, synaptophysin or VAMP2), with the pH-sensitive GFP variant pHluorin tagged to the vesicle luminal domain (see [Methods Box](#)). pHluorin is quenched in the acidic pH inside synaptic vesicles but becomes fluorescent upon exocytosis, due to the rapid equilibration of the vesicle interior with the neutral extracellular environment. Hence, constructs from recently fused vesicles can be followed on the plasma membrane. Several studies, using different constructs, found that proteins rapidly diffuse away from the site of fusion in the plasma membrane (Sankaranarayanan and Ryan 2000; Li and Murthy 2001; Wienisch and Klingauf 2006; Granseth et al. 2006). While these studies did not have the resolution to follow individual proteins or even synaptic vesicle-sized patches, they argued that it seems unlikely that entire patches of complete vesicles could diffuse as fast as observed; but it is not impossible either, as a comparable rate of diffusion has been observed in other studies for whole vesicles (Gaffield et al. 2006; Kamin et al. 2010). However, a second line of evidence from pHluorin studies has been interpreted in support of this hypothesis. By bleaching or enzymatically removing pHluorin on the plasma membrane before stimulating synaptic vesicle exocytosis, one can ascertain whether vesicle recovery after the stimulus is due to endocytosis of recently exocytosed vesicles or endocytosis of vesicles deposited on the cell membrane. Upon stimulation, pHluorin on releasing vesicles is unquenched and, in case of endocytosis of the same vesicles, would quickly be quenched again, while in case of endocytosis of surface pool vesicles, the fluorescence would decline slower, since the pHluorins on the cell surface have been bleached or removed before. Such experiments showed that recently exocytosed synaptic vesicle material is not preferentially endocytosed (Wienisch

and Klingauf 2006; Fernández-Alfonso et al. 2006). The authors interpreted this as a mixing of membrane-resident and vesicular proteins and suggested that vesicles lose integrity upon fusion and are only reassembled upon retrieval or even later, maybe through an endosomal sorting step. However, an alternative interpretation would be that the exocytosed vesicles stayed intact and that other intact vesicles, stranded on the cell surface, were available for compensatory endocytosis (Wienisch and Klingauf 2006).

There are several caveats associated with the use of pHluorin constructs (Granseth et al. 2006; Opazo et al. 2010). They all require overexpression, which might alter their distribution and behaviour after fusion, compared to endogenous proteins (Granseth et al. 2006). Furthermore, the pHluorin studies were all restricted by the resolution barrier of classical light microscopy, i.e. the cohesiveness of vesicle protein clusters on the cell membrane and their proposed loss of integrity could not be observed directly. The only other studies addressing this issue used antibodies to tag the vesicle lumenal domain of endogenous synaptotagmin epitopes on the plasma membrane and recycling vesicles and visualised them via super-resolution STED microscopy (Willig et al. 2006; Opazo et al. 2010), which provides a resolution in the range of the diameter of single fused synaptic vesicles on the plasma membrane (~60–80 nm). These studies found the complete opposite of what the pHluorin studies suggested. Synaptotagmin protein patches seemed to remain largely intact and clustered, indicating that synaptic vesicles can maintain integrity after fusion. Supporting this view, clustering of different synaptic vesicle proteins with each other and with cholesterol, which is enriched in synaptic vesicles compared to the cell membrane, is a well-documented factor in synaptic vesicle and synapse biogenesis (e.g. Mitter et al. 2003; Stevens et al. 2012; Tarsa and Goda 2002; Hannah et al. 1999); this would also help synaptic vesicles to maintain integrity on the plasma membrane. It can be concluded that the evidence for maintenance of synaptic vesicle integrity on the plasma membrane currently outweighs the evidence for loss of integrity.

A functional participation of the surface pool in synaptic vesicle recycling has first been suggested by Gandhi and Stevens (2003). They expressed a construct based on the vesicular protein VAMP2, coupled to pHluorin. With this construct, Gandhi and Stephens (2003) observed the retrieval of apparently stranded vesicles to be triggered by stimulation. This could be explained as a form of compensatory endocytosis to replenish the recycling pool and keep the cell surface area constant. This interpretation has since received support from other independent studies using similar tools (Wienisch and Klingauf 2006; Fernández-Alfonso et al. 2006; see above) and alternative approaches (Hua et al. 2011a). Hua et al. (2011a) used the pH-sensitive cyanine dye cypHer5E coupled to antibodies (see [Methods Box](#)) to demonstrate rapid compensatory endocytosis of endogenous cell surface resident synaptotagmin in response to stimulated synaptic vesicle release. According to these results, readily retrievable vesicles on the bouton membrane are preferentially endocytosed, and recently exocytosed vesicles remain stranded on the membrane in turn, presumably, until they themselves can serve as reservoir for compensatory endocytosis upon release of other vesicles. Taking into account the fraction of

