Chapter 15 Yeast as a Window into Changes in Genome Complexity Due to Polyploidization

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Abstract Due to the long history of genetic analyses in yeasts and their experimental tractability, the yeast genome duplication provides important perspectives on the genome and population-level processes that follow whole-genome duplication (WGD). We discuss the history of the discovery of the Saccharomyces cerevisiae WGD, with special emphasis on the role of comparative genomics in its analysis. We then explore models of population and species divergence, both at a gene level (e.g., Dobzhansky-Muller incompatibility) and from the perspective of recent work on secondary allopolyploidy in Saccharomyces pastorianus. Finally, we explore the selective forces that act on the WGD-produced paralogs and shape their patterns of loss and retention. In addition to discussing the dosage balance hypothesis as it applies to the yeast WGD, we explore the role of the WGD in shaping several complex metabolic and regulatory phenotypes.

15.1 Introduction

Researchers have found remnants of ancient whole-genome duplications (WGDs) preserved in the genomes of many and diverse eukaryotes. This book, in fact, is a testament to that diversity, illustrating the sheer number of independent events in plants as well as the evolutionarily basal events in vertebrates and other, more recent WGDs in teleost fishes and frogs. Although we have still not fully validated

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Susumo Ohno's claim for the primacy of polyploidization in the generation of new adaptations (Ohno [1970\)](#page-14-0), it is clear that WGD events have had a massive influence on the content and structure of the genomes of their possessors. The next step in exploring Ohno's hypothesis is to link genome evolution to known changes in function. This goal, however, remains challenging, primarily because our knowledge of how genotype links to phenotype remains woefully incomplete (Pigliucci [2010](#page-14-0)). However, one group of organisms in which we can at least begin to make such associations is in the polyploid yeasts. Our knowledge of the functional genomics of yeast is drawn primarily from Saccharomyces cerevisiae, which has a well-annotated genome, decades of biochemical, genetic, and cell biology research, a relatively small genome, and a life cycle that lends itself to scalable laboratory analyses. Taken together, these facts have allowed yeast researchers not only to understand the structure of the genome following WGD but also to experimentally evaluate hypotheses regarding the evolution of particular complex phenotypes. As we will stress throughout this chapter, one of the themes that emerges from all of these analyses is the degree to which the outcome of a WGD depends as much on the interactions between genes as on the role of any particular locus. Of equal importance evolutionarily, we now also have data from other polyploid yeast species, which are valuable both as a point of comparison to S. cerevisiae and for their own sakes. This wealth of data affords us insight into the mechanisms that drive the preferential loss and preservation of gene duplicates after polyploidy, lead to the functional divergence of genes, and are behind the evolutionary origins of complex phenotypes.

15.2 Evidence for WGD in Yeast

Although early analyses of genes potentially created by the vertebrate 2R events used phylogenetic approaches (Hughes [1999;](#page-13-0) Furlong and Holland [2002](#page-12-0)), most current studies of WGD rely on one or both of two methods: (1) finding numerous blocks of paralogous genes in multiple chromosomes with similar gene orders and (2) clustering homologs into groups by measuring the rate of synonymous substitutions $(K_s$ or dS). This second method assumes that the gene pairs created by WGD cluster about some mean K_s value (Lynch and Conery [2000](#page-14-0)). The simultaneous application of both methods has been used to group multiple WGD events within species (e.g., Arabidopsis thaliana and Tetraodon nigroviridis; Jaillon et al. [2004;](#page-13-0) Van de Peer et al. [2009](#page-15-0)). However, the yeast genomes present an interesting challenge in this respect because the synonymous substitutions between yeast paralogs produced by WGD (hereafter ohnologs; Wolfe [2000\)](#page-15-0) are often saturated (Byrne and Wolfe [2007\)](#page-12-0). In other words, identical synonymous positions between two ohnologs occur almost as often due to repeated convergent substitutions as due to common ancestry, a fact pointed out by Smith [\(1987](#page-15-0)), who attempted to date histone gene duplicates in yeast. While the genomic structure of the core histone genes suggested that they were all duplicated simultaneously, these genes show

considerable variation in the numbers of synonymous substitutions separating them. This observation led Smith [\(1987](#page-15-0)) to hypothesize that S. cerevisiae underwent a WGD ancient enough that the duplicates surviving from it had saturated. However, it was not until the genome sequence of S. cerevisiae became available that this speculation could be confirmed (see below). Another similar but subtler problem in using K_s as a means of dating duplicate genes is the issue of gene conversion. Gene conversion was presumed to be quite common in yeast (Petes and Hill [1988\)](#page-14-0), even prompting some authors (Gao and Innan [2004](#page-12-0)) to suggest that estimates of duplication rates based on duplicate divergences were inapplicable due to the homogenization of duplicate loci by conversion. Fortunately, although gene conversion is very common among yeast ribosomal proteins, it does not appear to be a general characteristic of the genome (Evangelisti and Conant [2010\)](#page-12-0). Nonetheless, these various issues collectively meant that comparisons of paralogous sequence divergence were deemed unhelpful as a means to detect WGD in yeast.

