# Complexity Analysis of the Backward Coverability Algorithm for VASS

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**Abstract.** By using the known lower and upper complexity bounds of the coverability problem for VASS, we characterize the complexity of the classical backward algorithm for VASS coverability, and provide optimal bounds on the size of the symbolic representation it computes.

### 1 Introduction

In [3, 4, 15, 10] checking safety properties for concurrent systems like multithreaded programs, communication protocols, or asynchronous programs is reduced to the coverability problem of VASS (Vector Addition System with States), turning it into a central problem in verification of concurrent systems. Given a VASS *G* and two configurations  $s_0$  and  $s_f$ , the *coverability problem* asks whether  $s_f$  is coverable from  $s_0$ , i.e. there is a computation in *G* starting at  $s_0$  and leading to a configuration *s* which *covers*  $s_f$ ; that is, *s* and  $s_f$  are in the same control state and the counters of *s* are pointwise greater or equal than those of  $s_f$  (this is noted  $s_f \leq s$ ). The complexity of the coverability problem, which is complete for EXPSPACE, was settled in the late 70's (Lipton [13] for the lower bound and Rackoff [14] for the matching upper bound). However, rather surprisingly, the complexity analysis of the algorithms that have been implemented to solve the coverability problem have received little or no attention.<sup>1</sup>

In this work, we propose to characterize the complexity of the so-called *backward algorithm* which has been implemented in several tools and whose definition can be attributed to [1, 9] and to some extent [2]. Given a VASS *G* and a target configuration  $s_f$ , the backward algorithm iteratively computes the configurations from which  $s_f$  is coverable in 0 steps, 1 step, ... until the set of configurations is saturated. More precisely, the algorithm symbolically computes an increasing (w.r.t set inclusion) sequence of sets of configurations starting from the set of configurations which cover  $s_f$ . Let us call each element of the computed sequence an *iterate* which is given by a set of configurations closed by above for  $\trianglelefteq$ . Since such upward closed sets are infinite, each iterate is finitely represented and manipulated by its *basis*, that is the *finite* set of its *minimal elements* (w.r.t  $\trianglelefteq$ ). First, let us recall that the minimal elements yields a decidable, finite, and

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<sup>&</sup>lt;sup>1</sup> As far as we know, no implementation of Rackoff's algorithm exists.

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canonical representation of each iterate, and second, because  $\leq$  is a well-quasi ordering on the set of configurations, it follows that the algorithm is guaranteed to reach a fixpoint  $B(G,s_f)$  after finitely many steps. Since  $B(G,s_f)$  is the basis of the set of configurations from which  $s_f$  is coverable in G, we obtain a decision procedure for the coverability problem:  $(G,s_0,s_f)$  is a positive instance of the coverability problem iff  $s_{min} \leq s_0$  for some  $s_{min} \in B(G,s_f)$ . Note that  $B(G,s_f)$  can be used to solve other coverability related problems such as checking whether from each G-configuration,  $s_f$  is coverable.

*Our contribution.* In this paper, we show that the "backward algorithm" is optimal to solve the coverability problem. Using Rackoff's and Lipton's results [14, 13], respectively, we give upper and lower bounds on the number of iterations of the backward algorithm as well as its execution time. Moreover, our complexity analysis allows us to derive upper bounds on the cardinality of  $B(G, s_f)$  and the maximal size of the single elements of  $B(G, s_f)$ , which are doubly exponential in the dimension of G (the number of counters). Furthermore, we provide matching lower bounds by a readaptation of the Lipton's proof [13].

Besides the backward algorithm, VASS analysis tools often implement a *forward algorithm* whose definition is due to Karp and Miller [12]. The forward algorithm returns a finite representation (the *covering set*) of an overapproximation (the *coverability set*) of the set of configurations reachable from the given initial configuration  $s_0$ . Such an overapproximation is sound and also complete for certain problems like the coverability problem. By using the covering set, one can solve, for instance, the coverability problem (by asking whether the target configuration  $s_f$  belongs to the coverability set) but also the boundedness problem which asks whether the set of reachable configurations from the given initial configuration is finite. From a complexity standpoint, it is mentioned in [7] that the algorithm of Karp and Miller requires non-primitive recursive space. Let us also cite [8] which gives a more refined complexity analysis of the forward algorithm.

*Related work.* The closest works to our are [17] which provide an upper bound on the size of  $B(G, s_f)$ . However, the algorithm to compute  $B(G, s_f)$  (originally given in [16]) differs from the backward algorithm and does not yield any conclusion about the complexity of the backward algorithm. Moreover, contrary to us the authors do not provide lower bounds on the size of  $B(G, s_f)$ .<sup>2</sup>

### 2 Preliminaries

#### 2.1 Notations and Definitions

Let  $\mathbb{Z}$  be the set of integers,  $\mathbb{N}$  be the set of nonnegative integers, and  $\mathbb{N}^+$  be the set of positive integers. For each  $k \in \mathbb{N}^+$  and vector  $v \in \mathbb{Z}^k$ , v[i] denotes the *i*th component of v, for  $i \in \{1, ..., k\}$ . If  $v_1, v_2 \in \mathbb{Z}^k$ , then  $v_1 + v_2$  denotes that vector  $v \in \mathbb{Z}^k$  such that  $v[i] = v_1[i] + v_2[i]$  for all  $i \in \{1, ..., k\}$ ;  $v_1 - v_2$  is defined similarly. Let  $v \in \mathbb{Z}^k$ , define  $||v|| = \max(\{abs(v[i]) \mid i \in \{1, ..., k\}\})$ , where abs(v[i]) is the absolute value of v[i]. Finally, for a finite set Q, |Q| denotes the cardinality of Q.

<sup>&</sup>lt;sup>2</sup> Similarly to Rackoff's algorithm we do not know of any implementation of the algorithm of [16].

#### 2.2 Well-Quasi Orderings

Recall that for a set *S*, a *partial order*  $\leq$  over *S* is a reflexive, transitive and antisymmetric binary relation on *S*. We say that  $\leq$  is a *well-quasi ordering* (wqo, for short) if additionally, for each infinite sequence  $s_0, s_1, \ldots$  of elements of *S* there are indices i < j such that  $s_i \leq s_j$ . Given a partial order  $\leq$  over *S*, a subset *U* of *S* is *upward-closed* (w.r.t.  $\leq$ ) if for all  $s, s' \in S$ ,  $s \in U$  and  $s \leq s'$  entail  $s' \in U$ . A *basis* of *U* (w.r.t.  $\leq$ ) is a subset *B* of *U* satisfying the following: (1) for each  $s \in U$ , there is  $s' \in B$  such that  $s' \leq s$ , and (2) for all  $s, s' \in B$ ,  $s \leq s'$  implies s = s' (i.e., distinct elements of *B* are incomparable w.r.t.  $\leq$ ). The following is a well-known result.

**Lemma 1.** [11] Let S be a set and  $\leq$  be a partial order over S which is wqo. Then, each upward-closed subset U of S (w.r.t.  $\leq$ ) admits a unique basis, which is finite and consists of the minimal elements of U (w.r.t.  $\leq$ ). Moreover, for each monotone infinite sequence of upward-closed sets  $U_0 \subseteq U_1 \subseteq ...$ , there is  $i \geq 0$  such that  $U_{i+1} = U_i$ .

