

# Acyclically 3-Colorable Planar Graphs

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**Abstract.** In this paper we study the planar graphs that admit an acyclic 3-coloring. We show that testing acyclic 3-colorability is  $\mathcal{NP}$ -hard for planar graphs of maximum degree 4 and we show that there exist infinite classes of cubic planar graphs that are not acyclically 3-colorable. Further, we show that every planar graph has a subdivision with one vertex per edge that is acyclically 3-colorable. Finally, we characterize the series-parallel graphs such that every 3-coloring is acyclic and we provide a linear-time recognition algorithm for such graphs.

## 1 Introduction

A *coloring* of a graph is an assignment of *colors* to vertices such that no two adjacent vertices have the same color. A *k-coloring* is a coloring using  $k$  colors. Planar graph colorings have been widely studied from both a combinatorial and an algorithmic point of view. The existence of a 4-coloring for every planar graph, proved by Appel and Haken [4,5], is one of the most famous results in Graph Theory. A quadratic-time algorithm is known to compute a 4-coloring of any planar graph [15].

An *acyclic coloring* is a coloring with *no bichromatic cycle*. An *acyclic k-coloring* is an acyclic coloring using  $k$  colors. Acyclic colorings have been deeply investigated in the literature. From an algorithmic point of view, Kostochka proved in [12] that deciding whether a graph admits an acyclic 3-coloring is  $\mathcal{NP}$ -hard. From a combinatorial point of view, the most interesting result is perhaps the one proved by Alon *et al.* in [2], namely that every graph with degree  $\Delta$  can be acyclically colored with  $O(\Delta^{4/3})$  colors, while there exist graphs requiring  $\Omega(\Delta^{4/3}/\sqrt[3]{\log \Delta})$  colors in any acyclic coloring.

Acyclic colorings of planar graphs have been first considered in 1973 by Grünbaum, who proved in [10] that there exist planar graphs requiring 5 colors in any acyclic coloring. The same lower bound holds even for bipartite planar graphs [13]. Grünbaum conjectured that such a bound is tight and proved that 9 colors suffice for constructing such a coloring. The Grünbaum upper bound was improved to 8 [14], to 7 [1], to 6 [11], and finally to 5 by Borodin [6].

Since there exist planar graphs requiring 5 colors in any acyclic coloring, it is natural to study which planar graphs can be acyclically 3- or 4-colored. In this paper we study the acyclically 3-colorable planar graphs, from both an algorithmic and a combinatorial perspective. We show the following results.

- In Sect. 3 we prove that deciding whether a planar graph of maximum degree 4 has an acyclic 3-coloring is an  $\mathcal{NP}$ -complete problem. An  $\mathcal{NP}$ -hardness proof for deciding acyclic 3-colorability was known for bipartite planar graphs of degeneracy

2 [12]. The  $\mathcal{NP}$ -hardness result is not surprising, since an analogous result is known for deciding (possibly non-acyclic) 3-colorability of planar graphs of degree 4 [9]. However, we show an interesting difference between the class of 3-colorable planar graphs and the class of acyclically 3-colorable planar graphs, by exhibiting an infinite number of cubic planar graphs not admitting any acyclic 3-coloring (while  $K_4$  is the only cubic graph that can not be 3-colored [8]). We remark that it is known how to construct acyclic 4-colorings of every cubic (even non-planar) graph [16].

- In Sect. 4 we prove that every planar graph has a subdivision with one vertex per edge that is acyclically 3-colorable. Acyclic colorings of graph subdivisions have been already considered by Wood in [18], where the author observed that every graph has a subdivision with two vertices per edge that is acyclically 3-colorable.
- In Sect. 5 we consider the problem of determining the planar graphs such that every 3-coloring is acyclic. Such a problem has been introduced by Grünbaum [10], who showed that every 3-coloring of a maximal outerplanar graph is acyclic. We improve his result by characterizing the series-parallel graphs such that every 3-coloring is acyclic and by providing a linear-time recognition algorithm. As a side result, we show a simple algorithm for obtaining an acyclic 3-coloring of any series-parallel graph.

In Sect. 6 we conclude and we present some open problems. Some proofs are omitted because of space limitations and can be found in the full version of the paper [3].

## 2 Preliminaries

A graph  $G$  is  $k$ -connected if removing any  $k-1$  vertices leaves  $G$  connected; 3-connected and 2-connected graphs are called *triconnected* and *biconnected* graphs, respectively. The *degree of a vertex* is the number of incident edges. The *degree of a graph* is the maximum degree of the vertices of the graph. In a *cubic* graph (resp. a *subcubic* graph) each vertex has degree exactly 3 (resp. at most 3). A *subdivision* of a graph  $G$  is obtained by replacing each edge of  $G$  with a path. A  $k$ -subdivision of  $G$  is such that any path replacing an edge of  $G$  has at most  $k$  internal vertices. The internal (extremal) vertices of the paths replacing the edges of  $G$  are called *subdivision vertices* (resp. *main vertices*).

A *planar graph* is a graph with no  $K_5$ -minor and no  $K_{3,3}$ -minor. A planar graph is *maximal* if all its faces are delimited by 3-cycles. An *outerplanar graph* is a graph admitting a planar drawing with all the vertices on the outer face. Combinatorially, an outerplanar graph is a graph with no  $K_4$ -minor and no  $K_{2,3}$ -minor. An outerplanar graph is *maximal* if all its internal faces are delimited by 3-cycles. A *series-parallel graph* (*SP-graph*) is a graph with no  $K_4$ -minor. SP-graphs are inductively defined as follows. An edge  $(u, v)$  is an SP-graph with *poles*  $u$  and  $v$ . Denote by  $u_i$  and  $v_i$  the poles of an SP-graph graph  $G_i$ . A *series composition* of SP-graphs  $G_0, \dots, G_k$ , with  $k \geq 1$ , is an SP-graph with poles  $u=u_0$  and  $v=v_k$ , containing graphs  $G_i$  as subgraphs, and such that  $v_i=u_{i+1}$ , for each  $i=0, 1, \dots, k-1$ . A *parallel composition* of SP-graphs  $G_0, \dots, G_k$ , with  $k \geq 1$ , is an SP-graph with poles  $u=u_0=u_1=\dots=u_k$  and  $v=v_0=v_1=\dots=v_k$  and containing graphs  $G_i$  as subgraphs. The *SPQ-tree*  $\mathcal{T}$  of an SP-graph  $G$  is the tree, rooted at any node, representing the series and parallel compositions of  $G$ .