15.2.1 Synteny-Based Evidence for WGD in Saccharomyces cerevisiae

Given that paralogous sequence comparisons were generally unhelpful in finding WGD relics, another tactic was to consider gene order. In fact, even before the S. cerevisiae genome sequence was completed in 1996, it was clear to many researchers that it contained numerous, long, homologous clusters of ordered genes (Goffeau et al. [1996\)](#page-12-0). Melnick and Sherman [\(1993](#page-14-0)) found ordered homologous gene clusters in chromosomes V and X covering 7.5 kb. Lalo et al. [\(1993](#page-13-0)) similarly found ordered homologous gene clusters in chromosomes XIV and III covering 15 kb. When the genome was sequenced, researchers found 18 ordered homologous genes in chromosomes IV and II that covered 120 and 170 kb, respectively (Goffeau et al. [1996](#page-12-0)). Just how to interpret these redundant regions remained a challenge at that time (Goffeau et al. [1996](#page-12-0); Oliver [1996](#page-14-0)), and, in spite of Smith's prior hypothesis (Smith [1987](#page-15-0)), few, if any, of the contemporaneous explanations included an ancient WGD.

However, opinions changed the next year when Wolfe and Shields [\(1997](#page-15-0)) presented a thorough, genome sequence-based, analysis that gave strong evidence for WGD in S. cerevisiae. To find syntenic regions, they conducted a BLASTP search of amino acid sequences throughout the yeast genome and made a dot plot of the results. They then created gene blocks from these data, where each block was required to have at least three homologous pairs with intergenic distances \leq 50 kb and conservation of gene order and orientation. This analysis yielded 55 duplicated regions containing a total of 376 pairs of ohnologs. The large number of duplicated regions led Wolfe and Shields to posit two explanations: (1) successive independent gene duplications, and (2) a single duplication of the entire genome,

followed by massive gene loss. There were two lines of evidence discounting the first possibility. First, 90 $\%$ (50/55) of the gene regions shared the same orientation with respect to the centromeres of the duplicated regions when we would expect independent duplications to be instead randomly distributed about the centromeres. Second, there were no examples of triplicated regions in the S. cerevisiae genome. If the duplications involved several distinct events separated in time, such a pattern would be highly unlikely, because it would require that later duplication events never overlapped with prior ones. Given these arguments, Wolfe and Shields [\(1997](#page-15-0)) argued for a single ancient WGD, which they dated to be hundreds of millions of years old (note that attempts to conclusively date this event have been difficult, due to a lack of fossils and the previously mentioned saturation of substitutions; see Taylor and Berbee [2006](#page-15-0); Rolland and Dujon [2011](#page-14-0)).

15.2.2 Comparative Genomics and Proof of WGD in S. cerevisiae

A number of researchers disputed the claims of Wolfe and Shields ([1997\)](#page-15-0), arguing that, because the syntenic regions identified made up only a small part of the genome, independent duplications better explained the genomic structure of S. cerevisiae (Coissac et al. [1997](#page-12-0); Mewes et al. [1997;](#page-14-0) Hughes et al. [2000](#page-13-0); Llorente et al. [2000a](#page-14-0); Llorente et al. [2000b](#page-14-0); Friedman and Hughes [2001](#page-12-0); Piskur [2001;](#page-14-0) Koszul et al. [2004](#page-13-0)). However, this independent duplication hypothesis became untenable following the genome sequencing of other yeasts that proved to lack these syntenic paralog blocks. These sequences were described by three independent groups. The comparison of S. cerevisiae with Kluyveromyces waltii (Kellis et al. [2004\)](#page-13-0) and the comparison of S. cerevisiae with Ashbya gossypii (Dietrich et al. [2004\)](#page-12-0) involved different genomes, but effectively made the same argument: that the 2:1 mapping of blocks of paralogs from S. cerevisiae to homologous single-copy genes in K. waltii/A.gossypii could best be explained by WGD. This explanation was particularly striking because the doubly conserved synteny blocks cover 90 % of the genome in K. waltii (Kellis et al. [2004\)](#page-13-0) and 96 % of that in A. gossypii (Dietrich et al. [2004](#page-12-0)). Furthermore, both studies found a large number of 2:1 pairing of centromeres in the species-respective chromosomes. There were 16:8 such pairings between S. cerevisiae and K. waltii and 14:7 between S. cerevisiae and A. gossypii with a subsequent break at the expected centromere position in S. cerevisiae chromosomes X and XII that are syntenic with regions in A. gossypii chromosomes I and III. Finally, and perhaps most strikingly, both groups also showed that the single-copy orthologs of genes from A. gossypii or K. waltii in the genome of S. cerevisiae are interleaved between two paralogous chromosomes in S. cerevisiae that nonetheless retain the relative gene order of the single chromosome in the non-WGD yeast (see Fig. [15.1](#page-4-0)). Such a pattern is only explicable under the hypothesis of a WGD event followed by massive gene losses.