Let  $k \in \mathbb{N}^+$ . We consider the partial order over  $\mathbb{N}^k$ , written  $\trianglelefteq$ , which is the componentwise extension of  $\leq$  over  $\mathbb{N}$ : let  $v, v' \in \mathbb{N}^k$ ,  $v \trianglelefteq v'$  iff  $v[i] \leq v'[i]$  for each  $1 \leq i \leq k$ . Moreover, for a *finite* set Q, we consider the partial order over  $Q \times \mathbb{N}^k$ , which (with a little abuse of notation) is again denoted by  $\trianglelefteq$ , defined as:  $\langle q, v \rangle \trianglelefteq \langle q', v' \rangle$  iff q = q'and  $v \trianglelefteq v'$ . It is well-known that  $\trianglelefteq$  is a wqo over  $\mathbb{N}^k$  (this result is known as Dickson's Lemma [5]). Hence, it easily follows that  $\trianglelefteq$  is a wqo over  $Q \times \mathbb{N}^k$ , for each *finite* set Q. For  $s \in Q \times \mathbb{N}^k$ , we denote by  $s\uparrow$  the upward-closed set given by  $\{s' \in Q \times \mathbb{N}^k \mid s \trianglelefteq s'\}$ . In the rest of this paper, if we say that some set  $U \subseteq Q \times \mathbb{N}^k$  is upward-closed, we mean that U is upward-closed set w.r.t.  $\trianglelefteq$ . For  $X \subseteq Q \times \mathbb{N}^k$ , min(X) denotes the set of minimal elements in X (w.r.t.  $\trianglelefteq$ ). Note that according to Lemma 1, min(X) is the unique (finite) basis of X if X is upward-closed.

#### 2.3 Vector Addition Systems with States (VASS)

Let  $d \in \mathbb{N}^+$ . A *d*-vass *G* is a pair  $\langle Q, \Delta \rangle$ , where *Q* is a non-empty *finite* set of *control points* and  $\Delta \subseteq Q \times \mathbb{Z}^d \times Q$  is a *finite* set of transitions in  $Q \times \mathbb{Z}^d \times Q$ . The *d*-vass *G* induces an infinite directed graph  $[[G]] = \langle Q \times \mathbb{N}^d, \rightarrow \rangle$  whose set of vertices is given by  $Q \times \mathbb{N}^d$  and the set of edges is defined as:  $\langle q, v \rangle \rightarrow \langle q', v' \rangle$  iff there is  $\langle q, u, q' \rangle \in \Delta$  such that v' = v + u. Vertices of [[G]] are called *G*-states or simply states when *G* is clear from the context. A *run*  $\pi = s_1, \ldots, s_n$  of *G* is a finite path in the graph [[G]]. The length  $|\pi|$  of  $\pi$  is *n*. We define  $||\Delta|| = \max(\{||v|| \mid \langle q, v, q' \rangle \in \Delta\})$ . Moreover, for a state  $s = \langle q, v \rangle$  and a *finite* set *S* of states, define ||s|| = ||v|| and  $||S|| = \max(\{||s|| \mid s \in S\})$ .

For each set *S* of *G*-states,  $Pre^*(G, S)$  denotes the set of *G*-states *s* such that there is a run of *G* from *s* to some state in *S*. Moreover, Pre(G,S) denotes the set of *G*-states *s* such that  $s \to s'$  is an edge of [[G]] for some  $s' \in S$ . It is well-known (see e.g. [1, 9]) that if *S* is upward-closed, then  $Pre^*(G,S)$  and Pre(G,S) are upward-closed as well (this can be easily checked).

### 2.4 Coverability Problem and Rackoff's Upper Bound

Given a *d*-VASS  $G = \langle Q, \Delta \rangle$  and two *G*-states  $s_0$  and  $s_f$ , a *covering in G of*  $s_f$  *w.r.t.*  $s_0$  is a run of *G* from  $s_0$  which leads to a state *s* satisfying  $s_f \leq s$ . If such a covering exists, i.e.,

 $s_0 \in Pre^*(G, s_f\uparrow)$ , we say that  $s_f$  is coverable from  $s_0$  in G. The coverability problem asks whether  $s_f$  is coverable from  $s_0$  in G for a given d-VASS G and G-states  $s_0$  and  $s_f$ . By a straightforward adaptation of the Rackoff's algorithm for the coverability problem [14], we obtain the following result.

**Theorem 1.** Let  $G = \langle Q, \Delta \rangle$  be a *d*-VASS and  $s_f$  be a state. For each state *s*, if  $s_f$  is coverable from *s* in *G*, then there is a covering in *G* of  $s_f$  w.r.t. *s* whose length is independent on ||s|| and is at most  $[|Q| \cdot (||\Delta|| + ||s_f|| + 2)]^{(3d)!+1}$ .

**Proof of Theorem 1.** We need additional definitions. Let  $d \in \mathbb{N}^+$  and  $I \subseteq \{1, ..., d\}$ . For  $u \in \mathbb{Z}^d$ ,  $u^I$  denotes the vector in  $\mathbb{Z}^d$  defined as  $u^I[i] = u[i]$  if  $i \in I$ , and  $u^I[i] = 0$  otherwise. For a *d*-VASS  $G = \langle Q, \Delta \rangle$ ,  $G^I$  denotes the *d*-VASS  $G^I = \langle Q, \{\langle q, u^I, q' \rangle \mid \langle q, u, q' \rangle \in \Delta \} \rangle$ . Note that  $G^{\{1,...,d\}} = G$ . Let  $s = \langle q, v \rangle$  be a *G*-state, we denote by  $s^I$  the *G*-state given by  $\langle q, v^I \rangle$ , and for a run  $\pi$ , we denote by  $\pi^I$  the sequence of *G*-states obtained from  $\pi$  by replacing each state *s* along  $\pi$  with  $s^I$ . Note that  $\pi^I$  is a run in  $G^I$ . For  $B \in \mathbb{N}$ , a vector  $v \in \mathbb{N}^d$  is *B*-bounded if  $v[i] \leq B$  for each  $i \in \{1, ..., d\}$ . A run  $\pi$  of *G* is *B*-bounded if for each state  $\langle q, v \rangle$  occurring along  $\pi$ , *v* is *B*-bounded.

