

Chapter 6

Multifunctional Quantum Dot-Based Nanoscale Modalities for Theranostic Applications

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Abstract Quantum dots (QD) have shown unprecedented fluorescent properties that are capable of revolutionising the field of optical imaging. Due to its unique fluorescent properties, QD have been extensively explored as imaging reagents for the investigation of various biological behaviours in vitro and in vivo. The design and engineering of multifunctional, QD-based modalities have recently attracted enormous interest for simultaneous imaging and therapy. The presence of QD as imaging agent in the theranostic modalities allows for the visualisation of their behaviour in real time and, thus, allows the monitoring of biodistribution, the percentage of drugs in the target site and regional uptake of the drug, as well as clearance from the body in real time, after systematic administration. All this information obtained from QD-based theranostic modalities is believed to be greatly helpful for the better understanding of biological behaviours and further optimization of novel therapeutic modalities, in preclinical and clinical investigations. This chapter attempts to give a brief overview of QD ranging from fundamental knowledge to multifunctional QD-based theranostic modalities for gene therapy, chemotherapy and photodynamic therapy.

Keywords Multifunctional modality • QD • Theranostics • Cancer therapy and imaging • Nanomedicine • Optical imaging

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6.1 Quantum Dot

6.1.1 Optical Imaging

Optical imaging is a non-invasive, reliable and highly sensitive technique, with nanometre-scale resolution for exploring various biological activities in the life sciences [1–4]. Fluorescent imaging methods rely on the detection of emission light from fluorophores, when the fluorophores are excited by using a light source with specific wavelength. Therefore, the fluorescent properties of the fluorophores are of utmost importance in the successful application of such techniques. Ideal fluorophores require strong emission, high photostability, no toxicity and ease of chemical modification (e.g. conjugation with targeting ligands). Furthermore, for in vivo imaging, both excitation and emission light require efficient penetration through the tissues. NIR (near infrared, 700–1000 nm) imaging [5, 6] has recently attracted enormous interest, due to deep-tissue fluorescence imaging, compared to short light (<700 nm). NIR offers image-guided operation in the clinic [6–8]. The recent development of fluorescence molecular tomography [9] and photoacoustic tomography [10] will promote the applications of optical imaging in the life sciences.

The fluorophores are divided into inorganic (QD [11], graphene QD [12], gold nanoparticles [13]), carbon nanotube [14], hybrids (lanthanide chelates [15]) and organic dyes (cyanine [16]). One of the most promising fluorescent probes is the quantum dot, which can revolutionise the fluorescent detection techniques given QD's unprecedented superior fluorescent properties, compared to traditionally used fluorescent dyes.

6.1.2 Quantum Dot Fluorescence Characteristics

QD are fluorescent, semiconductor, nanocrystals with typical diameters ranging from 1 to 10 nm [17]. Due to their superior fluorescence characteristics in comparison with traditionally used organic dyes, QD have been extensively used for a variety of biological investigations in vitro and in vivo [18–23]. Their unique fluorescent properties are characterised by size-dependent colour, pronounced photostability and sharper emission spectra and much broader absorption spectra.

- First, QD are characterised by their unique size and/or composition-dependent colour. This allows the design and synthesis of QD with customised colour, such that it is visible to infrared for specific applications [24–27].
- Second, QD have shown pronounced photostability. The growth of a passivation shell (e.g. zinc sulphur) around it can further improve their photostability for long-term and stable fluorescent imaging [17, 28, 29]. These core/shell QD, for example, CdSe/ZnS, are excellent fluorescent probes for long-term fluorescence imaging applications.

- Third, QD possess sharper emission spectra and much broader absorption spectra, compared to organic dyes. This unique fluorescent characteristic enables simultaneous imaging of QD of different colours, using one single excitation light source [26, 30–32]. In practice, this has been used for multiplex imaging to track cancer cell metastasis [33, 34] and differentiate tumour tissue [35] *in vivo*.
- Fourth, QD are much brighter and robust against photobleaching [36, 37]. Under the exposure of excitation light, QD can maintain stable fluorescence for a much longer time than organic dyes.

All these fluorescent characteristics form the basis for QD-based imaging applications for various biological studies; for example, for cell tracking [38–40], tumour vessels [41, 42], lymph nodes [43, 44] and solid tumours [19, 45, 46] *in vivo*.

