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# Impact of Electron Transport Layers (ETLs) and Hole Transport Layer (HTLs) on Perovskite Solar Cells Performance

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#### Abstract

Perovskite solar cells (PSCs) have attracted more attention recently due to their high efficiency, low cost, and long charge carrier diffusion length. Generally, PSCs consist of three layers: the electron transport layer (ETL), the absorber layer (perovskite), and the hole transfer layer (HTL). To improve the device efficiency and enhance the cell stability of PSCs, great efforts toward developing novel and efficient electron and hole-transporting materials are needed. Due to its unique properties such as high efficiency, simple process and low-cost organic-inorganic halide perovskite solar cells (PSCs) provide great potential for the photovoltaics industry. Particularly, efficient interfacial layers are very important to enhance PSCs, as charge carriers need to have smooth transport pathway, and this can be achieved by manipulating the interfacial layers and by choosing suitable interfacial layers.

# 8.1 Introduction

Perovskite solar cells (PSCs) were regarded as the most promising photovoltaic technology due to its low production cost, easy fabrication, and high power conversion efficiency (PCE). The PCE leaping from 3.8% to a certified 22.7% in just a few years and the stability of the devices from seconds to thousand hours have been improved [1–6]. The typical device structure of PSCs consists of transparent conductive electrode (fluorine-doped tin oxide (FTO) or indium tin oxide (ITO))/ electron transport layer (ETL)/perovskite absorption layer/hole-transport layer (HTL)/metal electrode. For the mesoporous structure, the ETL includes the condense layer and mesoporous layer. To remove the organic material in titania (TiO<sub>2</sub>) paste and enhance TiO<sub>2</sub> crystallinity in TiO<sub>2</sub> mesoporous layer, high-temperature sintering is required. The mesoporous part can be removed in the planar structure of PSCs and only the condense layer was needed as ETL. Furthermore, all the layers in the planar structure of PSCs can be processed at low temperature. Planar structure is further divided into an *n-i-p* (regular) structure and p-i-n (inverted) structure based on the bottom charge transport layer near the conductive layer [7].

ETL and HTL should meet the following criteria in order to obtain efficient PSCs: (1) suitable energy-level alignment for efficient charge transfer and hole blocking, (2) high electron mobility to ensure fast electron transport within the ETL, (3) high transmittance to minimize the optical energy loss, (4) have high stability, and (5) easy processing and low cost [8–11]. In general, the interfacial layer materials can be classified as organic and inorganic ETL/HTLs. Graphene, fullerene, and their derivatives have been widely used as ETLs in PSCs [12]. Organic ETLs have some advantages such as solution process can be done easily and the devices display good performance, but at the same time have some drawbacks such as their environmental, thermal, and photostability is a great concern for the long-term stability of the devices. The most widely used inorganic metal oxide materials as ETL is TiO<sub>2</sub>. When the device with TiO<sub>2</sub> was illuminated by ultraviolet light, the oxygen could be absorbed and perovskite material could be decomposed [13]. In addition, the bulk electron mobility (<1 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>) is low, which makes the mobility of the TiO<sub>2</sub> film pretty low (10<sup>-5</sup> cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>) [14]. For achieving efficient charge transport

layer and developing suitable and reliable ETL, many attempts have been made to replace  $TiO_2$  in ETLs. Many other low-temperature processed semiconductor metal oxides have been investigated as potential candidates to replace  $TiO_2$  such as zinc oxide (ZnO) [7, 15]; ternary metal oxides (Zn<sub>2</sub>SO<sub>4</sub> [16, 17], BaSnO<sub>3</sub> [18, 19], SrTiO<sub>4</sub> [20]); metal sulfide (CdS) [21]; and metal selenide (CdSe) [22].

In this chapter, we have critically summarized about the materials used so far in ETL and HTL for PSCs applications. Methods for enhancing ETL performance such as deposition methods and metal-doping are also discussed in detail. This review aims to give insights into the current state-of-the-art of ETLs and HTLs in perovskite solar cells applications.

# 8.2 Electron Transport Layer (ETLs) in Perovskite Solar Cells

One of the most important components in the PSCs for highly efficient performance which plays an essential role in extracting and transporting photogenerated electrons is the electron-transport layer (ETL). Electron transport layers serve as a hole-blocking layer to suppress carrier recombination. Figure 8.1 shows the schematic illustration of ETL roles in PSCs. The major characteristics which determine the device behavior and photovoltaic performance of PSCs are carrier mobility, energy band alignment, morphology, trap states, and related interfacial properties [4, 23]. To efficiently transport and collect electrons, relatively high electron mobility materials are needed, which increase short-circuit current density (I<sub>sc</sub>) and fill factor (FF) [24–26]. Moreover, trap states in the ETLs play important roles in charge transport; hence, improving interface contact between ETLs and the perovskite layer is an efficient method to increase device performance and enhance charge transport [27].

