

# Narrowing Down the Gap on the Complexity of Coloring $P_k$ -Free Graphs

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**Abstract.** A graph is  $P_k$ -free if it does not contain an induced subgraph isomorphic to a path on  $k$  vertices. We show that deciding whether a  $P_3$ -free graph can be colored with at most four colors is an NP-complete problem. This improves a result of Le, Randerath, and Schiermeyer, who showed that 4-coloring is NP-complete for  $P_9$ -free graphs, and a result of Woeginger and Sgall, who showed that 5-coloring is NP-complete for  $P_3$ -free graphs. Additionally, we prove that the pre-coloring extension version of 4-coloring is NP-complete for  $P_7$ -free graphs, but that the pre-coloring extension version of 3-coloring is polynomially solvable for  $(P_2 + P_4)$ -free graphs, a subclass of  $P_7$ -free graphs.

## 1 Introduction

Due to the fact that the usual  $\ell$ -COLORING problem is NP-complete for any fixed  $\ell \geq 3$ , there has been a considerable interest in studying its complexity when restricted to certain graph classes, in particular graph classes that can be characterized by forbidden induced subgraphs. We refer to [14, 17] for surveys. Instead of repeating what has been written in so many papers over the years, and in order to save as much space as possible for relevant details related to our results, we also refer to these surveys for motivation and background. Here we continue the study of  $\ell$ -COLORING for  $P_k$ -free graphs. This setting has been studied in several earlier papers by different groups of researchers (see, e.g., [3, 5, 9–13, 18]). Before we summarize their results we first introduce the necessary terminology.

**Terminology.** We only consider finite undirected graphs without loops and multiple edges. We refer to [2] for any undefined graph terminology. The graph  $P_k$  denotes the path on  $k$  vertices. The disjoint union of two graphs  $G$  and  $H$  is denoted  $G + H$ , and the disjoint union of  $k$  copies of  $G$  is denoted  $kG$ . A *linear forest* is the disjoint union of a collection of paths. Given two graphs  $G$  and  $H$  we say that  $G$  is  *$H$ -free* if  $G$  has no induced subgraph isomorphic to  $H$ .

A (*vertex*) *coloring* of a graph  $G = (V, E)$  is a mapping  $\phi : V \rightarrow \{1, 2, \dots\}$  such that  $\phi(u) \neq \phi(v)$  whenever  $uv \in E$ . Here  $\phi(u)$  is referred to as the *color*

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of  $u$ . An  $\ell$ -coloring of  $G$  is a coloring  $\phi$  of  $G$  with  $\phi(V) \subseteq \{1, \dots, \ell\}$ . Here we use the notation  $\phi(U) = \{\phi(u) \mid u \in U\}$  for  $U \subseteq V$ . We let  $\chi(G)$  denote the chromatic number of  $G$ , i.e., the smallest  $\ell$  such that  $G$  has an  $\ell$ -coloring. The problem  $\ell$ -COLORING is the problem to decide whether a given graph admits an  $\ell$ -coloring.

In *list-coloring* we assume that  $V = \{v_1, v_2, \dots, v_n\}$  and that for every vertex  $v_i$  of  $G$  there is a list  $L_i$  of *admissible* colors (a subset of the natural numbers). We say that a coloring  $\phi : V \rightarrow \{1, 2, \dots\}$  respects these lists if  $\phi(v_i) \in L_i$  for all  $i \in \{1, 2, \dots, n\}$ . We also call  $\phi$  a *list-coloring* in this case.

In *pre-coloring extension* we assume that a (possibly empty) subset  $W \subseteq V$  of  $G$  is pre-colored with  $\phi_W : W \rightarrow \{1, 2, \dots\}$  and the question is whether we can extend  $\phi_W$  to a coloring of  $G$ . If  $\phi_W$  is restricted to  $\{1, 2, \dots, \ell\}$  and we want to extend it to an  $\ell$ -coloring of  $G$ , we say we deal with the *pre-coloring extension version of  $\ell$ -COLORING*.

**Known results.** Results of Hoàng et al. [9] imply that the pre-coloring extension version of  $\ell$ -COLORING is polynomially solvable on  $P_5$ -free graphs for any fixed  $\ell$ . In contrast, determining the chromatic number is NP-hard for  $P_5$ -free graphs [10], whereas this problem is polynomially solvable for  $P_4$ -free graphs (because a  $P_4$ -free graph is perfect, and the chromatic number of a perfect graph can be determined in polynomial time [8]). Le, Randerath, and Schiermeyer [12] proved that 4-COLORING is NP-complete for  $P_9$ -free graphs. Woeginger and Sgall [18] showed that 5-COLORING is NP-complete for  $P_8$ -free graphs. In [3] we established the following three results. Firstly we proved that 6-COLORING is NP-complete for  $P_7$ -free graphs, secondly that the pre-coloring extension version of 3-COLORING is polynomially solvable for  $P_6$ -free graphs, and thirdly that the pre-coloring extension version of 5-COLORING is NP-complete for  $P_6$ -free graphs. All these results together lead to the following table that shows the current status of  $\ell$ -COLORING and its pre-coloring extension version for  $P_k$ -free graphs. This table also shows which cases are still open.