6.1.3 *Quantum Dot Synthesis and Composition*

High-quality monodisperse QD was first reported by Bawendi and co-workers in 1993 [47]. Their synthetic method controlled well the colloidal stability of QD to maintain its monodispersed status and, therefore, elucidated its unique fluorescent characteristics, including size-dependent fluorescence for the first time. CdSe QD are the mostly widely used in biological applications due to a well-established synthetic chemistry [21]. In a typical CdSe QD synthesis, selenium (commonly trioctylphosphine selenide or tributylphosphine selenide) and cadmium precursors (dimethylcadmium or cadmium oleate) are injected into a high-temperature (300 °C) organic solvent containing coordinating polymers (trioctylphosphine oxide or hexadecylamine) [28, 47, 48]. Selenium and cadmium precursors are fast reacting to form the CdSe nucleus, and, in the meantime, coordinating ligands are attached to the CdSe nucleus surface to maintain colloidal stability. Cadmium and selenium continuously grow on the existing CdSe core, until the growth of QD reaches a desired size as monitored by the absorption spectrum [49]. A ZnS shell can be grown on the CdSe surface to enhance QD photoluminescence efficiency [17], stability against oxidative photobleaching [17, 28, 29] and colloidal stability [50]. Due to coordinating polymer coating (e.g. trioctylphosphine oxide, TOPO), QD are extremely hydrophobic and require further engineering to be dispersible in water.

With the development of QD synthetic chemistry, QD have been synthesised in aqueous solutions, high-temperature organic solvents and solid substrates [21] using various materials, mainly from II–IV (e.g. ZnS and CdS) and III–V (e.g. InP and InAs) group semiconductor materials. Alloyed QD tunes emission wavelengths by manipulating compositions [24, 26]. Cadmium-free QD of CuInS₂ emits fluorescence in the NIR range and greatly minimises toxicity compared to traditionally used cadmium containing QD (e.g. CdSe) [51]. Many more novel types of QD, with different properties, are under development, including graphene QD [52, 53] and nitrogen-rich QD [54].

6.1.4 *Quantum Dot Solubilisation and Functionalisation*

Both Nie and Alivisatos groups first engineered water-soluble QD for biological applications. This was achieved by coating hydrophobic QD with mercaptoacetic acid [55] or silica [56]. For the engineering of water-soluble QD, two typical methods have been developed, namely, ligand exchange and amphiphilic polymer coating.

For ligand exchange, bifunctional ligands composed of a thiol group at one end are used. The thiol group is used to replace hydrophobic coordinating polymers (e.g. trioctylphosphine oxide (TOPO)), due to a stronger binding affinity to cadmium. The other end of the bifunctional ligand is normally composed of a hydrophilic group, which is exposed outside to interact with hydrophilic molecules (e.g. water) [36, 55, 56]. A variety of thiol-containing molecules have been used to make water-soluble QD following the ligand-exchange strategy, including (1) thiol-containing chemical molecules, such as mercaptoacetic acid (MAA), dihydrolipoic acid and mercaptopropyltris (methoxy) silane (MPS) [36, 55, 56], (2) peptides [57], (3) dendron [58], (4) oligomeric phosphine [59] and (5) silica [60]. It is notable that the replacement of TOPO coating successfully makes water-soluble QD, but it has been found to result in unfavourable effects on QD fluorescence and colloidal stability [55, 56, 61].

For amphiphilic polymer coating, their hydrophobic domain is used to interact with hydrophobic coordinating polymers, leading to the formation of an amphiphilic polymer coating around TOPO-capped QD. A variety of amphiphilic polymers have been used following this strategy, such as phospholipid micelles, triblock copolymer and amphiphilic diblock. Moreover, amphiphilic polymer coating has shown minimal effect on QD fluorescence and colloidal stability, compared to ligand exchange [19, 39, 62, 63] and, thus, has been the most commonly adopted approach for engineering stable, water-soluble QD. However, it is also notable that the formation of amphiphilic polymer coating around QD leads to a size increase [21].

