# Construct Public Key Encryption Scheme Using Ergodic Matrices over $GF(2)^*$

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**Abstract.** This paper proposes a new public key encryption scheme. It is based on the difficulty of deducing x and y from A and  $B = x \cdot A \cdot y$ in a specific monoid  $(m, \cdot)$  which is noncommutative. So we select and do research work on the certain monoid which is formed by all the  $n \times n$ matrices over finite field  $F_2$  under multiplication. By the cryptographic properties of an "ergodic matrix", we propose a hard problem based on the ergodic matrices over  $F_2$ , and use it construct a public key encryption scheme.

## 1 Introduction

Public key cryptography is used in e-commerce systems for authentication (electronic signatures) and secure communication (encryption). The security of using current public key cryptography centres on the difficulty of solving certain classes of problems [1]. The RSA scheme relies on the difficulty of factoring large integers, while the difficulty of solving discrete logarithms provide the basis for ElGamal and Elliptic Curves [2]. Given that the security of these public key schemes relies on such a small number of problems that are currently considered hard, research on new schemes that are based on other classes of problems is worthwhile.

This paper provides a scheme of constructing a one-way(trapdoor)function, its basic thoughts are as follows: Let  $M_{n \times n}^{F_2}$  be the set of all  $n \times n$  matrices over  $F_2$ , then  $(M_{n \times n}^{F_2}, +, \times)$  is a

Let  $M_{n\times n}^{F_2}$  be the set of all  $n \times n$  matrices over  $F_2$ , then  $(M_{n\times n}^{F_2}, +, \times)$  is a 1-ring, here + and  $\times$  are addition and multiplication of the matrices over  $F_2$ , respectively. We arbitrarily select two nonsingular matrices  $Q_1, Q_2 \in M_{n\times n}^{F_2}$ , then:

- 1.  $(M_{n \times n}^{F_2}, \times)$  is a monoid, its identity is  $I_{n \times n}$ .
- 2.  $(\langle Q_1 \rangle, \times)$  and  $(\langle Q_2 \rangle, \times)$  are abelian groups, their identities are  $I_{n \times n}$ , too.

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3. for  $m_1, m_2 \in M_{n \times n}^{F_2}$ , generally we have:  $m_1 \times m_2 \neq m_2 \times m_1$ , i.e. the operation  $\times$  is noncommutative in  $M_{n \times n}^{F_2}$ .

Let  $K = \langle Q_1 \rangle \times \langle Q_2 \rangle$ , then we can construct a function  $f : M_{n \times n}^{F_2} \times K \longrightarrow M_{n \times n}^{F_2}$ ,  $f(m, (k_1, k_2)) = k_1 \times m \times k_2$ ; then f satisfies:

- 1. knowing  $x \in M_{n \times n}^{F_2}$  and  $k \in K$ , it's easy to compute y = f(x, k).
- 2. when  $|\langle Q_1 \rangle|$  and  $|\langle Q_2 \rangle|$  are big enough, knowing  $x, y \in M_{n \times n}^{F_2}$ , it's may be hard to deduce  $k \in K$  such that y = f(x, k).
- 3. form  $k = (k_1, k_2) \in K$ , it's easy to compute  $k^{-1} = (k_1^{-1}, k_2^{-1}) \in K$ , and for any  $x \in M_{n \times n}^{F_2}$ , we always have:  $f(f(x, k), k^{-1}) = x$ .

If 2 is true then by 1 and 2 we know that f has one-way property; by 3, we can take k as the "trapdoor" of the one-way function f, hence we get a one-way trapdoor function.

