

Chapter 5

Bud Dormancy in Perennial Plants: A Mechanism for Survival

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Abstract Dormancy in vegetative buds of perennial plants plays an important role for surviving harsh environmental conditions. Identifying the genetic and physiological mechanisms regulating dormancy in these vegetative structures will allow manipulation of plant growth and development in both crops and weeds. Model plants have been used to study the physiological effects that photoperiod and temperature impart on dormancy regulation in perennial buds. At the molecular level, models derived through analysis of the transcriptome have shed new light on multiple cellular pathways and physiological processes associated with dormancy transitions and, in some cases, have revealed overlap with pathways regulating flowering and cold acclimation. In this chapter, we discuss proposed models based on advances to our understanding of physiological and molecular factors affecting dormancy regulation in vegetative buds of perennials.

5.1 Introduction

Vegetative buds contain meristems in various stages of growth and development, which possess the capacity to serve as reservoirs for potential vegetative and/or floral development following extremes in seasonal weather conditions. In perennials, these buds are formed on vegetative propagules such as bulbs, corms, roots, rhizomes, stolons, and tubers or, in the case of trees they also exist as apical or axillary buds during the growing and nongrowing season (Anderson et al. 2001). During the perennial life cycle, axillary and apical buds of most woody tree species and shrubs or underground adventitious buds of herbaceous species transition through the

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various stages of dormancy (para-, endo-, and eco-dormancy) as defined by Lang et al. (1987). During the growing season, meristematic development in paradormant axillary or adventitious buds is under the control of physiological signals generated external to the buds (this process is also referred to as correlative inhibition or apical dominance) (Fig. 5.1). In autumn, shortening photoperiod and/or cool temperature

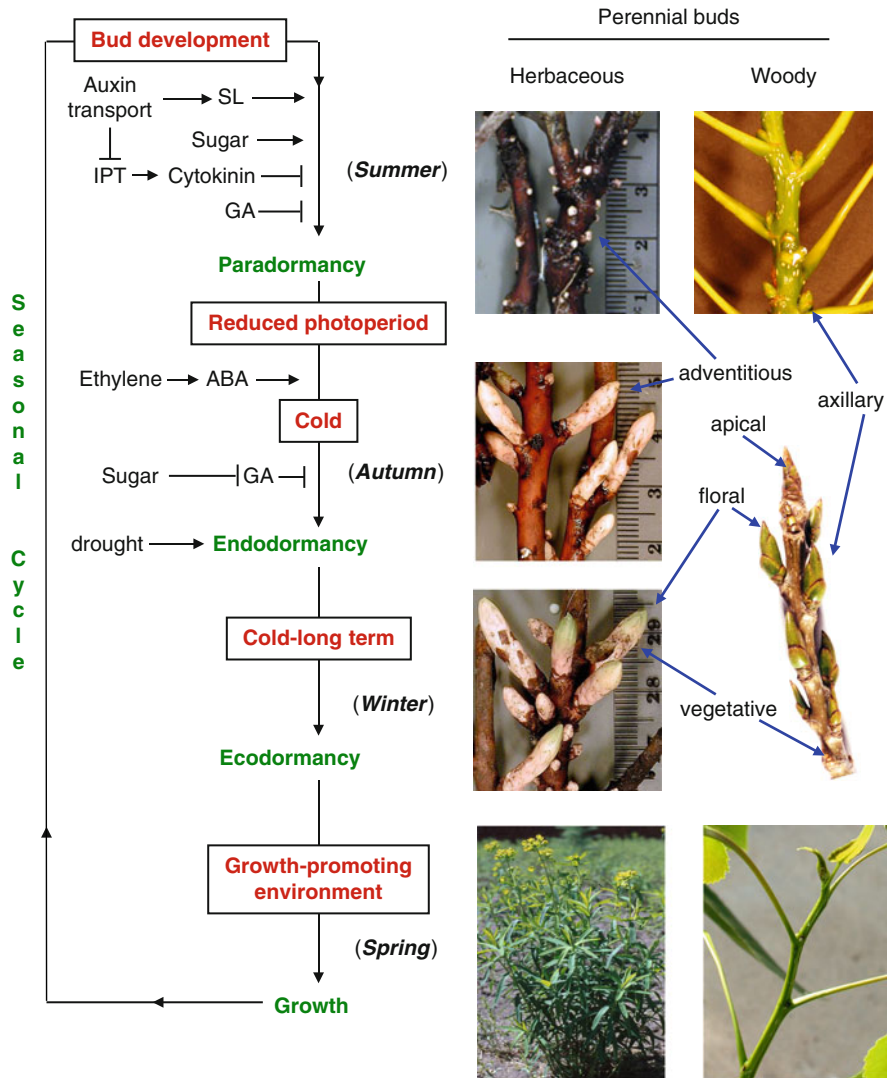


Fig. 5.1 Overview of dormancy status during seasonal bud development in herbaceous and woody perennials. Diagram shows developmental comparison of underground adventitious buds of herbaceous (leafy spurge) and apical or axillary buds of woody (poplar) plants during seasonal transitions in well-defined phases of para-, endo-, and eco-dormancy. Abbreviations: *ABA* abscisic acid, *GA* gibberellic acid, *IPT* isopentenyl transferase, *SL* strigolactone

induces endodormancy (also referred to as innate dormancy) through internal signals and processes within the bud that prevent growth even if external physiological signals are removed and the plants are returned to growth-promoting conditions. Establishment of endodormancy prevents initiation of new shoot growth from buds during autumn when the environment can rapidly fluctuate between growth-promoting and nonpromoting conditions. As a result, endodormancy prevents buds from establishing new shoot growth that would be susceptible to environmental stress (e.g., frost and dehydration) and would thus deplete plants of buds needed to generate vegetative growth the following growing season (Chao et al. 2007; Rohde and Bhalerao 2007; Volaire and Norton 2006). Extended periods of extreme temperatures are generally required to release buds from endodormancy. However, these environmental extremes also prevent further growth, a process known as ecodormancy. In this chapter, we will discuss the environmental factors that affect physiological and molecular signaling mechanisms associated with seasonal transitions in well-defined phases of plant bud dormancy; particularly the induction and release from endodormancy by environmental signals such as photoperiod and/or temperature, and its overlap with flowering pathways in woody and herbaceous perennials.

