

# On Second-Order Monadic Groupoidal Quantifiers<sup>\*</sup>

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**Abstract.** We study logics defined in terms of so-called second-order monadic groupoidal quantifiers. These are generalized quantifiers defined by groupoid word-problems or equivalently by context-free languages. We show that, over strings with built-in arithmetic, the extension of monadic second-order logic by all second-order monadic groupoidal quantifiers collapses to its fragment  $\text{mon-}Q_{\text{Grp}}^1\text{FO}$ . We also show a variant of this collapse which holds without built-in arithmetic. Finally, we relate these results to an open question regarding the expressive power of finite leaf automata with context-free leaf languages.

## 1 Introduction

We study logics defined in terms of so-called second-order monadic groupoidal quantifiers. These are generalized quantifiers defined by groupoid word-problems or equivalently by context-free languages. A *groupoid* is a finite multiplication table with an identity element. For a fixed groupoid  $G$ , each  $S \subseteq G$  defines a  $G$ -word-problem, i.e., a language  $\mathcal{W}(S, G)$  composed of all words  $w$ , over the alphabet  $G$ , that can be bracketed in such a way that  $w$  multiplies out to an element of  $S$ . Groupoid word-problems relate to context-free languages in the same way as monoid word-problems relate to regular languages: Every such word-problem is context-free, and every context-free language is a homomorphic pre-image of a groupoid word-problem (this result is credited to Valiant in [2]).

Monoidal quantifiers are generalized quantifiers defined by monoid word-problems or equivalently by regular languages. It was known [1] that first-order logic with unnested unary monoidal quantifiers characterizes the class of regular languages. In [6] this was extended to show the following

$$\exists\text{SOM} = \text{mon-}Q_{\text{Mon}}^1\text{FO} = \text{FO}(\text{mon-}Q_{\text{Mon}}^1) = \text{SOM}(\text{mon-}Q_{\text{Mon}}^1) = \text{REG} . \quad (1)$$

In (1),  $\exists\text{SOM}$  stands for existential second-order monadic logic and  $\text{REG}$  denotes the class of regular languages. The class  $\text{mon-}Q_{\text{Mon}}^1\text{FO}$  is the class of all languages

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describable by applying a specific monadic second-order monoidal quantifier  $Q_L$  to an appropriate tuple of formulas without further occurrences of second-order quantifiers. On the other hand, in  $\text{FO}(\text{mon-}Q_{\text{Mon}}^1)$  arbitrary nestings of monoidal quantifiers is allowed, analogously to  $\text{SOM}(\text{mon-}Q_{\text{Mon}}^1)$  in which the base logic is second-order monadic logic.

We see that with monoidal quantifiers the situation is clear-cut, i.e., formulas with monadic second-order monoidal quantifiers cannot define non-regular languages. Note that over strings with built-in arithmetic the classes in (1) are presumably not equal, e.g.,  $\exists\text{SOM} \subseteq \text{NP}$  and already in  $\text{FO}(\text{mon-}Q_{\text{Mon}}^1)$  PSPACE-complete languages can be defined by a similar argument as in Proposition 1. Similarly, the equivalences in (1) do not hold if non-monadic quantifiers are also allowed (under some reasonable complexity-theoretic assumptions).

In [6] it was asked what is the relationship of the corresponding logics if monoidal quantifiers are replaced by groupoidal quantifiers. In this paper we address this question and show the following:

$$\text{mon-}Q_{\text{Grp}}^1\text{FO}(+, \times) = \text{FO}(\text{mon-}Q_{\text{Grp}}^1) = \text{SOM}(\text{mon-}Q_{\text{Grp}}^1, +, \times) . \quad (2)$$

It is interesting to note that in the case of groupoidal quantifiers the collapse of the logics happens in the presence of built-in arithmetic.

In Sect. 4 we consider groupoidal quantifiers with a slight change in their semantics (notation  $Q_L^*$ ). We show that the analogue of (2) also holds in this case. It turns out that (2) remains valid even if we drop the built-in predicates  $+$  and  $\times$  from  $\text{mon-}Q_{\text{Grp}}^*\text{FO}(+, \times)$ . Finally, we relate these results to an open question regarding the expressive power of finite leaf automata with context-free leaf languages.