In order to achieve better device performance, morphology of the ETL is modified to enhance its contact with the perovskite layer. Most frequently  $TiO_2$  is used as ETLs in PSCs. The rate of electron injection between the  $TiO_2$  ETLs and perovskite absorber is very fast, at the same time there is a probability of electron recombination



Fig. 8.1 A schematic illustration of ETL roles in perovskite solar cells

due to the low electron mobility and transport properties [28]. In addition, to get high-quality mesoscopic TiO<sub>2</sub> layer, a high-temperature process is required [4, 29, 20]30]. Therefore, to develop low-cost perovskite solar cells on various flexible substrates, the material characteristics may act as impediments to improve device performance and their application [31, 32]. In addition, a different type of zinc oxide (ZnO) morphologies has been found such as thin film, single-crystal, nanowire, and nanorod at low-temperature solution processes [33]. Additionally, ZnO has a similar energy level as  $TiO_2$  but better electron mobility (bulk mobility: 200–300 cm<sup>2</sup>/V.s [33-35]) than TiO<sub>2</sub>, which makes it an ideal candidate for a low-temperature processed electron-selective contact for transparent electrodes, organic solar cell, thin-film transistors, light-emitting diodes, and perovskite solar cell. Liu and Kelly (2014) first used low-temperature processed thin compact ZnO layer to fabricate efficient planar PSC at room temperature and achieve efficiency up to 15.7% [15]. However, it was observed that the ZnO could easily react with perovskite if thermal annealing (>100 °C) is carried out, which leads to the poor longtime stability of the devices [36]. To improve the device stability ZnO was combined with phenyl-C61-butric acid methyl ester (PCBM) to form PCBM/ZnO bilayer ETL, in which PCBM layer acts in charge extraction and the ZnO layer acts as a protecting layer to exclude oxygen and moisture, and also avoiding the metal electrode from diffusion [37]. To avoid a chemical reaction, zinc stannate  $(Zn_2SnO_4)$ with a good bulk electron mobility of 10–30 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> was investigated. Shin et al. used a quite tedious hydrothermal solution process (<100 °C) to synthesize the ternary Zn<sub>2</sub>SnO<sub>4</sub> as ETL for methylammonium iodide (MAPbI<sub>3</sub>) perovskite solar cells (PSC), yielding a power conversion efficiency (PCE) of 15.3% [17]. Dai and coworkers first used lanthanum (La)-doped barium stannate (BaSnO<sub>3</sub>, LBSO) as ETL in PSCs and got device PCE of 15.1% [18]. Seok and coworkers prepared LBSO electrode below 300 °C by using a superoxide colloidal solution route, combined with MAPbI3 as perovskite layer, the device showed a stable output PCE of 21.2% and showed good long-time full-sun illumination stability [19]. In addition to metal oxide, several sulfides/selenides were also regarded as a replacement for TiO<sub>2</sub>. Mitzi and coworkers used chemical bath deposition (CBD) to deposit cadmium sulfide (CdS) and 15% stabilized efficiency were achieved. Somehow, the devices using this sulfide suffer from current losses in the ultraviolet (UV) range due to parasitic absorption caused by the rather low band gap of CdS film ( $E_g = 2.4 \text{ eV}$ ) [21, 22]. Cadmium sulfide has been used as ETL because of its high bulk electron mobility of 450–900 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> and it is easily obtained in low temperature. Using low-temperature solution processed CdSe nanocrystals have been prepared and a PCE of 12% have been achieved [38]. But the concern is the high toxicity of cadmium, which makes it necessary and critical to handle the liquid waste of cadmium-containing solutions. Although huge signs of progress have been obtained by replacing titania ( $TiO_2$ ), as mentioned above, there are still some problems such as low device efficiency, poor chemical stability, or high-temperature processing. Recently, tin oxide (SnO<sub>2</sub>) has attracted great attention as ETL for PSCs, and it is regarded as the most promising alternative to TiO<sub>2</sub> due to the following reasons: (a) SnO<sub>2</sub> owns good energy level and deep conduction band [39]. The excellent band

energy enhances electron extraction and hole blocking at ETL/perovskite interface. (b) Potentially it can improve the electron transport efficiency and reduce the recombination loss due to its high bulk electron mobility of up to 240 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> and high conductivity. (c) Due to its wide optical band gap (3.6–4.0 eV) and high transmittance over the whole visible spectra, SnO<sub>2</sub> will guarantee that most of light can come through and can be absorbed by the perovskite layer. (d) It is easily processed by low-temperature methods (<200 °C), which is compatible with flexible solar cells, tandem solar cells, and large-scale commercialization. (e) In comparison with TiO<sub>2</sub> or other ETLs, SnO<sub>2</sub> shows excellent chemical stability, ultraviolet (UV) resistance properties, and less photocatalytic activity which is helpful for overall device stability [40, 41].

