

# Chapter 2 The Sub-Region Variational Principles

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**Abstract** This chapter focuses on the developments of the variational principles which are usually considered as the theoretical basis for the finite element method. In this chapter, we will discuss the *sub-region variational principles* which are the results by the combination of the variational principles and the concept of sub-region interpolation. Following the introduction, the sub-region variational principles for various structural forms, i.e., 3D elastic body, thin plate, thick plate and shallow shell, are presented respectively. Finally, a *sub-region mixed energy partial derivative theorem* is also given.

**Keywords** variational principle, sub-region variational principle, sub-region mixed energy partial derivative theorem.

## 2.1 Introduction

Variational principles are usually considered as the theoretical basis for the finite element method. References [1-3] present systematical discussions on some of these variational principles. And, some advances and reviews on this field can be found in the references [4-8].

The sub-region variational principles for elasticity and structural mechanics have been proposed in the references [2, 9]. In the third edition of the reference [1] (1982), the contents of modified variational principles were supplemented. Though the expressions are different, they indeed have a close relationship with the sub-region variational principles.

The studies on the sub-region variational principles were promoted by the advances in the finite element method, and especially by the development of the incompatible element, the generalized conforming element, the hybrid element and the sub-region mixed element approaches. The sub-region generalized variational principles for 3D elasticity was proposed and extended to multi-region mixed energy principle in [2] and [9]. And, the sub-layer variational principle was also discussed in [10]. A review of the sub-region variational principles and their applications in the finite element method was given in [11]. For the elastic thin plate, its sub-region potential principle and sub-region complementary principle were presented in [2], and its sub-region mixed energy principle was given in [12]. For the thick plate and the shallow shell, their sub-region variational principles were proposed in [13] and [14], respectively. And, the reference [15] provided the *sub-region mixed energy partial derivative theorem*, a generalization of the famous *Castigliano first and second energy partial derivative theorems*.

From the viewpoint of structure forms, it can be seen that there are four types, 3D elasticity, thin plate, thick plate and shallow shell, as listed above. The sub-region variational principles of these structures and their energy functional expressions will be introduced in the following four sections, respectively.

From the viewpoint of independent field variables assumed in each sub-region, it can be found that three cases of regions are existing here: ① three-field-region (displacement field, strain field and stress field), ② two-field-region (displacement field and stress field), and ③ single-field-region (displacement field or stress field).

From the viewpoint of energy types, it can be seen that each sub-region can be assumed as either potential or complementary energy region. If all the regions are assumed as potential (or complementary) energy regions, the sub-region potential (or complementary) energy principle will be obtained. If some regions are assumed as potential energy regions, and the others are assumed as complementary energy regions, the sub-region mixed energy variational principle will be obtained.

The sub-region variational principle provides the theoretical basis for developing new finite element methods. For example, the generalized conforming element method described in Part II of this book is based on the sub-region potential energy principle; and the sub-region mixed element method given in Part III is based on the sub-region mixed energy principle.

## 2.2 The Sub-Region Variational Principle for Elasticity

This section will discuss the various forms<sup>[9,10]</sup> of the sub-region generalized variational principle used in elasticity problems. Firstly, let an elastic body be divided into two sub-regions,  $a$  and  $b$ , then the sub-region three-field generalized mixed, potential and complementary energy variational principles are discussed, respectively. Secondly, two special cases, the sub-region two-field and single-field

generalized variational principles, are discussed. Finally, a general form of the multi-region variational principle is established.

### 2.2.1 The Sub-Region Three-Field Generalized Mixed Variational Principle for Elasticity

Let an elastic body be divided into two sub-regions  $a$  and  $b$ ;  $V_a$  and  $V_b$  be the volumes of the regions  $a$  and  $b$ , respectively;  $S_a$  and  $S_b$  be the surfaces of  $a$  and  $b$ , respectively. Thus, both the surfaces  $S_a$  and  $S_b$  are composed of three parts:

$$\begin{aligned} S_a &= S_{\sigma a} + S_{ua} + S_{ab} \\ S_b &= S_{\sigma b} + S_{ub} + S_{ab} \end{aligned}$$

Where  $S_{ab}$  is the interface between  $a$  and  $b$ ;  $S_{\sigma a}$  and  $S_{\sigma b}$  are the boundaries with given tractions  $\bar{T}_i (i=1,2,3)$ ;  $S_{ua}$  and  $S_{ub}$  are the boundaries with given displacements  $\bar{u}_i (i=1,2,3)$ . (see Fig. 2.1)

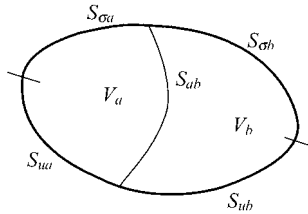


Figure 2.1 An elastic body divided into two sub-regions

In the sub-region three-field generalized mixed variational principle, the displacements, strains and stresses

$$u_i^{(a)}, \varepsilon_{ij}^{(a)}, \sigma_{ij}^{(a)}; \quad u_i^{(b)}, \varepsilon_{ij}^{(b)}, \sigma_{ij}^{(b)} \quad (i, j=1,2,3)$$

in the regions  $a$  and  $b$  are all field variables. Then the corresponding functional  $\Pi_3$  can be defined by

$$\Pi_3 = \Pi_{3p}^{(a)} - \Pi_{3c}^{(b)} + H_{pc} \tag{2-1}$$

where  $\Pi_{3p}^{(a)}$  is named as the three-field generalized potential energy of the sub-region  $a$  (excluding the interface  $S_{ab}$ ):

$$\Pi_{3p}^{(a)} = \iiint_{V_a} \left[ \tilde{U}(\varepsilon_{ij}) - \sigma_{ij} \left( \varepsilon_{ij} - \frac{1}{2} u_{i,j} - \frac{1}{2} u_{j,i} \right) - \bar{F}_i u_i \right] dV - \iint_{S_{\sigma a}} \bar{T}_i u_i dS - \iint_{S_{u a}} T_i (u_i - \bar{u}_i) dS \tag{2-2}$$

in which  $\tilde{U}(\varepsilon_{ij})$  denotes the strain energy density;  $\bar{F}_i$  denotes the given body force;  $u_{i,j}$  denotes the partial derivative of  $u_i$  with respect to  $x_j$ .  $\Pi_{3c}^{(b)}$  is named as the three-field generalized complementary energy of the sub-region  $b$  (also excluding the interface  $S_{ab}$ ):

$$\Pi_{3c}^{(b)} = \iiint_{V_b} [\sigma_{ij}\varepsilon_{ij} - \tilde{U}(\varepsilon_{ij}) + (\sigma_{ij,j} + \bar{F}_i)u_i] dV - \iint_{S_{\sigma b}} (T_i - \bar{T}_i)u_i dS - \iint_{S_{ab}} T_i \bar{u}_i dS \quad (2-3)$$

$H_{pc}$  is the mixed energy at the interface  $S_{ab}$ , and given by

$$H_{pc} = \iint_{S_{ab}} T_i^{(b)} u_i^{(a)} dS \quad (2-4)$$

in which  $T_i^{(b)}$  denotes the traction of the complementary energy region (sub-region  $b$ ) at the interface  $S_{ab}$ :

$$T_i^{(b)} = \sigma_{ij}^{(b)} n_j^{(b)}$$

$n_j^{(b)}$  is the direction cosine of the outer normal of the region  $b$  at the interface  $S_{ab}$ ;  $u_i^{(a)}$  denotes the displacement of the potential energy region (sub-region  $a$ ) at the interface  $S_{ab}$ .

The sub-region three-field generalized mixed variational principle can be described as follows.

The functional stationary condition

$$\delta \Pi_3 = \delta \Pi_{3p}^{(a)} - \delta \Pi_{3c}^{(b)} + \delta H_{pc} = 0 \quad (2-5)$$

is equivalent to the whole system of equations of the elastic body with sub-regions, including equilibrium differential equation:

$$\sigma_{ij,j} + \bar{F}_i = 0 \quad (\text{in } V) \quad (2-6)$$

strain-displacement relations (geometrical equation)

$$\varepsilon_{ij} = \frac{1}{2}(u_{i,j} + u_{j,i}) \quad (\text{in } V) \quad (2-7)$$

stress-strain relations (constitutive equation)

$$\sigma_{ij} = \frac{\partial \tilde{U}}{\partial \varepsilon_{ij}} \quad (\text{in } V) \quad (2-8)$$

boundary conditions of tractions

$$T_i = \sigma_{ij} n_j = \bar{T}_i \quad (\text{on } S_{\sigma}) \quad (2-9)$$

boundary conditions of displacements

$$u_i = \bar{u}_i \quad (\text{on } S_u) \quad (2-10)$$

and continuous conditions at the interface

$$T_i^{(a)} = -T_i^{(b)} \quad (\text{on } S_{ab}) \quad (2-11)$$

$$u_i^{(a)} = u_i^{(b)} \quad (\text{on } S_{ab}) \quad (2-12)$$

In order to demonstrate the equivalency between the functional stationary condition (2-5) and the Eqs. (2-6) – (2-12), the variation  $\delta I_{3p}^{(a)}$  of Eq. (2-2) is firstly developed:

$$\begin{aligned} \delta I_{3p}^{(a)} = & \iiint_{V_a} \left[ \left( \frac{\partial \tilde{U}}{\partial \varepsilon_{ij}} - \sigma_{ij} \right) \delta \varepsilon_{ij} - \left( \varepsilon_{ij} - \frac{1}{2} u_{i,j} - \frac{1}{2} u_{j,i} \right) \delta \sigma_{ij} + \sigma_{ij} \delta u_{i,j} - \bar{F}_i \delta u_i \right] dV \\ & - \iint_{S_{\sigma a}} \bar{T}_i \delta u_i dS - \iint_{S_{ua}} [T_i \delta u_i + (u_i - \bar{u}_i) \delta T_i] dS \end{aligned}$$

Since

$$\iiint_{V_a} \sigma_{ij} \delta u_{i,j} dV = \iint_{S_a = S_{\sigma a} + S_{ua} + S_{ab}} T_i \delta u_i dS - \iiint_{V_a} \sigma_{ij,j} \delta u_i dV$$

we have

$$\begin{aligned} \delta I_{3p}^{(a)} = & \iiint_{V_a} \left[ \left( \frac{\partial \tilde{U}}{\partial \varepsilon_{ij}} - \sigma_{ij} \right) \delta \varepsilon_{ij} - \left( \varepsilon_{ij} - \frac{1}{2} u_{i,j} - \frac{1}{2} u_{j,i} \right) \delta \sigma_{ij} - (\sigma_{ij,j} + \bar{F}_i) \delta u_i \right] dV \\ & + \iint_{S_{\sigma a}} (T_i - \bar{T}_i) \delta u_i dS - \iint_{S_{ua}} (u_i - \bar{u}_i) \delta T_i dS + \iint_{S_{ab}} T_i^{(a)} \delta u_i^{(a)} dS \end{aligned} \quad (2-13)$$

Secondly, the variation  $\delta I_{3c}^{(b)}$  of Eq. (2-3) can be written as:

$$\begin{aligned} \delta I_{3c}^{(b)} = & \iiint_{V_b} \left[ \left( \sigma_{ij} - \frac{\partial \tilde{U}}{\partial \varepsilon_{ij}} \right) \delta \varepsilon_{ij} + (\sigma_{ij,j} + \bar{F}_i) \delta u_i + \varepsilon_{ij} \delta \sigma_{ij} + u_i \delta \sigma_{ij,j} \right] dV \\ & - \iint_{S_{\sigma b}} [(T_i - \bar{T}_i) \delta u_i + u_i \delta T_i] dS - \iint_{S_{ub}} \bar{u}_i \delta T_i dS \end{aligned}$$

Since

$$\iiint_{V_b} u_i \delta \sigma_{ij,j} dV = \iint_{S_b = S_{\sigma b} + S_{ub} + S_{ab}} u_i \delta T_i dS - \iiint_{V_b} \frac{1}{2} (u_{i,j} + u_{j,i}) \delta \sigma_{ij} dV$$

we have

$$\begin{aligned} \delta \Pi_{3c}^{(b)} = & \iiint_{V_b} \left[ \left( \sigma_{ij} - \frac{\partial \tilde{U}}{\partial \varepsilon_{ij}} \right) \delta \varepsilon_{ij} + (\sigma_{ij,j} + \bar{F}_i) \delta u_i + \left( \varepsilon_{ij} - \frac{1}{2} u_{i,j} - \frac{1}{2} u_{j,i} \right) \delta \sigma_{ij} \right] dV \\ & - \iint_{S_{\sigma b}} (T_i - \bar{T}_i) \delta u_i dS + \iint_{S_{ab}} (u_i - \bar{u}_i) \delta T_i dS + \iint_{S_{ab}} u_i^{(b)} \delta T_i^{(b)} dS \end{aligned} \quad (2-14)$$

Thirdly, the variation  $\delta H_{pc}$  of Eq. (2-4) is

$$\delta H_{pc} = \iint_{S_{ab}} (T_i^{(b)} \delta u_i^{(a)} + u_i^{(a)} \delta T_i^{(b)}) dS \quad (2-15)$$

Finally, the substitution of Eqs. (2-13), (2-14) and (2-15) into (2-5) yields

$$\begin{aligned} \delta \Pi_3 = & \iiint_V \left[ \left( \frac{\partial \tilde{U}}{\partial \varepsilon_{ij}} - \sigma_{ij} \right) \delta \varepsilon_{ij} - \left( \varepsilon_{ij} - \frac{1}{2} u_{i,j} - \frac{1}{2} u_{j,i} \right) \delta \sigma_{ij} - (\sigma_{ij,j} + \bar{F}_i) \delta u_i \right] dV \\ & + \iint_{S_{\sigma}} (T_i - \bar{T}_i) \delta u_i dS - \iint_{S_u} (u_i - \bar{u}_i) \delta T_i dS + \iint_{S_{ab}} [(T_i^{(a)} + T_i^{(b)}) \delta u_i^{(a)} \\ & + (u_i^{(a)} - u_i^{(b)}) \delta T_i^{(b)}] dS = 0 \end{aligned} \quad (2-16)$$

Equations (2-6)–(2-12) can be derived from the functional stationary condition (2-16), and vice versa. Thus, the equivalency is proved.

It should be pointed out that, in the expression (2-4) for the mixed energy  $H_{pc}$  at the interface  $S_{ab}$ ,  $T_i$  is indicated as belonging to the sub-region  $b$  (complementary energy region), and  $u_i$  as belonging to the sub-region  $a$  (potential energy region). If  $H_{pc}$  is defined as

$$\iint_{S_{ab}} T_i^{(a)} u_i^{(b)} dS \quad \text{or} \quad \iint_{S_{ab}} T_i^{(a)} u_i^{(a)} dS \quad \text{or} \quad \iint_{S_{ab}} T_i^{(b)} u_i^{(b)} dS,$$

incorrect results will appear. The reason is that the field variables of the sub-regions  $a$  and  $b$  are all independent variables, they do not previously satisfy the continuous conditions (2-11) and (2-12) at the interface.

The variational principle discussed above is a kind of unconditioned variational principle. “Unconditioned” has two meanings: ① Firstly, the three variables  $u_i$ ,  $\varepsilon_{ij}$ , and  $\sigma_{ij}$  within each sub-region are all independent and have no relation with each other; ② Secondly, at the interface  $S_{ab}$ , the variables from the two regions are also independent, they are not required in advance to satisfy the continuous conditions (2-11) and (2-12).

## 2.2.2 The Transformation Between $\Pi_{3p}^{(a)}$ and $\Pi_{3c}^{(a)}$

In Fig. 2.1, the three-field generalized potential energy  $\Pi_{3p}^{(a)}$  and the three-field

generalized complementary energy  $\Pi_{3c}^{(a)}$  of the sub-region  $a$  (excluding the interface  $S_{ab}$ ) have the following transformation relationship:

$$\Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} = \iint_{S_{ab}} T_i^{(a)} u_i^{(a)} dS \quad (2-17)$$

The sub-region three-field generalized variational principle has three forms: sub-region mixed energy, sub-region potential energy and sub-region complementary energy. One form can be easily transformed to the other two by using the relation (2-17).

Following is the demonstration of Eq. (2-17). Firstly, the expression of  $\Pi_{3c}^{(a)}$  can be written as:

$$\Pi_{3c}^{(a)} = \iiint_{V_a} [\sigma_{ij} \varepsilon_{ij} - \tilde{U}(\varepsilon_{ij}) + (\sigma_{ij,j} + \bar{F}_i) u_i] dV - \iint_{S_{\sigma a}} (T_i - \bar{T}_i) u_i dS - \iint_{S_{ua}} T_i \bar{u}_i dS \quad (2-18)$$

Then, the sum of Eqs. (2-2) and (2-18) can be obtained:

$$\Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} = \iiint_{V_a} \left[ \frac{1}{2} \sigma_{ij} (u_{i,j} + u_{j,i}) + \sigma_{ij,j} u_i \right] dV - \iint_{S_{\sigma a} + S_{ua}} T_i u_i dS$$

Since

$$\iiint_{V_a} \left[ \frac{1}{2} \sigma_{ij} (u_{i,j} + u_{j,i}) + \sigma_{ij,j} u_i \right] dV = \iiint_{V_a} (\sigma_{ij} u_i)_{,j} dV = \iint_{S_a = S_{\sigma a} + S_{ua} + S_{ab}} T_i u_i dS$$

The substitution of this equation into the previous one will yield Eq. (2-17).

Two special cases can be derived from Eq. (2-17):

Special case 1: when there are no sub-regions in the whole body,  $S_{ab} = 0$ . Then we have

$$\Pi_{3p} + \Pi_{3c} = 0 \quad (2-19a)$$

Special case 2: when the sub-region  $a$  is surrounded by other regions,  $S_{\sigma a} = 0$ ,  $S_{ua} = 0$ ,  $S_a = S_{ab}$ . Then we have

$$\Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} = \iint_{S_a} T_i^{(a)} u_i^{(a)} dS \quad (2-19b)$$

### 2.2.3 The Sub-Region Three-Field Generalized Potential and Complementary Energy Principles for Elasticity

Now, by using Eq. (2-17), the functional of the sub-region three-field generalized potential and complementary principles can be derived from the functional of the

sub-region three-field generalized mixed variational principle.