synaptic vesicle epitopes present on the neuronal cell surface in hippocampal cultures (Sankaranarayanan et al. 2000; Granseth et al 2006; Wienisch and Klingauf 2006; Fernández-Alfonso et al. 2006; Balaji and Ryan 2007; Opazo et al. 2010; Revelo et al. 2014), the surface area of an average synaptic bouton in hippocampal cultures (derived from Schikorski and Stevens 1997; Wilhelm et al. 2014) and the area of a fused synaptic vesicle, up to 23 % of the surface area of a synaptic bouton could be occupied by fused synaptic vesicles (assuming all surface epitopes represent stranded synaptic vesicles). This large membrane fraction of stranded vesicles might be essential for the replenishment of the recycling pool. Providing a large enough reservoir of readily retrievable vesicles on the cell membrane may be necessary to expedite the restoration of recycling-competent vesicles. Just the assembly of the clathrin coat required for clathrin-mediated endocytosis can take 20–30 s (Cocucci et al. 2012). The assembly of the machinery of clathrin-mediated endocytosis might thus be a time limiting factor in synaptic vesicle recycling, and adding the time to find one single specific recently fused vesicle on the plasma membrane of a synaptic bouton may simply take too long to recycle enough vesicles in time before the whole recycling pool is depleted. Assuming 0.5 fusion events per action potential in an average hippocampal bouton (Schikorski and Stevens 1997; Stevens and Williams 2007; Hanse and Gustafsson 2001), the entire recycling pool could be depleted within 20 s of a moderate 5 Hz stimulation, so before the clathrin coat of the first vesicle fused at the beginning of the stimulation period has even been fully assembled. An observed time constant of 10–20 s for compensatory vesicle endocytosis after stimulation (Granseth et al. 2006) indicates that at least some of the surface pool vesicles are readily retrievable, since it reflects an endocytosis that is considerably faster than what would be expected if the clathrin coat had to assemble on a recently exocytosed vesicle (Cocucci et al. 2012). Decorating a substantial fraction of the membrane of a synaptic bouton with patches of vesicle, any one of which could be retrieved to replenish the recycling pool in compensation for vesicle exocytosis, would thus presumably help to maintain a pool of recycling-competent vesicles.

14.3.3 The Spontaneous Pool

Fatt and Katz already observed spontaneous quantal release of neurotransmitter in the absence of any stimulation in 1950/1952. Single spontaneous quanta roughly corresponded to single quanta of evoked release in amplitude and kinetics of the postsynaptic response they elicited. The spontaneous vesicles apparently released randomly and without any trigger (see Fig. 14.3), which is at odds with the tight and reliable coupling of stimulation and neurotransmitter release necessary in faithful synaptic communication. This release would thus have to be regarded as a mistake, an accidental fusion of synaptic vesicles. However, it could serve another purpose. Spontaneous release is especially prevalent in developing neurons, but severely decreases as they mature and as evoked release increases (Andreae et al. 2012).

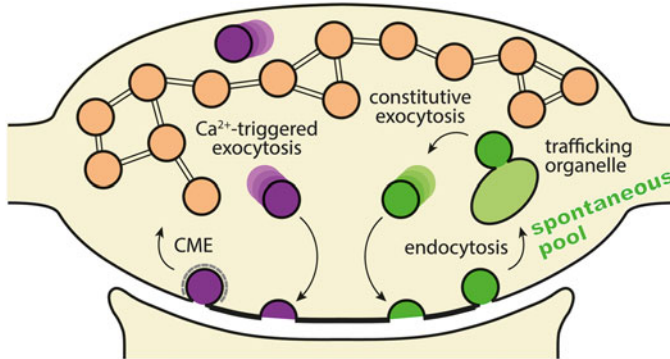


Fig. 14.3 The spontaneous pool. While the recycling pool (*purple*) is dependent on a Ca^{2+} trigger for exocytosis, mainly relies on clathrin-mediated endocytosis (CME) and is not enriched in constitutive or other trafficking proteins (*left*), the spontaneous pool (*green*) releases in constitutive exocytosis without any apparent trigger, does not seem to depend on CME and is enriched in markers of constitutive and other trafficking pathways (*right*). Grey blocks around vesicles represent clathrin molecules

Acutely abolishing spontaneous release can induce compensatory receptor synthesis at dendritic spines (Sutton et al. 2006) and can ultimately lead to loss of postsynaptic glutamate receptor clusters (Saitoe et al. 2001) and dendritic spines (McKinney et al. 1999) when maintained over a prolonged period of time. Spontaneous release might thus play a role in the establishment and maintenance of synaptic contacts, serving as signal flags for the postsynapse. A constitutive spontaneous neurotransmitter secretion may be the synaptic analogue of a ‘dead man’s switch’ by providing continuous input in the absence of active use of a synaptic connection (Verhage et al. 2000); if this constant signal ceases, the synaptic connection would be lost or not be properly established in the first place. This hypothesis has recently received further experimental confirmation: Choi et al. (2014) investigated the formation of neuromuscular junctions in *Drosophila* larvae, using genetic tools to separately suppress evoked and spontaneous transmission. They found that suppression of evoked release had no detrimental effect on synapse formation and maturation, while additionally abolishing spontaneous release largely arrested synapse development. Increasing spontaneous release, on the other hand, resulted in an expansion of synaptic boutons. This data strongly supports the view that spontaneous neurotransmission has an essential role in synapse development and maintenance.

