**Green Chemistry and Sustainable Technology** 

# Juan Carlos Colmenares Yi–Jun Xu *Editors*

# Heterogeneous Photocatalysis

From Fundamentals to Green Applications



## **Green Chemistry and Sustainable Technology**

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Juan Carlos Colmenares • Yi-Jun Xu Editors

# Heterogeneous Photocatalysis

From Fundamentals to Green Applications



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### Preface

Heterogeneous photocatalysis has become a comprehensively studied area during the past several decades because of its great potential for solving the problems of environmental pollution and the world energy crisis. As a green technology featured with the unique advantages of operation at room temperature and utilization of clean, renewable solar light as the driving force, heterogeneous photocatalysis continues to be an important component of modern chemistry in the twenty-first century.

The available existing literature in heterogeneous photocatalysis mainly focused on the advances of semiconductor  $TiO_2$  for degradation of pollutants in water and air. However, recent researches have proven that heterogeneous photocatalysis is effective and promising for many other reactions, such as carbon dioxide reduction, photocatalytic water splitting and organic transformations. In addition, the research reports have also shown that a lot of alternative semiconductors and photoactive organic species can apply to these photocatalytic reactions. Therefore, in this book, we highlight and summarize recent progress in green-chemistry-oriented application fields (e.g. carbon dioxide reduction, selective organic transformations, water splitting) over  $TiO_2$ -based and other new photocatalysts alternative to  $TiO_2$ . The synthetic consideration of the proper design of photocatalytic reactors and modelling of light has also been discussed, which are often neglected in literature but rather important for improving efficacy and scale-up applications of photocatalytic systems.

The book *Heterogeneous photocatalysis: from fundamentals to green applications* is aimed at providing researchers with the up-to-date advances related to the field of photocatalysis in the shape of a collective work of reviews illustrative of recent advances in exploitation and green application of heterogeneous photocatalysis towards solar energy conversion and utilization. The book consists of 11 chapters including the principles and fundamentals of heterogeneous photocatalysis (Chap. 10), the mechanisms and dynamics of surface photocatalysis (Chap. 11), the researches on the TiO<sub>2</sub>-based composites with unique nanostructures (Chap. 5), the latest developments and new advances of exploiting new photocatalyst materials alternative to  $TiO_2$  (Chap. 4) and photocatalytic materials for new applications different from the traditional degradation of pollutants: carbon dioxide reduction (Chap. 1), water oxidation (Chap. 2), a complete spectrum on selective organic transformations (Chaps. 8 and 9) and water splitting by photocatalytic reduction (Chap. 6). In addition, heterogeneized polyoxometalate materials for photocatalytic purposes (Chap. 3) and the proper design of photocatalytic reactors and modelling of light (Chap. 7) have also been discussed. These progresses in heterogeneous photocatalysis provide new directions and tremendous opportunities for developing new generations of effective and selective photocatalysts towards target practical applications.

In this book the high quality and geographic distribution of the contributions are taken into consideration when inviting worldwide respected and well-known leaders in the relative field including Prof. Pierre Pichat, Prof. Leonardo Palmisano, Prof. Flemming Besenbacher, Prof. Graham J. Hutchings, Prof. Hermenegildo Garcia and Prof. Xueming Yang, as well as excellent emerging young scientists, among them Prof. Bin Liu, Prof. Nurxat Nuraje, Prof. Adriana Zaleska-Medynska, Prof. Elisa Garcia Lopez, Dr. Ren Su and Prof. Hiroshi Kominami.

The book is able to serve as a self-contained major reference for heterogeneous photocatalysis towards solar energy conversion and utilization. This book appeals to a wide readership of the academic and industrial researchers and can also be used in the classroom for undergraduate and graduate students who focus on heterogeneous photocatalysis, sustainable chemistry, energy conversion and storage, nanotechnology, chemical engineering, environmental protection, optoelectronics, sensors and surfaces and interfaces sciences.

We would like to express our sincere thanks to all authors for their excellent contributions to this impressive book. We are also thankful to the whole Springer team, especially to Heather Feng and June Tang for their priceless support in publishing this book.

Warsaw, Poland Fuzhou, China J.C. Colmenares Y.-J. Xu

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## Chapter 1 Photocatalytic CO<sub>2</sub> Reduction

Josep Albero and Hermenegildo García

**Abstract** In the context of finding sustainable and environmentally neutral alternatives to fossil fuels, there is much current interest in the production of chemicals that can be used as fuels using solar light (*solar fuels*). In the present chapter, we describe the fundamentals and the current state of the art for the photocatalytic reduction of CO<sub>2</sub>, making emphasis on the importance of the co-substrate (either water, hydrogen, or other electron donors), the differences of the process with respect to the photocatalytic hydrogen generation from water, and the importance to control the selectivity towards a single product of the many possible ones. After this part describing some basic issues of the photocatalytic CO<sub>2</sub> reduction, some of the currently more efficient photocatalysts are described, delineating similarities and differences among those materials. The final section summarizes the main points of the chapter and presents our view on future developments in the field.

Keywords Solar fuels •  $CO_2$  reduction • Photocatalysts • Semiconductor photocatalysts • Graphene-based photocatalysts

#### 1.1 Solar Fuels: Concept and Importance

Modern societies consume an enormous amount of energy to perform their activities including transportation, industrial processes, and heating, among other main uses. The current scenario is based on the massive consumption of fossil fuels, including oil and natural gas, not only for transportation but also for the production of electricity and heating. Considering the limited resources on fossil fuels, it is clear that at the high current consumption rate these resources will become depleted, making the present energy source scheme unsustainable.

Besides sustainability, a second driving force to develop alternative energy sources is climate change and, specifically, atmospheric pollution by greenhouse effect gases. The limited resources of fossil fuels together with global warming

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Scheme 1.1 Most common chemicals considered as solar fuels

concerns as well as geostrategic considerations derived from the uneven geographical distribution of oil and natural gas reserves have motivated a strong interest in developing alternative energy resources that should be characterized by sustainability and lack polluting emissions.

In this regard, one almost inexhaustible natural energy resource is sunlight reaching the Earth's crust [1]. While there is a series of competing alternative technologies to convert solar light into other types of energy, particularly electricity, including thermal conversion and photovoltaic devices, the use of sunlight directly in transportation and many other applications is problematic due to the low energy density of sunlight, circadian cycles between day and night, and dependence on weather and seasonal conditions [2].

In this context, one possibility would be to accumulate the energy of the sunlight into chemical compounds that could later be used in transportation or when required on demand [3–7]. The use of chemicals obtained from solar light as fuels in transportation has led to the concept of solar fuels (Scheme 1.1). These solar fuel chemicals have in common to correspond to a reduced compound that when reacted with atmospheric oxygen should undergo combustion or oxidation, releasing the accumulated energy. Among the possible solar fuels, hydrogen has been identified by the US Department of Energy as an ideal energy vector since when oxidized at moderate temperatures it will form water as the only product [8]. However, the use of hydrogen as fuel cannot be readily implemented due to the lack of technology concerning its production, storage, and use. Due to the extremely low boiling point (-253 °C), hydrogen has to be handled as compressed gas, and this creates problems concerning filling fuel tanks and storage of enough energy to achieve autonomy in transportation.

Besides hydrogen one alternative that is increasingly being considered is the use of C1 chemicals obtained by  $CO_2$  reduction, including methane, methanol, formic acid, and others. Although the use of solar fuels derived from  $CO_2$  has a major drawback in that  $CO_2$  having a greenhouse effect is formed in their combustion, the overall  $CO_2$  footprint should be neutral when these solar fuels are prepared from  $CO_2$  as feedstock [9, 10].



Besides hydrogen and  $CO_2$ -derived chemicals, fuels from biomass are also considered in the broad sense as solar fuels since biomass is based on natural photosynthesis by green algae and plants [11].

Solar fuels can be obtained in an indirect way by first converting solar energy into electricity and subsequently carrying out the electrochemical reduction of water or  $CO_2$  [12]. This possibility has some advantages as a way to store the excess of electricity from renewables. However, also appealing is to devise systems for the direct conversion of sunlight into solar fuels, since this approach could require much less capital investment and is flexible enough to be implemented for small-scale production. This strategy is based on the use of materials that are capable to absorb sunlight generating a charge separation state, generally electrons and positive holes. These materials are generally termed as photocatalysts since they should perform many turnovers absorbing photons and generating a product [13, 14]. The most typical photocatalyst are solid semiconductors, such as some metal oxides, chalcogenides, and carbonaceous materials.

In photocatalysis photons, having energy higher than the bandgap of the semiconductor promotes excitation of electrons from the occupied state of highest energy to unoccupied states of lowest energy. This electronic excitation is generally described as charge separation since, very often in a semiconductor, electrons and/or holes are mobile and electronic excitation results in uncoupled electrons and holes (Scheme 1.2).

The state of charge separation can decay through different pathways, the most important ones being charge recombination of geminate electrons and holes generated in the same event of photon absorption or charge recombination after random migration of electrons or holes through the material [15]. The difference between geminate and random recombination is the time scale in which they take place, sub-microsecond for geminate recombination and microsecond time scale after random migration of the charges. During the lifetime of charge separation, the semiconducting particle behaves simultaneously as an oxidizing reagent (by capturing electrons from a substrate and placing them at the positive holes) or reductant (by transferring electrons to substrates). Scheme 1.3 illustrates the dual nature of charge-separated states as reducing and oxidizing agents. As briefly



Scheme 1.3 Schematic representation of an ideal photocatalyst performing  $CO_2$  reduction by water

indicated above, for the sake of solar fuel production, the most wanted process is reduction of a substrate ( $H_2O$  or  $CO_2$ ) by photogenerated electrons at the semiconductor to form the solar fuel. However, for the sake of fulfilling the electroneutrality principle, reduction in the photocatalytic process has to occur at the same reaction rate as the oxidation reaction by holes. This requirement of simultaneous oxidation and reduction of different chemicals in the same particle makes necessary that in the photocatalytic process, besides the targeted solar fuels, some oxidized compound has to be simultaneously formed.

In order to decouple oxidation and reduction at the semiconductor, achieving the maximum possible efficiency in the reduction semireaction leading to solar fuels, it is very common that model studies use a sacrificial agent whose role is to quench efficiently at a high rate photogenerated holes, allowing the study of the reduction process as the rate-limiting step. Preferred hole quenchers in this type of photocatalytic studies are tertiary amines, particularly triethanolamine and triethylamine, and alcohols, especially methanol and ethanol, and also inorganic ions such as sulfide, sulfite, and nitrate. To differentiate those photocatalytic experiments in the absence of a sacrificial electron donor with those having present these reagents, it is common to indicate the term "overall" to denote that photocatalytic oxidation and reduction of the same substrate are simultaneously carried out without any sacrificial electron donor (Scheme 1.4). Photocatalytic generation of solar fuels has the advantage with respect to indirect strategies based on electrolysis in that they require much less infrastructure and lower capital investment in equipment, and therefore, it could be more amenable for on-site implementation in small- or medium-sized plants, rather than application in large facilities.

#### 1.2 Advantages of Solar Fuels Derived from CO<sub>2</sub>

As commented earlier, the current energy production is largely based on fossil fuel combustion in which vast amounts of  $CO_2$  is formed. These power plants are an interesting source of  $CO_2$  that at the moment has to be captured and stored. It would



Scheme 1.4 Photocatalytic oxidation and reduction of a substrate with and without a sacrificial electron donor

be interesting to use this  $CO_2$  as feedstock of chemical processes and, particularly, as source of solar fuels. International treaties currently enforced require a gradual reduction of  $CO_2$  emissions to the atmosphere, and one of the most appealing ways to reduce  $CO_2$  emissions is their use as feedstock. It should be taken into account that solar fuels based on  $CO_2$  will be neutral from the point of view of greenhouse emission gases. Another advantage of  $CO_2$ -derived solar fuels with respect to hydrogen is that some of the possible products such as formic acid or methanol are liquids under ambient conditions and this physical state will simplify enormously their storage and all the technology involved in their manipulation. Even in the case of methane as  $CO_2$  reduction product, there are nowadays a large number of implemented energy systems using natural gas that would be straightforwardly adapted to methane as solar fuel. Thus, solar fuels derived from  $CO_2$  would overcome the major problems encountered in hydrogen technology related to storage and manipulation [16, 17].

However, compared to hydrogen generation from water, the use of  $CO_2$  as feedstock for the preparation of solar fuel is extremely challenging from the photocatalytic point of view. One of the major problems related to the use of  $CO_2$ as feedstock for the generation of solar fuels is that the "overall"  $CO_2$  splitting has never been reported. Thus, in contrast to  $H_2O$  which photocatalytically can be reduced to hydrogen and oxidized to oxygen, in the case of  $CO_2$ , it is necessary to add another substrate that should undergo oxidation concurrently to  $CO_2$  reduction. The most advantageous reductant promoting  $CO_2$  reduction would be water. Equation 1.1 illustrates one of the possible stoichiometries for this reaction:

$$CO_2 + 2H_2O \rightarrow CH_3OH + 3/2O_2 \quad \Delta G^0 = 702.27 \text{ kJ/mol}$$
(1.1)

In this case water would be oxidized to oxygen while  $CO_2$  will be reduced to methanol. This process of  $CO_2$  reduction by water is the one that is taken place in



Scheme 1.5 Pictorial illustration of some of the processes taking place in natural photosynthesis

natural photosynthesis carried out by algae and green plants. Unfortunately, the current state in photocatalysis is very far from mimicking natural photosynthetic centers, and the present efficiency using semiconductors for  $CO_2$  reduction from water is very low. Below in this chapter, we will describe some of the most efficient artificial photocatalyst for this process.

The reasons why  $CO_2$  reduction by water is so inefficient are both thermodynamic and kinetic. From the thermodynamic point of view,  $CO_2$  reduction is highly endothermic and consequently requires more than one photon to occur. It should be noted that in natural photosynthesis the transfer of one electron requires the absorption of two photons, one in each of the two photosynthetic centers (Scheme 1.5) [18]. Also from the kinetic point of view, the process of  $CO_2$  reduction is very complex since, depending on the products formed, several steps of reduction and protonation have to take place. For instance, conversion of  $CO_2$  into methane is a process that requires eight electrons and eight protons, and most probably this transformation has to occur stepwise, each step consisting in the transfer of one electron followed more or less synchronously by protonation. For the reaction of Eq. 1.1, formation of methanol requires the reduction of six electrons and six protons.

Besides water other reducing agents can also be considered for photocatalytic  $CO_2$  reduction. Particularly interesting is the use of hydrogen as reducing agent. In contrast to  $CO_2$  reduction by water, the reaction of  $CO_2$  with hydrogen is exothermic, thus making the reaction favorable from the thermodynamic point of view (Eq. 1.2):

$$\operatorname{CO}_2 + 4\operatorname{H}_2 \to \operatorname{CH}_4 + 2\operatorname{H}_2\operatorname{O} \quad \Delta \operatorname{G}^0 = -165 \,\mathrm{kJ/mol}$$
(1.2)

In fact,  $CO_2$  reduction by hydrogen, the so-called Sabatier reaction, can be carried out thermally in the absence of light at high temperature [19]. However, the use of

sunlight to assist the reaction is interesting from the point of view of saving energy in the process. The main problem of the Sabatier reaction is the source of hydrogen that has to be supplied to the system. Hydrogen can be obtained by steam reforming of methane and fossil fuels [20], a process based on nonrenewable chemicals that cannot be considered for  $CO_2$  reduction since it would not make sense to use methane to generate hydrogen to react with  $CO_2$  to form methane again. However, hydrogen can be also obtained in thermal water splitting, electrolytically from alternative renewable electricity or even photocatalytically. In this scenario, the advantage of the Sabatier reaction would be to provide methane as substitute of natural gas from renewable resources and avoiding the direct use of hydrogen as fuel. In other words, since natural gas is widely used as fuel and its technology is fully developed, the shift will be in the origin of this fuel moving from nonrenewable resources to a renewable process based on  $CO_2$  and hydrogen coming from a sustainable primary energy.

From the point of view of a desirable C1 fuel, methanol is probably the best choice since it is liquid under ambient conditions and has high volumetric energy content [21]. In addition, burners and combustion engines for gasoline can be easily adapted to use methanol as fuel. In addition, methanol can also be the fuel for proton-exchange membrane fuel cells (PEM-FC) instead of hydrogen [22]. In this regard, the use of methanol as fuel and PEM-FC as an electricity provider device could represent a temporary transition towards the complete implementation of hydrogen technology that still needs scientific knowledge and technical developments to be close to application. However, as it will be commented below, it is very unlikely that methanol could be obtained photocatalytically from  $CO_2$  by reduction since due to its high chemical reactivity, the same photocatalytic process would decompose methanol if this molecule is present in high concentrations in contact with the photocatalyst. Thus, under batch conditions, the stationary concentration of methanol in a photocatalytic experiment has to be very low.

#### **1.3 Differences Between Photocatalytic Hydrogen** Generation from Water and CO<sub>2</sub> Reduction

Photocatalysis is aimed at converting light into chemical energy. However, the nature of the substrates that are going to be converted photocatalytically and the nature of the products formed are extremely important for the success of the reaction and for efficiency of the photocatalytic process. In this regard there are remarkable differences between water reduction to generate hydrogen and photocatalytic  $CO_2$  reduction that are worth to be commented in order to understand the reasons why the level of development in photocatalytic  $CO_2$  reduction is always lower than photocatalytic hydrogen evolution from water.

One of the first differences from the fundamental point of view is the higher reduction potential to inject a single electron to  $CO_2$  compared to water.

Equations 1.3 and 1.4 indicate the redox potential for the simplest elementary step of one electron reduction to water or  $CO_2$ :

$$H^+ + e^- \rightarrow \frac{1}{2} H_2 \quad E^0_{redox} = -0.41 \text{ V vs. NHE}$$
 (1.3)

$$\text{CO}_2 + e^- \rightarrow \text{CO}_{2^-}$$
  $E^0_{\text{redox}} = -1.90 \text{ V vs. NHE}$  (1.4)

These represents the thermodynamic requirements of the photocatalytic process, meaning that, in order to start the photocatalytic reduction, electrons in the conduction band of the semiconductor have to have enough reduction potential to initiate the process. As it is indicated in Eqs. 1.3 and 1.4, the reduction potential required for  $CO_2$  is considerably higher than in the case of  $H_2O$ . It should be noted that if instead of Eq. 1.4 an alternative Eq. 1.5 is considered in which electron reduction is accompanied simultaneously by protonation of the reduced species, then a significant decrease in the energy of the process from the thermodynamic point of view occurs. It has to be, however, noted that mechanistically to transfer an electron and a proton simultaneously can be rather difficult since as it is indicated in Eqs. 1.3 and 1.4 they are incompatible in the sense that protons and electrons react to give hydrogen at much lower potential without requiring  $CO_2$ .

Naturally, in certain enzymes, proton-assisted electron transfer is promoted by having one redox center near a proton donor center in a relatively rigid environment that keeps the two sites separated. The problem is that artificial photocatalysis is based on considerably simpler and not ordered systems than those occurring in natural photosynthetic systems or enzymes and artificial photosynthesis is still far behind the complexity characteristic of natural systems. Moreover, if instead of Eqs. 1.3 or 1.5 referring to single electron reduction of CO<sub>2</sub>, assisted or not by proton transfer, other possible equations in which two electrons and two protons (Eq. 1.6) leading to formic acid or six electrons and six protons leading to methanol (Eq. 1.7) or eight electrons and eight protons leading to methane (Eq. 1.8) are considered, then the thermodynamic potential decreases gradually for each reaction. This relaxation of the thermodynamic reduction potential is, as just commented, a reflection of the assistance of protons to the electron transfer. However, from the mechanistic point of view, none of the Eqs. 1.7 and 1.8 have any sense as occurring in a single step and have to be considered only as the thermodynamic potential between reactants and products rather than having any mechanistic implication:

$$\text{CO}_2 + \text{H}^+ + \text{e}^- \rightarrow \text{HCO}_{2^-} \qquad \text{E}^0_{\text{redox}} = -0.53 \text{Vvs.NHE}$$
(1.5)

$$\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \rightarrow \text{HCOOH} + \text{H}_2\text{O} \quad \text{E}^0_{\text{redox}} = -0.61 \text{ V vs. NHE}$$
 (1.6)

$$CO_2 + 6H^+ + 6e^- \rightarrow CH_3OH + H_2O \quad E^0_{redox} = -0.38 \text{ V vs. NHE}$$
 (1.7)

$$CO_2 + 8H^+ + 8e^- \rightarrow CH_4 + H_2O \quad E^0_{redox} = -0.24 \text{ V vs. NHE}$$
 (1.8)

The previous comment on the higher reduction potential to convert  $CO_2$  in respect to  $H_2O$  reduction raises the issue of whether or not all the photocatalysts reported

for hydrogen generation from water are applicablet for photocatalytic  $CO_2$  reduction. Experimentally, virtually all the photocatalysts reported for hydrogen evolution from water have been in a second study tested as photocatalysts for  $CO_2$  reduction, observing that efficiency for  $CO_2$  reduction is always considerably lower than for hydrogen evolution. As a rule of thumb, photocatalytic  $CO_2$  reduction is three orders of magnitude less efficient than water reduction under the same conditions, this poor efficiency being a reflection of the stringent thermodynamic and kinetic requirements for  $CO_2$  reduction compared to water reduction.

Besides thermodynamic considerations, an additional problem in photocatalytic  $CO_2$  reduction with respect to water is that, while overall water splitting into hydrogen and oxygen is a possible process, the overall  $CO_2$  process has never been reported. In other words, H<sub>2</sub>O can be simultaneously oxidized by positive holes on the semiconductor into molecular oxygen and reduced to hydrogen by conduction band electrons (Scheme 1.4). Accordingly water is acting as an electron donor in the reduction to form hydrogen. In contrast  $CO_2$  has never been reported as an electron donor and photocatalytic  $CO_2$  reduction requires always the presence of a sacrificial electron donor.

Based on natural photosynthesis in where  $H_2O$  is the electron donor molecule for  $CO_2$  reduction to sugars performed by all the complex machinery present in photosynthetic systems I and II and enzymatic reduction, the ideal photocatalytic process will be the reduction of  $CO_2$  by  $H_2O$ . In this case there are several possibilities depending on the final product, either formic acid, methanol, or methane (Eqs. 1.6, 1.7, and 1.8).

Each of these equations is thermodynamically unfavorable, the endoergonicity of the process decreasing along the reduction degree of CO<sub>2</sub>. In any case, consideration of the high positive value of the  $\Delta G^0$  for these processes using H<sub>2</sub>O as the electron donor clearly shows that they are difficult from the thermodynamic point of view.

Also from the kinetic point of view, the processes indicated in Eqs. 1.6, 1.7, and 1.8 are not straightforward, particularly considering that reduction of water can occur at lower potentials and faster reaction rates than  $CO_2$  reduction. In other words, water is required as a sacrificial electron donor, but, when present in the system, water can compete favorably with  $CO_2$  for reduction. For this reason, it is also generally observed that hydrogen evolution accompanies or is even the more favorable process with respect to  $CO_2$  reduction. The solution to this dilemma (i.e., water is needed for reduction but then competes for electrons with  $CO_2$ ) is the presence of centers active as co-catalyst that should exhibit preference for  $CO_2$  reduction vs.  $H_2O$  reduction. While  $H_2O$  is an amphoteric molecule,  $CO_2$  is relatively acidic and adsorbs on the surface of basic sites. It is possible to take advantage of the adsorption of  $CO_2$  on basic sites to drive the photocatalytic process towards  $CO_2$  reduction, preferentially to  $H_2O$  reduction.

Another important difference of  $CO_2$  reduction vs.  $H_2O$  reduction is that the former has to be carried out in the gas phase or dissolved in a certain medium. If the reaction is performed in the gas phase, then the hydrogen donor reducing agent has also to be present at the interface of the solid photocatalyst to promote the



Scheme 1.6 Bicarbonate and carbonate can act as electron donors quenching positive  $h^+$  and resulting in the formation of CO<sub>2</sub> and O<sub>2</sub>. This process is opposed to CO<sub>2</sub> reduction

photocatalytic reduction. However, in many examples in the literature,  $CO_2$  has been photocatalytically reduced in liquid water or in other media. In the case of water, due to the submillimolar solubility of  $CO_2$  at acid or neutral pH, basic solutions are generally employed. However, under these conditions,  $CO_2$  is dissolved to form  $HCO_3^-$  or  $CO_3^{-2}$  depending on the pH value (see Eqs. 1.9, 1.10, 1.11, and 1.12). It happens that reduction of carbonate and bicarbonate has a potential even higher than that of  $CO_2$ . In addition, these negative anions can act as sacrificial electron donors quenching the photogenerated electrons (Scheme 1.6). In those cases in which carbonate and bicarbonate quench holes, the whole process is again the overall water splitting into hydrogen and oxygen rather than photocatalytic  $CO_2$  reduction. In addition, it has to be considered that using water makes favorable the overall water splitting over photocatalytic  $CO_2$  reduction due to its large concentration. For this reason, it could be preferable to use gas phase reactions:

$$CO_2 + H_2O \rightarrow H_2CO_3 \quad K_{eq} = 2.58 \cdot 10^{-3}$$
 (1.9)

$$H_2CO_3 \to H^+ + HCO_{3^-}$$
  $K_1 = 4.3 \cdot 10^{-7}$  (1.10)

$$HCO_{3^-} \rightarrow H^+ + CO_{3^{-2}} \quad K_2 = 5.6 \cdot 10^{-11}$$
 (1.11)

$$\text{HCO}_{3^-} + 3\text{H}^+ + 2\text{e}^- \rightarrow \text{CO} + 2\text{H}_2\text{O}$$
  $\text{E}^0_{\text{redox}} = -0.66 \text{ V vs. NHE}$  (1.12)

$$CO_{3^{-2}} + 4H^+ + 2e^- \rightarrow CO + 2H_2O \quad E^0_{redox} = -0.87 \text{ V vs. NHE}$$
 (1.13)

A final difference between photocatalytic  $CO_2$  reduction and hydrogen evolution from water is the large number of possible reaction products that can be formed in the process. The products that have been detected in photocatalytic  $CO_2$  conversion include oxalic acid or oxalate, formate, methanol, methane, ethane, CO, and even elemental C. The problem arises from the fact that some of the possible products are gaseous, while others are liquids or even solids. If solids are deposited on the photocatalyst surface performing the reaction in the gas phase at low temperatures, deactivation of the catalyst should occur by blocking of the surface by carbon and liquid products that poison the photocatalyst. The large diversity of products that can be formed in  $CO_2$  reduction and their difference in physical states at ambient temperature determine the need to apply adequate analytic procedures to detect and quantify all the possible products in gas, liquid, and solid phases. A good practice in this photocatalytic CO<sub>2</sub> conversion is to include always a mass balance in which the number of moles of  $CO_2$  reacted is compared with the moles of products that had been detected and quantified. Also, this diversity in the nature of the products that can be formed determines that a combination of various analytical techniques have to be applied to ensure the detection and quantification of all products. The possible formation of elemental carbon on the photocatalyst or strongly bound formate or oxalate requires analysis of the carbon content present on the photocatalyst after the reaction. Also, the possible formation of hydrogen from water together with  $CO_2$  reduction products makes necessary the use of gas chromatography combining different types of columns and detectors. For instance, methanol is typically characterized in cross-linked phenyl methyl silicone columns with flame ionization detectors, while analysis of hydrogen requires the use of argon as carrier gas, packed columns of molecular sieves, and a thermoconductivity detector. Also CO and the remaining CO<sub>2</sub> have to be detected and quantified together with hydrogen.

The above issue of possible formation of various products on photocatalytic  $CO_2$  reduction raises the important point of how to control the product distribution and the selectivity of the photocatalytic reaction. This goal requires further understanding on the reaction mechanisms and the rate-determining steps leading to the formation of each product. This understanding should be gained by a combination of theoretical calculations and in situ characterization techniques allowing detection reaction intermediates. This basic knowledge should lead to modification of the photocatalyst surface creating selective sites, frequently termed as *co-catalysts*, for the formation of some products, disfavoring the appearance of unwanted compounds.

## **1.4** Current State of the Art in Photocatalytic CO<sub>2</sub> Reduction

In the previous section, we have tried to convey the idea that due to the combination of various reasons, photocatalytic  $CO_2$  reduction is a considerably more difficult and complex process than hydrogen generation from water. A simple way to illustrate and summarize these differences is that while for hydrogen generation rates well above 1000 µmol  $\cdot g^{-1} \cdot h^{-1}$  are not uncommon, for many of the most efficient photocatalytic reduction process, the production reaction rates are measured in hundreds of nmol  $\cdot g^{-1}h^{-1}$ . Of course these values represent an oversimplification, since photocatalytic data from different laboratories are almost impossible to be compared, due to the strong influence of many experimental parameters playing a role in the production rate. Among them, the two most important are probably the lamp employed in the photocatalytic experiment and the geometry of photochemical reactor. But there are many other parameters, such as the amount of photocatalyst employed, the way in which the photocatalyst is exposed to the light, the gas or liquid phase condition, the reaction temperature, the stirring procedure and speed, and many other parameters that certainly alter the production rate. One important consideration is that to have some interest from the point of view of energy storage, photocatalytic experiments should be carried out with sunlight or at least with simulated solar light. However, since the percentage of UV light present in the solar emission spectrum is very low (about 4 %) and considering that common photocatalyst are wide bandgap semiconductors absorbing in the UV but not in the visible region, much higher production rates are obtained when using artificial lamps that have an intense emission in the UV. Also another factor to be considered is the distribution of energy emitted by the lamp as a function of the wavelength, since different types of lamps have different emission spectra that surely influence the efficiency of the photocatalytic process.

Besides the lamp the design of the photochemical reactor is extremely important to achieve high production rates. Ideally, the photoreactor should ensure that the maximum number of photons from the light source enter into the reactor and at the same time disperse the photocatalyst allowing the maximum amount of particles to be illuminated. In photocatalysis, it is very convenient to make a preliminary study in which the efficiency of the photocatalytic process is studied as a function of the amount of photocatalyst present in the medium. Frequently, a volcano shape is found indicating that there is an optimum amount of photocatalyst to achieve the highest production rate of the process. Higher amounts than the optimal lead to a decrease in the efficiency of the process as result of the higher light scattering that makes difficult the penetration of the light beam in the reactor body. In many cases the production rate can be simply increased by designing an appropriate way to illuminate the maximum number of photocatalyst particles. For gas phase irradiation, one simplest way is to prepare a thin layer of the photocatalyst of a few micrometers depth coating an inert substrate such as glass. Experimental measurements have shown that for many opaque materials, light can penetrate a maximum depth of a few micrometers on the photocatalyst film and, therefore, there should not be increase in photocatalytic efficiency by increasing the photocatalyst amount to prepare thicker coatings.

Reactor design and the way in which heat from the lamp or Sun is dissipated can also be important, influencing photocatalytic efficiency. Solar simulators can reach temperatures above 60 °C on surfaces that are 10 cm away from the light output. In other cases in which the lamp is immersed into the photoreactor, the maximum temperature can be even higher, and it is necessary to cool the lamp by a circulating water flow. As in any catalytic process, the temperature is an important parameter influencing the reaction rate. Although many photocatalytic process can occur even at low temperatures, well below ambient temperature, this is not always the case, and some elementary steps in the mechanism can be activated thermally, including adsorption and desorption of substrate and products on the solid surface. The main conclusion of the above comments is that there is no point in comparing photocatalytic activity data obtained in different laboratories under different conditions unless quatum efficiencies are given. Furthermore, most of the reported photocatalytic activity data can be surely increased by optimization of reactor design and operation conditions. However, even considering the above limitations, the difference in photocatalytic activity for hydrogen generation and photocatalytic  $CO_2$  reduction by water is so high for many orders of magnitude that it is reasonable to conclude that they reflect the intrinsic different reactivity of the two processes.

#### 1.5 Photocatalytic CO<sub>2</sub> Reduction by Water

As commented above photocatalytic  $CO_2$  reduction always requires a hydrogen source, even if CO is the main C-containing product formed. We have already indicated that natural photosynthesis transforms  $CO_2$  into glucose and sugars by using the energy of the Sun and water as reducing agent. However, the current artificial photocatalytic systems for the  $CO_2$  reduction by water are considerably inefficient and maximum quantum yields for this process are currently being estimated much below 0.1 %, thus being considerable room for improvement [23, 24].

At present the most efficient solid photocatalyst for  $CO_2$  reduction by  $H_2$  is based on TiO<sub>2</sub>, a semiconductor that is suitably modified to increase the efficiency under solar light irradiation and contain co-catalysts to increase production rate and selectivity of the process. One of the most efficient photocatalysts has been reported by Grimes and coworkers who have used anodized titania nanotubes in the anatase phase doped with nitrogen and platinum and copper nanoparticles as photocatalyst for the gas phase;  $CO_2$  reduction by  $H_2O$  production rates to methane as high as 75 ppm  $\cdot$  cm<sup>-1</sup>  $\cdot$  h<sup>-1</sup> have been achieved for this type of titania photocatalyst under solar light illumination [23, 25].

This example illustrates several of the strategies that can be employed to increase the efficiency of  $\text{TiO}_2$  for the photocatalytic  $\text{CO}_2$  reduction. In this way, spatial structuring of the anatase  $\text{TiO}_2$  photocatalyst as nanotubes of a few micrometers long and hundreds of nanometers wide has been proposed to increase charge separation and the intrinsic efficiency of  $\text{TiO}_2$  as photocatalyst. Evidence by transient absorption spectroscopy suggests that depending on the shape of  $\text{TiO}_2$  as rods or tubes, charge migration takes place preferentially along the main direction of the tube or rod. The diffusion length of the charge carriers along the direction of the tubes is much longer than for spherical nanoparticles, this meaning that electrons and holes can travel longer distances in these structured materials than in conventional TiO<sub>2</sub> nanoparticles.

By doping with nitrogen atoms, a certain reduction of  $TiO_2$  bandgap can be obtained. Doping with no metallic elements introduces energy levels, mainly lone sp<sup>2</sup> electrons on the nitrogen atom in the inter-bandgap space of conventional  $TiO_2$ . This bandgap reduction is reflected in the yellow color characteristic of N-doped  $TiO_2$  that allows a certain absorption of visible light by  $TiO_2$ . In the



Scheme 1.7 Pictorial illustration of  $N-TiO_2$  and ized photocatalyst containing Pt and Cu nanoparticles and the role of each component

present case, N-doping can be obtained when performing the formation of titania nanotubes electrochemically by contacting the titanium metal electrode precursor with atmospheric nitrogen gas. However, ammonia and ammonium are among the two most convenient doping agents for  $TiO_2$ , since these chemicals are considerably more reactive than molecular N<sub>2</sub>. Besides structuring to prolong charge separation lifetime and N-doping to increase  $TiO_2$  photoresponse into the visible region, the system contains platinum and copper nanoparticles to facilitate  $CO_2$  adsorption, electron reduction, and gas evolution. Scheme 1.7 illustrates the components of the anodized n-TiO<sub>2</sub> photocatalyst and the main purpose of each of the components.

In another relevant example of an efficient titania-based photocatalyst for CO<sub>2</sub> reduction, we reported that over 2000  $\mu$ moles  $\cdot g^{-1}h^{-1}$  of methane can be achieved by using a commercial titanium dioxide photocatalyst (Evonik P25) that has been conveniently modified by Au–Cu nanoalloys [26]. The photocatalyst is presented in a gas phase photoreactor as a thin film of a few micrometers deep, exposing in this way the maximum amount of particles to the light, thus ensuring the maximum photoactivity per mass of photocatalyst in the reactor. Besides the use of a thin film, the key point of this photocatalytic system is to use a highly active commercial anatase (80 %)-rutile (20 %) titania that is conveniently modified by nanoparticles of Au–Cu in about 2 % weight. While Au introduces visible light photocatalytic activity, the presence of copper drives the selectivity of the system towards  $CO_2$ reduction and methane formation, preferentially to H<sub>2</sub>O reduction. Comparison of the performance of Au-Cu/P25 with that of Au/P25 shows that the latter has high photocatalytic efficiency for hydrogen generation from water, even in the presence of CO<sub>2</sub>. But addition of Cu in the nanoalloy changes the preference of the photocatalytic activity towards CO<sub>2</sub> reduction.

FTIR spectroscopy has shown that adsorption of  $CO_2$  forms spontaneously, even in the dark, a small population of  $CO_2^-$  by single electron reduction of  $CO_2$  by Ti<sup>3+</sup> sites present in the material. Upon illumination appearance of a band attributable to



**Fig. 1.1** Temporal profiles of transient signals monitored at 720 nm recorded for Cu/P25 (**a**) and Au/P25 (**b**) samples upon 532 nm laser excitation under  $CO_2$  (*red*) or Ar (*black*) atmosphere

CO absorbed on Cu nanoparticles is detected, and based on this observation, it is proposed that the photocatalytic  $CO_2$  reduction takes place on copper atoms of the nanoalloy. Furthermore, transient absorption spectroscopy has provided an useful spectroscopic evidence showing that  $CO_2$  only quenches the charge separation state of the photocatalyst when Cu is present on titania [27]. Figure 1.1 presents selected temporal profiles of the transient signal monitored at long wavelengths in the absence and in the presence of  $CO_2$  for a sample of Cu/P25 and Au/P25.

These spectroscopic studies still need to be complemented by theoretical calculations and modeling to understand in deeper detail why the presence of copper is able to control the outcome of the photocatalytic process and, more specifically, the product distribution. It is very likely that reactive  $CO_2$  adsorbs preferentially near copper atoms, probably forming some type of carbonate-like species, and that this adsorption is the key feature controlling the photocatalytic process. It is worth to comment that noble metals and particularly Pt and Au do not interact with  $CO_2$ . The presence of copper should introduce some affinity for  $CO_2$  adsorption on the metal co-catalyst, this being the origin of the change in selectivity.

# **1.6** Photocatalytic CO<sub>2</sub> Reduction in the Presence of Sacrificial Electron Donors

Although the case that has been previously commented consisting in the photocatalytic reduction of  $CO_2$  by water is the one that could have potential application for the generation of solar fuels, the high endoergonicity of the process and the complex reaction mechanism determine that the efficiency of the process is currently very far from any possible commercial application. As commented earlier, most of the reports on the photocatalytic reduction of  $CO_2$  by water describe the formation of a few  $\mu$ mol  $\cdot g^{-1} \cdot h^{-1}$  production rate under solar light irradiation,

and several orders of magnitude of enhancement are at least needed to consider the viability of the process.

In this context, for fundamental studies, it is of interest to increase the efficiency of the photocatalytic reduction process by performing it in the presence of some compounds that can act as sacrificial electron donors more efficiently than water. Since in the photocatalytic process the same amount of electrons in the conduction band and holes in the valence band, leading to reduction and oxidation respectively, are formed, for the purpose of understanding  $CO_2$  reduction, it is convenient to carry out the reaction under conditions in which the evolution of holes do not limit the efficiency and rate of the process. This can be achieved by performing the reaction in the presence of sufficient amounts of tertiary amines that are well-known excellent electron donors, quenching immediately holes in the valence band, increasing charge separation efficiency by reducing  $e^{-}/h^{+}$  recombination, and leading to electrons in the conduction band. The use of a tertiary amine is more convenient due to the lower chemical reactivity of these amines compared to primary and secondary ones, while still being good electron donors. The presence of amines also enhances solubility of CO<sub>2</sub> in aqueous media by increasing the pH of the solution and also by forming carbamates by formation of adducts with CO<sub>2</sub> (Eq. 1.14).

Tertiary Carbamate  
amine 
$$R \xrightarrow{R} O$$
 (1.14)  
 $R \xrightarrow{N} R + CO_2 \longrightarrow R \xrightarrow{R} O$ 

This approach of  $CO_2$  reduction in the presence of tertiary amines has been frequently used in photocatalytic systems based on metallic complexes and particularly with Ru(II) polypyridyl complexes [28]. In these cases excitation with visible light of the Ru(II) polypyridyl complexes results in the generation of a triplet excited state with intramolecular metal-to-ligand charge transfer character that will transfer an electron to  $CO_2$  forming Ru(III) and  $CO_2^-$ . Subsequent Ru(III) will undergo reduction to Ru(II) in the presence of amine that will be the reducing species in the system (Scheme 1.8).

While initial systems were reported in solution, these molecular-based photocatalysts can subsequently be adsorbed or attached to solids, and then, the homogeneous photocatalytic system is converted to a heterogeneous one. One example of this strategy is the development of metal organic frameworks comprising Ru(II) polypyridyl complexes in their structure [29]. MOFs are crystalline porous materials in which the structure is formed by nodes of metal or metal clusters held in place by rigid bi- or multipodal organic linkers. In the present case, Ru(II) complex replaces a percentage of biphenyl-4,4'-dicarboxylate linkers of the structure (Scheme 1.9).

Overall, the use of amines as sacrificial donors in  $CO_2$  reduction can serve to prove that the reduction potential of electrons in the photocatalytic system is high



**Scheme 1.8** Mechanism of photocatalytic  $CO_2$  reduction by visible light excitation of Ru (II) polypyridyl complex and the subsequent reduction of Ru(III) by triethanolamine (TEOA) as sacrificial electron donor



**Scheme 1.9** Simplified illustration of the general structure of a MOF where a percentage of Ru (II) complex is replacing the typical organic linker



Scheme 1.10 Photocatalytic  $CO_2$  reduction by Cu-doped  $TiO_2$  using hydrogen sulfide (HS<sup>-</sup>) as sacrificial electron donor and subsequent sulfur formation

enough to promote  $CO_2$  reduction and also to determine the products formed in the process. For instance, in the above commented case of a MOF comprising a Ru (II) complex, formate is the only product observed upon photocatalyst reduction of  $CO_2$  in aqueous solution.

Continuing with the use of sacrificial electron donors, it has been found that Cu-doped TiO<sub>2</sub> can also form formate by CO<sub>2</sub> reduction in aqueous phase using sulfide as a sacrificial electron donor. The process is accompanied by the generation of a large amount of hydrogen in the gas phase. The use of sulfide as electron donor may have an additional interest besides photocatalytic CO<sub>2</sub> reduction, since as consequence of CO<sub>2</sub> reduction and hydrogen generation, elemental sulfur is formed during the photocatalytic reaction. In this way, the whole process can have interest for purification of wastewaters containing unwanted sulfides (Scheme 1.10).

#### 1.7 Photoassisted CO<sub>2</sub> Reduction by Hydrogen

The reaction of  $CO_2$  with hydrogen to form methane (*the Sabatier reaction*) is an exothermic process (Eq. 1.15) that can be performed in the dark at moderate temperatures using adequate nickel catalyst, but also there are several reports describing the process photocatalytically [30, 31]:

$$CO_2 + 4H_2 \rightarrow CH_4 + 2H_2O$$
  $\Delta H = -165kJ/mol$  (1.15)

In the photocatalytic process, the reaction is initiated at room or near room temperature, although as the reaction progresses, a sudden increase of the reaction temperature is observed and combination of catalytic and photocatalytic process could take place in various proportions depending on the temperature. If the reaction temperature reaches a sufficient high value to start the catalytic pathway, then the use of light may be no longer needed. For this reason, this process is probably better described as *photoassisted* rather than being a true photocatalytic process.



Scheme 1.11 Mechanistic proposal of photocatalytic CO2 reduction by supported NiO as catalyst

The *photoassisted* CO<sub>2</sub> reduction by hydrogen using NiO nanoparticles supported on SiO<sub>2</sub>–Al<sub>2</sub>O<sub>3</sub> has the advantage of taking place with complete CO<sub>2</sub> conversion using quasi-stoichiometric amounts of hydrogen into CH<sub>4</sub> with minor a percentage of CO or elemental carbon. Similarly, other solid photocatalysts based on In<sub>2</sub>O<sub>3–x</sub>(OH)<sub>y</sub> also achieve complete conversion [31]. In the case of NiO, it is proposed that light irradiation with the generation of electrons and holes will form some Ni–H species by reduction of H<sub>2</sub> by electrons and that Ni–H will reduce CO<sub>2</sub> (Scheme 1.11).

The Sabatier reaction requires H<sub>2</sub> as feedstock and it may seem that it will never be suitable for developing large-scale industrial processes. However, in the context of an integrated view of renewable energy, it has been claimed that the Sabatier process can be suitable for achieving two goals in the same process [32]. On one hand, large-scale implementation of the Sabatier reaction will serve to control atmospheric CO<sub>2</sub> emissions, particularly during the transitory period in which fossil fuels will still contribute in a significant percentage to the total energy consumed. On the other hand, the Sabatier reaction can serve as a way to convert hydrogen, a chemical difficult to store in large quantities, into a useful fuel for transportation or for its use in power plants. In this integrated scheme of electricity management, the point to solve is the mismatch between the production of energy from renewable resources and electricity consumption. In this way windmills can generate a significant amount of electricity in periods and times in which this energy production cannot be coupled with consumer's electricity demand. In the situation in which a surplus of electricity is produced, one way to store this electricity production excess could be the electrolytic hydrogen generation from water in large amounts. This hydrogen would be the one reacted with  $CO_2$  to form methane that would be the fuel finally burned either in power plants to generate electricity on demand or for transportation. Scheme 1.12 shows the way in which the Sabatier reaction can act as a buffer making possible the chemical storage of electricity [32].



Scheme 1.12 Description of the possible role of the Sabatier reaction of  $CO_2$  and  $H_2$  for the coupling of electricity and production of chemicals, allowing the matching of production and consumption

#### 1.8 Photocatalyst Types for CO<sub>2</sub> Reduction

#### 1.8.1 Semiconductor-Based Photocatalyst

Since the seminal report by Fujishima and Honda [33] describing the photoelectrochemical CO<sub>2</sub> reduction by TiO<sub>2</sub>, this metal oxide semiconductor has became by far the most important photocatalyst. TiO<sub>2</sub> has several crystal phases in addition to an amorphous phase. However, for photocatalysis, it has been found that anatase is the most active form of  $TiO_2$ . The presence of defects in the crystal structure is detrimental for the photocatalytic activity, and for this reason, amorphous titania is always considerably much less active. When prepared by sol-gel at moderate temperatures, amorphous titania is the first material obtained, but thermal annealing at temperatures below 350 °C increases significantly the crystallinity of the solid towards the thermodynamically more stable anatase phase. This phase transition results in a considerable increase in photocatalytic activity. Annealing at higher temperatures converts anatase into rutile. Although rutile is also a semiconductor and has somewhat smaller bandgap with an absorption tail into the visible region, the photocatalytic activity of this titania crystal phase is generally lower than that of anatase, and therefore, attention has to be paid to thermal treatment to avoid conversion of  $TiO_2$  in rutile. Much higher temperatures result in the formation of brookite that is totally inactive from the photocatalytically point of view. Crystal phases of TiO<sub>2</sub> can be conveniently distinguished by XRD or Raman spectroscopy. The last technique is also valid to characterize amorphous titania. Due to the wide

use of TiO<sub>2</sub> as photocatalyst, there are many commercial samples currently available. However, it is important to note that the photocatalytic activity of different commercial TiO<sub>2</sub> can vary by several orders of magnitude. One of the photocatalytically most active commercial samples is Evonik P25 that consists in a pyrolytic TiO<sub>2</sub> sample obtained by hydrolysis of TiCl<sub>4</sub> at high temperatures (Eq. 1.15):

$$TiCl_4 + O_2 + 2 H_2 \rightarrow TiO_2 + 4 HCl$$
 (Temperature = 400 - 600°C) (1.16)

P25 is constituted by approximately 80/20 % of anatase and rutile phases, and it has been proposed that the combination of these two phases in the correct proportion is the factor responsible for its high photocatalytic activity. When two semiconductors of adequate bandgap and energy levels are in intimate contact, a heterojunction can be developed, and upon excitation of one of the semiconductors and generation of the corresponding charge-separated state, either electrons or holes can move through the interface to the second semiconductor with adequate energy potentials. This interfacial electron migration creates a Schottky barrier between the two semiconductors that increases considerably the lifetime of the charge separation state, and this can be reflected in a more efficient photocatalytic process. It is proposed that in P25, the combination of anatase and rutile is acting as a heterojunction, and for this reason, the photocatalytic efficiency of this particular commercial sample is much better than other TiO<sub>2</sub> materials even if they are exclusively constituted by anatase or even if the sample exhibits higher crystallinity (Scheme 1.13).

The photocatalytic efficiency of semiconductors, including  $TiO_2$ , can be low and notably enhanced by deposition of other components that are generally described as co-catalysts. These particles on the surface of the semiconductor can influence the outcome of the photocatalytic process in several ways, resulting in the observation of a higher efficiency of the wanted product. One of these roles is the management of electrons or holes reaching these co-catalysts. The rates of reduction by conduction band electrons and oxidation by valence band holes had to be, under steadystate conditions, the same, and one of the two processes is typically the rate-limiting one. For instance, in the overall water splitting, reduction of water by conduction band electrons can be the rate-determining process, and in this case, the rate can be increased by the presence of a co-catalyst. Typical co-catalysts for conduction band



Scheme 1.13 Pictorial illustration of the formation of long-lived charges after light absorption (i), electron excitation (ii), and electron migration through the Schottky barrier in a heterojunction anatase–rutile of P25 titania



Scheme 1.14 Pictorial illustration of the possible strategies to reduce the bandgap energy of a  $TiO_2$  through doping with (i) metallic or (ii) nonmetallic elements

electron management are noble metals, particularly Pt and Au [34]. On the other hand, the oxidation semireaction by holes are frequently catalyzed by metal oxide co-catalysts such as RuO<sub>2</sub>, IrO<sub>2</sub>, and Co<sub>2</sub>O<sub>3</sub> present as small nanoparticles on the semiconductor surface [35]. In this regard in a seminal work, Fujishima and Honda reported that upon UV irradiation Pt containing TiO<sub>2</sub> submitted to an overpotential is able to act as photoanode for CO<sub>2</sub> reduction in water [33]. After this report, several studies have shown the ability of various forms of TiO<sub>2</sub> modified with co-catalysts to promote CO<sub>2</sub> reduction by UV.

In general the photocatalytic activity upon UV irradiation of  $TiO_2$  conveniently modified by co-catalysts is excellent and among the highest for all photocatalysts. However, as commented earlier, solar light contains only about 4 % of the energy in the UV region and consequently the photocatalytic activity of  $TiO_2$  under these conditions is far from optimum. Several strategies have been reported to increase the photocatalytic response of  $TiO_2$  to the visible region. Visible light is about 46 % of the solar light energy reaching the Earth's surface and there is about 50 % of infrared radiation. Infrared photons have generally not enough energy to promote electronic transitions between orbitals, and for this reason, they cannot directly be useful to excite a photocatalyst.

In order to introduce a visible light response in  $TiO_2$ , it is necessary to reduce the bandgap energy below 3.2 eV that is the value corresponding to  $TiO_2$  anatase. One possibility to reduce the bandgap is metal doping in which a few percentage of  $Ti^{4+}$  ions are replaced by other transition metal ion. In this case the metal ion dopant introduces empty orbitals below the conduction band of anatase. Typical metal dopants are Pt, Pd, Cu, and Fe [36–38]. In a complementary alternative, the bandgap energy can be decreased by replacing some oxygen atoms by nonmetallic elements like N, S, or C among others [39]. In this case, orbitals of nonmetallic elements having electron pairs are located above in energy with respect to valence band electrons. Scheme 1.14 illustrates the two alternative strategies to diminish the bandgap energy by doping with metallic or nonmetallic elements.

As commented earlier, one of the most efficient photocatalysts for  $CO_2$  reduction by water to form methane consists of N-doped TiO<sub>2</sub> anatase nanotubes modified by Pt and Cu as co-catalysts (Scheme 1.7). In this case, N atoms, introduced during the anodization process of TiO<sub>2</sub> nanotubes, come from the  $NH_4^+$  electrolyte or even atmospheric N<sub>2</sub>, and its presence increases the photocatalytic activity by allowing visible light absorption by the photocatalyst.

A third alternative to introduce visible light photoresponse that was commented above is deposition of metal nanoparticles exhibiting surface plasmon band [40]. Due to the chemical stability and inertness against oxidation, Au nanoparticles are the most adequate metal for the purpose of introducing visible light photoresponse. We have already commented the case of Au–Cu/P25 as a photocatalyst for CO<sub>2</sub> reduction reaching high CO<sub>2</sub> conversion rates.

Besides TiO<sub>2</sub>, ZnO is probably the second most used metal oxide semiconductor. ZnO is also a wide bandgap material with similar bandgap energy as TiO<sub>2</sub> anatase (3.2 eV), and, therefore, ZnO has only photocatalytic activity upon UV irradiation. In one report comparing the photocatalytic activity of ZnO and TiO<sub>2</sub>, it was concluded that the former can be even more efficient than the later. In general, the photocatalytic activity of TiO<sub>2</sub> and ZnO are expected to be similar and dependent on the crystal phase, particle size, surface area, and experimental setup (lamps and photoreactor). It can be anticipated that the concepts of doping and deposition of plasmonic nanoparticles as co-catalysts can be also applicable equally well for ZnO and for TiO<sub>2</sub>. The main problem that limits the use of ZnO as photocatalyst is its instability at acid pH values.

Besides metal oxides, photocatalytic systems based on metal chalcogenides and particularly metal sulfides have also been reported to promote the photocatalytic  $CO_2$  reduction. In particular, CdS and  $Bi_2S_3$  quantum dots having visible light absorption have been reported also to act as visible light photocatalysts for  $CO_2$  reduction by  $H_2O$  [41].

#### 1.8.2 Layered Double Hydroxide (LDH)-Based Photocatalysts

Other types of materials that are attracting increasing interest as photocatalysts are LDH. LDHs contain two different metals, one divalent and other trivalent, the latter being present in lower proportion, generally below 33 % respect to the divalent cation. LDHs are isostructural with brucite (Mg(OH)<sub>2</sub>), whose structure is constituted by MgO<sub>6</sub> octahedra sharing the edges forming sheets. In the case LDHs, these octahedra contain the di- or trivalent cation. As consequence of the presence of a certain percentage of trivalent cations, LDH layers have an excess of positive charge that has to be compensated by the presence of charge-balancing anions located at the intergallery space. Scheme 1.15 illustrates the structure of LDHs.

Due to the intrinsic basicity of hydroxides, carbonate is one of the most common ions forming part of LDHs. This affinity of LDHs for carbonate can be advantageously used to perform the photocatalytic  $CO_2$  reduction. In a certain way, it can be considered that LDHs are similar to metal oxides (such as ZnO) with a variable



Scheme 1.15 Pictorial illustration of the LDH structure



Fig. 1.2 CO and  $O_2$  evolution in the photocatalytic reduction of  $CO_2$  in water at pH 10 using Mg– In LDH as photocatalyst

amount of metal doping (as trivalent cation) that can be large. LDHs behave as semiconductors and their photocatalytic activity for hydrogen evolution from water/ methanol mixtures as well as oxygen evolution from water has been reported [12]. In this regard, Zn–Cr LDH exhibits photocatalytic activity for the conversion of  $CO_2$  into CO upon UV light irradiation, and the photocatalytic efficiency in CO evolution increases upon deposition of metal nanoparticles such as Pt, Pd, or Au [42].

Ni–Al LDH also exhibits high photocatalytic activity for this CO<sub>2</sub> into CO conversion that depends on the Ni/Al ratio, reaching an optimal photocatalytic activity for Ni/Al ratio of 4 with 36.6 and 12.2 µmol of CO and H<sub>2</sub>, respectively, at 10 h UV light irradiation [43]. The photocatalytic CO<sub>2</sub> reduction for Ni–Al LDH is about three times higher than the value observed for Ni(OH)<sub>2</sub>. Mg–In LDH (Mg<sup>2+/</sup>In<sup>3+</sup> = 3) is also able to split CO<sub>2</sub> into CO in water upon UV irradiation [44]. In this case the simultaneous evolution of oxygen was observed as depicted in Fig. 1.2.



Photocatalytic CO<sub>2</sub> reduction by a ternary Zn–Cu–Ga LDH has been combined with WO<sub>3</sub> photocatalytic oxygen generation [45]. The two processes have been coupled electrically, allowing the transfer of electrons from water oxidation to Zn– Cu–Ga LDH photocatalytic CO<sub>2</sub> reduction, while protons diffuse through a polymer electrolyte (Scheme 1.16). The overall process taking place separately in two compartments forms methanol and water from CO<sub>2</sub> and O<sub>2</sub>.

The process is in fact just the reverse of a fuel cell for methanol oxidation, and the two photocatalytic processes (water oxidation by  $WO_3$  and  $CO_2$  reduction Zn–Cu–Ga LDH) require light irradiation and should occur at the same rate transferring electrons (through the metallic conductor) and protons (through the membrane).

#### 1.8.3 Graphene-Based Photocatalyst

Graphene is a one-atom-thick sheet of sp<sup>2</sup> carbons in hexagonal geometry. The 2D morphology of graphene represents the limit of miniaturization of a material approaching a surface and is analogous to an ideal surface with extended  $\pi$  orbitals. Graphene is a conductive material that has no photocatalytic properties. However, graphene as additive in a small weight percentage has been found to increase the photocatalytic activity of TiO<sub>2</sub> for CO<sub>2</sub> reduction. In this system graphene increases the intrinsic efficiency of TiO<sub>2</sub> by accepting electrons from the TiO<sub>2</sub> conduction band in an analogous way as commented earlier for metal co-catalyst (Scheme 1.17). The presence of graphene can lead to more than one order of magnitude enhancement of TiO<sub>2</sub> photocatalytic activity for CO<sub>2</sub> reduction due to the more efficient charge separation. Similarly to the case of graphene/TiO<sub>2</sub> composites, graphene has also been reported to increase the photocatalytic activity for CO<sub>2</sub> reduction of other semiconductors including ZnO, WO<sub>3</sub>, and CdS [46].

Besides the use of graphene as additive, graphene-derived materials can be themselves semiconductors and they can act as active photocatalysts, particularly



**Scheme 1.17** Mechanism through which graphene increases the photocatalytic activity of  $TiO_2$  in intimate contact with it. (i) Light absorption, (ii) electron promotion from the valence to the conduction band, and (iii) electron transfer from  $TiO_2$  conduction band to graphene

graphene oxide [47]. While graphene is a conducting material, graphene oxide prepared by deep chemical oxidation of graphite and subsequent exfoliation of graphite oxide is a semiconductor that exhibits photocatalytic activity in the UV region for hydrogen generation or, in combination with dyes as light harvesters, can be activated with visible light [48].

Graphene oxide has been found to be a promising material for the photocatalytic reduction of  $CO_2$  to methanol. Methanol is considered as the most valuable photoproduct by  $CO_2$  reduction from the point of view of solar fuels since this chemical is liquid under ambient conditions and has high volumetric energy content. However, due to its high reactivity, particularly in the presence of holes and their tendency to decompose catalytically into  $CO_2$ , it is very unlikely that high yields to methanol can be achieved photocatalytically. In the case of graphene oxide, it was found that a sample of this material with high oxygen content was about six times more efficient than commercial P25 for the generation of methanol by photocatalytic  $CO_2$  reduction by water upon simulated solar light irradiation [47].

One point of large importance when considering the photocatalytic activity of graphene oxide is its stability under reaction conditions for long operation times. Graphene oxide has a tendency to become reduced to a type of reconstituted graphene by expelling  $CO_2$  and CO. This chemical reduction of graphene oxide can be done under hydrothermal conditions at moderate temperatures below 200 °C, but also it has been found that upon light exposure evolution of  $CO_2$  and CO from graphene oxide can occur. In the context of the variation of the semiconducting properties going from graphene to graphene oxide, it has been observed that the degree of oxidation plays a strong influence on its photocatalytic activity, probably because the redox potential of the valence and conduction bands depends on the degree of oxidation of graphene oxide and it can be modulated in a certain range by the percentage of oxygenated functional groups introduced on the graphene sheet.

#### 1 Photocatalytic CO<sub>2</sub> Reduction

A more elaborated alternative for  $CO_2$  reduction using graphene consists in coupling the photocatalytic activity of these materials having covalently attached light-harvesting organic units with an enzymatic system able to produce formic acid by  $CO_2$  conversion [49]. Formate dehydrogenase consumes NADH as cofactor transferring protons and electrons to  $CO_2$  in the prosthetic center of the enzyme. Upon  $CO_2$  reduction to formate, NADH becomes oxidized to NAD<sup>+</sup>, and this enzymatic system can continuously operate if additional amounts of NADH are provided (Scheme 1.18).



Scheme 1.18 Illustration of the enzymatic system operating by NADH regeneration using a graphene–BODIPY photocatalyst and a Rh complex as mediator. The photobiocatalytic system can promote the photocatalytic  $CO_2$  reduction to formic acid
One way to continuously provide NADH is to regenerate this species photocatalytically by reduction of NAD<sup>+</sup>. In this regard it has been reported that graphenes having covalently attached a multi-anthraquinone substituted porphyrin or moieties having BODIPY chromophores can effect NAD<sup>+</sup> reduction to NADH with visible light if a Rh–bipyridyl complex is present as mediator [49]. Considering the large variety of enzymatic reductions based on NADH, it is worth exploring further the advantages of combining substituted graphenes with dehydrogenases to obtain solar fuels and particularly other reduction products from CO<sub>2</sub>.

#### **1.9 Concluding Remarks and Future Perspectives**

Current energy demand is largely satisfied by fossil fuels and it can be anticipated that the percentage of contribution to the total energy consumption of fossil fuels will continue to be high in the near future, although with a gradual tendency to decline. During this transitory period, it is expected that energy from fossil fuels will coexist with an increasing percentage of energy from renewable sources, particularly aerogenerators and photovoltaics. The nominal power of renewable power resources will steadily increase until it becomes a substantial percentage of the total energy supply. However, one of the major drawbacks of renewable energies is their dependence on weather conditions and that their production does not match in time with the energy demand. In contrast conventional power plants based on fossil fuels are flexible enough to adjust their production to the consumption. One possible solution to this mismatch of energy from renewable resources and consumption would be to utilize CO<sub>2</sub> from conventional power plants as feedstock that with the energy of renewable sources is converted into fuels. The overall cycle would be neutral from the CO<sub>2</sub> footprint. Also, direct conversion of CO<sub>2</sub> into solar fuels either electrocatalytically or photocatalytically could serve later as a way to supply energy on demand, since the products of CO<sub>2</sub> reduction will store the energy until needed. In this scheme, the development of efficient photocatalytic methods for CO<sub>2</sub> reduction will become increasingly used.

In the previous sections, we have presented several possibilities to effect the photocatalytic reduction. By far the most efficient and promising one is the reaction of  $CO_2$  with hydrogen that can lead to the complete conversion of both feedstocks, but this efficient process depends on the availability of hydrogen supply obtained from renewables. Other processes and particularly  $CO_2$  reduction by water are very appealing, but their efficiency is still many orders of magnitude lower than required for commercial applications. Any improvement in this field has to derive from the development of an efficient photocatalytic system. We have shown that much research effort has been focused on modification of TiO<sub>2</sub>, and today this material conveniently modified is the one exhibiting the highest photocatalytic activity.

In this area, techniques to gain information on the reaction mechanism by detecting intermediates have to be developed to gain insights into the bottleneck that limits the efficiency of the process and to provide hints of how to control the product distribution. Theoretical calculations are needed also to assist the design of novel photocatalyst and to provide a conceptual framework to rationalize the experimental results.

Therefore, the progress in the development of efficient photocatalyts for  $CO_2$  reduction has to come from multidisciplinary skills with expertise in material science synthesis, surface characterization with detection of adsorbed species, and experts in computational chemistry. Besides TiO<sub>2</sub>, materials providing flexibility in the composition and properties are promising and will be increasingly studied. They include not only inorganic solids like LDHs but particularly carbon nanoforms such as graphenes, layered carbon nitrides, and silicon carbides among others. Considering the large economic and societal impact that the process may have, the long-term goal in this area is to reach commercial application, although initially the process of photocatalytic  $CO_2$  reduction could be applied solely in certain circumstances and under favorable conditions and environment. This initial proof of concept of a commercial application will surely accelerate research and subsequent implementation of other photocatalytic  $CO_2$  reduction processes.

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# Chapter 2 Photocatalytic Water Oxidation

Tanvi Gadgil, Niyaz Ibrayev, and Nurxat Nuraje

Abstract Depletion in the resources of fossil fuels and its hazardous effects on environment are the biggest concerns of this century. Researchers have suggested solar energy as a sustainable and viable solution to overcome this problem. But due to the sporadic nature of solar energy, it is essential to store it. The hydrogen fuel is one of the chemical energy forms for storing solar energy. However, the production of hydrogen is the most demanding step in the overall energy-harnessing process. The water-splitting process is one of the most innovative ways to produce hydrogen. The sustainable evolution of hydrogen gas depends on well-designed water oxidation step in the overall water-splitting process. Water oxidation is a challenging half reaction because of the four electron process. The oxidation reaction taking place at the photoanode can be significantly improved with better design of the photocatalytic system. An enhanced water oxidation reaction proves to improve the efficiency of hydrogen production and thus more storage of solar energy as well.

**Keywords** Solar energy • Water splitting • Hydrogen production • Water oxidation • Heterogeneous photocatalyst • Photoelectrochemical cell

# 2.1 Introduction

The fossil fuel is an important source of energy. Sustainable supply of fossil fuel energy as per increasing needs of a mankind has always been a matter of concern for present era. This century has witnessed depletion in the resources of fossil fuel. Furthermore, exploitation of fossil fuel energy has caused major environmental hazards in the past decade including global warming. Therefore, increasing demand for the energy and further requirement for protecting the environments have generated a need for alternative and equally effective resource of energy. The

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renewable energy is the best possible alternative to replace fossil fuels. Sun is an inexhaustible source of renewable energy. The solar energy obtained from Sun is not only ample in amount to serve rising energy demand but environmentally friendly also. Estimated magnitude of solar energy is  $3 \times 10^{24}$  J year<sup>-1</sup> (i.e.,  $10^5$  terawatts) [1]. The general energy consumption of the world is around  $4.0 \times 10^{20}$  J year<sup>-1</sup> (i.e., 12 terawatts) [1].

Although Sun is a viable alternative for fossil fuel energy in coming years, it has to be noted that density of solar energy changes as per the locations and seasonal changes on the earth. In other words, considerable contrast can be observed in availability of solar energy in Sahara desert and North Pole. Therefore, in order to maintain constant supply of solar energy throughout the year, it is important to harness it in a proper way. Photosynthesis is one of the good examples for the natural way of storage and harnessing of solar energy. The idea from nature inspired researchers to design an artificial photosynthesis system for storage of solar energy.

Thus, the photocatalytic water splitting is a man made and green solution for storage of solar energy. The splitting of water molecule into hydrogen and oxygen by photocatalyst particles in a photoelectrochemical cell is an attractive feature of water-splitting process. As a result solar energy is stored in the form of hydrogen gas. Currently, hydrogen-based technologies are of great interest because of their use and environmentally clean nature [2].

## 2.2 Thermodynamics of Water-Splitting Process

In this chapter, the water oxidation reaction in water-splitting process is taken under consideration. It is important to understand overall water-splitting process before focusing on the water oxidation reaction alone. Therefore, in the next two sections, thermodynamics and mechanism of overall water-splitting process is described in detail.

### 2.2.1 General

In this century, hydrogen-based energy is one of the highly used forms of renewable energy. The available data on efficiency of hydrogen fuel has estimated that 2.2 pounds of hydrogen gas is as good as 1 gal of gasoline [2]. In the era of stringent environmental demands, this "zero emission fuel" serves as an appropriate choice to replace conventional fossil fuel energy. Therefore, hydrogen is the beneficial choice to store inexhaustible solar energy in the absence of sunlight.

Production of hydrogen is the most crucial step in the harnessing of solar energy.

#### 2 Water Oxidation

Traditionally, hydrogen is produced from fossil fuels as shown in the reaction (2.1) and (2.2). Production of  $syngas^1$  from reaction between water molecule and methane gas is a highly practiced technique in petroleum industry [2].

$$CH_4 + H_2O \rightarrow 3H_2 + CO$$
 (2.1)

$$\mathrm{CO} + \mathrm{H}_2\mathrm{O} \to \mathrm{H}_2 + \mathrm{CO}_2$$
 (2.2)

The syngas at the product side is a primary combination of hydrogen gas and carbon monoxide and often carbon dioxide [2]. The carbon dioxide is a major contributor to a greenhouse effect. Therefore, although efficient, this process is not the best way to obtain hydrogen gas and, hence, has been called into a question recently by researchers.

Scientists are researching a better and greener solution for hydrogen production. Artificial photosynthesis<sup>2</sup> is an equally efficient and environmentally friendly approach suggested for production of hydrogen gas. Water splitting is one of the techniques that applies principles of natural photosynthesis. Decomposition of water molecule into hydrogen and oxygen using heterogeneous photocatalyst is the fundamental to the overall water-splitting process as shown in the reaction (2.3) [1]:

$$H_2O \xrightarrow{h\vartheta} H_2 + 1/2 O_2$$
 (2.3)

In this chapter we will focus on understanding intricacies related to the reaction of water splitting.

#### 2.2.2 Thermodynamics of Overall Water-Splitting Process

As discussed earlier, overall water-splitting process is a combination of two reactions: oxidation and reduction. These two half reactions can also be termed – the two-electron stepwise process. The evolution of oxygen at the photoanode and that of hydrogen at cathode in a photoelectrochemical cell is observed as shown in reactions (2.4) and (2.5) [3, 9].

At anode (oxidation) [3],

$$H_2O \rightarrow 1/2O_2 + 2H^+ + 2e^-$$
 (2.4)

At cathode (reduction) [3],

<sup>&</sup>lt;sup>1</sup>*Syngas* is an abbreviation for synthesis gas. Syngas is a mixture of carbon dioxide, carbon monoxide, and hydrogen gas. General producers of syngas are chemical processes in oil and gas industries such as gasification of coal.

<sup>&</sup>lt;sup>2</sup>*Artificial photosynthesis* is mimicking of natural process of photosynthesis but with the use of photocatalysts.

$$2\mathrm{H}^+ + 2\mathrm{e}^- \to \mathrm{H}_2 \tag{2.5}$$

Overall reaction [1],

$$H_2O \to H_2 + 1/2 \ O_2$$
 (2.6)

However, positive Gibbs free energy change ( $\Delta G = +237.2 \text{ kJ mol}^{-1}$  and 2.46 eV per molecule) [1] is observed in the overall water-splitting reaction. Positive Gibbs free energy indicates that the process is endothermic. Therefore, energy is required to overcome positive Gibbs free energy.

Photon energy emitted by the Sun is used for breaking the barrier formed by positive Gibbs free energy. Hence, the material capable of absorbing photon energy has to be included for complete separation of water molecule. Semiconductors efficiently absorb photon and initiate electron and hole pair required for oxidation and reduction reaction. Due to this property of semiconductors, they are widely used as photocatalyst in decomposition of water.

#### 2.3 Mechanism of Overall Water-Splitting Process

#### 2.3.1 Principle

Fujishima and Honda have carried out significant research in the field of water splitting. Most of their work is conducted using a photoelectrochemical cell consisting of titania as the photoanode and platinum as the cathode under UV radiation. In other words, generation of solar hydrogen is carried out in the photoelectrochemical cell (PEC) which consists of a photoanode made up of semiconductor material and a cathode of metal immersed in an electrolyte [3].

In general, the process takes place in a sequential manner. The photocatalysts absorb the light radiation (either UV/visible). The absorbance of light causes excitation of electron in a conduction band of a photocatalyst. These excited electrons initiate the reduction reaction of water molecules into hydrogen gas on active sites of the photocatalysts. Simultaneously, a positive hole is created in the valence band. The positive hole assists in oxidation of water molecule into oxygen gas.

It has to be noted that semiconductors when used as photocatalysts absorb the energy of incident light which is greater than their band gap. Also, the smaller the size of photocatalyst particles, the more sites there are for water decomposition. Furthermore, on the surface active sites, cocatalyst is usually applied to reduce the overpotential at the interface between liquid and semiconductor and conduct the water redox reaction. The cocatalyst plays important role during the water-splitting process. High crystallinity and small dimension of the photocatalyst can reduce the



Fig. 2.1 (a) Overall water-splitting reaction on a solid photocatalyst and (b) Process of electron transport in overall water-splitting reaction

recombination of photogenerated electron–hole pairs. As shown in Fig. 2.1, the cocatalysts (e.g., Pt and RuO<sub>2</sub>) are attached to the surface of the photocatalyst [1].

Recently, metal oxides are widely being explored as photocatalysts. They have particular advantages including a naturally abundant availability, a negative band gap, and chemical stability. Moreover, the 2p orbital in the oxygen of the metal oxide is mainly contributed to the formation of the valence band of the metal oxide semiconductors which are able to oxidize water molecules [1].

## 2.3.2 Cocatalyst and Sacrificial Agent

The efficiency of the redox reaction can be enhanced by the addition of an agent that serves as cocatalyst. The cocatalyst plays an important role in the generation of hydrogen and oxygen by reducing overpotential, improving electron-hole pair transport, and providing active sites for the reaction to occur [1, 4].

The metal oxide, paired with the cocatalyst, absorbs UV/Vis irradiation. The excited electrons in the conduction band initiate hydrogen formation at the interface of the water layer and cocatalyst [1, 4]. In the next step these sacrificial agents such as methanol, diethanol amine, triethanol amine, and ethanol are able to donate or

accept electrons, so are also known as electron donor/acceptor elements. These electrons are provided to the positive holes in the valence band of the metal oxide. This is why, electron donor elements are used for reduction reactions. Certain dyes are also sometimes included in this process to absorb visible light. Most of metal oxide particles are more sensitive to the UV irradiation than visible light because of their band gaps. The sacrificial agent improves the efficiency of the process by involving catalytic reactions. The entire process continues until the exhaustion of sacrificial agent [1, 4]. The electron acceptor sacrificial agents such as silver nitrate are used for oxidation reaction. The agents speed up oxidation process under the solar light irradiations [4].

#### 2.3.3 Different Designs in Overall Water-Splitting Process

The process of water splitting under solar energy can be usually designed in following two configurations:

- 1. Z-scheme reaction (a.k.a. dual-bed configuration)
- 2. Dye-sensitized photoelectrochemical cell

The first configuration does not involve an external wire for the decomposition of water molecule, whereas in the second configuration, an external circuit is required for smooth transfer of electron between two electrodes [1, 5].

The Z-scheme approach involves two semiconductor photocatalysts with different band gap. One of the photocatalysts is assigned for oxidation and the other photocatalyst for reduction reaction of water. An excited electron initiates the reduction reaction on the surface of photocatalyst (Fig. 2.2 (right)) with the help of



**Fig. 2.2** Z-scheme reaction (Dual-bed configuration) on two different solid catalysts (*left* n-type photocatalyst, *right* p-type photocatalyst)

an electron donor of a redox shuttle. This electron donor species of the redox shuttle is further converted into its electron acceptor form by its oxidation through holes. During oxidation process, the electron acceptor is converted back to its initial electron donor stage through accepting electron from the conduction band of the n-type photocatalyst (Fig. 2.2 (left)) as oxidization of water at positive holes takes place.

In the dye-sensitized photoelectrochemical cell [7], the dye molecule in the photoelectrode absorbs visible light radiations. Absorption of the visible light photon causes an excitation of electrons which are carried toward the counter electrode for the reduction reaction. This transfer of electrons takes place via conduction wires. The oxidation reaction takes place at the photoanode. The dye can be regenerated after receiving electrons from water molecule or water oxidation process.

#### 2.4 Standard of Measurements

Analysis of effective standards of measurement of the photocatalyst and photoelectrode is of utmost importance in order to determine efficiency of solarto-fuel energy process. The common standard of measurements is discussed below.

#### 2.4.1 Solar-to-Hydrogen Efficiency (STH)

The product of the rate of hydrogen production  $(r_{H_2})$  and gain in Gibbs energy ( $\Delta G$ ) of 237 mol gives output energy as H<sub>2</sub>. P<sub>sun</sub> is defined as the energy flux of the sunlight. The ratio of output energy as H<sub>2</sub> to energy of incident solar light P<sub>sun</sub> gives solar-to-hydrogen efficiency (STH) [8, 9]:

$$STH = \frac{\text{Output energy as H}_2}{\text{Energy of incident solar light}} = \frac{r_{H_2} \times \Delta G}{P_{sun}}$$
(2.7)

Solar irradiation has an energy flux of  $1.0 \times 10^3$  Wm<sup>-2</sup> and well-defined spectrum, according to the ASTM-G173 AM1.5 global tilt [1]. Under an external voltage applied to photoelectrochemical cell, the electrical energy has to be subtracted from the energy gain.

Applied bias photon-to-current efficiency (ABPE) is used for two-electrode measurements [8, 9]:

$$ABPE = \frac{|j| \times (V_{th} - V_{bias})}{P_{sun}}$$
(2.8)

where *j* represents photocurrent density,  $V_{\text{th}}$  the theoretical water electrolysis voltage (1.23 V), and  $V_{\text{bias}}$  the applied voltage

# 2.4.2 Faradaic Efficiency

The ratio of the current contributing to water splitting into hydrogen and oxygen to the observed current is called the faradaic efficiency,  $\eta_F$ , of water splitting [9]:

- 1. Faradaic efficiency  $(\eta_F) = 1$ : the photocurrent is contributed to water splitting completely.
- 2. Faradaic efficiency  $(\eta_F) < 1$ : part of the current should be attributed to other redox processes rather than water splitting.

# 2.4.3 Applied-Bias-Compensated Solar-to-Hydrogen (AB-STH) Efficiency

Applied-bias-compensated solar-to-hydrogen (AB-STH) efficiency is given by [8, 9]

$$ABSTH = \frac{|j| \times \eta_F (V_{th} - V_{bias})}{P_{sun}}$$
(2.9)

AB-STH is also known as energy conversion efficiency or quantum efficiency for a general expression of solar to hydrogen via photoelectrochemical water splitting under sunlight. Quantification of the evolved amounts of H<sub>2</sub> and O<sub>2</sub> based on known  $\eta_F$  to avoid side reactions involving hydrogen and oxygen with PEC cells purged with an inert gas is required. Accurate estimation of AB-STH efficiency can be obtained by employing a two electrode system.

# 2.4.4 Hypothetical Half-Cell Solar-to-Hydrogen (HCSTH) Efficiency

Hypothetical half-cell solar-to-hydrogen (HCSTH) efficiency is used in the threeelectrode configuration. It is the product of the potential gain and the photocurrent.

The difference of the potential of a photoanode (photocathode) from the oxygen (hydrogen) equilibrium potential can be calculated by gain in potential by PEC water splitting [8, 9].

At photoanode,

$$\text{HCSTH} = \frac{|j| \times (E_{\text{O}_2/\text{H}_2\text{O}} - E_{\text{RHE}})}{P_{\text{sun}}}$$
(2.10)

At photocathode,

$$\text{HCSTH} = \frac{|j| \times \left(E_{\text{RHE}} - E_{\text{H}^+/\text{H}_2}\right)}{P_{\text{sun}}}$$
(2.11)

where  $E_{O_2/H_2O}$  is equilibrium potentials of oxygen evolution (+1.23 V vs. RHE) and  $E_{H^+/H_2}$  is hydrogen evolution (0 V vs. RHE) [5, 6].

There are two ways to identify photocatalytic activity of the water-splitting system:

- 1. Direct measurement of the amounts of hydrogen
- 2. Indirect method where the electron is transported from the semiconductor to the water within a certain time period under light irradiation

The units used for measuring the rate of  $O_2$  and  $H_2$  gas evolution are  $\mu$ mol  $h^{-1}$  and  $\mu$ mol  $h^{-1}$   $g^{-1}$  catalyst.

## 2.4.5 Quantum Yield

The quantum yield is always used to make a direct comparison. Results from different research groups and the photocatalytic hydrogen generation systems for the same catalyst vary as per the different experimental setups. Rate of  $O_2$  and  $H_2$  gas evolution is applied for the comparison purpose [9].

The *real quantum yield* is given by the following equation [9]:

Overall quantum yield(%) = 
$$\frac{\text{Number of reacted electrons}}{\text{Number of absorbed photons}}$$
(2.12)  
×100%

Because of the smaller number of absorbed photons than that of the incident light, real quantum yield is larger than the apparent quantum yield [9]:

Apparent quantum yield (%) = 
$$\frac{\text{Number of reacted electrons}}{\text{Number of incident photons}}$$
 (2.13)  
×100%

The number of reacted electrons is calculated from the amount of produced hydrogen gas [9].

It is important to achieve efficient solar energy conversion efficiency at reasonable quantum efficiency. Active participation of photocatalysts and photoelectrodes in water splitting under irradiation of 600 nm is suggested to achieve efficient solar energy conversion efficiency [8]: Solar energy conversion (%) =  $\frac{\text{Output energy as } H_2}{\frac{\text{Energy of incident solar light}}{\times 100\%}}$  (2.14)

## 2.5 Role of Photocatalyst

The focus of this chapter is on water oxidation reaction in water-splitting process. Water oxidation catalyst ensures efficient and sustainable conversion of solar-tofuel energy cycle. The water oxidation reaction includes four-electron transfer process. Before studying various water oxidation catalysts, it is important to understand the role of photocatalyst in overall water-splitting process and reactions associated with it.

### 2.5.1 Principle

As we have discussed earlier, semiconductors and metal oxides are highly used as photocatalysts in the water-splitting process. The principle of electrolysis is exploited in the process of decomposition of water molecule into hydrogen and oxygen gas. In addition to this principle, it is necessary to understand the thermodynamics between semiconductor and electrolyte solution.

Earlier in this chapter we have been introduced to the concept of using semiconductor as the photocatalyst. Additionally, the particulate photocatalyst in an electrolyte solution is also discussed. The methods given in this section will familiarize the reader with mechanism of these reactions.

## 2.5.2 Semiconductor-Liquid Interface

Electronic properties of the semiconductor such as band gap have to be understood in order to design an accurate photocatalyst for water-splitting process. The energy level occupied at a given temperature can be predicted by the Fermi–Dirac statistics. Fermi energy level is related to the collection of electron energy levels at absolute zero temperature. This is the lowest available energy state achieved by electrons basically due to low temperature [9].

The Fermi level of the intrinsic semiconductor is halfway between the conduction band and valence band. However, this level fluctuates on addition of impurities into the pure semiconductor. There are two types of doped semiconductors: n-type semiconductor and p-type semiconductor.

N-type semiconductor consists of electron donor elements and, hence, the Fermi level is higher. N-type photoanode, therefore, has higher Fermi level than that of the solution. The n-type photoanode when brought in contact with the solution, the

electrons in the conduction band are accepted by the acceptor. This process continues until Fermi level of n-type photoanode and the solution become equal. Depletion of electrons at the interface of photoanode and solution is observed. Positive particles accumulate in the depletion region and upward bending electric potential gradient is developed. This avoids further electron transfer [9, 10].

On the other hand, Fermi level in the p-type semiconductor is lower than that of the solution. Positive hole concentration in p-type semiconductors is higher relatively. Therefore, the electrons are transferred from solution to the p-type photocathode [10]. The electrons are then accumulated at the interface of the photocathode and solution. The process continues until Fermi level of both p-type photocathode and solution becomes equal. The downward bending electric potential gradient is developed in this system [10].

## 2.5.3 Z-Scheme Reaction: Innovative Approach

Traditionally, the process of water splitting is carried out in a single metal oxide photocatalyst. This approach encourages oxidation and reduction reaction to occur in conduction and valence band of a single photocatalytic particle. Back reaction of water formation and limited range of absorption of light irradiations as per the band gap of the selected photocatalyst are major drawbacks observed in this system.

In order to overcome these limitations, an innovative approach called as Z-scheme is suggested. In the Z-scheme reaction, two separate photocatalysts are involved according to their suitability for reduction or oxidation reaction of water molecule [9, 10]. Redox couple plays a vital role in this reaction for transfer of electrons. The generated electron during the oxidation reaction on one photocatalyst is passed on to the redox couple. The redox couple forwards this electron to the hydrogen photocatalyst for reduction reaction.

Choice of an appropriate photocatalyst for respective redox reactions is a crucial decision in the Z-scheme reaction. BaTaO<sub>2</sub>N (660 nm) can be applied to the hydrogen evolution electrode, while WO<sub>3</sub> or BiVO<sub>4</sub> can be used for the oxygen evolution [9]. Ru-load SrTiO<sub>3</sub> doped with Rh as a H<sub>2</sub>-photocatalyst and BiVO<sub>4</sub> as a O<sub>2</sub>-photocatalyst with a redox couple is used for a Z-scheme system, which gives a highest average quantum yield of 2.1 % at 420 nm [9]. The pH of the system was observed throughout the photocatalytic reaction. The shift in pH can cause imbalance in the system and lead to undesirable reactions. For example, more acidic pH on decreasing value of pH leads to negative potential in redox couple. As a result, more evolution of the hydrogen is obtained. On the other hand, energy difference between redox couple and oxygen photocatalyst shrinks. The less energy available for oxidation will affect the oxygen evolution. Therefore, it is ideal to maintain neutral pH in Z-scheme reaction.

## 2.6 Water Oxidation

#### 2.6.1 General

As we have already discussed, water oxidation is the half reaction of the overall water-splitting process. Oxygen is the main by-product of the natural photosynthesis. Oxidation reaction is the crucial step in the water-splitting process as it involves four proton and four electron transfer as well as formation of oxygen–oxygen bonding [11, 13,14]. Moreover, the oxidation reaction in the water-splitting process using photoelectrochemical cell takes place at the photoanode. The reaction at photoanode experiences substantial energy loss due to high potential at oxygen evolving anode. These observations may prove to be barriers in the final product formation. Hence, effective techniques have to be analyzed for sustainable production of oxygen at photoanode.

In this chapter, we will focus on various techniques used for production of "visible light-absorbing photocatalysts" used in water oxidation reaction.

# 2.6.2 Innovative Techniques: Photocatalysts in Water Oxidation

Artificial photosynthesis is one of the promising techniques used in water-splitting process. However, the photocatalyst in the process plays a key role in the success of artificial photosynthesis [11]. Therefore, in order to increase the efficiency and range of artificial photosynthesis, it is important to develop visible light-absorbing photocatalysts since the visible light spectrum possesses approximately 43 % of solar spectrum [12]. Some of the UV/visible light irradiation-absorbing photocatalysts are discussed in this section.

#### 2.6.2.1 Water Oxidation on TiO<sub>2</sub> Surface

Fujishima and Honda have done substantial work on the photoelectrolysis of water. Most of their work focused on the use of semiconductor metal oxides as a photocatalyst. Amongst all other metal oxides available on earth,  $TiO_2$  has been a special area of interest for both researchers. The chemical and biological inert nature, stability, and cheap cost are attractive features of  $TiO_2$  semiconductor catalyst [15–17]. Furthermore, light absorption range of rutile  $TiO_2$  can be extended from ultraviolet to visible light spectra after chemical doping.

The band gap of rutile  $TiO_2$  is larger as compared to that of  $WO_3$  and  $Fe_2O_3$ . The wide band gap of  $TiO_2$  proves to be an obstacle in absorption of visible light and also decreases photoenergy conversion factor to less than 1 % since the UV spectrum is only around 4 % of the whole solar spectrum [18, 20].

#### 2 Water Oxidation

The enhancement in the light absorption range improves photoactivity of the catalyst. But, our aim is to improve efficiency of photocatalyst for water oxidation. Therefore, in order to enhance overall photoactivity, it is important to understand the oxidation of water on oxide surfaces.

The reactions taking place at the anode with respect to the surface termination of the  $TiO_2$  face are observed. The types of surface termination under consideration are as follows [19]:

- 1. Surface with all bridge sites occupied by oxygen  $(TiO_2 + 2O_b)$
- 2. Totally O\* covered surface with unsaturated sites also covered by O\*  $(TiO_2 + 2O_b + 2O^*)$

where \* is the reaction site and O<sub>b</sub> is the bridging oxygen atom.

Mechanism for water oxidation reaction takes place in four steps with release of one electron per step [19]:

$$H_2O + * \rightarrow HO^* + H^+ + e^-$$
 (2.15)

$$HO^* \to O^* + H^+ + e^-$$
 (2.16)

$$H_2O + O^* \to HOO^* + H^+ + e^-$$
 (2.17)

$$HOO^* \to O_2 + * + H^+ + e^-$$
 (2.18)

Reactions (2.15, 2.16, 2.17 and 2.18) occur on the surface of rutile TiO<sub>2</sub>. In the surface with all bridge sites occupied by oxygen, the electron release sequence starts with reaction between water molecule and free reaction site, resulting into OH\* at reaction site. In addition, on the surface of totally O\*-covered surface with unsaturated sites also covered by O\*, reaction begins with reaction between O\* and water molecule, resulting into OOH\*. At both reactions, oxygen is evolved along with  $4H^+$  and  $4e^-$  [19].

It is observed that relative stability of the surfaces is a function of applied bias and pH of the electrolyte. The pH determines thermodynamic stability of the different surface terminations. Also, illumination provides overpotential required for water oxidation. The estimated intrinsic overpotential is between 0.9 and 1.1 eV [19]. It can be concluded that, only anodic bias is required to reduce from H<sup>+</sup> ions to H<sub>2</sub>. The overall photo oxidation of water on the ideal rutile TiO<sub>2</sub> surface leads to a conclusion that, moderate overpotential in the range of 0.78 V with zero pH is efficient for water oxidation on rutile TiO<sub>2</sub> surface [19].

#### 2.6.2.2 Cu<sub>2</sub>O: A Visible Light Irradiation Photocatalyst

Cuprous oxide (Cu<sub>2</sub>O) is a p-type metal oxide semiconductor with the band gap between 2.0 and 2.2 eV [20]. The small band gap energy is beneficial for reduction and oxidation of water under visible light irradiation. Also, its lattice structure is mainly made up of the chains of linear bonds ( $-O-Cu^{I}-O$ ). Therefore, the material



**Fig. 2.3** Time courses of  $H_2$  (*open circles*) and  $O_2$  (*filled circles*) evolution in Cu<sub>2</sub>O under visible light ( $\lambda > 460$  nm) irradiation (Reproduced from Ref. [20] with permission from The Royal Society of Chemistry. ©Royal Society of Chemistry 1998)

containing  $Cu^{I}$  is able to decompose water under visible light irradiation. Hara et al. [20] have conducted a series of experiments on the Cu<sub>2</sub>O powder under visible light irradiation.

The Cu<sub>2</sub>O powder is the product of the hydrolysis of CuCl in the presence of 1 M  $Na_3PO_4$  (40 cm<sup>3</sup>) to a 5 M aqueous NaCl solution containing 0.04 mol of CuCl (400 cm<sup>3</sup>). The mixture is vigorously stirred under an Ar flow condition. The resulting yellow precipitate is further heated at 673 K for 24 h in vacuum and boiled in water under Ar atmosphere. The impurity-free Cu<sub>2</sub>O powder is thus obtained. The water-splitting experiments are carried out on the mixture of cuprous oxide powder (0.5 g) with 200 cm<sup>3</sup> of distilled water in Pyrex cell [20]. Under visible light irradiation more than 460 nm (300 W Xe lamp with a cutoff filter), a gas chromatograph is applied to measure the amount of evolved gas. The results obtained from this system are presented in Fig. 2.3.

The data analyzes a total of 31 runs of the experiments to understand behavior pattern of hydrogen and oxygen evolution with respect to the time courses. The open circles in Fig. 2.3 stand for evolution of H<sub>2</sub> and filled circles are of evolution of O<sub>2</sub>. In the first run of the reaction system, O<sub>2</sub> evolved for 10 h on beginning of the reaction, followed by the H<sub>2</sub> evolution as the reaction proceeded. The evolution of H<sub>2</sub>/O<sub>2</sub> ratio increased from 0.8 in first run to 1.8 in 4th run. The final composition of H<sub>2</sub> after 31st run was of 3.8 mmol and that of O<sub>2</sub> was of 1.9 mmol. Also, the pH of the reaction system remained relatively unaffected from before the start of reaction (pH 7.3) to the pH after 31st run (pH 7.1) [20]. The pH reading and the H<sub>2</sub>/O<sub>2</sub> evolution ratio are compatible with the initial concentration of the reaction system (0.5 g, 3.5 mmol) [20].

Figure 2.3 also reflects the excess  $O_2$  evolution in the starting runs of the reaction system. As shown in the figure, the observed  $O_2$  evolution is more in run 1 and run

2. This can be attributed to the characteristic of Cu<sub>2</sub>O to absorb more oxygen in the bulk and also in the form of  $O^-$  and  $O_2^-$  on the surface [20]. This results in the p-type semiconducting nature of Cu<sub>2</sub>O and confirms its strong oxidation catalyst characteristics. Furthermore, the run 3 and run 4 describe the dependence of  $O_2$  evolution on the reaction system pressure. It is observed that, the H<sub>2</sub> and  $O_2$  evolution slows down in run 3 and 4 when oxygen evolution exceeds 80 µmol which corresponds to the reaction system pressure of 500 Pa. The evolution of  $O_2$  at more than 500 Pa leads to the inhibition in overall water-splitting process [20]. This can be attributed to the photoadsorption of oxygen on the surface of p-type semiconductors on exceeding a certain pressure.

The obtained results from the experiments carried out on the  $Cu_2O$  powder reveal the importance of  $Cu_2O$  as a visible light irradiation photocatalyst in the overall water-splitting process.

#### 2.6.2.3 BiVO<sub>4</sub>

Earlier we have discussed TiO<sub>2</sub> as a stable semiconductor photocatalyst. However, large band gaps in TiO<sub>2</sub> formed by O 2p orbital are the problem areas in its direct application as a photocatalyst. Therefore, researchers have directed their attention to a catalyst that avoids O 2p orbital. It is estimated that instead of O 2p orbital, in the BiVO<sub>4</sub>, 6 s orbital of Bi<sup>3+</sup> may form its valence band [21]. Its conduction bands are still formed from V 3d orbital. BiVO<sub>4</sub> is able to produce O<sub>2</sub> under visible light irradiation, and its photocatalytic activity strongly depends on the crystal form. The band gaps for the three main crystal forms which are monoclinic system with scheelite structure BiVO<sub>4</sub> (m-s), tetragonal system with zircon structure BiVO<sub>4</sub> (t-z), and scheelite structure BiVO<sub>4</sub> (t-s) are reported to be 2.40, 2.34, and 2.90 eV, respectively [21, 22].

