

Amelia E. Aranega and Diego Franco

Contents

13.1	Introduction.....	154
13.2	mRNA Maturation: Generating Stability and Quality Control.....	155
13.2.1	5' End Capping.....	155
13.2.2	3' End Polyadenylation.....	158
13.2.3	Nonsense-Mediated Decay.....	159
13.3	mRNA Maturation: Generating Diversity (RNA Editing and Pre-mRNA Splicing).....	159
13.3.1	RNA Editing.....	159
13.3.2	Pre-mRNA Splicing and Alternative Splicing.....	160
13.4	Non-coding RNA-Mediated Posttranscriptional Control.....	162
	Conclusion.....	164
	References.....	164

Abstract

Posttranscriptional regulation comprises those mechanisms occurring after the initial copy of the DNA sequence is transcribed into an intermediate RNA molecule (i.e., messenger RNA) until such a molecule is used as a template to generate a protein. A subset of these posttranscriptional regulatory mechanisms essentially are destined to process the immature mRNA toward its mature form, conferring the adequate mRNA stability, providing the means for pertinent introns excision, and controlling mRNA turnover rate and quality control check. An additional layer of complexity is added in certain cases, since discrete nucleotide modifications in the mature RNA molecule are added by RNA editing, a process that provides large mature mRNA diversity. Moreover, a number of

A.E. Aranega • D. Franco (✉)
Cardiovascular Research Group, Department of Experimental Biology, University of Jaén,
Jaén, Spain
e-mail: dfranco@ujaen.es

posttranscriptional regulatory mechanisms occur in a cell- and tissue-specific manner, such as alternative splicing and non-coding RNA-mediated regulation. In this chapter we will briefly summarize current state-of-the-art knowledge of general posttranscriptional mechanisms, while major emphases will be devoted to those tissue-specific posttranscriptional modifications that impact on cardiac development and congenital heart disease.

13.1 Introduction

Posttranscriptional regulation comprises those mechanisms occurring after the initial copy of the DNA sequence is transcribed into an intermediate RNA molecule (i.e., messenger RNA) until such a molecule is used as a template to generate a protein. Posttranscriptional regulation is mainly mediated by distinct RNA-binding proteins (RBPs). RBPs are key components in RNA metabolism, regulating the temporal, spatial, and functional dynamics of RNAs. RBPs form dynamic interactions with coding, untranslated, and non-protein-coding RNAs in functional units called ribonucleoprotein (RNP) complexes [1, 2]. This enables the RBPs within RNP complexes to remain stably bound to the RNA throughout its journey from synthesis to degradation or to associate with the RNAs in a temporally and spatially specific manner. RNA molecules are constantly accompanied by RBPs, which are intimately involved in every step of RNA biology, including transcription, editing, splicing, transport and localization, stability, and translation. Altering the expression of RBPs has profound implications for cellular physiology, affecting RNA processes from pre-mRNA splicing to protein translation [1, 3]. RBPs therefore have opportunities to shape gene expression at multiple levels. This capacity is particularly important during development, when dynamic chemical and physical changes give rise to complex organs and tissues [2].

Modification of the nascent mRNA is a general mechanism that occurs in all cells within an organism. A subset of these posttranscriptional regulatory mechanisms essentially are destined to process the immature mRNA toward its mature form, conferring the adequate mRNA stability including modifications at the 5' and 3' ends (5' capping and 3' polyadenylation) as well as excision of pertinent introns by pre-mRNA splicing. mRNA turnover rate and quality control checking are performed by the nonsense-mediated decay (NMD) surveillance pathway. An additional layer of complexity is added in certain cases, since discrete nucleotide modifications in the mature RNA molecule are added by RNA editing, a process that provides large mature mRNA diversity. Given the fact that these posttranscriptional modifications would affect all RNA molecules, there are a very limited number of cases in which a discrete tissue layer or an organ, such as the heart, is affected since impairment impacts at the level of the organism. On the other hand, a number of posttranscriptional regulatory mechanisms occur in a cell- and tissue-specific manner, such as alternative splicing and non-coding RNA-mediated regulation. Alternative splicing is a major driver of mRNA diversity and consequently protein

