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# Hominoid Cranial Diversity and Adaptation

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## Abstract

The hominoid cranium represents a tightly constrained, functionally and developmentally integrated structure subject to multiple selective influences. Modern apes are the remnant of a much more diverse radiation, raising issues about their suitability as models for earlier hominoids. Among gibbons the folivorous siamang is cranially distinctive. The markedly airorhynchous *Pongo* is cranially highly variable and lacks the anterior digastric muscle, thereby contrasting with other hominoids (except *Khoratpithecus*). African apes share a common cranial pattern differentiated by varying growth rates, not duration. Airorhynchy is common among fossil hominoids and differentiates hominoids from non-hominoids, suggesting that African ape klinorhynchy is derived. Bonobos are cranially smaller, lighter, and less dimorphic than chimpanzees. These are comparatively uniform, with extensive overlap between subspecies, whereas gorillas display considerable contrasts, especially between east and west populations. Early Miocene hominoids are already cranially diverse, with most species probably soft- or hard-fruit feeders. Middle and Late Miocene forms from Africa, Europe, and Western Asia are thicker enameled with more strongly constructed crania suggesting harder diets, although *Dryopithecus* (soft frugivory) and *Oreopithecus* (folivory) are exceptions. South and East Asian fossil hominoid diets ranged from soft fruits through harder items to bulky, fibrous vegetation. All extant ape crania are relatively lightly constructed compared with fossil forms, again prompting questions about their suitability as adaptive models of earlier hominoids.

## Introduction

The hominoid fossil record has expanded markedly over the last two decades, sufficiently to indicate marked morphological diversity. This in turn reflects a major radiation or, more likely, series of radiations. The great bulk of the material is from Miocene contexts – apart from hominins there is still comparatively little from Plio-Pleistocene deposits – so that fossil and living hominoids are largely detached from one another. Whatever the details of this array, it is clear that the extant nonhuman apes represent but the surviving fragment of a significantly more numerous, geographically more extensive, and ecologically more diverse group of catarrhine primates. The extremely restricted modern comparative base and its (at best) tenuous links with the earlier material pose real challenges for adaptive and phylogenetic interpretations of the fossil hominoid record.

An outcome of this is that detailed phylogenies often differ appreciably from author to author, depending on the significance accorded to particular apomorphies and on the extent to which other similarities are deemed homoplasies. The upshot is a whole series of individual phylogenies and widespread disagreement about the status of particular groups which usually translate through into the taxonomies preferred by individual researchers. Since the thrust of this chapter is primarily adaptational, we do not concern ourselves with taxonomic or phylogenetic details; in what follows suprageneric categories are used informally and generally follow majority consensus usage. For those requiring more detailed information on phylogenetic issues concerning the Miocene hominoid record, the chapters “► [Fossil Record of Miocene Hominoids](#)” and “► [Postcranial and Locomotor Adaptations of Hominoids](#),” Vol. 2, and the papers by Harrison (2002), and contributors in Hartwig (2002) are excellent recent surveys.

Cranial form is influenced by multiple factors. Functionally, the head houses the visual, olfactory, and auditory organs and those of vocalization, taste, and balance; it contains the openings for the respiratory and alimentary tracts; and it houses and protects the brain. It incorporates structures for food acquisition and processing, while postural and respiratory factors influence basicranial morphology. Its superficial tissues may be patterned and convey information to conspecifics about sex and ontogenetic status. The interplay of these features, and especially the size and configuration of those concerned with food processing relative to neurocranial proportions, may lead to the development of external structures such as crests and tori on the skull. There are clearly intense selection pressures determining effective developmental and functional integration of these varied aspects of cranial function throughout the individual life cycle.

Fleagle et al. (2010) undertook a 3D geometric morphometric study (Procrustes and Principal Components Analysis) of primate crania to quantify broad aspects of cranial diversity. Their first PC differentiated on cranial flexion, orbit size and orientation, and relative brain size, while PC 2 reflected differences in cranial height and snout length. *Eulemur*, *Mandrillus*, *Pongo*, and *Homo* represent the limits in cranial shape. Overall, hominoids display the greatest diversity in cranial

shape among extant primate clades, although much of this is driven by the atypical and highly distinctive cranium of *H. sapiens*.

Adult African apes including humans, known as hominids, share a broadly common pattern of covariation in cranial traits, with the oral and zygomatic regions primary integrative influences and with a lesser contribution from the nasal region, i.e., those craniofacial components primarily associated with mastication (Ackermann 2002, 2005). This differs from the pattern in both Old and New World monkeys, in which the oral region is the exclusive primary contributor to facial integration. Ackermann suggests that this contrast may reflect innovatory functional or developmental shifts after the differentiation of hominoids from other Anthroidea or be an allometric consequence of increased body size. Orangutans and gibbons were not represented in the analyses, but the extent to which they share the primary oral/zygomatic integrative pattern should help decide between these possibilities and assist in determining whether the pattern is a hominoid or hominid synapomorphy.

There are similar allometric patterns in the midface and common opposite relationships between lower and upper face in the adults. Whereas visual inspection and morphological distance place adult *Pan troglodytes* and *Gorilla gorilla* close together and *Homo sapiens* distant, craniofacial covariation patterns accord with molecular data in indicating closer affinity between *P. troglodytes* and *H. sapiens*, with *G. gorilla* distant (Ackermann 2002). Such concordance, however, does not hold throughout ontogeny, with differing patterns of affinity between juveniles and subadults of the above taxa on the one hand and infants and “adolescents” on the other (Ackermann 2005).

Nonetheless, some general patterns emerge: in particular, across the species earlier and later (subadult and adult) integration appears to reflect different drivers. Oral integration is especially influential in the earlier stages, as well as thereafter, but there are specific differences in the onset of zygomatic integration. In *P. paniscus* and *P. troglodytes*, it appears during the juvenile/adolescent periods, whereas in *Gorilla* it occurs from infancy, perhaps a correlate of its rapid growth. In all species, zygomatic integration intensifies in later ontogeny. Where evident, nasal integration occurs in mid-/late ontogeny, its intensity varying inversely with oral integration, suggesting that separate developmental modularities underlie these regions. While the most highly integrated species as adults, humans are more developmentally labile than the other African apes prior to maturity. While differing in detail, however, all species show a common pattern of intensified integration throughout development, with a particular shift toward more constrained variation around sexual maturity or just after. The extent to which these similarities reflect shared, genetically determined, developmental pathways, or common selection pressures associated with vital functional requirements – the need for effective food processing mechanisms, for instance – remains to be determined. In the latter case, some proportion of the resemblance could be homoplastic.

A recent study by Singh et al. (2012) based on covariation in 56 morphometric landmarks representing the functional modules of the face, vault, and basicranium (Moss and Young 1960; Moss 1973) extends the analysis of cranial integration to

include *Pongo* as well as the African apes. The results point to complex integrated shape changes, but despite marked contrasts in adult cranial morphology, all species display close similarities in covariation patterns between the face, basicranium, and vault. The implication is that the pattern of hominoid cranial integration has been conserved at least since the separation of the Asian ape and hominid clades, presumably due to strong stabilizing selection constraining developmental processes.

While some cranial features are relatively invariant in catarrhines (e.g., positioning of orbits; structure of the auditory region), others (e.g., orbital size and shape) are highly variable within genera, species, and even subspecies (Seiffert and Kappelman 2001). Some features seem to be determined less by their “primary” function than by influences reflecting the interactions of other functional systems; e.g., the size and proportions of the orbits appear to be determined more by the growth trajectories of the mid- and upper face and by requirements to resist the biomechanical forces generated by food processing as they affect those regions than by the dimensions of the visual organs housed within them (Schultz 1940). Other traits (e.g., the structure of the nasal floor and premaxilla/palatal relationships; Ward and Kimbel 1983; Ward and Pilbeam 1983) exhibit contrasts, the functional basis of which is poorly understood, but which serve as useful phylogenetic indicators (see below).

The compilation of long lists of character states as the raw data for computer-based cladistic analyses has been criticized by some (Rak 1983; Suwa et al. 1997; Asfaw et al. 1999, 2002) as resulting in the fragmentation or “atomization” of morphology as multiple discrete traits, rather than an integrated whole. It is therefore worth noting here the recent accounts that stress the importance of broader functional and developmental perspectives in analyzing morphology and its evolutionary/phylogenetic and adaptive contexts (Lovejoy et al. 1999, 2003; Lieberman et al. 2000a, b; McCollum and Sharpe 2001; Rae and Koppe 2000; Ackermann 2002, 2005; Singh et al. 2012). These build upon earlier studies such as those of Moss and Young (1960), Moss (1973), Enlow (1968, 1990), and Cheverud (1982, 1996); and biomechanical analyses such as that of Endo (1966); see also Rak (1983).

An example of this approach is McCollum’s analysis of *Paranthropus* cranial morphology (McCollum 1997, 1999; McCollum and Sharpe 2001), which concludes that limited changes in the relative growth rates of jaws and teeth on the one hand and of the orbit and upper face on the other would be sufficient to produce in mature individuals the distinctive set of features that characterize the robust australopithecine cranium/face. Such growth rate changes are doubtless under simple, limited genetic control and, as such, are readily elicited in appropriate selective contexts. It is not difficult to envisage comparable pressures operating on Miocene hominoids, and so a variety of cranial forms thereby rapidly resulting from relatively limited genetic changes. So, for example, the contrasting morphologies of *Proconsul* and *Afropithecus* might both be derived relatively simply from an Oligocene precursor such as *Aegyptopithecus*, and purely phenetic measures of affinity between these forms could be seriously awry as indicators of phylogenetic relationship.

One outcome of cladistic studies has been the general recognition of the pervasiveness of homoplasy in the fossil record. From an adaptive perspective, instances of homoplasy can provide important clues as to the contexts of, and likely selective forces impacting on, hominoid communities. In such cases, the influence of phylogenetic constraint and contingency may be considerable. Minor initial differences between spatially distributed populations of a single species (or of closely related species), when further influenced by bottlenecking or other stochastic factors – easily occurring in small, localized arboreal groups, where gaps in tree cover impede gene flow – may result in significantly different morphological outcomes as responses to common selection pressures associated with similar niches. The evolution from the nasal/palatal structure seen in *Proconsul* and other Early Miocene forms of distinct anatomical configurations for that region in Middle/Late Miocene Afro-European and Asian hominoids may be an example of such a process and its outcomes.

A fundamental division of extant hominoids is that between gibbons (hylobatids) and large-bodied apes – the Asian orangutan (*Pongo*) and the African chimpanzee and bonobo (*Pan*), gorilla (*Gorilla*), and human (*Homo*), although the last taxon will be discussed elsewhere. *Pongo* and *Pan* are both largely frugivorous, with common dental adaptations (large anterior teeth and relatively small cheek teeth with enamel wrinkling) but differing in cranial features, whereas the more herbivorous *Gorilla* closely resembles *Pan* cranially despite its contrasting dietary niche (see below). These differing patterns of affinity illustrate the importance of developmental constraints and phylogenetic inertia in determining morphology and thus the lack of any necessary one-to-one correspondence between morphology and adaptation (for further discussion of this, see below).

It is possible in principle to extend the limited insights provided by the few extant great apes into the earlier radiation by supplementing them with modeling based on early hominins, which can be thought of as phenetically and adaptively “apes” in some respects. Apart from the dangers of circular reasoning (using modern ape data as inputs into constructing early hominin models that are then used to “extend” the ape comparator base) and the appropriateness of such models (what form and degree of terrestrial orthogrady, if any, is compatible with using hominins as analogues for non-hominins?), however, there are major issues of contextual relevance.

All extant apes (here and throughout meaning non-hominin hominoids) and early hominins are essentially from tropical contexts (forest, woodland, and savannah) with none present in higher latitudes, reflecting a comparatively narrow environmental range compared with earlier ape habitats. Even incorporating early hominins within the comparator base provides a time depth of little more than 4+ Myr, characterized by broadly modern faunas that include groups rare or absent in the earlier record. In contrast, Miocene hominoids are components of markedly distinct and diverse faunas, often including entire mammalian families now extinct. So community relationships within earlier faunas will have differed from contemporary ecological webs, and the place(s) of earlier hominoids in their ecological communities are unlikely to correspond closely to those of modern ape analogues.

An obvious primate example of this is the expansion and radiation of cercopithecoids over the last 10 Myr or thereabouts, so forming a major dimension of the community ecology of all recent hominoids, unlike that of earlier taxa. Floral communities also fluctuated as climatic conditions changed, with notable contrasts between Early to Middle Miocene habitats and those of the Late Miocene and Pliocene.

Against these differentiating features are some factors that make for modeling continuity: the range of potential (plant) food items is limited, and their physical properties even more so, limiting the nature and magnitude of the masticatory forces influencing hominoid cranial morphology. Metabolic and biomechanical constraints on body size and on locomotor form and activity, allometric influences on growth, and the functional and developmental interdependences of cranial form noted above all allow for a more comparative approach to hominid cranial variation. Below we review the probable ancestral condition for Hominoidea, then examine some aspects of cranial form in extant nonhuman hominoids before summarizing craniodental information on the more complete fossil forms.

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## Ancestral Hominoid Cranial Morphology

The combination of outgroup analysis of extant forms and the morphology of stem catarrhines provides an indication of the ancestral hominoid cranial morphotype. The Fayum fossil primates represent an early diversification of basal catarrhines, presumably reflecting dietary specialization. For example, the small and dentally and gnathically primitive *Catopithecus* (35.5–36 Ma) combines the characteristic 2.1.2.3 dental formula, postorbital closure (primarily formed from the zygomatic), fused frontals, and  $C^1/P_3$  honing facet with triangular upper molars with only limited hypocone development and lower molars with high trigonids and sharp crests. *Catopithecus* had a deep and projecting face, with an especially broad premaxilla; small, widely separated orbits; and a small neurocranium with anteriorly prominent temporal lines merging to form a sagittal crest along the rear half of the vault and well-developed nuchal crests. The tympanic region is like that of platyrrhines, not catarrhines, and the mandibular symphysis is unfused. The anterior dentition displays broad, spatulate incisors and projecting, dimorphic canines, suggesting a predominantly frugivorous niche.

Many of these features, including the contribution of the premaxilla to facial proportions, small neurocranium with marked muscle attachments and pronounced ectocranial cresting, and ceboid-like tympanic region, are also seen in the younger (33.1–33.4 Mya) and dentally more derived propliopithecid *Aegyptopithecus*. The zygomatic is again deep and the face in general strongly constructed, with a characteristic angled profile, and the mandibular symphysis is fused. The gonial region is strongly constructed and the ramus broad and high. The interorbital distance is again broad, with bony septa separating the high, narrow orbits and the interorbital region projects anteriorly from the medial orbital margins. Semi-circular supraorbital tori extend over each orbit and, meeting medially, anteriorly

bound a diamond-shaped frontal planum, whose posterior limits are defined by the anterior temporal lines. The anterior teeth are small compared to the postcanine dentition, making a narrow anterior palate. The molars are inflated and highly bunodont, especially the second, and the elongated lower third molar has a centrally placed hypoconulid; the trigonid is reduced in occlusal area and height and lacks the paraconid, while the talonid is expanded with a large distal fovea. The upper molars are quadritubercular, with a well-developed hypocone. There is marked canine dimorphism, with upper canine honing capabilities increased by a lengthening of the anterior surface of P<sub>3</sub>. Overall morphology points to the generation of greater occlusal pressures than in *Catopithecus* and a craniofacial form better able to withstand the resulting forces.

When the details of stem catarrhine facial morphology are considered with the evidence from extant outgroups of the Catarrhini (e.g., Platyrrhini), it is possible to infer the major changes that underlie the ancestral hominoid craniofacial skeleton. Unlike stem catarrhines or platyrrhines, hominoids are characterized by a palate that is wide at the level of the canines, nasals that are nonprojecting and lie near the medial orbital margin in transverse section, and a premaxillomaxillary suture that contacts the nasals inferiorly near the nasal aperture (Rae 1999). Unlike previous interpretations, it is also evident that the overall shape of the ancestral hominoid morphotype is more cercopithecine-like (Benefit and McCrossin 1991), with tall zygoma and a deep face. This suggests that the shared craniofacial configuration of gibbons and colobine monkeys (short face, sloping zygoma) is convergent.

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## Extant Hominoids

### *Hylobates*

Gibbons represent a radiation of small-bodied, brachiating suspensory hominoid species with attendant postcranial specializations, distinguished from each other primarily by pelage color and patterning and by vocalization. Four main groups are usually recognized, sometimes accorded subgeneric or generic rank, depending on the author. Three groups – *Hylobates hoolock*, *H. concolor*, and *H. syndactylus* – are comparatively well defined; the *H. lar* group is more problematic. Valuable reviews of extant gibbon characteristics and diversity include Groves (1972), Marshall and Sugardjito (1986), and Groves (2001); see also Geissmann (2002) and Mootnick and Groves (2005) for recent findings on gibbon diversity that support generic distinction, although the traditional use of the single genus *Hylobates* is maintained here.

Gibbons are craniodentally primitive in some characteristics (see above), compared with other extant hominoids, whether by plesiomorphy (McNulty 2004) or reversal (Rae 2004); appreciation of this led to the realization that similarities with Miocene taxa, such as *Limnopithecus* and *Pliopithecus*, previously taken as grounds for regarding these as likely gibbon ancestors, do not betoken any especially close phylogenetic relationship. The upshot is that, in the absence of a fossil record other



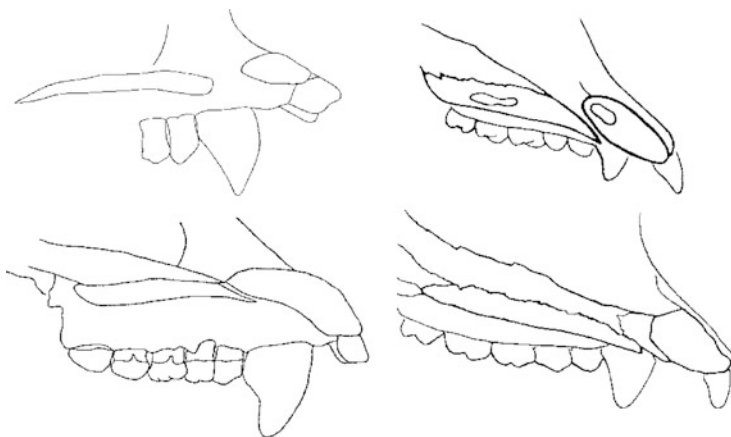
**Fig. 1** (*Upper*) Frontal and profile views of *H. hoolock* skull (Photograph © The Grant Museum of Zoology, University College London). (*Lower*) Frontal and profile views of *H. symphylangus* skull (Photograph courtesy C.P. Groves)



than dental remains from Quaternary deposits of China and Indonesia referable to the modern genus, the early evolutionary history of gibbons is wholly obscure.

Overall, the *Hylobates* skull is rather lightly constructed (Fig. 1). The neurocranium is thin walled and the vault low and ovoid in profile, with a capacity of about 80–125 cm<sup>3</sup>. The frontal extends rearward between the parietals, and in most individuals the sphenoid sutures with the parietal on the vault wall. The orbits are rectangular and relatively large, with strongly developed lateral margins; a torus also develops laterally above the orbits but is not continuous, fading out medially. The lacrimal fossa extends beyond the orbital rim onto the maxilla, and the interorbital breadth is large; the short, broad nasals are usually fused above the ovoid nasal aperture. Overall the face is short, broad, and fairly projecting. Within the nasal cavity, the premaxilla and maxillary palatine process are separated by broad palatine fenestra linking the nasal and oral cavities (Fig. 2 upper left); the vomer extends only as far as the fenestra, and the bony nasal septum is continued anteriorly by the premaxillary prevomer, which fuses to the vomer and forms a small bony crest in the incisive region in all gibbon species except the smallest, *H. klossi* (McCollum and Ward 1997). The palate and mandible are long; both corpus and symphysis are comparatively lightly built, although external thickening of the latter may be evident in some individuals, as well as the usual internal reinforcement by a superior transverse torus. The ramus is short, broad, and vertical, with some expansion of the gonial region.

Reflecting gibbons' predominantly frugivorous niche, the anterior dental arcade is relatively broad compared with the rear. The upper incisors are markedly heterodont – I<sup>1</sup> broad and spatulate and I<sup>2</sup> narrow and pointed – the lowers more similar, vertically implanted, and subequal in size. The canines are long, curving, transversely slightly narrowed, and sharply pointed, with minimal sexual



**Fig. 2** Subnasal morphology of hominoids seen in sagittal section. *Upper left: Morotopithecus*, showing no overlap of the premaxilla on the maxilla (the primitive condition seen in extant *Hylobates* and most fossil hominoids). *Lower left: Pongo*, showing the smooth overlapped subnasal condition also seen in *Sivapithecus*. *Right: Pan* (*upper*) and *Gorilla* (*lower*) showing the stepped overlapped condition usual in extant African apes (Modified after Ward and Kimbel (1983))

dimorphism. There is well-developed honing of the upper canine against the long, highly compressed anterior face of the sectorial  $P_3$ , which is orientated in line with the molars. Cheek teeth exhibit considerable metric and morphological variation, but the rear molars are usually reduced compared with the first and especially the second molars except in *H. (Symphalangus) syndactylus* (see below).

The basicranium is long, with the foramen magnum and occipital condyles well behind the auditory meatus; there is no distinct mastoid process. The nuchal area is quite extensive, rising well up the occipital, with a distinct crest laterally that usually fades medially, although it may be continuous in some individuals. A sagittal crest is usually absent but may occur in small-brained individuals.

Detailed accounts of intra- and interspecific variation in *Hylobates* are given in Groves (1972, 2001) and Marshall and Sugardjito (1986) as above. Albrecht and Miller (1993) summarize their reanalysis, with caveats, of Creel and Preuschoft's (1976) craniometric data: canonical variate analysis (CVA) reveals *H. hoolock*, *H. concolor*, and *H. syndactylus* as cranially distinct from each other and from the *H. lar* group. This consists of a primary cluster including *H. lar*, *H. agilis*, *H. moloch*, and *H. muelleri* subspecies, with *H. pileatus* as an outlier and *H. l. vestitus* and *H. klossi* grouped together as a second, distinct, outlier. A subsequent analysis (Creel and Preuschoft 1984) produced patterns of resemblance that generally accord with geographical distribution but not always with the usually recognized species limits. A recent study by Leslie (2010) extends analysis to the relative orientation of internal cranial features and their variation across the recognized hylobatid groupings; the findings generally accord with those of the earlier studies based on external cranial features.