BiVO<sub>4</sub> in aqueous AgNO<sub>3</sub> solution is used as a potential photocatalyst assembly for evolution of oxygen gas. BiVO<sub>4</sub> can be formed by calcinating Bi<sub>2</sub>O<sub>3</sub> and NH<sub>4</sub>VO<sub>3</sub> at 970 K or 1170 K. This material is kept in air for 5 h using alumina crucible [21]. The powdered mixture is then dispersed in the aqueous AgNO<sub>3</sub> solution. The photocatalytic activity of this mixture is studied with reference to highly used visible light photocatalyst WO<sub>3</sub>.

The reaction cell for this process is made up of Pyrex glass. The light source used in the experiment is an ozone-free 300 W Xe illuminator. Diffuse reflection spectrum of BiVO<sub>4</sub> was measured and the onset started at 540 nm. The band gap of this BiVO<sub>4</sub> is 2.3 eV [21]. The experiments carried out by Kudo et al. identified that for a cutoff filter of wavelength greater than 560 nm, the oxygen evolution is very less. But for a cutoff filter of wavelength greater than 520 nm, more oxygen evolution is observed. This wavelength range (greater than 520 nm) matches with the wavelength of diffuse diffraction spectrum of BiVO<sub>4</sub>, that is, 540 nm [21]. This indicates that the BiVO<sub>4</sub> photocatalyst can also be treated as an oxygen evolution photocatalyst used in Z-scheme reactions. In order to confirm the workability of  $BiVO_4$  as a photocatalytic oxidation catalyst functioning under the visible light, Kudo [21] compared it with WO<sub>3</sub>. The evolution of oxygen on WO<sub>3</sub> and  $BiVO_4$  from aqueous AgNO<sub>3</sub> solution was tested under the visible light of wavelength greater than 420 nm. The XRD pattern of  $BiVO_4$  observed in the reaction was constant even after 50 h [21]. This result confirms chemical stability of  $BiVO_4$ . Also it was observed that, wavelength range absorbed by  $BiVO_4$  is wider than that by WO<sub>3</sub>. The setup of gas chromatography was used to determine amount of evolved oxygen [21].

 $BiVO_4$  in aqueous AgNO<sub>3</sub> solution involves less chemical and structural alterations as compared to that of rutile TiO<sub>2</sub>. The mixing of Bi<sup>3+</sup> with oxides proves to be a step forward in development of visible light-absorbing photo oxidation catalysts [21, 26, 27].

#### 2.6.2.4 Biologically Templated Nanostructures

Extensive research has been carried out on metal oxide colloids as current and potential photocatalysts for water oxidation. The photocatalysts that we have discussed earlier fall under the category of metal oxides. However, the systems involving metal oxides as a photocatalysts highly depend upon certain components such as photosensitizer, electron acceptors, and catalyst. The photosensitizer absorbs visible light radiations and initiates the photocatalytic action [29, 34, 35]. The electron–hole pair transport is thus established, and the repeated action results into an accumulation of four holes in the catalysts which leads to sustainable evolution of oxygen gas. However, the spacing between photosensitizer, electron acceptor, and catalyst is very crucial and has to be maintained at certain ordered structure for efficient energy transfer and electron or hole transport in the water-splitting system. However, it is difficult to create controlled structural system at molecular level.

Nam et al. have suggested a solution to design an artificial water-splitting system. According to these researchers, multiple functional materials can be spatially organized with the help of biological scaffolds for photochemical water splitting. The system suggested in this research consists of a photosensitizer and metal oxide catalyst co-assembled in proximity of M13 virus scaffolds. This structure forms a hierarchically ordered photocatalytic nanostructure. Zn (II) deuteroporphyrin IX 2,4 bis-ethylene glycol (ZnDPEG) is used as a photosensitizer [29]. Iridium oxide, a catalyst with stability under oxidizing conditions, is placed as an oxygen evolution center, and genetically engineered M13 virus serves as scaffolds for growth of  $IrO_2$  through biomineralization approach.  $IrO_2$  nanocatalysts are formed on the surface of M13 virus via aqueous sodium hexachloroiridate as precursor. ZnDPEGs were assembled along with linkage to M13 virus [29, 30].

According to the experimental observations,  $IrO_2$ –ZnDPEG nanowires precipitated after evolution of oxygen. Aggregation of photochemical colloidal catalyst is an undesirable outcome [28, 29, 30]. Therefore, porous microgels were introduced as matrix for immobilization of  $IrO_2$ –ZnDPEG nanostructures. M13 viruses encapsulated microgels produced by microfluidic device serve as a template for  $IrO_2$  hydrosol clusters and photosensitizer. The photosensitizer was covalently introduced into M13 virus encapsulated by the microgel.

IrO<sub>2</sub>–ZnDPEG microgels with radius of 35 and 109 microns were studied in this experiment. It was observed that the lower the ratio of IrO<sub>2</sub>–ZnDPEG, the more the evolution of oxygen from microgels [29, 30]. Reuse of the microgel matrix is also studied in order to develop data for efficiency of the prepared oxidation photocatalyst. The regenerated microgels showed approximately 94 % oxygen evolution as that of the fresh microgel used in first cycle. The efficiency of the microgel started to decrease from the fourth cycle as per the experiments [30, 32].

Turnover number (TON) is used as a standard of measurement for oxygen evolution. Turnover number indicates number of water molecules that can be split by the catalyst. It is estimated that  $IrO_2$  hydrosol cluster dispersed with pH11 and dispersed in unconjugated ZnDPEG has TON approx. equal to 180. This number is four times the evolution of oxygen by sole iridium atom. TON approx. equal to 76 is observed in IrO<sub>2</sub> nanowires with unconjugated ZnDPEG. The exposure of the catalyst to water molecule plays key role in this process. This could be due to reduced surface area from hydrosol to nanowires. Significant increase in the turnover number was observed on the introduction of M13 virus into the  $IrO_{2^{-1}}$ ZnDPEG matrix on nanowires and hydrosol cluster [29, 31, 33]. However, this type of nanowires containing M13 virus with IrO<sub>2</sub>-ZnDPEG showed TON~790, whereas  $IrO_2$ -ZnDPEG hydrosol cluster with M13 virus showed TON ~ 310 [29, 30]. Combination of excitonic migration between photosensitizers and close arrangement of photosensitizer with IrO<sub>2</sub> supports the higher turnover number of nanowires containing M13 virus with IrO2-ZnDPEG [29]. However, these results prove that introduction of M13 virus has resulted into significant improvement in the oxygen evolution [30, 32]. The turnover number has also been calculated to verify ideal amount of photosensitizer [30, 31].

In sum, quantum yield and TON are helpful in determining appropriate assembly of catalyst with photosensitizer. Accurate estimations of these physical quantities can improve water oxidation reaction.

## 2.7 Photoelectrochemical Cell

Photoelectrochemical cell is one of the basic devices used for the decomposition of water molecule into hydrogen and oxygen [36, 37]. The working and construction of the photoelectrochemical cell is inspired by the normal electrochemical cell. However, it is modified by introduction of photocatalysts and particular electrodes that show ability to absorb visible/UV light.

## 2.7.1 Principle

The process of water electrolysis is driven by water-splitting photoelectrode devices. An external bias is required for continuous working of water electrolysis. Two-electrode configurations of electrochemical cell are used for these measurements [39, 40].

In case of a back-to-back photoelectrode with no external wiring, the efficiency is calculated by physically collecting the hydrogen and oxygen. The free energy contained in the chemical products is related to the energy of the incoming light. The solar-to-hydrogen production efficiency with inputs of only sunlight and water is calculated by measuring the photocurrent (J) at  $V_{\rm app} = 0$ , where  $V_{\rm app}$  is the applied voltage measured between the oxygen-evolving photoanode and the hydrogen-evolving photocathode in J-V data.

The efficiencies of a photoanode or photocathode can be calculated separately from the other half of the water-splitting reaction. This way optimization of materials can be done independently. An individual photoelectrode produces the power equal to only a portion of the Gibbs free energy needed to split water.

The efficiency of the photoelectrode can be calculated by using the equation given below [39]:

$$\eta = \frac{J_{\rm mp} V_{\rm mp}}{P_{\rm in}} \tag{2.19}$$

Maximum power point ( $P_{PA}$ ) and fill factor can be calculated by using equations given below [1, 32]:

$$P_{\rm PA} = J_{\rm mp} V_{\rm mp} \tag{2.20}$$

$$\mathrm{ff} = \frac{J_{\mathrm{mp}}V_{\mathrm{mp}}}{J_{\mathrm{SC}}V_{\mathrm{OC}}} \tag{2.21}$$

where:

 $\eta$ : Efficiency of photoelectrode based on its current–voltage data  $V_{\rm mp}$ : Voltage at the maximum power point  $P_{\rm in}$ : Power of the incoming illumination (in W cm<sup>-2</sup>)  $J_{\rm mp}$ : Current density at the maximum power point  $V_{\rm oc}$ : Open-circuit voltage  $J_{\rm sc}$ : Short-circuit current density *ff*: Fill factor

The above formulae support that a semiconductor of band gap between 1.6 and 1.7 eV [39, 44] is required to generate  $V_{oc}$  for water-splitting process, whereas in case of voltage-loss mechanism it is around 2 eV.



**Fig. 2.4** N-type semiconductor before and after illumination (*top*); p-type semiconductor before and after illumination (*bottom*) [1]

### 2.7.2 Working of Semiconductor Photoelectrochemical Cell

When a semiconductor electrode is immersed in an electrolyte, the semiconductorliquid junction is thus established. As a result, electrons flow from semiconductor to the electrolyte until the equilibrium is achieved (Fig. 2.4). The electrolyte solution contains redox couple. The charge transfer develops an interfacial electric field and thus electrostatic potential builds up. The electrostatic potential balances the electrochemical potential between electrolyte solution and semiconductor. The electrochemical potential is observed throughout the system after equilibrium stage is achieved. It is also referred as Fermi level [38, 39, 42].

The ideal device for water-splitting process consists of an n-type semiconductor as photoanode and p-type semiconductor as photocathode (Fig. 2.5). The n-type photoanode consists of excess positive charge due to doping. As a result, the electrolyte solution will have excess negative charge after equilibrium with redox couple ( $O_2/H_2O$ ) [42, 43].



**Fig. 2.5** Water splitting in photoelectrochemical cell using (**a**) photoanode (**b**) photocathode and (**c**) photoanode, and photocathode in tandem configuration (Reproduced from Ref. [8] with permission from The Royal Society of Chemistry.  $\[ \ensuremath{\mathbb{C}}$ Royal Society of Chemistry 2014)

This causes formation of depletion region in semiconductor, leading to carrier of positive charge. In the case of photo-illumination, holes move into the electrolyte solution; thus, the band bending is observed. On the other hand, in the case of p-type semiconductor electrodes, solution is positively charged (Fig. 2.4). The difference between initial energy level of Fermi level and electrochemical potential gives electric field strength and potential energy barrier in the semiconductor.

Photogenerated charge carriers are the area of interest for photochemical watersplitting process (Fig. 2.5). These photogenerated electron-hole pairs can be separated effectively. The charge carriers generated have very large mobility (10– 1000 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>) in crystalline inorganic semiconductors. The free energy generated by semiconductors can be calculated [42]. But for that, the concept of quasi-Fermi level has to be understood. It is defined as the electrochemical potential of either electrons or holes under nonequilibrium conditions. Illuminated conditions represent nonequilibrium. Population of electrons and holes can be calculated using Fermi–Dirac statistics. The photoelectrochemical reactions are driven by photo excited electron–hole pair. The potential of Fermi level of the photoelectrode under photo excitation is similar to the potential of electron on counter electrode.

#### 2.7.3 Fabrication of Semiconductor Photoelectrode

The fabrication of semiconductor photoelectrode is one of the key features in photoelectrochemical cell device. It ensures reduction in the series resistance. The high resistance between particles and substrates causes poor photoelectrochemical performance. High resistance also affects the mechanical strength which can be improved by drop casting and electrophoretic deposition by building the layer of powdered semiconductor materials on conductive substrates. Charge transfer between semiconducting particles and a conductive substrate can be improved by necking treatment [1, 39, 42]. In the case of necking treatment, the photoelectrode is treated with the solution of metal salt precursor that is annealed [1, 39]. The metal salt precursor decomposes into the metal oxide, during the heating period [1]. The decomposition into metal oxide helps to bridge the semiconducting particles.

The following approaches are being exploited recently to fabricate photoelectrodes:

- 1. A particle transfer method
- 2. A mask anodization method

In the case of the particle transfer method (Fig. 2.6), a glass substrate is covered with a semiconducting powder. This substrate is then sputtered with metals as a contact layer of approximately 100–300 nm. Many such micrometer thick films are deposited continuously by sputtering method. Resin is used to transfer such metal film of proper conductivity and mechanical strength. Loosely bound powder is removed by ultrasonication in water and the primary substrate is peeled off. Thus, after ultrasonication, a monolayer of particle forms metal contactor film. Good electrical contact between the semiconducting particles and the metal layer is established [1, 42].

In the second method (Fig. 2.6), nanorods are grown vertically on conductive substrates. For example, in nitridation of  $Ta_2O_5$  nanorod arrays, a through-mask anodization method is used to grown them. A porous anodic alumina (PAA) mask is created on the top of a Ta substrate. This can be done by anodizing an evaporated Al layer. An aqueous solution of boric acid (0.5 M) is used so that Ta substrate is anodized (Fig. 2.7).

An aqueous solution of boric acid (0.5 M) is used so that Ta substrates are anodized through the PAA mask.  $Ta^{5+}$  has the low solubility in the boric acid solution. Also, with volume expansion by anodization of Ta into  $Ta_2O_5$ ,  $Ta_2O_5$  is packed into the nanochannels of the PAA. This is then masked under a high electrical field. Phosphoric acid (5 %) is applied to PAA mask. Nitrided nanorods



Fig. 2.6 Particle transfer method (Reproduced from Ref. [53] with permission from The Royal Society of Chemistry. ©Royal Society of Chemistry 2012)



Fig. 2.7 Nanorod photoelectrode fabrication process (Reproduced from Ref. [54] with permission from John Wiley & Sons Ltd)

of  $Ta_2O_5$  arrays are converted into  $Ta_3N_5$  nanorod arrays under a heated NH<sub>3</sub> flow [42, 44].

## 2.7.4 Metal Oxide-Based Photoelectrochemical Cell

Minegishi et al. [44] studied current-potential curves of LaTiO<sub>2</sub>N photoanode in an aqueous Na<sub>2</sub>SO<sub>4</sub> solution (1 M) at pH = 13.5. In this research, the photoelectrode was prepared using Ta and Ti layers as the contact layer and conductor layer, respectively. The particle transfer method was thus applied. Oxygen evolution catalyst IrO<sub>2</sub> was applied for modifying surface of the photoanode [42]. It was observed that, LaTiO<sub>2</sub>N has conduction band which is more negative than the

equilibrium potential of hydrogen evolution. The results were confirmed due to anodic photocurrent below 0 V vs. RHE [42].

Most of the oxides, nitrides, and sulfides have anion defects and hence are n-type semiconductors. Molecular beam epitaxy (MBE) is used to prepare CuGaSe<sub>2</sub> photoelectrodes on a Mo-coated glass substrate which was suggested by Moriya et al. Hydrogen evolution reaction was initiated by modifying surface with Pt. Because of hydrogen evolution, cathodic photocurrent observed was below ca. 0.7 V vs. RHE [42, 44]. CdS forms a good p-n heterojunction with CuGaSe<sub>2</sub>; hence, the photocurrent was noticeably improved. This indicates that band formation between CdS and CuGaSe<sub>2</sub> is important to raise photocurrent.

Another example is of n-type TaON photoelectrode coated with p-type semiconductor CaFe<sub>2</sub>O<sub>4</sub>. This newly formed p-n heterojunction photoelectrochemical cell enhances photocurrent during the oxygen evolution.

In general, splitting of water by only light energy is possible by combining p-type photoelectrodes in with n-type photoelectrodes. Such system can split water without electrical power given that the photocurrents of the photoanode and photocathode are balanced at a certain potential.

#### 2.7.5 Dye-Sensitized Photoelectrochemical Cell

Dye-sensitized photoelectrochemical cell is used to split water under visible light. For example, an O<sub>2</sub> evolution catalyst is formed by hydrated iridium oxide (IrO<sub>2</sub> · nH<sub>2</sub>O) nanoparticles attached to Ru-complex sensitizer molecules. An excited electron from LUMO is injected into the conduction band of TiO<sub>2</sub>. The outer circuit transfers this electron to a counter electrode (Pt). The water is then reduced to form hydrogen gas [41]. After water oxidation to O<sub>2</sub>, the dye returns to the ground state on accepting an electron from the IrO<sub>2</sub> · nH<sub>2</sub>O nanoparticle.

An example of water-splitting dye-sensitized photoelectrochemical cell will help us to understand working of dye-sensitized photoelectrochemical cell. A recent development in the photocatalytic system is semiconductor particles of oxynitride. As we have already mentioned in this chapter, hydrated iridium oxide can be used as an effective water oxidation catalyst. The Ru(III)tris(bipyridine) is generated photochemically and helps to carry out oxidation reaction. Recently, it has been discovered that the size and polydispersity of colloidal  $IrO_2 \cdot nH_2O$ particles (10–30 nm) can be decreased to 1–5 nm by using bidentate carboxylic acid stabilizers [42]. Effective stabilizers such as ruthenium polypyridyl dyes modified with bidentate carboxylates are used for the size and polydispersity modifications. It is reported that it causes significant increase in the rate of electron transfer for chemisorbed dyes on  $IrO_2 \cdot nH_2O$  (in the range of  $10^3 \text{ s}^{-1}$ ) than that for unbound dyes in the presence of  $IrO_2 \cdot nH_2O$  colloids [42].

It is important to create a connection between  $IrO_2 \cdot nH_2O$  particles and a metal oxide semiconductor. Therefore, a dye that serves as both sensitizer component and a molecular bridge such as dye 1 was created. In dye 1 phosphonates are chemically



**Fig. 2.8** Assembly of water splitting in dye-sensitized solar cell (Reproduced from Ref. [42] with permission from American Chemical Society. Copyright © 2009 American Chemical Society)

selective for TiO<sub>2</sub> and malonate group is selective for  $IrO_2 \cdot nH_2O$  particles. A well-dispersed particle of  $IrO_2 \cdot nH_2O$  approximately 2 nm in diameter has been successfully produced by using dye 1 [41, 42]. Therefore, the combination of dye— IrO<sub>2</sub>  $\cdot nH_2O$  colloid complex when absorbed onto anatase TiO<sub>2</sub> results in rapid injection of electrons. This provides us with general factors involved in dye production [42].

It is a known fact that the reaction of water splitting can be exploited most when absorption of visible light radiation is augmented.

This idea of water splitting with sensitized oxide semiconductor induced by visible light absorption can be implemented practically in a photoelectrochemical cell. The main advantage of mimicking electrochemical cell is that, in the assembly of electrochemical cell, the evolution of  $H_2$ – $O_2$  can be separated in physically different compartments.

Figure 2.8 shows assembly of a water-splitting dye-sensitized solar cell. The dye-sensitized photochemical cell consists of two electrodes as in electrochemical cell. The difference in the two cells is that, in dye-sensitized photochemical cell, the dye sensitizer is an add-on feature. The photoanode is made up of mesoporous TiO<sub>2</sub> electrode. The dye used in this experimental setup is a monolayer of  $IrO_2 \cdot nH_2O$  particles. The  $[Ru(bpy)_3]^{2+}$  sensitizer is used. The  $IrO_2 \cdot nH_2O$  particle dye is sensitizer capped. The  $[Ru(bpy)_3]^{2+}$  is modified with phosphonate and malonate ligands [42, 44]. This modification in the 4th position of 2,2'-bipyridyl ligands helps to absorb TiO<sub>2</sub> and in  $IrO_2 \cdot nH_2O$ , respectively. The cathode is of platinum wire electrode. Also, the bias voltage of  $\geq 300$  mV has to be applied for efficient water splitting in the water-splitting dye-sensitized solar cell (DSSC) [42, 46].

The electron donor is Ir(IV). Therefore, the oxidized sensitizer reduces at a slower time scale. However, the rate of electron transfer (2 ms) of this process fails to compete with fast charge recombination reaction of photo-injected electron (0.37 ms) and Ru

(III). Each of the IrO<sub>2</sub> · nH<sub>2</sub>O particle in this reaction is sensitizer capped. There are around 10–20 molecules of sensitizer that are capped onto single IrO<sub>2</sub> · nH<sub>2</sub>O particle [42]. Only a few of these complexes can bind to the TiO<sub>2</sub> surface. The remaining are subjected to excited state quenching by electron transfer to Ir(IV). As a result of this, steady-state quantum efficiency of this cell is about 1 % [42, 47].

There are few rectifications suggested in this system by researchers for obtaining better efficiency. It is suggested that, in order to increase the quantum yield of this process, the catalyst particle should be connected to only one sensitizer molecule [45, 48]. This way, each sensitizer can bind efficiently with  $TiO_2$  and  $IrO_2 \cdot nH_2O$  particle. Another drawback of this system is current decay due to pH drop in the  $TiO_2$  film. This current decay due to pH drop is observed due to the protons created by oxidation reaction. The more effective buffer can avoid current decay by penetrating into the protous film.

In spite of few limitations, the water-splitting dye-sensitized solar cell convinces the use of sensitizer-based photosystem in water-splitting reaction. The overall water-splitting dye-sensitized solar cell system can be improved by increasing its quantum yield to unity [41, 49, 50, 51, 52].

# 2.7.6 $CuWO_4$ -WO<sub>3</sub> Composite Electrode in the Presence of $[Fe(CN)_6]^{3-}$

The WO<sub>3</sub> is a traditionally used photoanode material for solar-driven water oxidation reactions. WO<sub>3</sub> metal oxide semiconductor consists of the sufficiently positive valence band edge for production of oxygen. However, the large band gap and instability under neutral and basic conditions limit the use of WO<sub>3</sub> as an oxidation photocatalyst. These limitations can be overcome by forming a first row transition-metal tungstate compounds. CuWO<sub>4</sub> is one of the best suggested and well-researched alternatives for WO<sub>3</sub>. The band gap of CuWO<sub>4</sub> (2.25 eV) is smaller than that of WO<sub>3</sub> (2.7 eV). As a result, CuWO<sub>4</sub> shows shift to longer wavelengths as compared to WO<sub>3</sub> in absorption spectrum. Therefore, the yellow electrodes of CuWO<sub>4</sub> absorb more visible light irradiations in contrast to the white color electrodes of WO<sub>3</sub> which absorb more light in the UV region [55]. Another advantage of using CuWO<sub>4</sub> electrodes is their stability at the neutral pH (approximately 8). The stability at neutral pH is important for use of the CuWO<sub>4</sub> electrodes as photocatalysts at industrial level.

The efficiency of the solar energy conversion can be improved by using the photoelectrochemical cell. The redox mediator  $[Fe(CN)_6]^{3-}$  is used to transfer electrons between CuWO<sub>4</sub>–WO<sub>3</sub> photoanode and Pt photocathode as shown in the Fig. 2.9. The reactions (2.22), (2.23), and (2.24) are observed in the Fig. 2.9 [55]:

$$2H_2O \rightarrow O_2 + 4H^+ + 4e^- \quad E^\circ = 0.82 \text{ V}$$
 (2.22)



**Fig. 2.9** (a) Band structure of  $CuWO_4$ – $WO_3$  composite electrodes superimposed with the chemical potentials for water oxidation and ferricyanide reduction at pH 7; (b) Measured band edges of 1.2:1 W:Cu and for pure WO<sub>3</sub> for comparison purposes (Reproduced from Ref. [55] with permission from American Chemical Society. Copyright © 2012 American Chemical Society)

$$4[Fe(CN)_6]^{3-} + 4e^- \to 4[Fe(CN)_6]^{4-} \quad E^\circ = 0.44 \ V$$
 (2.23)

$$2H_2O + 4[Fe(CN)_6]^{3-} \rightarrow O_2 + 4H^+ + [Fe(CN)_6]^{4-} \quad E^\circ = -0.38 \ V \quad (2.24)$$

As indicated by overall reaction (2.24), the use of  $[Fe(CN)_6]^{3-}$  decreases the overall E° to -0.38 V for overall water-splitting process at pH 7. The overall reaction can be illustrated briefly with the help of Fig. 2.9. The water is oxidized at CuWO<sub>4</sub>–WO<sub>3</sub> photoanode [55]. The reaction of oxidation is followed by the reduction of redox mediator  $[Fe(CN)_6]^{3-}$ . The reduction potential of  $[Fe(CN)_6]^{3-}$  is more positive than that of the conduction band edge of the CuWO<sub>4</sub>–WO<sub>3</sub> composite photoelectrode. Finally, the redox mediator  $[Fe(CN)_6]^{3-}$  is able to receive electron from photoanode through external wire [55]. This half reaction is a substitute for water reduction or H<sub>2</sub> production. The entire reaction scheme indicates that CuWO<sub>4</sub>–WO<sub>3</sub> composite electrodes in the presence of  $[Fe(CN)_6]^{3-}$  are capable of water splitting with no applied bias [55].

#### 2.8 Summary

The water oxidation reaction is the main part of the overall water-splitting process. The choice of oxidation catalyst without degradation during continuous exchange of four electron-hole pair is the most important step in the water oxidation process. An efficient water oxidation catalyst is the one that can easily form O–O bond and simultaneously allow release of dioxygen from active sites. This chapter presents the mechanism and working of overall water-splitting process. Then the chapter mainly concentrates on the water oxidation reaction. As we have already discussed in this chapter, the photocatalyst is a key element in oxidation; so we summarized various available water oxidation catalysts in the research field. Inorganic and organic photocatalysts are described for understanding of their role in photocatalytic water oxidation process. In addition to this, effective standard of measurements is discussed. Harnessing of solar energy in the form of hydrogen is undoubtedly one of the most profitable and promising techniques. Intense research in the field of solar energy shows potential to solve energy crisis faced worldwide.

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#### 2 Water Oxidation

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# Chapter 3 Heteropolyacid-Based Heterogeneous Photocatalysts for Environmental Application

#### Elisa I. García-López, Giuseppe Marcì, and Leonardo Palmisano

**Abstract** Polyoxometalates (POMs) are a wide class of discrete nanosized transition metal–oxygen clusters. The synthesis of POMs has received great interest not only because they present intriguing architectures but also because they have potential applications in catalysis, medicine, electrochemistry, materials design or models for self-assembling nanoscale systems. Recently, POMs have also been studied as green and cheap photocatalysts. The potentialities of POMs are attributed to their unique structural features; indeed, POMs are photostable and non-toxic, have oxygen-rich surfaces and excellent redox properties and possess photochemical characteristics similar to those of the semiconductor photocatalysts. Heteropolyacids (HPAs), including their anions, are a POM subclass. In this chapter their photocatalytic properties when they are immobilized on various supports are described citing a part of the existing literature.

**Keywords** Photocatalysis • Heteropolyacids • Polyoxometalates • Inorganic clusters • Semiconductors

## 3.1 Introduction

Since Fujishima and Honda achieved UV light-induced water cleavage using a  $TiO_2$  photoanode [1], photocatalysis has attracted significant attention because of its promising applications, mainly focused on the degradation of organic and inorganic pollutants both in vapour and liquid phase under mild experimental conditions [2–4]. The recent scientific efforts in this field have been addressed to increase the selectivity of the photocatalytic process for partial oxidation [5] or synthetic purposes [6]. The semiconductor metal oxides that are traditionally used as photocatalysts, as, for instance,  $TiO_2$ , have evidenced several drawbacks. Among

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Fig. 3.1 Polyhedral representation of some classical POM structures

them we can cite the small amount of photons absorbed in the visible region, the high recombination rate for the photoproduced electron-hole pairs, the difficulty to enhance the activity by loading or doping and the deactivation in the absence of water vapour, particularly in the gas-solid regime for the degradation of aromatics. All these drawbacks account for the difficulties to improve the photocatalytic system [7]. Consequently, strong efforts have been devoted to develop alternative materials [8]. In this context relatively very low attention has been focused on the use of polyoxometalates or polyoxometalate-based materials as heterogeneous photocatalysts. These clusters exhibit interesting physico-chemical properties that allow their wide use in catalysis, materials science, analytical chemistry, surface and interface science, medicine and life science and electro-, photo- and magnetic chemistry [9]. The large number of structural types of POMs can be divided in three classes: heteropolyanions (HPAs), isopolyanions (IPAs) and Mo-blue- and Mobrown-reduced POM centres [10]. Several types of HPAs are known, including acids and their salts; however, according to Pope and Muller [11], it is convenient to classify them starting from the symmetrical 'parent' polyanion, i.e. Keggin, Wells-Dawson, Anderson-Evans or Dexter-Silverton and other structures as reported in Fig. 3.1. In general, we can define POMs as a class of discrete anionic metal oxides of groups 5 and 6 constructed via the condensation of metal oxide polyhedra (MO<sub>x</sub>, where M can be W(VI), Mo(VI), V(V), Nb(V), Ta(V), etc.) [11, 12]. The metal atoms are referred to as addenda atoms. The addenda atoms include those that can change their coordination with oxygen from four to six because the  $MO_x$  polyhedra condense in solution upon acidification. Although oxygen is the main ligand that coordinates with the addenda atoms, other atoms/groups such as sulphur, bromine, nitrosyl and alkoxy are also reported in POM clusters [13]. When the POM frameworks exclusively contain the addenda metals (from groups 5 and/or 6) and oxygen, the clusters are called isopolymetalates or isopolyanions (IPAs), such as the Lindqvist-type anion  $[M_6O_{19}]^{2-}$ . When the POMs include additional elements along with the addenda metals and oxygen, they are known as heteropoly complexes or heteropolyanions (HPAs) which can be formed via condensation of  $MO_x$  polyhedra around the central heteroatom. Many different elements can act as heteroatoms in heteropoly complexes with various coordination numbers: 4-coordinate (tetrahedral) in Keggin and Wells–Dawson structures (e.g.  $PO_4^{3-}$ , Si  $O_4^{4-}$  and  $AsO_4^{3-}$ ), 6-coordinate (octahedral) in Anderson–Evans structures (e.g. Al  $(OH)_6^{5-}$  and  $TeO_6^{6-}$ ) and 12-coordinate (Silverton) in  $[(UO_{12})Mo_{12}O_{30}]^{8-}$ .

In this chapter we will only treat the Keggin structure, the first to be characterized and the most used in heterogeneous photocatalysis. Keggin  $\{XM_{12}O_{40}\}^{-y}$ anions include a heteroatom, X in the  $XO_4^{-1}$ , that could be  $PO_4^{3-1}$  or  $SO_4^{3-1}$  and the addenda atom, commonly W or Mo. The HPA tungstophosphates are the largest POM subclass. The Keggin structure, for instance, in the polyanion  $[PW_{12}O_{40}]^{3-}$ , can be described as an assembly of a central tetrahedron of oxygen with phosphorus in the centre and 4 peripheral  $[W_3O_{13}]$  blocks that complete 12 octahedra in total, whose centres are occupied by tungsten. In each [W<sub>3</sub>O<sub>13</sub>] block, three octahedra are connected by an edge of oxygens, and each block shares with an oxygen each of the other blocks as well as the central tetrahedron. This cluster has a diameter of ca. 1.2 nm [14–16]. The current research is often focused on the HPA functionalization in order to covalently attach these clusters to organic or inorganic species. To this aim the first step is the removal of one or several metal 'octahedra' from the original HPA in order to generate the so-called lacunary species. In a non-lacunary cluster, i.e. the plenary structure, the negative charge is delocalized over the entire structure, whereas in the lacunary HPAs the oxygen atoms are more nucleophilic and hence more reactive towards electrophilic organic and inorganic groups to form covalent bonds. The lacunary HPAs can also assemble to form new species. In general HPAs are prepared in aqueous medium by acidification of a solution containing the parent species, but the preparation conditions can give rise to a large family of compounds. The variables are (i) concentration/type of metal oxide anions, (ii) pH and type of acid, (iii) type and concentration of electrolyte, (iv) heteroatom concentration, (v) possibility to introduce additional ligands, (vi) reducing agent and (vii) temperature and solvent [10]. As an example, the Keggin cluster can be obtained by a simple polycondensation reaction in an acidic medium between the phosphate (or silicate) and tungstate ions:

$$PO_4^{3-} + 12WO_4^{2-} + 24H^+ \rightarrow PW_{12}O_{40}^{3-} + 12H_2O$$
 (3.1)

The heteropolyanion itself forms the so-called primary structure (Fig. 3.2a corresponding also to the Keggin cluster in Fig. 3.1), whereas in the solid material it is present the anionic cluster, the countercation and also some polar molecules, such as water or alcohols. The secondary structure is formed by the union of several primary units and the assembly neutralized by countercations (H<sup>+</sup> or monovalent cations such as Na<sup>+</sup>, NH<sup>+</sup><sub>4</sub>, Cs<sup>+</sup>). Primary structures form secondary rather mobile


**Fig. 3.2** Structural hierarchy of heteropoly compounds: (**a**) Keggin cluster (primary particle), (**b**) secondary structure and (**c**) tertiary structure (texture: particle size, porosity, surface area, etc.) (Adapted with permission from Ref. [16]. Copyright 2001, Royal Society of Chemistry)

structures by interacting with polar molecules as water which are present into the bulk of crystallites giving rise to the protonated clusters (see Fig. 3.2b). The primary structure of  $H_3PW_{12}O_{40}$  presents a molecular dimensions of ca. 11.7 × 11.7 Å [17]. The secondary structures condense in small particles of different sizes that can exceed several tens of nanometres. The countercation H<sup>+</sup> appears as a protonated dimer of water in the form of dioxonium  $H_5O_2^+$ . Each  $H_5O_2^+$  links four  $[PW_{12}O_{40}]^{3-}$  anions, forming with them hydrogen bonds involving terminal O atoms [18], as reported in Fig. 3.2b.

The presence of water in the secondary structure is paramountly important; for instance, considering the use of HPAs as catalysts, water influences the acidity and the adsorption properties of HPAs and consequently their catalytic activity [14-16]. HPAs contain up to 30 H<sub>2</sub>O molecules of crystallization per anion, which desorb progressively by increasing the temperature. The solid becomes completely dehydrated at ca. 350-500 °C and dioxonium is removed at ca. 150-200 °C [18]. HPAs are thermally unstable and in particular the thermal stability of the Keggin decreases the following structure in order:  $H_3[PW_{12}O_{40}] >$  $H_3[SiW_{12}O_{40}] > H_3[PMo_{12}O_{40}] > H_3[SiMo_{12}O_{40}]$ . Anyway, the thermal stability can be enhanced by the formation of appropriate salts [19]. HPAs possess strong acidity both in solid state and in solution that can be attributed to the large dimension of the polyanion which favours the delocalization of protons in the structure. HPAs, such as H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>, H<sub>4</sub>SiW<sub>12</sub>O<sub>40</sub>, H<sub>3</sub>PMo<sub>12</sub>O<sub>40</sub>, H<sub>4</sub>SiMo<sub>12</sub>O<sub>40</sub>, H<sub>6</sub>P<sub>2</sub>W<sub>18</sub>O<sub>62</sub>, have stronger Brønsted acidity than conventional inorganic acids, and consequently, the corresponding anions have weak Brønsted basicity, softer than that of nitrate and sulphate anions [20], which makes these molecules easy to handle without hazardous corrosive problems unlike mineral acids [21]. The order of acidity for the Keggin structures is the following:  $H_3[PW_{12}O_{40}] >$  $H_3[SiW_{12}O_{40}] > H_3[PMo_{12}O_{40}] > H_3[SiMo_{12}O_{40}]$  [22, 23], and the acidity strength in the available surface of the solid HPAs depends on the nature of the countercation. When it is small (as Na<sup>+</sup>), the behaviour of the HPA is very similar to that of the acidic form, and the species is highly soluble in water and polar

solvents; conversely, when it is voluminous  $(K^+, Cs^+, NH_4^+)$ , HPAs are insoluble in water. The usable acidity for a (photo)catalytic reaction depends on the size of particles (tertiary structure, Fig. 3.2c). In most cases the reagent molecules cannot penetrate in the tertiary structure and only a small fraction of the total acidity is accessible. However, due to the ability of HPAs to solve polar molecules, the latter can react more easily (reaction in 'pseudo-liquid phase') [14]. Another important feature of many HPAs and particularly of the Keggin is that they are reduced easily. The addenda metal atoms are mostly in their highest oxidation states  $(d^0)$ , and thus these clusters exhibit fast reversible redox transformations under mild conditions. This property is very useful in the application of POMs as photocatalysts in pollutant photodegradation. When they accept one or more electrons, mixedvalence species are formed, the so-called heteropoly blues, that retain the structure of the parent oxidized anions [19]. Moreover, most POMs are highly soluble in a variety of polar and polar-organic solvents. This is due to the ability of POMs to interact with most solutions via electrostatic forces, hydrogen bonding and covalent and non-covalent interactions.

Further details on the chemistry of POMs have been summarized in various books, reviews and thematic issues [11, 24–29]. As mentioned above, POMs have been used in the design of various multifunctional materials with different techniques, and they are the base of multifunctional materials used to solve different emerging issues. Omwoma et al. [30] have recently revised the abilities of POM-based molecular compounds and functionalized POM-containing composites in providing effective solutions to various environmental problems, including the sequestration of  $CO_2$ ,  $CH_4$ ,  $N_2O$  and  $SO_2$  and the adsorption/mineralization of cations, contaminants, dyes and pesticides from wastewater. Their contributions handling environmental problems such as toxic gas sequestration, wastewater decontamination, fine chemical production, corrosion and radioactive waste processing are particularly important.

By keeping in mind that photocatalysis is a branch of catalysis, it is worth highlighting the important role of HPA-based materials in catalysis both in solution and in solid state. The long list of review articles and special issues devoted to this field published in the last decades [11, 14, 19, 22–35], along with several patents and the commercialization of several catalytic processes involving HPAs, is a clear indication of their practical significance. Looking for strong acid catalysts, heteropolyacids such as  $H_3PW_{12}O_{40}$  are able to catalyze a wide range of homogeneous catalytic processes at low temperatures [14]. They exhibit very strong Brønsted type acidity, making them suitable for various acidic reactions, such as esterification, transesterification, hydrolysis, Friedel–Crafts alkylation and acylation and Beckmann rearrangement [36, 37]. Interestingly, some special POMs also possess basic properties and can be used in base-catalytic reactions [38]. Above all, the chemical properties of HPAs, as their acid–base strength, redox potential and solubility in aqueous and/or organic media, can be tailored varying their composition and structure. Consequently, owing to the mentioned above unique properties,

HPAs have been used extensively as efficient homogeneous catalysts in numerous catalytic reactions.

From the practical point of view, heterogeneous catalysis is usually preferred because of the advantages of the facile catalyst separation from the reaction products. The POM solubility in water and polar solvents cause difficulties in the recovery, separation and recycling of the catalysts, which affect their use in systems that require environmentally friendly efficient transformations. Therefore, an imperative is to develop easily recoverable and recyclable HPA-based catalysts for practical industrial applications. The heterogeneization of HPA clusters have been also an important issue because of the low specific surface area of unsupported HPAs  $(1 \div 10 \text{ m}^2 \text{ g}^{-1})$ . HPA dispersion on supports with high surface area increases the accessibility to their acidic sites and consequently increases their catalytic activity. The classical strategy to heterogeneize HPA clusters consists of supporting them on an oxide. Alternatively, the caesium or potassium salts (Cs<sub>2.5</sub>H<sub>0.5</sub>PW or  $K_{2,5}H_{0,5}PW$ ) are insoluble solids with micro- and mesoporosity [39]; however, the sizes of their particles are very small and problems can arise during the separation procedure. Recently, heterogeneized HPAs have been prepared associated with inorganic, organic or organometallic moieties. The supported HPAs evidenced important changes in structure, acid strength and redox properties with respect to the unsupported material. It is important to remind that in general for heterogeneous catalysis and in particular for a photocatalytic process, the reaction occurs on the solid surface, and the substrates need to diffuse into the active sites to be chemically adsorbed, while the products need to be desorbed from the solid through a reverse process. Therefore, the pore structures as well as the hydrophilic-hydrophobic properties significantly affect both activity and selectivity. Consequently, a porous material support is generally preferred in order to uniformly distribute the active sites and to decrease the mass transfer resistance. Several oxides have been used as HPA supports for (photo)catalytic purposes, for instance, SiO<sub>2</sub> [40-44], Al<sub>2</sub>O<sub>3</sub> [45, 46], ZrO<sub>2</sub> [47, 48], Ta<sub>2</sub>O<sub>5</sub> [49] or carbon [40, 50]. Silica has been widely favoured as supporting material because it interacts weakly with the Keggin anions preserving their structure. Conversely, interaction with basic solids, as MgO, ZnO or  $Al_2O_3$ , induces their decomposition, resulting in a decline of acidity [51]. The detrimental effect of such interactions on the catalytic activity has been well documented [44-46, 52]. Metal-organic frameworks (MOFs), mesoporous polymers, magnetic nanoparticles, porous carbons and zeolites, have been also used.

Parallel studies have been extensively devoted to the use of HPAs as photocatalysts in homogeneous systems [53]. In fact, absorption of light by the ground electronic state of the solubilized HPA produces an excited state HPA<sup>\*</sup>. The light absorption gives rise to a  $O \rightarrow M$  ligand-to-metal charge transfer (LMCT) in the HPA cluster, for instance, in the PW<sub>12</sub>O<sub>40</sub><sup>3–</sup> Keggin structure, from an O<sup>2–</sup> to a W<sup>6+</sup> at the W–O–W bonds. An electron is promoted from a spin-paired, doubly occupied bonding orbital (HOMO) to an empty, antibonding orbital (LUMO), resulting in the generation of a species with a hole centre (O<sup>–</sup>) and a trapped electron centre (W<sup>5+</sup>). This charge transfer observed at 260 nm, corresponding to 4.8 eV, for the plenary Keggin  $H_3[PW_{12}O_{40}]$  is qualitatively analogous to the band gap of a solid semiconductor metal oxide that also generates an electron–hole pair under irradiation [54]. The proposed mechanism of the HPA-based photocatalysis involves several steps [53]. The first step is the preassociation between the HPA and the substrate (S), followed by absorption of light by the formed complex forming the excited state species (HPA<sup>\*</sup>) with a high reduction potential. The latter, in the presence of an electron donor (substrate S), gives rise to the heteropoly bluereduced form (HPA<sup>-</sup>) that absorbs at 650 nm (reactions 3.2, 3.3 and 3.4). The further step is the reoxidation of HPA<sup>-</sup> upon exposure to an oxidant, for instance, O<sub>2</sub> (reaction 3.5):

$$HPA + S \to (HPA - S) \tag{3.2}$$

$$(\text{HPA} - S)(\lambda < 260 \text{ nm}) \rightarrow (\text{HPA}^* - S)$$
(3.3)

$$(HPA^* - S) \to HPA^- + S^+ \tag{3.4}$$

$$HPA^- + O_2 \rightarrow HPA + O_2^- \tag{3.5}$$

The formation of highly reactive 'OH radicals, according to reactions 3.6, 3.7 and 3.8, has been proposed to enhance the photo-oxidation performance:

$$O_2^- + H_2O \rightarrow HO_2 + OH^- \tag{3.6}$$

$$2\mathrm{HO}_2 \to \mathrm{O}_2 + \mathrm{H}_2\mathrm{O}_2 \tag{3.7}$$

$$O_2^- + H_2O_2 \rightarrow OH + OH^- + O_2$$

$$(3.8)$$

Hydroxyl radical species have been experimentally detected in the presence of HPAs by photolysis or EPR experiments. The reoxidation of the HPA catalyst to its original oxidation state (reaction 3.5) is reported to be the rate-determining step in the HPA photocatalytic cycle [55], and it is performed by an electron acceptor, such as O<sub>2</sub> dissolved in the suspension or, in the absence of O<sub>2</sub>, by other electrophilic species [56].

We have mentioned above the importance of using an immobilized HPA for (photo)catalysis, because of facile catalyst/product separation. Nevertheless, heterogeneous POM-based catalysts can entail some disadvantages, such as leaching of the active sites. Moreover, heterogeneous POM-based catalysts usually exhibit inferior catalytic performance than their homogeneous counterparts, mainly due to the mass transfer resistance and the diffusion limitation on the active sites. In order to overcome the above limitations, many approaches have been proposed to improve the stability and catalytic performance. Wang's workgroup reviewed the strategies to obtain POM-based heterogeneous catalysts and concluded that mainly two pathways can be undertaken: 'immobilization' or 'solidification' [23]. Figure 3.3 introduces the different pathways that can be used to 'heterogeneize' the POM active species. Based on the different host–guest interactions, POM-based active sites can be immobilized through adsorption, ion exchange, covalent linkage, encapsulation, substitution, etc. The porous supports will not only provide a larger surface to highly distribute the active sites but will also greatly influence the activity



Fig. 3.3 Strategies for preparing heterogeneous POM-based materials for catalytic/photocatalytic purposes (Reprinted with permission from Ref. [23]. Copyright 2014, Royal Society of Chemistry)

and selectivity because the host-guest interaction can affect the physico-chemical features of the HPA-active centre. In order to design an efficient supported heterogeneous POM-based material, it is necessary to choose an appropriate heterogeneization methodology.

In the present chapter, we classify the methodologies devoted to heterogeneize HPAs in three main groups. In the first one the HPA is immobilized by a photocatalytically inactive support, in the second one HPA is immobilized onto a photocatalytically active material (generally a semiconductor oxide) and in the third one HPA is not supported but heterogeneized by immobilization in a host–guest insoluble composite.

# 3.2 HPA-Based Heterogeneous Photocatalysts: HPA Immobilized on Different Supports Which Are Not Activated Under Irradiation

When the HPA is supported onto a photocatalytically inactive material, the mechanism of the photocatalytic process corresponds to what explained before for the activation of the HPA in a homogeneous system. In these composite materials, the support can play the double role, i.e. modifying some of the physico-chemical features of the HPA and/or improving the adsorption ability of the substrates to react with the active species.

#### 3.2.1 HPAs Immobilized on SiO<sub>2</sub>-Based Materials

Guo et al. reported [57–60] the use of  $H_3PW_{12}O_{40}/SiO_2$  and  $H_4SiW_{12}O_{40}/SiO_2$ prepared by incorporating the HPAs into the silica matrix via a sol-gel technique. The photocatalytic activity of the composites was tested by degradation of malic acid, which was totally mineralized in ca. 2 h by UV irradiation. The intermediate products produced using supported HPAs or bare TiO<sub>2</sub> were the same, indicating that in both systems 'OH radical was the main oxidant species, as previously reported in reactions (3.2, 3.3, 3.4, 3.5, 3.6, 3.7 and 3.8). Alternatively, aminefunctionalized silica can be used as support for transition-metal-substituted polyoxometalate (TMSP) [61]. The TMSPs are considered as inorganic porphyrins where the incorporation of the transition metal into the HPA cluster can facilitate the formation of elaborate structures, due to their variable coordination number and geometry. To obtain the TMSPs, the vacant sites of the lacunary cluster must accommodate the transition metal. The presence of a transition metal different from the addenda gives different physical properties to the TMSP compared to the original non-substituted HPA, due to the variable electronic and magnetic states of the incorporated transition metal. In these TMSPs the addenda transition metal is coordinated to five oxygen atoms, and according to Guo et al., it possesses the sixth coordination site occupied by a water molecule. The water molecule of most TMSPs can be displaced in aqueous media by ligands such as pyridines or ammonia. Guo et al. replaced a W(VI) addenda atom with a Ni(II). The TMSP, [Ni(H<sub>2</sub>O)  $SiW_{11}O_{39}$ , was covalently coordinated by the Ni centres to the amine surface groups of the silica. Rhodamine B dye was completely mineralized by using these heterogeneous photocatalysts and no heteropolyacid leaching occurred. By comparing the photocatalytic activity of the solubilized TMSP with that of the heterogeneized one, Guo et al. reported an improvement by using the supported material. However, although the photocatalytic activity of the plenary HPAs is higher than that of the lacunary one and of the TMSP, the use of the transitionmetal-substituted cluster is preferable due to the strong chemical interaction with the matrix that prevents leaching. It is worth to mention that, according to Cronin et al., the lacunary clusters are known to reorganize in solution, which increases the complexity of the reaction mixture, and it is more difficult to postulate and to determine the likely mechanisms underlying the assembly processes [62].

Another possibility is to use silica as support. Shen et al. propose the use of  $H_3PW_{12}O_{40}/MCM$ -41 supported by impregnation of fluorinated Si–MCM-41 for the selective oxidation of alcohols to aldehydes in ionic liquid (IL) medium [63]. The photocatalytic activity of this material was higher than that of bare HPA. Authors observed an optimal loading amount of 30 wt% HPA. The use of

ILs instead of CH<sub>3</sub>CN improved the reactivity. Imidacloprid pesticide was partially degraded (58.0 %) after 5 h of irradiation at 365 nm by using  $H_3PW_{12}O_{40}/MCM$ -41, prepared by impregnation with 50 wt% of HPA loading [64].

Tao et al. have recently supported  $H_3PW_{12}O_{40}$  onto hierarchically porous silica. Neutral red, rhodamine B and fuchsin basic dyes were efficiently degraded under visible-light irradiation [65]. The HPA was successfully immobilized in the pores as the silica was previously treated with 3-aminopropyltriethoxysilane that provided amino groups to the silica to interact with the HPA through electrostatic interactions. Comparing with other porous catalyst supports (as MCM-41 or SBA-15), the hierarchically porous structure was considered to be an important factor to improve the molecular diffusion in the heterogeneous photocatalytic process. Notably, dves are generally inappropriate as model compounds for the evaluation of photocatalytic activity of novel photocatalysts under visible light. The advantage of using these molecules as substrates to test a photocatalyst in the visible range of the spectrum is severely limited by the presence of a sensitization mechanism as highlighted by Choi [66] and then deeply explained by Paz et al. [67]. This important point should be considered for future advancements in the photocatalysis field as far as until now the great majority of the papers on heteropolyacid-based heterogeneous photocatalysts has been carried out by using dyes as model molecules.

The design and synthesis of HPA supported on magnetic materials attract substantial interest because the core-shell structured microspheres composed, for instance, by Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub> exhibit paramagnetic features, and they can be efficiently recovered by magnetic separation. Following this idea, H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> can be anchored to an amino-functionalized Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub>/meso-SiO<sub>2</sub> microsphere by means of chemical bonds to the aminosilane groups. Zhao et al. prepared a composite where the resultant microspheres contained an HPA loading of ca. 17 % wt. and used them to degrade rhodamine B under UV irradiation more quickly than by using the solubilized HPA [68]. In this composite HPA interacts with the silica support through an acid-base reaction and hydrogen and covalent bonds, between -NH<sub>2</sub> and H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>; however, authors admitted that slight leaching of HPA unit is inevitable. Also Li et al. have focused their work on core-shell structured magnetic photocatalysts, but they used H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> sensitized by thionine deposited by the so-called layer-by-layer (LbL) self-assembly method [69]. The thionine excited by visible light can inject electrons in the LUMO of the HPA improving the overall efficiency of the process. These microspheres exhibit better photocatalytic activity towards the degradation of methyl orange under visible-light irradiation than the same photocatalytic material deposited on quartz slides. The deposition procedure is reported in Fig. 3.4. The Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub> magnetic microspheres were used as the supporting substrate, and they were impregnated and coated several times to obtain the final material.

The preparation of cellulose/silica hybrid materials functionalized with Keggintype polyoxometalates employing sol–gel synthesis under mild conditions has been also developed. These bio-based materials were composed by a 37 % of propylamine-modified silica dispersed over cellulosic fibres (56 wt%) and a small



Fig. 3.4 Self-assembly procedure of  $PW_{12}$ -TH multilayer films on magnetic microspheres (Reprinted with permission from Ref. [69]. Copyright 2013, Elsevier)

amount (2 %) of Keggin-type polyoxoanions attached electrostatically to the protonated amino groups, as reported in Fig. 3.5. The HPA species consisted of  $[PV_2Mo_{10}O_{40}]_{52}$ ,  $[PVMo_{11}O_{40}]_{42}$ , and  $[PMo_{12}O_{40}]_{32}$  or  $[PW_{12}O_{40}]_{32}$  groups. The silica was functionalized with the heteropolyacids via electrostatic interactions between HPA and protonated propylamino groups of modified silica. No covalent bond (C–O–Si) between cellulose and silica has been detected. Despite their high silica content, cellulose/silica hybrids retained basic cellulosic properties such as fibrous structure, crystallinity, high porosity and low density. The bio-based material, functionalized with 2 % of  $[PVMo_{11}O_{40}]_{42}$ , shows high activity towards the oxidation of volatile organic compounds [70]. The composite of poly(vinylidenefluoride) (PVDF) and the Keggin HPA, formed via combining a doctor-blade method, immersion precipitation and colloid interface techniques, acts as a good photocatalyst for the methyl orange decolorization in the presence of  $H_2O_2$ , maintaining its efficiency even after eight cycles. The mechanism of the photocatalytic activation of all of these composites has been explained in the same way, i.e. excitation of HPA to form the heteropoly blue. This has a highly oxidizing power, strong enough to oxidize  $H_2O$  to generate hydroxyl radicals,



**Fig. 3.5** Schematic representation of the chemical interactions in the cellulose/silica hybrids functionalized with HPA. In the ball and stick representation of the Keggin structure, the substituting heteroatom (vanadium) is shown in *blue* (Reprinted with permission from Ref. [70]. Copyright 2012, Royal Society of Chemistry)

which promotes the substrate photodegradation efficiency, as described before in reactions (3.2, 3.3, 3.4, 3.5, 3.6, 3.7 and 3.8).

# 3.2.2 HPAs on Zeolites

The use of a zeolite as an HPA support has been explored in a classical research work by Ozer and Ferry, who reported the use of a NaY zeolite with  $H_2NaPW_{12}O_{40}$ ,  $H_4SiW_{12}O_{40}$  or  $H_3PMo_{12}O_{40}$  as photocatalysts for the degradation of 1,2-dichlorobenzene [71]. In this case HPA has not been previously heterogeneized but just added to the irradiated zeolite suspension. Authors attributed an important

role to the zeolite surface in the enhancement of the photocatalytic degradation rate of the substrate; indeed, they claimed that the zeolite surface enhances the 'local concentration' of both substrate and excited HPA, which consequently can react more efficiently in the adsorbed phase. Moreover, according to Ozer and Ferry, the zeolite surface stabilizes charge-transfer states and transient species as 'OH. The immobilization of  $H_3PW_{12}O_{40}$  by wet impregnation on  $NH_4Y$  and  $NH_4ZSM5$ zeolites with loading in the range 5 to 30 wt% has been done by Leal Marchena et al. [72]. The Keggin anion was partially transformed into  $[P_2W_{21}O_{71}]^{6-}$  anion during the synthesis and the soft drying (vacuum at 80 °C). The acid properties of both series of composites are rather similar, despite the higher acid strength of  $NH_4ZSM5$ . These materials were active for the photocatalytic degradation of 4-chlorophenol in liquid–solid regime, and the OH radical production by the mechanism illustrated in reactions 3.2, 3.3, 3.4, 3.5, 3.6, 3.7 and 3.8 was claimed to be responsible for the substrate degradation.

#### 3.2.3 HPAs Immobilized on Carbon Nanotubes

Also carbon nanotubes have been used as HPA support. Tri-component Au/HPA/ carbon nanotubes (CNTs) with visible-light photocatalytic activity were obtained when Keggin structures were employed as both reducing and bridging molecules between Au metallic nanoparticles and CNTs [73]. A thin HPA layer (ca. 2 nm) around gold nanoparticles supports Au on the CNTs surface. The composite Au/HPA/CNTs was more active for the photocatalytic degradation of rhodamine B under visible light than the mono- or bicomponent photocatalyst. The enhanced photocatalytic activity was attributed to a synergistic effect between the three components. Indeed, the visible-light excitation of the surface plasmon resonance of the Au nanoparticles induces electron transfer from Au to HPA. In this way the electron-deficient Au nanoparticles can oxidize the organic compounds. On the other hand, the electron transfer from the reduced HPA to the CNTs can decrease the electron–hole recombination. Finally, the trapped electrons on CNTs can react with  $O_2$  as reported in Fig. 3.6.

As far as the HPAs supported on photocatalytically active semiconductors are concerned, the activity of heterogeneized HPAs is further enhanced by the use of a support activated by irradiation. The synergistic effect between the HPA and the semiconductor, particularly when  $TiO_2$  is used as support, has been extensively reported. In the photocatalytic reaction, the heterogeneized HPA enhances the activity by playing the double role of (i) acting as an electron scavenger delaying the recombination of h<sup>+</sup> and e<sup>-</sup> pairs [54] and (ii) catalyzing the photodegradation of the substrate by means of HPA<sup>-</sup> that can react with molecular oxygen or another electrophilic species [56, 74] (see Fig. 3.7).



Fig. 3.6 Photocatalytic mechanism on Au nanoparticles/HPA/CNT tri-component nanohybrid (Reprinted with permission from Ref. [73]. Copyright 2011, Royal Society of Chemistry 3). HPA-based heterogeneous photocatalysts: HPA supported on semiconductor materials which are activated under irradiation

#### **3.3 HPA Immobilized on TiO<sub>2</sub>**

The Keggin-type HPA supported on TiO<sub>2</sub> has been the most studied photocatalyst. A perusal of Fig. 3.7 shows how the semiconductor directly transfers the photogenerated electrons from the conduction band to the HPA\* formed by excitation of the HPA (see reaction 3.3). The Keggin anion  $[PW_{12}O_{40}]^{3-*}$ , from a thermodynamic point of view, is a better electron acceptor than O<sub>2</sub> (+0.22 V (pH independent) and -0.33 V (at pH = 0) vs. NHE, respectively) [76]. Notably, the reduction potential of  $[PW_{12}O_{40}]^{3-*}$  is more positive than the flat band potential of the conduction band of TiO<sub>2</sub> particles (ca. -0.19 V vs. NHE (at pH = 0)) [77]. In this way the electron transfer from the conduction band of  $\text{TiO}_2$  to  $\left[\text{PW}_{12}\text{O}_{40}\right]^{3-*}$  is thermodynamically favourable to yield [PW<sub>12</sub>O<sub>40</sub>]<sup>4-</sup> species. The enhanced degradation of organic compounds by using HPAs on semiconductor oxides in the presence of UV light has been on this basis thoroughly explained in the literature. The first attempt to understand such a process has been done by Yoon et al. who prepared an HPA/TiO<sub>2</sub> reacting medium by incorporating H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> into a polyvinyl alcohol TiO<sub>2</sub> colloidal suspension and illuminated the system with UV light (300–375 nm) for the photodegradation of methyl orange [78]. HPA was simply added (not immobilized) to the TiO<sub>2</sub> suspension, and the extent of the photoinduced reaction depended not only on the HPA/TiO2 ratios but also on the irradiation wavelength and intensity. The photoinduced charge-carrier generation at the heterojunction HPA-TiO<sub>2</sub> was very efficient, and it was synergistically



enhanced by illuminating both with visible and UV light. Interestingly, authors evidenced much stronger hydrogen bonds, between the oxygen atoms of Keggin anion and the hydroxyl groups of the titania, in the solution phase than in the dried state. An acid–base interaction between the HPA unit and the TiO<sub>2</sub> matrix exists. The (TiOH<sup>2+</sup>) and (H<sub>2</sub>PW<sub>12</sub>O<sub>40</sub>) species are responsible for that interaction [19].

Further studies by Majima's group demonstrated that the initial reduction rate of HPA is greatly enhanced in the presence of TiO<sub>2</sub> upon UV irradiation [79]. Yoon et al. suggested that such reaction scheme with a double electron-transfer mechanism resembles the 'Z-scheme' mechanism invoked in the photosynthesis process, reported in Fig. 3.8. This mechanism implies that an interfacial photoinduced electron transfer takes place from conduction band of TiO<sub>2</sub> to HPA, resulting in a reduction of  $PW_{12}O_{40}^{3-}$  to  $PW_{12}O_{40}^{4-}$ , the heteropoly blue species. The former can inject electron to the conduction band of TiO<sub>2</sub> in the presence of polyvinyl alcohol (PVA). It is interesting to note that the heteropoly blue can be also photoexcited with visible light to  $PW_{12}O_{40}^{4-}$  which can be oxidized back to  $PW_{12}O_{40}^{3-}$  through hydrogen atom abstraction reacting from polyvinyl alcohol (PVA) as supported by observation that the rate of reoxidation of  $PW_{12}O_{40}^{4-}$  under irradiation is higher than that measured in the dark [78]. In Fig. 3.8,  $PW_{12}O_{40}^{3-}$  is denoted as HPA and  $PW_{12}$  $O_{40}^{4-}$  as heteropoly blue (HPB), and it is produced by direct electron transfer from the TiO<sub>2</sub> conduction band to the ground-state HPA in addition to photoreduction through the excited state of HPA. It is also noteworthy that the ground-state HPB formed by illumination of near-UV light can be recovered photochemically as well as thermally.

Tachikawa et al. [79, 80] demonstrated the one-electron oxidation and reduction processes in the HPA-mediated TiO<sub>2</sub> photocatalytic reaction, by using the two-colour two-laser flash photolysis technique [80]. The efficiency of this electron transfer increased by using  $PW_{12}O_{40}^{3-}$  instead of SiW<sub>12</sub>O<sub>40</sub><sup>4-</sup>. They attributed this



**Fig. 3.8** Energy diagram: (a) photosynthesis in green plants; (b) photoinduced electron transfer at the heterojunction of HPA/TiO<sub>2</sub> colloids in the presence of 0.1 % PVA as an electron donor (Reprinted with permission from Ref. [78]. Copyright 2001, American Chemical Society)

behaviour to the reduction potentials of the two different HPAs [76]. The results clearly indicate that the electron injection from  $PW_{12}O_{40}^{4-*}$  to the conduction band of TiO<sub>2</sub> is the main reason for the significant enhancement in the one-electron oxidation of aromatic sulphides. It was also found that the efficiency of electron transfer increases in the order  $H_2 W_{12} O_{40}^{6-} < Si W_{12} O_{40}^{4-} < P W_{12} O_{40}^{3-},$  depending on the reduction potential of the HPA. In the presence of an electron acceptor such as methylviologen, an electron transfer from  $PW_{12}O_{40}^{4-*}$  to  $MV^{2+}$  occurs, and finally HPA accepts electron from MV<sup>+</sup> to form the HPA<sup>-</sup> species because of the redox potential difference. The overall energy diagram for the  $TiO_2/HPA/MV^{2+}$ photocatalytic redox process is shown in Fig. 3.9. The ability of HPA as acceptor of the electrons generated in the conduction band of UV-irradiated TiO<sub>2</sub> suspensions was also demonstrated by Park and Choi, by using a photoelectrochemical method [54]. Time-resolved microwave conductivity (TRMC) technique also helps to demonstrate the electron transition from the semiconductor to the HPA. It gives information on the lifetimes of charge carriers created on the semiconductor and on recombination and/or trapping phenomena. We have experimentally observed that the Keggin HPA has a favourable effect on the charge-carrier separation in the photocatalytic process when it is supported on  $TiO_2$  and on  $WO_3$  [74].

The use of HPAs immobilized on polycrystalline TiO<sub>2</sub> has been extensively studied in liquid–solid regime for several photocatalytic model reactions. In general, authors reported that the primary Keggin structure remained unmodified after the immobilization on TiO<sub>2</sub> or inside the TiO<sub>2</sub> network. They explained the increasing activity of the composite material with respect to the homogeneous HPA or bare semiconductor according to the above exposed mechanism (see Figs. 3.7 and 3.8). In this mechanism an interfacial electron transfer from the conduction band of TiO<sub>2</sub> to the incorporated HPA occurs; hence, a reduction of the HPA after its activation by UV light takes place, and eventually the oxidation of



**Fig. 3.9** Energy diagram for the  $TiO_2/POM/MV^{2+}$  photocatalytic redox processes, in which  $MV^{2+}$  is methylviologen ion (Reprinted with permission from Ref. [79]. Copyright 2007, American Chemical Society)

the reduced HPA species gave rise to 'OH radicals, the ultimate species responsible of the oxidation processes.

Analogously to Yoon et al., also Ozer and Ferry [81] added  $PW_{12}O_{40}^{3-}$  and  $SiW_{12}O_{40}^{4-}$  to a TiO<sub>2</sub> suspension. In the presence of O<sub>2</sub>, the addition of HPA anions to TiO<sub>2</sub> suspensions resulted in a significant rate enhancement for 1,2-dichlorobenzene oxidation. The reduction potentials of the HPA used by these authors are +0.219 V vs. NHE for H<sub>2</sub>NaPW<sub>12</sub>O<sub>40</sub> [82] and +0.055 V vs. NHE for H<sub>4</sub>SiW<sub>12</sub>O<sub>40</sub> [83]. Mixtures of H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> with commercial TiO<sub>2</sub> Degussa P25 were used for 2,4-dichlorophenol photocatalytic degradation in aqueous media [84]. H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> species on the surface of TiO<sub>2</sub> accelerated the hydroxylation of the substrate but not its mineralization, which was somewhat suppressed in the presence of the HPA. An increase of the HPA loading increased the concentration of the toxic intermediates. This important result indicates that the enhancement of charge separation in TiO<sub>2</sub> photocatalysis does not always result in improvement of the efficiency of mineralization of the organic substrates.

Guo's group has intensively worked in this field [85–90]. A first composite was prepared as hydrogel by mixing titanium isopropoxide and a  $H_3PW_{12}O_{40}$  solution. The material was successively autoclaved and calcined. The obtained  $H_3PW_{12}O_{40}/TiO_2$  photocatalytically decolorizes ten organic dyes in aqueous systems under visible-light irradiation ( $\lambda > 420$  nm) [85]. An electrostatic interaction between HPA and TiO<sub>2</sub> occurred during the hydrolysis of titanium tetraisopropoxide in the presence of the Keggin ion that remained entrapped by the protonated hydroxyl groups on the TiO<sub>2</sub> surface ( $\equiv$ Ti–OH), resulting in interactions between ( $\equiv$ TiOH<sub>2</sub><sup>+</sup>) and (H<sub>2</sub>PW<sub>12</sub>O<sub>40</sub><sup>-</sup>). Moreover, hydrogen bonds could be formed between the oxygen atoms of Keggin ion and the hydroxyl groups of the TiO<sub>2</sub>

surface (WO $\cdot \cdot$ HO–Ti). Authors attributed the photocatalytic activity not only to the synergistic effect of the HPA on the semiconductor oxide, expressed by the mechanism reported in Fig. 3.7, but also to the porous structure of the nanocomposite that enhanced mass transport and increased adsorption of the reactants. An important point was the use of visible irradiation to carry out these experiments. The mineralization was not systematically followed, but some intermediates were identified along with the presence of some final products as NH<sub>4</sub><sup>+</sup>,  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $Cl^{-}$ . The same research group tested this catalyst in the visible-light photocatalytic degradation of parathion-methyl insecticide [86]. The activity was attributed not only to the synergistic effect between HPA and TiO<sub>2</sub> but also to the narrow band gap and porous structures; moreover, they loaded on TiO<sub>2</sub> a series of Keggin HPAs presenting different heteroatom, i.e.  $[X^{n+}W_{12}O_{40}]^{(8-n)-}$ , where  $X^{n}$  $^{+}=P^{5+}$ , Si<sup>4+</sup>, Ge<sup>4+</sup>. Interestingly, no thermal treatment was followed in this case [87]. These materials showed higher photocatalytic activity than both bare TiO<sub>2</sub> and HPAs (or a mechanical mixture of them) for the dye reactive brilliant red X-3B degradation under visible light. Two variables influenced the bleaching rate: HPA loading (30 > 15 > 45 wt%) and the presence of different heteroatoms in the HPA (P > Si > Ge). Authors reported that their HPAs are better dispersed in TiO<sub>2</sub> using the sol-gel method than using the incipient wetness impregnation, but they do not give any explanation for the observed behaviours. The same group also used  $Cs_rH_{3-r}PW_{12}O_{40}/TiO_2$  (x = 0.5–3.0), prepared via the combination of the solgel and hydrothermal processes for the photocatalytic degradation of 4-nitrophenol, methyl orange and rhodamine B under UV irradiation by following both the total organic carbon and the substrate degradation [88]. The composites were more effective than the bare  $Cs_xH_{3-x}PW_{12}O_{40}$  or TiO<sub>2</sub> Degussa P25, particularly the material with x = 2.5. The photoactivity was attributed to the higher surface acidity, the mesoporosity and the synergistic effect between HPA and TiO<sub>2</sub>.  $H_3PW_{12}O_{40}$ was also deposited on mesoporous  $TiO_2$  (3.2–16.6 wt% loadings). The preparation method included both sol-gel (in the presence of a template, pluronic 123) and hydrothermal treatments, and it was used to obtain a well-distributed 3D interconnected mesopore structure partially covered by the HPA [89]. The solid acted as a photocatalyst for degradation/mineralization of dyes under UV, i.e. methyl orange, methylene blue, crystal violet and rhodamine B. The composite material H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/TiO<sub>2</sub> prepared by Guo et al. using their usual methodology was also successfully applied to the degradation/mineralization of aqueous phthalate esters: di-n-butyl phthalate (DBP), diethyl phthalate (DEP) and dimethyl phthalate (DMP) under simulated sunlight irradiation (Xe lamp) [90]. Degradation of DBP, DEP and DMP reached 98 %, 84 % and 80 %, respectively, after 90 min, and nearly total mineralization of DBP and DEP was achieved after 12 h of reaction. Authors attributed the photocatalytic performance to a synergistic effect between the Keggin unit and the TiO<sub>2</sub> network. The synergism can be explained not only by considering the presence of  $^{\circ}OH$ , h<sup>+</sup> and O<sub>2</sub> $^{\circ-}$  formed under irradiation of HPA/TiO<sub>2</sub> samples (see Fig. 3.7) but also the porous morphology of the photocatalyst that favoured the adsorption of the substrate. The successful use of



**Fig. 3.10** The proposed structures of the composites formed between (a) 'lacunary' HPA and  $TiO_2$  ( $K_7PW_{11}O_{39}/TiO_2$ ) and (b) 'plenary' HPA and  $TiO_2$  ( $H_3PW_{12}O_{40}/TiO_2$ ) (Reprinted with permission from Ref. [91]. Copyright 2012, Elsevier)

simulated sunlight irradiation depended on the kind of composite HPA/TiO<sub>2</sub>, but it was not satisfactorily clarified. In fact, as we have mentioned before, the absorption of light and the photoreactivity could be compromised by the type of molecule used. The formation of surface complexes activated by visible light when dyes are used, for instance, makes the latter species unsuitable for these studies [66, 67].

Saturated and monovacant Keggin unit functionalized TiO<sub>2</sub> materials,  $H_3PW_{12}O_{40}/TiO_2$  and  $K_7PW_{11}O_{39}/TiO_2$ , have been also prepared by one-step sol-gel co-condensation followed by solvothermal treatment. Simulated sunlight photocatalytic activity for rhodamine B and diethyl phthalate were used as test  $H_3PW_{12}O_{40}/TiO_2$  and K<sub>7</sub>PW<sub>11</sub>O<sub>39</sub>/TiO<sub>2</sub> showed reactions [91]. better photocatalytic performance with respect to bare TiO<sub>2</sub>. Moreover, the different photocatalytic activity between the saturated and monovacant Keggin unit functionalized TiO<sub>2</sub> was attributed to their different structures, which resulted in different electron-accepting ability. Figure 3.10 shows the bonds between the HPA and the TiO<sub>2</sub> support in the case of both the 'plenary' Keggin and the 'lacunary' derivative. Usually, the preparation of HPA supported on TiO<sub>2</sub> gives rise to a composite where an electrostatic acid-base interaction and/or hydrogen bonds between the HPA and the semiconductor occur(s). By using the lacunary HPA, the composite K<sub>7</sub>PW<sub>11</sub>O<sub>39</sub>/TiO<sub>2</sub> shows a covalent bond between the terminal nucleophilic oxygen atoms of the K<sub>7</sub>PW<sub>11</sub>O<sub>39</sub> and the electrophilic titanium atoms in Ti-OH groups. This approach is particularly interesting because it opens the possibility to use functionalized HPAs that can be covalently linked to the support.

The attractiveness of the covalent approach has been stressed by Proust [92], who indicates as benefits (i) the enhanced stability despite external variation of pH (in the stability range of the HPA) or ionic strength, (ii) the enhanced control on the number and relative orientation of the components and (iii) the better dispersion in a polymer or on a surface.

It is worth mentioning that Lv et al. observed that the adsorption ability of the 'reactive orange 86' dye changed on the TiO<sub>2</sub> Degussa P25 surface along with its photocatalytic degradation rate when TiO<sub>2</sub> was covered by HPAs [93]. Due to the presence of HPA, the positive superficial charges of TiO<sub>2</sub> were greatly reduced giving rise to a significant decrease in the adsorption of the anionic dye. Moreover, the presence of HPA, replacing the surface hydroxyl groups (Ti–OH<sub>2</sub><sup>+</sup> sites), favours the production of surface-bound 'OH radicals that are less reactive than free 'OH radicals. That is why, according to Lv et al., the presence of the HPA can give rise to a detrimental effect on the photocatalytic activity of the loaded sample with respect to the bare TiO<sub>2</sub>.

In another study visible-light-responsive spherical particles composed by TiO<sub>2</sub> and 20 or 30 wt% of H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> were prepared via the sol–gel process and by using urea as pore-forming agent. Malachite green oxalate dye bleaching reaction under UV and blue-light irradiation in aqueous phase was used as a model reaction [94, 95]. The prepared materials showed visible-light absorption due to the presence of WO<sub>3</sub> resulting from the partial degradation of the HPA during the preparation drying step, which gives rise also to lacunary  $[PW_{11}O_{39}]^{7-}$  or dimeric species  $[P_2W_{21}O_{71}]^{6-}$ . Dye solutions were efficiently bleached after 60 min with the most active composite, containing 30 wt% HPA on TiO<sub>2</sub>. By using blue-light irradiation, this powder also revealed the highest photocatalytic bleaching. In this case WO<sub>3</sub> could be responsible for the photocatalytic activity observed. The same group treated at high temperatures the same H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/mesoporous titania composites [96]. Keggin structure was partially transformed when the samples were thermally treated up to 600 °C. The HPA loading and the thermal treatment temperature influenced the activity of the photocatalyst.

Biboum et al. have synthesized the multi-cobalt- and multi-nickel-substituted HPAs shown in Fig. 3.11 [97] where (A) corresponds to  $K_{10}Na_{12}[\{Co_3(B-\beta-SiW_9O_{33}(OH)) (B-\beta-SiW_8O_{29}(OH)_2)\}_2]\cdot 49H_2O$ , (B) to  $K_{20}[\{(B-\beta-SiW_9O_{33}(OH)) (B-\beta-SiW_8O_{29}(OH)_2)Co_3-(H_2O)\}_2Co(H_2O)_2]\cdot 47H_2O$  and (C) to  $Na_{17}[Ni_6As_3W_{24}O_{94}-M_2O_{14}+M_2O_{$ 



**Fig. 3.11** Polyanions of the salts synthesized: (**a**), (**b**), (**c**). WO<sub>6</sub>, CoO<sub>6</sub> and NiO<sub>6</sub> are represented as *blue*, *purple* or *yellow octahedral*, respectively. SiO<sub>4</sub>, AsO<sub>4</sub> and PO<sub>4</sub> are represented as *black*, *green* and *orange tetrahedral*, respectively (Adapted from Ref. [97] with permission from The Royal Society of Chemistry)

 $(H_2O)_2$ ]·54H<sub>2</sub>O. These HPAs absorb visible light due to the presence of cobalt and nickel colour centres in contrast to other HPAs which are able to absorb only UV light. A and B formed two-electron and four-electron-reduced species, whereas C formed a six-electron-reduced species. Their photocatalytic activities were tested for the degradation of acid orange 7 dye in aqueous solution in the absence and in the presence of colloidal TiO<sub>2</sub> and in the presence of 2-propanol or polyvinyl alcohol, used as electron donors, to study the photosensitivity of these HPAs in homogeneous medium and in the presence of TiO<sub>2</sub>.

The reduction of the HPA occurred along with the oxidation of 2-propanol in the homogeneous system, whereas 2-propanol oxidation decreased under visible light in the sequence  $A > B >> H_3PW_{12}O_{40} > C$ . The mechanism is reported below:

$$\begin{split} HPA + h\nu &\rightarrow HPA^{*} \eqno(3.9) \\ HPA^{*} + 2 \ CH_{3} - CHOH - CH_{3} &\rightarrow HPA^{2-} + 2 \ CH_{3} - CO - CH_{3} + 2 \ H^{+} \\ (3.10) \\ HPA^{2-} + 2 \ CH_{3} - CHOH - CH_{3} &\rightarrow HPA^{4-} + 2 \ CH_{3} - CO - CH_{3} + 2 \ H^{+} \\ (3.11) \end{split}$$

The photoreduction kinetics when using  $H_3PW_{12}O_{40}$  is negligible, despite the more positive formal potential, compared with that of A and B. The higher photoreduction yield of these HPAs compared to the Keggin anions was mainly attributed to their extended absorption in the visible region and the ability to undergo multielectron photoreduced species. As far as the dye degradation under visible irradiation (at pH = 1 in the presence of 2-propanol or PVA) is concerned, the photodegradation rate when using a suspension containing A and TiO<sub>2</sub> was three times higher than that observed using a homogeneous system containing A. The photodegradation efficiency in the presence of the colloidal  $TiO_2$  follows the order:  $A > B > C >> H_3PW_{12}O_{40}$ . The TiO<sub>2</sub> role is associated by the authors to the kinetics of surface-adsorbed species. For comparison purposes, the dye bleaching was also carried out under UV irradiation ( $\lambda > 320$  nm) in suspension containing both HPA and  $TiO_2$  in the presence of PVA. The order in the photocatalytic efficiency was the same. Under UV irradiation the reaction was faster due to the synergistic mechanism explained in Fig. 3.7. In the presence of  $O_2$  the activity was reduced due to a competition between dye and oxygen for the reoxidation of the reduced HPA.

Yoon and co-workers prepared composites containing  $H_3PW_{12}O_{40}$  and nanochains  $Ag^+-N-TiO_2$ . These materials are highly visible-light-sensitive photocatalysts for efficient two-colour solar cells [98]. The visible-light absorption of  $Ag^+-N-TiO_2$  became broader and stronger upon formation of composites with the HPA, due to the charge transfer between the HPA and TiO<sub>2</sub> nanochains. Shi et al. [99] prepared Ce-H<sub>3</sub>PMo<sub>12</sub>O<sub>40</sub>/TiO<sub>2</sub> and La-H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/TiO<sub>2</sub> rare earth and HPA co-doped composite photocatalysts by the sol–gel method. These catalysts presented higher photocatalytic activity for the degradation of methylene blue dye as compared with bare TiO<sub>2</sub>. Authors claimed the synergistic effect between the rare-earth ions and HPA that inhibited the recombination of photo-generated electron-hole pairs, but they did not clarify the roles of the so-called co-doping and the rare elements in the reaction mechanism. TiO<sub>2</sub>/fly-ash cenosphere photocatalysts, modified with  $H_3PW_{12}O_{40}$  or  $H_3SiW_{12}O_{40}$ , were prepared via the sol-gel method followed by a solvothermal method [100]. The composite materials shifted the absorption edge of the bare fly-ash TiO<sub>2</sub> to the visible-light region. The photocatalytic mineralization of ciprofloxacin under visible-light irradiation was enhanced by using the sample containing HPA, but no explanation was offered by authors about this result. Feng et al. prepared H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/TiO<sub>2</sub> catalysts with different HPA loading levels by an impregnation method [101]. The visible-light activity of the samples was affected by both the HPA loading and the amount of lanthanum used as doping element of TiO<sub>2</sub>. The prepared composites were used as photocatalysts for the imidacloprid pesticide degradation, and the 20 wt% H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/0.3 % La-TiO<sub>2</sub> sample showed the best photocatalytic activity, achieving 98 % pesticide conversion after 60 min. The plenary Keggin cluster encapsulated into a titanium-exchanged HY (TiHY) zeolite was also used for the photocatalytic degradation of methyl orange [102]. Photoreaction rate increased 20 times as compared to the rate observed in the presence of HPA-encapsulated HY zeolite without Ti and nine times compared to the TiHY zeolite without HPA. The reaction rate increased four times by using HPA-encapsulated TiHY zeolite with respect to a home-prepared colloidal TiO<sub>2</sub>. Authors explain this fact by considering that the zeolite matrix provides a good dispersion of active titanium sites and free access of the dye molecules to these isolated sites, which helps to enhance the photocatalytic activity. NaY zeolite incorporating TiO<sub>2</sub>, H<sub>3</sub>PMo<sub>12</sub>O<sub>40</sub> and Co (II) [103], Ni(II) and Ru(III) [104] were prepared for methyl orange photocatalytic degradation. Authors reported that the photoreduction of the dye using the composite materials occurred via the reduced HPA species formed under solar irradiation, which transfer electrons to the transition metals with the assistance of the zeolite framework. The electron transfer is proposed to occur from the reduced HPA species to the Lewis acid sites of the zeolite and not directly to the transition metals, which then provide electrons to methyl orange that is photoreduced to hydrazine. Unfortunately, authors did not report the fate of the aromatic rings of the dye and provide the information about the substrate mineralization.

The pH value of the HPA solution plays an important role in the impregnation process of HPA onto TiO<sub>2</sub>. Rengifo et al. impregnated  $H_3PW_{12}O_{40}$  on TiO<sub>2</sub> nanoparticles at pH ranging from 1 to 10 [105]. Visible-light-absorbing TiO<sub>2</sub> materials were obtained only at pH 1 and 2, where the unchanged Keggin cluster was present on the TiO<sub>2</sub> surface. Authors suggest that visible-light absorption of the composite can be attributed to the formation of a complex between the Keggin anion and surface defects of TiO<sub>2</sub>. In our view, a further effort is necessary to clarify the nature of this complex. The visible-light photocatalytic activity of these materials in the destruction of waterborne pollutants is explained by the fact that the surface HPA–TiO<sub>2</sub> complex able to inject an electron to the TiO<sub>2</sub> conduction band. The resulting oxidized HPA<sup>+</sup>–TiO<sub>2</sub> complex could accept an electron from the



**Fig. 3.12** Scheme suggested for the photocatalytic process occurring in HPA–TiO<sub>2</sub> systems under UV light (*left*) or visible-light irradiation (*right*). TPA corresponds to tungstophosphoric acid  $(H_3PW_{12}O_{40})$  (Reprinted with permission from Ref. [105]. Copyright 2014, Elsevier)

organic pollutant. On the other hand, the electron injected into the  $TiO_2$  conduction band could be trapped by molecular oxygen previously adsorbed on the metal oxide surface leading to the formation of  $H_2O_2$  and consequently to OH radicals, as reported in Fig. 3.12.

Mesoporous TiO<sub>2</sub>, prepared with urea as a low-cost pore-forming agent, was used also as support for  $H_4SiW_{12}O_{40}$ . This composite was a visible-light-absorbing material [106]. Interestingly, the presence of HPA shifted the anatase–rutile transition temperature towards temperatures higher than 600 °C. In the composites annealed up to 500 °C, the  $[SiW_{12}O_{40}]^{4-}$  anion exhibited a strong interaction with TiO<sub>2</sub> surface probably due to the formation of surface complexes that should be responsible for its visible-light absorption. The photocatalytic activity of these materials has been tested using 4-chlorophenol as model pollutant revealing that the photocatalytic activity mainly depended on the HPA amount and on the annealing temperature. Also Feng et al. prepared a mesoporous TiO<sub>2</sub> to support  $H_3PW_{12}O_{40}$  and  $H_4SiW_{12}O_{40}$ . The templating agent in this case was the nonionic surfactant P123 [107]. The photocatalysts were tested by using dinitrotoluene as the substrate to degrade (ca. 95 % disappeared after 4 h of irradiation in the presence of  $H_3PW_{12}O_{40}/TiO_2$ ).

Composite catalysts  $H_3PW_{12}O_{40}/La$ -doped TiO<sub>2</sub> with different loading levels were synthesized using the impregnation method [108]. The visible-light activity of the composites in the degradation of the pesticide imidacloprid was higher with respect to that observed in the presence of bare TiO<sub>2</sub>. The 20 %  $H_3PW_{12}O_{40}/0.3$  % La–TiO<sub>2</sub> evidenced the best performance.

In the previous section, transition-metal-substituted polyoxometalates (TMSPs) supported on SiO<sub>2</sub> [61, 62] have been mentioned. TMSPs have been also tested when immobilized on TiO<sub>2</sub>. For instance, the  $H_5PW_{10}V_2O_{40}$  supported on TiO<sub>2</sub> was active in the photocatalytic decomposition of dimethyl sulphide (DMS) in gas–

solid regime [109]. DMS was irradiated with visible light from LED ( $\lambda > 400$  nm), black light UV-A ( $\lambda < 400$  nm) and visible fluorescent lamp 'daylight' (variable emission in the UV-A and visible range). DMS decomposition was almost 100 % for the system containing the composite material with exposure to visible daylight. This system maintained stable catalytic activity up to 500 min. Also, an aminefunctionalized three-dimensionally ordered macroporous material has been used to deposit a transition-metal-monosubstituted polyoxometalate. The material was prepared by soaking polystyrene spheres in methanol to obtain a large size threedimensionally ordered macroporous TiO<sub>2</sub>, which was further functionalized using 3-aminopropyltriethoxysilane. The amine-functionalized TiO<sub>2</sub> was impregnated with  $K_5[Co(H_2O)PW_{11}O_{39}]$  [110]. The primary Keggin structures remained intact and bonded by the coupling agent to the surface of the anatase crystalline structure. The three-dimensional structure retained in the final composite improved the accessibility of the diffusing species because of the permeability offered by the macroporous structure. This material exhibited very interesting photocatalytic activity for the degradation of rhodamine B, salicylic acid, Congo red and malachite green oxalate under microwave-assisted irradiation. No leakage of the HPA from the hybrid photocatalyst was observed, due to the strong coordination interactions between the Keggin units and the amine-functionalized TiO<sub>2</sub> surface.

#### 3.4 HPA on ZnO, ZrO<sub>2</sub> and Ta<sub>2</sub>O<sub>5</sub>

ZnO (band gap = 3.2 eV) is a photocatalytically active oxide which has been proposed as an alternative to TiO<sub>2</sub>. Unfortunately, its basic surface is not an ideal substrate for the anchorage of the strongly acidic HPAs; in fact an acid-base reaction occurs on the surface giving rise to the total or partial decomposition of the cluster [74]. ZrO<sub>2</sub> has been seldom used as photocatalyst due to its wide band gap (5.0 eV, ca. 250 nm) [111]. H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/ZrO<sub>2</sub> composites with loading levels 5–20 wt% have been prepared by a wet impregnation method in ethanolic medium. Authors reported that the interaction between  $ZrO_2$  and HPA, analogous to what occurred between HPA and TiO<sub>2</sub>, can occur both by electrostatic forces and by means of hydrogen bonds. These interactions should avoid the HPA leaching. The presence of HPA on the ZrO<sub>2</sub> surface gave rise to a red shift in the absorption spectrum of the material and hence to a narrowing of the band gap [112]. Furthermore, the mesoporosity and homogeneous dispersion of the HPA on the oxide surface played an important role to improve the photocatalytic degradation of 4-nitrophenol and methylene blue dye. The composites showed the best photocatalytic activity at HPA loadings not higher than 15 wt%, being more active than both bare HPA and ZrO<sub>2</sub>. ZrO<sub>2</sub>-supported Ti-substituted Keggin-type polyoxometalates, Li<sub>5</sub>PW<sub>11</sub>TiO<sub>40</sub>/ZrO<sub>2</sub> and K<sub>7</sub>PW<sub>10</sub>Ti<sub>2</sub>O<sub>40</sub>/ZrO<sub>2</sub>, were prepared by incorporating the Keggin-type cluster into ZrO<sub>2</sub> matrix by the sol-gel method giving rise to a cluster chemically attached to the support [113]. The photocatalytic activity of these heterogeneized HPAs was tested for the dye naphthol blue black

degradation, which was bleached and mineralized under UV illumination. The composites were more active than the homogeneous HPAs. The activity of the composites was attributed to the surface area of the composite and to the electron transfer to the Keggin unit.  $ZrO_2$  precipitated by the sol–gel method in a solution containing  $H_3PW_{12}O_{40}$  was used for the partial oxidation of primary and secondary benzylic alcohols to the corresponding aldehydes or ketones [114]. Aliphatic alcohols were also oxidized in good yields but at longer reaction times in comparison with benzylic alcohols. The reaction mechanism proposed involves a direct H-atom abstraction from the benzylic active C–H bond of the alcohol by photoexcited HPA rather than an electron transfer:

$$R_1R_2CHOH + POM^* \rightarrow R_1R_2C - OH + POM^- + H^+$$
(3.12)

$$R_1R_2C - OH + POM^* \rightarrow R_1R_2C = O + POM^- + H^+$$
 (3.13)

The composite  $HPA/ZrO_2$  was more active than the bare HPA for the partial alcohol oxidation. The HPA/ZrO<sub>2</sub> was activated by light absorption, and it reacted with alcohol to give the corresponding carbonyl compound. Reoxidation of photoreduced HPA by the dissolved  $O_2$  in suspension completed the photocatalytic cycle. No indications are given about the light source employed. The higher photocatalytic activity of the HPA/ZrO<sub>2</sub> was explained analogously to that of the HPA/TiO<sub>2</sub> materials (see Fig. 3.7). Authors suggested a synergistic effect between  $ZrO_2$  and the photoactivated HPA. In another paper,  $H_3PMo_{12}O_{40}/ZrO_2$  was prepared by incorporating the HPA into a ZrO<sub>2</sub> matrix via the sol-gel method. In this way  $H_3PMo_{12}O_{40}$  was chemically attached to the ZrO<sub>2</sub> support and primary Keggin structure remained unchanged [115]. The photocatalytic activity was tested via degradation of methylene blue, rhodamine B, Congo red, bromothymol blue and alizarin dyes in aqueous solutions under UV light. Some characterization results reported in this paper are exactly the same as that reported in [114]; however, in this case authors used a high-pressure mercury lamp as the light source. The HPA/ZrO<sub>2</sub> nanocomposite showed higher photocatalytic activity than bare HPA and  $ZrO_2$ samples.

Ta<sub>2</sub>O<sub>5</sub> is not a very popular material in photocatalysis. It presents the band gap energy of 3.9 eV and showed photoactivity only under UV irradiation [116] or under visible light when doped with N [117]. Jiang et al. prepared HPA/Ta<sub>2</sub>O<sub>5</sub> composites by a sol–gel/hydrothermal method in the presence of a pluronic surfactant giving rise to high surface area tridimensional interconnected framework. They deposited H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> on Ta<sub>2</sub>O<sub>5</sub>, with loading levels 5.6–15.3 wt%, and used these composites to decompose and mineralize salicylic acid and rhodamine B under visible-light excitation [118]. Analogously to what was reported for the HPA/TiO<sub>2</sub> composite [89], the similar electronegativity and ionic radius of Ta<sup>5+</sup> and W<sup>6+</sup> allows the existence of an electrostatic interaction. This exists between the terminal W=O groups of the Keggin cluster and the Ta–OH<sub>2</sub><sup>+</sup> groups (formed by an acid– base reaction between the surface of the oxide and the H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>) on the surface of the oxide, i.e. the formation of the species (TaOH<sub>2</sub>)<sup>+</sup>[H<sub>2</sub>PW<sub>12</sub>O<sub>40</sub>]<sup>-</sup> or  $(TaOH_2)^+[H_5P_2W_{18}O_{62}]^-$ . The absorption threshold onset of these HPA/Ta<sub>2</sub>O<sub>5</sub> composites was extended to the visible region (420 nm), which enhanced the photocatalytic activity of the composites compared with that of both bare HPA and Ta<sub>2</sub>O<sub>5</sub>. In order to explain the increase of photocatalytic activity, authors attributed a role to the physico-chemical features of the HPA/Ta<sub>2</sub>O<sub>5</sub> composites (porous structure, small particle size, homogeneous dispersion) as reported for an HPA/TiO<sub>2</sub> system prepared by the same group in the same way [89]. In any case, authors claimed that the synergism between HPA and the Ta<sub>2</sub>O<sub>5</sub> semiconductor oxide is the same as explained in Fig. 3.7 for the composites HPA/TiO<sub>2</sub>.

## 3.5 HPA Supported on C<sub>3</sub>N<sub>4</sub>, CdS and BiVO<sub>4</sub>

Very recently graphitic carbon nitride (g-C<sub>3</sub>N<sub>4</sub>) has been developed as an effective semiconductor photocatalyst [119]. It possesses great practical value because of its stable chemical structure, narrow band gap (2.7 eV) and low preparation cost. However, g-C<sub>3</sub>N<sub>4</sub> presents some disadvantages when used as a metal-free photocatalyst, due to its bulk layered structure, a rapid recombination of the photo-generated electron–hole pairs (e<sup>-</sup>/h<sup>+</sup>) and a low visible-light utilization efficiency [120–122]. Li et al. prepared composites H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/C<sub>3</sub>N<sub>4</sub> nanotubes by a one-step hydrothermal treatment, and the latter were used for methyl orange and diethyl phthalate degradation under visible-light ( $\lambda > 420$  nm) irradiation [123]. The photocatalytic activity of H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/C<sub>3</sub>N<sub>4</sub> was significantly enhanced compared with that of bare C<sub>3</sub>N<sub>4</sub>.

The tubular nanostructures are formed through a nanosheet roll-up mechanism illustrated in Fig. 3.13. The high catalytic stability of the composites is attributed to the strong chemical interaction between the Keggin unit and the  $C_3N_4$ . The mechanism explaining the photocatalytic activity of these composites, also illustrated in Fig. 3.13, is the same proposed before for the HPA supported on semiconductor materials.



Fig. 3.13 The designed route for fabrication of  $H_3PW_{12}O_{40}/C_3N_4$  NTs and the separation and transportation of photoinduced charge carriers under visible-light irradiation in this composite material (Reprinted with permission from Ref. [123]. Copyright 2014, Elsevier)

Cadmium sulphide (CdS) is a semiconductor that absorbs light at shorter wavelength than 520 nm; therefore, it could exhibit photocatalytic activity under visiblelight irradiation. However, it shows low charge-carrier separation efficiency and poor photochemical stability. Zhao's group prepared the molecular self-assembled nanohybrids CdS quantum dots $-H_3PW_{12}O_{40}$ -Au nanoparticles [124]. This nanohybrid tri-component material is analogous to that discussed in Fig. 3.5 and studied in [72] where CNTs are reported to be used instead of CdS quantum dots particles. Of course, in this case the role played by CdS was different from that played by CNTs. This composite showed photocatalytic activity in the photoproduction of  $H_2$ and in the photodegradation of rhodamine B and methyl orange under visible irradiation. It was synthesized by the molecular self-assembly between the amine-modified CdS and the HPA-wrapped Au nanoparticles. In this way the Keggin cluster coupled with the CdS and Au nanoparticles. The photocatalytic activity of bare CdS, HPA and Au was negligible; on the contrary the three-component nanohybrid material showed some photocatalytic activity. Moreover, the activity increased by increasing the Au loading at least up to 6 % in weight of the metal with respect to the support, which was the maximum quantity used. Authors reported also that the bicomponent CdS-Au hybrid with the same Au loading showed lower activity because the composite contains HPA, indicating that HPA played a fundamental role because it promoted the separation of photoproduced electron-hole pairs on CdS quantum dots.

