

# 8

## Open and Closed Classes

### 8.1 Open Classes in Cantor Space

Using ordinal notation identify the ordinal 2 with the set of smaller ordinals  $\{0, 1\}$ . Identify set  $A \subseteq \omega$  with its characteristic function  $f : \omega \rightarrow \{0, 1\}$  and represent the set of these functions as  $2^\omega$ . Use the conventions of the Notation section, especially the numbering  $\sigma_y$  of strings  $\sigma \in 2^{<\omega}$ . We use the notation on trees of §3.7 and sometimes use Convention 4.1.1 of dropping the superscript 0 in defining arithmetic classes. We now deal with *classes*  $A \subseteq 2^\omega$ , i.e., *second-order* objects, rather than just *first-order* objects like *sets*  $A \subseteq \omega$ .<sup>1</sup>

**Definition 8.1.1.** (i) *Cantor space* is  $2^\omega$  with the following topology (collection of open classes). For every  $\sigma \in 2^{<\omega}$  define the *basic clopen class* (closed and open class)

$$(8.1) \quad \llbracket \sigma \rrbracket = \{ f : f \in 2^\omega \ \& \ \sigma \prec f \}.$$

The *open* classes of Cantor space are unions of basic clopen classes.<sup>2</sup>

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<sup>1</sup>Some material from the chapters in Part II was modified from that in the paper by Diamondstone, Dzhafarov, and Soare [2011].

<sup>2</sup>The classes  $\llbracket \sigma \rrbracket$  are called *clopen* because they are both closed and open. Cantor space and Baire space are both *separable*. They have a countable base of open classes as above. Therefore, every open class is a union of *countably* many basic open classes. Although these are classes they are often called *open sets*, viewing the objects as reals.

(ii) A set  $A \subseteq 2^{<\omega}$  is an *open representation* of the open class

$$(8.2) \quad \llbracket A \rrbracket = \bigcup_{\sigma \in A} \llbracket \sigma \rrbracket.$$

(We may assume  $A$  is closed *upwards*, i.e.,  $\sigma \in A$  and  $\sigma \prec \tau$  implies  $\tau \in A$ .)<sup>3</sup>

(iii) A class  $\mathcal{A}$  is *effectively open* (*computably open*) if  $\mathcal{A} = \llbracket A \rrbracket$  for a computable set  $A \subseteq \omega$ . (See Theorem 8.1.2 (i).)

(iv) A class  $\mathcal{A}$  is (*lightface*)  $\Sigma_1^0$  (abbreviated (*lightface*)  $\Sigma_1$ ) if there is a computable  $R$  such that

$$(8.3) \quad \mathcal{A} = \{ f : (\exists x) R(f \upharpoonright x) \}.$$

(v) A class  $\mathcal{A}$  is (*boldface*)  $\Sigma_1^0$  if (8.3) holds with  $R$  replaced by  $R^X$  computable in some  $X \subseteq \omega$ . In this case we say  $\mathcal{A}$  is  $\Sigma_1^{0,X}$  or simply  $\Sigma_1^X$ .

**Theorem 8.1.2** (Effectively Open Classes). *Let  $\mathcal{A} \subseteq 2^\omega$ .*

(i) *If  $\mathcal{A} = \llbracket A \rrbracket$  with  $A$  c.e., then  $\mathcal{A} = \llbracket B \rrbracket$  for some computable set  $B \subseteq \omega$ .*

(ii)  *$\mathcal{A}$  is effectively open iff  $\mathcal{A}$  is (lightface)  $\Sigma_1^0$ .*

(iii)  *$\mathcal{A}$  is open iff  $\mathcal{A}$  is (boldface)  $\Sigma_1^0$ .*