**Table 1.** The complexity of  $\ell$ -COLORING and its pre-coloring extension version (marked by \*) on  $P_k$ -free graphs for combinations of fixed  $k$  and  $\ell$

$P_k$ -free	$\ell \rightarrow$							
	3	3*	4	4*	5	5*	$\geq 6$	$\geq 6^*$
$k \leq 5$	P	P	P	P	P	P	P	P
$k = 6$	P	P	?	?	?	NP-c	?	NP-c
$k = 7$	?	?	?	?	?	NP-c	NP-c	NP-c
$k = 8$	?	?	?	?	NP-c	NP-c	NP-c	NP-c
$k \geq 9$	?	?	NP-c	NP-c	NP-c	NP-c	NP-c	NP-c

**Our results and paper organization.** In Section 2 we present a common improvement to results in [12] and [18] by showing that 4-COLORING is NP-complete for  $P_8$ -free graphs. In Section 3 we give a closely related result showing that the pre-coloring extension version of 4-COLORING is NP-complete for  $P_7$ -free

graphs. It seems hard to extend our result from [3] on the pre-coloring extension version of 3-COLORING for  $P_6$ -free graphs to  $P_7$ -free graphs. This motivates our focus on subclasses of  $P_7$ -free graphs, namely  $H$ -free graphs, where  $H$  is a linear forest on at most 6 vertices. We show in Section 4 that the first nontrivial case is  $H = P_2 + P_4$  and that the pre-coloring extension version of 3-COLORING is polynomially solvable for  $(P_2 + P_4)$ -free graphs. Section 5 contains the conclusions and mentions open problems.

## 2 4-Coloring for $P_8$ -Free Graphs

In this section we prove that 4-COLORING is NP-complete for  $P_8$ -free graphs. We use a reduction from 3-SATISFIABILITY (3SAT), which is an NP-complete problem [7]. We consider an arbitrary instance  $I$  of 3SAT that has variables  $\{x_1, x_2, \dots, x_n\}$  and clauses  $\{C_1, C_2, \dots, C_m\}$  and define a graph  $G_I$ . Next we show that  $G_I$  is  $P_8$ -free and that  $G_I$  is 4-colorable if and only if  $I$  has a satisfying truth assignment.

Here is the construction that defines  $G_I$ .

- For each clause  $C_j$  we introduce a 7-vertex cycle with vertex set

$$\{b_{j,1}, b_{j,2}, c_{j,1}, c_{j,2}, c_{j,3}, d_{j,1}, d_{j,2}\}$$

and edge set

$$\{b_{j,1}c_{j,1}, c_{j,1}d_{j,1}, d_{j,1}c_{j,2}, c_{j,2}d_{j,2}, d_{j,2}c_{j,3}, c_{j,3}b_{j,2}, b_{j,2}b_{j,1}\}.$$

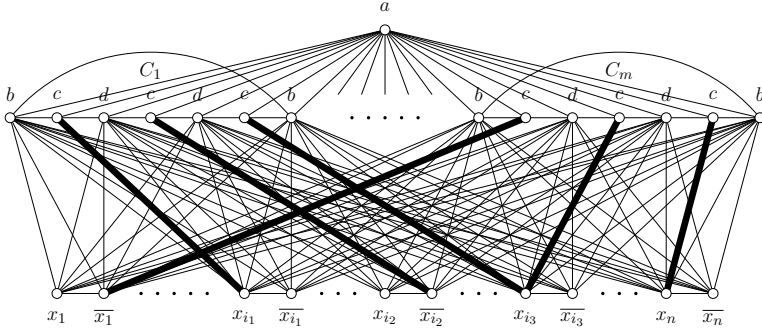
We say that these vertices are of  $b$ -type,  $c$ -type and  $d$ -type, respectively. They induce disjoint 7-cycles (i.e., cycles on 7 vertices) in  $G_I$  which we call *clause-components* in the sequel.