Figure 3.14 reports this hypothesized electron–hole pair separation mechanism in which the role of HPA in the electron transfer from the CB of CdS to Au NPs is illustrated. In the same figures also,  $SO_4^{2-}/SO_3^{2-}$  and  $S/S^{2-}$  redox couples appear



Fig. 3.14 The photocatalytic mechanism of the CdS QDs–Au NPs–HPA nanohybrid (Reprinted with permission from Ref. [124]. Copyright 2013, Royal Society of Chemistry)



Fig. 3.15 Electron transfer in the band structure of the ternary material HPA–Ag<sub>2</sub>S–CdS under visible-light irradiation ( $\lambda > 420$  nm) (STA, SiW<sub>12</sub>O<sub>40</sub>; PTA, PW<sub>12</sub>O<sub>40</sub>; and PMA, PMo<sub>12</sub>O<sub>40</sub>) (Reprinted with permission from Ref. [125]. Copyright 2014, Royal Society of Chemistry)

because the photoproduction of  $H_2$  was carried out in the presence of  $SO_3^{2-}$  or  $S^{2-}$  as sacrificial species.

Kornarakis et al. have prepared hybrid mesoporous materials consisting of a heteropolyacid along with Ag<sub>2</sub>S and CdS nanocrystals [125]. They used HPAs as electron acceptors in the CdS-based heterojunction photocatalysts. Ag<sub>2</sub>S is a semiconductor that possesses favourable band edge positions with respect to the reduction potential of CdS and HPA. In particular, the CB level of Ag<sub>2</sub>S (-0.20 V vs. NHE) lies below that of CdS (-0.66 V vs. NHE) and above the reduction potential of the Keggin clusters. Therefore, the incorporation of the HPA and Ag<sub>2</sub>S in the CdS matrix can induce delocalization of photoexcited electrons at CdS along the potential gradient of HPA–Ag<sub>2</sub>S–CdS heterostructures. Figure 3.15 illustrates the electron transfer from the conduction band of CdS to that of the Ag<sub>2</sub>S and hence to the empty lowest unoccupied molecular orbital (LUMO) of the HPA. The ternary structures HPA–Ag<sub>2</sub>S–CdS can drive the photoexcited electrons from CdS to the HPAs, enhancing the charge separation efficiency and thereby the photocatalytic activity.

These ternary materials were active in the photocatalytic oxidation of various para-substituted benzyl alcohols to the corresponding carbonyl compounds. The thermodynamic band alignment of the components is the driving force for the increased photoactivity compared to the parent  $Ag_2S$ –CdS and CdS samples. The photocatalytic activity changes with the HPA employed, due to the different position of their LUMO energy level.

 $BiVO_4$ , with a band gap of 2.32 eV, has been also proposed as a promising photocatalyst. The photocatalytic activity of pure  $BiVO_4$ , however, is limited due to

the fast recombination rates of photo-generated electron-hole pairs [126]. Zhang et al. proposed to load the  $H_3PW_{12}O_{40}$  on the surface of BiVO<sub>4</sub> prepared using a hydrothermal and impregnation method. The band gap of the composite was narrower compared with that of bare BiVO<sub>4</sub>, and the photocatalytic performance, investigated by the degradation of methylene blue dye (MB) under visible-light irradiation, was higher [127]. The highest photodegradation efficiency of MB was obtained at 10 wt% HPA content. The enhanced photocatalytic performance under visible-light irradiation was attributed to the efficient separation of photo-generated electron-hole pairs in the HPA- and BiVO<sub>4</sub>-coupled system.

#### 3.6 HPA-Based Materials with HPA Associated to Inorganic, Organic or Organometallic Moieties

HPA-based inorganic/organic hybrids have drawn much attention in various areas because these hybrids present properties both of inorganic materials and organic compounds.

The heterogeneization of the HPAs for photocatalytic purposes in environmental remediation can be successfully performed, but various strategies of catalysts preparation to avoid shortcomings, such as limited activity or stability during the reaction, are still explored by researchers.

Cao and co-workers coupled an organic cation, i.e. the macrocyclic cucurbituril, to form a water-insoluble composite with the Keggin-type  $[SiW_{12}O_{40}]^{3-}$  anion [128]. Cucurbit[6]uril (CB[6]) is a hexameric macrocyclic compound comprising 6 glycoluril units and 12 methylene bridges. Due to its strong affinity towards organic dye molecules, CB[6] is considered suitable for treatment of effluents from dye industries just by sorption. Figure 3.16 shows the interaction between CB [6] and HPA (Fig. 3.16a) and the packing structure of the composite (Fig. 3.16b).



**Fig. 3.16** (a) Hydrogen bond interaction between neighbouring Keggin HPA  $[SiW_{12}O_{40}]^{4-}$  and CB[6] units to form the composite (b) (Adapted with permission from Ref. [128]. Copyright 2011, Elsevier)



Fig. 3.17 Proposed photocatalytic degradation mechanism by using CB[6]–HPA composite as heterogeneous photocatalysts (Reprinted with permission from Ref. [128]. Copyright 2011, Elsevier)

The photocatalytic test reaction was the degradation of methyl orange under visible-light irradiation. As schematized in Fig. 3.17, the macrocyclic organic chain can act as a sensitizer under visible light, whereas the HPAs chain as an electron acceptor.

Another explored way in the water-insoluble composite HPA-based inorganic/ organic hybrids preparation is to couple Keggin HPA and thionine (TH) with a simple precipitation method to obtain  $(TH)_3PW_{12}O_{40}$  powders with specific surface area of ca. 20 m<sup>2</sup> g<sup>-1</sup>. Rhodamine B was bleached and mineralized under visible light ( $\lambda > 420$  nm). TH acted as a sensitizer extending the spectrum of the H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> into the visible region [129]. Indeed, TH is excited by visible light and subsequently it could inject electrons in the HPA LUMO. In this way O<sub>2</sub> trapped an electron to generate oxidant radicals able to mineralize the rhodamine B molecules. The mechanism proposed for the sensitization is schematized in Fig. 3.18. In this paper authors did not explain the fate of the oxidized TH (TH\*<sup>+</sup>); consequently, from the reported mechanism, it seems that also TH can be degraded during the photocatalytic tests, and this should give rise to a deactivation of the catalyst that, anyway, was not observed, at least after five reaction cycles.

Another type of HPA-based composite material was prepared by Bonchio et al. that obtained an organic sensitized HPA heterogeneous photocatalyst by using a modified fullerene as sensitizer [130]. They followed two strategies: the covalent functionalization of lacunary decatungstosilicate with organosilylfulleropyrrolidines or the electrostatic interaction between cationic sensitizer and the HPA. The Keggin-based lacunary HPA ( $[SiW_{10}O_{36}]^{8-}$ ) functionalized with tris (alkoxysilane)-C60-fulleropyrollidines (see Fig. 3.19) showed good photocatalytic activity for phenol photo-oxidation or L-methionine methylester photo-oxidation to the corresponding sulphoxide. The reactions were carried out in aqueous solution



under irradiation with  $\lambda > 375$  nm. Phenol was partially oxidized to benzoquinone with ca. 90 % conversion. The solid photocatalyst was recycled three times without losing its activity. The presence of fullerene was essential for the photocatalytic activity; in fact photocatalysts functionalized with Si(CH<sub>2</sub>)<sub>x</sub>R groups (R=Me, NH<sub>2</sub>, Ph) were inactive. The type of bonding between fullerene and HPA has a huge effect on the system stability and can be used to modify the photocatalytic performance (see Fig. 3.19).

Zhou et al. prepared an HPA-based plasmonic visible-light  $Ag/Ag_xH_{4-x}SiW_{12}O_{40}$  (Ag/AgHSiW) photocatalyst [131, 132]. The composite nanoparticles, possessing more OH surface groups than TiO<sub>2</sub> Degussa P25, showed a strong and broad absorption in the visible region and a weak plasmonic emission. The Ag/AgHSiW material showed higher photocatalytic activity for the decomposition of methylene blue dye under visible-light irradiation than bare Ag nanoparticles,  $H_4SiW_{12}O_{40}$  and  $Ag_4SiW_{12}O_{40}$  [132]. The composite activity was attributed to the



synergistic effect of photoexcited HPA (AgHSiW) and plasmon-excited Ag nanoparticles (see Fig. 3.20). HPA showed redox ability and photocatalytic activity. whereas Ag could effectively accelerate the separation of electrons and holes.

Immobilization inside a suitable solid host matrix could be considered as a convenient approach to develop a (photo)catalyst. An optimum host should possess some features as (i) suitable cavities with adequate size and shape to encapsulate the HPA guest molecule allowing the homogeneous HPA dispersion inside the solid, (ii) appropriate openings for the diffusion of reactants and products, (iii) mild and simple preparation conditions of the composite and (iv) stability. Metal-organic frameworks (MOFs) are organic-inorganic hybrid solids, which have recently emerged as a particular class of functional solid-state materials, and they have been identified as adequate candidates for the scopes above claimed [133]. HPAs decorating the MOFs surface were reported as good heterogeneous catalysts because they exhibited a satisfactory immobilization along with an improvement of other catalytic properties [134]. Interestingly, the introduction of HPAs into the metal-organic frameworks (MOFs) to form PMOFs (where P stands for POM, polyoxometalate) was found as one of the most promising strategies to optimize the performance of the HPA clusters [135, 136].

Several networks can be obtained from polyoxometalates-based metal-organic frameworks (PMOFs), as a new class of materials. The archetypal and lacunary Keggin and Wells-Dawson anions have gone through extensive development to construct POMOFs with excellent catalytic efficiency in a heterogeneous manner [137, 138]. Zhu et al. have started the exploration of a new POM-based MOF in situ assembled and used as photocatalyst. This compound is a water-stable and insoluble photocatalyst that exhibits not only photocatalytic activity for the degradation of RhB under visible-light irradiation but also high stability, and it was easily separated from the reaction system for reuse [139]. It was hydrothermally synthesized by reaction of FeCl<sub>2</sub>, Na<sub>2</sub>MoO<sub>4</sub>, H<sub>3</sub>PO<sub>4</sub> and imidazole at 180 °C for 3 days.

Fig. 3.20 Schematic

hole pair separation in Ag/AgHSiW NPs

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**Fig. 3.21** (a) A sandwich-type  $\text{Fe}^{II}[P_4\text{Mo}_6]_2$  cluster; (b) a 3D porous framework along [100] direction; (c) a Fe(II)<sub>2</sub> dimer; (d) the total 3D porous framework along [001] direction with cross-shaped channel. The free water molecules are omitted for clarity (Reprinted with permission from Ref. [139]. Copyright 2014, Elsevier)

A schematic representation of this novel molybdophosphate-based Fe(II) and (III)-metal–organic framework (FeMoP-MOF), with the formula  $\{Na_6(H_2O)_{12}[Fe^{II}_2]_2[Fe^{III}_4(PO_4)][Fe^{II}(Mo_6O_{15})_2(PO_4)_8]_2\}(OH)_3\cdot 33H_2O$ , is reported in Fig. 3.21.

Guo et al. have also prepared a PMOF with the supramolecular compound with formula,  $[(H_2toym)_2(SiW_{12}O_{40})]\cdot 6H_2O$ , where 'toym' is a multidentate O-donor ligand, i.e. (2,4,6-tris[1-(4-oxidroxypyridinium)-ylmethyl]-mesitylene) [140]. The solid network is templated by the HPA. The 'host' supramolecular network, with large pores, is composed by the partly protonated O-donor ligands, whereas the HPA 'guest' is located in the pores and dispersed between two organic layers in the complexes. The compound exhibited photocatalytic activity for methylene blue bleaching under UV light irradiation. The optical absorbance allowed to calculate the band gap of the solid which resulted 2.65 eV. No explanation is given by the authors about the mechanism of the photocatalytic reaction. Liu et al. prepared four PMOFs by using a bis(triazole) N-donor ligands. They used the HPA [Mo<sub>6</sub>O<sub>18</sub>(O<sub>3</sub>AsPh)<sub>2</sub>]<sup>4-</sup> and copper(I)-organic fragments containing the triazole ligands [141]. The structures possessed band gap between 2.7 and 1.9 eV, and they were used for the methylene blue bleaching by irradiating with a 500 W Xe



**Fig. 3.22** Pillared layer architecture containing the Keggin HPA (Reprinted with permission from Ref. [142]. Copyright 2011, Elsevier)

lamp. Authors claimed that the presence of  $O_2$  was essential for the photocatalytic process but no additional explanation about the mechanism was given.

The layered double hydroxides (LDHs) architecture was also used to design 3D frameworks with large channels hosting the plenary Keggin HPA [142]. The material exhibited a 3D Ce(III) hydrotalcite-like structure constructed from 2D cationic  $[{Ce(H_2O)_5}_2{Ce(pdc)_2(H_2O)_4}]{Ce(pdc)_3}]^{2+}$  layers, where pdc = pyridine-2,6-dicarboxylate, pillared by  $[PW_{12}O_{40}]^{3-}$  anions (see Fig. 3.22).

This material was active for photocatalytic  $H_2$  evolution in methanol aqueous solution under UV irradiation, but further efforts should be addressed to understand the mechanism of this reaction by using the HPA–LDHs material.

Biboum et al. synthesized polyionic liquids (PILs) with an HPA to form waterinsoluble nanomaterials [143]. The macrocyclic HPA  $[H_7P_8W_{48}O_{184}]^{33-}$  (see Fig. 3.23) synthetized by the authors resulted stable in aqueous media, underwent fast and chemically reversible 8-electron reduction processes and interacted with different imidazolium-based PILs to form a water-insoluble heterogeneous photocatalyst. This photocatalyst was able to bleach acid orange 7 dye also in the absence of O<sub>2</sub> and under visible-light irradiation ( $\lambda > 400$  nm). On the contrary, the bare HPA or PIL showed a negligible activity indicating that a synergistic effect occurred when the two species were coupled. Moreover, authors claimed that the complete mineralization of acid orange 7 dye was also achieved with their photocatalyst, although they did not report any strong experimental evidence to prove this insight; indeed, they followed only the disappearance of the characteristic peaks in the AO7 UV-visible spectrum.



Porous coordination polymers (PCPs) were also used as host to guest HPAs. Fu et al. report a kind of PCPs/HPA host–guest compound consisting of  $[Cu(II)_2Cu(I)_3(OH)_4(H_2O)_2(TPT)_4][PW_{12}O_{40}]$ , where TPT corresponds to tris(4-pyridyl) triazine. This supramolecular compound locates the Keggin anion at the centre of a large cationic ring containing TPT and Cu cations [144]. According to the authors, this metal–organic host framework strengthened the photocatalytic activity of the HPA, which was able to bleach methyl orange under UV irradiation. The bleaching rate was low by using only the supramolecular compound, but it dramatically increased when  $H_2O_2$  was also present.

Among the classical conventional methods to heterogeneize HPA, we have reported the immobilization on a support and the precipitation with monovalent cations such as K<sup>+</sup>, Cs<sup>+</sup> or NH<sub>4</sub><sup>+</sup> to form insoluble salts. Moreover, also rare-earth ions can be used to change the solubility of HPAs. Indeed, rare-earth ions have the ability to form complexes with various Lewis bases by the interaction of the functional groups with their f-orbitals. Li et al. have prepared an insoluble rareearth-doped phosphotungstic acid by hydrothermal method. The photocatalytic activities of La<sup>3+</sup>/Ce<sup>3+</sup>-H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> were evaluated in the photobleaching of methyl orange and rhodamine B under UV and visible-light irradiation [145]. Authors observed that the behaviour of La(III)-loaded samples was better than that of Ce (III)-loaded HPA. The activity has been attributed to the synergistic effect existing between the rare-earth ions and the heteropolyacids, which can enlarge the light absorption and inhibit the recombination of photo-generated electron-hole pairs. Xue et al. report the hydrothermal synthesis and physico-chemical characterization of a complicated high-dimensional and high-connected HPA-based hybrid compound, namely,  $[Ag_3(pytz)_2(H_2O)]_2 \cdot [HAgGe-Mo_{12}O_{40}] \cdot H_2O$ , which has been synthesized by reactions of pyridyltetrazoles (pytz) and Keggin HPAs. The compound presents HPA chains pillaring transition-metal complexes, which represents the highest coordination number of a Keggin HPA [146]. This material was photocatalytically active in the decomposition of rhodamine B dye under UV irradiation.



**Fig. 3.24** (a) Coordination of the Cu(I) ions bonded to the Keggin cluster prepared by Zhou et al. which forms chains; (b) view of the one-dimensional left- and right-hand helical chain formed by heteropolyacid clusters (Reprinted with permission from Ref. [148]. Copyright 2014, Elsevier)

Sheng et al. have synthetized the Keggin  $K_6ZrW_{11}O_{39}Sn \cdot 12H_2O$  ( $ZrW_{11}Sn$ ), which can be activated by sunlight. This solid is active in the decolouration of some dyes, i.e. Acid Brilliant Scarlet 3R, Reactive Red 24 and Reactive Black 5 with natural sunlight irradiation [147]. Unfortunately, the mechanism of the degradative process has not been fully explained, and the homogeneous decomposition of the dyes cannot be entirely ruled out.

Very recently Zhou et al. used twisted rigid ligands to synthetize compounds based on Keggin clusters with left- and right-handed helical chains [148] (see Fig. 3.24). Helical compounds based on HPAs have attracted attention because of their attractive structural features and potential applications, for instance, in asymmetrical catalysis. The chains are further extended to three-dimensional supramolecular structures by hydrogen bonds. The methylene blue dye has been photocatalytically bleached in the presence of this solid. Stacking of the chains leads to small pore-size and narrow pore-size distribution which are not beneficial for the photocatalytic activity.

### 3.7 Conclusions

To conclude the overview of the state of the art in the use of heteropolyacid Keggin clusters in heterogeneous photocatalysis for environmental applications, we can say that there is still a long way to walk through. The photocatalytic activity of heteropoly compounds (HPA) in homogeneous regime has been extensively reported along with the use of supported HPAs in thermal catalysis for acidic and redox processes. The nature of HPAs as highly soluble compounds in polar solvents hinders their use as heterogeneous photocatalysts. Consequently, an appropriate heterogeneous reactions, in particular to carry out photocatalytic degradations of several pollutants. The preparation of supported HPAs both onto non-active and

photocatalytically active oxides has been carried out obtaining materials where the nature of the HPA was maintained and where the bond between the support and the HPA was mainly electrostatic. This interaction seems to be enough strong to avoid significant leaching of the HPA. Nevertheless, the covalent bond between the HPA and the oxide surface resulted more appropriate for preventing leaching, and it has been obtained by using 'lacunary' and functionalized HPA linked to supports that could be also functionalized. The immobilized HPA acted as a photocatalyst, similarly to what observed in homogeneous regime, but the use of photocatalitically active supports appeared to be preferable than the use of non-photocatalytically active supports. Indeed, the improvement of the photocatalytic activity found by using HPA-based composites was related in the first case to the electron transfer from excited HPA to  $O_2$  to form the final oxidant species, i.e. the hydroxyl radicals. This electron transfer can occur directly from the HPA to the  $O_2$  or alternatively through the conduction band of photoexcited oxides. Recently, the immobilization of HPA clusters has been approached by exploring the guest-host synthesis materials where the guest HPA was incorporated in inorganic or organic supramolecular hosts. Home-tailored HPAs, different from the more well-studied Keggin and Wells-Dawson structures, represent a novelty in this field, particularly when 'heterogeneized' by using polyionic liquids. Alternative HPA structures should be rationally designed, and the engineered clusters should be used to a particular function, for instance, heterogeneous photocatalyst synthesis.

The explanation of the improvement of the photocatalytic activity of HPAs inside the host systems is still unclear. An effort to clarify the mechanism of reaction is definitively necessary.

Notably, most of the literature reports the use of dyes as model molecules to test the photocatalytic activity of heterogeneized HPAs in the visible region. The use of alternative and challenging molecules is a must in order to fully understand the mechanistic aspects of the photocatalytic heterogeneous process. It is well known that the structure of a molecule determines its function, and this reasoning can be also applied to HPA clusters.

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# Chapter 4 Alternative Materials to TiO<sub>2</sub>

Ren Su, Flemming Besenbacher, and Graham Hutchings

**Abstract** One of the most significant investigations on heterogeneous photocatalytic process can be dated back to the 1970s, when Fujishima and Honda showed that the TiO<sub>2</sub> electrode is capable of water splitting under suitable electromagnetic irradiation. Since then, TiO<sub>2</sub>-based materials have become the dominant photocatalyst and have been investigated for decades due to their abundance, non-toxicity, and relatively high reactivity. However, the bandgap of pristine TiO<sub>2</sub> is larger than 3 eV, which can only absorb light that has a wavelength of less than 400 nm. Unfortunately, this portion of photons only corresponds to 4–5 % of the solar spectrum, which has limited the application of photocatalysis at an industrial scale. Moreover, the conduction band position of TiO<sub>2</sub> is only slightly negative relative to that of the proton reduction potential, resulting in a relatively poor reduction power for solar-to-fuel conversion. Therefore, the development of alternative photocatalysts with visible light absorption and tunable properties is essential in the application of photocatalysis techniques.

In this chapter, we will consider the most popular photocatalyst systems other than  $TiO_2$ . Their synthesis methods, characteristics, optimisations, and design will be presented. Last but not least, the design and synthesis of promoters, which play a very essential role in photocatalyst systems, will also be demonstrated at the end of this chapter.

**Keywords** Photocatalyst materials • Metal oxides • Perovskites • Sulphides • Nitrides • Zeolites • MOFs • Anchored systems • Promoters • Cocatalysts

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## 4.1 Overview and Basic Concepts

Photocatalysis can be described as the acceleration of photon-driven reactions by catalysts, where a photocatalyst absorbs photons, generates electron-hole  $(e^--h^+)$  pairs, and then uses the  $e^--h^+$  pairs or corresponding radicals to react with surface-adsorbed molecules to form new compounds. Thus, a photocatalyst plays a dual role in the process: (I) absorber and (II) reactor.

Light absorption of a photocatalyst depends on the bandgap (Eg) of a material. Whilst valence band maximum (VBM) and conduction band minimum (CBM) together determine the Eg of inorganic semiconductor-based materials, the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) define the Eg of organic semiconductor photocatalysts. On the other hand, the redox potentials of photoexcited  $e^-$  and  $h^+$  should be greater than that of the redox couple to drive the reaction from a thermodynamic perspective.

Due to the variety of redox potentials for different reactions, an all-purpose photocatalyst does not exist neither from the "absorber" nor the "reactor" aspect. Take pristine TiO<sub>2</sub>, for example, its large bandgap and high VBM position (~3.0 eV vs. standard hydrogen electrode (SHE)) make TiO<sub>2</sub> extremely stable and suitable for detoxification of water and air that requires strong oxidising power, but its bandgap is too large to fully use the solar spectrum and the CBM is not ideally positioned ( $\sim -0.2$  eV vs. SHE) for solar-to-fuel conversions. Moreover the VBM is somewhat too positive and will fully oxidise most organic compounds to CO<sub>2</sub>, thus making it not ideal for partial oxidation reactions. However, TiO<sub>2</sub>-based photocatalyst materials still account for 2/3 of the published papers up to 2015 due to several reasons [1]. Firstly, the global annual production of TiO<sub>2</sub> is  $\sim$ 4,700 k tons, making TiO<sub>2</sub> affordable from an economic aspect. Secondly, the photocatalytic performances of TiO<sub>2</sub>-based materials are reasonably good due to the relatively long lifetime of photogenerated charge carriers compared to that of other materials [2]. Therefore, in addition to the requirement that the bandgaps should match the solar spectrum, alternative photocatalyst materials should also possess these two requirements to be considered in large-scale applications. Last but not least, the photocatalyst materials should be stable and ideally nontoxic under working conditions. This is extremely important for water or air purification applications as it will be not wise to introduce additional contaminants (e.g. CdS and PbO).

Figure 4.1 demonstrates the investigation in searching for alternative heterogeneous photocatalyst materials from the periodic table. Whilst the d0 (marked in red) and d10 (marked in black) cations are utilised to construct both crystal structures and energy structures of semiconductors together with nonmetal anions (marked in green), group 1–3 elements are often applied to build crystal structures. The combination of these three types of ions can form simple oxides, oxynitrides, nitrides, and sulphides to more complicated compounds such as perovskites, zeolites, and metal–organic frameworks (MOFs). The origin of VBM and CBM of photocatalysts depends on the building elements and their oxidation states. When

3 Li	4 Be											5 B	6 C	7 N	8 0	9 F
11 Na	12 Mg												14 Si	15 P	16 S	17 Cl
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 
55 Cs	56 Ba	57 La	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 TI	82 Pb	83 Bi	84 Po	85 At
58 59 60 61 62   Ce Pr Nd Pm Sm																
d <sup>o</sup> ions												ruct crystal structure				
	d <sup>10</sup> ions									const	instruct promoters					
Non-metals									Mu	ltipurp	ourpose (dopants, promoters)					

Fig. 4.1 Periodic table demonstrates the design of heterogeneous photocatalysts

d0 cations in their highest oxidation states are used to build photocatalysts along with anions, the empty d orbitals of cations and fully filled p orbitals of anions contribute to CBM and VBM in general (i.e. TiO<sub>2</sub>, V<sub>2</sub>O<sub>5</sub>, and Ta<sub>2</sub>N<sub>5</sub>) [3]. In contrast, the VBM of photocatalysts formed by d10 cations at their highest oxidation states with anions is contributed from both the d orbitals of cations and the p orbitals of anions (i.e. ZnO and  $Ga_2O_3$ ) [3]. Note that variation in the oxidation states may result in the marked changes in the electronic structures of a photocatalyst; thus, experiments combined with theoretical analysis are required to reveal the origin of band structures for complicated semiconductor photocatalysts. In order to prolong the lifetime of photogenerated charge carriers and accelerate preferred redox reactions, promoters are frequently employed to decorate the surface of photocatalysts (marked in orange in Fig. 4.1). Promoters normally do not convert photons to charge carriers but play a key role in enhancing the photocatalytic performance, especially in water splitting and selective organic transformation reactions. Although classic promoters are based on noble metal elements, recent development in oxides and nonmetal promoters (i.e. NiO, graphene, and C nanodots) has also shown great potential [4-6]. Nevertheless, there are also elements that can be used to build photocatalysts or promoters (marked in brown).

## 4.2 Simple Oxides and Derivatives

Metal oxides have been investigated extensively due to their relative high stability and abundance, and most combinations of metal–oxygen have been tested for photocatalysis. Based on the d orbital electron configuration of the cation, metal oxides can be divided into d0 type, d10 type, and other transition metal oxides that



Fig. 4.2 Bandgaps and band positions of some simple metal oxides. The *dashed lines* indicate the reduction potentials of various reactions

cannot be categorised into d0 and d10 type. Figure 4.2 depicts the bandgaps and band positions of some popular oxides that have been employed as photocatalysts. Since there are several dedicated reviews and book chapters on conventional metal oxide-based photocatalysts [3, 7, 8], we will focus on some characteristic metal oxides to demonstrate the design strategy.

#### 4.2.1 d0 Oxides

Strictly speaking, the d0 oxides consist of group 4–6 metal cations in their highest oxidation states within the corresponding oxides (see Fig. 4.1). In this case, although the group VI metal oxides (HfO<sub>2</sub> and ZrO<sub>2</sub>) are considered to have excellent properties in surface charge trapping according to the density functional theory (DFT) calculations [9], their large bandgaps (6 eV for HfO<sub>2</sub> and 5.8 eV for  $ZrO_2$ ; see Fig. 4.2) limit their application in photocatalysis. In contrast, the group V and VI metal oxides are normally characterised by moderated bandgaps, which are comparable to that of TiO<sub>2</sub>. For example, silica-supported V<sub>2</sub>O<sub>5</sub> with the addition of alkali metal ions located adjacent to the surface VO<sub>4</sub> species have been reported to be quite photoactive in selective oxidation of propane to propanone by oxygen at room temperature (RT) [10]. The  $MoO_3$  supported on silica materials have been applied in photocatalytic NO reduction with CO as the oxidant at RT, yielding full conversion to  $N_2$  and  $CO_2$  [11]. The alkali metal ion-doped MoO<sub>3</sub>/SiO<sub>2</sub> is also capable of performing metathesis reaction (propene here) at RT [10], which enables the redistribution of fragments of alkenes by the scission and regeneration of carbon-carbon double bonds. Since such reactions are very important in petroleum industry for the synthesis of higher olefins that are normally realised using metal complexes, the use of cheap heterogeneous photocatalysts is of particular interest. However, similar to the issue of pristine TiO<sub>2</sub> for application, further enhancement in catalytic performance and light adsorption toward visible light range is needed.



**Fig. 4.3** (a) SEM image of *bcc* VO<sub>2</sub> rods grown on Si substrate; (b) SAED patterns of a *bcc* VO<sub>2</sub> rod taken with incident beam along different directions (Reprinted with permission from Ref. [12] Copyright 2008, American Chemical Society). (c) EPR spectra comparison of TiO<sub>2</sub> nanotubes treated in Ar, H<sub>2</sub>/Ar, and high-pressure H<sub>2</sub> (Reprinted with permission from Ref. [13] Copyright 2014, American Chemical Society). (d) Cross section and side-view TEM images of core/shell TaB<sub>2</sub>/Ta<sub>2</sub>O<sub>5</sub> photocatalyst (Reprinted with permission from Ref. [14] Copyright 2014, Wiley VCH)

Several approaches have been applied to extend the light absorption and photocatalytic performance. Inspired by the bandgap difference of anatase and rutile TiO<sub>2</sub>, enlargement/reduction of the energy gaps of materials with narrow/ large bandgaps by searching different polymorph structures is a feasible strategy. For example, vanadium oxides exhibit many intermediates within the  $V_2O_5-V_2O_3$  system that have different crystal structures and bandgaps. Whilst the tetragonal and monoclinic VO<sub>2</sub> exhibit metallic and semiconductive (~0.7 eV) characteristics, respectively, a recent work shows that the bcc VO<sub>2</sub> has a bandgap of ~2.7 eV, which is ideal for visible light-induced photocatalysis [12]. Figure 4.3a presents a SEM image of the vertically aligned VO<sub>2</sub> nanorods deposited on a silicon substrate via thermal oxidation. The selected area electron diffraction (SAED) along different axes indicates that the rods have a bcc structure with a lattice constant of ~0.94 nm (Fig. 4.3b). This bcc VO<sub>2</sub> film exhibits excellent photocatalytic H<sub>2</sub> evolution performance in the presence of hole scavengers.

Another strategy to extend the light absorption is by doping with other elements. Doping of nonmetal (i.e. C, N, S) or metal (i.e. Fe, Cu, Mn) elements has been extensively investigated in tuning the bandgap of d0 metal oxides (especially TiO<sub>2</sub>). Take TiO<sub>2</sub>, for example, whilst the bandgap can be narrowed, the photocatalytic performance under visible light irradiation is generally very poor. Even worse, a significant decrease in performance originates from the reduced lifetime of

charge carriers that recombine at the dopant (impurity) sites. Therefore, a careful control of the dopant (i.e. identity, concentration, and location) is required to achieve desirable performance. The recently developed self-doping approach provides a feasible approach for fine-tuning the bandgap whilst exhibiting reasonable performance under visible light irradiation. For example, Nb<sup>4+</sup> self-doped K<sub>4</sub>Nb<sub>6</sub>O<sub>17</sub> microspheres can be synthesised via photoreduction of K<sub>4</sub>Nb<sub>6</sub>O<sub>17</sub> under deaerated conditions in the presence of hole scavengers (i.e. methanol, ethanol) [15]. Here, partial reduction of Nb<sup>5+</sup> to Nb<sup>4+</sup> by irradiation leads to the formation of Nb<sup>4+</sup> self-doped K<sub>4</sub>Nb<sub>6</sub>O<sub>17</sub>, which is responsible for the colour change from white (pristine K<sub>4</sub>Nb<sub>6</sub>O<sub>17</sub>) to black. Amazingly, compared to the analogous photon-induced Ti<sup>3+</sup> in deaerated TiO<sub>2</sub>–ethanol colloidal that has a short lifetime, the Nb<sup>4+</sup> self-doped K<sub>4</sub>Nb<sub>6</sub>O<sub>17</sub> exhibits an astonishing stability according to the clear signal of Nb<sup>4+</sup> in electron paramagnetic resonance (EPR). Long-term photocatalytic H<sub>2</sub> evolution tests also prove the stability although the mechanism remains unclear.

The black  $TiO_2$  ( $Ti^{3+}$  self-doped  $TiO_2$ ) can be considered as a new material since it exhibits completely different optical properties compared to that of pristine or other doped TiO<sub>2</sub>. Originally it has been synthesised by the hydrogenation of pristine TiO<sub>2</sub> under high pressure of H<sub>2</sub> (20 bar) at 200 °C for a long period (5 days), resulting in a disordered surface with a large amount of adsorbed H atoms [16]. -Mid-gap states that lay above the VBM but below the Fermi level are created within such a disordered surface. X-ray photoelectron spectroscopy (XPS) along with DFT calculations reveal that the mid-gap states originate from the hybridisation of O2p and Ti3d orbitals of the disordered surface layer. Although the H1s orbital coupling to the Ti atom does not influence the electronic structure, it is essential in stabilising the lattice disorders by passivating the dangling bonds. Nevertheless, these disordered surfaces can provide trapping sites for charge carriers to prolong their lifetime. Several studies have reported on the successful synthesis of black  $TiO_2$ and applied this material for visible light-induced H<sub>2</sub> evolution [13, 16]. However, it is noticed that the preparation conditions influence the photoreactivity significantly. Although the high-pressure H2-treated TiO2 shows identical lattice space and diffraction pattern compared to that of TiO<sub>2</sub> annealed in air or in 1 bar pressure of Ar/H<sub>2</sub> due to the very low concentration of  $Ti^{3+}$  in the disordered surface layer, EPR spectra clearly demonstrate the existence of Ti<sup>3+</sup> in hydrogenated TiO<sub>2</sub> at RT (Fig. 4.3c), which contributes to enhanced light absorption and charge trapping for a major improvement in photocatalytic performance under visible light conditions.

Tuning the charge transfer kinetics is another key issue in enhancing the photocatalytic performance. A feasible approach is to construct heterostructures to spatially separate the charge carriers. This strategy has been very successful in the case of anatase/rutile TiO<sub>2</sub> heterostructures and has been extended to other d0 metal oxides as well. The TEM images shown in Fig. 4.3e demonstrate the conceptual design of a Ta<sub>2</sub>O<sub>5</sub>-based photocatalyst, in which the Ta<sub>2</sub>O<sub>5</sub> shell is directly formed from the TaB<sub>2</sub> core via a vapour-phase hydrothermal process. According to DFT calculations, the CBM of Ta<sub>2</sub>O<sub>5</sub> shell to the TaB<sub>2</sub> core [14].

## 4.2.2 d10 Metal Oxides

The d10 oxides are built from group 12–15 metal cations with oxygen (see Fig. 4.1). The hexagonal wurtzite-structured ZnO, which has a similar bandgap (~3.3 eV) and band positions compared to that of anatase TiO<sub>2</sub>, has been extensively studied due to its high electron mobility and tunable microstructure. However, the bandgap is too large to absorb visible light and the CB potential is not suitable for solar-to-fuel conversion. It should be noted that the formation of solid solution with wurtzite GaN can reduce the bandgap of the GaN:ZnO system, which will be discussed in Sect. 4.4.2. CdO, which has a bandgap of ~2.2 eV, crystallises in a cubic rock salt lattice with octahedral Cd<sup>2+</sup> and O<sup>2-</sup> centres. Although it has been reported as a photocatalyst for phenol decomposition, the solubility of CdO and the toxicity of Cd<sup>2+</sup> make CdO a less promising candidate.

Although the monoclinic-structured gallium oxide ( $\beta$ -Ga<sub>2</sub>O<sub>3</sub>) has a large bandgap (~4.8 eV), it has been shown to be a very efficient photocatalyst for the decomposition of aromatic compounds (i.e. benzene, toluene, and ethylbenzene) when compared to that of TiO<sub>2</sub> (~4 times better) [17]. Such great enhancement may originate from the more negative VBM and positive CBM of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> compared to that of TiO<sub>2</sub>, which increases the driving force of pollutant oxidation and oxygen reduction. However, the photocatalytic oxidation of C<sub>2</sub>H<sub>4</sub> and CH<sub>3</sub>Br does not support this simple assumption, as TiO<sub>2</sub> and  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> show comparable performance. Thus, a mechanistic understanding of ad-/desorption of aromatic compounds and reaction intermediates from a surface science perspective is needed to reveal the superior photocatalytic performance of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>.

Whilst  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is the thermally stable polymorph, theoretical calculations predict that the metastable hexagonal phase ( $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>) has some interesting physical properties [18]. The  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub> can be generated from  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> under ~9.5 GPa pressure at RT (calculated) or under ~4.4 GPa pressure at 1000 °C and retain its crystal structure under ambient condition. Due to a higher symmetry, Ga coordination number, and the packing density of  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>, it exhibits a higher bulk modulus, bandgap (5.03 eV), and refractive index than that of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>. Compared to  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> that shows an isotropic optical absorption edge, the  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub> exhibits an anisotropic dispersion  $\varepsilon_{xx}$  ( $\varepsilon_{yy}$ ) function with respect to  $\varepsilon_{zz}$ . Recently, it has been shown that physically attaching these two polymorphs benefits the overall photocatalytic water-splitting process [19]. Figure 4.4a and b demonstrates the effects of  $\alpha$ - $\beta$  junction on the kinetics of photogenerated charge carriers by transient absorption spectroscopy and IR spectroscopy. Three types of process that involve charge carriers can be extracted by following the exponential decay of the normalised absorption at 850 nm (Fig. 4.4a). Whilst surface charge trapping  $(\sim 14-32 \text{ ps})$  and recombination processes (>1000 ps) are observed for all Ga<sub>2</sub>O<sub>3</sub>, an ultrafast charge transfer at approximately 3 ps is only noticed for the  $\alpha$ - $\beta$ junction, indicating a more efficient charge separation at the interface of the  $\alpha$ and  $\beta$  polymorphs. Moreover, transient IR spectroscopy (Fig. 4.4b) shows that the lifetime of photogenerated electrons within  $\alpha - \beta$  junctions has been prolonged



**Fig. 4.4** (a) Normalised transient absorption spectra of  $Ga_2O_3$  samples at 850 nm excited by a 255 nm laser. (b) Normalised transient averaged mid-IR absorption spectra of  $Ga_2O_3$  samples excited by a 266 nm laser (Reprinted with permission from Ref. [19] Copyright 2012, John Wiley and Sons). (c) and (d) density of states (*DOS*) of clean BiOCl surfaces and surfaces with oxygen vacancies (*OV*) and  $O_2$  adsorption. Positive and negative y values represent majority and minority spin states. The vertical dashed lines indicate VBM (Reprinted with permission from Ref. [20] Copyright 2013, American Chemical Society)

compared to that in either  $\alpha$  or  $\beta$  phase. More importantly, the corresponding hole species within the  $\alpha$ - $\beta$  junctions are also characterised by a long lifetime, which allows migration of hole species to the surface to react with electron donors (i.e. methanol).

The extension of light absorption to solar spectrum is crucial for d10 oxides due to their large bandgaps. Doping with d0 cations has been used to reduce the bandgaps of indium oxides and bismuth oxides (InMO<sub>4</sub> and BiMO<sub>4</sub>,  $M = V^{5+}$ , Nb<sup>5+</sup>, and Ta<sup>5+</sup>) [21]. Whilst the VBM still originates from the O2p orbitals, the presence of d0 cations in their highest oxidation states can insert unoccupied d orbitals to lower the conduction band potential. The bandgaps of InMO<sub>4</sub> have been gradually reduced from 3 eV (In<sub>2</sub>O<sub>3</sub>) to 2.6 eV, 2.5 eV, and 1.9 eV by using V<sup>5+</sup>, Nb<sup>5+</sup>, and Ta<sup>5+</sup>, respectively. Moreover, the VBM and CBM are all suitable for water-splitting half reactions.

Bismuth oxyhalides (BiOX, X = Cl, Br, and I) have also been studied as photocatalyst materials. The tetragonal matlockite BiOX consists of [X–Bi–O–

Bi–X] slices that are stacked along the c-axis through van der Waals interlayer interactions of halogen atoms, where the Bi centre is surrounded by four O and four halogen atoms. Due to the strong intralayer covalent bonding and the weak interlayer interaction, highly anisotropic mechanical, electrical, and optical properties make the BiOX a promising photocatalyst material [22]. The bandgap of BiOX is dependent on the identity of halogen atom (3.2 eV for BiOCl, 2.7 eV for BiOBr, and 1.8 eV for BiOI). Whilst the O2p and Xnp orbital states contribute to the VBM, the Bi6p orbital states construct the CBM of BiOX. The contribution of Xns orbital states increases as a result of the increase in the atomic number of halogen atoms, thus narrowing the bandgap.

BiOX can be synthesised by various wet chemical approaches (i.e. hydrothermal and solvothermal synthesis) with thermal annealing to crystallise the precursor. The microstructure, exposed facets (orientation), and oxygen and halogen vacancies can be easily adjusted by varying the synthesis parameters for fine-tuning the electronic structure thus alternating the photocatalytic performance. Taking BiOCl as example, calculations reveal that whilst  $O_2$  adsorbs on oxygen vacancies (OV) of (001) surface by combining with two nearest Bi atoms from the sublayer to form an end-on structure, it interacts with the OV of (010) surface by combining with two nearest Bi atoms in the outer layer and one next nearest Bi atom in sublayer, forming a complex bridge-on structure [20]. The O - O bond length on (001) and (101) surfaces is estimated to be 1.32 Å and 1.46 Å, which are close to that of  $O_2^{\bullet-}$  (1.26 Å) and  $O_2^{2-}$  (1.49 Å), respectively. It is therefore considered that the oxygen activation pathway is facetdependent on BiOCl. Figure 4.4c and d further demonstrate the facet effect of BiOCl on the oxygen activation process from density of states (DOS) calculations. Both pure BiOCl surfaces (top part of Fig. 4.4c and d) are clearly spin-paired with symmetric majority and minority states of Bi atoms; however, after OV generation and  $O_2$ adsorption, the spin states of the BiOCl surfaces are changed. Whilst the symmetric majority and minority states of Bi atoms at VBM break up to provide donor sites for  $O_2$ on (001) surface, the spin states of all (010) surface atom orbitals are reorganised and maintain symmetric majority and minority states (bottom part of Fig. 4.4c and d). This difference in DOS suggests magnetic moments of 0.81 µB and 0 for surface-adsorbed  $O_2$  on (001) and (010) surfaces, which match the electron configurations of  $O_2^{\bullet-}$  and  $O_2^{2-}$  very well, implying distinct  $O_2$  activation processes on different surfaces. Nevertheless, theoretical calculations also reveal that high-energy (001) facets require surface-adsorbed protons to stabilise the dangling bonds of surface oxygen atoms, whereas the low-energy (010) facets do not require such stabilisation. Therefore, the preparation of BiOCl materials with preferred exposed surface can be achieved by adjusting the pH during wet chemical synthesis.

#### 4.2.3 Other Transition Metal Oxides

Other transition metal oxides have also been investigated for photocatalysis applications. Iron oxides, nickel oxides, and copper oxides are among the most popular materials due to the abundance and low toxicity.

Among the known 16 types of iron oxides and hydroxides, hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>) is considered as a promising photocatalyst, especially as the photoanode material for solar water splitting. Hematite crystallises in the rhombohedral lattice system, characterised by a bandgap of  $\sim 2.2$  eV with a sufficient positive VBM (2.7 V vs. SHE), which is ideal for water oxidation ( $O_2$  evolution). Although a theoretical photon conversion efficiency of ~15 % is possible for hematite, its low charge carrier mobility  $(10^{-2} \text{ to } 10^{-1} \text{ cm}^2 \text{V}^{-1} \text{s}^{-1})$  and short hole mean free path (2–4 nm) lead to rapid recombination thus very low solar light-to-current conversion efficiency. Whilst doping may be helpful in improving the charge carrier mobility, nanostructuring by means of nanotubes and porous films can effectively reduce the diffusion length thus facilitating charge carriers moving from the generation sites to surface sites before being recombined. There are numerous reports about the synthesis of nanostructured hematite (i.e. hydrothermal synthesis and anodic oxidation), where in most cases a mixture of FeO, Fe<sub>2</sub>O<sub>3</sub>, and Fe<sub>3</sub>O<sub>4</sub> in different polymorphs coexists in the sample. Although the synthesis of high-quality pristine  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> is challenging, it is worth exploring from both fundamental and application aspects. A recent study on  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanotubes by anodic oxidation reveals that the purity of iron substrate significantly influences the composition of iron oxide layers (Fig. 4.5a). Whilst the thermally formed iron oxide plain layers exhibit identical composition from the XRD patterns (black curves) regardless of the iron substrate,



**Fig. 4.5** (a) XRD patterns of thermally annealed plain and nanoporous oxide layers on iron foils A (Fe-99.5%) and B (Fe-99.99%), +:  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>, #:  $\gamma$ -Fe<sub>3</sub>O<sub>4</sub> (Reprinted with permission from Ref. [23] Copyright 2014, Wiley VCH). (b) Comparison of leached Cu from Cu<sub>2</sub>O/RGO and Cu<sub>2</sub>O (Reprinted with permission from Ref. [24] Copyright 2014, Wiley VCH). (c) Proposed mechanisms of CO<sub>2</sub> photoreduction using Ni supported on silica alumina (Ni/SiO<sub>2</sub>·Al<sub>2</sub>O<sub>3</sub>) (Reprinted with permission from Ref. [25] Copyright 2014, American Chemical Society)

the composition of thermally treated nanotubes from anodisation depends on the purity of the substrate (red curves). The low-purity Fe substrate (A, 99.5 %) promotes the formation of  $\gamma$ -Fe<sub>3</sub>O<sub>4</sub>, whereas the high-purity Fe substrate (B, 99.99 %) inhibits the formation of  $\gamma$ -Fe<sub>3</sub>O<sub>4</sub> and facilitates the formation of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>. The disappearance of Fe diffraction peaks on substrate B also indicates a higher ratio of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> due to its relative large attenuation factor than that of  $\gamma$ -Fe<sub>3</sub>O<sub>4</sub>.

The reddish copper (I) oxide is also an attractive photocatalyst. The cubic structured Cu<sub>2</sub>O has a direct bandgap of  $\sim 2 \text{ eV}$  with a quite negative CBM (1.5 V vs. SHE), making it a very promising material for reduction half reactions in watersplitting and artificial synthesis.  $Cu_2O$  is capable of reducing  $CO_2$  to CO under visible light, which can be used for the Fischer–Tropsch synthesis to produce diesel. However, the stability of Cu<sub>2</sub>O in aqueous solution is a serious issue as the free enthalpies of oxidation and reduction for Cu<sub>2</sub>O lie within the bandgap. A simple method to improve the stability would be the creation of a thin layer (i.e. ZnO and TiO<sub>2</sub>) to avoid direct contact of Cu<sub>2</sub>O with water, but this may hinder the active sites thus reducing the performance. An alternative way to improve the stability but retain or even enhance the reactivity would be the formulation of a heterojunction that spatially separate the charge carriers. The reduced graphene oxide (RGO) exhibits excellent electronic properties and can thus be used to modify pristine Cu<sub>2</sub>O [24]. Figure 4.5b compares the leaching of Cu from pristine Cu<sub>2</sub>O and Cu<sub>2</sub>O/ RGO composite after CO<sub>2</sub> reduction for 3 h. Apparently the corrosion of Cu<sub>2</sub>O can be effectively quenched with the presence of RGO. Meanwhile, a 0.5 wt% RGO also improves the  $CO_2$  conversion rate by a factor of four. Nevertheless, since the Fermi level of RGO is only slightly more negative than the reduction potential of CO<sub>2</sub>, searching for alternative promoters that have more negative Fermi level to maintain the large driving force of Cu<sub>2</sub>O would be of great interest.

In contrast to the n-type semiconductors (i.e.  $TiO_2$  and  $CeO_2$ ) that oxidise CO into  $CO_2$  in the presence of water, it is worth noting that some of the p-type semiconductors (i.e. NiO,  $Co_3O_4$ ,  $Fe_3O_4$ ) exhibit potentials for photoreduction of CO to hydrocarbons (mainly methane) by using H<sub>2</sub> or H<sub>2</sub>O under visible light irradiations [26]. This can be considered as photocatalysed Fischer–Tropsch synthesis, and it may solve the energy crisis. Among these p-type semiconductors, NiO exhibits the best performance in CO reduction, and a quantum efficiency (QE) of 7.5 % can be reached under 450 nm irradiation when H<sub>2</sub> is employed. Although the cubic NiO has an optical bandgap of ~4 eV, it still can absorb some visible light due to the complicated d–d transition and oxygen vacancies from the nonstoichiometric NiO. It should also be mentioned that the formation of CH<sub>4</sub> exhibits an induction period, wherein graphitic carbon species are observed on the surface of p-type semiconductors. In contrast, carbon deposition has not been observed on n-type semiconductors, indicating the in situ formed surface graphitic carbon may play an important role as promoter.

Recent developments in Ni-based material have further extended its application in  $CO_2$  photoconversion with  $H_2$  under visible light irradiation. The starting photocatalyst, Ni supported on silica alumina (Ni/SiO<sub>2</sub>·Al<sub>2</sub>O<sub>3</sub>), achieves an impressive CO<sub>2</sub> conversion (3.7 mmol) of ~95 % with a CH<sub>4</sub> selectivity of ~97 % in 1 h [25]. Unfortunately the reaction mechanisms remain unclear. Since metallic Ni is highly unlikely to serve as a photocatalyst, the reduction of  $CO_2$  cannot be induced by the CB electrons. A hypothesis proposed by Sastre et al. suggests that  $CO_2$  conversion occurs via H<sub>2</sub> activation followed by  $CO_2$  activation, where the formation of Ni – H initiates the  $CO_2$  reduction rather than the CB electrons (Fig. 4.5c). The key process in this hypothesis is the formation of Ni–H phase via H<sup>-</sup> with Ni<sup>+</sup> or H<sup>+</sup> with Ni<sup>-</sup>; however, the Ni – H phase has not been observed yet. Another possible mechanism could be the formation of NiO in the beginning of the reaction. During irradiation, the visible and infrared light from the solar simulator, along with the exothermal  $CO_2$  reduction, can heat the catalyst surface up to 150 °C, which may facilitate the oxygen uptake of supported Ni nanoparticles (NPs) from the silicate substrate. Therefore, a careful examination of the support may help unravel the reaction mechanisms.

#### 4.3 Perovskites

Perovskite materials (ABX<sub>3</sub>) exhibit many fascinating properties from both theoretical and application perspectives, including ferroelectricity, superconductivity, charge ordering, and spin-dependent transport. The interplay of compositional, structural, optical, and transportation properties is commonly observed in this family, making them promising candidates as photocatalysts. Perovskites are characterised by a crystal structure similar to that of calcium titanium oxide (CaTiO<sub>3</sub>). Normally A and B sites are occupied by two cations with very different sizes ( $d_A > d_B$ ), whereas X (normally O) is an anion that bonds to both. In the ideal cubic-symmetry structure, the A and B cations are 12- and sixfold coordinated in bulk and surrounded by cuboctahedron and octahedron of anions, respectively.

Alkali metal tantalates (ATaO<sub>3</sub>, A = Li, Na, and K) have been employed as photocatalysts for water splitting since 2001 [27]. Varying the A site alkali cations from  $Li^+$  to  $K^+$  results in extension of light absorption (Fig. 4.6a) and red shifting of excitation and emission peaks (Fig. 4.6b). It is also worth noting that a reduction of the Stokes shift is observed following the increase of atomic number of the alkali number  $(13.6 \times 10^{-3} \text{ to } 10.3 \times 10^{-3} \text{ cm}^{-1})$ , indicating that a higher energy of the excited electrons can be preserved. The variation in optical properties of ATaO<sub>3</sub> can be explained by the increasing of Ta-O-Ta bond angle (Li-Na-K: 143°-163°-180°), which reduces the bandgap and facilitates the migration of excited electrons in the crystal. The narrowing of the bandgap arises from the lowering of CBM, as the O2p orbital states contribute to VBM of all ATaO<sub>3</sub>. The NaTaO<sub>3</sub> shows the optimum performance for overall water splitting with NiO as promoter, probably due to the modest bandgap and a properly aligned CBM that matches the electronic structure of NiO. However, the stability of NiO/NaTaO<sub>3</sub> in pure water remains a big issue due to the decomposition of NiO by UV light (270 nm). Further doping with La has been employed to improve stability and turned out to be very successful [4]. As shown in Fig. 4.6c, the diffraction peak of NaTaO<sub>3</sub> powders slightly shifts to



**Fig. 4.6** (a) Absorption spectra of ATaO<sub>3</sub>, (A = Li, Na, and K). (b) Excitation and emission spectra of ATaO<sub>3</sub> (Reprinted with permission from Ref. [27] Copyright 2001, American Chemical Society). (c) XRD patterns of La-doped NaTaO<sub>3</sub> (Reprinted with permission from Ref. [4] Copyright 2003, American Chemical Society). (d) Calculated band-edge potentials of doped and co-doped NaTaO<sub>3</sub> (Reprinted with permission from Ref. [28] Copyright 2014, The Royal Society of Chemistry. Published by the PCCP Owner Societies)

lower angles as the concentration of doped La increases. Since the ionic radii of either 12-coordinated La<sup>3+</sup> (1.36 Å) or six-coordinated La<sup>3+</sup> (1.032 Å) is remarkably larger than that of Ta<sup>5+</sup> (0.64 Å), they are more likely to be doped into the lattice of NaTaO<sub>3</sub> by substitution of Na<sup>+</sup> (1.39 Å) on A sites. The doping of La results in stabilisation and nanosizing of the supported NiO, which leads to a significant enhancement in photocatalytic performance.

The main drawback of tantalate perovskites is their large bandgaps (~4.2 eV). Figure 4.5d demonstrates the calculated bandgap narrowing by various dopants. Dopants can be introduced into A, B, and X sites or co-doped at two different sites, which provides the perovskites huge opportunities for fine-tuning the band structures. Whilst introducing N and I on X sites to partially substitute O results in VBM shifting upward, doping with C on X sites significantly reduces both the potential of CBM and VBM due to the C2p–C2p excitation. However, photoexcited electrons are highly localised on the C sites and thus are not beneficial for photocatalytic reactions. Furthermore, passivated co-doping has also been proved to be an efficient strategy to narrow the bandgap whilst maintaining the ionic charge balance in a lattice. Among them, the co-doping of N–W, La–C, Cu–Sn, and Pb–I can narrow the bandgap whilst reasonable photocatalytic performances can still be obtained.

Take La–C co-doping as an example, La and C substitutes Na and O, respectively, which nullifies the dopant-induced charge imbalance. Mid-gap states that spread over 1.5 eV can be formed due to the introduction of La and C, corresponding to the bandgap narrowing.

Strontium titanates and some cobalt-based perovskites have also been used as photocatalysts for various reactions including partial oxidation (i.e. propene and benzene) and  $CO_2$  reduction. They show relatively good photocatalytic performances under UV irradiation, but reducing the bandgaps is needed for applications. Nevertheless, the perovskite sensitizers, methylammonium lead trihalide (CH<sub>3</sub>NH<sub>3</sub>PbX<sub>3</sub>, X: I<sup>-</sup>, Br<sup>-</sup>, Cl<sup>-</sup>), which are used in solar cells could also be a promising candidate for certain photochemical reactions. It has tunable optical bandgaps between 2.3 eV and 1.6 eV depending on halide content, which ideally absorbs most of the visible light in solar spectrum. However, the methylammonium lead trihalides decompose within presence of water, which should be taken into consideration.

## 4.4 Sulphides and Nitrides

## 4.4.1 Sulphides

Metal sulphides (i.e. CdS, SnS<sub>2</sub>, and MoS<sub>2</sub>) usually show light absorption in visible light regions, allowing them to work as promising sensitizers in solar cells or visible light-driven photocatalysts. Among these candidates, cadmium sulphide-based materials have been extensively investigated due to their easy production and good thermal stability. It has two polymorphs, the stable hexagonal wurtzite structure and the less stable cubic zincblende structure, wherein both polymorphs Cd and S atoms have a coordination number of four. The pristine CdS is an n-type semiconductor with a direct bandgap of ~2.4 eV and CBM of -0.1 V vs. SHE, which makes it a promising photocatalyst for visible light-induced water splitting. The nanoporous CdS indeed showed excellent photocatalytic H<sub>2</sub> evolution performance ( $OE \approx 60 \%$ ) under visible light radiation conditions in the presence of Pt promoter and hole scavengers, as shown in Fig. 4.7a. Further enhancement of the QE to ~93 % can be achieved by using a combination of Pt-PdS promoters [32]. However, CdS tends to undergo photodecomposition to form toxic  $Cd^{2+}$ and S without the presence of proper hole scavengers (S<sup>2-</sup> and SO<sub>3</sub><sup>2-</sup>), which limits the application of CdS [33]. Although great efforts have been made to stabilise CdS (e.g. structure engineering by coating with thin layer of  $TiO_2$ ), the catalytic performance dropped significantly.

To overcome the stability and environmental issue, sulphides with nontoxic cations have been explored. ZnS has been considered as one of the candidates. It also has two polymorphs (cubic zincblende and hexagonal wurtzite) where both Zn and S are 4-coordinated. However, the bandgaps of either polymorph are too large



**Fig. 4.7** (a) CdS nanosheets with Pt NPs on the surface (Reprinted with permission from Ref. [29] Copyright 2008, American Chemical Society). (b) SEM image of  $ZnIn_2S_4$  prepared by hydrothermal synthesis. (c) Diffuse reflectance spectra of  $Zn_mIn_2S_{m+3}$  samples (Reprinted with permission from Ref. [30] Copyright 2010, Elsevier). (d) Scheme of band structure engineering of ZnS (Reprinted with permission from Ref. [30,31] Copyright 2010, Elsevier)

to absorb visible light (3.6 eV for cubic ZnS and 3.9 eV for hexagonal ZnS), which require doping of the pristine ZnS to reduce the bandgap. Figure 4.7b and c shows the SEM image of In-doped ZnS (ZnIn<sub>2</sub>S<sub>4</sub>) and corresponding absorption spectra. The as-synthesised  $Zn_mIn_2S_{3+m}$  materials generally show a flower-like microstructure with large surface area, and increasing the In concentration extends the visible light absorption range. DFT calculations reveal that whilst the VB of  $Zn_mIn_2S_{3+m}$  should be composed of hybrid orbitals of S3p and Zn3d, the In5s5p orbitals with Zn4s4p orbitals contribute to the formation of the CB of  $Zn_mIn_2S_{3+m}$  (Fig. 4.7d, left). Thus, the bandgap and the potentials of CB and VB can be tuned by varying the m value. Similarly, the addition of Cu and/or Cd can also reduce the bandgap significantly, as presented in Fig. 4.7d on the right-hand side. Whilst the hybridising of Zn4s4p with Cd5s5p orbitals reduces the CBM of ZnS, the partially filled Cu3d orbitals level up the VBM. Similar strategies have been applied to tune the electronic structures of AgInS<sub>2</sub>, CuInS<sub>2</sub>, and similar semiconductors to achieve visible light responses whilst maintaining good stability.

Recently,  $SnS_2$  has attracted great attention. A pristine  $SnS_2$  is a  $CdI_2$ -type layered semiconductor with a bandgap of ~2.3 eV, which is slightly smaller than that of CdS. The layered structure consists of an S–Sn–S triple layer, which is held together via van der Waals interactions. It is chemically stable in acid and neutral aqueous solution, thus can be a promising visible light-driven photocatalyst [34]. It has been applied for photocatalytic reduction of  $Cr^{6+}$  to  $Cr^{3+}$ , and the crystallite size of  $SnS_2$  influences the reactivity drastically [35]. However, the deposition of Cr

 $(OH)_3$  on the surface of  $SnS_2$  causes the reduction of activity, thus making it unsuitable for recycling.

In order to harvest more visible light, transmittance and scattering of the light through the bulk and at grain boundaries need to be avoided. This calls for the synthesis of  $SnS_2$  with minimum thickness, which has been achieved by a scalable liquid exfoliation strategy, as demonstrated in Fig. 4.8a. The freestanding, highly oriented  $SnS_2$  thin films (Fig. 4.8b and c) display a height of ~6.1 Å as determined



**Fig. 4.8** (a) Scheme of exfoliation of hexagonal-layered  $SnS_2$  into (001)-oriented freestanding  $SnS_2$  single layers. (b) SEM image and (c) XRD patterns of the oriented  $SnS_2$  single layers (Reprinted with permission from Ref. [36] Copyright 2012, John Wiley and Sons). (d) Strain effects on calculated band-edge positions of single-layer GaX and InX (Reprinted with permission from Ref. [37] Copyright 2013, American Chemical Society)

by atomic force microscopy (AFM), which agrees with theoretical thickness of a single-layered SnS<sub>2</sub> slab along [001] direction (5.91 Å). X-ray absorption fine structure (XAFS) analysis coupled with first-principles calculations reveals that elongation and structural disorder of surface atoms are responsible for the structural stability and increased density of states at the valence band edge. Moreover, the charge carrier density of single-layered SnS<sub>2</sub> shows a 40 times enhancement compared to that of bulk SnS<sub>2</sub> according to Mott–Schottky equation, therefore yielding a significant enhancement in incident photon-to-electron conversion efficiency (IPCE) and very stable current density during long-term test. However, one should bear in mind that the CBM of SnS<sub>2</sub> is not ideally aligned for water splitting (~0.7 V vs. SHE), which requires additional bias to transfer e<sup>-</sup> to reduce H<sup>+</sup>. Besides, the variation in the composition of SnS<sub>x</sub> (x = 1, 1.5, 2) will also influence the electronic structure drastically [34]. Therefore, a careful control during synthesis is of great importance in fine-tuning the bandgap, band positions, charge carrier mobility, and density to achieve high photoactivity.

Similarly, recent development in single layer structured group III monochalcogenide (MX, M = Ga and In, X = S, Se, and, Te) materials has also attracted great attention in photocatalysis applications. These monochalcogenides synthesised via the mechanical cleavage or solvent exfoliation method exhibit bandgaps and band positions that are suitable for water splitting and CO<sub>2</sub> reduction under visible light irradiation [38]. Interestingly, DFT calculation reveals that the bandgaps and band positions can be further tuned by biaxial strain, as demonstrated in Fig. 4.8d. Whilst a compressive strain leads to a blue shift of the bandgap that increases driving forces for redox reactions, tensile force red shifts the bandgaps that extend the absorption of visible light. Nevertheless, the single-layered MXs are characterised by high solvation enthalpies, suggesting their stability in aqueous solution may be potentially suitable for photocatalysis.

#### 4.4.2 Nitrides

Although metal sulphides show promising visible light absorption properties, their inherent instability and incapability in overall water splitting remain big issues for application. Therefore, metal nitrides with d10 configurations (i.e.  $Ge_3N_4$  and GaN) have been investigated to overcome the disadvantages. The phase pure  $\beta$ -Ge<sub>3</sub>N<sub>4</sub> has been synthesised via nitridation of GeO<sub>2</sub> under 1 bar NH<sub>3</sub> flow at 1153 K for 10 h, as shown in Fig. 4.9a. Figure 4.9b demonstrates the energy band dispersion and DOS of  $\beta$ -Ge<sub>3</sub>N<sub>4</sub> investigated by DFT calculations. Whilst the VBM consists of N2p orbitals, the CBM is composed of hybridised Ge4s4p orbitals. The calculated bandgap of  $\beta$ -Ge<sub>3</sub>N<sub>4</sub> is estimated to be 2.1 eV, which is smaller than that of the experimental result (3.8 eV). Unlike in metal oxides where the oxidation of water occurs from photoexcited holes in VB consisting of O2p orbitals, the N2p orbitals of  $\beta$ -Ge<sub>3</sub>N<sub>4</sub> are responsible for generating holes to oxidise water. It should be noted



**Fig. 4.9** RuO<sub>2</sub>-loaded β-Ge<sub>3</sub>N<sub>4</sub> photocatalyst: (**a**) SEM image, (**b**) electronic structure, and (**c**) overall water splitting (Reprinted with permission from Ref. [39] Copyright 2005, American Chemical Society). GaN–ZnO solid solution: (**d**) XES and XAS of ZnO–GaN samples with different compositions; (**e**) band transition scheme of Ga<sub>0.58</sub>Zn<sub>0.42</sub>N<sub>0.58</sub>O<sub>0.42</sub> (Reprinted with permission from Ref. [40] Copyright 2012, American Chemical Society). (**f**) Overall water splitting under UV (*top*) and visible (*bottom*) light irradiation using RuO<sub>2</sub>-loaded ZnO–GaN (Reprinted with permission from Ref. [41] Copyright 2005, American Chemical Society)

that the presence of RuO<sub>2</sub> NPs as promoter is crucial for successful photocatalytic water splitting. Whilst pristine  $\beta$ -Ge<sub>3</sub>N<sub>4</sub> shows a negligible performance, the 1 wt% RuO<sub>2</sub> supported on  $\beta$ -Ge<sub>3</sub>N<sub>4</sub> yields a maximum QE of ~9 % at 300 nm within the first two hours. However, the performance dropped markedly within 24 h (Fig. 4.9c), possibly due to the RuO<sub>2</sub>- $\beta$ -Ge<sub>3</sub>N<sub>4</sub> interface change that hinders the interfacial charge transfer process.

In order to extend light absorption to visible range, the wurtzite-structured GaN ( $E_g \sim 3.4 \text{ eV}$ ) has been incorporated with wurtzite-structured ZnO ( $E_g \sim 3.2 \text{ eV}$ ) to form a solid solution  $Ga_{1-x}Zn_xN_{1-x}O_x$  (GAZN) that can approximate desired zinc oxynitride materials. The bandgap of such solid solutions can thus be tuned by varying the Ga:Zn ratio, which has been confirmed by UV–vis diffuse reflectance spectrometry [41]. X-ray emission spectroscopy (XES) and x-ray absorption spectroscopy (XAS) of N and O reveal the electronic structure change of GaN–ZnO with different compositions, as shown in Fig. 4.9d. Both O and N CB edges are repelled to lower energies as the ZnO concentration increases, and the N CB states offset below the O CB states by 0.2 eV. The CB states of ZnO are repelled to higher orbital energy due to GaN, which shows a greater spectral weight at lower orbital

energy and a higher concentration in the solid solution, thus resulting a 0.4 eV shift downward in CB onset energy from low to high Zn concentration (x = 0.06 to 0.42). Meanwhile, VBM remains unchanged owing to the greater energy separation of occupied N and O 2p bands. The highest-/lowest-energy local maximum of the second derivative of XES and XAS spectra can be used to estimate the energy band onset, where a 0.4 eV reduction in the bandgap of GAZN42 (x = 0.42) has been observed due to the reduction of conduction band onset energy. Based on XAS and XES results, reduced bandgaps of 2.6 and 2.8 eV for GaN and ZnO in the solid solution can be derived, which arise from the decrease in CB onset energy. Figure 4.9e summarises the band structure of the GaN–ZnO system, where CBM of GaN and ZnO are both shifted from the isolated precursor materials and is connected on the phase boundary. The band edges of GaN are forced to lower energy within an expanded ZnO gap caused by state repulsion.

The dual-bandgap GaN–ZnO shows quite stable photoactivity for water splitting under UV or visible light irradiation when deposited with RuO<sub>2</sub> as cocatalyst (Fig. 4.9f). Although the performance under visible light radiation is not satisfactory, the investigation into other methods to better control the electronic structure of GaN–ZnO could potentially be the solution. Furthermore, since such a multiple bandgap architecture (a small energy gap contained within a large one) is supposed to absorb and retain photons with higher wavelengths more efficiently, as well as increasing the available photocurrent within a semiconductor/electrolyte cell, it is theoretically possible to design VB and CB edges optimised for a range of redox reactions whilst providing desired bandgaps.

Metal nitrides with d0 electronic configuration (e.g. Ta<sub>3</sub>N<sub>5</sub>) have been also investigated. These stable photocatalyst show visible light absorption up to 650 nm and can easily be synthesised by nitriding the corresponding metal oxides under NH<sub>3</sub> flow. In contrast to CdS, these (oxy)nitrides are not toxic. DFT calculations reveal that the CBM and VBM of these materials consist of empty d orbitals of the metal and N2p orbitals, respectively, which is similar to those of d0 transition metal oxide (e.g. TiO<sub>2</sub>). Figure 4.10a and b representatively shows the light absorption feature and band positions of Ta-related (oxy)nitrides. Gradually increasing the concentration of N extends the light absorption of the materials due to the upper shift of VBM owing to the N2p orbitals, and the complete replacement of O by N (Ta<sub>3</sub>N<sub>5</sub>) results in a bandgap of 2.1 eV with CBM and VBM positioned suitable for water splitting. Additionally, the addition of alkaline earth metal (Ca, Sr, and Ba) can also extend the light absorption range. Due to their promising bandgaps and band positions for visible light-induced water splitting,  $Ta_3N_5$ -based photocatalysts with various microstructures (i.e. nanoporous nonwoven cloths, mesoporous microspheres, and nanorod arrays) have been synthesised by different techniques (Fig. 4.10c-e). Both hydrogen and oxygen evolution half reactions can be realised by loading proper promoters. Unfortunately, overall water splitting has not been achieved yet. Further investigation into the kinetics of photogenerated radicals might be essential to uncover this mystery.

The recent development of nonmetal nitride, namely, the graphitic carbon nitride  $(g-C_3N_4)$  materials, has attracted huge attention due to their tunable electronic



**Fig. 4.10** (a) Absorption spectra of  $Ta_2O_5$ , TaON,  $Ta_3N_5$ , and related oxynitride (Reprinted with permission from Ref. [42] Copyright 2004, Elsevier). (b) Schematic band structures of  $Ta_2O_5$ , TaON, and  $Ta_3N_5$  (Reprinted with permission from Ref. [43] Copyright 2003, American Chemical Society). (c–e) Synthesised  $Ta_3N_5$  with different microstructures: nanofibre-fabricated cloth, mesoporous microspheres, and nanorods with NiFe promoter (Reprinted with permission from Ref. [44–46] Copyright 2014, 2013, and 2015, Nature Publishing Group, John Wiley and Sons, and American Chemical Society, respectively)

properties and chemical and thermal stability. The g-C<sub>3</sub>N<sub>4</sub> can easily be synthesised by the polymerisation of cyanamide, dicyandiamide, or melamine, where melon (also a highly ordered polymeric C<sub>3</sub>N<sub>4</sub> with pendant amino groups) will be initially formed and then comes out the less defective g-C<sub>3</sub>N<sub>4</sub> species following further a condensation process. Figure 4.11a shows the schematic diagram of a perfect g-C<sub>3</sub>N<sub>4</sub> species, which are formed from the tri-s-triazine (melem, C<sub>6</sub>N<sub>7</sub>) building blocks that are connected by planar amino groups. DFT calculation reveals that the HOMO–LUMO gaps of polymeric melon and the fully condensed g-C<sub>3</sub>N<sub>4</sub> are ~2.6 eV (Fig. 4.11b) and 2.1 eV, which is slightly lower than that of the experimental results derived from absorption spectra (2.6–2.8 eV). Whilst the reduction potential of H<sup>+</sup> is positioned almost in the centre of the bandgap, the oxidation potential of water is located slightly above the HOMO, indicating a relatively small driving force for water oxidation. Since the HOMO and LUMO levels of melem originated from Np<sub>z</sub> and Cp<sub>z</sub> orbitals, respectively, the VBM and CBM of the g-C<sub>3</sub>N<sub>4</sub> should also consist of Np<sub>z</sub> and Cp<sub>z</sub> orbitals as well.

Once the g-C<sub>3</sub>N<sub>4</sub> photocatalyst has been loaded with appropriate promoters (i.e. Pt and Fe), it is capable of producing H<sub>2</sub> (in the presence of scavengers) and performing selective oxidation of benzene to phenol (in the presence of H<sub>2</sub>O<sub>2</sub>) under visible light radiation, as shown in Fig. 4.11c and d. The reactivity of both reactions decreases following the same tendency of light absorption decreasing. It



**Fig. 4.11** (a) Structure of a perfect g-C<sub>3</sub>N<sub>4</sub> sheet constructed from melem units. (b) Electronic structure of g-C<sub>3</sub>N<sub>4</sub> (melon). (c) H<sub>2</sub> evolution rate as a function of radiation wavelength (Reprinted with permission from Ref. [47] Copyright 2008, Nature Publishing Group). (d) *Left*: TEM images of Fe-g-C<sub>3</sub>N<sub>4</sub>/SBA-15 and elemental mapping. *Right*: wavelength dependence of phenol production rate on Fe-g-C<sub>3</sub>N<sub>4</sub>/SBA-15 (Reprinted with permission from Ref. [48] Copyright 2009, American Chemical Society). (e) H<sub>2</sub> evolution rates as a function of N sp<sup>2</sup>/sp<sup>3</sup> ratio (Reprinted with permission from Ref. [49] Copyright 2014, John Wiley and Sons)

has been shown that overall water splitting can also be achieved by using C quantum dots as promoter. This will be discussed in detail in Sect. 4.7.2.

It should be also noted that the electronic properties of  $g-C_3N_4$  can be tuned by adjusting the in-plane organisation of melem and the compression of aromatic planes, which may further improve the catalytic performance of  $g-C_3N_4$ , as exemplarily demonstrated in Fig. 4.11e. By employing different precursors (dicyandiamide, urea, and thiourea) that contain elements other than C and N, the ratio of sp2 C–N–C bonds to sp3 H–N–[C]<sub>3</sub> and C–NH<sub>x</sub> (amino functional groups) bonds can be adjusted. Whilst the sp2-bonded N atoms form the heptazine ring and bandgap structure, the hybridised sp3 N atoms and amino groups are responsible for the bulk and surface properties. It is therefore apparent that a lower proton concentration (higher sp2-bonded N) leads to a better performance in H<sub>2</sub> evolution. Since the use of an oxygen-containing precursor (urea) facilitates the removal of H in the form of formaldehyde under annealing conditions, control of the protonation and polymerisation can be realised, thus leading to a tunable activity. DFT calculations reveal that the CBM of deprotonated  $g-C_3N_4$  shows a higher reduction potential than that of protonated  $g-C_3N_4$ , thus exhibiting a larger driving force for H<sub>2</sub> evolution reaction. However, one should also bear in mind that such a shift of CBM will also result in a negative shift of the VBM, which reduces the driving force for oxygen evolution reaction that is not beneficial for overall water splitting.

#### 4.5 Zeolites and MOFs

## 4.5.1 Zeolites

Zeolites have been extensively used in thermal catalysis due to their large surface area, high porosity, and the existence of acidic and basic sites. Their large surface area and pore volumes, excellent chemical and thermal stability, transparency (above 240 nm), and tunable electronic properties make zeolites good candidates as support for photocatalysts. The utilisation of zeolites in photocatalysis can be dated back to the pioneer work from Anpo et al., where Cu<sup>+</sup> was anchored within cavities of ZSM-5 by ion-exchange method followed by a thermal reduction of  $Cu^{2+}$  in vacuum for photocatalytic NO reduction [50]. The porous structure of zeolite, which serves as the support, facilitates the diffusion of reactants within the pore and prolongs the interaction of reactants with anchored photocatalysts. Similarly, other transition metal ions (i.e. Ti, V, Cr) can also be anchored within the zeolite cavities via ion exchange. For example, TiO<sub>2</sub> can be incorporated into Y-zeolite for selective CO<sub>2</sub> photoreduction. The lifetime of the excited states of TiO<sub>2</sub>-zeolite has been determined to be orders longer than that of bulk TiO<sub>2</sub> NPs  $(54 \,\mu s \text{ vs. nanosecond order})$ , which is responsible for the significantly enhanced reactivity. It is also observed that selective reduction of CO<sub>2</sub> to CH<sub>4</sub> or CH<sub>3</sub>OH can be realised by using different synthesis methods or loading with Pt promoter; however, the reaction mechanisms are not clear yet. Nevertheless, the photocatalysts have to be extremely small to be able to disperse within the porous structure. In the case of inorganic semiconductors, such small sizes lead to a quantum effect that causes blue shift of the bandgap, which is not ideal for the absorption of visible light.

Deposition of inorganic semiconductors on the surface of zeolite offers an alternative approach for incorporating the two parts. Such a strategy allows for the immobilisation of photocatalysts with different functions on both sides of the zeolite membrane for redox half reactions (i.e. water splitting and CO<sub>2</sub> reduction). Figure 4.12a presents the HRTEM image of platelike  $\beta$ -Co(OH)<sub>2</sub> supported on zeolite Y surface prepared by ion-exchange method [51]. With a d-spacing of 0.465 nm, the as-prepared Co species can be assigned to the [100]-oriented  $\beta$ -Co (OH)<sub>2</sub>. Annealing of the as-synthesised  $\beta$ -Co(OH)<sub>2</sub>/zeolite Y in air (>125 °C) leads to the formation of spinel Co<sub>3</sub>O<sub>4</sub>. All Co species supported on zeolite show activities for photocatalytic water oxidation using Ru(bpy)<sub>3</sub><sup>2+</sup> as sensitiser and Na<sub>2</sub>S<sub>2</sub>O<sub>8</sub> as electron acceptor, with  $\beta$ -Co(OH)<sub>2</sub> yielding much better performance compared to that of Co<sub>3</sub>O<sub>4</sub>. However, the reusability of  $\beta$ -Co(OH)<sub>2</sub>/zeolite Y is not satisfactory, as the performance of recovered catalyst is reduced significantly



Fig. 4.12 (a) HRTEM image of as-prepared  $\beta$ -Co(OH)<sub>2</sub> supported on zeolite Y. (b) Photocatalytic O<sub>2</sub> evolution using as-prepared and recycled  $\beta$ -Co(OH)<sub>2</sub>/zeolite Y. (c) Raman spectrum of the reused  $\beta$ -Co(OH)<sub>2</sub>/zeolite Y (Reprinted with permission from Ref. [51] Copyright 2014, American Chemical Society). (d) TEM images of TS1 with 1, 5, 10, and 20 wt% of graphene (Reprinted with permission from Ref. [52] Copyright 2011, The Royal Society of Chemistry). (e) Scheme of the *ene* reaction and cycloaddition reaction

(Fig. 4.12b). Raman spectroscopy reveals that the surface of  $\beta$ -Co(OH)<sub>2</sub> undergoes phase transformation to form Co<sub>3</sub>O<sub>4</sub> (194 and 694 cm<sup>-1</sup> peaks) during irradiation, which is responsible for the drop of photocatalytic performance (Fig. 4.12c).

One disadvantage of zeolite supports is that the aluminosilicates do not absorb light in the UV-vis region; thus, they are photochemically inactive. This can be solved by incorporation of heteroatoms (normally transition metals) into the framework (i.e. titanosilicate TS-1 and EST-10 and vanadium silicate VS-2). In the case of TS-1, an absorption band at 225 nm has been observed, corresponding to the ligand-to-metal (–O–Ti≡) electron transfer at isolated Ti atoms. These zeolites show excellent photoreactivity in the decomposition of organic pollutants, CO<sub>2</sub> and NO reduction, and metathesis of propene. It is also possible to further improve the catalytic performance by hybridising photoreactive zeolites with graphene using a microwave-assisted solvothermal technique, as demonstrated in Fig. 4.12d. Note that the addition of graphene impacts the morphology of TS-1 remarkably. Whilst pristing TS-1 exhibits a hexagonal prism shape with a crystallite size of  $\sim 300$  nm, a gradual increase in the addition of graphene reshapes the TS-1 into ellipsoidal particles (<1 wt%), rectangular plates (1–5 wt%), and finally to spherical NPs with a crystallite size of ~10 nm (>10 wt%). Such a drastic change in size results in enhanced charge transfer kinetics, thus promoting photocatalytic reactions compared to that of carbon nanotube-modified TS-1.

Although photoactive zeolites show some enhanced intrinsic performance compared to that of the bulk materials, the negative blue shift that reduces light absorption in the UV region limits their application. Therefore, harvesting light with organic sensitizers anchored within the porous structure has attracted great attention. For example, dye (e.g. eosin Y)-sensitised Ti-MCM-41 zeolite along with Pt promoters has been reported as efficient photocatalysts for hydrogen evolution reaction under visible light irradiation [53]. Another important application of zeolite is improving the selectivity of singlet oxygen photocatalyst. Singlet oxygen  $({}^{1}O_{2})$  reacts rapidly with alkenes and aromatic hydrocarbons via the *ene* and cycloaddition reactions (see Fig. 4.12e and f); however, such reactions normally have poor selectivity due to the highly reactive properties of  ${}^{1}O_{2}$ . The encapsulation of a  ${}^{1}O_{2}$  photocatalyst (i.e. thionin, methylene blue, and methylene green) into zeolite X and Y not only prevents aggregation and self-quenching of these dyes that enhance the quantum yield of <sup>1</sup>O<sub>2</sub> but, more importantly, improves the regioselectivity of ene and cycloaddition reactions. The regioselectivity has been rationalised as the following: alkene first forms a complex with the alkali metal ions in the zeolite super-cage, forcing the allylic methyl groups to occupy the face of the olefin that is approached by <sup>1</sup>O<sub>2</sub>. The alkali ions shift slightly to stabilise the perepoxide intermediate that is formed via <sup>1</sup>O<sub>2</sub> reaction with alkene. Therefore, the regioselectivity originates from the steric interactions of these alkali metal ion perepoxides that govern the population of each diastereomer [54]. More details on anchored systems will be discussed in Sect. 4.6.

## 4.5.2 Metal–Organic Frameworks (MOFs)

MOFs are a class of crystallites that have tunable dimensions and porous structures. MOFs are built from organic linkers and metal ions or clusters, characterised by a high surface area, tunable pore size, and porosity. In contrast to zeolites that are limited by the fixed tetrahedral coordination of Si/Al and the two-coordinated oxide linkers, MOFs exhibit more diversity in coordination geometries, polytopic linkers, and ancillary ligands, making them useful in driving various catalytic reactions (i.e. Lewis acid/base reactions and asymmetric reactions). Furthermore, MOFs are typically synthesised under mild conditions, which allows for the incorporation of delicate functional groups into the framework. Theoretical calculations and optical measurements reveal that MOFs have bandgaps between 1.0 and 5.5 eV, indicating possible applications in photocatalysis (i.e.  $H_2$  evolution,  $CO_2$  reduction, water oxidation, and organic synthesise) [55].

The photophysical property investigation of MOF-5 by Alvaro et al. initiated the study of MOFs as photocatalysts [56]. MOF-5 can emit light with an emission maximum at 540 nm under excitation of 350 nm. Whilst the localised excited electrons have a lifetime of ~5  $\mu$ s, the delocalised excited electrons have a lifetime of ~30  $\mu$ s. By investigating the transient absorption spectra of the moiety (sodium terephthalate) with additional Zn<sup>2+</sup> in solution, it is revealed that a ligand-to-metal



**Fig. 4.13** (a) Photophysical processes that occur after irradiation of MOF-5 (Reprinted with permission from Ref. [56] Copyright 2007, John Wiley and Sons). (b) Diffuse reflectance spectra of MIL-125(Ti) (*gray*), NH<sub>2</sub>-MIL-125(Ti) (*orange*), and MR-MIL-125(Ti) (*red*) (Reprinted with permission from Ref. [57] Copyright 2013, The Royal Society of Chemistry). (c) Crystal structure of the Zn – BCIP1 framework (*top*) and the simulated structure of Zn – PY11 from thermolytic expulsion of the Boc moieties (*bottom*). The *cyan*, *red*, *blue*, *gray*, and *green* balls represent Zn, O, N, and C atoms and Boc moiety, respectively (Reprinted with permission from Ref. [58] Copyright 2012, American Chemical Society)

charge transfer (LMCT) occurs, which is responsible for the prolonged lifetime of delocalised electrons in the moiety- $Zn^{2+}$  solution. Since MOF-5 can be considered as a periodic repetition of  $Zn_4O$  clusters in which each  $Zn^{2+}$  is coordinated to the O atoms of the moiety terephthalate, as shown in Fig. 4.13a, a ligand-to-cluster charge transfer (LCCT) process within the framework is proposed.

MOF-5 has a bandgap of ~3.4 eV with a CBM of 0.2 V vs. SHE, which is chemically stable under UV irradiation. With the intension of extending light absorption into visible region and adjusting the CBM position, NH<sub>2</sub>-Uio-66(Zr), a Zr-containing MOF has been prepared for CO<sub>2</sub> reduction under visible light irradiation [59]. Whilst the CBM is governed by the redox potential of  $Zr^{4+}/Zr^{3+}$  that is more negative than that of  $Ti^{4+}/Ti^{3+}$ , the visible light absorption originates from the 2-aminoterephthalate (ATA) ligand that substitutes the benzenedicarboxylate (BDC). More recently, a significant extension of light absorption of the Ti-containing MOF [MIL-125(Ti)] to visible region has been realised via post-synthetic modification (PSM) using antenna-like moieties, as shown in Fig. 4.13b [57]. The final visible light-active MOF, MR-MIL-125(Ti) (red curve in Fig. 4.13b), has been prepared by NH<sub>2</sub>-MIL-125(Ti) (orange curve in Fig. 4.13b) reacting with diazonium salt followed by reacting with diethylaniline. Owing to the enhanced light absorption, the MR-MIL-125(Ti) shows impressive performance in selective photooxidation of benzyl alcohol under visible light radiation.

Nevertheless, photoactive MOFs also show unique photocatalytic properties that other materials cannot compete with, especially in organic synthesis applications. MOFs create the opportunity to combine photocatalyst with organocatalyst. One example is the chiral MOF, namely, Zn-PYI1, which exhibits high selectivity for photocatalytic asymmetric  $\alpha$ -alkylation of aldehydes, as demonstrated in Fig. 4.13c. The Zn-PYI1 has also been synthesised via a PSM process of the parent MOF Zn-BCIP1 (top of Fig. 4.13c), which has been synthesised via solvothermal reaction from L-*N-tert*-butoxycarbonyl-2-(imidazole)-1-pyrrolidine (L-BCIP) [58]. The key point of the PSM process is the removal of the protective *tert*-butoxycarbonyl (Boc) moiety to expose active sites, which are likely to be the N – H of pyrrolidine of the L-BCIP molecules that is located within the channels according to dye adsorption test. This has been realised by microwave irradiation in dry *N*,*N*-dimethyl-formamide solution. The activated Zn-PYI1 shows a high reaction efficiency (74 % in yield) and excellent enantioselectivity (92 % ee) in photocatalytic  $\alpha$ -alkylation of aliphatic aldehydes compared to that of other MOFs.

## 4.6 Anchored Homogeneous–Heterogeneous Systems

The most well-known homogeneous systems for light-driven reactions are photosynthesis and photo-Fenton reductions. Whilst in photosynthesis chlorophylls and carotenoids serve as photosensitizers to oxidise water and reduce  $CO_2$ ,  $Fe^{2+}$  and  $Fe^{3+}$  ions are used as photosensitizers and catalysts to decompose H<sub>2</sub>O<sub>2</sub> and H<sub>2</sub>O to form hydroxyl radicals for water detoxification. For homogeneous photocatalysts, every single catalytic entity acts as a single active site, which makes them intrinsically more active and selective compared to traditional heterogeneous photocatalysts. However, their disadvantages are also obvious compared to their counterparts. The syntheses of homogeneous photocatalysts are normally costly due to the complexity of the structure and utilisation of expensive precursors; most homogeneous photocatalyst systems normally suffer from short lifetimes due to the decomposition of the light-absorbing molecules; besides, since the catalyst and products are mixed in the same phase, separation and reuse of the homogeneous photocatalyst have to be considered. Therefore, anchoring a homogeneous catalyst to heterogeneous materials may provide a solution to prolong the lifetime and facilitate the recycling of the molecular catalyst.

The anchored systems can be divided into three categories depending on the functionality of the molecule. In type I, the molecule only serves as a photosensitizer, as shown in Fig. 4.14a. The role of the sensitizer molecule is exactly the same



**Fig. 4.14** Anchored homogeneous–heterogeneous systems. (a) Ir(III) complex as photosensitiser anchored on  $MoS_2$  NPs (Reprinted with permission from Ref. [60] Copyright 2014, Nature Publishing Group). (b) Fe complex as catalyst anchored on CdSe QDs (Reprinted with permission from Ref. [61] Copyright 2015, John Wiley and Sons). (c) Core-shell CdSe–ZnS QDs and Au/Pt promoter anchored on TiO<sub>2</sub> surface by organic linkers (Reprinted with permission from Ref. [62] Copyright 2015, The Royal Society of Chemistry)

as that of dyes in solar cells, where the sensitizer absorbs photons and gets excited. Then, electrons are injected from the sensitizer to the CB of the semiconductor  $(MoS_2 here)$  for reduction reactions. This indicates that most of the dyes for dye-sensitised solar cells (DSSCs) can be used for this purpose with proper modification. However, such immobilisation process should not modify the intrinsic coordination and catalytic properties of the dyes. Meanwhile, sacrificial reducing agents (SRs) will donate electrons to the sensitizer molecules to complete the redox reaction. Compared to that of DSSC configuration, the only difference is that the redox reaction takes place at different sites rather than at different electrodes. Therefore, optimisation of two interfacial charge transfer kinetics (sensitizer to semiconductor and semiconductor to electron acceptor) by tuning the backbone structure of the sensitizer and surface properties of the semiconductor is the key to achieve high QE.

Type II molecules play the role as promoters in photocatalysis, as indicated in Fig. 4.14b. They (polyethylenimine (PEI)-grafted [FeFe]-hydrogenase mimic here) receive excited electrons that are generated via excitation of semiconductors (CdSe

quantum dots [QDs] here) and utilise the trapped electrons for proton reduction reactions. A wide range of hydrogenase catalysts have been also used as promoters for H<sub>2</sub> production (i.e. [CoIII(dmgH)<sub>2</sub>pyCl] and  $[(\mu$ -SPh-4-NH<sub>2</sub>)<sub>2</sub>Fe<sub>2</sub>(CO)<sub>6</sub>]) and CO<sub>2</sub> reduction (i.e. iron(0) porphyrin and ReI(bpy)(CO)<sub>3</sub>L complexes), and they have been anchored on the surfaces of various heterogeneous sensitisers (i.e. CdS, MoS<sub>2</sub>, and ZnS) [61, 63]. In this case, charge trapping and releasing of the trapped electrons, as well as stability of the organic promoters under different reaction conditions, need to be improved.

Type III organic molecules serve as linkers to bind photocatalyst and promoters together to achieve satisfactory interfacial charge transfer kinetics. Figure 4.14c representatively demonstrates the application of 3-mercaptopropyl trimethoxysilane (MPTMS) and mercaptopropionic acid (MPA) as linkers to immobilise Au/Pt promoter and core-shell CdSe-ZnS QDs on the surface of TiO<sub>2</sub>. Under visible light irradiation, CdSe absorb photons and generate excited electrons, which are then transferred to the Au/Pt promoter through the ZnS shell, MPA, MPTMS, TiO<sub>2</sub>, MPTMS, and MPA chains for hydrogen evolution. This system shows OE of ~1.5–2.5 % from 400 to 575 nm in the presence of Na<sub>2</sub>S as scavenger. Apparently, the exhaustive charge transfer chain might be the ratelimiting step for high QE. Further utilisation of dihydrolipoic acid (DHLA) to couple CdSe nanocrystals with a soluble Ni<sup>2+</sup>-DHLA promoter has achieved great enhancement for proton reduction (>600,000 turnovers, ascorbic acid as electron donor) [63]. Such system yields a OE of 36 % (520 nm irradiation) with more than 360 h of lifetime.

Nevertheless, molecular photocatalysts may also serve multiple roles in photocatalytic reactions. Figure 4.15a shows the molecular structure of phosphonated Re complexes (ReP), which act as excellent sensitisers and promoters in visible light-induced CO<sub>2</sub> reduction when coupled with TiO<sub>2</sub>. In this case, ReP molecules absorb photons, undergo excitation, and consume the electron donor (TEOA) to form ReP<sup>-</sup>, which further interacts with CO<sub>2</sub> to form CO (Fig. 4.15c). Transient absorption spectrometry reveals that the lifetime of ReP<sup>-</sup> can be prolonged more than one order when ReP is anchored on a TiO<sub>2</sub> support (Fig. 4.15b), which may be due to the formation of Re dimers as intermediates in the deactivation pathway of CO<sub>2</sub> reduction.

It is also worth noting that sacrificial electron donors (i.e. HA and TEOA) are used in the anchored photocatalyst systems in most cases. This provides a simple approach to evaluate the reactivity of photocatalysts; however,  $H_2$  production and  $CO_2$  reduction without such sacrificial electron donors are more important for industrial applications, which will be the key point in future investigations.



**Fig. 4.15** (a) Molecular structure of the anchored ReP catalysts; (b) transient absorption at 500 nm of the reduced intermediate ReP<sup>-</sup> after 415 nm excitation in the presence of an electron donor (TEOA, 1 M) under N<sub>2</sub> (*left*) and under CO<sub>2</sub> (*right*). (c) Proposed CO<sub>2</sub> reduction mechanisms based on transient spectrometry (Reprinted with permission from Ref. [64] Copyright 2015, John Wiley and Sons)

## 4.7 Promoters

As mentioned in the beginning of this chapter, a photocatalyst serves dual roles in a photocatalytic reaction, absorbing the light and using the light for catalytic reaction. However, pristine photocatalysts have generally very poor performance. Whilst the excitation of  $e^--h^+$  pairs via irradiation normally takes place within the femtosecond scale, the rest of the elementary steps are much slower. In the absence of an electron acceptor (A) and donor (D), surface charge trapping of  $e^--h^+$  pairs and recombination of  $e^--h^+$  pairs take place within 10–100 ns, indicating the competition between the two steps. Interfacial charge transfers that involve surface-adsorbed electron acceptors (A<sub>ad</sub>) and donors (D<sub>ad</sub>) generally occur within microsecond to millisecond, which are much slower compared to that of both charge trapping and recombination processes. This explains the intrinsic low QE of photocatalysis, and engineering the surface properties of the material to slow down the recombination kinetics or speed up the interfacial charge transfer are two possible approaches to improve the QE.