## 2 Generalized Quantifiers

We follow standard notation for monadic second-order logic with linear order, see, e.g., [14]. We mainly restrict our attention to *string signatures*, i.e., signatures of the form  $\langle P_{a_1}, \dots, P_{a_s} \rangle$ , where all the predicates  $P_{a_i}$  are unary, and in every structure  $\mathcal{A}$ ,  $\mathcal{A} \models P_{a_i}(j)$  iff the  $j$ th symbol in the input is the letter  $a_i$ . Such structures are thus words over the alphabet  $\{a_1, \dots, a_s\}$ . We assume that the universe of each structure  $\mathcal{A}$  is of the form  $\{0, \dots, n - 1\}$  and that the logic's linear order symbol refers to numerical order on  $\{0, \dots, n - 1\}$ . For technical reasons to be motivated shortly, we also assume that every alphabet has a built-in linear order, and we write alphabets as sequences of symbols to indicate that order, e.g., in the above case we write  $(a_1, \dots, a_s)$ .

Our basic formulas are built from first- and second-order variables in the usual way, using the Boolean connectives  $\{\wedge, \vee, \neg\}$ , the relevant predicates  $P_{a_i}$  together with  $\{=, <\}$ , the constants  $\text{min}$  and  $\text{max}$ , the first- and second-order quantifiers  $\{\exists, \forall\}$ , and parentheses.

SOM is the class of all languages definable using formulas as just described. (The letters SOM stand for second order monadic logic; in the literature, this logic is sometimes denoted by MSO.) FO is the subclass of SOM restricted to

languages definable by first-order formulas. It is known [12] that FO is equal to the class of star-free regular languages and that SOM equals the class REG of regular languages (see [4,3,15]). Sometimes we assume that our structures are also equipped with the built-in predicates  $+$  and  $\times$ . This assumption is signalled, e.g., by the notation  $\text{FO}(+, \times)$ .

Next, we extend logics in terms of generalized quantifiers. The Lindström quantifiers of Def. 1 are precisely what has been referred to as “Lindström quantifiers on strings” [5]. The original more general definition [11] uses transformations to arbitrary structures, not necessarily of string signature.

**Definition 1.** Consider a language  $L$  over an alphabet  $\Sigma = (a_1, a_2, \dots, a_s)$ . Such a language gives rise to a Lindström quantifier  $Q_L$ , that may be applied to any sequence of  $s - 1$  formulas as follows:

Let  $\bar{x}$  be a  $k$ -tuple of variables. We assume the lexical ordering on  $\{0, 1, \dots, n - 1\}^k$ , and we write  $\bar{x}^{(1)} < \bar{x}^{(2)} < \dots < \bar{x}^{(n^k)}$  for the sequence of potential values taken on by  $\bar{x}$ . The  $k$ -ary Lindström quantifier  $Q_L$  binding  $\bar{x}$  takes a meaning if  $s - 1$  formulas, each having as free variables the variables in  $\bar{x}$  (and possibly others), are available. Let  $\varphi_1(\bar{x}), \varphi_2(\bar{x}), \dots, \varphi_{s-1}(\bar{x})$  be these  $s - 1$  formulas. Then  $Q_L \bar{x} [\varphi_1(\bar{x}), \varphi_2(\bar{x}), \dots, \varphi_{s-1}(\bar{x})]$  holds on a string  $w = w_1 \dots w_n$ , iff the word of length  $n^k$  whose  $i$ th letter,  $1 \leq i \leq n^k$ , is

$$\begin{cases} a_1 \text{ if } w \models \varphi_1(\bar{x}^{(i)}), \\ a_2 \text{ if } w \models \neg\varphi_1(\bar{x}^{(i)}) \wedge \varphi_2(\bar{x}^{(i)}), \\ \vdots \\ a_s \text{ if } w \models \neg\varphi_1(\bar{x}^{(i)}) \wedge \neg\varphi_2(\bar{x}^{(i)}) \wedge \dots \wedge \neg\varphi_{s-1}(\bar{x}^{(i)}), \end{cases}$$

belongs to  $L$ .

As an example, take  $s = 2$  and consider  $L_{\exists} =_{\text{def}} 0^*1(0 + 1)^*$ ; then  $Q_{L_{\exists}}$  is the usual first-order existential quantifier. Similarly, the universal quantifier can be expressed using the language  $L_{\forall} =_{\text{def}} 1^*$ . The quantifiers  $Q_{L_{\text{mod } p}}$  for  $p > 1$  are known as modular counting quantifiers [14].

In this paper we are especially interested in quantifiers defined by groupoid word problems. The following definition is due to Bédard, Lemieux, and McKenzie [2]:

**Definition 2.** A groupoidal quantifier is a Lindström quantifier  $Q_L$  where  $L$  is a word-problem of some finite groupoid.

