# Chapter 9 Corneal Astigmatic Correction by Femtosecond Laser Incisions

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Abstract Astigmatism management is a crucial part of ensuring good postoperative uncorrected visual acuity and high patient satisfaction after cataract surgery. The most commonly performed astigmatic corneal incisions include arcuate keratotomy and limbal relaxing incisions. Although manual astigmatic incisions are inexpensive and easy to perform, their treatment response is inherently variable and less predictable. Femtosecond lasers are able to provide faster, safer, easier, customizable, adjustable, and fully reproducible astigmatic incisions. Various nomograms and online calculators are available to aid in preoperative planning of astigmatic incisions. The refractive effect of astigmatic corneal incisions created by femtosecond lasers can be titrated both intraoperatively and postoperatively by manipulating the incision opening, guided by intraoperative aberrometry and postoperative keratometry. Finally, intrastromal incisions using femtosecond lasers, which are currently under investigation, may deliver decent astigmatic correction while preserving corneal stromal integrity, reducing pain, hastening postoperative recovery, and avoiding risk of infection.

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#### 9.1 Introduction

The introduction of femtosecond lasers has revolutionized cataract surgery, further propelling modern cataract surgery into refractive surgery. This chapter will discuss the use of femtosecond laser for management of corneal astigmatism in cataract surgery.

#### 9.1.1 Modern Cataract Surgery

Recent advancements have allowed surgeons to perform cataract surgery quickly, safely, and with great visual results on a consistent basis. As a result, it has become a refractive procedure in which patients have increasingly high expectations for both functional visual improvement as well as spectacle independence [[1,](#page-12-0) [2](#page-12-0)]. The single most important aspect of the ability to provide good surgical outcomes, quality of vision, and satisfaction for patients requesting refractive cataract surgery and optimal postoperative uncorrected visual acuity is management of astigmatism.

#### 9.2 Astigmatism Management in the Modern Era

Astigmatism is an optical phenomenon in which incoming light rays are focused at more than one location: anterior, posterior, or directly on the retina. This causes visual distortion and decreased visual acuity. The two main sources of astigmatism are the cornea and the lens. When performing cataract surgery, it is important to neutralize corneal astigmatism that may be preexisting or surgically induced. Residual astigmatism of 0.50 diopters (D) or even less may result in glare, symptomatic blur, ghosting, and halos [[3\]](#page-12-0). As a result, greater emphasis has been placed on treating corneal astigmatism at the time of cataract surgery. A recent study of 4,540 eyes of 2,415 patients showed that corneal astigmatism is present in the majority of patients undergoing cataract surgery, with at least 1.50 D measured in 22.2 % of study eyes [\[4](#page-12-0)]. Approximately 38 % of eyes undergoing cataract surgery have at least 1.00 D of preexisting corneal astigmatism, and 72 % of patients have 0.5 D or more [[5\]](#page-12-0).

#### 9.2.1 Corneal Astigmatism and Multifocal IOLs

Patients implanted with multifocal IOLs are significantly more sensitive to small spherical and cylindrical irregularities in the cornea than those receiving traditional monofocal IOLs. Therefore, surgeons who implant refractive IOLs must be prepared to sufficiently reduce corneal astigmatism in order to achieve satisfactory results.

### 9.2.2 The Coupling Effect of Corneal Astigmatic Incisions

Corneal incisions used to correct visually significant astigmatism are deemed "astigmatic incisions." When placed circumferentially at the steep corneal meridian, they cause corneal flattening at that axis while inducing steepening at the meridian  $90^\circ$  away. The ratio of flattening at the meridian of incision to induced steepening at the opposite meridian is known as the coupling ratio. Various factors, including length, depth, and position of the incisions, may influence this ratio. Long, straight, and tangential incisions tend to induce a positive coupling ratio (greater than 1.0), causing a hyperopic shift. A coupling ratio of 1 is ideal because the spherical equivalent remains constant. Smaller, standardized arcuate incisions are more likely to yield coupling ratios closest to 1 [[6\]](#page-12-0).

#### 9.2.3 Types of Corneal Astigmatic Incisions

Two variations of astigmatic incisions are regularly used today: peripheral corneal relaxing incision (PCRI) (traditionally referred to as "limbal relaxing incisions" although they are made in the peripheral cornea and not the limbus) and astigmatic arcuate keratotomy (AK). Astigmatic transverse keratotomy (TK) was frequently used in the past in combination with astigmatic radial keratotomy (RK) to correct myopic astigmatism, but both are largely obsolete. Arcuate keratotomy was also used to correct natural corneal astigmatism, but is now used primarily to correct post-keratoplasty astigmatism. PCRIs are the mainstay treatment today to manage astigmatism during or after phacoemulsification and IOL implantation.