One effective solution that we can imagine is to transport and separate one species of the charge carriers in space. The prerequisites of this approach include (a) a physical contact of a semiconductor to another substance; (b) a driving force that transports the charge carriers from the semiconductor to the substance; and (c) only one type of charge carriers can be transferred and trapped into the other substance. Besides, it is also necessary that the trapped charge carriers in the substance can be utilised to perform reactions.

#### 4.7.1 Noble Metal Nanoparticles (NPs)

Nanosized noble metals (i.e. Au, Pt, and Pd) are often employed for the task. Once the CBM of a semiconductor has a more negative potential than the unfilled band of the metal, the migration of e<sup>-</sup> from semiconductor surface states to metal surface states will take place when they are in direct contact. The e<sup>-</sup> that are trapped in the surface states of the metal NPs can be released when proper A (e.g. O<sub>2</sub> and Cl<sub>2</sub>) is in presence. Meanwhile, the photogenerated holes remain at the semiconductor surface sites and are capable of performing the oxidation reactions. The advantages of metal NP-decorated photocatalysts have been further confirmed using transient spectrometry techniques by several groups. A rapid interfacial charge transfer from semiconductor photocatalyst to metal NPs was observed within the timescale of nanoseconds, which is comparable or even faster than that of the recombination kinetics. Besides these fundamental investigations, the metal NPs have also been supported on nearly all types of photocatalyst via various methods for more applied studies. Often a promotion effect can be observed when photocatalysts are coupled with metal NPs. However, there are also reports that show the presence of metal NPs decelerates the photocatalysis reactions. Recent studies by Mogyorosi and other coworkers suggest the photocatalytic efficiency of noble metal-modified photocatalysts is largely dependent on the reactant [65]. They found that the decomposition rates of oxalic and formic acid are enhanced whilst phenol decomposition rate is decreased.

Figure 4.16a illustrates the charge separation model from a thermodynamic perspective. As soon as the photoexcited electrons are trapped at the unoccupied d orbital states, the apparent Fermi level of the system will shift from  $E_F$  to  $E_F'$  due to accumulation of electrons. This is the most recognised model to explain the role of promoters. It is therefore not difficult to predict that the metal NPs, which can trap the most electrons in quantity, win the competition based on this assumption. Unfortunately, experimental results disagree with this prediction, as Au NPs can trap more electrons than Pt but show relative poor performance compared to that of Pt in photoinduced reduction reactions. Apparently, a more precise model that takes the kinetics of the trapped electrons into consideration is needed, as shown in Fig. 4.16b. In this model the kinetics of trapped electrons for redox reaction ( $k_{red}$ ) and reverse trapping to the trap state of semiconductor ( $k_{rev}$ ) were taken into account. These two rate constants can be extracted by in situ UV–vis spectrometry


**Fig. 4.16** (a) Charge separation model proposed (Reprinted with permission from Ref. [66] Copyright 2004, American Chemical Society). (b) Charge separation model with consideration of kinetics of trapped electrons. (c) In situ UV–vis spectra for the determination of  $k_{rev}$  and  $k_{red}$  of Au and Pd supported on TiO<sub>2</sub>. (d) The derived  $k_{rev}$  and  $k_{red}$  of Au–Pd alloys and core-shell-structured Au–Pd NPs as promoters (Reprinted with permission from Ref. [67] Copyright 2014, American Chemical Society)

using methylene blue (MB) as probing molecule under deaerated conditions, where one MB react with two electrons to get bleached ( $E_{MB/MB^{2-}}^0 = 0.01$  V vs. SHE), as shown in Fig. 4.16c.

Recent investigations have also discovered that the metal NPs not only serve as an electron sink but are also involved in photocatalytic reactions. It has been found that in photocatalytic decomposition of phenol, the presence of metal on  $TiO_2$  can mediate the formation of undesired phenolic intermediates (hydroquinone and benzoquinone) that consume the photogenerated radicals inefficiently [68]. Li et al. found out that tuning the chemical composition of Pt NPs to PtO NPs can accelerate the reduction of proton to molecular hydrogen whilst suppressing the reoxidation of the as-generated H<sub>2</sub> by O<sub>2</sub> (Fig. 4.17a). The reoxidation of H<sub>2</sub> under dark conditions is negligible when using PtO NPs as promoter, as indicated by dashed circles in Fig 4.17b. DFT calculation reveals that the adsorption and dissociation of both O<sub>2</sub> and H<sub>2</sub> on Pt NPs are much easier than that of PtO NPs, as shown in Fig. 4.17c.



**Fig. 4.17** (a) Photocatalytic hydrogen production using PtO or Pt as promoter; (b) proposed reaction mechanism of PtO and Pt as promoter; (c) standard Gibbs free energy profile of  $H_2$  reacting with  $O_2$  on  $Pt_8O_8/TiO_2$  and  $Pt_{12}/TiO_2$  surfaces in gas phase (Reprinted with permission from Ref. [69] Copyright 2013, Nature Publishing Group)

It is also worth noting that some of the noble metal NPs (i.e. Au, Ag, and Cu) show characteristic absorption in visible light regions, which can be used for photocatalysis. This phenomenon originates from the surface plasmon oscillations of free electrons, which result from the generation of a dipole in the metal NPs due to electromagnetic radiations. Since the incident light couples with electrons, polarised light can be used to control the distribution of the delocalised electrons and alter the Mulliken term symbol for the irreducible representation. Nevertheless, these NPs can also be used to manipulate light absorption on nanoscale by tuning the geometry, size, and spacing of the NPs.

In photocatalysis, these plasmonic NPs are normally coupled with semiconductor for various photocatalytic reactions (i.e.  $H_2$  production, selective oxidation, and Suzuki coupling reactions) under visible light irradiation. Note in these cases that the metal NPs play the role of "absorber" and partially as "reactor," whereas the



**Fig. 4.18** (a) Visible light absorption of Au NPs supported on different photocatalysts originating from surface plasmon oscillations of Au; (b) EPR spectra of Au NPs supported on  $TiO_2$  with different polymorph compositions; (c) the proposed charge transfer based on EPR analysis (Reprinted with permission from Ref. [70] Copyright 2012, American Chemical Society)

semiconductor separates the charge carriers (electrons) and partially works as a "reactor." Figure 4.18 demonstrates the mechanisms using Au/TiO<sub>2</sub> systems. The surface plasmonic band of Au results in visible light absorption in the range of 450–700 nm (Fig. 4.18a); however, such absorption does not guarantee the interfacial charge transfer to the TiO<sub>2</sub> support and further to the surface-adsorbed O<sub>2</sub>, as shown in Fig. 4.18b. It works only when Aeroxide® TiO<sub>2</sub> P25 (Degussa P25), a mixture of anatase and rutile, is used, where a significant amount of O<sub>2</sub><sup>-</sup> has been created under visible light irradiation. Thus, the charge transfer mechanisms in such systems are not as simple as the one presented in Fig. 4.18c. Nevertheless, one should also bear in mind that the efficiency in light adsorption of the plasmonic NPs needs to be considered from an application perspective, as the metal loadings are normally very low (<5 wt%).

### 4.7.2 Oxides and Nonmetal NPs

Noble metal NPs are excellent promoters, but their high cost might limit large-scale applications. Therefore, oxides and nonmetal promoters have been developed, mainly for the purpose of water splitting and  $CO_2$  reduction.



**Fig. 4.19** (a) SEM image of a 2 wt% La-doped NaTaO<sub>3</sub>; (b) TEM image of 0.5 wt% NiO deposited on La-doped NaTaO<sub>3</sub>; (c) TEM image of photodeposited PdO<sub>2</sub> on La-doped NaTaO<sub>3</sub>; (d) proposed photocatalytic water-splitting mechanisms of NiO/NaTaO<sub>3</sub>:La (Reprinted with permission from Ref. [4] Copyright 2003, American Chemical Society)

In the case of photocatalytic water-splitting process, both hydrogen and oxygen should evolve on the surface of the photocatalyst. This requires different sites on the material to serve as photo-anode and photo-cathode. For hydrogen evolution, NiO are considered as a promising promoter for proton reduction. Kato et al. reported the photocatalytic water splitting over alkali (Li, Na, K) tantalate [4]. It was observed that naked alkali tantalate shows very low QE for hydrogen evolution (<1 %) upon irradiation ( $\lambda$  < 270 nm). Interestingly, a huge enhancement (AQE = 20 % at 270 nm irradiation) is noticed when NiO NPs are supported on the surface of tantalate. Further investigations into NiO-modified La-doped NaTaO<sub>3</sub> photocatalyst shows an impressive AQE of 56 % at 270 nm (Fig. 4.19a and b). Based on the photodeposition of Pb<sup>2+</sup>, it is found that the oxidation sites are located at the grooves of the step, as shown in Fig. 4.19c. Therefore, it is supposed that whilst the reduction of water is located at the grooves of the step, respectively, as illustrated in Fig. 4.19d.

Meanwhile, RuO<sub>x</sub>, Ir<sub>2</sub>O<sub>x</sub>, and CoO<sub>x</sub> have been extensively applied as promoters for oxidation half reactions. Figure 4.20a shows the effect of promoter identities on photocatalytic O<sub>2</sub> evolution on Zn<sub>2-x</sub>GeO<sub>4-x-3y</sub>N<sub>2y</sub> (ZGON) semiconductor. Whilst the presence of ZnO in the system presents a higher O<sub>2</sub> evolution activity due to the formation of solid solution-phase junction, the addition of IrO<sub>x</sub> can further enhance the activity. The O<sub>2</sub> evolution can also be enhanced by the deposition of IrO<sub>x</sub>, CoO<sub>x</sub>, and RuO<sub>x</sub> promoters on ZGON without ZnO, and CoO<sub>x</sub> exhibits the highest performance. Deposition of these promoters is also effective for enhancing the H<sub>2</sub>



**Fig. 4.20** (a) Photocatalytic activities of  $O_2$  evolution on  $Zn_{2-x}GeO_{4-x-3y}N_{2y}$  loaded with various cocatalysts (Reprinted with permission from Ref. [71] Copyright 2013, American Chemical Society). (b) HRTEM image of Pt and RuO<sub>2</sub> on  $Zn_2GeO_4$  photocatalyst and (c) photocatalytic water splitting using RuO<sub>2</sub>, Pt, and Pt–RuO<sub>2</sub> promoters (Reprinted with permission from Ref. [71,72] Copyright 2010 and 2013, Springer Publishing Company and American Chemical Society)

evolution reaction. Very recently, the development of inexpensive promoters, such as boron oxynitride  $(B_2O_{3-x}N_x)$  and cobalt phosphate (CoPi) nanoclusters, has been found to be also effective [71].

In order to realise overall water splitting, both reduction and oxidation promoters are simultaneously required. Figure 4.20b illustrates  $PtO_x$  and  $RuO_2$  NPs co-deposited on a  $Zn_2GeO_4$  photocatalyst as hydrogen evolution reaction and oxygen evolution reaction promoters, respectively. Only a significant enhancement of water splitting can be achieved when both promoters are present, as shown in Fig. 4.20c.

Carbon-related promoters, including C nanotubes (CNT), graphite, and graphene (GR), have been also considered as promising candidates due to their abundance and their unique microstructure and electronic structure. It has been found that in gas-phase benzene oxidation, the addition of 0.5 % GR not only improves the photocatalytic performance but also enhances the long-term stability



**Fig. 4.21** EPR spectra of radicals trapped by DMPO in P25 and P25 + 0.5 % GR dispersions. (a) DMPO- $O_2^{-\bullet}$  formed in irradiated methanol dispersions; (b) DMPO-'OH formed in irradiated aqueous dispersions (Reprinted with permission from Ref. [73] Copyright 2010, American Chemical Society). (c) and (d), EPR spectra of superoxide radical species trapped by DMPO in TiO<sub>2</sub>-5 % GR and TiO<sub>2</sub>-5 % CNT dispersions in BTF solvent under visible light irradiation (Reprinted with permission from Ref. [5] Copyright 2011, American Chemical Society)

of pristine TiO<sub>2</sub>. Further EPR analysis reveals that the presence of GR can effectively separate the photogenerated charge carriers, utilising them to generate extra  $O_2^{-\bullet}$  and  $^{\bullet}OH$  radicals compared to that of pristine TiO<sub>2</sub>, as shown in Fig. 4.21a and b. Carbon-based promoters have also been employed in selective alcohol oxidation reactions for the synthesis of corresponding aldehydes. The EPR analysis suggests that identical radical species ( $O_2^{-\bullet}$ .) have been generated under irradiation regardless of the structural difference of C promoters (GR and CNT), indicating CR and CNT play the same role in the reaction, as shown in Fig. 4.21c and d. However, the intensity of radicals depends on the structure of C promoters, where more radicals can be generated when using GR as promoter. This phenomenon suggests that a sufficient and intimate interfacial contact between GR and the photocatalyst support is the key in improving the selective organic transformation.

Recently, it has also been found that carbon nanodots exhibit unique catalytic properties when coupled with carbon nitride for pure water splitting [6]. It has been found that the presence of C nanodots facilitates the formation and decomposition of  $H_2O_2$  (2e<sup>-</sup> process) whilst inhibiting direct water splitting (4e<sup>-</sup> process), thus resulting in a magnificent QE of 16 % under 420 nm irradiation condition and 2 %

overall solar energy conversion efficiency. This reaction mechanism is confirmed by rotating ring-disc electrode (RRDE) analysis, which proves that a two-electron transfer process takes place under different irradiation conditions. Besides, photocurrent measurements demonstrate that whilst the  $C_3N_4$  shows a rapid decrease of photocurrent, the C nanodots supported on  $C_3N_4$  only shows current oscillations between 190 and 240 nA, indicating that the presence of C nanodots accelerates the generation and decomposition of  $H_2O_2$  that may adsorb and poison pristine  $C_3N_4$ .

It is apparent that the synthesis of promoters and the decoration of photocatalysts are of great importance to gear up the photocatalytic performance; thus, mechanistic understanding is the key for rational design of highly efficient promoters. There are some promising progresses in this topic based on model catalyst systems. However, these results only help the design to some extent due to the complexity of real photocatalytic systems. This calls for investigations by various in situ and *in operando* techniques on well-defined real photocatalyst systems to explore (i) electronic and geometric structural effect of promoters on photocatalyst; (ii) thermodynamics, kinetics, and identities of charge carriers; and (iii) stability of promoter-modified photocatalysts. With in-depth understandings of the abovementioned points, hopefully new ideas and modelling will be derived to guide the design of novel promoters.

## 4.8 Concluding Remarks and Perspectives

In this chapter we reviewed the most promising photocatalyst materials as alternative to  $\text{TiO}_2$  based on their design, synthesis, characterisation, and applications. The search for new photocatalyst materials has mainly focused on (i) reduction of the optical bandgaps and (ii) improving reaction efficiency and selectivity. Whilst doping, strain force, and employing organic sensitizer can be used to adjust the bandgap of photocatalysts, surface and/or interface modification is a common strategy for enhancing the efficiency and selectivity of photocatalytic reactions. Nevertheless, zeolites, MOFs, and anchored systems with unique structural and electronic properties may extend the application of photocatalysis to other catalytic reactions.

Whilst the holy grail of photocatalysis is centred on the design of novel materials that can absorb visible light and perform reactions efficiently, such a task relies on a thorough understanding of the whole photocatalytic process from light absorption, to charge carrier generation and separation, to radical formation, to charge recombination, to chemical reactions on the surface. Part of these elementary steps has been modelled and investigated under simplified conditions, bringing new ideas to the design of new photocatalyst materials (i.e. Black TiO<sub>2</sub>, C<sub>3</sub>N<sub>4</sub>). However, a rational modelling of the full image of photocatalysis under real conditions is still missing, limiting the development of next-generation photocatalyst. Due to the complexity of photocatalytic processes, there is no doubt that the combination of modelling, calculations, time-resolved spectrometry analysis, radical chemistry,

and surface science approaches, ideally in situ and *in operando*, is needed to understand photocatalysis from both thermodynamic and kinetic aspects. Thank-fully such research strategies are being recognised by more and more researchers; thus, a promising future of photocatalysis should be expected.

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# Chapter 5 1D TiO<sub>2</sub> Nanotube-Based Photocatalysts

Fang-Xing Xiao and Bin Liu

**Abstract** In this chapter, the latest developments of one-dimensional semiconductors, typically  $TiO_2$  nanotube arrays (TNTAs) in the photocatalysis, are reviewed including the modification strategies for preparing TNTA-based photocatalysts, diverse photocatalytic applications of TNTA-based hybrid nanostructures in a myriad of fields, and a perspective on future challenges regarding the design and improvement of TNTAs for photocatalysis.

**Keywords** One dimensional •  $TiO_2$  nanotube arrays • Photocatalysis • Photoelectrochemical

#### 5.1 Introduction

In recent years, sustainable developments of human being are retarded by increasingly serious environmental pollution and depletion of fossil fuel resources [1]. To surmount these obstacles, various technologies have been extensively developed to reduce the environmental and energy crisis by conventional techniques including adsorption, precipitation, and osmosis [2]. Although intense endeavors have been made to impede the deterioration of these two crises, it is still challenging to develop an efficient and green technique to control and reduce the pollution growth. Photocatalysis, as a novel route to achieve deep mineralization of organic pollutants toward carbon dioxide and water under light irradiation, has attracted enormous attention on account of its great efficiency and promising potential applications [3]. Among various semiconductors, TiO<sub>2</sub> has been determined as the most widely used photocatalyst for versatile photocatalytic applications such as hydrogen production, CO<sub>2</sub> reduction, selective organic transformation, and nonselective organic oxidation, owing to its environmental friendliness, stable chemical and physical properties, nontoxicity, and durability [4, 5].

Up to date, a large variety of  $TiO_2$  nanostructures have been prepared for photocatalytic investigations which mainly include nanoparticles, nanowires,

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nanorods, nanosheets, and nanotubes [6]. Among which,  $TiO_2$  nanotube arrays (TNTAs) growing vertically from Ti foil afforded by electrochemical anodization can serve as an ideal platform for exploring new photocatalysts [7]. The structural advantages of TNTAs predominantly center on the following several aspects. Firstly, one-dimensional geometry of TNTAs with smooth surface is beneficial for efficient charge transfer, thus providing significantly shorter carrier-diffusion paths along the tube walls and minimizing the charge losses arising from electron hopping between nanoparticles in comparison with corresponding bulk TiO<sub>2</sub> nanoparticles [8]. Secondly, owing to direct growth property of TNTAs on Ti substrate, it is convenient to separate the TNTA-based photocatalysts from reaction systems for recycling reactions, thus reducing tedious recovery procedures for practical applications [9]. Despite the developments of TNTAs in the field of heterogeneous photocatalysis during the past few years, two intrinsic drawbacks still remarkably retard their potential applications. Specifically, wide bandgap of TNTAs (i.e., 3.2 eV) requires UV light irradiation to trigger the photocatalytic reactions, which accounts for only a small fraction of (ca. 5 %) solar spectrum in comparison with visible light (52 %) and infrared light (43 %). Additionally, fast recombination of photogenerated electron-hole charge carriers over TNTAs substantially reduces the efficiency of photocatalytic reactions. In this regard, it is highly desirable to shift the optical response of TNTAs toward visible or even infrared region in conjunction with high separation efficiency of photoexcited charge carriers for boosting the photocatalytic performances of TNTA-based photocatalysts. To this end, various strategies have therefore been developed to conquer the disadvantage of TNTAs including metal or nonmetal element doping [10, 11], noble metal deposition [12], sensitization with narrow-bandgap semiconductors [13], and formation of p-n heterojunction [14], just named a few, which will be specifically elucidated in the following parts.

In this chapter, we primarily focus on the state-of-the-art developments of  $TiO_2$  nanotube with an emphasis on the TNTA-based nanocomposites, the content of which primarily contains basic introduction of TNTAs, preparation of varieties of TNTA-based heterostructures, and their diverse photocatalytic applications in a myriad of fields. Finally, a perspective on future challenges regarding the design and improvement of TNTAs for photocatalysis is afforded. It is hoped that this chapter could provide enriched information on the potential applications of  $TiO_2$  nanotube-based nanocomposites as multifunctional photocatalysts.

### 5.2 Basic Introduction of TNTAs

The fabrication of TNTAs via anodic oxidation of titanium foil in a fluoride-based solution was firstly reported in 2001 by Grimes and co-workers [15]. Since then, precise control over the nanotube morphology, length, pore size, and wall thickness has been the focus of many studies [16, 17]. It has been well established that electrolyte composition plays an imperative role in determining the architecture



and chemical composition of nanotube arrays. In particular, the formation rate of TNTAs can be tuned by electrolyte composition and its pH value.

The key process responsible for anodic preparation of TNTAs mainly includes the following four processes: (1) oxide growth on the metal surface owing to the interaction of metal with  $O^{2-}$  or  $OH^{-}$  ions. The in situ formed anions during the formation of an initial oxide layer can migrate through the oxide layer approaching the metal/oxide interface where they react with the metal [18]. (2) Ti<sup>4+</sup> migrated from the metal at the interface will be rapidly released under an exerted external electric field and move toward the oxide/electrolyte interface. (3) The third process is the field-assisted dissolution of the oxide at the oxide/electrolyte interface [19]. The Ti–O bond is weakened under applied electric field giving rise to dissolution of the Ti<sup>4+</sup> which dissolves into the electrolyte and interacts with the free  $O^{2-}$  anions at the interface [20, 21]. (4) Chemical dissolution of titania in the HF electrolyte also takes place during the anodization process. Based on the above mechanism, highly ordered and self-aligned TNTAs were thus prepared. The experimental setup for preparing TNTAs was illustrated in Scheme 5.1.

#### 5.3 TNTA-Based Photocatalysts

### 5.3.1 TNTAs with Nonmetal Element Doping

Vertically oriented TNTAs have been regarded as an ideal candidate for photocatalytic applications, such as water splitting to produce  $H_2$  and photocatalytic degradation of organic dye pollutants, owing to their high catalyst/ electrolyte interface area, electrolyte percolation, and remarkably enhanced

separation of photogenerated electron-hole charged careers [22]. Nonetheless, the applications of TNTAs were retarded by the wide bandgap ( $E_g$ ) energy (ca. 3.2 eV) of TiO<sub>2</sub> which can only be excited by UV light irradiation. Hence, it is essential to shift the photoresponse of TiO<sub>2</sub> from UV region to visible scope which may significantly promote the photocatalytic and photoelectrochemical properties of TiO<sub>2</sub>-based nanomaterials [23]. To this end, modification of the electronic structure of TNTAs with nonmetal element doping to narrow its bandgap energy has provided a convenient way to solve the disadvantage of TiO<sub>2</sub>, most of which centers on carbon [24], nitrogen [25, 26], phosphorus-fluorine [27], and nitrogen-fluorine-iodine doping [28], which result in remarkably enhanced visible-light-driven

N-doped TNTA nanocomposites have been fabricated for photocatalytic explorations under visible light irradiation [29]. The N-modified TNTAs can be prepared by annealing TNTAs in a urea atmosphere or by anodization in nitrogen-containing electrolytes [30]. The N-implanted TNTAs have been well demonstrated to be the most efficient visible-light-driven photocatalyst among various nonmetal elementdoped TNTAs since the nitrogen p states placed just above the valence band maximum of TiO<sub>2</sub> may contribute to the bandgap narrowing without substantial increase of the charge career recombination.

Sulfur doping can also facilitate similar bandgap narrowing; nevertheless, the ionic radius of sulfur was found to be too large to be introduced into  $TiO_2$  lattice as proved by larger formation energy need for the substitution of sulfur than that need for the substitution of N. For example, Tang and Li fabricated S-doped TNTAs by annealing TNTAs in a H<sub>2</sub>S atmosphere, and the result showed that sulfur-modified TNTAs demonstrate more pronounced response over the visible light scope leading to significantly enhanced photoelectrochemical signals [31]. Carbon-doped TNTAs were fabricated by oxidation of TNTAs in a burning flame [32] or in CO gas flow [33] or under an argon and acetylene gas mixture flow [34], which endows TNTAs with improved photocatalytic performances under visible light irradiation.

Boron doping was also found to reinforce the visible-light-driven photocatalytic activities, as reported by Lu and co-workers [35] who prepared B-doped TNTAs via chemical vapor deposition approach, in which trimethyl borate was used as boron source and  $N_2$  as carrier gas. It was found that UV–vis spectra of B-doped TNTA nanostructures exhibited a relatively small absorption edge shift toward visible region (385–405 nm) when compared with the large shift observed in N-doping and C-doping TNTAs [36].

#### 5.3.2 TNTAs with Metal Element Doping

photocatalytic performances of the materials.

Another efficient way to extend the photoresponse of  $TiO_2$  to visible region is doping of  $TiO_2$  with transitional metal ions or rare early metal ions [37, 38]. Extrinsic energy levels in the bandgap of  $TiO_2$  can be formed by incorporation of metal ions into  $TiO_2$  lattice, which may greatly influence the transfer of photogenerated

electrons and holes. Generally, doped metal ions locate near TiO<sub>2</sub> surface to facilitate charge transferring in which the energy level of metal ion reduction should be less negative than the conduction band edge of  $TiO_2$  and the energy level of metal oxidation should be less positive than the valence band edge of  $TiO_2$ . Noteworthily, there exists the optimum concentration for metal ion doping, above which the photoactivity decreases owing to recombination of charge carriers in the site of metal ion [39, 40]. With respect to the fabrication of metal ion-doped TNTAs, it is essential to develop applicable approach to efficiently introduce metal ions into TNTAs without changing the vertically oriented morphology of TNTAs. For instance, Zn-doped TNTAs were achieved by immersing TNTAs in Zn-containing solution followed by calcination, as carried out by Yang and co-workers [41]. Zr-doped TNTAs can be fabricated via an electrochemical strategy based on their similar atomic radii (Ti, 2 Å; Zr, 2.16 Å) and belonging to both IV B elements and tetravalentine (+4) elements. W-doped TNTAs were prepared by anodization of tungsten titanium foil, and the red shift of the absorbance edge and a reduction in bandgap (0.14 eV) result in enhanced photocurrent density in comparison with blank TNTAs [42]. Alternatively, Cr-doped TNTAs attained by ion implantation exhibited a significant enhancement in photocurrent response within both UV and visible regions [43].

Few works involving the preparation of Fe-doped TNTAs have been reported, except that Sun and co-workers [44] prepared Fe<sup>3+</sup>-doped TNTAs by anodization of Ti foil in HF-aqueous electrolyte containing ferric nitrate. Similarly, Li and co-workers [45] prepared Fe-doped TNTAs by anodization of Ti foil in  $F^-$  containing aqueous electrolytes of ferrous sulfate. It was found that the Fe-doped TNTAs demonstrate enhanced photocurrent response as compared with blank TNTAs.

#### 5.3.3 TNTA/Noble Metal Nanocomposites

It has been ascertained that modification of TNTAs with noble metal nanoparticles (NPs) renders TNTA/metal nanocomposites efficient photocatalysts leading to significantly enhanced photocatalytic and photoelectrochemical performances [46]. Up to now, TiO<sub>2</sub> has been deposited with various metal NPs such as Pt [47, 48], Au [49, 50], Pd [51], Ag [52, 53], and Co–Ag–Pt [54]. Deposition of metal NPs on the TNTA substrate is beneficial for retarding the recombination of photoexcited electron and hole charge carriers, in which metal NPs can serve as "electron reservoirs" for capturing the photogenerated electrons, thus giving rise to improved photocatalytic performances. For example, with respect to Ag-loaded TNTA nanocomposites, the conduction band (CB) of TiO<sub>2</sub> is lower than the Fermi level of metallic Ag which allows for the transport of photogenerated electrons from CB of TiO<sub>2</sub> to Ag forming a Schottky barrier between TNTAs and Ag NPs [55, 56]. The photogenerated electrons trapped by Ag NPs could be transferred to

the adsorbed oxygen on the Ag surface resulting in  $O_2^-$  active species which favor the photocatalytic process. Simultaneously, holes collected in the valence band of TiO<sub>2</sub> could react with water to yield hydroxyl radicals which oxidize pollutants to CO<sub>2</sub> and water, thereby fulfilling the whole photocatalytic cycle.

On the other hand, with regard to the preparation of metal/TNTA nanocomposites, the challenges of synthetically controlling the monodispersivity and homogeneous site distribution of metal NPs on the TNTAs still met with limited success. In particular, uniform deposition of metal NPs on the interior surfaces of TNTAs has been evidenced to be rather difficult than that on the outer surface [57]. Meanwhile, conventional synthetic methods such as complicated photoreduction [58–60] or chemical reduced approach [61] continued to plague the fabrication of well-defined metal/TNTA nanomaterials owing to poor repeatability. Therefore, achieving monodispersed deposition of metal NPs on the TNTAs is highly desirable. To this end, a facile, precisely controlled, and repeatable laverby-layer (LBL) assembly route was developed to fabricate hierarchically ordered metal/TNTA heterostructures, M/TNTAs (M = Au, Ag, Pt). It was found that the tailor-made metal (Au, Ag, Pt) colloidal NPs were uniformly deposited on the TNTAs through the self-assembly monolayer (SAM) of LBL buildup, as shown in Fig. 5.1, which is afforded by substantial electrostatic attractive interaction between metal NPs and polyelectrolytes. Moreover, photoactivity of these well-defined heterostructures can be tuned by deposition cycles in the LBL process



Fig. 5.1 (a) Panoramic, (b) bottom, and (c) magnified cross-sectional SEM images of TNT substrate post-treated by calcination at 450  $^{\circ}$ C in air for 3 h with corresponding overall cross-sectional view in the inset of (c). Top-view SEM images of (d) Au/TNT, (e) Ag/TNT, and (f) Pt/TNT hybrid nanostructures prepared via LBL assembly method (Reprinted with the permission from Ref. [62]. Copyright 2012, American Chemical Society)



Fig. 5.2 (a) Panoramic SEM and (b–c) TEM views of blank TNTs with cross-sectional image in the inset of (a), (d) SEM and (e) TEM views of the Au/TNT heterostructure. (f) Photocatalytic performances of TNT, Au@DTDTPA/TNT, and Au/TNT heterostructure and (g) transient photocurrent response of TNT and Au/TNT heterostructure in 0.1 M Na<sub>2</sub>SO<sub>4</sub> aqueous solution under UV light irradiation ( $365 \pm 15$  nm). The potential of the working electrode was set at 0.0 V versus the Pt counter electrode (Reprinted with permission from Ref. [64]. Copyright 2012, Royal Society of Chemistry)

[62, 63]. Apart from the LBL assembly approach, another facile and green deposition strategy was also developed to achieve the preparation of Au/TNTAs, in which the negatively charged surface ligands of Au NPs were used as linking medium to facilitate the uniform deposition of Au NPs on the positively charged TNTA framework, as shown in Fig. 5.2 [64]. The as-assembled Au/TNTA heterostructure demonstrates significantly enhanced photocatalytic performances under UV light irradiation in comparison with blank TNTAs, for which Au NP deposited intimately on the TNTA substrate is speculated to serve as "electron trap" leading to enhanced separation of photogenerated electron-hole pairs. In another similar work, a facile self-assembly approach based on chemical bonding was developed to synthesize Au/TNTA binary nanostructures, in which Au NPs capped with dodecanethiol (DDT) linker were tethered to the interior and exterior surfaces of TNTAs via 3-mercaptopropionic acid (MPA) molecular which possesses bifunctional groups, as shown in Fig. 5.3. The ensemble of results indicated that the Au/TNTA nanocomposite obtained via the self-assembly approach exhibits significantly enhanced photocatalytic performance as compared to the counterparts of blank TNTAs, P25 particulate film, flat anodic TiO<sub>2</sub> layer (FTL), and Au/FTL owing to the well-dispersed deposition of Au on the TNTA matrix, in which Au components play crucial roles as "electron reservoirs" and, simultaneously, the conducting Ti substrate beneath the nanotubes is conducive to electron transport, thus concurrently reinforcing the separation of photogenerated electron-hole pairs.



Fig. 5.3 Typical panoramic SEM views of (a) vertically oriented TNTAs post-treated with calcination at 450 °C in air for 3 h with cross-sectional image in the inset and (b) Au/TNTAs (1.14 wt%) with additional detailed image in the inset; magnified cross-sectional SEM images of (c) TNTAs and (d) Au/TNTAs; HRTEM images of (e) TNTAs and (f) Au/TNTAs with corresponding SAED patterns in the inset (Reprinted with permission from Ref. [91]. Copyright 2012, Royal Society of Chemistry)

This work affords a facile and efficient strategy to prepare a large variety of metal/ TNTA nanocomposites via similar surface modification.

## 5.3.4 TNTA/Plasmonic Metal or Metal Cluster Nanocomposites

In recent years, plasmonic energy conversion was proposed as a promising route to conventional electron-hole separation in semiconductors [65]. The key to utilize the plasmonic effect of metal component in photocatalysis is the controlled spatial distribution of monodispersed metal NPs on the semiconductor matrix. Till now, there have been some promising results on the applications of plasmonic effect to enhance the photoactivities of TNTAs, such as visible-light-driven Ag/AgCl/TNTA nanocomposite [66]. In such a ternary heterostructure, a new surface-plasmon-induced photocatalytic mechanism was presented for the remarkably enhanced photocatalytic performance of Ag/AgCl/TNTA nanocomposite, in which Ag NPs with a mean diameter of 20 nm were speculated to be photoexcited owing to plasmonic resonance, followed by the transfer of photogenerated electrons from Ag NPs to the conduction band of TiO<sub>2</sub> and, simultaneously, the transfer of compensative electrons from electron donor (Cl<sup>-</sup>) to the Ag NPs, thereby resulting in pronouncedly enhanced photoactivities of the ternary nanostructure [67].

Besides, a new class of nanomaterial-metal cluster, consisting of precise number of metal atoms protected by thiolate ligands, has recently emerged as a novel photosensitizer to extend the photoactivity of  $TiO_2$ . In striking contrast to conventional bulk metallic NPs, metal clusters exhibit (e.g.,  $Au_x$  clusters) several distinct properties, such as unique atom-packing mode, strong electron energy quantization induced by the ultra-small cluster size, sizable bandgap, and controllable catalytic properties. More recently, glutathione-capped Au<sub>x</sub> clusters and highly ordered nanoporous layer-covered TNTAs (NP-TNTAs) were employed as nanobuilding blocks for the construction of well-defined Au<sub>x</sub>/NP-TNTA heterostructures via a facile electrostatic self-assembly strategy. Versatile photocatalytic performances of the  $Au_x/NP$ -TNTA heterostructure which acts as a model catalyst, including photocatalytic oxidation of organic pollutants, photocatalytic reduction of aromatic nitro compounds, and photoelectrochemical (PEC) water splitting under simulated solar light irradiation, were systematically exploited [68]. It was found that synergistic interaction stemming from monodisperse coverage of  $Au_x$  clusters on NP-TNTAs in combination with hierarchical nanostructure of NP-TNTAs reinforces light absorption of Au<sub>x</sub>/NP-TNTA heterostructure especially within visible region, hence contributing to the significantly enhanced photocatalytic and PEC water splitting performances.

## 5.3.5 TNTA/Semiconductor Nanocomposites

A formidable challenge still remains in reducing the quick recombination rate of photogenerated electron–hole pairs over TiO<sub>2</sub>. Although transformation of TiO<sub>2</sub> morphology to nanotubular structure may open a convenient avenue to improve the photocatalytic efficiency, it cannot tackle the central issue of photocatalysis [69]. Therefore, various research activities have devoted to reinforcing the photocatalytic properties by synthesizing TNTA/semiconductor hybrid nanostructures [70, 71]. For example, nanosized judicious coupling of TiO<sub>2</sub> and ZnO has been well established to remarkably enhance the separation efficiency of photoexcited charge carriers due to the formation of heterojunction structure between them [72, 73], thereby boosting quantum efficiency and photostability of the hybrid photocatalyst [74, 75].

Inspired by this, combined with structural advantages of vertically aligned TNTA framework (e.g., high specific surface area and excellent chemical stability) as starting nanobuilding blocks, hierarchical ordered ZnO/TNTA composite photocatalysts with promising photocatalytic performances could be attained. Numerous chemical, electrochemical, and physical approaches have been developed to fabricate the ZnO/TNTA hybrid nanomaterials, including template-assisted strategy [76–78], hydrothermal method [79, 80], electrodeposition approach [81], and filtered cathodic-vacuum-arc technique [82]. Besides, Xiao et al have developed an efficient one-step pyrolysis route to fabricate 1D hierarchical ZnO/TNTA heterostructures, by which in situ formed ZnO nanocrystals were uniformly grafted on the framework of TNTAs [83]. The results show that enhanced separation of electron–hole pairs and improved photostability of the ZnO/TNTA heterostructures were achieved. The morphologies of the hierarchical nanostructures of ZnO/TNTA heterostructure were illustrated in Fig. 5.4.

In another work, spatially hierarchically ordered ZnO nanorod (NR)-decorated NP-TNTA (ZnO NR/NP-TNTA) nanocomposites have been prepared by an efficient, two-step anodization route combined with an electrochemical deposition strategy, by which monodispersed one-dimensional (1D) ZnO NRs were uniformly grown on the framework of NP-TNTA substrate, as shown in Fig. 5.5 [84]. It was found that the ZnO NR/NP-TNTA heterostructure exhibits significantly enhanced photocatalytic and photoelectrocatalytic performances, along with favorable photostability toward degradation of organic pollutants under UV light irradiation, as compared to the single-component counterparts. The remarkably enhanced photoactivity of ZnO NR/NP-TNTA heterostructure is ascribed to the intimate interfacial integration between ZnO NR and NP-TNTA substrate imparted by the unique spatially branched hierarchical structure, thereby contributing to the efficient transfer and separation of photogenerated electron–hole charge carriers.

Moreover, many other narrow-bandgap semiconductors have also been used to sensitize the NP-TNTAs, for example, a hierarchically ordered CdSe/NP-TNTA hybrid nanostructure was fabricated through a facile electrochemical deposition strategy, by which the CdSe ingredients, consisting of clusters of quantum dots (QDs), were uniformly assembled on the inner and outer surfaces of the NP-TNTA framework, as revealed by Fig. 5.6 [85]. It was demonstrated that the as-prepared



**Fig. 5.4** Panoramic views of (a) TNTs fabricated via two-step anodization approach and the as-prepared (b) ZnO/TNT heterostructure; cross-sectional images of (c and e) TNT and (d and f) ZnO/TNT heterostructure (15 %); (e) photocatalytic activities of TNT, ZnO film, and ZnO/TNT heterostructure (15 %), and P25 particulate film for photodegradation of RhB aqueous solution under ambient conditions. (f) Photocatalytic performance of the ZnO/TNT heterostructure with varied deposition percentage of Zn(NO<sub>3</sub>)<sub>2</sub> precursor in ethanol aqueous solution (Reprinted with the permission from Ref. [83]. Copyright 2012, American Chemical Society)



**Fig. 5.5** Panoramic FESEM images of (a) NP-TNTA and (c) spatially branched hierarchical ZnO NR/NP-TNTA heterostructure, and cross-sectional images of (b) NP-TNTA and (d) ZnO NR/NP-TNTA heterostructure. (e) Photocatalytic performances of blank NP-TNTAs, pure ZnO NR film, and spatially branched hierarchical ZnO NR/NP-TNTA heterostructure toward degradation of RhB under UV light irradiation ( $365 \pm 15$  nm), (f) photocatalytic and photoelectrocatalytic activities of ZnO NR/NP-TNTA heterostructure under UV light irradiation ( $365 \pm 15$  nm) (Reprinted with permission from Ref. [84]. Copyright 2014, Royal Society of Chemistry)



Fig. 5.6 FESEM images of (a and b) NP-TNTA and (c and d) CdSe/NP-TNTA heterostructure prepared via electrochemical deposition with deposition time of 1600s. (e) Photocatalytic performances of different samples and (f) photocatalytic reduction of 4-NA over different samples under visible light irradiation ( $\lambda > 420$  nm) with the addition of ammonium formate as quencher for photogenerated holes and N<sub>2</sub> purge under ambient conditions (Reprinted with permission from Ref. [85]. Copyright 2014, Royal Society of Chemistry)

CdSe/NP-TNTA heterostructure could serve as an efficient photoanode for photoelectrochemical water splitting, and, moreover, it could also be used as a multifunctional photocatalyst for photoredox applications, including photocatalytic oxidation of organic dye pollutants and selective reduction of aromatic nitro compounds under visible light irradiation. Similarly, tailor-made negatively charged CdS QDs were evenly deposited on a hierarchical framework of NP-TNTAs by modulating surface charge properties of constituents, as displayed in Fig. 5.7. It has been demonstrated that the CdS/NP-TNTA hybrid nanostructures exhibit promising visible-light-driven photoactivity toward photooxidation of organic dye pollutants and photocatalytic reduction of nitrophenol derivatives as a result of monodisperse deposition of CdS QDs on the well-defined NP-TNTA scaffold [86].

## 5.4 Photocatalytic Applications of TNTA-Based Nanocomposites

# 5.4.1 Nonselective Degradation of Organic Dye Pollutants

Nonselective photocatalysis has been extensively investigated owing to its great significance to environmental remediation [5, 87–89], by which contaminants are mineralized to less toxic inorganic compounds, such as water, carbon dioxide, and



**Fig. 5.7** Panoramic FESEM images of (**a**) NP-TNTAs and (**d**) CdS QD/NP-TNTAs and crosssectional FESEM images of (**b** and **c**) NP-TNTAs, (**e** and **f**) CdS QD/NP-TNTA heterostructure (Reprinted with permission from Ref. [86]. Copyright 2013, Royal Society of Chemistry)

salts [90]. A series of 1D noble metal/TiO<sub>2</sub> nanocomposites (with mean diameter of 14.7, 6.3, and 3.1 nm for Au, Ag, and Pt, respectively.) have been prepared via a facile and easily accessible electrostatic self-assembly approach by tuning surface charge properties of the hierarchically ordered TNTAs, as shown in Fig. 5.8 [62–64, 91]. These 1D hybrid nanostructures exhibited substantially enhanced photocatalytic performances toward degradation of organic dye pollutants owing to the Schottky barrier effect of noble metal NPs, which provides a new strategy to design highly ordered metal/1D semiconductor binary nanocomposites based on electrostatic interaction.

#### 5.4.2 Selective Organic Transformation

Selective organic transformation is of great industrial importance owing to the extensive use of organics in diverse applications. In particular, photocatalytic selective organic transformation is a green and promising technique, exhibiting intrinsic merits including mild reaction conditions and the possibility to reduce the generation of undesired by-products. More recently, TNTAs modified with ultrasmall  $Au_x$  clusters has been utilized as an efficient photocatalyst for selective reduction of a series of aromatic nitro compounds to corresponding amino compounds under simulated solar light irradiation [68]. It was speculated that the photogenerated electrons photoexcited from the HOMO (highest occupied molecular orbital) to LUMO (lowest unoccupied molecular orbital) of  $Au_x$  clusters under simulated solar light irradiation transfer to the conduction band of TiO<sub>2</sub> which



**Fig. 5.8** (a) Panoramic view of T-NRNT attained via the 2nd anodization with cross-sectional view in the inset, (b) high-resolution images of Au/T-NRNT with cross-sectional view in the inset, (c) Ag/T-NRNT, and (d) Pt/T-NRNT fabricated via a LBL self-assembly approach. (e) Photocatalytic performances of M/T-NRNT (M = Au, Ag, Pt) and (f) transient photocurrent responses of T-NRNT and M/T-NRNT (M = Au, Ag, Pt) heterostructures in 0.1 M Na<sub>2</sub>SO<sub>4</sub> aqueous solution under UV light irradiation (365 ± 15 nm) (Reprinted with permission from Ref. [63]. Copyright 2012, Royal Society of Chemistry)

reduces the nitro compounds absorbed on the scaffold of TNTAs to amino compounds, as clearly displayed in Fig. 5.9. Noteworthily, the selective photocatalytic reactions were performed in a  $N_2$  atmosphere, and photogenerated holes were completely quenched by hole scavengers; thus all photoinduced electrons in the reaction system were involved in the selective photoreduction reactions. Similar organic transformation reactions were also observed on the CdS QDs/NP-TNTAs which demonstrated significantly enhanced photoreduction performances under visible light irradiation in comparison with blank NP-TNTAs [86].

# 5.4.3 CO<sub>2</sub> Reduction

Solar-energy-driven conversion of  $CO_2$  into hydrocarbon fuels can simultaneously generate chemical fuels to meet energy demand and mitigate rising  $CO_2$  levels. Thus far, diverse ranges of 1D nanostructures have been used for photoreduction of  $CO_2$  into chemical fuels [92–94], among which TNTAs were found to efficiently convert  $CO_2$  and water vapor into methane and other hydrocarbons under outdoor sunlight irradiation [92]. Specifically, Shankar's group demonstrated an approach that is able to achieve high-rate sunlight-driven conversion of diluted  $CO_2$  to light



Fig. 5.9 Photocatalytic reduction of substituted aromatic nitro compounds over blank NP-TNTA and  $Au_x/NP$ -TNTA heterostructure (with dipping time for 96 h) under simulated solar light irradiation, with the addition of ammonium formate as quencher for photogenerated holes and  $N_2$  purge under ambient conditions: (a) 4-nitroaniline (4-NA), (b) 3-nitroaniline (3-NA), (c) 2-nitroaniline (2-NA), (d) 1-chloro-4-nitrobenzene (4-Cl), (e) 1-bromo-4-nitrobenzene (4-Br), and (f) 4-nitroanisole (4-OCH<sub>3</sub>). (g and h) Panoramic FESEM images of  $Au_x/NP$ -TNTA heterostructure (Reproduced from Ref. [68] by permission of John Wiley & Sons, Ltd)

hydrocarbons in which an optimized combination of a Cu–Pt coating and modulated-diameter TiO<sub>2</sub> nanotube was used as photocatalyst. Their results showed that at least fourfold improvement in CO<sub>2</sub> conversion rates over prior art using a catalyst consisting of coaxial Cu–Pt bimetallic shells supported on a periodically modulated double-walled TNTA (PMTiNT) core. Under AM 1.5 one-sun illumination, a hydrocarbon production rate of 3.51 mL g<sup>-1</sup> h<sup>-1</sup> or 574 nmol cm<sup>-2</sup> h<sup>-1</sup> using 99.9 % CO<sub>2</sub> was attained, as shown in Fig. 5.10. Moreover, the periodic modulation of the diameters of the TNTAs increased the surface area and improved the utilization of light, while the bimetallic coating increased catalyst activity and specificity [92]. In another work, nitrogen-doped TNTAs were used for photocatalytic conversion of CO<sub>2</sub> and water vapor to hydrogen fuels. Using outdoor global AM 1.5 sunlight (100 mW/cm<sup>2</sup>), a hydrocarbon production rate of 111 ppm cm<sup>-2</sup> h<sup>-1</sup>, or  $\approx 160 \ \mu L/(g h)$ , was obtained when the nanotube arrays were loaded with both Cu and Pt nanoparticles [95, 96]. This work suggested that high-rate photocatalytic conversion of CO<sub>2</sub> can be achieved using sunlight and



(e) and 99.9 % (f) CO<sub>2</sub>. (g) Comparison of the  $C_2H_4(\bigcirc)$  and  $C_2H_6(\triangledown)$  solar-driven generation rates against fraction of Cu in the Cu–Pt bimetallic system using 99.9 % CO<sub>2</sub>. (h) Comparison of hydrocarbon generation activities of Cu<sub>0.33</sub>–Pt<sub>0.67</sub> nanotube-loaded PMTiNTs (I, III) and regular TiNTs (II, IV) using 99.9 % **d**). (**e** and **f**) Plots of hydrocarbon ( $\Box$ ), CH<sub>4</sub> ( $\triangle$ ), and C<sub>2</sub>H<sub>4</sub> ( $\bigcirc$ ) solar-driven generation rates against fraction of Cu in Cu–Pt bimetallic system using 0.998 % Fig. 5.10 (a and b) Plan-view and (c and d) cross-sectional SEM images of the as-prepared PMTiNT platform (a and c) and cu–Pt loaded nanotubes (b and (I, II) and 0.998% (III, IV) CO<sub>2</sub> (Reproduced from Ref. [92] by permission of John Wiley & Sons, Ltd) high-surface-area TNTAs, with a nanotube wall thickness less than or in the range of the minority carrier-diffusion length, in combination with co-catalyst nanoparticles coated on the nanotube array surface. These two works open new avenues for carbon recycling using renewable sources.

#### 5.4.4 Photoelectrochemical (PEC) Water Splitting

The aligned porosity, crystallinity, and oriented nature of the nanotubular structure make TNTAs an ideal candidate for promising PEC applications. The intrinsic configuration of TNTAs affords significantly shorter carrier-diffusion paths along the nanotube wall and minimizes the occurrence of charge losses arising from the electron hopping between nanoparticles [97, 98]. Moreover, the freestanding TNTAs are grown vertically on Ti substrate which can be directly used as photoelectrodes. In the past few years, construction of TNTA-based photoelectrode for PEC water splitting has been gaining continuous interest. For example, a visible-light-responsive plasmonic photocatalytic composite photoelectrode was constructed by rationally selecting Au nanocrystals (20 nm) and assembling them onto TNTA-based photonic crystal substrate with a pore diameter of 200 nm (Fig. 5.11) [99]. The rational design of the composite materials remarkably increased the SPR intensity of Au and consequently promoted the hot-electron



**Fig. 5.11** (a) Schematic diagram showing the fabrication procedure for  $Au/TiO_2$  nanotube photonic crystals (NTPC). (b) SEM images of  $TiO_2$  NTPC, top right inset shows a cross-sectional view and bottom left inset shows high magnification of tilt 15° cross-sectional view of top PC layer, and (c) SEM image of  $Au/TiO_2$  NTPC. (d) Linear-sweep voltammograms of the samples under chopped AM 1.5G irradiation with a scan rate of 5 mV/s, and (e) photoconversion efficiency as a function of applied potential (Reprinted with the permission from Ref. [99]. Copyright 2013, American Chemical Society)

injection from Au nanocrystals into CB of TiO<sub>2</sub>, thus resulting in substantially enhanced PEC water splitting performances under visible light irradiation ( $\lambda > 420$  nm). In another work, TNTAs modified with palladium quantum dots (Pd QDs,  $3.3 \pm 0.7$  nm) demonstrated substantially increased monochromatic incident photon-to-electron conversion efficiency (IPCE) of nearly 100 % at  $\lambda = 330$  nm [100]. It was speculated that the synergistic interaction between nanotubular structure of TNTAs and uniformly dispersed Pd QDs facilitated the charge transfer of photogenerated electrons from TNTAs to Pd QDs and, simultaneously, the high activity of Pd QDs acting as catalytic centers contributed to the high-efficiency PEC hydrogen production. Apart from TNTAs, multicomponent nanotube arrays such as vertically oriented Ti–Pd mixed oxynitride nanotube arrays [101], Ti–Fe–O nanotube arrays [102], Ti–Nb–Zr–O mixed oxide nanotube arrays [103], and Ta<sub>3</sub>N<sub>5</sub> nanotube arrays [104] have also been studied for PEC water splitting under simulated solar light or visible light irradiation.

#### 5.5 Conclusions

In summary, TNTA-based nanostructures have emerged as promising photocatalysts for utilizing solar energy in the field of photocatalysis owing to their structural advantages including high surface-to-volume ratios and unique nanotube array structures. This chapter briefly introduces the state-of-the-art developments of TNTAs which mainly concentrate on the modification strategies and photocatalytic applications of TNTA-based nanocomposites. These judiciously modified TNTA nanostructures exhibit improved UV and visible light absorption, thus harvesting an increased portion of solar spectrum and reducing the recombination of photogenerated electron-hole pairs. Future research efforts may be directed to fabricate TNTAs of different chemical compositions with good chemical and physical stabilities to absorb a broader solar spectrum, especially the infrared region which accounts for a large portion of the solar spectrum. It is anticipated that TNTA-based hybrid nanostructures could afford more versatile potential applications in a myriad of fields.

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# Chapter 6 Water Splitting By Photocatalytic Reduction

Xin Li and Jiaguo Yu

Abstract Water splitting by photocatalytic reduction is considered to be one of the most promising solutions to solve both the worldwide energy shortage and environmental pollution problems. Metal sulfide semiconductor photocatalysts as an important kind of photocatalysts have gained extensive interest in the field of photocatalytic  $H_2$ evolution due to their superior photocatalytic activity under visible light irradiation. This chapter summarizes the integration and optimization of highly efficient metal sulfide-based semiconductors from a system engineering perspective. To achieve the optimum efficiency, several typical system integration strategies such as loading co-catalysts onto nanoscale metal sulfides, forming doped or nanosized solid solutions, developing core/shell and intercalated semiconductors, fabricating hybrid or multijunction photocatalysts, and exploring new mechanisms beyond heterojunctions are outlined and discussed in detail. Further research should focus on the investigation of mechanism, the development of highly efficient co-catalysts and semiconductors, as well as the construction of multi-junction photocatalysts with high H<sub>2</sub>-evolution activity. In this chapter, we not only provide a summary of system integration strategies of metal sulfides for solar water splitting but also may provide some potential opportunities for designing other types of heterogeneous photocatalysts used in solar water splitting.

**Keywords** Heterogeneous photocatalysts • Water splitting • Hydrogen production • Artificial photosynthesis • Photocatalytic water reduction

## 6.1 Introduction

It has been widely recognized that the shortage of energy supply and the disastrous environmental pollution are two main challenges within the next 50 years (see Fig. 6.1) [1,2]. According to estimation, nonrenewable fossil fuels including coal,

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Fig. 6.1 The top ten problems mankind will face during the next 50 years according to Nobel laureate Richard Smalley



**Fig. 6.2** World total primary energy supply 2004, shares of 11.2 billion tons of oil equivalent, or 470 EJ

oil, and gas account for ~80 % of the total global energy supply, as depicted in Fig. 6.2 [3]. Because of the depletion of fossil fuels, the serious environmental problems accompanying their combustion, and the rapid increase in the world total primary energy consumption, there is an urgent need to find clean, renewable, cheap, and sustainable energy sources to replace fossil fuels and satisfy the increased energy demands [4, 5]. During the past 40 years, some promising
concepts based on methanol, ethanol, and hydrogen economics have been suggested [3, 6–8]. Among them, the carbon-free H<sub>2</sub>, as one of the most attractive fuels in the future, has caused widespread concerns by scientists recently since it is environmentally friendly, economic, and green [9–11]. However, at present, hydrogen is mainly generated from fossil fuels by steam reforming of natural gas or the high-energy consumption process [12]. Thus, from the sustainability viewpoint, it is highly expected that hydrogen can be produced by a sustainable way, especially, using a source of renewable energy [11, 13].

Fortunately, the solar energy is safe, abundant, free, and clean [14, 15]. Thus, to effectively address the world energy problems, the efficient and inexpensive conversion of solar energy into fuels such as hydrogen has long been considered as one of the most desirable approaches to solve both solar energy store and green production of hydrogen fuel since Fujishima and Honda firstly discovered the photoelectrochemical (PEC) water splitting by using  $TiO_2$  as a photoelectrode in 1972 [16]. The photocatalytic water splitting into H<sub>2</sub> and O<sub>2</sub> using solar energy and semiconductors is usually called artificial photosynthesis (APS) because these reactions are similar to those in the natural photosynthesis [5]. Typically, the APS is composed of H<sub>2</sub>-evolution reaction (HER, photocatalytic water reduction) and O<sub>2</sub>-evolution reaction (OER, photocatalytic water oxidation). It is known that the OER is quite complicated, which requires a four-electron oxidation of two water molecules coupled with the removal of four protons to form a relatively weak oxygen–oxygen bond [15]. Whereas, the two-electron HER is simpler and easier, which yields the desirable product of  $H_2$ . Thus, considerable efforts have been devoted to the study about water splitting by photocatalytic reduction.

So far, various heterogeneous [17-23] and homogeneous [24, 25] photocatalysts have been successfully explored and extensively applied in photocatalytic hydrogen production by water splitting over the past 40 years. Due to its simplicity and potential broad applications [20, 23, 26], the heterogeneous photocatalytic hydrogen generation has attracted more attention. In recent years, some major advances have also been made in the heterogeneous photocatalysts for water splitting by photocatalytic reduction, and many excellent reviews have been available [17–23]. More importantly, various visible light-driven photocatalysts (such as metal oxides [27–30], (oxy)sulfides [31–34], (oxy)nitrides [35–38], and metalfree  $C_3N_4$  [39–43] and SiC [44–49]) have been found to achieve hydrogen production from photocatalytic water reduction in recent years. Among them, metal sulfide semiconductor materials have been considered as a type of promising candidate for photocatalytic water reduction due to their superior H<sub>2</sub>-evolution activities under visible light irradiation. As compared to other kinds of photocatalysts, metal sulfides generally exhibit higher quantum efficiency (>30 %) for  $H_2$  evolution at 420 nm. So far, the highest H<sub>2</sub>-evolution quantum efficiency (about 93 % at 420 nm) has also been achieved in the Pt-PdS-CdS photocatalyst systems [50]. Recently, many great achievements in engineering heterogeneous metal sulfides for photocatalytic water reduction have been made, and a series of novel metal sulfides with the outstanding photocatalytic H<sub>2</sub>-production performances have emerged. Although there are also several reviews or chapters regarding

metal sulfide semiconductors for photocatalytic hydrogen production [33, 51], most of them paid particular attention to summarizing the advances on the basis of different isolated engineering strategies such as the composition or structure modification of metal sulfides and the construction of heterostructured semiconductors. However, from a systems engineering perspective, the overall H<sub>2</sub>-evolution activities and stabilities of metal sulfide semiconductors are determined by the synergistic effect of all factors including co-catalyst, composition, structure, sacrificial reagent, and so on. So far, there is still no comprehensive review or chapter focusing on the integration and optimization of metal sulfide H<sub>2</sub>-evolution photocatalysts through combining various types of engineering strategies (e.g., nanostructured design, earth-abundant co-catalyst loading, and heterojunction construction). Thus, in this chapter, we firstly systematically analyze the important factors influencing the H<sub>2</sub>-evolution activity/stability of metal sulfides, then focus on the integration and optimization of metal sulfide-based semiconductors for water splitting by photocatalytic reduction. Through studying the systematic design of highly efficient metal sulfide semiconductors, it may open a new opportunity for developing highly effective and practical photocatalysts for photocatalytic H<sub>2</sub> evolution from water reduction, guided by a series of systems integration strategies.

## 6.2 Fundamentals in Photocatalytic Water Splitting

The multielectron water-splitting reaction is a typical uphill reaction  $(\Delta G = 237.2 \text{ kJ/mol}, \text{Eq. 6.1})$ , which is composed of two half-reactions, the hydrogen evolution reaction (HER, Eq. 6.2) and oxygen evolution reaction (OER, Eq. 6.3). Clearly, hydrogen can be produced by photocatalytic water reduction. In terms of this reaction, solar energy can be transferred into chemical energy (H<sub>2</sub>) during water splitting. It should be noted that the water oxidation (Eq. 6.3) as an accompany reaction is inevitable in the overall water-splitting systems. However, it can be suppressed in the presence of sacrificial reagents.

$$H_2O(l) \rightarrow H_2(g) + 1/2O_2(g), \Delta G = 237.2 \text{ KJ/mol}\Delta E^0$$
  
= 1.23V (6.1)

$$2H^+ + 2e^- \rightarrow H_2(g) \quad \Delta E^0 = -0.41V$$
 (6.2)

$$2 H_2O(l) \to O_2(g) + 4H^+ + 4e^- \quad \Delta E^0 = +0.82V$$
(6.3)

The fundamental principle for photocatalytic hydrogen production by water splitting has been extensively discussed in many excellent reviews [18–23, 52, 53], which was shown in Fig. 6.3. As depicted in Fig. 6.3, the electrons can be excited from the valence band (VB) to the conduction band (CB) of the semiconductor under light irradiation of suitable wavelengths, leaving holes in the VB. These electrons and holes can then transfer to the surface reaction sites of the photocatalysts and initiate the HER and OER, respectively. Therefore, to



Fig. 6.3 Fundamental principle of semiconductor-based photocatalytic water splitting for hydrogen generation. *CB* conduction band; *VB* valence band;  $E_g$  bandgap; *OER* oxygen evolution reaction; *HER* hydrogen evolution reaction; *WRC* water reduction co-catalysts; *WOC* water oxidation co-catalysts; *SBR* surface back reaction;  $\Delta E_o$  and  $\Delta E_h$  kinetic overpotentials for OER and HER, respectively

achieve water reduction, the bottoms of the CB must be located at a more negative potential than the reduction potential of H<sup>+</sup> to H<sub>2</sub> (-0.41 V vs NHE at pH 7). Furthermore, the reduction potentials of water and CB levels of semiconductors at different pH values could be generally calculated according to Nernstian relation, i.e., shifting up by -0.059 V as the pH increases by 1, which has been reviewed on other reviews or articles [22, 54, 55].

Based on these parameters, it is clear that a good  $H_2$ -evolution photocatalyst must have suitable CB positions for water splitting by photocatalytic reduction. The band positions for a series of semiconductors and the redox potentials of water splitting in solution at pH = 7 are presented in Fig. 6.4. As shown in Fig. 6.4, the CB levels of most metal sulfides are much higher than the reduction potentials of water at pH 7. Therefore, in theory, these metal sulfides are capable of reducing water during the photocatalytic process. However, it is should be noted from Fig. 6.4 that the VB levels of most metal sulfides are also close to the water oxidation potentials, suggesting their weak water oxidation kinetics and strong photocorrosion. Therefore, sacrificial reagents are usually used to enhance the stabilities of these metal sulfides during photocatalytic water reduction.

In addition, the main processes in the overall photocatalytic water-splitting reaction are illustrated in Figs. 6.3 and 6.5. As shown in Fig. 6.3, overall water splitting on a semiconductor photocatalyst occurs in the following steps: (i) the generation of excited charge carriers (electron-hole pairs) in the semiconductor particles by light absorption; (ii) the separation of photoexcited carriers and their migration to the surface without recombination; (iii) the recombination of the excited charge carriers in the bulk; (iv), the recombination of the excited charge



Fig. 6.4 Band positions for a series of semiconductors and the redox potentials of water splitting in solution at pH = 7

carriers on the surface; (v) and (vi) the trap of excited charge carriers on the surface by water oxidation and reduction co-catalysts and the driven surface chemical reactions; and (vii) the undesirable surface back reaction (SBR). For metal sulfides, the step (vii) does not take place due to the introduction of sacrificial reagents. Other six processes occur in unit cells, bulk phases, and surface phases, respectively. Thus, these processes can be divided into three stages, which are given in Fig. 6.5. It is clear that the overall efficiency for solar water splitting strongly depends on the balance of thermodynamics and kinetics of all processes in the three stages, which is influenced by the bulk and surface properties and electronic structure of a photocatalyst. Since most of metal sulfides have narrower bandgaps as compared to other kinds of semiconductors (see Fig. 6.4), the processes in the bulk and surface phases seem to be more important for enhancing the overall photocatalytic efficiency under visible light irradiation.

The important factors influencing the overall efficiency of metal sulfides for solar water reduction and the corresponding measures for enhancing their activities and stabilities are also summarized in Fig. 6.5. As shown in Fig. 6.5, to achieve these aims, some important factors influencing the overall efficiency of metal sulfides for solar water reduction should be comprehensively taken into account, such as improving the stability by sacrificial reagents, developing earth-abundant co-catalysts for H<sub>2</sub> evolution, designing nanoscale metal sulfides, and forming metal sulfide solid solutions for water splitting. Clearly, the processes in the bulk and surface phases must be synergistically optimized, thus the integration and optimization of metal sulfide-based semiconductors play key roles in achieving their high overall efficiency. The possible measures for enhancing their activities and stabilities, including loading co-catalysts onto nanoscale metal sulfides, forming doped or nanosized solid solutions, developing core/shell and intercalated semiconductors, fabricating hybrid or multi-junction photocatalysts, and exploring new mechanisms beyond heterojunctions, will be discussed in detail in the following sections.



Fig. 6.5 The stages in solar water splitting over metal sulfide and the corresponding measures for enhancing their activities and stabilities

# 6.3 Integration and Optimization of Metal Sulfide-Based Semiconductors

#### 6.3.1 Loading Co-catalysts onto Nanoscale Metal Sulfides

In general, co-catalysts on the surface of metal sulfides could not only serve as surface electron traps to enhance the separation of the photogenerated electron-hole pairs but also provide surface reaction sites and decrease the activation energy for  $H_2$  evolution, thus significantly improving the photocatalytic activity and selectivity for water reduction [22, 56, 57]. Meanwhile, as compared to the conventional bulk counterparts, semiconductor nanosized photocatalysts usually exhibit higher surface area, greater optical absorption, faster bulk diffusion, and less bulk recombination, thus improving transport properties and tunable electronic structure [58, 59] and allowing faster capture of the photogenerated charge carriers by solution species. All these factors are beneficial for solar  $H_2$  fuel generation. Therefore, the coupling of co-catalysts and nanoscale metal sulfides can make full use of the advantages of nanostructured co-catalysts and semiconductors. As a result, loading co-catalysts onto nanoscale metal sulfides has become an integrated strategy to enhance the overall efficiencies of metal sulfide semiconductors for the photocatalytic water reduction.

In 1981, Graetzel and his coworkers demonstrated the first successful example on loading both Pt and RuO<sub>2</sub> co-catalysts onto CdS colloidal NCs for the cleavage of  $H_2O$  and  $H_2S$  [17, 60]. Since then, the coupling of appropriate electrocatalysts and metal sulfide NCs, as the most promising and simplest means for photocatalytic water splitting, has received a great deal of attention in the past decade. Some famous co-catalyst-loaded metal sulfides and their corresponding H<sub>2</sub>-production activities have been summarized in Table 6.1. As shown in Table 6.1, Li's group successfully prepared CdS NPs with dual co-catalysts Pt (as a reduction co-catalyst) and PdS (as an oxidation co-catalyst), achieving the highest quantum yield of about 93 % in the presence of sacrificial reagents under visible light irradiation [50]. Here, the oxidation co-catalyst PdS also plays an important role in protecting CdS from photocorrosion due to the favorable hole transfer from CdS to PdS. This interesting result further verified that the synergistic effect of dual co-catalysts not only can greatly enhance the photocatalytic activity of CdS NPs for H<sub>2</sub> evolution but also can improve their stability [50]. So far, various H2-evolution co-catalysts (e.g., Pt [61-69], Pt<sub>3</sub>Co [70], Ni [71–76], NiS [77–80], NiS<sub>2</sub> [81], Ni<sub>2</sub>P [82], NiO [83], CoO<sub>x</sub> [84, 85], Co(OH)<sub>2</sub> [86], Ni(OH)<sub>2</sub> [79, 87, 88], Co<sub>2</sub>P [89], MoS<sub>2</sub> [90, 91], WC [92], WS<sub>2</sub> [93], graphene [94–97], and CuS [98–100]) have been widely employed to enhance the photocatalytic H<sub>2</sub>-production activity of nanoscale metal sulfides.

Among them, noble metals such as Pt and Au are the most widely used H<sub>2</sub>-evolution co-catalysts. It is noted that many novel 1D CdS NRs and NWs, which have been successfully prepared by the solvothermal method, exhibit significantly enhanced photocatalytic H<sub>2</sub>-production activity, due to the unique physical and chemical properties of 1D nanostructures [61, 64, 87, 101–105]. Interestingly, the H<sub>2</sub>-production activity of 1D CdS NRs could be greatly enhanced through a very low Pt loading [101], which is strongly related to their nanoscale size and high dispersion of Pt clusters [64, 104]. Meanwhile, it was demonstrated that the enhanced H<sub>2</sub>-evolution activities were achieved over Pt-loaded multiarmed CdS NRs and porous CdS nanosheet-based flowers [63, 65]. Furthermore, plasmonic Au as co-catalyst and sensitizer has been coupled with semiconductors to improve their H<sub>2</sub>-evolution activity [69, 106–109]. Recently, an asymmetric Au–CdS plasmonic photocatalyst exhibited a much higher activity under visible light irradiation than the samples with a symmetrical core-shell structure due to improved localized surface plasmon resonance (LSPR) effects through controlling the fine structural configuration [106]. The results highlighted that the load of plasmonic metals in semiconductors played a critical role in achieving extremely strong local electric near fields. Therefore, it is expected that the H<sub>2</sub>-evolution activity of metal sulfides with different nanostructures could be further improved through the loading of precisely controlled single noble metal and alloy NPs or the codecoration of different kinds of metal NPs [110-113].

However, the scarcity and high cost of noble metal-based  $H_2$ -evolution co-catalysts limit their practical applications in photocatalytic water reduction. Thereby, much attention has focused on the development and application of earth-abundant metal-based  $H_2$ -evolution co-catalysts. For instance, the earth-abundant Mo-, Co-, Ni-, and Cu-based co-catalysts have been successfully developed and

		•				
				Activity (umol g <sup>-1</sup>		
Photocatalyst	Co-catalyst	Light source	Sacrificial reagent	$h^{-1}$	AQY (%)	Ref. (year)
CdSe-seeded CdS nanorods (NRs)	Pt	300 W Xe	2-propanol	40,000	20(450 nm)	[61] (2010)
CdS nanostructures	Pt	>420 nm	$Na_2S + Na_2SO_3$	27,333	60(420 nm)	[62] (2007)
Multiarmed CdS NRs	Pt	≥420 nm	Lactic acid	24,200	51(420 nm)	[63] (2012)
Single-crystal CdS nanowires (NWs)	Pt	>420 nm	Lactic acid	18,625	61.7(420 nm)	[64] (2013)
Porous CdS nanosheet-based flowers	Pt	>420 nm	Lactic acid	9374	24.7(420 nm)	[65] (2013)
CdS nanocrystals (NCs)	Pt <sub>3</sub> Co	≥420 nm	Lactic acid	15,890		[70] (2013)
Heterodimer Au/CdS NCs	Au	≥400 nm	$Na_2S + Na_2SO_3$	7300		[106] (2014)
CdS nanoclusters	RGO+ Pt	$\geq$ 420 nm	Lactic acid	56,000	22.5(420 nm)	[94] (2011)
CdS	RGO+ Pt	≥420 nm	Lactic acid	29,861	50.7(420 nm)	[200] (2013)
CdS	Graphene + MoS <sub>2</sub>		Lactic acid	0006	28.1(420 nm)	[133] (2014)
CdS	$RGO + MoS_2$	UV-vis lamp	Lactic acid	6857		[134] (2014)
CdS nanoparticles (NPs)	Pt + PdS	>420 nm	H <sub>2</sub> S- diethanolamine	$\sim 94,000$	30 (420 nm)	[225] (2008)
CdS NPs	Pt + PdS	≥420 nm	$Na_2S + Na_2SO_3$	29,232	93 (420 nm)	[50] (2009)
CdS NRs	Co <sub>3</sub> O <sub>4</sub>	300 W Xe, ≥400 nm	$Na_2S + Na_2SO_3$	236		[84] (2015)
CdS NRs	Co(OH) <sub>2</sub>	500 W Xe	Ethanol	61		[86] (2014)
CdS/TiO2 NPs	CoO <sub>x</sub>	300 W Xe, ≥400 nm	$Na_2S + Na_2SO_3$	660		[85] (2014)
CdS NPs	CoS	300 W Xe, ≥420 nm	Lactic acid	1050		[77] (2010)
CdS	MoS <sub>2</sub>	300 W Xe, ≥420 nm	Lactic acid	~5400		[90] (2008)
						(continued)

Table 6.1 Some famous co-catalyst-loaded metal sulfides for their H<sub>2</sub>-production activities

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<b>I ADIE 0.1</b> (CONTINUED)						
				Activity (µmol g <sup>-1</sup>		
Photocatalyst	Co-catalyst	Light source	Sacrificial reagent	$h^{-1}$	AQY (%)	Ref. (year)
CdSe-seeded CdS NRs	MoS <sub>3</sub>	≥450 nm)	Triethanolamine	100,000	10(450 nm)	[226] (2011)
CdS NPs	WS <sub>2</sub>	300 W Xe, ≥420 nm	Lactic acid	~4200	5.0(420 nm)	[93] (2011)
CdS NPs	wc	500 W Hg 420 nm	$Na_2S + Na_2SO_3$	1400		[92] (2008)
CdS NPs	NiS	300 W Xe, ≥420 nm	Lactic acid	7266	51.3(420 nm)	[77] (2010)
CdS NRs	NiS	300 W Xe, ≥420 nm	$Na_2S + Na_2SO_3$	1131	6.1(420 nm)	[78] (2013)
CdS NPs	NiO <sub>X</sub>	300 W Xe, >400 nm	Methanol	5402	8.6(400 nm)	[83] (2014)
CdS NPs	Ni@C	≥420 nm	$Na_2S + Na_2SO_3$	6227	20.5(420 nm)	[227] (2013)
CdS NRs	Ni(OH) <sub>2</sub>	≥420 nm	Triethanolamine	5084	28(420 nm)	[87] (2011)
CdS NRs	CuS	500 W Xe,	$Na_2S + Na_2SO_3$	332		[228] (2013)
CdS-titanate hybrid colloids	Ni	$\geq$ 420 nm	Ethanol	11,038	21 (420 nm)	[71] (2013)
Nih-CdSe/CdS	NiCl <sub>2</sub>	>400 nm	2-propanol	153,000	11.2(410 nm)	[229] (2013)
ZnS	CuS	≥420 nm	$Na_2S + Na_2SO_3$	4147	20 (420 nm)	[98] (2011)
$\mathrm{Zn}_{0.5}\mathrm{Cd}_{0.5}\mathrm{S}$	CuS	≥420 nm	$Na_2S + Na_2SO_3$	4638.5	20.9(420 nm)	[99] (2013)
CdS QDs-ZnIn <sub>2</sub> S <sub>4</sub>	RGO+ Pt	$\geq$ 420 nm	$Na_2S + Na_2SO_3$	27,000	56(420 nm)	[230] (2013)
$\mathrm{Zn}_{0.8}\mathrm{Cd}_{0.2}\mathrm{S}$	RGO	AM1.5	$Na_2S + Na_2SO_3$	1824	23.4(420 nm)	[132] (2012)
$\mathrm{Zn}_{0.8}\mathrm{Cd}_{0.2}\mathrm{S}$	$Ni(OH)_2$	$\geq$ 420 nm	Triethanolamine	7160	29.5(420 nm)	[79] (2014)
$\mathrm{Zn}_{0.8}\mathrm{Cd}_{0.2}\mathrm{S}$	NiS	$\geq$ 420 nm	Triethanolamine	2507		[79] (2014)
$\mathrm{Zn}_{0.8}\mathrm{Cd}_{0.2}\mathrm{S}$	Ni	$\geq$ 420 nm	Triethanolamine	3242		[79] (2014)
Zn <sub>0.2</sub> Cd <sub>0.8</sub> S NPs	$MoS_2$	$\geq$ 420 nm	$Na_2S + Na_2SO_3$	420		[114] (2013)
$\mathrm{Zn}_{0.5}\mathrm{Cd}_{0.5}\mathrm{S}$	RGO	$\geq$ 400 nm	$Na_2S + Na_2SO_3$	21,200	19.8(420 nm)	[95] (2014)

Table 6.1 (continued)

$Zn_{0.5}Cd_{0.5}S$	NiS+RGO	AM-1.5	$Na_2S + Na_2SO_3$	7510	31.1(420 nm)	[80] (2014)
Cd <sub>0.1</sub> Zn <sub>0.9</sub> S	<b>MWCNTs</b>	<u>≥</u> 420 nm	$Na_2S + Na_2SO_3$	1563.2	7.9(420 nm)	[130] (2012)
ZnIn <sub>2</sub> S <sub>4</sub> microspheres	Pt	$\geq$ 420 nm	$Na_2S + Na_2SO_3$	8420	34.3(420 nm)	[66] (2011)
Hierarchical ZnIn <sub>2</sub> S <sub>4</sub>	Pt	<u>≥</u> 420 nm	$Na_2S + Na_2SO_3$	1150		[67] (2013)
$ZnIn_2S_4$	$MoS_2$	$\geq$ 420 nm	$Na_2S + Na_2SO_3$	3060		[91] (2014)
ZnIn <sub>2</sub> S <sub>4</sub> microspheres	<b>MWCNTs</b>	>420 nm		6840	23.3(420 nm)	[131] (2012)
(Zn <sub>0.95</sub> Cu <sub>0.05</sub> ) <sub>0.5</sub> Cd <sub>0.5</sub> S NCs	Pt	<u>≥</u> 420 nm	$Na_2S + Na_2SO_3$	3633	31.8(420 nm)	[231] (2008)
CdS QDs(3 wt%)-ZnIn $_2S_4$	RGO+ Pt	<u>≥</u> 420 nm	$Na_2S + Na_2SO_3$	27,000		[230] (2013)
ZnIn <sub>2</sub> S <sub>4</sub> microspheres	Pt + carbon QDs	<u>≥</u> 400 nm	Triethanolamine	1032.2	2.2(420 nm)	[129] (2014)
Cu (0.5 wt%)-doped ZnIn <sub>2</sub> S <sub>4</sub>	Pt	≥430 nm	$Na_2S + Na_2SO_3$	757.5	14.2(420 nm)	[232] (2008)
microspheres						
$ZnS-CuInS_2-AgInS_2$	Ru	AM-1.5	$Na_2S + K_2SO_3$	7677	7.4 (440–520 nm)	[32] (2005)
Cu <sub>0.02</sub> In <sub>0.3</sub> ZnS <sub>1.47</sub>	RGO	800 W Xe, >420 nm	$Na_2S + Na_2SO_3$	3800		[96] (2013)
CuIn <sub>0.3</sub> Ga <sub>0.7</sub> S <sub>2</sub>	Pt	300 W Xe	$Na_2S + Na_2SO_3$	1728		[68] (2014)
Cu <sub>2</sub> ZnSnS <sub>4</sub> (CZTS)	Pt	300 W Xe	$Na_2S + Na_2SO_3$	1020		[69] (2014)
Au/CZTS core/shell NPs	Au	150 W Xe	$Na_2S + Na_2SO_3$	102		[107] (2014)
AQY (apparent quantum yields, $\%$ ) = $\frac{\Lambda}{h}$	Vumber of reacted elev Vumber of incident ph	$\frac{\text{ctrons}}{\text{otons}} \times 100 = \frac{N \text{um}}{N}$	ber of evolved hydroge Number of incident	sn molecules $\times 2$ photons	× 100 [22]	

applied in enhancing the photocatalytic H<sub>2</sub>-evolution activity over metal sulfides under visible light irradiation. For example, Li et al. found that a 36 times enhancement in activity for HER was achieved through the loading of MoS<sub>2</sub> onto CdS by an impregnation-sulfidation method due to the formation of heterojunction [90]. It was also demonstrated that ZnIn<sub>2</sub>S<sub>4</sub> and Zn<sub>0.2</sub>Cd<sub>0.8</sub>S loaded by MoS<sub>2</sub> can display obviously improved  $H_2$ -production activities, which are 10 and 210 times of that over bare samples under similar condition, respectively [91, 114]. Similarly, NiS and Ni(OH)<sub>2</sub> as co-catalysts have been found to efficiently enhance the  $H_2$ -evolution activities of CdS and Zn<sub>x</sub>Cd<sub>1-x</sub>S with different nanostructures [77–80, 87, 115]. Moreover, Ni (OH)<sub>2</sub> and NiS as H<sub>2</sub>-generation co-catalysts have also been extended to the photocatalytic applications of water splitting over other types of semiconductors such as  $g-C_3N_4$  and TiO<sub>2</sub> [116–120]. In the future, people are also expected to further enhance the activity of these earth-abundant co-catalysts through doping or optimizing their nanostructures [121, 122]. At this point, the explosive research on electrocatalytic water reduction may provide new insights into potential co-catalysts for photocatalytic water reduction [123].

In addition, the earth-abundant hydrogenases, biomimetic complexes, and graphene have also been extensively utilized as H<sub>2</sub>-evolution co-catalysts of nanoscale metal sulfides. For example, the *Clostridium* acetobutylicum [FeFe]hydrogenase I (CaI) could greatly enhance the H2-evolution activity of CdS NRs [124], which were ascribed to the improved kinetics of electron transfer the (ET) between light-absorbing CdS NRs and [FeFe]-hydrogenase [125]. Recently, Li and his coworkers successfully demonstrated that the H<sub>2</sub>-evolution activity of a ZnS semiconductor in water and DMF could be greatly improved by loading an [Fe<sub>2</sub>S<sub>2</sub>] hydrogenase mimic ([(µ-SPh-4-NH<sub>2</sub>)2Fe<sub>2</sub>(CO)<sub>6</sub>]) as co-catalyst for H<sub>2</sub> evolution [126]. Furthermore, cobaloximes and Ni-based molecules were also extensively used to couple with the CdS semiconductors to construct the highly efficient composite system [127, 128]. In addition, earthabundant carbon-based nanomaterials such as carbon quantum dots (QDs) [129], multiwalled carbon nanotubes (MWCNTs) [130, 131], and reduced graphene oxide (RGO) [95, 96, 132] have proven to be good co-catalysts for photocatalytic water reduction. For example, Yu and his coworkers found that a 4.5-fold enhancement in the photocatalytic H<sub>2</sub>-evolution rate over Zn<sub>0.8</sub>Cd<sub>0.2</sub>S could be achieved by loading 0.25 wt% RGO as co-catalysts [132], which is even higher than that of 1 wt% Pt-loaded  $Zn_{0.8}Cd_{0.2}S$ . It is believed that the introduction of RGO as a promising co-catalyst could effectively promote the transfer and separation of charge carriers, and increase the surface active sites of water reduction, thus leading to the greatly enhanced H<sub>2</sub>-evolution rate. Surprisingly, the hybrid co-catalysts of RGO and Pt NPs could lead to a 4.87-fold enhancement in the H<sub>2</sub>-evolution rate of CdS nanoclusters, due to the synergetic effects between graphene and supported co-catalysts such as Pt (see Fig. 6.6) [94]. In contrast, there are few reports about the preparation of the 2D–2D hybrid co-catalysts such as  $MoS_2$ –graphene and their applications in photocatalytic H<sub>2</sub> evolution [133–136]. Thus, anchoring suitable co-catalysts on graphene as composite co-catalysts may represent a promising direction to construct highly efficient H<sub>2</sub>-evolution co-catalysts for nanoscale metal sulfides, deserving more attention in future studies [80, 137].



Fig. 6.6 (a) Schematic illustration for the separation and transfer of charge carriers in the graphene–CdS system under visible light, (b) comparison of the visible light photocatalytic activity of different samples (Reprinted with permission from Ref. [94]. Copyright 2011, American Chemical Society)

# 6.3.2 Forming Doped or Nanosized Metal Sulfide Solid Solutions

On the one hand, the forming solid solutions have been proven to be an effective strategy for enhancing transfer and separation efficiency of carriers and achieving improved photocatalytic H<sub>2</sub>-production activity. The solid solutions not only can continuously tune the bandgap energy and CB potentials of multicomponent metal sulfide semiconductors via control of constituent stoichiometries [37, 138] but also can achieve high chemical stability, carrier mobility, and long carrier lifetime [59]. To date, a great variety of multicomponent metal sulfide semiconductors, such as (AgIn)<sub>x</sub>Zn<sub>2(1-x)</sub>S<sub>2</sub> [31], ZnS-CuInS<sub>2</sub>-AgInS<sub>2</sub> [32], AgInZn<sub>7</sub>S<sub>9</sub> [139], Zn<sub>1-x</sub>Cu<sub>x</sub>S [140, 141], CdIn<sub>2</sub>S<sub>4</sub> [142], Zn<sub>x</sub>Cd<sub>1-x</sub>S [80, 95, 132, 143, 144], and ZnIn<sub>2</sub>S<sub>4</sub> [33], have been available in photocatalytic water splitting. On the other hand, proper dopants not only can enhance the adsorption of visible light due to the formation of intermediate energy levels but also enhance the separation efficiency of photogenerated electronhole pairs [20, 22, 145, 146]. Thus, the photocatalytic activity of doped semiconductor is significantly improved. As a result, forming doped or nanosized metal sulfide solid solutions could lead to a further improvement in the H2-evolution activity of metal sulfide solid solutions due to the integrative effect of different engineering strategies; some representative results are summarized in Table 6.2.

To further enhance the visible light utilization of the metal sulfide solid solutions for hydrogen evolution, doping of metal sulfide solid solution with transition or alkaline metal ions has been extensively explored recently. As shown in Table 6.2, the metal sulfide solid solutions doped by Cu [147, 148], Ni [149, 150], Ag [151], Sn [152], and Bi [153] ions have been found to exhibit higher photocatalytic

	Linkt	Sacrificial	Activity $(vm s^{-1})^{-1}$		Def
Photocatalyst	source	reagent	$\begin{pmatrix} \mu m \sigma g \\ h^{-1} \end{pmatrix}$	AQY (%)	(year)
CdS–ZnS core–shell	>400 nm	Na <sub>2</sub> S	792		[174]
Zn <sub>0.5</sub> Cd <sub>0.5</sub> S NPs	≥400 nm	$+ Na_2SO_3$ $+ Na_2SO_2$	7420	9.6 (420 nm)	[143] (2013)
Cd <sub>0.5</sub> Zn <sub>0.5</sub> S aniso- tropic NRs	≥430 nm	$Na_2S$ + $Na_2SO_3$	25,800	62(425 nm)	[157] (2013)
Twinned Cd <sub>0.5</sub> Zn <sub>0.5</sub> S NCs	≥430 nm	$Na_2S$ + $Na_2SO_3$	17,900	43(425 nm)	[156] (2011)
Cu <sub>2</sub> ZnSnS <sub>4</sub> nanosheets	500 W Xe	$Na_2S$ + $Na_2SO_3$	1500		[233] (2012)
Cu <sub>3</sub> SnS <sub>4</sub> microspheres	300 W Xe	$\begin{array}{l} Na_2S\\ + Na_2SO_3 \end{array}$	1100	3.9 (420 nm)	[160] (2013)
Mn <sub>0.24</sub> Cd <sub>0.76</sub> S NPs	≥420 nm	$Na_2S$ + $Na_2SO_3$	10 900	9.5 (420 nm)	[234] (2014)
Ni(4 %)-Cd <sub>0.1</sub> Zn <sub>0.9</sub> S	≥420 nm	$Na_2S$ + $Na_2SO_3$	404	14.36 (420 nm)	[149] (2012)
Ni(4 %)-Zn <sub>0.4</sub> Cd <sub>0.6</sub> S	≥420 nm	$Na_2S$ + $Na_2SO_3$	18,820	22.8 (420 nm)	[150] (2012)
Bi(0.10 mol%)- Cd <sub>0.5</sub> Zn <sub>0.5</sub> S	≥420 nm	$Na_2S$ + $Na_2SO_3$	559	9.71 (420 nm)	[153] (2012)
Zn <sub>0.5</sub> Cd <sub>0.5</sub> S NRs	≥420 nm	$Na_2S$ + $Na_2SO_3$	10,970	30.4 (420 nm)	[235] (2011)
1D cubic Cd <sub>0.8</sub> Zn <sub>0.2</sub> S NWs	>400 nm	$Na_2S$ + $Na_2SO_3$	157		[236] (2015)
(CuIn) <sub>0.2</sub> Zn <sub>1.6</sub> S <sub>2</sub> NCs	>420 nm	$Na_2S$ + $Na_2SO_3$	984		[237] (2012)
CdIn <sub>2</sub> S <sub>4</sub>	≥420 nm	KOH (H <sub>2</sub> S)	6960	17.1 (500 nm)	[142] (2006)
Cd <sub>0.1</sub> Sn <sub>0.01</sub> Zn <sub>0.88</sub> S	500 W Hg	$Na_2S$ + $Na_2SO_3$	17,600		[152] (2011)
Cu(5 %)-doped Cd <sub>0.1</sub> Zn <sub>0.9</sub> S	500 W Xe	$Na_2S$ + $Na_2SO_3$	21,850		[147] (2012)
Cu(1 %)/In <sub>1.4</sub> Zn <sub>0.9</sub> S <sub>3</sub>	≥420 nm	$Na_2S$ + $Na_2SO_3$	4380		[148] (2013)
La(1.0 wt%)/ZnIn <sub>2</sub> S <sub>4</sub>	>420 nm	$Na_2S$ + $Na_2SO_3$	583.4	8.83 (420 nm)	[238] (2015)
Cd <sub>0.8</sub> Zn <sub>0.2</sub> S	>430	$Na_2S$ + $Na_2SO_3$	850	10.23 (420 nm)	[239] (2007)
Ba-doped Cd <sub>0.8</sub> Zn <sub>0.2</sub> S NPs	>430	$\begin{array}{c} Na_2S \\ + Na_2SO_3 \end{array}$	700	17.4 (425 nm)	[154] (2011)
Sr-doped CdS · ZnS NPs	>430	$\begin{array}{c} Na_2S \\ + Na_2SO_3 \end{array}$	500		[155] (2010)

Table 6.2 Comparison of some doped or nanosized metal sulfide solid solutions for their  $H_2$ -production activities

(continued)

	T * 1.	0	Activity		D.C
	Light	Sacrificial	(µmol g		Ref.
Photocatalyst	source	reagent	$  h^{-1} \rangle$	AQY (%)	(year)
Ag–	≥420 nm	Na <sub>2</sub> S	3200		[151]
$ZnIn_{1.5}S_{3.2575} + Ru$		+ Na <sub>2</sub> SO <sub>3</sub>			(2014)
Cd <sub>0.44</sub> Zn <sub>0.56</sub> S	≥420 nm	Na <sub>2</sub> S	2640		[240]
		$+ Na_2SO_3$			(2010)
Cd <sub>0.8</sub> Zn <sub>0.2</sub> S/SBA-15	≥420 nm	Na <sub>2</sub> S	972		[165]
		+ Na <sub>2</sub> SO <sub>3</sub>			(2012)
Cd <sub>0.8</sub> Zn <sub>0.2</sub> S/SBA-16	≥420 nm	Na <sub>2</sub> S	1420		[166]
		+ Na <sub>2</sub> SO <sub>3</sub>			(2013)
Hierarchical	≥420 nm	Na <sub>2</sub> S	1800		[241]
Cd <sub>0.2</sub> Zn <sub>0.8</sub> S		+ Na <sub>2</sub> SO <sub>3</sub>			(2013)
Cd <sub>0.57</sub> Zn <sub>0.43</sub> S	≥420 nm	Na <sub>2</sub> S	1766.4		[242]
nanospheres		+ Na <sub>2</sub> SO <sub>3</sub>			(2014)

Table 6.2 (continued)

activity for hydrogen production than the undoped ones. It is believed that the impurity levels in the forbidden band created by doping can enhance the visible light response and accommodate the photogenerated charge carriers, thus leading to the enhanced hydrogen evolution. More interestingly, Guo and his coworkers verified that the alkaline metal ion doping (such as Ba and Sr) could be used to dramatically enhance the photocatalytic activities of  $Cd_{1-x}Zn_xSNCs$  [154, 155]. It is suggested that the alkaline metal ions on the surface are beneficial for the separation and migration of photoinduced carriers, thus resulting in the improved photocatalytic activities. Consequently, as a potential modification strategy, transition or alkaline metal ion doping deserves more attention in optimizing the performances of metal sulfide semiconductors for water splitting.

Besides doping, constructing nanosized metal sulfide solid solutions has also been found to be an effective strategy to improve photocatalytic activity for water splitting, due to efficient separation and fast transport of the photogenerated carriers achieved by unique nanosized structures. For example, Guo's group constructed the spherical Cd<sub>0.5</sub>Zn<sub>0.5</sub>S photocatalysts by precipitate-hydrothermal method (Cd<sub>0.5</sub>Zn<sub>0.5</sub>S-PH, see Fig. 6.7a, b). The results revealed that the existence of twin structure in solid solutions can significantly improve the transport and separation of charge carriers, thus achieving an extremely high visible light  $H_2$ -evolution rate of 1.79 mmol  $h^{-1}$ without noble metal co-catalysts, with an extremely high AQY (=43 %) at 425 nm [156]. It is believed that the "back to back" potential with an anisotropy in photocatalysts can efficiently suppressed the recombination of photogenerated electrons and holes, thus boosting the photocatalytic activity (see Fig. 6.7c). More interestingly, they also found that an AQY of 62 % for solar hydrogen evolution can be achieved over a twin-induced one-dimensional Cd<sub>0.5</sub>Zn<sub>0.5</sub>S NR homojunctions, without noble metal loading, because of the efficient transport and separation of photogenerated charge carriers [157]. The results further indicated that the construction of photocatalyst with long-range ordered homojunctions at the



**Fig. 6.7** (a) TEM images of the  $Zn_{0.5}Cd_{0.5}S$ –PH solid solution (The unique nanotwin structures are marked in *red circles*); (b) HRTEM image at the area of *red circle*; (c) the migration of free charges and photocatalytic hydrogen production from aqueous solution over nanotwin  $Cd_{0.5}Zn_{0.5}S$ -PH crystals (Reprinted with permission from Ref. [156]. Copyright 2011, Royal Society of Chemistry)

nanoscale is a powerful strategy to enhance the photocatalytic activity and stability for water splitting. In another example, Zhang and his coworkers developed two-dimensional (2D) porous  $Zn_{0.5}Cd_{0.5}S$  nanosheets through the intermediates of the inorganic–organic hybrid semiconductor sheets (see Fig. 6.8a) [158]. The as-prepared porous nanosheets exhibit the highest H<sub>2</sub>-production rate, 1.67 mmolh<sup>-1</sup>g<sup>-1</sup>, which was 2.5 times higher than that over the  $Zn_{0.5}Cd_{0.5}S$  solid solution NRs (see Fig. 6.8b). Thus, the development of sulfide solid solution nanosheets represents a promising direction to enhance their photocatalytic H<sub>2</sub>-evolution activity.

