# De Novo Genome Assembly<br>
of Next-Generation Sequencing Data

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### Abstract

With rapid development of next-generation sequencing (NGS) technologies, de novo genome assembly appears increasingly common. However, inherent features of NGS data pose great challenges for de novo genome assembly. Many genomes, such as *Brassica rapa*, having undergone three paleo-polyploidy events, contain high content repeats, makes genome assembly of NGS data tougher. In past several years, numerous algorithms have been developed to address the challenges in de novo genome assembly from NGS reads. Here we summarize the main approaches for genome assembly. We also describe several algorithms for each approach. In addition, we compare the performance of existing assemblers in the accuracy and contiguity of assemblies. The comparative analysis shows that there is not any assembler that performs best in all the observed measures, which are also dependent on the dataset used.

## 4.1 Introduction

Rapid development of next-generation sequencing (NGS) technologies has greatly reduced DNA sequencing costs and made genome assembly increasingly common. However, inherent features of NGS data also pose new

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D. Liu e-mail: liudy@biomarker.com.cn challenges for de novo genome assembly. In the past several years, numerous algorithms have been developed to cope with the challenges in de novo genome assembly from NGS reads. Most adopt the de Bruijn graph approach (Li et al. [2012\)](#page-9-0), where a vertex represents a unique length $k$  substring called  $k$ -mer, and an edge connects two vertices if they appear consecutively in a read (Compeau et al. [2011\)](#page-9-0). A few use the overlap–layout–consensus (OLC) approach, such as Edena (Hernandez et al. [2008](#page-9-0)) and string graph assembler (SGA) (Simpson and Durbin [2012\)](#page-10-0). There are also some extension-based algorithms available for NGS reads, which do extension from 5′ or 3′ terminal of read by k-mer or read, such as SSAKE (Warren et al. [2007](#page-10-0)) and JR-Assembler (Chu et al. [2013\)](#page-9-0).

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NGS reads are very short and error-prone, compared with traditional Sanger sequencing. To assemble this new kind of sequencing data, several assemblers, represented by MaSuRCA (Zimin et al. [2013\)](#page-10-0), firstly construct longer reads (super-read), then assembly super-reads into contigs (Butler et al. [2008;](#page-9-0) Gnerre et al. [2011;](#page-9-0) Zimin et al. [2013](#page-10-0)). The basic construction process of super-reads is to extend each original read forwards and backwards, base by base, as long as the extension is unique. All reads that extend to the same super-read are replaced by that super-read. This allows subsequent computation quick and thus reduces memory requirements. Different from MaSuRCA, allpaths-lg uses an overlapping paired-end library with a suitable insert size to generate super-reads for contig assembly (Butler et al. [2008](#page-9-0); Gnerre et al. [2011\)](#page-9-0). These types of super-reads allow assembler to use OLC strategies with a few representative reads or de Bruijn graph approach with big k-mer.

Despite considerable progress made in the past years, genome assembly remains challenging. For example, recent completion of Brassica rapa genome sequencing has revealed that there are high content of repeats in B. rapa accession chiifu-401-42, which make many mate-pair library can't span the two side of unique region. Hence, the assembly had to use 199,452 BAC-end Sanger sequences, which have very long insert size to construct the super scaffold. Despite these efforts, the N50 of the assembled genome only reaches 1.9 Mb, with 283.8 Mb of the total size (Wang et al. [2011](#page-10-0)), much smaller than the real genome size  $(2n = 2x = 529$  Mb). Therefore, there is a great need to provide novel algorithms and assemblers for de novo genome assembly of NGS data.

# 4.2 The Challenge of Genome Assembly

The primary difficulty in genome assembly is to merge overlapping reads along continuous sequences. First, contigs that the assembly algorithms produce are not complete and do not cover the entire chromosomes, due to sequencing errors and the existence of unsequenced parts. Even with high coverage, there is still a nonzero probability for the existence of unsequenced parts and sequencing errors. Second, repeats and heterozygous sequences will further complicate the assembly.