### 8.2.1 Titanium Oxide (TiO<sub>2</sub>) as ETLs

Titanium oxide is considered as the most used electron transport layer in perovskite solar cell as it owns proper electron mobility, high transparency, long electron lifetime, and favorable energy [42–44]. Generally, wide band gap of TiO<sub>2</sub> (> 3.0 eV) can provide sufficient solar energy passing through it and maximize the absorption ability of perovskite active layer to light. The TiO<sub>2</sub> band gap associated with that of the perovskite layer should be compatible in order to produce superior electron transfer effect and hole blocking effect [45–49]. However, most of the TiO<sub>2</sub> ETLs are formed via high-temperature sintering (> 450 °C) with high crystallinity, which hampers the commercialization of flexible PSCs [50, 51]. This makes a number of researchers to focus more on the low-temperature PSCs that possess a simple device structure and low fabrication costs such as atomic layer deposition, electrodeposition, and chemical bath deposition method (CBD) [52–58].

Generally, it is observed that the band gap and trap states of metal oxide could be modified by doping with suitable metal elements into the lattice. Previous studies on  $TiO_2$  doping as ETLs in PSCs are summarized in Table 8.1. Several elements have been inserted into  $TiO_2$  such as magnesium, ruthenium, niobium, tantalum, yttrium,

Dopants	PCE (%)	References
Magnesium	12.8%	[59]
Ruthenium	15.7%	[60]
Niobium	16.3%	[61]
Tantalum	9.94%	[62]
Yttrium	16.5%	[8]
Lithium	17.1%	[63]
Indium	19.3%	[64]
Lanthanum	15.42%	[65]
Iron (III)	18.60%	[66]
Zinc	15.25%	[67]

 Table 8.1 Doping effect on the power conversion efficiency of perovskite solar cell

lithium, lanthanum, iron (III), zinc, and indium in order to enhance the efficiency and stability of the corresponding devices [8, 59–67]. These results show that the semiconductive properties of TiO<sub>2</sub> modifying the TiO<sub>2</sub> conduction band minimum (CBM) and valence band maximum (VBM) level to increase the short-circuit current density ( $I_{sc}$ ) or the open-circuit voltage ( $V_{oc}$ ) of PSCs can be improved by elemental doping [61, 63–65, 68, 69]. However, the doping methods have some drawbacks including the complexity of the preparation procedure, high-temperature manufacturing, limited control of large-area spin-coating film quality, and expensive Ti/dopant organometal precursor; hence, investigating an efficient low-temperature method to obtain metal-doped TiO<sub>2</sub> layer is imminent. Introducing zinc ions into the compact TiO<sub>2</sub> lattice can result in better interfacial carrier extraction between ETL and perovskite. The experimental result shows that Zn doping can enhance carrier concentration of TiO<sub>2</sub> layer and passivate trap-state sites within TiO<sub>2</sub>, resulting in less carrier recombination and in turn improve the carrier transportation [70].

# 8.2.2 Zinc Oxide (ZnO) as ETLs

Zinc oxide (ZnO) is a II–VI semiconductor material with a band gap of 3.37 eV and a 60 meV exciton binding energy at room temperature [71, 72]. In comparison with TiO<sub>2</sub>, ZnO-based PSCs have some attractive advantages. For instance, ZnO is a wide band gap semiconductor; its band gap is similar to that of TiO<sub>2</sub>. Additionally, ZnO electron mobility is higher than TiO<sub>2</sub>, which makes it an ideal choice to replace TiO<sub>2</sub> [73, 74]. Furthermore, high crystalline ZnO can be achieved without sintering processes, which means that the ZnO thin films could give sufficient conductivity without a higher temperature sintering process [12, 75].