### 3 Deciding the Acyclic 3-Colorability of Planar Graphs

In this section we study the problem of deciding whether a given planar graph admits an acyclic 3-coloring. First, we present a very simple proof that Planar Graph Acyclic 3-Colorability is  $\mathcal{NP}$ -hard. We remark that a proof of  $\mathcal{NP}$ -hardness for Planar Graph Acyclic 3-Colorability has been already presented by Kostochka in [12]. We later prove an analogous complexity result for planar graphs of maximum degree 4.

**Theorem 1.** *Planar Graph Acyclic 3-Colorability is  $\mathcal{NP}$ -complete.*

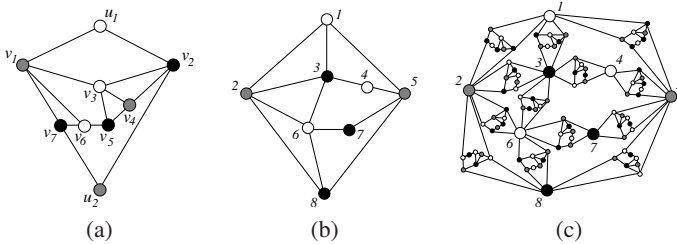
The membership in  $\mathcal{NP}$  is trivial. To show the  $\mathcal{NP}$ -hardness, we sketch a simple reduction from Planar Graph 3-Colorability that uses the graph  $G_9$  shown in Fig. 1.a as a gadget. It is easy to see that  $G_9$  has only one acyclic 3-coloring (up to a switch of the color classes), which satisfies the following properties: (P1)  $u_1$  and  $u_2$  have different colors; (P2) every path connecting  $u_1$  and  $u_2$  contains vertices of all the three colors.

The reduction works as follows. Let  $G$  be an instance of Planar Graph 3-Colorability (see Fig. 1.b). Replace each edge  $(u, v)$  of  $G$  with a copy of  $G_9$  by identifying vertices  $u$  and  $v$  with  $u_1$  and  $u_2$ , respectively (see Fig. 1.c). Let  $G'$  be the resulting planar graph. We argue that  $G$  admits a 3-coloring if and only if  $G'$  admits an acyclic 3-coloring.

First, suppose that  $G$  admits a 3-coloring. For each edge  $(u, v)$  of  $G$ , color the corresponding graph  $G_9$  in  $G'$  by assigning the color of  $u$  to  $u_1$ , the color of  $v$  to  $u_2$ , and by then completing the unique acyclic 3-coloring of  $G_9$ . The resulting coloring of  $G'$  is acyclic. Namely, assume, for a contradiction, that  $G'$  contains a bichromatic cycle  $\mathcal{C}$ . Such a cycle is not entirely contained inside a graph  $G_9$  replacing an edge of  $G$  in  $G'$  (in fact, the 3-coloring of each graph  $G_9$  is acyclic). Hence,  $\mathcal{C}$  contains vertices of more than one graph  $G_9$ . This implies that  $\mathcal{C}$  contains as a subgraph a simple path  $p$  connecting vertices  $u_1$  and  $u_2$  of a graph  $G_9$ . However, by property P2 of the  $G_9$ 's coloring,  $p$  contains vertices of all the three colors, a contradiction.

Second, if  $G'$  admits an acyclic 3-coloring, a coloring of  $G$  is obtained from the acyclic 3-coloring of  $G'$  by assigning to each vertex of  $G$  the color of the corresponding vertex of  $G'$ . By property P1, each edge of  $G$  connects vertices of distinct colors.

Next, we show that testing whether a planar graph has an acyclic 3-coloring remains an  $\mathcal{NP}$ -hard problem even when restricted to planar graphs of degree 4.



**Fig. 1.** (a) Graph  $G_9$  and its unique acyclic 3-coloring. (b) A planar graph  $G$ . (c) The planar graph  $G'$  obtained by replacing each edge of  $G$  with a copy of  $G_9$ .

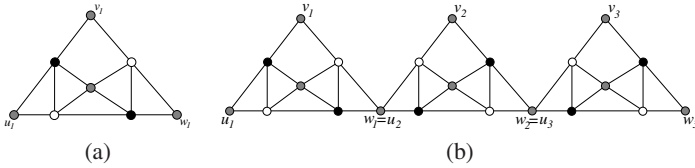


Fig. 2. (a) Graph  $H_1$ . (b) Graph  $H_3$ .