5.1.1 Bud Phenology in Model Perennials

Determination of vegetative or floral bud development is dependent on specific environmental signals such as exposure to extended cold temperatures or specific photoperiods (Chouard 1960; Rohde and Bhalerao 2007). However, across plant species, the developmental context of buds from annuals, woody perennials, and herbaceous perennials is different. For example, in most woody perennials, such as poplar (*Populus* spp.), the mature growing apices transition to overwintering buds, while in herbaceous perennials such as leafy spurge (*Euphorbia esula*), the mature growing apices senesce and die, which is similar to the model annual plant *Arabidopsis thaliana*. However, unlike *Arabidopsis*, where the whole plant dies, adventitious buds located on the persisting underground crown and root system of leafy spurge overwinter for renewed seasonal shoot growth. Since buds of model herbaceous and woody perennials exhibit differences in their phenotypic development (Fig. 5.1), each will be discussed separately. Although this chapter focuses on dormancy in perennials, where appropriate, information obtained from studies with *Arabidopsis* will be included to bridge gaps in proposed models.

5.1.1.1 Woody Perennials

Several model dicot systems have been used to study bud dormancy and flowering in woody perennials. In the spring, apical buds of poplar initiate growth (often referred to as bud break) to become the new growing shoot meristem (Yuceer et al.

2003). In poplar, preformed early leaves in the apical buds rapidly expand, while leaf primordia on the shoot continue to differentiate to become what is referred to as later leaves. Axillary buds formed at the base of early preformed leaves remain vegetative, while axillary buds that form at the base of the later leaves are programmed to become floral buds in adult trees (see Fig. 5.1). The early preformed leaves may provide the florigenic signal required for the axillary buds of the late-formed leaves to differentiate into floral organs (Yuceer et al. 2003). However, the floral buds that form at the base of later leaves will not flower until they have overwintered. After exposure to 1–2 weeks of short photoperiod, apical meristems cease growth and the leaf primordia differentiate into scales rather than leaves, a process known as bud set. If these buds are placed back under long photoperiod conditions, they still resume growth, indicating that the limited short photoperiods induce ecodormancy. However, subjecting apical buds to 4–6 weeks of short photoperiod prevents growth upon return to long photoperiod conditions, indicating that exposure of buds to extended short photoperiods induces endodormancy. These buds also become partially cold acclimated after 4–6 weeks under short photoperiod conditions; although additional cold temperatures enhance cold hardiness (Welling et al. 1997). Once buds are endodormant, they remain so until extended exposure to cold temperatures reestablish growth competence.

Other woody perennials used to study bud dormancy include chestnut (*Castanea sativa*) (Ramos et al. 2005), grape (*Vitis* spp.) (Fennell and Hoover 1991; Mathiason et al. 2008; Schnabel and Wample 1987; Wake and Fennell 2000), various other fruit crops such as apple (*Malus* spp.) (Foster et al. 2003; Heide and Prestrud 2005) and members of the *Prunus* family such as peach (Bielenberg et al. 2004; Li et al. 2009) and apricot (Yamane et al. 2008), and to lesser extents other woody perennials such as red osier dogwood (*Cornus sericea*) (Smithberg and Weiser 1968; Svendsen et al. 2007). Like poplar, these species follow similar bud developmental phenology, with the formation of an apical meristem (sometimes referred to as a terminal vegetative bud) and axillary buds forming either vegetative or floral buds depending on their maturity requirements, relative position on the stem, and/or light/temperature conditions during development. In grape, bud meristems that overwinter develop 6–9 nodes over the growing season; each node develops a leaf with a vegetative axillary bud along with a tendril or floral bud opposite the leaf. At nodes 4–6, the opposing bud generally forms a flower, while the other bud forms a tendril (Pratt 1971). In apple, the apical bud and those closest to the apical bud become committed to flowering, while more distal buds remain committed to vegetative production (Foster et al. 2003). In both grape and apple, floral bud development occurs in the growing season that precedes flowering, similar to poplar (Foster et al. 2003; Pratt 1971).

Endodormancy induction in some grape varieties require short photoperiod conditions, while other varieties require both short photoperiod and cold temperatures (Fennell and Hoover 1991; Schnabel and Wample 1987). A similar phenomenon has been noted in northern vs. southern ecotypes of red osier dogwood (Smithberg and Weiser 1968; Svendsen et al. 2007). In apple and pear (*Pyrus* spp.), temperature alone appears to regulate endodormancy induction and release

(Heide and Prestrud 2005). In all these systems, once endodormancy is released, buds develop into either vegetative meristems or flowers depending on their pre-determined state.

5.1.1.2 Herbaceous Perennials

Unlike most woody perennials, which develop new vegetative growth from apical or axillary buds located on above ground stems and branches, the aerial portions of herbaceous perennials seasonally die back to ground level, and development of new stems and shoots occurs from adventitious buds that are underground or at the soil surface. Compared to woody perennials, substantially fewer herbaceous perennial models are used to study well-defined phases of dormancy. Leafy spurge has emerged as a prominent model system to study dormancy transitions in herbaceous perennials (Chao et al. 2005).

Leafy spurge is a wild flower common to road sides and pasture lands in central and eastern Europe, but has become an invasive weed in the Northern Great Plains of the US and Canada. Leafy spurge reproduces sexually by seeds, or asexually by vegetative reproduction from an abundance of underground adventitious buds. Dormancy-imposed inhibition of new shoot growth from underground adventitious buds has long been considered a key characteristic leading to the persistence and invasiveness of herbaceous perennial weeds such as leafy spurge, Canada thistle (*Cirsium arvense*), field bindweed (*Convolvulus arvensis*), etc. As a result, the nonuniform emergence of vegetative shoots, resulting from dormancy-imposed inhibition of growth, is one of the key characteristics allowing many weedy plants to escape conventional control measures. Understanding the pathways and networks that regulate dormancy in weedy perennials could identify new targets for manipulating plant growth and reduce economic costs to land managers worldwide.