*Proof.* (i) Let  $\mathcal{A} = \llbracket A \rrbracket$  with  $A$  c.e. and upward closed. Let  $A = \cup_s A_s$  for a computable enumeration  $\{A_s\}_{s \in \omega}$ . Define a computable set  $B$  with  $\mathcal{A} = \llbracket B \rrbracket$  as follows. At stage  $s$ , for every  $\sigma$  with  $|\sigma| = s$  put  $\sigma$  into  $B$  if  $(\exists \rho \preceq \sigma)[\rho \in A_s]$ , and put  $\sigma$  into  $\overline{B}$  otherwise. If  $\sigma \in A$ , then  $\sigma \in A_s$  for some  $s$ , and every  $\tau \succeq \sigma$  with  $|\tau| \geq s$  is put into  $B$ . Hence  $\llbracket \sigma \rrbracket \subseteq \llbracket B \rrbracket$ . Therefore,  $\llbracket A \rrbracket \subseteq \llbracket B \rrbracket$ . Clearly,  $B \subseteq A$  since  $A$  is upward closed. Therefore,  $\llbracket B \rrbracket \subseteq \llbracket A \rrbracket$ .

(ii) Let  $\mathcal{A}$  be effectively open. Then  $\mathcal{A} = \llbracket B \rrbracket$  for some  $B$  computable. Define  $R(\sigma)$  iff  $\sigma \in B$ . Now  $f \in \mathcal{A}$  iff  $(\exists x) R(f \upharpoonright x)$ . Hence,  $\mathcal{A}$  is  $\Sigma_1^0$ . Conversely, assume  $\mathcal{A}$  is  $\Sigma_1^0$  via a computable  $R$  satisfying (8.3). Define  $A = \{\sigma : R(\sigma)\}$ . Then  $\mathcal{A} = \llbracket A \rrbracket$ .

(iii) Relativize the proof of (ii) to a set  $X \subseteq \omega$ . □

## 8.2 Closed Classes in Cantor Space

Recall the tree notation defined in §3.7.

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<sup>3</sup>If  $\sigma \in A$  and  $\sigma \prec \tau$  but  $\tau \notin A$  we may add  $\tau$  to  $A$  without changing  $\llbracket A \rrbracket$ .

**Definition 8.2.1.** (i) A *tree*  $T \subseteq 2^{<\omega}$  is a set closed under initial segments, i.e.,  $\sigma \in T$  and  $\tau \prec \sigma$  imply  $\tau \in T$ . (By our canonical coding of strings  $\sigma \in 2^{<\omega}$  we may think of  $T$  as a subset of  $\omega$ .) The set of *infinite paths* through  $T$  is

$$(8.4) \quad [T] = \{ f : (\forall n) [ f \upharpoonright n \in T ] \}.$$

(ii) A class  $\mathcal{C} \subseteq 2^\omega$  is (*lightface*)  $\Pi_1^0$  if there is a computable relation  $R(x)$  such that

$$(8.5) \quad \mathcal{C} = \{ f : (\forall x) R( f \upharpoonright x ) \}.$$

A class  $\mathcal{C}$  is (*boldface*)  $\Pi_1^0$  if (8.5) holds for  $R^X$  computable in some  $X \subseteq \omega$ . This is also written  $\Pi_1^{0,X}$  and is abbreviated  $\Pi_1^X$ .

(iii) A class  $\mathcal{C} \subseteq 2^\omega$  is *effectively closed* (*computably closed*) if its complement is effectively open. A set  $\mathcal{C} \subseteq 2^\omega$  is *closed* if its complement is open.

**Theorem 8.2.2** (Effectively Closed Sets and Computable Trees). *Fix  $\mathcal{C} \subseteq 2^\omega$ . TFAE:*

- (i)  $\mathcal{C} = [T]$  for some computable tree  $T$ ;
- (ii)  $\mathcal{C}$  is effectively closed;
- (iii)  $\mathcal{C}$  is a  $\Pi_1^0$  class.

**Corollary 8.2.3** (Closed Sets and Trees). *Fix  $\mathcal{C} \subseteq 2^\omega$ . The following are equivalent (TFAE):*

- (i)  $\mathcal{C} = [T]$  for some tree  $T$ ;
- (ii)  $\mathcal{C}$  is closed;
- (iii)  $\mathcal{C}$  is a (*boldface*)  $\Pi_1^0$  class.