### 1. The sub-region three-field generalized potential energy principle

In the expression (2-1) of the sub-region three-field generalized mixed variational principle, the sub-region  $a$  is represented by the generalized potential energy while the sub-region  $b$  is represented by the generalized complementary energy. Here, we require the sub-region  $b$  given by the generalized potential energy, too. Then, from Eq. (2-17), we have

$$\Pi_{3c}^{(b)} = -\Pi_{3p}^{(b)} + \iint_{S_{ab}} T_i^{(b)} u_i^{(b)} dS$$

Substitution of this equation into (2-1) yields

$$\Pi_3 = \Pi_{3p}^{(a)} + \Pi_{3p}^{(b)} + H_{pp} \quad (2-20)$$

where  $H_{pp}$  is the additional term of the potential energy at the interface  $S_{ab}$ :

$$H_{pp} = \iint_{S_{ab}} T_i^{(b)} (u_i^{(a)} - u_i^{(b)}) dS \quad (2-21a)$$

Equations (2-20) and (2-21a) are the functional expressions of the sub-region three-field generalized potential energy principle. It can be shown that the stationary condition  $\delta\Pi_3 = 0$  of this functional is equivalent to all equations, boundary conditions and interface continuous conditions of the elastic body with sub-regions. Another expression of  $H_{pp}$  can also be obtained by interchanging  $a$  and  $b$  in Eq. (2-21a):

$$H_{pp} = \iint_{S_{ab}} T_i^{(a)} (u_i^{(b)} - u_i^{(a)}) dS \quad (2-21b)$$

If the continuous condition (2-12) at the interface  $S_{ab}$  is satisfied in advance,  $H_{pp} = 0$ .

### 2. The sub-region three-field generalized complementary energy principle

In Eq. (2-1), if we require that the sub-region  $a$  is given by the generalized complementary energy, the substitution of (2-17) into (2-1) will yield

$$\Pi_3 = -\Pi_{3c}^{(a)} - \Pi_{3c}^{(b)} + H_{cc} \quad (2-22)$$

where  $H_{cc}$  is the additional term of the complementary energy at the interface  $S_{ab}$ :

$$H_{cc} = \iint_{S_{ab}} (T_i^{(a)} + T_i^{(b)}) u_i^{(a)} dS \quad (2-23a)$$

Equations (2-22) and (2-23a) are the functional expressions of the sub-region



three-field generalized complementary energy principle. Another expression of  $H_{cc}$  can also be obtained by interchanging  $a$  and  $b$  in Eq. (2-23a):

$$H_{cc} = \iint_{S_{ab}} (T_i^{(a)} + T_i^{(b)}) u_i^{(b)} dS \quad (2-23b)$$

If the continuous condition (2-11) at the interface  $S_{ab}$  is satisfied in advance,  $H_{cc} = 0$ .

## 2.2.4 The Sub-Region Two-Field and Single-Field Variational Principle for Elasticity

The functional expression for the three forms of the sub-region three-field generalized variational principle have been given by Eqs. (2-1), (2-20) and (2-22), respectively. Now, we discuss two special cases.

### 1. The sub-region two-field generalized variational principle

By employing the relationship

$$\tilde{V}(\sigma_{ij}) = \sigma_{ij} \varepsilon_{ij} - \tilde{U}(\varepsilon_{ij}) \quad (2-24)$$

between the strain energy density  $\tilde{U}(\varepsilon_{ij})$  and the strain complementary energy density  $\tilde{V}(\sigma_{ij})$ , the variable  $\varepsilon_{ij}$  in the three-field generalized potential energy  $\Pi_{3p}^{(a)}$  and the three-field generalized complementary energy  $\Pi_{3c}^{(a)}$  of the sub-region  $a$  (excluding the interface  $S_{ab}$ ) can be eliminated. Thus, the two-field (displacement  $u_i$ , stress  $\sigma_{ij}$ ) generalized potential energy  $\Pi_{2p}^{(a)}$  and the two-field generalized complementary energy  $\Pi_{2c}^{(a)}$  can be obtained:

$$\Pi_{2p}^{(a)} = \iiint_{V_a} \left[ \frac{1}{2} (u_{i,j} + u_{j,i}) \sigma_{ij} - \tilde{V}(\sigma_{ij}) - \bar{F}_i u_i \right] dV - \iint_{S_{\sigma a}} \bar{T}_i u_i dS - \iint_{S_{ua}} T_i (u_i - \bar{u}_i) dS \quad (2-25)$$

$$\Pi_{2c}^{(a)} = \iiint_{V_a} \left[ \tilde{V}(\sigma_{ij}) + (\sigma_{ij,j} + \bar{F}_i) u_i \right] dV - \iint_{S_{\sigma a}} (T_i - \bar{T}_i) u_i dS - \iint_{S_{ua}} T_i \bar{u}_i dS \quad (2-26)$$

From Eqs. (2-1), (2-20) and (2-22), the functional expressions of the sub-region two-field generalized mixed energy, potential energy and complementary energy principle can be written as follows:

$$\Pi_2 = \Pi_{2p}^{(a)} - \Pi_{2c}^{(b)} + H_{pc} \quad (2-27)$$

$$\Pi_2 = \Pi_{2p}^{(a)} + \Pi_{2p}^{(b)} + H_{pp} \quad (2-28)$$

$$\Pi_2 = -\Pi_{2c}^{(a)} - \Pi_{2c}^{(b)} + H_{cc} \quad (2-29)$$

where  $H_{pc}$ ,  $H_{pp}$  and  $H_{cc}$  are still given by Eqs. (2-4), (2-21) and (2-23), respectively.

## 2. The sub-region single-field generalized variational principle

Now, we discuss the case where each sub-region has only a single independent variable. If the sub-region  $a$  is a potential energy region, only the displacement  $u_i^{(a)}$  will be taken as the independent variable. Thus, the  $\Pi_{3p}^{(a)}$  in Eq. (2-2) and the  $\Pi_{2p}^{(a)}$  in Eq. (2-25) will transform to the single-field potential energy  $\Pi_{1p}^{(a)}$  of the region  $a$ :

$$\Pi_{1p}^{(a)} = \iiint_{V_a} [\tilde{U}(u_i) - \bar{F}_i u_i] dV - \iint_{S_{\sigma a}} \bar{T}_i u_i dS - \iint_{S_{ua}} T_i (u_i - \bar{u}_i) dS \quad (2-30a)$$

If  $u_i^{(a)}$  satisfies the displacement boundary condition (2-10) on  $S_{ua}$  in advance, then we have

$$\Pi_{1p}^{(a)} = \iiint_{V_a} [\tilde{U}(u_i) - \bar{F}_i u_i] dV - \iint_{S_{\sigma a}} \bar{T}_i u_i dS \quad (2-30b)$$

If the sub-region  $a$  is a complementary energy region, only the stress  $\sigma_{ij}^{(a)}$  will be taken as the independent variable, and  $\sigma_{ij}^{(a)}$  should satisfy the equilibrium differential Eq. (2-6) in advance. Thus, the  $\Pi_{3c}^{(a)}$  in Eq. (2-18) and the  $\Pi_{2c}^{(a)}$  in Eq. (2-26) will transform to the single-field complementary energy  $\Pi_{1c}^{(a)}$  of the region  $a$ :

$$\Pi_{1c}^{(a)} = \iiint_{V_a} \tilde{V}(\sigma_{ij}) dV - \iint_{S_{ua}} T_i \bar{u}_i dS - \iint_{S_{\sigma a}} (T_i - \bar{T}_i) u_i dS \quad (2-31a)$$

If  $\sigma_{ij}^{(a)}$  satisfies the boundary condition (2-9) on  $S_{\sigma a}$  in advance, then we have

$$\Pi_{1c}^{(a)} = \iiint_{V_a} \tilde{V}(\sigma_{ij}) dV - \iint_{S_{ua}} T_i \bar{u}_i dS \quad (2-31b)$$

From Eqs. (2-1), (2-20) and (2-22), or (2-27), (2-28) and (2-29), the functional expressions of the sub-region single-field generalized mixed energy, potential energy and complementary energy principle can be written as follows:

$$\Pi_1 = \Pi_{1p}^{(a)} - \Pi_{1c}^{(b)} + H_{pc} \quad (2-32)$$

$$\Pi_1 = \Pi_{1p}^{(a)} + \Pi_{1p}^{(b)} + H_{pp} \quad (2-33)$$

$$\Pi_1 = -\Pi_{1c}^{(a)} - \Pi_{1c}^{(b)} + H_{cc} \quad (2-34)$$

where  $H_{pc}$ ,  $H_{pp}$  and  $H_{cc}$  are still given by Eqs. (2-4), (2-21) and (2-23), respectively.  $T_i^{(a)}$  or  $T_i^{(b)}$  in Eq. (2-21), and  $u_i^{(a)}$  or  $u_i^{(b)}$  in Eq. (2-23), can be treated as Lagrange multipliers.

### 2.2.5 The General Form of the Multi-Region Variational Principle for Elasticity

From the above discussions, a general form of the multi-region variational principle can be obtained.

Let an elastic body be divided into several sub-regions (see Fig. 2.2). Each sub-region can be arbitrarily appointed as potential energy region or complementary energy region, and each region can be three-field region, or two-field region or single-field region. The interfaces between two adjacent regions are of three types,  $S_{pc}$ ,  $S_{pp}$  and  $S_{cc}$ : ① one side of  $S_{pc}$  is the potential energy region, while the other side is the complementary one; ② both sides of  $S_{pp}$  are potential energy regions; and ③ both sides of  $S_{cc}$  are complementary energy regions.

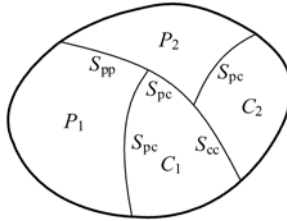


Figure 2.2 An elastic body divided into multi-regions

The general form of the functional for multi-region variational principle can be written as

$$\Pi = \sum_{V_p} \Pi_p - \sum_{V_c} \Pi_c + \sum_{S_{pc}} H_{pc} + \sum_{S_{pp}} H_{pp} + \sum_{S_{cc}} H_{cc} \quad (2-35)$$

The meanings of the terms on the right-side of this equation are as follows:

The first term denotes the sum of the potential (or generalized potential) energy  $\Pi_p$  of each potential energy region  $V_p$ , where  $\Pi_p$  can be  $\Pi_{1p}$  or  $\Pi_{2p}$  or  $\Pi_{3p}$ , which is given by Eqs. (2-30), (2-25) and (2-2), respectively.

The second term denotes the sum of the complementary (or generalized complementary) energy  $\Pi_c$  of each complementary energy region  $V_c$ , where  $\Pi_c$  can be  $\Pi_{1c}$  or  $\Pi_{2c}$  or  $\Pi_{3c}$ , which is given by Eqs. (2-31), (2-26) and (2-3), respectively.

The third term denotes the sum of the additional term  $H_{pc}$  on the interface  $S_{pc}$ , in which  $H_{pc}$  is given by Eq. (2-4). The fourth term denotes the sum of the additional term  $H_{pp}$  on the interface  $S_{pp}$ , in which  $H_{pp}$  is given by Eq. (2-21). The fifth term denotes the sum of the additional term  $H_{cc}$  on the interface  $S_{cc}$ , in which  $H_{cc}$  is given by Eq. (2-23).

It can be shown that the stationary condition

$$\delta\Pi = 0$$

of the functional  $\Pi$  in Eq. (2-35) is equivalent to all equations, boundary conditions and interface continuous conditions of the elastic body with multi-regions.

If all regions are potential energy regions, the functional of the sub-region potential (or generalized potential) energy principle can be obtained from Eq. (2-35):

$$\Pi = \sum_{V_p} \Pi_p + \sum_{S_{pp}} H_{pp} \quad (2-36)$$

It can be seen that Eqs. (2-20), (2-28) and (2-33) are all special cases of (2-36).

If all regions are complementary energy regions, the functional of the sub-region complementary (or generalized complementary) energy principle can be obtained from Eq. (2-35):

$$\Pi = -\sum_{V_c} \Pi_c + \sum_{S_{cc}} H_{cc} \quad (2-37)$$

It can be seen that Eqs. (2-22), (2-29) and (2-34) are all special cases of (2-37).

Incidentally, the interface  $S_{ab}$  can vest in  $V_a$  (or  $V_b$ ), and then, the additional terms  $H_{pc}$ ,  $H_{pp}$  and  $H_{cc}$  on  $S_{ab}$  will vest in the energy terms  $\Pi_p^{(a)}$  and  $\Pi_c^{(a)}$  of  $V_a$  (or the energy terms  $\Pi_p^{(b)}$  and  $\Pi_c^{(b)}$  of  $V_b$ ) as new additional terms. Several cases are discussed as follows:

Firstly, if we assume  $V_a$  as potential energy region, when  $S_{ab}$  is not included, the potential or generalized potential energy of  $V_a$  can be written as

$$\Pi_p^{(a)} = \iiint_{V_a} I_p dV - \iint_{S_{\sigma a}} \bar{T}_i u_i dS - \iint_{S_{ua}} T_i (u_i - \bar{u}_i) dS$$

where  $I_p$  denotes the integrand in volume terms of Eqs. (2-30) or (2-25) or (2-2). Now, if  $S_{ab}$  vests in  $V_a$ , the new additional terms of  $\Pi_p^{(a)}$  can be derived as follows:

(1) If the adjacent region  $V_b$  is a potential region,  $S_{ab}$  can be dealt with in the same manner as  $S_{ua}$ . Let  $\bar{u}_i = u_i^{(b)}$ , so the new additional term in  $\Pi_p^{(a)}$  is

$$- \iint_{S_{ab}} T_i^{(a)} (u_i^{(a)} - u_i^{(b)}) dS$$

From Eq. (2-21b), it can be seen that this new additional term is just  $H_{pp}$ .

(2) If the adjacent region  $V_b$  is a complementary region,  $S_{ab}$  can be dealt with in the same manner as  $S_{\sigma a}$ . Let  $\bar{T}_i = -T_i^{(b)}$ , so the new additional term in  $\Pi_p^{(a)}$  is

$$- \iint_{S_{ab}} (-T_i^{(b)}) u_i^{(a)} dS$$

From Eq. (2-4), it can be seen that this new additional term is just  $H_{pc}$ .

Secondly, if we assume  $V_b$  as complementary energy region, when  $S_{ab}$  is not included, the complementary or generalized complementary energy of  $V_b$  can be

written as

$$-II_c^{(b)} = -\iiint_{V_b} I_c dV + \iint_{S_{\sigma b}} (T_i - \bar{T}_i) u_i dS + \iint_{S_{ub}} T_i \bar{u}_i dS$$

where  $I_c$  denotes the integrand in volume terms of Eqs. (2-31) or (2-26) or (2-3). Now, if  $S_{ab}$  vests in  $V_b$ , the new additional terms of  $-II_c^{(b)}$  can be derived as follows:

(3) If the adjacent region  $V_a$  is a potential region, the new additional term will be  $\iint_{S_{ab}} T_i^{(b)} u_i^{(a)} dS$ , i.e.  $H_{pc}$ .

(4) If the adjacent region  $V_a$  is a complementary region, the new additional term will be  $\iint_{S_{ab}} (T_i^{(b)} + T_i^{(a)}) u_i^{(b)} dS$ , i.e.  $H_{cc}$  in Eq. (2-23b).

### 2.2.6 Some Remarks

The general form of the sub-region generalized variational principle for small displacement elasticity problems is presented in this section, and Eq. (2-35) is its functional expression. Its universality is due to the following reasons:

(1) Each sub-region can be independently specified as potential and complementary energy regions, and the sub-region potential energy, complementary energy and mixed variational principle are three special forms of the general form.

(2) The field variables in each region can be specified independently. The sub-region single-field, two-field, three-field and their mixed forms are all special cases of the general form.

(3) The displacement and traction conditions on each interface can be relaxed partly or completely. It is not necessary to satisfy them in advance.

Various finite element models can all be regarded as the special applications of this principle. For example, the sub-region potential energy principle and its functional (2-36) are the theoretical basis of the generalized conforming elements and the hybrid-displacement elements; the sub-region complementary energy principle and its functional (2-37) are the theoretical basis of the hybrid-stress elements; the sub-region mixed energy principle and its functional (2-1) are the theoretical basis of the sub-region mixed elements.

Besides, there are still some other points worthy of being paid attention to:

(1) By using the relation (2-17), the transformation between the different forms of the variational principle can be performed conveniently.

(2) The general form (2-35) of the functional for the multi-region variational principle establishes a bridge linking the various forms of the variational principle.

### 2.3 The Sub-Region Variational Principle for Elastic Thin Plate

This section will discuss the sub-region variational principle for elastic thin plate<sup>[2,12,16]</sup>. The thin plate variational principle with relaxed continuity requirements has been discussed in [16]. And, the multi-region potential and complementary energy generalized variational principles were given by [2]. Reference [12] proposed the multi-region mixed energy generalized variational principle of thin plate, considered the thin plate multi-region potential and complementary energy generalized variational principles as its special cases, and gave out the transformation relations between generalized potential energy and generalized complementary energy in the sub-regions. By using these relations, transformation between different functionals of the variational principle can be performed conveniently.

The sequence of presentation used in the previous section is adopted again here: firstly, the case with two sub-regions is discussed; secondly, from the three-field principle, the two-field and single-field principles are obtained; finally, the general form of the multi-region variational principle is given.

#### 2.3.1 The Sub-Region Three-Field Generalized Mixed Variational Principle for Thin Plate

##### 1. The description of the sub-regions and the boundaries for thin plate

Let an elastic thin plate be divided into two sub-regions  $a$  and  $b$  (Fig. 2.3), and  $\Omega_a$  and  $\Omega_b$  represent the domains of the regions  $a$  and  $b$ , respectively. The outer

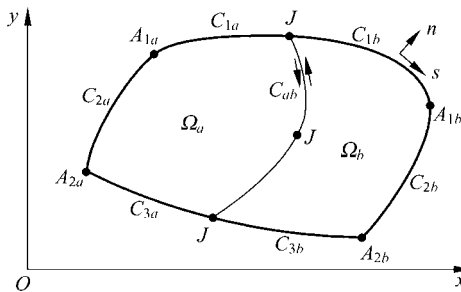


Figure 2.3 A thin plate divided into two sub-regions

boundaries  $C_a$  and  $C_b$  of the regions  $a$  and  $b$  are both composed of three parts:

$$C_a = C_{1a} + C_{2a} + C_{3a}$$

$$C_b = C_{1b} + C_{2b} + C_{3b}$$

where  $C_{1a}$  and  $C_{1b}$  are the fixed boundaries (the deflection  $\bar{w}$  and the normal

rotation  $\bar{\phi}_n$  on the boundaries are specified);  $C_{2a}$  and  $C_{2b}$  are the simply-supported boundaries (the deflection  $\bar{w}$  and the normal moment  $\bar{M}_n$  on the boundaries are specified); and  $C_{3a}$  and  $C_{3b}$  are the free boundaries (the normal moment  $\bar{M}_n$  and the equivalent shear force  $\bar{V}_n$  on the boundaries are specified).