**Fig. 3** Frontal and profile views of *Pongo pygmaeus* skull (Specimen courtesy of the Oxford University Museum of Natural History)



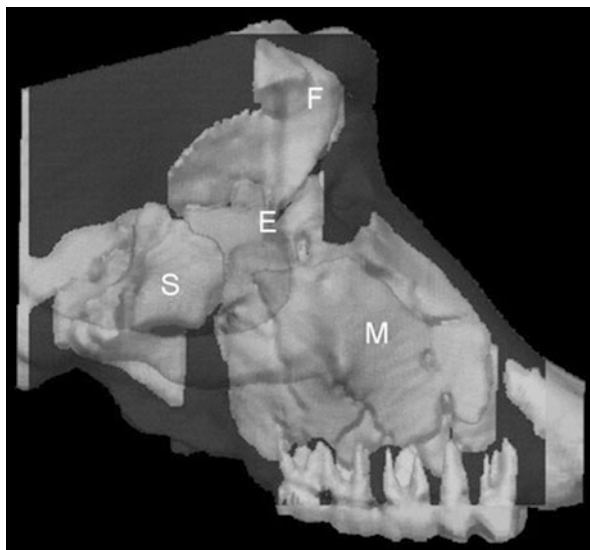
The only distinctive form noted here is the siamang *H. (S.) syndactylus* (Fig. 1, lower). This large, heavily built gibbon is more folivorous than other taxa and has a larger cranial capacity, a long, broad palate, and an inflatable air sac in the throat to aid calling. Postorbital constriction is more marked, and, despite the larger cranial capacity, sagittal cresting is both more frequent and larger than in other gibbons, an allometric correlate of greater body size (see below).

In the dentition, the canines are less lingually curved than in other gibbons, the protocone on P<sup>3</sup> and P<sup>4</sup> larger; and on the upper molars, crowns are elongated, the hypocone variable in size, and lingual cingula almost always absent. Third molar reduction occurs in only a minority of cases, and some individuals possess supernumerary molars. Again consistent with its more folivorous niche, relative shearing-crest development is greater than in other gibbon species (Kay and Ungar 1997, 2000). *H. (S.) syndactylus* has a larger, more airhynchous (i.e., more dorsally flexed) face than other gibbons (Shea 1988) – see below.

## **Pongo**

The Asian great ape, the orangutan, exhibits a distinctive overall cranial form (Fig. 3). In profile the large face is markedly prognathic subnasally, with a projecting, convex alveolar clivus. The comparatively small neurocranium is set above the facial skeleton, so that both frontal and occipital contours are relatively vertical. The orbits are elliptical, with their major axis vertical, and are surmounted by separate semicircular supraorbital costae rather than a continuous torus. The interorbital distance is very small, the ethmoid correspondingly constricted and set at a lower level than in the African apes (Shea 1988). There is no frontoethmoid sinus (Fig. 4), and the floor of the anterior cranial fossa forms a large part of the orbital roof (Winkler et al. 1988). In the fossa, the two wings of the frontal bone fail to meet behind the ethmoid, which retains contact with the sphenoid. The nasal bones are small, typically fused at an early age, and continue beyond the frontomaxillary suture, extending as a narrow wedge into the glabellar region of the frontal. On the medial orbital wall the lacrimal sutures with the ethmoid.

**Fig. 4** Virtual three-dimensional reconstruction of *Pan* cranium from serial CT scans. The bone has been made transparent to show the paranasal sinuses and tooth roots. *F* frontal sinus, *E* ethmoidal sinus, *S* sphenoidal sinus, *M* maxillary sinus (Image courtesy of T. Koppe)



The midface region is short, the zygomatics are wide, deep, and flared, and there is usually a pronounced notch on the zygomatic process of the maxilla. The nasal cavity is tall and broad, the maxillary sinuses invade the interorbital pillar (sometimes as far superior as the frontal), and the lateral maxillary walls are obliquely inclined. The convex nasoalveolar clivus passes smoothly into the nasal cavity, extensively overlapping the anteriorly thin maxillary palatine process without a stepped incisive fossa; the fossa and canal are narrow, the latter long and orientated almost horizontally (Fig. 2 lower left). The vomer usually extends to the rear of the incisive canal but occasionally does not, in which case a small prevomer may be present (Ward and Kimbel 1983; Ward and Pilbeam 1983; McCollum and Ward 1997). Overall the palate is orientated anterosuperiorly.

The mandible is massive, the symphysis reinforced by a robust superior transverse torus and an especially pronounced inferior transverse torus extending back as far as P<sub>4</sub> or M<sub>1</sub> (Brown 1997). The corpus is deep and comparatively short. As in the African apes, there is a strongly developed platysma muscle extending laterally over much of the facial musculature and strongly attached to the swollen base of the mandibular corpus from the symphysis to the area of masseter insertion. Brown and Ward (1988) speculate that the massive platysma is associated with the orangutan's extensive laryngeal air sac system – greater than in other apes – aiding the regulation of air pressure and volume within the sac during vocalization. A distinctive feature of *Pongo* is the absence of the anterior digastric muscle (and so of the digastric fossae on the base of the symphysis) and associated separation of the posterior digastric from the hyoid and stylohyoid muscle (Dean 1984; Brown and Ward 1988). Instead the large posterior digastric, originating on the cranial base adjacent to *rectus capitis lateralis*, inserts onto the gonial region between the medial pterygoid and masseter muscles, acting to depress the mandible.

The orangutan's mylohyoid muscle is especially well developed, as are the geniohyoids. *Rectus capitis lateralis*, originating from a narrow area on the front of the atlas and inserting on the basioccipital anterior to the foramen magnum, is a more fan-shaped muscle than its homologue in the chimpanzee.

The cranial base is wider than in the African apes (Dean and Wood 1981, 1984), but the eustachian process is much smaller, providing the origin for only *tensor palati*, with *levator palati* originating from the apex of the petrous temporal (Dean 1985). The mastoid processes are poorly developed. In the articular region, there is a long preglenoid plane, an indistinct articular eminence, and a prominent postglenoid tubercle. The roof of the glenoid fossa is coronally oblique, slightly sloping inferomedially, so that the entoglenoid is less prominent than in the African apes. The temporomandibular ligament is well developed laterally but lacking the deeper horizontal band, suggesting closer approximation of the rear of the working condyle and the postglenoid tubercle during chewing (Aiello and Dean 1990).

The foramen magnum and occipital condyles are set well back on the skull base. A nuchal crest is present in all mature individuals, and a prominent sagittal crest develops posteriorly in most males, uniting with the nuchal crest but, reflecting the orangutan's greater airorhynch, typically not extending as far beyond the rear of the vault proper as in *Gorilla* (see below). Anteriorly the temporal muscles diverge as lines or simple crests bounding a triangular area of the frontal. As in the African apes, the bulk of the *temporalis* muscle is orientated obliquely, with an emphasis on the posterior fibers.

The dentition reflects the orangutan's predominantly frugivorous niche. The upper incisors are the most heteromorphic of any extant hominoid:  $I^1$  is very broad and spatulate, but  $I^2$  is smaller, more pointed, and more convex in curvature. Well-developed median and marginal ridges reinforce the incisor crowns in biting. Lower incisors, high crowned and narrower than the uppers, are also reinforced by lingual ridging. Canines are conical, markedly dimorphic, and especially robust in males; females display more pronounced lingual cingula. Upper premolars are bicuspid;  $P_3$  is sectorial with a narrow, elongate protoconid as the honing face;  $P_4$  is bicuspid. Upper molars are more oval in occlusal outline than in other apes (Swarts 1988; Swindler and Olshan 1988; Uchida 1998b). Cheek teeth are relatively large compared to body size, low crowned, and with extensive, deep secondary wrinkling that further increases occlusal area. Molar shearing crests are rather well developed considering the emphasis on fruit (although significant quantities of bark and leaves are also ingested), exceeding those of chimpanzee species but considerably less than gorillas (Kay and Ungar 1997). They perhaps provide an instance of phylogenetic inertia, suggesting a more folivorous ancestor.

Orangutans are remarkably variable in cranial morphology (Wood Jones 1929; Röhrer-Ertl 1988a, b; Winkler 1988). Röhrer-Ertl (1988b) has shown that the most stable region is the midface, other cranial areas varying according to age, sex, dental eruption and masticatory development, hormonal status, dietary composition, and tooth use. Both the neurocranium and face exhibit greater growth in breadth than in length or height, a differential that is more marked in males than in females. While there is much individual and intrapopulational diversity, at least some variation reflects geographic factors: Groves (1971, 1986, 2001) and

Röhler-Ertl (1988a, b) review cranial patterning and Brown (1997) mandibular form, while Uchida (1998b) summarizes dental differences. Within a context of admittedly high variability, Sumatran orangutans are characterized by an oblique but straight (not concave) facial profile with highly protuberant anterior teeth, a convex cheek region lacking a suborbital fossa, relatively short nasals, a shorter neurocranium but with a longer nuchal region, and a longer foramen magnum. The mandibular symphysis tends to be long and narrow, with an extensive inferior transverse torus. Dentally they exhibit relatively small paracones on  $P^3$  and  $M^1$  compared with their Bornean counterparts,  $M^1$  larger than  $M^2$  rather than subequal, and a broader  $M_3$ .

Bornean orangutans have a generally more prognathous and concave facial profile, display a distinct suborbital fossa on the cheek, and have more labially positioned incisors, a “trumpet-shaped” nasal aperture that becomes triangular in cross section at the level of the nasal tubercle (Röhler-Ertl 1988a), and a more prominent interorbital pillar (Groves 2001). Their mandibles are deeper and broader anteriorly, and the symphysis is usually larger, thicker, and more bulbous than that of Sumatran orangutans. Taylor (2006) explored the relationships between feeding behavior, diet, and mandible morphology, specifically the greater exploitation of bark and relatively tough vegetation during low fruit periods by some Bornean orangutan populations compared with Sumatran ones. She found that the Bornean mandibles display a relatively deeper corpus, deeper and wider symphysis, and relatively greater condylar area, arguing that these features enable greater load resistance to masticatory and incisal forces, reflecting ingestion of harder food items. There is a gradient within Borneo, with populations in NE Kalimantan and Sabah (*P. p. morio*) displaying fullest expression of these traits, those in SW Kalimantan (*P. p. wurmbii*) rather less, and with those in NW Kalimantan (*P. p. pygmaeus*) generally intermediate between *P. p. morio* and the Sumatran mandibles, thus implying a spectrum of hard food exploitation in Bornean orangutans.

There is other craniodental differentiation within Borneo between populations from Sabah, NW and SW Kalimantan separated by the Kapuas River (Groves 1986, 2001; Courtney et al. 1988; Groves et al. 1992), often of comparable magnitude to that between Bornean and Sumatran orangutans. For example, Taylor and Schaik (2007) document variability in absolute and relative brain size in orangutan populations, finding significantly smaller brains among the north east Kalimantan/Sabah group (*P. p. morio*) compared with those from elsewhere in Borneo and from Sumatra. They relate these findings to differences in resource quality and life history: *P. p. morio* has the least productive habitat, lowest energy intake during extended periods of scarcity, and the shortest interbirth intervals, arguing that brain size and prolonged food scarcity may be inversely correlated. Uchida (1998b) was unable to identify any consistent pattern of dental differences between *Pongo* populations from W Borneo, SW Borneo, and Sumatra, with the Bornean groups often as distinct from each other as either was from the Sumatran sample. Bornean orangutans were significantly different from each other (but not from Sumatra) in  $P_4$  and  $M_1$  shape, but virtually identical in their narrow  $M_3$  shape, with Sumatran orangutans having broader rear molars. Differences in molar cusp proportions showed similarly inconsistent patterning between the three groups. There were no

obvious links to dietary differences, and Uchida concluded that on dental evidence, river and mountain systems within Borneo were as significant biogeographic barriers and so promoters of differentiation, as flooding of the Sunda shelf.

Bornean and Sumatran orangutans have generally been accorded subspecific status as *Pongo pygmaeus pygmaeus* and *P. p. abelii*, respectively (Schwartz 1988). In his latest revision, however, Groves (2001) distinguishes them as separate species (*P. pygmaeus* and *P. abelii*) on the basis of the more comprehensive morphological information now available and molecular differences well above levels usually associated with subspecies, which indicate a long period (c. 1.5 Ma) of isolation between the two forms. He also formalizes the intra-Bornean diversity noted above as subspecies of *P. pygmaeus*. This taxonomic framework, which is also followed, for example, by Taylor (2006), is reinforced by a study of multiple genetic loci which extends Sumatran and Bornean orangutan divergence back to 2.7–5.0 Mya, with isolation thereafter (Steiper 2006). The data also point to contrasting population histories, with Bornean orangutans having undergone recent population expansion beginning 39–64 Kya, while Sumatran populations remained stable.

The Sumatran and Bornean orangutans also exhibit developmental contrasts. Uniquely, male Sumatran orangutans may delay for many years full expression of secondary sexual characters, including their characteristic cheek flanges, whereas such long delays are much less common among Bornean males. Pradhan et al. (2012) relate such flexible developmental arrest to sociobiological factors and in particular to the potential for high-ranking males (flanged or unflanged) to monopolize sexual access to females. When the potential is low, no developmental arrest is the prevailing pattern, whereas at high monopolization potential the flexible, arrested development pattern is the stable one. Their model accords with field data indicating different monopolization potentials between Bornean and Sumatran flanged males and a lower proportion of these in the Sumatran orangutan population. Harrison and Chivers (2007) relate the evolution of developmental arrest to the onset of longer, more severe periods of low food availability reflecting climate change 3–5 Mya, with females dispersing more widely in search of food and adult flanged males less able to effectively guard a female harem, so providing an opening for the unflanged male as a quiet, quick, opportunistic “sexual predator.”

Hominoids exhibit more dorsal flexing of the face relative to the cranial base (airorhynchy) than non-hominoids; their orbital axes and palates are both shifted more dorsally relative to their degree of basicranial flexion than those of other primates (Ross and Ravosa 1993; Ross and Henneberg 1995). While the functional basis for this is disputed (Ross and Ravosa 1993) and may well have multiple causes, within this context many of the orangutan’s distinctive features can be plausibly related to its extreme airorhynchy (Delattre and Fenart 1956, 1960; Biegert 1964; Shea 1985, 1988; Brown and Ward 1988). Biegert (1964) argued that the hypertrophied laryngeal sac in *Pongo* is a prime determinant of its skull form, comparing it with the enlarged hyoid and associated throat organs of *Alouatta*. Shea (1985, 1988) and Brown and Ward (1988) have criticized this interpretation. Shea considers laryngeal specialization as just one potential determinant of airorhynchy, interacting with other factors, largely unknown. Brown and

Ward consider the *Pongo-Alouatta* analogy invalid in view of contrasts in the submandibular anatomy of these two genera, and it has also been rejected by Hershkovitz (1970) and Zingesser (1973).

Shea argues that pronounced dorsal flexion of the face links *Sivapithecus* and *Pongo* and that a degree of airorhynchy (although not to the extent seen in these two genera) is primitive for catarrhines and hominoids generally. On this view, the more ventral positioning of the face relative to the neurocranium seen in African apes and hominids is synapomorphic and, as such, a significant phylogenetic indicator (see also Ross and Ravosa 1993; Ross and Henneberg 1995; and below). The distinctiveness of *Pongo* is emphasized by its pattern of ectocranial suture closure (Cray et al. 2010). Vault suture synostosis is similar to *Gorilla* (but contrasts with that of *Pan* and *Homo* – see below), but the lateral-anterior pattern of fusion, with its strong superior to inferior gradient, is unique to *Pongo*, reflecting its relative phylogenetic isolation among hominoids.

## The African Apes

As is well known, the African apes (hereinafter meaning gorillas, chimpanzees, and bonobos, i.e., non-hominin hominines) share a basic similarity of cranial form and in many respects are scaled variants of a common bauplan (Figs. 5 and 6). Many of the craniodental differences between them have been related, with varying degrees of success, to differences in dietary niche (see Chaps. “► [The Paleoclimatic Record and Plio-Pleistocene Paleoenvironments](#),” Vol. 1, “► [Geological Background of](#)



**Fig. 5** (Upper) Frontal and profile views of *Pan paniscus* skull (Specimen courtesy of the Oxford University Museum of Natural History). (Lower) Frontal and profile views of *Pan troglodytes* skull (Specimen courtesy of the Oxford University Museum of Natural History)



**Fig. 6** *Top (Upper)* Frontal and profile views of *Gorilla gorilla gorilla* skull (Photograph courtesy of C.P. Groves). *(Lower)* Frontal and profile views of *G. g. diehli* skull (Photograph courtesy of E. Sarmiento). *Bottom (Upper)* Frontal and profile views of *G. g. graueri* skull (Photograph courtesy of C.P. Groves). *(Lower)* Frontal and profile views of *G. g. beringei* skull (Photograph courtesy of C.P. Groves)



Early Hominid Sites in Africa,” Vol. 1, “► Paleosols,” Vol. 1, “► Quaternary Geology and Paleoenvironments,” Vol. 1, “► Zoogeography: Primate and Early Hominin Distribution and Migration Patterns,” Vol. 1, and ► Modeling the Past: Archaeology, Vol. 1). Taylor (2002) also provides a useful recent summary of African ape diets. Within a highly variable context of local preferences and seasonal fluctuations and with considerable overlap in the fruits exploited, gorillas are, broadly speaking, more folivorous than chimpanzees. Gorillas consume less fruit than chimpanzees and exploit leaves, pith, bark, bamboo, and terrestrial

herbaceous items. The eastern mountain gorilla (*G. g. beringei*) is the most exclusively folivorous form; the western lowland gorilla (*G. g. gorilla*) exploits the most varied diet, with a significant fruit component. In contrast, chimpanzee diets are dominated by fruits, although it is unclear whether the bonobo (*P. paniscus*) exploits more terrestrial herbaceous vegetation than the common chimpanzee (*P. troglodytes*) (Taylor 2002).

Compared with the orangutan, African apes exhibit longer, lower, narrower neurocrania set at a lower level relative to the facial skeleton (klinorhynch). The frontal contour is low and retreating, the parietal region flat, and the occipital more curved than in the large-bodied Asian ape. There is a prominent supraorbital torus that is usually continuous across the glabellar region as well as above each orbit, although in some *P. troglodytes* individuals it may be divided by a slight depression. A supratoral sulcus, its lateral limits defined by the anterior temporal lines, delimits the torus from the frontal squama. The orbits are subrectangular, usually broader than high, and interorbital breadth is greater than in the orangutan, reflecting the broader ethmoid of African apes. On the medial orbital wall, the ethmoid's orbital plate is reduced, and the ethmolacrimal suture is usually much less extensive than in the orangutan and in some individuals may be replaced by contact of the interposed frontal and maxilla. There is an extensive frontoethmoid sinus (Fig. 4). On the floor of the anterior cranial fossa, the frontal may separate the ethmoid from the sphenoid, more commonly in *Gorilla* (>50 %) than *Pan* (15 %). Frontotemporal contact predominates on the lateral cranial wall of the chimpanzee and gorilla, but sphenoparietal contact is common in the bonobo.

The root of the maxillary zygomatic process arises relatively close to the occlusal plane, above M<sup>1</sup> or M<sup>2</sup>. In the chimpanzee, the zygoma's facial (malar) aspect is limited in height and breadth; in the gorilla, it is deeper and extends further laterally. In both apes, it is remarkably thin in sagittal cross section when compared with most early hominins but is strengthened by the sagittal angulation of its upper and lower portions. Rak (1983) has emphasized the structural importance of the zygomatic region as a transverse buttress, linking the lateral and medial components of the face and resisting masticatory forces. In both gorilla and chimpanzee, the zygoma's temporal process is sharply angled from its malar surface, with the zygomatic arches orientated parasagittally/posteriorly slightly divergent (*Pan*) and parasagittally/posteriorly slightly convergent (*Gorilla*), reflecting differing ratios of mid-facial and bitemporal breadths in the two genera. The greater facial breadth in *Gorilla* means that the masseters, especially their anterior fibers, have a greater lateral component to their contraction than in *Pan*.

The zygomatic arch is thin in cross section but vertically deeper, its inferior border marked anteriorly for the superficial masseter fibers, and in *Gorilla* posteriorly scalloped for the origin of the muscle's deeper portion. A part of this, sometimes differentiated as the *zygomaticomandibularis* muscle, fuses with anterior *temporalis* fibers, to attach to the *temporalis* tendon, the coronoid process, and anterior ramus edge (Raven 1950; Sakka 1984; Aiello and Dean 1990). In mature male gorillas, the arch is reinforced sagittally in its mid-region by a "step" with convex upper border which increases its vertical depth compared with immediately

adjacent areas and strengthened transversely toward its rear by the broad, flat base of the temporal's zygomatic process. Additional support against the masseter's pull is provided by the *temporalis* fascia, inserting on the upper border of the zygomatic arch; again, it is particularly extensive in male gorillas.

Anteriorly the face is braced against masseteric force by the zygomatic buttress (see above) and by the beam of the supraorbital torus, which links with the zygoma via its frontal process (Rak 1983). The greater facial breadth of *Gorilla* combined with its more marked postorbital constriction and so deeper infratemporal fossa means that the lateral component of the torus is unsupported behind by the anterior neurocranial wall and so is massively thickened vertically and sagittally, while the postorbital bar is broadened compared with *Pan*. These structures, the canine roots, and nasal septum also reinforce the palate and face against bending (sagittal), torsional (coronal), and shearing forces generated during biting by the anterior teeth. Such forces are highest rostrally and of greatest magnitude in large-jawed forms such as *Gorilla* (Preuschoft et al. 1986).