| $\Delta \Delta$ nt | $\Delta \Delta$ nt | $\Delta\Delta$ nt | aa nt | a a nt | aa nt | aa nt | aa nt | a a nt | aa nt | aa nt | a_n int nt | |
|---|---|--|---|-------------------------------------|---|---|------------------------------------|-------------------------------|--|-------|------------------|------------------|
| | Kpol 1064 b | | | | | K.pel 1013 b K.pel 1013 b 1013.19 1013.20 | | | $\frac{K}{1013.21}$ | | | V.polyspora A |
| | b Teha 3 C02610 | | | | | Tpha 3 CO2600 | $\frac{Tph^2}{C}$ b | | b Teha 3 C02580 | | | T.phaffi A |
| Chie S HO2780 | h X_0/x S b | | | \mathbf{b} X M2 8 HO2800 | | X Mz 8 H02810 | $M = 800$ | | X.blz 8 b | | | X.blattae A |
| N.Jai 3 C00600 Þ | | N dai 3 b COOS90 | | b N.Jai 3 C00580 | | | | R_{COOS}^{Maj3} | M_{COO560} | | | N. dairenensis A |
| N.cas 8 13 H03040 | | N cas 8 13 H03050 | | \blacksquare N cas 8 HO3060 | | | | N. cas 8 HO3070 | ED N cas 8 ED | | | N. castelli A |
| Xnag 8 H00590 \mathbf{b} | | b Xnag S H00580 | | Xnag & H00570 b | | | | Xnzg S | b Xnzg S | | | X.naganishii A |
| Xafr 9 101600 ы | | Xafr 9 101590 \blacksquare | | b Xafr 9 101580 | | | | X afr b 101570 | Xafr 6 F02070 b | | | X.africana A |
| | | C alz 13 b M13145 | | | | | | C ela 13 M13167 | $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ D | | | C.glabrata A |
| rklosoc <mark>III</mark> Va nia | | YKLOISC D | | | | | | ELM1 | YKLO4SC B YKLOS2W B ELM1 B MSN4 B | | | S.cerevisiae A |
| Ancestor ₂ | Ancestor 2 2.591 | Ancestor 2 | | Ancestor 2 2.593 | Ancestor 2 2.594 | Ancestor 2 | Ancestor 2 2.596 | Ancestor 2 | Ancestor 2 | | | |
| 2.590 | ы | \blacksquare | | Ð | | в | | D. | | | | Ancestor |
| Zrew 6 FO1188 Tulel 2 | $E = 2$ rew 6 | Zreu 6 FO1144 | | Zrau 6 FO1122 | Zrou 6 FO1100 | B _{FO1078} | Zrou 6 FO1056 | ED 2rou 6 | $\frac{Z \text{row } 6}{F01012}$ | | Zrou 6 IL-CAA | Zrouxi |
| B06830 | T.del 2 B06840 | $B = 7.4e/2$ | | T.del 2 B06860 | $B = 7$ \overline{b} | T.del 2 BO6880 | T.Jel 2 B06890 | BOS900 | Tuel 2 B06910 | | | T.delbrueckii |
| D) | 미 | E | | D | ٩ F27049 | b) | F27005 | F26983 | b F26961 | | | K.lactis |
| Agos 2 D | | Agos 2 D Agos 2 D ABRO82W ABRO83C | | Agos 2 D ABROBAW | Agos 2 ABROSSC | Ages 2 D Ages 2 D ABRO86W ABRO87C | | Ages 2 ABRO88C | A gos 2 ABRO89C | | | E.gossypii |
| b B11154 | \blacksquare | \blacksquare B11198 | | \overline{b} | E 811 | D, | D, | 5.662 B11308 | B 5.klu2 D | | 5.662 B | L.kluyveri |
| b, | b | D | | b | ы | D, | D, | ы 57. | D | | | L.thermotolerans |
| b. Kwzi 26 8312 | \mathbf{b} K.w2/26 8323 | Kwa/26 b 8328 | | K.wal26 \blacksquare 8331 | K.w2/26 하 8333 | K.wal26 \mathbf{b} 8338 | Kaval 26 \blacksquare 8340 | Kaval 26 8347 | $rac{K}{8351}$ \overline{b} | | | L.wakii |
| na | YMROILC D YMROI2WD n/a Hill HOF1 H | | | 893W G | | YMROJ4C B YMROJSW B YMROJ6C B n/a id, IMP2 id, MIH1 id , | | | YMR037CH | | | S.cerevisiae B |
| D C gla 12 L12914 | b | | | \mathbf{p} | b | D | D | | D | | | C.glabrata B |
| 9 Cafe A02280 | b Xafr1 A02290 | | | | | X_2 fr 1 A02300 | A02310 b | | Xafr 1 A023 20 \triangleright | | | X.africana B |
| Xnag b) A02310 | b X nag 1 A023 20 | | | | X.nag 1 A02330 | A02340 | b A02350 \mathbf{b} | | Xnag 1 A02360 b | | | X.naganishii B |
| P. A12860 | b N.cas 1 A12870 | | | | A12880 | N cas 1 A12890 | N cas $1 - N$ A12900 | | N cas I b A12910 | | | N. castelli B |
| N. dai 2 B01390 b. | N. dzi 2 B01380 \triangleright | | | | N. dzi 2 B01370 | M/dt/2 | $M/dt/2$ BO1350 \mathbf{p} | | N dai 2 B01340 b | | | N. dairenensis B |
| XMO b) G01870 | X biz 7 G01880 | $\begin{array}{r} \hline 8.042 \end{array}$ | $\frac{1}{\sqrt{301900}}$ \overline{b} | | | | | $\frac{1}{2}$ | $\frac{Xb\approx 7}{601920}$ b | | | X.blattae B |
| Taha 14 N01410 b | | Tphz 34 N01420 \mathbf{p} | | Toha 14 | $\frac{L_{\text{phz}}}{N01440}$ $\ddot{\bullet}$ | | | Toh ₂ 34 NO1450 | NO1460 | | | T.phaffi B |
| K.pol 151 \mathbf{b} 181.4 | | K.pol 181 \overline{b} | | $K.181.2$ b | $K. pol. 182 b$ 181.1 | | | K.pol. 185 185.2 | D K.pol 185 D | | | V.polyspora B |
| tree msa rate s | tree msa rate s | tree msa rate s | | tree msa rate s | tree msa rate s | tree msa sate s | tree msa rate s | tree msa rate s | treelmsa rate s | | | |
| | | | | | | | | | | | | |