Usage of groupoidal quantifiers in our logical language is signalled by  $Q_{\text{Grp}}$ . The class  $Q_{\text{Grp}}\text{FO}$  is the class of all languages definable by applying a single groupoidal quantifier to an appropriate tuple of FO formulas. The class  $\text{FO}(Q_{\text{Grp}})$  is defined analogously, but allowing groupoidal quantifiers to be used as any other quantifier would (i.e., allowing arbitrary nesting).

Second-order Lindström quantifiers on strings were introduced in [5]. Here, we are mainly interested in those binding only set variables, so called *monadic quantifiers*. For each language  $L$  we define two monadic quantifiers  $Q_L$  and  $Q_L^*$

with slightly different interpretations. It turns out that the interpretation  $Q_L$ , which was used in [6], is natural in the context of finite automata. On the other hand, the quantifier  $Q_L^*$  is the exact second-order analogue of the corresponding first-order quantifier  $Q_L$ .

**Definition 3.** Consider a language  $L$  over an alphabet  $\Sigma = (a_1, a_2, \dots, a_s)$ . Let  $\overline{X} = (X_1, \dots, X_k)$  be a  $k$ -tuple of unary second-order variables, i.e., set variables. There are  $2^{nk}$  different instances (assignments) of  $\overline{X}$ . We assume the following ordering on those instances: Let each instance of a single  $X_i$  be encoded by a bit string  $s_0^i \cdots s_{n-1}^i$  with the meaning  $s_j^i = 1 \iff j \in X_i$ . Then

i) we encode an instance of  $\overline{X}$  by the bit string

$$s_0^1 s_0^2 \cdots s_0^k s_1^1 s_1^2 \cdots s_1^k \cdots s_{n-1}^1 s_{n-1}^2 \cdots s_{n-1}^k$$

and order the instances lexicographically by their codes.

ii) we encode an instance of  $\overline{X}$  by the bit string

$$s_0^1 s_1^1 \cdots s_{n-1}^1 s_0^2 s_1^2 \cdots s_{n-1}^2 \cdots s_0^k s_1^k \cdots s_{n-1}^k$$

and order the instances lexicographically by their codes.

The monadic second-order Lindström quantifier  $Q_L$  (respectively  $Q_L^*$ ) binding  $\overline{X}$  takes a meaning if  $s - 1$  formulas, each having free variables  $\overline{X}$ , are available. Let  $\varphi_1(\overline{X}), \varphi_2(\overline{X}), \dots, \varphi_{s-1}(\overline{X})$  be these  $s - 1$  formulas. Then  $\varphi = Q_L \overline{X} [\varphi_1(\overline{X}), \varphi_2(\overline{X}), \dots, \varphi_{s-1}(\overline{X})]$  holds on a string  $w = w_1 \cdots w_n$ , iff the word of length  $2^{nk}$  whose  $i$ th letter,  $1 \leq i \leq 2^{nk}$ , is

$$\begin{cases} a_1 \text{ if } w \models \varphi_1(\overline{X}^{(i)}), \\ a_2 \text{ if } w \models \neg\varphi_1(\overline{X}^{(i)}) \wedge \varphi_2(\overline{X}^{(i)}), \\ \vdots \\ a_s \text{ if } w \models \neg\varphi_1(\overline{X}^{(i)}) \wedge \neg\varphi_2(\overline{X}^{(i)}) \wedge \cdots \wedge \neg\varphi_{s-1}(\overline{X}^{(i)}), \end{cases}$$

belongs to  $L$ . Above,  $\overline{X}^{(1)} < \overline{X}^{(2)} < \dots < \overline{X}^{(2^{nk})}$  denotes the sequence of all instances ordered as in i). The notation  $Q_L^*$  is used when the ordering of the instances is as in ii).

Again, taking as examples the languages  $L_{\exists}$  and  $L_{\forall}$ , we obtain the usual second-order existential and universal quantifiers. Note that for  $L \in \{L_{\exists}, L_{\forall}\}$  the quantifiers  $Q_L$  and  $Q_L^*$  are “equivalent”. This is due to the fact that, for the membership in  $L$ , the order of letters in a word does not matter.

The class  $\text{mon-}Q_L^1\text{FO}$  is the class of all languages describable by applying a specific monadic second-order groupoidal quantifier  $Q_L$  to an appropriate tuple of formulas without further occurrences of second-order quantifiers. The class  $\text{mon-}Q_{\text{Grp}}^1\text{FO}$  is defined analogously using arbitrary monadic second-order groupoidal quantifiers. The class  $\text{SOM}(\text{mon-}Q_{\text{Grp}}^1)$  is defined analogously, but allowing groupoidal quantifiers to be used as any other quantifier would (i.e., allowing arbitrary nesting). Analogous notations are used for the quantifiers  $Q_L^*$ .