In herbaceous perennials like leafy spurge, bud and flower development, as well as dormancy transition, deviate from those described for woody perennials. In field settings, leafy spurge forms new adventitious buds on the underground portion of its stem (often referred to as the crown; see Fig. 5.1) and on roots. During late spring to early summer, usually after flowering has occurred, new crown buds form (Anderson et al. 2005). However, visible crown buds will also form on stems of greenhouse-grown, nonflowering plants within 2–3 months after propagation from shoot cuttings. Like axillary buds of other models, crown and root buds will not develop into new shoots during the growing season unless the above ground portion of the plant dies or is removed. During late summer and early autumn, these paradormant buds transition to a state of endodormancy which is often marked by bud expansion. Following extended cold treatment, endodormant buds transition to an ecodormant state at which point they simultaneously become both growth and floral competent (Anderson et al. 2005). Leafy spurge plants that have transitioned through both endo- and eco-dormancy generate new shoot growth followed by flowering after several weeks when returned to growth-conducive environments (Doğramaci et al. 2010; Foley et al. 2009).

Potato (*Solanum tuberosum*) is another model system used to study bud dormancy. In potato, short photoperiod exposure perceived by the leaves induces underground stolons to develop tubers with axillary buds (Rodríguez-Falcón et al. 2006). Once formed, these buds are endodormant (Sonnewald 2001). In addition to the substantial body of work on potato bud endodormancy at the physiological level (Suttle 2004, 2008), there is evidence that seasonal regulation of floral and tuber-inducing signaling pathways might also overlap at the molecular level (Rodríguez-Falcón et al. 2006) and that endodormancy maintenance may involve chromatin remodeling (Law and Suttle 2004).

5.2 Environmental Regulation

Light and/or temperature are important environmental signals affecting transitions between well-defined phases of dormancy and the underlying mechanisms regulating these transitions are a major focus in this review (Figs. 5.2 and 5.3). These environmental signals influence the physiology of buds and alter their ability to initiate new vegetative growth under growth-conducive conditions (Allona et al. 2008; Anderson et al. 2001; Cao et al. 2008; Franklin 2009; Horvath et al. 2003; Rohde and Bhalerao 2007). Interestingly, in leafy spurge, cold temperatures can induce endodormancy, but an extended period of cold temperature (referred to as vernalization in relation to flowering) signals a dual response that initiates growth- and floral-competence (Foley et al. 2009). In poplar, proteins normally associated with flowering in Arabidopsis, such as FLOWERING LOCUS T (FT), TERMINAL FLOWER 1 (TFL1), PHYTOCHROME A (PHYA), and CONSTANS (CO), have also been associated with regulating growth cessation and endodormancy (Böhlenius et al. 2006; Eriksson 2000; Ruonala et al. 2008). In Arabidopsis, genes encoding these proteins are impacted by both light and temperature (Franklin 2009; Kobayashi and Weigel 2007; Michaels 2009; Nozue and Maloof 2006; Penfield 2008). However, although it has been suggested that signal transduction pathways regulating flowering and endodormancy converge (Horvath 2009), there are still many unresolved questions on how these pathways interact.

5.2.1 Light

Photoperiod is generally considered the primary signal regulating endodormancy induction in many perennials (Howe et al. 1996; Jeknic and Chen 1999; Li et al. 2003; Smithberg and Weiser 1968; Wake and Fennell 2000), with the notable exceptions in several Rosaceae family members (Heide and Prestrud 2005). In Arabidopsis and poplar, photoperiod is perceived by photoreceptors such as phytochrome, which perceives the ratio of red to far-red light (Eriksson 2000; Franklin 2009), and in Arabidopsis cryptochrome (CRY) is also known to perceive and