**Theorem 2.** Degree-4 Planar Graph Acyclic 3-Colorability is  $\mathcal{NP}$ -complete.

The membership in  $\mathcal{NP}$  is trivial. To show the  $\mathcal{NP}$ -hardness, we sketch a simple reduction from Planar Graph Acyclic 3-Colorability. Consider the family of graphs  $H_i$  defined as follows.  $H_1$  is shown in Fig. 2.a.  $H_i$  is obtained from a copy of  $H_{i-1}$  and a copy of  $H_1$  by renaming vertices  $u_1, v_1,$  and  $w_1$  of  $H_1$  with labels  $u_i, v_i,$  and  $w_i$ , respectively, and by identifying vertex  $w_{i-1}$  of  $H_{i-1}$  and vertex  $u_i$  of  $H_1$ .  $H_3$  is shown in Fig. 2.b. Vertices  $u_j, v_j,$  and  $w_j$  of  $H_i$ , for  $1 \leq j \leq i$ , are the outlets of  $H_i$ . The family of graphs  $H_i$  has been defined in [9] to perform a reduction from Planar Graph Colorability to Degree-4 Planar Graph Colorability. Here we use the same graph class to reduce Planar Graph Acyclic 3-Colorability to Degree-4 Planar Graph Acyclic 3-Colorability. It is easy to see that  $H_i$  satisfies the following properties: (P0)  $H_i$  admits an acyclic 3-coloring; (P1) in any acyclic 3-coloring of  $H_i$ , the outlets have the same color  $c_0$ ; (P2) in any acyclic 3-coloring of  $H_i$ , for any two outlets  $x_j$  and  $y_k$  of  $H_i$ , there exist two bichromatic paths with colors  $c_0$  and  $c_1$ , and with colors  $c_0$  and  $c_2$ , respectively, where  $x, y \in \{u, v, w\}$  and  $j, k \in \{1, 2, \dots, i\}$ .

We reduce Planar Graph Acyclic 3-Colorability to Degree-4 Planar Graph Acyclic 3-Colorability. Let  $G$  be any instance of Planar Graph Acyclic 3-Colorability (Fig. 3.a). For each vertex  $z$  of  $G$  with  $d$  neighbors  $z_1, z_2, \dots, z_d$ , delete  $z$  and its incident edges from  $G$ , introduce a copy  $H(z)$  of  $H_d$ , and add an edge between outlet  $v_j$  of  $H(z)$  and  $z_j$ , for each  $j=1, 2, \dots, d$  (Fig. 3.b). We argue that the resulting planar graph  $G'$  of degree 4 admits an acyclic 3-coloring if and only if  $G$  admits an acyclic 3-coloring.

Suppose that  $G$  admits an acyclic 3-coloring. Color the outlets  $z_j$  corresponding to each vertex  $z$  of  $G$  with the color of  $z$ . By properties P0 and P1, the coloring of each  $H(z)$  can be completed to an acyclic 3-coloring. Any cycle  $C'$  of  $G'$  either is entirely

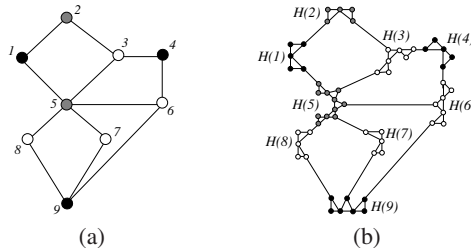


Fig. 3. (a) A planar graph  $G$ . (b) Graph  $G'$  obtained by replacing each degree- $d$  vertex  $z$  of  $G$  with a copy  $H(z)$  of  $H_d$ . For each graph  $H(z)$ , only its outlets are shown.

contained in a graph  $H(z)$  (hence  $\mathcal{C}'$  is not bichromatic), or contains vertices of several graphs  $H(z)$ . In the latter case suppose, for a contradiction, that  $\mathcal{C}'$  is bichromatic. Consider the (possibly non-simple) cycle  $\mathcal{C}$  of  $G$  containing a vertex  $z$  if  $\mathcal{C}'$  passes through vertices of  $H(z)$  and containing an edge  $(z_1, z_2)$  if  $\mathcal{C}'$  contains an edge between a vertex of  $H(z_1)$  and a vertex of  $H(z_2)$ . Since the outlets of  $H(z)$  have the same color of  $z$ , the colors of the vertices of  $\mathcal{C}$  are a subset of the colors of the vertices of  $\mathcal{C}'$ ; since  $\mathcal{C}'$  is bichromatic,  $\mathcal{C}$  is bichromatic, as well, contradicting the assumption that the coloring of  $G$  is acyclic.

Suppose that  $G'$  admits an acyclic 3-coloring. Color  $G$  by assigning to each vertex  $z$  the color of the outlets of  $H(z)$  (by Property P1, all such outlets have the same color). Suppose that  $G$  contains a bichromatic cycle  $\mathcal{C}$  with colors  $c_0$  and  $c_1$ . A bichromatic cycle  $\mathcal{C}'$  in  $G'$  is found by replacing each vertex  $z_1$  of  $\mathcal{C}$  with a path with colors  $c_0$  and  $c_1$  connecting the outlets of  $H(z_1)$  adjacent to the outlets of  $H(z_2)$  and  $H(z_3)$ , where  $z_2$  and  $z_3$  are the neighbors of  $z_1$  in  $\mathcal{C}$ . Such a path exists by Property P2. Then,  $\mathcal{C}'$  is a bichromatic cycle in  $G'$ , contradicting the assumption that the coloring of  $G'$  is acyclic.

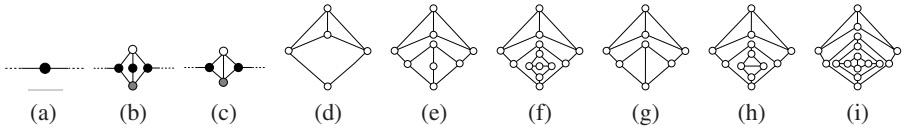
Now we show infinite classes of cubic planar graphs not admitting any acyclic 3-coloring. Such a result is based on the following lemmata. Denote by  $K_{2,3}$  the complete bipartite graph whose vertex sets  $V_{2,3}^A$  and  $V_{2,3}^B$  have two and three vertices, respectively. Denote by  $K_{1,1,2}$  the complete tripartite graph whose vertex sets  $V_{1,1,2}^A$ ,  $V_{1,1,2}^B$ , and  $V_{1,1,2}^C$  have one, one, and two vertices, respectively.

