

The Maximum k -Differential Coloring Problem

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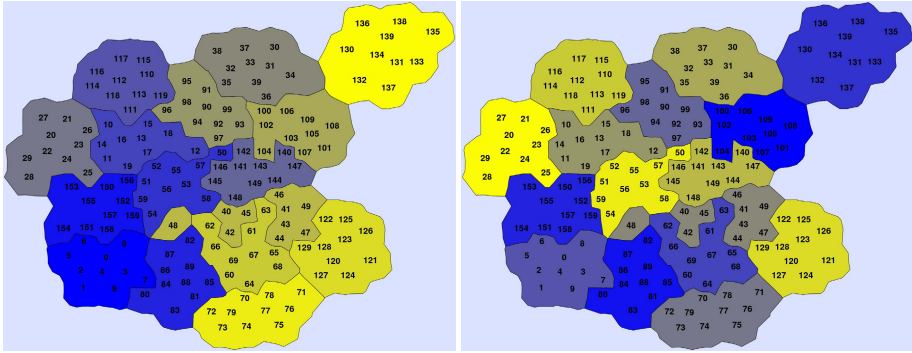
Abstract. Given an n -vertex graph G and two positive integers $d, k \in \mathbb{N}$, the (d, kn) -differential coloring problem asks for a coloring of the vertices of G (if one exists) with distinct numbers from 1 to kn (treated as *colors*), such that the minimum difference between the two colors of any adjacent vertices is at least d . While it was known that the problem of determining whether a general graph is $(2, n)$ -differential colorable is NP-complete, our main contribution is a complete characterization of bipartite, planar and outerplanar graphs that admit $(2, n)$ -differential colorings. For practical reasons, we also consider color ranges larger than n , i.e., $k > 1$. We show that it is NP-complete to determine whether a graph admits a $(3, 2n)$ -differential coloring. The same negative result holds for the $(\lfloor 2n/3 \rfloor, 2n)$ -differential coloring problem, even in the case where the input graph is planar.

1 Introduction

Several methods for visualizing relational datasets use a map metaphor where objects, relations between objects and clusters are represented as cities, roads and countries, respectively. Clusters are usually represented by colored regions, whose boundaries are explicitly defined. The 4-coloring theorem states that four colors always suffice to color any map such that neighboring countries have distinct colors. However, if not all countries of the map are contiguous and the countries are not colored with unique colors, it would be impossible to distinguish whether two regions with the same color belong to the same country or to different countries. In order to avoid such ambiguity, this necessitates the use of a unique color for each country; see Figure 1.

However, it is not enough to just assign different colors to each country. Although human perception of color is good and thousands of different colors can be easily distinguished, reading a map can be difficult due to color constancy and color context effects [19]. Dillencourt et al. [6] define a good coloring as one in which the colors assigned to the countries are visually distinct while also ensuring that the colors assigned to adjacent countries are as dissimilar as possible. However, not all colors make suitable choices for coloring countries and a “good” color palette is often a gradation of certain map-like colors [4]. In more restricted scenarios, e.g., when a map is printed in gray scale, or when the countries in a given continent must use different shades of a predetermined color, the color space becomes 1-dimensional.

This 1-dimensional fragmented map coloring problem is nicely captured by the *maximum differential coloring problem* [5,15,16,23], which we slightly generalize in



(a) Colored with random assignment of colors (b) Colored with max. differential coloring

Fig. 1. Illustration of a map colored using the same set of colors obtained by the linear interpolation of blue and yellow. There is one country in the middle containing the vertices 40-49 which is fragmented into three small regions.

this paper: Given a map, define the *country graph* $G = (V, E)$ whose vertices represent countries, and two countries are connected by an edge if they share a non-trivial geographic boundary. Given two positive integers $d, k \in \mathbb{N}$, we say that G is (d, kn) -differential colorable if and only if there is a coloring of the n vertices of G with distinct numbers from 1 to kn (treated as *colors*), so that the *minimum color distance* between adjacent vertices of G is at least d . The *maximum k -differential coloring* problem asks for the largest value of d , called the *k -differential chromatic number* of G , so that G is (d, kn) -differential colorable. Note that the traditional *maximum differential coloring problem* corresponds to $k = 1$.

A natural reason to study the maximum k -differential coloring problem for $k > 1$ is that using more colors can help produce maps with larger differential chromatic number. Note, for example, that a star graph on n vertices has 1-differential chromatic number (or simply *differential chromatic number*) one, whereas its 2-differential chromatic number is $n + 1$. That is, by doubling the number of colors used, we can improve the quality of the resulting coloring by a factor of n . This is our main motivation for studying the maximum k -differential coloring problem for $k > 1$.