In order to assemble a genome, we first need to sequence random DNA fragments from the whole genome. The rapidly decreasing costs of NGS allow us to rapidly obtain vast amounts of DNA sequence data at a low cost. Unfortunately, the sequence length of NGS data is much shorter than that of the genomes or genomic features being studied, which commonly spans tens of thousands to billions of base pairs. Hence, many analyses starting with the computational process of sequence assembly that joins together the many sequence fragments the NGS generates. The workflow of a typical assembly algorithm is shown in Fig. 4.1.

Second, assembly algorithm will merge sequence fragments into contigs. A sequence contig is a contiguous, overlapping sequence read, which is assembled with the small DNA fragments generated by bottom-up sequencing strategies. Contig assembly is difficult in the



Fig. 4.1 The workflow of a typical assembly algorithm

process of genome assembly. Assemblers use the overlapping information of fragment to search contiguous paths. The sequence will be broken when faced with the branch, which may come from the sequencing error or repeats in the genome. Heterozygous sequences also can produce branches. For diploid species, there may be two paths for one single nucleotide polymprphism (SNP). For polyploidy, there may be many paths for different regions. Because of these enormous difficulties, contig assembly is important.

Third, contigs will be ordered to construct scaffold. Paired-end read libraries are useful in genome assembly. These data can help to extend contigs and resolve repeat areas. If one end of a paired-read is assembled in a contig and the other end in a second contig, it can be inferred that these contigs are adjacent in the final assembly. Because there may are erroneous links, assemblers need to filter out low weight links. For example, many assemblers only keep the links, which contain at least three or five paired-reads. There also exists the strategy, which firstly uses high weight links, and then makes use of low-weight links to form scaffolds. Recently, optical mapping is increasingly being used to order contigs or scaffolds.

Finally, gap closing will be used to fill the gaps in the scaffolds. After scaffolding, many assemblers will remap pair-end reads onto contigs and get linking information between them. The local un-assembly reads will be retrieved. Unaligned reads of the single aligned pair-end always can align multiple regions of genome. In small local regions, read overlapping information will be used to form sequences with much lenient standard.

#### 4.3 De Novo Assembly Algorithm

## 4.3.1 Classification of De Novo Assembly Algorithm

Most of existing de novo assembly tools for NGS platforms utilize the de Bruijn graph approach (Li et al. [2012](#page-9-0)). In the de Bruijn graph, a vertex represents a unique length-k substring called k-mer, and an edge connects two vertices if they appear consecutively in a read (Compeau et al. [2011\)](#page-9-0). There also exist some assemblers, which apply the overlap–layout–consensus (OLC) approach for handling NGS reads, such as Edena (Hernandez et al. [2008\)](#page-9-0) and SGA (Simpson and Durbin [2012\)](#page-10-0). Additionally, some extension-based assemblers also appeared to assemble NGS reads, which do extension from 5′ or 3′ terminal of read by k-mer or read, such as SSAKE (Warren et al. [2007\)](#page-10-0) and JR-Assembler (Chu et al. [2013](#page-9-0)).

## 4.3.2 The Overlap Layout Consensus Approach

The sequence assembly problem can be taken as a graph problem by making an overlap graph of reads. In the overlap graph, reads are presented as nodes, and the existing overlap between two reads is presented as an edge between corresponding nodes. A modified version of the Smith-Waterman dynamic programming algorithm is usually used to find overlapping reads in almost all assemblers (Gnerre et al. [2011;](#page-9-0) Zimin et al. [2013](#page-10-0)).

In an overlap graph, assembling the reads into the genome is equivalent to finding a Hamiltonian path, a path that contains each node exactly once. Unfortunately, finding a Hamiltonian path is an NP-complete problem, which cannot be done in polynomial time.