Studies found that deposition methods and morphological properties of ETLs are important factors in manipulating the performance of PSCs. Planar heterojunction devices have been fabricated by two-step deposition procedures, and the highest PCE of 15.7% was attained on rigid substrates [76]. In 2013, Kumar et al. used ZnO as ETL of PSCs; PCE of 8.90% were attained on rigid substrates [77]. In the same year, Bi et al. applied well-aligned ZnO nanorod arrays as ETLs; their results showed that the ZnO nanorod-based PSCs had good long-term stability of PSCs [78]. It was found that the ZnO nanorod length has a certain effect on electron transport time and lifetime. At the end of 2013, Liu and Kelly used thin compact ZnO ETL prepared by a co-precipitation method without any sintering steps to fabricate a highly efficient planar perovskite solar cell with a champion efficiency of 15.7% and an average efficiency of 13.7%, and the flexible ones yielded 10.2% [15]. Besides, solutionbased co-precipitation ZnO ETLs prepared by Kim et al. in 2014 reported a sol-gel solution process to synthesize ZnO ETLs [79]. Moreover, for high-efficiency, PSCs' ZnO ETL has been prepared using vacuum process such as an atomic layer deposition (ALD) [80] or sputtering method [81].

On the other hand, the electrical characteristics of ZnO can be improved by extrinsically doping a small amount of aluminum [82, 83]. In the lattice point, aluminum  $(Al^{3+})$  can replace zinc  $(Zn^{2+})$  which acts as a donor and increase the

conductivity of ZnO [84, 85]; Al-doped ZnO thin film inhibits charge recombination at the ZnO/perovskite interface, resulting in better efficiency than pure ZnO devices [86]. Magnesium also can be used to suppress the charge recombination of ZnO-based device which refers to the conduction band offset at the interface between ZnO ETL and perovskite layer [87]. In addition, the electron extraction by the ETL in a perovskite cell depends on the work function of the ETL. An energy barrier mismatch (a Schottky barrier) between the work function of the ETL and the lowest unoccupied molecular orbital (LUMO) of perovskite absorber could lead to inefficient electron extraction, so matching the work function of ETL with the absorber could reduce a Schottky barrier or form an Ohmic contact to facilitate the electron injection or collection [88–90]. Another way to modify the ZnO ETL is the use of 3-aminopropanol acid  $[C_3H_9NO]$  monolayer which can enhance the interfacial energy level alignment by forming permanent dipole moments, which decrease the work function of ZnO from 4.17 to 3.52 eV, and hence obtain highly crystalline perovskite layer with reduced pin-hole and trap-state density [91]. The presence of oxygen vacancy of the lattice has a certain effect on the surface conductivity of ZnO. Zinc oxide film with more oxygen vacancies has higher surface conductivity. Furthermore, ZnO using pure Ar deposition has a lower work function of 4.33 eV than that using  $argon/oxygen (Ar/O_2)$  mixed gas deposition of 4.48 eV. Therefore, ZnO using pure argon (Ar) deposition lower conduction band level shift down than that using  $Ar/O_2$  mixed gas deposition to increase the driven force of electron injection from ZnO/perovskite interface and lower valance edge can block the hole more efficiently [92]. In 2015, Yang et al. observed the thermal instability of PSCs prepared using ZnO as the ETLs [36]. Moreover, they showed that the basic nature of the ZnO surface leads to proton-transfer reactions at the zinc oxide/ methylammonium lead halide (ZnO/CH3NH3PbI3) interface, which results in decomposition of the perovskite film. Cheng et al. introduced a buffer layer between the zinc oxide nanoparticles (ZnO-NPs) and perovskite layers to minimize the decomposition. They found that [6, 6]-phenyl- $C_{61}$ -butyric acid methyl ester can reduce it but not avoid completely. Furthermore, a polymeric buffer layer such as poly ethylenimine can effectively use to separate the ZnO-NPs and perovskite, which allows larger crystal formation with thermal annealing [93].