diversity, affecting almost all genes within an organism. The use of alternative promoters or the generation of alternative mRNA species from a single gene locus has been reported widely in almost every biological context, providing extensive mRNA, and thus protein, diversity. In recent years a novel layer of regulation has been identified mediated by non-coding RNAs. Currently, short and long non-coding RNAs have been implicated modulating RNA expression at distinct biological levels, acting both as cis- and trans-acting factors. Importantly, tissue-specific expression of these short and long non-coding RNAs has been widely reported. In this chapter we will briefly summarize current state-of-the-art knowledge of general posttranscriptional mechanisms, while major emphases will be devoted to those tissue-specific posttranscriptional modifications that impact on cardiac development and congenital heart disease. While these processes are presented in the following subheadings as discrete events, it is important to highlight the intricate interrelationship between different posttranscriptional regulatory mechanisms.

13.2 mRNA Maturation: Generating Stability and Quality Control

The maturation of mRNA transcripts, from the time they are transcribed in the nucleus until they are exported into the cytoplasm, is accompanied by a series of general structural modifications (Fig. 13.1). A large number of RNA-binding proteins interact with the nascent transcript leading to the addition of modifications at the 5' and 3' ends as well along the coding sequence to basically stabilize the transcript and promote splicing whenever required [1, 2]. If impaired processing occurs, the NMD surveillance system is rapidly activated. In particular cases, editing of the nascent RNA transcript also occurs. Over the last years, we have gained much knowledge about the basic regulatory mechanisms orchestrating these events in eukaryotic cells, particularly in *Saccharomyces cerevisiae* and *Saccharomyces pombe*, while our understanding in metazoan cells has lagged behind.

13.2.1 5' End Capping

Eukaryotic mRNAs are modified by the addition of a 7-methylguanosine “cap” to the first transcribed nucleotide in the nucleus (Fig. 13.1). This modification is necessary for efficient gene expression and cell viability from yeast to humans. The 7-methylguanosine cap is required for transcription elongation, splicing, translation, and general mRNA stability. On the other hand, the 5' cap seems to be required for polyadenylation and nuclear export of mRNA in *S. cerevisiae* [4], but not in metazoan cells [5, 6]. Several factors have been reported to regulate mRNA cap methylation in yeast [7]. Triphosphatases such as Cet1p and Pct1 direct the hydrolyzation of RNA 5' triphosphate to a diphosphate-RNA. Guanylyltransferases, such as Ceg1p and Pch1, catalyze the addition of CMP to the diphosphate-RNA to produce the guanosine cap [8], while the methylation of the guanosine cap is mediated