For the functionalisation of QD, a variety of methods have been utilised, such as electrostatic absorption, covalent conjugation and streptavidin-biotin linking [21, 55, 64]. QD have been functionalised using various molecules for biological applications, such as antibodies [65–69], peptides [41, 42, 70], endosome-disruptive polymers [71], aptamers [72–75], radionuclides [76–78], magnetic resonance imaging (MRI) agents [79, 80] and therapeutic molecules [81–84]. Moreover, polyethylene glycol (PEG) has been successfully used to prolong QD blood circulation half-life in vivo and minimise immunogenicity and cytotoxicity [43, 85–87]. Figure 6.1 shows a schematic structure of functionalised QD for in vivo targeted imaging.

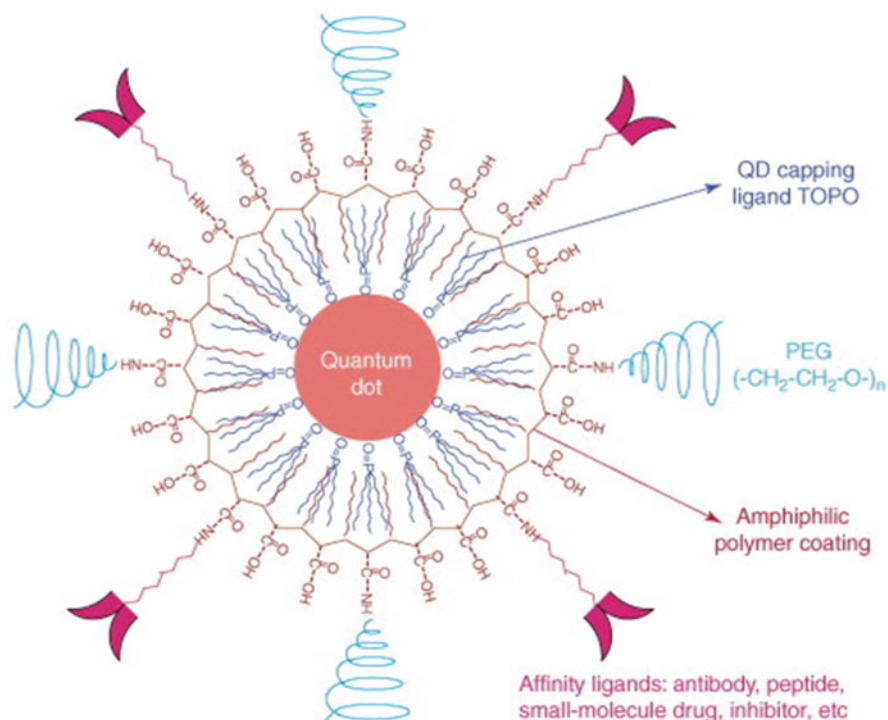


Fig. 6.1 The structure of a multifunctional QD. Schematic illustration showing the capping ligand TOPO, encapsulating copolymer layer, tumour-targeting ligands (such as peptides, antibodies or small-molecule inhibitors) and polyethylene glycol (PEG) (Reprinted from Ref. [87], copyright 2005, with the permission from Elsevier)

6.1.5 Quantum Dot in Biomedical Application

QD have been successfully used in fluorescent-based imaging and diagnostic applications *in vitro* and *in vivo*, for instance, (a) *in vitro* cell labelling [38, 39], fluorescent nanoprobe [88, 89] and biosensors based on the fluorescence resonance energy transfer (FRET) [90, 91] and (b) *in vivo* tumour vascular imaging [41, 92], tracking cells [40, 62, 93], lymph nodes [43, 44, 94] and solid tumours [95–97]. Due to the broad excitation spectra of QD, simultaneous detection using different coloured QD has enabled multiplex imaging to be used for tracking cancer cell metastasis [33, 34] and differentiating tumour tissue [35] *in vivo*.