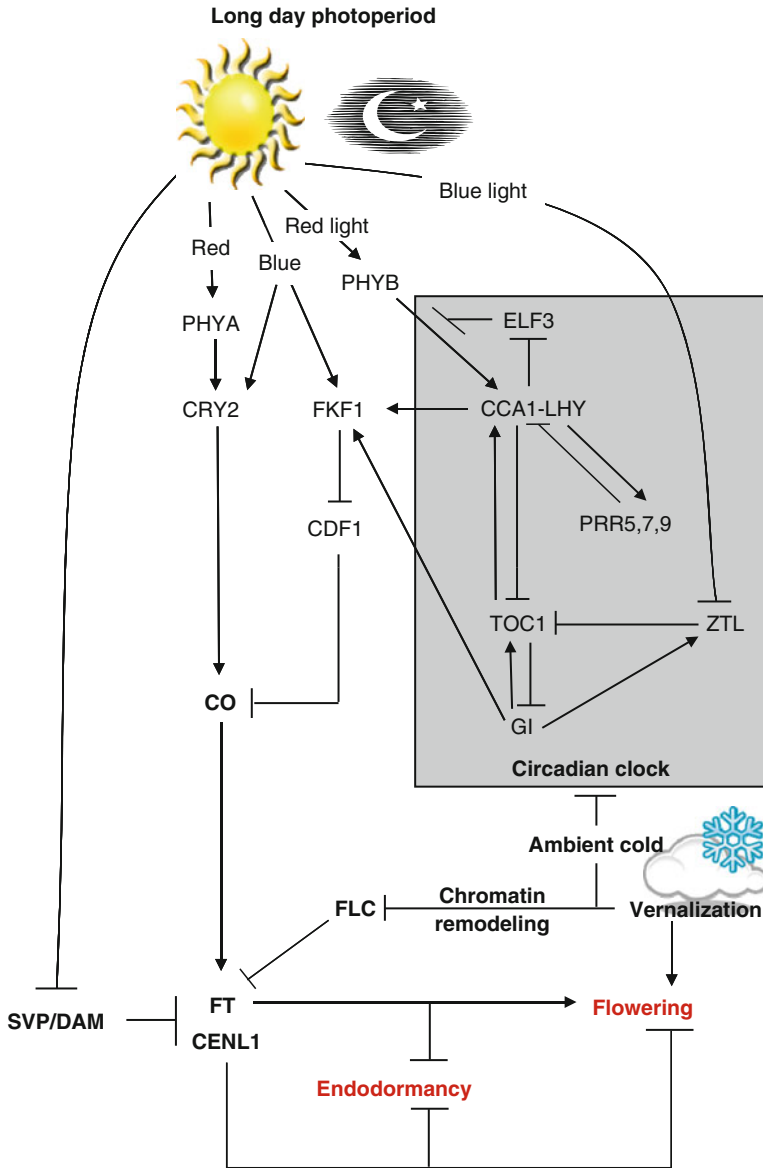


Fig. 5.2 Based on information gathered from both annuals and perennials, this proposed model represents a simplified review of the multiple pathways linking environmental input signals to dormancy regulation and flowering. Both phytochromes and cryptochromes couple input signals from photoperiod and temperature to circadian clock associated proteins. Circadian control involves feedback loop interactions among a host of proteins such as CCA1, ELF3, GI, LHY, PRR9, PRR7 and PRR5, TOC1, and ZTL. Output from entrainment of the circadian clock genes modify the expression of an additional signal transduction cascade that originates from PHYA and CRY2. This portion of the leaf photoperiod measuring mechanism acts through FKF1, GI, CDF1,

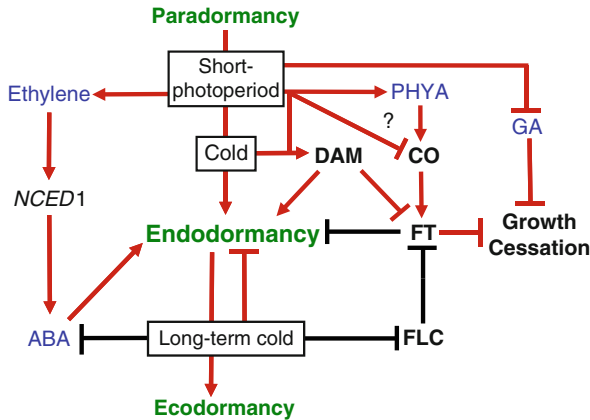


Fig. 5.3 Proposed model of environmental, physiological, and genetic factors impacting dormancy transitions in perennial buds. *Red arrows/bars* indicate genes, hormones, metabolites, or environmental condition that have proven, in at least one perennial system, to induce/inhibit effects on targets, while *black arrows/bars* indicate suspected induction/inhibition on targets. Short daylight affects *PHYA* and *GA* which impact growth cessation and endodormancy induction. Short daylight also induces ethylene production which may induce *ABA* (through induction of *NCED1*) to enhance and perhaps maintain endodormancy. Short daylight and/or short-term cold are suspected of inducing *DAM* genes, the product of which likely act to inhibit *FT* expression to regulate endodormancy induction. Short-term cold also induced *ABA* accumulation in the buds which may enhance endodormancy. Long-term cold inhibits the expression of some (but not all) *DAM* genes, reduces *ABA* content, and releases buds from endodormancy. “?” represents contradictory data where short day clearly induces *PHYA* expression yet overexpression of transgenic *PHYA* inhibits endodormancy

transduce blue light signals (Cashmore et al. 1999; McClung 2006). These and other molecular input signals interact with and respond to components of the circadian clock (see Fig. 5.2), which controls diurnal rhythms in annuals and perennials (Harmer 2009; McClung 2006; Robertson et al. 2008; Rodríguez-Falcón et al. 2006).

In temperate ecosystems, photoperiod is the most reliable indicator of seasonal transitions. Thus, it is not surprising that light regulates dormancy and flowering via genes and signaling mechanisms involved in regulating the circadian clock (Smith 2000). For example, *PHYA* and *PHYB*, proteins involved in entrainment of the

Fig. 5.2. (continued) and the floral promoter *CO*, which partially controls expression of floral integrators like *FT*. *FT* is generally transported through the phloem to the apical meristem. Vernalization mediates the epigenetic repression of *FLC* or *FLC-LIKE*, which encodes a MADS-box transcription factor that also represses the expression of floral pathway integrators such as *FT*. Long photoperiods also inhibit expression of *SVP/DAM*, which encodes other MADS-box transcription factors that inhibits *FT* and *CENLI*. Although not shown in this diagram, there are multiple points of crosstalk between circadian regulation and cold responses that also modify the activation of floral and endodormancy responses

circadian clock (McClung 2006; Rodríguez-Falcón et al. 2006), have a significant impact on flowering in *Arabidopsis* (Fujiwara et al. 2008; Ishikawa et al. 2006; Mas and Yanovsky 2009; McClung 2006). *PHYA* also has an indisputable impact on endodormancy in poplar (Olsen et al. 1997; Ruonala et al. 2008) and *PHYB* plays a critical role in perception of short photoperiod and induction of tuberization in potato (Rodríguez-Falcón et al. 2006). Activation of *Arabidopsis* *PHYB* initiates a series of regulatory feedback loops formed by numerous circadian clock genes (McClung 2006). Additional research with *Arabidopsis* highlights the affect of photoperiod on other circadian regulating genes such as *CIRCADIAN CLOCK ASSOCIATED1 (CCA1)*, *EARLY FLOWERING3-4 (ELF3 and ELF4)*, *GIGANTIA (GI)*, *LATE ELONGATED HYPOCOTYL (LHY)*, *PHYTOCHROME-INTERACTING FACTOR3 (PIF3)*, *PSEUDO-RESPONSE REGULATORS (PRR9, PRR7 and PRR5)*, *TIMING OF CAB1 (TOC1)*, *LUX ARRHYTHMO (LUX)*, and *ZEITLUPE (ZTL)*, (Harmer 2009; McClung 2006). These circadian clock genes interact with and modify the expression of an additional signal transduction cascade that originates from *PHYA* and *CRY1/2*. This portion of the photoperiod measuring mechanism acts through *FLAVIN-BINDING*, *KELCH REPEAT*, *F-BOX1 (FKF1)*, *CYCLING DOF FACTOR1 (CDF1)*, and *CO* (Fujiwara et al. 2008; Kobayashi and Weigel 2007; Michaels 2009; Sawa et al. 2007), and partially controls *FT* and *TFL1* in *Arabidopsis* (Kobayashi et al. 1999).