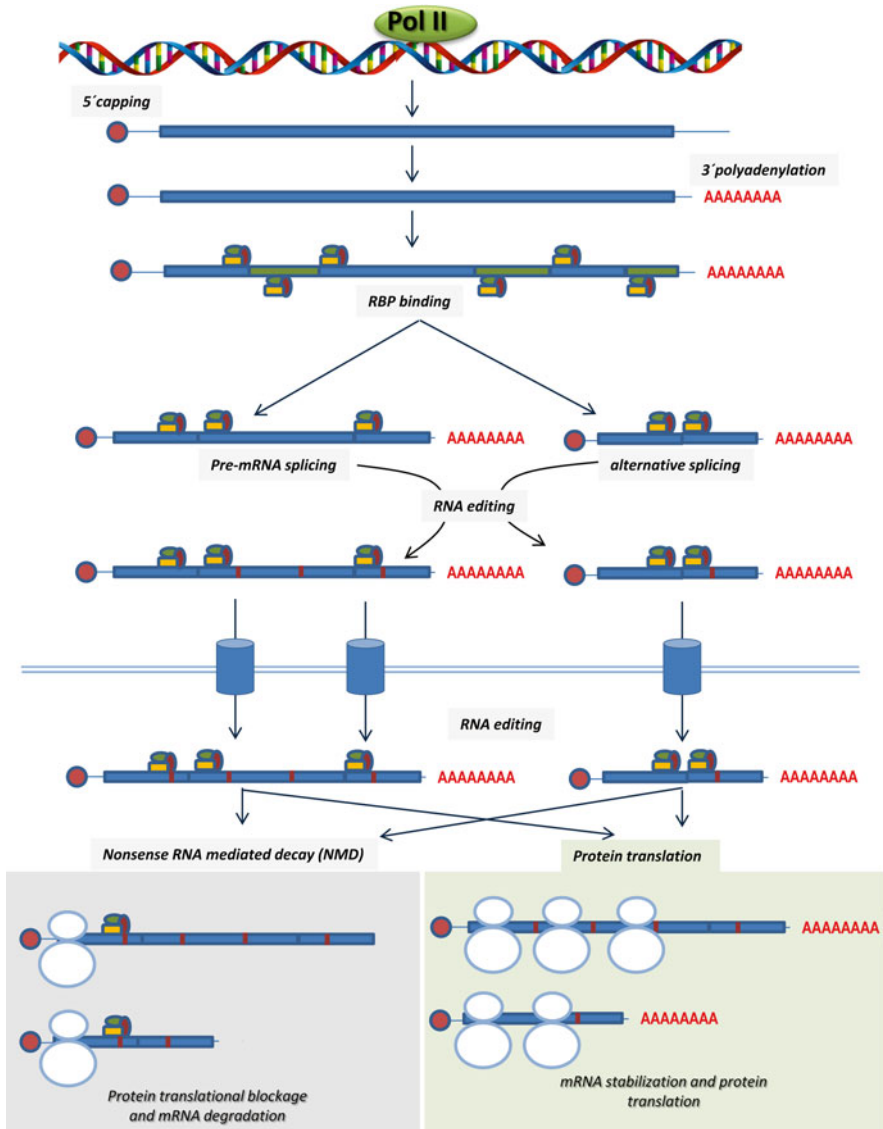


Fig. 13.1 Graphical representation of the distinct posttranscriptional regulatory mechanisms operating during the transcription, splicing, editing, quality control checking, and maturation of mRNA transcripts

by Abd1 and Pcm1. In mammals, the triphosphate and guanylyltransferase activities are found within the same peptide [9, 10] the capping enzyme of RNA guanylyltransferase and 5' triphosphatase (RNGTT), while the RNA methyltransferase (RNMT) is encoded by a distinct protein [9–13]. Interestingly, guanylyltransferase