Nowadays, fluorescent imaging using QD *in vivo* offers direct visualised evidence, but is mostly semi-quantitative. For accurate quantitative analysis, QD require the combination of fluorescence with other detection methods (e.g. radiolabelling). Recently, QD have been engineered such that they are equipped with magnetic [80, 98], paramagnetic [99] or radioactive properties [76, 78, 100], for more sensitive and quantitative diagnostic applications. Such dual-function nanoprobe

allow detection using multiple techniques, such as magnetic resonance imaging (MRI), positron emission tomography (PET) and single-photon emission computed tomography (SPET), along with fluorescence techniques such as IVIS camera [76, 99, 101]. For instance, tumour targeting of ^{64}Cu -labelled QD was directly visualised by NIR fluorescence imaging of QD. With radiolabelling of ^{64}Cu , the tumour-targeting efficiency of the QD was accurately quantified by the means of ultrahigh sensitivity of the radionuclide using PET [77]. Interestingly, Cai et al. (2007) further found that the tumour-to-muscle ratios obtained from NIR imaging were in agreement with PET analysis for certain organs, for example, the liver and spleen [77].

6.1.6 Quantum Dot Biodistribution and Pharmacokinetics In Vivo

Most studies have shown that QD are rapidly taken up by the reticuloendothelial system (RES), with high accumulation in the liver and spleen after systemic administration [100, 102–106]. Studies, so far, have shown that PEGylation, size and surface coating are the three critical factors which determine QD biodistribution and pharmacokinetics.

With respect to PEGylation, Ballou et al. have reported, by non-invasive fluorescent imaging, that the QD surface modified with PEG₅₀₀₀ (5,000 Da) greatly prolongs blood circulation half-life ($t_{1/2}$ = 140 min), compared to short PEG₇₅₀ and PEG₃₄₀₀ ($t_{1/2}$ < 12 min) [102]. However, high uptake by the liver, spleen, lymph nodes and bone marrow was observed up to 4 months [102]. Consistently, PEG₅₀₀₀-conjugated QD achieved long blood circulation half-life and, thus, facilitated targeting to the desired tissues in vivo [19, 45]. In 2009, Choi and co-workers reported that the biodistribution and pharmacokinetics of QD can be manipulated by surface modification using different lengths of PEG [103]. Choi et al. (2009) found that QD conjugated with PEG2 (two monomers) primarily accumulate in the liver; PEG8 accumulate in the pancreas; PEG3 and PEG4 are excreted via renal clearance and PEG22 circulate in the vasculature.

With respect to QD size, Fischer et al. (2006) have reported that QD linked to proteins (bovine serum albumin, BSA), 80 nm in diameter, were prominently accumulated in the liver compared to small QD (cross-linked with lysine, 25 nm in diameter) (99 % ID/g vs. 36 % ID/g, respectively) after 90 min postinjection [104]. This finding indicates that the interaction between QD and blood proteins leads to QD size increase and thus would result in rapid clearance by the RES system in vivo, similar to QD-BSA conjugates. In 2007, Choi et al. reported that zwitterionic QD showed biodistribution and clearance in a size-dependent manner. QD of 5.5 nm in hydrodynamic diameter can be efficiently excreted via urine, whereas larger QD (8.65 nm in diameter) showed high liver uptake but no urine clearance [105].

Moreover, it is evident that the extent of QD migration in the lymphatic system depends on QD size. QD with an average diameter of 15–20 nm migrate rapidly to

the sentinel lymph nodes (SLN), but primarily accumulate in the first lymph node, when administrated through subcutaneous, intradermal, intraperitoneal and intraparenchymal routes [20, 107–112]. In comparison, smaller QD with mean diameter of 9 nm migrate further into the lymphatic system up to five nodes [112].

Very recently, Schipper et al. (2009) attempted to investigate the effect of particle size, surface coating and PEGylation on QD biodistribution and pharmacokinetics in nude mice after intravenous administration [106]. Schipper et al. (2009) injected polymer- or peptide-coated ^{64}Cu -labelled QD, 2 and 12 nm in diameter, with or without surface-conjugated PEG₂₀₀₀, and did the analysis using both PET and ICP-MS (inductively coupled plasma mass spectrometry). It was found that PEG₂₀₀₀ conjugation to the large QD (12 nm) surface delayed accumulation in the liver and spleen, whereas such delayed uptake by the RES system was not observed from PEG₂₀₀₀-conjugated small QD (2 nm). Moreover, unlike polymer coating, peptide coating enhanced QD excretion, with higher accumulation in the bladder observed from small QD compared to large QD (7.6 % ID/g vs. 2.5 % ID/g, respectively).