# 8.2.3 Tin Oxide (SnO<sub>2</sub>) as ETLs

Recently,  $\text{SnO}_2$  has attracted great attention as ETL for PSCs, and it is considered as the most promising alternative to  $\text{TiO}_2$  due to following reasons: (1)  $\text{SnO}_2$  owns deep conduction band and good energy level [39]. The excellent band energy at ETL/perovskite interface will enhance electron extraction and hole blocking. (2)  $\text{SnO}_2$  owns high bulk electron mobility of up to 240 cm<sup>2</sup>.V<sup>-1</sup>.s<sup>-1</sup> and high conductivity, which can potentially improve the electron transport efficiency and reduce the recombination loss. (3)  $\text{SnO}_2$  has wide optical band gap (3.6–4.0 eV) and high transmittance over the whole visible spectra, which will guarantee that most of light can come through and can be absorbed by the perovskite layer. (4)  $\text{SnO}_2$  is easily processed by low-temperature methods (<200 °C), which is compatible with flexible solar cells, tandem solar cells, and large-scale commercialization. (5) SnO<sub>2</sub> showed excellent chemical stability, UV-resistance properties, and less photocatalytic activity in comparison with TiO<sub>2</sub> or other ETLs, which is helpful for overall device stability [40, 41]. In 2015, several groups apart from each other applied SnO<sub>2</sub> in PSCs. Dai et al. using mesoporous SnO<sub>2</sub> nanoparticle films as ETL got an efficiency of 10.18% [94]. Ma et al. used SnO<sub>2</sub> combined with TiO<sub>2</sub> mesoporous layer as ETL and attained PCE of 7.43% [95]. Then, Kuang et al. prepared SnO<sub>2</sub> nanocolloidal film treated with titanium chloride (TiCl<sub>4</sub>) as ETL and a PCE of 14.69% was achieved [96]. Although these considerable signs of progress in SnO<sub>2</sub>-based perovskite solar cells have been achieved, the performance of the device is still lower than the devices using  $TiO_2$  as ETL. This could be referred to the existence of a large number of charge traps or recombination centers such as oxygen vacancies in the SnO<sub>2</sub> layer at high-temperature annealing. Low-temperature processed SnO<sub>2</sub> has been developed in order to prevent the defects induced by hightemperature processing. Tian et al. used  $SnO_2$  thin films annealed at 200 °C and a PCE of 13% was achieved [97]. Subsequently, Hagfeldt et al. prepared SnO<sub>2</sub> ETL using atomic layer deposition (ALD) process and a PCE of more than 18% was achieved [98]. The device's performance is still falling behind TiO<sub>2</sub> counterpart. You et al. reported high-quality SnO<sub>2</sub> nanocrystal colloidal as ETL, which annealed under moderate temperature and a PCE of 19.9% have been attained [14]. At the same time, Hagfeldt et al. using the chemical bath deposition (CBD) method prepared a double layer  $SnO_2$  and a PCE of 20.7% was achieved [99].

## 8.3 Hole Transport Layers (HTLs) in Perovskite Solar Cells

The perovskite cell absorber layer is sandwiched between electron and hole transport layers (ETL and HTL). When the device is illuminated by the sun, the light is excited in the absorber layer when the excitons are extracted by the electron/hole transporting carrier layer, and finally, the charges are collected at the appropriate electrodes [100]. The basic function of the HTL is to transfer an electron from the HTL to the oxidized perovskite, but the holes should quickly move away from the interface to prevent the recombination of electrons from the metal oxide layer. The highest occupied molecular orbital (HOMO) of the HTL must lie above the highest occupied molecular orbital (HOMO) of the perovskite to provide a driving force for hole injection. Furthermore, the HTL must have good p-type conductivity and be compatible with the perovskite [101]. In order to achieve high performance in perovskite cells, HTLs have to possess: (1) suitable highest occupied molecular orbital (HOMO) energy levels for matching with the valence band energy (VBE) of perovskite materials, together with ensuring holes injection and transporting at each interfaces; (2) high hole mobility and photochemical stability; (3) suitable solubility in organic solvents and good film-forming ability for processing and device fabrication; (4) suitable light absorption in visible and near-IR region of the solar spectrum for high photocurrent [101, 102].

The stability and performance depend on the transporting layers used in the device architecture as they serve the various aspects in perovskite cells such as the following: (i) acting as a physical/energetic barrier between ETL and perovskite layer by blocking the electron transfer; (ii) improving the hole transporting efficiency due to its high hole mobility and its matching energy level with those of ETL/HTLs and electrode, (iii) avoiding the degradation and corrosion which can take place in the absence of an HTL at the metal-perovskite interface; (iv) suppressing charge recombination by fully separating the top contact from the bottom transport or contact layer leading to improved performance. Though we have a wide variety of device architectures available for achieving both stability and performance, the light-to-electricity conversion in PSCs can be further improved by using suitable HTMs with well-established device architecture [100, 103].

Insertion of suitable HTLs between perovskite layer and metal electrode can promote the separation of electrons and holes in the functional layer interface, and thus reduce charge recombination and improve the performance of solar cells. The HTLs with stable thermodynamic and optical properties would help to improve the stability of PSCs as well. Perovskite crystal can be easily decomposed in humid environments. Therefore, the quality of perovskite films can be mostly decided by the hydrophobicity of the hole-transporting layer. Those hydrophobic hole-transporting layers can protect perovskite materials from moisture and thus ensure perovskite materials to sustain crystals with larger grain size and fewer grain boundaries [102]. In general, HTLs can be classified as inorganic, organic, and carbonaceous HTLs.