The corner points  $A_a$  and  $A_b$  on the outer boundaries of the regions  $a$  and  $b$  are composed of two corner point types:

$$A_a = A_{1a} + A_{2a}, \quad A_b = A_{1b} + A_{2b}$$

where  $A_{1a}$  and  $A_{1b}$  are the corner points where the deflection  $\bar{w}$  is specified;  $A_{2a}$  and  $A_{2b}$  are the corner points where the concentrated force  $\bar{R}$  is specified.

The interface of the two regions is  $C_{ab}$ , on which the node  $J$  is also composed of two node types:

$$J = J_1 + J_2$$

where  $J_1$  is the node where the deflection  $\bar{w}$  is specified;  $J_2$  is the node where the concentrated force  $\bar{R}$  is specified.

$(x, y)$  are the Cartesian co-ordinates within the mid-surface of the thin plate;  $n$  is the outer normal of the boundary;  $s$  is the tangent of the boundary, and its positive direction is shown in Fig. 2.3.

## 2. The key points of the sub-region three-field generalized variational principle

### (1) The field variables

Both regions  $a$  and  $b$  possess three field variables:

Deflections:

$$w(a), \quad w(b)$$

Bending and twisting moments:

$$\mathbf{M}^{(a)} = [M_x \quad M_y \quad M_{xy}]^T{}^{(a)}$$

$$\mathbf{M}^{(b)} = [M_x \quad M_y \quad M_{xy}]^T{}^{(b)}$$

Curvatures:

$$\boldsymbol{\kappa}^{(a)} = [\kappa_x \quad \kappa_y \quad 2\kappa_{xy}]^T{}^{(a)}$$

$$\boldsymbol{\kappa}^{(b)} = [\kappa_x \quad \kappa_y \quad 2\kappa_{xy}]^T{}^{(b)}$$

These field variables are not required to satisfy any conditions in advance within the domain and on the boundaries and interfaces.

### (2) Definition of the functional

Let the region  $a$  be the potential energy region, and the region  $b$  the complementary energy region. Then, the definition of the functional is

$$\Pi_3 = \Pi_{3p}^{(a)} - \Pi_{3c}^{(b)} + H_{pc} + G_{1pc} + G_{2pc} \quad (2-38)$$

where  $\Pi_{3p}^{(a)}$  is the three-field generalized potential energy of the region  $a$  (excluding the interface  $C_{ab}$  and the node  $J$ ):

$$\begin{aligned} \Pi_{3p}^{(a)} = & \iint_{\Omega_a} \left[ \tilde{U}(\boldsymbol{\kappa}) - qw - \left( \frac{\partial^2 w}{\partial x^2} + \kappa_x \right) M_x - \left( \frac{\partial^2 w}{\partial y^2} + \kappa_y \right) M_y - 2 \left( \frac{\partial^2 w}{\partial x \partial y} + \kappa_{xy} \right) M_{xy} \right] dx dy \\ & - \int_{C_{1a} + C_{2a}} \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right) (w - \bar{w}) ds - \int_{C_{3a}} \bar{V}_n w ds + \int_{C_{1a}} M_n \left( \frac{\partial w}{\partial n} - \bar{\psi}_n \right) ds \\ & + \int_{C_{2a} + C_{3a}} \bar{M}_n \frac{\partial w}{\partial n} ds - \sum_{A_{1a}} \Delta M_{ns} (w - \bar{w}) - \sum_{A_{2a}} \bar{R} w \end{aligned} \quad (2-39)$$

Here,  $q$  is the density of the normal load;  $\tilde{U}(\boldsymbol{\kappa})$  is the density of the strain energy:

$$\tilde{U}(\boldsymbol{\kappa}) = \frac{D}{2} [(\kappa_x + \kappa_y)^2 + 2(1 - \mu)(\kappa_{xy}^2 - \kappa_x \kappa_y)] \quad (2-40)$$

where  $D = \frac{Eh^3}{12(1 - \mu^2)}$  is the bending stiffness of the plate;  $E$  is the Young's modulus;  $h$  is the thickness;  $\mu$  is the Poisson's ratio;  $M_n$ ,  $M_{ns}$ , and  $Q_n$  are the normal bending moment, twisting moment and transverse shear force on the boundary, respectively;  $\Delta M_{ns}$  is the increment of the twisting moment at two sides of the corner node on the boundary.

$\Pi_{3c}^{(b)}$  is the three-field generalized complementary energy of the region  $b$  (excluding the interface  $C_{ab}$  and the node  $J$ ):

$$\begin{aligned} \Pi_{3c}^{(b)} = & \iint_{\Omega_b} \left[ M_x \kappa_x + M_y \kappa_y + 2M_{xy} \kappa_{xy} - \tilde{U}(\boldsymbol{\kappa}) + \left( \frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2 \frac{\partial^2 M_{xy}}{\partial x \partial y} + q \right) w \right] dx dy \\ & - \int_{C_{1b} + C_{2b}} \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right) \bar{w} ds - \int_{C_{3b}} \left( Q_n + \frac{\partial M_{ns}}{\partial s} - \bar{V}_n \right) w ds + \int_{C_{1b}} M_n \bar{\psi}_n ds \\ & + \int_{C_{2b} + C_{3b}} (M_n - \bar{M}_n) \frac{\partial w}{\partial n} ds - \sum_{A_{1b}} \Delta M_{ns} \bar{w} - \sum_{A_{2b}} (\Delta M_{ns} - \bar{R}) w \end{aligned} \quad (2-41)$$

$H_{pc}$ ,  $G_{1pc}$ ,  $G_{2pc}$  are the additional energy terms on the interface  $C_{ab}$  and the nodes  $J_1$  and  $J_2$ :

$$H_{pc} = \int_{C_{ab}} \left[ M_n^{(b)} \left( \frac{\partial w}{\partial n} \right)^{(a)} + \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(b)} w^{(a)} \right] ds \quad (2-42)$$

$$G_{1pc} = \sum_{J_1} [ -(\Delta M_{ns})^{(a)} (w^{(a)} - \bar{w}) + (\Delta M_{ns})^{(b)} \bar{w} ] \quad (2-43)$$

$$G_{2pc} = \sum_{J_2} [ (\Delta M_{ns})^{(b)} - \bar{R} ] w^{(a)} \quad (2-44)$$



(3) Stationary condition

The stationary condition of the functional is

$$\delta II_3 = \delta II_{3p}^{(a)} - \delta II_{3c}^{(b)} + \delta H_{pc} + \delta G_{1pc} + \delta G_{2pc} = 0 \quad (2-45)$$

which is equivalent to all field equations, boundary conditions, interface conditions, and conditions at the corner points and nodes, including:

The field equations within  $\Omega_a$  and  $\Omega_b$ :

$$\left. \begin{aligned} M_x &= D(\kappa_x + \mu\kappa_y), & M_y &= D(\kappa_y + \mu\kappa_x) \\ M_{xy} &= D(1 - \mu)\kappa_{xy} \\ \kappa_x &= -\frac{\partial^2 w}{\partial x^2}, & \kappa_y &= -\frac{\partial^2 w}{\partial y^2}, & \kappa_{xy} &= -\frac{\partial^2 w}{\partial x \partial y} \\ \frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2\frac{\partial^2 M_{xy}}{\partial x \partial y} + q &= 0 \end{aligned} \right\} \quad (2-46)$$

The boundary conditions on  $C_a$  and  $C_b$ :

$$\left. \begin{aligned} Q_n + \frac{\partial M_{ns}}{\partial s} &= \bar{V}_n & (\text{on } C_{3a} + C_{3b}) \\ w &= \bar{w} & (\text{on } C_{1a} + C_{2a} + C_{1b} + C_{2b}) \\ M_n &= \bar{M}_n & (\text{on } C_{2a} + C_{3a} + C_{2b} + C_{3b}) \\ \frac{\partial w}{\partial n} &= \bar{\psi}_n & (\text{on } C_{1a} + C_{1b}) \end{aligned} \right\} \quad (2-47)$$

The interface conditions on  $C_{ab}$ :

$$\left. \begin{aligned} M_n^{(a)} &= M_n^{(b)} \\ \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(a)} &= - \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(b)} \\ \left( \frac{\partial w}{\partial n} \right)^{(a)} &= - \left( \frac{\partial w}{\partial n} \right)^{(b)} \\ w^{(a)} &= w^{(b)} \end{aligned} \right\} \quad (2-48)$$

The conditions at the corner points:

$$\left. \begin{aligned} w &= \bar{w} & (\text{at } A_{1a} + A_{1b}) \\ \Delta M_{ns} &= \bar{R} & (\text{at } A_{2a} + A_{2b}) \end{aligned} \right\} \quad (2-49)$$

The conditions at the nodes on the interface:

$$\left. \begin{aligned} w^{(a)} &= \bar{w} && \text{(at } J_1) \\ w^{(b)} &= \bar{w} && \text{(at } J_1) \\ w^{(a)} &= w^{(b)} && \text{(at } J_2) \\ (\Delta M_{ns})^{(a)} + (\Delta M_{ns})^{(b)} &= \bar{R} && \text{(at } J_2) \end{aligned} \right\} \quad (2-50)$$

The proof of the above equivalent equations is given in Appendix A.

### 2.3.2 The Sub-Region Three-Field Generalized Potential and Complementary Energy Principles for Thin Plate

#### 1. The transformation relation between $\Pi_{3p}^{(a)}$ and $\Pi_{3c}^{(a)}$

The three-field generalized potential energy  $\Pi_{3p}^{(a)}$  and the three-field generalized complementary energy  $\Pi_{3c}^{(a)}$  of the region  $a$  (excluding the interface  $C_{ab}$  and the node  $J$ ) have the following transformation relation:

$$\Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} = \int_{C_{ab}} \left[ -M_n^{(a)} \left( \frac{\partial w}{\partial n} \right)^{(a)} + \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(a)} w^{(a)} \right] ds + \sum_{J_1+J_2} w^{(a)} (\Delta M_{ns})^{(a)} \quad (2-51)$$

**Proof** From Eqs. (2-39) and (2-41), replacing  $b$  by  $a$  in Eq. (2-41), we have

$$\begin{aligned} \Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} &= \iint_{\Omega_a} \left[ - \left( \frac{\partial^2 w}{\partial x^2} M_x + \frac{\partial^2 w}{\partial y^2} M_y + 2 \frac{\partial^2 w}{\partial x \partial y} M_{xy} \right) \right. \\ &\quad \left. + \left( \frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2 \frac{\partial^2 M_{xy}}{\partial x \partial y} \right) w \right] dx dy \\ &\quad - \int_{C_{1a}+C_{2a}+C_{3a}} \left[ \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right) w - M_n \frac{\partial w}{\partial n} \right] ds - \sum_{A_{1a}+A_{2a}} (\Delta M_{ns}) w \end{aligned} \quad (2-52)$$

By using integration by parts, the following relation can be obtained:

$$\begin{aligned} \iint_{\Omega_a} \left( \frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2 \frac{\partial^2 M_{xy}}{\partial x \partial y} \right) w dx dy &= \iint_{\Omega_a} \left( M_x \frac{\partial^2 w}{\partial x^2} + M_y \frac{\partial^2 w}{\partial y^2} + 2 M_{xy} \frac{\partial^2 w}{\partial x \partial y} \right) dx dy \\ &\quad - \int_{C_{1a}+C_{2a}+C_{3a}+C_{ab}} \left[ M_n \frac{\partial w}{\partial n} - \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right) w \right] ds + \sum_{A_{1a}+A_{2a}+J_1+J_2} w \Delta M_{ns} \end{aligned} \quad (2-53)$$

Substitution of Eq. (2-53) into Eq. (2-52) yields Eq. (2-51).  $\square$

If the whole domain is not divided into sub-regions,  $C_{ab}$ ,  $J_1$  and  $J_2$  will no longer exist, so we have

$$\Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} = 0 \quad (2-54)$$

## 2. The sub-region three-field generalized potential energy principle

In the functional expression (2-38) of the sub-region three-field generalized mixed variational principle, the region  $a$  represents the generalized potential energy region, and the region  $b$  represents the generalized complementary energy region. Now, if the region  $b$  is changed to represent the generalized potential energy region, then from Eq. (2-51), we have

$$\Pi_{3c}^{(b)} = -\Pi_{3p}^{(b)} + \int_{C_{ab}} \left[ -M^{(b)} \left( \frac{\partial w}{\partial n} \right)^{(b)} + \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(b)} w^{(b)} \right] ds + \sum_{J_1+J_2} w^{(b)} (\Delta M_{ns})^{(b)}$$

Substitution of this equation into (2-38) yields

$$\Pi_3 = \Pi_{3p}^{(a)} + \Pi_{3p}^{(b)} + H_{pp} + G_{1pp} + G_{2pp} \quad (2-55)$$

where  $H_{pp}$ ,  $G_{1pp}$  and  $G_{2pp}$  are the additional potential energy terms on the interface  $C_{ab}$  and the nodes  $J_1$  and  $J_2$ :

$$H_{pp} = \int_{C_{ab}} \left\{ M_n^{(b)} \left[ \left( \frac{\partial w}{\partial n} \right)^{(a)} + \left( \frac{\partial w}{\partial n} \right)^{(b)} \right] + \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(b)} (w^{(a)} - w^{(b)}) \right\} ds \quad (2-56a)$$

$$G_{1pp} = -\sum_{J_1} [(\Delta M_{ns})^{(a)} (w^{(a)} - \bar{w}) + (\Delta M_{ns})^{(b)} (w^{(b)} - \bar{w})] \quad (2-57)$$

$$G_{2pp} = \sum_{J_2} [(\Delta M_{ns})^{(b)} (w^{(a)} - w^{(b)}) - \bar{R} w^{(a)}] \quad (2-58a)$$

Equations (2-55), (2-56a), (2-57) and (2-58a) are the functional expressions of the sub-region three-field generalized potential energy principle. It can be shown that the stationary conditions of this functional is equivalent to all field equations, boundary conditions, interface conditions, corner point and node conditions of the thin plate with sub-regions.

Other expressions of  $H_{pp}$  and  $G_{2pp}$  can also be obtained by interchanging  $a$  and  $b$  in Eqs. (2-56a) and (2-58a):

$$H_{pp} = \int_{C_{ab}} \left\{ M_n^{(a)} \left[ \left( \frac{\partial w}{\partial n} \right)^{(a)} + \left( \frac{\partial w}{\partial n} \right)^{(b)} \right] + \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(a)} (w^{(b)} - w^{(a)}) \right\} ds \quad (2-56b)$$

$$G_{2pp} = \sum_{J_2} [(\Delta M_{ns})^{(a)}(w^{(b)} - w^{(a)}) - \bar{R}w^{(b)}] \quad (2-58b)$$

If the displacement continuous conditions on the interface  $C_{ab}$  and the nodes  $J_1$  and  $J_2$  are satisfied in advance, then from Eqs. (2-56), (2-57) and (2-58), we can obtain

$$\begin{aligned} H_{pp} &= 0 \\ G_{1pp} &= 0 \\ G_{2pp} &= -\sum_{J_2} \bar{R}w^{(a)} \quad \text{or} \quad G_{2pp} = -\sum_{J_2} \bar{R}w^{(b)} \end{aligned}$$

### 3. The sub-region three-field generalized complementary energy principle

In Eq. (2-38), if we require that the sub-region  $a$  is given by the generalized complementary energy, the substitution of (2-51) into (2-38) will yield

$$\Pi_3 = -\Pi_{3c}^{(a)} - \Pi_{3c}^{(b)} + H_{cc} + G_{1cc} + G_{2cc} \quad (2-59)$$

where  $H_{cc}$ ,  $G_{1cc}$  and  $G_{2cc}$  are the additional complementary energy terms on the interface  $C_{ab}$  and the nodes  $J_1$  and  $J_2$ :

$$H_{cc} = \int_{C_{ab}} \left\{ (M_n^{(b)} - M_n^{(a)}) \left( \frac{\partial w}{\partial n} \right)^{(a)} + \left[ \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(b)} + \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(a)} \right] w^{(a)} \right\} ds \quad (2-60a)$$

$$G_{1cc} = \sum_{J_1} [(\Delta M_{ns})^{(b)} + (\Delta M_{ns})^{(a)}] \bar{w} \quad (2-61)$$

$$G_{2cc} = \sum_{J_2} \{ [(\Delta M_{ns})^{(b)} + (\Delta M_{ns})^{(a)} - \bar{R}] w^{(a)} \} \quad (2-62a)$$

Equations (2-59), (2-60a), (2-61) and (2-62a) are the functional expressions of the sub-region three-field generalized complementary energy principle. Other expressions of  $H_{cc}$  and  $G_{2cc}$  can also be obtained by interchanging  $a$  and  $b$  in Eqs. (2-60a) and (2-62a):

$$H_{cc} = \int_{C_{ab}} \left\{ (M_n^{(a)} - M_n^{(b)}) \left( \frac{\partial w}{\partial n} \right)^{(b)} + \left[ \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(a)} + \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right)^{(b)} \right] w^{(b)} \right\} ds \quad (2-60b)$$

$$G_{2cc} = \sum_{J_2} \{ [(\Delta M_{ns})^{(b)} + (\Delta M_{ns})^{(a)} - \bar{R}] w^{(b)} \} \quad (2-62b)$$