Overall, it can be seen that QD biodistribution and pharmacokinetics in living animals are affected by many factors, such as hydrodynamic diameter, surface charge, PEG length and the route of administration. Furthermore, it has been shown that only very small neutral and zwitterionic QD (<5.5 nm in diameter) can be excreted efficiently via urine [103, 105], while larger QD have a tendency to accumulate in the body [104, 113], which will consequently raise the toxicity issue of QD.

6.1.7 Toxicity Profiles of Non-functionalised Quantum Dot

The concern over QD toxicity is mainly derived from their intrinsic core compositions, such as cadmium (e.g. CdSe and CdTe). The correlation between cytotoxicity and free Cd²⁺ ions has been established [60, 114, 115] with the occurrence of significant cell death in the range of 100–400 μM Cd²⁺ ions [43]. Derfus et al. reported that CdSe QD are toxic due to the release of cadmium ions (Cd²⁺) initiated upon photolysis and/or oxidation. This was evidenced by the blue shift in QD absorbance spectra due to size deduction and subsequent release of Cd²⁺ [114]. Furthermore, the process in the production of Cd²⁺ ions has been found to be accompanied by the formation of reactive oxygen species (ROS), such as singlet oxygen (O₂⁻), due to QD electron donation to oxygen [105, 116, 117]. Cho et al. observed significant lysosomal damage due to the presence of both Cd²⁺ ions and ROS after a 24-h cell incubation [118].

So far, studies have demonstrated that QD cytotoxicity is attributed to the use of core QD (e.g. CdTe), without ZnS coating, especially those solubilised by the ligand-exchange method, such as mercaptopropionic acid (MPA-QD) [77, 117, 119–121], mercaptoacetic acid (MAA-QD) [114], mercaptoundecanoic acid (MUA-QD) [121], cysteamine (QD-NH₂) [118, 119] and thioglycerol (QD-OH) [115]. These ligands have weak electrostatic interactions with QD and are found to

detach from the QD surface [122, 123]. Such ligand detachment may be worse in harsh conditions like endosomal compartment [56] leading to severe cell death [60, 105, 117, 119, 120].

In comparison, QD coated with ZnS shell (CdSe/ZnS [114] and CdTe/ZnS [118]) can protect the QD core from oxidation, thereby minimising Cd²⁺ leakage and subsequently reducing the QD-induced cytotoxicity [60, 118, 119]. Moreover, QD solubilised with a stable coating, such as silica, were shown to be non-toxic up to a high Cd²⁺ surface concentration [60] and highly resistant to chemical and metabolic degradation [124], as well as non-toxic even if translocated to the cell nucleus [125], or at the gene level [86].

Nowadays, most biological investigations have selected core/shell QD with stable amphiphilic polymer coating, used at relatively low concentrations (nmol to pmol). Therefore, no obvious toxicity has been observed from QD. For example, *Xenopus* embryos [62] and zebrafish embryos [126] microinjected with QD did not exhibit any sign of toxicity until a high concentration was used, leading to abnormalities in the embryos. Furthermore, QD injected systemically in mice and rats has shown no apparent toxicity in pmol-nmol range, even after 4 months [43, 104, 127, 128]. Moreover, large animals (e.g. Yorkshire pigs) injected with 200–400 pmol of QD for the sentinel lymph node (SLN) mapping showed no physiological changes in the heart rate, blood pressure and oxygen level even after several hours [20, 109–111].

Overall, cytotoxicity studies have shown that the toxicity of QD can be minimised by coating with ZnS shell and solubilising using amphiphilic polymer coating, especially when a low dose is used during the period of the experiment. However, heavy metal containing (e.g. cadmium) QD composition would be a major obstacle for clinical use.

6.2 Quantum Dot for Theranostic Applications

6.2.1 Quantum Dot-Based Gene Therapy Modalities

Gene therapy is one of the most promising solutions to various formidable diseases, including cancer. However, to achieve effective gene therapy requires the efficient and specific delivery of nucleic acid inside of cellular compartments (e.g. nucleus). Various biological barriers, therefore, need to be overcome to deliver nucleic acid inside of cells. Moreover, the release of nucleic acid from delivery vectors inside of the cells is guaranteed. This is a complicated process, which is currently not yet fully understood. QD offers excellent fluorescent properties in studying various processes associated with nucleic acid delivery, including complexation, and the release and intracellular trafficking of nucleic acid complexation. The fluorescence resonance energy transfer (FRET) phenomenon is used to construct the QD-FRET pair for the investigation of nucleic acid delivery.

