

# Inference Based Query Expansion Using User's Real Time Implicit Feedback

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**Abstract.** Query expansion is a commonly used technique to address the problem of short and under-specified search queries in information retrieval. Traditional query expansion frameworks return static results, whereas user's information needs is dynamics in nature. User's search goal, even for the same query, may be different at different instances. This often leads to poor coherence between traditional query expansion and user's search goal resulting poor retrieval performance. In this study, we observe that user's search pattern is influenced by his/her recent searches in many search instances. We further propose a query expansion framework which explores user's real time implicit feedback provided at the time of search to determine user's search context and identify relevant query expansion terms. From extensive experiments, it is evident that the proposed query expansion framework adapts to the changing needs of user's information need.

## 1 Introduction

The task of query expansion [18] is the process of supplementing a search query with additional related terms or phrases to increase the chances of capturing more relevant documents. Traditional query expansion frameworks return static results, whereas user's information needs is dynamics in nature. User's search goal, even for the same query, may be different at different instances. This often leads to poor coherence between traditional query expansion and user's search goal resulting poor retrieval performance. For instance, if the query `jaguar` be expanded as the terms `{auto, car, model, cat, jungle,...}` and user is looking for documents related to `car`, then the expansion terms such as `cat` and `jungle` are not relevant to user's search goal. Therefore, it is important for the query expansion system to support dynamic expansion adapting to the change in user's information needs.

Possibly, the simplest way to determine user's search goal is to ask users for explicit inputs at the time of search. Unfortunately, majority of the users are reluctant to

provide any explicit feedback [5]. The retrieval system has to learn user's preferences automatically without any explicit feedback from the users. Query log is a commonly used resource to determine user's preferences automatically without incurring any extra overhead to the users [12,1,10]. However, such studies are not flexible enough to capture the changing needs of users over time. If we want to model the complete dynamics of user's preferences from query log, we will need an extremely large query log and huge computational resources. Moreover, user may always explore new search areas. This makes the task of modelling user's search dynamics an extremely difficult and expensive problem.

Further, user's information needs at the time of query submission relates to the activities at the time of submitting query. There is a notion of importance for capturing user's activities (at the time of submitting query) and inferring user's information needs in real time. In this paper, we study a framework to expand user's search query dynamically based on user's implicit feedback provided at the time of search. It is evident from the analysis that, in many instances, user's implicit feedback provided at the time of search provides sufficient clues to determine *what user wants*. Just an example, if the query `jaguar` is submitted immediately after the query `national animals`, it is very likely that user is looking for the information related to `animal`. Such a small feedback can provide a very strong clue to determine user's search preferences. This is the main motivation of this paper. The activities which drive a query request to a search engine may include all other Web or non-Web activities such as off-line documents read on Desktop, documents read on printed copies, conversation with a friend, any other Web activities, navigational queries or, information found through query chain etc. However, this paper focuses only on Web activities alone.

The rest of the paper is organized as follows. We first define formal problem statement in Section 2. In Section 3, we then discuss background materials. In Section 4, we present few observations of query log analysis which inspire the proposed framework. In Section 5, we discuss our proposed query expansion framework. Section 7 present experimental observations. The paper concludes in Section 8.

## 2 Problem Statement

Let  $q$  be a query and  $\mathcal{E}^{(q)} = \{f_{q,1}, f_{q,2}, f_{q,3}, \dots\}$  be the set of expansion terms for the query  $q$  returned by a traditional query expansion mechanism. In general, many of these expansion terms are not relevant to user's search goal. Now, the task is to identify the expansion terms in  $\mathcal{E}^{(q)}$  which are relevant to user's search goal by exploiting user's implicit feedback provided by the user at the time of search.

## 3 Background Materials

### 3.1 Notations and Definitions

**Vector Space Model.** We use the vector space model [16] to represent a query or a document. A document  $d$  or a query  $q$  is represented by a *term vector* of the form  $\mathbf{d} = \{w_1^{(d)}, w_2^{(d)}, \dots, w_m^{(d)}\}$  or  $\mathbf{q} = \{w_1^{(q)}, w_2^{(q)}, \dots, w_m^{(q)}\}$ , where  $w_i^{(d)}$  and  $w_i^{(q)}$  are the weights assigned to the  $i^{th}$  element of the set  $d$  and  $q$  respectively.