In recent years, a debate ensued on the question whether spontaneous release is carried out by vesicles from the recycling pool or from a distinct pool of synaptic vesicles. The opinions on the matter are still wildly divergent – as are the experimental data. A common way to study spontaneous release is to suppress evoked release by applying the sodium channel blocker TTX. Sara et al. (2005) used this approach to study spontaneous release in hippocampal cultures. They loaded vesicles with the membrane dye FM2-10 either without stimulation in the presence

of TTX (spontaneous release) or during stimulation with high potassium (evoked release). They then tried to destain the vesicles labelled under these conditions by evoking release via stimulation (see [Methods Box](#)). Upon destaining stimulation, spontaneously labelled vesicles destained much more reluctantly than vesicles labelled during stimulation. In support of this, Mathew et al. (2008) found that vesicles loaded with FM1-43 under spontaneous conditions destained markedly slower under a stimulation of 10 Hz than vesicles loaded by evoking release via electrical stimulation, with kinetics comparable to what Sara et al. (2005) had observed. Taken together, this supports the notion that spontaneous vesicles are ‘deaf’ to stimulation, but are more likely to release during rest. In a different approach, Fredj and Burrone (2009) used a VAMP2-based construct with vesicle luminal biotin to investigate the spontaneous pool. They tagged the biotin with labelled streptavidin on vesicles releasing under spontaneous conditions and under stimulation. They found, like Sara et al. (2005), that the vesicles belong to two different populations. These seem to be clear indications that the spontaneously releasing vesicles are specialised for spontaneous release and can thus be thought of as an independent pool.

Groemer and Klingauf (2007) disputed this view. They also used FM dyes to stain vesicles, but they used a much milder stimulation paradigm (30 Hz for 4 s) to load vesicles than Sara et al. (2005) and Mathew et al. (2008). They sequentially loaded neurons with two FM dyes with different spectral properties under spontaneous and evoked labelling conditions and then simultaneously imaged unloading of dye from these two pools – and found completely identical kinetics. Wilhelm et al. (2010) addressed the question in several model systems, using both biotin-tagged antibodies and FM dyes and a combination of mild release paradigms. They also found that vesicles releasing spontaneously and upon stimulation belong to the same pool. Groemer and Klingauf (2007) had suggested that normalisation could have distorted data interpretation in earlier studies, but Mathew et al. (2008) took this into account and still found differences between vesicles loaded under spontaneous and evoked conditions. The controversy on the spontaneous pool thus remains unresolved from functional studies.

Several studies sought to investigate the molecular composition of vesicles of the proposed spontaneous pool. Hua et al. (2011b) and Bal et al. (2013) found that VAMP7 is enriched in spontaneously releasing vesicles, while Ramirez et al. (2012) found the same for Vti1a. Both of these SNAREs are, however, so scarce on synaptic vesicles in general – 2 copies or less, on average (Takamori et al. 2006) – that they might even be considered contaminants or leftovers from development, as synaptic vesicles pass through compartments enriched in these SNAREs during biogenesis (see Rizzoli 2014). Furthermore, they have both primarily been implicated in trafficking distinct from synaptic vesicle recycling: Vti1a in *cis*- and *trans*-Golgi trafficking (Fischer von Mollard and Stevens 1998; Ganley et al. 2008) and VAMP7 in growth cone development and late endosome/lysosome trafficking (Wang and Tang 2006; Burgo et al. 2012). While assigning molecular markers to distinct trafficking pathways can be deceiving, this calls into question the identity of spontaneously releasing vesicles as bona fide synaptic vesicles. This concern is fortified by the finding that a Vti1a/VAMP7 SNARE

complex seems to facilitate non-canonical constitutive trafficking of neuronal voltage-gated potassium channels to the cell surface in non-neuronal as well as neuronal cells (Flowerdew and Burgoyne 2009). Furthermore, recent findings suggest that the spontaneously releasing vesicles differ from recycling pool vesicles in their relative enrichment of several other endosomal or constitutive trafficking proteins (syntaxin 13, VAMP4) and relative lack of several canonical synaptic vesicle proteins (synaptotagmin I, VAMP2) (Revelo et al. 2014). Interestingly, Sara et al. (2005) found that vesicles labelled under spontaneous conditions destained much faster during a 20 min resting period without any stimulation than vesicles labelled under conditions of evoked synaptic vesicle release. This also seems to indicate a constitutive trafficking pathway. Taken together, these findings lead to the question if spontaneously releasing vesicles can be considered synaptic vesicles at all or if they are some other type of trafficking organelle in the synaptic bouton.