Related Work. The maximum differential coloring problem is a well-studied problem, which dates back in 1984, when Leung et al. [15] introduced it under the name “separation number” and showed its NP-completeness. It is worth mentioning though that the maximum differential coloring problem is also known as “dual bandwidth” [23] and “anti-bandwidth” [5], since it is the complement of the *bandwidth minimization problem* [17]. Due to the hardness of the problem, heuristics are often used for coloring general graphs, e.g., LP-formulations [8], memetic algorithms [1] and spectral based methods [13]. The differential chromatic number is known only for special graph classes, such as Hamming graphs [7], meshes [20], hypercubes [20,21], complete binary trees [22], complete m -ary trees for odd values of m [5], other special types of trees [22], and complements of interval graphs, threshold graphs and arborescent com-

parability graphs [14]. Upper bounds on the differential chromatic number are given by Leung et al. [15] for connected graphs and by Miller and Pritikin [16] for bipartite graphs. For a more detailed bibliographic overview refer to [2]. Note that in addition to map-coloring, the maximum differential coloring problem is motivated by the *radio frequency assignment problem*, where n transmitters have to be assigned n frequencies, so that interfering transmitters have frequencies as far apart as possible [12].

Our Contribution. In Section 2, we present preliminary properties and bounds on the k -differential chromatic number. One of them guarantees that any graph is $(1, n)$ -differential colorable; an arbitrary assignment of distinct colors to the vertices of the input graph guarantees a minimum color distance of one (see Lemma 1). So, the next reasonable question to ask is whether a given graph is $(2, n)$ -differential colorable. Unfortunately, this is already an NP-complete problem (for general graphs), since a graph is $(2, n)$ -differential colorable if and only if its complement has a Hamiltonian path [15]. This motivates the study of the $(2, n)$ -differential coloring problem for special classes of graphs. In Section 3, we present a complete characterization of bipartite, outer-planar and planar graphs that admit $(2, n)$ -differential colorings.

In Section 4, we double the number of available colors. As any graph is $(2, 2n)$ -differential colorable (due to Lemma 1; Section 2), we study the $(3, 2n)$ -differential coloring problem and we prove that it is NP-complete for general graphs (Theorem 4; Section 4). We also show that testing whether a given graph is $(k + 1, kn)$ -differential colorable is NP-complete (Theorem 5; Section 4). On the other hand, all planar graphs are $(\lfloor n/3 \rfloor + 1, 2n)$ -differential colorable (see Lemma 3; Section 2) and testing whether a given planar graph is $(\lfloor 2n/3 \rfloor, 2n)$ -differential colorable is shown to be NP-complete (Theorem 6; Section 4). In Section 5, we provide a simple ILP-formulation for the maximum k -differential coloring problem and experimentally compare the optimal results obtained by the ILP formulation for $k = 1$ and $k = 2$ with GMap, which is a heuristic based on spectral methods developed by Hu et al. [10]. We conclude in Section 6 with open problems and future work.

2 Preliminaries

The maximum k -differential coloring problem can be easily reduced to the ordinary differential coloring problem as follows: If G is an n -vertex graph that is input to the maximum k -differential coloring problem, create a disconnected graph G' that contains all vertices and edges of G plus $(k - 1) \cdot n$ isolated vertices. Clearly, the k -differential chromatic number of G is equal to the 1-differential chromatic number of G' . A drawback of this approach, however, is that few results are known for the ordinary differential coloring problem, when the input is a disconnected graph. In the following, we present some immediate upper and lower bounds on the k -differential chromatic number for connected graphs.

Lemma 1. *The k -differential chromatic number of a connected graph is at least k .*

Proof. Let G be a connected graph on n vertices. It suffices to prove that G is (k, kn) -differential colorable. Indeed, an arbitrary assignment of distinct colors from the set $\{k, 2k, \dots, kn\}$ to the vertices of G guarantees a minimum color distance of k . \square

Lemma 2. *The k -differential chromatic number of a connected graph $G = (V, E)$ on n vertices is at most $\lfloor \frac{n}{2} \rfloor + (k - 1)n$.*

Proof. The proof is a straightforward generalization of the proof of Yixun and Jin-jiang [23] for the ordinary maximum differential coloring problem. One of the vertices of G has to be assigned with a color in the interval $[\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor + (k - 1)n]$, as the size of this interval is $(k - 1)n + 1$ and there can be only $(k - 1)n$ unassigned colors. Since G is connected, that vertex must have at least one neighbor which (regardless of its color) would make the difference along that edge at most $kn - \lfloor \frac{n}{2} \rfloor = \lfloor \frac{n}{2} \rfloor + (k - 1)n$. \square

Lemma 3. *The k -differential chromatic number of a connected m -colorable graph $G = (V, E)$ on n vertices is at least $\lfloor \frac{(k-1)n}{m-1} \rfloor + 1$.*

Proof. Let $C_i \subseteq V$ be the set of vertices of G with color i and c_i be the number of vertices with color i , $i = 1, \dots, m$. We can show that G is $(\lfloor \frac{(k-1)n}{m-1} \rfloor + 1, kn)$ -differential colorable by coloring the vertices of C_i with colors from the following set: $[(\sum_{j=1}^{i-1} c_j) + 1 + (i - 1)\lfloor \frac{(k-1)n}{m-1} \rfloor, (\sum_{j=1}^i c_j) + (i - 1)\lfloor \frac{(k-1)n}{m-1} \rfloor]$ \square

3 The $(2,n)$ -Differential Coloring Problem

In this section, we provide a complete characterization of (i) bipartite graphs, (ii) outerplanar graphs and (iii) planar graphs that admit $(2, n)$ -differential coloring. Central to our approach is a result of Leung et al. [15] who showed that a graph G has $(2, n)$ -differential coloring if and only if the complement G^c of G is Hamiltonian. As a consequence, if the complement of G is disconnected, then G has no $(2, n)$ -differential coloring.