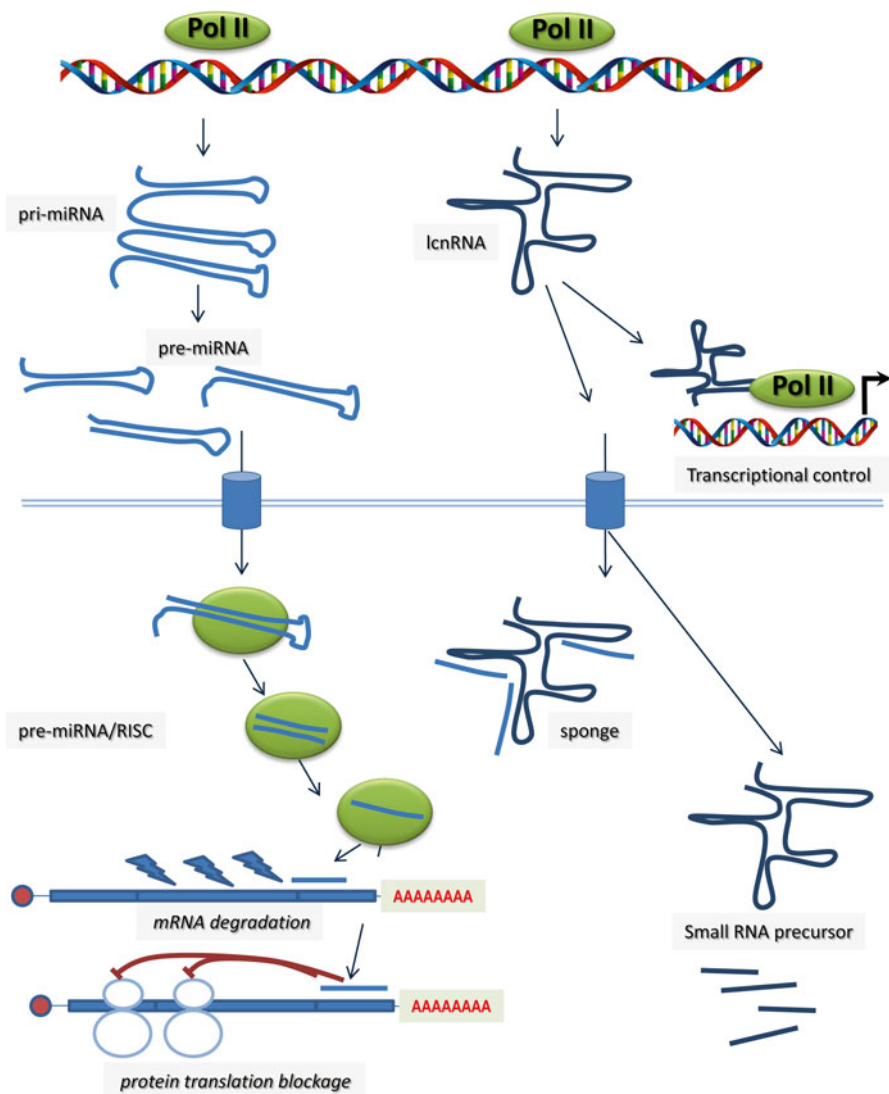


Fig. 13.2 Graphical representation of the microRNAs and long non-coding RNAs (lncRNA) bi-synthetic pathway and their functional roles during transcriptional and posttranscriptional regulation. microRNAs can elicit mRNA degradation and/or protein translation blockage. lncRNAs have been reported to actively contribute to transcriptional regulation and serve as sequestering small RNA system (sponge) or as template to generate smaller RNA molecules with, to date, poorly characterized functions

and methyltransferase are highly conserved in structure and function from yeast to humans, yet triphosphatases are widely divergent.

mRNA capping and cap methylation occur “co-transcriptionally,” that is to say, the cap methyltransferase is recruited to RNA polymerase II as the RNA is

being transcribed, providing thus the means to promote transcription elongation [7]. Pre-RNA splicing is dependent on the 5' cap since the splicing reaction has been demonstrated to be inhibited by the presence of free 7-methylguanosine [14]. The dependency of splicing on the 5' cap is mediated by the cap-binding complex, which is a heteromeric complex formed by cap-binding protein (CBP) 80 and CBP20. From yeast to humans, the 5' cap is necessary for the translation of almost all mRNAs, with the exception of mRNAs translated by an internal ribosome entry site [15]. The presence of a 5' cap can also protect mRNA from degradation in *X. laevis* [16–18], while in *S. cerevisiae* inhibition of guanosine capping *in vivo* provoked rapid degradation in some but not all mRNAs, demonstrating the necessity for a guanosine cap to stabilize at least a subset of mRNAs [4, 7, 19, 20]. Similarly, mRNA polyadenylation and nuclear export appear to largely be independent of the 5' capping in *S. cerevisiae* [3] but dependent in other species such as *X. laevis* and humans [6, 21]. Thus, while the influence of 5' capping is pivotal for subsequent mRNA biogenic steps such as transcriptional elongation, pre-mRNA splicing, and translation, species-specific differences seem to occur for degradation protection and mRNA polyadenylation. Given the essential role of 5' capping in basal mRNA biogenesis, to date no specific defects affecting heart morphogenesis and/or muscle development have been reported.