**Cosine Similarity.** If  $\mathbf{v}_i$  and  $\mathbf{v}_j$  are two arbitrary vectors, we use cosine similarity to define the similarity between the two vectors. Empirically, cosine similarity can be expressed as follows.

$$\text{sim}(\mathbf{v}_i, \mathbf{v}_j) = \frac{\sum_{k=0}^m w_{ik} \cdot w_{jk}}{\sqrt{\sum_{k=0}^m w_{ik}^2} \cdot \sqrt{\sum_{k=0}^m w_{jk}^2}} \quad (1)$$

**Kullback-Leibler Divergence (KLD).** Given two probability distributions  $p_i$  and  $p_j$  of a random variable, the distance between  $p_i$  and  $p_j$  can be defined by Kullback-Leibler divergence as follows.

$$KLD(p_i||p_j) = p_i \cdot \log \left( \frac{p_i}{p_j} \right) \quad (2)$$

**Real Time Implicit Feedback (RTIF).** In this paper, we differentiate two types of implicit feedback; *history* and *active*. The active implicit feedback is the feedback provided by user at the time of search. We also refer to it by *real time implicit feedback* in this paper. A query session has been defined differently in different studies [9,8]. This paper considers the definition discussed in [9] and defines as a sequence of query events submitted by a user within a pre-defined time frame. Any feedback provided before the current query session is considered history.

### 3.2 Background on QE

Global analysis [11,13] is one of the first QE techniques where a thesaurus is built by examining word occurrences and their relationships. It builds a set of statistical term relationships which are then used to select expansion terms. Although, global analysis techniques are relatively robust, it consumes a considerable amount of computational resources to estimate corpus-wide statistics. Local analysis techniques use only few top ranked documents that are retrieved through an initial ranking by the original query. Thus, it focuses only on the given query and its relevant documents (pseudo relevant). A number of studies including the ones in [18,19,2,6] indicate that local analysis is effective, and, in some cases, outperforms global analysis. In the study [21], authors explore query log to determine relevant document terms for a given query term by exploring clickthrough records. Further, ontology based query expansion such as Wikipedia, Wordnet are reported in the studies [22,23]. However, the above studies do not address the problem of poor coherence between expansion terms and user's search goal.

## 4 Few Motivating Observations

### 4.1 Query Log vs Academic Research

After AOL incident in August 2006<sup>1</sup>, no query logs are available publicly (not even for academic research). Obtaining query log from commercial search engines had always

<sup>1</sup> <http://www.nytimes.com/2006/08/09/technology/09aol.html>

**Table 1.** Characteristics of the clicked-through log dataset

Source	Proxy logs
Search Engine	Google
Observation Periods	3 months
# of users	3182
# of query instances	1,810,596
% of clicked queries	53.2%

been a very difficult task for academic research communities. One alternative is to use organizational local proxy logs. From proxy logs, we can extract *in-house* click-through information such as *user’s id, time of search, query, click documents* and *the rank of the clicked documents*.

In this study, we use a large proxy log of three months. We extract the queries submitted by the users to google search engine and users’ clicked responses to the results. Table 1 shows the characteristics of the click-through query log extracted from the three-months long proxy logs. To prove that In-House query log has similar characteristics with that of server side query log, we also analyze AOL query log. The analysis described in this paper is strictly anonymous; data was never used to identify any identity.

**Constructing Query Session.** For every user recorded in query log, we extract sequence of queries submitted by the user. Figure 1 shows a pictorial representation of the procedure to construct query sessions. The upper arrows  $\uparrow$  represent the arrival of query events. Each session is defined by the tuple  $\Gamma = \langle t_{e_{1f}}, uid, E, \delta \rangle$ . Just before the arrival of first query from the user  $u$ , the first query session has an empty record i.e.,  $\Gamma = \langle \phi, u, \phi, \delta \rangle$ . When user  $u$  submits his/her first query  $q$ ,  $\Gamma$  is updated as  $\Gamma = \langle t_{e_{1f}}, u, E, \delta \rangle$ , where  $E = \{e_1\}$ ,  $e_1 = \langle t_{e_{1f}}, t_{e_{1l}}, q_1, \phi \rangle$ ,  $q_1 = q$  and  $t_{e_{1f}} = t_{e_{1l}}$ . The down arrows  $\downarrow$  in the Figure 1 represent the clicked events. As user clicks on the results for the query  $q_1$ ,  $e_1$  gets updated as  $e_1 = \langle t_{e_{1f}}, t_{e_{1l}}, q_1, \mathcal{D}^{(q_1)} \rangle$  where  $\mathcal{D}^{(q_1)}$  is the set of clicked documents and  $t_{e_{1l}}$  is the time of the last click.

When the second query  $q$  is submitted by the user  $u$ , it forms the second event  $e_2 = \langle t_{e_{2f}}, t_{e_{2l}}, q_2, \phi \rangle$ , where  $q_2 = q$  and  $t_{e_{2f}} = t_{e_{2l}}$ . If  $t_{e_{2f}} - t_{e_{1l}} \leq \delta$ , then  $e_2$  is inserted into  $\Gamma$  and  $E$  is updated as  $E = \{e_1, e_2\}$ . If  $t_{e_{2f}} - t_{e_{1l}} > \delta$ , then  $e_2$  can not be fitted in current query session  $\Gamma$ . In such a case,  $e_2$  generates a new query session with  $e_2$  as its first event i.e.,  $e_2$  becomes  $e_1$  and  $E = \{e_1\}$  in the new query session. We, then, shift the current session  $\Gamma$  to the newly formed session. In this way, we scan the entire query sequence submitted by the user  $u$  and generate the query sessions.