**Lemma 1.** *Let  $G$  be a graph having a vertex  $z$  of degree 2 adjacent to two vertices  $u$  and  $v$ . Let  $G'$  be the graph obtained by substituting  $z$  with a copy of  $K_{2,3}$ , where a vertex  $u_{2,3}^B$  of  $V_{2,3}^B$  is connected to  $u$  and a vertex  $v_{2,3}^B \neq u_{2,3}^B$  of  $V_{2,3}^B$  is connected to  $v$  (see Fig. 4.a and Fig. 4.b). Then,  $G'$  has an acyclic 3-coloring if and only if  $G$  has an acyclic 3-coloring.*

**Proof:** Suppose that  $G$  has an acyclic 3-coloring. Color each vertex of  $G'$  not in  $K_{2,3}$  as in  $G$ , the vertices in  $V_{2,3}^B$  with the color  $c_z$  of  $z$ , and the vertices in  $V_{2,3}^A$  with the two colors different from  $c_z$ . Every cycle  $\mathcal{C}'$  in  $G'$  either does not pass through vertices of  $K_{2,3}$  (hence it is also a cycle in  $G$  and it is not bichromatic), or it is a subgraph of  $K_{2,3}$  (hence it is not bichromatic), or it passes through vertices of  $K_{2,3}$  and contains a path  $\mathcal{P}'$  from  $u_{2,3}^B$  to  $v_{2,3}^B$  whose vertices do not belong to  $K_{2,3}$  (except for  $u_{2,3}^B$  and  $v_{2,3}^B$ ). However,  $\mathcal{P}'$  is a cycle in  $G$  (where  $u_{2,3}^B$  and  $v_{2,3}^B$  are identified to be the same vertex  $z$ ), hence it is not bichromatic.

Suppose that  $G'$  has an acyclic 3-coloring. In any acyclic coloring of  $K_{2,3}$ , the vertices in  $V_{2,3}^B$  have the same color  $c_z$ . Color each vertex of  $G$  different from  $z$  as in  $G'$  and color  $z$  with  $c_z$ . Every cycle  $\mathcal{C}$  in  $G$  either does not pass through  $z$  (hence it is also a cycle in  $G'$  and it is not bichromatic), or passes through  $z$ . In the latter case, if  $\mathcal{C}$  is bichromatic then each of its vertices has either the color of  $z$  or the one of  $u$ . However, one vertex in  $V_{2,3}^A$ , say  $x_{2,3}^A$ , has the color of  $u$ , hence the cycle  $\mathcal{C}'$  of  $G'$  obtained from  $\mathcal{C}$  by replacing  $(u, z, v)$  with  $(u, u_{2,3}^B, x_{2,3}^A, v_{2,3}^B, v)$  is bichromatic, a contradiction.  $\square$

**Lemma 2.** *Let  $G$  be a graph having a vertex  $z$  of degree 2 adjacent to two vertices  $u$  and  $v$ . Let  $G'$  be the graph obtained by substituting  $z$  with a copy of  $K_{1,1,2}$ , where a vertex  $u_{1,1,2}^C$  of  $V_{1,1,2}^C$  is connected to  $u$  and a vertex  $v_{1,1,2}^C \neq u_{1,1,2}^C$  of  $V_{1,1,2}^C$  is connected*



**Fig. 4.** (a) and (b) Replacement of a degree-2 vertex with a  $K_{2,3}$ . (a) and (c) Replacement of a degree-2 vertex with a  $K_{1,1,2}$ . (d)  $G_5$ . (e)  $G_9$ . (f)  $G_{13}$ . (g)  $G_5^+$ . (h)  $G_9^+$ . (i)  $G_{13}^+$ .

to  $v$  (see Fig. 4.a and Fig. 4.c). Then,  $G'$  has an acyclic 3-coloring if and only if  $G$  has an acyclic 3-coloring.

Graph  $G_5$  (Fig. 4.d) has no acyclic 3-coloring and has a degree-2 vertex. For  $i > 0$ , replace the degree-2 vertex of  $G_{4i+1}$  with a copy of  $K_{2,3}$ , obtaining a graph  $G_{4i+5}$  that has a degree-2 vertex and, by Lemma 1, is not acyclically 3-colorable. Figs. 4.e–f show  $G_9$  and  $G_{13}$ . Replacing the degree-2 vertex of  $G_{4i+1}$  with a copy of  $K_{1,1,2}$  yields a graph  $G_{4i+1}^+$  that, by Lemma 2, is not acyclically 3-colorable. Figs. 4.g–i show  $G_5^+$ ,  $G_9^+$ ,  $G_{13}^+$ . Graphs  $G_{4i+1}^+$  are cubic, for every  $i > 0$ .

### 4 Acyclic 3-Colorings of Planar Graph Subdivisions

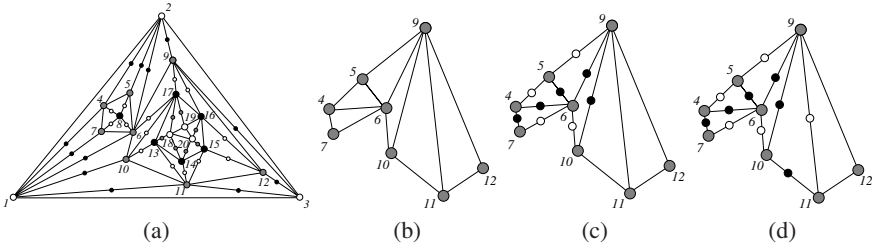
In this section we prove the following theorem.

**Theorem 3.** *Every planar graph has a 1-subdivision that admits an acyclic 3-coloring.*

**Proof:** It suffices to prove the statement for maximal planar graphs. In fact, suppose that the statement holds for maximal planar graphs. Let  $G$  be a planar graph. Augment  $G$  to a maximal planar graph  $G'$  by adding dummy edges. Then  $G'$  has a 1-subdivision  $G'_s$  that has an acyclic 3-coloring  $c$ . Remove the edges of  $G'_s$  corresponding to subdivided dummy edges of  $G'$ , obtaining a planar graph  $G_s$  that is a subdivision of  $G$ . Since every cycle of  $G_s$  is also a cycle of  $G'_s$ ,  $c$  is an acyclic 3-coloring of  $G_s$ .