Pointing to yet another fundamental departure of spontaneously releasing vesicles from the canonical synaptic vesicle recycling machinery is the observation that spontaneous release seems to be completely unaffected by dynamin inhibition (Chung et al. 2010). Since dynamin is indispensable for clathrin-mediated endocytosis, regarded as the main way of synaptic vesicle recycling (Granseth et al. 2006; Rizzoli and Jahn 2007), this can either mean that spontaneously releasing vesicles only release via dynamin-independent kiss-and-run or that they are not bona fide synaptic vesicles. To view the spontaneously releasing vesicles not as a pool of synaptic vesicles but as a set of distinct organelles (see Fig. 14.3) might help to resolve some of the conundrum surrounding the wildly divergent experimental evidence on the ‘spontaneous pool’ in the future. It is likely that organelles do not solely have the clear-cut properties and functions traditionally assigned to them. Organelles we sort into distinct categories may rather fall on a continuous spectrum. Cells may even frequently make – and tolerate – mistakes. In this case, this might result in vesicles that contain neurotransmitter (thus the evoked postsynaptic potentials already observed by Fatt and Katz 1950 and Fatt and Katz 1952), but do not respond to triggers of synaptic vesicle release and instead constitutively traffic to the cell surface.

14.4 Pool Identity and Pool Transitions

The main remaining questions regarding the maintenance and alteration of pool identity are: What distinguishes synaptic vesicles with different functional roles? What triggers a vesicle to change this role? How can this process be regulated and adapted to enable the neuron to respond to different demands? It is surprisingly difficult to pinpoint the cause for the functional distinction of synaptic vesicles into actively releasing and recycling ones or inert ones of the reserve pool. Recycling vesicles do not have a privileged localisation in the bouton. The ultrastructure is no indication; a recent study strove to elucidate the structure of the luminal assembly of synaptic vesicles and found it to be surprisingly similar between vesicles docked

at the active zone and undocked vesicles (Harlow et al. 2013). There is only very scarce data linking synaptic vesicle composition to their functionality.

14.4.1 Subcellular Localisation

Only the relatively small fraction of readily releasable vesicles can claim a privileged localisation in the synaptic bouton linked to their release propensity: they are docked and primed for release at the active zone. However, not even this is always an indication of function: not all vesicles docked at the active zone appear to be readily releasable (Xu-Friedman et al. 2001; Rizzoli and Betz 2004). The recycling pool and the reserve pool are thoroughly intermixed throughout the synaptic bouton (e.g. Denker et al. 2011a, 2009; see Sect. 14.2 and Fig. 14.1a). This has been observed in a variety of preparations in independent studies, from invertebrates to vertebrates and from neuro-motor and sensory synapses to central synapses: in the *Drosophila* larva neuromuscular junction (Denker et al. 2009); frog neuromuscular junction (Rizzoli and Betz 2004); snake neuromuscular junction (Teng and Wilkinson 2000); in the neuromuscular junctions of locust, zebrafish, *C. elegans*, mouse and developing chicken embryos (Denker et al. 2011a); goldfish bipolar nerve terminal (Paillart et al. 2003); mammalian hippocampal synapses (Harata et al. 2001a, b); the mammalian Calyx of Held (de Lange et al. 2003); and *Drosophila* larva central synapses and the optic lobe of the cricket (Denker et al. 2011a). This body of evidence suggests that access to the active zone and release propensity are not dependent on the physical distance of the recycling pool vesicles to release sites. This means that other factors must be considered as determinants of synaptic vesicle functionality.

14.4.2 Mobility

As contact to the active zone is indispensable for membrane fusion and neurotransmitter release and recycling pool vesicles can be located far away from the active zone (see Sects 14.4.1 and 14.2.1), mobility is essential for the availability of recycling pool vesicles for release at the active zone on demand. Considering this, the mobility of synaptic vesicles may be the defining factor for release propensity. It has been reported that synaptic vesicles of the recycling pool exhibit a higher degree of mobility than those of the reserve pool (Gaffield et al. 2006), which also allows their rapid exchange between neighbouring synapses (Staras et al. 2010; Welzel et al. 2011).