Fig. 15.1 Yeast gene order browser (YGOB) screenshots with a window size of six. Each box represents a gene; each color, a chromosome. The gene in focus, the A. gossypii gene ABR086W, is highlighted by an *orange* border. Each vertical column ("pillar") represents a single gene prior to the WGD (hence, all genes in a column are homologs, and the paired upper and lower genes, when present, are paralogs). The ancestral order of these genes (pink boxes) just prior to the WGD has also been exhaustively inferred (Gordon et al. [2011\)](#page-13-0). Connectors join nearby genes: a solid bar for adjacent genes, two bars for loci less than five genes apart, and one bar for loci $\langle 20 \rangle$ genes apart. The connectors are extended in gray over intervening space. The end of a chromosome or contig is denoted by a brace. Arrows denote transcriptional orientation. The browser also includes a control panel that allows users to select the window size and the gene to focus on. This panel also has buttons for running BLAST searches against YGOB's database, outputting YGOB data in tabular format, obtaining pairwise K_a and K_s values among genes, and computing multiple sequence alignments and phylogenetic trees of individual pillars. Species names for each track are labeled at right (Byrne and Wolfe [2005\)](#page-11-0)

The argument of Dujon et al. [\(2004\)](#page-12-0) is subtly different. They sequenced and analyzed four other genomes. One genome that of Candida glabrata, shares the genome duplication with *S. cerevisiae*. This was determined by comparing syntenic blocks in *S*. cerevisiae and C. glabrata with the other three sequenced genomes, Kluveromyces lactis, Debaryomyces hansenii, and Yarrowia lipolytica. Dujon et al. ([2004](#page-12-0)) found 20 distinct blocks of paralogs shared by both S. cerevisiae and C. glabrata. These blocks allowed them to map the WGD onto a phylogeny, rather than do a simple pairwise comparison. Mapping this WGD phylogenetically creates distinct hypotheses as to where in the tree we expect to find polyploid yeasts $(c.f., Fig. 15.1)$ $(c.f., Fig. 15.1)$ $(c.f., Fig. 15.1)$, predictions that have been confirmed with each of the subsequently sequenced genomes of known phylogenetic position (Wapinski et al. [2007](#page-15-0); Scannell et al. [2011\)](#page-15-0).