Consider a planar drawing of any maximal planar graph  $G$ . Let  $G_s$  be the planar graph obtained by subdividing each edge of  $G$  with one subdivision vertex. Partition the vertices of  $G$  into disjoint sets  $V^0, V^1, \dots, V^k$  as follows. Let  $G^0 = G$ ; while there are vertices in  $G^i$ , denote by  $V^i$  the main vertices incident to the outer face of  $G^i$ ; remove the vertices in  $V^i$  and their incident edges from  $G^i$  obtaining a graph  $G^{i+1}$ . Each edge of  $G$  is either incident to two vertices in the same set  $V^i$  or to two vertices in sets  $V^i$  and  $V^{i+1}$ , for some  $i \in \{0, 1, \dots, k-1\}$ .

Color the main vertices in  $V^i$  with color  $c_{j(i)}$ , where  $j(i) \in \{0, 1, 2\}$  and  $j(i) \equiv i \pmod 3$ . Color each subdivision vertex adjacent to a vertex in  $V^i$  and to a vertex in  $V^{i+1}$  with color  $c_{j(i+2)}$ . See Fig. 5.a. It remains to color each subdivision vertex adjacent to two vertices belonging to the same  $V^i$ . Consider the outerplanar subgraph  $O^i$  of  $G$  induced by the vertices in  $V^i$ . Augment  $O^i$  to maximal by adding dummy edges. See Fig. 5.b. Let  $O_s^i$  be the graph obtained by subdividing each edge of  $O^i$  with one subdivision vertex. Each subdivision vertex of  $G_s$  adjacent to two vertices belonging to the same  $V^i$ , for some  $i \in \{1, 2, \dots, k\}$ , is also a subdivision vertex of  $O_s^i$ . Hence, a coloring of the subdivision vertices of  $O_s^i$  determines a coloring of the subdivision



**Fig. 5.** (a) Coloring the main vertices and the subdivision vertices of  $G_s$  adjacent to a vertex in  $V^i$  and to a vertex in  $V^{i+1}$ . Thick edges connect vertices of  $G$  in the same  $V^i$ . (b) Subgraph  $O^2$  of  $G$  augmented to maximal. (c)–(d) Coloring  $O_s^2$  at steps  $x$  and  $x + 1$  of the algorithm. Not yet colored subdivision vertices of  $O_s^2$  are not shown.

vertices of  $G_s$  adjacent to two vertices in the same  $V^i$ . We show how to color the subdivision vertices of  $O_s^i$ . The algorithm already chose to color all the main vertices of  $O_s^i$  with color  $c_{j(i)}$ . Since  $O^i$  is maximal, every internal face of  $O_s^i$  has three subdivision vertices. The coloring algorithm consists of several steps. At the first step, consider any internal face  $f^*$  of  $O_s^i$ . Color two of its subdivision vertices with  $c_{j(i+1)}$  and the third one with  $c_{j(i+2)}$ . At the  $x$ -th step, with  $x \geq 2$ , suppose that the subgraph  $O_s^{i,x}$  of  $O_s^i$  induced by the colored subdivision vertices and by their neighbors is biconnected. See Fig. 5.c. Consider any internal face of  $O_s^i$  of which one subdivision vertex has already been colored. Color the other two subdivision vertices incident to the face, one with  $c_{j(i+1)}$  and the other one with  $c_{j(i+2)}$ . See Fig. 5.d.

We show that the resulting coloring of  $G_s$  is acyclic. Consider any simple cycle  $\mathcal{C}$ . If  $\mathcal{C}$  contains main vertices in  $V^i$  and  $V^{i+1}$ , then  $\mathcal{C}$  contains two edges  $(v_p, v_s)$  and  $(v_s, v_q)$ , where  $v_p$  and  $v_q$  are main vertices in  $V^i$  and  $V^{i+1}$ , respectively, and  $v_s$  is a subdivision vertex. However,  $v_p, v_q,$  and  $v_s$  have color  $c_{j(i)}, c_{j(i+1)},$  and  $c_{j(i+2)}$ , respectively, hence  $\mathcal{C}$  is not bichromatic. Otherwise,  $\mathcal{C}$  only contains main vertices in the same  $V^i$ . Then,  $\mathcal{C}$  is also a cycle of  $O_s^i$ . We show by induction that the described coloring of  $O_s^i$  is acyclic. The coloring of  $f^*$  is acyclic. Suppose that, after a certain step of the coloring algorithm for the vertices of  $O_s^i$ , the subgraph  $O_s^{i,x}$  of  $O_s^i$  induced by the colored subdivision vertices and by their neighbors is acyclic. When a new face is considered and two subdivision vertices  $v_1$  and  $v_2$  are colored with colors  $c_{j(i+1)}$  and  $c_{j(i+2)}$ , respectively, every cycle either entirely belongs to  $O_s^{i,x}$ , hence by induction it is not bichromatic, or passes through  $v_1, v_2,$  and their common neighbor, hence it is not bichromatic.  $\square$

## 5 Acyclic 3-Colorings of Series-Parallel Graphs

In this section we consider the problem of determining which are the SP-graphs such that every 3-coloring is acyclic. First, we show a simple algorithm to construct an acyclic 3-coloring of any SP-graph. Let  $c(x)$  denote the color assigned to vertex  $x$ .

**Theorem 4.** *Every SP-graph  $G$  with poles  $u$  and  $v$  admits an acyclic 3-coloring such that  $c(u) \neq c(v)$  and every path connecting  $u$  and  $v$ , except for edge  $(u, v)$ , contains a vertex  $w$  with  $c(w) \neq c(u), c(v)$ .*

**Proof:** We prove the statement by induction on the number  $n$  of vertices. Case  $n=2$  is trivial. If  $n > 2$ , distinguish two cases: (Case 1)  $G$  is a series composition of SP-graphs  $G_0, \dots, G_k$ , such that  $G_i$  has poles  $u_i$  and  $v_i$ , with  $u_0=u, v_i=u_{i+1}$ , and  $v_k=v$ ; (Case 2)  $G$  is a parallel composition of SP-graphs  $G_0, \dots, G_k$  with poles  $u$  and  $v$ .