### 3 Groupoidal Quantifiers $Q_L$

In this section we consider second-order monadic groupoidal quantifiers under the semantics  $Q_L$ . We show that the extension of SOM in terms of all second-order monadic groupoidal quantifiers collapses to its fragment  $\text{mon-}Q_{\text{Grp}}^1\text{FO}$  over strings with built-in arithmetic.

The following result on first-order groupoidal quantifiers will be central for our argumentation. Below,  $\text{QFree}$  denotes the set of quantifier-free formulas in which the predicates  $+$  and  $\times$  do not appear.

**Theorem 1 ([10]).**  $Q_{\text{Grp}}\text{QFree} = \text{FO}(Q_{\text{Grp}}) = \text{FO}(Q_{\text{Grp}+, \times}) = \text{LOGCFL}$  over string signatures.

We shall use the following version of Theorem 1.

**Lemma 1.** Let  $\tau = \{<, c_1, \dots, c_s\}$ , where  $c_1, \dots, c_s$  are constant symbols. Then on  $\tau$ -structures

$$Q_{\text{Grp}}\text{QFree} = \text{FO}(Q_{\text{Grp}}) = \text{FO}(Q_{\text{Grp}+, \times}) .$$

*Proof.* The idea is to encode  $\tau$ -structures into strings and then apply Theorem 1. In order to encode the information about the identities among  $c_1, \dots, c_s$ , we introduce a predicate symbol  $P_A$  for each non-empty  $A \subseteq \{c_1, \dots, c_s\}$ . To simplify notation, let us assume that  $\tau = \{<, c_1, c_2\}$ . The general case is analogous.

Suppose that  $K$  is a class of  $\tau$ -structures definable by  $\varphi \in \text{FO}(Q_{\text{Grp}+, \times})$ . We shall encode  $K$  as a class of strings over signature  $\langle P_{\{c_1\}}, P_{\{c_2\}}, P_{\{c_1, c_2\}}, P^* \rangle$ . The predicate  $P_{\{c_1, c_2\}}$  is used when the interpretations of  $c_1$  and  $c_2$  coincide and  $P^*$  is interpreted by all the elements different from  $c_1$  and  $c_2$ . Denote by  $A'$  the string encoding a  $\tau$ -structure  $A$ . Let  $\varphi^*$  be acquired from  $\varphi$  by replacing atomic subformulas of the form  $c_i = d$  by  $P_{\{c_i\}}(d) \vee P_{\{c_1, c_2\}}(d)$  and  $c_1 = c_2$  by the formula  $\exists x P_{\{c_1, c_2\}}(x)$ . It is now obvious how to translate atomic formulas using the predicates  $+$ ,  $\times$ , and  $<$ , e.g.,  $c_i < x$  is replaced by  $\exists y ((P_{\{c_i\}}(y) \vee P_{\{c_1, c_2\}}(y)) \wedge y < x)$ . It is easy to verify that for all  $A, A' \models \varphi \Leftrightarrow A' \models \varphi^*$ . By Theorem 1 there is a sentence  $\theta \in Q_{\text{Grp}}\text{QFree}$  which is equivalent to  $\varphi^*$  over strings. Let  $\theta^*$  be acquired from  $\theta$  by the following substitutions:  $P_{\{c_i\}}(d)$  is replaced by  $c_i = d \wedge c_1 \neq c_2$ ,  $P_{\{c_1, c_2\}}(d)$  by  $c_1 = d \wedge c_1 = c_2$ , and finally  $P^*(d)$  by  $c_1 \neq d \wedge c_2 \neq d$ . Now  $\theta^* \in Q_{\text{Grp}}\text{QFree}$  and  $\theta^*$  defines  $K$ .

We are now ready for the main result of this section.

**Theorem 2.**  $\text{mon-}Q_{\text{Grp}}^1\text{FO}(+, \times) = \text{FO}(\text{mon-}Q_{\text{Grp}}^1) = \text{SOM}(\text{mon-}Q_{\text{Grp}}^1, +, \times)$  over strings.

*Proof.* Fix a signature  $\tau = \langle P_{a_1}, \dots, P_{a_s} \rangle$ . Suppose that  $B$  is a language defined by some sentence  $\varphi \in \text{SOM}(\text{mon-}Q_{\text{Grp}}^1, +, \times)[\tau]$ . We may assume that  $\varphi \in \text{FO}(\text{mon-}Q_{\text{Grp}}^1)[\tau]$  since the second-order existential quantifier is included in  $\text{mon-}Q_{\text{Grp}}^1$  and already the extension of FO by the quantifier corresponding

to the (context-free) language *majority* can define the predicates  $+$  and  $\times$  on ordered structures [9].