The FRET effect is used to monitor fluorescent changes in a fluorescent molecule pair, including a donor and an acceptor. Fluorescent changes are due to the distance alteration in nanoscale (called the Forster radius, typically several nanometres) between the pair. For example, when a donor molecule and an acceptor molecule are approaching each other within Forster radius, the receptor starts to absorb energy from the donor, and as a result, the donor loses its fluorescence. When the two molecules are separating from each other (beyond Forster radius), the receptor cannot absorb energy from the donor, and as a result, the donor recovers fluorescence to a normal level.

The advantage of constructing the QD-FRET pairs is that QD stable fluorescent properties, against photobleaching, allows stable and long-term fluorescent imaging of nucleic acid delivery, release and related behaviours. In a typical example of QD-FRET pairs, QD-labelled pDNA forms complexation with fluorescently Cys5-labelled chitosan [129]. The FRET effect allows the monitoring of the integrity of the complexation inside of cells (by observing Cys5 fluorescence due to energy transfer from QD-labelled pDNA), whereas released pDNA only shows QD fluorescence. Moreover, intracellular trafficking was conducted in a highly sensitive and quantitative way. Following the same FRET strategy, similar studies have been carried out to investigate DNA condensation and stability [130], as well as DNA polymer complexation [131]. It is notable that photoactivation of QD is often accompanied with the production of reactive oxygen species (ROS), which leads to the breakage of DNA in QD-DNA conjugates [132]. This could offer a novel strategy to induce the release of DNA from QD upon light activation for controlled delivery of DNA inside of cells.

Apart from constructing QD-FRET pairs with DNA, QD is also explored as a delivery vector for DNA delivery. QD-loaded micelles carrying functional groups (e.g. maleimide) have been directly conjugated with pDNA molecules [133]. Such pDNA-QD micelle conjugates allow stable monitoring of pDNA intracellular trafficking, by QD fluorescence, for a long period of time. Moreover, pDNA-QD conjugates can successfully deliver pDNA inside of cells and result in the expression of reporter proteins, relevant to pDNA control. Positively charged QD have been used to complex with DNA due to electrostatic interactions [134]. Such a QD-DNA complex demonstrated a DNA release induced by glutathione in a concentration-dependent manner. This is probably due to the fact that glutathione has preferential interactions with the QD surface, leading to QD's surface charge change and, thus, release of DNA [134]. Near-infrared QD has been used to track the biodistribution of QD-DNA complexes in vivo [135]. The QD-DNA complex demonstrated a high accumulation in the lung, initially, followed by fast redistribution from the lung to the liver. QD control, however, showed a predominant accumulation in the liver straightaway. Furthermore, after weeks postinjection, QD fluorescent signals were still detectable due to QD's excellent photostability.

QD has been explored to investigate the process of small interference RNA (siRNA) delivery. The typical process for siRNA delivery, including delivery siRNA into cells, release siRNA and gene knockdown, normally takes longer than 24 h since post-administration. Over this period of time, traditionally used organic dye

could suffer from significant fluorescence loss due to photobleaching and is, thus, not suitable for long-term monitoring of siRNA delivery [136]. In comparison, QD offers significant improvement in terms of photostability and, thus, has been extensively used to explore various processes related to siRNA delivery.

Cationic liposomes have been used to co-complex both QD and siRNA by simple mixing [137]. This study demonstrates the monitoring of siRNA delivery inside of cells, as well as an improvement in gene silencing, but suffers from an adverse effect on the size increase of the complex in comparison to the liposome control. Both siRNA and a tumour-targeting peptide have been covalently conjugated to the surface of PEGylated QD [136]. Such targeted nanoconstructs can be internalised by cancer cells and achieve efficient gene silencing. Moreover, siRNA conjugation to QD, using a cleavable linker, was found to improve the gene silencing effect due to the enhanced release of siRNA inside of cells, compared to a non-cleavable linker. Antibody-targeted chitosan nanoparticles encapsulating QD inside was complex with siRNA on the surface [138]. By monitoring QD fluorescence, such a multifunctional delivery system demonstrated an enhanced cellular uptake in cancer cell lines that overexpressed certain receptors. The siRNA-QD conjugates have been engineered by two different linking strategies: (a) a disulphide bond, which allows cleavage to release siRNA inside of cells, and (b) a covalent bond, to form stable siRNA-QD conjugates for monitoring siRNA delivery [139]. Two targeting ligands are conjugated on the surface of siRNA-QD conjugates, to ensure efficient cellular uptake (e.g. RGD peptide targeting) and effective gene silencing through HIV-Tat peptide. By monitoring QD fluorescence, intracellular trafficking can be monitored in real time, and, more importantly, such targeted siRNA-QD conjugates achieved therapeutic knockdown of specific proteins in brain tumour cells.