*Proof.* Relativize the proof of Theorem 8.2.2 to  $X \subseteq \omega$ . □

**Remark 8.2.4.** (Representing Closed Classes). The most convenient way of representing open and closed classes is with trees. If  $\mathcal{C}$  is closed we choose a tree  $T$  such that  $\mathcal{C} = [T]$ . Define  $A = \omega - T$ . Then  $T$  is downward closed,  $A$  is upward closed, as sets of strings, and  $A$  defines the open set  $\llbracket A \rrbracket = 2^\omega - [T] = \bar{\mathcal{C}}$ . Note that the representations  $A$  and  $T$  are complementary in  $\omega$  and the open and closed classes  $\llbracket A \rrbracket$  and  $[T]$  are complementary in  $2^\omega$ . The only difference between the effective case and general case is whether the tree  $T$  is computable or only computable in some set  $X$ .

We may imagine a path  $f \in 2^\omega$  trying to climb the tree  $T$  without passing through a node  $\sigma \in A$ . If  $f$  succeeds, then  $f \in \mathcal{C} = [T]$ . However, if  $f \succ \sigma$  for even *one* node  $\sigma \in A$ , then  $f$  falls off the tree forever and  $f \notin \mathcal{C}$ .

### 8.3 The Compactness Theorem

Particularly useful features of Cantor space are the well-known Compactness Theorem and the Effective Compactness Theorem 8.5.1, both of which lead to the study of one of our main topics,  $\Pi_1^0$  classes.

**Theorem 8.3.1** (Compactness Theorem). *The following easy and well-known properties hold for Cantor Space  $2^\omega$ . The term “compactness” refers to any of them, but particularly to (iv).*

(i) (Weak König’s Lemma, WKL). *If  $T \subseteq 2^{<\omega}$  is an infinite tree, then  $[T] \neq \emptyset$ .*

(ii) *If  $T_0 \supseteq T_1 \dots$  is a decreasing sequence of trees with  $[T_n] \neq \emptyset$  for every  $n$ , and intersection  $T_\omega = \bigcap_{n \in \omega} T_n$ , then  $[T_\omega] \neq \emptyset$ .*

(iii) *If  $\{\mathcal{C}_i\}_{i \in \omega}$  is a countable family of closed sets such that  $\bigcap_{i \in F} \mathcal{C}_i \neq \emptyset$  for every finite set  $F \subseteq \omega$ , then  $\bigcap_{i \in \omega} \mathcal{C}_i \neq \emptyset$  also.*

(iv) (Finite subcover). *Any open cover  $\llbracket A \rrbracket = 2^\omega$  has a finite open subcover  $F \subseteq A$  such that  $\llbracket F \rrbracket = 2^\omega$ .*

*Proof.* (i) Let  $T$  be infinite. We construct a sequence of nodes  $\sigma_0 \prec \sigma_1 \dots$  such that  $f = \bigcup_{n \in \omega} \sigma_n$  and  $f \in [T]$ . Define a node  $\sigma$  to be *large* if there are infinitely many  $\tau \succ \sigma$  such that  $\tau \in T$ . Define  $\sigma_0 = \emptyset$ , which is large. Given  $\sigma_n$  large, one of  $\sigma_n \hat{\ } 0$  and  $\sigma_n \hat{\ } 1$  must be large by the pigeon-hole principle. (This fails for Baire space  $\omega^\omega$ , where there may be infinitely many possible successors none of which is large.) Let  $\sigma_{n+1} = \sigma_n \hat{\ } 0$  if it is large and  $\sigma_{n+1} = \sigma_n \hat{\ } 1$  otherwise.

(ii) Build a new tree  $S$  by putting  $\sigma$  of length  $n$  into  $S$  if  $\sigma \in \bigcap_{i \leq n} T_i$  (which is also a tree). Note that  $S$  is infinite because  $[T_n] \neq \emptyset$  for every  $n$ . By König’s Lemma (i) there exists  $f \in [S]$ , but  $[S] = [T_\omega]$ .