For  $\forall m \in M_{n \times n}^{F_2}$ , we know that  $Q_1 \times m$  does corresponding linear transformation to every column of m, while  $m \times Q_2$  does corresponding linear transformation to every row of m; So,  $Q_1 \times m \times Q_2$  may "disarrange" every element of m. This process can be repeated many times, i.e.  $Q_1^x m Q_2^y (1 \le x \le |\langle Q_1 \rangle|, 1 \le y \le |\langle Q_2 \rangle|)$ , to get a complex transformation of m. To increase the quality of encryption(transformation), the selection of  $Q_1, Q_2$  should make the generating set  $\langle Q_1 \rangle$  and  $\langle Q_2 \rangle$  as big as possible. And the result, of which  $Q_1$  multiplying a column vector on the left and  $Q_2$  multiplying a row vector on the right, should not be convergent. For this purpose, we put forward the concept of ergodic matrix.

### 2 Ergodic Matrices over Finite Field $F_2$

Let  $F_2^n$  be the set of all n-dimensional column vectors over finite field  $F_2$ .

**Definition 1.** Let  $Q \in M_{n \times n}^{F_2}$ , if for any nonzero n-dimensional column vector  $v \in F_2^n \setminus \{0\}, Qv, Q^2v, \ldots, Q^{2^n-1}v$  just exhaust  $F_2^n \setminus \{0\}$ , then Q is called an "ergodic matrix" over  $F_2$ .  $(0 = [0 \ 0 \cdots 0]^T)$ 

For example, select the following matrix  $Q \in M_{2\times 2}^{F_2}$ :

$$Q = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}$$

then 
$$Q^2 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} Q^3 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

We verify weather Q is an ergodic matrix. Let  $v_1 = [0, 1]^T$ ,  $v_2 = [1, 0]^T$ ,  $v_3 = [1, 1]^T$ , then  $F_2^2 \setminus \{0\} = \{v_1, v_2, v_3\}$ . To multiply  $v_1$  by  $Q^1$ ,  $Q^2$ ,  $Q^3$  respectively, we have:

$$Q^{1}v_{1} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} = v_{2}$$
$$Q^{2}v_{1} = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \end{bmatrix} = v_{3}$$
$$Q^{3}v_{1} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \end{bmatrix} = v_{1}$$

Their result just exhaust  $F_2^2 \setminus \{0\}$ . For  $v_2$  and  $v_3$  the conclusion is the same. By Definition 1 Q is an ergodic matrix.

**Theorem 1.**  $Q \in M_{n \times n}^{F_2}$  is an ergodic matrix iff Q's period, under the multiplication, is  $(2^n - 1)$ .

*Proof.* If  $Q \in M_{n \times n}^{F_2}$  is an ergodic matrix, then for  $\forall v \in F_2^n \setminus \{0\}$ , it must be  $Q^{2^n-1}v = v$ . Let v respectively be  $[1 \ 0 \cdots 0]^T, [0 \ 1 \ 0 \cdots 0]^T, \dots, [0 \cdots 0 \ 1]^T$ , then  $Q^{2^n-1} = I_{n \times n}$ , *i.e.* Q is nonsingular and Q's period divides  $(2^n - 1)$  exactly; by Definition 1, Q's period must be  $(2^n - 1)$ .

If the period of  $Q \in M_{n \times n}^{F_2}$  under multiplication is  $(2^n - 1)$ , then  $\langle Q \rangle = \{Q, Q^2, \dots, Q^{2^n-1} = I_{n \times n}\}$ . By Cayley-Hamilton's theorem [3], we have:

$$F_2[Q] = \{p(Q) | p(t) \in F_2[t]\} = \{p(Q) | p(t) \in F_2[t] \land deg \ p \le n-1\}$$

i.e.  $|F_2[Q]| \leq 2^n$ ; Obviously  $\langle Q \rangle \subseteq F_2[Q] \setminus \{0_{n \times n}\}$ , so that:

$$F_2[Q] = \{0_{n \times n}, Q, Q^2, \dots, Q^{2^n - 1} = I_{n \times n}\}$$

Arbitrarily selecting  $v \in F_2^n \setminus \{0\}$  and  $Q^s, Q^t \in \langle Q \rangle$ , if  $Q^s v = Q^t v$ , then $(Q^s - Q^t)v = 0$ . Because  $(Q^s - Q^t) \in F_2[Q]$  and  $v \neq 0$ , we have  $(Q^s - Q^t) = 0_{n \times n}$ , i.e.  $Q^s = Q^t$ . So,  $Qv, Q^2v, \ldots, Q^{2^n-1}v$  just exhaust  $F_2^n \setminus \{0\}$ , Q is an ergodic matrix.