If the traction conditions on the interface  $C_{ab}$  and the node  $J_2$  are satisfied in

advance, then from Eqs. (2-60) and (2-62), we can obtain:

$$H_{cc} = 0, \quad G_{2cc} = 0$$

### 2.3.3 The Sub-Region Two-Field and Single-Field Variational Principle for Thin Plate

#### 1. The sub-region two-field generalized variational principle

By using the relation between the strain energy density  $\tilde{U}(\boldsymbol{\kappa})$  and the strain complementary energy density  $\tilde{V}(\mathbf{M})$ :

$$\tilde{V}(\mathbf{M}) = M_x \kappa_x + M_y \kappa_y + 2M_{xy} \kappa_{xy} - \tilde{U}(\boldsymbol{\kappa}) \quad (2-63)$$

the variable  $\boldsymbol{\kappa}$  in the three-field generalized potential energy  $\Pi_{3p}^{(a)}$  and generalized complementary energy  $\Pi_{3c}^{(a)}$  of the region  $a$  (excluding the interface  $C_{ab}$  and the nodes  $J_1$  and  $J_2$ ) can be eliminated. Thereby, the two-field (displacement field  $w$  and internal moment field  $\mathbf{M}$ ) generalized potential energy  $\Pi_{2p}^{(a)}$  and generalized complementary energy  $\Pi_{2c}^{(a)}$  can be written as follows:

$$\begin{aligned} \Pi_{2p}^{(a)} = & \iint_{\Omega_a} \left[ - \left( \frac{\partial^2 w}{\partial x^2} M_x + \frac{\partial^2 w}{\partial y^2} M_y + 2 \frac{\partial^2 w}{\partial x \partial y} M_{xy} \right) - \tilde{V}(\mathbf{M}) - qw \right] dx dy \\ & - \int_{C_{1a} + C_{2a}} \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right) (w - \bar{w}) ds - \int_{C_{3a}} \bar{V}_n w ds + \int_{C_{1a}} M_n \left( \frac{\partial w}{\partial n} - \bar{\psi}_n \right) ds \\ & + \int_{C_{2a} + C_{3a}} \bar{M}_n \frac{\partial w}{\partial n} ds - \sum_{A_{1a}} \Delta M_{ns} (w - \bar{w}) - \sum_{A_{2a}} \bar{R} w \end{aligned} \quad (2-64)$$

$$\begin{aligned} \Pi_{2c}^{(a)} = & \iint_{\Omega_a} \left[ \tilde{V}(\mathbf{M}) + \left( \frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2 \frac{\partial^2 M_{xy}}{\partial x \partial y} + q \right) w \right] dx dy \\ & - \int_{C_{1a} + C_{2a}} \left( Q_n + \frac{\partial M_{ns}}{\partial s} \right) \bar{w} ds - \int_{C_{3a}} \left( Q_n + \frac{\partial M_{ns}}{\partial s} - \bar{V}_n \right) w ds + \int_{C_{1a}} M_n \bar{\psi}_n ds \\ & + \int_{C_{2a} + C_{3a}} (M_n - \bar{M}_n) \frac{\partial w}{\partial n} ds - \sum_{A_{1a}} \Delta M_{ns} \bar{w} - \sum_{A_{2a}} (\Delta M_{ns} - \bar{R}) w \end{aligned} \quad (2-65)$$

From Eqs. (2-38), (2-55) and (2-59), the functional expressions of the sub-region two-field generalized mixed energy, potential energy and complementary energy principle can be obtained:

$$\Pi_2 = \Pi_{2p}^{(a)} - \Pi_{2c}^{(b)} + H_{pc} + G_{1pc} + G_{2pc} \quad (2-66)$$

$$\Pi_2 = \Pi_{2p}^{(a)} + \Pi_{2p}^{(b)} + H_{pp} + G_{1pp} + G_{2pp} \quad (2-67)$$

$$\Pi_2 = -\Pi_{2c}^{(a)} - \Pi_{2c}^{(b)} + H_{cc} + G_{1cc} + G_{2cc} \quad (2-68)$$

where  $H_{pc}$ ,  $H_{pp}$  and  $H_{cc}$  are still given by Eqs. (2-42), (2-56) and (2-60), respectively;  $G_{1pc}$ ,  $G_{2pc}$ ,  $G_{1pp}$ ,  $G_{2pp}$ ,  $G_{1cc}$  and  $G_{2cc}$  are still given by Eqs. (2-43), (2-44), (2-57), (2-58), (2-61) and (2-62), respectively.

## 2. The sub-region single-field variational principle

Now we consider the case where each sub-region is a single-field region. If the region  $a$  is a potential energy region, only the displacement  $w$  will be taken as the field variable. Thus, the  $\Pi_{3p}^{(a)}$  in Eq. (2-39) or the  $\Pi_{2p}^{(a)}$  in Eq. (2-64) will transform to the single-field potential energy  $\Pi_{1p}^{(a)}$  of the region  $a$ :

$$\begin{aligned} \Pi_{1p}^{(a)} = & \iint_{\Omega_a} [\tilde{U}(w) - qw] dx dy - \int_{C_{1a}+C_{2a}} \left( \frac{\partial M_{ns}}{\partial s} + Q_n \right) (w - \bar{w}) ds \\ & - \int_{C_{3a}} \bar{V}_n w ds + \int_{C_{1a}} M_n \left( \frac{\partial w}{\partial n} - \bar{\psi}_n \right) ds + \int_{C_{2a}+C_{3a}} \bar{M}_n \frac{\partial w}{\partial n} ds \\ & - \sum_{A_{1a}} \Delta M_{ns} (w - \bar{w}) - \sum_{A_{2a}} \bar{R} w \end{aligned} \quad (2-69a)$$

where  $Q_n + \frac{\partial M_{ns}}{\partial s}$ ,  $M_n$  and  $\Delta M_{ns}$  can be expressed as the functions of the displacement  $w$ , or looked upon as the Lagrange multipliers on the boundaries and their corner points;  $\tilde{U}(w)$  is the strain energy density in terms of the displacement  $w$ :

$$\tilde{U}(w) = \frac{D}{2} \left\{ \left( \frac{\partial^2 w}{\partial x^2} + \frac{\partial^2 w}{\partial y^2} \right)^2 + 2(1 - \mu) \left[ \left( \frac{\partial^2 w}{\partial x \partial y} \right)^2 - \frac{\partial^2 w}{\partial x^2} \frac{\partial^2 w}{\partial y^2} \right] \right\}$$

If the displacement  $w$  satisfies the geometrical boundary and corner point conditions in advance, then

$$\Pi_{1p}^{(a)} = \iint_{\Omega_a} [\tilde{U}(w) - qw] dx dy - \int_{C_{3a}} \bar{V}_n w ds + \int_{C_{2a}+C_{3a}} \bar{M}_n \frac{\partial w}{\partial n} ds - \sum_{A_{2a}} \bar{R} w \quad (2-69b)$$

If the sub-region  $a$  is a complementary energy region, only the internal moment  $\mathbf{M}$  will be taken as the field variable, and  $\mathbf{M}$  should satisfy the equilibrium differential equation in advance.

$$\frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2 \frac{\partial^2 M_{xy}}{\partial x \partial y} + q = 0$$

Thus, the  $\Pi_{3c}^{(a)}$  in Eq. (2-41) or the  $\Pi_{2c}^{(a)}$  in Eq. (2-65) will transform to the single-field complementary energy  $\Pi_{1c}^{(a)}$ :

$$\begin{aligned} \Pi_{1c}^{(a)} = & \iint_{\Omega_a} \tilde{V}(\mathbf{M}) dx dy - \int_{C_{1a}+C_{2a}} \left( Q_n + \frac{\partial M_{ns}}{\partial S} \right) \bar{w} ds - \int_{C_{3a}} \left( Q_n + \frac{\partial M_{ns}}{\partial S} - \bar{V}_n \right) w ds \\ & + \int_{C_{1a}} M_n \bar{\psi}_n ds + \int_{C_{2a}+C_{3a}} (M_n - \bar{M}_n) \frac{\partial w}{\partial n} ds - \sum_{A_{1a}} \Delta M_{ns} \bar{w} - \sum_{A_{2a}} (\Delta M_{ns} - \bar{R}) w \end{aligned} \quad (2-70a)$$

where  $w$  and  $\frac{\partial w}{\partial n}$  can be looked upon as the Lagrange multipliers on the boundaries and their corner points.

If  $\mathbf{M}$  satisfies the traction boundary and corner point conditions in advance, then

$$\Pi_{1c}^{(a)} = \iint_{\Omega_a} \tilde{V}(\mathbf{M}) dx dy - \int_{C_{1a}+C_{2a}} \left( Q_n + \frac{\partial M_{ns}}{\partial S} \right) \bar{w} ds + \int_{C_{1a}} M_n \bar{\psi}_n ds - \sum_{A_{1a}} \Delta M_{ns} \bar{w} \quad (2-70b)$$

From Eqs. (2-38), (2-55), (2-59), or (2-66), (2-67), (2-68), the functional expressions of the sub-region single-field mixed energy principle, potential energy principle and complementary energy principle can be obtained:

$$\Pi_1 = \Pi_{1p}^{(a)} - \Pi_{1c}^{(b)} + H_{pc} + G_{1pc} + G_{2pc} \quad (2-71)$$

$$\Pi_1 = \Pi_{1p}^{(a)} + \Pi_{1p}^{(b)} + H_{pp} + G_{1pp} + G_{2pp} \quad (2-72)$$

$$\Pi_1 = -\Pi_{1c}^{(a)} - \Pi_{1c}^{(b)} + H_{cc} + G_{1cc} + G_{2cc} \quad (2-73)$$

where  $H_{pc}$ ,  $G_{1pc}$ ,  $G_{2pc}$ ,  $H_{pp}$ ,  $G_{1pp}$ ,  $G_{2pp}$ ,  $H_{cc}$ ,  $G_{1cc}$  and  $G_{2cc}$  are the same as those in Eqs. (2-66) to (2-68).

### 2.3.4 The General Form of the Sub-Region Generalized Variational Principle for Thin Plate

From the above discussions, a general form of the sub-region generalized variational principle can be obtained.

Let an elastic thin plate be divided into several sub-regions. Each sub-region can be arbitrarily appointed as single-field, two-field and three-field potential energy regions (such as the regions  $\Omega_{p1}$ ,  $\Omega_{p2}$ ,  $\Omega_{p3}$  in Fig. 2.4) or complementary energy regions (such as the regions  $\Omega_{c1}$ ,  $\Omega_{c2}$ ,  $\Omega_{c3}$  in Fig. 2.4).

The interfaces between two adjacent sub-regions are of three types,  $C_{pc}$ ,  $C_{pp}$  and  $C_{cc}$ : ① one side of  $C_{pc}$  is the potential energy region, while the other side is the

complementary one; ② both sides of  $C_{pp}$  are potential energy regions; and ③ both sides of  $C_{cc}$  are complementary energy regions.

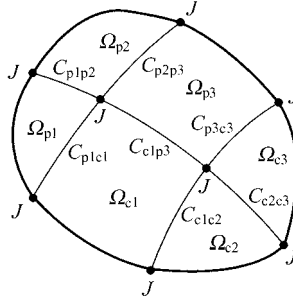


Figure 2.4 A thin plate divided into multi-regions

The node  $J$  of the adjacent sub-regions are of two types,  $J_1$  and  $J_2$ :  $J_1$  is the node where the displacement  $\bar{w}$  is specified;  $J_2$  is the node where the concentrated force  $\bar{R}$  is specified. There are  $r_p$  potential energy elements  $e_p$  and  $r_c$  complementary energy element  $e_c$  around the node  $J$ .

The general form for the functional of the sub-region variational principle can be written as

$$\Pi = \sum_{\Omega_p} \Pi_p - \sum_{\Omega_c} \Pi_c + \sum_{C_{pc}} H_{pc} + \sum_{C_{pp}} H_{pp} + \sum_{C_{cc}} H_{cc} + \sum_{J_1} G_1 + \sum_{J_2} G_2 \quad (2-74)$$

The meanings of the terms on the right-side of this equation are as follows:

The first term denotes the sum of the potential (or generalized potential) energy  $\Pi_p$  of each potential energy region  $\Omega_p$ , where  $\Pi_p$  can be  $\Pi_{1p}$  or  $\Pi_{2p}$  or  $\Pi_{3p}$ , which is given by Eqs. (2-69), (2-64) and (2-39), respectively;

The second term denotes the sum of the complementary (or generalized complementary) energy  $\Pi_c$  of each complementary energy region  $\Omega_c$ , where  $\Pi_c$  can be  $\Pi_{1c}$  or  $\Pi_{2c}$  or  $\Pi_{3c}$ , which is given by Eqs. (2-70), (2-65) and (2-41), respectively;

The third term denotes the sum of the additional mixed energy term  $H_{pc}$  on the interface  $C_{pc}$ , in which  $H_{pc}$  is given by Eq. (2-42);

The fourth term denotes the sum of the additional potential energy term  $H_{pp}$  on the interface  $C_{pp}$ , in which  $H_{pp}$  is given by Eq. (2-56);

The fifth term denotes the sum of the additional complementary energy term  $H_{cc}$  on the interface  $C_{cc}$ , in which  $H_{cc}$  is given by Eq. (2-60).

The sixth term denotes the sum of the additional energy term  $G_1$  at the node  $J_1$  (where the displacement is specified) of the adjacent sub-regions, in which

$$G_1 = - \sum_{e_p} (\Delta M_{ns})^{(e_p)} (w^{(e_p)} - \bar{w}) + \sum_{e_c} (\Delta M_{ns})^{(e_c)} \bar{w} \quad (2-75)$$



The first term on the right side of the above equation means the sum of all the potential elements  $e_p$  around the node; the second term means the sum of all the complementary energy elements  $e_c$  around the node.

The seventh term denotes the sum of the additional energy term  $G_2$  at the node  $J_2$  (where the concentrated force is specified) of the adjacent sub-regions, in which

$$G_2 = \left[ \sum_e (\Delta M_{ns})^{(e)} - \bar{R} \right] w^{(a)} - \sum_{e_p} (\Delta M_{ns})^{(e_p)} w^{(e_p)} \quad (2-76)$$

The  $\sum_e$  in the first term on the right side of the above equation denotes the sum of all the elements  $e$  (including all  $e_p$  and  $e_c$ ) around the node;  $w^{(a)}$  is the displacement of any element  $a$  around the node; The  $\sum_{e_p}$  in the second term on the right side of the above equation denotes the sum of all the potential elements  $e_p$  around the node.

$G_{1pc}$  in (2-43),  $G_{1pp}$  in (2-57), and  $G_{1cc}$  in (2-61) are all special cases of  $G_1$  in (2-75).  $G_{2pc}$  in (2-44),  $G_{2pp}$  in (2-58), and  $G_{2cc}$  in (2-62) are all special cases of  $G_2$  in (2-76).

It can be shown that the stationary condition

$$\delta II = 0 \quad (2-77)$$

of the functional  $II$  in Eq. (2-74) is equivalent to all field equations, boundary conditions, interface conditions, corner point and node conditions of the thin plate system with multi-regions.

The procedure for deriving the node conditions of the node  $J$  from the stationary condition (2-77) is given in Appendix B.

If all the sub-regions are potential energy regions, the functional of the sub-region potential (or generalized potential) energy principle can be obtained from Eq. (2-74):

$$II = \sum_{\Omega_p} II_p + \sum_{C_{pp}} H_{pp} + \sum_{J_1} G_{1pp} + \sum_{J_2} G_{2pp} \quad (2-78)$$

where  $G_{1pp}$  and  $G_{2pp}$  can be obtained from Eqs. (2-75) and (2-76):

$$G_{1pp} = - \sum_{e_p} (\Delta M_{ns})^{(e_p)} (w^{(e_p)} - \bar{w}) \quad (2-79)$$

$$\begin{aligned} G_{2pp} &= \left[ \sum_{e_p} (\Delta M_{ns})^{(e_p)} - \bar{R} \right] w^{(a)} - \sum_{e_p} (\Delta M_{ns})^{(e_p)} w^{(e_p)} \\ &= - \sum_{e_p} (\Delta M_{ns})^{(e_p)} (w^{(e_p)} - w^{(a)}) - \bar{R} w^{(a)} \end{aligned} \quad (2-80)$$

Equations (2-55), (2-67) and (2-72) are all the special cases of (2-78). One of the

special cases of the sub-region potential energy principle is that each sub-region is appointed as a single-field potential energy region. At this time,  $\Pi_p$  in Eq. (2-78) will be replaced by  $\Pi_{1p}$  in Eq. (2-69a):

$$\Pi = \sum_{\Omega_p} \Pi_{1p} + \sum_{C_{pp}} H_{pp} + \sum_{J_1} G_{1pp} + \sum_{J_2} G_{2pp} \quad (2-81)$$

If all the sub-regions are complementary energy regions, the functional of the sub-region complementary (or generalized complementary) energy principle can be obtained from Eq. (2-74):

$$\Pi = -\sum_{\Omega_c} \Pi_c + \sum_{C_{cc}} H_{cc} + \sum_{J_1} G_{1cc} + \sum_{J_2} G_{2cc} \quad (2-82)$$

where

$$G_{1cc} = \sum_{e_c} (\Delta M_{ns})^{(e_c)} \bar{w} \quad (2-83)$$

$$G_{2cc} = [\sum_{e_c} (\Delta M_{ns})^{(e_c)} - \bar{R}] w^{(a)} \quad (2-84)$$

Equations (2-59), (2-68) and (2-73) are all the special cases of (2-82). One of the special cases of sub-region complementary energy principle is that each sub-region is appointed as a two-field complementary energy region. At this time,  $\Pi_c$  in Eq. (2-82) will be replaced by  $\Pi_{2c}$  in Eq. (2-65):

$$\Pi = -\sum_{\Omega_c} \Pi_{2c} + \sum_{C_{cc}} H_{cc} + \sum_{J_1} G_{1cc} + \sum_{J_2} G_{2cc} \quad (2-85)$$

## **2.4 The Sub-Region Variational Principle for Elastic Thick Plate**

In the previous section, the sub-region variational principle for thin plate is discussed. This section will consider the thick plate case.

Compared with the thin plate theory, the characteristics of the thick plate theory are as follows: the influences of the transverse shear strain  $\gamma_x$  and  $\gamma_y$  (abbreviations of  $\gamma_{xz}$  and  $\gamma_{yz}$ ) are considered; the rotations  $\psi_x$  and  $\psi_y$  are not dependent on the deflection  $w$ , thereby,  $w$ ,  $\psi_x$  and  $\psi_y$  are three independent displacements; Besides the normal load  $\bar{q}$ , there still are couple loads  $\bar{m}_x$  and  $\bar{m}_y$ ; the transverse shear forces  $Q_x$  and  $Q_y$  are not dependent on the bending and twisting moments  $M_x$ ,  $M_y$  and  $M_{xy}$ .

The sub-region variational principle for elastic thick plate was proposed in [13].

For comparison, the arrangement of this section is the same as that of the previous one, which can make it easy to understand the similarities and differences of the two principles.

### 2.4.1 The Sub-Region Three-Field Generalized Mixed Variational Principle for Thick Plate

Here we consider an elastic plate with moderate thickness, i.e. an elastic thick plate. A Cartesian co-ordinate system is established on the mid-surface of the plate (see Fig. 2.5), and the positive direction of the  $z$ -axis is downward.  $n$  and  $s$  denote the directions of the outer normal and the tangent along the boundary, respectively. And, the positive direction of  $s$  is shown in Fig. 2.5.