(iii) Define  $\widehat{\mathcal{C}}_i = \bigcap_{j \leq i} \mathcal{C}_j$ . Hence,  $\widehat{\mathcal{C}}_0 \supseteq \widehat{\mathcal{C}}_1 \dots$  is a decreasing sequence of nonempty closed sets. Choose a decreasing sequence of computable trees  $T_0 \supseteq T_1 \dots$  such that  $[T_i] = \widehat{\mathcal{C}}_i$  and apply (ii).

(iv) Suppose  $\llbracket A \rrbracket$  is an open cover of  $2^\omega$  but  $\llbracket F \rrbracket \not\supseteq 2^\omega$  for any finite subset  $F \subset A$ . Hence, the closed set  $[T_F] = 2^\omega - \llbracket F \rrbracket$  is nonempty for all  $F \subseteq A$ . Therefore,  $\mathcal{C} = \bigcap_{F \subset A} [T_F] \neq \emptyset$  by (iii), but  $\mathcal{C} = 2^\omega - \llbracket A \rrbracket \neq \emptyset$ . Hence,  $\llbracket A \rrbracket \not\supseteq 2^\omega$ .  $\square$

### 8.4 Notation for Trees

Recall the notation in §3.7 for a tree  $T \subseteq 2^{<\omega}$ :

$$T_\sigma = \{ \tau \in T : \sigma \preceq \tau \text{ or } \tau \prec \sigma \};$$

$$T^{\text{ext}} = \{ \sigma \in T : (\exists f \succ \sigma)[f \in [T]] \}.$$

A path  $f \in [T]$  is *isolated* if  $(\exists \sigma)[[T_\sigma] = \{f\}]$ . We say that  $\sigma$  *isolates*  $f$  because  $[[\sigma]] \cap [T] = \{f\}$  and we call  $\sigma$  an *atom*. If  $f$  is isolated we say it has Cantor-Bendixson rank 0. If  $f$  is not isolated, then  $f$  is a *limit point* and has rank  $\geq 1$ . (See Definition 8.7.5 and surrounding exercises for Cantor-Bendixson rank.)

## 8.5 Effective Compactness Theorem

For a *computable* tree  $T \subseteq 2^{<\omega}$  we can establish the following effective analogues of the Compactness Theorem 8.3.1.

**Theorem 8.5.1** (Effective Compactness Theorem). *Let  $T \subseteq 2^{<\omega}$  be a computable tree.*

- (i)  $T^{\text{ext}}$  is a  $\Pi_1^0$  set. Hence,  $\overline{T^{\text{ext}}}$  is  $\Sigma_1^0$ ,  $\overline{T^{\text{ext}}} \leq_m \emptyset'$ , and  $T^{\text{ext}} \leq_T \emptyset'$ .
- (ii) (Kreisel Basis Theorem)  $[T] \neq \emptyset \implies (\exists f \leq_T \emptyset')[f \in [T]]$ . (This was generalized in the Low Basis Theorem 3.7.2.)
- (iii) If  $f \in [T]$  is the lexicographically least member, then  $f$  has c.e. degree.
- (iv) If  $f \in [T]$  is isolated, then  $f$  is computable. If  $[T]$  is finite, then all paths are isolated and therefore computable.
- (v) Given an open cover  $[[A]] = 2^\omega$  with  $A$  c.e. there is finite subset  $F \subseteq A$  such that  $[[F]] = 2^\omega$  and a canonical index for  $F$  can be found uniformly in a c.e. index for  $A$ .

*Proof.* (i) The formal definition of  $T^{\text{ext}}$  in (3.22) has one function quantifier, and it is in  $\Sigma_1^1$  form. Indeed is this the best we can do for Baire space  $\omega^\omega$ . However, for Cantor space  $2^\omega$  we can use the Compactness Theorem 8.3.1 (i) to reduce it to one arithmetical quantifier.

$$(8.6) \quad \sigma \in \overline{T^{\text{ext}}} \iff T_\sigma \text{ is finite} \iff (\exists n)(\forall \tau \succ \sigma)_{|\tau|=n} [ \tau \notin T ].$$

This is a  $\Sigma_1^0$  condition because the second quantifier on  $\tau$  is bounded by  $|\tau| = n$  and acts like a finite disjunction. (See Theorem 4.1.4 (vi).)