In addition, the 3D hierarchical metal sulfide solid solution microspheres such as nanoflowers have attracted much attention and been widely applied in the fields of photocatalytic H<sub>2</sub> evolution. For example, the reported 3D flowerlike metal sulfide nanostructures such as CuInS<sub>2</sub> [159], ZnIn<sub>2</sub>S<sub>4</sub> microspheres [66, 131], CdS [65], Cu<sub>3</sub>SnS<sub>4</sub> [160], and AgGa<sub>1-x</sub>In<sub>x</sub>S<sub>2</sub> [160] exhibited enhanced photocatalytic activity for photocatalytic hydrogen generation from water splitting. It was demonstrated that flowerlike morphology is important for the excellent photocatalytic activity and stability because of enhanced visible light harvesting and promoted charge separation. In addition, the combined effects of several factors including large surface area, more accessible reaction sites in mesopores, and the suitable bandgap size and band positions are also beneficial for the enhancement in the photoactivity of the hierarchical nanoflowers.

In general, most of doped or nanosized metal sulfide solid solutions could be synthesized by soft chemistry routes; however, their low surface areas seriously limit the enhancements in activity. The preparation of high surface area samples with mesoporous structures and exposed surface sites is still a great challenge and highly desired [161]. At this end, research efforts should be directed at constructing 2D nanosheets and nanoporous structures of metal sulfide solid solutions [158, 162–164]. In addition, the surface areas of metal sulfide solid solutions can be enhanced by loading them into the porous materials such as microporous and mesoporous silicas [165–167].



**Fig. 6.8** (a) SEM image of the nanoporous  $Zn_{0.5}Cd_{0.5}S$  nanosheets, (b) time course of evolved  $H_2$  under irradiation of visible light for the as-prepared  $Zn_{0.5}Cd_{0.5}S$  porous nanosheets ( $\bigstar$ ) and  $Zn_{0.5}Cd_{0.5}S$  NRs ( $\bullet$ ) (Reprinted with permission from Ref. [158]. Copyright 2012, WILEY-VCH Verlag GmbH & Co. KGaA Weinheim)

# 6.3.3 Developing Core/Shell and Intercalated Semiconductors

Recently, core-shell nanocomposite photocatalysts as highly integrated systems have also drawn much attention due to their unique properties and promising applications in environmental and clean energy areas [168–171]. Generally, the shell layers could tune the light transmission capacity, enhance adsorption capacity of reactants, and favor the separation of photogenerated charge carriers [168, 170]. More importantly, the stability of metal sulfides can also be enhanced greatly by the loading of shell layers due to the significant passivation effects [171, 172]. All these factors are favorable for photoactivity enhancement of metal sulfides in the water splitting.

The hierarchical core-shell metal sulfide nanoarchitectures present an important candidate for the application in photocatalytic H<sub>2</sub> evolution. For instance, the enhanced H<sub>2</sub>-evolution activity was observed in the core-shell structured CdSe/ CdS [173] or CdS/ZnS [172, 174] system due to the passivation effects of shell layer. Recently, Cheng et al. demonstrated that the mesoporous ZnS shell in the hierarchical CdS–ZnS core-shell particles can promote the unique spatial distribution of the photoexcited charge carriers and thus greatly enhance the hydrogen evolution rate, which is 169 and 56 times higher than those of ZnS and CdS under visible light, respectively [174]. Moreover, the core-shell particles exhibit excellent photocatalytic stability over 60 h. For this core/shell system, the mesoporous shell and spatial separation of photoexcited charge carriers on the interface of core and shell are responsible for the enhanced activity and stability in the photocatalytic hydrogen evolution from water under visible light (as Fig. 6.9) [174]. Here, it is believed that the acceptor states above the VB of ZnS such as zinc vacancies  $(V_{Zn})$ and interstitial sulfur  $(I_s)$  may be beneficial for the transfer of photoexcited holes from the CdS core to the ZnS shell, thus leading to the enhanced photocatalytic stability. Therefore, as an efficient strategy, surface passivation should be taken into





consideration seriously in optimizing the stability and activity of metal sulfide photocatalysts for water splitting.

Besides 0D core–shell nanoheterostructures, 1D core–shell metal sulfides also deserve more attention [175]. For example, Zhang et al. constructed novel CdS/g-C<sub>3</sub>N<sub>4</sub> core/shell NWs with different g-C<sub>3</sub>N<sub>4</sub> contents by a combined solvothermal and chemisorption method (see Fig. 6.10a–c) [176]. An optimal photocatalytic activity of up to 4152 µmol h<sup>-1</sup> g<sup>-1</sup> could be achieved at the g-C<sub>3</sub>N<sub>4</sub> content of 2 wt%. Furthermore, a CdS/g–C<sub>3</sub>N<sub>4</sub> core/shell configuration also exhibits excellent photostability. It is believed that the well-matched band energy alignment between g-C<sub>3</sub>N<sub>4</sub> and CdS could achieve the synergic effect, which can effectively accelerate the transfer of corrosive holes in CdS to robust C<sub>3</sub>N<sub>4</sub>, thus greatly enhancing the photocatalytic activity and photostability of CdS [176].

Recently, Amirav et al. constructed a multicomponent 1D core–shell nanoheterostructure for photocatalytic hydrogen production, which is composed of a Pt-tipped CdS rod with an embedded CdSe seed [61]. In such a three-component structure (see Fig. 6.11), the holes will be confined to the CdSe core, while electrons are transferred to the Pt due to their matching energy band, thus achieving significantly increased hydrogen production activity due to the efficient separation of holes and electrons. An apparent quantum yield of 20 % at 450 nm could be obtained by tuning the length of CdS rod and the size of an embedded CdSe seed [61]. In another novel system using 3-mercaptopropionic acid (MPA) as the sacrificial hole acceptor, an internal quantum yield of  $13.6 \pm 1.0$  % was estimated for CdSe/CdS dot-in-rod NRs [177].

In addition, constructing intercalated metal sulfide semiconductors has also been considered as a promising strategy to improve their photocatalytic stability and photoactivity. For example, Shangguan et al. demonstrated that the photocatalytic activities of CdS-intercalated metal oxides for hydrogen evolution were much higher than those of CdS alone or a physical mixture between them [178, 179]. Other metal sulfides have also been widely intercalated into the interlayers of some lamellar compounds such as double hydroxide and titanium- and



**Fig. 6.10** TEM (**a**, **b**) and HRTEM (**c**) images of CdS/g–C<sub>3</sub>N<sub>4</sub> core/shell NWs CN2 (**a**) and CN<sub>4</sub> (**b**, **c**) fabricated via a two-step self-assembly procedure. A given amount of g-C<sub>3</sub>N<sub>4</sub> was grounded to fine powder and then added into 25 mL methanol. After ultrasonically treated for 30 min, bulk g-C<sub>3</sub>N<sub>4</sub> was exfoliated into thin sheets and disintegrated into a homogeneous suspension. Then, CdS NWs were dispersed in the suspension and stirred at room temperature for 24 h. The residual methanol was removed by a stream of nitrogen. The obtained yellow solid was collected and dried in a vacuum oven at 60 °C. The nominal weight ratios of g-C<sub>3</sub>N<sub>4</sub> to CdS were 2 and 4 wt%, and the samples were labeled as CN<sub>2</sub> and CN<sub>4</sub>, respectively (Reprinted with permission from Ref. [176]. Copyright 2013,American Chemical Society)

niobium-based lamellar perovskite [180–182]. Typically, the photogenerated electrons from nanosized metal sulfides could be quickly transferred to the layered materials, which could efficiently suppress the recombination of photogenerated charge carriers, thus leading to significantly enhancing the stability and activity of sulfides.



**Fig. 6.11** An illustration of the multicomponent nanoheterostructures (**a**) and their energy band diagram (**b**); (**c**) TEM images of Pt-tipped seeded rods with an average length of 70 nm (Reprinted with permission from Ref. [61]. Copyright 2010,American Chemical Society)

## 6.3.4 Fabricating Hybrid or Multi-junction Photocatalysts

The integration of metal sulfide and other nanomaterials with different dimensions to form hybrid or multi-junction photocatalysts has been proven to be a powerful strategy for achieving enhanced photocatalytic H<sub>2</sub>-production performance under visible irradiation. So far, many low-dimensional hybrid photocatalysts such as 0D-1D [183–185], 0D-2D [186–189], 1D-1D [190], 1D-2D [191–197], and 2D-2D [163, 164, 198, 199] have been constructed and applied in environmental and clean energy areas. Here, we focus on the 0D-2D and 2D-2D hybrids and multijunction photocatalysts.

In general, the hybrids of 0D NPs and 2D nanosheets could efficiently suppress the aggregation and overgrowth of NPs, increase the surface area and the number of surface active sites, and reduce the bulk recombination of photogenerated  $e^--h^+$ pairs, thus greatly improving the photoactivity of 0D-2D hybrids. For example, the hybrids of CdS NCs or quantum dots with the 2D graphene [94, 200], g-C<sub>3</sub>N<sub>4</sub> [201], MoS<sub>2</sub> [200, 202, 203], TiO<sub>2</sub> [204, 205], and titanate nanosheets [206] exhibited greatly promoted H<sub>2</sub>-evolution activity due to the enhanced transfer and separation rate of photogenerated charge carriers. Furthermore, Zhang et al. also demonstrated that H<sub>2</sub>-evolution rates of Zn<sub>x</sub>Cd<sub>1-x</sub>S could be greatly enhanced by coupling with the 2D graphene [80, 132]. Especially, when NiS was further introduced into the binary Zn<sub>x</sub>Cd<sub>1-x</sub>S/RGO nanocomposites, ternary hybrids will be constructed (as Fig. 6.12a). As a result, the ternary nanocomposites exhibited a greatly enhanced solar photocatalytic H<sub>2</sub>-production activity due to the positive synergetic effect of NiS and RGO over  $Zn_xCd_{1-x}S$  (as Fig. 6.12a, b). It is believed that the creation of p-n junction region between NiS and CdS could efficiently achieve the transport of photogenerated holes from CdS to NiS, thus inhibiting the serious photocorrosion of CdS. This result implies that constructing multi-junction photocatalysts based on 0D-2D hybrids is also a promising direction to enhance the photocatalytic activity of metal sulfides [94, 136, 200].



Fig. 6.12 (a) TEM image of the NiS/Zn0.5Cd0.5S/RGO composite, (b) comparison of the photocatalytic H<sub>2</sub>-production activity under simulated solar irradiation over different samples (Reprinted with permission from Ref. [80]. Copyright 2014, WILEY-VCH Verlag GmbH & Co. KGaA Weinheim)

Besides 0D–2D hybrids, the hybrids between 2D and 2D nanosheets are also promising candidates for conducting high-performance photocatalysts for photocatalytic hydrogen evolution from water splitting due to their excellent properties. The unique 2D layered composite photocatalysts have many advantages such as increased contact surface, highly exposed active edge sites, and enhanced electron and hole transfer rate [207, 208]. For example, the efficient MoS<sub>2</sub>–g-C<sub>3</sub>N<sub>4</sub> composite photocatalyst with facet coupling could be constructed by in situ sulfidation or impregnation method, since MoS<sub>2</sub> co-catalysts and g-C<sub>3</sub>N<sub>4</sub> semiconductors have similar 2D layered structures and matching energy band positions (see Fig. 6.13a, b) [208, 209]. It was found that the H<sub>2</sub>-evolution activity of 0.5 wt% MoS<sub>2</sub>/g-C<sub>3</sub>N<sub>4</sub> was better than that over 0.5 wt% Pt/mpg-CN under the same reaction conditions [208], suggesting that 2D–2D coupling played an important role in promoting the photoactivity of MoS<sub>2</sub>/g-C<sub>3</sub>N<sub>4</sub>. Recently, the 2D-2D junctions between MoS<sub>2</sub> and reduced graphene oxide (RGO) was extensively investigated; thus it is expected that the highly efficient multi-junction photocatalysts based on  $MoS_2$ -RGO 2D-2D hybrid could be deeply developed and applied in the photocatalytic H<sub>2</sub>-evolution reaction [133, 134, 191, 210, 211].

#### 6.3.5 Exploring New Mechanisms Beyond Heterojunctions

It is known that forming heterojunctions between CdS and other semiconductors such as  $TiO_2$  and  $C_3N_4$  could efficiently promote the separation of photogenerated charge carriers, thus significantly enhancing the photocatalytic activities in environmental and clean energy areas [176, 185, 212–214]. Recently, a new and interesting concept of an all-solid-state Z-scheme composed of a CdS/Au/TiO<sub>2</sub> nanojunction was first presented by Tada et al., in which the CdS (reduction site),



Fig. 6.13 (a) The structural model of the MoS\_2/g-C\_3N\_4 layered junctions, (b) band energy diagrams for g-C\_3N\_4 and thin MoS\_2



TiO<sub>2</sub> (oxidation site), and the interconnect Au are spatially fixed [215]. This threecomponent system exhibits a high photocatalytic activity, far exceeding those of the single- and two-component systems, as a result of vectorial electron transfer driven by the two-step excitation of TiO<sub>2</sub> and CdS. The proposed energy diagram is shown in Fig. 6.14. Similarly, an improved CdS/Au/TiO<sub>1.96</sub>C<sub>0.04</sub> solid-state Z-scheme system was developed, and the H<sub>2</sub>-evolution activity over it was about 4 times higher than that of CdS/Au/TiO<sub>2</sub> under visible light irradiation [216]. In another example, the plasmonic Au was introduced into the interface between TiO<sub>2</sub> and CdS, thus leading to the formation of the interfacial Schottky barrier, which greatly increases the transfer lifetime of charge carriers, reduces the trap-state Auger rate, suppresses the long-timescale back transfer, and partially compensates the negative effects of the surface trap states [217]. More importantly, in these Z-scheme photocatalysts, the photogenerated holes from CdS could efficiently recombine with the electrons from TiO<sub>2</sub> with low reduction ability, leaving the high-energy electrons of CdS for water reduction. Consequently, the enhanced photocatalytic activity and stability could be obtained in the Z-scheme systems.

Besides CdS/Au/TiO<sub>2</sub> systems, other Z-scheme photocatalysts such as sulfide/ graphene/TiO<sub>2</sub> [218], CdS/ZnO [219–222], CdS/SiC [223], and CdS/WO<sub>3</sub> [224] have also been successfully constructed, all of which exhibited great enhancements in the photocatalytic H<sub>2</sub>-evolution activity. As compared to the semiconductor heterostructures, more powerful photogenerated electrons and holes in the direct Z-scheme systems are used to perform the photocatalytic H<sub>2</sub> and O<sub>2</sub> evolution, respectively, thus achieving enhanced activities for solar water splitting [22]. In the future, the stability and activity of semiconductors in Z-scheme water-splitting systems should be further improved by loading suitable co-catalysts.

#### 6.4 Conclusion and Prospective

In summary, this review highlights the integrated systems for the modification of heterogeneous metal sulfide photocatalysts used in the fields of solar water splitting. Over the past decade, many different design strategies for the integration of systems listed above have been successfully designed and applied in enhancing efficiencies of heterogeneous photocatalysts for solar water splitting. Although some significant advances have been achieved in the recent years, the H<sub>2</sub>-evolution rates of the metal sulfides are rather low and are far from practical application. Therefore, many underlying mechanisms limiting the activity of metal sulfides still need to be clarified in the future.

The new, cheap, robust, efficient, and stable metal sulfide photocatalysts and co-catalysts should be further designed and developed in the near future. Although the  $Cd_{1-x}Zn_xS$  solid solution photocatalysts seem to be very promising, their poor stability, high toxicity, and complicated synthesis methods should be further improved. Meanwhile, new photocatalysts with good visible light absorption (1.8–2.4 eV), especially for the solid solutions, should be further designed and developed. For co-catalysts, the earth-abundant co-catalysts (such as  $MoS_2$ ,  $CuS_x$ ,  $WS_2$  and NiS) have proven to be efficient in the photocatalytic and photoelectrocatalytic performance, which are also favorable for the mechanism study of solar water splitting. The electrocatalysts for  $H_2$  and  $O_2$  evolution can serve as co-catalysts for water splitting, which should be deeply studied and developed.

Many sulfide photocatalysts generally suffer from photocorrosion in aqueous electrolytes due to the oxidation of themselves by photogenerated holes, which is one of the most fundamental problems that limit the lifetime of all nonoxide photocatalysts. Therefore, the photostability of the semiconductor should be carefully improved to prevent corrosion in the practical application. Thus, highly efficient sacrificial agents should be further developed. New modification strategies to enhance their stabilities and new co-catalysts are still highly expected. However, the systems using sacrificial reagents cannot achieve overall water splitting. It is a great challenge to achieve the overall water splitting and separating water oxidation and reduction processes on the surface of semiconductor nanoparticles. At this point, the Z-scheme water-splitting photocatalysts seem to be a more promising strategy among various other routes due to the separation of the two half-reactions. In addition, the multi-junction photocatalysts should be paid more attention because the combination of different engineering strategies theoretically has the ability to give a higher optimum photocatalytic efficiency and stability.

Besides experimental investigations, the first-principle DFT calculations are also encouraged since they can reveal the actual interaction mechanism at molecular levels. It is believed that substantial breakthroughs will be achieved when photocatalyst materials are well designed and photocatalysis mechanism will be deeply addressed in the near future through more fundamental interdisciplinary researches, including chemistry, energy, environment, physics, materials, and engineering.

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# Chapter 7 Photoreactor Design Aspects and Modeling of Light

# Paweł Mazierski, Beata Bajorowicz, Ewelina Grabowska, and Adriana Zaleska-Medynska

**Abstract** Geometry of the photoreactors depends mainly on the application as well as on the available irradiation source. Additionally, the following factors also need to be considered during the design of photoreactors: (1) type and particle size of the photocatalyst; (2) distribution of the photocatalyst (fixed or suspended); (3) type, content, and distribution of pollutants; (4) mass transfer; (5) fluid dynamics (laminar or turbulent flow); (6) temperature control; (7) reaction mechanism; and (8) reaction kinetics. This chapter deals with the general classification and description of photoreactors used for reaction carried out in the gas and liquid phase. Different types of photoreactors are described in relation to their applications.

**Keywords** Heterogeneous photocatalysis • Photoreactors • Solar-driven photoreactors • Wastewater treatment • Water disinfection • Air treatment

# 7.1 Introduction

Since heterogeneous photocatalysis has become an important area of research, it has been applied to various environmental problems including air, water, and wastewater treatment [1], destruction of microorganisms such as bacteria and viruses (disinfection processes) [2, 3], inactivation of cancer cells [4, 5], energy production (hydrogen generation by water splitting, biomass conversion, as well as  $CO_2$  conversion into useful hydrocarbons) [6–12], remediation of oil spills [13], and chemical synthesis [14]. Nevertheless, the accomplishment of the photocatalytic processes at required scale stipulates the use of a photoreactor, a device which allows to contact photons, a photocatalyst, and reactants, as well as to collect the reaction products. In this regard, there are two critical factors and major challenges in the design of photoreactors: (1) how to provide the efficient illumination of the photocatalyst (for a high activity, a large area has to be illuminated) and (2) how to adapt photoreactors for utilization of irradiation provided by

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different sources. Due to the fact that scaling up of photocatalytic reactors is a difficult and complex process, there are some additional factors that also need to be considered, such as the (1) type and particle size of the photocatalyst; (2) distribution of the photocatalyst (fixed or suspended); (3) type, content, and distribution of pollutants; (4) mass transfer; (5) fluid dynamics (laminar or turbulent flow); (6) temperature control; (7) reaction mechanism; and (8) reaction kinetics.

The design of reactor geometry and selection of a photocatalytic reactor depend on the experimental conditions and the specific application. Moreover, the design of large-scale photoreactors must take into account the capacity, ruggedness, reliability, and ease of use. Figure 7.1 illustrates the main types of reactors used for air and wastewater treatment, water splitting, and CO<sub>2</sub>, glycerol, and biomass photoconversion. In the liquid phase, the photocatalyst particles are usually suspended in a fluid phase, although other reactor configurations have also been proposed such as packed and fluidized beds with an immobilized photocatalyst. In gas-phase photocatalysis, the most common photoreactors are tubular, annular, and flat-plate types.

Regarding the photocatalyst structural configuration, thin-film powder layer and/or fluidized bed, coated wall-parallel, and honeycomb/foam monolithic reactors are probably the most representative. For photochemical water splitting, batch-type photoreactor is most frequently used configuration in lab-scale investigations. In the case of solar photoreactor systems, there are two of the major design issues: (i) whether to use a suspended or a supported photocatalyst and (ii) whether to use concentrated or non-concentrated sunlight.

The most popular reactors are (1) parabolic trough reactors (PTRs), (2) compound parabolic collecting reactors (CPCRs), and (3) non-concentrating flat-plate reactors which are the double-skin sheet reactor (DSSR).

In addition, it is necessary to pay attention to:

- The type of irradiation: photoreactors can be irradiated using artificial UV light, UV polychromatic lamps, or solar radiation
- The position of the irradiation source: immersed light source, external light source, and distributed light sources such as reflectors or optical fibers

This review deals with the general classification and description of photoreactors used for reaction carried out in the gas and liquid phase. Different types of photoreactors are described in relation to their applications.

#### 7.2 Gas-Phase Photoreactors

Photocatalytic gas-phase reactor should contain two parts: (i) the reactor structure and (ii) source of light. Ideally, the structure of a photocatalytic reactor for air purification should have (i) light source irradiating directly on the photocatalyst surface, (ii) high specific surface area of photocatalyst, and (iii) high mass transfer, low pressure drop, and long residential time. Many types of photocatalytic reactors


Fig. 7.1 Main types of photoreactors depending on the application

are designed. Annular, plate, slurry, honeycomb monolith, packed bed, and fluidized bed reactors are the most popular, but in the literature, other types are also described: powder layer reactor, with aerosol generator, with optical fibers, and others. However, most of the studies are only based on laboratory scale. Therefore, one of the challenges in the development of photocatalysis for environmental application is the design of efficient reactor that can be used to large-scale commercial application.

# 7.2.1 Reactors for Photocatalytic Degradation of Volatile Organic Compounds (VOCs)

Generally, the annular reactors are composed of two or more concentric cylindrical tubes mostly made of Pyrex glass. The photocatalyst is coated on the inner wall of the outer cylindrical tubes. The light source is located at the central part of the cylindrical tube. The photocatalyst film coated on the wall of the surface should be thin enough to let all the photocatalyst be irradiated by the light source. Furthermore, source of light can be located outside the reactor, and then thin film of the photocatalyst is coated on the surface of two or more concentric cylindrical tubes. The airflow is provided along the axial direction through the annulus between the lamp and the tube. Figure 7.2a shows one of the types of the annular reactor.



Fig. 7.2 Main types of reactors used for air treatment (a) annular, (b) packed bed, (c) honeycomb monolith, and (d) plate

Different types of the annular reactors have been used by several research groups for photocatalytic degradation of volatile organic compounds [15–19].

Tomasic et al. used the annular fixed bed photocatalytic reactor (total volume of the reactor was  $0.485 \text{ dm}^3$ ) to study the degradation of toluene in the gas phase [15]. The P25-TiO<sub>2</sub> thin film was coated on the internal glass surface of the outer tube of the annular reactor. Fluorescent blacklight blue lamp was placed in the central part of the reactor. Tomasic et al. used mathematical models of the photocatalytic reactor (1D model and 2D models based on ideal flow and laminar flow conditions) to understand complex reaction pathways and the reactor's limitation. The obtained models were verified by comparing the computer simulation data with the experimental results. It was found out that photocatalytic reaction carried out in the annular photocatalytic reactor was mainly limited by the interphase mass transfer. Imoberdorf et al. studied the performance of single and multiannular photocatalytic wall reactor configurations by using a two-dimensional,

reaction-diffusion-convection model and reliable intrinsic reaction kinetics for the photocatalytic degradation of perchloroethylene [16]. The effect of (i) the reactor volume, (ii) the photocatalytic surface area, and (iii) the annulus width on photocatalytic degradation of perchloroethylene in single annular reactor was studied. In the case of multi-annular reactor configurations, the effect on the reactor conversion of (i) the type of flow pattern and (ii) the thickness distribution of  $TiO_2$ films was investigated. It was found that the performance of reactors was strongly influenced by external diffusive resistances; single- and multi-annular photocatalytic reactors present high values of reactor irradiation incidence and photocatalyst irradiation absorption efficiencies. Vincent et al. investigated the photocatalytic oxidation of gaseous 1-propanol by using annular reactor (total volume was 0.0664 dm<sup>3</sup>) [17]. The fiberglass support impregnated of P25-TiO<sub>2</sub> was placed between two Pyrex glass tubes. The fiberglass support area exposed to UV irradiation was 0.36 dm<sup>2</sup>. 18 W fluorescent tube used as a source of light was located in the center of the reactor. The influence of kinetic parameters such as pollutant concentration, incident light irradiance, contact time, and humidity content has been studied. The authors concluded that the reactor efficiency could be improved in order to reduce the by-product concentrations with other experimental conditions (such as a higher contact time) [17].

The plate reactor, shown in Fig. 7.2d, is the simplest type of photoreactor used for photocatalytic degradation of volatile organic compounds. There are two basic types of plate reactors – with inner and outer source of irradiation. The typical form of this reactor is square or rectangular box, made of different materials (such as stainless steel, plexiglass, or polycarbonate), that is resistant to UV light. Photocatalyst samples used in plate reactors are in the form of powders or flat shape located at the bottom of the reactor. In the case of the plate reactors with inner source of irradiation, a lamp is placed at the upper part of the reactor. In the second one, reactors are equipped with a quartz or borosilicate window, which allows the light passage from lamp into the photocatalyst sample. The advantages of the plate reactor are small pressure drop, the possibility of obtaining large reaction rates, and simplicity. However, the major disadvantage of this type of reactor is the smaller reaction area. Salvado-Estivill et al. used a two-dimensional (2D) analysis of a flatplate reactor for photocatalytic oxidation of trichloroethylene (TCE) in gas phase under different experimental conditions [20]. The reactor was made of stainless steel (75 mm wide and 600 mm long). A glass plate coated with the photocatalyst (P25-TiO<sub>2</sub>) was placed 270 mm from the inlet of the reactor and 170 mm from the outlet. The plate reactor was irradiated by blacklight blue fluorescent lamps. It was found that a two-dimensional model of a flat-plate photocatalytic reactor was shown to approximate closely the experimental results of the photocatalytic oxidation of trichloroethylene. Demeestere et al. used flat-plate reactor to study the photocatalytic degradation kinetics of gaseous trichloroethylene [21]. The reactor was made of stainless steel, with two photocatalyst (P25-TiO2)-coated glass plates located in the reactor. 18 W blacklight blue lamp used as a source of light was placed over the reactor. The effect of trichloroethylene inlet concentrations (100–500 ppmv), gas residence times (2.5–60.3 s), and relative humidity (0–62 %) has been investigated. The authors concluded that a trimolecular Langmuir–Hinshelwood model could not fit the experimental results adequately. Therefore, a new kinetic model has been developed, which was based on linear trichloroethylene adsorption–desorption equilibrium and first-order reaction kinetics. Mo et al. studied the by-products during photocatalytic degradation of toluene in a plate reactor [22]. The reactor was made of stainless steel, which two photocatalyst (P25–TiO<sub>2</sub>)-coated glass plates located in the reactor. The UVC lamps (Philips Hg lamps) were used to irradiate the photocatalyst plate from the top of the reactor through a quartz glass. It was found that acetaldehyde, methanol, acetone, benzaldehyde, formic acid, ethanol, and acetic acid were the main by-products in the gas-phase toluene degradation.

The packed bed reactors are simple, easy-constructing, and efficient reactor. This type of reactor consists of cylindrical tube made of Pyrex glass, metal, or others. The photocatalyst is located in the central part of the reactor. The source of light can be placed inside or outside reactors. Arabatzis et al. proposed a new packed bed reactor for photocatalytic degradation of volatile organic compounds (VOCs) (see Fig. 7.2b) [23]. The form of this reactor was a cylindrical container made of metal. This container was used to concentrate the emitted light energy from the irradiation source (Sylvania F15w T8/BLBlue lamps). The porous photocatalyst was located on the outer wall of the central glass tube. This reactor has been optimized using theoretical prediction of the conversion factor as a function of the volume, reaction, and molecular feed. Ibhadon et al. presented theoretical study and kinetic modeling of a new packed bed photocatalytic reactor [24]. These results have been confirmed by experimental study on the degradation of benzene, toluene, and xylene. A cylindrical metal container was used to concentrate emitted light energy from four irradiation sources (Sylvania F15WT8/BLBlue lamps). In the central part of cylindrical metal container transparent to UV light, glass tube was located. This tube was filled with the porous P25-TiO2 photocatalyst. It was found that theoretical and experimental conversion factor was similar and amounted to 96.7 % and 95 %, respectively. This study showed efficient way to design and optimize a packed bed photocatalytic reactor for degradation of VOCs. Fu et al. studied the effect of reaction temperature and water vapor content on the photocatalytic degradation of ethylene using packed bed reactor [25]. The reactor was made of Pyrex tube and was illuminated by four fluorescent UV bulbs. The tube with the bulbs was placed in an insulated cylindrical glass container. It was found that the reaction temperature has a strong influence on the rate of photocatalytic degradation of ethylene under UV light and TiO<sub>2</sub> or Pt/TiO<sub>2</sub> used as photocatalysts. The cause of enhanced photoactivity which was observed at increased reaction temperatures may be due to an enhanced desorption of water from both types of photocatalysts at higher operating temperatures.

Honeycomb monolith reactors are commonly used in automobile exhaust emission control and for  $NO_x$  reduction in power-plant flue gases by catalytic reduction, but they also can be used for photocatalytic reactions in the gas phase (see Fig. 7.2c). This type of reactors contains certain number of channels of circular or square cross section. The photocatalyst is coated onto the inner walls of channels

as a thin film. The irradiation source is located in front of the channels. Wang et al. used honeycomb monolith reactor for modeling of formaldehyde photocatalytic degradation using computational fluid dynamics [26]. It was found that distance between the monolith and lamp should be decreased when the number of lamps increases to achieve an optimal configuration. The choice of an optimal number of lamps depends on the flow rate over the monolith. Taranto et al. used an aluminum honeycomb monolith reactor, coated with a thin film of P25–TiO<sub>2</sub> for methane and toluene degradation in the gas phase [27]. As the irradiation source, low-pressure mercury lamps were used. Different types of honeycomb monolith reactors have been used by several research groups for photocatalytic degradation of volatile organic compounds [28, 29].

Fluidized bed reactors are made of transparent container: the treated airstreams pass through container filled with the photocatalyst bed. The light source is located outside of the reactor. The photocatalyst has good contact with the treated airstreams. Fluidized bed reactors can be used to treating fairly high airstreams. Palma et al. used fluidized bed reactor for the intensification of gas-phase photocatalytic oxidative dehydrogenation of cyclohexane [30]. UV irradiation was provided by a two ultraviolet-light-emitting diode (UV-LED) modules located in front of the Pyrex windows. A mathematical modeling was based on Langmuir-Hinshelwood (LH)type kinetic model. It was found that proposed mathematical model describes the performance of the photocatalytic fluidized bed reactor well for all operating conditions. Hajaghazadeh et al. studied the photocatalytic oxidation of methyl ethyl ketone under UVA light in a fluidized bed reactor [31]. The reactor was made of two parallel quartz windows incorporated in a stainless steel frame. 40 UVA-LEDs were used as an irradiation source and were located in the contact with reactor's quartz windows. Commercial TiO<sub>2</sub> such as P25, PC50, and PC500 was used as a photocatalyst. It was found that the photocatalytic activity depends on the surface area of the photocatalyst.

The batch reactor is the simple type of photoreactors used for VOC degradation. Typically, the batch reactor consists of a chamber made of Pyrex glass. The photocatalyst is located in the lower part of the chamber. The irradiation source is located outside the reactor. Amama et al. used cylindrical batch reactor for photocatalytic degradation of trichloroethylene [32]. The reactor (total volume 0.11 dm<sup>3</sup>) was made of Pyrex glass. TiO<sub>2</sub> coated on glass fiber cloth by sol–gel process was used as a photocatalyst and illuminated by eight symmetrically arranged fluorescent blacklight lamps which were located at a fixed distance from the reactor. The authors suggested that photocatalytic degradation of trichloroethylene and by-product formation could be affected by pretreatment step of TiO<sub>2</sub>, such as preillumination, prehydroxylation, and prechlorination of photocatalyst surface.

Debono et al. used batch reactor for photocatalytic oxidation of decane at ppb levels [33]. This reactor consisted of a Pyrex glass chamber (total volume 120 dm<sup>3</sup>) was illuminated by nine PL-L-40 Philips UV lamps. The photocatalyst used for experiments (TiO<sub>2</sub>–P25) was placed in the lower part of the reactor chamber. It was found that formaldehyde, acetaldehyde, and propanal were the main by-products formed in the

gas phase during photocatalytic degradation of decane. Moreover, the amounts of these compounds were linearly dependent on the initial decane concentration.

To conclude, many types of photocatalytic reactors for photocatalytic degradation of VOCs have been designed. More examples of reactors are compiled in Table 7.1. The kinetic reaction and mass transfer rate are two of the main

Type of the	Material of the	Irradiation			
reactor	reactor	source	Photocatalyst	Model of VOCs	Ref.
Annular	Material not claimed	12 W low-pressure Hg	TiO <sub>2</sub> – immobilized in	Methyl tert-butyl ether	[34]
		lamp	the internal sur- face of the cylindrical tube	Mixture of ben- zene, toluene, eth- ylbenzene, m-, o-, and p-xylene	[35]
				C <sub>5</sub> –C <sub>7</sub> alkanes	[36]
	Pyrex reactor made of two coaxial tubes	8 W blacklight lamp	Aeroxide TiO <sub>2</sub> P25	Methyl ethyl ketone	[37]
	Two concen- tric Pyrex tubes	80 W Philips UVA lamp	Glass fiber tis- sue coated with colloidal silica and TiO <sub>2</sub> nanoparticles.	Isovaleraldehyde	[38]
	Material not claimed	18 W low-pressure Hg lamp	TiO <sub>2</sub> was coated onto the internal glass surface of reactor using dip-coating method	Benzene, toluene, ethylbenzene, and m-xylene	[39]
	Material not claimed	11 W low-pressure Hg lamp	TiO <sub>2</sub> , TiO <sub>2</sub> /Pt, TiO <sub>2</sub> /Fe, TiO <sub>2</sub> / Ce- based on P25 and isopropoxide prepared using dip-coating method	Benzene, toluene, ethylbenzene, and m-xylene	[40]
	Open tubular reactors made of Pyrex glass	4 W UVC lamp (Sankyo Denki G4T5)	Commercial P25	Dimethyl sulfide	[41]
	Pyrex glass	6 W UV lamp (Sylvania F6WBLT-6)	Platinum-doped TiO <sub>2</sub> , painted on a Pyrex tube	Toluene	[42]
	Pyrex reactor made of two coaxial tubes	24 W solar light- simulating lamp (Philips DeLuxe pro LTJHo)	Commercial P25	Diethyl sulfide	[43]

Table 7.1 Reactors used for photocatalytic degradation of volatile organic compounds

(continued)

Type of the reactor	Material of the reactor	Irradiation source	Photocatalyst	Model of VOCs	Ref.
Batch	Stainless steel	700 W medium- pressure Hg lamp	Mixed TiO <sub>2</sub> and SiO <sub>2</sub> , deposited on the cellulose and synthetic fibers bound	Acetone and 2-butanone	[44]
	Cylindrical Pyrex reactor equipped with a septum	1500 W high- pressure Xe lamp	Y <sub>x</sub> Sr <sub>1-x</sub> TiO <sub>3</sub>	2-Propanol and propene	[45]
	280 cm <sup>3</sup> glass flask	1000 W high- pressure Hg lamp	Multiphase CdS/TiO <sub>2</sub>	Ethanol	[46]
	Quartz light window and columnar stainless steel chamber	8 W UVB lamp	Ag and Pt deposited on P25, TiO <sub>2</sub> pre- pared using sol- gel method	Trichloroethylene, chloroform, dichloromethane, toluene, benzene, carbon tetrachloride	[47]
	120 dm <sup>3</sup> Pyrex chamber	Nine PL-L-40 Phillips UV lamps	TiO <sub>2</sub> powder is sieved on a cir- cular Pyrex plate	Limonene	[48]
	Closed stain- less steel reac- tor with the volume of 105 dm <sup>3</sup>	10 W germicidal lamps	TiO <sub>2</sub> /Sr <sub>2</sub> CeO <sub>4</sub>	Benzene	[49]
	Cylindrical bottle with sil- icon/Teflon Septum	Four blacklight lamps (4 W)	TiO <sub>2</sub> film	2,4,6- Trichloroanisole	[50]
	Cylindrical Pyrex glass reactor	8 symmetrically arranged fluores- cent blacklight (160 W)	$TiO_2$ coated on glass fiber cloth	Trichloroethylene	[51]
	Material not claimed	500 W Xe lamp	TiO <sub>2</sub> nanoparticles	Toluene	[52]
	Reactor made of Pyrex glass, upper part of the reactor was sealed with a Teflon lid	6 W germicidal lamp	TiO <sub>2</sub> coated on the Pyrex glass tube	Trichloroethylene, acetone, methanol, and toluene	[53]
	Closed stain- less steel reac- tor with a volume of $105 \text{ dm}^3$	10 W blacklight lamps	TiO <sub>2</sub> loaded on Bi <sub>12</sub> TiO <sub>20</sub>	Benzene	[54]

 Table 7.1 (continued)

(continued)

Type of the reactor	Material of the reactor	Irradiation source	Photocatalyst	Model of VOCs	Ref.
Optical fiber	Pyrex tube with fused sil- ica optical fibers	500 W UV lamp	$TiO_2$ coated on the fused silica optical fibers	Benzene	[55]
	Pieces of opti- cal fiber	300 W Xe arc lamp	Quartz fibers coated with $TiO_2$ layer	Acetone	[56]
Fixed bed	Tubular quartz reactor	Four 4W UV lamps	BiPO <sub>4</sub>	Benzene	[57]
	Material not claimed	Two 8 W UV lamps	TiO <sub>2</sub> nanoparticles	Hexane, methanol	[58]
	Made of glass tube	Four 6 W UVA lamps	TiO <sub>2</sub> and F-TiO <sub>2</sub> nanoparticles were prepared using sol–gel method	Acetaldehyde and ethanol	[59]
	Rectangle quartz reactor	500 W Xe arc lamp equipped with a UV cutoff filter	Bi <sub>2</sub> WO <sub>6</sub> -coated carbon micro- spheres, N-doped TiO <sub>2</sub>	Benzene	[60]
Fluidized bed	Made of glass	15 W UVC lamp	$TiO_2$ coated on the $\gamma$ -Al <sub>2</sub> O <sub>3</sub>	Methyl ethyl ketone	[61]
	Concentric double-pipe structure made of Pyrex glass tube	25 W UV lamp	Commercial P25	Cyclohexane	[62]
	Quartz glass tube	10 W and 8 W germicidal white light UV lamps	$TiO_2$ coated on the silica gel	Trichloroethylene	[63]
Plate	Made of glass	Two germicidal UV lamps	Commercial P25 coated on the glass plate	Vinyl chloride	[64]
	Made of aluminum	Monochromatic germicidal lamps or blacklight lamps	Composite sol- gel TiO <sub>2</sub> and commercial P25 coated on the glass plate	Trichloroethylene and toluene	[65]

 Table 7.1 (continued)

parameters having influence for performance of a photocatalytic reactor. Other influencing factors of the reactor efficiency include light of source and intensity, contaminant concentration, humidity, temperature, surface area, and activity of photocatalyst.

# 7.2.2 Reactors for Photocatalytic Degradation of Inorganic Pollutants

There are various photocatalytic reactors used for oxidation of inorganic pollutants in the gas phase [66–69]. Soylu et al. used flow reactor for photocatalytic oxidation of  $NO_x$  [70]. TiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> photocatalyst was placed on polymethyl methacrylate (PMMA) sample holder inside the reactor. The irradiation was provided by 8 W UVA lamps located outside the reactor. It was found out that  $TiO_2$ -Al<sub>2</sub>O<sub>3</sub> photocatalyst showed remarkable photocatalytic  $NO_x$  oxidation and storage performance in relation to the TiO<sub>2</sub>–P25. Dong et al. used flow reactor for photocatalytic NO removal on BiOI surface under the influence of visible light [71]. Photoreactor (4.5 dm<sup>3</sup>) was in the form of rectangular box, made of stainless steel, and covered with quartz glass. Testing BiOI film on sample dish was located in the middle of the reactor. A LED lamp was vertically located outside the reactor above the sample dish. Wang et al. used continuous flow reactor for the oxidation of NO from a gaseous phase [72]. Photoreactor was made of Pyrex glass with "Z" type and was irradiated by one 125 W Hg arc lamp located outside the reactor. The volume of the reactor was  $340 \text{ dm}^3$ . The reactor and source of light were set in a hollow chamber which was coated with tinfoil. Various surfaces platinized TiO<sub>2</sub> were placed in the bottom part of the reactor. Portela et al. used continuous flow flat reactor for photocatalytic oxidation of  $H_2S$  [73]. Various photocatalysts were coated on the glass plates. The reactor with a top borosilicate glass window was irradiated by two 8 W UVA lamps. Sheng et al. used continuous flow reactor for photocatalytic oxidation of NO [74]. The woven glass fabric immobilized with photocatalyst was placed into reactor with a "Z" type, made of cylindrical Pyrex glass. 125 W Hg arc lamp used as an irradiation source was located outside the reactor.

Several research groups used fixed bed reactor for photocatalytic oxidation of  $NO_x$ ,  $SO_2$ , and  $H_2S$  in the gas phase [75–78]. Liu et al. used fixed bed reactor made of double concentric quartz tubes for oxidation of NOx and SO2 [75]. 125 W highpressure mercury lamp was located in the center of inner tube and was used as an irradiation source. The reactor was placed inside a black box. Cu doped titanium dioxide supported by multi-walled carbon nanotubes was placed in the outer tube. Ou et al. studied photocatalytic oxidation of NO under the influence of visible light using a fixed bed continuous flow reactor [77]. This reactor was made of tubular quartz. The 350 W Xe lamp was vertically placed, parallel with the reactor. The photocatalyst powder  $(g-C_3N_4/BiVO_4)$  was mixed with silica sand and packed in the reactor. The photocatalytic activity test showed that the maximum conversion of NO was 40 % when the concentration of NO was about 400 ppm under the visible light irradiation Wang et al. used bed reactor for photocatalytic decomposition of  $H_2S$  under the influence of visible light [78]. These experiments were carried out in a glass tubular reactor. The 100 W lamp was located outside the reactor. A shutter window was located between the lamp and the reactor to remove UV radiation.

Lafjah et al. studied photocatalytic oxidation of  $H_2S$  in the gas phase using single pass annular Pyrex reactor [79]. This reactor was made of two coaxial tubes,

between which the contaminated air was passed through. The irradiation source (8 W blacklight tube) was located inside the internal tube. The photocatalyst powder was placed on the inner surface of the external tube. Tellez et al. used annular reactor for photocatalytic oxidation of  $H_2S$  [37, 80]. This type of annular reactor has been described previously.

The plate reactors are often used for photocatalytic oxidation of  $NO_x$  in the gas phase [81–86]. These types of reactors were described in the previous subsection. Ao et al. studied photocatalytic oxidation of  $NO_2$  using plate reactor with inner source of irradiation (6 W UV lamp) [81]. The reactor's surface was coated by a Teflon film. TiO<sub>2</sub> powder was coated on the glass fiber filter. Moreover, the plate reactor made of stainless steel with inner source of irradiation was used by Chen et al. for photocatalytic oxidation of  $NO_x$  [60, 82]. Yu et al. used plate reactor with outer source of irradiation for removal of NO [83]. This reactor was made of non-adsorbing plastic material. Top of the reactor was covered with a borosilicate plate. The photocatalyst was illuminated by 25 W cool daylight lamps. Other types of the reactors used for photocatalytic oxidation of inorganic pollutants were described elsewhere [87, 88].

## 7.2.3 Reactors for Photocatalytic Inactivation of Bacteria

Lin et al. used reactor with the commercial TiO<sub>2</sub> filter for photocatalytic inactivation of Bacillus subtilis and Penicillium citrinum [89]. 8 W fluorescent blacklight lamp was placed above the surface of the filter and glass slide. Photocatalyst-coated filter and irradiation source were located inside the chamber. The spore suspensions of bacteria were dropped directly onto the center part of the TiO<sub>2</sub> filter. TiO<sub>2</sub> filter had a large pore size about 500 µm. Chotigawin et al. used photocatalytic HEPA filter for microorganism disinfection [90]. Two photocatalytic HEPA filters were located into the closed loop chamber side by side. The photocatalyst was irradiated by five 36 W UVA lamps. The photocatalytic filters were made by dip coating a HEPA filter in a P25-TiO<sub>2</sub> slurry. S. epidermidis, B. subtilis, A. niger, and P. citrinum were used as the model of microorganism. Vohra et al. investigated the disinfection effectiveness of commercial titanium dioxide coated on the fabric filters for Bacillus cereus, Staphylococcus aureus, Escherichia coli, Aspergillus niger, and MS2 bacteriophage inactivation [91]. These experiments were carried out in the recirculation duct. The form of this reactor is rectangular in cross section while the lower duct portion is circular.

Keller et al. used the photocatalytic reactor which is a Vigreux-like Pyrex tubular reactor for photocatalytic inactivation of *Escherichia coli* as the model bacteria in airstream [92]. This reactor was made of Pyrex glass. Four 8 W blacklight tubes were located outside the reactor. The photocatalyst was coated on the inside of the tube. This technical solution of the reactor allowed better contact between the solid photocatalyst and flowing bacterial contamination. The reactor consisted of an aerosol generator and a bacterial cultivation medium.

Guo et al. studied photocatalytic inactivation of *Escherichia coli* K12 placed in Petri dish irradiated by two 8 W fluorescent lamps [93]. It was found, that photocatalytic inactivation of microorganism by TiO<sub>2</sub>, based on generation of reactive oxygen species (ROS), are followed by action of the generated ROS on the target organism. It was stated that photocatalytic inactivation of bacteria involved oxidative damage of cell walls, membranes, enzymes, and nucleic acids by ROS. Modesto et al. used plate reactor for inactivation of bacteria in the gas phase [94]. *Escherichia coli, Bacillus subtilis*, and *Staphylococcus aureus* were used as the model of bacteria. The reactor was made of wooden medium density fiber (MDF) plates of 15 mm thickness. Six glass plates coated with the photocatalyst were located at the lateral walls of the chamber. Four 4 W blacklight lamps were located along the chamber. The suspension of microorganisms in the airstream passed through the reactor. TiO<sub>2</sub>, Ag–TiO<sub>2</sub>, Pd–TiO<sub>2</sub>, and Fe–TiO<sub>2</sub> were used as photocatalysts.

### 7.2.4 Reactors for Photocatalytic CO<sub>2</sub> Conversion

Photocatalytic  $CO_2$  conversion is carried out in two major system types: (i) two phases and (ii) three phases. Two-phase systems include (i) gas photocatalyst and (ii) liquid photocatalyst. Table 7.2 shows various types of reactors that can be applied for photocatalytic  $CO_2$  conversion in two-phase and three-phase system. It could be concluded that the convective mass transfer rate of  $CO_2$ , reaction rate, and surface area of the photocatalyst are the main factors for efficient photocatalytic  $CO_2$  conversion.

Zhao et al. studied photocatalytic reduction of  $CO_2$  in fixed bed reactor [100]. Gas mixture of  $CO_2$ ,  $H_2O$ , and methanol was introduced into a cylindrical reactor made of stainless steel and quartz window. Ag/TiO<sub>2</sub> photocatalyst was coated on the glass fiber filter and placed at the bottom of the reactor. A 150 W solar simulator was located outside the reactor. The same reactor has been used by Liu et al. for photocatalytic reduction of  $CO_2$  in the presence of Cu/TiO<sub>2</sub>

Type of the reactor	Type of CO <sub>2</sub> conversion systems	Ref.
Slurry	Three	[95–97]
Fixed bed	Two	[98, 99]
	Three	[100]
Annular	Two	[101, 102]
Optical fiber	Two	[103–105]
	Three	[106]
Honeycomb monolith	Two	[107]
	Three	[108]

**Table 7.2** Various types of reactors used for photocatalytic  $CO_2$  conversion in two-phase and three-phase systems

photocatalyst [98]. Shi et al. used fixed bed reactor for photocatalytic conversion of  $CH_4$  and  $CO_2$  to acetone production [109]. The experiments were carried out in a continuous flow quartz fixed bed reactor. A 125 W ultrahigh pressure mercury lamp was located in the center part of the reactor. The photocatalyst bed was placed along the reactor's wall. Cu/CdS–TiO<sub>2</sub>/SiO<sub>2</sub> was used as the photocatalyst. Wang et al. used fixed bed reactor for  $CO_2$  reduction with H<sub>2</sub>O under simulated solar irradiation [99, 110]. This reactor was made of a stainless steel with the volume of 1.5 dm<sup>3</sup>. Photocatalyst powder was placed on the stainless steel omentum located in the center of the reactor. A 300 W Xe arc lamp was put at the top of the quartz window. A moist glass wool was placed between the bottom of the reactor and photocatalyst. The bottom of glass wool support was moisturized of deionized water.

Ola et al. used honeycomb monolith reactor for CO<sub>2</sub> conversion using Pd and  $Rh-TiO_2$  photocatalyst under the influence of ultraviolet irradiation [107]. The optical fibers were uniformly distributed in the monolith and located into a cylindrical reactor made of Pyrex glass. The irradiation was carried out by the highpressure mercury lamp through the quartz window. The reactor was covered in aluminum foil and located in the gloved box. The experiments were also carried out in the slurry batch annular reactor to comparison quantum efficiency. It was found that the quantum efficiency of the monolith reactor was 23.5 times higher than that of the slurry batch annular reactor due to the high surface area of the monolith and the elimination of uneven light distribution via the optical fibers. Tahir and Amin used microchannel monolith reactor for photocatalytic CO<sub>2</sub> reduction [111, 112]. The reactor was made of a stainless steel cylindrical vessel with a total volume of 0.15 dm<sup>3</sup>. The monolith has been coated with photocatalyst and located in the center of the cylindrical reactor, equipped with a quartz window for passing light irradiations from 200 W mercury lamp. The reactor was fitted with heating and cooling jacket to adjust the reactor temperature. The photocatalytic experiment was carried out in a microchannel monolith photoreactor, and its performance was compared with a cell-type photoreactor. It was found that the quantum efficiency achieved in the cell-type reactor was much lower compared to the microchannel monolith reactor due to higher illuminated surface area, higher photon energy consumption, and better utilization of monolith reactor volume.

Nguyen et al. used continuous circular reactor made of Pyrex glass with a quartz window for reduction of  $CO_2$  over ruthenium dye-sensitized  $TiO_2$  metal-doped photocatalysts under concentrated natural sunlight [113]. Photocatalyst was coated on the optical fibers. High-pressure Hg lamp or concentrated natural sunlight was used as the irradiation source. The concentrated natural sunlight was collected by using a solar concentrator and transmitted via an optical cable and focused on the window of the reactor. Wu and Lin used optical fiber reactor for photocatalytic reduction of  $CO_2$  to methanol [104]. Photocatalysts coated on 120 fibers with 16 cm long were located into the reactor. Both sides of the reactor were sealed using O-rings and illuminated from the quartz window of one side by an Hg lamp. The reactor was covered using aluminum foil to avoid the light from the outside during the reaction. Wu et al. studied this same optical fiber reactor for  $CO_2$  reduction

using  $TiO_2$ ,  $Cu/TiO_2$ , and  $Ag/TiO_2$  films coated on 216 fibers as photocatalysts [103].

### 7.3 Liquid-Phase Photoreactors

There are many types of reactors that can be used in the liquid-phase photocatalytic reactions. The selection usually depends on the experimental conditions and the application. Different water contaminants, ranging from hazardous contaminants of pesticides, herbicides, and detergents to pathogens, viruses, coliforms, etc., can be effectively removed in liquid-phase photoreactors. Table 7.3 summarizes various model compounds and microorganisms commonly used in the photocatalytic reactions. Examples of these various photocatalytic degradation processes and inactivation of the microorganisms will be considered in the following sections.

Liquid-phase heterogeneous photoreactors can be generally divided into three main groups based on their design characteristic such as [114–116]:

- 1. State of the photocatalyst: reactors with suspended photocatalyst particles (slurry) and reactors with photocatalyst immobilized on the inert surfaces
- 2. Type of illuminations: artificial light or solar light
- 3. Position of the irradiation source: external light source, immersed light sources, and distributed light sources (such as reflectors or optical fibers)

While fundamental principles of the photocatalytic processes are relatively well understood, the design and modeling of photocatalytic reactors still require consideration. It is particularly essential in the case of scaled reactors processing large volumes of water and using high levels of irradiation [115, 117].

Application	Model compound/microorganism
Photocatalytic degradation of organic pollutants	Methyleneblue [118]; methyl orange [119]; rhodamine B [120], phenol [121]; 4-nitrophenol [122]; trichloroethylene [123]; Congo red [124], chlorophenol [125], tetracycline [126], atrazine [127]
Photocatalytic inactivation of microorganisms	<i>E. coli</i> [128]; <i>Enterococci</i> [129]; <i>Bacillus subtilis</i> [130], <i>Pseudomonas aeruginosa</i> [131], <i>Klebsiella faecal</i> [132], <i>Pseudomonas fluorescens, Macrococcus caseolyticus</i> [133], <i>Salmonella typhimurium</i> [134], <i>Bacillus stearothermophilus</i> [135]

Table	7.3	Overview	of	model	compounds	and	microorganisms	used	for	photocatalytic
applica	tions	1								

## 7.3.1 State of the Photocatalyst

#### 7.3.1.1 Slurry Reactors

Slurry reactors are the most common and conventional reactors in photocatalytic technology [136]. In a slurry system, the catalysts are suspended in the liquid phase with the help of mechanical or gas-promoted agitation.

These show the largest photocatalytic activity compared with the immobilized photocatalyst and provide a high total surface area of photocatalyst per unit volume which is one of the most important factors configuring a photoreactor. However, these reactors require an additional downstream separation unit for the recovery of photocatalyst particles [116, 137]. Table 7.4 summarizes the advantages and disadvantages of both slurry and immobilized systems.

The effects of operational parameters on the photocatalytic slurry reactors are systematically investigated to achieve optimum reactor design for more effective photocatalytic water treatment process [115]. Nishio et al. examined the influence of light intensity, initial dye concentration, photocatalyst loading, and initial solution pH on the decolorization rate of Orange II in an external UV light irradiation slurry photoreactor using zinc oxide (ZnO) as a semiconductor photocatalyst. The experiments were performed in a Pyrex glass cylindrical reactor of 0.08 m inside diameter and 0.55 m height. The working volume was 2 dm<sup>3</sup>. Around the cylindrical photoreactor were located three 15 W near UV fluorescent lamps (352 nm) and

Slurry reactors		Immobilized reactors
Advantages	High total surface area of photocatalyst per unit volume; Simple construction; Potentially lower capital required for a large-scale slurry reactor; Excellent heat transfer performance; Lower catalyst amount than the fixed bed reactor; Well mixed catalyst suspension; Feasibility for large capacity; Low pressure drop; Online removal and addition of cat- alyst; Limited mass transfer	Continuous operation; Not requiring catalyst recovery; Improved removal organic pollutant from water while using adsorptive materials
Disadvantages	The separation of product and cata- lyst; Catalyst attrition; Important light scattering and parti- cle; adsorption in the particle suspended; medium	Low surface-area-to-volume ratios; Inherent inefficiencies introduced by light absorption and light scattering in the particle suspension medium; Significant pressure drop; Catalyst fouling or catalyst washout; Mass transfer limitation

**Table 7.4** The advantages and disadvantages of slurry and immobilized-type reactors [115, 116, 138, 139]

externally irradiated the solution. The distance between the lamp and the photoreactor surface was 0.025 m. The photocatalytic reactor as well as lamps was totally covered with an aluminum foil. It was observed that the dye removal efficiency increased as initial pollutant concentration decreased and UV light intensity increased. The highest efficiency was achieved for ZnO concentration being around 1000 mg/dm<sup>3</sup> and pH was around 7.7 [140]. McCullagh presented a novel photoreactor based on a slurry continuous flow reactor configuration for methylene blue (MB) photodegradation in the presence of TiO<sub>2</sub> photocatalyst. This configuration combines the high surface area contact of photocatalyst with pollutant of a slurry reactor and also provides a high illumination of photocatalyst. Moreover, on the inside wall of the reactor vessel, reactor has a unique array of weir-like baffles which continuously remove catalyst from aqueous, enabling the catalyst to be exposed to UV irradiation as the reactor vessel rotates perpendicular to the light source. Experimental results indicated that developed novel reactor configuration exhibited a high UV light penetration characteristic as well as very effective mass transfer rate [141]. In another study, Subramanian et al. reported phenol degradation studies in an annular slurry reactor under various operating and design conditions. The photoreactor had concentric transparent acrylic stationary outer cylinder and inner cylinder rotating at specified revolutions per minute. Authors studied the influence of pollutant concentration (10-50 mg/dm<sup>3</sup>), inner cylinder rotation speed (0-50 rpm), catalyst loading (0-8 g/dm<sup>3</sup>), annular gap width (7.5, 17.5, and 32.5 mm), as well as mode of illumination: continuous or periodic on the photocatalytic performance. It was clearly demonstrated that the performance of the reactor was improving with the increased content of catalyst, but controlled periodic illumination had no significant influence on reactor efficiency over the regular continuous irradiation. Moreover, rotation of the inner cylinder was necessary only in the case of high gap width configuration at high catalyst loadings [142].

Wang et al. investigated photocatalytic disinfection of gram-negative *Pseudo-monas fluorescens* and gram-positive *Macrococcus caseolyticus* spoilage bacteria under various conditions. The reactor system consisted of a magnetic stirrer, a black UV light lamp, and a baker which was exposed to the irradiation from the top. The light intensity was measured using a digital light intensity meter. It was demonstrated that increased photocatalyst contents and UVA light intensity resulted in increased microorganisms killing. Moreover, effectiveness of suspended photocatalyst depended on the initial bacterial population – nano-TiO<sub>2</sub> was more effective against *M. caseolyticus* than *Pseudomonas fluorescens* bacteria [133].

For the photocatalytic reduction of  $CO_2$ , in 1979, Inoue et al. introduced a slurry reactor in which catalysts were suspended in water [143]. Until 2000, slurry-type reactors were widely considered for reduction of  $CO_2$  under UV or visible irradiation. On the other hand, Tahir and Amin suggested that this type of reactor is not efficient for enhancing the photocatalytic activity due to the low surface area and complicated separation process required to isolate the miniature catalyst grains [144]. Furthermore, one of the limitations for  $CO_2$  photoreduction in the liquid phase is due to its low solubility in water. Therefore, Rossetti et al. developed an innovative concept of photoreactor, allowing to operate under high pressure (up to

20 bar). The proposed stainless steel reactor was effectively employed to improve  $CO_2$  solubility in a liquid solvent even at high temperature. The suspension was saturated with  $CO_2$  at various temperature and pressure and then irradiated with a 125 W medium-pressure Hg vapor lamp (range of emission: 254–364 nm). The results showed a strong dependence of product distribution on temperature and pressure. An increase of pressure caused increase in  $CO_2$  concentration in the liquid phase and preferred the formation of liquid fuels such as  $CH_3OH$  and HCOOH [145].

Priya et al. developed two slurry photocatalytic reactors: batch reactor (BR) (see Fig. 7.3d) and batch-recycle reactor with continuous supply of inert gas (BRRwCG) and compared their performance in the process of hydrogen production. The photoreactors of capacity 300 m leach were made of plexiglass material which was transparent to the solar light. The photocatalytic powders were kept suspended using magnetic stirrer in the BR and gas bubbling and recycling of the suspension in the BRRwCG. The higher generation of hydrogen was observed in the case of BRRwCG due to the recycling of solution and continuous purging of inert gas, enabling the fast desorption of products [146].

### 7.3.1.2 Immobilized Reactor

Photocatalytic reactors with immobilized photocatalyst are those in which the photocatalyst is fixed to support by physical surface forces or chemical bonds. These reactors extend the benefit of not requiring catalyst recovery and permit the continuous operation [114, 137].

Typical photocatalyst supports are:

- Sand [147]
- Polymer films [148]
- Alumina [149]
- Glass beads [150]
- Zeolite [151]
- Activated carbon [152]
- Silica gel [153, 154]
- Stainless steel [155, 156]
- Carbon fiber [157]

Recently, Li et al. designed novel double-cylindrical-shell (DCS) photoreactor for degradation of rhodamine B (RhB) and methyl orange (MO). The photoreactor was developed by immobilizing TiO<sub>2</sub>-coated silica gel beads on the outside surface of interior quartz glass tube of the DCS reactor. In order to optimize designed photocatalytic reactor, the operational parameters such as flow rate, initial concentration, and repetitive operation for the degradation of dye were studied. The developed novel reactor exhibited higher efficiency, lower energy consumption, and better repetitive operation performance for the degradation of RhB and MO as compared with reported slurry and thin-film photoreactors [158]. Behnajady



Fig. 7.3 Main types of photoreactors used for water and wastewater treatment (irradiated by sun or UV lamps): (a) compound parabolic collector (CPC); (b) parabolic trough reactors (PTR); (c) double-skin sheet reactor (DSSR); (d) slurry, wall, fixed bed reactor; (e) batch reactor with outer source of irradiation; and (f) batch reactor with inner source of irradiation

et al. described the construction and performance of a continuous flow photoreactor with immobilized  $TiO_2$  on glass plates for photodegradation of C.I. Acid Red 27 (AR27). The photocatalytic reactor consisted of four quartz tubes connected through means of polyethylene tubes from the top to the bottom. Three glass plates loaded with  $TiO_2$ –P25 were put into the quartz tubes. Four low-pressure mercury UV lamps were placed in front of the quartz tubes. The results showed that removal efficiency of AR27 increased linearly with increasing the light intensity, but it decreased when the flow rate increased [159].

The reports about photocatalytic disinfection of water commonly use slurry photoreactor, reaching a high efficiency to inactivate microorganisms. However, some efforts have been also concentrated on using immobilized systems, usually exhibiting to be less active and requiring more irradiation time as compared with suspended systems [160, 161]. Grieken et al. developed wall and fixed bed reactors for inactivation of *Escherichia coli*. TiO<sub>2</sub> photocatalyst was immobilized in an annular reactor in two different ways: on the inner reactor wall and on the surface of glass rings used in packed fixed bed reactor. The effect of the increase in the TiO<sub>2</sub> layer thickness has been evaluated, and the results have been compared with those obtained for increasing concentrations of TiO<sub>2</sub> slurries (see Fig. 7.3d). Although immobilized systems were less photoactive than slurry system, they exhibited a higher resistance to the inhibition by organic matter, leading to comparable irradiation time to obtain microorganism concentration below detection limit in wastewater [162].

Hsu et al. immobilized S-doped ZnO nanorods on stainless steel mesh as novel hierarchical photocatalysts for water splitting to hydrogen production. Polymer additive enabled the growth of nanorods on the total surface of wire mesh. The surface texture and photocatalytic hydrogen production performance from salt water under UV light irradiation in a reactor loaded with these photocatalysts were tested. The highest evolution rate was achieved due to increased surface area of the hierarchical immobilized photocatalyst, enhanced light trapping, as well as liquid flow among wire meshes [163].

## 7.3.2 Type of Irradiation

#### 7.3.2.1 Artificial Light

One of the most challenging parameters in the design of photoreactors is the appropriate illumination of catalyst. Therefore, the important aspects in design consideration for photocatalytic reactors are light wavelength, light intensity, as well as type of irradiation source [115]. There are main types of artificial irradiation sources including: (i) arc lamps, (ii) fluorescent lamps, (iii) incandescent lamps, (iv) lasers, and (v) light-emitting diodes (LEDs). Arc lamps are often named according to the gas contained in the bulb, including neon, argon, xenon, krypton,

Configuration	Catalyst	Light source	Application	Ref.
Labyrinth flow bubble photoreactor	Suspended	Tubular UV lamp (365 nm)	Methyl orange degradation	[165]
Carberry photoreactor	Immobilized	Low-pressure mercury (355 nm)	4-Chlorophenol degradation	[166]
Twin reactor	Suspended	500 W halogen lamp	Hydrogen production	[167]
Batch-recycle reactors	Suspended	Hg-Xe UV lamp	Hydrogen production	[168]
Batch annular reactor	Suspended	9 W Hg lamp	CO <sub>2</sub> photoreduction	[169]
Tubular reactor	Immobilized	Low-pressure mercury lamp	Pollutant degradation	[170]
Fluidized bed reactor	Immobilized	Low-pressure mercury vapor UV lamp	Hydrogen production	[171]

 Table 7.5
 Overview of artificially illuminated liquid-phase photoreactor

sodium, metal halide, and mercury. Additionally, mercury lamps can be grouped in low, medium, and high-pressure mercury lamp categories [164] (Table 7.5).

Swarnalatha et al. studied photocatalytic oxidation of 2,6-dinitrophenol using different catalysts: TiO<sub>2</sub>, TiO<sub>2</sub>-P25, CdS, WO<sub>3</sub>, and ZnO. The annular-flow photocatalytic reactor used in this research was a cylindrical plastic vessel, in which the mercury lamp is surrounded by a quartz glass tube to belay it from direct contact with an aqueous solution flowing by an annulus between the inner surface of the vessel and the outer surface of the quartz glass tube. It was demonstrated that aqueous TiO<sub>2</sub>–P25 suspension exhibited the highest efficiency in photocatalytic degradation at the wavelength of 254 nm using an annular-flow-type reactor equipped with an 8 W low-pressure mercury lamp. Moreover, the effect of irradiation time and pH on the efficiency of degradation was investigated. The pollutant degradation in the presence of P25 was found to incrementally increase with increasing irradiation time at an optimum pH of 8. Complete degradation of the 2,6-dinitrophenol occurred after 3 h of irradiation [172]. In another study, Han et al. investigated photocatalytic degradation of p-chlorobenzoic acid (p-CBA) in aqueous solution using two kinds of low-pressure mercury lamps: UV lamp emitted at 254 nm and the vacuum UV lamp emitted at both 254 nm and 185 nm. The lamp was put in the center of the photocatalytic reactor with quartz tube protection (outer diameter 25 mm). Oxygen or air was used as a bubbling gas which was implemented to the reactor through a porous glass plate with a flow rate of 200 cm<sup>3</sup>/min. It could be seen that degradation of p-chlorobenzoic acid was more effective in the presence of vacuum UV lamp than in the case of UV lamp when the same power lamps were used in research [173].

Chen et al. investigated photocatalytic disinfection of *Escherichia coli* K12 using natural sphalerite (NS) as a photocatalyst under various spectra and intensities of visible light emitted by LEDs. The photocatalytic test was performed in the reactor equipped with 16 LED lights and compared with results obtained for two

other visible light sources such as fluorescent tube and xenon lamp. Moreover, photocatalytic disinfection of microorganisms was compared under various single spectra: blue, green, yellow, and red color LEDs. It was shown that the most effective wavelength ranges for photocatalytic inactivation of bacteria are 440-490 and 570-620 nm. Moreover, a positive dependence was observed between the disinfection efficiency and the visible light intensity. The results showed also that NS caused complete inactivation of E. coli within 8 h irradiation using white LEDs [174]. In another study, Benabbou et al. examined photocatalytic inactivation of Escherichia coli K12. The disinfection experiments were carried out in a Pyrex reactor in which an HPK 125 W lamp emitting in the 200-400 nm range was used as irradiation source. Moreover, various optical filters were used to modify lamp emission spectrum. The light intensity was controlled by grids with various sizes of mesh, which were put on the lamp. The effect of different types of UV light, including UVA. UVB, and UVC was also examined, and modification of the light radiation intensity was discussed. It was found that the addition of photocatalyst at low concentration improved the inactivation of bacteria in the presence of UVA and UVB, but negative effect was noted under UVC. Furthermore, the photocatalytic efficiency increased as a function of light intensity, no matter the experimental conditions [175].

Kočí et al. studied the effect of reactor geometry on the photocatalytic reduction of CO<sub>2</sub> using ZnS nanoparticles deposited on montmorillonite as a catalyst. The photocatalytic experiments were performed in two homemade batch annular reactors with three quartz tubes of various diameters: 3.5, 4.0, and 4.5 cm. The photocatalyst was suspended in NaOH solutions, and after saturation by CO<sub>2</sub>, the suspension was illuminated using UV 8 W Hg lamp (254 nm). It was demonstrated that for both reactors, the highest activity of the photocatalytic reduction was obtained in a configuration where the lamp touched the surface of the liquid in the reactor and the configuration of the reactor was not annular. Moreover, it was suggested that one of the most important factors in the slurry reactors is appropriate mixing but its implementation is difficult in apparatus of annular configuration [102].

Hernández-Gordillo et al. investigated photocatalytic activity of CdS photocatalyst for the hydrogen production from either methanol–water or sulfide/ sulfite solution in the presence of blue light energy. The photocatalytic tests were performed in a glass homemade photoreactor without any cooling system. The solution was irradiated using blue light emitted by LED lamps of very low power (3 W) which were placed in appropriate positions to allow complete illumination of the suspended catalysts. It was shown that the amount of hydrogen generated linearly increased as a function of the number of LED lamps, achieving to a hydrogen production of 9.54  $\mu$ mol/h. This study suggested that the hydrogen production depended very strongly on the lamp intensity [176]. In another study, Gomathisankar et al. investigated photocatalytic hydrogen production from aqueous methanol solution using Cu-deposited ZnO photocatalyst. The photocatalytic test was hermetic closed with septum and aluminum insulating. The optical filter

 $(\lambda > 400 \text{ nm})$  was used for the visible light irradiation. A xenon lamp (500 W) was located on the side of the photoreactor and used as a light source. The light intensity was controlled by a UV radiometer equipped with a sensor of 320–410 nm wavelengths. It was demonstrated that Cu-deposited ZnO had the response to the visible light for the hydrogen production. Furthermore, under the optimal conditions, the photoactivity was about 130 times higher than those showed for bare ZnO photocatalyst [177].

### 7.3.2.2 Solar Light

The implementation of solar photocatalytic reactors has occurred concurrently with advances in the design of solar thermal collectors. There are specific constraints for the design of solar photocatalytic reactors such as [178, 179]:

- The wastewater must be exposed to ultraviolet solar radiation; therefore, the collector must be made of UV transparent materials.
- Temperature negligible affected the photocatalytic process, so no insulation is required.
- Construction should be economical and efficient with a low pressure drop.

Solar photocatalytic reactors can be divided into concentrating and non-concentrating (one sun) systems depending on received irradiation [180]. - Non-concentrating solar reactors use intensities equal or lesser than natural solar irradiation, while concentrating solar reactors require intensities that surpass irradiations equivalent to one sun [116].

In the concentrating design, solar radiation is collected in a photocatalytic reactor by a reflecting surface, and because of this, for the same light-harvesting area, the reactor volume is smaller than in the case of non-concentrating system [180]. The most promising type of concentrating solar reactor is parabolic trough collector (PTC) which is demonstrated to be efficient for wastewater treatment. PTCs consist of platform that has one or two motors controlled by single- or dual-axis solar tracking system that maintain the collector aperture plane perpendicular to incoming solar radiation (see Fig. 7.3b) [181].

Non-concentrating photoreactors have no moving parts or solar tracking devices (see Fig. 7.3c). This kind of reactor does not concentrate radiation, and because of this, efficiency is not limited by factors connected with reflection, concentration, or solar tracking. In this system, optical efficiency is higher as compared with concentrating reactors. Moreover, non-concentrating system can utilize the diffuse and direct portion of the solar UVA [182]. One-sun collectors are usually cheaper than PTCs because their elements are simpler, and the surface required for their installation is smaller [178].

Compound parabolic collectors (CPCs) belong to the most promising photocatalytic solar reactors which combine the advantages of parabolic trough concentrator and non-concentrating system [178]. CPCs are low-concentration static collectors with reflective surface and can be designed for any given reactor shape (see Fig. 7.3a) [182]. The CPC reflectors are usually made from polished aluminum

because of its high reflectivity in the UV range and high resistance to the environmental conditions. Pipes and valves are manufactured from polyethylene; photoreactor tube is made of borosilicate 25 glass due to high transmission in the UV range of its material. Water flows through the borosilicate tubes to a tank by a centrifugal pump, allowing a turbulent regime inside the photocatalytic reactor [183].

Zayani et al. investigated performances of solar pilot plant for photocatalytic removal of azo dye used as a model pollutant. Experiments were carried out in thinfilm fixed bed reactor with an illuminated area of 25 m<sup>2</sup>. Effect of important operating parameters including flow rate, catalyst loading, and initial dye concentration on photocatalytic treatment kinetic was examined for optimization which will be necessary in designing large-scale photoreactors. Furthermore, the photodegradation kinetic of total organic carbon (TOC) was discussed in terms of Langmuir–Hinshelwood model [184]. Xu et al. developed novel optical fiber reactor (OFR) in which side-glowing optical fibers (SOFs) were used as light transmission medium as well as photocatalyst supporter. The SOF was made up of quartz core with a silicon cover which can emanate light from side surface more uniformly and transmit light for longer distance. Furthermore, SOF was flexible and can be entwined into any shapes. It was demonstrated that novel reactor can collect solar light efficiency while occupying smaller surface as compared with traditional solar collectors. It was observed that 79 % of 4-chlorophenol decomposed under sunlight irradiation during 8 h [185].

Vidal et al. presented the first pilot-plant study about solar photocatalysis for bacterial inactivation. Researchers constructed a new low-cost compound parabolic concentrator (CPC) prototype containing: solar collector (Pyrex photoreactor tubes, aluminum reflective surface), flowmeter, pump, sensors (pH, O, T, UV radiation), pipes, fittings, and tanks (PVC). This solar photoreactor has an area of  $4.5 \text{ m}^2$  and it was tilted at local latitude to maximize the available solar irradiation. It was observed 5-log reduction for E. coli and Enterococcus faecalis (initial concentration:  $10^2 - 10^4$  CFU/cm<sup>3</sup>) after 30 min of solar irradiation (solar UV value: 25 W/m<sup>2</sup>) [186]. In another study, McLoughlin et al. compared three different solar collectors for the disinfection of water heavily contaminated with Escherichia coli. It was demonstrated that three lab-scale solar photoreactors which were constructed using Pyrex tubing and aluminum reflectors of compound parabolic, parabolic, and V-groove profiles all enhance the effect of natural solar irradiation. Among these three collector shapes, compound parabolic reflector promoted the most efficient inactivation of bacteria. Moreover, researchers carried out the tests to assess the improvement to disinfection which could be achieved using TiO<sub>2</sub>-coated Pyrex rods fixed within the reactors. However, this solution caused only a slight improvement in performance of the compound parabolic reactor and no enhancement to overall disinfection performance in either the parabolic and V-groove reactors [187]. Alrousan et al. carried out solar photocatalytic disinfection of water using compound parabolic collector and P25 immobilized on borosilicate glass tube. Researchers tested several photoreactor configurations such as (1) borosilicate glass tubes (1.5 m in length) of diameter 50 mm dip coated with TiO<sub>2</sub>-P25, (2) uncoated 50 mm borosilicate glass tubes, (3) 32 mm borosilicate glass tube externally dip coated with TiO<sub>2</sub>, and (4) uncoated 32 mm borosilicate glass tube.

Each configuration was examined using one tube and one CPC mirror, with an irradiated surface of  $0.2 \text{ m}^2$  and a total volume of treated water of 7 dm<sup>3</sup> The most effective configuration was the concentric tube arrangement with CPC [188].

In spite of the still insufficient efficiency of direct photocatalytic water splitting, there are few trials in large-scale application of hydrogen photoreactors in the literature [189]. Jing et al. developed CPC-based solar reactor for photocatalytic hydrogen production that consisted of solar collector, Pyrex photoreactor tubes, reflective surface, and flow meter; fitting, pipes, and tanks; and pump and sensors. The photocatalytic performance was investigated for various design parameters such as tube radius, flow velocity, photocatalyst, as well as sacrificial agent concentrations. In optimal conditions, this photoreactor had higher hydrogen rate per unit volume than in the case of lab-scale reactor which could be caused by the design of tubular reactor properly illuminated by CPC on one side [190]. In another study. Villa et al. tested the simultaneous photocatalytic hydrogen production under direct solar irradiation at pilot-plant scale. The experiments were performed in a compound parabolic collector (CPC) composed of Pyrex glass tube placed on the fixed platform. A centrifugal pump with a flow rate of 20  $dm^3/min$  enabled the recirculation of the aqueous slurry from the tank to the tubes of the photoreactor. The hydrogen was generated from aqueous solutions of formic acid, glycerol, as well as a real wastewater. The highest hydrogen production was obtained with aqueous solution of formic acid after 5 h of irradiation. However, the tests with real wastewater gave moderate amount of hydrogen, suggesting the possible use of such waters for hydrogen production in the future [191].

## 7.3.3 Position of the Irradiation Source

The arrangement of light source is another important aspect of photocatalytic reactor design. In the case of immersed-type reactor configuration, the lamp is placed inside the unit (see Fig. 7.3e). In external-type reactor, the lamp is located outside the reactor (see Fig. 7.3f). Light has to pass through reactor wall to get the water body. In this kind of reactor, the light intensity and evenness of UV fluence rate (UV-FR) are usually lower than that in the other two types for the same power consumption [192, 193]. Another type is the distributed reactor where light is transported from the source to the photocatalytic reactor using reflectors or light guides [194]. The distributive-type reactor usually is characterized by higher and more uniform irradiation inside the reactor than the external-type reactor.

Effects of different lamp arrangements on photocatalytic reactor performance have not been well studied. Recently, Xu et al. used computational fluid dynamics (CFD) simulation software FLUENT to simulate microorganism particle motion in various UV water disinfection reactors. The influence of lamp arrangements on the UV-FR field and log reduction of different UV water disinfection photoreactors were studied under various flow rates and constant UV dosage. In the experiment, direction, number, and orientation of lamps were diverged. The results showed that overall effects on the reactor log reduction were complex. Higher water flow rate reduced "barrier" effect in reactors with multiple lamps, lowering log reduction. This study provided new approach for understanding the effect of lamp arrangement on the performance of photodisinfection reactor [193]. Palmisano et al. performed the validation of a two-dimensional model describing the behavior of a batch cylindrical photoreactor, externally irradiated by 1–6 UV fluorescent lamps coupled with a modified Langmuir–Hinshelwood kinetics. Experimental runs were performed at different 4-nitrophenol concentration, Degussa TiO<sub>2</sub>–P25 amounts, and under various irradiation configurations. The proposed model allows to determine the behavior of the photoreactor in a wide range of operating conditions: various catalyst and substrate loadings as well as radiations have been applied [195]. Moreover, in the literature, there are a few other reports about models for externally irradiated cylindrical reactors [196, 197].

## 7.4 Light Modeling

Most of the work in the field of design and modeling of photoreactors was done by Cassano and Alfano [16, 180, 198, 199]. In the case of photoreactor modeling, three main components should be considered: (1) thermal energy balance, (2) multicomponent mass conservation, and (3) photon balance (radiation energy). Balance of photons should be considered independently from the thermal energy balance since the energy useful in photochemical processes is generally negligible. The radiation energy used in the most majority of photochemical processes can be attributed to a range of wavelengths between 200 and 600 nm. Local volumetric rate of energy absorption (LVREA), defined as the rate of the radiation-activated step and proportional to the absorbed energy, was preliminarily introduced by Irazoqui et al. [200]. The LVREA depends on the photon distribution in the reaction space. To begin any photochemical reactions, absorption of a photon by a molecule resulted in formation of an excited state is a necessary step. Following absorption of radiation, a few pathways, different from the desired reaction, could be predicted, such as (1) a different, parallel reaction, (2) phosphorescence, (3) fluorescence, (4) deactivation by chemical quenching, etc. In a single-photon absorption process, the rate of radiationactivated step is proportional to the rate of energy absorbed (LVREA). The proportionality constant is the primary reaction quantum yield, defined as:

$$\Phi_{prim, v} = \frac{numbermolec_{prim}}{numberphoto_v absorb.}$$

where:

*numbermolec*<sub>prim</sub> is the number of molecules following the expected path in the primary process.

numberphot<sub>v</sub> absorb. is the number of absorbed quanta of radiation.

In most cases, radiation may be arriving at one point inside a photochemical reactor from all directions in space. For a photochemical reaction to take place, this radiation has to be absorbed by an elementary reacting volume described as spectral incident radiation ( $G_v$  expressed in W/m<sup>2</sup>):

$$G_v = \int_{\Omega} I_v d\Omega$$

where:

 $I_v$  is the spectral specific intensity (W/m<sup>2</sup> · sr).

 $\Omega$  is the unit direction vector (coincides with the axis of an elementary cone of solid angle  $d\Omega$ ).

Thus, to evaluate the LVREA in the case of polychromatic radiation, we have to know the spectral intensity at each point inside the reactor, according to the following equation [198]:

$$e^{a} = \int_{v1\theta1\phi1}^{v2\theta2\phi2} \kappa_{v}I_{v}\sin\theta d\phi d\theta dv$$

where:

 $(\theta_1, \theta_2)$  and  $(\Phi_1, \Phi_2)$  are the integration limit that define the space from which radiation arrives at the point of incidence.

## 7.5 Conclusions

Gas- and liquid-phase photoreactors discussed in this chapter specify the diversity in photocatalytic reactor design along with their potential applications. The following conclusions could be pointed based on the current state of the art in this field:

- 1. Photoreactors could be generally classified into three main groups based on their design characteristics such as (*i*) *state of the photocatalyst*, reactors with suspended photocatalyst particles (slurry) and reactors with photocatalyst immobilized on the inert surfaces; (*ii*) type of illuminations, artificial light or solar light; and (*iii*) position of the irradiation source, external light source, immersed light sources, and distributed light sources (such as reflectors or optical fibers).
- 2. Solar-driven large-scale photoreactors are mainly used for water/wastewater treatment and disinfection.
- Local volumetric rate of energy absorption (LVREA) is defined as the rate of the radiation-activated step in the photochemical reaction and depended on the photon distribution in the reaction space.

Type of the		
photoreactor	Advantages	Disadvantages
Liquid-phase	Feasibility for large capacity;	Inherent inefficiencies introduced by
photoreactors	Very good heat transfer performance;	light absorption or scattering in the
	More efficient removal of organic	particle suspension medium;
	pollutants from water while using	Photocatalyst attrition;
	adsorptive material	Possible photocatalyst fouling or
		washout
Gas phase	Relatively low levels of radiation	Pollutant adsorption on the walls of the
photoreactors	intensity needed to perform reaction;	reactor
	Small amount of photons adsorbed by	
	air and sufficient electron scavengers;	
	Photocatalyst in a form of thin layer –	
	there is no separation of product and	
	photocatalyst;	
	Higher quantum yield of	
	photocatalytic reaction (comparing to	
	liquid phase)	

Table 7.6 Summary of the principal advantages and disadvantages of gas- and liquid-phase photoreactors

The advantages and disadvantages of liquid- and gas-phase photoreactors are briefly summarized in Table 7.6.

The industrial application of photocatalytic processes is still limited due to the high cost of UV irradiation light as well as the problem with separation and reusing of photocatalysts after reaction. It could be also assumed that quantum yield in gas-phase reaction is much higher than that one in liquid-phase reaction due to lower light scattering. Therefore, solar-driven or low-powered UV lamp-irradiated (e.g., light-emitting diodes) photoreactors are crucial for broader-scale application of photocatalytic processes. Moreover, the future prospect of photocatalysis cannot rely only on the design of the photoreactors but also on the development of more effective photocatalysts. Photocatalysts used during the processes must achieve greater conversion efficiencies at lower irradiation energies. Finally, visible light-absorbing materials will be the most important component in wide-scale technology.

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# Chapter 8 Solar–Chemical Energy Conversion by Photocatalysis

Lan Yuan, Nan Zhang, Yi-Jun Xu, and Juan Carlos Colmenares

**Abstract** With the late but fast development, photocatalytic selective organic transformation has been recognized to be a promising alternative to traditional organic synthesis because it features unique advantages of being able to employ mild reaction conditions and avoid environmentally detrimental heavy metal catalysts as well as strong chemical oxidants or reducing agents. Moreover, it can facilitate the design of short and efficient reaction sequences, minimizing side processes and leading to high selectivity. This chapter provides an overview of the basic principles and evaluation methods of photocatalytic selective organic transformations. Recent progress on photocatalytic selective oxidation, reduction, and coupling reactions is represented based on selected examples. Besides, the future research challenges are concisely discussed.

**Keywords** Photocatalytic • Organic synthesis • Selective oxidation • Selective reduction • Coupling reactions

# 8.1 Introduction

Since the Industrial Age beginning with the invention of the steam engine in the 1760s, human beings have been exploiting fossil resources for energy and development. However, after more than 150 years' exploitation and utilization, expected depletion of the fossil fuel reserves has emphasized the issue of energy and environmental

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sustainability [1–6]. Heterogeneous photocatalysis by semiconductors, as a green technology, has received intense attention and been widely applied to environmental remediation and solar–chemical conversion for further energy supplies, including photodegradation of organic contaminants, photocatalytic  $CO_2$  reduction for value-added chemicals, and water splitting for H<sub>2</sub> production [1, 2, 7–25].

The application of photocatalysis in selective organic transformations is not common at first because semiconductor photocatalysis has long been considered as "nonselective" processes, especially in aqueous media [26]. Nevertheless, many researchers have devoted efforts to this subject and have clarified that several reactions can proceed highly efficiently and selectively in various heterogeneous photocatalytic systems [26-32]. Following that, photocatalytic selective organic transformations have received growing interest and been recognized as an alternative to conventional synthetic routes for synthesis of fine chemicals through the selection of appropriate semiconductors and control of the reaction conditions [26, 27, 33-37]. Compared to traditional synthesis methods [34, 38–40], photocatalytic organic synthesis features several unique advantages: (i) it is driven by sunlight as a completely renewable source of energy; (ii) it can go on under milder conditions (room temperature and atmospheric pressure) and avoid environmentally detrimental heavy metal catalysts as well as strong chemical oxidants or reducing agents; (iii) it can facilitate the design of short and efficient reaction sequences, minimizing side processes and leading to high selectivity [29, 32-34, 38-42].

With the late but fast development, photocatalytic selective organic transformations have been considered to play a major role in promoting the evolution of twenty-first-century chemistry by replacing environmentally hazardous processes with environmental friendly and energy-efficient routes, allowing maximization of the quantity of raw material that ends up in the final product [26–34, 41, 43, 44]. To date, several organic transformation reactions are promoted with high selectivity and efficiency on various photocatalytic systems [26, 29–34, 38–41, 43–45]. This chapter will provide an overview of the basic principles and evaluation methods of photocatalytic selective organic transformations, following which recent progress in the significant examples of photocatalytic processes employed for synthetic purposes will be represented, including selective oxidation, reduction, and coupling reactions. In addition, the future research challenges will be concisely discussed.

## 8.2 Main Process and Basic Principles for Photocatalytic Selective Organic Transformations

In general, semiconductor-based photocatalytic process involves three main steps, as shown in Fig. 8.1, and the conversion of light into chemical energy by selective organic transformations can be described as  $A + D = A_{red} + D_{ox}$ . The optimization of every step plays an important role in boosting the photocatalytic efficiency.

Specifically, (i) upon light irradiation, the photocatalyst absorbs supra-bandgap photons ( $\geq$ Eg, Eq. 8.1), and photoexcited electron (e<sup>-</sup>) and hole (h<sup>+</sup>) pairs are produced in the CB and the VB, respectively (Eqs. 8.2 and 8.3). In this step, the



light absorption range and coefficient of photocatalyst should be increased to maximize the light harvesting and thus to harness more photons. In addition, since UV light only accounts for about 4 % of solar spectrum, it is highly desired for a photocatalyst with a narrow bandgap to utilize visible light, which occupies about 43 % of solar light [10, 46]. (ii) The photogenerated electrons and holes are separated and migrated to catalytically active sites at semiconductor surface (or recombined). Typically, photogenerated electron–hole pairs have a recombination time on the order of  $10^{-9}$  s, while the chemical interaction with adsorbed species has a longer time of  $10^{-8}$ – $10^{-3}$  s [46]. Therefore, in this step, accelerating the separation and migration of the photoexcited electrons and holes to avoid their recombination is fundamentally important. (iii) An efficient charge separation of the electron–hole pairs allows the respective oxidation and reduction reactions on the particle surface (Eqs. 8.4 and 8.5).

However, undesirable reactions might occur. On one hand, efficient back electron transfer between the primary redox products (Eq. 8.6) will prevent in most cases successive reactions to generate the final redox products. In this regard, efficient photocatalytic systems that can inhibit charge recombination as well as photocatalysts with proper electronic band structure for visible light harvesting and redox reactions are needed.

Band gap 
$$(eV) = \frac{1240}{\lambda(nm)}$$
 (8.1)

$$PC + h\nu (\geq Eg) \rightarrow h^{-} + e^{-}$$
(8.2)

$$h^+ - e^- \rightarrow h^+_{VB} + e^-_{CB}$$
 (8.3)

- $A \rightarrow A^{\bullet -} \rightarrow A_{red}$  (8.4)
- $D \rightarrow D^{\bullet +} \rightarrow D_{OX} \tag{8.5}$

$$A_{red} + D_{ox} \rightarrow A + D$$
 (8.6)

$$e^- + O_2 \rightarrow O_2^{\bullet -} \tag{8.7}$$

$$h^+ + OH^- (or HO) \rightarrow \bullet OH$$
 (8.8)

On the other hand, under most circumstances, molecular oxygen (O<sub>2</sub>) usually functions as an electron acceptor by interacting with the photogenerated electrons on the CB of the photocatalyst, thus forming reactive oxygen species (ROS), superoxide radicals (O<sub>2</sub><sup>--</sup>), an oxidizing agent (Eq. 8.7). In contrast, the holes on the VB react with surface hydroxyl groups or water molecules adsorbed on the surface of the photocatalyst and produce hydroxyl radicals, a different type of ROS acting as a strong oxidizing agent (Eq. 8.8). The ROS are highly nonselective oxidants and can degrade or completely oxidize the organic compounds all the way to the deep oxidation products to  $CO_2$  and  $H_2O$ . Therefore, photocatalytic selective organic transformation to the desirable products by partially oxidizations is often hard to accomplish without the use of a proper electron or hole scavenger. Thus, using appropriate substrates and employing appropriate reaction conditions are of great importance for promoting selective organic transformations. That is, the type of electron-hole scavenger, the photocatalyst, the wavelength and the intensity of light used for irradiation, and the type of reactant and solvent all should be carefully chosen to achieve desired products.

# 8.3 Efficiency Evaluation of Photocatalytic Selective Organic Transformations

#### 8.3.1 Light-Based Measures

The overall quantum yield and (apparent) quantum yield of photocatalytic process are defined in Eqs. 8.9 and 8.10, respectively:

Overall quantum yield (%) = 
$$\frac{\text{Number of reacted electrons}}{\text{Number of absorbed photons}} \times 100\%$$
 (8.9)  
(Apparent) quantum yield (%) =  $\frac{\text{Number of reacted electrons}}{\text{Number of incident photons}} \times 100\%$  (8.10)

Based on the two equations, it is estimated that the apparent quantum yield should be smaller than the total quantum yield because not all the incident photons can be absorbed. However, this method is not often applied to evaluate selective organic transformations since the "number of reacted electrons" for these selective redox reactions is often difficult to be exactly determined, particularly for selective oxidation reactions, due to the relatively complex reaction process and mechanisms. For example, regarding selective oxidation reactions, both the photogenerated holes and  $O_2$  or activated oxygen (e.g.,  $\bullet O_2^-$  obtained by accepting the photogenerated electrons) can play the role in oxidizing the substrates.

## 8.3.2 Product-Based Measures

The most widely employed measure to evaluate the activity of photocatalytic selective organic transformations is the conversion, yield, and selectivity of the organic compounds transformed to target product. The definitions are as follows:

 $\begin{array}{l} \mbox{conversion}(\%) \ = [(C_0-C_r)/C_0] \times 100 \\ \mbox{yield}(\%) \ = C_P/C_0 \times 100 \\ \mbox{selectivity}(\%) \ = [C_P(C_0-C_r)] \times 100 \end{array}$ 

where  $C_0$  is the initial concentration of reactant and  $C_r$  and  $C_p$  are the concentration of reactant and product at a certain time after the photocatalytic reaction, respectively.

#### 8.4 Photooxidation of Organic Substrates

Oxidation processes play an important role in the production of a wide range of chemicals, where traditional industrial oxidation processes always need stringent reaction conditions and strong oxidants such as mineral acids, chromates, permanganate, hydrogen peroxide, etc [3, 28, 35, 47, 48]. Photooxidation can be cogitated as a possible alternative approach to overcome the harsh conditions as well as the questions of toxicity and corrosiveness.

#### 8.4.1 Hydroxylation of Benzene

Phenol is an important industrial chemical because of its wide usage, ranging from disinfectant, precursor of phenolic resins to preservative for pharmaceutical aid [27, 49–56]. Different from the industrial production of phenol from benzene by the multistep cumene process under high temperature and pressure [57], photocatalytic hydroxylation of benzene to phenol can be realized via direct electrophilic addition of hydroxyl radicals (•OH) under mild reaction conditions, since •OH can be generated through the reaction of the photogenerated holes from semiconductor photocatalysts with surface –OH groups or adsorbed H<sub>2</sub>O molecules. However, the product selectivity is often low since •OH is highly reactive and nonselective with hydroxylated phenols being further oxidized and mineralized. For example, the



**Scheme 8.1** Photocatalytic oxidation of benzene to phenol and subsequent decomposition of phenol on  $TiO_2$  (Reproduced with permission [26]. Copyright 2008 Elsevier)



**Fig. 8.2** Schematic representation of the reactivity difference between (**a**) benzene and (**b**) phenol on mTiO<sub>2</sub> (Reproduced with permission [26]. Copyright 2008 Elsevier)

photocatalytic oxidation of benzene to phenol with  $TiO_2$  in aqueous media has been performed by many researchers [51–54, 56]. As shown in Scheme 8.1, the phenol produced by direct hydroxylation of benzene via an electrophilic addition of •OH radical formed on the  $TiO_2$  surface can be sequentially decomposed by further reaction with •OH radicals [58–60] and results in a low phenol selectivity.

