

# The Intensional Lambda Calculus

Sergei Artemov<sup>1</sup> and Eduardo Bonelli<sup>2</sup>

<sup>1</sup> Graduate Center CUNY, PhD Program in Computer Science, 365 Fifth Ave.,  
New York, NY 10016, U.S.A.

sartemov@gc.cuny.edu

<sup>2</sup> LIFIA, Fac. de Informática, UNLP, Argentina and CONICET

eduardo@lifia.info.unlp.edu.ar

**Abstract.** We introduce a natural deduction formulation for the Logic of Proofs, a refinement of modal logic **S4** in which the assertion  $\Box A$  is replaced by  $\llbracket s \rrbracket A$  whose intended reading is “*s is a proof of A*”. A term calculus for this formulation yields a typed lambda calculus  $\lambda^{\mathbf{I}}$  that internalises *intensional* information on *how* a term is computed. In the same way that the Logic of Proofs internalises its own *derivations*,  $\lambda^{\mathbf{I}}$  internalises its own *computations*. Confluence and strong normalisation of  $\lambda^{\mathbf{I}}$  is proved. This system serves as the basis for the study of type theories that internalise intensional aspects of computation.

## 1 Introduction

This paper introduces a typed lambda calculus that internalises its own computations. Such a system is obtained by a propositions-as-types [GLT89] interpretation of a logical system for provability which internalises its own proofs, namely the Logic of Proofs **LP** [Art95, Art01]. Proofs are represented as combinatory terms (*proof polynomials*). In the minimal propositional logic fragment of **LP** proof polynomials are constructed from proof variables and constants using two operations: application “ $\cdot$ ” and proof-checker “ $\llbracket \cdot \rrbracket$ ”. The usual propositional connectives are augmented by a new one: given a proof polynomial  $s$  and a proposition  $A$  build  $\llbracket s \rrbracket A$ . The intended reading is: “*s is a proof of A*”. The axioms and inference schemes of **LP** are:

**A0.** Axiom schemes of minimal logic in the language of **LP**

**A1.**  $\llbracket s \rrbracket A \supset A$

“*verification*”

**A2.**  $\llbracket s \rrbracket (A \supset B) \supset (\llbracket t \rrbracket A \supset \llbracket s \cdot t \rrbracket B)$

“*application*”

**A3.**  $\llbracket s \rrbracket A \supset \llbracket \llbracket s \rrbracket \llbracket s \rrbracket A \rrbracket$

“*proof checker*”

**R1.**  $\Gamma \vdash A \supset B$  and  $\Gamma \vdash A$  implies  $\Gamma \vdash B$

“*modus ponens*”

**R2.** If **A** is an axiom **A0-A3**, and  $c$  is a proof constant,

“*necessitation*”

then  $\vdash \llbracket c \rrbracket \mathbf{A}$

For verification one reads: “*if s is a proof of A, then A holds*”. As regards the proof polynomials the standard interpretation is as follows. For application one reads: “*if s is a proof of  $A \supset B$  and t is a proof of A, then  $s \cdot t$  is a proof of B*”. Thus “ $\cdot$ ” represents composition of proofs. For proof checking one reads: “*if s is*

a proof of  $A$ , then  $!s$  is a proof of the sentence ‘ $s$  is a proof of  $A$ ’. Thus  $!s$  is seen as a computation that verifies  $\llbracket s \rrbracket A$ .

First we introduce a natural deduction (ND) formulation  $\mathbf{LP}_{nd}^-$  for  $\mathbf{LP}$ . Following recent work on *judgemental reconstruction* [ML83] of intuitionistic  $\mathbf{S4}$  [DP96, DP01b, DP01a], judgements are introduced in which a distinction is made between propositions whose *truth* is assumed from those whose *validity* is assumed. Judgements in  $\mathbf{LP}_{nd}^-$  are of the form:

$$v_1 : A_1 \text{ valid}, \dots, v_n : A_n \text{ valid}; a_1 : B_1 \text{ true}, \dots, a_m : B_m \text{ true} \vdash A \text{ true} \mid s$$

which expresses “ $s$  is evidence that  $A$  is true, assuming that for each  $i \in 1..n$ ,  $v_i$  is evidence that  $A_i$  is valid and assuming that for each  $j \in 1..m$ ,  $a_j$  is evidence that  $B_j$  is true”. Such judgements are called hypothetical judgements [ML83]. Evidence  $s$  is a constituent part of the judgement without which the proposed reading is no longer possible. Its importance is reflected in the following introduction rule for the  $\llbracket s \rrbracket$  connective:

$$\frac{\Delta; \cdot \vdash A \mid s}{\Delta; \Gamma \vdash \llbracket s \rrbracket A \mid !s} \square I$$

This scheme internalises proofs of validity: If  $s$  is evidence that  $A$  is unconditionally true (“ $\cdot$ ” indicates an empty set of hypothesis of truth), then it is true that  $s$  is a proof of  $A$ . The new witness to this fact is registered as the evidence  $!s$ . The “ $!$ ” operator is reminiscent of that of proof polynomials. However, in  $\mathbf{LP}_{nd}^-$ , proof terms such as  $s$  encode ND derivations and thus are no longer the proof polynomials of  $\mathbf{LP}$ .

At the basis of the meaning of hypothetical judgements (provided by the axioms and inference schemes presented in Sec. 2) is the notion of substitution. The following two principles, the Substitution Principle for Truth with Evidence and the Substitution Principle for Validity with Evidence, reflect the true hypothetical nature of hypothesis.