(ii) Now use a  $\emptyset'$  oracle to choose  $f \in [T]$  such that  $f = \cup_n \sigma_n$ . Given  $\sigma_n \in T^{\text{ext}}$ , let  $\sigma_{n+1} = \sigma_n \hat{\ } 0$  if  $\sigma_n \hat{\ } 0 \in T^{\text{ext}}$ , and  $\sigma_n \hat{\ } 1$  otherwise.

(iii) (This gives a stronger conclusion than (ii).) Let  $f$  be the lexicographically least member of  $[T]$ , i.e., in the dictionary ordering  $<_L$  on the alphabet  $\{0, 1\}$ . (Think of the tree  $T$  as growing downwards and  $\sigma <_L f$  as

denoting that  $\sigma$  is to the left of  $f$  lexicographically.) Define the following c.e. set of nodes  $M \subseteq \overline{T}^{\text{ext}}$  such that  $M \equiv_T f$ :

$$M = \{ \sigma : (\forall \tau)_{|\tau|=|\sigma|} [ [ \tau \in T \ \& \ \tau \leq_L \sigma ] \implies \tau \in \overline{T}^{\text{ext}} ] \}$$

(We just wait until  $\sigma$  and all its predecessors of length  $|\sigma|$  have appeared nonextendible. Then we put  $\sigma$  into  $M$ . In this way we enumerate all nodes  $\tau <_L f$ . Therefore,  $f$  determines a *left c.e.* set, one where when  $\sigma$  is enumerated, all later strings  $\tau$  enumerated satisfy  $\sigma \leq_L \tau$ .)

(iv) Choose  $\sigma \in T$  with  $[T_\sigma] = \{f\}$ . To compute  $f$  assume we have computed  $\tau = f \upharpoonright n$ . Exactly one of  $\tau \hat{\ } 0$  and  $\tau \hat{\ } 1$  is extendible. Enumerate  $\overline{T}^{\text{ext}}$  until one of these nodes appears and take the other one.

(v) Assume  $\llbracket A \rrbracket = 2^\omega$  with  $A$  c.e. Enumerate  $A$  until a finite set  $F \subseteq A$  is found with  $\llbracket F \rrbracket = 2^\omega$  by the Compactness Theorem (iv). We can search until we find it.  $\square$

**Remark 8.5.2.** Note that the *conclusions* in the Effective Compactness Theorem 8.5.1 have various levels of effectiveness even though the *hypotheses* are all effective. In (v) if  $\llbracket A \rrbracket$  covers  $2^\omega$  then the passage from  $A$  to  $F$  is *computable* because we simply enumerate  $A$  until  $F$  appears (as with any  $\Sigma_1$  process). However, if  $\llbracket A \rrbracket$  *fails* to cover  $2^\omega$  then the complementary closed class  $[T] = 2^\omega - \llbracket A \rrbracket$  is nonempty. Then (ii) gives a path  $f \in [T]$  with  $f \leq_T \emptyset'$  and (iii) even produces a path of c.e. degree, but neither produces a *computable* path  $f$  because, given an extendible string  $\sigma \prec f$ , the process for the proof of König's Lemma in Theorem 8.3.1 (i) does not computably determine whether to extend to  $\sigma \hat{\ } 0$  or  $\sigma \hat{\ } 1$ . In Theorem 9.3.2 we shall construct a computable tree with paths but no computable paths.

## 8.6 Dense Open Subsets of Cantor Space

The following important notion of *dense sets* will be developed more later.

**Definition 8.6.1.** Let  $\mathcal{S}$  be Cantor space  $2^\omega$ .

(i) A set  $\mathcal{A} \subseteq \mathcal{S}$  is *dense* if  $(\forall \sigma) (\exists f \succ \sigma) [ f \in \mathcal{A} ]$ .