- For each variable  $x_i$  we introduce a copy of a  $K_2$ , i.e., two vertices joined by an edge  $x_i\bar{x}_i$ . We say that both  $x_i$  and  $\bar{x}_i$  are of  $x$ -type, and we call the corresponding disjoint  $K_2$ s in  $G_I$  *variable-components* in the sequel.
- For every clause  $C_j$  we fix an arbitrary order of its variables  $x_{i_1}, x_{i_2}, x_{i_3}$ . For  $h = 1, 2, 3$  we either add the edge  $c_{j,h}x_{i_h}$  or the edge  $c_{j,h}\bar{x}_{i_h}$  depending on whether  $x_{i_h}$  or  $\bar{x}_{i_h}$  is a literal in  $C_j$ , respectively.
- We add an edge between any  $x$ -type vertex and any  $b$ -type vertex. We also add an edge between any  $x$ -type vertex and any  $d$ -type vertex.
- We introduce one additional new vertex  $a$  which we make adjacent to all  $b$ -type,  $c$ -type and  $d$ -type vertices.

See Figure 1 for an example of a graph  $G_I$ . In this example  $C_1$  is a clause with ordered literals  $x_{i_1}, \bar{x}_{i_2}, x_{i_3}$  and  $C_m$  is a clause with ordered literals  $\bar{x}_1, x_{i_3}, x_n$ . The thick edges indicate the connections between the literal vertices and the

$c$ -type vertices of the clause gadgets. We omitted the indices from the labels of the clause gadget vertices to increase the visibility.

We complete this section by proving two lemmas. Lemma 1 shows that the graph  $G_I$  is  $P_8$ -free (in fact it shows a slightly stronger statement as this will be of use for us in Section 3). In Lemma 2 we prove that  $G_I$  admits a 4-coloring if and only if  $I$  has a satisfying truth assignment.



**Fig. 1.** The graph  $G_I$  in which clauses  $C_1 = \{x_{i_1}, \bar{x}_{i_2}, x_{i_3}\}$  and  $C_m = \{\bar{x}_1, x_{i_3}, x_n\}$  are illustrated

**Lemma 1.** *The graph  $G_I$  is  $P_8$ -free. Moreover, every induced path in  $G_I$  on seven vertices contains  $a$ .*

*Proof.* Let  $P$  be an induced path in  $G_I$ . We show that  $G_I$  is  $P_8$ -free by proving that  $P$  has at most seven vertices. We also show that  $P$  contains  $a$  in case  $P$  has exactly seven vertices. We distinguish a number of cases and subcases.

*Case 1.*  $a \notin V(P)$ .

*Case 1a.*  $P$  contains no  $x$ -type vertex.

This means that  $P$  is contained in one clause-component, which is isomorphic to an induced 7-cycle. Consequently,  $P$  has at most 6 vertices.

*Case 1b.*  $P$  contains exactly one  $x$ -type vertex.

Let  $x_i$  be this vertex. Then  $P$  contains vertices of at most two clause-components. Since  $x_i$  is adjacent to all  $b$ -type and  $d$ -type vertices, we then find that  $P$  contains at most two vertices of each of the clause-components. Hence  $P$  has at most 5 vertices.

*Case 1c.*  $P$  contains exactly two  $x$ -type vertices.

First suppose that these vertices are adjacent, say  $P$  contains  $x_i$  and  $\bar{x}_i$ . By the same reasoning as above we find that  $P$  has at most 4 vertices.

Now suppose the two  $x$ -type vertices of  $P$  are not adjacent. By symmetry, we may assume that  $P$  contains  $x_h$  and  $x_i$ . If  $P$  contains no  $b$ -type vertex and no  $d$ -type vertex, then there is no subpath in  $P$  from  $x_h$  to  $x_i$ , a contradiction. If  $P$  contains two or more vertices of  $b$ -type and  $d$ -type, then  $P$  contains a cycle, another contradiction. Hence  $P$  contains exactly one vertex  $z$  that is of  $b$ -type or  $d$ -type. Then  $x_h z x_i$  is a subpath in  $P$ . If both  $x_h$  and  $x_i$  have a neighbor in  $V(P) \setminus \{z\}$ , then this neighbor must be of  $c$ -type, and consequently an end vertex of  $P$  (because a  $c$ -type vertex is adjacent to only one  $x$ -type vertex). Hence  $P$  contains at most five vertices.

*Case 1d.  $P$  contains at least three  $x$ -type vertices.*

Then  $P$  contains no  $b$ -type vertex and no  $d$ -type vertex, because such vertices would have degree 3 in  $P$ . However, on the other hand the three  $x$ -type vertices come from at least two different variable-components. Since any  $c$ -type vertex is adjacent to exactly one  $x$ -type vertex,  $P$  must contain a  $b$ -type or  $d$ -type vertex to connect the  $x$ -type vertices of  $P$  to one another. We conclude that this subcase is not possible.

*Case 2.  $a \in V(P)$ .*

First suppose  $a$  is an end vertex of  $P$ . If  $|V(P)| \geq 2$  then  $P$  contains exactly one vertex that is of  $b$ -type,  $c$ -type or  $d$ -type. Since every  $x$ -type vertex is adjacent to only one other  $x$ -type vertex, this means that  $P$  can have at most four vertices.