- If  $\Delta; \Gamma \vdash A \mid s$  and  $\Delta; \Gamma, a : A, \Gamma' \vdash B \mid t$ , then  $\Delta; \Gamma, \Gamma' \vdash B \mid t_s^a$
- If  $\Delta; \cdot \vdash A \mid s$  and  $\Delta, v : A, \Delta'; \Gamma \vdash B \mid t$ , then  $\Delta, \Delta'; \Gamma \vdash B_s^v \mid t_s^v$

These principles allow derivations to be composed, a fundamental operation on which the process of normalisation of derivations relies on. In fact, composition of derivations suffices, in general, to formulate rules for eliminating redundancy in derivations. However, the fact that  $\mathbf{LP}_{nd}^-$  internalises its own proofs presents a complication in this respect. For example, the naïve simplification step depicted in Fig. 1 which relies on the Substitution Principle for Truth with Evidence fails given that it modifies the judgement that was originally justified. On a more pragmatcal level, such a normalisation process may produce invalid derivations [AB06]. The problem stems in that the normalisation step is attempting to identify, at the meta-level, the two derivations and  $\mathbf{LP}_{nd}^-$  happens to internalise its own derivations. As a consequence, the normalisation step must be reflected in the logic too. More precisely, a new judgement expressing the equality on evidence must be introduced. Accordingly, in Sec. 2.2 we extend

$$\frac{\frac{\Delta; \Gamma, a : A \vdash B \mid s}{\Delta; \Gamma \vdash A \supset B \mid \lambda a : A.s} \supset I \quad \Delta; \Gamma \vdash A \mid t}{\Delta; \Gamma \vdash B \mid (\lambda a : A.s) \cdot t} \supset E \quad \sim \quad \Delta; \Gamma \vdash B \mid s_t^a$$

**Fig. 1.** Naïve simplification

our ND presentation  $\mathbf{LP}_{nd}^-$  with *hypothetical judgements for evidence equality*. The normalisation process is thus internalised into the logic. For this amended system,  $\mathbf{LP}_{nd}$ , the set of derivations is seen to be closed under normalisation.

In Sec. 4 we study a term assignment for  $\mathbf{LP}_{nd}$ , namely the *intensional lambda calculus* ( $\lambda^{\mathbf{I}}$ ).  $\lambda^{\mathbf{I}}$  results from extending the propositions-as-types correspondence to  $\mathbf{LP}_{nd}$ . The normalisation process of derivations in  $\mathbf{LP}_{nd}$  yields a notion of *reduction* on the typed lambda calculus terms. Just as  $\mathbf{LP}_{nd}$  internalises its own derivations, the operational counterpart of this logic is seen to internalise the reduction of derivations. We show that  $\lambda^{\mathbf{I}}$  is strongly normalising and confluent by applying properties of higher-order rewrite systems.

**Related work.** S. Artemov introduced the Logic of Proofs in [Art95, Art01]. A ND presentation for  $\mathbf{LP}$  is provided in [Art01]. This presentation relies on combinatory terms as proof terms (proof polynomials). It is a ND system for a logic that internalises Hilbert style proofs. As a consequence, the presence of normalisation is not felt at the level of proof terms. Since we use proof terms that encode ND proofs, the internalisation scheme implemented by  $\square I$  together with the normalisation process on derivations has a visible impact in the design of the inference schemes for our system  $\mathbf{LP}_{nd}$ .

V. Brezhnev [Bre01] formulates a system of labeled sequents. Roughly, a refinement of the sequent presentation of  $\mathbf{LP}$  [Art01] is presented in which labeled sequents are derived rather than the sequents themselves. It has been proved [Art95, Art01] that  $\mathbf{LP}$  is a refinement of  $\mathbf{S4}$  in the sense that any cut-free derivation of  $\mathbf{S4}$  can be *realized* by one of  $\mathbf{LP}$ . A realization of an  $\mathbf{S4}$  derivation is the process of appropriately filling in all occurrences of boxes  $\square$  with proof polynomials such that a valid  $\mathbf{LP}$  derivation is obtained. The aim of the work of Brezhnev is to make this correspondence explicit. Also, he extends the correspondence to other modal logics such as  $\mathbf{K}$ ,  $\mathbf{K4}$ ,  $\mathbf{D}$ ,  $\mathbf{D4}$  and  $\mathbf{T}$ .

From a type theoretic perspective we should mention the theory of dependent types [Bar92]. Dependent type theory is the type-theoretic counterpart of first-order logic via the propositions-as-types correspondence. Types may depend on terms, in much the same way that a type  $\llbracket s \rrbracket A$  depends on the proof term  $s$ . In contrast to  $\lambda^{\mathbf{I}}$ , dependent type theory lacks a notion of internalisation of derivations.

More closely related to  $\lambda^{\mathbf{I}}$  is the reflective  $\lambda$ -calculus ( $\lambda^\infty$ ) [AA01].  $\lambda^\infty$  is a rigidly typed (all variables and subterms carry a fixed type) lambda calculus which essentially results from a term assignment of the aforementioned ND

presentation of [Art01]. The difference with the approach of this paper is that in the reflective  $\lambda$ -calculus  $\llbracket s \rrbracket A$  is read as “ $s$  has type  $A$ ”. Accordingly, hypothesis are not labeled with variables, rather they are part of the formula. For example,  $x : A \vdash x : A$  becomes  $\llbracket x \rrbracket A \vdash \llbracket x \rrbracket A$ . An unwanted complication is that the desired internalisation property (namely,  $A_1, A_2, \dots, A_n \vdash B$  implies that for fresh variables  $x_1, x_2, \dots, x_n$  there exists a term  $t(x_1, x_2, \dots, x_n)$  such that we can prove  $\llbracket x_1 \rrbracket A_1, \llbracket x_2 \rrbracket A_2, \dots, \llbracket x_n \rrbracket A_n \vdash \llbracket t(x_1, x_2, \dots, x_n) \rrbracket B$ ) changes the types of the assumptions. As a consequence, operations on types having nested copies of proof terms are required for typing. This also complicates the definition of reduction on terms.

**Note:** For further details and full proofs see [AB06].

## 2 Natural Deduction for LP

Following [DP01b] we distinguish the following judgements: “ $A$  is a proposition” (“ $A$  proposition” for short), “ $A$  true” and “ $A$  valid”. In the case of the second and third judgements we assume that it is already known that “ $A$  proposition”. The inference schemes defining the meaning of “ $A$  proposition” are the usual well-formedness conditions and hence are omitted. Our interest lies in providing meaning to the following *hypothetical judgements with explicit evidence*:

$$v_1 : A_1 \text{ valid}, \dots, v_n : A_n \text{ valid}; a_1 : B_1 \text{ true}, \dots, a_m : B_m \text{ true} \vdash A \text{ true} \mid s$$

by a set of axiom schemes and inference schemes, where  $v_i, i \in 1..n$ , and  $a_j, j \in 1..m$ , range over some given set of *evidence (of proof) variables*  $\{x_1, x_2, \dots\}$ . To the left of the semi-colon we place the assumptions of validity and to the right the assumptions of truth. For the sake of readability, we drop the qualifiers “*valid*” and “*true*”. Consequently, these judgements take the form:

$$v_1 : A_1, \dots, v_n : A_n; a_1 : B_1, \dots, a_m : B_m \vdash A \mid s$$

In addition to the usual requirement that the  $v_i$  and  $a_i$  be distinct, we must also require that they be fresh (i.e. that they do not occur in the  $A_i$  and  $B_i$ ). Note also that since we assume  $J_1$  through  $J_n$ , in a hypothetical proof of a hypothetical judgement with explicit evidence, we may use the  $J_i$  as if we knew them. As a consequence we can substitute an arbitrary derivation of  $J_i$  for all its uses by means of the two aforementioned substitution principles.