Therefore, efforts have been devoted to lower the affinity of phenol on the catalyst surface and thus reduce the possibility of further decomposition and improve the selectivity of phenol. For example, Skirmish's group has reported a system of mesoporous TiO<sub>2</sub> particles (mTiO<sub>2</sub>) with high phenol selectivity (>80 %) [56]. It is suggested that mesopores on the catalyst are the crucial factor for selective phenol production. As schematically shown in Fig. 8.2, benzene is a hydrophobic molecule and adsorbed well on the inner mTiO<sub>2</sub> surface, while hydrophilic phenol is scarcely adsorbed. The •OH radicals formed inside the pores scarcely diffuse out of the pores of mTiO<sub>2</sub> since they are deactivated rapidly in a near-diffusion controlled rate [27]. Therefore, they efficiently react with the well-adsorbed benzene, whereas less adsorbed phenol scarcely enters the pores and cannot react with them. In this way, further decomposition of phenol is effectively suppressed and high phenol selectivity is obtained.

Similarly, Choi's group later has reported a method for entrapping titanium oxide nanoparticles into hydrophobically modified mesocellular siliceous foam (MCF), which serves as an efficient photocatalyst for the selective hydroxylation of benzene to phenol. As shown in Fig. 8.3, the interior of the hydrophobically modified MCF (by surface organo-grafting with silylation agent) provides a



hydrophobic environment where the reactant benzene molecules are preferentially attracted into mesopores, whereas the hydrophilic product phenol molecules are rapidly released out of the pores before they undergo further oxidative degradation within the MCF cages. Ultimately, the phenol selectivity is significantly enhanced [51].

In addition to the method of enhancing the catalytic performance of photocatalysts toward hydroxylation of benzene through improving their adsorption and desorption toward reactants and products specificity, efforts in terms of visible light harvesting have been devoted. For example, Huang and co-workers have prepared M@TiO<sub>2</sub> (M = Au, Pt, Ag) composites for direct oxidation of benzene to phenol in aqueous phenol under visible light irradiation, where Au@TiO<sub>2</sub> with a content of 2 wt% Au exhibits a high yield (63 %) and selectivity (91 %), as shown in Fig. 8.4a. The high photocatalytic activity for the composite is attributed to the enhanced visible light absorption as well as the strongest surface plasmon resonance (SPR) effect (Fig. 8.4b) [52]. Notably, the yield and selectivity of the phenol formation are increased with initially added phenol (ranging from 0 to 18,000 ppm) in water. As schematically shown in Fig. 8.4c, it is proposed that the initially added phenol is partially ionized into phenoxy anions and protons, and the SPR effect allows the electron transfer from the Au nanoparticle (NP) to the TiO<sub>2</sub> particle to which it is attached. Compared with benzene, the phenoxy anions are preferred to adsorb on electron-depleted Au NPs due to the opposite charges. Then, the electron-depleted Au NPs under visible light irradiation will oxidize phenoxy anions into phenoxy free radicals, which in turn oxidize benzene to phenol, thus becoming phenoxy anions again. Finally, O<sub>2</sub> dissolved in the solution is reduced by the electrons from the conduction band minimum (CBM) of  $TiO_2$ . Increasing the



**Fig. 8.4** (a) Visible light-induced catalytic oxidation of benzene with  $Au@TiO_2$ -microsphere composites with 1–3 wt% of Au. (b) UV/Vis diffuse-reflectance spectra of Au@TiO\_2-microsphere composites. (c) Proposed mechanism for the photooxidation benzene into phenol in the presence of phenol under visible light irradiation (Reprinted with permission [52]. Copyright 2011 Royal Society of Chemistry)

amount of initially added phenol will generate more phenoxy anions in water, which can lead to more phenoxy free radicals under visible light irradiation, thereby enhancing the oxidation of benzene.

Besides, Chen and co-workers have reported that Fe ion-modified porous graphitic carbon nitride (Fe-g-C<sub>3</sub>N<sub>4</sub>) is active for the direct oxidation of benzene to phenol in the presence of H<sub>2</sub>O<sub>2</sub> at mild conditions (60 °C, 4 h) in both the presence (with a yield of 4.8 % based on benzene) and absence (with a yield of 1.8 % based on benzene) of visible light irradiation [55]. The enhanced yield of phenol with light irradiation is explained as that the surface-bound -Fe<sup>3+</sup> can capture the photoinduced electrons, resulting in -Fe<sup>2+</sup> to bind and reduce H<sub>2</sub>O<sub>2</sub> to produce •OH, which is able to oxidize benzene to phenol. Moreover, when loading the Fe-g-C<sub>3</sub>N<sub>4</sub> into the mesoporous system of SBA-15, the yield can be further improved to ~12 %, demonstrating that the activity is related to the exposure of the Fe-g-C<sub>3</sub>N<sub>4</sub> surface structure and the increased surface active sites for benzene activation.

#### 8.4.2 Oxidation of Alcohols

Selective oxidation of alcohols to carbonyls is a kind of rather important reactions both in industrial and laboratory synthesis since the products such as aldehydes and ketone derivatives are widely utilized in the fragrance, confectionary, and pharmaceutical industries [42, 61–63]. To date, photocatalytic selective oxidation of alcohols to the corresponding aldehydes and ketones has been performed both in liquid phase and gas phase. Since gas-phase reactions need high temperature, liquid-phase reactions are more appealing in the view of green chemistry. Moreover, its selectivity can be significantly improved by the deployment of organic solvent and visible light harvesting.

By weak bonding surface complexation of heteroatom (X = O, S, or N)-containing substrates, metal oxides (such as  $Nb_2O_5$  and  $TiO_2$ ) could



selectivity=99%;R= pOH, conversion=85%, selectivity=23%

Scheme 8.2 (a) Photooxidation of 1-pentanol with  $Nb_2O_5$  [64]. (b) Photooxidation of benzyl alcohol with various substitution groups with  $TiO_2$  in CH<sub>3</sub>CN [65, 66]

straightforwardly serve as the platform for visible light-induced organic reactions. For example, Shishido and co-workers have reported that the photooxidation of 1-pentanol can proceed over Nb<sub>2</sub>O<sub>5</sub> under light irradiation (>390 nm), as shown in Scheme 8.2a [64]. The mechanism is proposed that alcohol is adsorbed onto Nb<sub>2</sub>O<sub>5</sub> as an alcoholate species in the dark, which can be activated by visible light and transfer electrons to the conduction band reducing Nb<sup>5+</sup> to Nb<sup>4+</sup>, resulting in the formation of the alcoholate species and a hydroxy group. And then the alcoholate species can be photoactivated to generate an alkenyl radical, which subsequently is converted to a carbonyl compound and desorbed, with the reduced Nb<sup>4+</sup> sites reoxidized via the reaction with molecular oxygen.

Likewise, the oxidation of alcohols to corresponding carbonyl compounds with  $O_2$  on anatase TiO<sub>2</sub> has been achieved under visible light irradiation (Scheme 8.2b) [65, 66]. It is proposed that the surface complexes formed by the interaction of the – CH<sub>2</sub>OH group or possibly the phenyl ring of benzyl alcohol with the surface –OH group can induce absorption in the visible region [66]. Upon visible light irradiation, the surface complex is photoexcited to form holes  $(h^+)$  and electrons  $(e^-)$ , and the holes can abstract hydrogen atoms from the -CH<sub>2</sub>OH group of benzyl alcohol. Subsequently, the photoinduced benzyl alcoholic radicals may automatically release another electron to form benzaldehyde due to the current-doubling effect. Excellent conversion of 99 % and selectivity of 99 % are achieved for a series of substituted benzyl alcohols except when the substituent is -OH. This is because the substituted –OH group provides extra coordination sites for interacting with TiO<sub>2</sub>, consequently leading to the destruction of the phenyl ring [67]. This mechanism is also applicable to rutile TiO<sub>2</sub> nanorods, which have been used for aerobic oxidation of benzyl alcohols to benzaldehydes, yielding a high selectivity of 99 % under visible light irradiation [68].

Localized surface plasmon resonance (SPR) arising from a resonant oscillation of free electrons coupled by light has been extensively studied and combined with semiconductors as promising heterogeneous photocatalysts because of their strong light absorption in the visible region. Tsukamoto et al. have prepared Au/TiO<sub>2</sub> via the deposition–precipitation method from  $H_4AuCl_4$  and TiO<sub>2</sub> (Degussa, P25), which shows enhanced activity toward selective aerobic oxidation of alcohols relative to the reaction in the dark at room temperature [69]. It is proposed that



**Scheme 8.3** Proposed mechanism for visible light-driven aerobic oxidation by Au particles supported on semiconductor particles (Reprinted with permission [69]. Copyright 2012 American Chemical Society)



Scheme 8.4 (a) Aerobic oxidation of alcohols with  $Au/TiO_2$  in toluene under the irradiation of natural sunlight [69]. (b) Photooxidation of alcohols with various substitution groups with  $Au/CeO_2$  [70]

for visible light-driven aerobic oxidation, as depicted in Scheme 8.3, plasmonic photocatalyst might oxidize a substrate (electron donor) on the Au surface, while e<sup>-</sup> is consumed by the reduction of O<sub>2</sub> (electron acceptor) on the semiconductor surface. Besides, it is found that the catalyst architecture is critical for the activity, i.e., small Au particles ( $d_{Au} < 5$  nm) loaded on P25 are necessary and Au particles located at the anatase/rutile interface behave as the active sites, facilitating efficient e<sup>-</sup> transfer to TiO<sub>2</sub> and successful aerobic oxidation under sunlight irradiation, as shown in Scheme 8.4a. Apart from TiO<sub>2</sub>, Kominami's group has prepared Au/CeO<sub>2</sub> by photochemical deposition of H<sub>4</sub>AuCl<sub>4</sub> on CeO<sub>2</sub> in the presence of citric acid as the reducing agent, which presents the maximum absorption around 550 nm, in agreement with the SPR of Au NPs [70]. When exposed to 530 nm LED irradiation,

**Table 8.1** Selective oxidation of a range of alcohols over the TiO<sub>2</sub>-5 % GR photocatalyst under the visible light irradiation ( $\lambda > 400$  nm) for 20 h (Reprinted with permission [72]. Copyright 2011 American Chemical Society)

Entry	Substrate	Product	Conversion (%)	Yield (%)	Selectivity (%)
1	СН2ОН	СНО	62	62	100
2	H <sub>3</sub> C	н <sub>3</sub> с СНО	70	70	100
3	H <sub>3</sub> CO	н <sub>3</sub> со Сно	80	80	100
4	O <sub>2</sub> N CH <sub>2</sub> OH	O2N CHO	74	73	99
5	CI CH2OH	СІСНО	45	43	96
6	F CH <sub>2</sub> OH	F CHO	84	76	91
7	ОН	СНО	50	46	92
8	ОН		41	37	90

benzyl alcohols can be stoichiometrically oxidized to corresponding benzaldehydes with  $O_2$  in water, as displayed in Scheme 8.4b.

Our group has found that the introduction of graphene (GR) into semiconductors leads to enhanced performance for aerobic oxidation of various benzylic alcohols with different substituents and allylic alcohols [71-74]. As displayed in Table 8.1, GR-TiO<sub>2</sub> composite has been fabricated via a two-step wet chemistry approach, using graphene oxide (GO) and TiF<sub>4</sub> as the precursors of GR and TiO<sub>2</sub>, respectively [72]. It is proposed that the superior and easily accessible "structure-directing" role of GO, the intimate interfacial contact between GR and TiO<sub>2</sub>, and the better separation of the photogenerated carriers of GR-TiO<sub>2</sub> play a synergistic role in leading to the enhanced photocatalytic performance. Similarly, the introduction of GR into CdS substrate can also influence the morphology and structure, enhance the visible light absorption intensity, and improve the lifetime and transfer of photogenerated electron-hole pairs over the CdS-GR, leading to enhanced photocatalytic performance toward photocatalytic selective oxidation of benzylic and allylic alcohols, as shown in Fig. 8.5a [75]. In addition, it has been found the intimate interfacial interaction between CdS and GR can inhibit the photocorrosion of CdS during the photocatalytic reactions effectively.

In addition to the dual-ingredient hybrid systems, we have also constructed ternary nanocomposites with further improved photocatalytic performances toward catalytic selective oxidation of alcohols, as shown in Fig. 8.5b [74]. Based on our previous CdS–GR composite with lamellar structure, TiO<sub>2</sub> nanoparticles can be uniformly carpeted on the surface of the CdS–GR by an in situ growth strategy [74]. The enhanced photocatalytic performance of ternary CdS–GR–TiO<sub>2</sub> hybrids can be ascribed to the combined interaction of larger surface area, the intimate interfacial contact among them, and two possible routes for electron transfer in the system [74]. The photogenerated electrons can transfer to the graphene nanosheets and TiO<sub>2</sub> simultaneously, which contributes to promoting the interfacial charge transfer rate and lengthening the lifetime of photogenerated electron–hole pairs, consequently resulting in the enhanced photoactivity.

Recently, our group has reported the transfer efficiency of photogenerated charge carriers across the interface between graphene (GR) and semiconductor CdS can be further improved by introducing a small amount of metal ions ( $M = Ca^{2+}$ ,  $Cr^{3+}$ ,  $Mn^{2+}$ ,  $Fe^{2+}$ ,  $Co^{2+}$ ,  $Ni^{2+}$ ,  $Cu^{2+}$ , and  $Zn^{2+}$ ) as "mediator" into their interfacial layer matrix [73]. The photoactivity of GR–M–CdS for aerobic oxidation of alcohol is significantly improved (Fig. 8.5c) because the metal ions introduced can optimize the atomic charge carrier transfer pathway across the interface between GR and the semiconductor as well as drive a balance between the positive effect of GR on retarding the recombination of electron–hole pairs photogenerated from semiconductor and the negative "shielding effect" of GR resulting from the high weight addition of GR. Inspired by this, Pd has been selected as a typical noble metal to investigate whether it can play the similar role to metal ions as the interfacial mediator between GR and CdS. It is found that the ternary CdS–(GR–Pd)



**Fig. 8.5** (a) Photocatalytic selective oxidation of benzyl alcohol to benzaldehyde under the visible light irradiation over the as-prepared samples: (*a*) blank CdS; (*b*) CdS-1 % GR; (*c*) CdS-5 % GR; (*d*) CdS-10 % GR; and (*e*) CdS-30 % GR nanocomposites (Note: C and Y is short for conversion and yield. Reprinted with permission [75]. Copyright 2011 American Chemical Society). (**b**) CdS–GR–TiO<sub>2</sub> nanocomposites (Note: CG is short for CdS-5 % GR; GR–M–CdS nanocomposites. Reprinted with permission [74]. Copyright 2012 American Chemical Society). (**c**) Photocatalytic performance of blank CdS, CdS–GR, and CdS–(GR–M) (M = Ca<sup>2</sup> +, Cr<sup>3+</sup>, Mn<sup>2+</sup>, Fe<sup>2+</sup>, Co<sup>2+</sup>, Ni<sup>2+</sup>, Cu<sup>2+</sup>, and Zn<sup>2+</sup>) nanocomposites with different weight addition ratios of GR for photocatalytic selective oxidation of benzyl alcohol under visible light ( $\lambda > 420$  nm) for 2 h (Reprinted with permission [73]. Copyright 2014 American Chemical Society)



**Fig. 8.6** The yield (**a**) and conversion (**b**) of photocatalytic selective oxidation of benzyl alcohol to benzaldehyde over the core–shell Pt/CeO<sub>2</sub>, yolk–shell Pt/CeO<sub>2</sub>, supported Pt/CeO<sub>2</sub>, nanosized CeO<sub>2</sub> powder, and blank CeO<sub>2</sub> under the irradiation of visible light ( $\lambda > 420$  nm) at room temperature and ambient atmosphere (Reprinted with permission [77]. Copyright 2011 Royal Society of Chemistry)

nanocomposite shows significantly enhanced visible light photocatalytic activity as compared to both blank CdS and the optimum binary CdS–GR, which verifies Pd can also play as the interfacial mediator to optimize and improve the spatial charge carrier separation and transfer across the interfacial domain between GR and CdS upon visible light irradiation [76].

Since optimizing the structure of the photocatalysts also has significant impact on the photocatalysts' performance, our group has synthesized the Pt/CeO<sub>2</sub> nanocomposite in an aqueous phase with tunable core–shell and yolk–shell structure via a facile and green template-free hydrothermal approach toward selective oxidation of benzyl alcohol [77]. The yield of benzaldehyde obtained over core– shell Pt/CeO<sub>2</sub> is ca. 9, 27, and 39 times higher than that of nanosized CeO<sub>2</sub>, blank CeO<sub>2</sub>, and supported Pt/CeO<sub>2</sub>, respectively (Fig. 8.6), which can be ascribed to the advantageous core–shell structure, where Pt core can trap/store the photogenerated electrons to prolong the lifetime of charge carriers, hence improving the efficiency toward photocatalytic redox process. As for the yolk–shell structure, the interfacial contact between the Pt core and CeO<sub>2</sub> shell is quite loose, and thus the role of Pt core has decreased markedly, resulting in lower photocatalytic activity.

In addition,  $Pd@CeO_2$  semiconductor nanocomposite with "plum-pudding" structure has been fabricated via a facile low-temperature hydrothermal reaction of polyvinylpyrrolidone (PVP)-capped Pd colloidal particles and cerium chloride precursor followed by a calcination process in air, as shown in Fig. 8.7a [78]. This unique nanostructure endows the Pd@CeO\_2 nanocomposite with enhanced activity and selectivity toward the visible light-driven oxidation of various benzylic alcohols to corresponding aldehydes using dioxygen as oxidant at room temperature and ambient pressure compared with a supported Pd/CeO\_2 nanocomposite and nanosized CeO\_2 powder (Fig. 8.7b, c), which is ascribed to the unique structure assembly of multi-Pd core@CeO\_2 shell nanocomposite. First, the uniform structure



Fig. 8.7 Overall flowchart for fabrication of the multi-Pd core@CeO<sub>2</sub> shell semiconductor nanocomposite (a); time-online photocatalytic selective oxidation of benzyl alcohol to benzaldehyde over the multi-Pd core@ CeO<sub>2</sub> shell nanocomposite, supported Pd/CeO<sub>2</sub>, and commercial CeO<sub>2</sub> nanosized powder under the irradiation of visible light ( $\lambda > 420$  nm) under ambient conditions, selectivity (b); conversion (c) (Reprinted with permission [78]. Copyright 2011 American Chemical Society)

composition, i.e., the evenly dispersed Pd cores are spatially encapsulated by the  $CeO_2$  shell, provides a homogeneous environment for photocatalytic reaction. Second, the multi-Pd core@CeO<sub>2</sub> shell nanostructure can significantly increase the surface area compared with the supported counterpart. Third, the "three-dimensional" intimate contact between the evenly dispersed Pd core and  $CeO_2$  shell maximizes the metal–support interaction, which facilitates the interfacial charge transfer process.

Notably, aiming to give validity to targeted reactions for a fast screening of catalysts for photocatalytic transformations, Colmenares and co-workers have synthesized 24 different titania-based systems (either alone or modified with metals) through the sol–gel process varying the precursor (titanium isopropoxide or tetrachloride) and the aging (magnetic stirring, ultrasounds, microwave, or reflux) conditions. They are tested for liquid-phase selective photooxidation of 2-butenol (crotyl alcohol) to 2-butenal (crotonaldehyde) and gas-phase selective photooxidation of 2-propanol to acetone [61]. It is found that both test reactions (despite having very different reactant/catalyst ratio and contact times) show quite similar results in terms of influence of the precursor and the metals. That is, taking titanium isopropoxide as the precursor of titanium leads to better results than titanium tetrachloride and the presence of iron, palladium, or zinc is detrimental



**Fig. 8.8** Dependence of selectivity to acetone on 2-propanol conversion for all the titania-based systems used in the present study. Highlighted values correspond to platinum-containing titania (Reprinted with permission [61]. Copyright 2012 Elsevier)

to activity, whereas zirconium and especially gold can improve the results as compared to pure titania. Especially, for 2-propanol transformation into acetone, platinum-containing catalyst shows quite high selectivity values to acetone (in the 78–80 % range at 22–28 % conversion), suggesting that this test reaction is more sensitive to platinum, as shown in Fig. 8.8.

## 8.4.3 Oxidation of Saturated Primary C–H Bonds

The selective oxidation of stable alkyl aromatics such as toluene to commercial chemicals, including benzaldehyde, benzyl alcohol, benzoic acid, and benzyl benzoate with molecular oxygen, has important applications in fine chemicals and pharmaceutical production [79]. However, selective oxidation of C–H bonds with environmentally benign oxygen is often very difficult to control due to the abundance and inertness of C–H bonds in organic substrates. On the other hand, in thermal heterogeneous catalysis, the activation of saturated sp<sup>3</sup> C–H bonds often requires transition metal nanoparticles as a catalyst and relatively harsh reaction conditions. The rapid progress in selective transformation by heterogeneous photocatalysis presents the possibility of the selective activation of saturated sp<sup>3</sup> C–H bonds using molecular oxygen as a benign oxidant and visible light under ambient conditions [80, 81].

Zhu and co-workers have devised a new class of photocatalysts, metal hydroxide nanoparticles grafted with alcohols, which can efficiently oxidize alkyl aromatic compounds with  $O_2$  using visible or ultraviolet light or even sunlight to generate the corresponding aldehydes, alcohols, and acids at ambient temperatures and give very



**Scheme 8.5** Proposed pathways of the reaction mechanism for the selective oxidization of alkyl aromatics (Reprinted with permission [81]. Copyright 2012 Royal Society of Chemistry)

little overoxidation [81]. For example, toluene can be oxidized with a 23 % conversion after a 48 h exposure to sunlight with 85 % of the product being benzaldehyde and only a trace of  $CO_2$ . A tentative free radical mechanism is proposed, as shown in Scheme 8.5. The surface complexes catalyze the selective oxidation by an efficient mechanism that employs light absorption by the complexes to yield highly reactive surface radicals (-O-C-H-R), and these surface radicals initiate the aerobic oxidation of the organic molecules in contact with them. Moreover, the oxidation ability of the photocatalysts can be tuned through selection of the metal element and the alcohols grafted.

Our group has reported a cubic phase CdS semiconductor with specific sheet structure morphology synthesized by a simple room temperature method, which is able to be used as a visible light-driven photocatalyst for the selective oxidation of saturated primary C–H bonds in alkyl aromatics with high activity and selectivity using molecular oxygen as a benign oxidant and benzotrifluoride as the solvent under ambient conditions [82]. The superior photocatalytic performance of CdS is attributed to its unique structure assembly of specific sheet morphology with cubic phase, high surface area, and efficient separation of photogenerated charge carriers upon visible light irradiation. This work strongly suggests that tuning the microscopic structure and composition in diverse respects, e.g., size, shape, phase, crystallinity, or morphology, continues to be a very versatile, feasible, and general strategy to adjust the photocatalytic performance of a specific semiconductor photocatalyst with tunable photoactivity.



**Fig. 8.9** Photocatalytic selective oxidation of toluene to benzaldehyde under visible light irradiation of 10 h over the as-prepared GR–CdS composites and blank CdS (**a**) and over GR–CdS–TiO<sub>2</sub> composites (**b**) (Reprinted with permission [83]. Copyright 2013 Nature Publishing Group)

Considering the fact that there is still a need to improve the photocatalytic performance of CdS for selective oxidation of C-H bonds, we later have designed and synthesized ternary GR-CdS-TiO<sub>2</sub> composites with an intimate spatial integration and sheetlike structure, which is afforded by assembling two co-catalysts, graphene and TiO<sub>2</sub>, into the semiconductor CdS matrix with specific morphology as a visible light harvester [83]. As shown in Fig. 8.9, under visible light irradiation of 10 h, 53 % conversion of toluene is achieved over the optimal 5 % GR-CdS composite, which is much higher than 33 % conversion over the blank-CdS photocatalyst with the selectivity still maintained as high as 99 % (Fig. 8.9a). With the addition of second co-catalyst TiO<sub>2</sub>, the photoactivity toward aerobic oxidation of C-H in toluene is further enhanced as compared to the binary 5% GR-CdS composite (Fig. 8.9b). It is proposed that the co-catalysts are able to cooperate with the light harvester to facilitate the charge separation/transfer and lengthen the lifetime of photogenerated electron-hole pairs, thereby resulting in an enhanced overall photocatalytic performance. This work demonstrates a wide, promising scope of adopting co-catalyst strategy to design more efficient semiconductorbased photocatalyst toward selective activation of C-H bonds using solar light and molecular oxygen.

#### 8.4.4 Epoxidation of Alkenes

The epoxidation of alkene is another type of reaction of industrial importance. However, since alkenes lack the suitable coordination sites present in heteroatom substrates, the weak adsorbed alkene substrates are unable to interact with  $TiO_2$ directly to induce visible light absorbance. Thus, the deployment of  $H_2O_2$  as the terminal oxidant is needed to form active surface complex through the interplay between  $H_2O_2$  and  $TiO_2$ . As early as 2001, it is reported that 1-decene can be converted to 1,2-epoxydecane on  $TiO_2$  powder using molecular oxygen as the

$$n-C_7H_{15}$$
 +  $H_2O_2$   $\xrightarrow{TiO_2, >440nm}$   $n-C_7H_{15}$   $^{O}$  +  $H_2O$   
 $CH_3CN/C_3H_7CN$  vield=52%

Scheme 8.6 Epoxidation of 1-decen to 1,2-epoxydecane with TiO<sub>2</sub> in CH<sub>3</sub>CN/C<sub>3</sub>H<sub>7</sub>CN [84]



oxygen source under UV light irradiation [84]. For rutile  $TiO_2$  powders, the rate of epoxide generation can be significantly increased by addition of hydrogen peroxide (Scheme 8.6). In this case, the reaction can occur under visible light as well as UV light. The selectivity of the production of 1, 2-epoxydecane is higher under visible light than under UV light, due to the formation of  $Ti-\eta^2$ -peroxide species on the surface of rutile  $TiO_2$  upon treatment with  $H_2O_2$ , which can induce visible light absorbance [84].

With regard to the plasmonic photocatalysts, Linic and co-workers have found that Ag nanocubes of ~60 nm edge length supported on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> can be used for selective oxidation of ethylene to ethylene oxide (Scheme 8.7a) [85]. At 450 K, with the introduction of visible light into the system, the steady-state oxidation rate shows a fourfold increase relative to that driven by thermal energy only. And it is verified that the dissociation of molecular O<sub>2</sub> on silver to form adsorbed atomic oxygen controls the reaction rates. Later, they reported that Cu nanoparticles (NPs) with an average size of 41 nm supported on the inert SiO<sub>2</sub> (Cu/SiO<sub>2</sub>) can tune the selectivity for the light-driven epoxidation of propylene to propylene oxide (Scheme 8.7b) [86]. The selectivity enhancement by Xe lamp irradiation is attributed to the localized SPR of Cu NPs which weakens the Cu–O bond, thereby prompting the reduction of Cu<sub>2</sub>O to Cu<sup>0</sup>.

Our group has reported an organic dye-like macromolecular "photosensitizer" role of graphene (GR) in wide-bandgap ZnS semiconductors for aerobic epoxidation of alkenes, including styrene, cyclohexene, and cyclooctene by visible light [87]. In this study, the assembly of nanosized ZnS particles on the two-dimensional platform of GR with an intimate interfacial contact has been prepared by a facile two-step wet chemistry process. Although the introduction of GR into the substrate of ZnS is not able to narrow the bandgap of ZnS to visible light region, the as-prepared ZnS–GR exhibits visible light photoactivity toward visible light irradiation ( $\lambda > 420$  nm). Different from previous studies on GR–semiconductor

photocatalysts, where GR is claimed to behave as an electron reservoir to capture and shuttle the electrons photogenerated from the semiconductor, it is proposed that the GR in the ZnS–GR nanocomposites is serving as an organic dye-like macromolecular "photosensitizer." Under visible light irradiation, GR is excited from the ground state to the excited state GR\* and then injects electrons into the conduction band of ZnS, ultimately leading to visible light photoactivity.

#### 8.4.5 Sulfoxidation of Thioethers

The sulfoxidation of sulfides is important organic transformations in many fields, including pharmaceuticals, fossil fuel desulfurization, industrial wastewater treatment, and chemical warfare agent disposal [47, 88]. However, the oversulfoxidation of sulfides into sulfone is the main side reaction, and efforts should be devoted to achieve selective sulfoxidation [89]. Photocatalytic sulfoxidation using molecular oxygen as the terminal oxidant turns out to be more advantageous toward achieving sustainable chemistry. Although the mechanism of sulfoxidation by molecular oxygen via photochemical irradiation has been investigated in physical chemistry [90–94], the applications are just beginning to be reported recently [48, 95–98].

Yao's group has reported a metal-free aerobic selective sulfoxidation photosensitized by Rose Bengal (an organic dye) or solid-supported Rose Bengal, utilizing visible light as the driving force and molecular oxygen as the oxidant (Scheme 8.8) [97]. With the assistance of a catalytic amount of hydrochloric acid, Rose Bengal appears to be the most efficient and selective catalyst toward the selective sulfoxidation of thioanisole, compared to the metal complex photoredox catalysts. A series of other thioethers have been subjected to the optimized reaction conditions, verifying the generality of this reaction. In addition, Rose Bengal is readily accessible and inexpensive, and with the use of a solid-support catalyst, the workup procedure can be significantly improved. Moreover, a 50 mmol scale reaction has been carried out in an Erlenmeyer flask under sunlight on the roof of the chemistry building and demonstrated that the reaction is practical and scalable.

Apart from various organic photocatalysts, our group has reported a spatially branched hierarchical system composed of inorganic photocatalysts, CdS/ZnO nanocomposites (CZ), and its photoactivity toward sulfoxidation of thioanisole has been tested (Fig. 8.10) [99]. The conversion for thioanisole and yield for





**Fig. 8.10** (a) Schematic illustration for synthesis of branched hierarchical CZ nanocomposites and (b) photocatalytic performance of CdS NWs, blank ZnO, and branched hierarchical CZ nanocomposites for selective oxidation of thioanisole (Reprinted with permission [99]. Copyright 2014 Royal Society of Chemistry)



**Scheme 8.9** The synergistic aerobic oxidation of two substrates, sulfide 1 and amine 2, occurs simultaneously on the surface of  $TiO_2$  under visible light irradiation (Reprinted with permission [95]. Copyright 2015 Royal Society of Chemistry)

methylsulfinylbenzene are about 60 % and 52 % over the branched hierarchical CZ, respectively, which are much higher than the values obtained over CdS NWs (conversion 36 % and yield 33 %) and blank ZnO (conversion 3.5 % and yield 3 %). The enhanced photoactivities can be ascribed to the branched hierarchical structure of CZ nanocomposites, which can not only increase the light harvesting efficiency but also boost charge separation and faster charge transport and collection. Additionally, under light irradiation, the branched hierarchical CZ nanocomposites are thought to generate a "Z-scheme" system [7] to drive the photocatalytic processes.

Recently, Zhao and co-workers have achieved the selective oxidation of thioanisole with  $TiO_2$  photocatalyst under visible light irradiation by combining the aerobic oxidation of sulfide and the aerobic oxidative formylation of amine with methanol through the synergistic interplay of reactants and catalyst (Scheme 8.9) [95]. In contrast, attempts to perform these two reactions individually are not successful. The mechanism for the selective synergistic aerobic oxidation of thioanisole **1** and benzylamine **2** has been proposed and demonstrated in Scheme 8.10. The adsorption of benzylamine on  $TiO_2$  leads to the formation of surface complex **a**, which shows activity under visible light irradiation, facilitating



Scheme 8.10 Proposed mechanism for the synergistic selective oxidation of thioanisole 1 and benzylamine 2 with  $O_2$  on  $TiO_2$  under visible light irradiation (Reprinted with permission [95]. Copyright 2015 Royal Society of Chemistry)

electron transfer from the adsorbed benzylamine to  $TiO_2$ , and thus a series of reactions are initiated. During the whole stage, the addition of redox player, CH<sub>3</sub>OH, is required to associate the two reactions. It is expected that more synergistic redox reactions can be achieved by judiciously selecting a pair of substrates and an appropriate solvent.

### 8.5 Photoreduction of Nitroaromatics

Photocatalytic reduction has also been studied as extensively as oxidation reactions since it is generally safer than the conventional methods, which always employ dangerous reducing agents such as hydrogen and carbon monoxide [28, 38]. Among the photocatalytic reductions, nitroaromatics reduction has been studied most extensively since amino compounds have been widely applied in the industrial synthesis of dyes, biologically active compounds, pharmaceuticals, rubber chemicals, and photographic and agricultural chemicals [73, 100–102]. In the transformation process, the removal of  $O_2$  from the reaction mixture by purging  $N_2$  and adding hole scavenger acting as electron donors can effectively improve the reaction rates and selectivities.

As early as 1997, Brezova et al. have reported that 4-nitrophenol can be successfully reduced to 4-aminophenol in various alcohols (methanol, ethanol, 1-propanol, 2-propanol, 1-butanol, and 2-butanol) with  $TiO_2$  (Degussa P25) under UV irradiation [103]. The best yield of 92 % has been obtained after 20 min

$$R$$
 NO<sub>2</sub>+6 e<sup>-</sup>+6 H<sup>+</sup>  $\frac{hv, photocatalyst}{N_2, HCOONH_4}$  R NH<sub>2</sub>

Scheme 8.11 Photocatalytic reduction of nitro compounds to amino compounds in water with the addition of ammonium formate (HCOONH<sub>4</sub>) for quenching photogenerated holes under  $N_2$  atmosphere

irradiation ( $\lambda = 365$  nm) of 4-nitrophenol in methanol suspensions. It is proposed that the photoreduction rate is significantly affected by the solvent parameters, such as viscosity, polarity, and polarizability. For example, increase in the polarity parameter leads to better stabilization of the charged intermediate and accelerates the photocatalytic reduction.

Xu et al. have prepared GR-modified TiO<sub>2</sub> hybrids by electrostatic assembly, and its photocatalytic reduction of nitroaromatics to the corresponding aminoaromatics is examined under UV light irradiation [104]. When the dosage of GO is about 1.0 %, almost all of the 4-nitrophenol is converted, and the yield rate of 4-aminophenol achieves around 95 % with  $H_2C_2O_4$  as hole scavenger under  $N_2$ purge. The results indicate that the addition of GR can effectively minimize the recombination of photogenerated charge carriers derived from the irradiated TiO<sub>2</sub> and better encourage these separated electrons to participate in the reactions. In addition, it is found that different hole scavengers lead to discrepant reduction efficiency. Therefore, to adjust and optimize such photocatalytic reduction reactions is of great importance to improve its reduction ability.

Our group has fabricated various GR-based nanocomposites such as CdS nanowire/GR [100], CdS nanosphere/GR [105],  $In_2S_3/GR$  [102],  $ZnIn_2S_4/GR$  [106], TiO<sub>2</sub>/CdS nanowire/GR [107], GR–M–CdS (M = Ca<sup>2+</sup>, Cr<sup>3+</sup>, Mn<sup>2+</sup>, Fe<sup>2+</sup>, Co<sup>2+</sup>, Ni<sup>2+</sup>, Cu<sup>2+</sup>, and Zn<sup>2+</sup>) [73], GR–Pd–CdS [76], and hierarchical CdS–ZnO–GR hybrids [108]. It is found that their photoactivities for reduction of nitro compounds to amino compounds with ammonium formate (HCOONH<sub>4</sub>) for hole scavenger in N<sub>2</sub> atmosphere (Scheme 8.11) under visible light irradiation are all remarkably enhanced as compared to the blank semiconductors [73, 100–102, 106, 107]. It has been concluded that the selective reduction efficiency can be driven by appropriate introduction of GR into the matrix of pure semiconductor, which can boost the transfer and prolong the lifetime of the electrons photoexcited from the semiconductor due to the tighter connection between GR and the semiconductor, as well as the optimization of the atomic charge carrier transfer pathway across the interface between GR and the semiconductor.

In addition, our group has designed a Pd/CeO<sub>2</sub> hollow core–shell nanocomposite (Pd@hCeO<sub>2</sub>) composed of tiny Pd nanoparticle (NP) cores encapsulated within CeO<sub>2</sub> hollow shells, as shown in Fig. 8.11a [109]. As compared to supported Pd/CeO<sub>2</sub> and commercial CeO<sub>2</sub>, the as-prepared Pd@hCeO<sub>2</sub> demonstrates improved photoactivity toward selective reduction of aromatic nitro compounds under visible light irradiation with the addition of ammonium oxalate as quencher for photogenerated holes and N<sub>2</sub> purge at room temperature (Table 8.2). It is



**Fig. 8.11** (a) Typical SEM images of Pd@hCeO<sub>2</sub> core–shell nanocomposite. (b) Stability testing of photocatalytic activity of supported Pd/CeO<sub>2</sub> and Pd@hCeO<sub>2</sub> core–shell nanocomposites for reduction of 4-nitrophenol under visible light irradiation ( $\lambda > 420$  nm) for 6 h (Reprinted with permission [109]. Copyright 2013 American Chemical Society)

recognized that the CeO<sub>2</sub> shell acts as the primary active component to give photogenerated electron-hole pairs, while the Pd nanoparticle cores with low-lying Fermi level serve as an electron reservoir to prolong the lifetime of the charge carriers. The three-dimensional interfacial contact between Pd cores and hollow CeO<sub>2</sub> shells facilitates the efficient charge carrier transfer, thereby leading to the enhanced fate of photogenerated electron-hole pairs from CeO<sub>2</sub>. In particular, the core-shell strategy efficiently prevents the aggregation of Pd NPs in the high-temperature calcination process and the leaching of Pd NPs for the catalytic reaction in a liquid phase, which is not able to be achieved for traditional supported Pd/CeO<sub>2</sub> catalyst. As shown in Fig. 8.11b, the core-shell Pd@hCeO<sub>2</sub> almost does not have the loss of photoactivity during the recycled activity testing on reused samples, whereas the significant loss of photoactivity is clearly observed for supported Pd/CeO<sub>2</sub>, due to the significant leaching of Pd nanoparticles in supported Pd/CeO<sub>2</sub>.

## 8.6 Coupling Reactions

The photoinduced charge separation occurring on the surface of photocatalysts creates both a reduction center and an oxidation center. This unique feature allows multistep reactions on a single photocatalyst: intermediates generated from one reaction center could be the substrates at another center. The integrated use of both reaction centers could therefore complete a sophisticated multistep synthesis in "one-pot" reaction, i.e., the coupling reactions.

**Table 8.2** Photocatalytic reduction of substituted aromatic nitro compounds over Pd@hCeO<sub>2</sub> core–shell nanocomposite, supported Pd/CeO<sub>2</sub>, and commercial CeO<sub>2</sub> aqueous suspension under visible light irradiation ( $\lambda > 420$  nm) with the addition of ammonium oxalate as quencher for photogenerated holes and N<sub>2</sub> purge at room temperature (Reprinted with permission [109]. Copyright 2013 American Chemical Society)

			Conversion (%)			
Entry	Substrate	t/[h]	Pd@hCeO <sub>2</sub>	Pd/CeO <sub>2</sub>	CeO <sub>2</sub>	
1		6	93	77	19	
2		6	87	69	16	
3		6	99	81	22	
4		6	64	44	13	
5	H <sub>2</sub> N NO <sub>2</sub>	6	50	35	8	
6		6	43	22	6	
7	H <sub>3</sub> C-NO <sub>2</sub>	4	96	75	14	
8	H <sub>3</sub> CO-NO <sub>2</sub>	6	84	55	11	
9		4	92	75	17	
10	Br-NO2	4	94	73	15	

## 8.6.1 C–N Coupling

Various kinds of organic compounds can be synthesized through C–N coupling reactions [110–112]. For example, Ohtani and co-workers have found that photoirradiation to an aqueous solution containing primary amines in the presence of a powdered mixture of TiO<sub>2</sub> with Pt black (Pt/TiO<sub>2</sub> catalyst) gives rise to the corresponding secondary amines via C–N coupling reaction (Scheme 8.12a) [113]. L-Pipecolinic acid has been achieved by the cyclization reaction through photoirradiation of a deaerated aqueous suspension of TiO<sub>2</sub> containing L-lysine (Scheme 8.12b). This reaction involves a single-electron transfer from the terminal amino group of L-pipecolinic acid to h<sup>+</sup> on the TiO<sub>2</sub> surface and subsequent removal of the amino group, leading to intramolecular C–N coupling to form an imine, which is then reduced to form the L-pipecolinic acid. The highest selectivity (77 %) and conversion of L-lysine (90 %) have been achieved [110].

The C–N coupling reaction also can proceed between amines and alcohols. For example, photoirradiation to an alcohol solution containing primary or secondary amines with  $Pt/TiO_2$  can produce the corresponding secondary or tertiary amines [114, 115]. In these reactions, alcohols are oxidized by h<sup>+</sup> formed on the catalyst



Scheme 8.12 (a) Photocatalytic transformation of primary amines to secondary amines via C–N coupling reaction on  $Pt/TiO_2$  [113]. (b) Photocatalytic transformation of L-lysine to L-pipecolinic acid via C–N coupling reaction on  $TiO_2$  [110]

surface to the corresponding aldehydes or ketones, which then could couple with amine to form an imine. In addition, imines can also be produced by reacting alkynes with amines to hydro-amination products with Au nanoparticles supported on nitrogen-doped TiO<sub>2</sub> (Au/TiO<sub>2</sub>–N), which serves as the visible light plasmonic photocatalyst [116]. The presence of Ti<sup>3+</sup> of TiO<sub>2</sub>, arising from nitrogen doping, provides more coordination sites for the alkyne, thereby prompting a better performance than that of pure TiO<sub>2</sub> (Scheme 8.13a). Through a similar reaction, propargyl-amines have been produced by a one-pot synthesis procedure with the introduction of aldehyde to the amine and alkyne mixture, on the catalyst Au/ZnO under 530 nm LED irradiation (Scheme 8.13b) [117]. The high yields of propargyl-amines result from the interaction of alkyne with the ZnO support. Therefore, the use of plasmonic photocatalysts can also be employed to manipulate the oxidative condensation of amine with aldehyde under visible light irradiation.

# 8.6.2 C-C Coupling

Heterocyclic bases can easily be functionalized via C–C coupling by the reaction with amides or ethers. For example, Caronna and co-workers have found that with the addition of  $H_2SO_4$  and  $H_2O_2$ , heterocyclic bases can react with amide to produce the corresponding amide-functionalized heterocycles (Scheme 8.14) [118]. It is considered that during these reactions, amide first reacts with •OH radical formed on the TiO<sub>2</sub> surface to form amide radical via hydrogen abstraction, which then attacks the heterocyclic bases and produces the C–C coupling products. The additional  $H_2SO_4$  and  $H_2O_2$  can accelerate the amide radical formation.

Subsequently, it is reported by the same group that the reactions between various heterocyclic bases and ethers can be induced by sunlight, and various kinds of heterocycle-ether conjugates are formed in liquid–solid heterogeneous system in the presence of TiO<sub>2</sub> (Scheme 8.15) [119]. The derivatives obtained with trioxane may give an easy entry to heterocyclic aldehydes. It is considered that in these reactions, the  $\alpha$ -oxyalkyl radical is generated by hydrogen abstraction from the  $\alpha$ -carbon of the ethers or by electron transfer from the oxygen atom of the ethers, which then is claimed to attack the heterocyclic bases to form the heterocycle-ether conjugates.



Scheme 8.13 Photocatalytic C–N coupling reactions between alkynes with amines (Reprinted with permission [33]. Copyright 2014 Royal Society of Chemistry)



Scheme 8.14 Photocatalytic reactions of heterocyclic bases and formamide in aqueous solution with  $TiO_2$  (Reprinted with permission [118]. Copyright 2003 Royal Society of Chemistry)



Scheme 8.15 Photocatalytic functionalization of heterocyclic base with ethers by  $TiO_2$  (Reprinted with permission [26]. Copyright 2008 Elsevier)

In addition, other nucleophiles have also been incorporated into the activated substrates adjacent to N-atom, enabling even more complicated functionalization under visible light irradiation on P25 TiO<sub>2</sub>. Using P25 as a photocatalyst, new C–C



**Scheme 8.16** C–C coupling of a variety of N-aryltetrahydroisoquinolines with CH<sub>3</sub>NO<sub>2</sub> and KCN over TiO<sub>2</sub> (Reprinted with permission [33]. Copyright 2014 Royal Society of Chemistry)



Scheme 8.17 C–C coupling of a variety of N-aryltetrahydroisoquinolines with nitroalkanes and dimethyl malonate over  $mpg-C_3N_4$  (Reprinted with permission [33]. Copyright 2014 Royal Society of Chemistry)

bonds were successfully constructed under the mild visible light irradiation of an 11 W fluorescent lamp [120]. As shown in Scheme 8.16, both CH<sub>3</sub>NO<sub>2</sub> and KCN are able to act as the nucleophile to facilitate the formation of different C–C bonds to afford different tetrahydroisoquinoline derivatives in good to excellent yields [120].

Besides, Möhlmann and co-workers have operated mpg- $C_3N_4$  as a visible light photocatalyst to functionalize the benzylic C–H adjacent to N-atoms with a suitable nucleophile, using 0.1 MPa of  $O_2$  as the oxidant, without the assistance of an additional additive. Under the visible light irradiation, a variety of N-aryltetrahydroisoquinolines could be connected with nitroalkanes and dimethyl malonate to construct new C–C bonds, as shown in Scheme 8.17 [121].

## 8.7 Conclusion

Selective organic transformation plays an important role in the synthesis of various commodity chemicals closely linked to our daily lives. In comparison to conventional methods, photocatalytic organic transformations possess several inherent advantages, including benign environmental impacts and employment of mild reaction conditions. It is offering an alternative "green" route for the production of organics. However, despite significant progress made in this area of research as reviewed above, typical challenges still exist and need to be resolved before it is more viable for large-scale practical applications. In this regard, more exciting discoveries of efficient photocatalysts and more precise deployment of the reaction conditions are required to be conceived in the pursuit of higher conversion and higher selectivity for photocatalytic organic transformations in a practical scale-up manner. More investigations are needed to deepen our understanding of the photoinduced interfacial charge carrier transfer processes and optimized photocatalytic reactor design, which can help effectively promote the development and implementation of rapid global spread of organic synthesis by semiconductor-based photocatalysis. Hopefully, because many organic reactions are thermodynamic downhill exothermic reactions, thus we believe that the prospect of photocatalytic organic transformations in practical application is more available.

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# Chapter 9 Synthetic Applications of Titanium(IV) Oxide-Based Photocatalysts

#### Kazuya Imamura and Hiroshi Kominami

**Abstract** In this chapter, several photocatalytic conversions of organic compounds over titanium(IV) oxide (TiO<sub>2</sub>)-based photocatalyst are described. After short summary of photocatalytic conversions of organic compounds, mainly reduction, six topics are introduced. (1) Photocatalytic reduction of nitrobenzenes to anilines in water, green solvent, is reported. (2) In photocatalytic reduction of benzonitrile to benzylamine as a new photocatalytic reduction, palladium-loaded TiO<sub>2</sub> (Pd-TiO<sub>2</sub>) exhibits excellent catalytic performance. (3) Pd-TiO<sub>2</sub> is also effective for photocatalytic hydrogenation of alkenes as new photocatalytic reduction. (4) Nitro compounds having other reducible groups are chemoselectively reduced to amino compounds over bare TiO<sub>2</sub> photocatalyst without reduction of the reducible groups. (5) In photocatalytic conversion of nitrobenzene in 2-propanol suspensions of TiO<sub>2</sub>, aniline and acetone are simultaneously produced almost stoichiometrically. (6) Benzaldehyde and dihydrogen are simultaneously produced with a molar ratio of 1:1 from benzyl alcohol in acetonitrile suspensions of platinum-loaded TiO<sub>2</sub> under deaerated conditions. This reaction is introduced as example of high atom efficiency system.

**Keywords** Photocatalyst • Titanium(IV) oxide • Reduction • Chemoselective • Stoichiometry

## 9.1 Introduction

# 9.1.1 Advantages of TiO<sub>2</sub> for Organic Synthesis

In 1972, Fujishima and Honda succeeded in electro-photo-assisted water splitting using a titanium(IV) oxide  $(TiO_2)$  photoanode in combination with a platinum

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(Pt) counter electrode in an electrolyte aqueous solution, a phenomenon known as the Fujishima–Honda effect [1]. During the 1980s and early 1990s, several papers about photocatalytic reactions of organic compounds began to appear, and information on the mechanism accumulated [2]. Application of photocatalysis of TiO<sub>2</sub> particles to organic synthesis is attractive because of the following reasons: (1) Since both oxidation and reduction occur on the surface of  $TiO_2$  particles, reactions such as electrolytic organic synthesis are expected without an electrolyte and electrical wiring, both of which are requisites in conventional electrolyses. Furthermore, these redox reactions by electrons and positive holes leave no by-product that originates in the reductant and oxidant, whereas conventional redox reagents such as permanganate or lithium aluminum hydride leave manganese ion or aluminum hydroxide after oxidation and reduction, respectively. (2) A photocatalytic reaction proceeds at room temperature and under atmospheric pressure. (3) Since light is indispensable for a photocatalytic reaction, the reactions can be easily controlled by the intensity of light and finally can be stopped by turning the light source off. (4) By simple filtration or centrifugation, a TiO<sub>2</sub> photocatalyst is easily separated from the reaction mixture after the reaction and reused. (5) In addition, TiO<sub>2</sub> has been used for a long time as a common inorganic material such as a pigment and UV absorber because it is inexpensive and not toxic for humans and the environment. Since the abovementioned characteristics of photocatalysis satisfy almost all of the 12 proposed requirements for green chemistry [3], organic synthesis of various compounds using photocatalysis has recently been studied [2, 4–10].

#### 9.1.2 Photocatalytic Reductive Conversions

Most of the applications of TiO<sub>2</sub> photocatalysis to organic synthesis are oxidation reactions such as oxidative dehydrogenation and oxygenation. Dehydrogenation reactions, such as conversion of a hydroxy group to a carbonyl group, have been reported by several researchers because aldehydes or ketones formed are precursors in the synthesis of valuable organic compounds such as drugs, vitamins, or fragrances. In these reactions, a substrate is oxidized with positive holes and electrons are trapped by dioxygen  $(O_2)$ . Applications of photocatalytic reduction have been less frequently reported, whereas total or partial oxidation of organic compounds has been extensively studied. When photocatalytic reduction is applied, removal of O<sub>2</sub> in the system is necessary to improve reduction efficiency because O<sub>2</sub> competes kinetically with substrates in trapping photogenerated conduction band (CB) electrons. Active oxygen species generated by trapping electrons oxidize the substrate and/or products. Therefore, it is difficult to control the photocatalytic reduction system. In the 1990s, an electron-trap reagent in photocatalysis and reduction of mineral ion were reported. Methyl viologen dication has a much lower reduction potential than most organic substrates by virtue of its double positive charge. Muzyka and Fox used methyl viologen dication as an electron
trap in heterogeneous photocatalysis of TiO<sub>2</sub> under deaerated conditions [11]. Reductive detoxification of ions such as nitrogen oxides has been reported, especially since 2000. In 1998, Li and Wasgestian reported photocatalytic reduction of nitrate ion  $(NO_3^{-})$  in an aqueous suspension of bare TiO<sub>2</sub> [12]. After this report, many researchers have studied reduction of  $NO_3^-$ . However, reduction products of  $NO_3^-$  were mainly ammonia (NH<sub>3</sub>) or nitrite ion (NO<sub>2</sub><sup>-</sup>) in all of those studies. From the point of view of eco-friendly production of chemicals, NO<sub>3</sub><sup>-</sup> should be selectively reduced to nontoxic dinitrogen (N<sub>2</sub>). In our laboratory,  $NO_2^-$  was photocatalytically reduced to N<sub>2</sub> in an aqueous suspension of two kinds of TiO<sub>2</sub> particles loaded with palladium and silver (Pd-TiO<sub>2</sub> and Ag-TiO<sub>2</sub>) in the presence of sodium oxalate as a hole scavenger [13]. Photocatalytic reduction of organic compounds is more difficult than reduction of ions because most of the organic substrates are decomposed by positive holes. Therefore, oxidation of a reduction substrate and/or reoxidation of products must be avoided. As photocatalytic reduction of organic compounds, reduction of a carbonyl group  $\begin{bmatrix} 14-17 \end{bmatrix}$  and a nitro group [18–25] has been reported. One of the major photocatalytic reductions for organic synthesis with  $TiO_2$  is reduction of nitrobenzenes. Primary alcohols such as methanol or ethanol have been used as both a solvent and a sacrificial reagent for photocatalytic reduction of nitrobenzene to aniline. In this case, yield of aniline decreased with a long reaction time because aldehydes formed as the oxidized species of primary alcohols react with aniline or intermediates (such as nitroso compounds and hydroxylamines) [26]. In addition, reoxidation of aniline that has been formed occurs. As mentioned above, photocatalytic reduction for organic synthesis is difficult to control, and thus there have been few reports of a photocatalytic reduction system such as reduction of nitrobenzene. Here, various photocatalytic conversions, mainly reduction, are described.

#### **9.2** Photocatalytic Reduction of Nitrobenzenes in Aqueous Suspensions of TiO<sub>2</sub> in the Presence of "Greener" Hole Scavengers

Photocatalytic reduction of nitrobenzenes has been well studied in photocatalytic reductions because reduction (hydrogenation) of nitrobenzenes to corresponding aminobenzenes is an important reaction in industrial chemistry. This reduction has been industrially achieved by hydrogenation over a metal (thermo)catalyst such as nickel (Ni) or copper (Cu) under high pressure of dihydrogen (H<sub>2</sub>) and high temperature. Since a photocatalytic reaction proceeds under mild conditions, reduction of nitrobenzenes by photocatalysts has attracted attention. Also from the point of view of eco-friendly production of chemicals, formation of aldehydes is unfavorable and a "greener" sacrificial reagent that is converted to a nontoxic compound is preferable. The use of a large excess of a hole scavenger gives a large amount of wastes containing oxidative products of the hole scavenger, which often causes

problem for the photocatalytic system. Formic acid (FA) is "greener" sacrificial reagents because they are easily oxidized and converted into carbon dioxide ( $CO_2$ ), which is separated from the solvent under acidic conditions [27, 28]. It is obvious that water is the "greenest" among all solvents.

#### 9.2.1 Photocatalytic Reduction of m-Nitrobenzenesulfonic Acid in the Presence of Formic Acid Under Deaerated Conditions

Figure 9.1 shows photocatalytic reduction of *m*-nitrobenzenesulfonic acid (*m*-NBS) in an aqueous suspension of  $TiO_2$  powder in the presence of FA under deaerated conditions. Reduction of a nitro group to an amino group consists of several steps as shown in Eq. 9.1:

$$R - NO_2 \rightarrow R - NO \rightarrow R - NH(OH) \rightarrow R - NH_2$$
 (9.1)

*m*-Nitrosobenzenesulfonic acid (*m*-NSBS) was not detected as an intermediate with high-performance liquid chromatography, while a small amount of *m*-hydroxylaminesulfonic acid (*m*-PHAS) was detected as well as the final reduced product, *m*-aminobenzenesulfonic acid (*m*-ABS). The time course of summation of the amounts of *m*-NBS, *m*-PHAS, and *m*-ABS is also shown in Fig. 9.1, indicating that a satisfactory material balance was kept during the course of reaction. The amount of *m*-NBS monotonously decreased with photoirradiation time, and *m*-NBS was almost completely consumed by the photoirradiation for 60 min. A small amount of *m*-PHAS was formed in the early stage, and then *m*-PHAS was gradually



**Fig. 9.1** Time courses of *m*-NBS (closed squares), *m*-ABS (closed circles), *m*-PHAS (open circles), and CO<sub>2</sub> (open triangles) and summation of *m*-NBS, *m*-ABS, and *m*-PHAS (open squares) in an aqueous suspension of TiO<sub>2</sub> (50 mg) in the presence of FA (200  $\mu$ mol) as a hole scavenger under deaerated conditions (Reproduced from Ref. [28] with permission from the PCCP Owner Societies)

consumed with prolonged irradiation time. The amount of *m*-ABS increased with irradiation time, and *m*-ABS was obtained almost quantitatively (>99 % yield) after photoirradiation for 60 min, indicating that no side reaction(s) of *m*-NBS and/or intermediates occurred in the present system.

The high yield of *m*-ABS also indicates that neither reaction of the benzene ring nor reoxidation of the amino group of *m*-ABS occurred in the present system. Behavior of *m*-PHAS intermediate in the photocatalytic reduction of *m*-NBS in the presence of FA suggests that the rate of *m*-PHAS formation was slightly larger than the rate of *m*-PHAS reduction under the present conditions.

The time course of CO<sub>2</sub> formation is also shown in Fig. 9.1. As the oxidized product, CO<sub>2</sub> could be liberated in the gas phase because of the acidic condition of this reaction system (pH = 1.84), and 195 µmol of CO<sub>2</sub> was formed by photoirradiation for 60 min. As shown in Eq. 9.2, FA scavenges two holes and, therefore, two photogenerated electrons can be used for reduction of *m*-NBS:

$$\text{HCOOH} + 2(e^{-} - h^{+}) \rightarrow \text{CO}_2 + 2H^{+} + 2e^{-}$$
 (9.2)

Assuming that all of the  $CO_2$  originated from FA, efficiency of FA utilization as a hole scavenger (EUH) was calculated by using Eq. 9.3, and the time course of EUH is shown in Fig. 9.2:

$$EUH = (4n_{m-PHAS} + 6n_{m-ABS})/2n_{CO2} \times 100,$$
(9.3)

where  $n_{m-PHAS}$ ,  $n_{m-ABS}$ , and  $n_{CO2}$  are the amounts of *m*-PHAS, *m*-ABS, and CO<sub>2</sub> formed, respectively. One hundred percent of EUH means that redox balance was preserved in the formation of *m*-PHAS, *m*-ABS, and CO<sub>2</sub> in the photocatalytic reaction. The high level of EUH continuing for 60 min indicates that FA worked effectively as a hole scavenger in the photocatalytic reduction of *m*-NBS to *m*-ABS. Therefore, stoichiometry of the photocatalytic reaction in the presence of FA is shown in Eq. 9.4.

Fig. 9.2 Time course of EUH in photocatalytic reduction of *m*-NBS to *m*-ABS. Data shown in Fig. 9.1 were used for calculation (Reproduced from Ref. [28] with permission from the PCCP Owner Societies)



$$NO_{2} + 3 HCOOH \xrightarrow{TiO_{2}, hv}_{under Ar} + 3 CO_{2} + 2 H_{2}O \quad (9.4)$$

After 60-min photoirradiation, FA was almost completely converted to  $CO_2$  and *m*-ABS was obtained with >99 % yield. Therefore, only *m*-ABS was present in the aqueous phase after 60 min. Since it is not necessary to remove the hole scavenger (FA), carbonate ion generated by oxidation of FA, unreacted substrate (*m*-NBS), intermediates (*m*-NBSS and *m*-PHAS), and by-product(s), it can be concluded that this photoreaction system is a "green" reduction process.

#### 9.2.2 Effect of Physical Properties of TiO<sub>2</sub> Samples on Photocatalytic Reduction of m-NBS

In order to determine the effect of *m*-NBS adsorption on the TiO<sub>2</sub> surface on yield of *m*-ABS, photocatalytic reduction of *m*-NBS to *m*-ABS using TiO<sub>2</sub> samples with various specific surface areas was examined. Preparation of TiO<sub>2</sub> samples consists of two steps, i.e., synthesis of anatase-type TiO<sub>2</sub> by the HyCOM method [29] and post-calcination of the product at various temperatures up to 1273 K. Highly crystallized HyCOM-TiO<sub>2</sub> exhibited high thermal stability. Therefore, the physical properties could be controlled in a wide range by changing the calcination temperature. Figure 9.3 shows the effect of specific surface area of HyCOM-TiO<sub>2</sub> samples on yields of *m*-ABS in aqueous suspensions of various HyCOM-TiO<sub>2</sub> samples (50 mg) under deaerated conditions after 30 min of photoirradiation. A linear correlation between them was observed, indicating that the specific surface area of TiO<sub>2</sub> is one of the decisive factors determining photocatalytic activity.

Figure 9.4 shows the effect of specific surface area of HyCOM-TiO<sub>2</sub> samples on the amount of *m*-NBS adsorbed on TiO<sub>2</sub> (100 mg) under the same conditions as those used for the photocatalytic reaction. A linear correlation between them was observed, indicating that density of *m*-NBS adsorbed on the TiO<sub>2</sub> surface, i.e., the amount of *m*-NBS adsorbed per unit surface area of HyCOM-TiO<sub>2</sub> samples, was almost constant regardless of total surface area of TiO<sub>2</sub>. Figure 9.5 shows the correlation between amount of *m*-NBS adsorbed on HyCOM-TiO<sub>2</sub> samples and yield of *m*-ABS after 30-min photoirradiation. An almost linear correlation between them was observed. Therefore, a large surface area of TiO<sub>2</sub> causing a large amount of adsorption of *m*-NBS is an important factor for high yield of *m*-ABS in photocatalytic reduction of *m*-NBS. However, the use of commercial TiO<sub>2</sub> (Ishihara, ST-01) having a surface area of *ca*. 300 m<sup>2</sup> g<sup>-1</sup> resulted in low yield (36.0 µmol) and low mass balance, suggesting that a very large surface area negatively affects photocatalytic reduction of *m*-NBS. Further photoirradiation (60 min) to the ST-01 system resulted in an increase in *m*-ABS yield (53.4 µmol);



**Fig. 9.3** Effect of specific surface area of HyCOM-TiO<sub>2</sub> samples (50 mg) on *m*-ABS yields in aqueous suspensions containing FA (200  $\mu$ mol) under deaerated conditions after 30 min of photoirradiation. Values after "HyCOM" indicate temperatures of calcination of as-synthesized HyCOM-TiO<sub>2</sub> (Reproduced from Ref. [28] with permission from the PCCP Owner Societies)



**Fig. 9.4** Effect of specific surface area of HyCOM-TiO<sub>2</sub> samples (100 mg) on amount of *m*-NBS (5  $\mu$ mol) adsorbed on the surface. Conditions other than the amounts of TiO<sub>2</sub> samples and *m*-NBS were the same as those for the photocatalytic reaction shown in Fig. 9.3 (Reproduced from Ref. [28] with permission from the PCCP Owner Societies)

**Fig. 9.5** Correlation between amount of *m*-NBS adsorbed on TiO<sub>2</sub> surface and *m*-ABS yield after 30 min of photoirradiation (Reproduced from Ref. [28] with permission from the PCCP Owner Societies)



however, the amount of remaining *m*-NBS was only 1.2  $\mu$ mol, suggesting that *m*-NBS or an intermediate(s) is strongly adsorbed on the surface of ST-01.

#### 9.2.3 Photocatalytic Reduction of m-NBS in the Presence of FA Under Air

Figure 9.6 shows the time courses of the amounts of m-NBS remaining and the reduced products (m-PHAS and m-ABS) in the photocatalytic reaction under an artificial air condition ( $O_2:N_2 = 1:4$ ). The amount of *m*-NBS monotonously decreased with time, and *m*-NBS was almost completely consumed after photoirradiation for 60 min as well as in the case of absence of O<sub>2</sub>. An almost quantitative yield (99 %) of *m*-ABS was obtained after 60-min photoirradiation. It should be noted that photocatalytic reduction of *m*-NBS to *m*-ABS in the presence of FA proceeded even in the presence of  $O_2$ . Since  $O_2$  generally competes with the substrate to be reduced in scavenging e<sup>-</sup> and causes side reaction(s) such as oxidation of the substrate, the presence of  $O_2$  must be avoided for effective and selective reduction of the substrate by e<sup>-</sup>. Almost quantitative formation of *m*-ABS also shows that neither reaction of the benzene ring nor reoxidation of the amino group of m-ABS occurred even in the presence of  $O_2$ . The fact that photocatalytic reduction of *m*-NBS to *m*-ABS proceeded almost quantitatively under air is significant for practical use of photocatalytic reduction because no gas-phase control is required. The amount of *m*-PHAS formed in the early stage was slightly larger than that in the case under deaerated conditions, and *m*-PHAS was gradually consumed by extension of photoirradiation time. These results indicate that the rate of reduction of *m*-PHAS to *m*-ABS was smaller than that under deaerated conditions since O<sub>2</sub> competed with *m*-PHAS. The time course of summation of the amounts of *m*-NBS, *m*-PHAS, and *m*-ABS is also shown in Fig. 9.6. The summation was almost constant during the reaction for 60 min as was the case during reaction under deaerated conditions (Fig. 9.1). The time course of CO<sub>2</sub> formation is also shown in Fig. 9.6. In the presence of O<sub>2</sub>, fruitless consumption of FA may occur in addition to consumption of FA as a sacrifice reagent for *m*-NBS reduction. Along with photoirradiation time, CO<sub>2</sub> was evolved and 202 µmol of CO<sub>2</sub> was formed after 60 min. This value was only slightly larger than that of stoichiometry in the reductive formation of *m*-ABS (195  $\mu$ mol = 3 × 65  $\mu$ mol) shown in Eq. 9.4, indicating that FA was used almost selectively and effectively as the sacrifice reagent for m-NBS reduction even in the presence of  $O_2$ .

Figure 9.7 shows the time course of EUH in the reduction of *m*-NBS to *m*-ABS under aerated conditions. The value of EUH at 10 min was 93 % and the value of EUH did not decrease with prolongation of reaction time (with increase in *m*-ABS formation). The high EUH as well as the high yield of *m*-ABS under air is significant for practical use of photocatalytic reduction. There are several reasons for the high value of EUH in *m*-NBS reduction in the presence of  $O_2$ . The one



**Fig. 9.6** Time courses of *m*-NBS (closed squares), *m*-ABS (closed circles), *m*-PHAS (open circles), and  $CO_2$  (open triangles) and summation of *m*-NBS, *m*-ABS, and *m*-PHAS (open squares) in an aqueous suspension of TiO<sub>2</sub> (50 mg) containing FA (200 µmol) as a hole scavenger under air (Reproduced from Ref. [28] with permission from the PCCP Owner Societies)



reason is that FA works effectively as a hole scavenger preventing the oxidation of *m*-NBS and the reoxidation of *m*-ABS. Other reasons are that *m*-NBS is more reducible than  $O_2$  and protons and that positively charged products having a  $-NH_3^+$  group are eliminated from the TiO<sub>2</sub> surface in acidic conditions.

#### 9.3 Photocatalytic Reduction of Benzonitrile

As applications of photocatalytic reduction to organic synthesis with TiO<sub>2</sub>, reduction of a carbonyl group [14–17] and a nitro group [18–25] have been reported. On the other hand, loading of an appropriate metal co-catalyst enables reduction of substrates that cannot be reduced by bare TiO<sub>2</sub>. The purpose of a co-catalyst is to decrease activation energy. For example, Pt-loaded TiO<sub>2</sub> reduces protons (H<sup>+</sup>) to H<sub>2</sub>, whereas it is impossible to reduce H<sup>+</sup> with bare TiO<sub>2</sub>. Therefore, various new

photocatalytic organic syntheses using a TiO<sub>2</sub> photocatalyst can be achieved if an appropriate co-catalyst is loaded.

Recently, photocatalytic reduction of benzonitrile (PhCN) to benzylamine (BnNH<sub>2</sub>) using oxalic acid (OA) as a hole scavenger has been achieved as a new photocatalytic reduction system (Eq. 9.5) [30]. Primary amines produced by reduction (hydrogenation) of corresponding nitriles are important compounds as intermediates of chemical products, and reduction of a cyano group is an important reaction in fine chemicals [31–34]. This reduction has been achieved by catalytic hydrogenation using a metal catalyst such as Ni, cobalt, ruthenium (Ru), or palladium (Pd) supported on a suitable material. Since these reactions require high pressure of H<sub>2</sub> and high temperature, more mild conditions are favored. In addition, it is difficult to produce primary amines selectively by catalytic hydrogenation of corresponding nitriles because there are many reaction pathways in hydrogenation of nitriles [33].



#### 9.3.1 Effect of Metal Loaded

Figure 9.8 shows effects of metal co-catalysts (0.3 wt%) on the production of  $BnNH_2$ ,  $H_2$ , and  $CO_2$  in the photocatalytic reduction of PhCN after photoirradiation for 60 min. When bare (metal-free) TiO<sub>2</sub> was used as the photocatalyst, only a small amount of  $CO_2$  was evolved and the color of TiO<sub>2</sub> became blue, indicating that Ti<sup>4+</sup> in TiO<sub>2</sub> was reduced to Ti<sup>3+</sup>. These results showed that positive holes oxidized oxalic acid to give CO<sub>2</sub>, while photogenerated electrons in the conduction band (CB) of TiO<sub>2</sub> did not reduce PhCN. Almost the same results were obtained when gold (Au), silver (Ag), or Cu was loaded on TiO<sub>2</sub> as a co-catalyst. Relatively large amounts of  $H_2$  and  $CO_2$  were evolved when Pt was loaded on TiO<sub>2</sub>.



**Fig. 9.8** Effects of metals loaded on  $\text{TiO}_2$  (MT-150A, Tayca) on photocatalytic reduction of PhCN (50 µmol) to BnNH<sub>2</sub> in 0.1 mol dm<sup>-3</sup> aqueous hydrochloric acid suspensions (5 cm<sup>3</sup>) under deaerated conditions in the presence of oxalic acid (200 µmol) for 60-min photoirradiation (Reproduced from Ref. [30] with permission from the Royal Society of Chemistry)

However, no BnNH<sub>2</sub> was produced. The ratio of  $H_2$  and  $CO_2$  evolved was about 1:2, indicating that only fruitless decomposition of oxalic acid occurred over Pt-loaded TiO<sub>2</sub> as shown in Eq. 9.6:

$$(\text{COOH})_2 \to \text{H}_2 + 2\text{CO}_2 \tag{9.6}$$

In contrast to these metal-loaded TiO<sub>2</sub> samples, BnNH<sub>2</sub> was obtained when Pd-loaded TiO<sub>2</sub> (Pd–TiO<sub>2</sub>) was used as a photocatalyst. As far as we know, this is the first report on photocatalytic reduction (hydrogenation) of a cyano group (C $\equiv$ N triple bond) to primary amine. Since the reduction potential of PhCN is believed to be much higher than the potential of the CB of TiO<sub>2</sub>, formation of BnNH<sub>2</sub> indicates that the applicability of photocatalytic reduction is not limited by the CB position of semiconductor photocatalysts. Supported Pd nanoparticles are often used for catalytic hydrogenation of a cyano group with H<sub>2</sub> as a hydrogen source, in which an activated hydrogen species (H–Pd) formed by dissociative adsorption of H<sub>2</sub> on the surface of Pd reacts with the cyano group [35]. Similarly, H–Pd formed in the photocatalytic process probably reacts with the cyano group under the present conditions.

#### 9.3.2 Effect of Solvents and Hole Scavengers

Figure 9.9 shows effects of solvents and hole scavengers on the photocatalytic reduction of PhCN to BnNH<sub>2</sub>. The highest BnNH<sub>2</sub> yield was obtained when



**Fig. 9.9** Effects of solvents and hole scavengers on photocatalytic reduction of PhCN to  $BnNH_2$  over Pd–TiO<sub>2</sub> for 60-min irradiation (Reproduced from Ref. [30] with permission from the Royal Society of Chemistry)

hydrochloric acid (HCl) solution and water were used. The yield drastically decreased in sodium hydroxide (NaOH) solution. As shown in Eq. 9.5, four hydrogen atoms (protons) are incorporated into BnNH<sub>2</sub>. These protons were provided by oxalic acid in an acidic condition. In basic conditions, protons were consumed by the base, resulting in a low yield of BnNH<sub>2</sub>. These results indicate that the concentration of H<sup>+</sup> is one of the important factors controlling the yield of BnNH<sub>2</sub>. A small amount of toluene (PhCH<sub>3</sub>) was formed as a by-product; however, quantitative analysis could not be performed because of the very low solubility of PhCH<sub>3</sub> in water. When acetone and acetonitrile were used as aprotic solvents instead of water, no reduction of PhCN occurred and a small amount of H<sub>2</sub> was evolved. These results indicate that only splitting of oxalic acid (Eq. 9.6) occurred in acetone and acetonitrile solvents. It has often been reported that addition of water to acetonitrile improved the reaction rates [36, 37]. However, no effect of water addition was observed in this system. Alcohols, especially methanol and ethanol, have been used as solvents and hole scavengers in photocatalytic reduction [2, 4, 5]. In alcoholic systems, no BnNH<sub>2</sub> was produced, while PhCH<sub>3</sub> was formed as the reduced product of PhCN together with a large amount of H<sub>2</sub>, indicating that dehydrogenation of alcohols vigorously occurred and deep hydrogenation of PhCN induced elimination of nitrogen atoms.

There are several pathways involving the generation of PhCH<sub>3</sub> in catalytic hydrogenation of PhCN [35]. Sugano et al. reported that benzonitriles were converted to corresponding toluenes and triethylamine over a Pd–TiO<sub>2</sub> photocatalyst in mixtures of ethanol and hexane, producing no BnNH<sub>2</sub> [38]. They proposed that, when alcohols are used as hole scavengers, BnNH<sub>2</sub> reacts with aldehydes and thus formed imines intermediates are hydrogenated to amines. Hydrogenolysis of amines produces PhCH<sub>3</sub>. In this study, aldehydes or other reactive species were not formed in reaction mixtures because the oxalic acid used as a hole scavenger is converted to CO<sub>2</sub> and removed from the liquid phase under an acidic condition. Acidic conditions have two roles: maintenance of a sufficient proton concentration and CO<sub>2</sub> isolation. From the results shown in Fig. 9.9, it is clear that the combination of acidic conditions and oxalic acid as a hole scavenger is essential for reduction of PhCN to BnNH<sub>2</sub>.

#### 9.3.3 Time Course of Photocatalytic Reduction of PhCN over Pd–TiO<sub>2</sub> in Water Containing Oxalic Acid as Hole Scavenger

Figure 9.10 shows time courses of photocatalytic reduction of PhCN. The amount of PhCN monotonously decreased along with photoirradiation time, and PhCN was almost completely consumed after 30 min, while  $BnNH_2$  was obtained in 74 % yield. Assuming that all of the  $CO_2$  originated in oxalic acid, the efficiency of



utilizing oxalic acid as a hole scavenger (EUH) was calculated to be 80 % by using Eq. 9.7:

$$EUH = \frac{4 \times Amount \text{ of } BnNH_2 \text{ formed}}{Amount \text{ of } CO_2 \text{ evolved}} \times 100$$
(9.7)

The value of EUH indicates that the electrons donated by oxidization of oxalic acid were efficiently used for reduction of PhCN to BnNH<sub>2</sub>. After consumption of PhCN, H<sub>2</sub> was formed, indicating that reduction of PhCN and protons competed and that the Pd co-catalyst predominantly reduced PhCN. We also noted that the BnNH<sub>2</sub> formed was not converted to other compounds under excessive photoirradiation. In photocatalytic reduction of nitrobenzenes to aminobenzenes in acidic aqueous solutions, aminobenzenes were not reoxidized under excessive photoirradiation because the protonated forms of aminobenzenes were eliminated from the protonated surface of TiO<sub>2</sub> [27, 28]. Therefore, in the present system, BnNH<sub>2</sub> was also protonated in the acidic aqueous suspension, and the protonated form was eliminated from the TiO<sub>2</sub> surface, escaping from further conversion.

### 9.4 Photocatalytic Hydrogenation of Alkenes to Alkanes in Alcoholic Suspensions of Palladium-Loaded Titanium(IV) Oxide Without the Use of Hydrogen Gas

In Sect. 9.3, we reported photocatalytic reduction (hydrogenation) of a cyano group (benzonitrile) to an amino group (benzylamine) using Pd–TiO<sub>2</sub> even though the reduction potential of benzonitrile is higher than the potential of the CB of TiO<sub>2</sub>, indicating that the applicability of photocatalytic reduction is not limited by the CB position of semiconductor photocatalysts. Therefore, a new photocatalytic reduction can be developed if metal co-catalysts are introduced on photocatalysts.

Hydrogenation is one of the important processes in petroleum chemistry, industrial chemistry, food chemistry, and fine chemistry [39, 40]. However, these (thermo)catalytic systems require a high temperature and reducing reagents such as  $H_2$  gas. Hydrogenation of alkenes to alkanes would be more attractive if the reaction occurs without the use of  $H_2$  at room temperature. In this section, we explored a new photocatalytic reduction system other than reduction of nitrobenzenes, carbonyl compounds, and nitriles, and we found that photocatalytic hydrogenation of a C=C double bond to a C-C bond occurred in alcoholic suspensions of TiO<sub>2</sub> with metal co-catalysts at room temperature without the use of  $H_2$ . Here we briefly report (1) the effect of metal co-catalysts on hydrogenation of styrene to ethylbenzene as a model reaction of hydrogenation of a C=C double bond to a C-C bond, (2) the stoichiometry and characteristics of the reaction, and (3) the applicability of the photocatalytic hydrogenation of alkenes to alkanes [41].

# 9.4.1 Effect of Metal Co-catalyst on Photocatalytic Hydrogenation of Styrene

Figure 9.11 shows effects of metal co-catalysts on production of ethylbenzene in photocatalytic hydrogenation of styrene in methanol solutions after 30-min photoirradiation. When bare TiO<sub>2</sub> was used as the photocatalyst, no reaction of styrene occurred and the color of TiO<sub>2</sub> became blue, indicating that Ti<sup>4+</sup> in TiO<sub>2</sub> was reduced to Ti<sup>3+</sup> by photogenerated electrons. This result means that positive holes oxidized methanol, while photogenerated electrons in the CB of TiO<sub>2</sub> did not reduce styrene. Almost the same results were obtained when Au, Ag, Cu, and Ru were loaded on TiO<sub>2</sub> as co-catalysts. These results (no hydrogenation of styrene) can be explained by the reduction potential of styrene being more negative than that of the CB of TiO<sub>2</sub>. Since the half-wave reduction potential of the system was reported to be -2.4 V versus SHE, a high voltage is required for electrochemical reduction (hydrogenation) of styrene. In contrast to these metal-loaded TiO<sub>2</sub>



**Fig. 9.11** Effects of metals (0.1 wt%) loaded on the TiO<sub>2</sub> surface on photocatalytic reduction of styrene (45  $\mu$ mol) to ethylbenzene in methanolic suspensions (5 cm<sup>3</sup>) under deaerated conditions for 30-min photoirradiation at 298 K (Reproduced from Ref. [41] with permission from the Royal Society of Chemistry)

samples, when Pd-, Pt-, and rhodium-loaded TiO<sub>2</sub> photocatalysts (Pd–TiO<sub>2</sub>, Pt–TiO<sub>2</sub>, and Rh–TiO<sub>2</sub>) were used, styrene was hydrogenated and ethylbenzene was obtained without the use of H<sub>2</sub>. Pd–TiO<sub>2</sub> showed a much higher ethylbenzene yield than those of the other photocatalysts, and styrene was hydrogenated to ethylbenzene almost stoichiometrically after 30 min. Since supported Pd nanoparticles are often used for catalytic hydrogenation of a C=C double bond with H<sub>2</sub> as a hydrogen source, it could be considered that ethylbenzene was formed thermocatalytically. However, two blank reactions at 298 K without light irradiation and without Pd–TiO<sub>2</sub> produced no ethylbenzene, indicating that ethylbenzene was formed photocatalytically. As far as we know, this is the first report on hydrogenation of a C=C double bond by using a TiO<sub>2</sub> photocatalyst.

#### 9.4.2 Photocatalytic Hydrogenation of Styrene to Ethylbenzene over Pd-TiO<sub>2</sub>

Figure 9.12a shows time courses of styrene remaining, ethylbenzene formed, and acetone formed in 2-propanol suspensions of 0.1 wt% Pd-TiO<sub>2</sub> without the use of H<sub>2</sub>. Just after photoirradiation, styrene monotonously decreased, while ethylbenzene and acetone were formed as the reduction (hydrogenation) product of styrene and the oxidation product of 2-propanol, respectively. After 25-min photoirradiation, styrene was almost completely consumed and ethylbenzene was obtained in a high yield (98 %). Styrene was hydrogenated as follows. Methanol was oxidized with two positive holes to form formaldehyde and protons  $(H^+)$ . Thus, formed H<sup>+</sup> were reduced with electrons in the CB to form active hydrogen species on metal co-catalyst. Styrene was reduced with the H species to ethylbenzene. It should be noted that H<sub>2</sub> was formed only after consumption of styrene. Formation of  $H_2$  means that  $H^+$  in the reaction system were reduced. Therefore, reduction (hydrogenation) of styrene occurred selectively under the present conditions. Material balance (MB) calculated by using Eq. 9.8 is shown in Fig. 9.12b:

$$MB = \frac{n(\text{styrene}) + n(\text{ethylbenzene})}{n_0(\text{styrene})},$$
(9.8)

where n(styrene) and n(ethylbenzene) are the amounts of styrene and ethylbenzene after photoirradiation, respectively, and  $n_0(\text{styrene})$  is the initial amount of styrene. The high yield of ethylbenzene and the value of MB close to unity indicate that only hydrogenation of styrene to ethylbenzene occurred, i.e., neither polymerization of styrene, cracking of ethylbenzene, nor hydrogenation of the aromatic ring occurred. To evaluate the redox property and competitive reduction of styrene and H<sup>+</sup> by



photogenerated electrons, new indicators, i.e., redox balance (RB) and selectivity for ethylbenzene production (ethylbenzene/acetone, E/A), were calculated from Eqs. 9.9 to 9.10, respectively, and are shown in Fig. 9.12b:

$$RB = \frac{2 \times \text{amount of ethylbenzene} + 2 \times \text{amount of } H_2}{2 \times \text{amount of acetone}}$$
(9.9)

$$E/A = \frac{2 \times \text{amount of ethylbenzene}}{2 \times \text{amount of acetone}}$$
(9.10)

The value of RB close to unity was preserved in all of the reaction, indicating that electrons were used only for reduction of styrene (to ethylbenzene) and H<sup>+</sup> (to H<sub>2</sub>) and that holes were consumed only for oxidation of 2-propanol (to acetone). Therefore, only a desired main reaction (Eq. 9.11) and a side reaction (Eq. 9.12) occurred in the present photocatalytic reaction system. Therefore, we can conclude that photocatalytic hydrogenation of styrene to ethylbenzene along with 2-propanol oxidation to acetone occurred over Pd–TiO<sub>2</sub> without the use of H<sub>2</sub>. However, the value of E/A slightly higher than unity suggests that a small amount of acetone was consumed by a photocatalytic reaction.

$$\begin{array}{c|c} & & OH & Pd-TiO_2, h_{\nu} \\ & + & & \\ &$$

#### 9.4.3 Applicability of the Photocatalytic Hydrogenation of Alkenes

Applicability of the photocatalytic hydrogenation of alkenes to alkanes was investigated using various aromatic or aliphatic alkenes, and Table 9.1 shows results of the photocatalytic hydrogenation in methanolic suspensions of Pd–TiO<sub>2</sub> particles under deaerated conditions. Hydrogenation reaction proceeded in all cases, and high yield was obtained.

In thermocatalytic process, H<sub>2</sub> is dissociatively adsorbed on Pd surface, and thus formed H species (as H-Pd) are incorporated into a C=C double bond. Based on the mechanism of thermocatalytic hydrogenation over Pd catalyst, the mechanism of photocatalytic hydrogenation without the use of  $H_2$  can be considered as follows: (1)  $H^+$  are reduced by photogenerated electrons on Pd surface to give active H species (probably as H–Pd) and (2) this active H species on Pd are inserted into a C=C double bond, resulting in ethylbenzene formation. The active species formed in thermocatalytic and photocatalytic reactions would be essentially same because  $H_2$  was evolved after consumption of styrene (Fig. 9.12a). It is apparent that H species photocatalytically formed on Pd are very active for hydrogenation of alkenes.



<sup>a</sup>2-Propanol was used as solvent

Table 9.1 Photocatalytic hydrogenation of various alkenes to alkanes in methanolic suspensions of 0.1 wt% Pd-TiO2 for 30-min

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from Ref. [41] with

# 9.5 Chemoselective Reduction of Nitrobenzenes to Aminobenzenes Having Reducible Groups by Titanium(IV) Oxide Photocatalyst Under Gasand Metal-Free Conditions

Aminobenzenes synthesized by reduction of corresponding nitrobenzenes are important compounds as intermediates of agrochemicals, medicines, dyes, and various useful compounds. However, it is difficult to selectively reduce the nitro group of nitrobenzenes having other reducible groups such as a vinyl group [42]. Catalytic hydrogenation over a metal catalyst such as Ni or Cu is the main method for reduction of a nitro group. However, this method cannot be applied for selective reduction of the nitro group of nitrobenzenes having a vinyl group because both the nitro and vinyl groups are reduced. Chemoselective reduction of the nitro group is achieved by using a large excess of a reducing agent such as tin (Sn) [43], zinc (Zn) [44], iron (Fe) [45], or sodium hydrosulfite [46]. However, these reaction systems give harmful wastes. Although catalytic chemoselective reduction of nitrobenzenes is important, there have been only a few reports on chemoselective reduction of a nitro group in the presence of C-C bonds [47-49] and their yields were low. Recently, chemoselective reduction of *m*-nitrostyrene (NS) to *m*aminostyrene (AS) has been achieved by many researchers [42, 50–60]. However, these (thermo)catalytic systems require precious metals, high temperature, and high pressure of reducing reagents such as H<sub>2</sub> and carbon monoxide (CO). Reduction of NS to AS would be more attractive if reducing reagents other than gaseous H<sub>2</sub> and CO are applied, and the reaction is catalyzed by common elements having a simple component and structure under mild conditions such as room temperature and atmospheric pressure.

In this section, we examined the photocatalytic reduction of nitrobenzenes having other reducible groups using a simple photocatalyst,  $TiO_2$ , in the presence of oxalic acid as a hole scavenger at room temperature and atmospheric pressure, and we found that only the nitro group was chemoselectively reduced to an amino group and that aminobenzenes with reducible groups were obtained in high yields without using precious metals or high-pressure gaseous reducing reagents [37].

# 9.5.1 Photocatalytic Chemoselective Reduction of NS to AS in 10 Vol% Water–Acetonitrile Suspension of TiO<sub>2</sub>

Figure 9.13 shows time courses of the amounts of NS remaining and AS formed in the photocatalytic reduction of NS in a 10 % (v/v) water–acetonitrile suspension of TiO<sub>2</sub>. The amount of NS monotonously decreased along with photoirradiation time, and NS was almost completely consumed after 2 h, while AS was obtained in a high



**Fig. 9.13** Time courses of amount of NS remaining (*squares*) and amount of AS formed (*circles*) in a 10 % water–acetonitrile suspension of  $TiO_2$  (50 mg) in the presence of oxalic acid (200 µmol) as a hole scavenger under deaerated conditions (Reproduced from Ref. [37] with permission from the Royal Society of Chemistry)

yield (93 %). The high yield of AS indicates that none of the reaction of the benzene ring, reduction of the vinyl group, or reoxidation of the amino group of AS occurred in the present system. It is notable that there are no reports on photocatalytic chemoselective reduction of a nitro group having a C=C double bond. Equation 9.13 shows the probable stoichiometry of photocatalytic chemoselective reduction of NS to AS in the presence of oxalic acid.

$$NO_{2}$$
+ 3 (COOH)<sub>2</sub>  $\xrightarrow{\text{TiO}_{2}, h\nu}$   $\xrightarrow{\text{NH}_{2}}$  + 2 H<sub>2</sub>O + 6 CO<sub>2</sub>  
under Ar (9.13)

The present photocatalytic reduction required no co-catalyst such as a precious metal and occurred under conditions (298 K, atmospheric pressure, and H<sub>2</sub>-free) milder than those of thermocatalytic systems. The value of apparent quantum efficiency (AQE) at 366 nm is calculated from the ratio of the amount of AS, and the amount of photons irradiated using Eq. 9.14 reached 15 %:

$$AQE = (6 \times \text{amount of AS} / \text{number of incident photons}) \times 100$$
 (9.14)

For comparison, AQE for photocatalytic  $H_2$  formation from 2-propanol (200 µmol) in an aqueous suspension of platinized TiO<sub>2</sub> was also examined and determined to be 4.1 % under the same irradiation conditions. This reaction has often been used as a model reaction to evaluate the activity of a photocatalyst for  $H_2$  evolution. The value of AQE in the present reaction larger than that of 2-propanol dehydrogenation shows that oxalic acid efficiently works as hole scavenger for photocatalytic reduction of NS to AS.



Scheme 9.1 Intermolecular competitive reaction of nitrobenzene and styrene in an acetonitrile suspension of  $TiO_2$  in the presence of oxalic acid as a hole scavenger

# 9.5.2 Intermolecular Chemoselective Reduction of Nitrobenzene and Styrene

The high chemoselectivity of this method for reduction of NS was further investigated in the intermolecular competitive reaction of nitrobenzene and styrene. As expected, nitrobenzene (50 µmol) was reduced to give aniline (50 µmol) with over >99 % yield, while styrene (49 µmol) was not reduced at all as shown in Scheme 9.1. These results clearly demonstrate that the photocatalytic system showed complete chemoselectivity for the nitro group in the presence of interand intramolecular vinyl groups. The selectivity between a nitro group and a vinyl group can be explained electrochemically, i.e., reduction potential of the CB of the TiO<sub>2</sub> and substrates. The half-wave reduction potential of styrene (-2.41 V) [61] is more negative than the potential of TiO<sub>2</sub> CB (-0.3 V), while the half-wave reduction potential of nitrobenzene (0.16 V) [62] is more positive [63].

#### 9.5.3 Applicability

Applicability of the photocatalytic chemoselective reduction was investigated using various nitro compounds having other reducible groups (chloro-, bromo-, carboxyl, and acetyl groups), and Table 9.2 shows results of the photocatalytic chemoselective reduction of various nitrobenzenes in acetonitrile suspension of  $TiO_2$  particles under deaerated conditions. Only the nitro group of these compounds was chemoselectively reduced even in the presence of chloro, bromo, carboxyl, and acetyl groups, resulting in the formation of corresponding amino compounds with high yields. These results indicate wide applicability of this photocatalytic method for chemoselective reduction of a nitro group to an amino group without using protecting process, precious metals, and H<sub>2</sub>. When *o*-acetylnitrobenzene was used as substrate (entry 8), the selectivity was low even at low conversion, suggesting that intermediate(s) and/or *o*-acetylaminobenzene easily converted to by-product(s) under photoirradiation.

Table 9.2 Photocatalytic chemoselective reduction of various nitrobenzenes to corresponding aminobenzenes (Reproduced from Ref. [37] with permission from the Royal Society of Chemistry)

Entries	Substrates	Products	Time/h	Conv./%	Sel./%
1	NO <sub>2</sub>	NH <sub>2</sub>	6	>99	88
2	NO <sub>2</sub>	NH <sub>2</sub>	2	>99	>99
	CI	CI			
3	NO <sub>2</sub>	NH <sub>2</sub>	2	>99	95
		ČI	2	> 00	54
4			2	>99	54
	Ċı	Ċı			
5			2	>99	>99
	Br	Br			
6	NO <sub>2</sub>	NH <sub>2</sub>	2	>99	>99
	Br	Br			
7	NO <sub>2</sub>	NH <sub>2</sub>	2	>99	88
	   Br	   Br			
8	NO <sub>2</sub>	NH <sub>2</sub>	2	>99	15
9	NO <sub>2</sub>	NH <sub>2</sub>	2	>99	91
10	NO <sub>2</sub>	NH <sub>2</sub>	2	>99	88
	Ŭ	0 \			

(continued)

Entries	Substrates	Products	Time/h	Conv./%	Sel./%
11	NO <sub>2</sub> COOH	NH <sub>2</sub> COOH	2	>99	98
12		NH <sub>2</sub> COOH	2	>99	>99
13			2	>99	98

Table 9.2 (continued)

# 9.6 Stoichiometric Production of Aminobenzenes and Ketones by Photocatalytic Reduction of Nitrobenzenes in Secondary Alcoholic Suspension of Titanium(IV) Oxide Under Metal-Free Conditions

In the photocatalytic reduction of nitrobenzenes, positive holes in the valence band were effectively removed by formic acid and oxalic acid. These hole scavengers are "greener" sacrificial reagents because they are easily oxidized into  $CO_2$ , and thus formed  $CO_2$  molecules are removed from the liquid phase in the presence of organic acids. The photocatalytic reduction of nitrobenzenes would be more attractive if positive holes are utilized for synthesis of valuable compounds, not for fruitless degradation or mineralization. Utilization of positive holes enables simultaneous production of two valuable reduced and oxidized compounds. In the case of reduction of nitrobenzene using oxalic acid (Eq. 9.15), atom efficiency (AE) [64], which is defined in Eq. 9.16, is calculated to be 23.7 %:

$$\frac{NO_2}{H} + 3 (COOH)_2 \xrightarrow{\text{TiO}_2, h\nu} + 2 H_2O + 6 CO_2$$
Atom efficiency (%) = 
$$\frac{(\text{molecular weight of the desired product})}{(\text{sum total of molecular weights of all substances produced})} \times 100$$
(9.15)

Atom efficiency (%) = 
$$\frac{\text{(molecular weight of the desired product)}}{\text{(sum total of molecular weights of all substances produced)}} \times 100$$

The photocatalytic reduction of nitrobenzenes to anilines using oxalic acid or formic acid is "green" from the viewpoint of practical operation for reasons stated above; however, the atom efficiency of the reaction is not high because positive holes are consumed only for mineralization of the hole scavengers and large amounts of CO<sub>2</sub> (six times larger than the amount of aminobenzenes) and water (twice the amount of aminobenzenes) are formed. Therefore, atom efficiency should increase if positive holes are utilized for production of valuable compounds, not for fruitless mineralization, during the reduction of nitrobenzenes by photogenerated electrons. In this section, photocatalytic reduction of nitrobenzenes to aminobenzenes in secondary alcohols was examined. Secondary alcohols are regarded as a model raw material of carbonyl compounds and work as hole scavengers and solvents. Since carbonyl compounds such as aldehydes and ketones are precursors for many drugs, vitamins, and fragrances, selective oxidation of alcohols to carbonyl compounds is one of the most important transformations in industrial and fundamental chemistry [65, 66]. Therefore, several photocatalytic methods for selective oxidation of alcohols to corresponding carbonyl compounds have been reported [67-74]. Here we report (1) stoichiometry of products, i.e., anilines and carbonyl compounds, in the photocatalytic reaction, (2) chemoselective reduction of nitrobenzene having reducible groups such as *m*-nitrostyrene to *m*aminostyrene, and (3) effects of the presence of  $O_2$  and type of TiO<sub>2</sub> on nitrobenzene formation [75].