Now suppose  $a$  is not an end vertex of  $P$ . Then  $P$  contains exactly two vertices that are of  $b$ -type,  $c$ -type or  $d$ -type. By the same arguments as above, we then find that  $P$  has at most 7 vertices. This completes the proof of Lemma 1.  $\square$

**Lemma 2.** *The graph  $G_I$  is 4-colorable if and only if  $I$  has a satisfying truth assignment.*

*Proof.* Suppose we have a 4-coloring of  $G_I$  with colors  $\{1, 2, 3, 4\}$ . We may assume without loss of generality that  $a$  has color 1, that  $b_{1,1}$  has color 3 and that  $b_{1,2}$  has color 4. This implies that all  $x$ -type vertices have a color from  $\{1, 2\}$ . Furthermore, for  $i = 1, \dots, n$ , if  $x_i$  has color 1 then  $\bar{x}_i$  has color 2, and vice versa. Hence we find that all  $b$ -type and  $d$ -type vertices have a color from  $\{3, 4\}$ . Then by symmetry we may assume that every  $b_{j,1}$  has color 3 and every  $b_{j,2}$  has color 4. This means that every  $c_{j,1}$  has a color from  $\{2, 4\}$ , every  $c_{j,2}$  has a color from  $\{2, 3, 4\}$  and every  $c_{j,3}$  has a color from  $\{2, 3\}$ . Now suppose there is a clause  $C_j$  with each of its three literals colored by color 2. Then  $c_{j,1}$  must have color 4 and  $c_{j,3}$  must have color 3. Consequently,  $d_{j,1}$  has color 3 and  $d_{j,2}$  has color 4. Then  $c_{j,2}$  cannot have a color in a proper 4-coloring of  $G_I$ . Hence this is not possible and we find that at least one literal in every clause is colored by color 1. This means we can define a truth assignment that sets a literal to FALSE if the corresponding  $x$ -type vertex has color 2, and to TRUE otherwise. So a 4-coloring of  $G_I$  implies a satisfying truth assignment for  $I$ .

For the converse, suppose  $I$  has a satisfying truth assignment. We use color 1 to color the  $x$ -type vertices representing the true literals and color 2 for the false literals. Since each clause contains at least one true literal, we note that we can

color  $c_{j,1}$ ,  $c_{j,2}$  and  $c_{j,3}$  and also all other remaining vertices in a straightforward way. This implies a 4-coloring for  $G_I$  and completes the proof of Lemma 2.  $\square$

### 3 Pre-coloring Extension of 4-Coloring for $P_7$ -Free Graphs

In this section we show that the pre-coloring extension version of 4-COLORING is NP-complete for the class of  $P_7$ -free graphs. We use a reduction from NOT-ALL-EQUAL 3-SATISFIABILITY with positive literals only, which we denote as NAE 3SATPL. This NP-complete problem [15] is also known as HYPERGRAPH 2-COLORABILITY and is defined as follows. Given a set  $X = \{x_1, x_2, \dots, x_n\}$  of logical variables, and a set  $C = \{C_1, C_2, \dots, C_m\}$  of three-literal clauses over  $X$  in which all literals are positive, does there exist a truth assignment for  $X$  such that each clause contains at least one true literal and at least one false literal?

We consider an arbitrary instance  $I$  of NAE 3SATPL that has variables  $\{x_1, x_2, \dots, x_n\}$  and clauses  $\{C_1, C_2, \dots, C_m\}$ , and we define a graph  $G_I^*$  with a pre-coloring on some vertices of  $G_I^*$ . Then we show that  $G_I^*$  is  $P_7$ -free and that the pre-coloring on  $G_I^*$  can be extended to a 4-coloring of  $G_I^*$  if and only if  $I$  has a satisfying truth assignment in which each clause contains at least one true literal and at least one false literal.

Here is the construction that defines  $G_I^*$  with a pre-coloring.

- For each clause  $C_j$  we introduce a gadget with vertex set

$$\{a_{j,1}, a_{j,2}, a_{j,3}, b_{j,1}, b_{j,2}, c_{j,1}, c_{j,2}, c_{j,3}, d_{j,1}, d_{j,2}\}$$

and edge set

$$\{a_{j,1}c_{j,1}, a_{j,2}c_{j,2}, a_{j,3}c_{j,3}, b_{j,1}c_{j,1}, c_{j,1}d_{j,1}, d_{j,1}c_{j,2}, c_{j,2}d_{j,2}, d_{j,2}c_{j,3}, c_{j,3}b_{j,2}, b_{j,2}b_{j,1}\},$$

and a disjoint gadget called the *copy* with vertex set

$$\{a'_{j,1}, a'_{j,2}, a'_{j,3}, b'_{j,1}, b'_{j,2}, c'_{j,1}, c'_{j,2}, c'_{j,3}, d'_{j,1}, d'_{j,2}\}$$