### 2.1 Axiom and Inference Schemes

It is convenient to introduce first a preliminary ND system ( $\mathbf{LP}_{nd}^-$ ), point out its weaknesses and then introduce the final ND system  $\mathbf{LP}_{nd}$ . We begin by defining the set of Proof Terms, Propositions, Truth Contexts and Validity Contexts.

$$\begin{array}{ll} \text{Proof Terms} & s ::= x \mid s \cdot s \mid \lambda a : A. s \mid !s \mid \text{XTRT } s \text{ AS } v : A \text{ IN } s \\ \text{Propositions} & A ::= P \mid A \supset A \mid \llbracket s \rrbracket A \\ \text{Truth Contexts} & \Gamma ::= \cdot \mid \Gamma, a : A \\ \text{Validity Contexts} & \Delta ::= \cdot \mid \Delta, v : A \end{array}$$

### Minimal Propositional Logic Fragment

$$\begin{array}{c}
\frac{}{\Delta; \Gamma, a : A, \Gamma' \vdash A \mid a} \text{oVar} \\
\frac{\Delta; \Gamma, a : A \vdash B \mid s}{\Delta; \Gamma \vdash A \supset B \mid \lambda a : A.s} \supset \text{I} \qquad \frac{\Delta; \Gamma \vdash A \supset B \mid s \quad \Delta; \Gamma \vdash A \mid t}{\Delta; \Gamma \vdash B \mid s \cdot t} \supset \text{E}
\end{array}$$

### Provability Fragment

$$\begin{array}{c}
\frac{}{\Delta, v : A, \Delta'; \Gamma \vdash A \mid v} \text{mVar} \\
\frac{\Delta; \cdot \vdash A \mid s}{\Delta; \Gamma \vdash \llbracket s \rrbracket A \mid !s} \square \text{I} \qquad \frac{\Delta; \Gamma \vdash \llbracket r \rrbracket A \mid s \quad \Delta, v : A; \Gamma \vdash C \mid t}{\Delta; \Gamma \vdash C_r^v \mid \text{XTRT } s \text{ AS } v : A \text{ IN } t} \square \text{E}
\end{array}$$

**Fig. 2.** Explanation for Hypothetical Judgements with Explicit Evidence

We write  $\text{fv}(s)$  for the set of free variables of a proof term. All free occurrences of  $a$  (resp.  $v$ ) in  $s$  are bound in  $\lambda a : A.s$  (resp.  $\text{XTRT } t \text{ AS } v : A \text{ IN } s$ ). A proposition is either a propositional variable  $P$ , an implication  $A \supset B$  or a validity proposition  $\llbracket s \rrbracket A$ . Truth and validity contexts are sequences of labeled propositions; “ $\cdot$ ” denotes the empty context. We write  $s_t^x$  for the result of substituting all free occurrences of  $x$  in  $s$  by  $t$  and assume that bound variables are renamed whenever necessary; likewise for  $A_t^x$ .

**Definition 1.**  $\text{LP}_{nd}^-$  is defined by the schemes of Fig. 2.

An informal explanation of some of these schemes follows. The axiom scheme  $\text{oVar}$  states that the judgement “ $\Delta; \Gamma, a : A, \Gamma' \vdash A \mid a$ ” is evident in itself. Indeed, if we assume that  $a$  is evidence that proposition  $A$  is true, then we may immediately conclude that  $A$  is true with evidence  $a$ . The introduction scheme for the  $\llbracket s \rrbracket$  modality internalises metalevel evidence into the object logic. It states that if  $s$  is unconditional evidence that  $A$  is true, then  $A$  is in fact valid with witness  $s$  (i.e.  $\llbracket s \rrbracket A$  is true). Evidence for the truth of  $\llbracket s \rrbracket A$  is constructed from the (verified) evidence that  $A$  is unconditionally true by prefixing it with a bang constructor. Finally,  $\square \text{E}$  allows the discharging of validity hypothesis. In order to discharge the validity hypothesis  $v : A$ , a proof of the validity of  $A$  is required. In our system, this requires proving that  $\llbracket r \rrbracket A$  is true with evidence  $s$ , for some evidence of proof  $r$  and  $s$ . Note that  $r$  is evidence that  $A$  is unconditionally true (i.e. valid) whereas  $s$  is evidence that  $\llbracket r \rrbracket A$  is true. The former is then substituted in the place of all free occurrences of  $v$  in the proposition  $C$ . This construction is recorded with evidence  $\text{XTRT } s \text{ AS } v : A \text{ IN } t$  in the conclusion. The mnemonic symbols “XTRT” stand for “extract” since, intuitively, evidence of the validity of  $A$  may be seen to be extracted from evidence of the truth of  $\llbracket r \rrbracket A$ . A sample derivation in  $\text{LP}_{nd}^-$  of  $\llbracket s \rrbracket A \supset \llbracket !s \rrbracket \llbracket s \rrbracket A$  follows.

$$\begin{array}{c}
\frac{}{w : A; \cdot \vdash A \mid w} \text{mVar} \\
\frac{}{w : A; \cdot \vdash \llbracket w \rrbracket A \mid !w} \square I \\
\frac{}{w : A; a : \llbracket s \rrbracket A \vdash \llbracket !w \rrbracket \llbracket w \rrbracket A \mid !!w} \square I \\
\frac{}{; a : \llbracket s \rrbracket A \vdash \llbracket s \rrbracket A \mid a} \text{oVar} \quad \frac{}{; a : \llbracket s \rrbracket A \vdash \llbracket !s \rrbracket \llbracket s \rrbracket A \mid \text{XTRT } a \text{ AS } w : A \text{ IN } !!w} \square E \\
\frac{}{; \cdot \vdash \llbracket s \rrbracket A \supset \llbracket !s \rrbracket \llbracket s \rrbracket A \mid \lambda a : \llbracket s \rrbracket A. \text{XTRT } a \text{ AS } w : A \text{ IN } !!w} \supset I
\end{array}$$

The standard structural properties of judgements (weakening, contraction and exchange) hold. Also, the substitution principles for truth with evidence and validity with evidence may be proved by induction on the derivation. A more interesting property is that  $\mathbf{LP}_{nd}^-$  internalises its own proofs of unconditional truth.