AKs (curved incisions) and TKs (straight incisions) are typically placed in the cornea at the 7 mm optical zone, closer to the visual axis and at greater depth  $(90\%)$ compared to PCRIs which are partial thickness incisions placed immediately anterior to the limbus. PCRIs are set at approximately 600 μm depth or 50 μm less than the thinnest pachymetry at the limbus. PCRIs are performed more frequently due to several important advantages. These advantages include a reduced tendency to cause axial shift, less irregular astigmatism, a 1:1 coupling ratio, a decreased chance of visually significant scarring, and a reduced likelihood of perforation [\[7](#page-12-0)].

#### 9.2.4 Preoperative Planning for Limbal Relaxing Incisions

Preparation for a PCRI first involves ascertaining the steep corneal meridian at which the incision will be made. The incision length and depth are then calculated based on the magnitude of astigmatism to be corrected. If needed, numerous PCRI nomograms are available. There are a number of PCRI nomograms for correcting small amounts of cylinder and many studies evaluating the efficacy of PCRIs that have been performed  $[8-19]$ . In one study on the effectiveness of PCRIs, there was a 60 % average reduction of cylinder [[20\]](#page-13-0), with 79 % of patients corrected to less than 1.00 D of cylinder and 59 % corrected to less than 0.5 D of cylinder. The 60 % reduction in cylinder compares favorably with the results achieved using toric IOLs, which result in a mean 58.4 % reduction in cylinder [\[21](#page-13-0)].

Many nomograms are adjusted for age and cylinder axis, making them detailed and complex and giving the impression that the procedure is extremely precise and unforgiving. In our opinion, however, this simply is not the case. The PCRI procedure has numerous chances for error, and the many variables that help determine how the incision is performed can each be measured incorrectly. The incision itself, if performed manually, is only as precise as the surgeon making the incision and the accuracy of the blade. Any of these errors can compound and result in suboptimal visual acuity.

In addition, the astigmatism induced by the phacoemulsification incision must be considered when determining the final postoperative residual cylinder that is to be treated. For example, a superior clear corneal incision flattens the incised meridian resulting in additional against-the-rule astigmatism. In a patient with 0.5 D of against-the-rule astigmatism, it would be appropriate to perform a PCRI at 180 preoperatively. Conversely, in a patient with 0.5 D of preexisting with-the-rule astigmatism, a PCRI can be avoided altogether by simply placing the clear corneal incision superiorly. Oblique astigmatism is more complex and requires a vector analysis [\[16](#page-12-0), [22](#page-13-0)].

Vector analysis allows the surgeon to determine the proper meridian at which to perform an astigmatic incision based on the preoperative astigmatism and clear corneal incision. All of these factors can be calculated online at [www.](http://www.pcricalculator.com/) [PCRIcalculator.com](http://www.pcricalculator.com/) (Fig. [9.1](#page-4-0)). This calculator uses vector analysis to calculate where to make PCRI incisions based on preoperative patient keratometry and the surgeon's induced astigmatism; it also uses the Donnenfeld and Nichamin nomogram and provides a visual map of the meridian and length of incisions that should be performed. A printout of the PCRI calculator can be brought to the operating room and used as a guide when marking the cornea and performing PCRIs.

<span id="page-4-0"></span>

Fig. 9.1 The Donnenfeld and Nichamin nomogram is available on the Internet at [www.](http://www.lricalculator.com/) [LRIcalculator.com](http://www.lricalculator.com/)

# 9.2.5 Management of Potential Complications with Limbal Relaxing Incisions

As with any surgical procedure, there are potential complications associated with PCRIs. Most, however, are either temporary or correctable. Potential problems include overcorrection, undercorrection, infection, perforation of the cornea, decreased corneal sensation, dry eye disease, induced irregular astigmatism, and discomfort. The procedure is generally not associated with glare or starbursts, as may be seen with radial keratotomy or arcuate keratotomy. For undercorrected patients with significant residual astigmatism, it may be necessary to redeepen or extend the PCRI. For large overcorrections, we recommend waiting and then later cleaning out the wound with a Sinskey hook and suturing it with 10-0 nylon if necessary. For smaller overcorrections, an excimer laser photoablation may be employed. We rarely recommend placing additional PCRIs in the meridian 90° away from the original PCRIs for consecutive cylinder, as this may induce irregular astigmatism. In the event of corneal perforation, a suture may need to be placed if the wound does not self seal.