Within the nasal cavity, the incisive canal is wide and the fossae are broad and bowl shaped. In *Pan*, the extent by which the premaxilla overlaps the palate, and so the length of the incisive canal, is comparable to that in the orangutan, although the canal is angled more steeply than in the latter because of the African ape's less convex premaxilla (Fig. 2 upper right). In *Gorilla*, the overlap is much less and the incisive canal shorter, and there is always a distinct step in the nasal floor between premaxilla and palate (Fig. 2 lower right). In *Pan*, the step is much less marked and may be absent altogether in about one third of individuals, who evince a smooth floor comparable to that of the orangutan (McCollum and Ward 1997). In *Gorilla*, a long prevomer is interposed between the vomer and the premaxilla, with the inferior parts of both the former bones descending into the incisive canal, dividing its posterior wall and eventually partitioning it into two channels. A septal groove along the nasal sill is seen only in younger individuals; in adults, it is confined to the rear of the sill. In *Pan*, the prevomer is much smaller, and, while it descends into the incisive canal to divide the posterior wall, together with the vomer, complete partitioning into two channels is much less frequent than in *Gorilla*. Unlike the latter, a septal groove is present on the nasal sill in adults as well as younger individuals.

Fusion of the facial aspect of the premaxillomaxillary suture in chimpanzees begins prenatally and is usually completed before the permanent dentition is fully erupted, with the nasal aspect being completely fused around the eruption of  $M^2$ . Facial growth in *Gorilla* continues for longer, with both facial and nasal aspects of the premaxillomaxillary suture and the prevomer-vomer sutures remaining open until well into maturity (McCollum and Ward 1997). Accessory premaxillary sutures are also quite common (>20 %) in *Gorilla*, indicating separate ossification centers for the palate and facial components of the premaxilla (Schultz 1950).

The palate is long in both *Pan* and *Gorilla*; externally it is shallow anteriorly with no clear alveolar border but deeper along the postcanine row. Internally, the maxillary palatine process of *Pan* is distinctive in thickening anteriorly and containing the palatine recess, a medial extension of the maxillary sinus. Laterally the maxillary alveolar process is thin, with the contours of the tooth roots evident;

medially the process is thicker. Rak (1983) argues that the maxillary zygomatic process acts as a mid-palatal buttress, reinforcing the hard palate against shearing stresses generated between the chewing and balancing sides of the dental arcade, primarily from the latter's medial pterygoid muscle. Both medial and lateral pterygoids are particularly well developed in *Gorilla*.

As in the orangutan, the preglenoid plane of African apes is long, the articular eminence only slightly developed so the glenoid fossa is sagittally shallow, and the postglenoid tubercle is well developed. The roof of the glenoid fossa is coronally more horizontal than in the orangutan and the entoglenoid more distinctly differentiated from it, especially in *Gorilla*, where it is very large, extending beyond the level of the articular eminence and preventing any medial shift of the condyle prior to moving onto the preglenoid plane (Du Bruhl 1977). In some of these features and in temporal bone shape overall, *Pan* is more derived than *Gorilla* (Lockwood et al. 2004). Terhune (2012) notes that joint surfaces in the mandibular fossa are sagittally extended in chimpanzees, whereas in gorillas the surfaces are sagittally contracted and in orangutans intermediate, and that much variation is associated with morphologies that promote gape rather than bite force. A prominent temporomandibular ligament is present in *Gorilla* and is apparently variably developed in *Pan* (Aiello and Dean 1990).

In *Pan* species, the dentitions are basically similar, although *P. paniscus* teeth are smaller and less sexually dimorphic than those of *P. troglodytes*. A comparative study of root length development (Dean and Vesey 2008) revealed that in *P. troglodytes*, anterior tooth root growth rose quickly to higher rates and then plateaued, with the highest rates in canines, followed by incisors (the reverse of the *H. sapiens* pattern). In both modern humans and apes, molar tooth roots grew in a nonlinear pattern, with peak rates reducing from M1 to M3. A recent study (Boughner et al. 2012) showed no significant differences in the relative timing of permanent tooth crown and root formation in bonobos and chimpanzees. Similarly, dental topographic analyses that reflect contrasts in occlusal form related to diet among primate species identified differences between wear stages within subspecies in surface slope, relief, and angularity, but failed to differentiate between *Pan* subspecies (Klukkert et al. 2012). Discriminant analysis of size transformed and untransformed molar traits (Pilbrow 2006), however, yielded more effective separation (see below).

Smith et al. (2010) present data on crown and root formation in Tai Forest chimpanzees to evaluate claims that wild chimpanzees display delayed dental development compared with captive ones. They conclude that crown formation onset and development markedly overlap captive chimpanzees, whereas root development may be accelerated in captive specimens, and wild individuals fall near the middle or latter half of captive eruption ranges. Overall the authors conclude that while minor developmental differences are evident in some comparisons, the results do not show a consistent pattern of slower tooth formation in wild individuals. A later paper (Smith and Boesch 2011) extends the analysis to estimate that delayed tooth emergence in wild individuals is more moderate than previously recorded, averaging about 1 SD of the captive distribution, rising to 1.3 SD if age estimate criteria are relaxed; M<sub>1</sub> emergence is estimated at 3.66–3.75 years in wild

chimpanzees. The authors point out that “wild” data are usually skewed, often deriving from diseased, debilitated, or otherwise pathologically affected corpses of immatures, who cannot be considered fully representative of a healthy population.

The maxillary incisors are curved mesiodistally, with  $I^1$  larger than  $I^2$ , although the difference is smaller in *P. paniscus* than in *P. troglodytes*. In the mandibular incisors, these proportions are usually reversed. The upper canine is larger in males than females of both species; its mesial surface is more convex in *P. troglodytes* and, with the lingual surface, displays grooving absent in a small sample of *P. paniscus* (Swindler 1976). In the upper jaw,  $M^1$  and  $M^2$  are subequal in size,  $M^3$  reduced, with the hypocone the smallest cusp and reducing progressively along the molar row. Reduction is more pronounced in *P. paniscus*, and the cusp may even be completely absent from  $M^1$  and  $M^2$  in some individuals, whereas it is always present on those teeth in *P. troglodytes*. The hypocone may be entirely absent on some  $M^3$ s of both species but is more weakly developed in bonobos (fully developed in 21 % of *P. troglodytes* teeth, compared with only 9 % of *P. paniscus*). The preprotocrista (anterior transverse crest between paracone and protocone) is more angled and transversely orientated in *P. paniscus*, running from closer to the protocone to mesial of the paracone rather than to its tip, as in *P. troglodytes*. The distoconule, an accessory cusp between hypocone and metacone, is absent in bonobos but present in all chimpanzee subspecies, generally at low frequency but up to 40 % of  $M^3$  in one collection of *P. t. troglodytes* (Kinzey 1984). A lingual cingulum is often present, most frequently on  $M^1$  but larger on  $M^3$  and better developed (longer distally) in bonobos than chimpanzees.

$M_2$  is usually the largest mandibular molar,  $M_3$  the smallest; a Y-5 cusp pattern is almost universal on  $M_1$  but only occurs in <50 % of cases on  $M_3$ . The talonid is extensive, and a buccal cingulum is rarely (5–10 %) present (Swindler 1976). In *P. paniscus*, the metaconid is usually opposite the protoconid rather than distal to it as in *P. troglodytes*, resulting in a greater relative distance and a deeper groove, between the metaconid and entoconid in the former species (Kinzey 1984). Nonetheless, the two cusps are closely adjacent compared with *Gorilla*. The hypoconulid is usually slightly buccally positioned in chimpanzees and more centrally (lingually) placed in bonobos, while a tuberculum sextum is often present between hypoconulid and entoconid in the former species but more rarely in the latter, which Kinzey (1984) suggests may be associated with the more lingually positioned hypoconulid. *Pan* molars are often wrinkled but not to the extent seen in *Pongo*. Skinner et al. (2009) demonstrated that shape contrasts in the enamel-dentine junction of  $M_1$  and  $M_2$ , especially in the relative height and position of the dentine horns, dentine crown height, and the shape of the base, serve to differentiate *Pan* species and subspecies, so extending the utility of teeth with worn occlusal surfaces for systematic identification.

Central to lateral incisor proportions in *Gorilla* are comparable to those of *Pan*, although compared to the postcanine teeth, the incisors are much smaller. Canines are large and markedly dimorphic, in the female projecting less beyond the other teeth. Contrary to the sequence in *Pan*, but like the orangutan,  $P_4$  erupts before  $P_3$ , which is sectorial but with a vestigial metaconid, a large distal fossa for the  $P^3$  protocone, and a well-developed lingual cingulum. On the upper molars, the

hypocone is larger relative to the other cusps than in other apes; the mesial fossa is narrow, the distal one wide, and a lingual cingulum is usually present. On the lower molars, the metaconid and entoconid are widely separated, and there is an extensive talonid basin to receive the large protocone of the upper molar. A tuberculum intermedium is often present between metaconid and entoconid on  $M_1$  and is almost invariably so on  $M_2$  and  $M_3$ ; a tuberculum sextum may also occur between the entoconid and the buccally positioned hypoconulid. A buccal cingulum is usually present on  $M_1$ , on about 50 % of  $M_2$ , and on a minority of  $M_3$ ; overall, it is both more common and better developed in *Gorilla* than in other extant apes. In the upper jaw,  $M^2$  is usually the largest tooth; in the lower jaw,  $M_1$  is the smallest, with  $M_2$  and  $M_3$  subequal (Swindler 1976). Dimorphism in dental dimensions is extensive in *Gorilla*, with most teeth differing significantly in size between the sexes. Tooth enamel is smooth, without the wrinkling displayed by *Pongo* and *Pan*. Supernumerary molars may occur, more often in the upper jaw than the mandible.

McCollum (2007) investigated the relationships of diet, incisor wear, and incisor crown breadth in western lowland gorillas and chimpanzees, confirming that incisor dimensions are broadly similar in the two apes. She found that incisor wear was greater in the more folivorous gorilla than in the frugivorous chimpanzee, questioning Hylander's suggestion that larger incisors and enhanced resistance to wear are associated with frugivory and the need for greater incisal processing of large fruits. Using a more extensive database, however, Deane (2009) has demonstrated that increased mesiodistal incisor length and greater incisor crown curvature are correlated with greater frugivory, so reaffirming Hylander's proposed link. Hard-object frugivores show greater curvature than soft-object frugivores, while mixed folivores/frugivores display intermediate degrees of curvature compared with frugivores and folivores. Frugivores also have mesiodistally wider  $I_1$ ,  $I_2$ , and  $I^2$  crowns relative to their labiolingual lengths, while folivores have labiolingually broader crowns than mixed folivore/frugivores, and those of hard-object frugivores are broader than those of soft-object frugivores. McCollum and Deane's conflicting findings may result from their differing databases – two species with overlapping diets in McCollum's study compared with a larger number of taxa and wider dietary spectrum in Deane's case – and in their scaling to adjust for body size differences.

Cray et al. (2008) have shown that cranial vault suture closure mirrors consensus phylogeny, with *H. sapiens*, *P. troglodytes*, and *G. gorilla* sharing a similar lateral-anterior closure pattern, while *G. gorilla* displays a distinct vault pattern that follows a strong posterior to anterior gradient. *P. troglodytes* is thus more like *H. sapiens* in suture synostosis, in accord with these two species sharing a common ancestor after the *Gorilla* clade split off. *P. paniscus* was not included in the analysis.

Temporal muscles are well marked on the cranial walls in the chimpanzee, often forming raised ridges which in mature males may occasionally meet to form a sagittal crest. In male gorillas, a pronounced sagittal crest is present, thickened at the top where the two temporal laminae abut, and highest toward the rear of the vault where it unites with the nuchal crest, forming a beak-like posterior projection at the rear of the skull. The crest, besides enlarging the area for *temporalis* attachment, improves the power of the cheek teeth by increasing the relative length

of the muscle insertion axis compared with the load and also serves to increase the effective height of the neurocranium, thereby enhancing its resistance to the vertical forces generated during mastication (Davis 1964).

A compound T/N crest (Robinson 1958) forms laterally in chimpanzees from the juxtaposition of the temporal and nuchal muscles, but these diverge medially, and there the perimeters of the temporal and nuchal muscles are marked by lines, slightly raised ridges, or a simple nuchal crest. In male gorillas, the nuchal muscles develop medially as well as laterally beyond the neurocranium proper, producing a compound T/N crest uniting with the sagittal crest as above and resulting in an extensive, triangular-shaped nuchal area.

*Temporalis* fibers originate from the lower part of the nuchal crest's anterior surface but do not attain its rim, which provides attachment for the *occipitofrontalis* scalp muscle (Sakka 1984; Aiello and Dean 1990). Medially *trapezius* and laterally *sternocleidomastoideus* insert on the posterior rim of the nuchal crest, with below these the rhomboids (medially), and the fleshy, laterally extensive *splenius capitis* muscles. Deep to these is the heavy *semispinalis capitis*, which may be divisible into medial, thick biventer, and more lateral, straplike, complex portions (Aiello and Dean 1990), although this separation is said to be uncommon in *Pan* (Swindler and Wood 1973) and is not indicated in Raven's (1950) account of *Gorilla* anatomy.

On the cranial base, *rectus capitis lateralis* lies immediately lateral to the mid-rear portion of the occipital condyle in *Gorilla* and *Pongo* and to the front mid-portion of the condyle in *Pan* (Dean 1984; Raven 1950). It is unclear whether the rather more anterior insertion of the muscle in the chimpanzee reflects individual variation or a specific trait. Just lateral and slightly posterior to this muscle is the digastric; see above for its distinctive form in the orangutan. Just in front of the foramen magnum and close to the midline are the closely adjacent *rectus capitis anterior* muscles, and ventral to these the *longus capitis* muscles. The basilar suture fuses early in the African apes and the orangutan, severely limiting its utility for determining individual age (Poe 2011).

Nishimura et al. (2006) have documented vocal tract growth and development in three chimpanzees. In early infancy, they show rapid laryngeal descent with consequent changes in vocal tract proportions as a result of descent of the laryngeal skeleton relative to the hyoid. Subsequently, the hyoid also descends relative to the palate, maintaining rapid laryngeal descent, as in humans. They conclude that descent of the larynx evolved before the *Pan*-hominin split for a function unrelated to speech and that human speech capabilities resulted from facial flattening rather than laryngeal descent.

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## Individual Species Morphology and Intraspecific Diversity

### *Pan*

#### *Pan troglodytes*

The commonly recognized subspecies may be distinguished cranially as below, based primarily on Groves (2001).

*P. t. troglodytes* possesses a very broad head combined with a comparatively narrow muzzle, a continuous, straight, medially thickened supraorbital torus, more concave facial profile, and more gradually sloping occipital than other subspecies. On the medial orbital wall, ethmo-lacrimal contact is very common, while supernumerary bones on the lambdoid suture are rare, as are multiple infraorbital foramina.

*P. t. verus* also has a broad, rather flat-topped cranium but a broader muzzle, a less sharply concave facial profile, and a more steeply curved occipital. The supraorbital torus is arched over each orbit and is laterally well developed. Ethmo-lacrimal contact is very rare, while additional bones at lambda and along the lambdoid suture are very common. The frequency of a single infraorbital foramen bilaterally is higher than in other subspecies.

*P. t. schweinfurthii* has a more rounded skull than other subspecies, with an elongated, gently sloping occipital. The facial profile tends to be straight or only slightly concave, and the muzzle narrow, although interorbital breadth is high. The straight, continuous supraorbital torus is thinner than in other subspecies, especially laterally, but is prominent at glabella. Multiple infraorbital foramina are very common, and frontotemporal contact at pterion virtually universal. In cranial nonmetric traits, generally it resembles *P. t. troglodytes* but is rather smaller and less sexually dimorphic than that subspecies. Despite this, Angst (quoted in Groves et al. 1992) has reported a higher average cranial capacity for *P. t. schweinfurthii* – 420 cm<sup>3</sup> – compared with virtually identical capacities for *P. t. troglodytes* and *P. t. verus* (401 and 404 cm<sup>3</sup>, respectively). Highly variable in size and cranial proportions, *P. t. schweinfurthii* may incorporate more than one subspecies.

*P. t. vellerosus* is a recently recognized subspecies from Nigeria to Cameroon (Gonder et al. 1997), identified on mtDNA sequencing that showed it to be a sister taxon of *P. t. verus*. Cranially it is unlike *P. t. verus* but similar to *P. t. troglodytes* and *P. t. schweinfurthii* in its high frequency of ethmo-lacrimal contact and low frequency of Wormian bones at lambda and along the lambdoid suture (Groves 2001).

A study of chimpanzee molar development (Smith et al. 2007) indicated marked within cusp, between cusp, and between tooth variation in enamel formation times and in cuspal initiation and completion sequences, pointing to the need to take account of significant variation when interpreting hominoid and hominin developmental data. In contrast, discriminant analysis of upper and lower molar morphometrics (Pilbrow 2006) to assess the efficacy of dental evidence in distinguishing chimpanzee populations differentiated on geographical criteria (river boundaries) provided more consistent findings. The results showed clear distinction of *P. paniscus* (see below) and *P. troglodytes* at all molar positions, while within the latter *P. t. verus* was distinct from other *P. troglodytes* populations, *P. t. vellerosus* was also clearly differentiated, and *P. t. troglodytes* and *P. t. schweinfurthii* were dentally similar.

### ***Pan paniscus***

Bonobos are characterized by relatively smaller heads and teeth than common chimpanzees, but by comparably sized upper limbs, rather lighter, more slender



trunks, and heavier hind limbs (Susman 1984; Zihlman 1984). The bonobo skull is smaller, smoother, and more lightly built than that of the chimpanzee, the mandible appreciably shorter, and the face considerably less prognathic and reduced in height (Fig. 5). Reflecting the less projecting face and jaws, the cranial base is more tightly flexed, with a mean angle of  $140^\circ$  compared with  $145^\circ$  in the chimpanzee (Cramer 1977). This flexion results from a basicranial growth pattern to adulthood in *P. paniscus* that resembles that of *P. troglodytes* curtailed at the subadult ( $M^2$  eruption) stage (Laitman and Heimbuch 1984; see also below). The bonobo supra-orbital torus is thinner and the supratoral sulcus weaker, while the frontal squama rises (and the occipital descends) more steeply than is usual in *P. troglodytes*. It is more common (57 %) for the sphenoid and parietal to suture at pterion (contrast *P. troglodytes* above), while on the orbital wall frontomaxillary contact is more frequent than in chimpanzees (24 % and 9 %, respectively, Cramer 1977). Following CT scanning, Balzeau et al. (2009a, b) provide further information on the type specimen of *P. paniscus*, including details of its internal cranial anatomy.

While bonobos exhibit some canine dimorphism, there are only very limited differences between sexes in the size of the incisors and cheek teeth (see above). Similarly, mean endocranial capacity is virtually identical in males and females at c.  $350\text{ cm}^3$  compared with 404 and  $375\text{ cm}^3$ , respectively, in *P. troglodytes* (Cramer 1977). The nuchal area may be bounded by a low ridge or line, but a true crest with sharply defined rim is absent, as is any sign of sagittal cresting. Consistent with its more neotenuous form, *P. paniscus* shows earlier closure of the facial component of the premaxillary/maxillary suture than *P. troglodytes* and much higher frequency of a completely open palatal component (>93 % cf. 19 %, respectively, of individuals with  $M^1$  erupted; Braga 1998). This early synostosis results in a vertically and horizontally shorter face and reduced dental arch, consistent with the bonobo's significantly smaller incisors, compared with *P. troglodytes*. Kinzey (1984) notes the greater degree of incisor wear in *P. paniscus* than *P. troglodytes*, which he suggests may be related to a greater incidence of pith and leaf petioles in the diet; he also speculates that the combination of a more transversely orientated and angled preprotocrista, with a more mesially sited metaconid and deeper groove between protoconid and hypoconid into which the crest occludes (see above), produces a more efficient shearing mechanism that again may reflect a more folivorous dietary component in bonobos.

Comparison of small samples of immature captive and wild female *P. paniscus* with *P. troglodytes* showed similar patterns of skeletal fusion in the two captive groups with the pattern of tooth eruption to bone fusion also generally consistent between species save for minor variations in late juveniles and subadults. While displaying similar patterns, direct age comparisons showed skeletal growth in the captive bonobo group to be accelerated compared with both captive and wild *P. troglodytes* samples (Bolter and Zihlman 2012).

Morphometric studies illustrate the relative homogeneity of chimpanzee cranial form compared with other great apes. While usually distinguishing *P. paniscus* from *P. troglodytes*, differentiation within the latter is, not unexpectedly, less secure, with extensive overlap between subspecies; see, for example, Shea and

Coolidge (1988). These authors found that discrimination just about reached the subspecies threshold and that separation was considerably less than in orangutans or gorillas (see below). They considered that this comparative uniformity might reflect a more recent differentiation of *P. troglodytes* subspecies, more frequent or extensive contact – and so gene flow – between them, marked ecological flexibility for the species overall so precluding close matching of subspecific features to habitat, or any combination of these. A subsequent study (Groves et al. 1992), with specimens sorted by location rather than subspecies, produced neither meaningful geographic patterning nor subspecific grouping among males. Female crania, however, exhibited better separation, with *P. paniscus* distinct, *P. t. schweinfurthii* grading geographically toward *P. t. troglodytes*, and with evidence for east–west differentiation within *P. t. schweinfurthii* based on facial proportions.

Shea et al. (1993) compare the results of both raw and size-adjusted analyses. For the former, there is 100 % correct classification for *P. paniscus* females and about 75 % correct classification for *P. troglodytes*, of which *P. t. verus* and *P. t. schweinfurthii* are furthest apart, according with their geographic separation. Confining the analysis to *P. troglodytes*, however, removes this geographic gradient, with maximal separation now between *P. t. troglodytes* and *schweinfurthii*. As expected, size adjustment reduces separation of *P. paniscus* from *P. troglodytes*, so that the distance between *P. t. verus* and *P. t. schweinfurthii*, now the most widely divergent subspecies, approaches that between the latter and *P. paniscus*. Principal Components Analysis shows *P. paniscus* clustering with immature *P. troglodytes* crania along PC 1, indicating their common growth trajectories and emphasizing that shape contrasts between bonobo and chimpanzee reflect the smaller size and truncated growth of the former relative to the latter, within which the major differences between *P. t. troglodytes* and *P. t. schweinfurthii* are also due to size and associated allometric factors (see below).