These observations lead to the question of how reserve pool vesicles are immobilised and how mobilisation might be controlled. One protein family in particular has emerged as the main factor in vesicle immobilisation – synapsin (as reviewed by Pechstein and Shupliakov 2010 and Cesca et al. 2010). This protein

can bind actin (Greengard et al. 1993; Pieribone et al. 1995) as well as protein and phospholipid components of synaptic vesicle membranes (Benfenati et al. 1989) at the same time, with a selective preference for membranes with the curvature of synaptic vesicles (Krabben et al. 2011). This led to the formulation of the ‘synapsin hypothesis’ by Paul Greengard in 1993, according to which an immobilisation of synaptic vesicles by tethering them to each other as well as to a cytoskeletal scaffold restricts their mobility and thereby controls their release propensity. Triple knockout mice for all three mammalian synapsin variants are viable (with a severe epileptic phenotype), but their synapses show an increased mobility of synaptic vesicles, with vesicles drifting into the axonal inter-bouton space (Fornasiero et al. 2012; Orenbuch et al. 2012). The tethering of synaptic vesicles in the cytomatrix formed by synapsin and other structural elements is not irreversible but dynamically regulated by calcium-dependent phosphorylation (Fornasiero et al. 2009; Cesca et al. 2010; Valtorta et al. 2011; Ho et al. 2011). This may contribute to explaining the observed differences in the fraction of vesicles available for release under different stimulation paradigms (see Sects. 14.2 and 14.2.1). Additionally, recycling synaptic vesicles have been noted to turn immobile within tens of minutes (Kamin et al. 2010), likely marking their transition into the reserve pool.

These results indicate that immobilisation defines the reserve pool, while mobility functionally defines the recycling pool. It remains unclear, however, what the trigger for the integration of synaptic vesicles into the immobilising synapsin meshwork might be.

14.4.3 *Molecular Tags*

It could be assumed that a molecular tag triggers the transition of synaptic vesicles between the recycling and the reserve pool – one or more proteins or post-translational modifications or a combination of those. But despite the fact that the synaptic vesicle is an organelle that has been exceptionally well characterised on a molecular level, qualitatively (Jahn and Südhof 1994; Blondeau et al. 2004; Morciano et al. 2005) as well as quantitatively (Takamori et al. 2006), a molecular tag governing pool transitions is still elusive. While there is substantial experimental evidence that synapsin maintains synaptic vesicles in an immobilised state (e.g. Orenbuch et al. 2012; Fornasiero et al. 2012), there is virtually no evidence on how integration into the synapsin meshwork is triggered. While phosphorylation of synapsin can globally alter the release propensity of synaptic vesicles in one bouton (see previous and following section), there is, to our knowledge, no published data on post-translational modifications of synaptic vesicle proteins selectively regulating the functional state of individual vesicles in distinction from other synaptic vesicles.

For a tag protein to enter (or leave) a synaptic vesicle, there would have to be an exchange of material of the vesicle with other membrane compartments.

The only membrane compartments with which a synaptic vesicle regularly comes into contact after biogenesis are the plasma membrane and possibly endosomes. The full-fusion model of synaptic vesicle recycling (reviewed by Rizzoli and Jahn 2007) suggests that vesicles completely collapse into the plasma membrane, with subsequent clathrin-mediated retrieval of fully functional vesicles directly from the plasma membrane or retrieval of larger membrane patches (bulk endocytosis) or disassembled vesicles which require a step of endosomal sorting before functional vesicles can be restored. The role of endosomes during physiological recycling processes remains highly controversial, and it is possible that endosomes only play a role during recycling after strong supra-physiological stimulation and thus have little or no bearing on functional regulation *in vivo*. A strong argument for this view is the finding by Murthy and Stevens (1998) that FM1-43 dye taken up by vesicles during a short and mild stimulation of 5 s at 1 Hz does not get diluted, i.e. the released amount of FM1-43 upon a destaining stimulation equals that of FM1-43 originally taken up. Since FM1-43 indiscriminately labels membranes, recycling through endosomal structures would lead to dilution of dye into the endosome and out of the vesicle membrane, reducing the amount of dye available for release via synaptic vesicles during subsequent destaining stimulation. In a recent review, Morgan et al. (2013) argue against this dilution scenario, citing observations by Richards et al. (2000, 2003) of large FM1-43-labelled endosomal cisternae budding off from the plasma membrane after stimulation. If these represent endosomal structures involved in synaptic vesicle recycling, dilution of dye from synaptic vesicles would not occur, since the endosomes themselves are equally labelled. However, Richards et al. (2000, 2003) used prolonged high-frequency stimulation protocols (30 Hz for 1 min) which likely induce the formation of cisternae as a recycling artefact (Ceccarelli and Hurlbut 1980; Rizzoli and Betz 2005). These likely represent a compensatory mechanism in response to supra-physiological levels of stimulation, which does not occur in the synapse *in situ*: recent findings suggest that the clathrin-mediated endocytosis machinery is only sufficient for simultaneous recycling of ~7–11 % of all synaptic vesicles at a synaptic bouton (Wilhelm et al. 2014), which would be sufficient for the 1–5 % of vesicles in use over several minutes to hours *in situ* (Denker et al. 2011a), but insufficient to deal with the ~20 % of vesicles released within seconds by artificial stimulation. On the other hand, analyses of vesicles of the readily releasable pool have shown that association with endosomal markers increases after stimulating release (Hoopmann et al. 2010) and endosomal sorting may have a role in eliminating dysfunctional synaptic vesicle components (Uytterhoeven et al. 2011). Endosomes could thus be involved in synaptic vesicle recycling. But it remains uncertain if they are a site for maintenance of vesicle integrity or if they could also serve to compromise that integrity.