**Lemma 1 (Lifting [Art95]).** *Let  $\Delta = u_1 : A_1, \dots, u_n : A_n$  and  $\Gamma = b_1 : B_1, \dots, b_m : B_m$ . If  $\Delta; \Gamma \vdash A \mid r$ , then  $\Delta, v_1 : B_1, \dots, v_m : B_m; \cdot \vdash \llbracket s(\mathbf{u}, \mathbf{v}) \rrbracket A \mid t(\mathbf{u}, \mathbf{v})$  where  $s(\mathbf{u}, \mathbf{v}) = (\lambda \mathbf{b} : \mathbf{B}. r) \cdot v_1 \cdot v_2 \cdot \dots \cdot v_m$  and  $t(\mathbf{u}, \mathbf{v}) = \text{XTRT } !\lambda \mathbf{b} : \mathbf{B}. r \text{ AS } u : (\mathbf{B} \supset A) \text{ IN } !(u \cdot v_1 \cdot v_2 \cdot \dots \cdot v_m)$ .*

## 2.2 Normalisation and Evidence Equality

As mentioned above a naïve approach to normalisation is doomed to fail unless our attempt to simplify (hence equate) derivations is *reflected* in the object logic. Indeed, a new judgement must be considered, namely *hypothetical judgements for evidence equality*:

$$\Delta; \Gamma \vdash s \equiv t : A$$

Read: “ $s$  and  $t$  are provably equal evidence of the truth of  $A$  under the validity assumptions of  $\Delta$  and the truth assumptions of  $\Gamma$ ”. This judgement internalises at the object level the equality of derivations induced by the normalisation steps. Note that evidence for provable equality is not considered in hypothetical judgements for evidence equality. Although this could be an interesting route for exploration, in our setting we would then be forced to define a notion of equality on this new kind of evidence, thus leading to an infinite regression.

In addition to defining the meaning of this new judgement by means of new axiom and inference schemes, we must indicate how it affects the meaning of hypothetical judgements with explicit evidence.

$$\frac{\Delta; \Gamma \vdash A \mid s \quad \Delta; \Gamma \vdash s \equiv t : A}{\Delta; \Gamma \vdash A \mid t} \text{EqEvid}$$

The upper left judgement of **EqEvid** is called the minor premise and the one on the right the major premise. Fig. 3 defines the meaning of hypothetical judgement for evidence equality<sup>1</sup>.

<sup>1</sup> We omit the standard inference schemes for symmetry, transitivity and congruence of evidence equality [AB06].

$$\begin{array}{c}
\frac{\Delta; \Gamma \vdash A \mid s}{\Delta; \Gamma \vdash s \equiv s : A} \text{EqRefl} \qquad \frac{\Delta; \Gamma, a : A \vdash B \mid s \quad \Delta; \Gamma \vdash A \mid t}{\Delta; \Gamma \vdash s_t^a \equiv (\lambda a : A.s) \cdot t : B} \text{EqBeta} \\
\\
\frac{\Delta; \cdot \vdash A \mid s \quad \Delta, v : A; \Gamma \vdash C \mid t}{\Delta; \Gamma \vdash t_s^v \equiv \text{XTRT}!s \text{ AS } v : A \text{ IN } t : C_s^v} \text{Eq}\square\text{Beta} \\
\\
\frac{\Delta; \Gamma \vdash A \supset B \mid s \quad a \notin \text{fv}(s)}{\Delta; \Gamma \vdash \lambda a : A.(s \cdot a) \equiv s : A \supset B} \text{EqEta} \qquad \frac{\Delta; \Gamma \vdash \llbracket s \rrbracket A \mid t \quad u \notin \text{fv}(t)}{\Delta; \Gamma \vdash \text{XTRT} t \text{ AS } u : A \text{ IN } !u \equiv t : \llbracket s \rrbracket A} \text{Eq}\square\text{Eta}
\end{array}$$

**Fig. 3.** Axioms for evidence equality

**Definition 2.**  $\mathbf{LP}_{nd}$  is obtained by augmenting the schemes of Fig. 2 with EqEvid and the schemes of Fig. 3.

In the sequel we study hypothetical judgements derivable in  $\mathbf{LP}_{nd}$ . Note that the structural properties of  $\mathbf{LP}_{nd}^-$  extend to  $\mathbf{LP}_{nd}$ .

We now return to normalisation of derivations. Two groups<sup>2</sup> of contractions of derivations are defined: principal contractions and silent permutative contractions. The first is internalised by the inference schemes defining provable equality of evidence. Permutative conversions need not be internalised since, in contrast to principal contractions, they do not alter the end judgement. They are thus dubbed *silent* permutative conversions. By defining an appropriate notion of cut segment one can show that contraction is weakly normalising: there is a sequence of contractions to normal form [AB06].

**Lemma 2.** *Contraction in  $\mathbf{LP}_{nd}$  is weakly normalising.*

More importantly, we shall see shortly that contraction is in fact strongly normalising. The proof of this is established via weak normalisation.