In poplar, *FT* and *CENTRORADIALIS-LIKE 1 (CENL1)* (orthologue of *TFL1*) regulate seasonal growth cessation (Böhlenius et al. 2006), and dormancy (Ruonala et al. 2008). In *Arabidopsis* and poplar, *FT* and *CENL1* also regulate flowering with *FT* promoting flowering and *TFL1/CENL1* inhibiting flowering (Böhlenius et al. 2006; Kobayashi et al. 1999; Mohamed 2006). Interestingly, whereas wild-type *Populus* spp. ceased growth and set buds under short photoperiod (Eriksson 2000; Olsen 1997), short photoperiod-induced growth cessation and *CO* repression did not occur in *PHYA* overexpressing lines (Böhlenius et al. 2006); even when placed in 6 h of daylight (Olsen et al. 1997). Thus, overexpression of *PHYA* in *Populus* spp. may prevent growth cessation and endodormancy induction by up-regulating *FT* and *CENL1* (Böhlenius et al. 2006; Ruonala et al. 2008).

Because photoperiod impacts circadian regulation and endodormancy induction, it is likely that circadian clock genes also affect endodormancy and *vice versa*. For example, during the transition from endodormancy to ecodormancy, numerous genes involved in circadian regulation were up-regulated in crown buds of leafy spurge (Horvath et al. 2008). A similar induction of genes involved in circadian regulation was also observed in grape, chestnut, and poplar during the transition from endodormancy to ecodormancy (Mathiason et al. 2008; Ramos et al. 2005; Ruttink et al. 2007). Interplay between several components of the circadian clock and floral-regulating MADS-box transcription factors *FLOWERING LOCUS C (FLC)* and *SHORT VEGETATIVE PHASE (SVP)* are known (Fujiwara et al. 2008; Michaels 2009; Salathia et al. 2006), and both of these factors have been shown to regulate *FT* in *Arabidopsis* (Helliwell et al. 2006; Lee et al. 2007; Searle et al. 2006).

5.2.2 Temperature

Temperature, like photoperiod, can also regulate endodormancy and flowering induction in some perennials (Foley et al. 2009; Heide and Prestrud 2005; Mouhu et al. 2009; Schnabel and Wample 1987; Smithberg and Weiser 1968; Svendsen et al. 2007). However, compared to photoperiod, considerably less is known about the role that cold plays in endodormancy induction. Cold temperatures are sufficient for inducing endodormancy in some dogwood ecotypes (Svendsen et al. 2007), Rosaceae spp. (Heide and Prestrud 2005), citrus (Moss 1969), and leafy spurge (Anderson et al. 2005; Foley et al. 2009). Interestingly, Foley et al. (2009) further discovered that crown buds must transition through a state of endodormancy to achieve fulfillment of flowering by vernalization in leafy spurge.

The circadian clock is also known to integrate low temperature responses in both annuals and perennials (Harmer 2009; Penfield 2008; Ramos et al. 2005; Samach and Wigge 2005). Thus, it is not surprising that temperature affects endodormancy in perennials (Heide 2008; Kwolek and Woolhouse 1982; Svendsen et al. 2007). In addition, there is evidence for cross talk between low temperature and phytochrome signaling (Allona et al. 2008; Benedict et al. 2006; Heschel et al. 2007; Kim et al. 2002; Olsen et al. 1997; Penfield 2008; Smith 2000), which may link temperature responses to *FT* and *CENLI* expression and endodormancy induction.

5.2.2.1 Cold-Hardening/Cold-Acclimation

Cool autumn temperatures not only initiate endodormancy in buds of perennials but also induce a phenomenon important for survival referred to as cold hardening or cold acclimation. Cold hardening protects the cellular constituents from damage as a result of dehydration and freezing (Guy 1990; Thomashow 2001), and in *Arabidopsis* cold-regulated gene expression likely occurs through a process involving circadian evening elements (Mikkelsen and Thomashow 2009). Several transcription factors induced by cold temperatures bring about global changes in gene expression that play an important role in the hardening/acclimation process. Among the best studied of these transcription factors is a family of AP2 DNA-binding transcription factors known as C-REPEAT BINDING FACTOR (CBF) 1-4, also known as DEHYDRATION RESPONSIVE ELEMENT BINDING FACTOR (DREB). CBFs are themselves believed to be regulated by another transcription factor known as INDUCER OF CBF EXPRESSION (ICE) 1. *ICE1* is present at normal growing temperatures but is either activated or interacts with a protein that is activated by cold (Thomashow 2001). *PHYA* has been implicated as a transduction pathway mediator; either by *PHYA*-mediated phosphorylation, or by modulation of proteolysis of *ICE1* (Benedict et al. 2006; also see Penfield 2008). Interestingly, overexpression of *PHYA* appears to inhibit short photoperiod-induced cold hardening in poplar (Olsen et al. 1997). In trees, *PHYA* may prevent short photoperiod induced cold hardening and growth cessation through maintenance of gibberellic acid (GA) levels (see Allona et al. 2008).