15.2.3 Yeast Gene Order Browser

One of the major benefits of studying the yeast WGD is that the relatively slow rates of gene order change in yeast genomes and the compactness of their genomes means that an exhaustive enumeration of all WGD-produced ohnologs is possible. Just such a project was carried out, with the results presented as the web-based Yeast Gene Order Browser (YGOB) (Byrne and Wolfe [2005\)](#page-11-0), which illustrates a number of non- and post-WGD yeasts in a graphical framework (Fig. [15.2\)](#page-6-0). This work has been followed by a reconstruction of the set of genes and their relative orders that existed just prior to the WGD (Gordon et al. [2009](#page-13-0)) and by a likelihood-based model of post-WGD duplicate loss that attempts to quantify the orthology inferences made by YGOB (Conant and Wolfe [2008a](#page-12-0)). On the basis of these three projects, the post-WGD evolutionary history of virtually every locus in the S. cerevisiae genome can be traced (Fig. [15.1](#page-4-0) is thus illustrative of the predominant pattern seen across the genome).

15.2.4 Additional Non-Saccharomyces-Specific WGDs

In addition to the ancient WGD that characterizes the *Saccharomyces* clade (Fig. [15.2\)](#page-6-0), several cases of allopolyploidy have been discovered in yeasts. Some of these occur in species within the Saccharomyces sensu stricto clade (Scannell et al. [2011\)](#page-15-0), while others are independent.

15.2.4.1 Secondary Allopolyploidy in Saccharomyces pastorianus

Several cases of allopolyploidy are known from within S. sensu stricto (Dequin and Casaregola [2011](#page-12-0)). One of the most well studied is that of the lager yeast, S. pastorianus (syn. S. carlsbergensis). It has long been known that the polyploid S. pastorianus and other members of the complex of related lager yeasts are

Fig. 15.2 Consensus view of the evolutionary relationships between the yeast taxa discussed. Black branches indicate relationships described by both Kurtzman and Robnett [\(2003](#page-13-0)) and Fitzpatrick et al. ([2006\)](#page-12-0). Red branches indicate conflicts between the two phylogenies, in which case Fitzpatrick et al. ([2006\)](#page-12-0) is presented. Curved grey branches illustrate the allopolyploidy events between two species (S. cerevisiae and S. bayanus, Z. rouxii, and Z. pseudorouxii). Taxa in blue are reported in text (e.g., S. pastorianus and Z. rouxii ATCC 42981). Stars mark wholegenome duplications. Note that genus names are an imperfect guide to the relationships

allotetraploids of diploid S. cerevisiae and some other unknown diploid species (Martini and Kurtzman [1985;](#page-14-0) Kielland-Brandt et al. [1995](#page-13-0)). However, aside from the general difficulties facing anyone interested in identifying the origins of hybrid genomes, the debate surrounding the origin of the second parental diploid species was further complicated by a difficulty in delimiting species within these groups (Rainieri et al. [2006\)](#page-14-0). The tetraploid S. *pastorianus* belongs to a group of yeast species which, until recently, was represented as a phylogenetically unresolved species complex including S. pastorianus, S. monacensis (S. pastorianus strain CBS 1503), S. bayanus, and S. bayanus var. uvarum (Casaregola et al. [2001;](#page-12-0) Rainieri et al. [2006\)](#page-14-0). This taxonomic confusion has recently been partially resolved through the sequencing of the genomes of both S. pastorianus and one of its presumed parental diploid species, S. eubayanus (Nakao et al. [2009](#page-14-0)). The genome history that has emerged is a complicated story of allopolyploidy followed by genomic transformation forming the related species S. bayanus (Libkind et al. [2011\)](#page-14-0). As summarized by Libkind et al. ([2011\)](#page-14-0), S. cerevisiae hybridized with S. eubayanus (a species recently recovered in Patagonia) with subsequent genome doubling producing the allotetraploid progenitor of modern S. pastorianus. Following domestication, smaller regions of the S. pastorianus genome were then apparently transferred into the genome of the diploid parent S. eubayanus (which is nonetheless a descendant of the ancient polyploidy). This hybrid form of S. eubayanus, with contributions from S. pastorianus, then proceeded to interbreed with diploid S. *uvarum* to produce the modern, diploid, S. *bayanus* (Fig. [15.3](#page-7-0)).