In order to simplify our notation scheme, we introduce the notion of *ordered differential coloring* (or simply *ordered coloring*) of a graph, which is defined as follows. Given a graph $G = (V, E)$ and a sequence $S_1 \rightarrow S_2 \rightarrow \dots \rightarrow S_k$ of k disjoint subsets of V , such that $\cup_{i=1}^k S_i = V$, an *ordered coloring* of G implied by the sequence $S_1 \rightarrow S_2 \rightarrow \dots \rightarrow S_k$ is one in which the vertices of S_i are assigned colors from $(\sum_{j=1}^{i-1} |S_j|) + 1$ to $\sum_{j=1}^i |S_j|$, $i = 1, 2, \dots, k$.

Theorem 1. *A bipartite graph admits a $(2, n)$ -differential coloring if and only if it is not a complete bipartite graph.*

Proof. Let $G = (V, E)$ be an n -vertex bipartite graph, with $V = V_1 \cup V_2$, $V_1 \cap V_2 = \emptyset$ and $E \subseteq V_1 \times V_2$. If G is a complete bipartite graph, then its complement is disconnected. Therefore, G does not admit a $(2, n)$ -differential coloring. Now, assume that G is not complete bipartite. Then, there exist at least two vertices, say $u \in V_1$ and $v \in V_2$, that are not adjacent, i.e., $(u, v) \notin E$. Consider the ordered coloring of G implied by the sequence $V_1 \setminus \{u\} \rightarrow \{u\} \rightarrow \{v\} \rightarrow V_2 \setminus \{v\}$. As u and v are not adjacent, it follows that the color difference between any two vertices of G is at least two. Hence, G admits a $(2, n)$ -differential coloring. \square

Lemma 4. *An outerplanar graph with $n \geq 6$ vertices, that does not contain $K_{1,n-1}$ as a subgraph, admits a 3-coloring, in which each color set contains at least 2 vertices.*

Proof. Let $G = (V, E)$ be an outerplanar graph with $n \geq 6$ vertices, that does not contain $K_{1,n-1}$ as a subgraph. As G is outerplanar, it admits a 3-coloring [18]. Let $C_i \subseteq V$ be the set of vertices of G with color i and c_i be the number of vertices with color i , that is $c_i = |C_i|$, for $i = 1, 2, 3$. W.l.o.g. let $c_1 \leq c_2 \leq c_3$. We further assume that each color set contains at least one vertex, that is $c_i \geq 1$, $i = 1, 2, 3$. If there is no set with less than 2 vertices, then the lemma clearly holds. Otherwise, we distinguish three cases:

Case 1: $c_1 = c_2 = 1$ and $c_3 \geq 4$. W.l.o.g. assume that $C_1 = \{a\}$ and $C_2 = \{b\}$. As G is outerplanar, vertices a and b can have at most 2 common neighbors. On the other hand, since G has at least 6 vertices, there exists at least one vertex, say $c \in C_3$, which is not a common neighbor of a and b . W.l.o.g. assume that $(b, c) \notin E$. Then, vertex c can be colored with color 2. Therefore, we derive a new 3-coloring of G for which we have that $c_1 = 1$, $c_2 = 2$ and $c_3 \geq 3$.

Case 2: $c_1 = 1$, $c_2 = 2$ and $c_3 \geq 3$: W.l.o.g. assume that $C_1 = \{a\}$ and $C_2 = \{b, b'\}$. First, consider the case where there exists at least one vertex, say $c \in C_3$, which is not a neighbor of vertex a . In this case, vertex c can be colored with color 1 and a new 3-coloring of G is derived with $c_1 = c_2 = 2$ and $c_3 \geq 3$, as desired. Now consider the more interesting case, where vertex a is a neighbor of all vertices of C_3 . As G does not contain $K_{1,n-1}$ as a subgraph, either vertex b or vertex b' is not a neighbor of vertex a . W.l.o.g. let that vertex be b , that is $(a, b) \notin E$. As G is outerplanar, vertices a and b' can have at most 2 common neighbors. Since G has at least 6 vertices and vertex a is a neighbor of all vertices of C_3 , there exist at least one vertex, say $c \in C_3$, which is not adjacent to vertex b' , that is $(b', c) \notin E$. Therefore, we can color vertex c with color 2 and vertex b with color 1 and derive a new 3-coloring of G for which we have that $c_1 = c_2 = 2$ and $c_3 \geq 2$, as desired.