Separate analysis of mandibular variation in *Pan* accords generally, but not completely, with the above (Taylor and Groves 2003). Mandibular separation within *P. troglodytes* is less than that within *Gorilla*, but contrasts between *P. paniscus* and *P. troglodytes* are greater than *Gorilla*, and there is clear separation of bonobos and chimpanzees. There is extensive overlap of *P. troglodytes* subspecies, maximally between *P. t. schweinfurthii* and *P. t. troglodytes*, and greatest distinction between the latter and *P. t. verus* (contrast to Shea et al.'s cranial finding of greatest overlap between *P. t. troglodytes* and *P. t. verus*). Size adjustment again reduces separation, so that bonobos, while remaining the most distinctive, now partly overlap with chimpanzees; and *P. t. verus*, while still the most isolated of chimpanzee subspecies, is now furthest from *P. t. schweinfurthii* (as on the cranial data). *P. t. verus*'s distinctiveness on mandibular traits, while relatively slight (Taylor and Groves 2003), nonetheless accords with Braga's finding (1998) that premaxillomaxillary suture closure differs significantly between *P. t. verus* and other subspecies, with *P. t. verus* displaying later complete closure of the suture's facial component and earlier closure of its palatal component compared with *P. t. troglodytes* and *P. t. schweinfurthii* (Braga 1998). This points to a longer, deeper lower face in *P. t. verus* than other subspecies.

A recent morphometric study of mandibular form (Robinson 2012) broadly accords with Taylor and Groves' findings: size-adjusted corpus shapes in *P. paniscus* and *P. troglodytes* could be assigned with 93 % accuracy, with much of the shape differences size related, but subspecies could only be correctly identified <75 % of the time. Robinson's findings indicate symphyseal shape to be especially informative in distinguishing *Pan* species, with potential implications for hominin systematics.

Zihlman et al. (2008) present cranial and postcranial data on 25 *P. t. verus* individuals of known age and sex from Taï National Park, Cote d'Ivoire, and compare them with a *P. t. schweinfurthii* sample from Gombe National Park, Tanzania, with *P. paniscus* as an additional comparator. Taï males and females differ in cranial capacity and, as do the Gombe sexes, in facial dimensions. The Taï sample has a smaller cranial capacity, longer palate and mandible, and greater trunk dimensions and limb lengths; most variation is in females, with males differing only in humeral and femoral lengths. A further study by Neubauer et al. (2012) of endocranial volumes (EV) in an ontogenetic series of Taï forest chimpanzees showed brain size to increase rapidly during early ontogeny and for sexual dimorphism in EV, with males larger than females, to be evident before adult EV was attained. The mean adult EV in this Taï Forest sample was just under 380 cm<sup>3</sup>.

## **Gorilla**

Most accounts of *Gorilla* cranial diversity are based on Groves' highly influential morphometric analysis of variation in 45 traits from >700 gorilla skulls, grouped by origin into 19 and 10 geographic localities for crania and mandibles, respectively (Groves 1967, 1970).  $D^2$  values were calculated for each of the ten cranial and six mandibular representative variables, allowing the localities to be grouped into eight larger regions which could be further combined on the basis of intra- and intergroup differences into three clusters: a relatively homogeneous western cluster (four regions, of which the Cross River sample was rather more distant from the other three), a distinctive eastern group from the Virunga volcano region, and a further eastern group (three regions). These correspond to the western lowland gorilla (*G. g. gorilla*), the eastern highland gorilla (*G. g. beringei*), and the eastern lowland gorilla (*G. g. graueri*) (Fig. 6).

*G. g. gorilla* is the smallest subspecies, with fairly broad face, small jaws and teeth, a short palate, a single mental foramen under  $P_3$  or  $P_4$  (more usually under the latter), and a jaw condyle without a cleft. *G. g. graueri* is the largest subspecies, with a high, narrow face; larger jaws and teeth; and a longer palate. The mental foramen is often multiple and set under  $P_3$ , while the jaw condyle is often cleft. The mountain gorilla, *G. g. beringei*, is distinguished by a low, broad face; very large jaws and teeth; a very long tooth row and palate; anteriorly sited (under C or  $P_3$ ) multiple mental foramina; and a jaw condyle that is usually cleft.

Stumpf et al. (1998), adjusting for size, demonstrated that the Cross River sample was more distinctive than Groves' original analyses indicated, so providing

support to the growing movement advocating its recognition as a further subspecies, *G. g. diehli* (see Sarmiento and Oates 1999, 2000; Groves 2001, 2003). *G. g. diehli* is distinguished by its shorter skull, shorter molar row, narrower palate, shorter cranial base, and more steeply angled nuchal plane than other western gorillas, which Sarmiento and Oates speculate may be associated with a diet of smaller, drier, and harder food items than that of other western gorillas.

A further reanalysis of Groves' data (Stumpf et al. 2003) confirmed a primary east–west separation on the latter's smaller values for palatal and tooth row lengths, nasal aperture and nasal bone breadths, lateral facial height, and supra-orbital torus thickness. They also demonstrated the distinctiveness of the Cross River and Virunga populations from other west and eastern groups on the basis of their narrower interorbital breadths, narrower palates, and reduced lateral facial height. Analyses restricted to the western populations further indicate the distinctiveness of *G. g. diehli* on overall and neurocranial lengths, bicanine and bimolar breadths, interorbital and neurocranial widths, palatal length, and medial and lateral facial heights. Stumpf et al., however, emphasize that the fundamental distinction is between east and west *Gorilla* populations, with the corollary that *G. g. graueri* is more closely related to *G. g. beringei* than it is to western lowland gorillas. The implications of this, together with recent data from molecular and other studies, have led Groves (2001, 2003) to revise his earlier taxonomy and to differentiate western and eastern gorillas at the species level as *G. gorilla* (*G. g. gorilla* and *G. g. diehli*) and *G. beringei* (*G. b. beringei* and *G. b. graueri*), respectively. This also accords with the zoogeographical evidence, but for consistency with other sources referred to herein, we retain the traditional single species classification.

Leigh et al. (2003), however, apply Wright's  $F_{ST}$  (an indicator of microdifferentiation, measuring the extent to which subdivision within species – i.e., between subspecies – departs from random mating) to Groves' craniometric data and to discrete trait variation and reach different conclusions. Their approach requires assumptions about population sizes and the heritability of craniometric traits but is considered to be robust, especially, when subspecies sizes differ markedly, as they do in *Gorilla*.  $F_{ST}$  calculated from the craniometric data yields unexpectedly low levels of between group variation, only c. 20 % between subspecies compared with 80 % within subspecies assuming equal population sizes. Adjusting for different population estimates between the subspecies results in even lower values of  $F_{ST}$ , with correspondingly more variation within subspecies.  $F_{ST}$  derived from discrete trait analysis gives rather higher, but still modest, levels of divergence. Leigh et al. argue that much gorilla variation reflects ontogenetic changes and sexual dimorphism, and as such is intra-subspecific, and that their results offer no support for differentiating eastern and western gorillas at the specific level. See also Albrecht et al. (2003) for a detailed analysis of *Gorilla* cranial diversity at locality, deme, subspecies and species levels, and its potential evolutionary and sociobiological implications. Interestingly, genetic data indicate much deeper levels of differentiation among African ape species than the morphological evidence does (Gagneux et al. 1999; Lockwood et al. 2004).

Caillaud et al. (2008) explore the possible sociobiological basis for sexual dimorphism – specifically male body size and head crest development – in *G. g. gorilla*, through photogrammetry and field observations. Their findings show the number of females belonging to a mature male correlates with head crest size, body length, and musculature and that female numbers at male-male encounters, where larger individuals could be expected to be at an advantage, strongly affect the number of male agonistic displays. Exaggerated male traits therefore convey a mating advantage, whether through male-male fighting or female mate choice. Similar drivers may well be influencing the evolution of dimorphism in other, larger-bodied gorilla taxa.

Breuer et al. (2012) also explored the relationships of body length, crest size, and gluteal muscle size with long-term male reproductive success in western gorillas. They found that all three traits correlated with the average number of mates per male, but that only crest size and gluteal development significantly correlated with offspring survival and the annual rate of siring offspring who survive to weaning.

Dental variation in *Gorilla* is considerable: molar shapes and cusp proportions, relatively invariant within subspecies, differ between subspecies, as do tooth dimensions (Uchida 1998a). Male (but not female) *G. g. beringei* canines are larger than those of *G. g. gorilla* and *G. g. graueri*. In the postcanine dentition, *G. g. graueri* is, surprisingly, significantly larger than *G. g. beringei*, which is larger than *G. g. gorilla*. While upper molars of *beringei* show B-L enlargement, those of *graueri* are expanded in both length and breadth. *G. g. gorilla* has wider incisors relative to molar length than the eastern subspecies, while *G. g. beringei* displays higher crowned cheek teeth with sharper cusps and ridges than *G. g. gorilla*. *G. g. graueri* has a relatively smaller talonid on P<sub>4</sub> and, together with *G. g. beringei*, has larger distal cusps on the upper and lower molars than *G. g. gorilla*. Patterns of dental sexual dimorphism differ among gorilla subspecies: *G. g. beringei* displays greatest dimorphism in canine and lower molar size, *graueri* greatest dimorphism in upper molars, with *G. g. gorilla* least dimorphic both in canines and molars. This reflects a larger canine relative to molar size in females of this subspecies, which Uchida considers to reflect heightened female-female competition, possibly related to greater frugivory. She stresses the importance of local dietary adaptation influencing tooth form and proportions, with considerable variation but with more extensive frugivory in *G. g. gorilla* and lowland *G. g. graueri* than in highland populations of that subspecies and *G. g. beringei*.

Similarly, Pilbrow (2010) has analyzed molar morphometrics to assess gorilla geographical diversity. Her results support species distinction between *G. gorilla* and *G. beringei*, with subspecies *G. g. diehli*, *G. g. gorilla*, *G. b. graueri*, *G. b. beringei*, and a possible further subspecies, *G. b. rex-pygmaeorum*; dental metrics thus accord with other evidence for gorilla population diversity. Dental separation increased with altitude differences but not geographical distance, so that altitudinal segregation better explains gorilla population divergence better than isolation by distance. Pilbrow argues that the historic center of gorilla distribution was West Africa and that Plio-Pleistocene climatic oscillations combined with mountain

building promoted drift and population differentiation. Further analyses of the gorilla masticatory system are discussed below.

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## Allometric and Biomechanical Studies

The greater size of the gorilla relative to the chimpanzee is an instance of peramorphosis (Shea 1983c). Length of maturation is comparable in all three African ape species, but gorillas grow much more rapidly and to greater sizes than chimpanzees, while bonobos grow somewhat more slowly than chimpanzees (rate hypermorphosis) to rather lesser sizes (although there is considerable overlap); within each species, males grow for longer than females (time hypermorphosis). The pattern indicates that the interspecies differences reflect selection for greater body size, perhaps associated with increasing terrestrial folivory rather than selection for delayed maturation (Shea 1983c).

Significant differences between *Pan* and *Gorilla* growth in body weight only become apparent after about 2 years, with gorillas pulling away increasingly strongly from the chimpanzee growth curve thereafter (Shea 1983d; Fig. 6). Since neural growth is predominantly prenatal/immediately postnatal, there is no corresponding increase in *Gorilla* brain size in later ontogeny; the natural consequence is that, while having absolutely larger brains than chimpanzees, gorillas have lower brain–body ratios and lower encephalization quotients, with male value particularly depressed compared with females. “In the case of the African pongid species . . . the developmental pathway utilized to increase body size ensures that relative brain size decreases as a consequence” (Shea 1983d, p 58). It follows that attempted explanations of behavioral and/or ecological contrasts between the species based on differences in relative brain size should be regarded with skepticism.

Shea (1983a, 1984) also summarizes evidence that the differences in body form between adult *P. troglodytes* and *P. paniscus* result from ontogenetic scaling. The extension of common growth allometries to different end sizes holds within the skull, trunk, and limbs but not between them, so that adult bonobos do not match any single stage in chimpanzee ontogeny. Relative to the latter, the *P. paniscus* skull is most strongly reduced in size, forelimbs and trunk less reduced, and hind limbs not reduced at all, so that for a given body size, *P. paniscus* has a smaller skull than *P. troglodytes*. This in turn results in a more paedomorphic cranial shape compared with the chimpanzee through the decoupling of growth rates for the head and body, with the former slowed relative to the latter – an instance of neoteny. The selective factors underlying this process are obscure, although Shea speculates that the reduced sexual dimorphism and different social organization of *P. paniscus* compared with *P. troglodytes* may be important drivers in the evolution of its distinctive cranial proportions.

A more recent morphometric study by Lieberman et al. (2007) also concluded that the bonobo skull is largely paedomorphic relative to the chimpanzee, but that not all shape differences between the species, particularly in the face, could be

explained in such terms, and that other developmental differences were also responsible for the contrasts in form. Durrleman et al. (2012) document ontogenetic changes in endocranial size and shape in sizeable samples of *P. paniscus* ( $n = 60$ ) and *P. troglodytes* ( $n = 59$ ) aged on dental criteria. They identify in bonobos an early, strong anisotropic endocranial expansion and bending due to localized expansion of the frontal pole, occipital lobe, and superior parietal lobe, which contrasts with developmentally later endocranial expansion in the chimpanzee. Patterns of expansion also differ in magnitude between the species, with a phase of rapid increase in endocranial volume occurring later in chimpanzees than bonobos.

Earlier suggestions (Ackermann and Krovitz 2002) of a common cranial postnatal ontogenetic shape trajectory or of separate but parallel shape trajectories that merely accentuate differences established in early (prenatal) ontogeny have been refuted by Cobb and O'Higgins (2004), who show hominin postnatal shape trajectories to be divergent with differing shape changes between species, even in early postnatal ontogeny (Vidarsdottir and Cobb 2004). The directions of scaling trajectories between *Pan* species, however, are not significant (so changing postnatal facial shape in a similar manner from different starting points), whereas those between *Pan* and *Gorilla* are directionally distinct.

Cobb and O'Higgins (2007) explore the role of ontogenetic scaling in determining sexual dimorphism in the facial skeleton of African apes (*G. g. gorilla*, *P. paniscus* and *P. troglodytes*). Using geometric morphometric analysis, they found that on average males and females shared a common ontogenetic shape trajectory and a common ontogenetic scaling trajectory until around M2 eruption. Thereafter, males and females diverged from each other and from the common juvenile trajectories within each species, indicating ontogenetic scaling as a mechanism until around "puberty" and the development of secondary sexual characters, but that subsequent sexual dimorphism occurs through divergent trajectories and not via ontogenetic scaling.

In general, the degree of adult cranial sexual dimorphism is greater in the larger apes (gorilla and orangutan) than in the chimpanzee and bonobo. *Gorilla* males display more size and shape *variability* than females, and a similar difference appears to be present in *Pongo*, but not in *Pan* (O'Higgins and Dryden 1993). Most of the differences reflect greater male facial prognathism, in turn a consequence of canine dimorphism. Adult cranial dimorphism appears to result from distinct mechanisms in the African and Asian apes. Whereas in *Pan* and *Gorilla* cranial dimorphism follows from extending the growth period of males for most cranial proportions (Shea 1983c), in *Pongo* only about half the growth allometries exhibit this process, with the other half displaying accelerated growth in males compared with females (Leutnegger and Masterson 1989a, b).

Male and female chimpanzees display significant cranial size differences but no shape differences, perhaps because the period of extended growth is a short one and/or the scaling coefficients are minor, so resulting in insignificant shape differences given the comparatively modest size of chimpanzees. As with the bonobo-chimpanzee comparison above, these differing patterns of cranial dimorphism in

the great ape genera have been linked to socioecological contrasts between them (O'Higgins and Dryden 1993); see also Caillaud et al. (2008) and above.

Shea has also explored allometric influences on African ape craniofacial and dental form and their relationships to diet using bivariate and multivariate techniques. Many facial proportions in the bonobo, chimpanzee, and gorilla exhibit ontogenetic scaling, i.e., a common pattern of size/shape change. There are also instances, however, where this does not obtain: for example, chimpanzees have shallower zygomatic roots, narrower bizygomatic breadths, smaller infratemporal fossae, and narrower anterior cranial bases than bonobos with the same basicranial lengths. In other words, these features are reduced in chimpanzees compared with the values expected in bonobos ontogenetically scaled to their sizes (Shea 1984). Similarly, in those features in which chimpanzees are reduced relative to bonobos, gorillas tend to be reduced relative to chimpanzees (Shea 1984). As Shea points out, such allometrically adjusted analyses point to the opposite conclusion from that usually drawn from the study of absolute skull sizes – cranially; bonobos are relatively the most robust, and gorillas relatively the most gracile of the African apes.

Additionally, gorillas have significantly longer and higher cranial vaults, higher orbits, and longer foramen magnums than chimpanzee crania of equivalent basicranial lengths. They also exhibit longer, more projecting nasal regions that are sited lower on the face, than comparably sized chimpanzees. As overall skull size increases in the sequence bonobo-chimpanzee-gorilla, the three species also exhibit relatively narrower faces and neurocrania, reflecting in the latter case, the fact that increased brain size results primarily from growth in length, not width, during the prenatal and early postnatal phases.

During late postnatal growth, occipital length and breadth in gorillas increase appreciably compared with chimpanzees, reflecting the development of sagittal and nuchal crests as a functional response to the enlarged temporal and nuchal muscles “outgrowing” their areas of attachment on the exterior cranial wall. This, in turn, is a consequence of the respectively positive and negative allometric relationships between splanchnocranial and neurocranial proportions and body size. Dental metrics indicate that gorillas have relatively smaller incisors and relatively larger cheek teeth than comparably sized chimpanzees, while, surprisingly, temporal fossa area (and so temporal muscle size) becomes relatively smaller across the three species as size increases. Despite these differences, the predominant pattern among the African apes is essentially one of similarity in craniofacial growth. Multivariate analysis yields a common allometry vector incorporating >93 % of total variance confirming this general picture, with a second vector (3.4 %) distinguishing chimpanzees and gorillas.

Shea considers that the differences in midface proportions between *Pan* and *Gorilla* may reflect differences in soft-tissue function or dietary contrasts, although the influence of the latter is by no means clear. In fact, he notes that while dental contrasts between the two apes can fairly clearly be linked to diet, no significant reorganization of the face occurs, with its form being primarily determined by the endpoints of common allometric trajectories. This suggests that the face and



masticatory apparatus may be less strongly coupled to diet than is the dentition and/or that chimpanzee and gorilla diets, while differing in their constituent items, may not differ appreciably in their physical properties, in particular the force required to process them. For Shea, the African ape masticatory complex provides an example of an integrated functional system preadapted to extension into new size ranges and dietary shifts.

Molar crown area scales positively in hominoids, so that larger forms have relatively as well as absolutely larger crown areas, associated with their generally increased folivory, primarily achieved through increased tooth lengths rather than breadths (Demes et al. 1986). There are associated increases in palatal and mandibular lengths and relative narrowing of upper and lower dental arcades in larger taxa. Allometrically determined snout elongation produces greater bite force in larger animals, by lengthening the horizontal distance between the mandibular joint and the molar row, which Demes et al. have shown scales with a mean value of c. +1.6 in hominoids. Bite force is maintained by lengthening the masticatory muscles' power arms, by increasing their cross-sectional area, or by a combination of these. The temporal muscle's power arm scales from +1.16 (male great apes) to +1.62 (female gibbons) and that of the masseters and medial pterygoids, which is strongly influenced by facial height, between +1.54 and +1.64. A rough estimate of *temporalis* cross-sectional area scales from c. +1.4 to +1.9, indicating that the greater load of the allometrically lengthened lever arm is more than matched in larger species by the positive allometry of the power arm and of muscle cross-sectional area and so muscle force.

Larger species produce more bite force for their size than smaller ones due to the allometric changes in masticatory biomechanics following from increased body size, a point neatly illustrated by Demes et al., who demonstrate that skulls of *H. klossi* and *P. paniscus* enlarged isometrically to the size of *H. (S.) symphylangus* and *G. gorilla*, respectively, produce lesser bite forces than the "real" latter two forms do. Bite pressure (bite force/crown area) is maintained if bite force increases at the same rate as crown area; broadly similar relationships for these variables hold within hylobatids and great apes, indicating that in hominoids, crown area and bite force increase at about the same rate, at least over the size range of extant taxa. Estimated bite pressure is generally greater in great apes than hylobatids, although the orangutan is an exception here. Bite pressure shows no obvious relationship to between-species differences in size or diet; interestingly, *P. troglodytes* males produce the greatest scaled bite pressure, exceeding even *G. gorilla* males. Within species, males generally produce greater pressures than females, although whether this is selected for (implying differences in food processing or paramasticatory activity between the sexes) or is a by-product of selection for larger body size is a moot point. Demes et al. make the important point that similar allometric relationships obtaining within hylobatids and great apes provide strong evidence that biomechanical constraints associated with increased body size elicit similar functional responses across the Hominoidea. This is strong presumptive evidence that they should also be applicable to fossil forms.

Ravosa (2000) undertook such a combined allometric analysis of mandible size and form in fossil and extant apes, comparing them with cercopithecoids. Deeper corpora counter parasagittal bending while the more robust cross sections of larger species, especially the fossils, counter axial torsion. The positive allometry of corpus and symphysis cross sections suggests increased masticatory stresses due to greater balancing side muscle activity during powerful mastication, probably reflecting a tougher, harder diet. In addition, the allometry of jaw length and breadth point to greater wishboning stresses at the symphysis at the end of the masticatory powerstroke, countered by a thicker symphysis and increased anterior jaw breadth. After allometric scaling, the most robust mandibles include *Proconsul africanus* and *P. nyanzae*, *Rangwapithecus*, *Turkanapithecus*, *Afropithecus*, *Ankarapithecus*, *Lufengpithecus*, and *Ouranopithecus*; the more slender include hylobatids, *Simiolus*, *Hispanopithecus laietanus*, *Pan paniscus*, and *P. troglodytes* (see also below).