#### 9.6.1 Stoichiometric Production of Aniline and Acetone in Photocatalytic Reaction of Nitrobenzene in 2-Propanol Suspension of TiO<sub>2</sub> Under Deaerated Conditions

Photocatalytic reaction of nitrobenzene in a 2-propanol suspension of rutile-type  $TiO_2$  (Tayca, MT-150A) with irradiation of UV light was examined under deaerated conditions. Expected stoichiometry of this reaction is shown in Eq. 9.17, and AE of this reaction is calculated to be 88.1 %, in which acetone is regarded as a desired product.

(9.16)



The value was larger than that of reduction of nitrobenzene with oxalic acid (Eq. 9.15) because no  $CO_2$  was formed in the reduction in 2-propanol (Eq. 9.17). Figure 9.14 shows time courses of nitrobenzene remaining, aniline formed, and acetone formed in a 2-propanol suspension of TiO<sub>2</sub> (Tayca, MT-150A) for 30-min photoirradiation under deaerated conditions. Just after photoirradiation, nitrobenzene monotonously decreased, while aniline and acetone were formed as the reduction product of nitrobenzene and the oxidation product of 2-propanol, respectively. After 15-min photoirradiation, nitrobenzene was almost completely consumed and aniline was obtained in a high yield (98 %). We noted that the aniline that had been formed was not reoxidized even by excessive photoirradiation after complete consumption of nitrobenzene. This result indicates that no reoxidation of aniline by holes occurred in the presence of a large excess of 2-propanol. After nitrobenzene had been consumed, a small amount of acetone was formed and the color of TiO<sub>2</sub> became blue, indicating that a part of Ti<sup>4+</sup> in TiO<sub>2</sub> was reduced to Ti<sup>3+</sup> along with oxidation of 2-propanol to acetone. To evaluate stoichiometry of the reaction in the present conditions, a new indicator, i.e., redox balance (RB), was used. Since reduction of nitrobenzene to aniline and oxidation of 2-propanol to acetone require six electrons and two holes, respectively, RB was calculated in Eq. 9.18 and values of RB are also shown in Fig. 9.14:

$$RB = \frac{6 \times \text{amount of aniline}}{2 \times \text{amount of acetone}}$$
(9.18)

The values of RB were almost unity at 5 and 15 min, indicating that the reaction proceeded stoichiometrically according to Eq. 9.17, i.e., generated electrons and holes were selectively used only for reduction of nitrobenzene to aniline and oxidation of 2-propanol to acetone, respectively. Due to the formation of a small amount of acetone, the value of RB was slightly decreased at 30 min. From the ratio of the amount of aniline and the amount of photons irradiated (Eq. 9.19), AQE was calculated to be 89 % at 10-min irradiation by UV-LED:

$$AQE(\%) = \frac{6 \times \text{amount of aniline}}{\text{number of incident photons}} \times 100$$
(9.19)

### 9.6.2 Chemoselective Reduction of Nitrobenzenes to Anilines Having Reducible Groups in 2-Propanol Suspension of TiO<sub>2</sub> Under Deaerated Conditions

Photocatalytic stoichiometric formation of aniline and acetone was expanded to chemoselective reduction of nitrobenzenes to aminobenzenes having reducible groups (vinyl, chloro, and bromo groups) along with oxidation of 2-propanol to acetone. Figure 9.15 shows time courses of *m*-nitrostyrene (NS) remaining, *m*-aminostyrene (AS) formed, and acetone formed in a 2-propanol suspension of  $TiO_2$  for 30-min photoirradiation under deaerated conditions.

**Fig. 9.15** Time courses of amounts of NS remaining (*squares*), AS formed (*circles*), and acetone formed (*diamonds*) and RB of AS and acetone (*triangles*) in photocatalytic reaction of NS in 2-propanol suspensions of TiO<sub>2</sub> under deaerated conditions (Reprinted from Ref. [75], Copyright 2013, with permission from Elsevier)



Just after photoirradiation, the amount of NS monotonously decreased and NS was almost completely consumed after 15 min, while AS was obtained in a high yield (>99 %) as the reduction product of NS. Other reduced products (nitroethylbenzene and aminoethylbenzene) were not formed. Equation 9.20 shows the expected stoichiometry of photocatalytic simultaneous formation of AS and acetone by reduction of NS and oxidation of 2-propanol under the present conditions.



After NS had been consumed, a small amount of acetone was formed and the color of  $TiO_2$  became blue as in the case of nitrobenzene, indicating that a part of  $Ti^{4+}$  in  $TiO_2$  was reduced to  $Ti^{3+}$ . However,  $Ti^{3+}$  species was easily oxidized to  $Ti^{4+}$  because the color became white after exposing the suspension to air. The values of RB were almost unity at 5 and 10 min, indicating that the reaction proceeded stoichiometrically according to Eq. 9.20, i.e., generated electrons and holes were selectively used only for reduction of NS to AS and oxidation of 2-propanol to acetone, respectively.

Applicability of the stoichiometric chemoselective reduction was investigated using nitro compounds having other reducible groups (chloro and bromo groups), and Table 9.3 shows results of the photocatalytic chemoselective reduction of these nitrobenzenes in a 2-propanol suspension of TiO<sub>2</sub> particles under deaerated conditions. Only the nitro group of these compounds was chemoselectively reduced even in the presence of chloro and bromo groups, resulting in the formation of

Table 9.3Photocatalyticchemoselective reduction ofnitrobenzenes tocorresponding anilines in2-propanol suspensions of $TiO_2$  and redox balance ofanilines and acetone underdeaerated conditions<sup>a</sup>(Reprinted from Ref. [75],Copyright 2013, withpermission from Elsevier)

Substrate	Product	Conv./%	Sel./%	RB <sup>b</sup>
NO <sub>2</sub>	NH <sub>2</sub>	92	98	0.96
		>99	82	0.84
NO <sub>2</sub> Br	NH <sub>2</sub> Br	86	>99	0.94

<sup>a</sup>Nitrobenzenes, 50  $\mu$ mol; 2-propanol, 5 cm<sup>3</sup>; TiO<sub>2</sub>, MT-150A (50 mg); photoirradiation, 10 min

<sup>&</sup>lt;sup>b</sup>Redox balance defined as  $[3 \times (\text{amount of aminobenzene})/(\text{amount of acetone})]$ 

corresponding amino compounds with high yields. Since the values of RB were close to unity, stoichiometric reduction of nitrobenzenes and oxidation of 2-propanol occurred under the present conditions. These results indicate wide applicability of this photocatalytic method for chemoselective reduction of a nitro group to an amino group and stoichiometric formation of acetone without using precious metals and  $H_2$ . The reaction tendency of *p*-chloronitrobenzene was slightly different from that of *p*-bromonitrobenzene, i.e., *p*-chloronitrobenzene exhibited high conversion and low selectivity while *p*-bromonitrobenzene exhibited low conversion and high selectivity. It is difficult to explain these results because by-product(s) was not determined in this reaction condition. Change in the reaction condition might be required to discuss the effect of halogen on the reaction rate and selectivity.

#### 9.6.3 Stoichiometric Production of Aniline and Acetone in Photocatalytic Reaction of Nitrobenzene in 2-Propanol Suspension of TiO<sub>2</sub> Under Air

When photocatalytic reaction is applied for reduction of a substrate, oxygen is generally removed from the reaction system because oxygen always acts as strong acceptor of photogenerated electrons and decreases the efficiency of photocatalytic reduction in the target. To remove the negative effect of O<sub>2</sub>, photocatalytic reduction has been performed under vacuum or under inert gases such as nitrogen and argon. However, removal of  $O_2$  by vacuuming or replacing with these gases requires great care and consumes a large amount of energy. Reactions under air, i.e., reactions free from any additional operations, are most favorable from the viewpoint of practical application. In this study, photocatalytic reaction of nitrobenzene in a 2-propanol suspension of TiO<sub>2</sub> was carried out under air to examine whether stoichiometric production of aniline and acetone was achieved or not, and the results are shown in Fig. 9.16. As well as results under deaerated conditions (Fig. 9.14), just after photoirradiation, nitrobenzene decreased monotonously, while aniline was formed and the yield of aniline reached 96 %, indicating that reduction of nitrobenzene occurred even in the presence of O<sub>2</sub> and the rate was almost the same in the absence of  $O_2$ . It should be noted that no aniline was reoxidized with prolonged photoirradiation even in the presence of O<sub>2</sub>. Since the yield of acetone was larger than that of aniline and the value of RB was smaller than unity, fruitless oxidation of 2-propanol with  $O_2$  (Eq. 9.21) partly occurred.

$$\begin{array}{c} OH \\ + 1/2 O_2 \end{array} \xrightarrow{O} + H_2O \end{array}$$
 (9.21)

From the results in the presence of  $O_2$ , it can be concluded that (1) reduction of nitrobenzene (electron trapping by nitrobenzene) was hardly affected by the presence of  $O_2$  and (2) electron trapping by  $O_2$  occurred additionally and, therefore, the yield of acetone increased.

**Fig. 9.16** Time courses of amounts of nitrobenzene remaining (*squares*), aniline formed (*circles*), and acetone formed (*diamonds*) and RB of aniline and acetone (*triangles*) in photocatalytic reaction of nitrobenzene in 2-propanol suspensions of TiO<sub>2</sub> under air (Reprinted from Ref. [75], Copyright 2013, with permission from Elsevier)



# 9.7 Simultaneous Production of Aromatic Aldehydes and Dihydrogen by Photocatalytic Dehydrogenation of Liquid Alcohols over Metal-Loaded Titanium (IV) Oxide Under Oxidant- and Solvent-Free Conditions

Since carbonyl compounds such as aldehydes and ketones are precursors for many drugs, vitamins, and fragrances, selective oxidation of alcohols to carbonvl compounds is one of the most important transformations in industrial chemistry. In particular, the oxidation of primary alcohols to aldehydes is a fundamentally important laboratory and commercial procedure [76-81]. Aromatic aldehydes are valuable as intermediates for both perfumes and medicines [80, 81]. Although there are many methods for oxidation of alcohols to aldehydes, these methods are generally carried out at a high temperature and high pressure by employing stoichiometric oxygen donors (such chromate and permanganate) as [82–85]. Since large amounts of wastes containing heavy metal are formed along with the products, atom efficiency, which is defined as Eq. 9.16, of these methods is very low.

A catalytic process is favorable especially in an oxidation of alcohols to corresponding carbonyl groups using molecular oxygen ( $O_2$ ) as oxidant instead of stoichiometric oxygen donors. Since only water ( $H_2O$ ) is formed as the by-product when  $O_2$  is used, atom efficiency is much higher than that of oxidations using

stoichiometric oxygen donors. A catalytic process for selective oxidation of various alcohols to corresponding aldehydes under mild conditions, i.e., low temperature and nearly atmospheric pressure, has recently been reported [86]. Since a photocatalytic reaction proceeds at room temperature and under atmospheric pressure, application of photocatalytic reactions to organic synthesis has attracted attention in terms of green chemistry. Recently, photocatalytic partial oxidations of benzyl alcohol with  $O_2$  have been reported [68, 70, 87–90]. However, atom efficiency in these photocatalytic methods never reaches 100 % because a stoichiometric amount of  $H_2O$  is formed as a by-product. Formation of aldehydes by simple dehydrogenation of corresponding alcohols would be more attractive because this reaction also gives  $H_2$ , which is an alternative energy carrier [90]. Atom efficiency of simple dehydrogenation is higher than that of oxidative dehydrogenation. For example, atom efficiencies in the formation of benzaldehyde (PhCHO) from benzyl alcohol (BnOH) (Eqs. 9.22 and 9.23) are 85.5 % and 98.1 %, respectively.

$$\bigcirc OH + \frac{1}{2}O_2 \longrightarrow \bigcirc O + H_2O \qquad (9.22)$$

$$\bigcirc OH \longrightarrow \bigcirc O + H_2 \qquad (9.23)$$

If  $H_2$  is counted as a valuable product, the atom efficiency of Eq. 9.23 becomes 100 %, i.e., zero emission, yielding no useless product, is achieved by oxidant-free dehydrogenation of benzyl alcohol. However, it is generally difficult to eliminate hydrogen of alcohols as  $H_2$  because Gibbs free energy change ( $\Delta G$ ) is positive and is much larger than that of oxidative dehydrogenation, yielding liquid  $H_2O$ . Nevertheless, if oxidant-free dehydrogenation is carried out in liquid phase, one of the products, i.e.,  $H_2$ , is removed from the liquid phase due to the small solubility to alcohols, and a thermodynamic equilibrium is never achieved. Therefore, continuous dehydrogenation just before consumption of alcohols is expected in a liquid-phase reaction.

It has been reported that  $Pt-TiO_2$  produced  $H_2$  from aliphatic alcohols such as methanol, ethanol, and propanol to give corresponding aldehydes or ketones [91, 92]. Dehydrogenation of these alcohols, especially methanol, has also been used to investigate whether newly developed photocatalysts have the potential to produce  $H_2$  or not. However, as far as we know about photocatalytic dehydrogenation of aromatic alcohols along with  $H_2$  formation, only limited paper has been reported, although partial oxidation of benzyl alcohols to corresponding benzaldehydes in the presence of an oxidant has been reported. In this section, we examined simultaneous formation of aromatic aldehydes and  $H_2$  by photocatalytic dehydrogenation of liquid alcohols under oxidant-free conditions at room temperature [93].

#### 9.7.1 Photocatalytic Dehydrogenation of BnOH in an Aqueous Suspension of Pt-TiO<sub>2</sub>

Figure 9.17 shows time courses of the amounts of BnOH remaining, PhCHO formed, and  $H_2$  evolved in the photocatalytic dehydrogenation of BnOH in an acetonitrile suspension of Pt–TiO<sub>2</sub>. The amount of BnOH monotonously decreased along with photoirradiation time and was almost completely consumed after 1 h, while PhCHO and  $H_2$  were obtained in high yields (99 % and 95 %, respectively), indicating that dehydrogenation of BnOH shown in Eq. 9.23 occurred completely free from thermodynamical restraint.

Material balance (MB) and redox balance (RB) in Fig. 9.17 were calculated by using Eqs. 9.24 and 9.25, respectively, and are shown in Fig. 9.17:

$$MB(\%) = (n(PhCHO) + n(BnOH))/n_0(BnOH) \times 100$$
(9.24)

$$RB = n(H_2)/n(PhCHO)$$
(9.25)

where n(PhCHO), n(BnOH), and  $n(H_2)$  are the amounts of PhCHO, BnOH, and  $H_2$  observed after photoirradiation, respectively, and  $n_0(BnOH)$  is the initial amount of BnOH. After 60-min photoirradiation, MB and RB were determined to be 99 % and 0.93, respectively. The high yield of PhCHO and the value of MB close to unity indicate that only dehydrogenation of BnOH to PhCHO shown in Eq. 9.23 occurred, i.e., neither a side reaction nor a successive reaction consuming BnOH and PhCHO occurred. Re-reduction of PhCHO to BnOH was not observed under the present conditions, although Kohtani et al. reported that PhCHO was reduced to

Fig. 9.17 Time courses of BnOH remaining, PhCHO formed, and  $H_2$  and  $CO_2$ evolved in an acetonitrile suspension of Pt–TiO<sub>2</sub> under a deaerated condition (Reprinted from Ref. [93], Copyright 2013, with permission from Elsevier)



BnOH by photocatalysis of TiO<sub>2</sub> in the presence of a hole scavenger such as an excess of ethanol [17]. These results indicate that photogenerated electrons were injected into the Pt co-catalyst loaded and selectively used for reduction of H<sup>+</sup> to form H<sub>2</sub>. The value of RB close to unity together with MB = ca. 100 % indicates both that H<sub>2</sub> was formed from BnOH and that H<sub>2</sub> was quantitatively separated from the liquid phase. Self-separation of H<sub>2</sub> from the reaction system is indispensable to achieve complete conversion of BnOH free from thermodynamic restraint and is also practically important because no energy and process are required to separate H<sub>2</sub>.

From the ratio of the amount of PhCHO and the amount of photons irradiated (Eq. 9.26), AQE was calculated to be 38 % at 30-min irradiation in Fig. 9.17, indicating that dehydrogenation of BnOH occurred very effectively under the deaerated conditions:

$$AQE(\%) = (2 \times \text{amount of PhCHO/number of incident photons}) \times 100.$$
(9.26)

To investigate the high selectivity of PhCHO in the present reaction condition, reaction of PhCHO in an acetonitrile suspension of Pt–TiO<sub>2</sub> was carried out under the same irradiation condition. Benzaldehyde conversion and H<sub>2</sub> yield were much smaller (7.6 % and 2 µmol, respectively, for 1 h) than BnOH conversion and H<sub>2</sub> yield (>99 % and 48 µmol, respectively, for 1 h), indicating that the reactivity of PhCHO was much smaller than that of BnOH in this condition. The smaller reactivity was attributed to the high selectivity of PhCHO in the photocatalytic oxidation of BnOH in an acetonitrile suspension of Pt–TiO<sub>2</sub>. Photocatalytic oxidation of aldehyde under a deaerated condition is generally calculated in Eq. 9.27:

$$\mathbf{R} - \mathbf{CHO} + \mathbf{H}_2\mathbf{O} \to \mathbf{R} - \mathbf{COOH} + \mathbf{H}_2 \tag{9.27}$$

Water is indispensable for oxidation of aldehyde to carboxylic acid. However, the present photocatalytic reaction was performed in a water-free acetonitrile suspension of  $Pt-TiO_2$ . Since no water formed as shown in Eq. 9.23, the water-free condition was preserved during the whole reaction. Therefore, we can conclude that water-free and oxygen-free conditions strongly contributed to the high selectivity of PhCHO in the photocatalytic oxidation of BnOH.

#### 9.7.2 Durability and Reactions at High Concentrations

It is important for green chemistry to recover a photocatalyst and reuse it many times without special treatment such as calcination. To examine the durability of the  $Pt-TiO_2$  photocatalyst in this reaction system, the  $Pt-TiO_2$  photocatalyst was used repeatedly. After 60-min reaction in an acetonitrile suspension of  $Pt-TiO_2$ ,  $Pt-TiO_2$  particles were recovered by simple filtration from the reaction mixture and



were reused. Figure 9.18 shows that the  $Pt-TiO_2$  photocatalyst was reusable without notable loss of activity.

Since reactions without the use of a solvent are more favorable from the point of view of green chemistry, photocatalytic solvent-free dehydrogenation of BnOH was also examined. The reaction was very simple, i.e., only Pt–TiO<sub>2</sub> particles were suspended in 5 cm<sup>3</sup> of BnOH and the mixture was photoirradiated under argon. Results are shown in Fig. 9.19. The amount of PhCHO increased linearly along with photoirradiation, and the PhCHO yield reached 1220  $\mu$ mol after 24-h photoirradiation. Under the solvent-free condition, AQE was calculated to be 31 % after 4-h irradiation of Fig. 9.19.

In the solvent-free system, the amount of  $H_2$  evolved was not determined because  $H_2$  was continuously purged from the reactor (test tube) to prevent a large increase in internal pressure. These results include two important points: the first point is that photocatalytic dehydrogenation of BnOH occurred without loss of activity in the BnOH solvent as well as the acetonitrile solvent, and the second point is that high efficiency (reaction rate and AQE) was obtained compared with condition of a low concentration of BnOH.

#### 9.8 Conclusions

Various new photocatalytic conversions, mainly reductions, were introduced in this chapter. We use oxalic acid and formic acid as greener hole scavengers. m-Nitrobenzenesulfonic acid was almost quantitatively converted into *m*-aminobenzenesulfonic acid, and a high yield of *m*-aminobenzenesulfonic acid was achieved even when the reaction was performed in the presence of oxygen. In this reaction system, adsorption ability of  $TiO_2$  toward *m*-nitrobenzenesulfonic acid is important for effective production of *m*-aminobenzenesulfonic acid. Photocatalytic reduction can be applied to chemoselective reduction of various nitro compounds having other reducible groups to corresponding aminobenzenes. Loading of Pd co-catalyst expands possibility of TiO<sub>2</sub> photocatalysts: we achieved photocatalytic reduction (hydrogenation) of alkenes and cyano compounds as new hydrogen (H<sub>2</sub>)-free reaction systems. Over Pd co-catalyst, H<sup>+</sup> originated from solvent was reduced, resulting in the formation of H-Pd active species, which have more negative potential than the conduction band (CB) of TiO<sub>2</sub>. Use of alcohols enables us to simultaneously produce two valuable reduced and oxidized compounds. For example, in photocatalytic reaction in 2-propanol, nitrobenzene was quantitatively converted into aniline with an almost stoichiometric amount of acetone as an oxidation product of 2-propanol. Photocatalytic simultaneous production of reduced and oxidized compounds can be applied for alcohol dehydrogenation system, in which benzaldehyde and H<sub>2</sub> were simultaneously produced from benzyl alcohol over Pt-TiO<sub>2</sub> photocatalyst.

As described above, this chapter provides a new strategy for designing a photocatalytic reaction system. Photoirradiated  $TiO_2$  shows sharp chemoselectivity in the reduction of functional groups, and the chemoselectivity is explained by the relative position of reduction potential of the functional groups and CB of  $TiO_2$ . One may think that substrates applied for photocatalytic reduction are limited because the position of CB of  $TiO_2$  is positive to reduction potentials of most of organic compounds. Possibility of photocatalytic reduction is expanded by using catalysis of metal particles loaded on  $TiO_2$ . Compounds having multi-bonding with higher reduction potential can be reduced over Pd– $TiO_2$  photocatalyst. In this case, H species having strong reduction power are photocatalytically formed on Pd nanoparticles. Since there are many candidates for co-catalysts, various photocatalytic reductions would be further developed.

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# Chapter 10 Fundamentals of TiO<sub>2</sub> Photocatalysis. Consequences for Some Environmental Applications

#### **Pierre Pichat**

**Abstract** This chapter considers the fundamental phenomena occurring when  $TiO_2$  is excited by photons. The focus is first on the formation and fate of the charges generated by the excitation. Then, the roles in photocatalytic reactions of water and oxygen which are almost always present are presented and discussed; the effects of adding ozone or hydrogen peroxide are also briefly indicated. Regarding the photocatalytic degradation of organic compounds – which is involved in potential applications such as self-cleaning materials and air or water purification – the following issues are examined: the hole-induced and hydroxyl radical-induced pathways and the predictability of the nature of the intermediate products. Some of the material aspects of photocatalysis are dealt with through (1) the influence of the structural and textural characteristics of pristine TiO<sub>2</sub>, (2) the effects of modifying TiO<sub>2</sub> with either another oxide (insulating or semiconducting) or a supplementary adsorbent of high surface area. Conclusions are drawn from these fundamental topics about the applicability of TiO<sub>2</sub> photocatalysis.

**Keywords** Active species • Basic pathways • Charge carriers • Environmental applications • Modified  $TiO_2$  • Photocatalysis •  $TiO_2$ 

# 10.1 Introduction

The objective of this chapter is to provide for the readers of the book some of the main basic concepts of  $TiO_2$  photocatalysis.

The first broad topic considers the formation, transport, recombination, and transfer of charge carriers, including the dynamics aspects. These phenomena are evidently crucial because they govern the occurrence and rates of photocatalytic reactions. Among the reactants to which the charges can be transferred, water and

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oxygen are especially examined because of both their ubiquity and their determining roles in photocatalytic reactions. The focus is then on the basic pathways of photocatalytic degradation of organic compounds and the predictability of the nature of the intermediate products. These issues can provide fundamental information on the nature and the roles of the reactive species. They should help to optimize the operational conditions. They are obviously essential for self-cleaning materials [1] and air [2, 3] or water purification [4], which, until now, are the main applications of TiO<sub>2</sub> photocatalysis at the commercial or demonstration stages. The last broad topic presents and discusses the photocatalytic effects of the main characteristics of pristine TiO<sub>2</sub>, the modifications of TiO<sub>2</sub> by metal deposits or doping, and the combination of TiO<sub>2</sub> with another oxide (either insulating or semiconducting) or with a high-surface-area adsorbent, such as activated carbon, a zeolite, or a clay. This diverse topic tries to encompass the key ideas upon which the principal efforts have been based to improve the efficiency of photocatalysts for various applications.

For each subject, the author's opinion is presented about the practical consequences for the photocatalytic removal of organic compounds or, in the case of  $TiO_2$  with metal deposits, for hydrogen formation.

This chapter deals with  $TiO_2$ -based photocatalysts only, given their preeminence and also the limitation of space. However, much of the basic information is also relevant to other n-type semiconductor oxides.

The references cited are not intended to be comprehensive but solely to provide some highlights and examples illustrating the diverse points in order to orient the readers. The author apologizes in advance to the numerous scientists whose studies are uncited.

# **10.2** Formation and Fate of Charge Carriers Generated by Photon Excitation of TiO<sub>2</sub>

# **10.2.1** Absorption of Photons

Electrons pertaining to an isolated atom occupy discrete energy levels. In a crystal, each of these energy levels is split into as many energy levels as there are atoms. Consequently, the resulting energy levels are very close to one another, forming a continuous band of energies. In a conductor (e.g., a metal), the highest energy band is half filled and the corresponding electrons need only a small amount of energy to be raised into the empty part of the band (which explains the electric conductivity at room temperature). By contrast, in insulators and semiconductors, valence electrons completely fill a band thus called the valence band (VB), whereas the next higher-energy band, termed the conduction band (CB), is empty, at least at 0 K. In a perfect crystal, the energy band separating the highest level of the valence band from the lowest level of the conduction band is forbidden. Its width is referred to as



Fig. 10.1 Scheme illustrating the main electron transfers resulting from bandgap excitation of an n-type semiconductor like  $TiO_2$ . Band bending near the surface is not shown

the bandgap. It is smaller for semiconductors (viz., <ca. 4 eV) than for insulators, in accordance with the names of these materials.

The absorption by a semiconductor of photons having an energy at least equal to that of the bandgap promotes electrons from the VB (where electron vacancies or electron deficiencies or holes are thus formally created) to the CB (Fig. 10.1). This bandgap irradiation of semiconductors is the step that triggers the course of photocatalytic redox events. Therefore, this step must be considered thoroughly. Several phenomena can intervene; some of them are mentioned in the following paragraph.

Firstly, for tiny particles, the change in absorptivity due to surface states arising from lattice truncation and dangling bonds may become significant. For instance, the absorption edge can be displaced [5]. Secondly, some adsorbates are able to form charge-transfer complexes with the photocatalyst surface (Fig. 10.2) [6, 7]. Excitation of these complexes, which may occur with sub-bandgap irradiation, can generate additional charge carriers not expected in the absence of these particular adsorbates. Thirdly, when diluted suspensions of powder  $TiO_2$  are used, e.g., in water purification, the primary particles can form aggregates and agglomerates in spite of stirring and/or flow and even ultrasound pretreatment. These changes in particle size deeply modify the absorption and scattering of photons.



**Fig. 10.2** Absorption spectra of tetracyanoethylene (TCNE), 7,7,8,8-tetracyanoquinodimethane (TCNQ), and 11,11,12,12-tetracyanonaphtho-2,6-quinodimethane (TCNAQ) in solution with or without anatase mesoporous films (the solvent was acetonitrile for TCNE and TCNQ and acetone for TCNAQ). The absorption shifts were attributed to interfacial charge-transfer transition from the chemisorbed molecule to the CB of TiO<sub>2</sub> (Reprinted with the permission from Ref. [6]. Copyright 2011 American Chemical Society)

In a given slurry reactor, this can mean that the same amount of photons is absorbed over a different path length (Fig. 10.3), that is, by a lower number of primary particles, if the actual particles are smaller. On the other hand, the recombination of charge carriers being predominantly confined within primary particles is not much affected by aggregation and agglomeration. Accordingly, because of the shorter path length for complete absorption when the particles are better dispersed, the absorption of a high number of photons by each of only some of the primary particles can be less effective than the absorption of a lower number of photons by each of a higher number of primary particles. In other words, the optical change arising from aggregation and agglomeration can reverse the photocatalytic effect expected from finer actual particles for other causes. This has been elegantly shown and discussed by Egerton [8]. The net effect obviously depends on the incident radiant flux. It has been shown to also depend on the reactant: the occurrence of smaller actual particles can matter more for a reactant, like dichloroacetate [8], possibly because its removal has been thought to be favored by close contact with the photocatalyst [9], which could counterbalance the attenuation of the incident beam over a shorter distance (Fig. 10.3).

In practice, one should be aware that  $TiO_2$  modifications by, for instance, sintering, doping, or metal deposition, can change the degree of aggregation of the particles. Consequently, the comparisons of photocatalytic rates before and after these modifications can be affected by this factor in combination with the expected effects (see Sect. 10.6). Control experiments are therefore necessary to differentiate the modification effects according to the conditions of the photocatalytic reactions.

#### 10.2.2 Charge Thermalization

If the photons used to excite  $TiO_2$  have an energy higher than that of the bandgap, electrons with an energy higher than that of the CB bottom are generated; correspondingly, holes with an energy lower than that of the VB top are also generated. A strong coupling of these "hot" charges with phonon modes may lead to thermalization even at room temperature, that is, the excess energy of these charges with respect to their respective band edges is dissipated. This can occur very rapidly; for example, a ~300 fs value was reported [10] for electron thermalization in the case of Evonik/Degussa TiO<sub>2</sub> P-25 (which is used as a kind of reference to comparatively evaluate the photocatalytic efficiency, even though variations have been observed according to the P-25 batch).

However, trapping or direct transfer to adsorbates taking place before thermalization of "hot" charges has been mentioned on the basis of convincing experiments (Fig. 10.4) [11, 12], presumably because these events can sometimes be faster than thermalization [13]. A transfer to adsorbates of the phonon energy, which would be increased by thermalization, has also been suggested [12] and thought to possibly be capable of producing chemical changes. A strong interaction of adsorbates with the TiO<sub>2</sub> surface, such as in the cases of 2-hydroxybenzoic acid [11] and methanol



**Fig. 10.3** A schematic depiction of the effects of increased UV absorption in relation to the dispersion of the  $TiO_2$  particles. The second and third rows illustrate qualitative changes in, respectively, the transmission spectrum and the attenuation of the incident UV beam as the particle dispersion is altered in the way shown in the top row (Reprinted from Ref. [8])



(with respect to aliphatic alcohols with long chains) [12], should obviously be favorable to these transfers of charge or energy.

*In practice*, fast charge thermalization and energy dissipation to the lattice could mean that in many cases, nevertheless, no benefit for increasing the rates of photocatalytic reactions can be expected from using excitation energies in excess of the bandgap, in spite of the more reductive or more oxidative power of the charge carriers generated initially.

# 10.2.3 Transport to the Surface, Trapping, Detrapping, and Recombination of Charges

As was clearly summarized by Henderson [13], the key factors in slowing down the transport of charges to the surface are structural disorders, barriers at grain boundaries, the succession of trapping and detrapping events, and electrostatics depending on the charge concentration per particle (which is related to the irradiance and the particle size). The relative importance of these factors has been discussed [13, 14]. However, it seems that no clear guidance regarding TiO<sub>2</sub> synthesis has been derived.

On the other hand, it has been estimated that the drift velocity of holes would be higher than that of electrons: values of  $\sim 4 \times 10^4$  cm/s [15] against  $\sim 4 \times 10^3$  cm/s [16] were calculated. On this kinetics basis, it seems that direct hole transfers to OH<sup>-</sup> ions, H<sub>2</sub>O, and other adsorbates, provided they are thermodynamically possible [17, 18], should be regarded as key phenomena in photocatalytic reactions.

Timescales of 50–200 fs were reported for hole trapping, while electron trapping would occur within subps [13].

Regarding photocatalytic reaction rates, trapping is obviously favorable if (1) it helps charge separation (which is not always the case); (2) it permits storage of charges; and (3) the trap sites are close to sites enabling transfer to adsorbates.

Electron energy would be decreased by a fraction of eV up to 1 eV (or  $\sim$ 96.5 kJ/mol) on trapping [19, 20]. According to the value of this decrease, the corresponding mid-gap states will be called shallow or deep traps. For a given sample, this energy range depends on the structural and textural heterogeneities. Adsorbates are believed to sometimes have an influence [21], inasmuch as trapping is supposed to occur mainly at surfaces or at subsurface sites.

In the case of TiO<sub>2</sub>, Ti<sup>4+</sup> cations are expected to be the electron traps, giving rise, nominally, to Ti<sup>3+</sup> cations. The location of the most favorable Ti<sup>4+</sup> cations as trap sites has been investigated [22]. Clearly, the surface irregularities and the coverage in OH groups intervene. In particular, Ti<sup>4+</sup>-OH<sup>-</sup> species were suggested to be preferred traps [23]. *In practice*, this means that these characteristics should be considered when trying to prepare more efficient photocatalysts.

It has been assessed that around 10 % of the Ti<sup>4+</sup> cations can act as electron traps [24]. The number of trapped electrons would be on the order of  $0.1-3 \text{ nm}^{-2}$  [19, 25]. This is comparable to the average coverage of TiO<sub>2</sub> by OH groups. However, at room temperature, because of thermally activated detrapping and charge recombination, the capacity in trapped electrons would be around one per nanoparticle on average [26, 27].

In the absence of an electron scavenger, such as  $O_2$ , the lifetimes of trapped electrons can be very long [19, 23, 28, 29], illustrating that electron trapping can effectively hinder charge recombination. In other words, if the access of  $O_2$  is considerably restricted, the photogenerated electrons can be kept in traps, and their effect can show even after the end of irradiation, at least in extreme cases. For instance, a post-UV irradiation antibacterial effect of TiO<sub>2</sub> incorporated in a resin used as a dental adhesive was attributed to a retarded exposure of the TiO<sub>2</sub> particles to  $O_2$  because of the resin [30].

Undercoordinated oxygen anions are thought to be hole traps at the surface or close to it [13, 14, 31–33]. These traps would nominally correspond to  $Ti^{4+}O^{-}$  species where the O atom does not carry an OH group.

It is agreed that the recombination of photoproduced charges is very predominantly non-radiative [13]. Its rate increases with increasing density in structural and textural defects and thus varies markedly.

Consequently, *in practice*, a high degree of crystallinity should be a favorable factor in photocatalysis (see Sect. 10.5.1). As one would expect, the recombination rate increases as a function of the irradiance, i.e., the number of  $e^-/h^+$  pairs formed. This underlines that increasing the irradiance could be an inappropriate means of improving the rate of photocatalytic reactions on a cost basis.

#### 10.2.4 Electron Transfer From or To Adsorbates

In the case of TiO<sub>2</sub>, the dynamics of hole transfer has been studied for several adsorbates, e.g., anions (SCN<sup>-</sup> [34, 35], I<sup>-</sup> [26]), various alcohols [36, 37], carbo-hydrates [37], and 4-phenylbenzoic acid [38]. The timescales were found to span from ~100 fs to ~100 ns, depending on the adsorbate and the conditions. These timescales suggest that direct oxidation of molecules via holes can be an efficient pathway in photocatalysis.

By contrast, because of the lack of molecules spectroscopically responding to the attachment of an electron on ns–fs timescales, the dynamic studies of electron transfer to adsorbates have been limited to the methyl viologen divalent cation [39]. Consequently, it is not clear to which extent the conclusion drawn from the measurements using methyl viologen, that is, transfers involving trapped rather than free electrons, is valid for other types of adsorbates, in particular for  $O_2$  and alkyl halides [40] which are the main molecules of interest for direct electron transfer in photocatalysis.

# 10.3 Roles of H<sub>2</sub>O, O<sub>2</sub>, O<sub>3</sub>, and H<sub>2</sub>O<sub>2</sub> in TiO<sub>2</sub> Photocatalysis

#### 10.3.1 Roles of Water

Water can obviously act as a solvent and thus displace the most soluble intermediate products from the adsorbed phase to the solution phase depending on their partition coefficient. When photocatalysis is employed as a purification technique, this effect can free the surface, thus allowing for the adsorption of new amounts of the initial pollutants, which is positive if the main goal is the removal of these pollutants. By contrast, if some intermediate products must also be eliminated, e.g., because of their toxicity, this effect can be detrimental. Additionally, it can delay mineralization or at least the break down of intermediate products to smaller molecules that could be more easily removed by a subsequent, non-photocatalytic treatment.

Water can also act as a reactant with organic radicals generated by primary photocatalytic events. Incorporation of <sup>18</sup>O from  $H_2^{18}O$  into the products could be an indication of this role provided that isotopic exchange of  $H_2^{18}O$  with  $Ti^{16}O_2$  does not occur [41, 42].

It has also been suggested that water molecules allow the moving of protons from site to site on the surface and also the moving of O atoms along and across bridging O rows [13, 14, 43].

Water easily adsorbs on  $TiO_2$  as on some other solid oxides. Its O atom is prone to form a dative bond and its H atoms to participate in hydrogen bonds. For instance, for an undefected anatase (101) surface, the computed adsorption would correspond to the rigid structure in which the O atom is linked to an



Fig. 10.5 Removal rate of toluene in synthetic air (12 cm/s face velocity) against humidity. Alumina reticulate wash coated with P-25; photon (250–350 nm) power: 33 mW/cm<sup>2</sup> at the photocatalyst surface (Reprinted with the permission from Ref. [44]. Copyright 1995 American Chemical Society)

undercoordinated surface Ti atom and the H atoms form H-bonds with two bridging O atoms of TiO<sub>2</sub> [14]. The percentage of surface atoms bonded to water molecules can be very high. Experimentally, it was established long ago that H<sub>2</sub>O can be dissociated upon adsorption and thus supplies surface OH groups. Calculations have shown that H<sub>2</sub>O dissociation strongly depends on the exposed facet, the water coverage, and the degree of TiO<sub>2</sub> reduction [14]. The direct reactions of holes with adsorbed H<sub>2</sub>O and surface OH basic groups, nominally OH<sup>-</sup>, have been suggested for years to be important mechanisms that generate hydroxyl radicals upon TiO<sub>2</sub> photoexcitation. However, it has been questioned whether these direct reactions could occur because of the respective energy levels of h<sup>+</sup>, H<sub>2</sub>O, and OH<sup>-</sup> [17]. Consequently, indirect reactions involving, in addition to these species, particular surface sites such as 3-coordinate O anions and 5-coordinate Ti cations that provide proper energy conditions have been suggested for allowing hole-induced generation of hydroxyl radicals [18].

*From the practical viewpoint*, concerning self-cleaning materials [1] and ambient air purification [2, 3], humidity is a varying factor that can significantly modify the efficiency of these applications over time as is shown, for example, in Fig. 10.5 from Ref. [44].

#### 10.3.2 Roles of Oxygen

When  $TiO_2$  is immersed in liquid water or exposed to humid air – which is the case for most applications – the well-organized network of water molecules near the



TiO<sub>2</sub> surface (Fig. 10.6) tends to hinder the approach of O<sub>2</sub> molecules to the surface because the hydrogen bonds are stronger in this network than in bulk water [14, 45, 46], which makes it more difficult for O<sub>2</sub> molecules to form van der Waals bonds [47].

In practice, these limitations mean that the photocatalytic reactors used for water or air purification should be conceived to maximize the contact between air and  $TiO_2$  [48].

The reaction of electrophilic  $O_2$  with photoproduced electrons has been established long ago, principally by use of EPR, to give rise to the superoxide radical anion,  $O_2^{\bullet-}$  (Eq. 10.1):

$$O_2 + e^- \to O_2^{\bullet -} \tag{10.1}$$

Other EPR signals were attributed to the ozonide radical anion,  $O_3^{\bullet-}$  [49]. This latter species was assumed to result from the reaction of  $O_2$  with a hole trapped at a surface  $O^{2-}$  anion (Eq. 10.2):

$$O^{2-} + h^+ \to O^{\bullet-}; O^{\bullet-} + O_2 \to O_3^{\bullet-}.$$
 (10.2)

Its formation may depend on the existence of particular surface characteristics, since it has not been found on all TiO<sub>2</sub> samples. Moreover,  $O_3^{\bullet-}$  has not been reported to be involved in photocatalytic oxidations, at least in the absence of ozone (see Sect. 10.3.3). Equations 10.1 and 10.2 tentatively suggest that O<sub>2</sub> could react with both types of photoproduced charge carriers; however, the electrophilicity of O<sub>2</sub> makes Eq. 10.1 much more probable than Eq. 10.2. Infrared bands recorded

when TiO<sub>2</sub> was bandgap irradiated in dry air were attributed to peroxide species,  $O_2^{\bullet 2^-}$  [50], which are slowly transformed in superoxide (Eq. 10.3):

$$O_2^{\bullet 2^-} + O_2 \to 2O_2^{\bullet -}$$
 (10.3)

Furthermore, calculations have shown that a significant energy barrier must be overcome for the reverse reaction of Eq. 10.3, that is, to add an electron to superoxide [14]. This means that  $O_2^{\bullet 2-}$  cannot be a major species in photocatalytic reactions.

Consequently,  $O_2^{\bullet-}$  is the principal species to be considered as resulting from the interaction of  $O_2$  with photoexcited TiO<sub>2</sub>. It is often mentioned on lists of active oxygen species formed in photocatalysis. But it must not because it is poorly reactive per se toward most molecules [51]. It is also very instable; its EPR signal is detectable at low temperature (measurements are commonly performed at 77 K) but not at room temperature.

The fates of superoxide can be either productive or nonproductive regarding photocatalytic reactions. Firstly, it is supposed to yield <sup>•</sup>OH radicals via the following Eqs. 10.1, 10.2, 10.3, and 10.4:

$$O_2^{\bullet-} + H^+ \to HO_2^{\bullet} \tag{10.4}$$

$$2 \operatorname{HO}_{2}^{\bullet} \to \operatorname{H}_{2}\operatorname{O}_{2} + \operatorname{O}_{2} \tag{10.5}$$

$$\mathrm{H}_{2}\mathrm{O}_{2} + \mathrm{e}^{-} \to {}^{\bullet}\mathrm{OH} + \mathrm{OH}^{-} \tag{10.6}$$

$$H_2O_2 + O_2^{\bullet-} \rightarrow {}^{\bullet}OH + OH^- + O_2$$
(10.7)

Equation 10.5 involves the encounter of two radicals, which means that its probability of occurring should be low. Equation 10.6 corresponds to the scavenging of a second electron in competition with Eq. 10.1. As  $O_2$  is readily available in most potential applications of photocatalysis (air and water purification, self-cleaning materials), whereas  $H_2O_2$  is a reaction product, Eq. 10.1 should be favored. Indeed, the addition of catalase, an enzyme which catalyzes the following overall reaction (Eq. 10.8):

$$2 H_2 O_2 \rightarrow 2 H_2 O + O_2$$
 (10.8)

caused a relatively moderate effect on the removal rate of 1,2-dimethoxybenzene in a P-25 aqueous suspension [52]. In other words, the low probability of these reactions (particularly, Eqs. 10.5 and 10.6) indicates that forming <sup>•</sup>OH radicals through this pathway is not expected to be efficient.

Secondly, the radical anion superoxide has been suggested to react with organic radical cations arising from the reaction of holes with electron-rich molecules, such as aromatics carrying electron-donating substituents. Although this reaction involves instable species, its involvement was substantiated by use of Cu, Zn-superoxide dismutase (SOD) which catalyzes the following overall reaction (Eq. 10.9):

$$2 O_2^{\bullet-} + 2 H^+ \to O_2 + H_2 O_2.$$
 (10.9)

The test molecules were 1,2-dimethoxybenzene [52] and benzo[b]pyridine (or quinoline) [53] in TiO<sub>2</sub> aqueous suspensions. Added SOD markedly decreased the removal rates of these molecules and furthermore changed the distribution of the intermediate products. In particular, in the case of quinoline [53], it was very detrimental to the formation of the intermediate products attributed to the reaction of the quinolinium radical cation with superoxide. In addition, control experiments showed that the SOD effect was not due to a competition between SOD and the test molecules [52].

Thirdly, the reaction of the radical anion superoxide with a hole has been thought to produce, intermediately, singlet molecular oxygen [47, 54, 55],  ${}^{1}O_{2}$ , an active oxidizing entity (Eq. 10.10):

$$O_2^{\bullet-} + h^+ \rightarrow {}^1O_2 \rightarrow O_2 + \text{energy}$$
 (10.10)

However, given the instability of singlet molecular oxygen, it is usually considered that scavenging of holes by  $O_2^{\bullet-}$  yields mostly triplet molecular oxygen directly, the energy release between the singlet and triplet being about 1 eV (or ~96.5 kJ/mol). This pathway nominally corresponds to the recombination of the photogenerated charge carriers via  $O_2$ , which is by essence counterproductive to effect photocatalytic reactions.

In fact, reaction of  $O_2^{\bullet-}$  with a hole, nominally  $O^{\bullet-}$ , has been suggested to cause oxygen isotope exchange (OIE) between gaseous <sup>18</sup>O<sub>2</sub> and photoexcited Ti<sup>16</sup>O<sub>2</sub> [47]. This suggestion was based on the lowering of the bond strengths in surface Ti<sup>4+</sup>-<sup>16</sup>O<sup>2-</sup> and in <sup>18</sup>O<sub>2</sub>, which would arise from scavenging of holes or electrons, respectively. These lower bond strengths were thought to permit both (1) easier removal of a <sup>16</sup>O atom from Ti<sup>4+</sup>-<sup>16</sup>O<sup> $\bullet-$ </sup> because the decrease charge of O<sup> $\bullet-$ </sup> with respect to O<sup>2-</sup> should weaken the interaction with the neighboring Ti<sup>4+</sup> and (2) splitting of <sup>18</sup>O<sub>2</sub> and <sup>18</sup>O<sup>16</sup>O because the added electron in <sup>18</sup>O<sub>2</sub><sup> $\bullet-$ </sup> and <sup>18</sup>O<sup>16</sup>O<sup> $\bullet-$ </sup> is located in an antibonding orbital. Indeed, an increase in O–O bond length from ~0.122 nm for gaseous O<sub>2</sub> to ~0.137 nm for free O<sub>2</sub><sup> $\bullet-$ </sup> [56] and ~0.133 nm for O<sub>2</sub><sup> $\bullet-$ </sup> adsorbed on TiO<sub>2</sub> [57] has been reported. On the other hand, the removal of <sup>16</sup>O atoms is evidently favored for the <sup>16</sup>O atoms that are coordinatively unsaturated. Accordingly, OIE can be considered as a method for probing the lability of surface O atoms [47, 58, 59].

The comparison of the OIE rate with gas-phase methanol removal rate has shown that sintering of  $TiO_2$  at various temperatures caused more pronounced decreases in efficiency for OIE [59]. This difference was interpreted by considering that, unlike OIE, the formation of methanal from methanol does not require abstraction of oxygen atoms from  $TiO_2$  whose possibility was impaired by



**Fig. 10.7** Comparative plots of the rates of gaseous <sup>18</sup>O<sub>2</sub> isotopic exchange with  $Ti^{16}O_2$  and 4-chlorobenzoic acid or 4-chlorophenol photocatalytic removal in water for  $TiO_2$  (Cristal/Millennium PC 50) as a powder or in a coating, containing 50 wt% of  $SiO_2$  (particle size as indicated), on a fiber glass tissue (Reprinted from Ref. [9]. Copyright 2007, with permission from Elsevier)

sintering. A similar comparison between naked P-25 and P-25 onto which 2–8 wt% Se was deposited allowed one to infer that the selenate ions were preferentially adsorbed, and subsequently reduced, on surface irregularities, thus drastically decreasing and even suppressing the availability of labile surface O atoms needed for OIE [58]. Also, a comparison of aqueous-phase removal rates of 4-chlorophenol or 4-chlorobenzoic acid with the OIE rate for powder or coated TiO<sub>2</sub>, with all values normalized (Fig. 10.7), led to the conclusion that 4-chlorobenzoic acid is more favored by access to the TiO<sub>2</sub> surface than is 4-chlorophenol [9]; however, this last comparison must be regarded as semiquantitative because the reactions were performed under very different conditions (aqueous phase vs. gas phase).

Regarding the question of the involvement of surface O atoms in photocatalytic oxidations, a study [60] of the products of the photocatalytic oxidation of CH<sub>3</sub>Cl by <sup>18</sup>O<sub>2</sub> on the 110 face of a Ti<sup>16</sup>O<sub>2</sub> rutile single crystal showed that CO contained only <sup>18</sup>O, and HCHO was predominantly labeled with <sup>18</sup>O. This incorporation of <sup>18</sup>O could not come from a significant replacement of surface <sup>16</sup>O by surface <sup>18</sup>O as a result of OIE. Indeed, it has been reported that OIE was not observed in the

presence of methylpropane (or isobutane) over a layer of powder  $TiO_2$  [47]. These observations may mean that holes and superoxide preferentially form hydroxyl radicals (through, respectively, the oxidative and reductive pathways), which then react with the organic, rather than interacting together to effect OIE as mentioned in the next-to-last paragraph, which requires the lability of O atoms.

These conclusions have been supported by a study of the oxidation of adsorbed HCOOH in either  $O_2$  or He [41]. Only about 3.7 % of surface O atoms of P-25 coated on Pyrex glass were calculated to be incorporated in the products of HCOOH.

In addition to scavenging electrons, molecular oxygen can also react with alkyl radicals or, more generally, organic radicals thought to be formed by H abstraction, yielding peroxyl radicals,  $\text{RO}_2^{\bullet}$  [61–63]. The following equations have been proposed for the photocatalytic transformations of aliphatic alkyl radicals:

$$\begin{array}{l} RH + {}^{\bullet}OH \rightarrow R^{\bullet} + H_2O \\ R^{\bullet} + O_2 \rightarrow RO_2^{\bullet} \end{array}$$

On the basis of data provided by radiochemists,  $RO_2^{\bullet}$  radicals may yield alkyl radicals,  $R^{\bullet}$ , and alkoxy radicals,  $RO^{\bullet}$ :

$$RO_{2}^{\bullet} + RH \rightarrow ROOH + R^{\bullet}$$
  
ROOH  $\rightarrow RO^{\bullet} + {}^{\bullet}OH$   
2 RO\_{2}^{\bullet}  $\rightarrow 2 RO^{\bullet} + O_{2}$ 

Depending on the chemical structure of the RO<sup>•</sup> radical (hereafter,  $RCH_2O^{•}$  is shown as an example), the following reactions are suggested to take place:

 $\begin{array}{l} RCH_2O^{\bullet} \rightarrow RCHO + H^{\bullet} \quad (according \ to \ the \ stability \ of \ RCH_2O^{\bullet}) \\ RCH_2O^{\bullet} + O_2 \rightarrow RCHO + HO_2^{\bullet} \\ RCH_2O^{\bullet} + RH \rightarrow RCH_2OH + R^{\bullet} \end{array}$ 

The last reaction is less probable than the next to last because it involves the initial pollutants and their intermediate products whose concentrations are much lower than that of  $O_2$ .

In the case of benzene rings, a hydroxyl radical is supposed to be added to the ring, the resulting hydroxycyclohexadienyl radical adding dioxygen and eliminating a hydroperoxyl radical. The same organic radical is also supposed to be formed by initial hole attack of the ring, followed by water addition and deprotonation (see Sect. 10.4.1) [48, 55].

# 10.3.3 Effect of Adding Ozone

With the electron affinity of  $O_3$  (2.1 eV) being much higher than that of  $O_2$  (0.44 eV), it was thought that adding  $O_3$  in pure  $O_2$  or in air could be a means of

boosting the scavenging of the electrons, that have been photopromoted to the CB, either directly

$$e^- + O_3 \rightarrow O^{\bullet -} + O_2$$

or indirectly

$$O_2^{\bullet-} + O_3 \rightarrow O_2 + O_3^{\bullet-}$$

The radical anion  $O_3^{\bullet-}$  is more unstable than  $O_3$  and can presumably split easily at the surface of TiO<sub>2</sub>:

$$O_3^{\bullet-} \rightarrow O^{\bullet-} + O_2$$

It might also react with adsorbed water:

$$O_3^{\bullet-} + H_2O \rightarrow {}^{\bullet}OH + OH^- + O_2$$

Furthermore, the increase in the scavenging rate of photogenerated electrons due the presence of ozone should decrease the recombination rate of electrons and holes and thus augment the formation rate of hydroxyl radicals from basic OH surface groups and adsorbed water molecules (see Sect. 10.3.1).

Through all these mechanisms, very oxidizing species, viz.,  $O^{\bullet-}$  and  $\bullet OH$ , would be generated. Accordingly, the photocatalytic rates for removal and mineralization of organic pollutants in air and water should be increased as has been demonstrated for both gas-phase and aqueous-phase TiO<sub>2</sub> photocatalysis using non-photoexcited ozone.

However, O<sub>3</sub> can also scavenge hydroxyl radicals:

$$O_3 + {}^{\bullet}OH \rightarrow O_2 + HO_2^{\bullet}$$

thereby restraining the favorable effect (Fig. 10.8) [64].

In practice, because ozone is used in some industrial processes,  $TiO_2$  photocatalysis could be of interest for exploiting the presence of ozone to eliminate and mineralize pollutants at higher rates while removing excess ozone. If ozone is not employed on-site for other purposes, the cost of its generation for only improving the photocatalytic rates can be prohibitive; the interest of adding it in a photocatalytic purification process will then depend on the case and regulations. Besides, in the particular situation when gaseous effluents are treated by nonthermal (or cold) plasma (which implies that the concentrations of pollutants are high enough to allow this homogeneous method to be cost-effective), the ozone formed by the plasma could appropriately be used to complete the purification in a photocatalytic reactor located behind the plasma reactor [65].



# 10.3.4 Effect of Adding Hydrogen Peroxide

Adding  $H_2O_2$  to the water to be photocatalytically treated can be a means of increasing  $O_2$  concentration at the TiO<sub>2</sub> surface because  $H_2O_2$ dismutates to  $H_2O$  and  $O_2$  over UV-irradiated TiO2 [66].  $H_2O_2$  can also scavenge electrons, as its electron affinity is close to that of  $O_2$  [67], forming <sup>•</sup>OH radicals and decreasing the recombination rate of charge carriers (Eq. 10.3) However,  $H_2O_2$  can also consume hydroxyl radicals, which is counterproductive:

$$^{\bullet}OH + H_2O_2 \rightarrow H_2O + HO_2^{\bullet}.$$

*From the practical viewpoint*, the net effect depends on the type of water, the  $TiO_2$  specimen, and other experimental conditions, especially the added amount of  $H_2O_2$  [52]. Reported beneficial effects are less than one order of magnitude. Some companies, such as Purifics ES Inc. (www.purifics.com), have reported employing  $H_2O_2$ , though only in a few cases, as an additive in their photocatalytic process of water purification.

# **10.4 Removal of Organic Matter**

The removal of organic compounds is at the basis of the photocatalytic applications for self-cleaning materials [1] and water [4] and air [2, 3, 47] purification. The activation and elimination of microorganisms [68, 69] is also relevant to this topic, since microorganisms are made of organic matter. However, the particular aspects regarding microorganisms have been thought to be beyond the scope of this chapter. Obtaining a clean TiO<sub>2</sub> coating by removing organic compounds has also been demonstrated to be at least a precondition to the phenomenon of superhydrophilicity [70] which also contributes to the self-cleaning properties of glass, even though additional interpretations have been advanced to explain the reversibility of this phenomenon [71].

# 10.4.1 <sup>•</sup>OH-Mediated Versus h<sup>+</sup>-Mediated Pathways

It is generally admitted that in photocatalysis, chemical compounds are initially attacked mainly by either <sup>•</sup>OH radicals or holes. The latter attack type, which is also called single-electron transfer (SET), necessarily occurs at the surface. The former attack type could also take place in the water layers close to the surface when the photocatalyst is exposed to liquid water or humid air. This possibility is based on diverse reasoning [9, 47, 55, 72, 73].

Regarding the influence of the characteristics of  $\text{TiO}_2$  upon directing initial attacks to either <sup>•</sup>OH or h<sup>+</sup>, a surface with a high density of coordinatively unsaturated O<sup>2-</sup> ions should favor hole trapping and possibly facilitate hole transfer to adsorbed compounds. Simultaneously, surface irregularities are also a favorable factor to increase the adsorbed quantity of some molecules and hence hole transfer to these molecules. Conversely, as aforementioned, these irregularities can also act as recombination sites of photoproduced charges. *In practice*, the choice or design of a photocatalyst for a given case will always need trials.

Whether the initial attack occurs preferentially with <sup>•</sup>OH radicals or holes depends on the nature of the molecules and chemical moieties.

For benzene derivatives, both attack types can give rise to the same intermediate organic radical (Fig. 10.9), so that they cannot be distinguished (see Sect. 10.3.2) [48, 55]. However, they can be distinguished in the case of molecules that yield different primary products through the two pathways. For that, the following molecules have been proposed: benzo[b]pyridine (or quinoline) [53]; 4-methoxy-1,3-benzenediol (or 4-methoxyresorcinol) [74]; and 1-(4-methoxyphenyl)-2,2-dimethylpropan-1-ol (or 1-anisylneopentanol) [74]. Additionally, comparison of the photocatalytic primary products of these molecules with those resulting from processes, such as photo-Fenton, generating only **°**OH radicals has also been employed to determine the preferential pathway [53].

For carboxylic acids, easy adsorption via the carboxylic group, if it is not sterically hindered, should favor the SET pathway. In the case of alcohols,



**Fig. 10.9** Postulated mechanisms of the photocatalytic transformation of a benzene ring through hole-induced or hydroxyl radical-induced initial attacks (Adapted from Ref. [55] by permission of John Wiley & Sons Ltd)

scavenging of holes by methanol has been derived from time-resolved photoconductivity measurements [59] and is also in line with the interpretation of current doubling observed with this alcohol. However, alcohols can also react with <sup>•</sup>OH radicals. In that respect, the use of alcohols as <sup>•</sup>OH scavengers to evidence the role of <sup>•</sup>OH radicals in the photocatalytic oxidation of other compounds can be questioned. Nevertheless, 2-methylpropan-2-ol (or tertiobutanol) might be employed to this purpose, at least at concentrations similar to that of the studied compound, because of the steric hindrance of the alcohol OH group limiting the adsorbed amount and hence the SET pathway [55].

Alkanes are poorly adsorbed, and the alkyl parts of molecules containing chemical groups susceptible to form bonds with the photocatalyst surface are oriented away from the surface. Accordingly, alkanes and alkyl parts [63] are thought to be prone to react with <sup>•</sup>OH radicals rather than directly with holes.

From the practical viewpoint, it is obvious that in the case of water photocatalytic treatment, assuring as good as possible aeration enables both the formation of  $^{\circ}$ OH radicals through the route starting by O<sub>2</sub> reduction and the necessary supply of molecular oxygen that acts as a reactant to transform organic radicals (see Sect. 10.3.2).

# 10.4.2 Types of Intermediate Products of Photocatalytic Degradation

Identifying and quantitating the primary intermediate products that are formed from the initial pollutants (as checked via thorough analyses of the fluid to be treated) are essential in the efficiency evaluation. To assist this evaluation, the numerous papers reporting which intermediate products are produced from the many molecules whose photocatalytic degradation has been investigated are of course very important, at least in the cases where identification was correctly performed and as comprehensive as possible. For example, it has been shown that benzene rings are degraded through ring hydroxylation, OH substitution, ring opening, and oxidation of the alkyl groups present in the substituents. When the degradation is prolonged, oxidation products containing alcohol, carbonyl, and carboxyl groups are expected to be formed from the primary intermediate products. Most often, acetate and formate ions correspond to the major fraction of compounds in the last stages of the degradation [48].

Formation of intermediate products resulting from coupling of organic radicals has been observed; however, that was in laboratory experiments for initial pollutant concentrations much higher than those usually expected in waters to be treated [48]. Therefore, these counterproductive products should normally not be a problem in practice.

The formation of Cl<sup>-</sup> ions from Cl atoms directly attached to aromatic rings occurs relatively easily. Mineralization of organic N atoms present in either

substituents or in aromatic rings leads to both ammonium and nitrate ions depending mainly on the oxidation number of N in the initial compound, though the occurrence of reductive steps has been reported [40]. The C-N = N-C groups, where N is at the oxidation number -1, are oxidized to N<sub>2</sub>, known as one of the best leaving group, as it is the case with other oxidation methods [75].

*From a practical viewpoint*, it is possible to predict which will be the intermediate products of given pollutants on the basis of both the photocatalysis literature and common knowledge in organic chemistry. These predictions should help both to look for specific intermediate products and to optimize the operational conditions.

# **10.5** Effects of Structural/Textural Characteristics of Pristine TiO<sub>2</sub> upon the Photocatalytic Efficiency

# 10.5.1 Effect of Crystallinity

As aforementioned, increased crystallinity should induce higher photocatalytic efficiency (Fig. 10.10 from reference [76]) because the surface dangling bonds and the distorted lattice are thought to behave as recombination centers and traps of



**Fig. 10.10** Percentage (PD %) of 2-hydroxybenzoic acid removed against the crystallinity of mesoporous anatase films (expressed as a fraction relative to the content of amorphous  $TiO_2$ ) deposited on indium tin oxide (*filled circles*) or silicon (*open circles*) (Reprinted with the permission from Ref. [76]. Copyright 2007 American Chemical Society)

photoproduced charges. However, the simultaneous decreases in surface area and surface coverage by hydroxyl groups associated with increased crystallinity can be detrimental to the photocatalytic efficiency.

*In practice*, because of these opposite effects, tests for the targeted photocatalytic application will hence be needed after increasing the crystallinity via adjustment of the preparation parameters and/or post-preparation sintering, even though amorphous samples are, in general, poorly efficient.

# 10.5.2 Effect of the Allotropic Form

In a previous review [48], this author drew the attention on the fact that it cannot be claimed that one TiO<sub>2</sub> allotropic form is always more photocatalytically efficient than another one. Obviously, as in thermally activated catalysis, many factors intervene, so that the efficiency of a given allotropic form covers a wide range. Accordingly, the photocatalytic efficiency of powder anatase and rutile samples (and other allotropic forms) overlaps. Valuable comparisons between fully crystallized allotropic forms should involve (1) samples of similar size, porosity, and morphology, (2) the use of a series of probe molecules to test the efficiency, and (3) irradiance conditions under which the number of potentially absorbable photons is equivalent. In the case of single crystals, a comparison of the anatase 001 face with the rutile 110 face has shown close initial rates of  $CO_2$  formation from an adsorbed layer of trimethylacetate [77].

Perhaps principally because P-25 contains anatase and about 20 % of rutile, there has been a lot of research on the interest of utilizing anatase/rutile mixtures to increase the photocatalytic efficiency. Many reasons for the "high" efficiency of P-25 have been suggested as was effectively summarized by Henderson [13]. In particular, the properties of the interfaces between the two allotropic forms were thought to facilitate the separation of the photoproduced charges because of appropriate energy differences in either the conduction band edges or the electron trap sites. However, the use of various anatase/rutile ratios did not really clarify the interpretation of the presumed synergistic effect. The optimal ratio was found to be comprised between <10 % and >70 % of rutile depending on both the preparation method and the photocatalytic reaction. This last dependency stresses again the need of employing a series of adequately selected probe molecules [9]. Indeed, it was shown by use of 5 reactions that the photocatalytic efficiency of 35 commercial powders could be represented by a linear combination of 6 TiO<sub>2</sub> characteristics, viz., the contents in anatase and rutile, the particle shape and the particle size (see the following Sections), the surface area, and the density of lattice defects. The weight of each characteristic depended on the reaction [78].

# 10.5.3 Effect of the Particle Shape: Case of TiO<sub>2</sub> Nanotubes

The importance of the particle shape is related to the development of facets with differing photocatalytic efficiencies [78–80]. Consequently, polyhedral particles have been reported to exhibit, in general, a higher efficiency than spherical ones (Fig. 10.11) [81]. In addition to the effects of facets per se, the shape can also influence the density of surface structural defects [82]. These defects have been shown to modify the efficiency by both attracting or repelling one of the charge carriers and changing the adsorption properties.

 $TiO_2$  can be shaped as tubes (or also rods and wires).  $TiO_2$  nanotubes (TNT) have mainly been used in dye-sensitized solar cells, essentially because of a higher transport rate of electrons. Regarding the use of TNT for the photocatalytic removal of pollutants, reports are scarce. Nevertheless, an analysis of the only studies allowing meaningful comparisons has shown no major improvement with TNT compared to nanoparticles [83]. This result could partially be due to TNT texture disorders and/or to the question of the exposed planes of TiO<sub>2</sub>. It could also come from the fact that the properties influencing the efficiency are more numerous, their interrelations more complex, and their effects more important for pollutant removal than for dye-sensitized solar cells and photoelectrocatalysis where the electron transport rate is the crucial parameter. The issue of the long-term stability of the photocatalytic efficiency of the TNT during the photocatalytic removal of pollutants should also be addressed



**Fig. 10.11** Initial rate of phenol photocatalytic removal in liquid water against the frequency of particles with polyhedral shape (as determined by statistical examination of TEM images) for various flame-reactor-prepared TiO<sub>2</sub>. The *open squares* correspond to two P-25 batches of same surface area containing, respectively, 20 % (A) or 10 % (B) of rutile (Reprinted from Ref. [81]. Copyright 2008, with permission from Elsevier)

# 10.5.4 Effect of the Particle Size

As aforementioned, there is a trade-off between: (1) the adsorbed amounts of the reactants and the surface coverage in hydroxyl groups both of which are considered to be favorable factors for the photocatalytic rates (these factors increase with the surface area and hence with decreasing particle sizes) and (2) the unfavorable recombination rate of the photoproduced charges at the structural irregularities whose density should be higher on smaller particles [84]. Also, the effect of the actual particle size on the absorption and scattering of useful photons is a parameter to be taken into account [8] (see Sect. 10.2.1). *In practice*, appropriate trials are required.

# 10.5.5 Effect of Porosity

Pores can affect, positively or negatively, the adsorbed amounts of the compounds to be transformed and the absorption and scattering of useful photons. *In practice*, case-by-case trials are necessary to evaluate the photocatalytic influence of the porosity of given specimens of TiO<sub>2</sub>.

# 10.5.6 Effect of Film Thickness

With increasing film thickness, favorable photon absorption and ordering of  $TiO_2$  tetrahedrons increase, whereas unfavorable nanostructural clustering decreases. An optimal film thickness is thus found for each film preparation and each photocatalytic reaction.

*From the practical viewpoint* regarding the cost of a photocatalytic treatment of water, the possible higher efficiency of suspended  $\text{TiO}_2$  relative to that of  $\text{TiO}_2$  films can prevail over the separation cost of the  $\text{TiO}_2$  powder at the end of the treatment. Filtration on a ceramic membrane – which does not need to be cleaned – and continuous reuse of  $\text{TiO}_2$  have also been patented and claimed to be cost-effective (http://www.purifics.com).

# 10.6 Photocatalytic Effects of TiO<sub>2</sub> Modifications

#### 10.6.1 Effects of Metal Deposits

The deposition on  $TiO_2$  of Pt and other group VIII metals, such as Rh, Pd, and Ir, was essentially motivated by the expectancy of (1) obtaining better charge carrier separation, the electrons being attracted to the metal particles, while the holes

mainly remain on TiO<sub>2</sub>, and (2) taking advantage of the known catalytic properties of these metals to recombine H atoms to produce H<sub>2</sub> from some organic compounds, in particular alcohols (methanol was most often used in the tests), and possibly from water. The first property was also expected to make the reduction of O<sub>2</sub> easier and hence improve the formation of <sup>•</sup>OH radicals through this pathway. Other beneficial effects can also occur. For instance, the adsorption on the metal of a reactant or an intermediate product, e.g., CO [85–88] that is poorly adsorbed on TiO<sub>2</sub>, can be favorable. In several cases, it has also been observed that the metal particles facilitate the de-agglomeration of the TiO<sub>2</sub> grains and thereby could allow, inter alia, a better contact of TiO<sub>2</sub> with the reactants and improved irradiation (however, see Sect. 10.2.1).

Regarding the photocatalytic efficiency for  $H_2$  formation particularly from alcohols, the existence of an optimal and low amount of metal was pointed out early. Depending on the TiO<sub>2</sub> sample, the metal, the deposition/reducing method, and the photocatalytic reaction, it varied between ~0.5 wt% and ~1 wt% (Fig. 10.12 from Ref. [89]). Several reasons have been suggested to explain this optimum. At a too high amount, the blocking/shielding of the TiO<sub>2</sub> surface by the metal particles [90] and/or, possibly, a decrease in the density of surface OH groups would overcome the advantages of the metal deposits. However, calculations based on the relative sizes of TiO<sub>2</sub> and Pt particles for a series of samples where the Pt particle size did not vary much with the Pt % allowed one to show that the increase in the blocking/shielding of the TiO<sub>2</sub> surface was not a major factor [91]. It was then suggested that the unfavorable recombination of photoproduced charges at the numerous Pt particles beyond the optimum became dominant with respect to charge carrier separation because of the increased probability of encounters between opposite charges at the Pt particles.

An effect of the metal particle size on the photocatalytic efficiency has also been observed, especially with Ag and Au whose particle size varies more with the loading and the deposition method than that of group VIII metals. Increased TiO<sub>2</sub>-metal interfacial area in the case of smaller metal particles has been found to be favorable as one would a priori expect.

The presence of metal ions in the metal deposits can be significant in the case of group Ib metals (Cu, Ag, Au). Not surprisingly, the photocatalytic efficiency can be affected; however, in practice, it is difficult to indicate favorable ionic/metallic ratios. For Pt deposits, XPS spectra showed that Pt• was the sole species present, at least for samples prepared using thermal reduction in a hydrogen flow [91].

Even with TiO<sub>2</sub> samples having the optimal content of Pt (as was determined in the case of photocatalytic dehydrogenation reactions), a positive effect on photocatalytic oxidations that do not involve H<sub>2</sub> evolution has not always been observed. This suggests that the influence of Pt deposits on charge separation was not really fundamental and/or that the overall reduction of O<sub>2</sub> was not really improved contrary to the aforementioned expectancies. The role of Pt to combine H atoms is therefore essential [91] as was recently confirmed in a study which also concluded that H<sup>+</sup> reduction occurs first on TiO<sub>2</sub> [92].

Metal deposits can also induce a sensitivity of the metal/ $TiO_2$  photocatalyst to the visible spectral region because of metal plasmonic transitions. That has been



**Fig. 10.12** Influence of the nature of the metal deposited on  $\text{TiO}_2$  upon the photocatalytic hydrogenation of diphenylethyne using propan-2-ol as hydrogen source. The ordinate axes show the total conversion of diphenylethyne and the selectivity (diphenyl ethane was also formed) toward cis and trans diphenylethenes (or stilbenes) after irradiation of suspensions containing 70 mg of metal/TiO<sub>2</sub> photocatalyst and 0.94 mmol of reactant in 20 mL of propan-2-ol for 3 h (radiant power: ~56 mW cm<sup>-2</sup> at 365 nm (mercury lamp)). The numbers below the abscissa axis indicate the wt% of deposited metal(s) (Reprinted from Ref. [89]. Copyright 1994, with permission from Elsevier)

reported for Ag in particular [93]. Although this sensitivity undoubtedly presents a fundamental interest, it can be questioned whether it might be exploitable.

In practice, the metals found to be the most efficient (Fig. 10.12) [89] are expensive and could be not cost-effective for producing  $H_2$  from organic compounds [87, 94]. In the case of water splitting, the critical issue remains that of the efficiency, not the cost of the photocatalyst.

# 10.6.2 Effects of Doping

The main objective of doping  $TiO_2$  is to create additional electronic states, especially within the bandgap, in attempts to use longer wavelengths to effect photocatalytic reactions – particularly for taking advantage of the visible region



of solar light. Doping can be achieved by making  $TiO_2$  nonstoichiometric via reduction and, above all, by substitution of foreign atoms for Ti or O atoms or inclusion of foreign atoms at interstitial locations in the  $TiO_2$  lattice. Depending on various factors, these foreign ions can form either a band (Fig. 10.13) or be discrete. In the latter case, the mobility of charges located in these energy states is low, so that these charges are prone to recombine before reaching the surface and thus do not participate in photocatalytic reactions. Furthermore, native point defects, corresponding to charge imbalance, may behave as recombination centers of photoproduced charges and be thereby counterproductive.

#### 10.6.2.1 Effects of Self-Doping

Reduction of  $TiO_2$  can provide samples that can be excited under visible light (in the blue spectral region). This excitation is thought to be due to  $Ti^{3+}$  sites, mostly interstitial, arising from O loss and thus corresponding to n-type self-doping. Multiple  $Ti^{3+}$  sites can exist as was deduced from EPR signals long ago. At 77 K, these signals can disappear under sub-bandgap irradiation and reappear in the dark, illustrating that a photoinduced, reversible transition of electrons to the CB had occurred.

It has been shown that irreversible oxygen vacancies can result from a plasma treatment of  $TiO_2$  and that the samples obtained are photocatalytically active under visible-light irradiation [95].

#### 10.6.2.2 Effects of Cation Doping

Many cations and methods have been used for doping  $\text{TiO}_2$  [13, 71]. Apart from a few other attempts, these cations were based on elements pertaining, like Ti, to the period 4 of the Mendeleev table. Doping with two cations has also been investigated with the expectation that each cation would trap opposite charges. Obviously, substitutional doping can occur merely if the size of the foreign cation is relatively close to that of the Ti<sup>4+</sup> cation and if the percentage of cations introduced is sufficiently low.

The photocatalytic efficiency of the doped  $\text{TiO}_2$  specimens has been tested in both the gas phase and the aqueous phase with only a few probe molecules and, in general, with only one probe molecule for each  $\text{TiO}_2$  specimen. Moreover, some of these tests were performed with dyes whose use for determining the photocatalytic efficiency must be ruled out [96–98].

Many doped  $\text{TiO}_2$  specimens were photocatalytically inactive under sub-bandgap irradiation in spite of their absorption in the visible spectral region. If they were active, their efficiency under visible irradiation was considerably lower than that of undoped  $\text{TiO}_2$  under UV irradiation (note that correct efficiency comparisons must take into account the number of incident photons that are potentially absorbable). The zero or low efficiency under visible irradiation has been attributed to electron-hole recombination at the foreign cations; furthermore, this recombination can also decrease or even suppress the photocatalytic activity under UV irradiation. In contrast, it has been speculated that the recombination rate was markedly lower for  $\text{TiO}_2$  with deeply implanted foreign cations whose efficiency under UV irradiation was unchanged and which were active under visible irradiation [99]; that might have arisen from the fact that the charge carriers formed in the deep  $\text{TiO}_2$  layers (where the foreign cations were located) encountered almost no foreign cations when they moved to the surface [48].

Concerning the activity under sub-bandgap irradiation of TiO<sub>2</sub>, e.g., [100–103], on the basis of an ensemble of experimental and theoretical methods [102, 103], it was determined that, in some cases, this activity was really due to the existence of new energy levels corresponding to the foreign cations. However, in other cases, depending on the preparation of doped TiO<sub>2</sub>, other phenomena might be at the origin of the observed activity, viz., a photo-Fenton reaction occurring between hydrogen peroxide formed in situ and the cations located at the surface (potentially, partially dissolved in the case of aqueous-phase reactions); surface complexes, formed with the foreign cations, which are susceptible to be excited by sub-bandgap irradiation.

From the practical viewpoint, the results of cation doping of  $TiO_2$  have been rather disappointing regarding an improved use of the solar spectrum in photocatalysis.

#### 10.6.2.3 Effects of Anion Doping

Several anions have been used in attempts to render  $TiO_2$  active under visible-light irradiation by introducing energy states forming a band above the VB and/or moving the VB top toward higher energy (Fig. 10.13). These anions were based on the following elements: N, S, B, P, halides, and even C; they were used either alone or combined: e.g., [104–118]. The objective was, in principle, to replace  $O^{2-}$  anions. Extremely diverse synthesis methods have been used and have resulted in samples having very different photocatalytic activity whether it was under visible or UV irradiation, even when the foreign element introduced was the same.

Regarding N for which more papers have been published, there are uncertainties about the chemical state and location of the N atoms depending on the preparation method, the amount and source of N atoms, and other factors [13, 119]. Furthermore, the interpretation of the results issued from the characterization methods is sometimes debated. In addition to the existence of substitutional and interstitial N (in the form of  $N^{-2}$  and  $N^{-3}$  anions), the formation of Ti-O-N bonds and the presence of embedded N<sub>2</sub> and NO have been reported. It has also been suggested that inorganic and organic N-containing surface species could also act as sensitizers beyond 400 nm [120]. New energy levels within the bandgap (Fig. 10.13) could also be associated not directly with N atoms but with oxygen vacancies arising from the preparation [13, 119]. Similar uncertainties have been reported for the other aforementioned nonmetals, although the picture may be less complex for halides.

For photocatalytic reactions, the key factor is the charge trapping and detrapping rates at these new energy levels. Cases have been reported where oxidations supposed to proceed with direct transfer of VB holes to the target molecules did not occur with holes located within the bandgap (i.e., upon visible-light excitation; Fig. 10.13) because the trapping and detrapping rates were too slow, e.g., [116, 117]. Consequently, the observed visible-light photocatalytic activity was suggested to mainly be mediated by the initial excitation of electrons from mid-gap energy levels to the CB; for this mechanism, the hole detrapping rate would matter less than for transport to the surface and direct transfer to adsorbed molecules. Subsequently, the CB electrons would form <sup>•</sup>OH radicals via the reduction of O<sub>2</sub>, first to superoxide (Eq. 10.1) and then to hydrogen peroxide (Eqs. 10.4, 10.5, and 10.6), as it is supposed to take place under bandgap irradiation. Anyhow, the low rates regarding the reactions of holes formed at levels within the bandgap are unfavorable for the overall photocatalytic rate under visible irradiation compared with UV irradiation.

*From the practical viewpoint*, these results have restrained the development of commercially available  $TiO_2$  modified with nonmetals, even though these modifications might be cost-effective because some of the chemicals that could be utilized as "anion" sources have reasonable prices. Although researches aiming at modifying  $TiO_2$  to better take advantage of the solar spectrum continue,  $TiO_2$  KRONOClean (kronosww.com) seems to be one of the rare products presently on the market until now; it has been used as a reference for testing laboratory-prepared samples [121].

# **10.7** Photocatalytic Interest of Combining TiO<sub>2</sub> with Another Oxide

Very likely on the basis of methods commonly utilized in thermal catalysis, the combination of TiO<sub>2</sub> with another insulating or semiconducting oxide was used (1) to induce appropriate textural/structural modifications, e.g., increased dispersion of the TiO<sub>2</sub> particles and/or more homogeneous TiO<sub>2</sub> particle size; (2) to positively modify the surface characteristics, e.g., the acidity/basicity [122] or the adsorption of water (Fig. 10.14) [3]; and (3) to offer new types of adsorption sites (especially for O<sub>2</sub>) and reaction sites. Although some positive results have been obtained in particular cases, the addition of a second oxide to TiO<sub>2</sub> has been shown to be difficult to tailor without detrimental effects to the photocatalytic efficiency, such as (1) blocking the access to the TiO<sub>2</sub> sites [31], (2) decreasing the photoexcitation of TiO<sub>2</sub> [123], and (3) increasing the recombination rate of the charge carriers because of the supplementary solid interfaces [124].

On the other hand, considering the fundamental mechanism of  $TiO_2$  photocatalysis, the main reason for combining  $TiO_2$  with another semiconductor has been to facilitate separation of the photoproduced charges through charge transfer between the two semiconductors. That requires adequately matched energies of the VB and CB of both semiconductors. On this basis,  $TiO_2$  has been combined with several semiconductor oxides among which are:  $Cu_2O$  [125], CuO [126],  $ZrO_2$  [127], ZnO [128],  $SnO_2$  [129],  $RuO_2$  [130],  $WO_3$  [131],  $MoO_3$  [131],  $In_2O_3$  [132],  $Nb_2O_5$  [133],  $Bi_2O_3$  [125],  $SrTiO_3$  [134], and oxides of rare earths [135, 136]. Sulfides or even other chalcogenides have also been used but, in general, they are not as stable and/or cost-effective as oxides. Coupled semiconductors that do not include  $TiO_2$  have also been proposed. Charge transfer between the two



Fig. 10.14 Graphs showing that the photocatalytic efficiency, at various humidity levels, was enhanced for the air pollutants indicated, with the exception of formaldehyde, when the surface of P-25 was partially coated with 3 % WO<sub>3</sub>. The relative rate is the rate for WO<sub>3</sub>/P-25 minus the rate, r, for P-25 divided by r (Reprinted from Ref. [3])

combined semiconductors has been evidenced by photoelectrochemical and photoconductivity measurements, photoacoustic spectroscopy, Kelvin probe force microscopy, and other techniques. For most  $TiO_2$ -semiconductor oxide couples tested in diverse laboratory experiments, the maximum increase in photocatalytic efficiency for the removal of various single compounds in water and air was found to be comprised between approximately 1.5 and 3 relative to  $TiO_2$  alone. These increases have been attributed to both the aforementioned charge transfer between the two semiconductor oxides and the textural/structural modifications indicated in the preceding paragraph.

*From the practical viewpoint*, the future of these combined materials will depend on their cost balanced against the improved photocatalytic efficiency; some of the added elements are indeed rarer than Ti and therefore more expensive. Possible alterations of the mechanical and optical properties, durability, etc. will also have to be assessed in regard to the envisaged application.

# **10.8** Photocatalytic Interest of Combining TiO<sub>2</sub> with a High-Surface-Area Adsorbent

Adding activated carbon (AC) to TiO<sub>2</sub> appears as a good means to overcome the problem of the approach of organic pollutants [9, 14, 47] to TiO<sub>2</sub> across the wellorganized layers of adsorbed water [45]. With AC being hydrophobic and organophilic, the trace organic pollutants will be attracted to it and then could be transferred to TiO<sub>2</sub> through the interfaces between the two solids. Alternatively, a transfer over several tens of  $\mu$ m of active species from TiO<sub>2</sub> to the pollutants adsorbed on AC has been postulated because of both remote degradation of soot [137, 138] and experiments using well-defined structures consisting of alternate microstripes of TiO<sub>2</sub> on Si [139].

To increase the efficiency, the area of the  $TiO_2$ –AC interfaces must obviously be maximized, while both preserving irradiation of  $TiO_2$  and avoiding blockage of AC pores by  $TiO_2$ . Because of the variety of the parameters that can be modified, including the morphology of each solid, preparation recipes rather than clear guidance have been reported [140].

In practice,  $TiO_2 + AC$  materials are commercialized, though not on a large industrial scale. At least for water purification, added assets of these materials are: (1) the well-known use of AC alone, (2) an affordable price of AC, (3) the increased duration of AC usage without regeneration because of in situ photocatalytic cleaning, (4) the easy recovery of the material by filtering if granular AC is utilized, and (5) the enhanced adsorption of intermediate products of photocatalytic degradation which can be toxic and whose complete mineralization can be too costly. By contrast, the oxidizing capability of photoexcited  $TiO_2$  toward carbon materials (cf. the case of soot) would need further assessment regarding the long-term durability as opposed to the cleaning (point (3) above).



**Fig. 10.15** Kinetic variations in methanol concentration in flowing dry air at the photocatalytic reactor exit using the following photocatalysts: +- P-25; x- Na bentonite calcined at 673 K;  $\bullet$ - TiO<sub>2</sub> (1 mmol/g)-pillared bentonite calcined at 673 K;  $\blacktriangle$ - TiO<sub>2</sub> (10 mmol/g)-pillared bentonite calcined at 673 K; and  $\blacksquare$ - TiO<sub>2</sub> (10 mmol/g)-pillared bentonite calcined from Ref. [144], with kind permission from Springer Science + Business Media)

AC can be replaced by other high-surface-area adsorbents, such as zeolites [141-143] and clays [144-146], which are hydrophobic or can be made hydrophobic by proper treatment. Because of their particular texture, these adsorbents (either synthesized or natural) may allow easier design of the mixed materials than AC. The combinations of these adsorbents with TiO<sub>2</sub> have been tested for the removal of pollutants in both air and water, unfortunately often with dyes as probes, which is not recommended from the fundamental viewpoint as aforementioned [96–98] but can be justified if the dye used is in fact the targeted compound. Positive results have been reported for several pollutants (e.g., Fig. 10.15). To the author's knowledge, none of these materials are commercially available so far.

Much more recently, the combination of  $TiO_2$  with graphene or its oxide has been proposed not only because of the high surface area of these materials but also because of their high electrical conductivity susceptible to facilitate the transport of  $TiO_2$  photoproduced electrons and finally because of their adjustable shape. Application of  $TiO_2$ /graphene materials to pollutant removal is still not developed. Moreover, not surprisingly, the photocatalytic long-term stability has been questioned [147] as in the case of other carbon materials.

#### **10.9** Conclusions

The basic phenomena of photocatalysis using  $TiO_2$ -based materials are known in great detail even if some questions remain still open to debate according to specialists in surface science [13] and theoreticians [14]. Progress in this research

field has arisen from both the development of various techniques (or expert application of older techniques, e.g., infrared spectroscopy [148], in  $TiO_2$  photocatalysis), especially the availability of time-resolved devices operating at shorter timescales, and the use of advanced theoretical methods.

From the practical viewpoint, one of the messages from the fundamental results about the respective timescales of electron (or hole) transport, trapping, detrapping, and transfers is that the energy spent to promote electrons out of the VB can be extremely rapidly lost before useful chemical reactions can be accomplished, even if these reactions are thermodynamically downhill as in the case of the applications to self-cleaning materials [1] and air [2, 3, 47] or water purification [4]. Another message based on the interpretations of the fundamental events occurring upon photoexcitation of variously modified TiO<sub>2</sub> allows one to understand why these materials have been somewhat disappointing with respect to the initial expectations regarding each of them. Until now, none of them seem to be at the basis of significant commercial development capable of penetrating a market. In the author's view, the combination of  $TiO_2$  with AC (or possibly zeolites and clays because of their photocatalytic stability) appears to offer reasonable perspectives in environmental detoxification. Photocatalytic formation of  $H_2$  from certain alcohols and polyols over metal/TiO<sub>2</sub> could also be of interest [91], perhaps at temperature higher than ambient [94], but cost issues have to be considered.

In spite of intense research, the use of photocatalysts for endergonic water splitting and carbon dioxide reduction seems still far away from implementation, even though solids much more expensive than pristine  $TiO_2$  could be taken into account for these reactions contrary to the cases of depollution applications, in general. These topics are not covered in this chapter devoted mainly to photocatalysis using conventional  $TiO_2$ -based materials. They are treated in other chapters of this book.

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# Chapter 11 Fundamental Processes in Surface Photocatalysis on TiO<sub>2</sub>

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Abstract Due to the potential applications of  $TiO_2$  in photocatalytic hydrogen production and pollutant degradation, over the past few decades, we have witnessed the fast-growing interest and effort in developing TiO<sub>2</sub>-based photocatalysts, improving the efficiency, and exploring the reaction mechanism at the atomic and molecular level. Since surface science studies on single crystal surfaces under UHV conditions could provide fundamental insights into these important processes, both thermal chemistry and photo-chemistry on  $TiO_2$ , especially on rutile  $TiO_2(110)$ surface, have been extensively investigated with a variety of experimental and theoretical approaches. In this chapter, we start from the properties of TiO<sub>2</sub> and then focus on charge transport and trapping and electron transfer dynamics. Next, we summarize recent progresses made in the study of elementary photocatalytic chemistry of oxygen and methanol on mainly rutile TiO<sub>2</sub>(110) along with some studies on rutile  $TiO_2(011)$  and anatase  $TiO_2(101)$  and (001). These studies have provided fundamental insights into surface photocatalysis as well as stimulated new investigations in this exciting area. At the end of this chapter, implications of these studies for the development of new photocatalysis models are also discussed.

**Keywords** Photocatalysis • Titanium dioxide • Charge trapping • Charge transfer dynamics • Oxygen vacancies • Methanol • Ground state reaction

## 11.1 Introduction

Because the rapid consumption of fossil fuels and the pollution caused severe impact on the earth's environment, developing new and environmentally friendly energy sources is of the greatest importance for human kind on a global scale and is

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one of the biggest challenges facing the scientific community in the world. The most important clean energy source on the earth is clearly the solar energy, which is crucial in supporting the earth's ecological system as well as the energy for the earth's environment suitable for human being's living. Solar energy contains light in a wide spectral range from infrared to ultraviolet, the light energy in these wavelengths can also be used to drive energy and chemical conversion so that clean chemical energy source can be developed. For example, when light can be used to split water into hydrogen and oxygen, it will provide clean hydrogen energy for combustion with little pollution, unlike fossil fuels. Thus, it should be our priority to develop ecologically clean, environmentally harmonious, and sustainable, safe, and energy-efficient chemical technologies using solar energy [1]. Developing clean energy sources requires knowledge of photocatalysis on surfaces of photocatalysts.

Heterogeneous photocatalysis is basically a process of surface chemical reactions driven by photons. Therefore, there are at least two essential steps in photocatalysis. The first step is the photoexcitation of the photocatalyst, normally a semiconductor. Photoexcitation usually generates pairs of electrons and holes or excitons, below or at a photocatalyst surface. The energy carried by these excitons is then used to drive the chemical reactions of molecules adsorbed on the photocatalyst surface. The second step involves chemical reaction processes induced by the excitons. These processes must also avoid causing chemical changes for the photocatalysts [2]. Understanding the fundamental processes in heterogeneous photocatalysis is important for this field of study. Generally, heterogeneous photocatalysis of organic and inorganic compounds by TiO<sub>2</sub> is driven by photogenerated electrons or holes in the semiconductor particles, suggesting that the reactions occur on the excited electron state after photogenerated electrons or holes transfer to organic and inorganic compounds on the surface. Recently, however, detailed studies of photocatalysis on single crystal surfaces suggest that photocatalytic reactions can also possibly occur on the ground state, which is driven by the energy from exciton recombinations. It is therefore useful for us to review these studies to seek a more in-depth understanding of the entire heterogeneous photocatalysis process.

The study of heterogeneous photocatalysis has made tremendous progresses since the discovery of photocatalytic water splitting on  $TiO_2$  by Fujishima and Honda in 1972 [3]. The photocatalytic properties of certain materials, normally semiconductors or doped semiconductor materials, have been used to convert solar energy into chemical energy to reduce or oxidize reagents to obtain useful target products including hydrogen and hydrocarbons and to remove pollutants and bacteria on wall surfaces and in air and water [4]. Among the many different photocatalysts,  $TiO_2$ , as a model of semiconductor photocatalyst, has been most widely investigated because of its exceptionally efficient photoactivity, high chemical stability, low cost, as well as its low toxicity for both humans and the environment.  $TiO_2$ , as a versatile material, has extensive applications in various fields. As an n-type semiconductor photocatalyst that has been widely used, one meaningful potential application of  $TiO_2$  is the photocatalytic fuel generation reaction such as hydrogen production process [5].

Even though a great amount of studies has been carried out on the development of photocatalytic materials and the characterization of photocatalytic processes, however, studies on fundamental mechanisms about detailed surface photocatalytic processes on model photocatalysts such as TiO<sub>2</sub> are still lacking. A few fundamental issues need to be investigated in detail in typical photocatalytic reaction processes. Firstly, we need to learn how the energy of excitons created by photon excitation on or below the surface of a semiconductor photocatalyst is converted to the energy to drive a surface chemical reaction. Secondly, we need to investigate the elementary photocatalytic chemical reaction steps and thus the whole photocatalytic chemical process. Only when these processes are understood at the very fundamental level, a clear physical picture of surface photocatalysis at the molecular level can be obtained. Understanding of surface photocatalysis at the molecular level is crucial for the future development of the photocatalysis research field. In this chapter, we will provide a comprehensive review on previous studies of the mechanisms and dynamics of photocatalytic reactions on a few TiO<sub>2</sub> surfaces and TiO<sub>2</sub>-based photocatalysts. A detailed discussion on possible new picture of photocatalysis from a different perspective is also provided at the end of this chapter.

#### 11.2 TiO<sub>2</sub> Semiconductor Photocatalysts

#### 11.2.1 Crystal Structures of TiO<sub>2</sub>

TiO<sub>2</sub> can exist in one of the three major bulk crystalline forms, rutile, anatase, and brookite, all of which can be described in terms of distorted TiO<sub>6</sub> octahedra with different symmetries or arrangements. The anatase structure consists of edgesharing TiO<sub>6</sub> octahedra, while the rutile and the brookite frameworks exhibit both corner and edge-sharing configurations (Fig. 11.1) [6]. The different characteristics of the Ti-O bonds play a very important role in the structural and electronic features of different phase forms of  $TiO_2$  [7]. The knowledge of bulk properties of  $TiO_2$  with different crystal structures has been comprehensively reviewed by Diebold [8]. Thermodynamically, rutile is the most stable phase in the bulk form, while bulk anatase and bulk brookite are the metastable ones. Upon heating, both anatase and brookite can transform irreversibly to rutile. Li and coworkers have investigated the phase transformation process from anatase to rutile systematically [9– 11]. These authors found that the phase transformation of  $TiO_2$  anatase nanoparticles to  $TiO_2$  rutile nanoparticles starts from the interfaces between the agglomerated anatase particles, leading to a bulk phase transformation. Meanwhile, the surface defect sites on anatase particles are suggested to play an important role in the phase transformation process. The phase transformation from brookite to rutile phase undergoes brookite to anatase transition first with an intermediate structure of a quasi- $H_2Ti_3O_7$  and then transforms to rutile [12, 13]. Furthermore, the transformation of anatase and brookite to rutile phase strongly depends on the particle size of the initial  $TiO_2$ . For example, at temperatures ranging between



**Fig. 11.1** Representations of the TiO<sub>2</sub> anatase (**a**), rutile (**b**), and brookite (**c**) forms. Anatase (tetragonal, a = 3.785 Å, c = 9.513 Å), rutile (tetragonal, a = 4.593 Å, c = 2.959 Å), and brookite (orthorhombic, a = 9.181 Å, b = 5.455 Å, c = 5.142 Å)

325 °C and 750 °C, rutile is the most stable phase with particle sizes above 35 nm, anatase is the most stable phase particle sizes under 11 nm, and brookite is the most stable phase between 11 and 35 nm [14].

The three polymorphs of TiO<sub>2</sub> have been enormously applied in many fields based on their different physical/chemical properties. However, in general, the most dominant research on TiO<sub>2</sub> is still focusing on its applications in solar energy conversion, which is mainly studied on rutile and anatase phase. Up to now, various research works have been performed with TiO<sub>2</sub> as a model semiconductor photocatalyst to yield H<sub>2</sub> from water splitting, biomass reforming, and industrial waste reforming. One limitation of TiO<sub>2</sub> as a photocatalyst is its wide bandgap (i.e., bandgap for bulk materials: anatase 3.20 eV corresponding to 384 nm and rutile 3.02 eV corresponding to 410 nm), which makes TiO<sub>2</sub> only sensitive to the UV light which covers less than 5 % of the solar spectrum. However, TiO<sub>2</sub> serves as a good model semiconductor photocatalyst for understanding and exploring the elemental processes and mechanisms of photocatalysis. These fundamental understandings obtained through investigation of TiO<sub>2</sub>-based photocatalysts might be helpful for the development of more efficient photocatalysts for photocatalytic reactions such as water splitting and CO<sub>2</sub> reduction.