Case 3: $c_1 = 1$, $c_2 \geq 3$ and $c_3 \geq 3$: W.l.o.g. assume that $C_1 = \{a\}$. Then, there exists at least one vertex, say $c \in C_2 \cup C_3$, which is not a neighbor of vertex a . In this case, vertex c can be colored with color 1 and a new 3-coloring of G is derived with $c_1 = c_2 = 2$ and $c_3 \geq 3$, as desired. \square

Lemma 5. *Let $G = (V, E)$ be an outerplanar graph and let V' and V'' be two disjoint subsets of V , such that $|V'| \geq 2$ and $|V''| \geq 3$. Then, there exist two vertices $u \in V'$ and $v \in V''$, such that $(u, v) \notin E$.*

Proof. The proof follows from the fact that an outerplanar graph is $K_{2,3}$ free. \square

Theorem 2. *An outerplanar graph with $n \geq 8$ vertices has $(2, n)$ -differential coloring if and only if it does not contain $K_{1,n-1}$ as subgraph.*

Proof. Let $G = (V, E)$ be an outerplanar graph with $n \geq 8$ vertices. If G contains $K_{1,n-1}$ as subgraph, then the complement G^c of G is disconnected. Therefore, G does not admit a $(2, n)$ -differential coloring. Now, assume that G does not contain $K_{1,n-1}$ as subgraph. By Lemma 4, it follows that G admits a 3-coloring, in which each color set contains at least two vertices. Let $C_i \subseteq V$ be the set of vertices with color i and $c_i = |C_i|$, for $i = 1, 2, 3$, such that $2 \leq c_1 \leq c_2 \leq c_3$. We distinguish the following cases:

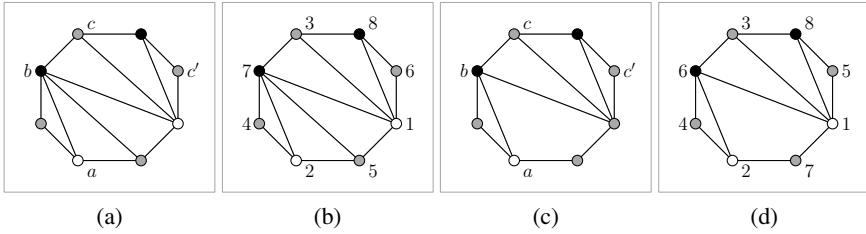


Fig. 2. (a) An outerplanar graph colored with 3 colors, white, black and grey (Case 1 of Thm. 2), and, (b) its $(2, n)$ -differential coloring. (c) Another outerplanar graph also colored with 3 colors, white, black and grey (Case 2 of Thm. 2), and, (d) its $(2, n)$ -differential coloring.

Case 1: $c_1 = 2, c_2 = 2, c_3 \geq 4$. Since $|C_1| = 2$ and $|C_3| \geq 4$, by Lemma 5 it follows that there exist two vertices $a \in C_1$ and $c \in C_3$, such that $(a, c) \notin E$. Similarly, since $|C_2| = 2$ and $|C_3 \setminus \{c\}| \geq 3$, by Lemma 5 it follows that there exist two vertices $b \in C_2$ and $c' \in C_3$, such that $c \neq c'$ and $(b, c') \notin E$; see Figure 2a-2b.

Case 2: $c_1 \geq 2, c_2 \geq 3, c_3 \geq 3$. Since $|C_1| = 2$ and $|C_3| \geq 3$, by Lemma 5 it follows that there exist two vertices $a \in C_1$ and $c \in C_3$, such that $(a, c) \notin E$. Similarly, since $|C_2| \geq 3$ and $|C_3 \setminus \{c\}| \geq 2$, by Lemma 5 it follows that there exist two vertices $b \in C_2$ and $c' \in C_3$, such that $c \neq c'$ and $(b, c') \notin E$; see Figure 2c-2d.

For both cases, consider the ordered coloring implied by the sequence $C_1 \setminus \{a\} \rightarrow \{a\} \rightarrow \{c\} \rightarrow C_3 \setminus \{c, c'\} \rightarrow \{c'\} \rightarrow \{b\} \rightarrow C_2 \setminus \{b\}$. As $(a, c) \notin E$ and $(b, c') \notin E$, it follows that the color difference between any two vertices of G is at least two. Hence, G admits a $(2, n)$ -differential coloring. \square

The next theorem gives a complete characterization of planar graphs that admit $(2, n)$ -differential colorings. Due to space constraints, the detailed proof (which is similar to the one of Theorem 2) is given in the full version [3].