(ii) An open set  $\mathcal{A} \subseteq \mathcal{S}$  is *dense open* if

$$(8.7) \quad (\forall \tau) (\exists \sigma \succeq \tau) (\forall f \succ \sigma) [ f \in \mathcal{A} ].$$

(iii) A class  $\mathcal{B} \subseteq \mathcal{S}$  is  $G_\delta$ , i.e., boldface  $\mathbf{\Pi}_2^0$ , if  $\mathcal{B} = \bigcap_i \mathcal{A}_i$ , a countable intersection of open sets  $\mathcal{A}_i$ .

To be *dense*  $\mathcal{A}$  must contain a point  $f$  in every basic open set  $\llbracket \sigma \rrbracket$ . To be *dense open*  $\mathcal{A}$  must contain an *entire basic open set*  $\llbracket \tau \rrbracket \subseteq \llbracket \sigma \rrbracket$  for every basic open set  $\llbracket \sigma \rrbracket$ . Notice that a set is dense open iff it is both dense and open.

After open and closed sets, much attention has been paid in point set topology to  $G_\delta$  sets. If the sets  $\mathcal{A}_i$  are *dense open* sets, then they have special significance. In §14.1.3 we shall explore Banach-Mazur games for finding a point  $f \in \bigcap_i \mathcal{A}_i$  where the  $\mathcal{A}_i$  are *dense open* sets. This is the paradigm for the *finite extension* constructions in Chapter 6, where we used the method to construct sets and degrees meeting an infinite sequence of “requirements.” Meeting a requirement  $R_i$  amounts to meeting the corresponding dense open set  $\mathcal{A}_i$ .

## 8.7 Exercises

**Exercise 8.7.1.** We use the notation and definitions of §8.1, including the open representation  $A$  of  $\llbracket A \rrbracket$  and the closed representation  $T = \bar{A}$  of the closed set  $[T] = 2^\omega - \llbracket A \rrbracket$ , and we use the tree notation of §3.7 on the Low Basis Theorem.

(i) Define the open representation  $A$  to be the set of strings  $\sigma$  containing at least *two* 0's, and let  $T = \bar{A}$ . Describe the paths  $f \in [T]$ . Which are the limit points and which are the isolated ones?

(ii) Next define the open representation  $A$  to be the set of strings  $\sigma$  containing at least *three* 0's, and let  $T = \bar{A}$ . Describe the paths  $f \in [T]$ . (See Exercise 8.7.9 for the Cantor-Bendixson rank of these points, which gives much deeper insight into the structure of  $[T]$  when three 0's are replaced by  $n$  0's.)

**Exercise 8.7.2.** Prove that if  $T$  is computable and  $[T]$  has exactly *one* limit point  $f$ , then  $f \leq_T \emptyset''$ .

**Exercise 8.7.3.** Prove that there is a computable tree  $T \subset 2^{<\omega}$  such that  $[T]$  contains a unique limit point  $f \equiv_T \emptyset''$ .

**Exercise 8.7.4.** (i) Define

$$(8.8) \quad \Gamma(T) = \{ \sigma : \text{card}(\llbracket T_\sigma \rrbracket) < \infty \},$$

i.e., the nodes  $\sigma$  with only finitely many paths  $f \in [T]$  with  $f \succ \sigma$ .

(ii) If  $T = T^{\text{ext}}$ , define the set of *splitting nodes*,

$$(8.9) \quad \mathcal{S}(T) = \{ \sigma : (\exists \rho \in T)(\exists \tau \in T) [\sigma \prec \rho \ \& \ \sigma \prec \tau \ \& \ \rho \mid \tau] \},$$

the nodes  $\sigma$  which *split* in  $T$  in the sense that some  $\rho$  and  $\tau$  split  $\sigma$ , where  $\rho \downarrow \tau$  denotes that  $(\exists x) [\rho(x) \downarrow \neq \tau(x) \downarrow]$ .