Suboptimal accuracy and potential complications may be the reason why the majority of cataract surgeons choose not to perform manual astigmatic incisions. In a survey of 233 surgeons, only 73 routinely performed PCRIs [[23\]](#page-13-0). Alternative procedures for managing astigmatism exist including excimer laser photoablation, toric IOL implantation, and conductive keratoplasty. These options, however, may not be available to all cataract surgeons and their patients. In addition, toric multifocal IOLs are currently not available in the United States.

### 9.2.6 Manually Performed Limbal Relaxing Incisions: The Pros and Cons

Performing PCRIs is a necessary step in the path to becoming a good refractive cataract surgeon. They allow the surgeon the opportunity to treat small residual refractive errors. Manual PCRIs are easy to perform, inexpensive, and repeatable; may be performed at the time of cataract surgery; provide rapid visual recovery; and do not preclude future excimer laser treatments. However, there is a variable and unpredictable treatment response due to imprecise depth, length, angulation, and position of the incisions. This causes a shift in the coupling ratio away from 1 resulting in an incomplete correction of astigmatism as well as a change in spherical equivalent. These concerns combined with surgeon variability make manual PCRIs more of an art form than a science.

An alternative to manual astigmatic incisions is femtosecond laser-guided astigmatic correction.

### 9.3 Femtosecond Lasers in Ophthalmology

Femtosecond lasers for ophthalmic surgery have been commercially available since 1999, and more than four million procedures have been performed for the creation of LASIK flaps, lamellar and penetrating keratoplasties, and DSEK [[24–29\]](#page-13-0). Attention has shifted towards femtosecond laser-guided procedures because they offer a greater degree of precision, accuracy, and reproducibility than manual methods [[30\]](#page-13-0).

The US Food and Drug Administration have approved 510(k) clearance for the use of several femtosecond lasers for clinical applications in cataract surgery. The most remarkable features of laser cataract surgery include the precision of the performed incisions with minimal collateral damage to surrounding tissues, accuracy of capsulotomy dimensions and diameters, enhancement of capsular edge strength, reduction of phaco power in lens fragmentation, and improved wound sealing and healing, all of which lead to better and more reproducible results compared with manual, mechanical surgeries. The treatment of astigmatism during cataract surgery with femtosecond laser astigmatic incisions is positioned to revolutionize refractive cataract surgery.

#### 9.3.1 Femtosecond Lasers: Basic Principles

Femtosecond lasers are infrared photodisruptive lasers that generate a sequence of adjacent cavitation gas bubbles precisely focused on the target tissue. The short pulse durations of less than 800 femtoseconds (1 femtosecond in  $10^{-15}$  s) directly translate into a reduction in energy utilization when compared to previous ophthalmic lasers. Per-pulse energies can be reduced 1,000-fold from around  $1^{-10}$  mJ for nanosecond lasers to  $1^{-10}$   $\mu$ J for femtosecond lasers. These reductions in per-pulse energy result in substantial reductions in collateral tissue damage, shifting from a few millimeters from the intended target for nanosecond lasers to just a few microns for femtosecond lasers. Similar to the Nd:YAG laser systems, femtosecond laser pulses pass through transparent tissues and can be focused at a predetermined depth [\[31](#page-13-0)].

### 9.3.2 Femtosecond Laser-Assisted Corneal Arcuate Incisions: The Benefits

A major clinical application of the femtosecond laser is in creating corneal arcuate incisions. It allows for precise and repeatable incisions, which are necessary for consistent results not normally achieved through manual methods [\[32](#page-13-0)]. In a case study, femtosecond laser arcuate keratotomy was used to correct astigmatism. The refraction of the patients' eyes was measured along with the corneal thickness. Then the keratometry parameters were evaluated, and the femtosecond laser created the incisions, the length and position of which were calculated individually, per eye. OCT-controlled corneal pachymetry was performed directly in the area of the intended incisions and then programmed into the laser, which allows for extremely high levels of precision. The study results showed that with the femtosecond laser, astigmatism could be better corrected with an improved best-corrected visual acuity than was predicted in the preoperative values. Furthermore, the depth and location of the incisions were consistent with the surgical plan. Laser technology is able to create uniform corneal incisions precisely and predictably [[33\]](#page-13-0).