AP180 and stonin 2 have been identified as regulators for maintaining synaptic vesicle composition upon recycling from the plasma membrane, and their deletion can cause severe missorting upon endocytosis (Diril et al. 2006; Koo et al. 2011; Kononekno et al. 2013). This indicates that measures for maintenance of synaptic vesicle integrity exist. But it remains controversial if synaptic vesicles maintain

their identity during recycling. Strong evidence argues for it (also see Sect. 14.3.2), but even minute changes during a single recycling event might sum up to severe changes over time, with repeated rounds of exo- and endocytosis. Hoopmann et al. (2010) found differences in the composition of readily releasable vesicles after exocytosis in comparison to the total vesicle pool. They labelled vesicles by stimulating synaptosomes (connected presynaptic and postsynaptic compartments sheared off from intact neurons) in the presence of antibodies against the luminal domain of synaptotagmin (see [Methods Box](#)) and co-immunostained for several proteins involved in synaptic vesicle recycling. Apart from significant increases in association with several soluble endocytotic proteins (e.g. endophilin, dynamin, Rab5), they found increases in association of readily releasable vesicles with the cell membrane SNAREs SNAP25 and syntaxin 1. It is unclear, however, if these changes were permanent or only transient (and could have been reversed, e.g. through endosomal sorting), since the investigated time scale was on the order of seconds to minutes. It also has to be cautioned that the fragility of the preparation (synaptosomes) and the used stimulation paradigm might be prone to induce bulk-endocytosis artefacts.

Hua et al. (2011b) found that a transfected VAMP7-pHluorin construct targets mainly to vesicles that are release incompetent in response to stimulation and concluded that those vesicles are reserve pool vesicles and that thus VAMP7 might be an integral molecular marker for the reserve pool. Since VAMP7 has also been reported to target to spontaneously releasing vesicles that are incompetent to respond to stimulation (Hua et al. 2011b; Bal et al. 2013), it remains an open question, however, if the VAMP7-positive vesicles were, in fact, reserve pool vesicles or bona fide synaptic vesicles at all (see Sect. 14.3.3). The question of the existence of a specific molecular pool tag thus remains unresolved, to date.

14.4.4 Modulation of Pool Transitions

Recycling pool vesicles may regularly turn into release-incompetent reserve pool vesicles (see Sect. 14.2.3), a process dubbed ‘maturation’ (Denker and Rizzoli 2010). The main evidence for this is the observation that synaptic vesicles that have been released once become reluctant to release after a resting period (Richards et al. 2000, 2003; Rizzoli and Betz 2004). This is in line with the observation that recently recycling vesicles become immobile after a while (Kamin et al. 2010), presumably due to integration into the largely immobile reserve pool cluster of synapsin, synaptic vesicles and cytoskeletal elements. All this suggests a linear unidirectional model for pool transitions of vesicles from the recycling pool to the reserve pool, albeit this cannot be ascertained without further experimental confirmation.

The fraction of recycling vesicles is, however, not fixed. It can be dynamically modulated to suit the requirements of the neuron by a plethora of pathways (see de Jong and Verhage 2009 for a review) – probably also as a means of achieving

plasticity in neuronal communication. The kinase CDK5 and the phosphatase calcineurin emerged as important antagonistic co-regulators of synaptic vesicle function. Inhibition of CDK5 increases the fraction of vesicles available for release, while suppression of calcineurin decreases the size of the recycling pool (Kim and Ryan 2010). MAP kinases and CaM kinases have also been implicated in regulating synaptic function via phosphorylation of synapsin (see Cesca et al. 2010 for a review). These phosphorylations can have different effects, but especially Ca^{2+} -dependent phosphorylation by CaM kinases I/IV and II can decrease the binding of synapsin to actin and synaptic vesicles (Cesca et al. 2010). This most likely helps to mobilise synaptic vesicles during high-frequency stimulation. To couple this regulation to Ca^{2+} influx, which is a direct consequence of stimulation, provides a direct link between demand and adjustment of supply. These examples highlight the importance of post-translational modifications of soluble regulators in determining the release propensity of synaptic vesicles. They cannot, however, be interpreted as the elusive molecular pool tag (see Sect. 14.4.3). Kinases and phosphatases cannot in themselves be specific ‘switches’ of pool identity for individual synaptic vesicles. If kinases or phosphatases in the synaptic space get activated, e.g. by Ca^{2+} influx, they will act on all available targets. So unless some synaptic vesicles are selectively shielded from post-translational modifications or the enzymes directed to specific vesicles, all will be affected equally. This would shift the probability for pool transitions, but equally for all vesicles, and would thus represent a regulator of pool transitions rather than a determinant. A pool tag would have to specifically alter the probability of a pool transition for an individual vesicle or subset of vesicles.