In the broader context of fossil (as well as extant) hominoids, even *G. gorilla* has a comparatively low and slender corpus, only average symphyseal height and a slightly broader than expected symphysis for its mandible length. *Pongo* has a higher but rather thinner corpus than expected and a higher symphysis of expected width; *P. paniscus* has a corpus and symphysis of expected height but rather thinner than expected, while *P. troglodytes* has a shallower, narrower corpus and symphysis, although the latter is closer to the values expected on jaw length than the former. These findings have implications for the dietary reconstruction of fossil forms: many Middle/Later Miocene hominoids are notably more robust than modern apes and so are plausibly reconstructed as exploiting harder, more resistant food items requiring substantial force in processing, while the categorization of proconsulids as “frugivorous” may also well underplay the variety and toughness of their dietary items. Such reconstruction, however, is difficult to reconcile with the evidence provided by dental morphology and wear patterns in *Proconsul* and by some other aspects of jaw form (see below).

In some respects, hominoid and cercopithecoid mandibular cross-sectional scaling patterns are similar; smaller apes are notably gracile, resembling cercopithecine proportions, but larger ones have both deeper and relatively wider corpora more reminiscent of colobines to resist greater axial torsion during chewing – perhaps reflecting larger, more laterally placed masseters that contribute relatively more to unilateral mastication, together with the medial pterygoids. This is especially so in the largest apes which have absolutely and relatively very thick corpora exceeding colobine proportions, suggesting diets with at least comparable, and very possibly greater, physical properties of hardness and/or toughness.

Smaller apes also display symphyseal curvature comparable to cercopithecines, whereas, with increasing body size, curvature reduces to a shallower, colobine-like, arc, eventually falling below even that in the largest apes. This has traditionally been interpreted in dietary terms (frugivores requiring large incisors and so a wide anterior dental arcade), but the bulk of the fossil evidence points to diets other than frugivory. Ravosa (2000) therefore interprets the broader anterior dental arcade combined with a relatively thick symphysis as hominoid adaptations to resist

concentrated wishboning forces at elevated levels resulting from a hard-object diet. The exception to this is *Afropithecus*, which has a notably narrow, tightly curved anterior mandible with, presumably, correspondingly concentrated wishboning forces, raising interesting issues about dietary composition and food processing activities in that genus. Again, findings from the dental evidence do not easily accord with those based on mandibular proportions.

More recently, Taylor (2002, 2003) has used morphometric methods to investigate masticatory variation in African apes as a function of dietary differences. She compared allometrically adjusted mandibular, cheek, and facial dimensions, quantifying masticatory parameters associated with bite force and load resistance in ontogenetic series of bonobo, chimpanzee, and gorilla, to test the hypothesis that more folivorous forms would show greater development of these features.

The results are complex. Unsurprisingly, all species show allometric increases during growth in traits indicating improved muscle and bite force and the capacity to resist greater loads. Masticatory muscle sizes are especially strongly allometric. After adjusting for allometry, however, only a few traits differ consistently across African ape species as predicted by dietary preferences. A more resistant diet is generally correlated with a thicker mandibular corpus, although the thicker corpus of chimpanzees compared with bonobos is not matched by evidence of corresponding dietary differences. Compared with *Pan*, *Gorilla* has a relatively wider mandibular corpus to resist axial torsion, a wider symphysis so resisting “wishboning,” a higher temporomandibular joint which contributes to improved mechanical advantage of the jaw lever and distributes forces more evenly along the cheek teeth, and a higher mandibular ramus, increasing the moment arm of the temporal and masseter muscles and providing a larger attachment area for the latter and the medial pterygoids. Moreover, within *Gorilla*, eastern gorillas exhibit greater values than western ones and also have larger masseter muscle than the latter, in accord with their more resistant diet.

Other analyses, however, do not conform to the pattern predicted from diet; for example, gorillas do not have the relatively deeper corpora expected to resist parasagittal bending (Hylander 1979a, b), and there was no regular association of the deeper symphysis providing increased resistance to bending and shearing forces with greater folivory (see also Ravosa’s findings summarized above, although Demes et al. (1984) and Wolff (1984) considered the torsional resistance of the gorilla mandible “remarkable,” and maximal at the symphysis). Overall, gorillas do not have the shorter deeper faces and more anteriorly positioned masticatory muscles predicted to improve the mandible’s power arm ratio and to reduce bending moments in the face, and there was no consistent differentiation of bonobos and chimpanzees. Taylor concludes that while some of the distinctive craniofacial features of the African apes can plausibly be considered as dietary adaptations, the link is not especially strong. Dental development and allometric and other ontogenetic constraints are doubtless important influences, while more information is needed on the composition, variability, and especially the physical properties of ape diets. The equivocal nature of these results accords with those from some other studies; for example, despite their dietary contrasts, Rak’s indices quantifying

relationships between the palate, masseter origin, and their positions relative to the calvaria fail to discriminate between *Pan* and *Gorilla* (Rak 1983: table 3, p 25).

Further analysis of the *Gorilla* masticatory system with larger samples, and including *G. g. graueri* in the analysis, confirms and extends the earlier findings (Taylor 2003). *G. g. beringei* has a significantly larger face than the other two subspecies and differs from *G. g. graueri* in the same features that distinguish it from *G. g. gorilla* and which differentiate *Gorilla* and *Pan*. Despite being more folivorous, however, *G. g. graueri* does not differ from *G. g. gorilla* in those features, and *G. g. beringei* fails to express the full set of masticatory traits predicted by its diet. In this last respect, it may well be the case that an investigative model assuming optimization of each and every variable is simply inappropriate; rather than a spectrum or continuum of values for every trait, some may be more appropriately considered in terms of thresholds. For example, if food availability is not a constraint and provided the face is structurally sufficiently strong to resist masticatory forces, there seems little reason to suppose that selection will necessarily promote further shortening and deepening of the face in hominoid folivores to achieve “optimal” values, particularly if to do so will disrupt pervasive, well-established allometric trajectories. Covariation within a tightly integrated functional system, such as the head, is likely to impose multiple constraints on the variation of any given character or character complex.

Taylor et al. (2008) report a detailed analysis of the relationships between jaw form, diet, and food properties in orangutans, chimpanzees, and gorillas, using area moments of inertia and condylar area ratios to estimate moments imposed on the mandible to assess relative ability to counter mandibular loads. They took data on elastic modulus and fracture toughness of food types to derive food material properties and generated bending and twisting moments on the mandible to estimate minimally required bite forces. Based on food properties, they hypothesized improved resistance to mandibular loads in *Pongo p. wurmbeii* compared to African apes and in *Gorilla b. beringei* compared to *Pan t. schweinfurthii*. The predictions were, in fact, only applicable when bite forces were estimated from maximum fracture toughness of non-fruit, non-leaf vegetation; for all other tissues (fruit, leaves) and material properties, results were contrary to predictions. As food material properties changed, moments imposed on the mandible changed, so altering ratios of relative load resistance to moment, so that species appear over- or under-designed for the moments imposed on the mandible. Reliable estimates of average and maximum bite forces from food material properties accordingly require information about the physical properties of the full range of dietary items.

A recent study (Taylor and Vinyard 2013) incorporates data on masticatory muscle physiologic cross-sectional area (PCSA) and muscle fiber length and broadly confirms an allometric influence on masticatory power while underlining the need for caution when inferring chewing forces from skull form. Hominoid muscle fiber architecture reflects both absolute size and allometric influences; PCSA is close to isometry relative to jaw length in anthropoids but trends to positive allometry in hominoids. Extant large-bodied apes therefore probably generate absolutely and relatively greater muscle forces compared with hylobatids

and monkeys, possibly reflecting changes in food composition, ingestive behavior, and/or increased emphasis on mastication as opposed to ingestion with greater body size. The study also revealed that craniometric estimates of masseter and temporalis PCSA may be seriously awry, underestimating the actual figures by >50 % in gorillas and overestimating masseter PCSA by up to 30 % in humans.

Given the lack of concordance in masticatory morphology and diet in living hominoids, and the incongruities between craniofacial morphology and dental evidence among fossil forms, detailed dietary reconstruction based on craniofacial form in fossil hominoids appears questionable. There seems no secure basis on which to go beyond the most general of statements about dietary properties. Shea's conclusions about the relative decoupling of masticatory morphology and diet in the African apes may be applicable to hominoids generally (see also Daegling and Hylander (1998, 2000) and Daegling (2004)). Dental evidence (crown proportions and morphology, crest development and structure, chemical composition, and wear patterns) may well prove a better guide to hominoid diets than the analysis of craniofacial form, no matter how elaborate the biomechanical models employed (Ungar 1998; Teaford and Ungar 2000). These provisos should be kept in mind in relation to the following summary of craniofacial form in fossil hominoids, which for its framework draws especially on the chapters by Harrison, Begun, Kelley, and Ward and Duren in Hartwig (2002).

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## Fossil Hominoids

### Africa

#### Oligocene/Early Miocene African Hominoids

The splitting event between the two crown groups of the Catarrhini, apes and Old World monkeys, was an African phenomenon, as the earliest members of both lineages are found in sub-Saharan Africa. The earliest evidence for the divergence is known from late Oligocene (25.2 Ma) deposits in the Rukwa Rift Basin in southwestern Tanzania; *Nsungwepithecus gunnelli* shows evidence of bilophodont, a cercopithecoid synapomorphy, while *Rukwapithecus fleaglei*, from the same deposits, shares two dental traits with crown hominoids – M<sub>2</sub> hypoconulid positioned buccally and a hypoconid positioned opposite the lingual notch between the metaconid and the entoconid (Stevens et al. 2013). The dental similarities of the latter taxon with *Rangwapithecus* from the early Miocene of Kenya may suggest a similar dietary adaptation, namely, folivory (see below).

#### *Kamoyapithecus*

The other known late Oligocene catarrhine that has been considered a possible hominoid is *Kamoyapithecus*, from Lothidok, Kenya (Leakey et al. 1995). No explicit shared derived traits link the form with later hominoids. Although very

little can be said about the maxillary bone preserved in the type specimen, the dentition is consistent with a high degree of anterior tooth use, albeit with thinner dental enamel than that seen in later, similarly sized forms, such as *Afropithecus*.

More extensive evidence from Early Miocene sites in East Africa indicates an array of forms, variously regarded as hominoid or non-hominoid (see chapter “► [Fossil Record of Miocene Hominoids](#),” Vol. 2). Evidence from the oldest (19–20 Mya) sites of Songhor and Koru (Kenya) points to predominantly tropical forest habitats, with later (16–17 Mya) sites on Rusinga Island (Kenya) ranging from flood plain to riverine contexts. The picture here is of drier, more seasonal environments than Songhor or Koru, but with persistent wooded conditions, varying from forest to deciduous woodland according to rainfall (Andrews 1996; Andrews et al. 1997). Similar habitats are indicated at the rather later Middle Miocene sites of Maboko Island (15–16 Mya) and Fort Ternan (14.5 Mya; see below).

### ***Micropithecus***

The small (ca. 3.5 kg) *Micropithecus* contrasts the bulk of the Early Miocene non-cercopithecoid fauna dentally in having relatively larger anterior teeth compared to the cheek teeth, broad incisors, and narrow premolars and molars, with only weakly developed occlusal ridges. It differs cranially from the similarly sized *Dendropithecus* in its shallow, broader palate and nasal aperture, short face and clivus, and moderately high and lightly built mandible corpus, with only modest symphyseal tori. Phylogenetic analyses (Rae 1993, 1997; Stevens et al. 2013) suggest that this taxon may be a stem hominoid, as it lacks some of the characteristic hominoid cranial synapomorphies. The frequency of dental pitting and pit shape in *Micropithecus clarki* (19–20 Mya) points to folivory (Ungar et al. 2004), while *M. leakeyorum* (15–16 Mya) shows similarities to the rather earlier *Simiolus enjessi* (16.5–18 Mya) that probably also reflect folivorous adaptations (Harrison 1989; Benefit 1991).

### ***Proconsul***

At least two broad cranial morphologies are represented among the Lower Miocene fossils. The genus *Proconsul* is particularly well known, with species differing in size and dental and gnathic details, but linked by fundamental similarities in craniodental morphology (Fig. 7). Knowledge of *Proconsul* is primarily based on the material recovered from sites on Rusinga Island, Kenya, including much of a skull in 1948 (Le Gros Clark and Leakey 1951) and a partial skeleton from 1951 and subsequently (Napier and Davis 1959; Walker and Teaford 1989; Walker et al. 1993) supplemented by other material. Initially assigned to the type species *P. africanus*, the 1948 and 1951 specimens were later transferred to *P. heseloni* (Walker et al. 1993; Walker 1997) on the basis of differences in dental size and morphology and in mandibular proportions and symphyseal reinforcement from the type of *P. africanus* from Koru.

**Fig. 7** (*Upper*) Frontal and profile views of *Proconsul heseloni* cranium (Photograph © National Museums of Kenya). (*Lower*) Frontal and profile views of *Proconsul nyanzae* part face (Photograph © National Museums of Kenya)



Compared with later hominoids, the *P. heseloni* cranium is lightly constructed: the globular neurocranium largely lacks pronounced tori or crests, although the medial portion of the nuchal crest is evident above the steeply angled nuchal area, and the external occipital protuberance is located high on the skull rear. The frontal is short but broad, reflecting the limited postorbital constriction, while the superior temporal lines are prominent anteriorly and converge toward the vault rear but do not meet to form a crest. On the face, the premaxilla rises on either side of the nasal aperture, contacting the nasal bones above their tip, so excluding the maxilla from the rim of the nasal aperture, which narrows inferiorly between the central incisor roots above a short nasoalveolar clivus. Above it, the nasal bones are nonprojecting, long, and narrow, extending upward beyond the frontomaxillary suture and expanding in breadth in the glabellar region. There is a prominent jugum above the upper canine root and a shallow canine fossa. The lightly built zygomatic arch originates low down, curving backward and upward, and has a well-developed malar tuberosity. The subrectangular orbits are widely separated, surmounted by weak supraorbital ridges and with a slightly swollen glabella over a large frontal sinus (which may represent a frontoethmoid sinus; see Rossie 2005) between, but there is no distinct supraorbital torus.

The palate is long, rectangular, and shallow. A large, transversely broad incisive fossa joins directly with the nasal cavity, so there is no true incisive canal, and the hard palate is retracted from the subnasal alveolar process (Ward and Pilbeam 1983; McCollum and Ward 1997). There is a pronounced tuberosity on the alveolar process behind  $M^3$ . The maxillary sinus extends anteriorly to the premolars and laterally into the root of the zygomatic arch. The articular eminence and postglenoid process are well developed, and the auditory region has a tubular ectotympanic as in modern catarrhines, while the prominent, well-pneumatized mastoid process is coronally narrow and rather bladelike.

The mandibular symphysis exhibits a moderately to well-developed superior transverse torus but a much weaker and variable inferior torus, absent altogether in some individuals. The corpus displays limited lateral buttressing below the cheek teeth and shallows posteriorly; the relatively high ramus is lightly constructed, and the gonial region only slightly marked by muscle attachments. The slightly earlier, similarly sized and evidently closely related *P. africanus* differs from *P. heseloni* in numerous dental details, mandibular proportions, and symphyseal reinforcement: the *P. africanus* corpus is deeper anteriorly and posteriorly shallows more strongly, while the symphysis lacks an inferior transverse torus but bears a pronounced superior torus (Walker et al. 1993). An inferior transverse torus is also absent in the larger *P. nyanzae*.

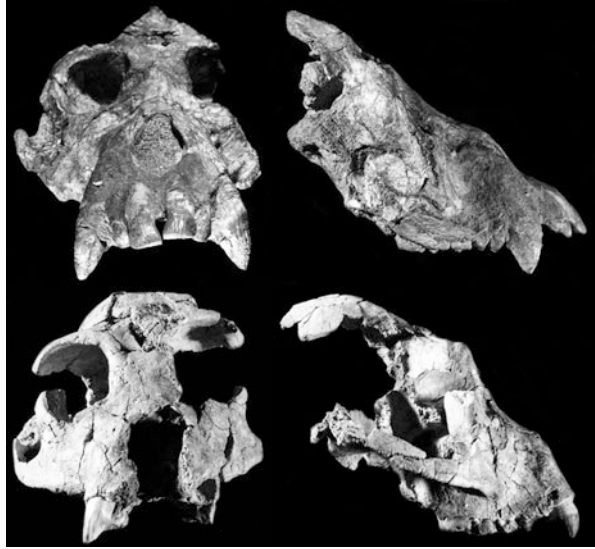
Views differ on *Proconsul*'s brain size and encephalization: Walker et al. estimated the 1948 cranium's capacity as 167 cm<sup>3</sup> and inferred that *P. heseloni* was more encephalized than modern cercopithecoids of similar size. Manser and Harrison (1999), however, using foramen magnum area as a size surrogate, estimated brain size as the markedly lower 130 cm<sup>3</sup> and close to the mean encephalization value for anthropoids. The endocast's relatively small frontal lobe and cortical sulcal pattern were considered primitive and cercopithecoid by Le Gros Clark and Leakey (1951) and Le Gros Clark (1962) but definitely not so by Radinsky (1974) who judged it hominoid and most like gibbons. More recently, Falk (1983) has argued that the sulcal pattern resembles that of extant New World monkeys, such as *Ateles*, rather than any group of catarrhines and approximates the inferred common ancestral sulcal pattern for Anthropoidea.

Relative shearing-crest lengths in those *Proconsul* species studied (*P. heseloni*, *P. nyanzae*, and *P. major*, the last sometimes referred to the genus *Ugandapithecus*; see below) are less than those of any extant ape and considered to indicate frugivory (Kay and Ungar 1997), while the proportion of pits to scratches on molar wear facets (37–39 %) also indicates soft-fruit eating (Ungar 1998; Ungar et al. 2004). Despite the findings of Ravosa (2000), see above, mandibular form and proportions are also compatible with this interpretation. At the symphysis, the prominent superior transverse torus and minimal or absent inferior torus produce a broadly triangular cross section, especially in larger individuals, resistant to torsional or bending stresses produced by medial (jaw opening) or lateral (masticatory power stroke) bending (Hylander 1984; Brown 1997). Below the cheek teeth, the corpus is relatively deep vertically and narrow coronally, and, while it may be reinforced by the rear of the superior transverse torus, the mylohyoid ridge, and the lateral eminence, there is relatively little change in cross-sectional shape along the corpus compared with other fossil apes (Brown 1997). This suggests chewing activity generating comparatively high vertical forces but only limited transverse or torsional forces during food processing.

It is also possible to infer aspects of the locomotor pattern of fossil taxa with reference to their cranial morphology via the semicircular canals of the middle ear; there is a general correlation between the radius of curvature of the organ of balance and the relative agility of primate taxa (Ryan et al. 2012). The ancestral catarrhine adaptation, judged from stem forms such as *Aegyptopithecus*, is that of a



**Fig. 8** (*Upper*) Frontal and profile views of *Afropithecus turkanensis* cranium (Photograph © National Museums of Kenya). (*Lower*) Frontal and profile views of *Turkanapithecus kalakolensis* cranium (Photograph © National Museums of Kenya)



medium-slow arboreal quadruped. *P. heseloni*, however, is characterized by larger semicircular canals, which suggests more agile, medium-speed locomotion, much like that seen in extant macaques.

One species previously assigned to *Proconsul* is now considered by some to be distinct at the generic level (Senut et al. 2000); *Ugandapithecus* is held to contain as many as four species of varying sizes and chronological ages (Pickford et al. 2009b), including the only-just-named *Proconsul meswae* (Harrison and Andrews 2009). The largest (and least contentious) of the species, *U. major* from Songhor in Kenya and Napak in Uganda, has little in the way of cranial material preserved, although all of the taxa assigned to *Ugandapithecus* are said to share a distinctive mandibular corpus that becomes shallower posteriorly. It is worth noting, however, that the generic distinction is not recognized universally (MacLatchy and Rossie 2005).

### ***Afropithecus***

The contemporary *Afropithecus turkanensis* contrasts markedly with *Proconsul* in its cranial form (Leakey and Walker 1997) (Fig. 8). The large face is dominated by the long domed muzzle and deep, flaring zygomatic processes. The projecting premaxilla forms a deep nasoalveolar clivus and extends up on both sides of the broad, oval nasal aperture to contact the narrow, medially elevated nasal bones. There is an extensive maxillary sinus; the shallow palate displays large paired openings for the incisive foramen. The canine roots form prominent juga; the root of the zygomatic process is deep, anteriorly inferiorly sloping, and originates low down on the face. The cordiform orbits are broader than high, inferolaterally

sloping and widely separated. The glabellar region is prominent, the slender supraorbital torus curving above each orbit and delimiting a frontal trigon with its lateral limits marked by well-defined anterior temporal lines which merge to form a distinct sagittal crest. Postorbital constriction is marked, and the *temporalis* muscles are well developed. The mandibular corpus is very deep with a distinct fossa, while the ascending ramus is set obliquely to the corpus. The symphysis bears moderate superior and inferior transverse tori, a long and strongly sloping subincisive planum, and a low-genial pit, implying a deep, narrow tongue.

Overall facial proportions of *Afropithecus* are reminiscent of *A. zeuxis*, but absolutely much larger; finite element scaling analysis reveals marked size contrasts but minimal shape differences in the snout and some shape differences (but reduced size contrasts) in the zygomatic and maxillary tuberosity regions (Leakey et al. 1991). These authors conclude that the similarities in *Aegyptopithecus* and *Afropithecus* facial form indicate the persistence into the Early Miocene of a functionally integrated mosaic of features that characterized the primitive hominoid face. Benefit and McCrossin (1991) draw attention to craniofacial similarities between *Aegyptopithecus*, *Afropithecus*, and the Miocene cercopithecoid *Victoria-pithecus*, indicating that many of these facial traits are primitive catarrhine characters rather than basal hominoid synapomorphies.

With its large, procumbent, and mesially inclined upper central incisors; stout, low-crowned canines; and cheek teeth covered with very thick enamel and complex wrinkling, *Afropithecus* has been compared, especially in its anterior dentition, to pithecines exploiting seeds and hard fruits, where the incisors crop food items and the large canines apply considerable force to puncture hard fruits, as in *Chiropotes* (Leakey and Walker 1997; Kinzey 1992). Cusp morphology, the high incidence of pitting in the single *Afropithecus* individual sampled – at 43 %, the highest of the early African forms studied (Ungar et al. 2004) – and a lack of prominent shearing crests on the cheek teeth are also consistent, like the anterior dentition, with frugivory.