Fix a *d*-VASS  $G = \langle Q, \Delta \rangle$  and a state  $s_f = \langle q_f, v_f \rangle$ . For each  $I \subseteq \{1, \ldots, d\}$  and *G*-state *s*, define dist(I,s) to be the length of the shortest covering in  $G^I$  of  $(s_f)^I$  w.r.t.  $s^I$ , if  $(s_f)^I$  is coverable from  $s^I$  in  $G^I$  (note that  $dist(I,s) \ge 1$ ), and dist(I,s) = 0 otherwise. Moreover, for each  $k \in \{0, 1, \ldots, d\}$ , define  $f(k) = sup\{dist(I,s) \mid |I| = k \text{ and } s \text{ is a } G$ -state} (note that  $f(k) \ge 1$  since  $s_f$  is coverable from itself in *G*). Then:

Lemma 2. For all 
$$k \in \{0, 1, \dots, d\}$$
, the following inequalities hold:  

$$f(k) \leq \begin{cases} |\mathcal{Q}| & \text{if } k = 0\\ |\mathcal{Q}| \cdot ((\|\Delta\| + \|s_f\|) \cdot f(k-1))^k + f(k-1) & \text{if } k > 0 \end{cases}$$

*Proof.* The case k = 0 is trivial. Now, assume that k > 0. By ind. hyp., f(k-1) is finite. Let *s* be a *G*-state and  $I \subseteq \{1, ..., d\}$  s.t. |I| = k and there is a covering  $\pi$  in  $G^I$  of  $(s_f)^I$  w.r.t.  $s^I$ . We need to show that there is a covering in  $G^I$  of  $(s_f)^I$  w.r.t.  $s^I$  of length bounded by  $|Q| \cdot ((|\Delta| + ||s_f||) \cdot f(k-1))^k + f(k-1)$ . Let  $B = ||\Delta|| \cdot f(k-1) + ||s_f||$ . We distinguish two cases:

**Case 1:**  $\pi$  is *B*-bounded. Let *s'* be the last state of  $\pi$ . Then, there is a *B*-bounded run  $\pi'$  in *G<sup>I</sup>* from *s<sup>I</sup>* to *s'* such that the states visited by  $\pi'$  are mutually distinct. It is routine to check that the length of  $\pi'$  is at most  $|Q| \cdot B^k$ . By hypothesis  $(s_f)^I \leq s'$ , hence  $\pi'$  is also a covering in *G<sup>I</sup>* of  $(s_f)^I$  w.r.t. *s<sup>I</sup>*. Thus, since  $|Q| \cdot B^k \leq |Q| \cdot ((||\Delta|| + ||s_f||) \cdot f(k-1))^k$ , the result holds in this case.

**Case 2:**  $\pi$  is *not B*-bounded. Then, there is a *G*-state  $s_2$  s.t.  $\pi$  can be written in the form  $\pi = \pi_1 \cdot \pi_2$  so that  $\pi_1$  is either empty or *B*-bounded,  $\pi_2$  starts at state  $(s_2)^I = \langle q_2, v_2 \rangle$ , and  $v_2$  is not *B*-bounded. Hence, there is  $i \in I$  such that  $v_2[i] > B$ . Assume that  $\pi_1$  is not empty and *B*-bounded (the other case being simpler). Let  $s_1$  be the last state of  $\pi_1$ . As in case 1, we can replace  $\pi_1$  with a run  $\pi'_1$  in  $G^I$  from  $s^I$  to  $s_1$  of length at most  $|Q| \cdot B^k$ . Let  $J = I \setminus \{i\}$  (hence, |J| = k - 1). Since  $(\pi_2)^J$  is a covering in  $G^J$  of  $(s_f)^J$  w.r.t.  $(s_2)^J$ , by the ind. hyp., there is a covering  $\pi'_2$  in  $G^J$  of  $(s_f)^J$  w.r.t.  $(s_2)^J$  of length at most f(k-1). Note that at each step of a run of *G*, any component of a *G*-state can decrease at most by  $||\Delta||$ . Thus, since  $\pi'_2$  has length at most  $f(k-1), (s_2)^I = \langle q_2, v_2 \rangle$ , and

 $v_2[i] > B = ||\Delta|| \cdot f(k-1) + ||s_f||$ , it follows that there exists a covering  $\pi_2''$  in  $G^I$  of  $(s_f)^I$  w.r.t.  $(s_2)^I$  of length at most f(k-1). Hence,  $\pi_1' \cdot \pi_2''$  is a covering in  $G^I$  of  $(s_f)^I$  w.r.t.  $s^I$  of length at most  $|Q| \cdot B^k + f(k-1)$ . Since  $|Q| \cdot B^k \le |Q| \cdot ((||\Delta|| + ||s_f||) \cdot f(k-1))^k$ , we are done.

By solving the recurrence in Lemma 2, we obtain the following result. Hence, Theorem 1 directly follows.

**Lemma 3.** For all  $k \in \{0, 1, ..., d\}$ ,  $f(k) \le (|Q| \cdot (||\Delta|| + ||s_f|| + 2))^{(3k)!+1}$ .

*Proof.* By induction on k. The base case k = 0 directly follows from Lemma 2. Now, assume that k > 0. Let  $C = ||\Delta|| + ||s_f|| + 2$ . Then,

$$\begin{split} f(k) &\leq |\mathcal{Q}| \cdot (C \cdot f(k-1))^k + f(k-1) & \text{by Lemma 2} \\ &\leq |\mathcal{Q}| \cdot [(C \cdot f(k-1))^k + f(k-1)] \\ &\leq |\mathcal{Q}| \cdot (C \cdot f(k-1))^{k+1} & \text{since } C \cdot f(k-1) \geq 2 \\ &\leq (|\mathcal{Q}| \cdot C \cdot f(k-1))^{k+1} \\ &\leq ((|\mathcal{Q}| \cdot C)^{(3(k-1))!+2})^{k+1} & \text{by induction hypothesis} \\ &\leq (|\mathcal{Q}| \cdot C)^{(3k)!+1} \end{split}$$

Note that  $min(Pre^*(G, s_f\uparrow))$  constitutes a finite canonical representation of the possibly infinite set  $Pre^*(G, s_f\uparrow)$ , for which the membership problem (and other basic questions) are decidable.<sup>3</sup> It is well-known that  $min(Pre^*(G, s_f\uparrow))$  can be computed by a least fixpoint algorithm [1, 9] refered to as the backward algorithm. However, no elementary upper bound is known on the execution time of this algorithm. By using Theorem 1, we provide in the next section such an upper bound. As a consequence, we derive an upper bound on the cardinality of  $min(Pre^*(G, s_f\uparrow))$ , which is doubly exponential in the dimension *d* of *G*. In Section 4, we show that this double exponential blow-up cannot be avoided.

## 3 Complexity of the Backward Algorithm for Coverability

First, we recall the standard backward algorithm for coverability [1, 9]. Fix a *d*-VASS  $G = \langle Q, \Delta \rangle$  and a state  $s_f$ . We define a monotone infinite sequence  $U_0 \subseteq U_1 \subseteq ...$  of upward-closed sets of states as:  $U_0 = s_f \uparrow$ , and  $U_{i+1} = U_i \cup Pre(G, U_i)$  for each  $i \ge 0$ . Since  $\trianglelefteq$  (over  $Q \times \mathbb{N}^d$ ) is a wqo,  $U_i \subseteq U_{i+1}$  for each  $i \ge 0$ , and  $U_i = U_{i+1}$  iff  $min(U_i) = min(U_{i+1})$ , by Lemma 1 and definition of the sets  $U_i$ , we obtain the following.<sup>4</sup>

*Remark 1.* For each  $i \ge 0$ ,  $U_i$  is the set of states *s* such that there is a covering of  $s_f$  w.r.t. *s* of length less or equal to *i*. Moreover, there is  $i \ge 0$  such that  $min(U_{i+1}) = min(U_i)$ . Also, whenever  $min(U_{i+1}) = min(U_i)$  for some  $i \ge 0$ , then  $Pre^*(G, s_f \uparrow) = U_i$ .

<sup>&</sup>lt;sup>3</sup> Given min(U) for an upward-closed set U of G-states, one can decide if a given state is in U (membership problem).