The photocatalytic activities of anatase and rutile forms of  $TiO_2$  are quite different. The larger bandgap makes anatase have a slightly higher redox driving force than rutile, although the sensitive range of the light absorption for anatase is slightly less than that of rutile. Due to the lower thermodynamic stability of anatase, few laboratories have accomplished the synthesis of anatase single crystals [15]. Up to date, most of the investigations of anatase  $TiO_2$ -based photocatalysts have been carried out in the nanocrystalline regime, and the anatase crystal structure usually has a much higher surface area than rutile, resulting in enhanced adsorption capability and more active sites (i.e., oxygen vacancies). In addition, although rutile has a better charge carrier mobility than anatase because of its higher crystallinity, the latter can generate more efficient charge separation due to the existence of more oxygen vacancies. Due to these advantages of anatase, it usually shows much higher photocatalytic activity than rutile [5]. However, on anatase single crystal surfaces (such as anatase TiO<sub>2</sub>(001) [16] and TiO<sub>2</sub>(101) [17]), the surface point defects can hardly be formed through UHV annealing at temperatures as high as 600 °C, a condition under which significant reduction is known to take place on rutile single crystal surfaces. Therefore, the physical/chemical properties of a material may be quite different for their various phase structures, as well as the particle sizes.

#### 11.2.2 Electronic Structures of TiO<sub>2</sub>

Photocatalysis refers to light-induced acceleration of a reaction in the presence of a light-sensitive catalyst and can be compared to the way plants use chlorophyll to convert water and carbon dioxide into oxygen and glucose fueled by sunlight (thereby commonly also referred to as artificial photosynthesis) [18]. Figure 11.2 presents a simple model that compiles the essential features in the process of light-induced water splitting to yield hydrogen and oxygen with a photocatalyst; it also describes the preferred energy band level alignment between energy band edges of the photocatalyst and the reactant to drive a redox reaction via charge transfer from the catalyst to the reactant [19].

In general, the valence and conduction bands of rutile, anatase, and brookite  $TiO_2$  are mainly comprised of  $O_2$  p and Ti 3d states, respectively, at the band edges. Furthermore, a detailed molecular orbital bonding energy diagram of  $TiO_2$ , constructed from the individual atomic energy levels of Ti and O atoms, not only

Fig. 11.2 Schematic presentation of the typical light-induced decomposition of water molecules, wherein (i) hydrogen is produced via a reduction process at the conduction band assisted by a photoelectron and (ii) oxygen is produced via an oxidation process assisted by a photoinduced hole in the valence band of the photocatalyst (Reproduced with permission from Ref. [19]. Copyright 2014 American Chemical Society)



provides a clear insight in the chemical bonds formed between the constituent atoms in TiO<sub>2</sub> but also serves as an excellent tool to visualize the hybridization between the different energy levels/orbitals within the cation and anion, as well as the change upon doping. Asahi et al. [20] have investigated the chemical bonding in anatase TiO<sub>2</sub> by decomposing its densities of states (DOSs) spectra into Ti e<sub>g</sub> and t<sub>2g</sub> (d<sub>yz</sub>, d<sub>zx</sub>, and d<sub>xy</sub>), O p<sub> $\sigma$ </sub> (in the TiO<sub>3</sub> cluster plane), and O p<sub> $\pi$ </sub> (out of plane) carefully, and then a molecular orbital bonding energy diagram of anatase TiO<sub>2</sub> could be further constructed from these orbitals (Fig. 11.3); it now more clearly shows that (i) the valence band (VB) of anatase TiO<sub>2</sub> is comprised of O p<sub> $\pi$ </sub> (higher energy region), p<sub> $\sigma$ </sub> and p<sub> $\pi$ </sub> (intermediate energy region), and p<sub> $\sigma$ </sub> (lower energy region) and (ii) the conduction band (CB) is comprised of Ti 3d and 4s, and the lower energy regions of the conduction band are constructed by the degenerate e<sub>g</sub>-like and threefold t<sub>2g</sub>-like states resulting from the crystal field splitting of Ti 3d.



**Fig. 11.3** Detailed molecular orbital bonding diagram of anatase TiO<sub>2</sub> (Reprinted with permission from Ref. [20]. Copyright 2000 American Physical Society)



Fig. 11.4 Simplified molecular orbital diagram of rutile  $TiO_2$  summarized by Van de Krol (Reprinted with permission from Ref. [21]. Copyright 2012 Springer)

Similarly, a simplified molecular orbital bonding energy diagram for rutile  $TiO_2$  has been summarized by Krol [21], as shown in Fig. 11.4.

#### 11.2.3 Band Bending

Before focusing on the processes occurring in TiO<sub>2</sub> under UV light irradiation, the situation before UV light exposure needs to be understood. The band bending concept was first proposed by Schottky and Mott to explain the rectifying effect observed from the contact of metal and semiconductor in 1930s [22–25]. As show in Fig. 11.5, the work functions of metal ( $\phi_m$ ) and semiconductor ( $\phi_s$ ) are usually not the same. With the contact of metal and semiconductor, the free electrons will flow between the metal and the semiconductor because of the work function difference. When the n-type semiconductor (electrons as majority charge carriers)



Fig. 11.5 Energy band diagrams of metal and n-type semiconductor contacts.  $E_{vac}$  vacuum energy;  $E_c$  energy of conduction band minimum;  $E_v$  energy of valence band maximum;  $\phi_m$  metal work function;  $\phi_s$  semiconductor work function;  $\chi_s$  electron affinity of the semiconductor (Reprinted with permission from Ref. [26]. Copyright 2012 American Chemical Society)

contacts with the metal and when  $\phi_m$  is higher than  $\phi_s$ , as shown in the left of Fig. 11.5, the electrons will transfer from the semiconductor to the metal continually until the Fermi levels of metal ( $E_{F,m}$ ) and semiconductor ( $E_{F,s}$ ) are aligned. Under equilibrium, a Helmholtz double charge layer will be formed at the metal/semiconductor interface, where the metal is negatively charged and the semiconductor is positively charged near its surface. As a result, the concentration of the free charge carriers near the semiconductor surface is depleted compared with that of the bulk. This near-surface region of the semiconductor is defined as the space charge region, and thus this region is called the depletion layer with excess positive charge. When  $\phi_m$  is lower than  $\phi_s$ , as shown in the right side of Fig. 11.5, the electrons flow from the metal to the semiconductor, leading to an accumulation of electrons in the space charge region, and this region, and this region is called the accumulation alayer. Generally, when the  $E_{F,m}$  is below the  $E_{F,s}$ , charge will transfer to the metal resulting in the  $E_{F,s}$  to decrease and vice versa.

In the space charge region, due to the electric field formed between the semiconductor and the metal as a result of the charge transfer, the energy band edges in the semiconductor are also shifted continuously, which is called band bending. When  $\phi_m$  is higher than  $\phi_s$ , the energy bands bend upward toward the interface, while the edges of the energy bands bend downward toward the interface when  $\phi_m$  is smaller than  $\phi_s$ . In Fig. 11.5, compared with the bulk, the degree of bending of the energy band of the semiconductor at the interface equals to the work function difference between metal and semiconductor [26]:

$$\mathbf{V}_{\mathbf{B}\mathbf{B}} = |\boldsymbol{\phi}_{\mathbf{m}} - \boldsymbol{\phi}_{\mathbf{s}}| \tag{11.1}$$

When  $\phi_{\rm m}$  is higher than  $\phi_{\rm s}$  in an n-type semiconductor, there is also a barrier formed at the metal/semiconductor interface, which is called the Schottky barrier  $(\phi_{\rm SB})$  [26]:

$$\phi_{\rm SB} = (\phi_{\rm m} - \chi_{\rm s}) \tag{11.2}$$

where  $\chi_s$  is the electron affinity of the semiconductor. When  $\phi_m$  is lower than  $\phi_s$  in an n-type semiconductor, there is no Schottky barrier.

As mentioned above, the contact between  $\text{TiO}_2$  and another phase (i.e., liquid, gas, or metal) generally involves the distribution of electric charges and the formation of a double charge layer. The transfer of mobile charge carriers between  $\text{TiO}_2$  and the contact phase, or the trapping of charge carriers at surface states of the interface, leads to a space charge layer. For  $\text{TiO}_2$ -gas phase interactions, surface states available for electron trapping exist, resulting in a negative space charge layer at the interface. To preserve electrical neutrality, a positive space charge layer forms just within  $\text{TiO}_2$  causing a shift in electrostatic potential and a bending of bands upward toward the surface [27]. Even for a clean surface with surface states, that is, of a single crystal  $\text{TiO}_2$  surface in contact with vacuum, surface states will be formed which alter the electronic structure drastically.

For stoichiometric  $TiO_2$  single crystal surfaces (such as rutile (100) and (110)), flat bands have been demonstrated from photoemission experiments [28]. However, TiO<sub>2</sub>, as an n-type semiconductor, is largely used in photocatalytic systems; the surface inevitably contains a lot of defects, which are usually surface oxygen vacancies  $(O_v)$ . During the process of the removal of the corresponding oxygen atom to form an  $O_v$ , the initially located electrons in an O 2p orbital are transferred into the conduction band formed by Ti 3d orbitals and then exist in the form of unpaired electrons [29]. After a careful analysis of this electronic situation, Diebold [8] concluded that the excessive electrons in the oxygen vacancies act as donor-like states, developing an accumulation layer in the near-surface region that leads to a downward band bending (Fig. 11.6). However, it is very important to take a careful note that the direction of band bending strongly depends on the surface structure and the adsorbates. For realistic cases, with the adsorption of different kinds of molecules at the electron-rich surface, the direction of band bending may even be reverted via excessive charge transfer between surface and adsorbate [30, 31]. More details about the effect of band bending on photochemical reactions can be obtained in Ref. 26.



**Fig. 11.6** Schematic diagram of the surface band bending of a clean n-type TiO<sub>2</sub> in vacuum due to the presence of donor-like surface defect states ( $\bullet$  electron;  $\circ$  hole). An alternative energy diagram of a clean n-type TiO<sub>2</sub> has been suggested by Diebold [8] (Reproduced with permission from Ref. [1]. Copyright 2014 American Chemical Society)

#### 11.3 Charge Transport and Trapping

The photon absorption in a photocatalytic reaction is typically viewed as a bulk (i.e., subsurface) process. However, unlike metals which have a continuum of electronic states, TiO<sub>2</sub> possess a wide bandgap which extends from the top of the filled VB to the bottom of the vacant CB, where no electron energy level is available. The VB of TiO<sub>2</sub> is formed by the interaction of the highest occupied molecular orbital (HOMO), while the CB is formed by the interaction of the lowest unoccupied molecular orbital (LUMO). The band structure, including the bandgap (denoted as  $E_g$ ) and the positions of CB and VB, is one of the important properties for TiO<sub>2</sub> photocatalyst, as well as other semiconductor photocatalysts, because it determines the light absorption property and the redox capability of a semiconductor photocatalyst. Once photoexcitation occurs across the bandgap, the electrons in VB will be excited to CB, leaving the holes in VB. This electron–hole pair generation process in TiO<sub>2</sub> can be expressed as follows:

$$TiO_2 + h\nu \rightarrow e^-(TiO_2) + h^+(TiO_2)$$

These photogenerated electron-hole pairs may further be involved in the following three possible processes: (i) the electron-hole pairs are separated and successfully migrate to the surface of  $TiO_2$  and then transfer to adsorbed organic or inorganic species or to the solvent (processes 1 and 3 in Fig. 11.7); (ii) the separated charge carriers are captured by the defect sites in bulk and/or on the surface region of  $TiO_2$ ; (iii) the separated charge carriers recombine and release the energy in the form of heat or photon in bulk and/or on the surface region of  $TiO_2$  (processes 2 and 4 in Fig. 11.7). Usually, the last two processes are thought as deexcitation processes, because the photogenerated charges do not have the opportunity to drive the photocatalytic reaction. Only the photogenerated charges that transfer to the reactants via the surface



**Fig. 11.7** Important processes in the surface photocatalysis processes: photoexcitation of electron–hole pair, charge transfer processes, bulk and surface recombination processes, and electron- and charge-induced chemistry at surfaces (Reproduced with permission from Ref. [27]. Copyright 1995 American Chemical Society)

of  $TiO_2$  could contribute to photocatalytic reactions. The defect sites on the surface and in the bulk of  $TiO_2$  may serve as the recombination centers for the photogenerated electrons and holes, which will decrease the efficiency of the photocatalytic reaction.

In principle, a photocatalytic reaction can be divided into two half reactions, reduction reaction and oxidation reaction. The photoexcited electrons in the CB may initiate a reduction reaction with electron acceptors, and the reduction capability depends on the position of CB minimum (CBM); the holes in the VB drive the oxidation reaction with electron donors, and the oxidation capability is determined by the position of VB maximum (VBM). For the generation of hydrogen from the water splitting reaction, the position of CBM of a semiconductor photocatalyst needs to be located at a more negative potential than the redox potential of  $H^+/H_2(0 V vs. NHE, pH = 7)$ , while the position of VBM needs to be located at a more positive potential than the redox potential of  $O_2/H_2O$  (1.23 V vs. NHE, pH = 7). Although the energy required for the complete redox reaction in this case does not exceed ca. 1.23 eV (Fig. 11.8), the energy required for generating the electron–hole pairs in the catalyst through photoexcitation still needs to be higher than  $E_g$ .

As mentioned above, photocatalytic reactions occur at the surface, and photogenerated charge carriers must transfer to the surface and be stabilized at the surface for the electron–hole transfer to the reactants (and not for recombination events). This situation raises questions about how charge carriers transfer through a lattice to a surface. What are the relative timescales for charge separation, thermalization, transport, and trapping? What are the typical charge trapping surface sites? However, characterizations of exciton (electron–hole) thermalization, lifetimes, transport kinetics, trapping, and quenching are all difficult tasks [32].



**Fig. 11.8** Compilation of energy band positions for some selected semiconductors in contact with an aqueous electrolyte at pH 1 with respect to NHE and the vacuum level as a reference. The scale on the right presents the potentials of several redox couples (Reprinted with permission from Ref. [19]. Copyright 2014 American Chemical Society)

#### 11.3.1 Charge Separation

The efficiency of photocatalytic processes can be evaluated by the measurement of the photonic efficiency  $\zeta$ , which is defined as the rate of the formation of reaction products divided by the incident photon flow [33]. Unfortunately, the  $\zeta$  of semiconductor photocatalysts (including TiO<sub>2</sub>) usually is found to be rather small. In fact, time-resolved spectroscopic studies demonstrate that most of the photogenerated electron–hole pairs (~90 %) recombine rapidly after excitation. This is proposed to be one main reason for the relatively low  $\zeta$  (<10 %) for most semiconductor-based photocatalytic reactions.

Therefore, a variety of approaches are investigated to promote the efficiency of charge separation in  $TiO_2$  such as heterojunction, supported charge trap, and bulk dopant for separating one carrier from the other. Of course, the efficiency of charge separation in  $TiO_2$  may be affected by the energy of the charge carriers, as well as temperature. "Hot" electrons and deep holes may be more likely to separate than charge carriers generated with near-bandgap energy light, whereas, according to the

work of Berger et al. [34], higher temperatures can decrease the charge separation efficiency of some trapping sites.

#### 11.3.2 Charge Thermalization

In an ideal photocatalyst, all photon energy invested in the generation of charge carriers would be available for redox chemistry. The higher the potential energy of the electron (or hole) is, the more reductive (or oxidative) the capacity is [32]. As a result, a tunable energy of a carrier could be useful for promoting desired electron (or hole) transfer reactions. However, thermalization of charge carrier is rapid. For example, Gundlach and coworkers [35, 36] used 2PPE to track thermalization following electron injection from two dyes adsorbed on rutile  $TiO_2(110)$ . The authors found that fast initial decay of the 2PPE signal resulting from thermalization of the injected electron occurs on the 10 fs timescale. This result suggests that excess potential energy is lost to the lattice via strong coupling with phonon modes, thus reducing the potential advantage gained by the specificity in the absorption event. Additional evidence for the rapid thermalization of electrons comes from photoemission spectra of Ag clusters on rutile  $TiO_2(110)$  [37] as a function of injection electron energy and from photoluminescence spectra of rutile  $TiO_2(110)$  [38, 39] with 3.35 eV photon irradiation. In both studies, a constant energy of the emitted photons was observed (at and below the bandgap energy of 3.05 eV), independent of the energy of the exciting electron or photon. Furthermore, experimental investigations indicate that the photodesorption yield and translational energy of O<sub>2</sub> from an O<sub>2</sub>-adsorbed rutile  $TiO_2(110)$  surface are independent of the excitation photon energy above 3.4 eV, but dependent on the photon flux [40, 41]. Using time-resolved terahertz spectroscopy, Turner et al. [42] investigated the thermalization following electron injection from an adsorbed R535 dye (excited with 100 fs pulses of 400 nm light) on P25 nanoparticles. These authors found that injected electron thermalization to the CB edge occurs on the  $\sim$ 300 fs timescale.

Conversely, hole thermalization in the VB is always accompanied by electron thermalization in the CB, which makes it difficult to distinguish the two processes. Through examining the rate of salicylate photooxidation on suspended  $TiO_2$  nanoparticles ( $\leq 5$  nm) as a function of excitation energy, Grela and coworkers showed [43] that higher energy photons are always more efficient, resulting in higher quantum yields. Similarly, in another study [44], the quantum yields for 3-nitrophenol photo-oxidation were also shown to be greater for higher energy photons (Fig. 11.9). These results were consistent with the action spectroscopy study of "hot" hole oxidation of various aromatic molecules on colloidal TiO<sub>2</sub> by this group [45]. Thus, these authors proposed that hole transfer can precede hole thermalization in TiO<sub>2</sub>.

Using femtosecond transient reflecting grating (TRG) method to observe the photoinduced ultrafast relaxation dynamics at  $TiO_2(001)/KSCN$  (aq) interfaces, Morishita and coworkers [46] found that the hole transfer time at  $TiO_2/SCN^-$  interfaces is on the 110–690 fs timescale, which is much faster than the estimated



**Fig. 11.9** Quantum yields for photooxidation of 3-nitrophenol over suspended  $TiO_2$  as a function of "excess photon energy" (defined as the photon energy in excess of the  $TiO_2$  bandgap energy) (Reprinted with permission from Ref. [44]. Copyright 1999 American Chemical Society)

(1.8 ns by Marcus theory) and reported (5 µs by Bahnemann et al. [47]) values, indicating that the nonequilibrium holes in the VB of TiO<sub>2</sub> may be involved in interface reactions. Similarly, Tamaki et al. [48, 49] have measured the lifetimes of electron and hole trapping in nanocrystalline films of anatase (particles of  $\leq 20$  Å) with transient absorption method. Based on their assignments of the absorption spectrum of trapped holes, these authors suggested that in some cases hole trapping preceded hole thermalization by up to 100 ps. However, the charge carrier thermalization does not actually correspond to chemical reactions.

# 11.3.3 Electron Trapping

Electron traps are believed to be localized in the lattice of  $TiO_2$  as  $Ti^{3+}$  sites, although the degree of localization remains unclear. The potential energies of surface electron trap states is a little lower than that of the CB (in a range between 0.1 and 1 eV) [32, 50] which is compatible with previous photoemission results for electronic defect state on the surface of  $TiO_2$  single crystal surfaces [8]. It is universally accepted that electron trap sites are located at the surfaces of  $TiO_2$  (irrespective of the polymorph) [32]. However, there are also reports of electron being trapped in the bulk of  $TiO_2$  [51, 52], and theoretical calculations [53, 54] propose that electrons prefer trapping in the bulk (subsurface) rather than trapping

at the surface. Other theoretical work [55] suggests that the under-coordinated Ti cation sites on surfaces are the most stable electron trap sites. Similarly, other groups propose that electron traps locate at  $TiO_2$ -TiO<sub>2</sub> particle interfaces [56] or at grain boundaries [57]. The electron trap stabilities of TiO<sub>2</sub> can be affected by structure and dielectric properties or by the presence of adsorbates. For example, Planelles and Movilla [58] have investigated the effect of nanopore sizes in TiO<sub>2</sub> on its ability to trap electrons using theoretical method. They found that at low temperature, electron trapping holding by nanopores occurs for the diameter of pores <14 nm, with the trapping stability depending mostly on the nanopore diameter and the chosen permittivity, as well as temperature.

Although the electron trap ability of TiO<sub>2</sub> can be very useful for hole-mediated photochemistry, electron trapping inhibits some processes relying on rapid electron transport (such as photovoltaic application). Thus, rapid electron detrapping is more important in these applications. Electron detrapping usually occurs in two ways: (1) polaronic hopping, which involves in a "trap-to-trap" hopping process [59], and (2) complete detrapping, in which a trapped (localized) electron is converted to a free (delocalized) electron. Detrapping can be induced by thermal activation with low barriers in the range of 0.1-0.3 eV [60-62], or by nonthermal activation through sub-bandgap light excitation [63, 64]. Usually, both polaronic hopping and complete detrapping can be easily accomplished via thermal activation. According to sensitization studies on  $TiO_2$  films by van de Lagemaat and coworkers [65], the average thermal detrapping time is on the order of 10 ns at room temperature, which is rather rapid. Conversely, nonthermal detrapping by sub-bandgap light excitation is mainly governed by the optical properties of the trap state. Based on transient absorbance (TA) studies, Shkrob and Sauer [63] found that as the trapped electron is detrapped by 1064 or 532 nm photoexcitation, the resultant-free, mobile electron rapidly recombines with the hole on a timescale of 3 ns. Beerman et al. [64] and Komaguchi et al. [66] also observed the similar results, while Komaguchi and coworkers monitored  $Ti^{3+}$  electron spin resonance (ESR – essentially the same technique as EPR) signals for thermally reduced anatase ( $\bigcirc$ ), rutile ( $\triangle$ ), and P-25 ( $\Box$ ) during and after visible light irradiation (Fig. 11.10). Upon irradiation of the reduced samples with white light at 77 K, the Ti<sup>3+</sup>EPR signals for these three samples all decreased in intensity and disappeared entirely after a few minutes of illumination. After the light was turned off, the Ti<sup>3+</sup>EPR signals begun to reappear and increase in intensity at 77 K. Finally, the signals for the anatase and rutile were restored to 100 % of the initial intensity within 30 min, whereas in the P-25 samples, a certain amount of the electrons detrapped from the anatase phase could transfer through the interfacial boundaries to be retrapped on the rutile phase, leading to the Ti<sup>3+</sup>EPR signal restoration, which significantly exceeds the initial value.

Although EPR technique is an extremely powerful tool to detect and characterize trapped electrons (and holes) on/in  $TiO_2$ , with surface and subsurface trap sites distinguished using chemical adsorbates (hole scavenger and electron scavenger), it is not very convenient for many researchers due to the high requirements of operation, such as low temperature and high intensity of magnetic field. Thus, other easy-to-use techniques have been innovated for detection and characterization



Fig. 11.10 Relative changes in the EPR (ESR) signals associated with trapped electrons in anatase ( $\bigcirc$ ), rutile ( $\Delta$ ), and P-25 ( $\square$ ) resulting from visible light irradiation in air (Reprinted with permission from Ref. [66]. Copyright 2006 Elsevier)

of trapped electrons in  $\text{TiO}_2$ . Nowadays, based on the optical properties of trapped electrons, several optical spectroscopies have been used for detecting trapped electrons. However, a big challenge for optical spectroscopies is correlating absorption events at specific wavelengths, which are typically very broad, with specific trap sites in or on  $\text{TiO}_2$ , while identification of the excited state level of an optical transition is another challenge. Readers could get more detailed information about optical spectroscopies for detecting trapped electrons in Ref. 32.

#### 11.3.4 Hole Trapping

According to a series of EPR studies [32], a surface  $\text{Ti4}^+-\text{O}^-$  site is proposed to be the most likely hole trap site, where the hole locates at an under-coordinated surface oxygen atom. However, it is unclear whether bridged or oxo type is the preferred coordination of such a site. Additionally, some groups have also found evidence for subsurface hole traps [50, 67, 68]. Recently, Kerisit et al. [69] carried out calculations on the sites of hole (and electron) trapping at the unrelaxed rutile  $\text{TiO}_2(110)$ surface. Their results indicate that holes prefer to trap in the near-surface region, as opposed to on surface sites or in the bulk (Conversely, electrons prefer to trap at subsurface sites). Their results are consistent with the early findings by Shapovalov et al. [70] using an ab initio embedded cluster approach.



**Fig. 11.11** Dependence of the <sup>18</sup>O<sub>2</sub> photodesorption yield on the incident UV photon flux for <sup>18</sup>O<sub>2</sub> adsorbed on the rutile TiO<sub>2</sub>(110) surface at 110 K (Reprinted with permission from Ref. [73]. Copyright 2005 American Chemical Society)

Compared to electron trapping studies performed via sensitization, there are no convenient methods to inject holes into the VB of TiO<sub>2</sub>. Thus, hole trapping studies always initiate with band-to-band excitation processes. Although optical spectroscopies have been used for detecting trapped holes based on comparisons before and after exposure to hole scavengers, the nature of the adsorption events (the excited state level of an optical transition) is not known. For example, by time-resolved transient absorption studies, Tamai and coworkers [71] observed a broad transient absorption signal at  $\sim$ 520 nm and assigned it to excitation of trapped holes initiating from excitation of colloidal anatase with a 200 fs 360 nm pulsed laser source based on comparisons before and after exposure to hole scavengers, such as SCN<sup>-</sup>, and the hole trapping timescale at the surface of colloidal anatase particles is about 50 fs. Similarly, Tamaki and coworkers [50, 72] assigned the spectral region at  $\sim$ 500 nm to excitation of trapped holes from excitation of nanocrystalline films of anatase (diameter of particles  $\leq 20$  Å) using the same method. These authors also estimated a hole trapping timescale of  $\sim$ 200 fs for "hot" holes, and then, the trapped holes thermalized over the next 100 + ps.

Thompson and Yates [73] were perhaps the first to quantify bulk concentration of hole traps in the near-surface region of a reduced rutile  $TiO_2(110)$  surface, by increasing the photon flux and using photodesorption yield of <sup>18</sup>O<sub>2</sub> to monitor the rate of hole delivery to the surface (Fig. 11.11). These authors observed two linear branches, A and B, in the relationship between the photodesorption yield of <sup>18</sup>O<sub>2</sub>

and the photon flux. At low fluxes (branch A), the photodesorption yield of <sup>18</sup>O<sub>2</sub> was significantly lower than at high fluxes, which is proposed to result from preferential trapping of holes away from adsorbed O<sub>2</sub> molecules, presumably in the subsurface. At  $F_{hv}$ (crit), the holes transferring to the surface fill all the hole traps, leading to a more efficient branch B photodesorption process. By assuming that the fluence of photons at  $F_{hv}$ (crit) is entirely consumed by trap filling by holes, an upper limit for the hole trap density of  $\sim 2.5 \times 10^{18}$  cm<sup>-3</sup> ( $\sim 0.003$  % of the atomic sites in the bulk lattice) is estimated by these authors. Moreover, Berger and coworkers [74] found that reduced TiO<sub>2</sub>, produced by annealing in vacuum, is less efficient in hole trapping than oxidized TiO<sub>2</sub>, indicating that these bulk traps are related to reduced centers resulting from vacuum annealing.

#### 11.3.5 Charge Recombination

The photocatalytic efficiency of  $\text{TiO}_2$  photocatalysts is usually limited due to the fast recombination of the electron–hole pairs. Although this recombination process is undesirable, its study can provide a deep insight into the charge carrier dynamics in  $\text{TiO}_2$ . The recombination of the charge carriers can occur via two pathways: irradiative and non-irradiative routes. The irradiative pathway involves light emission. Non-irradiative recombination can occur through energy release via phonon emission. Generally, the non-irradiative recombination in  $\text{TiO}_2$  is believed to be the main charge recombination pathway, while the charge recombination processes can be strongly affected by chemical adsorbates (i.e., hole or electron scavengers) or additives (such as supported metal particles, dopants, or interfacial heterojunctions).

Photoluminescence (PL) induced by irradiative recombination is usually at sub-bandgap energies because of charge carrier thermalization and trapping. As a result, PL spectroscopy can give valuable information in the energetic distribution of sub-bandgap states and the dynamics of TiO<sub>2</sub> related to thermalization and trapping. For both anatase and rutile, the PL spectra are detected from the visible to the near-IR wavelength range, whereas the PL for anatase is related to selftrapped excitons and, for rutile, to intrinsic sites (such as defects or impurities) or to surface-bound species [11, 75–78]. For example, Knorr and coworkers [79] found that the PL spectrum of the anatase nanocrystalline film spans a broad range of visible wavelengths centered at 520 nm, while the PL spectrum of the rutile nanocrystalline films is observed in the near-infrared range centered at 840 nm. In the combination of time-resolved PL, transient absorption (TA), and photoconductivity (PC) measurements, Yamada and Kanemits [80] have investigated the electron and hole relaxation dynamics in rutile and anatase TiO<sub>2</sub> single crystals. These authors showed that the lifetimes of electrons and holes in rutile are on a few nanosecond timescales (24 ns for electron, 48 ns for hole), whereas the carrier decay dynamics in anatase are completely different from those in rutile. In anatase crystals, the interaction between electrons and holes is weak because of its indirectgap band structure, the lifetime of electrons in anatase is longer than 1  $\mu$ s, while the decay for the holes occurs on the nanosecond timescale, indicating the presence of multiple carrier trapping processes. These authors also suggested that the long electron lifetime is related to the high photoactivity of anatase.

Furthermore, from time-resolved photoluminescence (PL) spectroscopy analysis, Dozzi et al. [81] found that the photoactivity of the anatase  $TiO_2$  materials doped with fluorine increases with the increasing long-lasting component of the PL signal, suggesting that long-living photoproduced charge couples are beneficial in photoactivity, while systems with other  $TiO_2$ -related interfaces indicate that surface structure and the presence of adsorbates on both rutile and anatase  $TiO_2$  surfaces play an important role in guiding neutralization events toward emission [32].

As mentioned above, photogenerated electron–hole pairs in  $TiO_2$  predominantly recombine through non-irradiative pathways. The non-irradiative recombination occurs upon the rapid release of heat, making it difficult to be directly detected. Thus, it is usually detected by indirect methods, such as time-resolved photoacoustic spectroscopy (TRPAS). With this method, Leytnar and Hupp [82] found that about 60 % of all trapped electron–hole pairs generated in their colloidal anatase samples recombine on the timescale of about 25 ns, releasing 154 kJ/mol of energy as heat. In contrast, irradiative recombination is not expected to contribute significantly to heat generation.

As in the case of photoluminescence, surfaces, defects, adsorbates, and impurities all likely play roles in promoting or inhibiting charge recombination [32]. However, temperature can strongly affect the proportion of irradiative versus non-irradiative recombination in  $TiO_2$ . For example, using anatase  $TiO_2(001)$  thin films fabricated on LaAlO<sub>3</sub>(001) substrates by a laser molecular beam epitaxy (MBE) method, Murakami and coworkers [77] observed a PL band with the peak maximum at 2.2 eV due to recombination of self-trapped excitons at 5 K. As sample temperature was higher than 200 K, the PL was significantly quenched, suggesting that charge recombination at higher temperature became non-irradiative, likely resulting from increased lattice motions. From these previous studies, it is clear that charge (electron and hole) recombination is a main pathway for excited state energy relaxation; it is therefore interesting to ask whether this large amount of energy released will have a significant effect on surface chemical reactions for adsorbed molecules. If it does have an effect, it means that ground state surface reaction of adsorbed molecules is possible. This kind of mechanism is obviously very different from the hole- or electron-induced reaction mechanisms, which are widely believed to be the mechanism for photocatalysis. It is therefore very necessary to investigate this important issue of how charge recombination will affect the surface chemical reactions.

#### **11.4 Electron Transfer Dynamics**

Interfacial electron transfer between a surface and a chemisorbed (or physisorbed) species is a fundamental process that is relevant to many applications in heterogeneous photocatalysis. The interaction is essentially interpreted as a donor/acceptor (D/A) model initiated by a photoexcitation event. There are commonly four types of

electron transfer processes. The first type involves electron transfer from the  $TiO_2$  CB to an electron acceptor ("1" in Fig. 11.7); the second ("3" in Fig. 11.7) involves hole transfer from the  $TiO_2$  VB to a hole acceptor (i.e., electron transfer from an electron donor into a VB hole state); the third involves electron transfer from a donor into the  $TiO_2$  CB; and the fourth involves hole transfer from a donor into the  $TiO_2$  CB; and the fourth involves hole transfer from a donor into the  $TiO_2$  CB; and the fourth involves hole transfer from a donor into the  $TiO_2$  VB, which is not commonly observed for  $TiO_2$  (i.e., electron transfer from a  $TiO_2$  VB state to an unoccupied state of an acceptor). The first two types of electron transfer correspond to photoexcitation occurring in  $TiO_2$  and the last two correspond to photoexcitation occurring in a adsorbate or attached entity (such as dopants or impurities). It is worth noting that not all electron transfer processes lead to chemistry (i.e., old bond brokenness and new bond formation) or involve ground state configurations.

#### 11.4.1 TiO<sub>2</sub> Conduction Band to Electron Acceptor

In the past, a photocatalytic reaction is normally divided into two half reactions, reduction reaction and oxidation reaction. A reduction reaction may be initiated by coupling of a CB electron to acceptor states of an adsorbed species (i.e., electron in the CB transfer to the LUMO of the adsorbed species). However, the coupling should be strong enough and energetically downhill to inhibit back-electron transfer to the higher DOS in the TiO<sub>2</sub> CB. For example, using time-of-flight quadrupole mass spectrometry, Weitz and coworkers [83, 84] have investigated the substratemediated photodesorption of  $CH_3X$  (X = I and Br) on rutile TiO<sub>2</sub>(110) for low fluence irradiation. These authors found that the CB electrons generated from bandgap excitation of TiO<sub>2</sub> transfer to these adsorbed CH<sub>3</sub>X molecules do not drive appreciable photochemical reaction. On the contrary, an Antoniewicz-type desorption process occurs due to the rapid back-electron transfer to the surface [85]. As shown in Fig. 11.12, starting from the neutral ground state (and following the dashed lines), an electron attachment causes a Franck-Condon transition from the ground state of the adsorbate-substrate system to antibonding states, which leads to the excited/ground ion state. The ion created in the excitation process sees an attractive image potential. The new equilibrium position of the ionized adsorbate is considerably closer to the surface than the ground-state adsorbate equilibrium position. Thus, the newly formed ion relaxes toward the surface and is neutralized via back-electron transfer to the surface, which is described by a vertical jump from the upper to the lower curve. During the vertical jump process, the kinetic energies (KE) of the ion and the neutral adsorbate are the same, so the total energy of the neutral adsorbate is the kinetic energy before neutralization plus the potential energy at the position of the neutralization. If the total energy is greater than the adsorption energy, the adsorbate will desorb from the surface after breaking the adsorption bond. The desorption events strongly depend on the potential energy surfaces of ion and molecule and the lifetime of excited states as well.



While there are many studies involving the mechanistic details of photoreduction processes on TiO<sub>2</sub> (such as H<sub>2</sub>O and CO<sub>2</sub> photoreduction), there are only few photodynamic studies focusing on a photoreduction process. The main limitation is the unavailability of convenient molecular markers that are sensitive to electron attachment. One of the convenient molecular markers is the methyl viologen divalent cation (MV2+). Using TA method, Asahi and coworkers [86] found that electron transfers from photoexcited colloidal anatase TiO2 to MV2+adsorbed on the TiO<sub>2</sub> surface in the few picosecond to nanosecond timescale, as monitored by the appearance of the 605 nm absorption feature of MV<sup>+</sup>. The timescales are comparable to the electron trapping times in TiO<sub>2</sub>, indicating that the reduction of MV<sup>2+</sup>-adsorbed on the surface is likely due to trapped electrons and not free CB electrons, while similar studies performed by Martino et al. [87] also support these conclusions. Although so many dynamic works have been done on electron transfer from TiO<sub>2</sub> to an electron acceptor by molecular sensitization studies, the dynamics of real photoreduction reactions (i.e., H<sub>2</sub>O reduction to produce H<sub>2</sub>) are not well understood.

### 11.4.2 Electron Donor to TiO<sub>2</sub> Valence Band Hole

Generally, an oxidation reaction may be initiated by coupling of a VB hole state to donor states of an adsorbed species (i.e., electron transfer from an electronic state of an adsorbate to a more or less localized VB hole state generated in  $TiO_2$  by a band-

to-band photoexcitation). Similar to CB electron photoreduction, VB hole photooxidation also initiates from photoinduced band-to-band transitions in  $TiO_2$  leading to charge carriers with a broad range of energies, generated at near or at the  $TiO_2$ surface.

Compared to extensive dynamic studies of CB electron transfer to acceptor states of an adsorbed species, the dynamics of hole transfer on TiO<sub>2</sub> are less investigated. Usually, thiocyanate (SCN<sup>-</sup>), as the most effective adsorbate (or hole scavenger), is chosen for probing the dynamics of hole transfer. An early dynamical study of SCN<sup>-</sup> photooxidation on TiO<sub>2</sub> carried out by Colombo and coworkers [88] demonstrates that interfacial charge transfer of an electron from the SCN<sup>-</sup> to a VB hole generated in TiO<sub>2</sub> effectively competes with electron–hole recombination on an ultrafast timescale. Similarly, by the analysis of rise and decay dynamics of TA spectra of nanosized anatase TiO<sub>2</sub> colloidal system without SCN<sup>-</sup>, Yang and Tamai [71] estimate the hole and electron trapping times to be <50 and 260 fs, respectively, while the rate of the hole transfer from nanosized anatase TiO<sub>2</sub> colloid to SCN<sup>-</sup> is comparable to that of the hole trapping, implying that the hole transfer process occurs on a similar timescale. The similar conclusion is further verified by Morishita et al. [46] and Furube et al. [89].

However, the timescale of hole transfer varies significantly according to the different kinds of adsorbates (hole scavengers). For example, using I<sup>-</sup> as a hole scavenger, Rabani and coworkers [90] found that hole transfer from packed films of 5 nm TiO<sub>2</sub> particles to I<sup>-</sup> occurs within less than 10 ns, while the decay of the electron absorption is only slightly different than in I<sup>-</sup> free solutions. In contrast, the adsorbed alcohols (methanol or isopropanol) are more reactive for recombination after trapping holes. Other groups have observed reactive trapped holes in TiO<sub>2</sub> with adsorbed alcohols. Shkrob and coworkers [91] have investigated hole transfer to chemisorbed diols and carbohydrates on TiO<sub>2</sub> nanoparticle (PH = 4, ~4.6 nm diameter) with time-resolved transient absorption spectroscopy. These authors found that on the timescale of the 355 nm laser pulse width (3.3 ns), 50–60 % of the generated holes react with chemisorbed diols and carbohydrates rather than recombine, while the examination of hole transfer to glycerol bound to TiO<sub>2</sub> nanoparticle by Shkrobet al. [63] obtained the similar results.

According to above studies, the hole transfer rates seem not to be the key factor for the inefficient photooxidations on  $TiO_2$ . For example, the single electron transfer from  $SCN^-$  to a VB hole is fast and efficient. Actually, an overall reaction generally requires many charge transfer process, and not all the steps are as fast and efficient as  $SCN^-$  photooxidation. And every electron transfer step in a photooxidation reaction is governed by the properties of VB hole and the electron donor. Thus, how to identify and improve the slow and inefficient steps is still a key challenge.

#### 11.4.3 Electron Donor to TiO<sub>2</sub> Conduction Band

The dynamics of electron transfer from an electron donor to  $TiO_2$  CB have been intensely studied. Normally, a dye molecule is chosen as an electron donor. With

dye sensitization, the molecular dye (sensitizer) acts as the "photocatalyst," efficiently absorbing light to generate charge carriers, and then the excited electrons inject into the TiO<sub>2</sub> CB with high efficiency. Because of the well-known optical properties and energy levels of dye chromophores and the ability to spectroscopically track the injected electron, ultrafast dynamic studies of the electron transfer processes with TiO<sub>2</sub>/dye systems are tailor-made. A series of results [32] reveal that the coupling of electronic states between the excited electronic states of dyes and the TiO<sub>2</sub> CB "continuum" is strong, leading to electron transfer processes between the two that are fast with injection yields approaching 100 % for many different dyes. In some cases, due to the strong coupling, back-electron transfer processes are also fast (see the work of Lian et al. [92]), whereas, in almost all cases, the CB "continuum" takes over in thermalizing the transferred electron, so that the process does not occur.

#### 11.4.4 TiO<sub>2</sub> Valence Band to Acceptor Hole

Until now, no examples of studies involving hole photochemically generated in an adsorbed species injection into the TiO<sub>2</sub> VB have been reported. In this case, the HOMO levels of the chosen dyes should be below the energy level of the TiO<sub>2</sub> VB edge, and the electronic excited states of dye should reside mid-gap (far below the energy level of the TiO<sub>2</sub> CB edge) to avoid electron transfer from dye to the TiO<sub>2</sub> CB. In early studies, Hagfeidt and coworkers [93, 94] have constructed such a model solar cell system using NiO and proper dyes, but a similar model system has not been identified for TiO<sub>2</sub>. Similar to back-electron transfer for photoreduction, back-hole transfer for photooxidation between an adsorbate and the TiO<sub>2</sub> VB (i.e., a VB hole generated on TiO<sub>2</sub> transfers transiently to an adsorbate and flows back the TiO<sub>2</sub> VB, which may be very universal in photooxidation with TiO<sub>2</sub> catalysts. However, reliable photochemical "markers" are required for identifying and studying such a hole transfer process.

#### **11.5** Mechanisms of Photocatalytic Chemical Reactions

The mechanism for a whole photocatalysis process is usually quite complicated. In this section, we will describe recent studies of detailed photocatalytic reactions of two important molecules on various  $TiO_2$  surfaces: oxygen (electron scavenger) and methanol (hole scavenger), which are representative photooxidation and photoreduction reactions on  $TiO_2$ .

#### 11.5.1 Oxygen

Oxygen (O<sub>2</sub>) is usually present in the photooxidation of organic pollutants and photocatalytic splitting of water over TiO<sub>2</sub>. In addition, oxygen can act as an electron scavenger, realizing the photoexcited electron–hole separation [26, 33, 95–97]. These crucial roles oxygen plays have stimulated the extensive studies of the interaction between oxygen and TiO<sub>2</sub> which are of both fundamental and applicable significance.

#### 11.5.1.1 Adsorption of Oxygen on TiO<sub>2</sub> Surfaces

Adsorption of  $O_2$  on TiO<sub>2</sub>, which is both coverage and temperature dependent, requires the charge which is usually introduced by point defects such as surface oxygen vacancies ( $O_v$ ) and subsurface Ti<sup>3+</sup> interstitials (Ti<sub>int</sub>) transfer from the substrate [30, 59, 98–103]. This is the reason why  $O_2$  adsorbed only physically (desorbing below 75 K) on stoichiometric rutile TiO<sub>2</sub>(110) [104]. At elevated adsorption temperatures (above 150 K), spontaneous dissociation of  $O_2$  at  $O_v$ 's has been well established. An oxygen molecule healed an  $O_v$ , leaving another  $O_a$  at a neighboring Ti<sub>5c</sub> site [101, 105–109]. Aside from the dissociation at  $O_v$ 's, Besenbacher et al. discovered another channel for oxygen dissociation, i.e., splitting of  $O_2$  at Ti<sub>5c</sub> sites, producing  $O_a$  pairs, which was proposed to be facilitated by the excess charge transfer from the subsurface Ti<sub>int</sub>'s [106, 108].

Despite the spontaneous dissociation of  $O_2$  at both  $O_v$  and  $Ti_{5c}$  sites at elevated temperatures, molecular adsorption has also been investigated with temperature below 100 K.  $O_2$  is adsorbed preferentially at  $O_v$  sites at this temperature range [110–113]. Temperature programmed desorption (TPD) experiments performed by Henderson et al. showed that chemisorbed O2 desorbed at around 410 K with firstorder kinetics [99]. Kimmel and Petrik [110] reported the saturation coverage of chemisorbed  $O_2$  was twice of the  $O_v$  concentration, which meant two  $O_2$  per  $O_v$  $(2 O_2/O_y)$ . Based on the TPD and electron-stimulated desorption (ESD) results, these authors proposed a tetraoxygen species when the initial  $2 O_2/O_y$  structure was annealed between 200 and 400 K. This finding was consistent with earlier calculation by Pillay et al. [114], which showed the  $O_4^{2-}$  structure was more stable by 0.6–0.9 eV than 2  $O_2^{-1}$ . Site-specific adsorption of  $O_2$  on rutile TiO<sub>2</sub>(110) has been investigated using high-resolution scanning tunnel microscope (STM) in Diebold's and Lyubinetsky's groups [111-113]. Briefly, driven by STM tip, molecularly adsorbed  $O_2$  at both  $O_v$  and  $Ti_{5c}$  sites became dissociated (Fig. 11.13) [112]. Chemisorbed  $O_2$  at  $O_v$  was invisible. However, since  $O_v$  appeared as bright spots on the dark bridging oxygen row in the empty state STM, one can easily see the difference before (Fig. 11.13Aa) and after (Fig. 11.13Ab) O<sub>2</sub> exposure, while molecular adsorbed oxygen at Ti5c displayed as even brighter spot on the bright Ti troughs. To retain the chemisorbed structure, mild tunneling condition should be chosen. For example, that in Fig. 11.13Ab was taken at V = 0.8 V and I = 3 pA,



**Fig. 11.13** STM images showing the tip-induced dissociation of oxygen molecules at  $O_v(\mathbf{a})$  and  $Ti_{5c}(\mathbf{b})$  sites respectively. *a*, *b*, and *c* represent the TiO<sub>2</sub>(110) surface before and after O<sub>2</sub> exposure and after UV illumination (Modified with permission from Ref. [112]. Copyright 2010 American Chemical Society)

while that in Fig. 11.13Bb was acquired under V = 0.3 V and I = 1 pA. Under higher voltage and current (1.5 V and 3 pA in Fig. 11.13Ac, 0.6 V and 3 pA in Fig. 11.13Bc), chemisorbed O<sub>2</sub> were dissociated. The dissociation of an O<sub>2</sub> at an O<sub>v</sub> resulted in the appearance of an O<sub>a</sub> on top of the Ti<sub>5c</sub> adjacent to the original O<sub>v</sub>, while that at Ti<sub>5c</sub> lead to O<sub>a</sub> pair at the Ti trough.

The charge state of the chemisorbed  $O_2$  species is a crucial property which affects the related chemistry. Peroxo  $(O_2^{-})$  [99, 106, 115–118], superoxo  $(O_2^{2-})$  [30, 115, 118–120], and tetraoxygen  $(O_4^{2-})$  [110, 114] have all been suggested for  $O_2$  adsorption on rutile TiO<sub>2</sub>(110). For example, using quantum-chemical, ab initio periodic Hartree–Fock calculations, Lara-Castells and coworkers found  $O_2^{-}$  was the most stable  $O_2$  species adsorbed at the defect sites of rutile TiO<sub>2</sub>(110) [116]. Based on the  $O_v$  to  $O_2$  charge transfer and the absence of magnetic moment, Tilocca and Selloni proposed the  $O_2^2$ -like species using first-principle string molecular dynamic approach [103]. Changing the number of chemisorbed  $O_2$  per  $O_v$ , Pillay et al. calculated the structure, bonding, and energetics of these  $O_2$  species and proposed a tetraoxygen structure, i.e.,  $O_4^{2-}$  [114], which was consistent with an experimental finding [110]. Direct spectroscopic investigation of the charge state of chemisorbed  $O_2$  on rutile TiO<sub>2</sub>(110) has been performed by Henderson

et al. [99, 119]. These authors found that a new loss feature appeared at 2.8 eV at the expense of the Ti 3d derived defect states in electron energy loss spectroscopy (EELS) spectra. Examination of the loss feature at 2.8 eV, however, gave rise to different conclusions in Refs. 99 and 119. The loss feature was assigned to  $O_2^-$  in Ref. 99 and superoxo species in Ref. 119. No consensus has been reached and thus far suggests the complexity of  $O_2$  adsorption on TiO<sub>2</sub>.

 $O_2$  adsorption on anatase surface has also been investigated [98, 121–128]. Due to the absence of surface  $O_v$  on anatase TiO<sub>2</sub>(101) [129], subsurface  $O_v$ 's are responsible for the charge transfer from this TiO<sub>2</sub> substrate to  $O_2$  [98]. DFT calculations by Aschauer et al. [122] suggested that the  $O_2$  coverage increased from below 1  $O_2$  per defect to above 1.5  $O_2$  per defect and the most stable species varied from peroxo to superoxo. Combined STM and DFT calculations carried out by Setvin and coworkers [127] showed that reacting with a subsurface  $O_v$ , the superoxo was transformed into a peroxo and further placed into an anion surface lattice, generating a bridging dimmer ( $O_2$ )<sub>2</sub>. Chemisorption and tip-induced dissociation of  $O_2$  at Ti<sup>3+</sup>-related point defects on anatase TiO<sub>2</sub>(001)-(1 × 4) surface at 80 K have recently been reported by Hou and Wang's group [130]. At room temperature,  $O_2$  was still adsorbed molecularly at these point defects and dissociated at even higher temperature.

#### 11.5.1.2 Photochemistry of Oxygen on TiO<sub>2</sub> Surfaces

Existing photochemistry of  $O_2$  on rutile TiO<sub>2</sub>(110) includes the extensively studied photostimulated desorption (PSD) [119, 40, 73, 131–135] and a new topic of photoinduced dissociation [136–140]. Yates' group has systematically studied the PSD of  $O_2$  from rutile TiO<sub>2</sub>(110) and built a hole-mediated desorption model [141–143], which consisted of bandgap excitation, diffusion of holes to the surface, trapping of holes at the surface, and finally the transfer of positively charged holes to the anion  $O_2$  ( $O_{2(a)}^{-}$ ), leading to the desorption (reaction 11.3).

$$O_{2(a)^{-}} + h^{+} \to O_{2}(gas)$$
 (11.3)

Lu et al. [131, 132] discovered two chemisorption states of O<sub>2</sub> on a reduced rutile TiO<sub>2</sub>(110), of which one could assist the photooxidation of CO, while the other could not. The finding of two different O<sub>2</sub> adsorption states was consistent with the velocity distribution measurements using time-of-flight (TOF) method by Sporleder et al. [40]. Coverage-dependent O<sub>2</sub> PSD has been further quantitatively investigated by Petrik and Kimmel (Fig. 11.14) [138]. On a reduced rutile TiO<sub>2</sub>(110) with 0.08  $\pm$  0.01 ML (1 ML = 5.2  $\times$  10<sup>14</sup> molecules/cm<sup>2</sup>) O<sub>v</sub>, adsorption of <sup>18</sup>O<sub>2</sub> at 28 K was followed by a subsequent TPD to 100 K (Square in Fig. 11.14). Thereafter, 300 s PSD was performed at 28 K (triangle in Fig. 11.14). No <sup>18</sup>O<sub>2</sub> TPD signal was detected until the saturated chemisorption (2 O<sub>2</sub>/O<sub>v</sub> [110]) was reached, since ramping to 100 K could only remove the physisorbed species [104, 144]. The increase of <sup>18</sup>O<sub>2</sub> PSD signal with initial <sup>18</sup>O<sub>2</sub> coverage could be fitted by two



straight lines with slopes of 0.14 and 0.57 below 1  $O_2/O_v$  and between 1  $O_2/O_v$  and 2  $O_2/O_v$ , respectively. The authors argued the increase of the slope resulted from the sharing of the excess electrons of the chemisorbed oxygen, which meant the charge state was more  $O_2^{-2}$ -like before all the  $O_v$  sites were occupied and favored  $O_2^{-2}$  which was the reagent of the hole-mediated  $O_2$  desorption thereafter. Photodesorption of  $O_2$  at Ti<sub>5c</sub> sites ( $O_2^{-7}/Ti_{5c}$ ) has recently been imaged by Lyubinetsky et al. using high-resolution STM (Fig. 11.15a) [139]. Therefore, reaction 11.3 could be revised as follows (reaction 11.4):

$$O_{2^-}/Ti_{5c} + h^+ \to O_2(gas)$$
 (11.4)

Besides PSD, ultraviolet (UV) light-induced dissociation of  $O_2$  on rutile TiO<sub>2</sub>(110) has also been examined [138–142]. Figure 11.16 summarized the quantitative analysis of the chemisorbed, photodesorbed, and photodissociated  $O_2$  species by Petrik and Kimmel using a combined TPD and PSD study [138].  $\theta_{rem}$ ,  $\theta_X$ , and  $\theta_{diss}$  stood for the coverage of oxygen that retained on the surface, remained undissociated, and became dissociated after UV irradiation, respectively, while  $\theta_{occ}$  and  $\theta_{2nd}$  represented the amount of occupied and unoccupied sites after exposure to UV light, respectively, where the latter was determined by the TPD measurements after a second  $O_2$  exposure following the UV irradiation. The authors proposed an electron-mediated mechanism of photoinduced dissociation at  $O_v$  sites to form a bridging-bonded oxygen ( $O_b$ ) and a surface O<sup>-</sup>/Ti<sub>5c</sub> (reaction 11.5):

$$O_{2^{2-}}/O_v + h^+ \to O_{h^{2-}} + O^-/Ti_{5c}$$
 (11.5)

which was complemented by the hole-mediated photodesorption. The increase of photodesorption and decrease of photodissociation fractions (Fig. 11.16) with the initial  $O_2$  coverage agreed well with the proposal. The amount of  $O_2^{-1}$  increased at



**Fig. 11.15** STM images and cartoons showing the photodesorption of  $O_2$  at  $Ti_{5c}$  sites (**a**) and photodissociation of  $O_2$  at  $O_v$ 's (**b**) (Modified with permission from Ref. [139]. Copyright 2012 American Chemical Society)

the expense of  $O_2^{2^-}$  with the  $O_2$  coverage due to charge sharing. Therefore, enhanced photodesorption and depressed photodissociation could be expected. Petrik and Kimmel's further work using isotope labeling showed that although there were chemisorbed  $O_2$  species which were photoinactive, they could exchange atoms with physisorbed  $O_2$  [139]. The exchange rate reached a maxima if the  $O_2$  covered rutile TiO<sub>2</sub>(110) was preheated to 350 K. Though the exact structure of this  $O_2$  species remained unclear, the authors proposed two possible candidates, i.e.,  $O_2$  at  $O_v$  sites and tetraoxygen. Understanding of the photodissociation of oxygen on



**Fig. 11.16** The amount of adsorbed, photodesorbed, photodissociated, and nondissociated  $O_2$  before and after UV irradiation for (**a**)  $\theta(O_2) = \theta(O_v)$  and (**b**)  $\theta(O_2) = 2\theta(O_v)$  (Reproduced with permission from Ref. [138]. Copyright 2010 American Chemical Society)

rutile TiO<sub>2</sub>(110) at the molecular level was provided by Lyubinetsky et al. [139]. Using high-resolution STM, photoinduced desorption at Ti<sub>5c</sub> sites (Fig. 11.15a) and photoinduced dissociation at O<sub>v</sub> sties (Fig. 11.15b) have been directly imaged. While complementary oxidative and reductive reaction in photocatalysis was expected to proceed at substantial and balanced rates, the observed kinetics of hole-mediated photodesorption and electron-mediated photodissociation in this study differed significantly from each other (Fig. 11.17). Despite the generally accepted fact that holes diffuse more slowly than electrons [32, 141], hole-mediated desorption proceeded much faster than the electron-mediated dissociation of O<sub>2</sub>. The authors speculated the deviation from expectation originated from the difference of the dynamics of charge transfer to the surface reagents.

#### 11.5.2 Methanol

Photocatalytic reactions of alcohols on  $TiO_2$  are important in a number of technological applications. Due to the prominent use of alcohols in reforming reactions to produce H<sub>2</sub>, oxidative remediation of organic wastes, and biomass conversion to fuels and useful synthetic chemicals, great interest has been attracted to the reactivity of  $TiO_2$  with alcohols, especially methanol (CH<sub>3</sub>OH) and ethanol. Since surface science studies on single crystal surfaces under UHV conditions could provide fundamental insights into these important processes, both thermal chemistry and photo-chemistry on the  $TiO_2$ , especially rutile  $TiO_2(110)$  surface, have been investigated with a variety of experimental and theoretical approaches. In this part, we described the thermal and photo-chemistry of CH<sub>3</sub>OH on  $TiO_2$ surfaces.



**Fig. 11.17** Normalized UV irradiation time-dependent amount of  $O_2$  at  $O_v$  and  $Ti_{5c}$  sites. Inset graph shows the initial regions of the plot in a semilog scale (Reproduced with permission from Ref. [139]. Copyright 2012 American Chemical Society)

#### 11.5.2.1 Adsorption of Methanol on TiO<sub>2</sub> Surfaces

On reduced rutile TiO<sub>2</sub>(110), TPD spectra of CH<sub>3</sub>OH yielded five prominent features at 150, 165, 295, 350, and 480 K [145]. The 150 and 165 K peaks were assigned to multilayer desorption, the feature at 295 K was assigned to the desorption of molecularly adsorbed CH<sub>3</sub>OH on Ti<sub>5c</sub> sites, and the broad tail around 480 K was attributed to the recombinative desorption of dissociated CH<sub>3</sub>OH at O<sub>v</sub>'s. The 350 K shoulder was difficult to assign. Because both high-resolution EELS (HREELS) and static secondary ion mass spectroscopy (SSIMS) spectra were inconclusive, Henderson et al. [146] suggested that the 350 K shoulder was due to CH<sub>3</sub>OH dissociated at non-defective sites of the surface, probably Ti<sub>5c</sub>, on the basis of similar behavior of the 350 and 480 K peaks following electron bombardment. However, no obvious 350 K shoulder was shown in recent TPD studies of CH<sub>3</sub>OH on reduced rutile TiO<sub>2</sub>(110) with gentle surface treatment [147]. The assignment of the 350 K shoulder is still not conclusive.

Peteket al. [148, 149] provided some indirect evidence that part of CH<sub>3</sub>OH was adsorbed at Ti<sub>5c</sub> sites dissociatively. Using two-photon photoemission spectroscopy (2PPE), an empty wet electron state at about  $2.3 \pm 0.2$  eV above Fermi level (E<sub>F</sub>) was detected on both reduced and stoichiometric rutile TiO<sub>2</sub>(110). However, in the case of H<sub>2</sub>O, this excited state could only be observed on reduced rutile TiO<sub>2</sub>(110) surfaces with simultaneous presence of monolayer water and bridging hydroxyls

 $(OH_{br})$  (resulting from spontaneous dissociation of water at O<sub>v</sub>'s [49]), and the electron distribution in this state was reported to encompass several adsorbate H sites [150]. While on the water covered stoichiometric rutile TiO<sub>2</sub> surface, this state was totally absent. By analogy with the properties of the excited state at H<sub>2</sub>O/rutile TiO<sub>2</sub>(110) interface, those authors argued the partial dissociation of methanol on rutile TiO<sub>2</sub>(110) surface.

In a recent STM work, Zhang et al. [151] found that CH<sub>3</sub>OH dissociated at the  $O_v$  sites spontaneously to form methoxy (CH<sub>3</sub>O) and hydroxyl on the primarily neighboring  $O_b$  sites. Further investigation showed that molecular methanol can diffuse along the Ti<sub>5c</sub> rows at room temperature (RT), indicating that CH<sub>3</sub>OH is not dissociated at these sites. Theoretical work regarding CH<sub>3</sub>OH adsorption on rutile TiO<sub>2</sub>(110) was also carried out [152, 153, 154]. Most results indicated that the molecular state of CH<sub>3</sub>OH was nearly iso-energetic to the dissociated state with the former slightly more stable, and the energy barrier for the transformation between these two states was very small. This suggested that molecular adsorption of CH<sub>3</sub>OH on the rutile TiO<sub>2</sub>(110) surface was more stable, which was consistent with STM observation at both liquid nitrogen temperature [155] and RT [151]. Theoretical result showed that dissociative adsorption of CH<sub>3</sub>OH on O<sub>v</sub> sites is thermodynamically more favorable than molecular adsorption by 0.5 eV [153], also consistent with TPD and STM experimental results.

#### 11.5.2.2 Photocatalytic Chemistry of Methanol on TiO<sub>2</sub> Surfaces

Methanol (CH<sub>3</sub>OH)/TiO<sub>2</sub> is an important model system because of remarkable enhancement of photocatalytic hydrogen production from water–methanol mixture over TiO<sub>2</sub> [156], the potential applications of CH<sub>3</sub>OH in photocatalytic selective oxidation [157], environmental photocatalysis [158], and photocatalytic reforming reactions [159]. Meanwhile, as one of the simplest organic compounds, CH<sub>3</sub>OH is often chosen as a probe for the fundamental studies of photocatalytic chemistry on oxide surfaces.

Recently, the methanol/rutile TiO<sub>2</sub>(110) system was studied using 2PPE, STM, and DFT calculations by Zhou et al. [155]. These authors also observed an excited state at about 2.4 eV above  $E_F$  by irradiating a saturated layer of CH<sub>3</sub>OH (0.77 ML) [161]. In the original paper, a saturated layer was regarded as 1 ML covered rutile TiO<sub>2</sub>(110) surface with 400 nm femtosecond laser pulse, which was very similar to the previous 2PPE measurements on this surface, where the unoccupied excited state was assigned to be an intrinsic "wet electron state" on the CH<sub>3</sub>OH covered rutile TiO<sub>2</sub>(110) surface. By contrast, Zhou et al.'s results showed the excited resonance peak was absent immediately after the laser irradiation (Fig. 11.18a). It increased with the laser irradiation time and saturated after a 15 min irradiation, accompanied by a peak shift toward lower energy. The interesting result unambiguously demonstrated that the excited resonance state, which was located at 2.4 eV above  $E_F$ , was a photoinduced surface state rather than a wet electron state that was intrinsically present on the freshly prepared



**Fig. 11.18** (a) 2PPE spectra for CH<sub>3</sub>OH adsorbed stoichiometric rutile  $\text{TiO}_2(110)$  after the interface was exposed to the probe light for different periods. (b) Time-dependent excited resonance signal integrated from (a) and the fractal-like kinetics model fitting (Modified with permission from Refs. [155, 162]. Copyright 2010, 2012 the Royal Society of Chemistry)

CH<sub>3</sub>OH/rutile TiO<sub>2</sub>(110) surface. The lifetime of the excited state was measured to be ~20 fs by time-resolved two-pulse correlation [162]. This value was in accord with Petek's results [149].



**Fig. 11.19** STM images (acquired at bias of 1.0 V and set point current of 10 pA, size of  $7.3 \times 7.3 \text{ nm}^2$ ) showing the photocatalyzed dissociation of methanol. (**a**) Bare TiO<sub>2</sub>(110)-1 × 1 surface. (**b**) Surface with adsorbed CH<sub>3</sub>OH (0.02 ML). (**c**) After 10 min. irradiation by 400 nm light. *Dashed circles* in (**a**), sites for CH<sub>3</sub>OH adsorption on Ti<sub>5c</sub> row. *Cross*, sites for CH<sub>3</sub>OH on O<sub>V</sub> (labeled as BBO<sub>V</sub> in the figure). *Black arrows* in (**c**) indicate the four dissociated molecules after UV irradiation. (**d**) STM image after manipulation (0.4 V, 700 pA) of the dissociated molecule m1 in the marked area of (**c**) (Modified with permission from Ref. [155]. Copyright 2010 the Royal Society of Chemistry)

In order to understand this photoinduced process, Zhou et al. [155] employed STM (acquired at 80 K) to reveal the nature of the photochemical changes detected by 2PPE (Fig. 11.19). Figure 11.19a shows an STM image of the bare rutile  $TiO_2(110)$  surface with about 4 % O<sub>v</sub>'s (labeled as "BBOv" in the figure). The bright and dark lines corresponded to the Ti<sub>5c</sub> and Ob rows, respectively, while the bright spots on the dark lines represented the  $O_v$ 's. After adsorption of 0.02 ML  $CH_3OH$ , most of the  $CH_3OH$  molecules were adsorbed on the  $Ti_{5c}$  sites (CH3OH/ Ti<sub>5c</sub>) and appeared as clear bright round spots (Fig. 11.19b). These bright round spots could move along the Ti<sub>5c</sub> row as a whole or desorb driven by the STM tip, implying that CH<sub>3</sub>OH molecules were adsorbed on the Ti<sub>5c</sub> sites molecularly, which was consistent with previous STM results [151]. After a 10 min UV (<400 nm) irradiation, the majority of the bright round spots became elongated (marked by black arrows in Fig. 11.19c). By manipulating one of these altered species (labeled "m1" in Fig. 11.19c) with the STM tip, the elongated spots after UV irradiation were separated into two components. One of the components left on the  $O_b$  site was confirmed to be an  $OH_b$  group [163], while the other was likely a CH<sub>3</sub>O on a Ti<sub>5c</sub> site (CH<sub>3</sub>O/Ti<sub>5c</sub>). This clearly suggested that the CH<sub>3</sub>OH molecule after UV irradiation was dissociated. Similar STM experiments using >400 nm light have also been carried out. No evidence for CH<sub>3</sub>OH dissociation was found, indicating the dissociation of methanol was a substrate-mediated photocatalytic process.

Given the coverage difference in the 2PPE and STM experiments, the authors performed additional 2PPE measurements at low coverage. The irradiation dependences of the excited resonance state were all similar when the methanol coverage was set to 0.77, 0.39 and 0.12 ML, suggesting the photochemical change was independent on the methanol coverage, although the reaction kinetics were likely dependent. Therefore, the STM and 2PPE results could be directly related. The photocatalyzed dissociation of methanol imaged by STM suggested the
photoinduced resonance detected by 2PPE arose from photodecomposition of CH<sub>3</sub>OH on this surface. And DFT calculations of the electronic structure were consistent with experimental results. Molecularly adsorbed methanol had little effect on the density of states (DOS) of Ti 3d due to the relative weak interaction between methanol and Ti<sub>5c</sub>; however, the adsorbate–substrate interaction between CH<sub>3</sub>O and the Ti<sub>5c</sub> ion led to the appearance of a new band which was centered at 2.5 eV above the  $E_F$ , in agreement with the 2PPE measurements. Since both initial state (bandgap states in these measurements) and intermediated state of the 2PPE were of Ti 3d character, the transition between them was forbidden in octahedral field. However, due to the presence of the surface, the octahedra became distorted, allowing weak  $d \rightarrow d$  transition.

Zhou et al. then suggested a photodissociation of  $CH_3OH$  through O–H bond cleavage with the H atom transferring to an  $O_b$  site nearby, as illustrated in reaction 11.6:

$$CH_3OH/Ti_{5c} + O_b + h\nu \rightarrow CH_3O/Ti_{5c} + OH_{br}$$
(11.6)

Since the excited state was associated with the dissociation of  $CH_3OH$ , the irradiation time-dependent excited resonance signal illustrated essentially the kinetics of photocatalyzed dissociation of  $CH_3OH$  on rutile  $TiO_2(110)$ . The integrated time-dependent excited resonance signal (Fig. 11.18b) could not be described by a single exponential model, while a fractal-like kinetic model (Eq. 11.7) [164, 165] stimulated the data well:

$$I = I_0 \left( 1 - \exp\left(-\frac{k_0}{I - h} t^{1 - h}\right) \right)$$
(11.7)

where  $k_0$  is the rate at t = 1 and *h* is equal to  $1-d_s/2$ , where  $d_s$  is the spectral dimension of the heterogeneous reaction media. The fractal-like kinetics of photochemistry on TiO<sub>2</sub> surface were reported to result from the trapping and detrapping of charge carriers [137].

As a model photoreaction, photocatalyzed decomposition of  $CD_3OD$  on  $TiO_2$  has been used by Zhou et al. [166] to study the differences in the photocatalytic activities of the stoichiometric and reduced rutile  $TiO_2(110)$  surfaces. The excited resonance state at around 5.5 eV was observed by 2PPE on both surfaces precovered with  $CD_3OD$ , whereas the rise times of the excited resonance signal on these two surfaces were significantly different (Fig. 11.20). It took 37 s for the excited resonance signal to reach 90 % of its maximum level on the reduced surface, whereas on the stoichiometric one, the rise time was 640 s. The varied photoactivity was attributed to the concentration difference of point defects on the set two surfaces. The amount of both surface and subsurface defects on the stoichiometric surface. These authors suggested that the surface and/or subsurface defects could accelerate methanol photolysis on rutile  $TiO_2(110)$  surface.



Unfortunately, it was difficult to characterize whether the surface defects or subsurface defects play a more important role in the acceleration of methanol photolysis on TiO<sub>2</sub> [167] due to the difficulty in quantitative characterization of the density of the subsurface defects. The observed higher photoactivity on reduced TiO<sub>2</sub> surface was consistent with improved photocatalytic hydrogen production via self Ti<sup>3+</sup> doping, probably due to the enhanced light absorption [168].

As discussed above, dissociation of the CH<sub>3</sub>O–H bond occurred on CH<sub>3</sub>OH covered reduced and stoichiometric rutile  $TiO_2(110)$  surfaces under UV irradiation. However, both 2PPE and STM methods were not able to identify the photochemical species on the surface. Recently, CH<sub>3</sub>OH photolysis on rutile  $TiO_2(110)$  has been studied using TPD method by Henderson et al. 147, 169, 170]. These authors proposed that the adsorption state of CH<sub>3</sub>OH was crucial to its photochemistry on  $TiO_2$ . By coadsorption of CH<sub>3</sub>OH and O<sub>2</sub> on rutile  $TiO_2(110)$  to control the thermal dissociation of CH<sub>3</sub>OH, CH<sub>3</sub>O is formed on  $TiO_2(110)$  from the reaction of molecularly adsorbed CH<sub>3</sub>OH and an O adatom on  $Ti_{5c}$  sites:

$$CH_3OH/Ti_{5c} + O/Ti_{5c} \rightarrow CH_3O/Ti_{5c} + OH_t$$
 (11.8)

where  $OH_t$  is a thermal hydroxyl group on a  $Ti_{5c}$  site. Henderson et al. [147] concluded that  $CH_3O$ , rather than molecular  $CH_3OH$ , was the photoactive species in photochemical reactions of  $CH_3OH$  on  $TiO_2$ . Their results also suggested that formaldehyde ( $CH_2O$ ) was produced from photochemistry of thermally dissociated methanol on the  $Ti_{5c}$  sites, which was initiated by defects and coadsorbed  $O/Ti_{5c}$  or  $OH_t$  groups, but not by  $O_v$  sites (under UHV conditions) and not from photodissociation of molecular  $CH_3OH/Ti_{5c}$ .

Guo et al. [171] have extended the investigation of CH<sub>3</sub>OH photolysis systematically using TPD method, in combination with laser surface photocatalysis. These authors investigated the photoinduced dissociation of partially deuterated methanol (CD<sub>3</sub>OH) on rutile TiO<sub>2</sub>(110) using 400 nm laser irradiation without coadsorbed



O<sub>2</sub>. Figure 11.21a shows TPD spectra collected at a mass-to-charge ratio (m/z) of 33 (CD<sub>2</sub>OH<sup>+</sup>) after surfaces of rutile TiO<sub>2</sub>(110) were dosed with 0.5 ML CD<sub>3</sub>OH and then irradiated by the laser for various durations. The observed CD<sub>3</sub>OH signal decreased monotonically with the laser irradiation time, suggesting that the CD<sub>3</sub>OH molecules adsorbed on the Ti<sub>5c</sub> sites of rutile TiO<sub>2</sub>(110) were photocatalytically dissociated. Concomitant to the decrease of the CD<sub>3</sub>OH TPD peak, a new peak at 270 K appeared in the TPD spectra for  $m/z = 32(CD_2O^+)$  and increased with laser irradiation time (Fig. 11.21b), corresponding to desorption of molecularly adsorbed CH<sub>2</sub>O from the Ti<sub>5c</sub> sites. The released H/D atoms transferred to the O<sub>b</sub> sites were confirmed by the characteristic recombinative desorption of bridging hydroxyls from this surface around 460 K [172, 173].

DFT calculations on the ground state potential surface showed that the O–H dissociation energy of  $CH_3OH$  was slightly endoergic by 0.03 eV, with a barrier of 0.25 eV, while the dissociation energy of the second dissociation step (the C–H dissociation) to produce  $CH_2O$  and H was highly endoergic (1.03 eV), and the barrier was also much higher (1.57 eV). The barrier for the reverse reaction was therefore 0.54 eV (Fig. 11.22). Similar energetics for the methanol/rutile TiO<sub>2</sub>(110)



Fig. 11.22 Calculated energetics of the two-step dissociation of  $CD_3OH$  on the rutile  $TiO_2(110)$  surface. The structures shown are simplified schematics (Modified with permission from Ref. [171]. Copyright 2012 American Chemical Society)

model system have been reported by Lang et al. [174]. A recent study on the recombination of formaldehyde and  $H_b$  atoms by Mao et al. [175] using 2PPE and STM suggested the above-calculated energetics were reasonable. CH<sub>3</sub>OH at Ti<sub>5c</sub> sites could only be dissociated under UV irradiation (spontaneous and tip-induced (V = +1.25 V, I = 100 pA) dissociation of methanol at Ti<sub>5c</sub> sites was not observed). However, spontaneous and tip-induced recombination of CH<sub>2</sub>O and H<sub>b</sub> atoms was detected, suggesting the lower stability of the products and a high and low barrier for the forward (dissociation) and reverse (recombination) reaction, respectively. This was consistent with the calculation of the energetics for the methanol/rutile TiO<sub>2</sub>(110) system.

Therefore, Guo and coworkers proposed that  $CD_3OH$  was photodissociated into  $CD_2O$  at  $Ti_{5c}$  sites ( $CD_2O/Ti_{5c}$ ) in a two-step process, leaving H and D atoms on the  $O_b$  sites:

$$CD_{3}OH/Ti_{5c} + O_{b} \xrightarrow{hv, TiO_{2}(110)} CD_{3}O/Ti_{5c} + OH_{br}$$
(11.9)

$$CD_{3}O/Ti_{5c} + O_{b} \xrightarrow{hv, TiO_{2}(110)} CD_{2}O/Ti_{5c} + OD_{br}$$
(11.10)

These experimental results clearly demonstrated that photocatalytic CH<sub>3</sub>OH dissociation on rutile  $TiO_2(110)$  occurred on  $Ti_{5c}$  sites rather than  $O_v$ 's.

Photocatalyzed splitting of CH<sub>3</sub>OH on rutile  $TiO_2(110)$  clearly provided a strategy to continuously vary the surface hydroxyls without affecting the subsurface defects. Mao et al. thus revisited the long-standing issue of the origin of bandgap states in rutile  $TiO_2(110)$  by exploring the correlation between the DOS of the bandgap states and the concentration of surface hydroxyls prepared via CH<sub>3</sub>OH

photodissociation [176]. The intensity of bandgap states measured by ultraviolet photoelectron spectroscopy (UPS) scaled linearly with surface hydroxyls characterized by TPD with a small intercept, suggesting surface defects made a major contribution to the bandgap states, which in turn indicates the importance of surface defects in changing the electronic structure of  $TiO_2$  which dictated the surface chemistry.

In addition to the formation of  $CH_2O$  from  $CH_3OH$  photocatalysis on rutile  $TiO_2(110)$ , Guo et al. [177] observed further photocatalytic oxidation to form methyl formate (HCOOCH<sub>3</sub>) with 0.5 ML CH<sub>3</sub>OH covered rutile  $TiO_2(110)$  surface. As seen in Fig. 11.23, during the first 10 min of irradiation, the amount of CH<sub>2</sub>O formed rose rapidly to a maximum, while little HCOOCH<sub>3</sub> was formed. Longer irradiation times lead to a steady decrease of the amount of CH<sub>2</sub>O and a concomitant increase of that of HCOOCH<sub>3</sub>. After 90 min of irradiation, very little CH<sub>2</sub>O remained on the surface. Thus, the formation of HCOOCH<sub>3</sub> appeared to be directly correlated with the depletion of CH<sub>2</sub>O. Similar phenomena were also observed by Phillips et al. [178] and Yuan et al. [179].

According to a series of thermal catalytic investigations on gas phase dehydrogenation of  $CH_3OH$  to  $HCOOCH_3$  over copper-based catalysts [180–182], Guo et al. [177] suggested that  $HCOOCH_3$  was produced through cross-coupling of  $CH_2O$  and  $CH_3O$ :

$$CH_2O/Ti_{5c} + CH_3O/Ti_{5c} \xrightarrow{h\nu, TiO_2(110)} HCOOCH_3/Ti_{5c} + OH_{br}$$
(11.11)

while Phillips et al. [178] proposed that  $CH_3O$  on  $Ti_{5c}$  sites underwent photooxidation to  $HCOOCH_3$  in a two-step process where the  $CH_3O$  dissociated to  $CH_2O$  and a cross-coupling reaction involving a formyl (HCO) intermediate led to the formation of  $HCOOCH_3$ :

$$CHO/Ti_{5c} + CH_3O/Ti_{5c} \xrightarrow{hv, TiO_2(110)} HCOOCH_3/Ti_{5c}$$
(11.12)

Recently, Lang and coworkers [174] have investigated the two pathways mentioned above for  $CH_3OH$  oxidation into  $HCOOCH_3$  on perfect and defect rutile  $TiO_2(110)$  based on first-principle calculations. The huge difference in the energy barriers for HCO and hemiacetal production suggested that  $CH_3OH$  oxidation on both surfaces facilely proceeded through the intermediate hemiacetal to produce  $HCOOCH_3$ .

Photooxidation of CH<sub>3</sub>OH has recently been chosen by Mao et al. [183] to assess the photoactivity of rutile TiO<sub>2</sub>(110)-(1 × 1) and TiO<sub>2</sub>(011)-(2 × 1), with the latter being reported to be of high activity toward photooxidation reactions [68, 184]. The reaction pathways were similar to these two surfaces. CH<sub>2</sub>O and HCOOCH<sub>3</sub> have been detected. The most prominent difference of the photooxidation of CH<sub>3</sub>OH on these two surfaces came from the reaction kinetics. The reaction rate on rutile TiO<sub>2</sub>(011)-(2 × 1) was only 42% of that on rutile TiO<sub>2</sub>(110)-(1 × 1), contradicting Fig. 11.23 (a) TPD spectra acquired at m/z = 31(CH<sub>3</sub>O<sup>+</sup>) after 0.5 ML CH<sub>3</sub>OH was adsorbed on rutile TiO<sub>2</sub>(110) at 120 K and irradiated at 400 nm for various times. (b) TPD spectra acquired at  $30 (CH_2O^+)$  after 0.5 ML CH<sub>3</sub>OH was adsorbed on rutile TiO<sub>2</sub>(110) at 120 K and irradiated at 400 nm for various times. (c) Yields of CH<sub>3</sub>OH, CH<sub>2</sub>O, and HCOOCH3 as a function of irradiation time, derived from data in Fig. 11.16a and b (Modified with permission from Ref. [177]. Copyright 2013 American Chemical Society)



with the previous reports in aqueous environments where characterization of  $TiO_2$  structure was difficult [68, 184].

Conventionally, the effect of surface atomic structure on the activity of a crystal in heterogeneous reactions is greatly related to the percentage of under-coordinated atoms. The higher the percentage of under-coordinated surface atoms is, the more reactive the crystal is. The results obviously did not comply with this rule since the percentage of  $Ti_{5c}$  was 100% on rutile  $TiO_2(011)$ - $(2 \times 1)$  and 50% on rutile  $TiO_2(110)$ - $(1 \times 1)$ . In addition, this result was not in agreement with a previous electronic structure study which expected that the electron trapping and electronhole separation on rutile  $TiO_2(011)$ - $(2 \times 1)$  surface were more efficient than on rutile  $TiO_2(110)$ - $(1 \times 1)$  based on the higher binding energy of the bandgap states of the former [185]. Through examining the energetics of the CH<sub>3</sub>OH/TiO<sub>2</sub> system [185], the authors discovered the rate determining step of photooxidation of CH<sub>3</sub>OH on both surfaces was the cleavage of C–H; however, the barrier of this elementary step was 0.2 eV higher on rutile  $TiO_2(011)$ - $(2 \times 1)$  due to the distinct atomic configuration. Mao et al. then tentatively linked the correlation between the photoactivity and the surface structure of  $TiO_2$ .

From above experimental studies of CH<sub>3</sub>OH photolysis on rutile TiO<sub>2</sub>(110), it is quite clear that photooxidation of CH<sub>3</sub>OH on rutile TiO<sub>2</sub>(110) proceeded through multiple elementary reaction steps. After CH<sub>3</sub>OH dissociation, the dissociated H atoms transferred to nearby O<sub>b</sub> sites, prompting the question of how molecular hydrogen might be formed during CH<sub>3</sub>OH photocatalysis. Recent experimental investigation on the photocatalysis of CD<sub>3</sub>OD on rutile TiO<sub>2</sub>(110) by Xu and coworkers [186] suggested that molecular hydrogen (D<sub>2</sub>) was not formed from photoinduced recombination of dissociated D atoms, whereas during TPD process, D atoms are desorbed from the surface in the forms of D<sub>2</sub>O and D<sub>2</sub> (Fig. 11.24):

$$2OD_{br} \xrightarrow{\text{heat, TiO}_2(110)} D_2O(\text{gas}) + O_v \qquad (11.13)$$

$$2OD_{br} \xrightarrow{\text{heat}, \text{TiO}_2(110)} D_2(\text{gas}) + 2O_b$$
(11.14)

Both the D<sub>2</sub>O and D<sub>2</sub> recombination signals increased with laser irradiation time and the peaks gradually shift to lower temperature, while the desorption of D<sub>2</sub> starts from ~375 K, which is about 50 K higher than that of D<sub>2</sub>O, indicating that D<sub>2</sub> desorption was more difficult than D<sub>2</sub>O desorption. The yields of these two products indicated that less than ~7 % of total D atoms from CD<sub>3</sub>OD photolysis are desorbed as D<sub>2</sub>, demonstrating that D<sub>2</sub> formation is much less important than D<sub>2</sub>O formation.

It is generally believed that the thermalization of charge carriers produced from UV irradiation of  $TiO_2$  surface and relaxation of their respective band edges occurs in the hundred fs scale. As a result, photolysis on  $TiO_2$  is driven by separated electrons or holes that are energetically located at the band edges. The reaction rate will depend on the photon flux rather than on the wavelength of the incident light [32]. As a result, excess potential energy was lost to the lattice via strong coupling with phonon modes, thus reducing the potential advantage gained by the specificity



**Fig. 11.24** (a) Typical TPD spectra collected at m/z = 20 (D<sub>2</sub>O<sup>+</sup>) following different laser irradiation times at 400 nm; the peak (marked with \*) slightly below 300 K is attributed to the dissociative ionization signal of molecular adsorbed CD<sub>3</sub>OD in the electron-impact ionizer and impurity of D<sub>2</sub>O in CD<sub>3</sub>OD. (b) Typical TPD spectra collected at  $m/z = 4(D_2^+)$  following different laser irradiation times at 400 nm; the peak (marked with \*) slightly below 300 K is attributed to the dissociative ionization signal of molecular adsorbed CD<sub>3</sub>OD in the electron-impact ionizer. The right shows TPD product yield for D<sub>2</sub>O and D<sub>2</sub> as a function of irradiation time, derived from data in Fig. 11.17a and b (Modified with permission from Ref. [186]. Copyright 2013 American Chemical Society)

in the absorption event. Xu and coworkers [187] have tested this idea by measuring the initial dissociation rate of CH<sub>3</sub>OH on rutile TiO<sub>2</sub>(110) with two irradiation wavelengths, 355 and 266 nm. Because CH<sub>3</sub>OH and CH<sub>2</sub>O may be desorbed by irradiation [187], monitoring the yields of CH<sub>3</sub>OH reactant and CH<sub>2</sub>O product was not the best approach to measure the product formation rate. Another major product from CH<sub>3</sub>OH dissociation on rutile TiO<sub>2</sub>(110) was atomic H on the O<sub>b</sub> sites, resulting from reactions 11.9 and 11.10. Due to the high adsorption energy, these products did not desorb easily by light. While upon heating, two bridging H atoms abstracted an O<sub>b</sub> to form a H<sub>2</sub>O molecule, and then the H<sub>2</sub>O molecules desorbed around 500 K, leaving behind an O<sub>v</sub> on the surface (reaction 11.13).

Thus, monitoring H atom production through the desorption of  $H_2O$  was the preferred way to measure the product formation rate as  $CH_3OH$  underwent photocatalytic dissociation. As shown in Fig. 11.25, the initial rate of  $H_2O$  production was found to be strongly dependent on photon energy, with the initial rate being about two orders of magnitude higher at 266 nm than at 355 nm. This striking



**Fig. 11.25** The laser irradiation time dependence of the water (from BBO) TPD yield at both 355 nm (*left panel*) and 266 nm (*right panel*) photolysis from a 0.5 ML methanol covered rutile TiO<sub>2</sub>(110) surface. The *solid squares* and *circles* are the experimental data (calibrated), while the *solid lines* are the fits using the fractal models described in the text. The *unfilled squares* indicate the rise times at 90 % of the asymptotic values of the fits for both 355 and 266 nm photocatalysis (Reprinted with permission from Ref. [187]. Copyright 2013 American Chemical Society)

result was clearly in conflict with the traditional electron–hole photocatalysis model in that charge carriers in  $TiO_2$  rapidly thermalize to their respective band edges via strong coupling with phonon modes first, which predicted that photocatalysis should not depend strongly on the photon energy. These authors speculated that reactions may occur on the ground electronic state, where increased converted photon energy should be more efficient in driving chemical reactions. The new phenomenon reported by Xu and coworkers challenges the traditional electron–hole photocatalysis model and calls for the development of a more sophisticated surface photocatalysis model that incorporates the effect of photon energy, which is expected to enhance the understanding of fundamental processes in photocatalysis.

Kavan and colleagues [188] found that anatase is the more photoactive polymorph for the photocatalytic production of hydrogen from H<sub>2</sub>O oxidation and is the only polymorph that can produce hydrogen without applying an external bias. However, the availability of large size and high-quality single crystal anatase is very limited. As yet, only a few experimental studies [189, 190] on CH<sub>3</sub>OH chemistry on a well-defined anatase TiO<sub>2</sub>(101) surface have been done. On the reduced anatase TiO<sub>2</sub>(101) surface, five desorption features are observed at 142, 188, 270, 410, and 650 K in TPD spectra of CH<sub>3</sub>OH (Fig. 11.26) [189, 190]. By analogy with the behavior of CH<sub>3</sub>OH on TiO<sub>2</sub>(110), the 142 and 188 K peaks are assigned to multilayer desorption, the feature at 270 K is assigned to the desorption of molecularly adsorbed CH<sub>3</sub>OH on the Ti<sub>5c</sub> sites, and the broad



tail around 410 K is attributed to the recombinative desorption of dissociated CH<sub>3</sub>OH on defect sites. The 650 K peak is likely due to CH<sub>3</sub>O disproportionation at Ti<sub>5c</sub> sites, as on the rutile TiO<sub>2</sub>(110) surface [169]:

$$CH_3OH/Ti_{5c} \xrightarrow{A-TiO_2(101)} CH_3O/Ti_{5c} + H - O_b$$
 (11.15)

$$2CH_{3}O/Ti_{5c} \xrightarrow{\text{heat, A}-TiO_{2}(101)} CH_{3}OH(gas) + CH_{2}O(gas)$$
(11.16)

Recently, Xu and coworkers [190] have done some preliminary study of methanol photolysis on anatase TiO<sub>2</sub>(101) using TPD method. As shown in Fig. 11.27, the 650 K peak in mass 30 and 31 TPD decreased rapidly after 5 s irradiation, while the 300 K peak changed little, implying that CH<sub>3</sub>O has a much higher reactivity than molecular CH<sub>3</sub>OH on this surface. Photocatalytic products, CH<sub>2</sub>O and HCOOCH<sub>3</sub>, have been detected after different laser irradiation times (Fig. 11.27b and c), implying that the photocatalytic mechanisms for the formation of these products are similar to that on the rutile TiO<sub>2</sub>(110) surface [171]. These authors also detected dissociated H atoms from photocatalysis of CH<sub>3</sub>OH on the anatase TiO<sub>2</sub>(101) surface by collecting TPD spectra of H<sub>2</sub>O and H<sub>2</sub> products (Fig. 11.28a and b), whereas a rather sharp peak at m/z = 18 was observed near 260 K with different laser irradiation times, which was assigned to H<sub>2</sub>O desorption from Ti<sub>5c</sub> sites (H<sub>2</sub>O/Ti<sub>5c</sub>), and no obvious water desorption signal was detected at higher temperature. Concomitant to the increase of the H<sub>2</sub>O TPD peak, a broad methyl radical feature stretching from 400 to 700 K was also detected at mass



**Fig. 11.27** 0.38 ML of CH<sub>3</sub>OH were dosed to the anatase TiO<sub>2</sub>(101) surfaces at 100 K. (a) Typical TPD spectra collected at m/z = 31 (CH<sub>2</sub>OH<sup>+</sup>) as a function of irradiation time with a photon flux of  $1.9 \times 10^{17}$  photons cm<sup>-2</sup> s<sup>-1</sup>. CH<sub>2</sub>OH<sup>+</sup> is formed by dissociative ionization of the desorbed parent CH<sub>3</sub>OH molecule in the electron-bombardment ionizer. (b) Typical TPD spectra collected at m/z = 30 (CH<sub>2</sub>O<sup>+</sup>) as a function of irradiation time. The m/z = 30 (CH<sub>2</sub>O<sup>+</sup>) signal has two components: the parent ion signal of CH<sub>2</sub>O and the ion-fragment signals of the parent CH<sub>3</sub>OH molecule. (c) Typical TPD spectra collected at m/z = 60 (C<sub>2</sub>H<sub>4</sub>O<sub>2</sub><sup>+</sup>) as a function of irradiation time irradiation time. The m/z = 60 (C<sub>2</sub>H<sub>4</sub>O<sub>2</sub><sup>+</sup>) signal is from the parent ion signal of HCOOCH<sub>3</sub> molecule (Reprinted with permission from Ref. [190]. Copyright 2014 American Chemical Society)

15, keeping the same increasing rate with that of  $H_2O$ . Referring to earlier theoretical work by Tilocca and Selloni [191], theses authors suggest that the  $H_2O$  TPD peak at 260 K is due to the following thermally driven exchange reaction:



$$CH_{3}OH/Ti_{5c} + H - O_{b} \xrightarrow{heat, A-TiO_{2}(101)} CH_{3} - O_{b} + H_{2}O/Ti_{5c}$$
(11.17)

On the basis of the analogy to the behavior of  $D_2$  formation on rutile TiO<sub>2</sub>(110), H<sub>2</sub> formation from CH<sub>3</sub>OH photocatalysis on anatase TiO<sub>2</sub>(101) likely occurs via

photocatalytic dissociation of CH<sub>3</sub>OH followed by thermal recombination of H–O<sub>b</sub>, while the formation of molecular H<sub>2</sub> and H<sub>2</sub>O was comparable on the anatase TiO<sub>2</sub>(101) surface, indicating that the H<sub>2</sub> formation process should be more efficient on the anatase TiO<sub>2</sub>(101) surface relative to rutile TiO<sub>2</sub>(100) surface.

## 11.6 Conclusions

Based on the molecular level studies of photocatalysis processes on  $TiO_2$  conducted using various surface science techniques, this chapter highlighted some of the most significant advances made in many related areas of research, particularly on charge transfer dynamics and photocatalysis of  $O_2$  and  $CH_3OH$  on  $TiO_2$  surfaces. However, numerous opportunities and challenges still remain, for example:

- 1. Understanding how additives (i.e., dopants, cocatalysts, etc.) influence the photon absorption, electron transfer, and thermal/nonthermal chemistry at  $TiO_2$  surfaces at the molecular level
- 2. More detailed knowledge about the effect of the charge transfer process on surface photocatalytic reactions
- 3. Detailed knowledge about the charge transfer dynamics and mechanistic studies of important "up-hill" reactions such as water photooxidation at the molecular level
- 4. The role of recombination energy on the photocatalytic reaction processes

In addition to these big challenges of experimental photocatalysis studies, the development of theoretical understanding of photocatalysis processes at the most fundamental level from chemical dynamic perspective is also very important and potentially rewarding. According to the recently published works [43-45, 171, 187], one surprising fact is that the experimental results of photooxidation obtained from methanol, water, and other organic chemicals are not quite consistent with the simplistic photocatalysis picture based on the traditional concept that thermalized electrons and holes are the driving force of chemical reactions. For example, the traditional photocatalysis model cannot explain why methanol can be photocatalyzed on rutile  $TiO_2(110)$  at 400 nm, while water cannot at the exactly same wavelength [171]. The strong photon energy dependence of methanol and water photocatalysis on rutile  $TiO_2(110)$  is also not explainable using the traditional photocatalysis model [187]. It is therefore fair to question whether this traditional photocatalysis model can explain all photocatalytic processes. Based on the results of methanol and water photocatalysis on rutile  $TiO_2(110)$ , a new photocatalysis model (Fig. 11.29) [192] based on nonadiabatic dynamics and ground state surface reactions was proposed by Yang and coworkers, which can qualitatively explain the new experimental results obtained recently for photocatalysis of water and methanol on rutile TiO<sub>2</sub>(110). It is clear that surface dynamics is a crucial factor in photocatalysis, which is well described in this new model. However, it is necessary to point out that this new model proposed is based on studies of photocatalysis of



**Fig. 11.29** A newly proposed photocatalysis model based on nonadiabatic chemical dynamical processes and ground state reactions. In this model, photoexcited electron–hole pairs are nonadiabatically recombined to convert the excited electronic state energy to the ground state energy, which drives the chemical reactions on the ground state surface (Reprinted with permission from Ref. [192]. Copyright 2015 Dalian Institute of Chemical Physics, the Chinese Academy of Sciences)

methanol and water on  $TiO_2$  under vacuum conditions only, and the applicability of this model to other photocatalysis systems or photocatalysis under realistic conditions needs to be rigorously tested in the future with well-controlled photocatalysis experiments. In addition, theoretical studies of surface nonadiabatic processes and surface reaction dynamics are also urgently needed in order to gain clear and important insights to the fundamental understanding of photocatalysis processes.

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