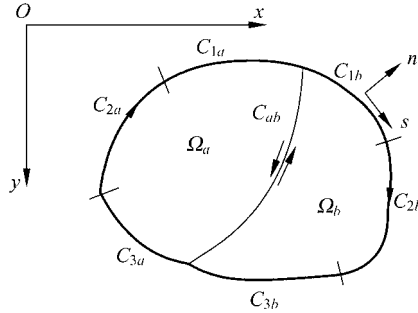


Figure 2.5 A thick plate divided into two sub-regions

Let a thick plate be divided into two sub-regions  $a$  and  $b$ , and  $\Omega_a$  and  $\Omega_b$  represent the domains of the regions  $a$  and  $b$ , respectively. The outer boundaries  $C_a$  and  $C_b$  of the regions  $a$  and  $b$  are both composed of three parts:

$$C_a = C_{1a} + C_{2a} + C_{3a}, \quad C_b = C_{1b} + C_{2b} + C_{3b}$$

where  $C_{1a}$  and  $C_{1b}$  are the fixed boundaries (the deflection  $w$ , the normal rotation  $\psi_n$  and the tangent rotation  $\psi_s$  of the mid-surface normal line are specified by  $\bar{w}$ ,  $\bar{\psi}_n$  and  $\bar{\psi}_s$ , respectively);  $C_{2a}$  and  $C_{2b}$  are the simply-supported boundaries (the deflection  $w$ , the tangent rotation  $\psi_s$  of the mid-surface normal line and the normal bending moment  $M_n$  are specified by  $\bar{w}$ ,  $\bar{\psi}_s$  and  $\bar{M}_n$ , respectively);  $C_{3a}$  and  $C_{3b}$  are the free boundaries (the normal bending moment  $M_n$ , the twisting moment  $M_{ns}$  and the transverse shear force  $Q_n$  are specified by  $\bar{M}_n$ ,  $\bar{M}_{ns}$  and  $\bar{Q}_n$ , respectively). The interface of the two regions is denoted by  $C_{ab}$ . The positive deflection  $w$  is downward; the positive normal rotation  $\psi_n$  rotates from  $n$  to  $z$ ; the positive tangent rotation  $\psi_s$  rotates from  $s$  to  $z$ ; the normal bending moment  $M_n$  is positive when the bottom of the plate is under tension; the twisting moment  $M_{ns}$

is positive when it produces positive shear stress  $\tau_{ns}$  along the positive direction of  $s$  at the bottom of the plate; and the positive transverse shear force  $Q_n$  is also downward.

The key points of the sub-region generalized mixed variational principle can be listed as follows.

### 1. The field variables

Both regions  $a$  and  $b$  possess three field variables:

$$\text{Displacements } \mathbf{d}^{(a)} = [w \ \psi_x \ \psi_y]^T, \quad \mathbf{d}^{(b)} = [w \ \psi_x \ \psi_y]^T$$

$$\text{Internal forces } \mathbf{S}^{(a)} = [M_x \ M_y \ M_{xy} \ Q_x \ Q_y]^T$$

$$\mathbf{S}^{(b)} = [M_x \ M_y \ M_{xy} \ Q_x \ Q_y]^T$$

$$\text{Strain } \mathbf{E}^{(a)} = [\kappa_x \ \kappa_y \ 2\kappa_{xy} \ \gamma_x \ \gamma_y]^T, \quad \mathbf{E}^{(b)} = [\kappa_x \ \kappa_y \ 2\kappa_{xy} \ \gamma_x \ \gamma_y]^T$$

The positive rotations  $\psi_x$  and  $\psi_y$  of the normal line rotate from  $x$  to  $z$  and from  $y$  to  $z$ , respectively; the bending moment  $M_x$  and  $M_y$  are positive when the bottom of the plate is under tension; the twisting moment  $M_{xy}$  is positive when it produces positive shear stress  $\tau_{xy}$  at the bottom of the plate; the positive shear forces  $Q_x$  and  $Q_y$  on the positive surfaces are all downward. The positive curvatures  $\kappa_x$ ,  $\kappa_y$  and  $\kappa_{xy}$ , shear strains  $\gamma_x$  ( $\gamma_{xz}$ ) and  $\gamma_y$  ( $\gamma_{yz}$ ) are all corresponding to the deformations caused by the positive  $M_x$ ,  $M_y$ ,  $M_{xy}$ ,  $Q_x$  and  $Q_y$ , respectively. The above three-field variables are not required to satisfy any conditions in advance within the domain and on the boundaries and interfaces.

### 2. Definition of the functional

Let the region  $a$  be the potential energy region, and the region  $b$  be the complementary energy region. Then, the definition of the functional is

$$\Pi_3 = \Pi_{3p}^{(a)} - \Pi_{3c}^{(b)} + H_{pc} \quad (2-86)$$

where  $\Pi_{3p}^{(a)}$  is the three-field generalized potential energy of the region  $a$  (excluding the interface  $C_{ab}$ ):

$$\begin{aligned} \Pi_{3p}^{(a)} = & \iint_{\Omega_a} [\tilde{U}_b(\boldsymbol{\kappa}) + \tilde{U}_s(\boldsymbol{\gamma}) - M_x \left( \kappa_x + \frac{\partial \psi_x}{\partial x} \right) - M_y \left( \kappa_y + \frac{\partial \psi_y}{\partial y} \right) \\ & - M_{xy} \left( 2\kappa_{xy} + \frac{\partial \psi_x}{\partial y} + \frac{\partial \psi_y}{\partial x} \right) - Q_x \left( \gamma_x - \frac{\partial w}{\partial x} + \psi_x \right) - Q_y \left( \gamma_y - \frac{\partial w}{\partial y} + \psi_y \right) \\ & - \bar{m}_x \psi_x - \bar{m}_y \psi_y - \bar{q} w] dx dy + \int_{C_{1a}+C_{2a}} [M_{ns}(\psi_s - \bar{\psi}_s) - Q_n(w - \bar{w})] ds \\ & + \int_{C_{3a}} (\bar{M}_{ns} \psi_s - \bar{Q}_n w) ds + \int_{C_{1a}} (\psi_n - \bar{\psi}_n) M_n ds + \int_{C_{2a}+C_{3a}} \bar{M}_n \psi_n ds \end{aligned} \quad (2-87)$$

Here,  $\bar{q}$  is the load density, and its positive direction is downward.  $\bar{m}_x$  and  $\bar{m}_y$  are the couple load densities, and their positive directions are the same as those

of  $\psi_x$  and  $\psi_y$ , respectively.  $\tilde{U}_b(\boldsymbol{\kappa})$  and  $\tilde{U}_s(\boldsymbol{\gamma})$  are the densities of bending and shear strain energies, respectively:

$$\tilde{U}_b(\boldsymbol{\kappa}) = \frac{D}{2} [\kappa_x^2 + \kappa_y^2 + 2\mu\kappa_x\kappa_y + 2(1-\mu)\kappa_{xy}^2] \quad (2-88)$$

$$\tilde{U}_s(\boldsymbol{\gamma}) = \frac{C}{2} (\gamma_x^2 + \gamma_y^2) \quad (2-89)$$

where  $D = \frac{Eh^3}{12(1-\mu^2)}$  and  $C = \frac{Eh}{2(1+\mu)k}$  are the bending and shear stiffness,

respectively;  $\mu$  is the Poisson's ratio; and coefficient  $k = 1.2$ .

$\Pi_{3c}^{(b)}$  is the three-field generalized complementary energy of the region  $b$  (excluding the interface  $C_{ab}$ ):

$$\begin{aligned} \Pi_{3c}^{(b)} = & \iint_{\Omega_b} [-\tilde{U}_b(\boldsymbol{\kappa}) - \tilde{U}_s(\boldsymbol{\gamma}) + M_x\kappa_x + M_y\kappa_y + 2M_{xy}\kappa_{xy} + Q_x\gamma_x + Q_y\gamma_y \\ & - \left( \frac{\partial M_x}{\partial x} + \frac{\partial M_{xy}}{\partial y} - Q_x - \bar{m}_x \right) \psi_x - \left( \frac{\partial M_{xy}}{\partial x} + \frac{\partial M_y}{\partial y} - Q_y - \bar{m}_y \right) \psi_y \\ & + \left( \frac{\partial Q_x}{\partial x} + \frac{\partial Q_y}{\partial y} + \bar{q} \right) w] dx dy + \int_{C_{1b}+C_{2b}} (\bar{\psi}_s M_{ns} - \bar{w} Q_n) ds \\ & + \int_{C_{3b}} [(M_{ns} - \bar{M}_{ns}) \psi_s - (Q_n - \bar{Q}_n) w] ds + \int_{C_{1b}} \bar{\psi}_n M_n ds \\ & + \int_{C_{2b}+C_{3b}} (M_n - \bar{M}_n) \psi_n ds \end{aligned} \quad (2-90)$$

$H_{pc}$  is the additional energy term on the interface  $C_{ab}$ :

$$H_{pc} = \int_{C_{ab}} (M_n^{(b)} \psi_n^{(a)} + M_{ns}^{(b)} \psi_s^{(a)} + Q_n^{(b)} w^{(a)}) ds \quad (2-91)$$

### 3. Stationary condition

The stationary condition of the functional is

$$\delta \Pi_3 = \delta \Pi_{3p}^{(a)} - \delta \Pi_{3c}^{(b)} + \delta H_{pc} = 0 \quad (2-92)$$

which is equivalent to all field equations, boundary conditions and interface conditions of the thick plate sub-region system, including:

The constitutive, geometrical and equilibrium equations within  $\Omega_a$  and  $\Omega_b$ :

$$M_x = D(\kappa_x + \mu\kappa_y), \quad M_y = D(\kappa_y + \mu\kappa_x), \quad M_{xy} = D(1-\mu)\kappa_{xy} \quad (2-93)$$

$$\kappa_x = -\frac{\partial \psi_x}{\partial x}, \quad \kappa_y = -\frac{\partial \psi_y}{\partial y}, \quad 2\kappa_{xy} = -\left( \frac{\partial \psi_x}{\partial y} + \frac{\partial \psi_y}{\partial x} \right) \quad (2-94)$$

$$\left. \begin{aligned} \frac{\partial M_x}{\partial x} + \frac{\partial M_{xy}}{\partial y} - Q_x - \bar{m}_x &= 0 \\ \frac{\partial M_{xy}}{\partial x} + \frac{\partial M_y}{\partial y} - Q_y - \bar{m}_y &= 0 \\ \frac{\partial Q_x}{\partial x} + \frac{\partial Q_y}{\partial y} + \bar{q} &= 0 \end{aligned} \right\} \quad (2-95)$$

The geometrical and force boundary conditions:

$$\left. \begin{aligned} \psi_s &= \bar{\psi}_s, \quad w = \bar{w} && (\text{on } C_{1a} + C_{2a} + C_{1b} + C_{2b}) \\ \psi_n &= \bar{\psi}_n && (\text{on } C_{1a} + C_{1b}) \end{aligned} \right\} \quad (2-96)$$

$$\left. \begin{aligned} M_{ns} &= \bar{M}_{ns}, \quad Q_n = \bar{Q}_n && (\text{on } C_{3a} + C_{3b}) \\ M_n &= \bar{M}_n && (\text{on } C_{2a} + C_{3a} + C_{2b} + C_{3b}) \end{aligned} \right\} \quad (2-97)$$

The interface conditions on  $C_{ab}$ :

$$Q_n^{(a)} = -Q_n^{(b)}, \quad M_n^{(a)} = M_n^{(b)}, \quad M_{ns}^{(a)} = M_{ns}^{(b)} \quad (2-98)$$

$$w^{(a)} = w^{(b)}, \quad \psi_n^{(a)} = -\psi_n^{(b)}, \quad \psi_s^{(a)} = -\psi_s^{(b)} \quad (2-99)$$

## 2.4.2 The Sub-Region Three-Field Generalized Potential and Complementary Energy Principles for Thick Plate

### 1. The transformation relation between $\Pi_{3p}^{(a)}$ and $\Pi_{3c}^{(a)}$

The three-field generalized potential energy  $\Pi_{3p}^{(a)}$  and generalized complementary energy  $\Pi_{3c}^{(a)}$  of the region  $a$  (excluding the interface  $C_{ab}$ ) have the following transformation relation:

$$\Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} = \int_{C_{ab}} [Q_n^{(a)} w^{(a)} - M_n^{(a)} \psi_n^{(a)} - M_{ns}^{(a)} \psi_s^{(a)}] ds \quad (2-100)$$

**Proof** From Eqs. (2-87) and (2-90) (replace  $b$  by  $a$  in Eq. (2-90)), we have

$$\begin{aligned} \Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} &= \iint_{\Omega_a} \left[ -M_x \frac{\partial \psi_x}{\partial x} - M_y \frac{\partial \psi_y}{\partial y} - M_{xy} \left( \frac{\partial \psi_x}{\partial y} + \frac{\partial \psi_y}{\partial x} \right) + Q_x \left( \frac{\partial w}{\partial x} - \psi_x \right) \right. \\ &+ Q_y \left( \frac{\partial w}{\partial y} - \psi_y \right) - \left( \frac{\partial M_x}{\partial x} + \frac{\partial M_{xy}}{\partial y} - Q_x \right) \psi_x - \left( \frac{\partial M_{xy}}{\partial x} + \frac{\partial M_y}{\partial y} - Q_y \right) \psi_y \\ &\left. + \left( \frac{\partial Q_x}{\partial x} + \frac{\partial Q_y}{\partial y} \right) w \right] dx dy - \int_{C_{1a} + C_{2a} + C_{3a}} (Q_n w - M_n \psi_n - M_{ns} \psi_s) ds \end{aligned} \quad (2-101)$$

By using integration by parts, the following identical relation can be obtained:

$$\begin{aligned}
 & \iint_{\Omega_a} \left[ -M_x \frac{\partial \psi_x}{\partial x} - M_y \frac{\partial \psi_y}{\partial y} - M_{xy} \left( \frac{\partial \psi_x}{\partial y} + \frac{\partial \psi_y}{\partial x} \right) + Q_x \left( \frac{\partial w}{\partial x} - \psi_x \right) + Q_y \left( \frac{\partial w}{\partial y} - \psi_y \right) \right] dx dy \\
 = & \iint_{\Omega_a} \left[ \left( \frac{\partial M_x}{\partial x} + \frac{\partial M_{xy}}{\partial y} - Q_x \right) \psi_x + \left( \frac{\partial M_{xy}}{\partial x} + \frac{\partial M_y}{\partial y} - Q_y \right) \psi_y - \left( \frac{\partial Q_x}{\partial x} + \frac{\partial Q_y}{\partial y} \right) w \right] dx dy \\
 & + \int_{C_{1a}+C_{2a}+C_{3a}+C_{ab}} (Q_n w - M_n \psi_n - M_{ns} \psi_s) ds
 \end{aligned} \tag{2-102}$$

Substitution of Eq. (2-102) into Eq. (2-101) yields Eq. (2-100).  $\square$

If the whole domain is not divided into sub-regions,  $C_{ab}$  will no longer exist, so we have

$$\Pi_{3p}^{(a)} + \Pi_{3c}^{(a)} = 0 \tag{2-103}$$

## 2. The sub-region three-field generalized potential energy principle

In the functional expression (2-86) of the sub-region three-field generalized mixed variational principle, the region  $a$  represents the generalized potential energy region, and the region  $b$  represents the generalized complementary region. Now, if the region  $b$  is changed to represent the generalized potential region, then from Eq. (2-100), we have

$$\Pi_{3c}^{(b)} = -\Pi_{3p}^{(b)} + \int_{C_{ab}} [Q_n^{(b)} w^{(b)} - M_n^{(b)} \psi_n^{(b)} - M_{ns}^{(b)} \psi_s^{(b)}] ds$$

Substitution of this equation into (2-86) yields

$$\Pi_3 = \Pi_{3p}^{(a)} + \Pi_{3p}^{(b)} + H_{pp} \tag{2-104}$$

where  $H_{pp}$  is the additional potential energy term on the interface  $C_{ab}$ :

$$H_{pp} = \int_{C_{ab}} [Q_n^{(b)} (w^{(a)} - w^{(b)}) + M_n^{(b)} (\psi_n^{(a)} + \psi_n^{(b)}) + M_{ns}^{(b)} (\psi_s^{(a)} + \psi_s^{(b)})] ds \tag{2-105a}$$

Equations (2-104) and (2-105a) are the functional expressions of the sub-region three-field generalized potential energy principle. It can be shown that the stationary conditions of this functional is equivalent to all field equations, boundary conditions and interface conditions of the thick plate with sub-regions.