<sup>&</sup>lt;sup>4</sup> Note that  $Pre^*(G, s_f\uparrow)$  is the least fixpoint of  $\mu X.(s_f\uparrow) \cup Pre(G, X)$ .

*Remark* 2. [1, 9] Given a *G*-state *s*, one can compute  $min(Pre(G, s\uparrow))$ . Hence, for each  $i \ge 0$ , given  $min(U_i)$ , one can compute  $min(U_{i+1})$  as follows:  $min(U_{i+1}) = min(min(U_i) \cup \bigcup_{s \in min(U_i)} min(Pre(G, s\uparrow)))$ .

Then, the backward algorithm at *i*th step computes  $min(U_i)$ . If  $min(U_i) = min(U_{i+1})$ , then the algorithm terminates and outputs  $min(U_i)$ . By Remark 1, the algorithm terminates and outputs the basis of  $Pre(G, s_f \uparrow)$ . Now, we analyze its complexity. Let *H* be the upper bound in Theorem 1 for *G* and  $s_f$ , i.e.,  $H = [|Q| \cdot (||\Delta|| + ||s_f|| + 2)]^{(3d)!+1}$ .

**Lemma 4.** The sequence  $min(U_0), min(U_1), \ldots$  is stable at H, i.e.  $min(U_H) = min(U_{H+1})$ .

*Proof.* By contradiction. Assume that  $min(U_H) \neq min(U_{H+1})$ . Then,  $U_H \neq U_{H+1}$  and since  $U_H \subseteq U_{H+1}$ , there must be  $s \in U_{H+1} \setminus U_H$ . By Remark 1, it follows that each covering in *G* of  $s_f$  w.r.t. *s* has length at least H + 1. Since  $s \in U_{H+1} \subseteq Pre^*(G, s_f \uparrow), s_f$  is coverable from *s*. Thus, by definition of *H* and Theorem 1, there must be a covering of  $s_f$  w.r.t. *s* of length at most *H*, which is a contradiction.  $\Box$ 

**Lemma 5.** Let *S* be a finite set of states. Then, one can compute a finite set  $B_S$  of states such that  $min(S^{\uparrow} \cup Pre(G, S^{\uparrow})) \subseteq B_S \subseteq S^{\uparrow} \cup Pre(G, S^{\uparrow}), |B_S|$  is at most  $O(|\Delta| \cdot |S|)$ , and  $||B_S||$  is at most  $O(||\Delta|| + ||S||)$ . Moreover,  $B_S$  can be computed in time  $O(d \cdot |\Delta| \cdot |S| \cdot \log(||\Delta|| + ||S|| + 2))$ .

*Proof.* For  $v \in \mathbb{Z}^d$ , pos(v) denotes the vector in  $\mathbb{N}^d$  defined as: pos(v)[i] = v[i] if  $v[i] \in \mathbb{N}$ , and pos(v)[i] = 0 otherwise. Then,  $B_S = S \cup A_S$ , where  $A_S$  is given by

$$A_{S} = \{ \langle q, pos(v'-v) \rangle \mid \langle q, v, q' \rangle \in \Delta \text{ and } \langle q', v' \rangle \in S \text{ for some } q' \in Q \}$$

We show the following, hence, the result easily follows:

- 1.  $A_S \subseteq Pre(G, S^{\uparrow})$
- 2. For each  $s \in Pre(G, S\uparrow)$ , there is  $s' \in A_S$  such that  $s \succeq s'$ .

*Proof of Property 1:* let  $s \in A_S$ . By construction there are  $\langle q, v, q' \rangle \in \Delta$  and  $\langle q', v' \rangle \in S$  such that  $s = \langle q, pos(v' - v) \rangle$ . Evidently, it suffices to show that  $pos(v' - v) + v \ge v'$ . Since  $pos(v' - v) \ge v' - v$ , the result follows.

*Proof of Property 2:* let  $s \in Pre(G, S\uparrow)$ , where  $s = \langle q, v \rangle$  for some  $q \in Q$  and  $v \in \mathbb{N}^d$ . Then, there is  $\langle q, v', q' \rangle \in \Delta$  such that  $\langle q', v + v' \rangle \in S\uparrow$ . Hence, there is  $\langle q', v_{min} \rangle \in S$  such that  $v + v' \supseteq v_{min}$ . Hence,  $v \supseteq v_{min} - v'$ . Since  $v \in \mathbb{N}^d$ , we obtain that  $v \supseteq pos(v_{min} - v')$ . Let  $s' = \langle q, pos(v_{min} - v') \rangle$ . Note that  $s' \in A_S$ . Thus, since  $s \supseteq s'$ , we are done.

Note that given a *finite* set *S* of *G*-states, min(S) can be easily computed in time  $O(d \cdot |S|^2 \cdot \log(||S|| + 2))$ . Hence, by Lemma 5, we obtain the following.

**Corollary 1.** Let S be a finite set of G-states and  $S_{min} = min(S \uparrow \cup Pre(G,S \uparrow))$ . Then,  $||S_{min}||$  is at most  $O(||\Delta|| + ||S||)$ . Moreover,  $S_{min}$  can be computed in time  $O(d \cdot |\Delta|^2 \cdot |S|^2 \cdot \log(||\Delta|| + ||S|| + 2))$ . By Lemma 4 and Remark 1,  $min(Pre^*(G, s_f^{\uparrow})) = min(U_H)$ . Then, Corollary 1 shows that the backward algorithm terminates in time

$$O(H \cdot d \cdot |\Delta|^2 \cdot max_{0 \le i \le H} |min(U_i)|^2 \cdot \log(||\Delta|| + max_{0 \le i \le H} ||min(U_i)|| + 2))$$

Note that, by Corollary 1, for each  $i \ge 0$ ,  $||min(U_i)|| = O(i \cdot ||\Delta|| + ||s_f||)$ . Hence,  $|min(U_i)|$  is at most  $O(|Q| \cdot (i \cdot ||\Delta|| + ||s_f||)^d)$ . Also,  $max_{0 \le i \le H} ||min(U_i)|| = O(H \cdot ||\Delta|| + ||s_f||)$  and  $max_{0 \le i \le H} |min(U_i)|^2 = O(|Q|^2 \cdot (H \cdot ||\Delta|| + ||s_f||)^{2d})$ . Therefore, since  $H = (|Q| \cdot (||\Delta|| + ||s_f|| + 2))^{2^{O(d \cdot \log d)}}$ , we obtain the following.

**Theorem 2.** The backward algorithm terminates in time  $(|Q| \cdot (||\Delta|| + ||s_f|| + 2))^{2^{O(d \cdot \log d)}}$ ,  $||min(Pre^*(G, s_f \uparrow))||$  and  $|min(Pre^*(G, s_f \uparrow))|$  are at most  $(|Q| \cdot (||\Delta|| + ||s_f|| + 2))^{2^{O(d \cdot \log d)}}$ .

# 4 Lower Bound

In this section, we prove the following result by an adaptation of Lipton's proof of EXPSPACE-hardness for reachability in VASS [13].