Theorem 3. *A planar graph with $n \geq 36$ vertices has a $(2, n)$ -differential coloring if and only if it does not contain as subgraphs $K_{1,1,n-3}, K_{1,n-1}$ and $K_{2,n-2}$.*

Sketch of Proof. It can be shown that a planar graph G with $n \geq 36$ vertices, that does not contain as subgraphs $K_{1,1,n-3}, K_{1,n-1}$ and $K_{2,n-2}$, admits a 4-coloring, in which two color sets contain at least 2 vertices and the remaining two at least 5 vertices [3]. This together with a property similar to the one presented in Lemma 5 for outerplanar graphs implies that the complement of G is Hamiltonian and hence G has a $(2, n)$ -differential coloring [3]. \square

4 NP-completeness Results

In this section, we prove that the $(3, 2n)$ -differential coloring problem is NP-complete. Recall that all graphs are $(2, 2n)$ -differential colorable due to Lemma 1.

Theorem 4. *Given a graph $G = (V, E)$ on n vertices, it is NP-complete to determine whether G has a $(3, 2n)$ -differential coloring.*

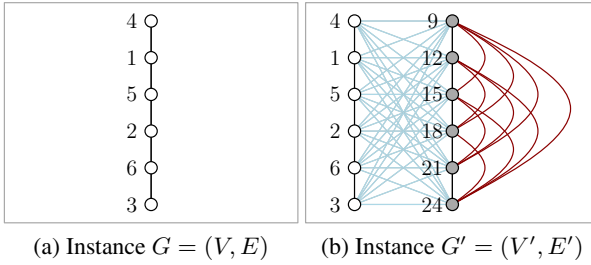


Fig. 3. (a) An instance of the $(3, n)$ -differential coloring problem for $n = 6$; (b) An instance of the $(3, 2n')$ -differential coloring problem constructed based on graph G

Proof. The problem is clearly in NP. In order to prove that the problem is NP-hard, we employ a reduction from the $(3, n)$ -differential coloring problem, which is known to be NP-complete [15]. More precisely, let $G = (V, E)$ be an instance of the $(3, n)$ -differential coloring problem, i.e., graph G is an n -vertex graph with vertex set $V = \{v_1, v_2, \dots, v_n\}$. We will construct a new graph G' with $n' = 2n$ vertices, so that G' is $(3, 2n')$ -differential colorable if and only if G is $(3, n)$ -differential colorable; see Figure 3.

Graph $G' = (V', E')$ is constructed by attaching n new vertices to G that form a clique; see the gray colored vertices of Figure 3b. That is, $V' = V \cup U$, where $U = \{u_1, u_2, \dots, u_n\}$ and $(u, u') \in E'$ for any pair of vertices u and $u' \in U$. In addition, for each pair of vertices $v \in V$ and $u \in U$ there is an edge connecting them in G' , that is $(v, u) \in E'$. In other words, (i) the subgraph, say G_U , of G' induced by U is complete and (ii) the bipartite graph, say $G_{U \times V}$, with bipartition V and U is also complete.

First, suppose that G has a $(3, n)$ -differential coloring and let $l : V \rightarrow \{1, \dots, n\}$ be the respective coloring. We compute a coloring $l' : V' \rightarrow \{1, \dots, 4n\}$ of G' as follows: (i) $l'(v) = l(v)$, for all $v \in V' \cap V$ and (ii) $l'(u_i) = n + 3i$, $i = 1, 2, \dots, n$. Clearly, l' is a $(3, 2n')$ -differential coloring of G' .

Now, suppose that G' is $(3, 2n')$ -differential colorable and let $l' : V' \rightarrow \{1, \dots, 2n'\}$ be the respective coloring (recall that $n' = 2n$). We next show how to compute the $(3, n)$ -differential coloring for G . W.l.o.g., let $V = \{v_1, \dots, v_n\}$ contain the vertices of G , such that $l'(v_1) < \dots < l'(v_n)$, and $U = \{u_1, \dots, u_n\}$ contains the newly added vertices of G' , such that $l'(u_1) < \dots < l'(u_n)$. Since G_U is complete, it follows that the color difference between any two vertices of U is at least three. Similarly, since $G_{U \times V}$ is complete bipartite, the color difference between any two vertices of U and V is also at least three. We claim that l' can be converted to an equivalent $(3, 2n')$ -differential coloring for G' , in which all vertices of V are colored with numbers from 1 to n , and all vertices of U with numbers from $n + 3$ to $4n$.

Let U' be a maximal set of vertices $\{u_1, \dots, u_j\} \subseteq U$ so that there is no vertex $v \in V$ with $l'(u_1) < l'(v) < l'(u_j)$. If $U' = U$ and $l'(v) < l'(u_1), \forall v \in V$, then our claim trivially holds. If $U' = U$ and $l'(v) > l'(u_j), \forall v \in V$, then we can safely recolor all the vertices in V' in the reverse order, resulting in a coloring that complies with our claim. Now consider the case where $U' \subsetneq U$. Then, there is a vertex $v_k \in V$ s.t.