Fig. 15.3 Genome evolution in S. pastorianus. A model of the formation of S. pastorianus and the hybrid strains of S. bayanus. First, wild S. eubayanus and ale-type S. cerevisiae hybridized to form an allotetraploid that became the ancestor of the modern (doubly paleopolyploid) S. pastorianus. Second, domestication imposed strong selective pressure for strains with the most desirable brewing properties. Third, in the brewing vats with high densities of S. *pastorianus*, cell lysis releases large DNA fragments that occasionally transform, fourth, contaminating wild strains of S. eubayanus (which possesses only the ancient WGD shared with S. cerevisiae) because of the lack of pure culture techniques. Fifth, multiple hybridization events between S. eubayanus and wild strains of S. uvarum gave rise to CBS 380T and NBRC 1948. This model does not exclude prior or parallel involvement of S. *uvarum* in brewing or contamination. *Reprinted from Libkind et al.* ([2011\)](#page-14-0)

15.2.4.2 Allopolyploidy in Zygosaccharomyces rouxii

The spoilage agent and industrial yeast Zygosaccharomyces rouxii strain ATCC 42981 was identified as another allopolyploid by James et al. ([2005\)](#page-13-0) and Gordon and Wolfe [\(2008](#page-13-0)). This hybridization/polyploidy event is significant for two reasons. First, unlike all of the previous examples, it occurs outside of S. sensu stricto. Second, Gordon and Wolfe ([2008\)](#page-13-0) determined that most of the paralogs produced by WGD are still present, presumably due to the recentness of the event. Thus, while other yeast genome duplications are ancient and show considerable gene loss and rearrangement (Wolfe and Shields [1997](#page-15-0)), the Z. rouxii genome retains most of the ''new'' genes produced by its WGD. Since the survival time of ohnologs has been modeled to follow a power law, most of the duplicates are expected to be lost very rapidly (Maere et al. [2005](#page-14-0)), suggesting that Z. rouxii represents an example of the early features of genome evolution following WGD.

15.3 WGD and Speciation

An important potential outcome of polyploidy is in altering patterns of speciation. This change can happen in at least two ways. First, the WGD can relax selective constraints resulting in an adaptive radiation by means of ecological speciation. Another, more neutral mechanism, is a special case of the Dobzhansky-Muller (DM) process of speciation, in which species lose reciprocal paralogs following some period of isolation (Lynch and Force [2000;](#page-14-0) Werth and Windham [1991;](#page-15-0) see also [Chap. 1,](http://dx.doi.org/10.1007/978-3-642-31442-1_1) this volume). WGD potentially increases the probability of this simply by increasing the number of paired genes in a genome. The fertility of hybrids is 0.75^n , where *n* is the number of reciprocal losses of essential genes among populations (Werth and Windham [1991\)](#page-15-0). Clearly, for any significant number of reciprocal losses (such as occur after WGD), the number of viable, fertile offspring of a crossing of two such populations is negligible. Both phylogenetic and experimental studies of the DM process after WGD have been carried out in yeast. Scannell et al. [\(2006](#page-14-0)) showed that the number of reciprocal gene losses in several species of yeast sharing the S. cerevisiae WGD was sufficient to induce such inviability. This observation suggests that a DM mechanism was partly responsible for the multiple speciation events among the Saccharomyces species (e.g., S. cerevisiae, S. bayanus, and C. glabrata) following WGD.

An advantage of studying the DM process in yeast is the ability to experimentally create and cross artificial polyploids. This possibility has been highlighted in experimental studies of reproductive isolation. Polyploid yeasts have been allowed to evolve in different selective environments (Dettman et al. [2007](#page-12-0)) and in neutral environments subject to random mutagenesis (Maclean and Greig [2011\)](#page-14-0). These two experiments have shown that moderate reproductive isolation, coupled with reciprocal gene loss, results in a clear loss of fitness when independently derived polyploids are crossed. Similarly, Lee et al. [\(2008\)](#page-13-0) showed that hybrids of S. cerevisiae and S. bayanus were less fit than their parental phenotypes, due primarily to incompatibility between their nuclear and mitochondrial genomes. Chou et al. ([2010\)](#page-12-0) extended this analysis, providing another pair of mitochondrial and nuclear genes and posited nuclear-mitochondrial incompatibility as a common mechanism in species formation. In another twist, Anderson et al. [\(2010](#page-11-0)) demonstrated the existence of alleles with depressed hybrid fitness in lowglucose environments, which argues for a model in which neutral changes in paired genes are followed by strong selection, a sequence of events that promotes rapid reproductive isolation. Kao et al. ([2010\)](#page-13-0), however, argue against the existence of a small number of so-called speciation genes, instead claiming that genome scans provide no evidence of any single paired dominant or recessive genic incompatibilities. They instead argue that following WGD, many changes in loci of little effect resulted in lowered fitness due, in part, to the rewiring of transcriptional and metabolic networks.