### 3 Provability Semantics

Rules of  $\mathbf{LP}_{nd}$  can be interpreted as admissible rules of  $\mathbf{LP}$ , hence supplied with a natural provability semantics. Interpretation of all rules other than  $\supset I$  and  $\square E$  are straightforward. The rule  $\supset I$  corresponds to the Abstraction Rule which is admissible in  $\mathbf{LP}$  [Art96]. There are two  $\mathbf{LP}$ -compliant interpretations of the rule  $\square E$ , cf. Fig. 4. The left one, which we suggest calling *internalized reading* is self-explanatory. The right one, which we call *leveled* requires that a proof constant  $d$  is specified as  $d : (r : A \supset A)$ . We leave a more detailed investigation of the provability semantics of  $\mathbf{LP}_{nd}$  to further studies.

<sup>2</sup> We ignore principal expansions in this extended abstract (see [AB06]).

$$\frac{\Gamma \vdash s : r : A \quad \Gamma, v : A \vdash t : C}{\Gamma \vdash t_r^v : C_r^v} \qquad \frac{\Gamma \vdash s : r : A \quad \Gamma, v : A \vdash t : C}{\Gamma \vdash t_{d.s}^v : C_{d.s}^v}$$

Fig. 4. Interpretations of  $\square E$ 

## 4 The Intensional Lambda Calculus

This section introduces the *intensional lambda calculus* ( $\lambda^I$ ) and studies confluence and strong normalisation. We begin by defining the set of *raw* terms of  $\lambda^I$ :

$$\begin{array}{ll} \text{Proper Terms} & M ::= x \mid M \cdot M \mid \lambda a : A.M \\ & \mid !M \mid \text{XTRT } M \text{ AS } v : A \text{ IN } M \mid e \blacktriangleright M \\ \text{Reduction Evidence} & e ::= \beta([a : A]M, N) \mid \beta_{\square}([v : A]M, N) \\ & \mid \text{REFL}(M) \mid \text{SYM}(e) \mid e; e \\ & \mid \text{ABS}([a : A]e) \mid \text{APP}(e, e) \\ & \mid \text{BOXL}(e) \mid \text{BOXR}(e) \mid \text{XTRT}(e, [v : A]e) \end{array}$$

A raw term of the form  $M \cdot N$  is an *application*,  $\lambda a : A.M$  is an *abstraction*,  $!M$  is a *bang* term,  $\text{XTRT } M \text{ AS } v : A \text{ IN } N$  is an *extraction* and  $e \blacktriangleright M$  is a *registered* term. Reduction evidence  $\beta([a : A]M, N)$  is used to register that a principal  $\triangleright$  contraction was applied together with the actual parameters ( $\lambda a : A.M$  and  $N$ ) and  $\beta_{\square}([v : A]M, N)$  is for principal  $\square$  contractions. The remaining reduction evidence terms are for the congruence inference schemes of evidence equality.

Let  $P$  range over an enumerable set of type variables. The set of *raw types* is the set of propositions of  $\mathbf{LP}_{nd}$ . In  $\lambda^I$  proper terms are assigned *pointed types*  $\langle A, s \rangle$  and reduction evidence is assigned *equality types*  $s \equiv t : A$ . Since the typing schemes follow the axiom and inference schemes of  $\mathbf{LP}_{nd}$ , there are two *typing judgements*:

1.  $\Delta; \Gamma \vdash M \triangleright \langle A, s \rangle$ , read: “Proper term  $M$  has pointed type  $\langle A, s \rangle$  under type assumptions  $\Delta$  and  $\Gamma$ ” and
2.  $\Delta; \Gamma \vdash e \triangleright s \equiv t : A$ , read: “Reduction evidence  $e$  has equality type  $s \equiv t : A$  under type assumptions  $\Delta$  and  $\Gamma$ ”.

**Definition 3.** A proper term  $M$  is *typable* if there exist type assumptions  $\Delta$  and  $\Gamma$  and a pointed type  $\langle A, s \rangle$  such that  $\Delta; \Gamma \vdash M \triangleright \langle A, s \rangle$  is derivable using the typing schemes presented in Fig. 5. Typability of reduction evidence ( $\Delta; \Gamma \vdash e \triangleright s \equiv t : A$ ) is defined similarly [AB06]. A  $\lambda^I$ -term is a raw term that is typable.

The *contractions* defining normalisation on derivations of  $\mathbf{LP}_{nd}$  induce a corresponding *reduction* relation on the  $\lambda^I$ -terms that encode the derivations.

**Definition 4** ( $\lambda^I$ -reduction). The  $\lambda^I$ -reduction relation ( $\rightarrow$ ) is obtained by taking the contextual closure of the reduction axioms:



## Minimal Propositional Logic Fragment

$$\begin{array}{c}
\frac{}{\Delta; \Gamma, a : A, \Gamma' \vdash a \triangleright \langle A, a \rangle} \text{oVar} \\
\frac{\Delta; \Gamma, a : A \vdash M \triangleright \langle B, s \rangle}{\Delta; \Gamma \vdash \lambda a : A. M \triangleright \langle A \supset B, \lambda a : A. s \rangle} \supset I \quad \frac{\Delta; \Gamma \vdash M \triangleright \langle A \supset B, s \rangle \quad \Delta; \Gamma \vdash N \triangleright \langle A, t \rangle}{\Delta; \Gamma \vdash M \cdot N \triangleright \langle B, s \cdot t \rangle} \supset E
\end{array}$$

## Provability Fragment

$$\begin{array}{c}
\frac{}{\Delta, v : A, \Delta'; \Gamma \vdash v \triangleright \langle A, v \rangle} \text{mVar} \quad \frac{\Delta; \cdot \vdash M \triangleright \langle A, s \rangle}{\Delta; \Gamma \vdash !M \triangleright \langle \llbracket s \rrbracket A, !s \rangle} \square I \\
\frac{\Delta; \Gamma \vdash M \triangleright \langle \llbracket s \rrbracket A, s' \rangle \quad \Delta, v : A; \Gamma \vdash N \triangleright \langle C, t \rangle}{\Delta; \Gamma \vdash \text{XTRT } M \text{ AS } v : A \text{ IN } N \triangleright \langle C_s^v, \text{XTRT } s' \text{ AS } v : A \text{ IN } t \rangle} \square E \\
\frac{\Delta; \Gamma \vdash M \triangleright \langle A, s \rangle \quad \Delta; \Gamma \vdash e \triangleright s \equiv t : A}{\Delta; \Gamma \vdash e \blacktriangleright M \triangleright \langle A, t \rangle} \text{EqEvid}
\end{array}$$