13.2.2 3' End Polyadenylation

Polyadenylation is a two-step nuclear process that involves an endonucleolytic cleavage of the pre-mRNA at the 3'-end and the polymerization of a poly-adenosine (polyA) tail (Fig. 13.1), which is fundamental for mRNA stability, nuclear export, and efficient translation during development [22]. The core molecular machinery responsible for the definition of a poly-A site includes several recognition, cleavage, and polyadenylation factors that identify and act on a given poly-A signal present in a pre-mRNA, usually an AAUAAA hexamer [22]. This mechanism is tightly regulated by both cis- and trans-acting factors, and its impairment can cause inefficient gene expression and thus disease. Previous studies have indicated that more than half of the human genes possess multiple polyadenylation sites [23], dubbed APA, which may produce mRNA isoforms with different protein-coding regions or 3' UTRs of variable length. Interestingly, such a property is also documented in yeast [24]. The differential recognition of polyadenylation signals leads to long or short 3' UTR of the transcripts. Usage of alternative poly(A) sites influences the fate of mRNAs by altering the availability of RNA-binding protein sites and miRNA binding sites. Abnormalities in the 3'-end processing mechanisms thus represent a common feature among many oncological, immunological, neurological, and hematological disorders [23, 25, 26], and the usage of APA and alterations in polyadenylation are beginning to be discovered and studied in human diseases [27, 28], yet to date no direct involvement in cardiovascular diseases has been reported.

13.2.3 Nonsense-Mediated Decay

Nonsense-mediated decay (NMD) is an evolutionary conserved surveillance pathway present in all eukaryotes studied to date. NMD plays an important role in the posttranscriptional control of gene expression. Approximately one-third of human genes generate pre-mRNAs that undergo alternative splicing, and similarly one-third of alternatively spliced transcripts are targeted for elimination by the NMD pathway [29]. Most alternatively spliced NMD targets appear to be generated in error [30], yet NMD also downregulates the level of other apparently normal transcripts [31–33]. NMD targets premature translation termination codons (PTC)-containing transcripts for rapid degradation (Fig. 13.1), thus protecting the organism from deleterious gain- or loss-of-function (dominant-negative effects) effects of the resulting truncated proteins [34–36]. As a rule, NMD degrades newly synthesized mRNAs during a pioneer round of translation [37–40] and occurs when a PTC is located more than 50–55 nucleotides upstream of the last exon-exon junction within the mRNA, and at least one intron and components of translation are present [41]. Importantly, there is a growing body of evidence supporting that mRNA decay in eukaryotes requires an exit from translation so that the mRNA is accessible to degradative activities [42–45].

The role of NMD in genetic diseases is emerging progressively. A pivotal role for NMD in cystic fibrosis as well as in Duchenne muscular dystrophy (DMD) has been documented (see for a review [46]), yet has only begun to be recognized in cardiac genetic diseases. Geiger et al. [47] recently reported that insufficient clearance of lamin A/C truncated mutations by NMD underlies the development of dilated cardiomyopathy in a human kindred. Similar findings have also been reported for nonsense mutations in hERG in the context of human long QT syndrome [48, 49]. Importantly an intricate relationship between NMD and the ubiquitin-proteasome system has been recently demonstrated in the context of hypertrophic cardiomyopathy [50], opening new ways to understand the complex RNA-protein interphase. In the context of congenital heart diseases, involvement of NMD has been proven for GATA binding protein 6 (*GATA6*) regulation in the setting of ventricular septal defect, patent ductus arteriosus, and congenital diaphragmatic hernia [51] and suspected in a kindred of syndromic patent ductus arteriosus as consequence the generation of aberrant transcription factor AP-2 beta (*TFAP2B*) splice variants [52].

13.3 mRNA Maturation: Generating Diversity (RNA Editing and Pre-mRNA Splicing)

13.3.1 RNA Editing

RNA editing relates to those molecular processes by which the RNA nucleotide sequence is conspicuously modified (Fig. 13.1). To date such changes have been observed in tRNA, rRNA, and mRNA molecules of eukaryotes, but not prokaryotes. RNA editing can modify an A-to-I (inosine) by the action of adenosine deaminase

that acts on RNA (ADAR), and similarly a C-to-U modification can be elicited by a protein complex composed by APOBEC-1 (apolipoprotein B mRNA editing enzyme, catalytic polypeptide 1), an RNA cytidine deaminase, and APOBEC-1 complementation factor (ACF). This C to U editing holoenzyme (APOBEC-1/ACF) is also in part regulated by CELF2 [53, 54].