$l'(v_k) - l'(u_j) \geq 3$. Similarly, we define $V' = \{v_k, \dots, v_l \in V\}$ to be a maximal set of vertices of V , so that $l'(v_k) < \dots < l'(v_l)$ and there is no vertex $u \in U$ with $l'(v_k) < l'(u) < l'(v_l)$. Then, we can safely recolor all vertices of $U' \cup V'$, such that: (i) the relative order of the colors of U' and V' remains unchanged, (ii) the color distance between v_l and u_1 is at least three, and (iii) the colors of U' are strictly greater than the ones of V' . Note that the color difference between u_j and u_{j+1} and between v_{k-1} and v_k is at least three after recoloring, i.e., $l'(u_{j+1}) - l'(u_j) \geq 3$ and $l'(v_k) - l'(v_{k-1}) \geq 3$. If we repeat this procedure until $U' = U$, then the resulting coloring complies with our claim. Thus, we obtain a $(3, n)$ -differential coloring l for G by assigning $l(v) = l'(v), \forall v \in V$. \square

Theorem 5. *Given a graph $G = (V, E)$ on n vertices, it is NP-complete to determine whether G has a $(k + 1, kn)$ -differential coloring.*

Sketch of Proof. Based on an instance $G = (V, E)$ of the $(k + 1, n)$ -differential coloring problem, which is known to be NP-complete [15], construct a new graph $G' = (V', E')$ with $n' = kn$ vertices, by attaching $n(k - 1)$ new vertices to G , as in the proof of Theorem 4. Then, using a similar argument as above, we can show that G has a $(k + 1, n)$ -differential coloring if and only if G' has a $(k + 1, kn')$ -differential coloring.

The NP-completeness of 2-differential coloring in Theorem 4 was about general graphs. Next, we consider the complexity of the problem for planar graphs. Note that from Lemma 2 and Lemma 3, it follows that the 2-differential chromatic number of a planar graph on n -vertices is between $\lfloor \frac{n}{3} \rfloor + 1$ and $\lfloor \frac{3n}{2} \rfloor$ (a planar graph is 4-colorable). The next theorem shows that testing whether a planar graph is $(\lfloor 2n/3 \rfloor, 2n)$ -differential colorable is NP-complete. Since this problem can be reduced to the general 2-differential chromatic number problem, it is NP-complete to determine the 2-differential chromatic number even for planar graphs.

Theorem 6. *Given an n -vertex planar graph $G = (V, E)$, it is NP-complete to determine if G has a $(\lfloor 2n/3 \rfloor, 2n)$ -differential coloring.*

Proof. The problem is clearly in NP. To prove that the problem is NP-hard, we employ a reduction from the well-known 3-coloring problem, which is NP-complete for planar graphs [11]. Let $G = (V, E)$ be an instance of the 3-coloring problem, i.e., G is an n -vertex planar graph. We will construct a new planar graph G' with $n' = 3n$ vertices, so that G' is $(\lfloor 2n'/3 \rfloor, 2n')$ -differential colorable if and only if G is 3-colorable.

Graph $G' = (V', E')$ is constructed by attaching a path $v \rightarrow v_1 \rightarrow v_2$ to each vertex $v \in V$ of G ; see Figure 4a-4b. Hence, we can assume that $V' = V \cup V_1 \cup V_2$, where V is the vertex set of G , V_1 contains the first vertex of each 2-vertex path and V_2 the second vertices. Clearly, G' is a planar graph on $n' = 3n$ vertices. Since G is a subgraph of G' , G is 3-colorable if G' is 3-colorable. On the other hand, if G is 3-colorable, then G' is also 3-colorable: for each vertex $v \in V$, simply color its neighbors v_1 and v_2 with two distinct colors different from the color of v . Next, we show that G' is 3-colorable if and only if G' has a $(\lfloor 2n'/3 \rfloor, 2n')$ -differential coloring.

First assume that G' has a $(\lfloor 2n'/3 \rfloor, 2n')$ -differential coloring and let $l : V' \rightarrow \{1, \dots, 2n'\}$ be the respective coloring. Let $u \in V'$ be a vertex of G' . We assign a color

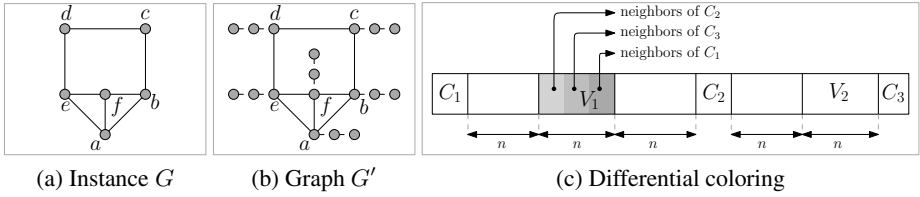


Fig. 4. (a) An instance of the 3-coloring problem; (b) An instance of the $(\lfloor 2n'/3 \rfloor, 2n')$ -differential coloring problem constructed based on graph G ; (c) The $(\lfloor 2n'/3 \rfloor, 2n')$ -differential coloring of G' , in the case where G is 3-colorable

$c(u)$ to u as follows: $c(u) = i$, if $2(i - 1)n + 1 \leq l(u) \leq 2in$, $i = 1, 2, 3$. Since l is a $(\lfloor 2n'/3 \rfloor, 2n')$ -differential coloring, no two vertices with the same color are adjacent. Hence, coloring c is a 3-coloring for G' .