Another expression of  $H_{pp}$  can also be obtained by interchanging  $a$  and  $b$  in Eq. (2-105a):

$$H_{pp} = \int_{C_{ab}} [Q_n^{(a)} (w^{(b)} - w^{(a)}) + M_n^{(a)} (\psi_n^{(a)} + \psi_n^{(b)}) + M_{ns}^{(a)} (\psi_s^{(a)} + \psi_s^{(b)})] ds \tag{2-105b}$$

If the displacement continuous conditions (2-99) on the interface  $C_{ab}$  are satisfied in advance, then from Eqs. (2-105a) and (2-105b), we can obtain

$$H_{pp} = 0 \quad (2-106)$$

### **3. The sub-region three-field generalized complementary energy principle**

In Eq. (2-86), if the sub-region  $a$  is changed to represent the generalized complementary energy region, substitution of (2-100) into (2-86) will yield

$$\Pi_3 = -\Pi_{3c}^{(a)} - \Pi_{3c}^{(b)} + H_{cc} \quad (2-107)$$

where  $H_{cc}$  is the additional complementary energy term on the interface  $C_{ab}$ :

$$H_{cc} = \int_{C_{ab}} [(Q_n^{(a)} + Q_n^{(b)})w^{(a)} + (M_n^{(b)} - M_n^{(a)})\psi_n^{(a)} + (M_{ns}^{(b)} - M_{ns}^{(a)})\psi_s^{(a)}] ds \quad (2-108a)$$

Equations (2-107) and (2-108a) are the functional expressions of the sub-region three-field generalized complementary energy principle. Another expression of  $H_{cc}$  can also be obtained by interchanging  $a$  and  $b$  in equation (2-108a):

$$H_{cc} = \int_{C_{ab}} [(Q_n^{(a)} + Q_n^{(b)})w^{(b)} + (M_n^{(a)} - M_n^{(b)})\psi_n^{(b)} + (M_{ns}^{(a)} - M_{ns}^{(b)})\psi_s^{(b)}] ds \quad (2-108b)$$

If the traction conditions (2-98) on the interface  $C_{ab}$  are satisfied in advance, then from Eqs. (2-108), we can obtain:

$$H_{cc} = 0 \quad (2-109)$$

## **2.4.3 The Sub-Region Two-Field and Single-Field Variational Principle for Thick Plate**

### **1. The sub-region two-field generalized variational principle**

By using the following relations between the strain energy density,  $\tilde{U}_b(\boldsymbol{\kappa})$  and  $\tilde{U}_s(\boldsymbol{\gamma})$ , and the strain complementary energy density,  $\tilde{V}_b(\mathbf{M})$  and  $\tilde{V}_s(\mathbf{Q})$ :

$$\left. \begin{aligned} \tilde{V}_b(\mathbf{M}) &= M_x \kappa_x + M_y \kappa_y + 2M_{xy} \kappa_{xy} - \tilde{U}_b(\boldsymbol{\kappa}) \\ \tilde{V}_s(\mathbf{Q}) &= Q_x \gamma_x + Q_y \gamma_y - \tilde{U}_s(\boldsymbol{\gamma}) \end{aligned} \right\} \quad (2-110)$$

the strain  $\mathbf{E}$  in the three-field generalized potential energy  $\Pi_{3p}^{(a)}$  and the three-field



generalized complementary energy  $\Pi_{3c}^{(a)}$  of the region  $a$  (excluding the interface  $C_{ab}$ ) can be eliminated. Thereby, the two-field (displacement  $\mathbf{d}$  and internal force  $\mathbf{S}$ ) generalized potential energy  $\Pi_{2p}^{(a)}$  and the two-field generalized complementary energy  $\Pi_{2c}^{(a)}$  can be written as follows:

$$\begin{aligned}
 \Pi_{2p}^{(a)} = & \iint_{\Omega_a} \left[ -M_x \frac{\partial \psi_x}{\partial x} - M_y \frac{\partial \psi_y}{\partial y} - M_{xy} \left( \frac{\partial \psi_x}{\partial y} + \frac{\partial \psi_y}{\partial x} \right) + Q_x \left( \frac{\partial w}{\partial x} - \psi_x \right) \right. \\
 & \left. + Q_y \left( \frac{\partial w}{\partial y} - \psi_y \right) - \tilde{V}_b(\mathbf{M}) - \tilde{V}_s(\mathbf{Q}) - \bar{m}_x \psi_x - \bar{m}_y \psi_y - \bar{q} w \right] dx dy \\
 & + \int_{C_{3a}} (\bar{M}_{ns} \psi_s - \bar{Q}_n w) ds + \int_{C_{1a}+C_{2a}} [(\psi_s - \bar{\psi}_s) M_{ns} - (w - \bar{w}) Q_n] ds \\
 & + \int_{C_{1a}} (\psi_n - \bar{\psi}_n) M_n ds + \int_{C_{2a}+C_{3a}} \bar{M}_n \psi_n ds \quad (2-111)
 \end{aligned}$$

$$\begin{aligned}
 \Pi_{2c}^{(a)} = & \iint_{\Omega_a} \left[ \tilde{V}_b(\mathbf{M}) + \tilde{V}_s(\mathbf{Q}) - \left( \frac{\partial M_x}{\partial x} + \frac{\partial M_{xy}}{\partial y} - Q_x - \bar{m}_x \right) \psi_x \right. \\
 & \left. - \left( \frac{\partial M_{xy}}{\partial x} + \frac{\partial M_y}{\partial y} - Q_y - \bar{m}_y \right) \psi_y + \left( \frac{\partial Q_x}{\partial x} + \frac{\partial Q_y}{\partial y} + \bar{q} \right) w \right] dx dy \\
 & + \int_{C_{1a}+C_{2a}} (\bar{\psi}_s M_{ns} - \bar{w} Q_n) ds + \int_{C_{3a}} [(M_{ns} - \bar{M}_{ns}) \psi_s - (Q_n - \bar{Q}_n) w] ds \\
 & + \int_{C_{1a}} \bar{\psi}_n M_n ds + \int_{C_{2a}+C_{3a}} (M_n - \bar{M}_n) \psi_n ds \quad (2-112)
 \end{aligned}$$

From equations (2-86), (2-104) and (2-107), the functional expressions of the sub-region two-field generalized mixed energy, potential energy and complementary energy principles can be obtained:

$$\Pi_2 = \Pi_{2p}^{(a)} - \Pi_{2c}^{(b)} + H_{pc} \quad (2-113)$$

$$\Pi_2 = \Pi_{2p}^{(a)} + \Pi_{2p}^{(b)} + H_{pp} \quad (2-114)$$

$$\Pi_2 = -\Pi_{2c}^{(a)} - \Pi_{2c}^{(b)} + H_{cc} \quad (2-115)$$

where  $H_{pc}$ ,  $H_{pp}$  and  $H_{cc}$  are still given by Eqs. (2-91), (2-105) and (2-108), respectively.

## 2. The sub-region single-field variational principle

Now we consider the case where each sub-region is a single-field region.

If the region  $a$  is a potential energy region, only the displacement  $\mathbf{d}$  will be taken as the field variable. Thus, the  $\Pi_{3p}^{(a)}$  in Eq. (2-87) or the  $\Pi_{2p}^{(a)}$  in Eq. (2-111) will transform to the single-field potential energy  $\Pi_{1p}^{(a)}$  of the region  $a$ :

$$\begin{aligned}
 \Pi_{1p}^{(a)} = & \iint_{\Omega_a} [\tilde{U}_b(\mathbf{d}) + \tilde{U}_s(\mathbf{d}) - \bar{m}_x \psi_x - \bar{m}_y \psi_y - \bar{q}w] dx dy \\
 & + \int_{C_{1a}+C_{2a}} [(\psi_s - \bar{\psi}_s) \hat{M}_{ns} - (w - \bar{w}) \hat{Q}_n] ds + \int_{C_{3a}} (\bar{M}_{ns} \psi_s - \bar{Q}_n w) ds \\
 & + \int_{C_{1a}} (\psi_n - \bar{\psi}_n) \hat{M}_n ds + \int_{C_{2a}+C_{3a}} \bar{M}_n \psi_n ds
 \end{aligned} \quad (2-116a)$$

where  $\hat{Q}_n$ ,  $\hat{M}_n$  and  $\hat{M}_{ns}$  are the boundary force variables, and can also be expressed by the functions of the displacement  $\mathbf{d}$ ;  $\tilde{U}_b(\mathbf{d})$  and  $\tilde{U}_s(\mathbf{d})$  are the strain energy densities expressed by the displacement  $\mathbf{d}$ :

$$\tilde{U}_b(\mathbf{d}) = \frac{D}{2} \left[ \left( \frac{\partial \psi_x}{\partial x} \right)^2 + \left( \frac{\partial \psi_y}{\partial y} \right)^2 + 2\mu \frac{\partial \psi_x}{\partial x} \frac{\partial \psi_y}{\partial y} + \frac{1-\mu}{2} \left( \frac{\partial \psi_x}{\partial y} + \frac{\partial \psi_y}{\partial x} \right)^2 \right] \quad (2-117)$$

$$\tilde{U}_s(\mathbf{d}) = \frac{C}{2} \left[ \left( \frac{\partial w}{\partial x} - \psi_x \right)^2 + \left( \frac{\partial w}{\partial y} - \psi_y \right)^2 \right] \quad (2-118)$$

If the displacement  $\mathbf{d}$  satisfies the geometrical boundary conditions in advance, then

$$\begin{aligned}
 \Pi_{1p}^{(a)} = & \iint_{\Omega_a} [\tilde{U}_b(\mathbf{d}) + \tilde{U}_s(\mathbf{d}) - \bar{m}_x \psi_x - \bar{m}_y \psi_y - \bar{q}w] dx dy \\
 & + \int_{C_{3a}} (\bar{M}_{ns} \psi_s - \bar{Q}_n w) ds + \int_{C_{2a}+C_{3a}} \bar{M}_n \psi_n ds
 \end{aligned} \quad (2-116b)$$

If the sub-region  $a$  is a complementary energy region, only the internal force  $\mathbf{S}$  will be taken as the field variable, and  $\mathbf{S}$  should satisfy the equilibrium differential Eq. (2-95) in advance. Thus, the  $\Pi_{3c}^{(a)}$  in Eq. (2-90) or the  $\Pi_{2c}^{(a)}$  in Eq. (2-112) will transform to the single-field complementary energy  $\Pi_{1c}^{(a)}$ :

$$\begin{aligned}
 \Pi_{1c}^{(a)} = & \iint_{\Omega_a} [\tilde{V}_b(\mathbf{M}) + \tilde{V}_s(\mathbf{Q})] dx dy + \int_{C_{1a}+C_{2a}} [(\bar{\psi}_s M_{ns} - \bar{w} Q_n)] ds \\
 & + \int_{C_{3a}} [(M_{ns} - \bar{M}_{ns}) \hat{\psi}_s - (Q_n - \bar{Q}_n) \hat{w}] ds + \int_{C_{1a}} \bar{\psi}_n M_n ds \\
 & + \int_{C_{2a}+C_{3a}} (M_n - \bar{M}_n) \hat{\psi}_n ds
 \end{aligned} \quad (2-119a)$$

where  $\hat{w}$ ,  $\hat{\psi}_n$  and  $\hat{\psi}_s$  are the boundary displacement variables.

If  $\mathbf{S}$  satisfies the force boundary conditions in advance, then

$$\Pi_{1c}^{(a)} = \iint_{\Omega_a} [\tilde{V}_b(\mathbf{M}) + \tilde{V}_s(\mathbf{Q})] dx dy + \int_{C_{1a}+C_{2a}} [(\bar{\psi}_s M_{ns} - \bar{w} Q_n)] ds + \int_{C_{1a}} \bar{\psi}_n M_n ds \quad (2-119b)$$

From Eqs. (2-86), (2-104), (2-107), or (2-113), (2-114), (2-115), the functional expressions of the sub-region single-field mixed energy principle, potential energy principle and complementary energy principle can be obtained:

$$\Pi_1 = \Pi_{1p}^{(a)} - \Pi_{1c}^{(b)} + H_{pc} \quad (2-120)$$

$$\Pi_1 = \Pi_{1p}^{(a)} + \Pi_{1p}^{(b)} + H_{pp} \quad (2-121)$$

$$\Pi_1 = -\Pi_{1c}^{(a)} - \Pi_{1c}^{(b)} + H_{cc} \quad (2-122)$$

where  $H_{pc}$ ,  $H_{pp}$  and  $H_{cc}$  are the same as those in Eq. (2-113) to Eq. (2-115).

### 2.4.4 The General Form of the Sub-Region Generalized Variational Principle for Thick Plate

From the above discussions, a general form of the sub-region generalized variational principle for elastic thick plate can be obtained. Let an elastic thick plate be divided into several sub-regions. Each sub-region can be arbitrarily appointed as single-field, two-field and three-field potential energy regions (such as the regions  $\Omega_{p1}$ ,  $\Omega_{p2}$ ,  $\Omega_{p3}$  in Fig. 2.6) or complementary energy regions (such as the regions  $\Omega_{c1}$ ,  $\Omega_{c2}$ ,  $\Omega_{c3}$  in Fig. 2.6). The interfaces between two adjacent sub-regions are of three types,  $C_{pc}$ ,  $C_{pp}$  and  $C_{cc}$ : ① one side of  $C_{pc}$  is the potential energy region, while the other side is the complementary one; ② both sides of  $C_{pp}$  are potential energy regions; and ③ both sides of  $C_{cc}$  are complementary energy regions.

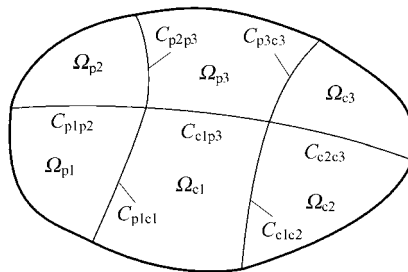


Figure 2.6 A thick plate divided into multi-regions

The general form for the functional of the sub-region variational principle can be written as

$$\Pi = \sum_{\Omega_p} \Pi_p - \sum_{\Omega_c} \Pi_c + \sum_{C_{pc}} H_{pc} + \sum_{C_{pp}} H_{pp} + \sum_{C_{cc}} H_{cc} \quad (2-123)$$

The meanings of the terms on the right-side of this equation are as follows:

The first term denotes the sum of the potential (or generalized potential) energy  $\Pi_p$  of each potential energy region  $\Omega_p$ , where  $\Pi_p$  can be  $\Pi_{1p}$  or  $\Pi_{2p}$  or  $\Pi_{3p}$ , which is given by Eqs. (2-116), (2-111) and (2-87), respectively;

The second term denotes the sum of the complementary (or generalized complementary) energy  $\Pi_c$  of each complementary energy region  $\Omega_c$ , where  $\Pi_c$  can be  $\Pi_{1c}$  or  $\Pi_{2c}$  or  $\Pi_{3c}$ , which is given by Eqs. (2-119), (2-112) and (2-90), respectively;

The third term denotes the sum of the additional mixed energy term  $H_{pc}$  on the interface  $C_{pc}$ , in which  $H_{pc}$  is given by Eq. (2-91);

The fourth term denotes the sum of the additional potential energy term  $H_{pp}$  on the interface  $C_{pp}$ , in which  $H_{pp}$  is given by Eq. (2-105);

The fifth term denotes the sum of the additional complementary energy term  $H_{cc}$  on the interface  $C_{cc}$ , in which  $H_{cc}$  is given by Eq. (2-108).

It can be shown that the stationary condition

$$\delta \Pi = 0 \quad (2-124)$$

of the functional  $\Pi$  in Eq. (2-123) is equivalent to all field equations, boundary conditions and interface conditions of the thick plate system with multi-regions.

If all the sub-regions are potential energy regions, the functional of the sub-region potential (or generalized potential) energy principle can be obtained from Eq. (2-123):

$$\Pi = \sum_{\Omega_p} \Pi_p + \sum_{C_{pp}} H_{pp} \quad (2-125)$$

Equations (2-104), (2-114) and (2-121) are all the special cases of (2-125).

If all the sub-regions are complementary energy regions, the functional of the sub-region complementary (or generalized complementary) energy principle can be obtained from Eq. (2-123):

$$\Pi = -\sum_{\Omega_c} \Pi_c + \sum_{C_{cc}} H_{cc} \quad (2-126)$$

Equations (2-107), (2-115) and (2-122) are all the special cases of (2-126).

The functional expression (2-123) of the sub-region generalized variational principle for elastic thick plate is the most general functional form of the variational principle for thick plate, and builds a bridge linking various special functional forms of the variational principle.

By using the relation (2-100), the direct transformation between the different functional forms of the variational principle for thick plate can be performed conveniently.

The sub-region mixed variational principle for thick plate and its functional expression (2-86) provide the fundamentals for the applications of the sub-region mixed finite element method in thick plate problems.

## 2.5 The Sub-Region Variational Principle for Elastic Shallow Shell

This section will discuss the sub-region variational principle for elastic shallow shell<sup>[14]</sup>. The fundamental equations and the variational principles for shallow shell were systematically introduced in [3].

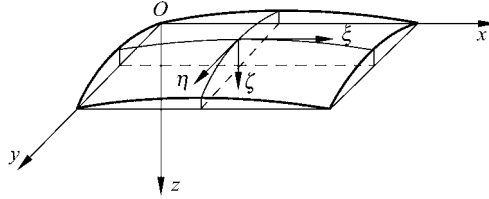


Figure 2.7 The shallow shell

Let the bottom plane of the shallow shell be the  $xOy$  plane, and the  $z$ -axis be normal to the bottom plane (Fig. 2.7). Then, the mid-surface equation of the shallow shell is

$$z = z(x, y)$$

The initial curvatures of the mid-surface are

$$\kappa_x = -\frac{\partial^2 z}{\partial x^2}, \quad \kappa_y = -\frac{\partial^2 z}{\partial y^2}, \quad \kappa_{xy} = -\frac{\partial^2 z}{\partial x \partial y}$$

And, another movable co-ordinate system  $(\xi, \eta, \zeta)$  is also adopted where  $\zeta$ -axis is the normal of the mid-surface, and  $\xi$ -axis and  $\eta$ -axis are the tangents of the mid-surface within  $xz$ -plane and  $yz$ -plane, respectively.

The load components along  $\xi$ ,  $\eta$  and  $\zeta$  directions of an arbitrary point within the mid-surface are  $p_x, p_y$  and  $p_z$ ; and the displacement components are  $u, v$  and  $w$ . There are three membrane internal force components  $N_x, N_y$  and  $N_{xy}$  in shallow shell structures, and their corresponding strains are  $\varepsilon_x, \varepsilon_y$  and  $\gamma_{xy}$ . There are also three independent internal moment components  $M_x, M_y$  and  $M_{xy}$ , and their corresponding generalized strains are the curvature variety values  $\kappa_x, \kappa_y$  and  $\kappa_{xy}$ . Furthermore, the transverse shear forces  $Q_x$  and  $Q_y$ , are dependent internal force components, and can be determined by  $M_x, M_y$  and  $M_{xy}$ . In thin shells, the transverse shear strain  $\gamma_{xz}$  and  $\gamma_{yz}$  are both zero.

On the boundary line  $C$  of the shallow shell, let  $n$  and  $s$  be the outer normal and the tangent directions. The displacement components along  $n, s$  and  $\zeta$  directions of an arbitrary point on the boundary line are  $u_n, v_s$  and  $w$ , and the corresponding boundary forces are normal tension  $N_n$ , tangent shear force  $N_{ns}$ , and equivalent transverse shear force  $V_n = Q_n + \frac{\partial M_{ns}}{\partial s}$  which is synthesized by

the transverse shear force  $Q_n$  and the twisting moment  $M_{ns}$ . The rotation on the boundary within  $n\zeta$  plane is  $\psi_n = \frac{\partial w}{\partial n}$ , and the corresponding boundary moment is the normal bending moment  $M_n$ .

The boundary line  $C$  of the shallow shell contains different line segments:

$$C = C_{u_n} + C_{N_n} = C_{v_s} + C_{N_{ns}} = C_w + C_{V_n} = C_{\psi_n} + C_{M_n}$$

where  $C_{u_n}, C_{N_n}, C_{v_s}, C_{N_{ns}}, C_w, C_{V_n}, C_{\psi_n}$  and  $C_{M_n}$  denote the boundary segments on which  $u_n, N_n, v_s, N_{ns}, w, V_n, \psi_n$  and  $M_n$  are specified, respectively.