By Cayley-Hamilton's theorem, and finite field theory [4], it's easy to get the following lemmas:

**Lemma 1.** If  $m \in M_{n \times n}^{F_2}$  is nonsingular, then m's period is equal to or less than  $(2^n - 1)$ .

**Lemma 2.** If  $Q \in M_{n \times n}^{F_2}$  is an ergodic matrix, then  $(F_2[Q], +, \times)$  is a finite field with  $2^n$  elements.

**Lemma 3.** If  $Q \in M_{n \times n}^{F_2}$  is an ergodic matrix, then  $Q^T$  must also be an ergodic matrix.

**Lemma 4.** If  $Q \in M_{n \times n}^{F_2}$  is an ergodic matrix, then for  $\forall v \in F_2^n \setminus \{0\}, v^T Q, \ldots, v^T Q^{2^n - 1}$  just exhaust  $\{v^T | v \in F_2^n\} \setminus \{0^T\}.$ 

**Lemma 5.** If  $Q \in M_{n \times n}^{F_2}$  is an ergodic matrix, then for  $\forall a \in F_2$ ,  $aQ \in F_2[Q]$ .

**Lemma 6.** If  $Q \in M_{n \times n}^{F_2}$  is an ergodic matrix, then there are just  $\varphi(2^n - 1)$  ergodic matrices in  $\langle Q \rangle$  and we call them being "equivalent" each other. here  $\varphi(x)$  is Euler's totient function.

From above, we know that the ergodic matrices over  $M_{n \times n}^{F_2}$  has a maximal generating set, and the result of multiplying a nonzero column vector on the left or multiplying a nonzero row vector on the right by the ergodic matrix is thoroughly divergent; thus it can be used to construct one-way(trapdoor) function.

# 3 New Public Key Encryption System

#### 3.1 Hard Problem

Problem 1. Let  $Q_1, Q_2 \in M_{n \times n}^{F_2}$  be ergodic matrices, knowing that  $A, B \in M_{n \times n}^{F_2}$ , find  $Q_1^x \in \langle Q_1 \rangle, Q_2^y \in \langle Q_2 \rangle$  such that  $B = Q_1^x A Q_2^y$ .

Suppose Eve knows A, B and their relation  $B = Q_1^x A Q_2^y$ , for deducing  $Q_1^x$  and  $Q_2^y$ , he may take attacks mainly by [5,6,7]:

- 1. Brute force attack For every  $Q_1^s \in \langle Q_1 \rangle$ , and  $Q_2^t \in \langle Q_2 \rangle$ , Eve computes  $B' = Q_1^s A Q_2^t$  until B' = B, hence he gets  $Q_1^x = Q_1^s, Q_2^y = Q_2^t$ .
- 2. Simultaneous equations attack Eve elaborately selects  $a_1, a_2, \ldots, a_m \in \langle Q_1 \rangle$  and  $b_1, b_2, \ldots, b_m \in \langle Q_2 \rangle$ , constructing the simultaneous equations as follows:

$$\begin{cases} B_1 = Q_1^x A_1 Q_2^y \\ B_2 = Q_1^x A_2 Q_2^y \ (Here \ A_k = a_k A b_k \ B_k = a_k B b_k \ are \ know) \\ \vdots \qquad \vdots \\ B_m = Q_1^x A_m Q_2^y \end{cases}$$

Thus Eve may possibly deduce  $Q_1^x$  and  $Q_2^y$ .

But all of these attacks are not polynomial time algorithm. We assume through this paper the Problem 1 are intractable, which means there is no polynomial time algorithm to solve it with non-negligible probability.

#### 3.2 Public Key Encryption Scheme

Inspired by [8,9,10], We propose a new public key encryption scheme as follow:

- Key Generation.