Fig. 5. Typing schemes for proper terms

$$\begin{array}{l}
(\lambda a : A. M) \cdot N \quad \rightarrow_{\beta} \quad \beta([a : A]M, N) \blacktriangleright M_N^a \\
\text{XTRT } !N \text{ AS } v : A \text{ IN } M \quad \rightarrow_{\beta_{\square}} \quad \beta_{\square}([v : A]M, N) \blacktriangleright M_N^v
\end{array}$$

$$\begin{array}{l}
(e \blacktriangleright M) \cdot N \quad \rightarrow_{\blacktriangleright L} \quad \text{APP}(e, \text{REFL}(N)) \blacktriangleright M \cdot N \\
\text{XTRT } e \blacktriangleright N \text{ AS } v : A \text{ IN } M \quad \rightarrow_{\blacktriangleright \text{XTR}} \quad \text{XTRT}(e, [v : A]\text{REFL}(M)) \blacktriangleright \text{XTRT } N \text{ AS } v : A \text{ IN } M
\end{array}$$

Note that, just as proof terms are internalised as part of the process of proving a formula in **LP**, so the process of reducing a  $\lambda^{\mathbf{I}}$ -term internalises evidence of reduction. Indeed, an application of the  $\beta$  reduction rule results in a  $\lambda^{\mathbf{I}}$ -term that incorporates a witness to the fact that such a reduction step was applied. This reduction evidence provides *intensional* information on *how* the result was computed.

Consider the term from the ordinary typed lambda calculus  $I \cdot (I \cdot b)$  (which is also a term in  $\lambda^{\mathbf{I}}$ ) where  $I$  abbreviates  $\lambda a : A. a$ . In the typed lambda calculus it reduces in two different ways to  $I \cdot b$  (we underline the contracted redex):

$$1. I \cdot (\underline{I \cdot b}) \rightarrow I \cdot b \quad 2. \underline{I \cdot (I \cdot b)} \rightarrow I \cdot b$$

The fact that both these reductions reach the same term is known as a “syntactic coincidence” [HL91] in the rewriting/lambda calculus community. Although the same term is reached they are computed in rather different ways in the sense that unrelated redexes are contracted. Note, however, that in  $\lambda^{\mathbf{I}}$  these two derivations now end in different terms:

$$\begin{array}{l}
1. I \cdot (I \cdot b) \rightarrow I \cdot (\beta([a : A]a, b) \blacktriangleright b) \\
2. I \cdot (I \cdot b) \rightarrow \beta([a : A]a, (I \cdot b)) \blacktriangleright I \cdot b
\end{array}$$

Since reduction is obtained as a straightforward mapping of contraction of derivations, the following type-soundness result holds.

**Lemma 3 (Subject Reduction).** *If  $M \rightarrow_{\lambda^I} N$  and  $\Delta; \Gamma \vdash M \triangleright \langle A, s \rangle$ , then  $\Delta; \Gamma \vdash N \triangleright \langle A, s \rangle$ .*

#### 4.1 Confluence and Strong Normalisation for $\lambda^I$

Higher-order term rewrite systems (HORS) [Klo80, Nip91, TER03] extend first-order term rewrite systems by allowing terms with binders. The  $\lambda$ -calculus is the prototypical example of a HORS.  $\lambda^I$  can also be presented as a HORS - we'll present it as an HRS [Nip91]. In HRS the simply typed lambda calculus is used as a meta-language for writing the left and right-hand side of rewrite rules. Boldface is used for constants,  $x, y, \dots$  for variables,  $x.M$  for abstraction and  $M(N)$  for application. The rewrite rules for  $\lambda^I$  are (the signature of the symbols involved is straightforward and hence omitted):

$$\begin{array}{lll}
 \mathbf{app}(\mathbf{abs}(x.z(x)), y) & \rightarrow_{\beta} & \mathbf{evid}(\mathbf{betaE}(x.z(x), y), z(y)) \\
 \mathbf{xtrt}(\mathbf{bang}(y), x.z(x)) & \rightarrow_{\beta\Box} & \mathbf{evid}(\mathbf{betaBoxE}(x.z(x), y), z(y)) \\
 \mathbf{app}(\mathbf{evid}(x, y), z) & \rightarrow_{\blacktriangleright L} & \mathbf{evid}(\mathbf{appE}(x, \mathbf{reflE}(z)), \mathbf{app}(y, z)) \\
 \mathbf{xtrt}(\mathbf{evid}(w, y), x.z(x)) & \rightarrow_{\blacktriangleright xtr} & \mathbf{evid}(\mathbf{xtrtE}(w, x.\mathbf{reflE}(z(x))), \mathbf{xtrt}(y, x.z(x)))
 \end{array}$$

The interest in HOR is that general results on combinatorial properties of rewriting can be established. Two such results are of use to us. The first states that orthogonal, pattern HRS are confluent. *Orthogonal* means that rewrite steps are independent: If two redexes in a term may be reduced, the reduction of one of them does not “interfere” with the other one except possibly by duplicating or erasing it. *Pattern* means that in the left-hand sides of rewrite rules free variables can only be applied to distinct bound variables (modulo  $\eta$ -equivalence). This guarantees that higher-order pattern matching behaves similar to the first-order case: unification of higher-order patterns is decidable and most general unifiers can be computed. We write PRS for pattern HRS.

**Proposition 1** ([Nip91]). *Orthogonal PRS are confluent.*

The  $\lambda^I$ -calculus is easily seen to be an orthogonal PRS: it is left-linear and non-overlapping. We may thus immediately conclude, from Prop. 1, that it is confluent.

**Proposition 2.**  *$\lambda^I$  is confluent.*

The other interesting property is that of *uniform normalisation*. First we introduce some terminology. A rewrite step  $M \rightarrow N$  is *perpetual* if whenever  $M$  has an infinite reduction,  $N$  has one too. A rewrite system is *uniformly normalising* if all its steps are perpetual. An example is the  $\lambda I$ -calculus [CR36] which is the standard  $\lambda$ -calculus in which the set of terms is restricted to those  $M$  such that  $\lambda x.N \subseteq M$  implies  $x \in \text{fv}(N)$ . The proof of this fact for  $\lambda I$  relies on two

properties: (1) all reduction steps are *non-erasing* and (2) it is orthogonal. It turns out that this result can be extended to arbitrary higher-order rewrite systems.