and edge set

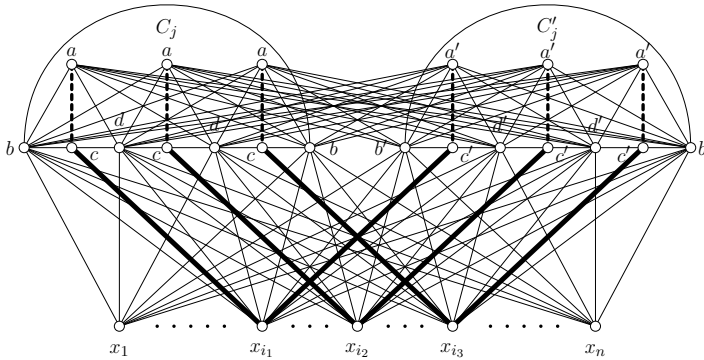
$$\{a'_{j,1}c'_{j,1}, a'_{j,2}c'_{j,2}, a'_{j,3}c'_{j,3}, b'_{j,1}c'_{j,1}, c'_{j,1}d'_{j,1}, d'_{j,1}c'_{j,2}, c'_{j,2}d'_{j,2}, d'_{j,2}c'_{j,3}, c'_{j,3}b'_{j,2}, b'_{j,2}b'_{j,1}\}.$$

We say that all these vertices (so including the vertices in the copy) are of *a*-type, *b*-type, *c*-type and *d*-type, respectively. They induce  $2m$  disjoint 10-vertex components in  $G_I^*$  which we will call *clause-components* in the sequel. We pre-color every  $a_{j,h}$  by 1 and every  $a'_{j,h}$  by 2.

- Every variable  $x_i$  will be represented by a vertex in  $G_I^*$ , and we say that these vertices are of *x*-type.
- For every clause  $C_j$  we fix an arbitrary order of its variables  $x_{i_1}, x_{i_2}, x_{i_3}$  and add edges  $c_{j,h}x_{i_h}$  and  $c'_{j,h}x_{i_h}$  for  $h = 1, 2, 3$ .

- We add an edge between every  $x$ -type vertex and every  $b$ -type vertex. We also add an edge between every  $x$ -type vertex and every  $d$ -type vertex.
- We add an edge between every  $a$ -type vertex and every  $b$ -type vertex. We also add an edge between every  $a$ -type vertex and every  $d$ -type vertex.

In Figure 2 we illustrate an example in which  $C_j$  is a clause with ordered variables  $x_{i_1}, x_{i_2}, x_{i_3}$ . The thick edges indicate the connection between the variables vertices and the  $c$ -type vertices of the two copies of the clause gadget. The dashed thick edges indicate the connections between the (pre-colored)  $a$ -type and  $c$ -type vertices of the two copies of the clause gadget. We omitted the indices from the labels of the clause gadget vertices to increase the visibility.



**Fig. 2.** The graph  $G_I^*$  for the clause  $C_j = \{x_{i_1}, x_{i_2}, x_{i_3}\}$

We complete this section by proving two lemmas. Lemma 3 shows that the graph  $G_I^*$  is  $P_7$ -free. Its proof is postponed to the journal version of our paper. In Lemma 4 we prove that the pre-coloring of  $G_I^*$  can be extended to a 4-coloring of  $G_I^*$  if and only if  $I$  has a truth assignment in which each clause contains at least one true and at least one false literal.

**Lemma 3.** *The graph  $G_I^*$  is  $P_7$ -free.*

**Lemma 4.** *The pre-coloring of  $G_I^*$  can be extended to a 4-coloring of  $G_I^*$  if and only if  $I$  has a truth assignment in which each clause contains at least one true and at least one false literal.*

*Proof.* Suppose the pre-coloring of  $G_I^*$  can be extended to a 4-coloring of  $G_I^*$ . Since  $a_{1,1}$  with color 1 and  $a'_{1,1}$  with color 2 are adjacent to every  $b$ -type vertex, we may assume by symmetry that every  $b_{j,1}$  and every  $b'_{j,1}$  has color 3, whereas every  $b_{j,2}$  and every  $b'_{j,2}$  has color 4. This implies the following. Firstly, it implies that all  $x$ -type vertices have a color from  $\{1, 2\}$ . Consequently, all  $d$ -type vertices must have a color from  $\{3, 4\}$ . Secondly, it implies that every  $c_{j,1}$  has a color

from  $\{2, 4\}$ , every  $c_{j,2}$  has a color from  $\{2, 3, 4\}$  and every  $c_{j,3}$  has a color from  $\{2, 3\}$ . Thirdly, it implies that every  $c'_{j,1}$  has a color from  $\{1, 4\}$ , every  $c_{j,2}$  has a color from  $\{1, 3, 4\}$  and every  $c_{j,3}$  has a color from  $\{1, 3\}$ .