$A$  denotes the corner point on the boundary line, and is generally composed of two types:

$$A = A_w + A_R$$

where  $A_w$  and  $A_R$  are the corner points where the deflection  $\bar{w}$  and transverse concentrated force  $\bar{R}$  are specified, respectively. The twisting moment increment of the two sides of corner point  $A$  is  $(\Delta M_{ns})_A$ .

In the sub-region generalized variational principle for shallow shell, the mid and the bottom surfaces of the shallow shell are divided into several sub-regions. Each sub-region can be arbitrarily appointed as single-field, two-field and three-field potential energy regions (such as  $\Omega_{p1}, \Omega_{p2}$  and  $\Omega_{p3}$  in Fig. 2.8), or the complementary energy regions (such as  $\Omega_{c1}, \Omega_{c2}$  and  $\Omega_{c3}$  in Fig. 2.8). The interfaces between two adjacent sub-regions are of three types,  $C_{pc}, C_{pp}$  and  $C_{cc}$  (Fig. 2.8): ① one side of  $C_{pc}$  is the potential energy region, while the other side is the complementary one; ② both sides of  $C_{pp}$  are potential energy regions; and ③ both sides of  $C_{cc}$  are complementary energy regions. The node  $J$  of the adjacent sub-regions generally is also classified into two types,  $J_w$  and  $J_R$ :  $J_w$  and  $J_R$  are the nodes where the displacement  $\bar{w}$  and the transverse concentrated force  $\bar{R}$  are specified, respectively.  $r_p$  and  $r_c$  denote the numbers of the elements  $e_p$  in the potential energy regions and the elements  $e_c$  in the complementary energy regions around the node  $J$ , respectively.

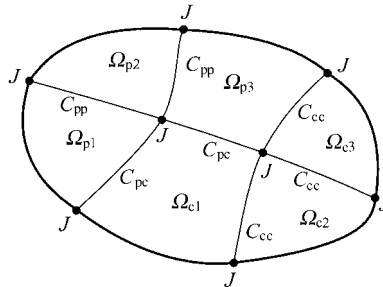


Figure 2.8 A shallow shell divided into multi-regions

The functional of the sub-region generalized variational principle for elastic shallow shell can be written as:

$$\Pi = \sum_{\Omega_p} \Pi_p - \sum_{\Omega_c} \Pi_c + \sum_{C_{pc}} H_{pc} + \sum_{C_{pp}} H_{pp} + \sum_{C_{cc}} H_{cc} + \sum_{J_w} G_w + \sum_{J_R} G_R \quad (2-127)$$

There are seven terms on the right side of the above equation, where the first two terms are the energy of all the sub-regions; the middle three terms are the energy on the interfaces; and the last two terms are the energy at the nodes. Now, the expressions and their meanings of all the terms are given as follows.

The first term on the right side of Eq. (2-127) denotes the sum of the potential (or generalized potential) energy  $\Pi_p$  of each potential energy region  $\Omega_p$ ; the second term denotes the sum of the complementary (or generalized complementary) energy  $\Pi_c$  of each complementary energy region  $\Omega_c$ . If the sub-region  $e$  is a three-field region, then,  $\Pi_p$  and  $\Pi_c$  are the following  $\Pi_{3p}^{(e)}$  and  $\Pi_{3c}^{(e)}$ , respectively.

$$\Pi_{3p}^{(e)} = \Pi_{3p}^{(e)} + \Pi_{3p}^{n(e)} + I^{(e)} \quad (2-128)$$

$$\Pi_{3c}^{(e)} = \Pi_{3c}^{(e)} + \Pi_{3c}^{n(e)} - I^{(e)} \quad (2-129)$$

where

$$\begin{aligned} \Pi_{3p}^{(e)} = & \iint_{\Omega_e} \left[ \tilde{U}'(\boldsymbol{\varepsilon}) - p_x u - p_y v - \left( \varepsilon_x - \frac{\partial u}{\partial x} \right) N_x - \left( \varepsilon_y - \frac{\partial v}{\partial y} \right) N_y \right. \\ & \left. - \left( \gamma_{xy} - \frac{\partial u}{\partial y} - \frac{\partial v}{\partial x} \right) N_{xy} \right] dx dy \\ & - \int_{C_{N_n^e}} \bar{N}_n u_n ds - \int_{C_{N_s^e}} \bar{N}_s v_s ds - \int_{C_{u_n^e}} (u_n - \bar{u}_n) N_n ds \\ & - \int_{C_{v_s^e}} (v_s - \bar{v}_s) N_{ns} ds \end{aligned} \quad (2-130)$$

$$\begin{aligned} \Pi_{3p}^{n(e)} = & \iint_{\Omega_e} \left[ \tilde{U}''(\boldsymbol{\kappa}) - p_z w - \left( \kappa_x + \frac{\partial^2 w}{\partial x^2} \right) M_x - \left( \kappa_y + \frac{\partial^2 w}{\partial y^2} \right) M_y \right. \\ & \left. - 2 \left( \kappa_{xy} + \frac{\partial^2 w}{\partial x \partial y} \right) M_{xy} \right] dx dy \\ & - \int_{C_{V_n^e}} \bar{V}_n w ds + \int_{C_{M_n^e}} \bar{M}_n \frac{\partial w}{\partial n} ds - \sum_{A_{R^e}} \bar{R} w - \int_{C_{w^e}} (w - \bar{w}) V_n ds \\ & + \int_{C_{w_n^e}} \left( \frac{\partial w}{\partial n} - \bar{\psi}_n \right) M_n ds - \sum_{A_{w^e}} (w - \bar{w}) \Delta M_{ns} \end{aligned} \quad (2-131)$$

$$I^e = \iint_{\Omega_e} (\kappa_x N_x + \kappa_y N_y + 2\kappa_{xy} N_{xy}) w dx dy \quad (2-132)$$

$$\begin{aligned}
 \Pi_{3c}^{(e)} = & \iint_{\Omega_e} \left[ -\tilde{U}'(\boldsymbol{\varepsilon}) + \varepsilon_x N_x + \varepsilon_y N_y + \gamma_{xy} N_{xy} + \left( \frac{\partial N_x}{\partial x} + \frac{\partial N_{xy}}{\partial y} + p_x \right) u \right. \\
 & \left. + \left( \frac{\partial N_{xy}}{\partial x} + \frac{\partial N_y}{\partial y} + p_y \right) v \right] dx dy - \int_{C_{N_n^e}} (N_n - \bar{N}_n) u_n ds \\
 & - \int_{C_{N_{ns}^e}} (N_{ns} - \bar{N}_{ns}) v_s ds - \int_{C_{u_n^e}} \bar{u}_n N_n ds - \int_{C_{v_s^e}} \bar{v}_s N_{ns} ds
 \end{aligned} \quad (2-133)$$

$$\begin{aligned}
 \Pi_{3c}^{(e)} = & \iint_{\Omega_e} \left[ -\tilde{U}''(\boldsymbol{\kappa}) + \kappa_x M_x + \kappa_y M_y + 2\kappa_{xy} M_{xy} \right. \\
 & \left. + \left( \frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2 \frac{\partial^2 M_{xy}}{\partial x \partial y} + p_z \right) w \right] dx dy \\
 & - \int_{C_{V_n^e}} (V_n - \bar{V}_n) w ds + \int_{C_{M_n^e}} (M_n - \bar{M}_n) \frac{\partial w}{\partial n} ds - \sum_{A_{R^e}} (\Delta M_{ns} - \bar{R}) w \\
 & - \int_{C_{w^e}} \bar{w} V_n ds + \int_{C_{\varphi_n^e}} \bar{\varphi}_n M_n ds - \sum_{A_{w^e}} \bar{w} \Delta M_{ns}
 \end{aligned} \quad (2-134)$$

where  $\tilde{U}'(\boldsymbol{\varepsilon})$  and  $\tilde{U}''(\boldsymbol{\kappa})$  are the strain energy density of the in-plane action and the thin plate bending, respectively:

$$\tilde{U}'(\boldsymbol{\varepsilon}) = \frac{Eh}{2(1-\mu^2)} \left( \varepsilon_x^2 + \varepsilon_y^2 + 2\mu\varepsilon_x\varepsilon_y + \frac{1-\mu}{2}\gamma_{xy}^2 \right) \quad (2-135)$$

$$\tilde{U}''(\boldsymbol{\kappa}) = \frac{Eh^3}{24(1-\mu^2)} (\kappa_x^2 + \kappa_y^2 + 2\mu\kappa_x\kappa_y + 2(1-\mu)\kappa_{xy}^2) \quad (2-136)$$

$E$  and  $\mu$  are the Young's modulus and Poisson's ratio, respectively;  $h$  is the thickness of the thin shell.

If the sub-region  $e$  is a two-field region, then,  $\Pi_p$  and  $\Pi_c$  are the following  $\Pi_{2p}$  and  $\Pi_{2c}$ , respectively:

$$\Pi_{2p}^{(e)} = \Pi_{2p}^{(e)} + \Pi_{2p}^{(e)} + I^{(e)} \quad (2-137)$$

$$\Pi_{2c}^{(e)} = \Pi_{2c}^{(e)} + \Pi_{2c}^{(e)} - I^{(e)} \quad (2-138)$$

where

$$\begin{aligned}
 \Pi_{2p}^{(e)} = & \iint_{\Omega_e} \left[ -\tilde{V}'(N) - p_x u - p_y v + N_x \frac{\partial u}{\partial x} + N_y \frac{\partial v}{\partial y} + N_{xy} \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \right] dx dy \\
 & - \int_{C_{N_n^e}} \bar{N}_n u_n ds - \int_{C_{N_{ns}^e}} \bar{N}_{ns} v_s ds - \int_{C_{u_n^e}} (u_n - \bar{u}_n) N_n ds - \int_{C_{v_s^e}} (v_s - \bar{v}_s) N_{ns} ds
 \end{aligned} \quad (2-139)$$



$$\begin{aligned}
 \Pi_{2p}^{n(e)} = & \iint_{\Omega_e} \left[ -\tilde{V}''(\mathbf{M}) - p_z w - M_x \frac{\partial^2 w}{\partial x^2} - M_y \frac{\partial^2 w}{\partial y^2} - 2M_{xy} \frac{\partial^2 w}{\partial x \partial y} \right] dx dy \\
 & - \int_{C_{\psi_n^e}} \bar{V}_n w ds + \int_{C_{M_n^e}} \bar{M}_n \frac{\partial w}{\partial n} ds - \sum_{A_{R^e}} \bar{R} w - \int_{C_{v_s^e}} (w - \bar{w}) V_n ds \\
 & + \int_{C_{\psi_n^e}} \left( \frac{\partial w}{\partial n} - \bar{\psi}_n \right) M_n ds - \sum_{A_{w^e}} (w - \bar{w}) \Delta M_{ns} \quad (2-140)
 \end{aligned}$$

$$\begin{aligned}
 \Pi_{2c}^{(e)} = & \iint_{\Omega_e} \left[ \tilde{V}'(\mathbf{N}) + \left( \frac{\partial N_x}{\partial x} + \frac{\partial N_{xy}}{\partial y} + p_x \right) u + \left( \frac{\partial N_{xy}}{\partial x} + \frac{\partial N_y}{\partial y} + p_y \right) v \right] dx dy \\
 & - \int_{C_{N_n^e}} (N_n - \bar{N}_n) u_n ds - \int_{C_{N_{ns}^e}} (N_{ns} - \bar{N}_{ns}) v_s ds - \int_{C_{\bar{u}_n^e}} \bar{u}_n N_n ds - \int_{C_{\bar{v}_s^e}} \bar{v}_s N_{ns} ds \quad (2-141)
 \end{aligned}$$

$$\begin{aligned}
 \Pi_{2c}^{n(e)} = & \iint_{\Omega_e} \left[ \tilde{V}''(\mathbf{M}) + \left( \frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2 \frac{\partial^2 M_{xy}}{\partial x \partial y} + p_z \right) w \right] dx dy \\
 & - \int_{C_{V_n^e}} (V_n - \bar{V}_n) w ds + \int_{C_{M_n^e}} (M_n - \bar{M}_n) \frac{\partial w}{\partial n} ds - \sum_{A_{R^e}} (\Delta M_{ns} - \bar{R}) w \\
 & - \int_{C_{w^e}} \bar{w} V_n ds + \int_{C_{\psi_n^e}} \bar{\psi}_n M_n ds - \sum_{A_{w^e}} \bar{w} \Delta M_{ns} \quad (2-142)
 \end{aligned}$$

$I^{(e)}$  is still given by Eq. (2-132);  $\tilde{V}'(\mathbf{N})$  and  $\tilde{V}''(\mathbf{M})$  are the strain complementary energy density of the in-plane action and the thin plate bending, respectively:

$$\tilde{V}'(\mathbf{N}) = \frac{1}{2} \cdot \frac{1}{Eh} [N_x^2 + N_y^2 - 2\mu N_x N_y + 2(1 + \mu) N_{xy}^2] \quad (2-143)$$

$$\tilde{V}''(\mathbf{M}) = \frac{1}{2} \cdot \frac{12}{Eh^3} [M_x^2 + M_y^2 - 2\mu M_x M_y + 2(1 + \mu) M_{xy}^2] \quad (2-144)$$

If the sub-region  $e$  is a single-field region, then,  $\Pi_p$  and  $\Pi_c$  are the following  $\Pi_{1p}$  and  $\Pi_{1c}$ , respectively:

$$\Pi_{1p}^{(e)} = \Pi_{1p}^{(e)} + \Pi_{1p}^{n(e)} \quad (2-145)$$

where

$$\begin{aligned}
 \Pi_{1p}^{(e)} = & \iint_{\Omega_e} [\tilde{U}'(u, v, w) - p_x u - p_y v] dx dy - \int_{C_{N_n^e}} \bar{N}_n u_n ds - \int_{C_{N_{ns}^e}} \bar{N}_{ns} v_s ds \\
 & - \int_{C_{u_n^e}} (u_n - \bar{u}_n) N_n ds - \int_{C_{v_s^e}} (v_s - \bar{v}_s) N_{ns} ds \quad (2-146)
 \end{aligned}$$

$$\begin{aligned} \Pi_{1p}^{n(e)} = & \iint_{\Omega_e} [\tilde{U}''(w) - p_z w] dx dy - \int_{C_{v_n^e}} \bar{V}_n w ds + \int_{C_{M_n^e}} \bar{M}_n \frac{\partial w}{\partial n} ds - \sum_{A_{R^e}} \bar{R} w \\ & - \int_{C_{w^e}} (w - \bar{w}) V_n ds + \int_{C_{\psi_n^e}} \left( \frac{\partial w}{\partial n} - \bar{\psi}_n \right) M_n ds - \sum_{A_{w^e}} (w - \bar{w}) \Delta M_{ns} \end{aligned} \quad (2-147)$$

in which only a single field, i.e. displacement field ( $u, v, w$ ), exists within the sub-region  $e$ ; and  $N_n, N_{ns}, V_n, M_n$  and  $\Delta M_{ns}$  are only the boundary variables or corner point variables defined on the element boundaries and corner points.  $\tilde{U}'(u, v, w)$  and  $\tilde{U}''(w)$  are the strain energy densities, expressed by the displacement, of the in-plane strain and thin plate bending, respectively.

$$\Pi_{1c}^e = \Pi_{1c}^{Ie} + \Pi_{1c}^{ne} \quad (2-148)$$

where

$$\begin{aligned} \Pi_{1c}^{Ie} = & \int_{\Omega_e} \tilde{V}'(N) dx dy - \int_{C_{N_n^e}} (N_n - \bar{N}_n) u_n ds - \int_{C_{N_{ns}^e}} (N_{ns} - \bar{N}_{ns}) v_s ds \\ & - \int_{C_{\bar{u}_n^e}} \bar{u}_n N_n ds - \int_{C_{\bar{v}_s^e}} \bar{v}_s N_{ns} ds \end{aligned} \quad (2-149)$$

$$\begin{aligned} \Pi_{1c}^{ne} = & \iint_{\Omega_e} \tilde{V}''(M) dx dy - \int_{C_{V_n^e}} (V_n - \bar{V}_n) w ds + \int_{C_{M_n^e}} (M_n - \bar{M}_n) \frac{\partial w}{\partial n} ds \\ & - \sum_{A_{R^e}} (\Delta M_{ns} - \bar{R}) w - \int_{C_{w^e}} \bar{w} V_n ds + \int_{C_{\psi_n^e}} \bar{\psi}_n M_n ds - \sum_{A_{w^e}} \bar{w} \Delta M_{ns} \end{aligned} \quad (2-150)$$

Here, only a single-field, i.e. internal force field ( $N_x, N_y, N_{xy}, M_x, M_y, M_{xy}$ ), exists within the sub-region  $e$ , and these internal forces in advance satisfy the equilibrium differential equation of the shallow shell:

$$\left. \begin{aligned} \frac{\partial N_x}{\partial x} + \frac{\partial N_{xy}}{\partial y} + p_x &= 0 \\ \frac{\partial N_{xy}}{\partial x} + \frac{\partial N_y}{\partial y} + p_y &= 0 \\ \frac{\partial^2 M_x}{\partial x^2} + \frac{\partial^2 M_y}{\partial y^2} + 2 \frac{\partial^2 M_{xy}}{\partial x \partial y} - k_x N_x - k_y N_y - 2k_{xy} N_{xy} + p_z &= 0 \end{aligned} \right\} \quad (2-151)$$

$u_n, v_s, w$  and  $\frac{\partial w}{\partial n}$  are only the boundary or corner point variables defined on the element boundaries or corner points.