- (i) Prove that if  $\sigma \in \Gamma(T)$  and  $f \in [T_\sigma]$  then  $f$  is isolated.
- (ii) Prove that if  $f \in [T]$  is not isolated then every  $\sigma \prec f$  lies in  $S(T)$ .
- (iii) Prove that  $S(T)$  is  $\Sigma_1$  in  $T$  and hence  $S(T) \leq_T T'$ .

**Definition 8.7.5.** (Cantor-Bendixson Derivative for tree  $T$ ). Fix a tree  $T$ . For  $\sigma \in T$  define the *Cantor-Bendixson rank*  $r(\sigma)$  of  $\sigma$  relative to  $T$ .

$$D^0(T) = T.$$

$$D^{\alpha+1}(T) = D^\alpha(T) - \Gamma(D^\alpha(T)) \text{ for } \Gamma \text{ defined in (8.8).}$$

$$D^\lambda(T) = \bigcap \{D^\alpha(T) : \alpha < \lambda\} \text{ for } \lambda \text{ a limit ordinal.}$$

$$r(\sigma) = (\mu\alpha)[\sigma \in D^\alpha(T) - D^{\alpha+1}(T)].$$

If there is no such  $\alpha$ , define  $r(\sigma) = \infty$ .

**Definition 8.7.6.** (Cantor-Bendixson derivative for closed set  $\mathcal{A}$ ). If  $\mathcal{A}$  is a closed set, choose a tree  $T$  such that  $[T] = \mathcal{C}$  and let  $r(\sigma)$  be the rank above for tree  $T$ . If  $r(\sigma) = \alpha$  and  $\sigma$  isolates  $f$  in  $D^\alpha(T)$ , then define  $r(f) = \alpha$ . If there is no such  $\alpha$  then define  $r(f) = \infty$ .

The derivative of a closed set  $\mathcal{C}$  is the set of all points which are not isolated points of  $\mathcal{C}$ , and we are iterating this derivative. Note that derivative of a closed set is closed.

**Exercise 8.7.7.** Prove that Definition 8.7.6 for the Cantor-Bendixson derivative of a closed set does not depend on the choice of the tree such that  $[T] = \mathcal{C}$ . Take any two trees  $T_1$  and  $T_2$  such that  $[T_1] = [T_2] = \mathcal{C}$  and prove that the tree derivative of Definition 8.7.5 gives the same rank in both trees for any  $f \in \mathcal{C}$ . *Hint.* Keep applying the fact that  $T_1^{\text{ext}} = T_2^{\text{ext}}$ .

**Exercise 8.7.8.**  $\diamond$  (i) Prove that  $D^\alpha(T)$  is a tree and hence  $[D^\alpha(T)]$  is closed subset of  $\mathcal{A} = [T]$ .

(ii) Show that there is an ordinal  $\beta$  such that  $D^\beta(T) = D^\alpha(T)$  for all  $\alpha > \beta$ . Define  $D^\infty(T) = D^\beta(T)$ . Prove that there is an  $\alpha < \omega_1$  such that  $D^\alpha(T) = D^\infty(T)$ . We call  $D^\infty(T)$  and  $[D^\infty(T)]$  the *perfect kernel*.

(iii) Prove that either  $D^\infty(T) = \emptyset$  or else  $D^\infty(T)$  is a perfect tree, namely every  $\sigma \in D^\infty(T)$  splits as defined above. In this case  $D^\infty(T)$  has  $2^{\aleph_0}$  many infinite paths.

(iv) Let  $\beta$  be as in (ii). Prove that  $[D^\alpha(T)] - [D^{\alpha+1}(T)]$  is countable for every  $\alpha < \beta$ . Therefore,  $\cup_{\alpha < \beta} [D^\alpha(T)]$  is countable, namely  $[T] - [D^\infty(T)]$  is countable.



**Exercise 8.7.9.** Define the open representation  $A$  as in Exercise 8.7.1 and define  $T = \bar{A}$ .

- (i) Analyze the Cantor-Bendixson rank of all points  $f \in [T]$ .
- (ii) How does the rank change if we define  $A$  to be all strings having at least  $n$  0's?
- (iii) Define a computable tree  $T$  such that  $[T]$  has a point of rank  $\omega$ .