Now suppose there is a clause  $C_j$  with each of its three literals colored by color 2. Then  $c_{j,1}$  must have color 4 and  $c_{j,3}$  must have color 3. Consequently,  $d_{j,1}$  has color 3 and  $d_{j,2}$  has color 4. Then  $c_{j,2}$  cannot have a color in a proper 4-coloring. Hence this is not possible and we find that at least one literal in every clause is colored by color 1. By considering the copies, in a similar way we find that at least one literal in every clause is colored by color 2. Hence, we can define a truth assignment that sets a literal to FALSE if the corresponding  $x$ -type vertex has color 2, and to TRUE otherwise. So a 4-coloring of  $G_I^*$  that extends the pre-coloring on  $G_I^*$  implies a truth assignment for  $I$  in which each clause contains at least one true and at least one false literal.

For the converse, suppose  $I$  has a satisfying truth assignment in which each clause contains at least one true and at least one false literal. We use color 1 to color the  $x$ -type vertices representing the true literals and color 2 for the false literals. Since each clause contains at least one true literal, we can color  $c_{j,1}$ ,  $c_{j,2}$  and  $c_{j,3}$ , respecting the pre-coloring. Similarly, since each clause contains at least one false literal, we can color  $c'_{j,1}$ ,  $c'_{j,2}$  and  $c'_{j,3}$ , respecting the pre-coloring. We color all other remaining uncolored vertices in a straightforward way. This completes the proof of Lemma 4.  $\square$

## 4 Pre-coloring Extension of 3-Coloring for Subclasses of $P_7$ -Free Graphs

Here we consider the pre-coloring extension version of 3-COLORING for  $H$ -free graphs, where  $H$  is a subgraph of  $P_7$  on at most 6 vertices. We can use the polynomial-time algorithm of [3] for solving this problem when  $H$  is an induced subgraph of  $P_6$ , because then any  $H$ -free graph is also  $P_6$ -free. Then the following cases remain:

$$\begin{array}{lll}
 H_1 = 6P_1 & H_6 = 3P_1 + P_3 & H_{11} = P_1 + P_2 + P_3 \\
 H_2 = 5P_1 & H_7 = 2P_1 + 2P_2 & H_{12} = P_1 + P_5 \\
 H_3 = 4P_1 & H_8 = 2P_1 + P_3 & H_{13} = 3P_2 \\
 H_4 = 4P_1 + P_2 & H_9 = 2P_1 + P_4 & H_{14} = P_2 + P_4 \\
 H_5 = 3P_1 + P_2 & H_{10} = P_1 + 2P_2 & H_{15} = 2P_3.
 \end{array}$$

We first consider  $H_i$  for  $i = 1, \dots, 12$ . For these graphs we need the following observation, the proof of which follows from the fact that the decision problem in this case can be modeled and solved as a 2SAT-problem. This approach has been introduced by Edwards [6] and is folklore now, see also [9] and [13].

**Observation 1 ([6]).** *Let  $G$  be a graph in which every vertex has a list of admissible colors of size at most 2. Then checking whether  $G$  has a coloring respecting these lists is solvable in polynomial time.*



**Proposition 1.** *Let  $H$  be a graph. If the pre-coloring extension version of 3-COLORING is solvable in polynomial time for  $H$ -free graphs, then it is also solvable in polynomial time for  $(H + P_1)$ -free graphs.*

*Proof.* Let  $G$  be an  $(H + P_1)$ -free graph with pre-coloring  $\phi_W : W \rightarrow \{1, 2, 3\}$  for some  $W \subseteq V(G)$ . If  $G$  is  $H$ -free, we are done. Otherwise, we use  $\phi_W$  to construct a list of admissible colors for each vertex in  $G$ .

Suppose  $G$  contains an induced subgraph  $H'$  that is isomorphic to  $H$ . Because  $G$  is  $(H + P_1)$ -free, every vertex in  $V(G) \setminus V(H')$  must be adjacent to a vertex in  $H'$ . We guess a coloring of  $V(H')$  that respects the lists. Afterwards we apply Observation 1. Since  $H'$  has a fixed size, the number of guesses is polynomially bounded.  $\square$

Using the polynomial-time algorithm of [3] that solves the pre-coloring extension version of 3-COLORING for  $P_6$ -free graphs, and (repeatedly) applying Proposition 1 yields polynomial-time results of the same problem for  $H_i$ -free graphs for  $i = 1, \dots, 12$ .