Inosine is an essential modification introduced by specialized enzymes in a highly regulated manner generating thereafter transcriptome diversity. Adenosine to inosine (A-to-I) modification by the ADAR (i.e., ADAR1 and ADAR2) enzymes performs the most common type of RNA editing in metazoans [55], while C-to-U modifications seem to be confined to more discrete transcripts [53, 56–59]. A-to-I RNA editing most frequently targets repetitive RNA sequences located within introns and 5' and 3' untranslated regions (UTRs). ADARs use double-stranded RNA as substrates but allow structure interruptions such as bulges and loops. It is well known that these enzymes can use messenger RNA as targets for A-to-I editing and thereby recode the transcript. Both ADAR1 and ADAR2 have been proven to be able to also target short double-stranded RNA molecules, i.e., microRNAs and their precursors. Since the editing activity is found both in the nucleus and the cytoplasm, there are several steps during the microRNA maturation pathway that can be targeted for modification [60]. Although the biological significance of non-coding RNA editing remains largely unknown, several possibilities have been proposed, including its role in the control of endogenous short interfering RNAs [61].

RNA editing involving C-to-U modifications has been reported extensively to play a pivotal role in virus-associated human diseases, including human T lymphotropic virus (HTLV), hepatitis C virus (HCV), hepatitis B virus (HBV), and Epstein-Barr virus (EBV), among others [62, 63]. Furthermore, more recently a possible role in cancer development has also been proposed [63]. However, to date, no abnormalities in C-to-U RNA editing have been reported in cardiovascular diseases.

A-to-I RNA defective editing has been reported in various human diseases including viral infection susceptibility and cancer and neurological and psychiatric disorders [64–68]. Involvement of defective RNA editing in cardiovascular diseases is indirect and scarce [69, 70], yet an involvement in congenital heart diseases is likely to soon emerge.

13.3.2 Pre-mRNA Splicing and Alternative Splicing

RNA splicing is the molecular process by which introns are deleted from nascent immature mRNA providing the means to successfully linked exons back together and thus form a single mature mRNA molecule. RNA splicing is carried out by the assembly of over a hundred core proteins and five small nuclear RNAs into large ribonucleoprotein complexes, named spliceosomes [71]. Regulation of splicing is a complex process [72–74], and alterations of splicing potential have major consequences in distinct human diseases [75].

Alternative splicing is a major driver of protein diversity and allows the generation of distinct proteins from a single gene. It is estimated that almost 85 % of genes within the human genome undergo alternative splicing. Distinct mechanisms such as exon exclusion, intron retention, and the usage of alternative splice sites contribute to modify protein structure, localization, regulation, and function [76, 77]. Interestingly, genetic mutations in distinct spliceosome components have been reported in human families with distinct cardiac diseases such as myocardial infarction [78, 79] and dilated cardiomyopathy [80, 81], suggesting a functional link. Importantly, alternative splicing also plays a pivotal role during embryonic development. Differential expression of distinct spliceosome components has been reported during heart development [82]. Postnatal excitation-contraction coupling impairment has been reported in genetically engineered mice lacking ASF/SF2 spliceosome component [83], and mutant mice for SRp38, a spliceosome regulator, display early embryonic cardiac resulting in impaired calcium handling [84].

On the other hand, alternatively spliced variants have been documented widely in cardiovascular diseases such as cardiomyopathies, arrhythmias, and vascular defects leading to differential expression of sarcomeric proteins, ion channels, and cell signaling proteins [76, 77, 85–89]. An example of the impact of alternative splicing in adult heart physiology is illustrated by the diversity and functional consequences of alternative spliced variants of the troponin-tropomyosin complex (see for a review [90]). Multiple alternatively spliced variants are formed from each of the troponin isoforms, and deregulation of spliced variant expression is linked to dilated cardiomyopathy in different species [91–93]. Similarly, impaired ion channel splice variants also contribute to cardiac arrhythmogenesis, as reported for distinct components of the calcium handling and plasma membrane cardiac pumps [86, 88].