Overall, Leakey and Walker (1997) conclude that *Afropithecus* was a sclerocarp forager. Other aspects of mandible reinforcement (e.g., strong basal buttressing, a pronounced lateral tubercle where the oblique line meets the corpus, a hollowed buccal surface above the mental foramen with a marked canine jugum anteriorly) that support this interpretation are also seen in *Sivapithecus* (see below) and probably reflect comparable biomechanical responses to reliance on food items with similar physical properties rather than any especially close phylogenetic link. The somewhat smaller but otherwise similar *Heliopithecus* from the early Middle Miocene of Saudi Arabia may be no more than specifically distinct from *Afropithecus* (Andrews et al. 1978; Andrews and Martin 1987).

### ***Morotopithecus***

The large (chimpanzee-sized) hominoid *Morotopithecus bishopi* (Gebo et al. 1997), based on the palate from Moroto initially assigned to *P. major* (Pilbeam 1969), resembles *Afropithecus* in many respects and may be congeneric with it. It is of

Early (20–21 Mya) or Middle (15–17 Mya) Miocene age, depending on  $^{40}\text{Ar}^{39}\text{Ar}$  dating (Gebo et al. 1997) or faunal correlation (Pickford et al. 1999). It combines an anteriorly broad palate with comparatively narrow, procumbent incisors offset by a pronounced diastema from the large, stout canines, whose massive roots form pronounced juga. The molars resemble those of *P. major* in their bunodont cusps, wrinkled enamel, and beaded lingual cingulum, but contrast in their relative sizes, while the anterior dentition is much larger and the interorbital breadth narrower than in *P. major*. Overall the face is relatively long and narrow, with a broad nasal aperture, a short clivus, and an extensive maxillary sinus. The undoubted resemblances in face and dentition to *Afropithecus* may reflect dietary convergence in exploiting hard-cased fruits rather than phylogenetic propinquity. Postcrania referred to *Morotopithecus* resemble those of *Proconsul* in some respects but are also markedly more derived in the direction of modern hominoids in the lumbar, shoulder, hip, femur, and knee regions and point to forelimb suspension and slow brachiation, as well as climbing and quadrupedal activity in an arboreal habitat (MacLatchy 2004).

### ***Nyanzapithecus***

*Nyanzapithecus* is much less well known, but premaxillary and maxillary fragments of two species, *N. vancouveriorum* from the Early Miocene (17–18.5 Mya) and *N. pickfordi* from the Middle Miocene (15–16 Mya), indicate contrasts with *Afropithecus* in their smaller size; shorter faces; low, broad nasal apertures; and robust premaxillary regions (Harrison 2002). These species and the rather smaller Middle Miocene *N. harrisoni* (13–15 Mya) display broad, strongly built upper and lower incisors, while the cheek teeth are long and narrow; the molars bear low, expanded cusps and rounded occlusal crests. *Mabokopithecus*, represented by two isolated rear lower molars and an almost complete mandible, is dentally very similar to *N. pickfordi* and may well be congeneric with *Nyanzapithecus*, in which case the former genus has priority.

### ***Rangwapithecus***

The Early Miocene (19–20 Ma) *Rangwapithecus gordonii*, similar in size and probable locomotor pattern to the smaller *Proconsul* species, contrasts dentally with them in numerous respects, including molars with low cusps and well-developed crests, enamel wrinkling, and a pronounced wear differential. Cranial material indicates a comparatively short premaxilla and long, narrow palate widening toward the rear. The maxillary sinus is deep and the zygomatic root set low down the face above  $M^1$ – $M^2$ ; the mandible is deep and the symphysis reinforced with a pronounced superior transverse torus. Kay and Ungar (1997) and Ungar et al. (2004) have argued that, on the basis of its molar crest development (greater than that of any other Early Miocene form) and the low incidence and long, narrow

form of dental pitting, *Rangwapithecus* is likely to have been folivorous. Dental proportions and macrowear, facial morphology, palatal proportions, and mandible structure are all compatible with this interpretation.

### ***Turkanapithecus***

The somewhat younger (16.6–17.7 Mya) *Turkanapithecus kalakolensis* is another medium-sized form, rather smaller than *P. heseloni*, and represented by a partial cranium preserving the upper dentition save for the incisors and a mandible with left M<sub>2</sub> and right M<sub>3</sub> (Fig. 8). The skull exhibits a relatively short face with broad, domed snout; a wide, oval nasal aperture flanked by prominent canine pillars, with expanded nasal bones; and a broad, flat interorbital region above. The palate is narrow, with posteriorly convergent tooth rows, and there is an extensive maxillary sinus. The zygomatic process originates low down on the face, and the arch is relatively deep and flaring. This, combined with pronounced postorbital constriction, makes for a deep infratemporal fossa and, presumably, well-developed *temporalis* muscle – a view also supported by the strongly marked and convergent temporal lines, pointing to a sagittal crest. The rear of the saddle-shaped glenoid cavity is bounded by a well-developed postglenoid process, but there is no distinct articular eminence. The nuchal area is comparatively short and the crest strongly developed, reflecting both the rugged facial architecture and comparatively small neurocranium, estimated at c. 85 cm<sup>3</sup> – absolutely and relatively smaller than *P. heseloni* (Manser and Harrison 1999).

Given this cranial morphology, it is rather surprising that the mandibular symphysis displays neither strongly developed superior nor inferior transverse tori. The corpus is shallow and relatively slender, with constant depth below the molars, while the ramus is broad, low, and sloping, with an expanded gonial region, so according with zygomatic architecture indicating well-developed masseter muscles – and a knoblike condyle. Upper first premolars are large, and while both upper and lower molar teeth increase in size posteriorly, the gradient is much less than in comparably sized *Proconsul* species. Overall, craniodental features suggest a resistant diet, possibly consisting of hard-cased fruits or leaves.

### ***Dendropithecus***

There is also a cluster of small- to medium-sized forms (perhaps 3–9 kg), usually grouped together as dendropithecoids. The siamang-sized *Dendropithecus macinnesi*, based on material originally assigned to *Limnopithecus*, displays narrow, high-crowned incisors; strongly dimorphic canines; broad premolars; and molars with high cusps, sharp occlusal crests, and well-defined foveae. The palate is narrow, as is the nasal aperture; the maxillary sinus is extensive and the mandible corpus low and robust, with the symphysis reinforced by fairly prominent superior

and inferior transverse tori. Among Early Miocene hominoids, *Dendropithecus* has the least well-developed molar shearing crests of those studied other than *Proconsul* and a fairly high incidence of pitting, pointing to a predominantly soft-fruited dietary niche (Kay and Ungar 1997; Ungar et al. 2004).

### ***Limnopithecus***

Other small Early Miocene forms, known principally from isolated teeth and part jaws, include *Limnopithecus legetet* and *L. evansi*. These have a short lower face, with anteriorly positioned orbits; narrow, elliptical nasal aperture; and a shallow clivus, an inflated maxillary sinus, and a shallow, lightly built mandible reinforced symphyseally by a strongly developed superior transverse torus but with an inferior torus that is weak (*L. evansi*) or absent (*L. legetet*). *L. legetet* combines broad, low-crowned incisors and small canines with an ovoid P<sub>3</sub> that suggests only part development of the C–P<sub>3</sub> honing complex, and cheek teeth with high, sharp cusps and occlusal crests. *L. evansi* has narrower, higher crowned incisors, larger canines, and a better developed sectorial face on P<sub>3</sub>, cheek teeth with lower, rounded cusps, and less sharply developed occlusal crests. Relative shearing-crest development suggests a fairly folivorous niche (Kay and Ungar 1997).

### ***Kalepithecus***

Less well known than these forms is the broadly contemporary *Kalepithecus songhorensis*, similar in dental size to *L. legetet*, but differing in most other respects. The anterior teeth are relatively large, with I<sup>1</sup> broader and more spatulate, the upper premolars relatively narrow but the molars relatively broad; P<sub>3</sub> is moderately sectorial, the short, broad lower molars with low, rounded, and expanded cusps, and poorly developed occlusal crests. *Kalepithecus* contrasts with other Early Miocene forms in its inferiorly broad nasal aperture and deep clivus, while dental morphology and proportions suggest a frugivorous diet.

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## **Middle and Late Miocene African Hominoids**

Fossil hominins apart, evidence of African hominoids from the Middle Miocene onward is limited, especially, when contrasted with the comparatively abundant Early Miocene material. Nonetheless, recent discoveries have both significantly increased the number of fossils (Ward and Duren 2002) and led to major reappraisals of earlier finds, notably of the material assigned to “*Kenyapithecus africanus*” (Leakey 1967), which has been reallocated to distinct taxa, with consequent systematic and phyletic implications.

## ***Nacholapithecus***

One such taxon is the large, markedly dimorphic *Nacholapithecus kerioi* (Ishida et al. 1999, 2004), based on material from Middle Miocene (15 Mya) sites in Samburu District, Kenya. Cranial remains display overlap of the posterior premaxilla and hard palate, forming a short incisive canal (Kunimatsu et al. 2004), which may indicate an intermediate condition between the nonoverlapping subnasal configuration of gibbons and fossil stem hominoids and the longer incisive canal that is a diagnostic trait of the clade that includes the extant large-bodied apes (Nakatsukasa and Kunimatsu 2009), or Hominidae. The incisive foramen is small, while the face bears strong canine pillars and deep fossae, and the zygomatic process originates low down on the maxilla. The mandible corpus is tall but thin, with a near vertical symphysis, a moderate inferior transverse torus, and a lateral fossa below the premolars. I<sup>1</sup> is high crowned and robust, while both lower incisors are tall and narrow. Canines are low crowned, upper premolars display marked cusp heteromorphy, and molars are thick enameled.

## ***Equatorius***

Other material previously assigned to “*K.*” *africanus* has been incorporated, along with new discoveries (including a part skeleton (KNM-TH 28860) from Kipsaramon, Tugen Hills, and multiple finds at Maboko Island and adjacent localities, Kenya), in another, broadly contemporary (14–15.5 Mya) large-bodied, dimorphic species *Equatorius africanus* (Ward et al. 1999). While initially criticized – see, for example, Begun (2000) and Benefit and McCrossin (2000) and response by Kelley et al. (2000) – the current consensus is that *E. africanus* is a valid taxon. The species is characterized by broad I<sup>1</sup>s with marginal ridges, markedly asymmetrical lateral incisors, with a spiral lingual cingulum, and relatively large upper premolars with reduced cusp heteromorphy (contra *Nacholapithecus*). The procumbent, narrow lower incisors are tall, whereas the mandibular canines are low crowned with convergent roots. The thick-enameled, bunodont lower molars increase markedly in size along the tooth row.

The maxilla exhibits a very low, broad root for the zygomatic process and an extensive sinus extending into the premolar region, while the mandible displays a long, inclined sublingual planum, a prominent inferior transverse torus, and a robust corpus. The partial skeleton and other postcranial fossils indicate some resemblances to earlier forms such as *Proconsul* and *Afropithecus*, but with forelimb and hind limb contrasts that point to significant terrestriality (Sherwood et al. 2002; Patel et al. 2009). It remains to be determined whether ground vegetation formed an appreciable component of *Equatorius*’ diet, but dental similarities to *Afropithecus* (and “*Heliopithecus*”), especially in canine form and premolar proportions, suggest resistant foods, such as seeds and/or hard-cased fruits, as major dietary items.

## ***Kenyapithecus***

The genus *Kenyapithecus* is retained for the rather later (14 Mya) species *K. wickeri*, known from partial jaws and isolated teeth from Ft. Ternan, Kenya. The maxilla exhibits marked canine fossae, a relatively low and anteriorly positioned origin for the zygomatic process above  $M^1$ , little extension of the maxillary sinus into the inflated alveolar process (in contrast to *Equatorius*), and a relatively highly arched palate. The upper incisors are markedly heteromorphic, with  $I^2$  much smaller than  $I^1$ , which is reinforced by strong lingual marginal ridges extending across the base of the crown surface. The upper canines exhibit marked dimorphism: robust, tall, and externally rotated in presumed males, more conical in females.  $P^4$  is relatively broad with subequal cusps;  $M^1$  is quadritubercular and lacks a lingual cingulum, while  $M^2$  is similar but larger. The postcanine teeth are closely packed, with low cusp relief and appreciable wear.

The mandible, considered female, displays a shallow symphysis, sharply retreating at 30–40° to the alveolar margin, with pronounced inferior transverse torus extending to below the mesial root of  $M_1$ , rather weak superior torus and long sublingual planum, a short incisor row, and a robust, comparatively shallow but thick corpus. As reconstructed, the mid-lower face overall was broad and flat, with wide cheeks and a short snout (Andrews 1971; Walker and Andrews 1973). The relatively tall-crowned lower canine bears only a slight lingual cingulum, while  $P_3$  is obliquely set and sectorial, with a distinct honing facet for the upper canine, and  $P_4$  bears prominent mesial and smaller, lower distal cusps; the poorly known lower molars apparently lack buccal cingula.

The narrow anterior dental arcade, procumbent incisors with curved roots, anteriorly positioned zygomatic origin, restricted maxillary sinus and mandible with markedly sloping symphysis, pronounced inferior transverse torus, and shallow, robust corpus differentiate *K. wickeri* from most other African hominoids. Andrews (1971) and Walker and Andrews (1973) interpret these traits as a functional set adapted for powerful chewing activity with a strong lateral grinding component and pronounced incisal action. There are similarities, especially in the anterior dentition, with *Afropithecus*, and, as with that genus, pithecines have been proposed as the most plausible dietary analogues (Leakey and Walker 1997; McCrossin and Benefit 1997). This model of *K. wickeri* as a sclerocarp feeder, exploiting hard-cased/hard-stoned fruits, seeds, and nuts, is compatible with reconstruction of the Ft. Ternan environment as drier and more seasonal than many earlier African sites, predominantly closed-canopy woodland with both open country and forested conditions nearby (Andrews 1996; Andrews et al. 1997). A second species of this genus, *K. kizili* (Kelley et al. 2008), has been named for material found outside of Africa and will be treated below.

## ***Otavipithecus***

Broadly contemporary at 13 + 1 Mya, the more southerly *Otavipithecus namibiensis* is known from a part mandible and frontal bone from Berg Aukas,

Namibia (Conroy 1997; Conroy et al. 1992). The incisor region of the mandible is narrow and the symphysis reinforced by a short inferior transverse torus. The corpus is robust, of constant depth, and relatively long, with the ramus originating behind M3 and with a distinct retromolar space. Premolar and molar cusps are inflated and bunodont, mesial, and distal foveae small and enamel thin. The frontal bears superciliary ridges rather than a transverse torus, with marked temporal ridges adjacent to glabella, relatively wide interorbital dimensions and an extensive frontal sinus. The last of these traits has been used to link *Otavipithecus* with extant hominines (Pickford et al. 1997), but the current lack of clarity as to the polarity of frontal sinus evolution in catarrhines renders this interpretation premature at best. The narrow incisor region does not support a niche of specialized frugivory, while the thin enamel and minimal wear differential on the molar teeth point to a nonabrasive diet; there are no obvious dental adaptations to folivory. Conroy argues that *O. namibiensis* probably subsisted on a range of plant foods that required little preparation by the anterior teeth prior to chewing.

### ***Samburupithecus***

A later Miocene (9.5 Mya) large-bodied species from the Samburu Hills of north central Kenya named *Samburupithecus kiptalami* is known only from one left maxilla with P<sup>3</sup>–M<sup>3</sup> crowns and the canine alveolus (Ishida and Pickford 1997). The palate displays a marked arch, a shallow postcanine fossa, a low origin for the zygomatic root, and invasion of the zygomatic process by the extensive maxillary sinus. The nasal floor has a sharp margin, and the tooth row is straight from the canine alveolus to M3. The three-rooted premolars have elongated crowns with coequal main cusps, while the molars display inflated, bunodont cusps and thick enamel. *S. kiptalami*'s affinities are unknown; some workers consider it to show some similarities with the gorilla, although there are also undoubted differences, e. g., the size of lingual cingulae. This and other traits make it most likely that *Samburupithecus* is a late-surviving part of the stem hominoid radiation that included *Proconsul* (Olejniczak et al. 2009).

Two newly discovered taxa have increased our knowledge of the later Miocene of Africa substantially. *Nakalipithecus nakayamai* is a large ape (>50 kg) from the early Late Miocene (9.9–9.8 Mya) site of Nakali, Kenya (Kunimatsu et al. 2007). Although known from only a few dentognathic remains, its striking resemblance to the slightly younger *Ouranopithecus* from Greece suggests that the popular paleobiogeographic scenario of large-bodied apes originating in Eurasia before reinvading Africa (Stewart and Disotell 1998) may need to be reexamined. The mandible of the holotype has a well-developed simian shelf (inferior transverse torus) and the thick dental enamel, reduced molar cingulae and reduced upper premolar cusp heteromorphy seen in Eurasian forms that have been considered more closely related to living hominids than African forms, although it retains the primitive non-compressed lower mesial premolar shape. A second large-bodied form, *Chororapithecus* is known from dental remains found in 10–10.5 Mya



deposits in the Afar region of Ethiopia (Suwa et al. 2007). Aside from their similar size, the *Chororapithecus* molars are also similar to those of *Gorilla* in their relative development of molar shearing crests associated with folivory. Although not linked directly with the extant taxon, partly due to its thicker enamel caps, the resemblance suggests to the describers that it may belong to same clade. Two other possible new taxa, *Kogolepithecus* from the ca. 17 Mya site of Moroto II in Uganda (Pickford et al. 2003), and a single mandibular fragment from between 11 and 5 Mya in Niger (Pickford et al. 2009a) are too fragmentary to allow any convincing analysis.

Other, later taxa, closer to the Mio-Pliocene boundary (*Sahelanthropus*, *Orrorin*), are as yet only incompletely described but are claimed as basal hominins (see Senut, chapter “► [The Miocene Hominoids and the Earliest Putative Hominids](#),” Vol. 3). The known time span of *Pan* has recently been extended by the recovery of four fossil teeth (r and I I<sup>1</sup>, I M<sup>1</sup>, r M<sup>3</sup>) from Middle Pleistocene deposits of the Kapthurin Formation of the Tugen Hills, Kenya, within the eastern Rift (McBrearty and Jablonski 2005). The broad, spatulate incisors bear deep mesial and distal foveae separated by a prominent lingual tubercle and the molars are low crowned, while all teeth exhibit thin enamel. The large hypocone on M<sup>1</sup> suggests *P. troglodytes* rather than *P. paniscus*, although McBrearty and Jablonski are cautious in attributing specific identity, preferring assignment to *Pan* sp. indet. The finds date from around 0.5+ Mya, and the site lies some 600 km east of the present chimpanzee range. The teeth were discovered close to localities yielding part mandibles of *Homo* (*H. erectus* or *H. heidelbergensis*/*H. rhodesiensis*) pointing to sympatry and suggesting that adaptive scenarios which reconstruct differentiation of chimpanzee and hominin populations through the Rift Valley acting as an isolating barrier are unlikely to be correct. Fossil evidence for bonobos and gorillas is entirely lacking.

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## Europe and Asia Minor

While there is limited evidence dating from 15 to 17 Mya, the bulk of the European and west Asian hominoid material is from later Miocene sites between 6 and 12 Mya (Kay and Simons 1983; Pilbeam 2002). Many of these suggest subtropical seasonal forest or woodland as the dominant habitat; there is evidence of swamp conditions at some sites, while possibly harsher, more open environments are indicated at sites in Greece and Turkey yielding *Ouranopithecus* and *Ankarapithecus*, respectively.

### *Griphopithecus*

The earliest evidence (13.5–17 Mya) consists of several low-crowned, large-cusped, and thick-enameled molars from Germany, Austria, and Slovakia assigned to *Griphopithecus*, a genus better known from Turkey, where a mandible with cheek teeth from Çandır and maxillary teeth from Paşalar dating from c. 15 Mya are referred to *G. alpani* (Alpagut et al. 1990). Like their European counterparts,

the lower molars have bunodont, thick-enameled cusps, and well-developed buccal cingula. The upper central incisors are relatively narrow with a distinct median lingual pillar, and the male canines, especially in the upper jaw, are robust and comparatively low crowned. The mandible is strongly constructed, with both a prominent superior and well-developed inferior transverse torus at the symphysis and a long, shallowly inclined planum alveolare.

Heizmann and Begun (2001) suggest that *Griphopithecus* evolved from an *Afropithecus/Heliopithecus*-like thick-enameled ancestor and that this feature, together with the associated trait of low-dentine penetrance, was crucial to the expansion and success of dentally modern hominoids in the more seasonal Middle and Late Miocene habitats of Eurasia. However, at least one successful European form – *Dryopithecus* – had comparatively thin enamel, pointing to this as either a secondarily derived trait evolved from a thicker-enameled European ancestor or that the genus represents a second hominoid radiation into Europe.

Also at Paşalar, the species *Kenyapithecus kizili* (Kelley et al. 2008) is found. It has been linked to the African genus primarily due to the lack of lingual pillars in the upper central incisors, by which it differs from the other Paşalar hominoid *Griphopithecus*, although there are also some maxillary similarities. Unusually, the entire hypodigm of the taxon is thought to be from a single birth cohort, as all are the same developmental age and show identical enamel hypoplasias (Kelley 2008). As the molar teeth are extremely similar to those of *Griphopithecus*, it is assumed that their adaptation was similar, as well.

## ***Dryopithecus***

The thin molar enamel of *Dryopithecus* results in frequent dentine exposure, especially on the cusps, which lie close to the crown margins around a broad, shallow fovea. Below the narrow, low P<sub>3</sub> crown enamel extends onto the anterior root, pointing to at least partial honing against the upper canine (Begun 2002), while the upper central incisors are narrow and high crowned. Larger (presumed male) mandibles are generally more robust than in the Early Miocene east African forms but are not as strongly built as more thickly enameled taxa (Begun 2002). All species in which evidence is available display a relatively high root for the maxillary zygomatic process. Compared with *Proconsul* and other Early Miocene forms, *Dryopithecus* has reduced cingula, relatively short lower molars (Szalay and Delson 1979), expanded occlusal surfaces, and reduced molar crown flare and a mandibular symphysis reinforced by a prominent inferior transverse torus.