Another debate that has emerged in this field is whether these changes are due primarily to the decrease in the fertility of hybrids (Xu and He [2011](#page-15-0)) or a decrease in their viability (Greig [2008\)](#page-13-0). This question ultimately amounts to a debate about what stage in the yeast life cycle the genetic incompatibilities occur—sporulation or clonal growth, and whether the decrease in fitness is the result of competition for resources or offspring. The discontinuity between these ideas likely represents an opportunity to explain speciation as a process across different genomic and temporal scales, and we would speculate that the process of DM incompatibility induces selection for the evolution of some form of prezygotic barrier.

15.4 Changes in Genome Content and Complexity Post-WGD

Duplicate retention and evolutionary models. In addition to such population processes as speciation, WGD also altered many other aspects of the S. cerevisiae lifestyle. For instance, several pairs of ohnologs have been shown to have undergone various types of functional divergence, allowing the study of some of the proposed mechanisms of duplicate divergence after duplication (Conant and Wolfe [2008b](#page-12-0)). In an elegant series of experiments, van Hoof [\(2005](#page-15-0)) showed that two ohnologs, ORC1 and SIR3, have distinct and non-overlapping functions (in DNA replication and gene silencing, respectively). Strikingly, however, the mutual ortholog of these genes from the non-WGD yeast S. kluyveri is able to complement both functions, constituting a clear example of subfunctionalization. An apparently similar case, involving the *S. cerevisiae* ohnolog pair *GAL1* and *GAL3*, which presently functions, respectively, as an enzyme and as a transcriptional regulator, was complicated by the discovery of an adaptive conflict between the shared regulator and enzymatic function of their ortholog in the non-WGD K. lactis. Thus, although the K. lactis GAL1 gene does indeed serve the functions of both GAL1 and GAL3 in S. cerevisiae, it does so in a suboptimal way, being unable to tune its expression to both roles simultaneously (Hittinger and Carroll [2007](#page-13-0)). This conflict illustrates an important point about subfunctionalization, namely that the original neutral model of subfunctionalization proposed by Force and coauthors (Force et al. [1999](#page-12-0)) is not the only possible mechanism for such functional partitioning (Des Marais and Rausher [2008\)](#page-12-0). Other examples of divergence among ohnologs where the mechanism of that divergence is less clear include ribosomal proteins (Ni and Snyder [2001;](#page-14-0) Komili et al. [2007;](#page-13-0) Kim et al. [2009](#page-13-0)), glucose sensors (Özcan et al. [1998](#page-14-0)), and glycolysis enzymes (Boles et al. [1997\)](#page-11-0).

The dosage balance hypothesis (DBH). In addition to facilitating the above work, the wealth of functional data from S. *cerevisiae* also provides an excellent opportunity to test hypotheses explaining the differences in gene retention patterns after WGD and small-scale duplications (hereafter SSD). Chief among these is probably the DBH (Papp et al. [2003;](#page-14-0) Freeling and Thomas [2006](#page-12-0); Birchler and Veitia [2007](#page-11-0); Freeling [2009\)](#page-12-0), which states that, in eukaryotes, there is selection operating to disfavor duplications of central network genes due to the imbalance in network stoichiometry that results. This situation is reversed for WGD because in that case, the loss of a second copy of a gene introduces imbalances relative to the remaining duplicated genes. In keeping with the DBH, several classes of genes are over-retained after several evolutionarily ancient WGD events, including that in yeast. They include ribosomal proteins, protein kinases and transcription factors (Seoighe and Wolfe [1999;](#page-15-0) Blanc and Wolfe [2004](#page-11-0); Maere et al. [2005;](#page-14-0) Aury et al. [2006;](#page-11-0) Conant and Wolfe [2008a\)](#page-12-0). Similarly, genes that tend to have been fixed by WGD are less likely to have undergone SSD in other yeast species (Wapinski et al. [2007\)](#page-15-0). However, duplicates produced by WGD have more protein interactions (Guan et al. [2007](#page-13-0); Hakes et al. [2007\)](#page-13-0), more phosphorylation sites (Amoutzias et al. [2010\)](#page-11-0), and tend to be highly expressed (Seoighe and Wolfe [1999](#page-15-0)) than those from SSD. Although genes retained in duplicate after WGD are rarely essential on an individual basis (Guan et al. [2007\)](#page-13-0), this dispensability appears to be due to functional compensation by the other ohnolog (DeLuna et al. [2008\)](#page-12-0). Thus, it appears that while ohnologs are less likely to be essential than their SSD counterparts today, their ancestral genes were actually at least as essential as current single-copy genes (DeLuna et al. [2008](#page-12-0)).