The third, fourth and fifth terms on the right side of Eq. (2-127) are the sum of the additional energy  $H_{pc}, H_{pp}$  and  $H_{cc}$  on the interfaces  $C_{pc}, C_{pp}$  and  $C_{cc}$  between the adjacent sub-regions  $e$  and  $e'$ , respectively, where

$$H_{pc} = \int_{C_{e'}} \left[ -N_n^{e'} u_n^e - N_{ns}^{e'} v_s^e + M_n^{e'} \left( \frac{\partial w}{\partial n} \right)^e + V_n^{e'} w^e \right] ds \quad (2-152)$$

( $e$  is the potential energy region;  $e'$  is the complementary energy region)

$$\begin{aligned} H_{pp} &= \int_{C_{e'}} \left[ -N_n^{e'} (u_n^e + u_n^{e'}) - N_{ns}^{e'} (v_s^e + v_s^{e'}) + M_n^{e'} \left( \left( \frac{\partial w}{\partial n} \right)^e + \left( \frac{\partial w}{\partial n} \right)^{e'} \right) + V_n^{e'} (w^e - w^{e'}) \right] ds \\ &= \int_{C_{e'}} \left[ -N_n^e (u_n^e + u_n^{e'}) - N_{ns}^e (v_s^e + v_s^{e'}) + M_n^e \left( \left( \frac{\partial w}{\partial n} \right)^e + \left( \frac{\partial w}{\partial n} \right)^{e'} \right) + V_n^e (w^{e'} - w^e) \right] ds \end{aligned} \quad (2-153)$$

$$\begin{aligned} H_{cc} &= \int_{C_{e'}} \left[ (N_n^e - N_n^{e'}) u_n^e + (N_{ns}^e - N_{ns}^{e'}) v_s^e - (M_n^e - M_n^{e'}) \left( \frac{\partial w}{\partial n} \right)^e + (V_n^e + V_n^{e'}) w^e \right] ds \\ &= \int_{C_{e'}} \left[ (N_n^{e'} - N_n^e) u_n^{e'} + (N_{ns}^{e'} - N_{ns}^e) v_s^{e'} - (M_n^{e'} - M_n^e) \left( \frac{\partial w}{\partial n} \right)^{e'} + (V_n^{e'} + V_n^e) w^{e'} \right] ds \end{aligned} \quad (2-154)$$

The last two terms on the right side of Eq. (2-127) are the sum of additional energy  $G_w$  and  $G_R$  at the nodes  $J_w$  and  $J_R$ , respectively, where

$$G_w = - \sum_{e_p} (\Delta M_{ns})^{(e_p)} (w^{(e_p)} - \bar{w}) + \sum_{e_c} (\Delta M_{ns})^{(e_c)} \bar{w} \quad (2-155)$$

$$G_R = \left[ \sum_e (\Delta M_{ns})^{(e)} - \bar{R} \right] w^{(a)} - \sum_{e_p} (\Delta M_{ns})^{(e_p)} w^{(e_p)} \quad (2-156)$$

where  $\sum_{e_p}$ ,  $\sum_{e_c}$  and  $\sum_e$  denote the sum of all the potential energy elements  $e_p$ , all the complementary energy elements  $e_c$  and all the elements  $e$  around the nodes, respectively;  $w^{(a)}$  is the displacement of any element  $a$  around the nodes.

It can be shown that the stationary condition

$$\delta II = 0 \quad (2-157)$$

of the functional  $II$  in Eq. (2-127) is equivalent to all field equations, boundary conditions, interface conditions, corner point and node conditions of the shallow shell system with multi-regions.

As a special case, if each sub-region is appointed as a potential energy region (or complementary energy region), then, the functional of the sub-region generalized potential (or complementary) energy principle can be obtained from Eq. (2-127).

## 2.6 The Sub-Region Mixed Energy Partial Derivative Theorem

This section will discuss the sub-region mixed energy partial derivative theorem and its extensions<sup>[15]</sup>.

Castigliano first and second theorems are two famous energy partial derivative theorems in history, and both of them are the special cases of the sub-region mixed energy partial derivative theorem.

### 2.6.1 The Sub-Region Mixed Energy Partial Derivative Theorem and Its Proof

#### 1. The definition of the sub-region mixed energy

Let a structure be divided into two regions: complementary energy region (region  $a$ ) and potential energy region (region  $b$ ). The complementary energy region has  $n_1$  independent force variables  $X_1, X_2, \dots, X_{n_1}$ , and its complementary energy  $(\Pi_c)_a$  is expressed as a function of these force variables. The potential energy region has  $n_2$  displacements at the supports (or constrained displacements)  $\Delta_{n_1+1}, \Delta_{n_1+2}, \dots, \Delta_{n_1+n_2}$  as independent displacement variables, and its potential energy  $(\Pi_p)_b$  is expressed as a function of these displacement variables. Furthermore, the additional energy  $\Pi_J$  at the interface  $J$  between the regions  $a$  and  $b$  equals to the work done by the constrained force  $(\hat{F}_J)_a$  of the region  $a$  along the displacement  $(\hat{D}_J)_b$  of the region  $b$ :

$$\Pi_J = \sum_J (\hat{F}_J)_a (\hat{D}_J)_b$$

The sub-region mixed energy  $\Pi_m$  is defined as:

$$\Pi_m = (\Pi_p)_b - (\Pi_c)_a + \Pi_J = (\Pi_p)_b - (\Pi_c)_a + \sum_J (\hat{F}_J)_a (\hat{D}_J)_b \quad (2-158)$$

As an example, consider a frame shown in Fig. 2.9(a). The left side of the interface  $J$  is the complementary energy region (region  $a$ ), and the right side is the potential energy region (region  $b$ ). There is force variable  $X_1$  operating in the region  $a$ . Let  $(M)_a$  be the bending moment of the region  $a$ , then the complementary energy  $(\Pi_c)_a$  of the region  $a$  is

$$(\Pi_c)_a = \sum_a \int \frac{1}{2EI} (M)_a^2 ds \quad (2-159)$$

There is a displacement variable  $\Delta_2$  (the nodal rotation) in the region  $b$ .

Furthermore, the structure is also under a constant load  $P$ . Let  $(M)_b$  be the bending moment of the region  $b$ ,  $D$  be the corresponding displacement of load  $P$ , then the potential energy  $(\Pi_p)_b$  of the region  $b$  is

$$(\Pi_p)_b = \sum_b \int \frac{1}{2EI} (M)_b^2 ds - \sum_b (PD)_b \quad (2-160)$$

At the interface  $J$ , the displacement  $(\hat{D}_J)_b$  of the region  $b$  is the nodal rotation  $\Delta_2$ , the constrained force  $(\hat{F}_J)_a$  of the region  $a$  is the bending moment  $(M_J)_a$  of cross section  $J$ . The additional energy  $\Pi_J$  on the interface is

$$\Pi_J = (\hat{F}_J)_a (\hat{D}_J)_b = (M_J)_a \Delta_2 \quad (2-161)$$

Substitution of Eqs. (2-159), (2-160) and (2-161) into (2-158) yields

$$\Pi_m = \sum_b \int \frac{1}{2EI} (M)_b^2 ds - \sum_b (PD)_b - \sum_a \int \frac{1}{2EI} (M)_a^2 ds + (M_J)_a \Delta_2 \quad (2-162)$$

## 2. The description of the sub-region mixed energy partial derivative theorem

If the sub-region mixed energy  $\Pi_m$  of the structure is defined by Eq. (2-158), the partial derivative of  $\Pi_m$  with respect to force variable  $X_i$  of the complementary energy region will be equal to a minus value of the displacement  $D_i$  which corresponds to  $X_i$ , and the partial derivative of  $\Pi_m$  with respect to displacement variable  $\Delta_j$  of the potential energy region will be equal to the constrained force  $F_j$  which corresponds to  $\Delta_j$ , i.e.,

$$\left. \begin{aligned} D_i &= -\frac{\partial \Pi_m}{\partial X_i} & (i = 1, 2, \dots, n_1) \\ F_j &= \frac{\partial \Pi_m}{\partial \Delta_j} & (j = n_1 + 1, n_1 + 2, \dots, n_1 + n_2) \end{aligned} \right\} \quad (2-163)$$

## 3. The proof for the sub-region mixed energy partial derivative theorem

Consider the frame shown in Fig. 2.9(a), the partial derivative formulae (2-163) can be rewritten as

$$D_1 = -\frac{\partial \Pi_m}{\partial X_1}, \quad F_2 = \frac{\partial \Pi_m}{\partial \Delta_2} \quad (2-164)$$

These two expressions can be derived by the virtual force equation and the virtual displacement equation, respectively.

Firstly, we will deduce the first expression of Eq. (2-164). As shown in Fig. 2.9(b), in order to solve the displacement  $D_1$ , a virtual force system is established: a virtual force increment  $\delta X_1$  is assumed at point A, then the bending

moment increment of the region  $a$  is  $(\delta M)_a = \frac{\partial(M)_a}{\partial X_1} \delta X_1$ , and the constrained moment increment at interface  $J$  is  $(\delta M_J)_a = \frac{\partial(M_J)_a}{\partial X_1} \delta X_1$ . Let the virtual force system of the region  $a$  in Fig. 2.9(b) do virtual work on the deformation state in Fig. 2.9(a), the virtual force equation is

$$(\delta X_1)D_1 + (\delta M_J)_a \Delta_2 = \sum_a \int (\delta M)_a \frac{(M)_a}{EI} ds \quad (2-165)$$

Then we have

$$D_1 = \sum_a \int \frac{1}{EI} (M)_a \frac{\partial(M)_a}{\partial X_1} ds - \frac{\partial(M_J)_a}{\partial X_1} \Delta_2 \quad (2-166)$$

By using Eq. (2-162), the above equation can be rewritten as

$$D_1 = -\frac{\partial \Pi_m}{\partial X_1}$$

Thereby, the first expression of Eq. (2-164) has been derived.

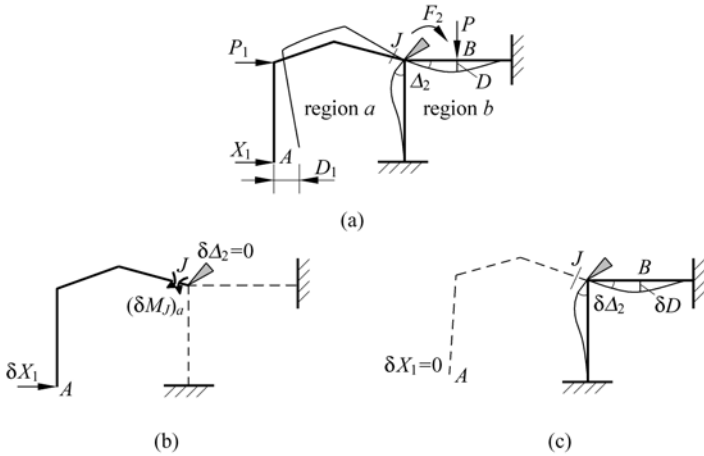


Figure 2.9 A frame divided into two regions

Secondly, we will deduce the second expression of Eq. (2-164). As shown in Fig. 2.9(c), in order to solve the constrained moment  $F_2$ , a virtual displacement system is established: a virtual displacement increment  $\delta \Delta_2$  is assumed at point  $J$ , then the displacement increment at the point  $B$  where the load  $P$  acts is  $\delta D = \frac{\partial D}{\partial \Delta_2} \delta \Delta_2$ , the moment increment of the region  $b$  is  $\delta(M)_b = \frac{\partial(M)_b}{\partial \Delta_2} \delta \Delta_2$ . Let

the force system of the region  $b$  (including the interface  $J$ ) in Fig. 2.9(a) do the virtual work on the virtual displacements of the region  $b$  in Fig. 2.9(c), the virtual displacement equation is

$$[F_2 - (M_J)_a] \delta \Delta_2 + \sum_b (P \delta D)_b = \sum_b \int (M)_b \frac{(\delta M)_b}{EI} ds \quad (2-167)$$

Then we have

$$F_2 = \sum_b \int \frac{1}{EI} (M)_b \frac{\partial (M)_b}{\partial \Delta_2} ds - \sum_b P \frac{\partial (D)_b}{\partial \Delta_2} + (M_J)_a \quad (2-168)$$

By using Eq. (2-162), the above equation can be rewritten as

$$F_2 = \frac{\partial \Pi_m}{\partial \Delta_2}$$

Thereby, the second expression of Eq. (2-164) has also been derived.

## 2.6.2 Three Deductions of the Sub-Region Mixed Energy Partial Derivative Theorem

### 1. The sub-region mixed energy stationary principle

Let us analyze the frame plotted in Fig. 2.10 by using the sub-region mixed energy method. Node  $J$  is the interface, and the region on the left side of the node  $J$  is the complementary energy region. Then, according to the force method, the reaction force  $X_1$  along the horizontal bar at point  $A$  is taken as the fundamental unknown variable. The region on the right side of the node  $J$  is the potential energy region. Then, according to the displacement method, the angular displacement  $\Delta_2$  at the node  $J$  is taken as the fundamental unknown variable.

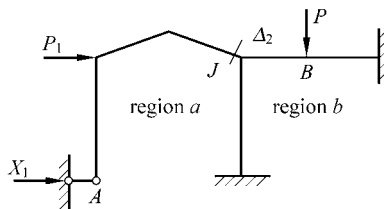


Figure 2.10 A frame

The fundamental system is shown in Fig. 2.9(a): in region  $a$ , the horizontal bar at point  $A$  is eliminated and replaced by the force variable  $X_1$ ; and in region  $b$ , an additional constraint is added at the node  $J$ , and the node rotation is made as the

displacement variable  $\Delta_2$ . The sub-region mixed energy  $\Pi_m$  of the fundamental system is given by Eq. (2-162), and the displacement  $D_1$  corresponding to  $X_1$  and the constrained moment  $F_2$  corresponding to  $\Delta_2$  are given by Eq. (2-164).

The original structure in Fig. 2.10 should satisfy the following fundamental equation

$$D_1 = 0, \quad F_2 = 0 \tag{2-169}$$

Substitution of the above equation into Eq. (2-164) yields

$$\frac{\partial \Pi_m}{\partial X_1} = 0, \quad \frac{\partial \Pi_m}{\partial \Delta_2} = 0 \tag{2-170}$$

The above equation is the stationary conditions of the sub-region mixed energy  $\Pi_m$ . Thereby, the sub-region mixed energy stationary principle can be derived from the sub-region mixed energy partial derivative theorem. Furthermore, the sub-region potential energy principle and the sub-region complementary energy principle are the special cases of the sub-region mixed energy principle.

**2. The potential energy partial derivative theorem and related approach, principle and theorem**

If the whole structure is looked upon as the potential energy region and no complementary energy region existing, the sub-region mixed energy  $\Pi_m$  will degenerate to the potential energy  $\Pi_p$  of the whole region, and the sub-region mixed energy partial derivative formulae (2-163) will degenerate to the potential energy partial derivative formulae:

$$F_i = \frac{\partial \Pi_p}{\partial \Delta_i} \quad (i = 1, 2, \dots, n) \tag{2-171}$$

This is the mathematical expression of the potential energy partial derivative theorem. And, the theorem can be stated as follows: A structure has  $n$  support displacements  $\Delta_i (i = 1, 2, \dots, n)$  treated as the independent displacement variables, other support displacements and loads are all specified by the given values, and the potential energy  $\Pi_p$  of the structure is expressed as a function of  $\Delta_1, \Delta_2, \dots, \Delta_n$ , then the partial derivative of the potential energy  $\Pi_p$  with respect to the displacement variable  $\Delta_i$  will be equal to the constrained force  $F_i$  corresponding to  $\Delta_i$ .

There are some other deductions which can also be obtained from the potential energy partial derivative theorem.

(1) Both the potential energy partial derivative theorem and the unit support displacement method are the approaches for solving the support reaction force  $F_i$ , and they have a close relation. Their differences are as follows: the unit support displacement method possesses a broader application range, and does not involve



physical conditions; the application range of the potential energy partial derivative theorem is relatively narrow, only suitable for elastic structures, but its formulae are quite simple and convenient.

(2) If the constrained force  $F_i$  corresponding to the displacement variable  $\Delta_i$  does not exist, equation (2-171) will degenerate to:

$$\frac{\partial \Pi_p}{\partial \Delta_i} = 0 \quad (i = 1, 2, \dots, n) \quad (2-172)$$

This is the potential energy stationary condition. So, the potential energy stationary principle can also be derived from the potential energy partial derivative theorem.

(3) If there is no other load in the structure except for the displacement variable  $\Delta_i$  and its constrained force  $F_i (i = 1, 2, \dots, n)$ , the potential energy  $\Pi_p$  will be equal to the strain energy  $U$ , and Eq. (2-171) will be simplified as:

$$F_i = \frac{\partial U}{\partial \Delta_i} \quad (2-173)$$

This is the Castigliano first theorem.

### 3. The complementary energy partial derivative theorem and related approach, principle and theorem

If the whole structure is looked upon as the complementary energy region and no potential energy region existing, the sub-region mixed energy  $\Pi_m$  will degenerate to the minus value of the complementary energy of the whole region, i.e.  $(-\Pi_c)$ . Eq. (2-163) will degenerate to:

$$D_i = \frac{\partial \Pi_c}{\partial X_i} \quad (i = 1, 2, \dots, n) \quad (2-174)$$

This is the mathematical expression of the complementary energy partial derivative theorem. And, the theorem can be stated as follows: A structure has  $n$  independent variable loads or independent force variables  $X_i (i = 1, 2, \dots, n)$ , other loads and support displacements are all specified by the given values, and the complementary energy  $\Pi_c$  of the structure is expressed as a function of  $X_1, X_2, \dots, X_n$ , then the partial derivative of the complementary energy  $\Pi_c$  with respect to the displacement variable  $X_i$  will be equal to the displacement  $D_i$  corresponding to  $X_i$ .

There are some other deductions which can also be obtained from the complementary energy partial derivative theorem.

(1) Both the complementary energy partial derivative theorem and the unit load method are the approaches for solving the displacement  $D_i$ , and they have a close relation. Their differences are as follows: the unit load method possesses a broader application range, and does not involve physical conditions; the application range

of the complementary energy partial derivative theorem is relatively narrow, only suitable for elastic structures, but its formulae are quite simple and convenient.

(2) If the force variables  $X_1, X_2, \dots, X_n$  are all redundant constrained forces of the statically indeterminate structure, and their corresponding displacements  $D_1, D_2, \dots, D_n$  are all zero, then Eq. (2-174) will degenerate to:

$$\frac{\partial \Pi_c}{\partial X_i} = 0 \quad (i = 1, 2, \dots, n) \quad (2-175)$$

This is the complementary energy stationary conditions. So, the complementary energy stationary principle can also be derived from the complementary energy partial derivative theorem.

(3) If the support displacements of the structure are zero, then, the complementary energy  $\Pi_c$  will be equal to the strain complementary energy  $V$ , and Eq. (2-174) will be simplified as

$$D_i = \frac{\partial V}{\partial X_i} \quad (i = 1, 2, \dots, n) \quad (2-176)$$

This is the Crotti-Engesser theorem.

(4) If the structure is linear elastic, and has no initial strain, then the strain complementary energy  $V$  and the strain energy  $U$  are equal to each other, and Eq. (2-176) can be written as

$$D_i = \frac{\partial U}{\partial X_i} \quad (i = 1, 2, \dots, n) \quad (2-177)$$

This is the Castigliano second theorem.

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## Chapter 2 The Sub-Region Variational Principles

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