The key generation algorithm select two ergodc matrices  $Q_1$ ,  $Q_2 \in M_{n \times n}^{F_2}$ and a matrix  $m \in M_{n \times n}^{F_2}$ . It then chooses  $s, t \in [0, 2^{n-1}]$ , and sets sk = (s, t),  $pk = (Q_1, Q_2, m, Q_1^s m Q_2^t)$ . - Encryption.

On input message matrix X, public key  $pk = (Q_1, Q_2, m, Q_1^s m Q_2^t)$ , choose  $k, l \in [0, 2^{n-1}]$ , computer  $Z = X + Q_1^k Q_1^s m Q_2^t Q_2^l$ , and output the ciphertext  $Y = (Z, Q_1^k m Q_2^l)$ .

- Decryption.

On input sk = (s,t), ciphertext Y = (Z,C), output the plaintext  $X = Z - Q_1^s C Q_2^t = Z - Q_1^s Q_1^k m Q_2^l Q_2^t = Z - Q_1^k Q_1^s m Q_2^t Q_2^l$ .

The security for the public key encryption scheme based on ergodic matrices is defined through the following attack game:

- 1. The adversary queries a key generation oracle, the key generation oracle computes a key pair (pk, sk) and responds with pk.
- 2. The challenger gives the adversary a challenge matrix  $c \in M_{n \times n}^{F_2}$ .
- 3. The adversary makes a sequence of queries to a decryption oracle. Each query is an arbitrary ciphertext matrix (not include c); the oracle responds with corresponding plaintext.
- 4. At the end of the game, the adversary output a matrix a.

The advantage of an adversary is: Adv = Pr[a = Decryption(c, sk)].

**Definition 2.** A public key encryption scheme is said to be secure if no probabilistic polynomial time adversary has a non-negligible advantage in the above game.

**Theorem 2.** The security of the public key encryption scheme based on ergodic matrices is equivalent to the Problem 1.

#### 3.3 Example

(1) Key generation: Select two ergodic matrices  $Q_1, Q_2 \in M_{23 \times 23}^{F_2}$ :

the ergodic matrices  $Q_1$  and  $Q_2$  can be generated using following algorithm:

- 1. select a random matrix  $m \in M_{n \times n}^{F_2}$ ; 2. if Rank(m) < n, goto 1; 3. if  $m^{2^n 1} \neq I_{n \times n}$ , goto 1;

- 4. m is a ergodic matrix.

Moveover, we need select a matrices  $m \in M_{23 \times 23}^{F_2}$  randomly:

then select private key: s = 1367235 t = 2480563, and compute:

so the public key is  $pk = (Q_1, Q_2, m, Q_1^s m Q_2^t)$ .

(2) In the process of Encryption, select two random integers:  $k=4321506,\, l=3493641.$ 

because  $Q_1^k Q_1^s m Q_2^t Q_2^l = Q_1^s Q_1^k m Q_2^l Q_2^t$ , i.e.  $Q_1^{4321506} Q_1^{1367235} m Q_2^{2480563} Q_2^{3483641} = Q_1^{1367235} Q_1^{4321506} m Q_2^{3483641} Q_2^{2480563}$ , the result is:

It is easy to verify the process of encryption and decryption.

## 4 Conclusions

The ergodic matrices over  $M_{n \times n}^{F_2}$  has a maximal generating set, and the result of multiplying a nonzero column vector on the left or multiplying a nonzero row vector on the right by the ergodic matrix is thoroughly divergent; thus it can be used to construct one-way(trapdoor) function. In this paper, we propose a new hard problem based on the ergodic matrices over  $F_2$ , by which, we implement a public key encryption scheme. Different from the previous approaches, we adopt matrix to represent plaintext, which can encrypt more information once a time.

We plan to give the theoretical proof on the hard problem based on the ergodic matrices over  $F_2$ . Additional research is also required to compare the security and performance with other public key encryption schemes such as RSA and Elliptic Curves.

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