Denote by  $\sigma = \{<, +, \times, c_1, \dots, c_s\}$  the signature where each  $c_i$  is a constant symbol. For a  $\tau$ -structure  $\mathcal{A} = \langle \{0, \dots, n - 1\}, <, P_{a_1}^{\mathcal{A}}, \dots, P_{a_s}^{\mathcal{A}} \rangle$ , let  $\mathcal{A}^*$  be the following  $\sigma$ -structure

$$\mathcal{A}^* = \langle \{0, \dots, 2^n - 1\}, <, +, \times, c_1^{A^*}, \dots, c_s^{A^*} \rangle,$$

where  $c_i^{A^*}$  is the unique integer ( $< 2^n$ ) whose length  $n$  binary representation corresponds to  $P_i^{\mathcal{A}}$ .

We shall first show that there is a sentence  $\varphi^* \in \text{FO}(Q_{\text{Grp}}, +, \times)[\sigma]$  such that for all  $\tau$ -structures  $\mathcal{A}$ ,

$$\mathcal{A} \models \varphi \Leftrightarrow \mathcal{A}^* \models \varphi^* .$$

We define  $\varphi^*$  via the following transformation:

$$\begin{aligned} x_1 = x_2 &\rightsquigarrow x_1 = x_2 \\ x_1 < x_2 &\rightsquigarrow x_1 < x_2 \\ P_{a_i}(z) &\rightsquigarrow \text{BIT}(c_i, z) \\ Y(x) &\rightsquigarrow \text{BIT}(y, x) \\ \psi \wedge \phi &\rightsquigarrow \psi^* \wedge \phi^* \\ \neg\psi &\rightsquigarrow \neg\psi^* \\ \exists x\psi &\rightsquigarrow \exists x(x < n \wedge \psi^*(x)) \\ Q_L X_1, \dots, X_k[\psi_1, \dots, \psi_{s-1}] &\rightsquigarrow Q_{L'} x_1, \dots, x_k[\psi_1^*, \dots, \psi_{s-1}^*] \end{aligned}$$

Each assignment  $f$  over  $\mathcal{A}$  is associated with the assignment  $f^*$  over  $\mathcal{A}^*$  such that if  $f(X) = A \subseteq \{0, \dots, n - 1\}$  then  $f^*(x)$  is the unique  $a < 2^n$  whose binary representation is given by  $s_0 \dots s_{n-1}$  where  $s_j = 1 \iff j \in A$ . The predicate BIT, which is  $\text{FO}(+, \times)$ -definable, allows us to recover the set  $A$  from the number  $a$ . In other words,  $\text{BIT}(a, j)$  holds if bit  $n - j - 1$  in the binary representation of  $a$  is 1 iff  $j \in A$ . The language  $L'$  is defined by

$$L' = \{w \mid s(w) \in L\},$$

where  $s$  is defined as follows:  $s$  maps a word  $w$  to  $w$  if  $|w| \neq 2^{km}$  for all  $m \in \mathbb{N}^*$ . Assuming  $|w| = 2^{km}$ , the position  $i$  of each letter in  $w$  is determined by a binary string of length  $km$ :

$$P_{bin}(i) = r_1^1 \dots r_m^1 \dots r_1^k \dots r_m^k .$$

Now,  $s$  takes  $w$  to the unique string whose  $i$ th letter is identical with the letter in position  $r_1^1 r_1^2 \dots r_1^k r_2^1 r_2^2 \dots r_2^k \dots r_m^1 r_m^2 \dots r_m^k$  in  $w$ . In other words,  $s$  corrects the asymmetry in the semantics of first-order and second-order quantifiers. It is easy to verify that the language  $L'$  is  $\text{FO}(+, \times)$  reducible to  $L$  and thus also definable in  $\text{FO}(Q_{\text{Grp}}, +, \times)$ . Therefore, the logic  $\text{FO}(Q_{\text{Grp}}, +, \times)$  is also closed under the quantifier  $Q_{L'}$ .