In Case 1, apply induction to construct an acyclic 3-coloring of  $G_i$  with colors  $c_0, c_1$ , and  $c_2$  such that  $c(u_i)=c_{j(i)}$  and  $c(v_i)=c_{j(i+1)}$ , for each  $i=0, 1, \dots, k-1$ , where  $j(i) \in \{0, 1, 2\}$  and  $j(i) \equiv i \pmod{3}$ . Apply induction to construct an acyclic 3-coloring of  $G_k$  with colors  $c_0, c_1$ , and  $c_2$  such that  $c(u_k)=c_{j(k)}$ , and such that  $c(v_k)=c_1$ , if  $c(u_k)=c_0$  or  $c(u_k)=c_2$ , and  $c(v_k)=c_2$ , if  $c(u_k)=c_1$ . By construction,  $c(u_0=u)=c_0, c(u_1)=c_1, c(u_2)=c_2$ . Every path connecting  $u$  and  $v$  passes through  $u_0, u_1$ , and  $u_2$ , hence it is not bichromatic. Further, any simple cycle in  $G$  is also a cycle in a component  $G_i$ . Hence, by induction, the coloring of  $G$  is acyclic.

In Case 2, apply induction to construct an acyclic 3-coloring of  $G_i$ , for  $i=0, 1, \dots, k$ , with colors  $c_0, c_1$ , and  $c_2$  such that  $c(u)=c_0, c(v)=c_1$ , and every path connecting  $u$  and  $v$  in  $G_i$ , except for edge  $(u, v)$ , contains a vertex  $w$  with  $c(w)=c_2$ . By construction,  $c(u)=c_0$  and  $c(v)=c_1$ . Further, every path connecting  $u$  and  $v$  is also a path in a component  $G_i$  which, by induction, contains a vertex with color  $c_2$ , unless it is edge  $(u, v)$ . Let  $\mathcal{C}$  be any simple cycle in  $G$ . If all the vertices of  $\mathcal{C}$  belong to a graph  $G_i$ , then  $\mathcal{C}$  is not bichromatic by induction. Otherwise,  $\mathcal{C}$  contains vertices  $u$  and  $v$ , hence it consists of two paths  $\mathcal{P}_1$  and  $\mathcal{P}_2$  connecting  $u$  and  $v$  and belonging to two distinct components  $G_i$  and  $G_j$ . At most one of  $\mathcal{P}_1$  and  $\mathcal{P}_2$ , say  $\mathcal{P}_1$ , coincides with edge  $(u, v)$ . By induction,  $\mathcal{P}_2$  contains a vertex of color  $c_2$ .  $\square$

Second, we characterize the SP-graphs that have a 3-coloring in which the poles have distinct colors and the SP-graphs that have a 3-coloring in which the poles have the same color.

**Corollary 1.** *Every SP-graph with poles  $u$  and  $v$  admits a 3-coloring with  $c(u) \neq c(v)$ .*

**Lemma 3.** *Every SP-graph  $G$  with poles  $u$  and  $v$  admits a 3-coloring with  $c(u)=c(v)$  if and only if  $G$  does not contain edge  $(u, v)$ .*

**Proof:** The necessity is trivial. We inductively prove the sufficiency. Suppose that  $G$  is a parallel composition of SP-graphs  $G_0, G_1, \dots, G_k$  and that  $G$  does not contain edge  $(u, v)$ . Then, no component  $G_i$  contains  $(u, v)$ , hence it admits a 3-coloring in which  $c(u)=c(v)$  by induction. Suppose that  $G$  is a series composition of graphs  $G_0, G_1, \dots, G_k$ . Color  $G_0$  so that  $c(u)=c_0$  and the other pole of  $G_0$  has color  $c_1$ . Such a coloring exists by Corollary 1. For  $1 \leq i \leq k-1$ , assume that the color of the pole that  $G_i$  shares with  $G_{i-1}$  has been already determined to be either  $c_1$  or  $c_2$ . Color the pole that  $G_i$  shares with  $G_{i+1}$  with color  $c_2$  or  $c_1$ , respectively, and color  $G_i$  so that its poles have colors  $c_1$  and  $c_2$  (such a coloring exists by Corollary 1). Complete the coloring of  $G$  by setting  $c(v)=c_0$  and by coloring  $G_k$  so that its poles have colors  $c_0$  and either  $c_1$  or  $c_2$ . Again, such a coloring exists by Corollary 1.  $\square$



Third, we characterize the SP-graphs that have a 3-coloring in which there exists a bichromatic path between the poles.

**Lemma 4.** *Let  $G$  be an SP-graph with poles  $u$  and  $v$ . Suppose that  $G$  is a parallel composition of SP-graphs  $G_0, G_1, \dots, G_k$ . Then,  $G$  admits a 3-coloring with  $c(u) \neq c(v)$  and with a bichromatic path between  $u$  and  $v$  if and only if there exists a component that admits a 3-coloring with  $c(u) \neq c(v)$  and with a bichromatic path between  $u$  and  $v$ .*

**Proof:** The necessity comes from the observation that every bichromatic path between  $u$  and  $v$  in  $G$  is internal to a component  $G_i$ . We prove the sufficiency. There exists a  $G_i$  admitting a 3-coloring with  $c(u) \neq c(v)$  and with a bichromatic path between  $u$  and  $v$ . By Corollary 1, all other components can be colored with  $c(u) \neq c(v)$ , thus completing a 3-coloring of  $G$  with the required properties.  $\square$