Transition from para- to endo-dormancy in leafy spurge crown buds, which coincided with decreasing night temperatures, are paralleled by up-regulation of cold-hardening transcripts with homology to Arabidopsis *DREB A4* (At2g35700) and *ICE1-LIKE* (Doğramaci et al. 2010; Horvath et al. 2008). Since cold-induced expressions of some DREB/CBF-family members are gated by the circadian clock in Arabidopsis (Fowler et al. 2005), these particular regulators of transcription might also have some impact or overlap with pathways affecting dormancy transitions in response to low temperature, particularly in plants such as apple or leafy spurge that rely primarily on cold-induced endodormancy.

5.2.2.2 Vernalization

Although cold hardening generally occurs concomitantly with endodormancy induction, extended cold temperatures both break endodormancy and induce floral competency in numerous perennials (Anderson et al. 2005; Chouard 1960; Foley et al. 2009; Nishikawa et al. 2007). Chouard (1960) originally hypothesized that there might be a connection between vernalization and release from endodormancy. This idea was later expanded to suggest that mechanisms such as chromatin modification might play an underlying role in endodormancy in perennials such as leafy spurge, because buds need to “remember” that they are dormant, particularly during brief warm spells common during late autumn and early winter (Horvath et al. 2003). This mechanism was later shown to be true in the case of vernalization in Arabidopsis (Amasino 2004; Henderson and Dean 2004; Sung and Amasino 2004). Further support of this hypothesis in perennials comes from identification of differentially expressed chromatin-modifying genes during endodormancy induction and release in leafy spurge, poplar (in both apical and cambial meristems), grape, and potato (Campbell et al. 2008; Doğramaci et al. 2010; Druart et al. 2007; Horvath et al. 2008; Or et al. 2000; Ruttink et al. 2007).

In contrast to perennials, the mechanisms by which extended cold temperature induces floral competence in winter annuals, such as Arabidopsis, is well understood and information is accumulating on vernalization processes in other species (Alexandre and Hennig 2008). During vernalization, the chromatin structure in the promoter and 5' coding regions of the floral repressor *FLC* is altered to prevent expression of *FLC*, even after multiple rounds of cell division (He and Amasino 2005). The key players in regulating chromatin modifications are VERNALIZATION INSENSITIVE3 (*VIN3*), VERNALIZATION1 (*VRN1*), and 2 (*VRN2*) (Amasino 2004; Sung and Amasino 2004). In Arabidopsis, extended cold temperatures up-regulate *VIN3* by unknown mechanisms and once induced, *VIN3* along with *VRN1*, *VRN2*, and LIKE HETEROCHROMATIN PROTEIN1 (*LHP1*) specifically alter the methylation and acetylation of histones on the *FLC* promoter to block transcription (Henderson and Dean 2004; Sung and Amasino 2004; Sung et al. 2006).

Chromatin remodeling regulates other flowering genes besides *FLC*. Chromatin alterations also regulate *FT* to some extent and *TFL2*, another protein similar to

LHP1 (Takada and Goto 2003). Likewise, the gene *EARLY BOLTING IN SHORT DAYS* (*EBS*), that is similar to a group of bromo/homeodomain containing zinc finger proteins associated with chromatin-modifying complexes, impacts *FT* expression (Pineiro et al. 2003). Mutations in other chromatin-modifying proteins such as various members of the SWITCH/SUCROSE NONFERMENTABLE (SWI/SNF) protein complexes, POLYCOMB group proteins, and other known chromatin-modifying proteins also impact floral timing and development (Farrona et al. 2004; Henderson and Dean 2004; Noh and Noh 2006), and may also impact endodormancy maintenance in perennials (Doğramaci et al. 2010; Horvath et al. 2008). However, the role that epigenetic factors play in regulating endodormancy induction and release in perennial buds requires additional support to confirm the function of chromatin-modifying genes differentially expressed during these transitions.

5.3 Genetic/Physiological Model(s) for Regulation of Dormancy Transitions

Data presented so far highlights that signal transduction pathways affected by both cold and light signaling converge on the expression of *FT* and *CENLI* to affect endodormancy and flowering (Fig. 5.2 and Table 5.1). Both of these genes are also regulated by *SVP* and *FLC* in Arabidopsis, two specific floral-regulating MADS-box transcription factors (Fujiwara et al. 2008; Lee et al. 2007; Michaels 2009). In perennial species, *DORMANCY ASSOCIATED MADS-box* (*DAM*) genes, which are closely related to *SVP*, have been identified as playing a role in endodormancy induction. *DAM* genes were first identified as having a role in dormancy through map-based cloning of the *EVERGROWING* locus in peach (Bielenberg et al. 2004). The *evergrowing* (earlier called *evergreen*) peach varieties contain a mutation that prevents induction of dormancy under short daylight conditions (Li et al. 2009), in contrast to that observed for wild-type varieties (Diaz 1974). Sequencing of the *EVERGROWING* locus of wild type and mutant lines revealed a deletion of a series of *MADS-box* genes in the mutant line (Bielenberg et al. 2008).

It has been hypothesized that induction of *DAM* genes may be required for down-regulating *FT* and *CENLI* during the initiation of growth cessation and/or endodormancy in some perennial species (Horvath et al. 2008; Horvath 2009), since *SVP* down-regulates *FT* in Arabidopsis (Fujiwara et al. 2008; Lee et al. 2007) and *FT* and *CENLI* influences growth cessation and dormancy in trees (Böhlenius et al. 2006; Ruonala et al. 2008). This hypothesis is supported by potential *FT*- and *CENLI*-LIKE genes from leafy spurge being down-regulated concomitantly with *DAM* induction during endodormancy (Horvath et al. 2008). Additionally, Horvath et al. (2010) found that *FT* expression was down-regulated in transgenic Arabidopsis lines overexpressing leafy spurge *DAMI*, and these transgenic lines also had reduced bolt height and delayed flowering compared to wild type. Although the functionality of the putative *FT*-LIKE gene from leafy spurge has not been verified, the results were consistent with the hypothesis that *DAM* regulates *FT/CENLI*.