Until recently, the type species, *D. fontani* (11–12 Mya), was among the less well-known fossil hominoids cranially. New material from Catalonia, NE Spain (Moyà-Solà et al. 2009a, b), however, has dramatically improved our understanding of this chimpanzee-sized ape. A partial cranium dated to 11.8 Mya preserves several hominoid and hominid synapomorphies: a large nasal aperture with its widest portion located inferiorly and its margin constructed primarily of the maxilla,

**Fig. 9** (*Upper*) Frontal and profile views of *Rudapithecus hungaricus* part skull (Photograph courtesy D. Begun). (*Lower*) Frontal and profile views of *Pierolapithecus catalaunicus* cranium (Photograph courtesy S. Moyà-Solà)



over a hard palate that is wide anteriorly. Unlike other large-bodied apes, however, the zygomatic slopes posteriorly from the orbital margin to the root, although there is mid-facial prognathism as well. The authors describe the steep face and vertical orientation of the nasal aperture as resembling extant *Gorilla*, in contrast to earlier stem hominoids with more sloping anterior maxillae. The subnasal morphology is stepped, as in extant hominines, but the premaxilla does not overlap the maxilla at the midline, which suggests a more primitive condition than that seen in *Nacholapithecus*. It has rather broader canines than other species, frequent cingula on the lower molars, while in larger mandibles the corpus shallows markedly from the symphysis toward the rear, unlike other species.

### ***Rudapithecus***

Although formerly placed in *Dryopithecus*, the slightly younger (9.5–10 Mya) *Rudapithecus hungaricus* (Kivell and Begun 2009) is also well known cranially (Fig. 9). Comparable in tooth size to *D. fontani*, it differs in its labio-lingually thicker incisors, narrower canines, reduced molar cingula, and more tapered M3. The mandibles have weak symphyseal tori, but the corpus is reinforced below M1–M2 by a lateral eminence. Cranial morphology is comparatively well known from several incomplete specimens from Rudabanya, Hungary (Begun and Kordos 1997;

Kordos and Begun 2001). The braincase is elongated, with a flat frontal displaying moderate postorbital constriction and strong anterior temporal ridges; supraorbital reinforcement is weak, but there is a fair-sized frontal sinus.

At the skull, rear inion is relatively highly positioned, while the mandibular fossa is transversely deep, with marked entoglenoid and postglenoid processes. The face is moderately projecting and deflected downward. The maxillary sinuses are larger than those of the East African fossils, and the nasal aperture has a broad base with subvertical sides. Again, in contrast to the early East African specimens where preserved, the subnasal floor is stepped, with the rear of the subnasal alveolar process extending over the palatal process of the maxilla, and an incisive canal is present. The long and projecting premaxilla is sagittally and transversely convex. The preserved semicircular canals suggest that *Rudapithecus* practiced relatively slow locomotion (Ryan et al. 2012).

### ***Hispanopithecus***

A smaller contemporary of *Rudapithecus* and also previously considered a species of *Dryopithecus* (Cameron 1997), *Hispanopithecus laietanus* is known from several sites in NE Spain (Begun 2002). Two partial skeletons have been discovered: a presumed male (39 kg) from Can Llobateres (Moyà-Solà and Köhler 1996) and a female (22–25 kg) from Can Feu (Alba et al. 2012). Mandibular teeth resemble those of *Rudapithecus*, but the premolars are relatively smaller, the molar cusps more rounded and expanded around the occlusal margins, and M<sub>3</sub> less tapered.

CLI-18800 preserves the upper dentition: the incisors are like those of *Rudapithecus*, the canines have strongly curved roots and narrow crowns, and the molar teeth increase in size posteriorly. In its known craniofacial structures, *Hispanopithecus* shows many similarities with *Rudapithecus*, including periorbital and maxillary morphology, the supraorbital region, frontal sinuses, and a locomotor pattern reconstructed as low, as determined via semicircular canal size. There are also contrasts, however, with CLI-18800 displaying a very high root for the zygomatic process and a relatively deep and flatter anterior aspect of the zygoma. The upper incisor row is more strongly curved, and the premaxilla is strongly biconvex. Overall the facial profile of *Hispanopithecus* is more concave.

The slightly earlier (c. 10.5 Mya) *H. crusafonti*, also from NE Spain, is known only from a mandible and some isolated teeth. Dentally slightly larger than *H. laietanus*, it is distinguished by comparatively broad upper canines, relatively longer upper premolars than in *Rudapithecus*, and relatively broader upper molars than *H. laietanus*. The mandible combines an exceptionally robust corpus with comparatively small tooth crowns; the symphysis is reinforced by a strong inferior transverse torus and the corpus bulges laterally below M1–M3. *Hispanopithecus* differs from the geographically close *Anoiapithecus* in that the molar crowns are relatively narrower, as are the buccal cusplids, the hypoconulid is less centrally placed, and cingulids are lacking (Alba et al. 2012).

Overall, dental features of all three genera, such as relative shearing-crest development (Ungar 1996; Kay and Ungar 1997), pitting incidence of  $>35\text{--}<40\%$  (Ungar et al. 2004), thin enamel and dentine penetration, and molar flare (Singleton 2003) accord with reconstructions of these forms as frugivores, probably primarily soft-fruit feeders, in mildly seasonal subtropical forests (Andrews 1996). This is also consistent with mandibular corpus cross section which in many cases is like that of similar-sized *Proconsul*, although larger specimens resemble *Sivapithecus* in their shallow, more robust, and almost triangular section below M3 – proportions particularly effective in resisting torsion (Brown 1997) and raising the possibility of a tougher, more fibrous component in the diet of larger individuals to sustain their greater body bulk.

### ***Anoiapithecus***

Another new European Miocene form with a well-preserved cranium is *A. brevirostris*, known from the Middle Miocene (11.9 Mya) locality of Abocador de Can Mata in the Vallès-Penedès Basin of Spain (Moyà-Solà et al. 2009a). This unique short-faced taxon possesses the classic hominid features of a wide anterior palate, a nasal aperture widest at the base, but the upper premolars are moderately heteromorphic. There is also a frontal sinus, in which it differs from the condition seen in *Pierolapithecus*; the maxillary sinus is situated above the roots of the molars. The latter trait is considered to indicate a restricted sinus, but is often seen in extant hominoids that do not demonstrate reduced sinus volume. The molars themselves have thick enamel, cusps set toward the center of the tooth, small, compressed upper canines, and a robust mandible with a weak superior transverse torus. The extreme orthognathism and dentognathic traits could indicate a functional regime optimized for strong vertical crushing.

### ***Pierolapithecus***

The recently discovered Middle Miocene (12.5–13 Mya) partial skeleton of *Pierolapithecus catalaunicus* from Barranc de Can Vila 1, Els Hostalets de Pierola, Barcelona, Spain, provides extensive new evidence of European hominoids (Moyà-Solà et al. 2004). The specimen (IPS 21350) includes a virtually complete face and lower frontal, the upper dentition, and much postcranial material. The *Pierolapithecus* face is exceptionally prognathous compared with other Middle and Late Miocene Eurasian hominoids and, as such, is reminiscent of *Afropithecus*. It is low, with slender superciliary arches merging into a moderately swollen glabella region, below which the upper face is transversely flat. The orbits are broader than high, and the interorbital distance wide. In profile, the nasal bones are concave and salient over the wide piriform aperture, the inferior margin of which is well anterior to the tips of the nasal bones. The nasoalveolar clivus is high, convex, and markedly projecting. The deep, laterally expanded and strongly constructed

zygomatics slope anteroinferiorly, with their root originating high above the alveolar margin of  $M^1$ . Internally, the paranasal sinus configuration of this taxon shows two specific similarities to extant *Pongo*: the maxillary sinus is limited in its anterior extent, but extends posteriorly toward the ethmoid, and the frontal sinus is absent (Pérez de los Ríos et al. 2012). This, combined with the fact that the subnasal architecture does not include an overlapping premaxilla, suggests that *Pierolapithecus* bears no special relationship with extant African large-bodied apes, but may instead be part of the pongine clade.

The palate is short, wide, and deep, with the anterior dentition arcuate.  $I^1$  is low crowned and procumbent, the large  $C$  low crowned and compressed.  $P^3$  and  $P^4$  are of similar size with reduced cusp heteromorphy, while  $M^1$  and  $M^2$  crowns are long and relatively narrow, with  $M^3$  reduced. The lingual cusps are situated toward the crown edge and all the cheek teeth lack cingula.

This combination of dental and facial features distinguishes *Pierolapithecus* from all other Miocene hominoids; contrasts with *Dryopithecus* include the lower, more prognathous face; more anteriorly positioned zygomatic roots; shorter wider palate; larger, low-crowned anterior teeth; and relative molar crown size. In the view of Moyà-Solà et al. (2004), shared derived features of the two genera that link them to extant great apes include upper facial flatness, nasal bone projection and aperture form, a high zygomatic root, high nasoalveolar clivus, and deep palate. The postcranium also reveals shared derived traits with extant hominoids: a broad, shallow thorax and stiff lumbar region to the trunk, a dorsally positioned scapula, and apelike carpal bones with ulnar retreat from the wrist joint and evidence for the fibrous capsule of a semilunar meniscus, providing enhanced wrist abduction and supination. These traits, however, are combined with metacarpal and phalangeal features indicating the hand was palmigrade during locomotion and the fingers are short, as in monkeys, pointing to a dissociation in ape phylogeny between orthograde posture and climbing/below-branch suspension. The latter appears to have evolved later than the former and may well have arisen independently in several distinct lineages.

Similarities in craniofacial form and dental proportions with *Afropithecus* suggest a sclerocarp feeder, consistent with reconstructions of the *Pierolapithecus* habitat, based on the associated fauna, as wooded and relatively humid. Several Late Miocene hominoids (*Ouranopithecus*, *Ankarapithecus*, *Graecopithecus*) exhibit notably more ruggedly constructed crania and derive from drier, more fluctuating, and possibly more open environments.

## ***Ouranopithecus***

*Ouranopithecus macedoniensis*, represented by several jaws, teeth, and a fairly complete face from the c. 9-Myr-old northern Greek sites of Ravin de la Pluie, Xirochori, and Nikiti 1 (Fig. 10), is the largest European hominoid known, with males estimated to have been about female gorilla size. The face is strongly reinforced in its mid- and upper portions, pointing to powerful masticatory forces;

**Fig. 10** (*Upper*) Frontal and profile views of *Ouranopithecus macedoniensis* part cranium (cast) (Photograph courtesy P. J. Andrews). (*Lower*) Frontal and profile views of *Ankarapithecus meteai* part skull (Photograph courtesy J. Kappelman)



the rectangular orbits are separated by a broad, stout interorbital area and laterally bounded by massive orbital pillars, while the nasal aperture is flanked by thick nasomaxillary (canine) pillars with broad, deep canine fossae beyond. The zygomatic region is very deep with, unusually for hominoids, a low origin for the zygomatic root on the maxilla. The premaxilla is strongly built, comparatively long, and markedly convex sagittally and transversely, with clivus, subnasal fossa, and incisive canal resembling *Dryopithecus*. The supraorbital torus bulges moderately above the orbits but less so medially; the strongly marked temporal lines indicate powerful anterior *temporalis* fibers.

The palate is deep and anteriorly broad; the incisors are set in an arc, offset from the other teeth by a pronounced diastema. The upper incisors are markedly heterodont, with the central teeth thick and broad and the laterals narrow and peg shaped; lower incisors are tall and slightly flared. Larger (male) canines are tall and laterally compressed but small when compared with molar size, while smaller (female) canines are very low crowned and almost premolariform.

Brown (1997) considers such low canines compatible with a greater degree of lateral mandibular movement than in modern apes. Both upper and (and especially) lower anterior premolars are triangular, the latter with a prominent mesial beak and lacking an anterior vertical honing facet from the upper canine. Instead wear occurs on the tip and along the protocristid, a feature judged reminiscent of *Australopithecus afarensis* (de Bonis and Koufos 2001). P<sup>4</sup> resembles P<sup>3</sup> in shape – another claimed *Australopithecus* – like trait and unlike other fossil and extant apes, while

P<sub>4</sub> is molarized, being elongate and with a high talonid. The molars are relatively large, with M<sup>2</sup> and M<sub>3</sub> the largest teeth; individual cusps are expanded, and both upper and lower rear molars possess accessory cusps. There is a marked wear gradient along the molar row: enamel is very thick and the cusps are worn almost flat before dentine appears.

Mandibles are robust, with thicker corpora than in extant apes, and larger (male) specimens are deep as well as thick. The symphysis is strongly reinforced by a broad, long planum and prominent inferior transverse torus. The lateral eminence originates opposite M<sub>3</sub>, and the ramus ascends between M<sub>2</sub> and M<sub>3</sub>. The gonial region is extensive and displays strongly marked ridging for the superficial masseter and medial pterygoid muscles. The condyle is relatively large and sagittally strongly convex.

Facial structure, muscle impressions, dental structure and proportions, occlusal morphology, and attritional wear gradients in *Ouranopithecus* all contrast with *Dryopithecus* and suggest extremely powerful masticatory activity, especially of the cheek teeth. This is further supported by microwear patterns which reveal a very high ratio of pits compared with striations on phase 2 facets of the cheek teeth (at >58 %, the highest of any fossil ape studied) and distinct wear on the incisors (Ungar 1996; Ungar et al. 2004), while the *Ouranopithecus* shearing quotient (and so relative shearing-crest development) is lower than that of any modern hominoid or other European fossil ape studied (Ungar and Kay 1995; Kay and Ungar 1997). In all these features, *Ouranopithecus* resembles extant hard-object feeders, pointing to exploitation of a similar niche – perhaps seeds, nuts, roots, and tubers, and other terrestrial vegetation. There are obvious resemblances here to some reconstructions of early (Plio-Pleistocene) hominine dietary niche(s), although these are unlikely to mirror any specially close phylogenetic link. De Bonis and Koufos (1997) argue that this model of *Ouranopithecus*' diet is consistent with reconstruction of its open habitat (De Bonis et al. 1992), although Andrews (1996) and Andrews et al. (1997) urge caution, considering the overall fauna to be undiagnostic other than indicating a strongly seasonal, possibly harsh, environment.

The poorly known *Graecopithecus freybergi* (von Koenigswald 1972), based on a single mandible from Tour la Reine, Pyrgos, Greece, and dated around 6.5–8 Mya, is often regarded as congeneric or even conspecific with *O. macedoniensis* (Martin and Andrews 1984; Andrews 1996), although Begun (2002) makes a strong case for its retention as a separate taxon based on molar size and mandible proportions.

## ***Ankarapithecus***

*Ankarapithecus meteai* (Ozansoy 1965), from sites in the Sinap Formation of Anatolia, Turkey, dated at c. 10 Mya, is a strongly built form known from cranial material including the type mandible and a partial face (Fig. 10), together with undescribed postcrania (Alpagut et al. 1996; Begun and Gulec 1998). The face is tall and markedly prognathic in both midface (unlike *Sivapithecus* and *Pongo*) and



premaxillary regions. The clivus is biconvex, with large, low-crowned, and labiolingually thick central incisors and smaller lateral incisors. Male upper canines are relatively low crowned, and their roots form strong juga converging on the broad nasal aperture, with relatively shallow canine fossae beyond. The palate is deep, with the root of the zygomatic process set comparatively high above  $M^1$  and into which the large maxillary sinuses extend. The vertically orientated, laterally flaring zygomatic process imparts strong anteriorly and laterally directed components to masseteric action, while the deep temporal fossa allows for a powerful *temporalis* muscle. The subnasal fossa is stepped and the incisive fossa large. The orbits are square, with a narrow interorbital space, very long nasal bones, broad, rounded orbital pillars, and prominent anterior temporal lines. Rather surprisingly in view of the rugged mid- and lower face, the superciliary arches above the orbits do not form a true torus.

The massive mandible is strongly buttressed, with a very deep, narrow, and vertical symphysis and the inferior traverse torus extending to the level of  $M_1$ . The rear of the corpus is very thick and the ramus broad. The lower incisors are labiolingually thick, narrow, and tall crowned, set almost vertically in a straight line between the low-crowned canines, which in males are more massive than in *Dryopithecus* or *Ouranopithecus* in basal section, while female canines are more premolariform, as in the latter genus.  $P_3$  is large, oval, and elongated, with a large mesial beak comparable to *Dryopithecus* but smaller than *Ouranopithecus*, and  $P_4$  is large and relatively broad, while  $M_1$  is small relative to  $M_2$ . Upper and lower molars are broader relative to their lengths than in other later Miocene forms; their occlusal surfaces have broad, flat cusps and shallow basins and lack cingula.

Andrews and Alpagut (2001) provide a valuable functional analysis of this taxon as a hard-object feeder in dry seasonal subtropical forest (Andrews 1996), illuminating aspects of *A. metesai* morphology and also that of other Miocene hominoids. In many features, *Ankarapithecus* resembles *Ouranopithecus*: dentally in the large, low-crowned and worn incisors; large cheek teeth; thick enamel; flat occlusal wear; poor shearing-crest development; and also in aspects of facial architecture and mandibular reinforcement. However, there are also differences: the supraorbital region differs, the *Ankarapithecus* interorbital region is narrower as are the lateral orbital margins, the midface is more prognathic, and the zygomatic root originates higher on the maxilla, while the mandibular corpus is massively thickened under the rear molars, so that it is actually broader than deep, whereas that of *Ouranopithecus* is deeper and narrower in cross section.

## **Oreopithecus**

*Oreopithecus bambolii*, a comparatively large-bodied form dating from 6 to 7 Mya, is represented by multiple specimens from northern Italy, including a largely complete skeleton, making it the best-known European fossil primate. This has not prevented protracted debate about its affinities, although in recent years there has been a growing consensus that *Oreopithecus* is a primitive hominoid. Whatever

its phyletic status, it is clear that *Oreopithecus* differs markedly in adaptive features and inferred niche from other Late Miocene European and West Asian hominoids.

The *Oreopithecus* skull combines a relatively small, low, but globular neurocranium with a deep, broad, and moderately projecting face that reflects anterior placement and projection of the midface and nasal region, for the premaxilla and clivus are short and comparatively vertical. The supraorbital torus is well developed, the interorbital region broad, and the nasal bones short and salient. Strong canine pillars are bounded by shallow canine fossae, while the zygomatic process is comparatively deep with a low, anteriorly placed root originating above  $P^4/M^1$ , and the zygomatic arch is long, flaring, and upwardly curved posteriorly. The alveolar region has deep but restricted sinuses. The saddle-shaped articular eminence is broad and long, with a large entoglenoid process. The articular and tympanic portions of the temporal are not fused, but the temporal petrous is hominid-like in its shallow, indistinct subarcuate fossa. The mastoid is broad and continuous with the extensive, strongly marked nuchal area. Zygomatic flare and mandibular proportions point to powerful, fleshy temporal muscles, as do the deep nuchal and sagittal crests, meeting high on the skull rear; the sagittal crest continues well forward before dividing into two prominent anterior temporal lines.

The strongly built mandible is large, with corpus height decreasing slightly along the cheek teeth row, and with strong reinforcement provided by the pronounced lateral eminence below the molar region. The ramus is broad and high with an expanded gonial region. There are pronounced markings for the masseters and medial pterygoids, while the condylar processes, below the broad and convex condyles, display strong markings for the lateral pterygoids.

Many of these cranial features can be considered representative of the primitive catarrhine morphotype (Harrison 1986); the exceptions are those features of the maxilla, zygomatic region, and mandible summarized above that can be related to masticatory power (see below). The dentition is highly derived: the incisor teeth are small overall and vertically implanted; those in the mandible are labiolingually compressed, while the uppers are heteromorphic with  $I^1$  exhibiting a distinctive projecting lingual cusp. Canines are basally stout but not very tall and only loosely interlock, with a diastema small or absent. Larger (male) upper canines are strongly compressed and with a sharp rear edge, the lowers are more rounded in section; smaller (female) upper canines are rather incisiform. Much canine wear is from the tips; there was some  $C^1/C_1$  honing, and some larger upper canines show evidence of slight honing against  $P_3$ , but smaller ones lack this, and the anterior face of  $C_1$  did not hone against  $I^2$ . The lower premolars are bicuspid, with  $P_3$  oval in outline and  $P_4$  more rectangular; upper premolars are oval with subequal cusps. Upper and lower molars are elongated and bear tall, spiky cusps with deep notches between. Besides the four main upper molar cusps, a metaconule is positioned centrally on the crista obliqua, often linked to the hypocone by a crest. On the lower molars, the protoconid and hypoconid (buccally) and meta- and entoconid (lingually) are joined by sharp crests to a well-developed centroconid on the cristid obliqua, so mirroring the upper molars in their distinctive occlusal pattern. The hypoconulid is frequently split into several smaller cusps.  $M_1$  usually bears a small paraconid, which is rarely

present on  $M_2$  and never on  $M_3$ .  $M_1$  and  $M_2$  are subequal in size, and  $M_3$  is the largest tooth. The inner ear shows adaptations to medium-slow agility, somewhat more active than in *Rudapithecus/Hispanopithecus*, but marginally less so than *Proconsul*.

Although it displays some primitive features, the postcranial skeleton is apelike, indicating a degree of orthogrady, forelimb suspension, and strong grasping capabilities in the feet. The thorax is broad, the lumbar region short, and the iliac blades are short and broad, with a prominent anterior inferior iliac spine. The forelimbs are much longer than the hind limbs and display multiple adaptations to stability and hyperextension at the elbow joint and rotation in the forearm. There were a wide range of movements at the wrist, with short palms and long, curved fingers. The femora show weight-bearing adaptations at hip and knee, with flexible, wide-ranging movements at the ankle, and a short midfoot with long, strongly muscled digits including a powerful, opposed hallux.

*Oreopithecus*' reduced anterior dentition, expanded cheek teeth with complex occlusal morphology, relatively deep and orthognathic face, small neurocranium combined with large ectocranial crests and powerful chewing muscles, and robust mandible all point to a specialized folivorous diet of bulky, relatively low-grade food items which, judging by postcranial morphology and proportions, it exploited largely via an underbranch milieu. This conclusion is reinforced by study of relative shearing-crest development (Ungar and Kay 1995; Kay and Ungar 1997), which shows it to have the highest shearing quotient of any catarrhine studied, substantially in excess of any extant or other fossil hominoid. Further support is provided by dental microwear patterns, with a very low proportion (17 %) of pitting on phase II facets (Ungar 1996; King 2001; Ungar et al. 2004), consistent with extreme folivory. This wholly accords with reconstructions of *Oreopithecus*' paleoenvironment, which indicates lowland mixed broad-leaved and coniferous forest, with bushes, ferns, and sedges accumulated under swampy conditions (Andrews et al. 1997; Harrison and Rook 1997). However, see Alba et al. (2001) for an alternative and, to our minds, less convincing interpretation of the *Oreopithecus* skull based on biomechanical constraints associated with orthograde posture and bipedalism. These authors derive *Oreopithecus* cranial morphology from a *Dryopithecus*-like ancestor by a process of neoteny.