Now, consider the case where G' is 3-colorable. Let $C_i \subseteq V$ be the set of vertices of the input graph G with color i , $i = 1, 2, 3$. Clearly, $C_1 \cup C_2 \cup C_3 = V$. We compute a coloring l of the vertices of graph G' as follows (see Figure 4c):

- Vertices in C_1 are assigned colors from 1 to $|C_1|$.
- Vertices in C_2 are assigned colors from $3n + |C_1| + 1$ to $3n + |C_1| + |C_2|$.
- Vertices in C_3 are assigned colors from $5n + |C_1| + |C_2| + 1$ to $5n + |C_1| + |C_2| + |C_3|$.
- For a vertex $v_1 \in V_1$ that is a neighbor of a vertex $v \in C_1$, $l(v_1) = l(v) + 2n$.
- For a vertex $v_1 \in V_1$ that is a neighbor of a vertex $v \in C_2$, $l(v_1) = l(v) - 2n$.
- For a vertex $v_1 \in V_1$ that is a neighbor of a vertex $v \in C_3$, $l(v_1) = l(v) - 4n$.
- For a vertex $v_2 \in V_2$ that is a neighbor of a vertex $v_1 \in V_1$, $l(v_2) = l(v_1) + 3n + |C_2|$.

From the above, it follows that the color difference between (i) any two vertices in G , (ii) a vertex $v_1 \in V_1$ and its neighbor $v \in V$, and (iii) a vertex $v_1 \in V_1$ and its neighbor $v_2 \in V_2$, is at least $2n = \lfloor \frac{2n'}{3} \rfloor$. Thus, G' is $(\lfloor 2n'/3 \rfloor, 2n')$ -differential colorable. \square

5 An ILP for the Maximum k -Differential Coloring Problem

In this section, we describe an integer linear program (ILP) formulation for the maximum k -differential coloring problem. Recall that an input graph G to the maximum k -differential coloring problem can be easily converted to an input to the maximum 1-differential coloring by creating a disconnected graph G' that contains all vertices and edges of G plus $(k - 1) \cdot n$ isolated vertices. In order to formulate the maximum 1-differential coloring problem as an integer linear program, we introduce for every vertex $v_i \in V$ of the input graph G a variable x_i , which represents the color assigned to vertex v_i . The 1-differential chromatic number of G is represented by a variable OPT , which is maximized in the objective function. The exact formulation is given below. The first two constraints ensure that all vertices are assigned colors from 1 to n . The third constraint guarantees that no two vertices are assigned the same color, and the fourth constraint maximizes the 1-differential chromatic number of the graph. The first three constraints also guarantee that the variables are assigned integer values.

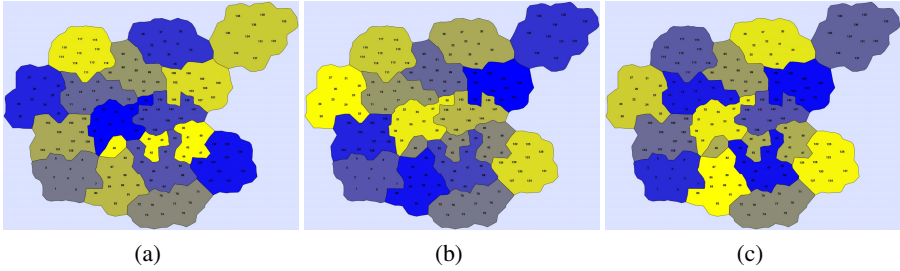


Fig. 5. A map with 16 countries colored by: (a) GMap [10], (b) ILP-n, (c) ILP-2n

$$\begin{array}{ll}
 \mathbf{maximize} & OPT \\
 \mathbf{subject\ to} & x_i \leq n \quad \forall v_i \in V \\
 & x_i \geq 1 \quad \forall v_i \in V \\
 & |x_i - x_j| \geq 1 \quad \forall (v_i, v_j) \in V^2 \\
 & |x_i - x_j| \geq OPT \quad \forall (v_i, v_j) \in E
 \end{array}$$

Note that a constraint that uses the absolute value is of the form $|X| \geq Z$ and therefore can be replaced by two new constraints: (i) $X + M \cdot b \geq Z$ and (ii) $-X + M \cdot (1 - b) \geq Z$, where b is a binary variable and M is the maximum value that can be assigned to the sum of the variables, $Z + X$. That is, $M = 2n$. If b is equal to zero, then the two constraints are $X \geq Z$ and $-X + M \geq Z$, with the second constraint always true. On the other hand, if b is equal to one, then the two constraints are $X + M \geq Z$ and $-X \geq Z$, with the first constraint always true.