Table 5.1 Floral regulatory genes and their affect on flowering in the model annual *Arabidopsis* and the impact of suspected orthologs on dormancy in one or more perennial systems

| Gene | Function | Flowering | | | | Dormancy | | | |
|--|------------------|-----------------|----------------|----------------|-----------------|-----------------|----------------|----------------|-----------------|
| | | RR ^a | I ^b | R ^c | AE ^d | RR ^a | I ^b | R ^c | AE ^d |
| <i>APETALA1 (API)</i> | FMI ^e | X | - | - | X | - | - | - | - |
| <i>CONSTANS (CO)</i> | PP ^f | X | X | - | X | X | - | - | X |
| <i>FLOWERING LOCUS T (FT)</i> | FI ^g | X | X | - | X | X | - | X | X |
| <i>FLOWERING LOCUS C (FLC)</i> | FR ^h | X | - | X | X | - | - | - | - |
| <i>LEAFY (LFY)</i> | FMI | X | - | - | X | - | - | - | - |
| <i>PHYTOCHROME A (PHYA)</i> | PP | X | X | - | X | X | - | X | X |
| <i>SHORT VEGETATIVE PHASE/DORMANCY ASSOCIATED MADS BOX (SVP/DAM)</i> | FI | X | - | X | X | X | X | - | X |
| <i>SUPPRESSOR OF CONSTANS 1 (SOC1)</i> | FI | X | - | - | X | - | - | - | - |
| <i>TERMINAL FLOWERING1 (TFL1/CENL1)</i> | FI | X | X | X | X | X | - | X | X |
| <i>VERNALIZATION INSENSITIVE 3 (VIN3)</i> | VRN ⁱ | X | X | - | X | - | - | - | - |
| <i>VERNALIZATION INSENSITIVE 1/2 (VIN1/2)</i> | VRN | X | X | - | - | - | - | - | - |
| Other chromatin modifiers | VRN | X | - | - | X | X | - | - | X |
| Circadian regulators | PP | X | X | X | X | X | - | X | X |

^aRR, denotes proven regulatory roles

^bI, indicates if the gene induces flowering and/or dormancy

^cR, if gene represses flowering and/or dormancy

^dAE, indicates if environmental signals that regulate flowering and/or dormancy cause alter expression of the genes

^eFloral meristem identity gene

^fPhotoperiod pathway gene

^gFloral integrator gene

^hFloral repressor

ⁱVernalization pathway gene

Research is continuing in multiple perennials to determine if *DAM* gene expression has any direct impact on dormancy, flowering, or *FT* expression.

The induction of *DAM* genes may also play a role in regulating transitional phases of dormancy in other perennials. For example, *DAM* genes from raspberry (*Rubus idaeus*) (Mazzitelli et al. 2007), potato (Campbell et al. 2008), apricot (Yamane et al. 2008), peach (Bielenberg et al. 2008; Li et al. 2009), and likely poplar (see Horvath et al. 2008) have been implicated in bud dormancy regulation. Differential expression of *DAM* genes has been observed during dormancy-inducing short photoperiod conditions in *Populus* spp. and *Prunus* spp. (Ruttink et al. 2007; Yamane et al. 2008) and during cold-induced endodormancy induction of leafy spurge (Horvath et al. 2010). Additionally, putative *cis*-acting elements similar to circadian-regulating evening elements, affecting diurnal regulation, are conserved in the promoters of *DAM* genes of poplar and leafy spurge (Horvath et al. 2008), but CBF-binding sites (Fowler et al. 2005) are only observed in the leafy spurge *DAM1* promoter (Horvath et al. 2008). Thus among perennial species, the presence of CBF sites in the promoters of *DAM* genes could explain why cold is the primary signal-inducing endodormancy in some perennial species, as opposed to other perennial species where photoperiod primarily regulates endodormancy induction.

Evidence presented in this review suggests that *PHYA* may play a role in seasonal growth cessation and endodormancy induction through regulation of *FT* and *CENLI*. However, release from endodormancy by extended cold temperatures likely does not involve these genes, at least in perennials such as leafy spurge, where *FT*- and *CENLI-LIKE* genes are not up-regulated following endodormancy release (Horvath et al. 2008). Likewise, *PHYA* signaling is unlikely in endodormant buds from most temperate perennials since leaves (the primary photoreceptor organs) are not present during the transition from endodormancy to ecodormancy. Observing the impact of *FT*- and *CENLI-LIKE* gene expression in buds of perennials to determine if *FT* and *CENLI* expression is sufficient for endodormancy release across multiple species should help to clarify their functional role in endodormancy maintenance. However, since some *DAM* genes are preferentially expressed only during endodormancy (Horvath et al. 2008; Li et al. 2009; Yamane et al. 2008), *DAM* could be part of a mechanism involved in controlling both induction and release of endodormancy in perennials.

5.3.1 Hormones

Hormones such as abscisic acid (ABA), GA, cytokinin, auxin, and ethylene are implicated in various aspects of growth cessation, bud set, and endodormancy in both woody and herbaceous perennials (Allona et al. 2008; Chao et al. 2006, 2007; Horvath et al. 2003, 2008; Horvath 2009; Olsen 2006; Rodríguez-Falcón et al. 2006; Rohde and Bhalerao 2007). Since photoperiod impacts endodormancy induction and affects circadian responses, it is likely that circadian responses could impact hormone levels affecting dormancy and vice versa (Alabadi and Blázquez 2009; Covington and Harmer 2007; Nozue and Maloof 2006; Robertson et al. 2008). In addition, cold night temperatures combined with inhibition of GA accumulation are sufficient for inducing ecodormancy and bud set in *PHYA* overexpressing poplar lines (Mølmann et al. 2005), and cold temperature also blocks growth through the action of hormones, such as ABA and GA (see Figs. 5.1 and 5.3). There is evidence that ABA levels as well as oxidative stress may play some role in endodormancy release in perennials (Arora et al. 2003; Destefano-Beltrán et al. 2006; Or 2009). Additionally, auxin responses are impacted by conditions that induce endodormancy (Anderson et al. 2005; Horvath et al. 2008; Schrader et al. 2004), and a node that links the clock and auxin networks has recently been identified (Rawat et al. 2009). For many years, auxin was considered the primary hormone regulating the transition from paradormancy to growth (Beveridge 2006). However, the lack of apically derived auxin transport into buds, and the contradictory role of auxin requirements for bud growth pose unanswered questions as to the mechanisms by which auxin controlled bud outgrowth. Cytokinins have been noted for promotion of cell division and organ formation that are invoked as key regulatory signals promoting axillary bud outgrowth when the apical meristem is removed, and auxin is known to negatively regulate cytokinin biosynthesis in