## 4.2 Exploring Recent Queries

To form the basis of the proposed framework, we analyze the similarity of the user’s search patterns during a short period of time defined by a query session. The average similarity between queries submitted during a query session (defined by  $\delta = 30min$ ) is estimated using cosine similarity defined in Equation (1). Figure 2.(a) shows that almost 55% of the consecutive queries have non-zero similarity (58% for AOL).

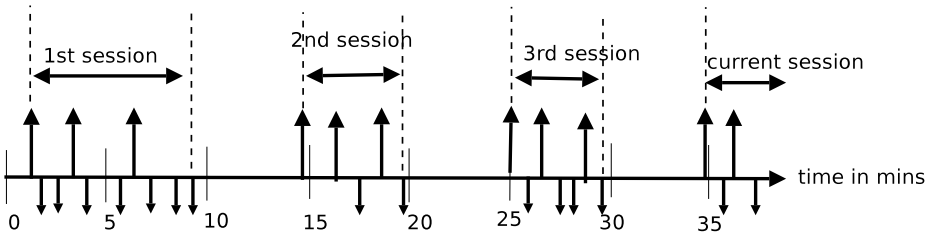


Fig. 1. Pictorial representation of the query sessions

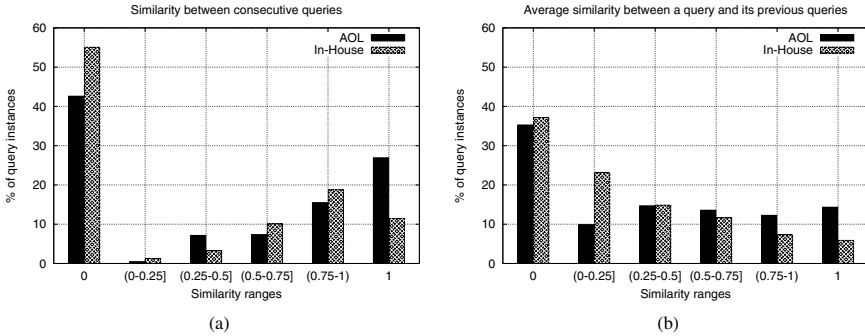


Fig. 2. Similarity between the queries in a query session

Further in Figure 2.(b), we report the average similarity between a query and its previous queries in a session. Almost 65% of the queries have similarity larger than 0. It suggests that majority of the queries in a session share common search context. Further, two queries with similar search context may have similarity 0. For example, the queries madagascar and die hard 2. Although, both the queries means movies, their similarity is 0. Therefore, the plots in Figure 2 represent the lower bound.

Remarks : The above observations show that, in many instances, queries in a session often share common search context. This motivates us to explore user’s real time implicit feedback to determine user’s search context.

## 5 Proposed QE Framework

To realize the effect of real time implicit feedback on query expansion, we systematically build a framework as shown in Figure 3. It has five major components.

1. Baseline retrieval systems. It retrieves a set of documents which are relevant with user’s query and provides the top most  $R$  relevant documents to query expansion unit.
2. Baseline query expansion. Using the documents provided by the IR system, it determines a list of expansion terms which are related to the query submitted by the user. In this study, we use a KLD (see Equation 2) based QE as discussed in [3] as baseline QE (Algorithm 1).

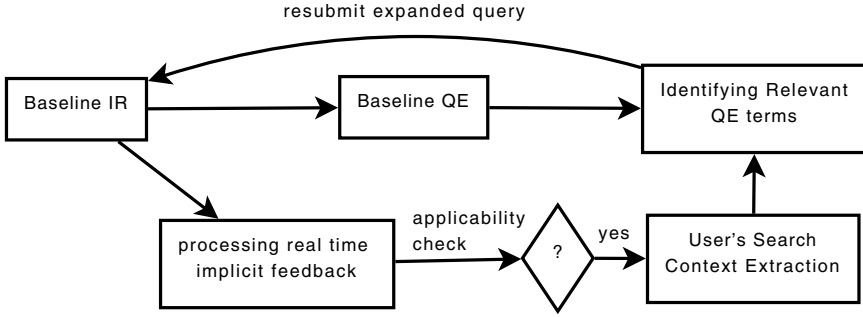


Fig. 3. Proposed framework

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**Algorithm 1.** Conventional QE through local analysis