#### 8.3.1 Organic HTLs

Organic HTLs include long polymer-based HTLs and small molecule-based HTLs. The prominent ones used are 2,2', 7,7'-Tetrakis (N,N-di-p-methoxyphenylamino)-9,9-'-spirobifluorene (spiro-OMeTAD,  $C_{73}H_{52}N_4$ ), poly(3,4-ethylenedioxythiophene): polystyrene sulfonate [(C<sub>6</sub>H<sub>4</sub>O<sub>2</sub>S)<sub>n</sub>:(C<sub>8</sub>H<sub>8</sub>O<sub>3</sub>S)<sub>n</sub>] (PEDOT:PSS), polytri-arylamine poly(3-hexylthiophene-2,5-diyl) (PTAA.  $[C_6H_4N(C_6H_2(CH_3)_3)C_6H_4]_n),$ (P3HT.  $[(C_{10}H_{14}S)_n])$ , crosslinked polymers, and other derivatives of smaller molecules like pyrene [C<sub>16</sub>H<sub>10</sub>] derivatives, thiophene [C<sub>4</sub>H<sub>4</sub>S] derivatives, porphyrin [C<sub>34</sub>H<sub>34</sub>N<sub>4</sub>O] derivatives, and carbazole  $[C_{12}H_0N]$  derivatives. Figure 8.2 shows the molecular structures of organic HTLs. Till now a large number of reports on various organic HTLs such as spiro-OMeTAD, PEDOT:PSS, PTAA, and P3HT are available which have been used to provide higher open circuit voltage and achieve higher efficiencies, yet the industrial development and market potential of PSCs is restricted due to their high cost and instability in water, heat, and light [100–105].

#### 8.3.2 Inorganic HTLs

Inorganic HTLs commonly used in perovskite solar cell can be classified into three namely: Nickel (Ni) and copper (Cu) based, a [positive] p-typed semiconductor





 a) 2,2',7,7'-Tetrakis (N,N-di-p-methoxyphenylamino)-9, 9'-spirobifluorene (Spiro-OMeTAD)



c) Polytri-arylamine(PTAA)

b) Poly (3,4-ethylen edioxythiophene):polystyrene sulfonate(PEDOT:PSS)



d) Poly [3-hexylthiophene] (P3HT)

**Fig. 8.2** Molecular structures of organic HTLs. (a) 2,2', 7,7'-Tetrakis (N,N-di-p-methoxyphenylamino)-9,9'-spirobifluorene (Spiro-OMeTAD). (b) Poly (3,4-ethylen edioxythiophene):polystyrene sulfonate (PEDOT:PSS). (c) Polytri-arylamine(PTAA). (d) Poly [3-hexylthiophene] (P3HT)

based, and transition metal oxide based. Nickel oxide (NiO), copper iodide (CuI), cupric oxide (CuO), cuprous oxide (Cu<sub>2</sub>O), copper chromium oxide (CuCrO<sub>2</sub>), and copper gallium oxide (CuGaO<sub>2</sub>) are the examples of nickel- and copper-based HTLs used in perovskite, while copper(II) phthalocyanine (CuPc) and copper (I) thiocyanate (CuSCN) are the examples of p-typed semiconductor used. Likewise, molybdenum trioxide (MoO<sub>3</sub>), vanadium(x) oxide (VO<sub>x</sub>), and tungsten(x) oxide  $(WO_x)$  are the examples of transition metal oxide-based HTLs used in perovskite solar cell. To overcome the drawbacks of organic HTLs, alternate HTLs must be identified which are inexpensive and stable under all ambient conditions. This has led to the identification of effective inorganic hole transporters such as an interlayer which are inexpensive, abundant, nontoxic, and energy nonintensive. Many researchers have reported about the efficiency and stability of PSCs fabricated using various metal oxide HTLs. They have given an overview of both organic and inorganic HTLs and concluded that inorganic HTLs are better market competitors than organic HTLs [100, 101, 103, 105, 106]. Table 8.2 summarizes the examples of inorganic HTLs used in PSCs and its PCE.