**Proposition 3 ([KOvO01]).** *Non-erasing, orthogonal and fully-extended<sup>3</sup> second-order<sup>4</sup> PRS are uniformly normalising.*

A close look at the HRS presentation of  $\lambda^{\mathbf{I}}$  reveals that it is in fact a non-erasing, fully-extended, second-order PRS. Furthermore, we have already mentioned that it is orthogonal. As a consequence, we conclude the following from Prop. 3.

**Proposition 4.**  *$\lambda^{\mathbf{I}}$  is uniformly normalising.*

The interesting thing about uniformly normalisable rewrite systems is that weak normalisation is equivalent to strong normalisation. Therefore, since we have proved that  $\lambda^{\mathbf{I}}$  is weakly normalising, we conclude that:

**Proposition 5.**  *$\lambda^{\mathbf{I}}$  is strongly normalising.*

## 5 Conclusions

A study of the computational interpretation of the Logic of Proofs via the propositions-as-types correspondence requires an appropriate ND presentation. This paper presents one such system,  $\mathbf{LP}_{nd}$ , resulting from a judgemental analysis [ML83, DP01a] of  $\mathbf{LP}$ . The term assignment yields a typed lambda calculus, called the intensional lambda calculus ( $\lambda^{\mathbf{I}}$ ), that is capable of internalising computation evidence, in much the same way that  $\mathbf{LP}$  is capable of internalising derivability evidence. Computations in  $\lambda^{\mathbf{I}}$  yield terms that include information on how this computation is performed.

As mentioned, the fact that  $I \cdot (\underline{I \cdot b}) \rightarrow I \cdot b$  and  $I \cdot (I \cdot \underline{b}) \rightarrow I \cdot b$  reduce to the same term in the standard lambda calculus is known as a “syntactic coincidence” [HL91] since these terms are computed in different ways. In  $\lambda^{\mathbf{I}}$  the corresponding reductions are no longer cofinal given that intensional information on how the term was computed is part of the result. Further investigation on the relation with equivalence of reductions as defined by Lévy [Lév78, TER03] is left to future work.

Other interesting directions are the formulation of intensional calculi for linear and classical logic given their tight connections with resource conscious computing and control operators and the analysis of the explicit modality and how it relates to staged computation and run-time code generation [DP96, WLPD98].

<sup>3</sup> A rewrite system is said to be fully-extended if each of its rewrite rules  $(l, r)$  verifies the following: for every occurrence  $x(P_1, \dots, P_n)$  in  $l$  of a free variable  $x$ ,  $P_1, \dots, P_n$  is the list of *all* bound variables above it.

<sup>4</sup> Define the *order* of a type  $A$  of the simply typed lambda calculus, written  $ord(A)$ , to be 1 if the type is a base type and  $max(ord(A_1) + 1, A_2)$  if  $A = A_1 \rightarrow A_2$ . The order of rewrite system is the maximum order of the types of the variables that occur in its rewrite rules.

## References

- [AA01] Jesse Alt and Sergei Artemov. Reflective  $\lambda$ -calculus. In *Proceedings of the Dagstuhl-Seminar on Proof Theory in Computer Science*, volume 2183 of *LNCS*, 2001.
- [AB06] Sergei Artemov and Eduardo Bonelli. The intensional lambda calculus. Technical report, December 2006. <http://www.lifia.info.unlp.edu.ar/~eduardo/lamIFull.pdf>.
- [Art95] Sergei Artemov. Operational modal logic. Technical Report MSI 95-29, Cornell University, 1995.
- [Art96] Sergei Artemov. Proof realization of intuitionistic and modal logics. Technical Report MSI 96-06, Cornell University, 1996.
- [Art01] Sergei Artemov. Unified semantics of modality and  $\lambda$ -terms via proof polynomials. *Algebras, Diagrams and Decisions in Language, Logic and Computation*, pages 89–118, 2001.
- [Bar92] Henk P. Barendregt. Lambda Calculi with Types. In S. Abramsky, D.M. Gabbay, and T.S.E. Maibaum, editors, *Handbook of Logic in Computer Science*, volume 2. Oxford University Press, 1992.
- [Bre01] Vladimir Brezhnev. On the logic of proofs. In Kristina Striegnitz, editor, *Proceedings of the Sixth ESSLLI Student Session*, pages 35–45, 2001.
- [CR36] Alonzo Church and John B. Rosser. Some properties of conversion. *Transactions of the American Mathematical Society*, 39:472–482, 1936.
- [DP96] Rowan Davies and Frank Pfenning. A modal analysis of staged computation. In Jr. Guy Steele, editor, *Proceedings of the 23rd Annual Symposium on Principles of Programming Languages*, pages 258–270, St. Petersburg Beach, Florida, January 1996. ACM Press.
- [DP01a] Rowan Davies and Frank Pfenning. A judgmental reconstruction of modal logic. *Mathematical Structures in Computer Science*, 11:511–540, 2001.
- [DP01b] Rowan Davies and Frank Pfenning. A modal analysis of staged computation. *Journal of the ACM*, 48(3):555–604, May 2001.
- [GLT89] Jean-Yves Girard, Yves Lafont, and Paul Taylor. *Proofs and Types*. Cambridge Tracts in Theoretical Computer Science. Cambridge University Press, 1989.
- [HL91] Gérard Huet and Jean-Jacques Lévy. Computations in orthogonal rewriting systems. In J.L. Lassez and G.D. Plotkin, editors, *Computational Logic; Essays in honor of Alan Robinson*, pages 394–443. MIT Press, 1991.
- [Klo80] Jan W. Klop. *Combinatory Reduction Systems*. PhD thesis, CWI, Amsterdam, 1980. Mathematical Centre Tracts n.127.
- [KOvO01] Zurab Khasidashvili, Mizuhito Ogawa, and Vincent van Oostrom. Perpetuality and uniform normalization in orthogonal rewrite systems. *Information and Computation*, 164:118–151, 2001.
- [Lév78] Jean-Jacques Lévy. *Réductions correctes et optimales dans le lambda-calcul*. PhD thesis, Université Paris VII, 1978.
- [ML83] Per Martin-Löf. On the meaning of the logical constants and the justifications of the logical laws. Lectures given at the meeting Teoria della Dimostrazione e Filosofia della Logica, in Siena, 6-9 April 1983, by the Scuola di Specializzazione in Logica Matematica of the Università degli Studi di Siena., 1983.