By Lemma 1, there is a sentence

$$\theta = Q_L x_1, \dots, x_l (\chi_1, \dots, \chi_w),$$

where each  $\chi_i$  is quantifier-free and does not contain the predicates  $+$  and  $\times$ , equivalent to  $\varphi^*$ . The idea is now to translate  $\theta$  to the logic  $\text{mon-}Q_L^1\text{FO}(+, \times)$  by changing first-order variables to second-order variables. We shall construct formulas  $\delta_i(\overline{X})$  such that for all  $\tau$ -structures  $\mathcal{A}$

$$\mathcal{A} \models Q_L X_1, \dots, X_l (\delta_1(\overline{X}), \dots, \delta_w(\overline{X})) \Leftrightarrow \mathcal{A}^* \models \theta .$$

The formula  $\delta_i(\overline{X})$  should be satisfied by  $A_1, \dots, A_l \subseteq \{0, \dots, n-1\}$  iff  $\chi_i$  is satisfied by the tuple  $(a_1, \dots, a_l) \in \{0, \dots, 2^n-1\}^l$  corresponding to  $A_1, \dots, A_l$ . Again we need to correct the asymmetry caused by the difference in the semantics of first-order and second-order quantifiers. As in Definition 3, each  $A_i$  determines the string  $s_0^i \dots s_{n-1}^i$  with the meaning  $s_j^i = 1 \iff j \in A_i$ . The tuple  $\overline{A}$  is now encoded by the string

$$s_0^1 s_0^2 \dots s_0^l s_1^1 s_1^2 \dots s_1^l \dots s_{n-1}^1 s_{n-1}^2 \dots s_{n-1}^l . \tag{3}$$

Therefore,  $\overline{A}$  should satisfy  $\delta_i(\overline{X})$  iff the tuple  $a_1^*, \dots, a_l^*$  satisfies  $\chi_i$ , where the concatenation of the length  $n$  binary representations of  $a_1^*, \dots, a_l^*$  correspond to the string in (3). In other words, the binary representation of  $a_i^*$  is given by  $\text{BIT}(a_i^*, j) = 1$  iff the  $(n(i-1) + j)$ th bit from the right is 1 in (3) iff  $c \in A_r$  for the unique  $r$  and  $c$  for which  $n(i-1) + j = cl + r - 1$ . Since  $\chi_i$  is quantifier-free and contains only atomic formulas such as  $x_1 < c_2$  or  $x_l = x_k$ , we can construct the formulas  $\delta_i(\overline{X})$  using the fact that the binary representations of  $a_1^*, \dots, a_l^*$  can be recovered from  $\overline{A}$  in a first-order way with the help of arithmetic. By the above, it is clear that the sentence  $Q_L X_1, \dots, X_l (\delta_1(\overline{X}), \dots, \delta_w(\overline{X}))$  now defines  $B$ .

### 4 Groupoidal Quantifiers $Q_L^*$

In [5] the expressive power of generalized second-order quantifiers was characterized in terms complexity classes given by so-called leaf languages. In particular, for every language  $B$  that has a neutral letter, i.e., a letter  $\epsilon \in \Gamma$  such that, for all  $u, v \in \Gamma^*$ , we have  $uv \in B \iff u\epsilon v \in B$ , the following was shown to hold. Let  $\mathcal{N}$  be the class of languages that have a neutral letter.

**Theorem 3 ([5]).** *For any  $B \in \mathcal{N}$ ,  $\text{Leaf}^P(B) = Q_B^* \text{FO}$ .*

Above,  $\text{Leaf}^P(B)$  denotes the class of languages defined in polynomial-time in terms of non-deterministic Turing machines using the leaf language  $B$  and  $Q_B^* \text{FO}$  denotes the class of all languages describable by applying the quantifier  $Q_B^*$  to an appropriate tuple of first-order formulas (the quantifier  $Q_B^*$  is allowed to bind relation variables of arbitrary arity). Note that in this context we could equivalently use the semantics  $Q_L$  instead of  $Q_L^*$ . This is due to the fact that the difference between  $Q_L$  and  $Q_L^*$  only appears if more than one second-order

variable is quantified and this can be avoided by joining relations into a single relation of higher arity.

Since it is known that there are regular languages  $B$ , e.g., the word problem for the group  $S_5$ , for which  $\text{Leaf}^P(B) = \text{PSPACE}$  [8], we conclude that for such  $B$ ,

$$Q_B^* \text{FO} = \text{PSPACE} . \tag{4}$$

By a simple padding argument, we see that already first-order logic with a monadic second-order quantifier  $Q_B^*$  is sufficient to define a PSPACE-complete language.

**Proposition 1.** *Let  $L$  be a language and suppose that a language  $A$  is definable by a sentence  $\varphi \in Q_L^* \text{FO}$ . Let  $k$  be the maximum of the arities of the relations quantified in  $\varphi$ . Then the language*

$$A^* = \{w \frown 0^{|w|^k - |w|} \mid w \in A\}$$

is definable in  $\text{FO}(\text{mon-}Q_L^*, +, \times)$ .

*Proof.* The proof using standard techniques will appear in the journal version of the paper.