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- 1: run original query  $q$  and retrieve relevant documents
  - 2: select top  $n$  documents as local set  $R$
  - 3: extracted all terms  $t$  from local set  $R$
  - 4: **for all** terms  $t \in R$  **do**
  - 5:     calculate KLD
  - 6: **end for**
  - 7: rank terms  $t$  based on their KLD weight
  - 8: add top  $|E|$  terms to original query  $q$
  - 9: run expanded query  $q$  and rank documents using PL2
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3. Processing real time implicit feedback. It constructs query session using the procedure discussed in Section 4.1.
4. Applicability Check. Some query session may not have enough evidences of sharing common search context. This unit verifies whether the newly submitted query shares common search context with that of the other queries in the session.
5. Determining Search context. It determines user’s search context by exploiting the implicit feedback provided by the users in the current query session. It then identifies the relevant expansion terms.

**5.1 Determining User’s Search Context**

Let  $\Gamma = \langle t_{e_{1f}}, u, E, \delta \rangle$  be the current query session as defined in Session 4.1, where  $E$  is the sequence of  $n$  query events. Let  $\mathcal{Q}^{(\Gamma)}$  and  $\mathcal{D}^{(\Gamma)}$  be the set of queries and visited documents respectively present in  $E$ . Let  $q_{n+1}$  be a new query submitted by the user  $u$  and  $\mathcal{E}^{(q_{n+1})} = \{f_{q_{n+1},1}, f_{q_{n+1},2}, f_{q_{n+1},3}, \dots\}$  be the set of expansion terms extracted using Algorithm 1 for the query  $q_{n+1}$ . Now the task is to identify relevant terms with that of user’s search goal.

**Common Query Terms.** It exploits the list of previous queries  $\mathcal{Q}^{(\Gamma)}$  submitted by the user in the current query session  $\Gamma$  and determines the popular query terms using a function  $qf(f, \mathcal{Q}^{(\Gamma)})$  which is the number of queries in  $\mathcal{Q}^{(\Gamma)}$  containing the term  $f$ . We consider a term  $f$  popular if its frequency is greater than a threshold i.e.,  $qf(f, \mathcal{Q}^{(\Gamma)}) \geq \Theta_Q$ . In this study, majority of the query sessions are short and the term frequencies are small. Therefore, we set threshold to  $\Theta_Q = 1$ .

**Common Document Terms.** Intuitively a popular term among the documents in  $\mathcal{D}^{(T)}$  can also represent user's search context. However, such a term should not only be a good representative term of  $\mathcal{D}^{(T)}$ , but also be closely associated with the query. As done in local analysis based query expansion, KLD is a good measure to extract informative terms from  $\mathcal{D}^{(T)}$ . We estimate association between a query and a term using a density based score function  $DBTA(q_{n+1}, f)$  defined in study [14]. It defines association between two terms  $DBTA(f_i, f_j)$ . However,  $q_{n+1}$  may have more than one term. To estimate association between a query and a term, we use a simple average function as follows:

$$DBTA(q_{n+1}, f) = \frac{1}{|q_{n+1}|} \sum_{f_i \in q_{n+1}} DBTA(f_i, f) \quad (3)$$

where  $|q_{n+1}|$  is the number of terms in  $q_{n+1}$ .

Harmonic mean [17] is a popular measure to merge the goodness of two estimators. Therefore, the values of KLD and DBTA are combined using harmonic mean between the two. However, the two values are at different scales: KLD scales between  $-\infty$  to  $+\infty$  and DBTA scales between 0 to 1. To make the two estimators coherent to each other, the estimators are further normalized to the scale of 0 and 1 using the following equation.

$$\text{normalize}(g) = \frac{g - \min_g}{\max_g - \min_g} \quad (4)$$

where  $g$  is an arbitrary function. Now, the harmonic mean score between the two can be defined as follows:

$$\text{score}^{\mathcal{P}^{(D)}}(f) = \frac{2 \cdot KLD^{(\mathcal{D}^{(T)})}(f) \cdot DBTA(q_{n+1}, f)}{KLD^{(\mathcal{D}^{(T)})}(f) + DBTA(q_{n+1}, f)} \quad (5)$$

If an expansion terms  $f \in \mathcal{E}^{(q_{n+1})}$  has a score greater than a threshold  $\Theta_{\mathcal{P}^{(D)}}$  i.e.,  $\text{score}^{\mathcal{P}^{(D)}}(f) \geq \Theta_{\mathcal{P}^{(D)}}$ , then the term  $f$  is selected. In this study, the threshold value is set to an arbitrary value 0.5. It is because intuitively the normalized average may cover the upper half of the term collections.