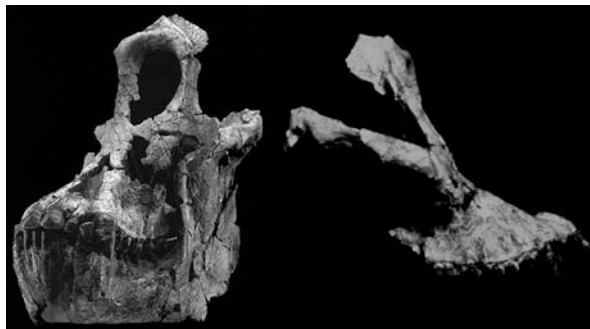
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## South and East Asia

### *Sivapithecus*

The best-known Asian fossil ape genus is *Sivapithecus*, from Late Miocene (8.5–12.7 Mya) deposits in the Siwalik Hills of India and Pakistan and including material assigned to *Ramapithecus* prior to the 1980s (Pilbeam 2002). *Sivapithecus* is rare throughout the Siwalik record, comprising only c. 1 % of the mammalian community (Ward 1997). Despite this, aspects of its cranial morphology are relatively well known through discoveries over the last three decades; in particular,

**Fig. 11** Three-quarter frontal and profile views of *Sivapithecus indicus* (Photograph courtesy D. R. Pilbeam)



a partial skull (GSP 15000) from Potwar, Pakistan, provides much information on facial and gnathic morphology (Fig. 11). It consists of the left side of the face with zygomatic arch, palate, mandible, and complete adult dentition (Pilbeam 1982).

The specimen indicates many similarities with *Pongo* in its overall facial proportions (but see below): the orbits are taller than broad and high-set, ovoid in outline, and the zygomatic foramina are large. The interorbital distance is very narrow, while the lateral orbital pillars are slender, especially sagittally, and there are distinct supraorbital ridges but no continuous torus.

Postorbital constriction is marked, with the anterior temporal lines strongly convergent, implying a well-developed sagittal crest in larger individuals. The frontal rises more steeply above the orbits than in extant nonhuman African apes and is orangutan-like in its contour and the absence of a frontoethmoid sinus.

The nasoalveolar clivus is long and strongly curved, intersecting the alveolar plane at a shallow angle. As in the orangutan but in contrast to *Dryopithecus*, *Ouranopithecus*, and *Ankarapithecus*, the nasal floor is smooth, with the premaxilla curving into the nasal cavity and joining the palatal process without a step; the incisive fossa and incisive foramen are both tiny, linked by a very narrow incisive canal. The long, medially convergent canine roots are externally rotated, while the deep zygomatic process is thin and *Pongo*-like in its flare. These features result in exceptionally prominent canine pillars reinforcing the robust anterior midface and well-marked canine fossae lateral to them (Ward and Pilbeam 1983). The GSP 15,000 mandible is deep and strongly built, the symphysis exhibits pronounced buttressing, the corpus is of fairly constant depth along the tooth row, while the ramus is high and broadest at the level of the occlusal plane, tapering slightly superiorly. The facial contour is *Pongo*-like in its marked concavity, nasoalveolar clivus projection, superoposterior slope to the zygomatic process and lateral orbital pillar, and the upward inclination of the zygomatic arch itself.

Nonetheless, there are contrasts with the orangutan: the *Sivapithecus* midface is much longer, the nasal bones especially so, and the maxillary sinus is more restricted (Ward 1997). The mandible in particular contrasts with *Pongo* in most features other than its high ramus; while highly variable (Brown 1997), all *Sivapithecus* specimens display markings for the anterior digastric muscles which are absent in orangutans (see above), and the inferior transverse torus does not

extend as posteriorly as in *Pongo* (Brown 1997). There are also contrasts in corpus cross section: *Sivapithecus* specimens have robust, broad corpora, ovoid below the premolars, more triangular below  $M_3$ , with smaller specimens relatively shallow, larger ones deeper. They show marked relief, with an intertoral sulcus near the lingual base below the cheek teeth, and a pronounced lateral eminence which continues to the base, whereas in orangutans the lateral eminence is usually a much less prominent swelling restricted to the upper part of the corpus. *Sivapithecus* mandible proportions have been interpreted as resisting sagittal bending loads on the balancing side and pronounced torsional and shearing loads on the working side, associated with powerful molar action and, as a possible secondary factor, incisal biting (Kelley and Pilbeam 1986; Brown 1997).

Dental features accord with this interpretation: the upper incisors are strongly heteromorphic, and the central teeth are very wide and spatulate with a heavily crenulated, extended lingual tubercle; the laterals are much narrower. The canines are moderately tall, compressed, and outwardly rotated and display only limited dimorphism.  $P^3$  is larger than  $P^4$ , while the mandibular premolars are broad, with  $P_3$  expanded mesiobuccally and displaying only limited evidence of upper canine honing. The thickly enameled molars lack cingula and display expanded, bunodont cusps and so limited occlusal foveae. In the upper jaw,  $M^2$  is the largest tooth, while  $M_3$  is the largest of the relatively short and broad lower molars. The cheek teeth are closely packed, with clear interproximal wear facets and, despite the thick enamel, often display a pronounced wear gradient with, in older individuals such as GSP 15,000, destruction of crown relief and extensive dentine exposure.

Overall, evidence points to *Sivapithecus* as a frugivore/hard-object feeder, possibly nuts, seeds, bark, or hard-pitted fruits, requiring powerful mastication by the postcanine teeth. Earlier scenarios of the genus as an open habitat form, exploiting terrestrial vegetation, have been replaced by reconstructions of its environment as predominantly seasonal tropical or subtropical closed-canopy forest or woodland, albeit with patchiness and expanding areas of more open grassland in the later phase of its presence in the Siwalik record (Andrews et al. 1997; Ward 1997). While this shift could reflect broader climatic changes that, through the contraction and break up of its forested habitat, eventually resulted in the extinction of *Sivapithecus*, it also might be the case that the taxon was more adapted to open habitats than previously thought. Analysis of some Siwalik carpals suggests that *Sivapithecus* may have been a knuckle-walker (Begun and Kivell 2011).

Three *Sivapithecus* species are recognized, differentiated primarily on dental proportions: *S. sivalensis* from Siwalik sites dating between 8.5 and 9.5 Mya is the type species. *S. indicus*, represented by GSP 15,000 and other material, is earlier (10.5–12.5 Mya) and with absolutely rather smaller teeth than *S. sivalensis*, but with a proportionately larger  $M_3$  compared with  $M_2$ , and with a rather shorter premaxillary region. A humerus with a retroflexed and mediolaterally strongly curved shaft and a prominent deltopectoral crest is assigned to this species. *S. parvada* is a recently recognized, appreciably larger form, dating around 10 Mya. Its  $I^1$  is particularly wide relative to its breadth, the premolars, especially the lower ones, are expanded relative to molar size, and  $M_3$  is again much larger than  $M_2$ .

The mandible's symphysis and anterior corpus region are exceptionally deep, while a humerus referred to *S. parvada* broadly resembles that of *S. indicus* but is much bigger, implying larger body size overall.

## ***Gigantopithecus***

Many workers regard the Asian genus *Gigantopithecus* (von Koenigswald 1952) – known from massive mandibles and individual teeth from the Late Miocene/Pleistocene of southern China, Vietnam, and the Siwaliks of India and Pakistan – as closely related to *Sivapithecus*. While extremely large, it is characterized by a reduced anterior dentition, with relatively small lower incisors and low-crowned but basally large canines without honing facets, strongly worn down from the tip and functionally incorporated in the premolar/molar rows. The expanded premolars are strongly molarized: P<sup>3</sup> is bicuspid with a large talonid and is larger than P<sup>4</sup>, which is almost square with a large trigonid taller than the talonid. The upper molars are almost square, the lowers elongated; all have very thick enamel, high crowns, and low cusps. The symphysis is reinforced by a moderate superior transverse torus and a much more extensive inferior torus that may extend as far back as M<sub>1</sub>.

*Gigantopithecus giganteus* is known from specimens found from the 1960s onward from Haritalyangar and other Siwalik sites, especially a mandible CYP359/68 (Pilbeam et al. 1977), usually considered late in the Siwalik sequence at <7 Mya (Johnson et al. 1983). However, Pillans et al. (2005) argue for a date of 8.6 Mya for the mandible and report a newly discovered M<sup>2</sup> from the earlier (8.85 Mya) HD site at Haritalyangar which also yielded an incisor assigned to *Sivapithecus*, demonstrating sympatry of the two genera. Fossils of the more recent *G. blacki* are even larger than those of *G. giganteus*, with more pronounced molarization of the premolars, so that P<sub>3</sub> is more distinctly bicuspid and P<sub>4</sub> longer, while the molars are higher crowned, with low, expanded cusps, and multiple accessory cusplets. The mandibular symphysis is long and powerfully buttressed; the corpus is strongly reinforced by a thick lateral torus originating below M<sub>1</sub> and corpus depth increases posteriorly (Weidenreich 1945). Given these and other contrasts between the South and East Asian species, Cameron has recently reassigned the Haritalyangar mandible to *Indopithecus* (Cameron 2001, 2003; Pillans et al. 2005).

The size of *Gigantopithecus* fossils almost certainly precludes arboreality, and most reconstructions are of a ground-dwelling pongine exploiting a low-grade, bulky diet – perhaps bamboo (present at both Siwalik and Chinese localities) – although more varied diets, including fruits, have been suggested (Ciochon et al. 1990). Whatever the details, dental and gnathic features clearly indicate an extremely powerful masticatory apparatus with a premium on occlusal crushing and grinding by the cheek teeth, together with an anterior dentition capable of generating considerable bite forces for cropping food items. The short, premolariform canines, together with the mandible's symphyseal and corporal proportions, point to the generation of powerful torsional and shearing forces during food processing.

The same environmental changes that reduced forest and woodland cover, leading to the extinction of *Sivapithecus*, may well have favored the evolution of *Gigantopithecus* as a form better adapted to more open conditions and the exploitation of terrestrial vegetation (Ward 1997; Pillans et al. 2005).

### ***Lufengpithecus***

*Lufengpithecus* is another large-bodied Asian pongin, represented by extensive material, including several distorted crania and numerous teeth, from the Late Miocene (c. 8 Mya) of Lufeng, Yunnan province, southern China. Dental metrics indicate a degree of sexual dimorphism rather greater than that of any extant ape (Kelley and Qinghua 1991; Kelley 1993; Schwartz 1997). Cranial morphology contrasts with *Sivapithecus* in the relatively shorter midface, square orbits, broad interorbital and glabellar regions, and more prominent supraorbital torus above the medial superior orbital margins, resembling more the *Dryopithecus* pattern. The nasoalveolar clivus is relatively short and the mandibular symphysis strengthened by a moderate superior and strongly developed inferior transverse torus. The corpus is narrow and columnar with little sculpting or buttressing (Brown 1997), presumably resistant to vertical occlusal forces, but less well adapted to torsional or shearing forces generated by the cheek teeth.

The upper central incisors are tall and narrow but relatively thick and buttressed by a median pillar on the lingual surface, while the lower incisors are relatively narrow and moderately procumbent. Presumed male canines, especially the lowers, are tall, sharply tapering, and relatively slender. Molar enamel is moderately thick, and the crowns are narrow with the cusps situated toward the rim so that the foveae are extensive, and the occlusal surface bears a complex pattern of crenulations. Overall molar occlusal morphology is remarkably like that of the orangutan (Ward 1997), suggesting a frugivorous niche, as do the similarities with *Dryopithecus*. Paleoenvironmental indicators point to swampy moist tropical forest conditions, with ferns and epiphytes in which – again unlike *Sivapithecus* – *Lufengpithecus* was common, representing >33 % of the mammalian fossils (Andrews et al. 1997).

Kelley (2002) recognizes three species of *Lufengpithecus*: *L. lufengensis*, the type species, is the best known; *L. keiyuanensis* and *L. hudienensis* have smaller postcanine teeth and rather greater molar cingulum development than *L. lufengensis*, with *L. keiyuanensis* possibly also having thinner enamel.

### ***Khoratpithecus***

The recent discovery of teeth and a part mandible from Middle and Late Miocene deposits at sites in northern Thailand, and so within the geographical range of Pleistocene *Pongo*, sheds further light on orangutan ancestry (Chaimanee et al. 2003, 2004). The finds have been assigned to the new genus *Khoratpithecus* and display numerous dental and gnathic similarities with *Pongo*, as well as with

*Lufengpithecus* and, to a lesser extent, *Sivapithecus*. *Khoratpithecus piriyai* (9–7 Mya) is a large form (estimated 70–80 kg body weight) from a locality in Khorat, NE Thailand, and represented by a mandible body with the left canine – right I<sub>2</sub> roots – and with the right canine and all cheek teeth crowns preserved on both sides (Chaimanee et al. 2004). The symphysis is strongly sloping, thicker in overall cross section than usual in *Pongo*, with a weaker superior traverse torus, shallow genial fossa, and strongly developed inferior torus that, while wide and extending to below the anterior part of the M<sub>1</sub> crown, is less posteriorly extensive than in the orangutan. While the geniohyoid muscle facets are distinct, *Khoratpithecus*, like *Pongo*, lacks any impression for the anterior digastric muscle. The corpus is uniformly deep, with a marked depression on the lateral surface below the C/P<sub>3</sub> region and thickening posteriorly, accentuated by a pronounced lateral eminence below M<sub>3</sub>.

Judging by anterior jaw proportions and alveoli, the procumbent incisors were larger than in *Lufengpithecus* but smaller than *Pongo* and arranged in a slightly convex arc. Enamel wrinkling, while present, is less complex than in the orangutan, the P<sub>4</sub> is shorter, and the molar cusps are more centrally located than in the modern ape. The site indicates a riverine setting with palms and dipterocarps, together with proboscids, anthracotheres, pigs, rhinos, bovids, and rare *Hipparion*, corresponding to the Upper Nagri/Lower Dhok Pathan Formation faunas in the Siwalik sequence.

An earlier species, *Khoratpithecus chiangmuanensis* (13.5–10 Mya), is based on upper and lower teeth of a single individual from Ban Sa in the Chiang Muan basin (Chaimanee et al. 2003). Enamel wrinkling, markedly heterodont upper incisors, P<sup>3</sup> crown form, lack of molar cingula and comparable degrees of relative enamel thickness, and dentine penetrance again align it with *Pongo*, but it differs from the latter in its smaller central incisors, in the weaker median lingual pillar of the upper and lower incisors, in the greater buccal flare of the lower molar crowns, and in its less intensive enamel wrinkling.

Contextual evidence associated with *K. chiangmuanensis* indicates a mosaic of tropical freshwater swamps and lowland forest that contrasts with the temperate flora from Lufeng, instead resembling modern African habitats such as those in the southern Sudan around the source of the White Nile. Chaimanee et al. take this to indicate a Middle Miocene floral and faunal dispersal corridor linking South East Asia and Africa that may have been critical in hominoid dispersion. Overall, *Khoratpithecus* closely resembles *Lufengpithecus* in its dentition, but its similarities with *Pongo*, especially the absence of digastric fossae, point to closer affinity with the modern genus than any other fossil ape.

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## Conclusions

Early Miocene hominoids already show considerable craniodental diversity, probably associated with dietary niche differentiation. Better known genera (*Afropithecus*, *Proconsul*) have contrasting morphologies but span a range of frugivory: *Proconsul* sp. and *Dendropithecus* were probably soft-fruit feeders, *Afropithecus* exploited hard-cased fruits. Among the less well-known genera,



some (e.g., *Morotopithecus*, *Turkanapithecus*) bear cranial and/or dental similarities to *Afropithecus*, suggesting a hard-fruit niche, and that the most familiar cranial morphology – that of *Proconsul* – is not necessarily characteristic of many Early Miocene hominoids. *Limnopithecus* and *Micropithecus* appear to have been folivores on the basis of their dentition.

Middle and Late Miocene forms from the region (*Nacholapithecus*, *Equatorius*, *Kenyapithecus*, *Samburupithecus*) are thick enameled and probably hard-cased fruits and seed feeders, while environmental evidence suggests more open, rather drier, and more seasonal habitats. The more southerly *Otavipithecus* is an exception, with thin enamel and minimal dental wear, pointing to a soft-fruit diet.

By the Middle Miocene, hominoids are known from Europe and Western Asia. The earliest (*Griphopithecus*) are thick enameled, as are many later genera which are also generally more robust cranially than *Proconsul*, some especially so. At least one successful genus (*Dryopithecus*), however, has thin enamel and an only moderately strongly constructed cranium, although occlusal area, inferior symphyseal reinforcement, and, in larger individuals, mandibular cross section are expanded compared with *Proconsul*. *Dryopithecus* also contrasts with early East African fossils in its stepped nasal floor with the alveolar process overriding the palate and an incisive canal present. Overall, evidence suggests *Dryopithecus* primarily exploited soft fruits. The recently described, markedly prognathous *Pierolapithecus* is much more reminiscent of *Afropithecus* in its morphology and, as such, probably a sclerocarp feeder.

Broadly contemporary with the younger *Dryopithecus* species at 9–10 Mya, *Ouranopithecus* and *Ankarapithecus* are more strongly built forms whose cranial reinforcement, muscle markings, gnathic proportions, and dental features all point to impressive masticatory power and hard-object feeding, characteristics shared with the less well-known and rather younger *Graecopithecus*. Of about the same age (7–8 Mya) is the (masticatory power apart) generally contrasting *Oreopithecus*. This genus retains many primitive cranial traits together with features making for enhanced chewing capability and a distinctive dentition adapted to specialized folivory.

A similar trend to more robust morphologies is seen in South Asian hominoids, although details differ. The Late Miocene *Sivapithecus* (8.5–<13 Ma) is broadly *Pongo*-like in many aspects of cranial morphology, including periorbital proportions, mid-/lower facial prognathism and cheek orientation, nasal floor structure, and the presence of a narrow incisive canal, and remains among the most convincing instances of a comparatively close phylogenetic link between fossil and extant hominoid taxa, although *Sivapithecus* is unlikely to be directly ancestral to the orangutan. Cranial and dental features of *Sivapithecus* point to a frugivorous/hard-object feeding niche in increasingly patchy, fragmented habitats. These same environmental shifts probably underlie the appearance of *Gigantopithecus* [*Indopithecus*] *giganteus* in the Siwalik record, the oldest example of which is sympatric with *Sivapithecus*. *Gigantopithecus* mandibles are massive and powerfully reinforced, while occlusal area is expanded through increased molar size, premolar molarization, and incorporation of the low-crowned, worn flat canines into the cheek teeth rows. These features are evident in *G. giganteus* but even more

pronounced in the later, East Asian, *G. blacki* (2–<1 Mya). Given its size, *Gigantopithecus* probably exploited bulky, low-grade terrestrial vegetation, with bamboo as the most likely predominant food source.

Also from East Asia and roughly contemporary with latest *Sivapithecus* and earliest *Gigantopithecus* is *Lufengpithecus*. In some respects, this is cranially rather reminiscent of *Dryopithecus*, contrasting with *Sivapithecus* in its broad upper face, supraorbital development and orbital proportions, thinner enamel, in its mandibular section which is less resistant to torsion and shear forces, and in its molar occlusal pattern which is more *Pongo*-like than that of *Sivapithecus*, all of which suggest a frugivorous diet.

The immediate ancestry of the extant great apes is obscure, while the entire early evolution of hylobatids is unknown. Both the distribution and diversity of contemporary *Hylobates* and *Pongo* populations indicate that climatic changes and associated sea-level fluctuations have been major determinants of their evolution over the last 2+ Ma. *Pongo* in particular is cranially highly variable: there are appreciable differences between Bornean groups separated by major river barriers, as well as marked contrasts between these and Sumatran orangutans, leading to recent proposals for species-level distinction between the two island populations. All orangutans lack the anterior digastric muscle, thereby contrasting with virtually all other hominoids including *Sivapithecus*, which does, however, share with *Pongo* a distinctively airorhynchous cranial form. The recently discovered Middle/Late Miocene *Khoratpithecus* from Thailand displays jaw and dental affinities with *Sivapithecus* and especially *Lufengpithecus* and *Pongo*, sharing with the last a lack of any indication of the anterior digastric muscle.

The notably klinorhynchous African apes contrast in this respect and exhibit multiple similarities that indicate a common cranial pattern differentiated by varying growth periods. Since a degree of airorhynchy seems common among fossil hominoids and differentiates hominoids from non-hominoids, the African ape condition appears derived. Despite the comparatively full Miocene fossil record, there are no especially convincing candidates for modern African ape ancestry, although *Chororapithecus* is a potential link. The sequence and timing of splitting of the gorilla, chimpanzee, and hominin clades is uncertain, although some evidence (dental, gnathic, temporal) suggests that *Gorilla* is more primitive and *Pan* more derived. Differentiation of bonobos and chimpanzees and of east and west gorilla populations perhaps occurred in the Late Pliocene/Pleistocene. Recently recovered fossil teeth provide evidence of *Pan* (probably *P. troglodytes*) from c. 0.5 Mya in the East African Rift.

While there are broad associations between African ape diet and cranial form, more detailed analyses fail to show an exact correspondence, in part because of dietary variability and also because of cranial variation. Evidence suggests that cranial features are less closely determined by diet than are characteristics of the dentition.

Bonobos show reduced levels of sexual dimorphism in craniodental features compared with chimpanzees, perhaps reflecting differences in sexual behavior and social organization. Surprisingly, bonobos are *relatively* the most robust of the African apes in some cranial traits, gorillas *relatively* the most gracile. When viewed

in the broader context of the hominoid fossil record, all the living great ape crania are comparatively lightly constructed, raising issues about their representative nature or otherwise for the functional and adaptive modeling of earlier hominoids.

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## Cross-References

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