Proposition 1 shows that logics  $\text{FO}(\text{mon-}Q_L^*, +, \times)$  can be quite powerful. In this section we show that a result analogous to Theorem 2 also holds with respect to the semantics  $Q_L^*$ . We also show that in the most general case, i.e., when the logic in question is the extension of SOM by all second-order monadic groupoidal quantifiers, both semantics turn out to be equal in expressive power.

**Theorem 4.**  $\text{mon-}Q_{\text{Grp}}^* \text{FO} = \text{SOM}(\text{mon-}Q_{\text{Grp}}^*, +, \times) = \text{SOM}(\text{mon-}Q_{\text{Grp}}^1, +, \times)$  over strings.

*Proof.* Let us first note that by an analogous argument as in the proof of Theorem 2 any sentence  $\varphi \in \text{SOM}(\text{mon-}Q_{\text{Grp}}^*, +, \times)$  can be first translated into  $\text{FO}(Q_{\text{Grp}}, +, \times)$  and then to the logic  $\text{mon-}Q_{\text{Grp}}^1 \text{FO}(+, \times)$ . In fact, the first translation can be even simplified since the quantifier  $Q_{L'}$  is not needed. Therefore, it suffices to show the converse inclusion.

Let  $A$  be defined by a sentence  $\varphi \in \text{SOM}(\text{mon-}Q_{\text{Grp}}^1, +, \times)$ . We use the same argument as in the proof of Theorem 2. We only need to modify the last part of the proof and define the translation from a sentence  $\theta$  of the form

$$Q_L x_1, \dots, x_k (\chi_1, \dots, \chi_v),$$

where each  $\chi_i$  is quantifier-free and does not contain the predicates  $+$  and  $\times$ . We do this in the following way. Denote by  $X = Y$  the formula  $\forall z (X(z) \leftrightarrow Y(z))$ , and by  $X < Y$  the first-order formula defining the ordering of subsets when treated as length  $n$  binary strings. The transformation is now defined by

$$\begin{aligned} x = y &\rightsquigarrow X = Y \\ x < y &\rightsquigarrow X < Y \\ \psi \wedge \phi &\rightsquigarrow \psi' \wedge \phi' \\ \neg \psi &\rightsquigarrow \neg \psi' \\ Q_L x_1, \dots, x_v [\psi_1, \dots, \psi_v] &\rightsquigarrow Q_L^* X_1, \dots, X_v [\psi'_1, \dots, \psi'_v] \end{aligned}$$

The use of  $Q_L^*$  allows us to define the translation simply by changing first-order variables to second-order variables. It is easy to verify that  $\theta'$  now defines the language  $A$ .

By combining Theorems 2 and 4, we get

**Corollary 1.**  $\text{SOM}(\text{mon-}Q_{\text{Grp}}^1, +, \times) = \text{mon-}Q_{\text{Grp}}^1\text{FO}(+, \times) = \text{mon-}Q_{\text{Grp}}^*\text{FO} = \text{SOM}(\text{mon-}Q_{\text{Grp}}^*, +, \times)$ .

## 5 Connection to Leaf Automata

A *finite leaf automaton* is a tuple  $M = (Q, \Sigma, \delta, s, \Gamma, \beta)$  where  $Q$  is the finite set of *states*,  $\Sigma$  is an alphabet, the *input alphabet*,  $\delta: Q \times \Sigma \rightarrow Q^+$  is the *transition function*,  $s \in Q$  is the *initial state*,  $\Gamma$  is an alphabet, the *leaf alphabet*, and  $\beta: Q \rightarrow \Gamma$  is a function that associates a state  $q$  with its *value*  $\beta(q)$ . The sequence  $\delta(q, a)$ , for  $q \in Q$  and  $a \in \Sigma$ , contains all possible successor states of  $M$  when reading letter  $a$  while in state  $q$ , and the order of letters in that sequence defines a *total order on these successor states*. This definition allows the same state to appear more than once as a successor in  $\delta(q, a)$ .

Let  $M$  be as above. The computation tree  $T_M(w)$  of  $M$  on input  $w$  is a labeled directed rooted tree defined as follows:

- The root of  $T_M(w)$  is labeled  $(s, w)$ .
- Let  $v$  be a node in  $T_M(w)$  labeled by  $(q, x)$ , where  $x \neq \epsilon$  (the empty word),  $x = ay$  for  $a \in \Sigma, y \in \Sigma^*$ . Let  $\delta(q, a) = q_1q_2 \cdots q_k$ . Then  $v$  has  $k$  children in  $T_M(w)$ , and these are labeled by  $(q_1, y), (q_2, y), \dots, (q_k, y)$  in this order.