**Expansion Terms of Previous Queries.** Let  $e_i = \langle t_{e_{if}}, t_{e_{ii}}, q_i, \mathcal{D}_c^{(q_i)} \rangle$  be a query event in  $E$ , where  $i \neq n + 1$  and  $\mathcal{E}^{(q_i)}$  be the expansion terms of the query  $q_i$ . If an expansion term  $f \in \mathcal{E}^{(q_i)}$  is also present in any document  $d \in \mathcal{D}_c^{(q_i)}$ , then it is selected. The set of such terms is denoted by  $\mathcal{P}_i^{(\mathcal{E})}$  and is formally defined as follows:

$$\mathcal{P}_i^{(\mathcal{E})} = \{f | f \in \mathcal{E}^{(q_i)} \text{ and } \exists d \in \mathcal{D}_c^{(q_i)} \text{ s.t. } f \in d\} \quad (6)$$

We assume that the visited documents against a query are relevant to user's information need of that query. Therefore, this set represents the set of expansion terms of previous queries in the same query session which are actually relevant to user's search goal. For all the queries in  $\mathcal{Q}^{(T)}$ , Equation (6) is repeated and all  $\mathcal{P}_i^{(\mathcal{E})}$  are merged i.e.,  $\mathcal{P}^{(\mathcal{E})} = \cup \mathcal{P}_i^{(\mathcal{E})}$ . An expansion term  $f \in \mathcal{E}^{(q_{n+1})}$  is assumed to be relevant to user's current search context, if  $f \in \mathcal{P}^{(\mathcal{E})}$ .

**Synonyms of Query Terms.** There are publicly available tools like Wordnet<sup>2</sup>, WordWeb<sup>3</sup> which can provide synonyms of a given term. Such expert knowledge can be used effectively to select the expansion terms.

Let  $\mathcal{P}^{(S)}$  be the list of synonyms<sup>4</sup> for all the query terms in  $\mathcal{Q}^{(I)}$  extracted using Wordnet. If an expansion terms  $f \in \mathcal{E}^{(q_{n+1})}$  has a score greater than a threshold  $\Theta_{dbta}$  i.e.,  $\text{score}^{\mathcal{P}^{(S)}}(f) \geq \Theta_{dbta}$ , then the term  $f$  is considered to be relevant to user's search goal.

$$\text{score}^{\mathcal{P}^{(S)}}(f) = \begin{cases} DBTA(f, f'), & \text{if } f \in \mathcal{P}^{(S)} \text{ and} \\ & \exists f' \in \mathcal{P}^{(E)} \text{ s.t.} \\ & DBTA(f, f') \geq \Theta_{dbta} \\ 0, & \text{Otherwise} \end{cases} \quad (7)$$

In this study, the threshold  $\Theta_{dbta}$  is set to an arbitrary value i.e., the average value of  $DBTA(f, f')$  over the corpus. However, more sophisticated procedure to set threshold value will be to study the distribution of positive and negative associations.

**Category Specific Terms.** Another important information that can be extracted from implicit feedback is dominant class labels in  $\mathcal{D}^{(I)}$ . The relevant expansion terms should have close association with the dominant class labels. In the study [15], the authors studied a measure known as *within class popularity* and it is observed that WCP provides better association as compared to other estimators such as *mutual information*, *chi-square* [20]. In this study, we use the same measure WCP to estimate association between a term and class. If  $C$  be the set of global class labels and  $C^{(I)}$  be the set of dominant class labels of the current query session  $I$ . We select a term  $f \in \mathcal{E}^{(q_{n+1})}$  if  $\exists c \in C^{(I)}$  such that

$$c = \max_{\forall c_i \in C} \{wcp(f, c_i)\} \quad (8)$$

**Mining More Context Terms.** Let  $\mathcal{E}_{rtif}^{(q_{n+1})}$  be the set of relevant expansion terms thus obtained from the above sections. Still there may be terms in  $\mathcal{E}^{(q_{n+1})}$  which are not included in  $\mathcal{E}_{rtif}^{(q_{n+1})}$ , but closely related to some terms in  $\mathcal{E}_{rtif}^{(q_{n+1})}$ . Intuitively, such missing terms are also related to the context of user's search goal. Therefore, we further determine missing terms as follows:

- for all terms  $t \in \mathcal{E}^{(q_{n+1})}$  and  $t \notin \mathcal{E}_{rtif}^{(q_{n+1})}$ : if  $\exists t' \in \mathcal{E}_{rtif}^{(q_{n+1})}$  s.t.  $DBTA(t, t') > \Theta_{dbta}$ , then insert the term  $t$  in  $\mathcal{E}_{rtif}^{(q_{n+1})}$ .

Now, we consider the terms in  $\mathcal{E}_{rtif}^{(q_{n+1})}$  as the expansion terms related to the context of user's search goal.

<sup>2</sup> <http://wordnet.princeton.edu>

<sup>3</sup> <http://wordweb.info/free/>

<sup>4</sup> We apply the Wordnet command `wn auto synsn` to get list of synonyms. We pass the output of this command to a script. This script processes the output and returns the list of synonyms.