14.5 Roles of Synaptic Vesicles in Synaptic Communication Beyond Neurotransmitter Release

It has originally been believed that the reserve pool would constitute a strategic reserve of vesicles for phases of severe synaptic stress – thus the name *reserve pool* – and that all vesicles would regularly participate in synaptic transmission (Rizzoli and Betz 2005). This does not seem to be the case (see Sect. 14.2.3). While several *ex situ* studies found that the reserve pool could be mobilised when the recycling pool was artificially blocked (Kuromi and Kidokoro 1998) or depleted by supra-physiological stimulation (Richards et al. 2000, 2003), there is no evidence for this from experiments in the behaving animal. Indeed, the opposite seems to be the case. In a wide array of preparations, the vast majority of synaptic vesicles do not participate in release even under severe physiological stress – in excess of 90–95 % (Denker et al. 2011a; Körber et al. 2012; also see Sect. 14.2.1).

Why then does the cell maintain such a large reserve pool of synaptic vesicles that do not actively participate in neurotransmitter release under any physiological circumstances? It has been suggested that the main role of the large reserve pool

would be to act as a buffer for soluble synaptic proteins (Shupliakov 2009; Denker et al. 2011b). For synapses, this would solve the problem of protein targeting and sequestration with very low incremental cost to the cell and very low evolutionary demands. Synaptic proteins are trafficked to the synapse on several different carriers (Bonanomi et al. 2006) and have vastly differing lifetimes (Cohen et al. 2013) and thus, presumably, production rates. Simply sequestering them into synaptic boutons by affinity to the vesicle cluster seems to be evolutionary much more favourable than evolving individual protein targeting mechanisms or synchronising production and transport of proteins with divergent individual roles in synaptic vesicle release and recycling. Most proteins involved in synaptic vesicle release and recycling display a certain degree of association with the vesicle cluster (Denker et al. 2011b), probably because they all have some moderate affinity for synaptic vesicles (conceivable, since most proteins involved in synaptic vesicle release and recycling directly interact with synaptic vesicles at some point). Even a low-affinity interaction with synaptic vesicles could buffer a substantial amount of protein into the synaptic vesicle cluster of a bouton, simply by avidity. Biochemical evidence for this hypothesis has recently been obtained for complexin (Wragg et al. 2013), a key regulator of synaptic vesicle exocytosis that restricts spontaneous fusion by blocking spontaneous SNARE complex assembly. In agreement with previous observations (Denker et al. 2011b), Wragg et al. (2013) found a high co-localisation of complexin with synaptic vesicles. Co-localisation of the effector (complexin) and the target (synaptic vesicle SNAREs) is essential; accordingly, a certain affinity of complexin to synaptic vesicles can be expected. However, Wragg et al. (2013) found only a relatively low-affinity interaction of complexin with synaptic vesicles but could not identify an alternative targeting mechanism to the synapse. They concluded that the observed low affinity could nonetheless explain how complexin gets trapped in the synaptic vesicle cluster because of the avidity effects provided by the tightly organised cluster of hundreds to thousands of synaptic vesicles found in synaptic boutons. Note that a high affinity is not essential in this scenario; it could even interfere with the normal function of soluble regulators of synaptic function. They need to be present at the synapse, but should only be recruited to perform their function on specific vesicles upon specific cues. A high affinity of many cofactors for synaptic vesicles would preclude this, since synaptic vesicles would be covered with cofactors of various (even opposing) trafficking steps at all times.

Furthermore, the protein buffering hypothesis might provide explanations for some phenomena observed in synapse formation and plasticity. While the contribution of pre- and postsynaptic factors in synapse formation and the possible dominating role of the one or the other remain controversial (Jin and Garner 2008; Mayford et al. 2012), in several scenarios the presynapse is favoured as the initiating part (e.g. Friedman et al. 2000; Sabo et al. 2006). To form a synapse, active zone protein assemblies, adhesion complexes and cytoskeletal and structural elements all have to be recruited, assembled and maintained. Providing a large buffer for soluble proteins involved in synaptic vesicle recycling and active zone maintenance (e.g. Muncs), as well as an anchor point for structural elements and