Overlap layout consensus methods are based on graph theory. In these methods, an overlap graph is built from reads and the assembly problem is simplified to find a Hamiltonian path in the graph. ARACHNE (Metzker [2010\)](#page-9-0), Celera (Li et al. [2012\)](#page-9-0) and its revised version for short-reads (Peng et al. [2012](#page-9-0)), CAP3 (Jaillon et al. [2007\)](#page-9-0), and Newbler (Zhang et al. [2012\)](#page-10-0) use this method as their core idea.

An OLC algorithm starts by searching overlaps between reads (or graph nodes) (Fig. [4.2](#page-3-0)). In fact, it must check possible overlaps between any two reads in the input read set. The layout step will simplify the overlap graph by removing redundant information and will put these reads together using identified overlaps. The final step

<span id="page-3-0"></span>

Fig. 4.2 An OLC assembly graph. Nodes are complete reads, and edges connect reads that overlap. Note that in an actual OLC assembly graph, reads and overlaps would

be much larger. Here, theoretical reads and overlaps are shortened for clarity

is to find a consensus for the existing layout. The overlap step is computationally intensive. Therefore, this approach is more suitable for whole genome shotgun sequencing reads that Sanger sequencing technology produces. Similarly, the Hamiltonian path problem is an NP-complete problem in itself. It needs heuristic solutions.

#### 4.3.3 De Bruijn Graph Approach

In 2001, Pevzner and Tang introduced a method based on the Eulerian path approach for assembling NGS reads (Pevzner and Tang [2001\)](#page-9-0). In the new approach, reads are cut into smaller but regular pieces, called  $k$ -mers, which are then used to create a de Bruijn graph. By reducing the fragment assembly to a de Bruijn graph, the NP-complete Hamiltonian path is transformed to seek a Eulerian path in a de Bruijn graph. This approach avoids the complicated step of searching all overlaps between reads, which are required to form an overlap graph in the case of overlap layout consensus approach. There are polynomial time algorithms for finding Eulerian path problems. However, in practice, there may be several Eulerian paths in de Bruijn graphs. Finding the shortest Eulerian super path is still NP-hard (Zerbino and Birney [2008](#page-10-0)). Existing algorithms use heuristic methods to compute this super path by modifying the Eulerian graph. In addition, De Bruijn graph approach also simplifies the sequence repeat issue.

A k-dimensional de Bruijn graph is a directed graph whose nodes are all possible length $k$  sequences of  $m$  symbols. Obviously, each  $k$ -dimensional de Bruijn graph of  $m$  symbols has mk vertices. A de Bruijn graph is a representation based on all  $k$ -mers (length  $k$  words), which makes it suitable for high-coverage, very short-read data.

An edge in de Bruijn graphs connects two vertices  $(k$ -mers), if one vertices postfix of length  $k - 1$  is equal to the prefix of the other one with the same length. The edge is directed, and the direction is from the  $k$ -mer, including the postfix to the k-mer including the prefix.

Given a sequence (GGATCGTTTCGTAAT), one can make a de Bruijn graph of it. To create a de Bruijn graph, it is enough to put the directed edges in the graph according to the sequence. The de Bruijn graph for this set is shown in Fig. [4.3](#page-4-0).

In de Bruijn graph approach assembly algorithms, the graphs of input reads are created and then paths in graphs are used to detect contigs. Finding Eularian paths is the key to finding contigs in this step. Optionally, the algorithm may use other data, such as paired-end data, in order to make longer contigs and complete the assembly process. The need for predefined k-value, and also errors in reads that lead to a complex graph structure, are of issues in de Bruijn graph-based assembly algorithms.

The Euler assembler (Kent [2002](#page-9-0)) is the first algorithm that uses the de Bruijn approach for handling sequence assembly problems.

<span id="page-4-0"></span>

Fig. 4.3 The de Bruijn graph of an input set (GGATCGTTTCGTAAT)

Velvet (Zerbino and Birney [2008](#page-10-0)), Euler-USR (Chaisson et al. [2009](#page-9-0)), AllPaths (Butler et al. [2008;](#page-9-0) Maccallum et al. [2009](#page-9-0)), Abyss (Simpson et al. [2009](#page-10-0)), and IDBA (Peng et al. [2012\)](#page-9-0) are some other assembly algorithms that use this approach.