## 5.2 Applicability Check

The above procedures to identify relevant expansion terms will return good results if the newly submitted query  $q_{n+1}$  indeed has the same search preference as that of other queries in  $E$ . But this condition is not always true. In some query sessions, there may not be enough evidences of having common search context.

Therefore, it is important to perform an applicability check before applying the above procedures. For every newly submitted query  $q_{n+1}$ , we perform an applicability check. We estimate average cosine similarity among the expanded terms of all queries in the session. If the average similarity of a current session is above a user-defined threshold  $\Theta_{sim}$ , then it is assumed that the queries in the current query session share common search context.

## 6 Evaluation Methodology

To evaluate the proposed framework we define three metrics – (i) *quality*: to measure the quality of the expansion terms, (ii) *precision@k*: to measure retrieval effectiveness and (iii) *dynamics*: to measure the capability of adapting to the changing needs of the user.

The best evidence to verify the quality of the expanded terms or retrieval effectiveness of a system is to cross check with the documents actually visited by the user for the subjected query. Let  $q$  be an arbitrary query and  $\mathcal{D}_c^{(q)}$  be the set of documents actually visited by the user for  $q$ . Now, given an IR system and a query expansion system, let  $\mathcal{E}^{(q)}$  be the set of expansion terms for the query  $q$ . Then, the quality of the expansion terms is defined as follows:

$$quality = \frac{|\rho(\mathcal{E}^{(q)}, \mathcal{D}_c^{(q)})|}{|\mathcal{E}^{(q)}|} \quad (9)$$

where  $\rho(\mathcal{E}^{(q)}, \mathcal{D}_c^{(q)})$  is the matching terms between  $\mathcal{E}^{(q)}$  and  $\mathcal{D}_c^{(q)}$  i.e.,

$$\rho(\mathcal{E}^{(q)}, \mathcal{D}_c^{(q)}) = \{f | f \in \mathcal{E}^{(q)}, \exists d \in \mathcal{D}_c^{(q)} \text{ s.t. } f \in d\}$$

Let  $\mathcal{D}_n^{(q)}$  be the set of top  $n$  documents retrieved by the IR system. To define retrieval effectiveness, we determine the number of documents in  $\mathcal{D}_n^{(q)}$  which are closely related to the documents in  $\mathcal{D}_c^{(q)}$ . We use cosine similarity (see Equation (1)) to define the closeness between two documents. Let  $\mathcal{D}_r^{(q)}$  be a set of documents in  $\mathcal{D}_n^{(q)}$  for which the cosine similarity with at least one of the document in  $\mathcal{D}_c^{(q)}$  is above a threshold  $\Theta_{sim}$  i.e.,

$$\mathcal{D}_r^{(q)} = \{d_i | d_i \in \mathcal{D}_n^{(q)}, \exists d_j \in \mathcal{D}_c^{(q)} \text{ s.t. } sim(d_i, d_j) \geq \Theta_{sim}\}$$

In this study we define  $\mathcal{D}_r^{(q)}$  with the threshold value  $\Theta_{sim} = 0.375$ . In our dataset, the majority of the co-click documents have cosine similarity in the range of [0.25,5). We have considered the middle point as the threshold value. Now we use the *precision@k* to measure the retrieval effectiveness and define it as follows:

$$precision@k = \frac{|\mathcal{D}_r^{(q)}|}{k} \quad (10)$$

Last we define the dynamics in query expansion. For a query, the system is expected to return different expansion terms for different search goals. Let  $\mathcal{E}_i^{(q)}$  and  $\mathcal{E}_j^{(q)}$  be the set of expansion terms for a query  $q$  at two different instances  $i$  and  $j$ . Then we define the dynamics between the two instances as follows:

$$\delta^{(q)}(i, j) = 1 - \text{sim}(\mathcal{E}_i^{(q)}, \mathcal{E}_j^{(q)}) \quad (11)$$

If there are  $n$  instances of the query  $q$  then we estimate the average dynamics as follows

$$E(\delta^{(q)}(i, j)) = \frac{n(n-1)}{2} \sum_{i \neq j} \delta^{(q)}(i, j) \quad (12)$$

Now, we are interested to investigate two forms of dynamics among the instances with – (i) same goal and (ii) different goals. We expect that a QE system which can adapt to the changing needs of the user should have low value for former case and high value for latter case.

## 7 Performance of the Proposed Framework

We build two baseline retrieval systems (i) an IR system which indexes around 1.6 million documents using PL2 normalization [7], denoted by *LIR*, and (ii) a meta-search interface which receives queries from the users and submit it to Google search engine, denoted by *GIR*. On top of these systems, we have incorporated the proposed framework.