System-level changes produced by WGD. Of course, one of the unique features of polyploidy relative to SSD is the possibility of coordinated changes among multiple sets of ohnologs. At the simplest level, we have previously illustrated examples of what appears to be network subfunctionalization where a number of ohnologs collectively divided two expression domains among themselves (Conant and Wolfe [2006\)](#page-12-0). A more complex and interesting example is the role of the WGD (Piškur et al. [2006\)](#page-14-0) in shaping S. cerevisiae's propensity for aerobic glucose fermentation (the Crabtree effect; Geladé et al. [2003](#page-12-0); Johnston and Kim [2005](#page-13-0)), a novel and somewhat paradoxical phenotype. There is a general association between the presence of the WGD and the Crabtree effect across yeast species (Merico et al. [2007\)](#page-14-0). As a result, we and others have argued that dosage effects among the glycolysis enzymes post-WGD helped to increase flux through glycolysis (Blank et al. [2005;](#page-11-0) Kuepfer et al. [2005](#page-13-0); Conant and Wolfe [2007](#page-12-0); Merico et al. [2007;](#page-14-0) van Hoek and Hogeweg [2009](#page-15-0)). Such increased flux likely could only be accommodated through fermentation pathways, given the complex spatial organization of the competing respiratory pathway (Conant and Wolfe [2007](#page-12-0)). Supporting this hypothesis is an elegant computational analysis by van Hoek and Hogeweg ([2009\)](#page-15-0) showing that future WGD events in modern S. cerevisiae could also be expected to provide a selective advantage in glucose-rich environments through the preferential retention of duplicated glycolysis enzymes. Note that the apparently ''wasteful'' fermentation can actually be selectively advantageous in the context of rich but ephemeral resource patches (Pfeiffer et al. [2001;](#page-14-0) Pfeiffer and Schuster [2005\)](#page-14-0), a phenomenon that has been experimentally confirmed in yeast (MacLean and Gudelj [2006](#page-14-0)). Such a change in the yeast lifestyle likely led to other, later changes in the genome. One suggestive example concerns the decoupling of cytosolic and mitochondrial ribosomal protein expression post-WGD (Ihmels et al. [2005\)](#page-13-0). Prior to WGD, bakers' yeast was likely similar to other yeasts in having a strong association in the expression of the two types of ribosomal proteins. After WGD, however, cisregulatory element evolution diverged in the two groups of genes (Ihmels et al. [2005\)](#page-13-0), allowing S. cerevisiae to express only cytosolic proteins at high levels during fermentation, an important refinement in a fermentative lifestyle.

Connecting the DBH to large-scale evolutionary changes following WGD, Conant [\(2010](#page-12-0)) and Fusco et al. [\(2010](#page-12-0)) found transcriptional regulatory motifs to be over-retained in ohnologs. Modeling network evolution after WGD, these authors find the network enriched for transcription factors and particular network motifs. Duplicated transcription factors still show some relics of the WGD, being more likely to share targets than are random transcription factors, but on the whole show considerable divergence post-WGD (Conant [2010\)](#page-12-0). Given this rapid regulatory evolution, it may not be easy to ascertain the role of WGD in the evolution of the modern S. cerevisiae regulatory network. Nonetheless, the retention of many transcription factors that have acquired distinct sets of target genes may imply that the WGD served to ''relax'' the regulatory complexity of this organism, which may have implications for its future ability to adapt (as seen for the GAL1/GAL3 example).

15.5 Conclusions

The S. cerevisiae WGD has been implicated in a number of evolutionarily complex events. At a minimum, a set of duplicated genes of identical age is a powerful system for exploring duplicate gene evolution (van Hoof [2005;](#page-15-0) Conant and Wolfe [2006;](#page-12-0) Fares et al. [2006;](#page-12-0) Kim and Yi [2006](#page-13-0)). However, we also suggest that, as with the GAL1/GAL3 example, we will not fully understand the biology of S. cerevisiae until we account for how the WGD has altered both the individual roles of particular genes and their relationships to each other. We have outlined some of the areas of yeast biology that we think were altered by this genome-doubling event: there remain others yet to be discovered. Similarly, the presence of other WGD events, of varying ages, allows us to study how these events unfold over various timescales, including, potentially, on the timescale of laboratory experiments in evolution.

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