#### 4.3.4 Extension-Based Approach

The shotgun sequence assembly problem was first formalized by finding the shortest common superstring of the set of all reads (Delcher et al. [2002\)](#page-9-0). Since this algorithm is computationally NP-complete, greedy approaches were introduced to solve the problem. The greedy approach uses a greedy idea, that is, to merge two reads with maximum overlap score at the time (Fig. 4.4). Reads and overlaps are considered to be nodes of graph and edges between nodes in a graph, respectively. Now the problem is simplified to find a Hamiltonian path in the graph.

Greedy algorithms for read assembly can be written in the following steps:

- 1. Calculate pairwise alignments of all fragments.
- 2. Choose two fragments with the largest overlap.
- 3. Merge chosen fragments.
- 4. Repeat steps 1, 2 and 3 until only one fragment is left.

The main problem of this approach is getting stuck in local maxima, as in the cases of all greedy algorithms. A local maxima can occur if the current contig takes on reads that would help further contigs grow even larger. Examples



Fig. 4.4 The main steps in greedy algorithms for genome assembly

of algorithms using a greedy approach are PE-Assembler (Ariyaratne and Sung [2011\)](#page-9-0), SSAKE (Warren et al. [2007\)](#page-10-0), SHARCGS (Dohm et al. [2007](#page-9-0)), and VCAKE (Miller et al. [2010\)](#page-9-0).

## 4.4 Comparison of Algorithms

#### 4.4.1 Datasets and Assemblers

SPAdes 3.0 (Bankevich et al. [2012](#page-9-0)), MaSuRCA 2.2.1 (Zimin et al. [2013\)](#page-10-0), SOAPdenovo2 (Li et al. [2010;](#page-9-0) Luo et al. [2012](#page-9-0)), and ALLPATHS-LG 44683 (Butler et al. [2008](#page-9-0); Gnerre et al. [2011](#page-9-0)) were compared with nine bacterial data sets. ABySS 1.2.6 (Simpson et al. [2009](#page-10-0)), Edena 2.1.1 (Hernandez et al. [2008\)](#page-9-0), SOAPdenovo 1.0.5,

| Species                                   | Genome size<br>(bp) | GC<br>$(\%)$ | Library        |      |           | Coverage | <b>SRA</b> |
|---|---------------------|--------------|----------------|------|-----------|----------|------------|
|   |                     |              | No.            | Size | Type      | (Gb)     |            |
| Acinetobacter baumannii<br><b>NIPH24</b>  | 3,893,975           | 39.16        | $\mathbf{1}$   | 180  | PE        | 1.1      | SRX236318  |
|   |                     |              | $\overline{2}$ | 5k   | <b>MP</b> | 1.1      | SRX221053  |
| Acinetobacter indicus<br>CIP110367        | 3,211,639           | 45.34        | $\mathbf{1}$   | 180  | PE        | 0.52     | SRX342013  |
|   |                     |              | $\overline{c}$ | 180  | PE        | 0.51     | SRX342012  |
|   |                     |              | 3              | 5k   | <b>MP</b> | 0.71     | SRX342014  |
|   |                     |              | $\overline{4}$ | 5k   | <b>MP</b> | 0.66     | SRX342011  |
| Enterobacter cloacae<br><b>UCICRE12</b>   | 5,210,535           | 55.59        | 1              | 180  | PE        | 1.2      | SRX342585  |
|   |                     |              | $\overline{2}$ | 5k   | MP        | 1.5      | SRX286723  |
| Enterococcus faecium<br><b>BM4538</b>     | 3,133,897           | 38.07        | $\mathbf{1}$   | 180  | PE        | 1.2      | SRX341265  |
|   |                     |              | $\overline{2}$ | 5k   | MP        | 1.8      | SRX341264  |
| Escherichia coli BIDMC 39                 | 4,882,922           | 51.01        | 1              | 180  | PE        | 0.33     | SRX277757  |
|   |                     |              | $\overline{2}$ | 180  | PЕ        | 0.57     | SRX277758  |
|   |                     |              | 3              | 5k   | <b>MP</b> | 1.03     | SRX277759  |
| Klebsiella pneumoniae<br>BIDMC41          | 5,702,446           | 26.95        | $\mathbf{1}$   | 180  | PЕ        | 0.69     | SRX277856  |
|   |                     |              | $\overline{2}$ | 180  | PE        | 0.39     | SRX277855  |
|   |                     |              | 3              | 5k   | MP        | 1.18     | SRX277857  |
| Mucispirillum schaedleri<br><b>ASF457</b> | 2,332,248           | 57.08        | $\mathbf{1}$   | 180  | PE        | 1.2      | SRX332194  |
|   |                     |              | $\overline{c}$ | 5k   | MP        | 1.5      | SRX332193  |
| Pseudomonas aeruginosa<br>CF614           | 6,797,445           | 31.01        | 1              | 180  | PE        | 0.99     | SRX366180  |
|   |                     |              | $\overline{c}$ | 5k   | MP        | 0.81     | SRX366181  |
|   |                     |              | 3              | 5k   | <b>MP</b> | 0.82     | SRX366179  |
| Streptococcus intermedius<br>ATCC 27335   | 1,951,449           | 66.08        | 1              | 180  | PE        | 1.1      | SRX297066  |
|   |                     |              | $\overline{2}$ | 5k   | <b>MP</b> | 1.47     | SRX297065  |