To verify the performance of the proposed framework, we have used the In-House query log discussed in Section 4.1. We have extracted few experimental queries and their corresponding click-through information from this query log. First we discuss the procedure to extract our experimental queries.

### 7.1 Experimental Queries

A total of 35 queries are selected to conduct the experiments. All these queries are extracted from the In-House query log. Top most popular *non-navigational* queries [4] of length 1 and 2 words are selected. The *entropy* is a commonly used measure to analyse a probability distribution of a random variable. In this study, we also use an entropy based measure to study the distribution of the visited documents and identify navigational queries.

Let  $\mathcal{D}_c^{(q,u)}$  be the set documents visited by a user  $u$  for the query  $q$  in the entire query log. Then, we define an entropy of the query  $q$  for the user  $u$  as follows:

$$H(q) = - \sum_{d_i \in \mathcal{D}_c^{(q,u)}} Pr(d_i|q, u) \cdot \log Pr(d_i|q, u) \quad (13)$$

where  $Pr(d_i|q, u)$  is the conditional probability that user  $u$  visits the document  $d_i$  given the query  $q$ . If  $H(q)$  is very closed to zero, the query  $q$  is considered as a navigation query.

**Table 2.** List of the 35 queries. # $\Gamma$  indicates number of query sessions for each query and # $\mathcal{Z}$  indicates the number different search context.

query	# $\Gamma$	# $\mathcal{Z}$	query	# $\Gamma$	# $\mathcal{Z}$	query	# $\Gamma$	# $\mathcal{Z}$	query	# $\Gamma$	# $\mathcal{Z}$
blast	15	1	books	18	4	chennai	18	3	coupling	10	2
crunchy munch	38	1	indian	14	2	games	59	1	jaguar	3	2
kate winslet	23	2	mallu	38	1	milk	15	2	namitha	22	1
nick	20	1	rahaman	2	1	passport	38	2	roadies	10	1
statics	36	4	times	5	2	science	16	2	scholar	16	3
simulation	3	1	smile pink	2	1	tutorial	11	6	reader	11	3
ticket	38	3	crank	10	1	engineering village	12	1	maps	15	4
nature	28	2	reshma	15	1	savita	2	1	dragger	11	2
sigma	11	2	spy cam	10	1	java	17	2			

Table 2 shows the list of 35 selected queries. This table also shows the number of query sessions for each of the individual queries and denoted by "#". A total of 612 query sessions are found for these 35 queries. A query may have different search goals at different times. We manually verify and mark all these 612 instances. While verifying we broadly differentiate the goals (e.g. "java programming" and "java island" are two different goals, however "java swing" and "core java" have same goal). Table 2 also shows the number of different search goals for individual query (denoted by "# $\mathcal{Z}$ "). It shows that 20 out of 35 (i.e., 57.1%) queries have varying search preferences at different times.

## 7.2 Quality of Expansion Terms

We examine top 20 expansion terms of all 35 queries. If an expansion term predicted by a system is found in corresponding visited document, then, we assume that the term is indeed relevant to the search preference. Table 3 shows the average *quality* of the expansion terms over all 35 queries. There is a significant improvement in quality. On an average there is an improvement from 0.287 to 0.536 (86.7% improvement) on local IR system. For the Google meta search, there is an improvement of 70.8% from 0.329 to 0.562.

**Table 3.** Average quality of the top 20 expansion terms over 35 queries given in Table 2

Baseline		Proposed	
LIR	GIR	LIR	GIR
0.287	0.329	0.536(+86.7%)	0.562(+70.8%)

## 7.3 Retrieval Effectiveness

Now, we compare the retrieval effectiveness of the proposed expansion mechanism with the baseline expansion mechanism. We use the precision at  $k$  measure (defined in Equation (10)) to estimate retrieval effectiveness. In Table 4, we compare the retrieval performance of the baseline system and the proposed system in terms of the average of

**Table 4.** Precision@ $k$  returned by different systems using top 20 expansion terms

top $k$	Baseline		Proposed	
	LIR	GIR	LIR	GIR
10	0.221	0.462	0.749	0.763
20	0.157	0.373	0.679	0.710
30	0.113	0.210	0.592	0.652
40	0.082	0.153	0.472	0.594
50	0.052	0.127	0.407	0.551

the precision at  $k$  for all 612 query instances. If a query has no visited documents, we simply ignore them. Note that, the set of visited documents  $\mathcal{D}_c^{(q)}$  is obtained from the query log whereas the set  $\mathcal{D}_n^{(q)}$  is obtained from the experimental retrieval system after simulating the query sequence. Table 4 clearly shows that our proposed framework outperforms the baseline systems for both the local IR system and Google results.