Femtosecond lasers allow for more efficacious and safer surgical procedures. The corneal tissue does not absorb the laser wavelength. The photodisruption dissipates within 100 μm of the target, which allows for a higher margin of safety because a sizeable distance is kept from Descemet's membrane, preventing perforation. Only one known case report describes the inadvertent perforation of the cornea with a femtosecond laser. In this case, a gas bubble in the anterior chamber alerted the clinician to the complication. The decision was made not to open the incision in order to prevent the possibility of wound leak as well as postoperative endophthalmitis [\[34](#page-13-0)]. Moreover, the laser can be programmed to create an ideal wound shape for enhanced sealing and healing of the incisions with reproducible induction of astigmatism that, in our view, cannot be achieved with the use of manual keratomes. Femtosecond laser incisions provide superior reproducibility and reduced variability compared with conventional manual incisions [[35\]](#page-13-0).

<b>Donnenfeld Nomogram for Limbal</b> <b>Relaxing Incisions</b>		Nomogram for 8 mm Arc <b>Incisions</b>
0.50 <sub>D</sub>	1 Incision, 1 1/2 Clock Hours (45 Deg. Each)	1 Incision, 1 Clock Hours (30 Deg. Each)
0.75D	2 Incisions, 1 Clock Hour (30 Deg. Each)	2 Incisions, 2/3 Clock Hour (20 Deg. Each)
1.50 <sub>D</sub>	2 Incisions, 2 Clock Hours (60 Deg. Each)	2 Incisions, 1 1/3 Clock Hours (40 Deg. Each)
3.00 <sub>D</sub>	2 Incision, 3 Clock Hours (90 Deg. Each)	2 Incision, 2 Clock Hours (60 Deg. Each)
astigmatism	*Use 5 degrees more for against-the-rule- *Use 5 degrees more for younger patients *Use 5 degrees less for older patients	85% Depth

Fig. 9.2 Femtosecond laser arc incision nomogram for the treatment of astigmatism at 9 mm (*left*) column) and 8 mm (right column) optical zones

In a study of initial results with the LenSx femtosecond laser, using 9 mm arcuate incisions and a 33 % reduction of the Donnenfeld nomogram, a 70 % reduction in astigmatism was achieved [\[36](#page-13-0)].

# 9.3.3 Planning and Performing the Femtosecond Laser Astigmatism Correction

When performing femtosecond laser arcuate incisions, the incision length, depth, position, and distance from the visual axis must first be determined.

We use a 33 % reduction of the Donnenfeld nomogram in conjunction with the PCRI calculator ([www.LRIcalculator.com](http://www.lricalculator.com/)) to determine the length and axis at which the incision should be placed (Fig. 9.2).

We preset the depth of our incisions to 85 % of the corneal pachymetry in the area of the incision. We set our distance from the visual axis at 9 mm.

This information is downloaded onto the femtosecond laser. The surgical procedure begins by docking the laser onto the cornea. An overlay of the incisions is then visible on the surgical screen (Fig. [9.3\)](#page-8-0).

A built-in safety measure prevents the intersection of the clear corneal and side port incisions with the astigmatic incision. OCT imaging of the cornea in the area of the arcuate incision is then visualized, and the depth is confirmed (Fig. [9.4](#page-8-0)).

The capsulotomy is performed first, followed by the lens disruption, and finally the corneal incisions. Following the conclusion of the femtosecond laser treatment,

<span id="page-8-0"></span>



Fig. 9.4 OCT imaging of the cornea in the area of the arcuate incision provides precise pachymetry



the patient is brought to the operating microscope, and the incisions are opened with a Sinskey hook (Fig. [9.5\)](#page-9-0).

Intraoperative aberrometry (ORA; WaveTec Vision, Aliso Viejo, CA) may then be performed to titrate the opening of the incisions. The incisions are symmetric and standardized at 9 mm from the visual axis (Fig. [9.6](#page-9-0)). OCT confirms the postoperative depth of the incisions (Fig. [9.7\)](#page-9-0). If needed, the arcuate incisions may be opened postoperatively. This can be done in the office at the slit lamp, using forceps or a Sinskey hook and topical anesthetic.