The case  $H_{13} = 3P_2$  follows from the more general result that 3-COLORING is polynomial-time solvable for  $sP_2$ -free graphs for any  $s \geq 1$ . This is known already and can be seen as follows. Balas and Yu [1] showed that for any  $s \geq 1$  the number of maximal independent sets in an  $sP_2$ -free graph  $G = (V, E)$  is bounded by a polynomial. These maximal independent sets can then be efficiently enumerated by applying the algorithm of Tsukiyama, Ide, Ariyoshi and Shirakawa [16]. We note that  $G$  has a 3-coloring if and only if  $V$  can be partitioned into at most 3 independent sets  $V_1, V_2, V_3$ , one of which may be assumed to be maximal. Hence, for each maximal independent set  $I$  in  $G$  we check if the subgraph induced by  $V \setminus I$  is bipartite. This can be done in polynomial time.

In Section 4.1 we consider  $H_{14}$ .

#### 4.1 Pre-coloring Extension of 3-Coloring for $(P_2 + P_4)$ -Free Graphs

Below we describe how to test in polynomial time whether a given  $(P_2 + P_4)$ -free graph  $G$  with pre-coloring  $\phi_W : W \rightarrow \{1, 2, 3\}$  for some  $W \subseteq V(G)$  allows a coloring  $\phi : V(G) \rightarrow \{1, 2, 3\}$  with  $\phi(u) = \phi_W(u)$  for all  $u \in W$ .

We start by making two assumptions. Firstly, we assume that  $G$  is connected as otherwise we apply our algorithm on each component of  $G$ . Secondly, we assume that  $G$  contains an induced subgraph  $H$  isomorphic to  $P_6$ . If not, then  $G$  would be  $P_6$ -free and we could use the polynomial-time algorithm for  $P_6$ -free graphs of [3] to solve our problem.

We use  $\phi_W$  to construct a list of admissible colors for each vertex in  $G$ . We guess a coloring of  $H$  respecting these lists and start our algorithm, which we run at most  $3^6$  times as this is an upper bound on the number of possible 3-colorings of  $H$ . From the description of the algorithm it will be immediately clear that its running time is polynomial in  $|V(G)|$ .

Our algorithm first applies the following subroutine. Let  $U \subseteq V(G)$  contain all vertices that have a list consisting of exactly one color. For every vertex  $u \in U$

we remove this single color  $c(u)$  from the lists of its neighbors. If this results in an empty list at some vertex, then we output NO. We remove  $u$  from  $G$  and repeat this process in the remaining graph as long as there exists a vertex with a list of size 1. This process is called *updating* the graph. Note that during this procedure we removed all vertices of  $H$ . We restore them back into  $G$ . We may assume that  $G$  is still connected; otherwise, due to the  $(P_2 + P_4)$ -freeness of  $G$ , every component not containing  $H$  is a single vertex and can be colored trivially. Let  $S$  be the set of vertices that still have a list of admissible colors of size 3. If  $S = \emptyset$  then we can apply Observation 1.

Suppose  $S \neq \emptyset$ . Let  $T$  be the set of vertices of  $V(G) \setminus V(H)$  that have at least one neighbor in  $H$ . Because we colored every vertex in  $H$  and updated  $G$ , every vertex of  $T$  has a list of exactly two admissible colors, and consequently,  $S \cap (V(H) \cup T) = \emptyset$ . Since  $G$  contains no induced  $P_2 + P_4$ , we find that  $V(G) \setminus (V(H) \cup T)$ , and consequently  $S$ , is an independent set in  $G$ . Since  $G$  is connected, each vertex in  $S$  has at least one neighbor in  $T$  (so  $T \neq \emptyset$ ).

For convenience we order the vertices of  $H$  along the  $P_6$  as  $p_1, p_2, \dots, p_6$ , starting with vertex  $p_1$  with degree 1 in  $H$ . Let  $T^* \subseteq T$  consist of all vertices in  $T$  that have a neighbor in  $S$ . Let  $T_1$  denote the subset of vertices of  $T^*$  adjacent to  $p_1, p_3, p_5$  and not to  $p_2, p_4, p_6$ ; let  $T_2$  denote the subset of vertices of  $T^*$  adjacent to  $p_2, p_4, p_6$  and not to  $p_1, p_3, p_5$ ; let  $T_3$  denote the subset of vertices of  $T^*$  adjacent to  $p_2, p_5$  and not to  $p_1, p_3, p_4, p_6$ .

Because every vertex  $u \in T$  has a list of two admissible colors,  $u$  is not adjacent to two adjacent vertices of  $H$  (as these vertices have different colors). By considering a vertex in  $T^*$  together with one of its neighbors in  $S$  and using the  $(P_2 + P_4)$ -freeness of  $G$ , we then find that  $T^* = T_1 \cup T_2 \cup T_3$ .