#### 8.3.2.1 Nickel Oxide

Nickel oxide (NiO) is one of the most promising HTLs with expected stability as it has good optical transparency, prevents electron leakage, and has appropriate energy levels. Nickel oxide (NiO) layer has a wide band gap and acts as a [positive] p-type transporting layer and acts as an electron blocking layer suppressing charge

HTM	Thickness (nm)	PCE (%)	Ref.			
Nickel oxide (NiO)	80–120	1.5-7.3	[107, 108]			
Doped nickel oxide (NiO)	n/a	15.4	[109]			
Copper iodide (CuI)	40-43	6.0–13.6	[110, 111]			
Cuprous oxide (Cu <sub>2</sub> O) and cupric oxide (CuO)	50-300	8.93-13.35	[112, 113]			

Table 8.2 Examples of inorganic HTLs and its efficiency obtained so far

recombination and enhances the hole extraction in solar cells since the performance of the solid-state PSC device mainly depends on the charge transport. Nickel oxide (NiO) as HTL also has the favorable deep-lying HOMO (valence band edge value) with work function from 5 eV to 5.6 eV. Nickel oxide (NiO) acts as a potential HTL with methylammonium lead iodide perovskite and exhibits good hole transfer characteristics and this leads to improved device performance. The inertness of NiO makes it noncorrosive toward ITO substrates, and its work function makes NiO a good HTL for PSCs. NiO is marked as a promising material for p-type or inverted PSCs. When Snaith's group used NiO layer as HTL, they found a photoconversion efficiency of <0.1%, due to poor adhesion between perovskite and the NiO layer [100, 103, 108]. Docampo and his coworkers first reported a thin NiO HTL layer as using methyl ammonium lead iodide doped chloride [CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>-xCl<sub>x</sub>] PSCs and noticed that a low coverage of perovskite surface leads to direct contact between the HTL (NiO) and the ETL (PCBM) resulting in low device performance PCE~0.1%. However, when mesoporous NiO is used as HTL in mesoscopic architecture compact layered-nickel oxide/nanoparticulate nickel oxide/ methylammonium lead triiodate/phenyl-C61-butyric acid methyl ester/aluminum [CL-NiO/NP-NiO/CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/PCBM/Al], they got a significant increase in PCE  $(\sim 1.5\%)$  by controlling the thickness of the bilayer nickel oxide (BL-NiO) and nanoparticulate nickel oxide (NP-NiO) to be 80 and 120 nm, respectively [103, 108, 114]. Similarly, Anand S. Subbiah et al. reported planar, inverse  $CH_3NH_3PbI_3 - xCl_{x}$ -based cells with inorganic hole conductors, using electrodeposited NiO as hole conductor, in which they are able to achieve a power conversion efficiency of 7.3% [107]. Likewise, Jong H. Kim et al. fabricated highefficiency planar heterojunction perovskite solar cells based on solution-processed copper (Cu)-doped  $NiO_x$  (Cu:NiO<sub>x</sub>) with impressive PCEs up to 15.40% and decent environmental stability; the doping significantly improved the performance [109].

#### 8.3.2.2 Copper lodide

Copper iodide (CuI) is a highly efficient, inexpensive, stable, wide band gap semiconductor with high conductivity and good material to use as an HTL in PSCs [108]. The first inorganic hole transport material which has been used in PSCs is CuI that resulted in a moderate PCE~6% with photovoltaic (PV) parameters ([saturation current density]  $J_{SC} \sim 17.8$  mA cm<sup>-2</sup>, [open circuit voltage]  $V_{OC}\sim 0.55$  V, [form factor] FF~0.62%) comparable to a spiro-OMeTAD based PSC ( $J_{SC}\sim 16.1$  mA cm<sup>-2</sup>,  $V_{OC}\sim 0.79$  V, FF~0.61% yielding a PCE~7.9%). However, a lowering of ~300 mV in  $V_{OC}$  in CuI based PSCs was attributed to the

high recombination rate in CuI due to free iodine that could act as valence band trap and work as a recombination channel, as reported for solid state dye sensitized solar cells (s-DSSC) [110, 115]. High hole mobility of CuI which is five orders of magnitude greater than that of Spiro-OMeTAD still encourages its use in mesoscopic PSCs. Similarly, Chen et al. demonstrated that CuI as HTL in inverted planar PSCs showed PCE~13.6%, V<sub>OC</sub> 1.04 V owing to its high transmittance and deep valance band (~5.1 eV), and J<sub>SC</sub> 21.06 mA cm<sup>-2</sup> [111].

## 8.3.2.3 Copper Oxide and Cuprous Oxide

Cuprous oxide and cupric oxide are a typical p-type semiconductors with low valence band energy that can match very well with the perovskite (CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>), as well as they have high hole mobility of about 100 cm2.v-1.s-1 and 0.129 cm2.v-1. s-1, respectively and hence the good possibility for HTLs in PSCs [108]. CuOxbased solar cells were previously studied by creating a [positive-negative] p-n junction device structure. Cuprous oxide, with a band gap of 2.2-2.8 eV, is a promising and environmental friendly HTL for p-n junction solar. Moreover, very large hole mobility and charge diffusion length are reported for Cu<sub>2</sub>O [113]. Considering the broad absorption of Cu<sub>2</sub>O, as well as its close energy level alignment with the perovskite material, it could be an appropriate HTL candidate to boost the efficiency by extracting generated holes from the perovskite layer, and consequently reducing the production cost of perovskite solar cells with respect to cells employing Spiro-OMeTAD. It was shown through simulation that Cu<sub>2</sub>O may be a strong HTL candidate in perovskite solar cells possibly reaching up to 13% efficiency conversion; however, the experimental fabrication of the proposed architecture was difficult owing to a large number of limitations related to the processing conditions [113].