First, we need the following notation. Let  $G = \langle Q, \Delta \rangle$  be a *d*-VASS and  $q \in Q$ . We denote by  $q\uparrow$  the upward-closed set  $\{q\} \times \mathbb{N}^d$  of *G*-states. Also, for a set *S* of *G*-states, we denote by  $[Pre^*(G,S)]_q$  the subset of  $\mathbb{N}^d$  given by  $\{v \in \mathbb{N}^d \mid \langle q, v \rangle \in Pre^*(G,S)\}$ .

**Theorem 3.** For each  $n \in \mathbb{N}$ , one can build a O(n)-VASS  $G_n = \langle Q_n, \Delta_n \rangle$  and  $q_n \in Q_n$  s.t.  $|Q_n| = O(n), |\Delta_n| = O(n), ||\Delta_n|| = 1$ , and the following holds: (1)  $|min(Pre^*(G_n, q_n\uparrow))|$  is at least  $2^{2^n}$  (hence,  $|min(Pre^*(G_n, q_n\uparrow)))|$  is at least  $2^{2^{\Omega(n)}}$ ), and (2) there are states  $s \in min(Pre^*(G_n, q_n\uparrow))$  s.t. each run from s to a state in  $q_n\uparrow$  has length at least  $2^{2^n}$ .

By Property 2 in Theorem 3 and the results in the previous section, we easily deduce the following.

**Corollary 2.** Let  $n \in \mathbb{N}$ ,  $G_n = \langle Q_n, \Delta_n \rangle$  and  $q_n \in Q_n$  as in Theorem 3. Then, the number of iterations of the backward algorithm with input  $G_n$  and  $\langle q_n, \langle 0, \ldots, 0 \rangle \rangle$  is at least  $2^{2^n}$ .

To make clear the proof of Theorem 3, we consider an high-level variant of VASS, called *net Programs* [6], corresponding to a subclass of nondeterministic counter machines with nonrecursive subroutines. Then, we show that in order to prove Theorem 3, it is sufficient to prove a similar result for net programs. Finally, in Section 4.2, we prove the variant of Theorem 3 for net programs.

For  $m, k \in \mathbb{N}^+$  s.t.  $k \leq m$  and  $U \subseteq \mathbb{N}^m$ ,  $\Pi_k(U)$  denotes the subset of  $\mathbb{N}^k$  given by  $\{v \in \mathbb{N}^k \mid \langle v[1], \dots, v[k], 0, \dots, 0 \rangle \in U\}$ . Note that  $\Pi_k(U)$  is upward-closed if U is upward-closed. Moreover, the following holds.

**Lemma 6.** Let  $m, k \in \mathbb{N}^+$  such that  $k \leq m$  and U be an upward-closed subset of  $\mathbb{N}^m$ . Then,  $|\min(U)| \geq |\min(\Pi_k(U))|$ .

*Proof.* For  $v \in \mathbb{N}^k$ , we denote by  $v \cdot \underline{0}$  the vector in  $\mathbb{N}^m$  given by  $\langle v[1], \ldots, v[k], 0, \ldots, 0 \rangle$ . Let  $v \in min(\Pi_k(U))$ . We show that  $v \cdot \underline{0} \in min(U)$ , hence, the result follows. Since  $v \cdot \underline{0} \in U$ , there is  $v' \in min(U)$  such that  $v' \trianglelefteq v \cdot \underline{0} \in U$ . Hence,  $v' = v'' \cdot \underline{0}$ ,  $v'' \in \Pi_k(U)$ , and  $v'' \trianglelefteq v$ . Since  $v \in min(\Pi_k(U))$ , it follows that v'' = v, hence  $v \cdot \underline{0} = v' \in min(U)$ , and we are done.  $\Box$ 

#### 4.1 Net Programs

A net program is similar to a nondeterministic Minsky counter machine, but does not have the ability to test a (counter) variable for zero. However, it has the possibility of transferring control to a subroutine (or subprogram). Formally, a net program P on a finite set  $\{x_1, \ldots, x_d\}$  of (counter) variables is a tuple  $P = \langle ID_1, \ldots, ID_n, Code \rangle$ , where  $ID_1, \ldots, ID_n$  are pairwise distinct *subprogram identifiers*, and Code assigns to each  $1 \le p \le n$ , the *code*  $Code(ID_p)$  of subprogram  $ID_p$ , which is a sequence of the form  $Code(ID_p) = 1_1 : I_1; \ldots I_{k-1} : I_k : return;$ 

where  $k \ge 1, 1_1, ..., 1_k$  are pairwise distinct (instruction) *labels*,  $1_1$  (resp.,  $1_k$ ) is the initial (resp., final) label of subprogram  $ID_p$ , and each  $I_j$  is an instruction of the form:

- *increment*:  $x_i := x_i + 1$  (where  $1 \le i \le d$ ),
- *decrement*:  $x_i := x_i 1$  (where  $1 \le i \le d$ ),
- unconditional jump: goto 1 (where  $1 \in \{1_1, \ldots, 1_k\}$ ),
- nondeterministic jump: goto 1 or goto 1' (where  $1, 1' \in \{1_1, \ldots, 1_k\}$ ),
- subprogram call: call  $ID_i$  (where i > p).<sup>5</sup>

Additionally, we require that labels of distinct subprograms are distinct as well. The subprogram  $ID_1$  is called the *main subprogram* of P, and the initial (resp., final) label of P is the initial (resp., final) label of the main subprogram. For each (instruction) label 1 of P, we denote by ID(1) the identifier of the unique subprogram having 1 as label. Moreover, if 1 is the label of a call instruction, we denote by called(1) the identifier of the called subprogram. Now, we formally define the semantics of net programs. An *extended label* of the net program P above is a pair of the form  $\langle C, 1 \rangle$ , where 1 is a label of P and C is a *caller context*, i.e., a (possibly empty) sequence of P-labels  $C = 1_1 \dots 1_k$  such that the following holds: (i) each  $1_i$  is the label of a call instruction, and (ii) if C is nonempty, then  $ID(1_{i+1}) = called(1_i)$  for each  $1 \le i \le k$ , where  $1_{k+1} = 1$ . Note that the set of extended labels of P, written EL(P), is finite. A P-state is a pair  $\langle \langle C, 1 \rangle, \nu \rangle$ , where  $\langle C, 1 \rangle \in EL(P)$  and  $\nu \in \mathbb{N}^d$  is a valuation of variables  $\{x_1, \dots, x_d\}$  assigning to each variable  $x_i$ , the value v[i]. The net program P induces a transition relation  $\rightarrow$  over P-states, as follows  $\langle \langle C, 1 \rangle, \nu \rangle \rightarrow \langle \langle C', 1' \rangle, \nu' \rangle$  iff:

- if 1 is the label of an increment (resp., decrement, resp., jump) instruction, then  $\langle \langle C', 1' \rangle, v' \rangle$  is as expected (note that C' = C and if 1 is the label of a decrement, then  $\langle \langle C, 1 \rangle, v \rangle$  has a successor iff the value in v of the decremented variable is greater than 0);
- if 1 is the label of a call instruction "call  $ID_j$ ", then v' = v,  $C' = C \cdot 1$ , and 1' is the initial label of subprogram  $ID_j$ ;
- if 1 is the label of a return instruction, then v' = v,  $C = C' \cdot 1''$  for some 1'', and 1' is the label which follows the call instruction label 1'' in Code(ID(1'')).