Multiple transcription factors, with critical roles in cardiac development, are alternatively spliced, such as T-box genes [94–96], myocardin [97], myocyte enhancer factor (Mef)-2 [98, 99], pituitary homeobox (Pitx)-2 [100–102], and GATA binding protein 4 (Gata4) [103]. In this context, Yehya et al. [104] identified an intronic retention variant of the *NFATC1* (nuclear factor of activated T cells, cytoplasmic, calcineurin-dependent 1) gene in patients with ventricular septal defects, suggesting that such a spliced variant might be a VSD-susceptibility gene. Bedard et al. [105] reported spliced variants of *ZIC3* (Zic family member 3) linked to patients with heterotaxy and congenital heart diseases. McCright et al. [106] reported that aberrant Notch2 alternative spliced variants leads to myocardial hypoplasia as well as eye and kidney defects. More recently, Ricci et al. [107] demonstrate that multiple genes were differentially spliced in hypoplastic left heart syndrome, suggesting a deregulation of cell metabolism and cytoskeleton and cell adherence. Interestingly, impaired alternative splicing in other genes also result in cardiac alterations. Impaired fibronectin splicing is associated with thoracic aortic aneurysm in patients with bicuspid aortic valve [108], while abnormal *SCN5A* (sodium channel, voltage gated, type V, alpha subunit) alternative spliced variants leads to fetal arrhythmias [109]. Furthermore, impaired expression of alternatively spliced *NXT2* (nuclear transport factor 2-like export factor 2) variants, a protein

involved in nuclear RNA export, also has been proven to affect cardiac development, particularly valve formation [110]. Ver Heyen et al. [111] reported that genetic engineered disruption of *SERCA2a/2b* (sarcolemmal/endoplasmic reticulum calcium ATPase 2a/b) alternative splicing leads to 20 % increase in embryonic and neonatal mortality, as consequence of severe cardiac malformations. Buyon et al. [112] describes a spliced variant of congenital heart block-associated 52 kb autoantigen which is maximal at the time of fetal heart block, suggesting a putative role in its pathophysiology. These reports exemplify the potential causative role of impaired alternative spliced variants as key regulatory modulators of cardiac development. Increasing evidence of this is expected in the coming years as deep-sequencing technologies depict the magnitude of the alternative spliced transcriptome in congenital heart diseases.

13.4 Non-coding RNA-Mediated Posttranscriptional Control

Non-coding RNAs (ncRNAs) constitute a highly diverse group of RNA molecules in structure and function (see for a recent review [113]). Currently ncRNAs are broadly classified according to their size. Small ncRNAs are generally defined as those that are <200 nucleotides, whereas long non-coding RNAs (lncRNAs) can extend to tens or even hundreds of thousands of nucleotides in length. Small ncRNAs display a rather homogeneous structure, whereas lncRNAs have more complex secondary structures. ncRNAs, such as ribosomal RNAs (rRNAs) and transfer RNAs (tRNAs), have been extensively studied given their prominent roles as components of the translational machinery. A similar situation occurs with small nuclear RNAs (snRNAs) and small nucleolar RNAs (snoRNAs) given their essential role in splicing. Over the last decade, great interest has arisen in a class of small regulatory ncRNAs that directly affect the expression and/or function of protein-coding genes, i.e., microRNAs (miRNAs). miRNAs were discovered in the early 1990s and since then represent the most extensively studied class of ncRNA. microRNAs display an average length of 22–24 nucleotides and are capable of interacting with the 3' untranslated region of coding RNAs (mRNAs) eliciting blockage of protein translation and/or mRNA degradation [114]. Understanding of microRNA biogenesis has moved rapidly [115], whereas insights into the functional role of microRNAs are progressively emerging at a slower pace. Nonetheless, the functional relevance of distinct microRNAs in multiple aspects of cardiac development and diseases is now widely documented (see for recent reviews [116–118]).