Peptide-QD conjugates have been explored as delivery vectors for simultaneously monitoring intracellular transportation and delivery of siRNA into cells. Cell-penetrating peptide conjugated QD are used to complex with cy3-labelled siRNA [140]. This study demonstrated successful intracellular delivery and cellular distribution of siRNA in the cells. However, the complex was found to be entrapped in the endosome. To release siRNA from the endosome, acid neutralisation of the endosome as well as destabilisation of such peptide-QD-siRNA complexes were achieved by the addition of chloroquine to the cell culture environment. The addition of chloroquine was found to lead to a successful redistribution of siRNA to the cytoplasm from the endosome. The engineering of QD-based delivery systems for siRNA delivery can improve gene silencing by up to 20-fold, compared to traditionally used transfection agents [141]. It is also notable that such QD-siRNA complexes can achieve gene silencing in the presence of serum, whereas traditionally used gene transfection agents need to work in serum-free environments. Such dramatic improvement is owing to a proton sponge effect, which is achieved by grafting equal amounts of carboxylic and amine groups on the QD surface. Moreover, fluorescence microscopy study has revealed that QD-siRNA complexes fast stick to the cell membrane, followed by internalisation and accumulation in the area outside of the cell nucleus, by monitoring QD fluorescence. The same group reported that

amphiphilic polymer amphipol-coated QD (with both carboxylic and amine groups) can achieve efficient siRNA delivery, irrespective of the presence of serum [142].

6.2.2 *Quantum Dot-Based Chemotherapy Modalities*

The engineering of theranostic modalities integrated with imaging and therapy into one unit has attracted enormous interest for cancer [143–146]. The presence of QD as an imaging agent in the theranostic modalities allows for the visualisation of their behaviour in real time. QD could allow the monitoring of biodistribution, the percentage of drugs in the target site, the regional uptake of the drug as well as the clearance from the body in real time, after systematic administration. All this information is believed to be greatly helpful for better understanding biological behaviours and for the further optimization of novel therapeutic modalities, in preclinical and clinical investigations.

For the engineering of QD theranostic modalities, QD can be directly surface conjugated with therapeutic molecules and targeting ligands [143–145]. One of the most successful QD-based theranostic modalities is reported by Bagalkot et al. in 2007, who covalently conjugated PSMA-targeted aptamers to the surface of hydrophilic QD and allowed doxorubicin loading through intercalation with the aptamers [145]. In this QD-aptamer(Apt)-doxorubicin(Dox) conjugates, QD and Dox formed a FRET pair (donor-receptor) and the loading of Dox quenched the QD fluorescence. This multifunctional QD demonstrated enhanced therapeutic effect in the targeted cells (LNCAP), and the gradual recovery of QD fluorescence inside of the cells indicated Dox release. Such theranostic modality showed promise for cancer targeting, imaging, therapy and traceable drug delivery simultaneously *in vitro*.

Alternatively, nanoscaled delivery systems (e.g. liposomes [96, 147–150], micelles [62, 151] and carbon nanotubes [152, 153]) can be used as a platform for the construction of QD theranostic modalities [144, 146]. Liposomes are the most established nanoscaled delivery systems. By the use of liposomes as a platform, various targeting ligands, diagnostic and therapeutic agents of interests can be integrated into liposomes for cancer imaging and therapy. This is particularly the case when liposome-QD hybrid constructs are successfully engineered. For example, Weng et al. (2008) covalently conjugated both anti-HER2-targeted scFv and hydrophilic QD to the liposome surface and loaded doxorubicin into the aqueous core of the liposomes for cancer imaging and therapy [146]. By tracking QD fluorescence, high drug delivery into (MCF-7/HER2) tumour *in vivo* was evidenced by the visualisation of strong QD fluorescence (14 % of total body fluorescence) in the tumour site after systemic administration. However, the conjugation of QD directly to the liposome surface has an adverse effect on the size of the whole structure. This was evidenced by the fact that QD-conjugated liposomes showed a decrease in blood circulation half-life, compared to liposome control (without QD).