A run or execution of *P* is a finite sequence  $s_1, \ldots, s_h$  of *P*-states such that  $s_i \rightarrow s_{i+1}$  for each  $1 \le i < h$ . For a set *S* of *P*-states, let  $Pre^*(P,S)$  be the set of *P*-states *s* such that there is a run of *P* from *s* leading to some *P*-state in *S*. For each label 1, we denote by  $[Pre^*(P,S)]_1$  the set  $\{v \in \mathbb{N}^d \mid \langle \langle \varepsilon, 1 \rangle, v \rangle \in Pre^*(P,S) \}$ , and by  $1\uparrow$  the set of *P*-states

<sup>&</sup>lt;sup>5</sup> The requirement i > p ensures that there are no recursive calls.

 $\{\langle \varepsilon, 1 \rangle\} \times \mathbb{N}^d$ . It is easy to show that if *S* is an upward-closed set of *P*-states, then  $Pre^*(P,S)$  is upward-closed as well. The following result allows us to reduce the proof of Theorem 3 to its variant for the class of net programs.

**Theorem 4.** Let P be a net program on  $\{x_1, \ldots, x_d\}$ , k be the number of call instructions of P, and start and end be the initial and final labels of P. Then, one can build in linear-time a (d+k)-VASS  $G = \langle Q, V \rangle$  such that Q is the set of P-labels,  $||\Delta|| = 1$ ,  $|\Delta| \leq 2 \cdot N$ , where N is the number of P-instructions, and  $|min([Pre^*(G, end^{\uparrow})]_{start})| \geq |min([Pre^*(P, end^{\uparrow})]_{start})|$ . Moreover, for each  $s \in min(Pre^*(P, end^{\uparrow}))$ , there is a Gstate  $s' \in min(Pre^*(G, end^{\uparrow}))$  such that for each run  $\pi$  in G from s' to a G-state in end^{\uparrow}, there is a run of P from s to a P-state in end^{\uparrow} of length  $|\pi|$ .

*Proof.* Let  $L = \{1_1, ..., 1_k\}$  be the set of call instruction labels of *P*. The (d+k)-VASS  $G = \langle Q, \Delta \rangle$  is defined as follows (intuitively, we use an additional dimension for each call instruction label of *P*): *Q* is the set of *P*-labels and the set of transitions  $\Delta$  is obtained in the following way:

- for each increment "1 :  $x_i := x_i + 1$ ; 1' : I; ...", we add the transition  $\langle 1, v, 1' \rangle$ , where v[i] = 1 and v[j] = 0 for  $j \neq i$ ;
- for each decrement "1:  $x_i := x_i 1$ ; 1': I; ...", we add the transition  $\langle 1, v, 1' \rangle$ , where v[i] = -1 and v[j] = 0 for  $j \neq i$ ;
- for each unconditional jump "1 : goto 1'; ...", we add transition  $\langle 1, \underline{0}^{d+k}, 1' \rangle$ ;
- for each nondeterministic jump "1: goto 1' or goto 1"; ...", we add two transitions given by (1,0<sup>d+k</sup>,1') and (1,0<sup>d+k</sup>,1");
- for each call instruction " $l_i$ : call  $ID_p$ ; 1 : I; ..." (where  $1 \le i \le k$ ), we add two transitions  $\langle l_i, v_+, l_0 \rangle$  and  $\langle l_f, v_-, l \rangle$ , where: (i)  $l_0$  (resp.,  $l_f$ ) is the initial (resp., final) label of subprogram  $ID_p$ , (ii)  $v_+[d+i] = 1$  and  $v_+[j] = 0$  for  $j \ne d+i$ , and (iii)  $v_-[d+i] = -1$  and  $v_-[j] = 0$  for  $j \ne d+i$ .

Note that  $||\Delta|| = 1$  and  $|\Delta| \le 2 \cdot N$ , where *N* is the number of *P*-instructions. Now, we establish the correspondence between the runs of *P* and the runs of *G*. Let *H* be the mapping assigning to each state *s* of *P* of the form  $\langle \langle 1_{i_1} \dots 1_{i_p}, 1 \rangle, v \rangle$ , the *G*-state *H*(*s*) defined as follows (note that  $i_1, \dots, i_p \in \{1, \dots, k\}$  and are pairwise distinct)<sup>6</sup>:  $H(s) = \langle 1, v_{ext} \rangle$ , where for each  $1 \le j \le d + k$ ,  $v_{ext}[j] = v[j]$  if  $j \le d$ ,  $v_{ext}[j] = 1$  if  $j = d + i_h$  for some  $1 \le h \le p$ , and  $v_{ext}[j] = 0$  otherwise. By construction, we obtain the following:

**Claim:** let  $s_0, s_1, \ldots, s_n$  be a sequence of states of *P*. Then,  $s_0, s_1, \ldots, s_n$  is a run of *P* if and only if  $H(s_0), H(s_1), \ldots, H(s_n)$  is a run of *G*. Moreover, for each state  $s'_0$  of *P*, each run of *G* from  $H(s'_0)$  has the form  $H(s'_0), H(s'_1), \ldots, H(s'_m)$  for some sequence  $s'_1, \ldots, s'_m$  of *P*-states.

By the claim above, it follows that  $\Pi_d([Pre^*(G, end^{\uparrow})]_{start}) = [Pre^*(P, end^{\uparrow})]_{start}$ . Thus, by Lemma 6 and the claim above, Theorem 4 easily follows.

### 4.2 Proof of Theorem 3

Theorem 3 directly follows from Theorem 4 and the following result.

<sup>&</sup>lt;sup>6</sup> Moreover, note that  $called(1_{i_1}), \ldots, called(1_{i_k})$  are pairwise distinct and  $called(1_{i_k}) = ID(1)$ .

**Theorem 5.** For each  $n \in \mathbb{N}$ , one can build a net program  $P_n$  with initial (resp., final) label start (resp., end), O(n) instructions, and O(n) variables such that  $|min([Pre^*(P_n, end^{\uparrow})]_{start})| \ge 2^{2^n}$ . Also, there exists  $v \in min([Pre^*(P_n, end^{\uparrow})]_{start})$  such that each run from  $\langle \langle \varepsilon, start \rangle, v \rangle$  to a state in end  $\uparrow$  has length at least  $2^{2^n}$ .

In the rest of this section, we prove Theorem 5.

**Construction of**  $P_n$ . Let  $n \in \mathbb{N}$ , define  $Var_n = \{w_1, w_2, y_n, \overline{y}_n\} \cup \bigcup_{i=0}^{n-1} \{y_i, \overline{y}_i, z_i, \overline{z}_i\}$ . The net program  $P_n$  has set of variables  $Var_n$  and is given by

 $\langle Main_n, Lipton_n, Init_0, \dots, Init_{n-1}, Dec_n(\overline{y}_n), Dec_{n-1}(\overline{y}_{n-1}), Dec_{n-1}(\overline{z}_{n-1}), \dots$  $Dec_0(\overline{y}_0), Dec_0(\overline{z}_0), Set_n, Code \rangle$ 

where Code is given in Figures  $1-3.^7$ 

Main .