Bahram Abdollahi Nejand et al. proposed a successful technique for the physical deposition for  $Cu_2O$  as a novel HTLs in perovskite solar cells. In the study, they introduced inorganic sandwiched perovskite solar cells with PCE values of up to 8.93%. Owing to the high sensitivity of  $Cu_2O$  to perovskite precursor solutions, reactive magnetron sputtering was used to deposit the  $Cu_2O$  on to the perovskite layer. The technique was used to achieve good coverage of the underlying perovskite layer and provide an effective junction between the perovskite and  $Cu_2O$  [113].

Similarly, there is a facile low-temperature method to prepare Cu<sub>2</sub>O and CuO films and use them as HTMs in perovskite solar cells in which Cu<sub>2</sub>O film was prepared via in situ conversion of CuI film in aqueous NaOH solution. CuO film was made by heating Cu<sub>2</sub>O film in air. Compared with the cells using PEDOT:PSS, perovskite solar cells using Cu<sub>2</sub>O and CuO as HTMs show significantly enhanced open-circuit voltage ( $V_{oc}$ ), short-circuit current ( $J_{sc}$ ), and PCE. PCEs of 13.35% and 12.16% were achieved for Cu<sub>2</sub>O and CuO cells, respectively, which are close to the highest PCE of perovskite solar cells using inorganic HTMs. Furthermore, Cu<sub>2</sub>O cells present improved stability.

Yan Wang et al. performed a device modeling study on planar perovskite solar cells with cuprous oxide (Cu<sub>2</sub>O) hole transporting layers (HTLs) by using a solar cell simulation program, wxAMPS. The performance of a Cu<sub>2</sub>O/perovskite solar cell

was correlated to the material properties of the Cu<sub>2</sub>O HTL, such as thickness, carrier mobility, mid-gap defect, and doping concentrations. They also investigated the effect of interfacial defect densities on the solar cell performance. The simulation indicates that, with an optimized Cu<sub>2</sub>O HTL, high-performance perovskite solar cells with efficiencies above 13% could be achieved, which shows the potential of using Cu<sub>2</sub>O as an alternative HTL over other inorganic materials, such as NiOx and MoOx. Their study provides a theoretical breakthrough for developing perovskite solar cells with an inorganic hole transporting materials via a printing process [112].

# 8.3.3 Carbonaceous HTLs

Carbonaceous based HTLs involved hole-transport-free (carbon) based HTLs, graphene oxide, and reduced graphene oxide. Since efficiency and stability are both essential in photovoltaics, thus, carbon has also been identified as a potential HTL in terms of efficiency and long-term stability to some extent [100, 101, 103, 105, 106].

# 8.4 Conclusions and Future Directions

The major characteristics which determine the device behavior and photovoltaic performance of PSCs are carrier mobility, energy band alignment, morphology, trap states, and related interfacial properties. To efficiently transport and collect electrons, a relatively high electron mobility materials are needed, which increase short-circuit current density (I<sub>sc</sub>) and fill factor (FF). Both ETLs and HTLs that are able to extract electrons and holes from the perovskite absorbing layers, respectively, are needed for the high-efficiency PSCs. Many other low-temperature processed semiconductor metal oxides have been investigated as potential candidates to substitute  $TiO_2$ , such as ZnO, BaSnO<sub>3</sub>, SrTiO<sub>4</sub>, etc. Organic HTLs are found to be advantageous in terms of efficiency; however, it fails in ensuring long-term stability, while inorganic HTLs are found to be better when considering stability factor and relatively good efficiency. Copper and cuprous oxides can be said to be a better candidate than most of the inorganic HTLs, similarly copper iodide. Doping can significantly affect the efficiency of almost all the inorganic HTLs as can be seen in nickel oxide in which the efficiency was able to reach up to 15.40%. However, the high price of most dopant and the complexity of the doping process make it less economical. Thus, manipulating the properties of ETLs and HTLs such as varying the thickness of the interfacial layers in order to decrease the band gap of the respective material is seen as a good alternative for high performance and stable perovskite solar cells.

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