**Lemma 5.** *Let  $G$  be an SP-graph with poles  $u$  and  $v$ . Suppose that  $G$  is a series composition of SP-graphs  $G_0, G_1, \dots, G_k$ . Then,  $G$  admits a 3-coloring with  $c(u) \neq c(v)$  and with a bichromatic path between  $u$  and  $v$  if and only if the following two conditions are satisfied: (1) Each component admits a 3-coloring with a bichromatic path between its poles and (2) there exists a component  $G_i$  with poles  $u_i$  and  $v_i$  that admits a 3-coloring with  $c(u_i) = c(v_i)$  and with a bichromatic path between  $u_i$  and  $v_i$ , and a 3-coloring with  $c(u_i) \neq c(v_i)$  and with a bichromatic path between  $u_i$  and  $v_i$ , or there exists an odd number of components that admit a 3-coloring in which the poles have different colors and are connected by a bichromatic path.*

**Proof:** We prove the necessity of (1). Suppose that there exists a  $G_i$  that admits no 3-coloring with a bichromatic path between its poles. Every path connecting  $u$  and  $v$  contains a path between  $G_i$ 's poles, hence it is not bichromatic. We prove the necessity of (2). Suppose, for a contradiction, that (2) does not hold. Then, in every 3-coloring of  $G$  with a bichromatic path between  $u$  and  $v$ , there is an even number of components  $G_i$  such that  $c(u_i) \neq c(v_i)$ , hence  $c(u) = c(v)$ . We prove the sufficiency. Suppose that each component  $G_i$  admits a 3-coloring with a bichromatic path between its poles. First, suppose that there exists a component  $G_i$  with poles  $u_i$  and  $v_i$  that admits a 3-coloring with  $c(u_i) = c(v_i)$  and with a bichromatic path between  $u_i$  and  $v_i$ , and a 3-coloring with  $c(u_i) \neq c(v_i)$  and with a bichromatic path between  $u_i$  and  $v_i$ . Set  $c(u_0) = c_0$ . For  $0 \leq j \leq i - 1$ , assume that  $c(u_j)$  has already been determined to be either  $c_0$  or  $c_1$ ; color  $G_j$  so that there exists a bichromatic path between  $u_j$  and  $v_j$  and so that  $c(v_j)$  is either  $c_0$  or  $c_1$ . Analogously, set  $c(v_k) = c_1$ . For  $k \geq j \geq i + 1$ , assume that  $c(v_j)$  has been determined to be either  $c_0$  or  $c_1$ ; color  $G_j$  so that there exists a bichromatic path between  $u_j$  and  $v_j$  and so that  $c(u_j)$  is either  $c_0$  or  $c_1$ . Color  $G_i$  so that there exists a bichromatic path between  $u_i$  and  $v_i$ ; this can be done both if  $c(u_i) = c(v_i)$  and if  $c(u_i) \neq c(v_i)$ . Second, suppose that there exists an odd number of components that admit a 3-coloring in which the poles have different colors and are connected by a bichromatic path. Each component has either a 3-coloring with a bichromatic path between its poles and the poles have the same color, or a 3-coloring with a bichromatic path between its poles and the poles have distinct colors. Color each component with such a coloring, so that its poles have colors in  $\{c_0, c_1\}$ . Since an odd number of components have poles with different colors,  $c(u) \neq c(v)$ .  $\square$

**Lemma 6.** *Let  $G$  be an SP-graph with poles  $u$  and  $v$ . Suppose that  $G$  is a parallel composition of SP-graphs  $G_0, G_1, \dots, G_k$ . Then,  $G$  admits a 3-coloring with  $c(u)=c(v)$  and with a bichromatic path between  $u$  and  $v$  if and only if  $G$  does not contain edge  $(u, v)$  and there exists a component admitting a 3-coloring with  $c(u)=c(v)$  and with a bichromatic path between  $u$  and  $v$ .*

**Lemma 7.** *Let  $G$  be an SP-graph with poles  $u$  and  $v$ . Suppose that  $G$  is a series composition of SP-graphs  $G_0, G_1, \dots, G_k$ . Then,  $G$  admits a 3-coloring with  $c(u)=c(v)$  and with a bichromatic path between  $u$  and  $v$  if and only if the following two conditions are satisfied: (1) Each component admits a 3-coloring with a bichromatic path between its poles and (2) there exists a component  $G_i$  with poles  $u_i$  and  $v_i$  admitting a 3-coloring with  $c(u_i)=c(v_i)$  and with a bichromatic path between  $u_i$  and  $v_i$ , and a 3-coloring with  $c(u_i) \neq c(v_i)$  and with a bichromatic path between  $u_i$  and  $v_i$ , or there exists an even number of components admitting a 3-coloring in which the poles have different colors and are connected by a bichromatic path.*

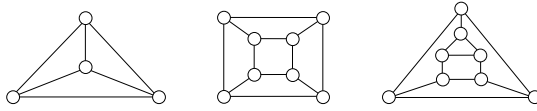
Fourth, we characterize the SP-graphs such that every 3-coloring in which the poles have distinct colors is acyclic and the SP-graphs such that every 3-coloring in which the poles have the same color is acyclic.