Arabidopsis (Nordstrom et al. 2004). A strigolactone, whose production appears to be dependent on polar auxin transport in annuals, has also been implicated in inhibiting shoot branching (Gomez-Roldan et al. 2008) and appears to directly impact bud growth (see Fig. 5.1). It will be interesting to determine if this new hormone plays any role in transitions between paradormancy and endodormancy in perennials.

Data obtained from poplar, leafy spurge, and potato provides evidence that a transient spike in ethylene (or ethylene perception) precedes, and is necessary for, the initiation of endodormancy (Horvath et al. 2008; Ruttink et al. 2007; Suttle 1998). Research done on ABA accumulation in *Citrus* suggests that ethylene may directly induce the key ABA biosynthetic gene *9-CIS-EPOXYCAROTENOID DIOXYGENASE (NCED1)* (Rodrigo and Alquezar 2006). In turn, catabolism of ABA during dormancy release in potato may, in part, be correlated to decreased levels of *NCED* (Destefano-Beltrán et al. 2006). In leafy spurge, ABA levels were elevated during endodormancy but dropped following the transition to ecodormancy (Horvath et al. 2008). At least ten other genes associated with ethylene production or ethylene responses were highly expressed during paradormancy, but were repressed later during endo- and eco-dormancy (Horvath et al. 2008).

5.3.2 Sugar

Sugar levels have been correlated with the transition of vegetative buds from paradormancy to endodormancy (Anderson et al. 2005; Arora et al. 2003; Chao et al. 2006). The effect of sugars on regulating well-defined phases of vegetative bud dormancy has been investigated using the model perennial weed, leafy spurge. Sugars from leaves of leafy spurge have been linked to suppression of underground adventitious bud growth during paradormancy (Horvath 1999), and further research has shown that glucose or sucrose can inhibit root bud growth in a mechanism reversed by GA (Chao et al. 2006; Horvath et al. 2002). In addition, sugar signaling is suspected to play an important role in maintaining paradormancy by affecting cell cycle progression at the G1/S phase (Horvath et al. 2002). Shoot removal causes a rapid degradation of starch and a decline in sucrose, concurrent with the transition from paradormancy to active shoot growth (Chao et al. 2006; Horvath et al. 2002). In contrast, during autumn senescence, conversion of starch to sucrose occurs in leafy spurge underground adventitious buds, which is also paralleled by a transition from paradormancy to endodormancy (Anderson et al. 2005). In parallel with these transitions, transcript levels of a leafy spurge starch degrading enzyme, β -amylase, were 1,000-fold higher in endodormant buds and 16,000-fold higher in ecodormant buds than that of paradormant buds (Chao and Serpe 2009). Genes involved in starch degradation were also up-regulated in the cambial meristems of poplar during endodormancy (Schrader et al. 2004). Based on these and other findings, it is proposed that both sugars and their metabolism may play a role in regulating vegetative bud dormancy through cross talk with hormones (Fig. 5.1) (Anderson

et al. 2001, 2005; Horvath et al. 2003). Specifically, it has been suggested that sugars are antagonistic to GA perception and likely play a role in signaling pathways required for inducing endo- and eco-dormancy (Chao et al. 2007).

5.4 Conclusions

Vegetative buds have an essential role in the perennial life cycle, and also serve as a mechanism for plant survival after periods of harsh environmental stress. Evolution of this process allowed plants to adapt to seasonal changes in environment and to expand across vast areas of land in temperate regions of the world; an adaptation that could be adversely challenged by global warming. The ability of meristematic cells within these buds to enter and exit well-defined phases of dormancy in response to environmental signals ensures appropriate timing of both vegetative growth and flowering. Consequently, meristematic cells of perennial buds are governed by specific internal signal transduction pathways that respond in concert to external environmental cues. Advances in our understanding of these processes now suggests that photoperiod and temperature signal transduction pathways affecting dormancy likely converge and/or share components with signaling pathways regulating flowering, including similar transcription factors, chromatin remodeling genes, and biochemical signals and receptors.

It is not surprising that many of the genes involved in circadian response pathways have been linked to dormancy transitions, since buds of several woody and herbaceous perennial species use seasonal changes in photoperiod as a reliable signal for regulating endodormancy induction. Additional studies are still needed to identify what, if any, role circadian regulated genes play in dormancy transitions, and to understand why some circadian-regulating genes are up-regulated or constitutively expressed during cold periods associated with endo- and eco-dormancy (Horvath et al. 2008; Ramos et al. 2005). Clock regulators must undergo temporal synchronization to impact responses that allow plant buds to adjust to seasonal changes. As a consequence, understanding how photoperiod and temperature modify expression of specific clock genes could provide insight into the mechanisms through which these environmental factors regulate dormancy and survival.

Duplication of *DAM* genes may have led to specialization of these genes for unique roles in the regulation of dormancy and flowering. Nearly all of the genes mentioned in this review are members of gene families. Understanding the evolution of these gene families and the specific factors controlling both their regulation and function is needed to determine what role, if any, individual members have in dormancy regulation. Comparative transcriptomics indicates that numerous genes involved in multiple physiological, developmental, and biochemical responses show conserved patterns of expression during endodormancy transitions and during floral transitions. However, additional research is needed to determine the specific functions and interactions that these multiple signaling and molecular pathways

have on transitions in well-defined phases of bud dormancy in woody and herbaceous perennial plants.

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