#### 7.4 Component Wise Effectiveness

In the section 5.1, we define different components that contribute to the expansion terms. In this section, we study the effect of each component separately. Table 5 shows the quality of the expansion terms returned by each component (considering the top 20 expansion terms). In the table,  $P^{(\mathcal{Q})}$  denotes set of expansion terms based on query terms (Section 5.1),  $P^{(\mathcal{D})}$  denotes the document terms (Section 5.1),  $P^{(\mathcal{E})}$  denotes combine expansion terms of previously submitted queries (Section 5.1),  $P^{(\mathcal{S}^R)}$  denotes word sense (Section 5.1) and  $P^{(\mathcal{C})}$  denotes class specific terms (Section 5.1). We observe that expansion terms extracted using  $P^{(\mathcal{D})}$  and  $P^{(\mathcal{E})}$  contribute the most. This observation is true for both the local retrieval system and Google results. The summation of the percentages in each row is more than 100%. It is because, there are overlapping terms among the components.

**Table 5.** Average quality of individual components over 35 queries given in Table 2

	$P^{(\mathcal{Q})}$	$P^{(\mathcal{D})}$	$P^{(\mathcal{E})}$	$P^{(\mathcal{S}^R)}$	$P^{(\mathcal{C})}$
LIR	8.3%	39.8%	37.9%	4.6%	12.1%
GIR	8.8%	43.3%	39.2%	6.9%	8.4%

#### 7.5 Retrieval Efficiency

Though the proposed framework provides better retrieval effectiveness, it has an inherent efficiency problem. Apart from the time required for query expansion (Algorithm 1), the proposed framework needs computational time for determining context for user’s search goal. Table 6 shows the efficiency of different retrieval systems. It clearly shows that the proposed framework has poor efficiency. It can be noted that the computational overhead is an order of magnitude higher than that of general expansion and without expansion.

**Table 6.** Average retrieval efficiency of different expansion system in seconds

Baseline IR		Baseline QE		Proposed QE	
LIR	GIR	LIR	GIR	LIR	GIR
1.028	0.731	3.961	3.205	14.518	14.149

However, the focus of this paper is to prove that queries can be expanded dynamically by exploiting the real time implicit feedback provided by the users at the time of search. It is obvious that there will be additional computational overhead to process the expansion in real time. The implementation of the experimental systems are not optimal. Though the computational overhead reported in Table 6 is high, with efficient programming and hardware supports we believe that the overhead can be reduced to reasonable level.

## 7.6 Dynamics: Adapting to the Changing Needs

Table 7 shows the average of the average dynamics of different systems over the entire experimental queries. It clearly shows that the baseline system has a dynamics of zero in all cases. It indicates that baseline systems always return the same expansion terms irrespective of user’s search goal. Whereas the proposed framework has a small dynamics among the instances of the same query with same goal and high dynamics among the query instances of the same query with different goals. It indicates that the proposed framework is able to adapt to the changing needs of the users and generate expansion terms dynamically.

**Table 7.** Average of average dynamics over the entire experimental queries

	Baseline QE		Proposed QE	
Goal	LIR	GIR	LIR	GIR
Same	0	0	0.304	0.294
Different	0	0	0.752	0.749

## 8 Conclusions

In this paper, we explore user’s real time implicit feedback to analyse user’s search pattern during a short period of time. From the analysis of user’s click-through query log, we observe two important search patterns – user’s information need is often influence by his/her recent searches and user’s searches over a short period of time often confine to 1 or 2 categories. In many cases, the implicit feedback provided by the user at the time of search have enough clues of what user wants. We explore query expansion to show that the information submitted at the time of search can be used effectively to enhance search retrieval performance. We proposed a query expansion framework, which explores recently submitted query space. From various experiments, we observed that the proposed framework provides better relevant terms compared to the baseline query expansion mechanisms. Most importantly, it can dynamically adapt to the changing needs of the user.

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## Appendix

In this section, we discuss the classification framework that we use for labelling visited documents. Like in Section 5.1, we use the same seed based classification and WCP feature selector as proposed by [15]. We briefly discussed the framework as follows.

Let  $F$  be the set of terms in the subjected document collection. Each group of documents belonging to a class  $c_i$  is represented by a term vector  $\mathbf{c}_i$  known as *seed* vector defined over  $F$ . The seed vector  $\mathbf{c}_i$  is assumed to be the best term vector which can differentiate the documents belonging to  $c_i$  from the documents belonging to other categories. Each element in  $\mathbf{c}_i$  has a weight defined by  $wcp(f, c_i)$ . Given a test example  $d$  defined over  $F$ ,  $d$  is classified by the following function.

$$\text{classify}(d) = \arg \max_{c_i} \{ \text{cosine}(\mathbf{d}, \mathbf{c}_i) \} \quad (14)$$

where  $\text{cosine}(\mathbf{d}, \mathbf{c}_i)$  is the cosine similarity between document  $d$  and the seed vector of the class  $c_i$ .