The construction of  $P_n$  ensures the following: if initially (i.e., at call time of the main subprogram  $Main_n$ ) each variable in  $Var_n \setminus \{w_1, w_2\}$  has value 0, then the main subprogram  $Main_n \ can \ return^8$  if and only if the sum of the initial values of  $w_1$  and  $w_2$  is greater or equal to  $2^{2^n}$ . Now, we proceed with the description of the various subprograms of  $P_n$ . The main subprogram  $Main_n \ simply$  calls the subprograms  $Set_n$  and  $Lipton_n$  (in the given order) and returns. It is easy to check (see Figure 1) that the subprogram  $Set_n$  ensures the following.

man <sub>n</sub> .	
<pre>start : call Set<sub>n</sub>;</pre>	<pre><u>Setn</u>: start : goto 0 or goto end; 0 : goto 1 or goto 2;</pre>
Lipton <sub>n</sub> :	$1: w_1 := w_1 - 1; \overline{y}_n = \overline{y}_n + 1;$ goto start;
<pre>start : call Init<sub>0</sub>;</pre>	$2: w_2 := w_2 - 1; \overline{y}_n = \overline{y}_n + 1;$
	goto start;
<b>call</b> <i>Init</i> <sub><math>n-1</math></sub> ;	end : <b>return</b> .
<b>call</b> $Dec_n(\overline{y}_n)$ ;	
end : return.	

**Fig. 1.** The subprograms  $Main_n$ ,  $Lipton_n$ , and  $Set_n$  of  $P_n$ 

**Lemma 7.** Assume that  $Set_n$  is called with the value of  $\overline{y}_n$  being 0. Then: (1) whenever  $Set_n$  returns, the value of  $\overline{y}_n$  is less or equal to the sum of the initial values (at call time of  $Set_n$ ) of variables  $w_1$  and  $w_2$ , and (2) there is an execution such that  $Set_n$  returns with the value of  $\overline{y}_n$  being exactly the sum of the initial values of  $w_1$  and  $w_2$ .

<sup>&</sup>lt;sup>7</sup> In Figures 1–3, for clarity, some instruction labels are omitted, and some labels of distinct subprograms are equal (we tacitely assume that they are prefixed by the ID of the associated subprogram).

<sup>&</sup>lt;sup>8</sup> i.e., there is a run leading to a state whose label is the final label of subprogram  $Main_n$ .

The subprogram  $Lipton_n$  (see Figure 1), whose construction corresponds to a variant of that given by Lipton in [13] (see also [6]), ensures the following: if initially (i.e., at call time of  $Lipton_n$ ) all the variables in  $Var_n \setminus \{w_1, w_2, \overline{y}_n\}$  have value 0, then  $Lipton_n$  can return if and only if the initial value of  $\overline{y}_n$  is greater or equal to  $2^{2^n}$ . The implementation of  $Lipton_n$  is based on subprograms  $Init_i$ ,  $Dec_i(\overline{z}_i)$ , and  $Dec_j(\overline{y}_j)$  (where  $0 \le i \le n - 1$  and  $0 \le j \le n$ ). The subprograms  $Dec_i(\overline{z}_i)$  and  $Dec_j(\overline{y}_j)$  (see Figure 2) ensure the following.

$Dec_0(\overline{x}_0)$ :	$\underline{Dec_{i+1}(\overline{x}_{i+1})}$ :
* $x_0$ is either $y_0$ or $z_0$ *	* $x_{i+1}$ is either $y_{i+1}$ or $z_{i+1}$ *
start : $\overline{x}_0 := \overline{x}_0 - 1;$	* Initially, $y_i = z_i = 2^{2^i}$ and $\overline{y}_i = \overline{z}_i = 0$ *
$\overline{x}_0 := \overline{x}_0 - 1;$	out-loop : $y_i := y_i - 1; \overline{y}_i := \overline{y}_i + 1;$
$x_0 := x_0 + 1;$	in-loop : $z_i := z_i - 1; \overline{z}_i := \overline{z}_i + 1;$
$x_0 := x_0 + 1;$	$\overline{x}_{i+1} := \overline{x}_{i+1} - 1; x_{i+1} := x_{i+1} + 1;$
end : <b>return</b> .	<b>goto</b> in-continue <b>or goto</b> in-exit;
	in-continue : $z_i := z_i - 1; z_i := z_i + 1;$ goto in-loop;
	in-exit : <b>call</b> $Dec_i(\overline{z}_i)$ ;
	goto out-continue or goto out-exit;
	out-continue : $y_i := y_i - 1; y_i := y_i + 1;$ <b>goto</b> out-loop;
	out-exit : <b>call</b> $Dec_i(\overline{y}_i)$ ;
	end : return.

**Fig. 2.** The subprograms  $Dec_0(\overline{x}_0)$  and  $Dec_{i+1}(\overline{x}_{i+1})$  of  $P_n$ 

**Lemma 8.** Let  $0 \le j \le n$  and  $x_j \in \{y_j, z_j\}$  such that  $x_j = y_j$  if j = n. Assume that  $Dec_j(\overline{x}_j)$  is called with the values of  $\overline{y}_h$  and  $\overline{z}_h$  being 0 and the values of  $y_h$  and  $z_h$  being  $2^{2^h}$  for each  $0 \le h < j$ . Then, the following holds:

- $Dec_j(\overline{x}_j)$  can return iff the initial value of  $\overline{x}_j$  (at call time of  $Dec_j(\overline{x}_j)$ ) is at least  $2^{2^j}$ . Moreover, if the initial value of  $\overline{x}_j$  is exactly  $2^{2^j}$  and the initial value of  $x_j$  is 0, then whenever  $Dec_j(\overline{x}_j)$  returns, the values of  $\overline{x}_j$  and  $x_j$  (at return time) are swapped (i.e.,  $x_j$  has value  $2^{2^j}$  and  $\overline{x}_j$  has value 0).
- Whenever  $Dec_j(\overline{x}_j)$  returns, there are no side-effects on the variables  $x \in Var_n \setminus \{\overline{x}_j, x_i\}$  (the values of x at call and return times are the same).
- Whenever  $Dec_j(\bar{x}_j)$  returns, the number of computational steps from the call time to the return time is at least  $2^{2^j}$ .