Both Vogel and Kostarelos have proposed the engineering of lipid-QD hybrid, by the incorporation of hydrophobic QD (2 nm in diameter) into the lipid bilayer of

liposomes [147, 148, 150]. This is a straightforward method to make water-soluble QD. The lipid-QD hybrid engineered using cationic lipids has been used to label cells effectively *in vitro* and *in vivo*. Moreover, the lipid-QD hybrid can be used as a modular platform to load anticancer drugs (e.g. doxorubicin) into the aqueous core, and their surface can be further functionalised with targeting ligands for targeted cancer theranostics. The successful engineering of lipid-QD hybrids represents a feasible way to engineer multimodal nanoconstructs, for the development of personalised medicine. Such hybrids not only combine the unique fluorescent properties of QD with the physicochemical and pharmacokinetics of liposomes into one single vesicle but also allow further surface modification with polyethylene glycol and various targeting ligands (e.g. antibody).

Alternative multifunctional modalities can be engineered by simultaneous encapsulation of Dox, QD and magnetic nanoparticles into PEG-lipid micelles, for combined MRI and fluorescent imaging as well as cancer therapy [154]. Tumour accumulation of such modalities was confirmed by both fluorescent and MRI imaging after 20-h administration. Recently, the anticancer drug daunorubicin was reported to complex with anionic QD (3-mercaptopropionic acid coated) inside of cells, which could overcome multidrug resistance and improve the therapeutic effect in leukaemia cell lines [155].

6.2.3 *Quantum Dot-Based Photodynamic Therapy Modalities*

Photodynamic therapy (PDT) is the use of a specific light to activate photosensitisers (PS), in order to produce a toxic effect on certain cells and organs (e.g. tumour). For quantum dot, the exposure of excitation light produces both QD fluorescences and, in the meanwhile, leads to the production of reactive oxygen intermediates (ROI) to cause cell toxicity for simultaneous photodynamic therapy and imaging [156–158]. Photoactivation of QD is often accompanied by the production of ROI, which could lead to the breakage of DNA in the QD-DNA conjugates [132]. In the presence of antioxidant scavengers (e.g. N-acetylcysteine), such ROI-induced cell toxicity can be suppressed significantly [120]. It is also notable that QD by itself as photosensitisers cannot produce ROI for efficient cell toxicity.

An alternative strategy has been explored to use QD to enhance the toxicity of conventional photosensitisers, by taking advantage of the fluorescence resonance energy transfer (FRET) effect. QD can be used as a delivery platform for photosensitisers due to large surface area, efficient energy transfer and photostability, for improved photodynamic therapy. For example, the complexation between QD and photosensitiser (e.g. chlorin e6) increases the photodynamic therapy by twofold compared to chlorin e6 alone [157]. This was thought to be due to enhanced energy transfer from QD to the photosensitiser through the FRET effect.

6.3 Conclusion

QD has been used for the engineering of multifunctional theranostic modalities, for the investigation of various biological behaviours, including gene therapy, chemotherapy and photodynamic therapy. For gene therapy, QD allows the monitoring of complexation stability, release and intracellular trafficking inside of cells. For chemotherapy, QD allows the monitoring of the release of the drug inside of the cells and tracing nanoscaled delivery vectors' (e.g. liposome) behaviours, in vivo, including biodistribution and tumour accumulation. For photodynamic therapy, QD can be successfully used as an energy donor to enhance the toxicity of conventional photosensitisers. All these successes are attributable to QD's superior fluorescent properties. Although the concerns regarding QD toxicity could delay their clinical applications, QD as imaging agents are very useful for various biological studies and in vitro sample analysis. With the development of novel water-soluble and cadmium-free QD, the applications of QD-based theranostic modalities could offer useful tools for the investigation and optimization of novel therapeutic agents in clinical applications.

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