**Lemma 8.** *Let  $G$  be an SP-graph with poles  $u$  and  $v$ . Suppose that  $G$  is a parallel composition of SP-graphs  $G_0, G_1, \dots, G_k$ . Then, every 3-coloring of  $G$  with  $c(u) \neq c(v)$  is acyclic if and only if the following two conditions are satisfied: (1) For each component  $G_i$ , every 3-coloring with  $c(u) \neq c(v)$  is acyclic; (2) there exist no two components admitting a 3-coloring with  $c(u) \neq c(v)$  and with a bichromatic path between  $u$  and  $v$ .*

**Lemma 9.** *Let  $G$  be an SP-graph with poles  $u$  and  $v$ . Suppose that  $G$  is a series composition of SP-graphs  $G_0, G_1, \dots, G_k$ . Then, every 3-coloring of  $G$  with  $c(u) \neq c(v)$  is acyclic if and only if the following two conditions are satisfied: (1) For each component  $G_i$  with poles  $u_i$  and  $v_i$ , every 3-coloring with  $c(u_i) \neq c(v_i)$  is acyclic; (2) for each component  $G_i$  with poles  $u_i$  and  $v_i$ , every 3-coloring with  $c(u_i)=c(v_i)$  is acyclic.*

**Lemma 10.** *Let  $G$  be an SP-graph with poles  $u$  and  $v$ . Suppose that  $G$  is a parallel composition of SP-graphs  $G_0, G_1, \dots, G_k$ . Then, every 3-coloring of  $G$  with  $c(u)=c(v)$  is acyclic if and only if one of the following two conditions is satisfied: (1) There exists a component  $G_i$  not admitting any 3-coloring with  $c(u_i)=c(v_i)$ ; or (2) for each component  $G_i$ , every 3-coloring with  $c(u)=c(v)$  is acyclic and no two components exist admitting a 3-coloring with  $c(u)=c(v)$  and with a bichromatic path between  $u$  and  $v$ .*

**Lemma 11.** *Let  $G$  be an SP-graph with poles  $u$  and  $v$ . Suppose that  $G$  is a series composition of SP-graphs  $G_0, G_1, \dots, G_k$ . Then, every 3-coloring of  $G$  with  $c(u)=c(v)$  is acyclic if and only if the following three conditions are satisfied: (1) For each component  $G_i$  with poles  $u_i$  and  $v_i$ , every 3-coloring with  $c(u_i) \neq c(v_i)$  is acyclic; (2) if  $k > 2$ , for each component  $G_i$  with poles  $u_i$  and  $v_i$ , every 3-coloring with  $c(u_i)=c(v_i)$  is acyclic; (3) if  $k=2$ , for each component  $G_i$  with poles  $u_i$  and  $v_i$ , every 3-coloring with  $c(u_i)=c(v_i)$  is acyclic, or there exists a component not admitting any 3-coloring in which  $c(u_i)=c(v_i)$ .*



**Fig. 6.** Triconnected cubic planar graphs with no acyclic 3-coloring

Finally, we conclude by observing that an SP-graph with poles  $u$  and  $v$  is such that every 3-coloring is acyclic if and only if every 3-coloring in which  $c(u) \neq c(v)$  is acyclic and every 3-coloring in which  $c(u) = c(v)$  is acyclic. The above characterization gives rise to a linear-time recognition algorithm.

**Theorem 5.** *There exists a linear-time algorithm for deciding whether an SP-graph is such that every 3-coloring is acyclic.*

**Proof:** The SPQ-tree  $\mathcal{T}$  of an SP-graph  $G$  can be computed in linear-time (see, e.g., [17]). Then, each node  $\mu$  of  $\mathcal{T}$  with poles  $u_\mu$  and  $v_\mu$  can be equipped with values indicating whether: (i)  $G(\mu)$  admits a 3-coloring with  $c(u_\mu) = c(v_\mu)$ ; (ii)  $G(\mu)$  admits a 3-coloring with  $c(u_\mu) \neq c(v_\mu)$  and with a bichromatic path between  $u_\mu$  and  $v_\mu$ ,  $G(\mu)$  admits a 3-coloring with  $c(u_\mu) = c(v_\mu)$  and with a bichromatic path between  $u_\mu$  and  $v_\mu$ , and  $G(\mu)$  admits a 3-coloring with a bichromatic path between  $u_\mu$  and  $v_\mu$ ; and (iii) every 3-coloring of  $G(\mu)$  in which  $c(u_\mu) \neq c(v_\mu)$  is acyclic, every 3-coloring of  $G(\mu)$  in which  $c(u_\mu) = c(v_\mu)$  is acyclic, and every 3-coloring of  $G(\mu)$  is acyclic. Due to Lemmata 3–11, the computation of such values for  $\mu$  only requires simple checks on analogous values for the children of  $\mu$  in  $\mathcal{T}$ .  $\square$

## 6 Conclusions

In this paper we have shown several results on the acyclic 3-colorability of planar graphs. We proved that recognizing acyclic 3-colorable planar graphs of degree 4 is  $\mathcal{NP}$ -hard. Further, we exhibited infinite classes of subcubic and cubic planar graphs with no acyclic 3-coloring, result contrasting with the fact that all cubic planar graphs have a 3-coloring, except for  $K_4$  [8]. However, the following problem is still open.

*What is the time complexity of testing whether a sub-cubic graph (resp. a cubic graph) admits an acyclic 3-coloring?*

The problem is interesting even when restricted to *triconnected* cubic planar graphs. Moreover, we are aware of only three graphs that are cubic, triconnected, and not acyclic 3-colorable (see Fig. 6). The graphs depicted in Figs. 6.a and 6.b were already known to have no acyclic 3-coloring. On the other hand, the graph depicted in Fig. 6.c seems to have gone unnoticed in the literature.

*Does an infinite number of triconnected, cubic, and not acyclic 3-colorable planar graphs exist? What is the time complexity of testing whether a triconnected cubic planar graph admits an acyclic 3-coloring?*

We have shown that it is possible to test in linear time whether every 3-coloring of an SP-graph is acyclic. Testing and characterizing the same property for general planar graphs seems to be interesting and non-trivial.

*Is it possible to test in polynomial time whether every 3-coloring of a given planar graph is acyclic?*

Finally, we would like to remind a problem that has been already studied in the literature but that has not been tackled in this paper.

*Which is the smallest  $k$  such that all planar graphs with girth at least  $k$  are acyclic 3-colorable?*

The best known lower bound for  $k$  is 5 (the second graph of Fig. 6, proposed by Grünbaum, has girth 4 and is not acyclic 3-colorable [10]), while the best known upper bound for  $k$  is 7, as proved by Borodin, Kostochka, and Woodall [7].

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