If we look at the tree  $T_M(w)$  and attach the symbol  $\beta(q)$  to a leaf in this tree with label  $(q, \epsilon)$ , then  $\text{leafstring}^M(w)$  is defined to be the string of symbols attached to the leaves, read from left to right in the order induced by  $\delta$ .

**Definition 4.** For  $A \subseteq \Gamma^*$ , the class  $\text{Leaf}^{\text{FA}}(A)$  consists of all languages  $B \subseteq \Sigma^*$ , for which there is a leaf automaton  $M$  as just defined, with input alphabet  $\Sigma$  and leaf alphabet  $\Gamma$  such that for all  $w \in \Sigma^*, w \in B$  iff  $\text{leafstring}^M(w) \in A$ . If  $C$  is a class of languages then  $\text{Leaf}^{\text{FA}}(C) = \cup_{A \in C} \text{Leaf}^{\text{FA}}(A)$ .

In [13] the acceptance power of leaf automata with different kinds of leaf languages was examined. It was shown that, with respect to resource-bounded leaf language classes, there is not much difference, e.g., between automata and Turing machines. On the other hand, if the leaf language class is a formal language class then the differences can be huge. In particular it was shown in [13] that  $\text{Leaf}^{\text{FA}}(\text{REG}) = \text{REG}$  while it is known that  $\text{Leaf}^{\text{P}}(\text{REG}) = \text{PSPACE}$ . In [13] the power of  $\text{Leaf}^{\text{FA}}(\text{CFL})$  was left as an open question. The only upper and lower bounds known are  $\text{CFL} \subsetneq \text{Leaf}^{\text{FA}}(\text{CFL}) \subseteq \text{DSPACE}(n^2) \cap \text{DTIME}(2^{O(n)})$ .

In [6] the class  $\text{Leaf}^{\text{FA}}(L)$  was logically characterized assuming that the language  $L$  has a neutral letter.

**Theorem 5 ([6]).** For any  $L \in \mathcal{N}$ ,  $\text{Leaf}^{\text{FA}}(L) = \text{mon-}Q_L^1\text{FO}$ .

We would like to use either Theorem 2 or Theorem 4 to show that the class  $\text{Leaf}^{\text{FA}}(\text{CFL})$  contains PSPACE-complete languages. Unfortunately, Theorem 2 does not apply because it assumes built-in arithmetic which is not allowed in Theorem 5. On the other hand, due to the change in the interpretation of quantifiers in Theorem 4, it is not clear that Theorem 5 holds in this case.

Recall that Greibach's hardest context-free language  $H$  is a so-called non-deterministic version of the Dyck language  $D_2$ , the language of all syntactically correct sequences consisting of letters for two types of parentheses. It is known that every  $L \in \text{CFL}$  reduces to  $H$  under some homomorphism [7]. It was shown in [10] that in Theorem 1 the logic  $Q_{\text{Grp}}\text{QFree}$  can be even replaced by  $Q_{\text{pad}(H)}\text{QFree}$ , where  $\text{pad}(H)$  is  $H$  extended by a neutral symbol. Therefore, we can similarly replace the logics  $\text{mon-}Q_{\text{Grp}}^1\text{FO}$  and  $\text{mon-}Q_{\text{Grp}}^*\text{FO}$ , in Theorems 2 and 4, by  $\text{mon-}Q_{\text{pad}(H)}^1\text{FO}(+, \times)$  and  $\text{mon-}Q_{\text{pad}(H)}^*\text{FO}$ , respectively.

We call a language symmetric if it is closed under permuting the letters of words. Note that if  $\text{pad}(H)$  happened to be symmetric, then we could use the proof of Theorem 4 to show that  $\text{mon-}Q_{\text{pad}(H)}^1\text{FO} = \text{mon-}Q_{\text{pad}(H)}^1\text{FO}(+, \times)$ . However, this assumption turns out not to be true, since a symmetric context-free language cannot be complete for all of CFL under homomorphisms. It can be even shown that symmetric context-free languages are contained in  $\text{TC}^0$ .

## 6 Conclusion

In this paper we have studied several monadic second-order logics with groupoidal quantifiers. Our collapse results partially address an open question in [6]. However, the main open question of that paper remains: What is the power of finite leaf-automata with context-free leaf languages? If one could prove equality between the two variants of semantics for second-order quantifiers, i.e.,

$$\text{mon-}Q_{\text{Grp}}^1\text{FO} = \text{mon-}Q_{\text{Grp}}^*\text{FO},$$

then it follows immediately from our results that such simple automata can even accept PSPACE-complete problems.

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