- [Nip91] Tobias Nipkow. Higher-order critical pairs. In *Proceedings of the Sixth Annual IEEE Symposium on Logic in Computer Science*. IEEE Computer Society Press, July 1991.
- [TER03] TERESE. *Term Rewriting Systems*, volume 55 of *Cambridge Tracts in Theoretical Computer Science*. Cambridge University Press, March 2003.
- [WLPD98] Philip Wickline, Peter Lee, Frank Pfenning, and Rowan Davies. Modal types as staging specifications for run-time code generation. *ACM Computing Surveys*, 30(3es), September 1998.

## A Contractions for $LP_{nd}$

### 1. Principal Contractions

$$\frac{\frac{\Delta; \Gamma, a : A \vdash B \mid s}{\Delta; \Gamma \vdash A \supset B \mid \lambda a : A.s} \supset I \quad \Delta; \Gamma \vdash A \mid t}{\Delta; \Gamma \vdash B \mid (\lambda a : A.s) \cdot t} \supset E \quad \rightsquigarrow$$

$$\frac{\frac{\pi}{\Delta; \Gamma \vdash B \mid s_t^a} \quad \frac{\Delta; \Gamma, a : A \vdash B \mid s \quad \Delta; \Gamma \vdash A \mid t}{\Delta; \Gamma \vdash s_t^a \equiv (\lambda a : A.s) \cdot t : B} \text{EqBeta}}{\Delta; \Gamma \vdash B \mid (\lambda a : A.s) \cdot t} \text{EqEvid}$$

$$\frac{\frac{\Delta; \cdot \vdash A \mid s}{\Delta; \Gamma \vdash \llbracket s \rrbracket A \mid !s} \square I \quad \Delta, v : A; \Gamma \vdash C \mid t}{\Delta; \Gamma \vdash C_s^v \mid \text{XTRT} !s \text{ AS } v : A \text{ IN } t} \square E \quad \rightsquigarrow$$

$$\frac{\frac{\pi}{\Delta; \Gamma \vdash C_s^v \mid t_s^v} \quad \frac{\Delta; \cdot \vdash A \mid s \quad \Delta, v : A; \Gamma \vdash C \mid t}{\Delta; \Gamma \vdash t_s^v \equiv \text{XTRT} !s \text{ AS } v : A \text{ IN } t : C_s^v} \text{Eq}\square\text{Beta}}{\Delta; \Gamma \vdash C_s^v \mid \text{XTRT} !s \text{ AS } v : A \text{ IN } t} \text{EqEvid}$$

where  $\pi$  results from the Substitution Principle for Validity with Evidence.

### 2. Silent Permutative Contractions

$$\frac{\frac{\Delta; \Gamma \vdash A_1 \supset A_2 \mid s \quad \Delta; \Gamma \vdash s \equiv t : A_1 \supset A_2}{\Delta; \Gamma \vdash A_1 \supset A_2 \mid t} \text{EqEvid} \quad \Delta; \Gamma \vdash A_1 \mid r}{\Delta; \Gamma \vdash A_2 \mid t \cdot r} \supset E \quad \rightsquigarrow$$

$$\frac{\frac{\Delta; \Gamma \vdash A_1 \supset A_2 \mid s \quad \Delta; \Gamma \vdash A_1 \mid r}{\Delta; \Gamma \vdash A_2 \mid s \cdot r} \supset E \quad \frac{\frac{\Delta; \Gamma \vdash s \equiv t : A_1 \supset A_2 \quad \Delta; \Gamma \vdash r \equiv r : A_1}{\Delta; \Gamma \vdash s \cdot r \equiv t \cdot r : A_2} \text{EqRefl}}{\Delta; \Gamma \vdash A_2 \mid t \cdot r} \text{Eq} \supset E \quad \text{EqEvid}}$$

$$\begin{array}{c}
\frac{\Delta; \Gamma \vdash \llbracket s_1 \rrbracket A \mid s_2 \quad \Delta; \Gamma \vdash s_2 \equiv r : \llbracket s_1 \rrbracket A}{\Delta; \Gamma \vdash \llbracket s_1 \rrbracket A \mid r} \text{EqEvid} \quad \Delta, v : A; \Gamma \vdash C \mid t \quad \sim \\
\hline
\Delta; \Gamma \vdash C_{s_1}^v \mid \text{XTRT } r \text{ AS } v : A \text{ IN } t \quad \square\text{E} \\
\\
\frac{\Delta; \Gamma \vdash \llbracket s_1 \rrbracket A \mid s_2 \quad \Delta, v : A; \Gamma \vdash C \mid t}{\Delta; \Gamma \vdash C_{s_1}^v \mid \text{XTRT } s_2 \text{ AS } v : A \text{ IN } t} \square\text{E} \quad \frac{\Delta, v : A; \Gamma \vdash C \mid t}{\Delta, v : A; \Gamma \vdash t \equiv t : C} \text{EqRefI} \\
\frac{\Delta; \Gamma \vdash C_{s_1}^v \mid \text{XTRT } s_2 \text{ AS } v : A \text{ IN } t \quad \Delta; \Gamma \vdash s_2 \equiv r : \llbracket s_1 \rrbracket A \quad \Delta, v : A; \Gamma \vdash t \equiv t : C}{\Delta; \Gamma \vdash C_{s_1}^v \mid q} \square\text{E} \quad \frac{\Delta; \Gamma \vdash q \equiv \text{XTRT } r \text{ AS } v : A \text{ IN } t : C_{s_1}^v}{\Delta; \Gamma \vdash q \equiv \text{XTRT } r \text{ AS } v : A \text{ IN } t} \text{Eq}\square\text{E} \\
\hline
\Delta; \Gamma \vdash C_{s_1}^v \mid \text{XTRT } r \text{ AS } v : A \text{ IN } t \quad \text{EqEvid}
\end{array}$$

where  $q$  is the proof term  $\text{XTRT } s_2 \text{ AS } v : A \text{ IN } t$