*Proof.* The proof is by induction on *j*. The base case (j = 0) is trivial (see Figure 2). Now, assume that j = i + 1 for some  $0 \le i < n$ . Let us consider the code of  $Dec_{i+1}(\overline{x}_{i+1})$  in Figure 2, which consists of two nested loops: the inner loop is associated with the counter variable  $z_i$ , while the outer loop is associated with the counter variable  $z_i$ , while the outer loop is associated with the counter variable  $z_i$ , while the outer loop is associated with the counter variable  $z_i$ , while the outer loop is associated with the counter variable  $y_i$ . Note that the body of the inner loop decrements  $\overline{x}_{i+1}$ . Essentially, since the initial values of  $y_i$  and  $z_i$  are  $2^{2^i}$ , each of two nested loops can be executed  $2^{2^i}$ -times. Since  $2^{2^i} \cdot 2^{2^i} = 2^{2^{i+1}}$ , it follows that  $\overline{x}_{i+1}$  can be decreased by  $2^{2^{i+1}}$ . Fix  $x_i \in \{y_i, z_i\}$ . First, note that at each step the invariant  $x_i + \overline{x}_i = 2^{2^i}$  is preserved. Moreover, for the loop associated with counter variable  $x_i$ ,  $Dec_{i+1}(\overline{x}_{i+1})$  can *guess* that the continuation (resp., exit) condition is satisfied, i.e.,  $x_i > 0$  (resp.,  $x_i = 0$ ), by a nondeterministic jump instruction. The continuation condition is implemented by decrementing and then incrementing  $x_i$ , while the exit condition is implemented by a call to  $Dec_i(\overline{x}_i)$ . By the induction hypothesis,  $Dec_i(\overline{x}_i)$  can return if and only if  $\overline{x}_i$  has value  $2^{2^i}$ , i.e.,  $x_i$  has value 0. Thus, if the *guess* is not correct, the subprogram  $Dec_{i+1}(\overline{x}_{i+1})$  stops without returning. Moreover, by the induction hypothesis, whenever  $Dec_i(\overline{x}_i)$  returns, the values of  $x_i$  and  $\overline{x}_i$  are swapped. This ensures that the inner loop can be re-initialized correctly, and whenever  $Dec_{i+1}(\overline{x}_{i+1})$  returns, the values of  $x_i$  and  $\overline{x}_i$  are swapped. This ensures that the inner loop can be re-initialized correctly, and whenever  $Dec_{i+1}(\overline{x}_{i+1})$  returns, the values of  $x_i$  and  $\overline{x}_i$  correspond to the initial ones. Thus, it follows that  $Dec_{i+1}(\overline{x}_{i+1})$  can return if and only if  $\overline{x}_{i+1}$  can be decreased by  $2^{2^{i+1}}$  (i.e., the initial value of  $\overline{x}_{i+1}$  is at least  $2^{2^{i+1}}$ ). Finally, if the initial value of  $\overline{x}_{i+1}$  is  $2^{2^{i+1}}$  and the initial value of  $x_{i+1}$  is 0, then the body of the inner loop of  $Dec_{i+1}(\overline{x}_{i+1})$  ensure that at return time, the values of  $x_{i+1}$  and  $\overline{x}_{i+1}$  are swapped.

Finally, for each  $0 \le i \le n - 1$ , the subprogram *Init<sub>i</sub>* (see Figure 3) is used to set the values of  $y_i$  and  $z_i$  to  $2^{2^i}$ . More precisely, *Init<sub>i</sub>* ensures the following.

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p;
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oop;

**Fig. 3.** The subprograms  $Init_0$  and  $Init_{i+1}$  of  $P_n$ 

**Lemma 9.** Let  $0 \le j \le n-1$ . Assume that  $Init_j$  is called with the following condition being satisfied at call time: (i) the values of  $y_j, z_j, \overline{y}_j, \overline{z}_j$  are 0, and (ii) the values of  $\overline{y}_h, \overline{z}_h$  are 0 and the values of  $y_h$  and  $z_h$  are  $2^{2^h}$  for each  $0 \le h < j$ . Then, Init<sub>i</sub> can return. Moreover, whenever Init<sub>i</sub> returns,  $y_i$  and  $z_i$  have value  $2^{2^i}$  and there are no-side effects for the other variables  $x \in Var_n \setminus \{y_i, z_i\}$  (i.e., the values of x at call and return times are the same).

*Proof.* The proof is by induction on j. The base case (j = 0) is trivial (see Figure 3). Now, assume that j = i + 1 for some  $0 \le i < n - 1$ . Let us consider the code of  $Init_{i+1}$  in Figure 3, which is the same as  $Dec_{i+1}(\bar{x}_{i+1})$ , with the unique difference that the body of the inner loop increments the two variables  $y_{i+1}$  and  $z_{i+1}$ . Hence, reasoning as in the proof of Lemma 8, the result easily follows (in particular, under the considered assumptions, whenever  $Init_{i+1}$  returns, the values of  $y_{i+1}$  and  $z_{i+1}$  are increased exactly by  $2^{2^{i+1}}$ ).

Assume that at call time of subprogram *Lipton<sub>n</sub>*, each variable in *Var<sub>n</sub>* \{ $w_1, w_2, \overline{y}_n$ } has value 0. Then, By Lemmata 8 and 9, *Lipton<sub>n</sub>* can return iff at call time  $\overline{y}_n$  has value at least  $2^{2^n}$ . Moreover, whenever *Lipton<sub>n</sub>* returns, then the number of computational steps from the call time to the return time is at least  $2^{2^n}$ . Thus, by Lemma 7, we obtain the following.

**Lemma 10.** Assume that at call time of  $Main_n$  each variable in  $Var_n \setminus \{w_1, w_2\}$  has value 0. Then,  $Main_n$  can return iff the sum of the values of  $w_1$  and  $w_2$  at call time is at least  $2^{2^n}$ . Moreover, whenever  $Main_n$  returns, then the number of computational steps from the call time to the return time is at least  $2^{2^n}$ .

**Proof of Theorem 5.** First, we need an additional result. For all  $n \in \mathbb{N}$ , we denote by  $\Lambda_n$  and  $\Upsilon_n \subseteq \Lambda_n$  the subsets of  $\mathbb{N}^2$  given by

$$\Lambda_n = \{ v \in \mathbb{N}^2 \mid v[1] + v[2] \ge 2^{2^n} \} \text{ and } \Upsilon_n = \{ v \in \mathbb{N}^2 \mid v[1] + v[2] = 2^{2^n} \}$$

**Lemma 11.** Let  $n, m \in \mathbb{N}$  and U be an upward-closed subset of  $\mathbb{N}^{m+2}$  such that  $\Pi_2(U) = \Lambda_n$ . Then,  $|\min(U)| \ge 2^{2^n}$  and  $\min(U) \supseteq \{v \cdot \underline{0}^m \mid v \in \Upsilon_n\}$ .

*Proof.* For  $v \in \mathbb{N}^2$ , we denote by  $v \cdot \underline{0}$  the vector in  $\mathbb{N}^{m+2}$  given by  $\langle v[1], v[2], 0, \dots, 0 \rangle$ . First, we show the following.

**Claim 1:**  $\Upsilon_n \subseteq min(\Lambda_n)$ 

**Proof of Claim 1:** Let  $v \in \Upsilon_n$ . Since  $v \in \Lambda_n$ , there must be  $v_{min} \in min(\Lambda_n)$  such that  $v_{min} \leq v$  (note that  $\Lambda_n$  is upward-closed). By definition of  $\Upsilon_n$ , it follows that  $v_{min} \in \Upsilon_n$ . Thus, since all elements in  $\Upsilon_n$  are pairwise incomparable, we obtain that  $v_{min} = v$ . Hence,  $v \in min(\Lambda_n)$ , and the result follows.

Moreover, by the proof of Lemma 6, the following holds

Claim 2:  $min(U) \supseteq \{v \cdot \underline{0} \mid v \in min(\Pi_2(U))\}.$ 

Evidently,  $\Upsilon_n$  has cardinality  $2^{2^n}$ . By hypothesis,  $\Pi_2(U) = \Lambda_n$ . Thus, by Claims 1 and 2, the result follows.

Fix  $n \in \mathbb{N}$  and an ordering of  $Var_n$  such that  $w_1$  and  $w_2$  precede all the other variables. Let start (resp., end) be the initial (resp., final) label of  $P_n$ . By construction,  $P_n$  has O(n) instructions and O(n) variables. Thus, by Lemmata 10 and 11, Theorem 5 easily follows.

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