<span id="page-5-0"></span>**Table 4.1** The basic information of the nine bacterial data sets of next generation sequence used for the assembly comparison

SOAPdenovo2 (Li et al. [2010](#page-9-0); Luo et al. [2012\)](#page-9-0), JR-Assembler 1.0 (Chu et al. [2013](#page-9-0)), and Velvet 1.0.19 (Zerbino and Birney [2008\)](#page-10-0) were compared with median data sets.

The NGS datasets of Streptomyces roseosporus, Neurospora crassa, Plasmodium falciparum, and Saprolegnia parasitica genomes were downloaded from the National Center for Biotechnology Information (NCBI) Short Read Archive (SRA) ([www.ncbi.nlm.nih.gov/sra](http://www.ncbi.nlm.nih.gov/sra)) under accession numbers: SRX016044, SRX026747, SRX030834, SRX022535, SRX016057, and SRX016059. Another dataset covering nine bacterial genomes (Staphylococcus aureus and Rhodobacter sphaeroides) was also downloaded from NCBI SRA; the accession numbers are listed in Table 4.1. The Escherichia coli reference genome was retrieved from GenBank under accession no. NC\_000913.

## 4.4.2 Performance Comparison Using Medium-Sized Genomes

The performance comparison of these assemblers was evaluated using four medium-sized dataset, including a bacterial genome (S. roseosporus, genome size 7.7 Mb) and three fungal genomes (N. crassa, P. falciparum, and S. parasitica, genome sizes 37.1, 22.9, and 53.1 Mb, respectively). The rank method was used to evaluate the assembler (Chu et al. [2013\)](#page-9-0). No assembler outperformed other assemblers in total contig