Next, we study two variants of the ILP formulation described above: ILP-n and ILP-2n, which correspond to $k = 1$ and $k = 2$, and compare them with GMap, which is a heuristic based on spectral methods developed by Hu et al. [10].

Our experiment’s setup is as follow. We generate a collection of 1,200 synthetic maps and analyze the performance of ILP-n and ILP-2n, on an Intel Core i5 1.7GHz processor with 8GB RAM, using the CPLEX solver. For each map a country graph $G_c = (V_c, E_c)$ with n countries is generated using the following procedure. (1) We generate $10n$ vertices and place an edge between pairs of vertices (i, j) such that $\lfloor \frac{i}{10} \rfloor = \lfloor \frac{j}{10} \rfloor$, with probability 0.5, thus resulting in a graph G with approximately n clusters. (2) More edges are added between all pairs of vertices with probability p , where p takes the values $1/2, 1/4 \dots 2^{-10}$. (3) Ten random graphs are generated for different values of p . (4) Graph G is used as an input to a map generating algorithm (available as the `Graphviz` [9] function `gvmap`), to obtain a map M with country graph G_c . A sample map generated by the aforementioned procedure is shown in Figure 5.

Note that the value of p determines the “fragmentation” of the map M , i.e., the number of regions in each country, and hence, also affects the number of edges in the country graph. When p is equal to $1/2$, the amount of extra edges is enough to make almost all regions adjacent and therefore the country graph is a nearly complete graph, whereas for p equal to 2^{-10} , the country graph is nearly a tree. To determine a suitable range for the number of vertices in the country graph, we evaluated real world datasets, such as those available at `gmap.cs.arizona.edu`. Even for large graphs with over 1,000 vertices, the country graphs tend to be small, with less than 16 countries.

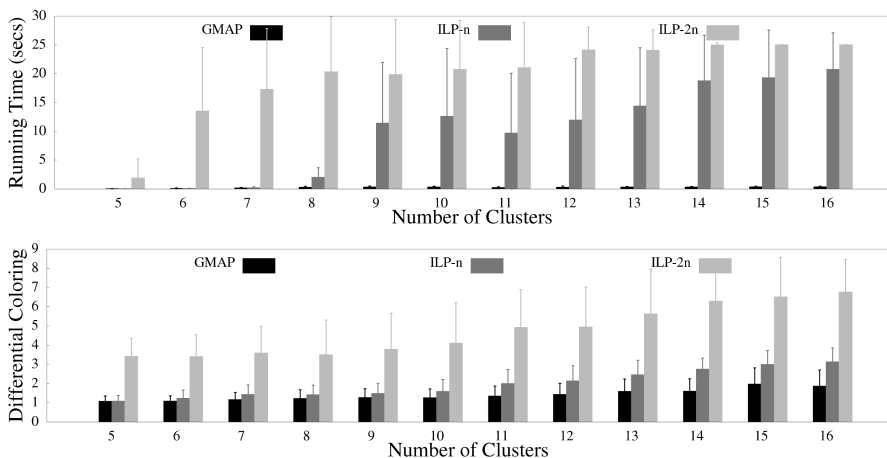


Fig. 6. Running-time results and differential coloring performance for all algorithms

Figure 6 summarizes the experimental results. Since n is ranging from 5 to 16, the running times of both ILP- n and ILP- $2n$ are reasonable, although still much higher than GMap. The color assignments produced by ILP- n and GMap are comparable, while the color assignment of ILP- $2n$ results in the best minimum color distance. Note that in the presence of twice as many colors as the graph’s vertices, it is easier to obtain higher color difference between adjacent vertices. However, this comes at the cost of assigning pairs of colors that are more similar to each other for non-adjacent vertices, as it is also the case in our motivating example from the Introduction where G is a star.

6 Conclusion and Future Work

In this paper, we gave complete characterizations of bipartite, outerplanar and planar graphs that admit $(2, n)$ -differential colorings (which directly lead to polynomial-time recognition algorithms). We also generalized the problem for more colors than the number of vertices in the graph and showed that it is NP-complete to determine whether a graph admits a $(3, 2n)$ -differential coloring. Even for planar graphs, the problem of determining whether a graph is $(\lfloor 2n/3 \rfloor, 2n)$ -differential colorable remains NP-hard.

Several related problems are still open: (i) Is it possible to characterize which bipartite, outerplanar or planar graphs are $(3, n)$ -differential colorable? (ii) Extend the characterizations for those planar graphs that admit $(2, n)$ -differential colorings to 1-planar graphs. (iii) Extend the results above to (d, kn) -differential coloring problems with larger $k > 2$. (iv) As all planar graphs are $(\lfloor \frac{n}{3} \rfloor + 1, 2n)$ -differential colorable, is it possible to characterize which planar graphs are $(\lfloor \frac{n}{3} \rfloor + 2, 2n)$ -differential colorable? (v) Since it is NP-complete to determine the 1-differential chromatic number of a planar graph [2], a natural question to ask is whether it is possible to compute in polynomial time the corresponding chromatic number of an outerplanar graph.

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