**Claim 1.** *Either  $T_1 \cup T_2$  or  $T_3$  is empty.*

We prove Claim 1 as follows. Assume  $T_1 \cup T_2 \neq \emptyset$  and  $T_3 \neq \emptyset$ . Without loss of generality, assume there is a vertex  $u \in T_1$  and a vertex  $v \in T_3$ . By definition,  $u$  is adjacent to  $p_1, p_3$  and  $p_5$ . Since  $u$  has a list of 2 admissible colors,  $p_1, p_3$  and  $p_5$  are colored by the same color, say color 1. Because  $p_2$  is adjacent to  $p_1$ , vertices  $p_1$  and  $p_2$  have different colors. Thus the colors of  $p_2$  and  $p_5$  are different. Then  $v$  has only one admissible color in its list. This contradiction proves Claim 1.

Using Claim 1 we distinguish two cases.

*Case 1.  $T_1 \cup T_2$  is empty and  $T_3$  is not empty.*

Since every vertex in  $T_3$  has a list of 2 admissible colors,  $p_2$  and  $p_5$  are colored the same. Recall that  $S$  is an independent set. Hence we can safely color all the vertices in  $S$  by the same color as  $p_2$  and  $p_5$ . We are left to apply Observation 1.

*Case 2.  $T_3$  is empty and  $T_1 \cup T_2$  is not empty.*

If one of  $T_1$  and  $T_2$  is empty, say  $T_2 = \emptyset$ , we proceed as in Case 1. We now assume that none of  $T_1$  and  $T_2$  is empty. As before, this means that  $p_1, p_3, p_5$  must have the same color, say color 1, whereas  $p_2, p_4, p_6$  also have the same color, say color 2. Recall that  $S$  is an independent set. Hence, we can safely color all vertices of

**Table 2.** An update of Table 1

$P_k$ -free	$\ell \rightarrow$							
	3	3*	4	4*	5	5*	$\geq 6$	$\geq 6^*$
$k \leq 5$	P	P	P	P	P	P	P	P
$k = 6$	P	P	?	?	?	NP-c	?	NP-c
$k = 7$	?	?	?	NP-c	?	NP-c	NP-c	NP-c
$k = 8$	?	?	NP-c	NP-c	NP-c	NP-c	NP-c	NP-c
$k \geq 9$	?	?	NP-c	NP-c	NP-c	NP-c	NP-c	NP-c

$S$  that only have neighbors in  $T_1$  by color 1, and all vertices of  $S$  that only have neighbors in  $T_2$  by color 2. Afterwards we remove them from  $G$ . If no vertices of  $S$  remain we apply Observation 1. Suppose  $S$  did not become empty. Then each (remaining) vertex of  $S$  has a neighbor in  $T_1$  and  $T_2$ . We first try the case that all vertices of  $T_1$  receive color 2. For this coloring of  $T_1$ , all vertices in  $S$  get reduced lists of size at most 2, so we can again apply Observation 1.

We are left to consider the possibility that color 3 is used on at least one vertex of  $T_1$ . We try all possible  $O(|V(G)|)$  choices in which we give one fixed vertex  $x \in T_1$  color 3. Below we describe what we do for each such choice.

We first update  $G$ . If  $G$  then only contains vertices that have a list of admissible colors of size 2, we apply Observation 1. Otherwise, we restore  $x$  and all vertices of  $H$  back into  $G$  and redefine sets  $T_1, T_2$  and  $S$  accordingly. We find that no vertex in  $T_2$  is adjacent to  $x$ , because such vertex would have received color 1 and would have been removed when we were updating  $G$ . Furthermore, by definition of  $S$ , no vertex in  $S$  is adjacent to  $x$ , and we may again assume that each vertex in  $S$  is adjacent to a vertex in  $T_1$  and to a vertex in  $T_2$ .

Let  $y$  be an arbitrary vertex of  $T_2$ . Suppose there exists an edge  $ab$  such that  $a \in T_2, b \in S$  and  $y$  is not adjacent to  $a, b$ . Then  $G$  contains an induced  $P_2 + P_4$  formed by  $bap_6y$  and  $xp_1$ . This is not possible. Hence, the vertex  $y$  is adjacent to at least one of the vertices of every edge  $ab$  with  $a \in T_2$  and  $b \in S$ . We consider all possible colorings of  $y$ . This way we reduce the list of admissible colors of each vertex in  $S$  by at least one (either directly or via one of its neighbors in  $T_2$ ) and we apply Observation 1. This finishes Case 2, and thus the description of our algorithm is completed.

## 5 Conclusions

Due to our new results we can update Table 1. This yields Table 2. Positions in this table marked by “?” are still open. We also showed that 3-COLORING is polynomial-time solvable for  $H$ -free graphs if  $H$  is any fixed linear forest on at most 6 vertices, except when  $H = 2P_3$ . Recently, we showed that 3-COLORING is also polynomial-time solvable for  $2P_3$ -free graphs [4].

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