| Species                            | Assembler    | No. of<br>contigs <sup>a</sup> | Total size<br>$(Mb)^b$ | Max<br>$(bp)^c$ | Mean<br>(bp) | N50<br>$(bp)^d$ |
|------------------------------------|--------------|--------------------------------|------------------------|-----------------|--------------|-----------------|
| <b>Streptomyces</b><br>roseosporus | JR-Assembler | 1189                           | 7.68                   | 40,501          | 6461         | 11,374          |
|                                    | ABySS        | 1127                           | 7.73                   | 55,078          | 6859         | 12,499          |
|                                    | Velvet       | 1192                           | 7.49                   | 61,423          | 6286         | 11,075          |
|                                    | SOAPdenovo   | 2453                           | 7.65                   | 24,303          | 3120         | 4691            |
| Neurospora crassa                  | JR-Assembler | 12,244                         | 38.61                  | 58,672          | 3153         | 6074            |
|                                    | ABySS        | 13,420                         | 38.05                  | 45,381          | 2835         | 6350            |
|                                    | Velvet       | 10,187                         | 36.11                  | 45,599          | 3544         | 6781            |
|                                    | SOAPdenovo   | 16,261                         | 40.25                  | 31,423          | 2475         | 5029            |
|                                    | Edena        | 17,083                         | 39.95                  | 42,952          | 2338         | 4534            |
| Saprolegnia<br>parasitica          | JR-Assembler | 40,587                         | 46.09                  | 119,543         | 1135         | 1510            |
|                                    | ABySS        | 52,087                         | 38.26                  | 94,931          | 734          | 740             |
|                                    | Velvet       | 53,736                         | 47.38                  | 91,073          | 881          | 1021            |
|                                    | SOAPdenovo   | 66,456                         | 45.59                  | 30,400          | 686          | 712             |
|                                    | Edena        | 62,357                         | 44.13                  | 41,473          | 707          | 746             |
| Plasmodium<br>falciparum           | JR-Assembler | 13,352                         | 11.02                  | 7939            | 825          | 975             |
|                                    | ABySS        | 16,658                         | 11.80                  | 7934            | 708          | 826             |
|                                    | Velvet       | 16,423                         | 11.91                  | 7940            | 725          | 848             |
|                                    | SOAPdenovo   | 17,424                         | 11.93                  | 7939            | 684          | 786             |
|                                    | Edena        | 16,531                         | 11.76                  | 7936            | 711          | 831             |

**Table 4.2** Assembly statistics of four median genomes

The top two best values of each assembly metrics are marked in bold

a Contigs of length <300 bp were not counted

 $\frac{b}{c}$  Total" refers to the total number of bases in the contigs  $\frac{c}{d}$  Total "Moon" refer to the length of the length continuous

"Max" and "Mean" refer to the length of the longest contig and the mean length of contigs, respectively

 $N=6$  is the size of the smallest contig such that 50 % of the assembled bases are in the contigs of size equal to or larger than the N50 value

A contig is misassembled if it cannot be aligned in full-length to the reference genome

number, total contig size, the maximal contig length, the mean contig length, or N50 length (Table 4.2). With S. parasitica and P. falciparum, the N50 lengths and mean contig length were longer than other assemblers. With S. roseosporus and N. crassa, ABySS and velvet exhibit a relatively good performance, respectively.

## 4.4.3 Performance Comparison Using Nine Bacterial Genomes

The performance of four commonly-used assemblers was evaluated using nine genome datasets with high coverage. The raw sequence data were derived from a strain for which the assembly level is either scaffolds or contigs.

Every raw datum contains at least two libraries: one paired ends library and one mate-pair library (Table [4.1](#page-5-0)).

The N50 contig sizes are summarized for all nine of these datasets in Table [4.3.](#page-7-0) For all assemblers, good or nearly-good assembly can be obtained. All data sets except the Mucispirillum schaedleri dataset were able to produce a high-contig N50 from 200 to 500 kb. These results are better than those produced by datasets, which produced only one-paired ends library (Salzberg et al. [2012](#page-10-0); Magoc et al. [2013\)](#page-9-0). Because of the mate-pair library, better scaffold N50s were also produced by most datasets. The best scaffold N50s ranged from 1.4 to 5.7 Mb in size, which span more than the half of the