<span id="page-9-0"></span>Fig. 9.5 Sinskey hook used to open the femtosecond arcuate incisions



Fig. 9.6 Femtosecond arcuate incisions being performed



Fig. 9.7 OCT demonstrating the depth of the arcuate incision



# 9.4 Femtosecond Laser Incisions: Pearls and Our Experience

#### 9.4.1 Incision Angle

Femtosecond incisions are no longer an art form but a science with reproducible, arc length, depth, and angulation. The angulation may play a role in reducing wound gape, which may be seen with all corneal incisions but is much more common following incisions to reduce astigmatism following a penetrating astigmatism. A 135 $^{\circ}$  angulation was found to reduce wound gape and improve results [[37\]](#page-13-0). However, while the results have improved over manual PCRIs, there continues to be an unpredictable response to femtosecond incisions. This is due to the inherent variable response to corneal incisions which is based on age, pachymetry, corneal diameter and curvature, IOP, and biomechanics.

# 9.4.2 Titrating the Refractive Effect by Manipulation of Energy, Spot Size, and Spot Separation

A major advantage of femtosecond arcuate incisions is that the refractive incisions may now be made prior to cataract surgery and then modified intraoperatively and/or postoperatively. In addition, they do not have a full refractive effect until they are opened. We have begun to modify the energy, spot size, and separation of the spots to further refine the titration of our results. With higher energy and small spot size separation, the majority of the effect is achieved without opening the incisions. With lower energy settings and greater spot size separation, the incisions have minimal effect until they are opened. This is the case with femtosecond LASIK flaps that, when left in place, cause no refractive change and essentially disappear until they are opened. A good analogy is that the femtosecond laser incisions are similar to a sheet of postage stamps bound together by serrations. Until the serrations are manually torn apart, the stamps remain in a fixed location.

### 9.4.3 Utilizing Intraoperative Aberrometry to Refine the Refractive Effect

To further refine our results, we have been performing intraoperative aberrometry (ORA) to titrate our results in the operating room. Intraoperative aberrometry is a type of wavefront analysis in which aberrations in the wavefront are converted into the current refractive value  $[38]$  $[38]$ . We remove the cataract, place the IOL, and open one of the femtosecond incisions with a Sinskey hook. The IOP is then raised to

approximately 25 mmHg. Next, we perform intraoperative aberrometry, which provides an accurate reading of the existing astigmatism. The second femtosecond incision may then be opened partially or completely, based on the intraoperative aberrometry reading, which, if needed, can be taken again. For surgeons who do not have access to intraoperative aberrometry, patients can be examined with topography and refraction, performed the day, weeks, or even months after surgery. If needed, the remainder of the incision can be easily opened completely or partially in the desired axis in the office to increase the effect of the incision and adjust the residual astigmatic refractive error.

# 9.4.4 The Benefits of Intrastromal Sub-Bowman's Layer Ablations

In addition to the ability to titrate the PCRI with a femtosecond incision, this technology offers a second advantage compared to a manual diamond knife incision: the ability to perform intrastromal sub-Bowman's layer ablations. These incisions are less powerful than anterior penetrating incisions and are performed closer to the visual axis. Intrastromal incisions do not cut through Bowman's layer, and therefore, fewer stromal lamellae are incised. This should provide greater corneal stromal integrity, preserve epithelial integrity, reduce pain, and provide a faster recovery [\[39](#page-13-0)–[41\]](#page-13-0). One reason for the increased corneal transparency is the preservation of the epithelium, as injured epithelial cells release pro-fibrotic TGF-B1 [[40\]](#page-13-0). A small series of 16 eyes showed a mean reduction refractive astigmatism of 76.6 % with intrastromal arcuate keratotomy with no complications [\[42](#page-13-0)]. There is no need for postoperative antibiotics as the surface of the eye has not been violated and there is less likelihood of late wound drift, although long-term results are not available. Further clinical investigation and nomogram development are currently underway to optimize this method, which would eliminate the need for corneal wound manipulation on the surface.

### 9.5 Conclusion

In conclusion, the creation of femtosecond laser-assisted arcuate incisions is a novel technique that utilizes the precision of image-guided laser technology. Refractive incisions are now computer controlled and do not rely on surgeon skill or experience. The use of a femtosecond laser system will provide faster, safer, easier, customizable, adjustable, and fully repeatable astigmatic incisions. In addition, intrastromal ablations may increase the safety and accuracy of astigmatism management. Removing the inconsistencies in the astigmatic procedure will improve our understanding and accuracy of astigmatic incisions and should provide <span id="page-12-0"></span>improved refractive results and patient satisfaction. This technology offers immense potential but will require development with different nomograms and surgeons as well as expanded clinical experience.

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