Differential expression of microRNAs has been documented widely during embryonic [119–121], postnatal [122, 123], and the aging heart [124, 125] suggesting a pivotal role for microRNAs during different stages of heart development. Similarly, investigators have reported impaired microRNA expression in a large variety of cardiovascular physiopathological conditions, such as hypertrophic and/or dilated cardiomyopathy [126–131], heart failure [132–134], atrial fibrillation [135–139], and aortic aneurism [140]. The importance of microRNAs in congenital heart diseases is manifested by the embryonic defects observed in genetically engineered

mice. Conditional deletion of *Dicer*, an endonuclease required for the microRNA processing, with distinct Cre drivers, demonstrated the critical role of microRNA biogenesis in distinct temporal and tissue-specific contexts during cardiovascular development. Conditional ablation using an early cardiogenic deleter mouse strain (*Nkx2-5-Cre* mice) led to embryonic lethality due to cardiac hypoplasia [141], whereas ablation with myocardial-specific Cre driver line (*α MHC-Cre*) resulted in outflow tract defects and impaired chamber formation [142]. More recently Singh et al. [143] demonstrated that *Dicer* deletion in pro-epicardial cells compromised cardiac vascular development. In addition, germline deletion of discrete microRNAs such as miR-1-2 resulted in ventricular septal defects and early embryonic lethality [141], whereas miR-126 deletion leads to embryonic lethality due to vascular leakage [144]. These studies highlight the importance of microRNA biology for congenital heart diseases. In this context, an increasing number of studies are providing the impaired microRNA signature of distinct congenital heart diseases [145], such as ventricular septal defects [146], tetralogy of Fallot [147], corrected transposition of great arteries [148], univentricular left hearts [149], bicuspid aortic valves [150], and DiGeorge syndrome [151]. These studies provide novel insights for the prospective use of microRNA signature as biomarkers of prenatal diagnosis [152, 153]. However, in most cases, the impaired regulatory networks modulated by these microRNAs remain to be fully elucidated. In the coming years, we shall see an explosion on the understanding and functional consequences of microRNA regulation, with great hopes as to their therapeutic potential, including pediatric cardiology [154].

In addition to microRNAs, lncRNAs and circular RNAs are emerging also as post-transcriptional modulators. lncRNAs might undergo alternative splicing and in some cases, but not in others, can be polyadenylated. lncRNAs can be located within the nucleus but also can be found within the cytoplasm thus potentially exerting a large number of biological functions. lncRNAs have been reported in a wide range of functions beyond posttranscriptional regulation such as cell cycle progression, differentiation, apoptosis, structural or cellular trafficking, as well as serving as precursors for smaller RNAs (see for a recent reviews [113, 154–156]). Differential expression of lncRNAs has been reported in the developing [157–159], adult [160] and aging [161] heart as well as in ventricular cardiac hypertrophy [161], heart failure [134], myocardial infarction [162], and cardiac ischemia [163]. Interestingly, a pivotal role of *myheart* lncRNA has been reported in the context of cardiac hypertrophy [164, 165]. Importantly, differential expression of lncRNAs also has been reported in hearts with congenital heart defects, such as ventricular septal defect [166] and tetralogy of Fallot [167]. Overall these data suggest a plausible role for lncRNAs in congenital heart diseases, and the first evidences for this have recently been reported. Seminal works demonstrated that genetic deletion of *fendrr* and *braveheart*, two cardiac enriched lncRNAs, respectively, leads to impaired cardiogenesis [168, 169]. On the other hand, understanding of the functional role of circular RNAs is very incipient, with yet some evidence that they can act as microRNA sponges [170, 171]. In the coming years, it is expected that unraveling the functional roles of lncRNAs and circular RNAs will guide toward the understanding of the etiology of distinct cardiovascular diseases, including there in congenital heart diseases.

Conclusion

Posttranscriptional regulation is a complex process. This chapter has highlighted distinct processes that sequentially modify the nascent mRNA molecule into a mature form with, in many cases multiple distinct variants. It is important to emphasize that complex regulatory networks between these processes are well documented such as for the multiple roles of 5' capping and 3' polyadenylation in mRNA stabilization, elongation, and translation among others, but importantly emerging evidence demonstrates that microRNAs and lncRNAs also participate in these intricately interlinked regulatory mechanisms [172], i.e., modulating alternative splicing [173]. Thus, we could foresee that in coming years, impaired posttranscriptional regulatory networks would be linked to distinct congenital heart diseases, as recently reported by Xu et al. [174].

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