| Species                                    | Assembler     | Total<br>length | No. scaffolds | Scaffold<br>N50 | No. contigs | Contig<br>N50 |
|--|---------------|-----------------|---------------|-----------------|-------------|---------------|
| Acinetobacter<br>baumannii NIPH 24         | allpaths-lg   | 3,899,709       | 18            | 2,378,052       | 35          | 343,910       |
|  | Soapdenovo2   | 3,881,660       | 20            | 2,379,771       | 30          | 586,913       |
|  | SPAdes        | 4,420,053       | 68            | 538,328         | 68          | 538,328       |
|  | MaSuRCA       | 4,051,564       | 46            | 2,414,221       | 60          | 438,417       |
| Acinetobacter indicus<br>CIP 110367        | allpaths-lg   | 3,188,830       | 8             | 2,659,306       | 46          | 130,481       |
|  | Soapdenovo2   | 3,192,750       | 68            | 1,741,014       | 129         | 133,591       |
|  | <b>SPAdes</b> | 3,178,658       | 38            | 266,989         | 38          | 266,989       |
|  | MaSuRCA       | 3,061,054       | 28            | 914,711         | 65          | 133,907       |
| Enterobacter cloacae<br><b>UCICRE 12</b>   | allpaths-lg   | 5,167,463       | 24            | 2,910,535       | 83          | 152,889       |
|  | Soapdenovo2   | 5,167,151       | 55            | 2,892,397       | 132         | 154,254       |
|  | <b>SPAdes</b> | 5,663,090       | 70            | 247,654         | 70          | 247,654       |
|  | MaSuRCA       | 5,141,681       | 48            | 4,489,688       | 102         | 227,675       |
| Enterococcus faecium<br><b>BM4538</b>      | allpaths-lg   | 3,131,274       | 7             | 954,529         | 49          | 126,411       |
|  | Soapdenovo2   | 3,068,531       | 66            | 767,650         | 156         | 87,971        |
|  | <b>SPAdes</b> | 3,431,198       | 58            | 266,407         | 58          | 266,407       |
|  | MaSuRCA       | 3,165,896       | 102           | 2,119,662       | 168         | 85,115        |
| Escherichia coli<br><b>BIDMC 39</b>        | allpaths-lg   | 4,905,456       | 25            | 2,678,791       | 110         | 121,904       |
|  | Soapdenovo2   | 4,903,160       | 94            | 2,497,784       | 203         | 216,794       |
|  | <b>SPAdes</b> | 4,902,401       | 65            | 284,858         | 65          | 284,858       |
|  | MaSuRCA       | 4,842,876       | 36            | 3,718,131       | 78          | 262,190       |
| Klebsiella pneumoniae<br><b>BIDMC 41</b>   | allpaths-lg   | 5,661,146       | 9             | 4,151,878       | 59          | 187,007       |
|  | Soapdenovo2   | 5,702,239       | 46            | 1,971,292       | 105         | 240,104       |
|  | <b>SPAdes</b> | 5,751,074       | 34            | 813,379         | 35          | 813,379       |
|  | MaSuRCA       | 5,714,443       | 37            | 4,562,366       | 84          | 299,706       |
| Mucispirillum<br>schaedleri ASF457         | allpaths-lg   | 2,311,286       | 20            | 741,189         | 82          | 60,693        |
|  | Soapdenovo2   | 2,337,314       | 62            | 594,782         | 136         | 68,178        |
|  | <b>SPAdes</b> | 2,348,799       | 71            | 149,716         | 72          | 149,716       |
|  | MaSuRCA       | 2,335,222       | 62            | 1,891,207       | 106         | 84,260        |
| Pseudomonas<br>aeruginosa CF614            | allpaths-lg   | 6,807,352       | 9             | 1,431,211       | $25\,$      | 429,413       |
|  | Soapdenovo2   | 6,818,856       | 51            | 5,774,020       | 112         | 378,571       |
|  | SPAdes        | 6,751,614       | 31            | 965,679         | 31          | 965,679       |
|  | MaSuRCA       | 6,797,296       | 21            | 1,933,268       | 39          | 689,346       |
| Streptococcus<br>intermedius ATCC<br>27335 | allpaths-lg   | 1,892,452       | 10            | 634,497         | 16          | 284,930       |
|  | Soapdenovo2   | 1,929,122       | 12            | 910,762         | 19          | 260,557       |
|  | SPAdes        | 1,918,222       | 11            | 277,339         | 11          | 277,339       |
|  | MaSuRCA       | 2,017,461       | 60            | 548,293         | 65          | 239,440       |

<span id="page-7-0"></span>Table 4.3 Assembly statistics of nine bacterial genomes

\*The top two best values of each assembly metrics are marked in bold

Table 4.4 Assembly accuracy of assemblers evaluated by using REAPR



genome. Results produced by all of the assemblers for *M. schaedleri* are far more fragmented than those of other datasets, with contig N50 sizes ranging from 60 to 149 kb. For this genome, the choice of assembler seems to have a large impact on the quality of the resulting assembly.