cargo vesicles loaded with those essential structural elements, will ensure the maintenance of the presynapse. Pausing and clustering of synaptic vesicles or synaptic vesicle precursors is the inductive factor for synapse formation (Friedman et al. 2000), at least under some circumstances, by providing an anchor point for trafficking of other synaptic components (Sabo et al. 2006). Such nascent clusters recruit presynaptic proteins as well as structural elements, like actin (Sabo et al. 2006), which are required to shape the forming synaptic bouton. As disruption of normal actin dynamics by application of latrunculin A abolishes actin recruitment, but not vesicle pausing (Sabo et al. 2006), it is clear that the assembly of vesicles precedes recruitment of structural elements and thus appears to be causative for recruitment of synaptic factors – perfectly in agreement with the proposed buffering hypothesis. Small synapses, with a larger number of vesicles participating in active recycling (Marra et al. 2012; Rose et al. 2013) and a potentially less reliable release rate (due to their lower buffer capacity), may furthermore more easily be eliminated in long-term plasticity processes (Wiegert and Oertner 2013). This may be due to the fact that recycling vesicles cannot act as a protein buffer as efficiently as reserve pool vesicles, because they repeatedly collapse into the membrane, shedding soluble cofactors in the process (e.g. synapsin: Tarelli et al. 1992), and are much more mobile. It should be noted in this context that the especially large motoneuron synapses contain a much larger fraction of reserve pool vesicles and display much less plasticity, compared to small central synapses. On the contrary: their stability has to be maintained at all costs to preclude fatal neuro-motor failure, since one effector neuron forms much fewer contacts with its target muscle than central neurons form with each other, and additionally, lost contacts are extremely difficult to re-establish for developmental reasons. If a synaptic terminal is lost, axon guidance and outgrowth to re-establish the connection to the muscle has to be initiated and can take weeks to months. A large reserve pool acting as protein buffer will help to ensure synapse maintenance and additionally provide added redundancy in cofactor availability for ensuring reliable release of the recycling pool vesicles.

14.6 New Perspectives on Cell Biological Problems from the Study of Synaptic Vesicle Pools

Synaptic vesicles are clearly divided into functionally different subpopulations, despite the fact that all of them are (so far) largely indistinguishable in terms of ultrastructure, molecular composition and subcellular localisation. This functional heterogeneity within the same type of organelle might not represent the cell biological exception, but rather the rule. For example, it has been reported that mitochondria may be selected on the basis of their ability to produce ATP during germ cell development (Zhang et al. 2008; Zhou et al. 2010; Poulton et al. 2010). The mitochondria that produce more ATP can move faster along the cytoskeletal

tracks leading to the primary oocyte, while less productive mitochondria fall behind and get diverted to the secondary oocytes, which do not participate in reproduction. While further experimental confirmation of this phenomenon would be desirable, it is currently one of the only cues as to how dysfunctional mitochondria are eliminated from the germ line (Poulton et al. 2010). It is conceivable that synaptic vesicle pool transitions might follow the same principle of ‘organelle competition’. This process might thus be interpreted as ‘elimination’, on the basis of deteriorating organellar functionality. As the vesicles age, their protein complement and functionality might undergo deterioration, which reduces their release probability, which leads to their gradual integration into the synapsin meshwork of the reserve pool cluster.

Another lesson from the investigation of synaptic vesicle pools is that one organelle might fulfil more than its traditionally assigned function. Synaptic vesicles very likely do not only take care of neurotransmitter release itself but also serve as a buffer for protein cofactors of exo- and endocytosis (Denker et al. 2011b; Shupliakov 2009; see Sect. 14.5). Connected to this observation is the interesting notion that the same organelle can adopt different roles in the cell over time. Not only do synaptic vesicles switch between a release-competent and a release-incompetent state – they assume a new role in the framework of cellular function at the same time. This illustrates the potential for functional versatility in organelles and that the cell is an economic entity that cannot afford waste – notwithstanding the possibility of errors or mistakes in the execution of cellular processes (Saka and Rizzoli 2012). The potential for errors and the apparent economic nature of the cell can be reconciled by bearing in mind that the occurrence of mistakes is an evolutionary necessity. The occurrence of mistakes in one process might be useful in another process. For example, should spontaneously releasing vesicles prove to be fundamentally different from synaptic vesicles in molecular composition, they might represent a form of rejects from synaptic vesicle biogenesis that are deaf to the usual triggers of synaptic vesicle release but nonetheless serve an essential role in maintaining synaptic contacts by facilitating a constant rate of constitutive release of neurotransmitter even in the absence of such triggers (see Sect. 14.3.3). Furthermore, spontaneous vesicles behave like synaptic vesicles in some respects (release of neurotransmitter), but like constitutive trafficking organelles in others (‘deafness’ to stimulation, SNARE complement, constant constitutive release). The case of the spontaneous pool thus also illustrates the possibility that there might often not be a clear distinction between related classes of organelles, but rather a functional continuum.

The unanticipated functional heterogeneity of synaptic vesicles (and maybe of organelles in general) highlighted here offers a new perspective for cell biologists. Potential for plasticity and ability to adapt must not always be looked for solely on the microscale molecular level or the macroscale level of cell-cell interactions but on the mesoscale of organelles. Future research will have to focus on the possibility of functional heterogeneity among organelles that have previously been treated as completely identical. Not only might there be an unanticipated heterogeneity between organelles of the same class, but there might even be faulty ones.

How the cell deals with those faulty organelles (elimination or integration into evolutionarily new roles) is an important question for future research, and the awareness that the cell has to deal with this at all might provide a fruitful framework for interpretation of experimental data.

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