No assembler ranked highest among all metrics (Table [4.3\)](#page-7-0). For this reason, a ranking approach was used to evaluate the overall performance of each assembler. For each assembly metric and dataset, the top two values are marked in bold (Table [4.3\)](#page-7-0). The number of marked values was determined and used as the voting score for each assembler. For N50 length of scaffold, the scores for allpaths-lg (Gnerre et al. [2011\)](#page-9-0), SOAPdenovo2 (Luo et al. [2012\)](#page-9-0), SPAdes (Bankevich et al. [2012](#page-9-0)), and MaSuRCA (Zimin et al. [2013\)](#page-10-0) were 26, 24, 9, and 31, respectively. In this way, MaSuRCA were found to have the best overall performance. For N50 length of contig, the scores were 15, 19, 34, and 22, respectively. In this way, SPAdes were found to have the best overall performance.

The accuracy of assembly was evaluated by REAPR (Table 4.4). REAPR uses the per-base error of the fragment coverage distribution (FCD) to detect assembly errors without the need for a reference sequence and provides corrected assembly statistics allowing the quantitative comparison of multiple assemblies (Hunt et al.

[2013\)](#page-9-0). For each data set, no assembler produced the lowest number of FCD gaps, and MaSuRCA was also found to produce highly accurate results in some datasets (Acinetobacter indicus CIP 110367, E. coli BIDMC 39, and Streptococcus intermedius ATCC 27335). Hence, a ranking approach was used to evaluate the overall performance in assembly accuracy: the higher the score, the more accurate the assembly (Chu et al. [2013\)](#page-9-0). Because SPAdes only produced the contig assembly, it does not participate in the comparative analysis. Allpaths-lg produced the highest ranking score (24), which is much higher than the scores that MaSuRCA (16) and SOAPdenovo2 (16) produced.

## 4.5 Discussion

In this section, the main approaches for genome assembly are presented. For each approach, several algorithms are explained. There are three main categories for assembly algorithms: extension-based algorithms, overlap-layout consensus algorithms and de Bruijn graph algorithms. Overlap-layout consensus algorithms are based on overlap graphs and Hamiltonian path-finding. de Bruijn graph algorithms are based on de Bruijn graphs and Eulerian path-finding in assembly graphs. de Bruijn graph <span id="page-9-0"></span>methods show more strength for short-reads and in resolving repeats. Overlap graph methods are more suitable for Sanger shotgun data. While extension-based methods seemed applicable just on long sequences, some tricks used in new algorithms, which use paired-end reads, such as the PE-Assembler, could apply the greedy idea efficiently for short reads. The evaluation of performances of an assembly algorithm is bases on both the accuracy and contiguity of assemblies, and there is always a trade-off between different measures of assembly performances. It is not a trivial task to compare assembly algorithms.

In fact, the assembly results also depend on the dataset used, besides assembly algorithm. An algorithm may do well with a dataset but not with other datasets. For a new dataset, we cannot exactly predict which algorithm would produce a better assembly just based on previous assembly results, due to the difference in dataset used for assembly. In addition, some algorithms, such as parameter  $k$  in de Bruijn graph-based methods, require users to predefine assembly parameter. The use of parameters makes it more difficult to compare assembly algorithms, for the final assembly result is definitely dependent on the parameter chosen for the assembly task. There are some metrics available for comparing assembly algorithms, but the availability of a good metric that is not dependent on the reference genome is still missing from the literature.

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