# Chapter 3

# Pathology of Salivary Gland Disease

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# **Core Features**

- Salivary gland lesions are rare and can be pathologically challenging due to their wide morphologic spectra.
- Non-neoplastic diseases
  - Acute and chronic non-autoimmune sialadenitis each have fairly characteristic pathologic features though they may have a variety of etiologic factors and pathogenetic mechanisms.
  - Autoimmune sialadenitis is largely comprised of the myoepithelial sialadenitis seen in Sjögren's syndrome, though several autoimmune diseases may manifest in the salivary gland. A complication may be B-cell lymphoproliferative disorders. A subset of chronic sclerosing sialadenitis belongs to this group of diseases.
  - Necrotizing sialometaplasia is a benign selflimited process that may histologically mimic squamous cell carcinoma or mucoepidermoid carcinoma. Subacute necrotizing sialadenitis is a relatively newly described related entity.
  - Sialadenosis is a manifestation of generalized metabolic disturbances while adenomatoid hyperplasia is often an incidental finding.
  - Salivary lymphoepithelial cysts of the salivary gland include salivary type, first branchial cleft cysts, and lymphoepithelial cystic disease of HIV. Dysgenetic polycystic disease is an extremely rare entity.
- Benign tumors
  - Pleomorphic adenomas are the most common benign tumors and have a broad histologic spectrum. These tumors can recur if incompletely excised and may rarely metastasize without having histologic features of malignancy.

- Basal cell adenomas are benign tumors with specific histologic patterns. The membranous type is associated with cylindromatosis gene (CYLD1) mutations, cutaneous syndromes, and can be multifocal and is more likely to undergo malignant transformation. Canalicular adenomas are minor salivary tumors that are clinicopathologically distinct from basal cell adenomas.
- Myoepitheliomas also have varied patterns and should show at most a few ducts.
- Warthin's tumor is linked to smoking and older age and is thus demographically distinct from other cystadenomas. Morphologically it has a characteristic lymphoid stroma resembling a lymph node.
- Oncocytoma and oncocytosis are benign tumors and tumor-like lesions comprised of solid nests of large polygonal cells with abundant granular eosinophilic cytoplasm that may occasionally mimic metastatic renal cell carcinoma.
- Sclerosing polycystic adenosis is a rare clonal proliferation that resembles fibrocystic disease of the breast, and though benign, may show changes resembling salivary duct carcinoma.
- Malignant tumors
  - Adenoid cystic carcinoma is a slow growing but relentless malignancy for which stage, histologic grade based on solid component, and p53 expression are important prognosticators. These characteristically overexpress c-kit.
  - Mucoepidermoid carcinoma is the most common salivary malignancy with three cell types (mucous, intermediate, and epidermoid).
    Most grading systems are three tiered and generally correlate with prognosis; mucoepidermoid carcinomas of the submandibular gland appear more aggressive than those of the parotid gland.

## **Core Features**

- Malignant mixed tumors can be subcategorized into carcinoma ex-pleomorphic adenoma, true malignant mixed tumor (carcinosarcoma), and metastasizing mixed tumor.
- Acinic cell carcinoma is a low-grade tumor that can rarely dedifferentiate into an aggressive high grade tumor.
- Epithelial-myoepithelial carcinoma is a rare biphasic low-grade neoplasm characterized by clear myoepithelial cells and small ducts and may mimic other clear cell lesions of the head and neck.
- Basal cell adenocarcinoma resembles its benign counterpart, basal cell adenoma, and is distinguished mainly by the presence of invasion, though ancillary studies such as immunoperoxidase stains for Ki 67, p53, bc1-2, and epidermal growth factor receptor may help in diagnosis.

- Myoepithelial carcinoma also resembles its benign counterpart and is separated mainly by the presence of invasion, mitoses, and necrosis. Nuclear pleomorphism may be a poor prognostic sign.
- Salivary duct carcinoma is a high grade carcinoma that expresses androgen receptor and her-2-neu. It can be confused with low-grade cribriform cystadenocarcinoma, a recently characterized entity that resembles a lowgrade mammary type ductal carcinoma.
- Rare malignant tumors include cystadenocarcinomas, large cell carcinoma, small cell carcinoma, and primary squamous cell carcinomas; all but cystadenocarcinomas behave in an aggressive fashion.

## Introduction

The major salivary glands are the paired parotid, submandibular, and sublingual glands. Several hundred smaller minor salivary glands are distributed throughout the mucosa of the oral cavity. Morphologically and functionally similar small aggregates of glands are also present throughout the nasopharynx, sinonasal tract, larynx, trachea, and bronchi. These have also been referred to as minor salivary glands, but are probably more correctly referred to as mucoserous glands. Embryologically they all arise from ingrowths of surface epithelium, are histologically similar, and are affected by the same diseases. The anlage of the parotid can be recognized by the fifth week of embryologic life, the submandibular by the sixth, and the sublingual by the seventh. The parotid is considered to be of ectodermal origin while the submandibular and sublingual glands take origin from endoderm. Origin from surface epithelium is the most likely explanation for the occasional finding of sebaceous glands in the major salivary glands. As the epithelial buds of the future salivary glands enlarge, elongate, and branch they develop lumens and their terminal portions expand into acini lined on the luminal side by a single layer of epithelial cells and on the abluminal side by a single layer of myoepithelial cells. The acini of the parotid gland are lined exclusively by serous cells which are packed with zymogen granules. The acini of the submandibular gland are also mainly serous, but also contain acini lined exclusively by mucous cells and others by serous and mucous cells. The mixed acini are lined by mucous cells with crescentic caps of serous cells known as demilunes of Gianucci. The sublingual gland while composed primarily of mucous acini also contains lesser numbers of serous and mixed acini. Minor salivary glands of the palate, retromolar trigone, and ventral tongue are predominately mucous while those of the lateral tongue, lips, and buccal mucosa are seromucous. Those associated with the circumvallate papillae are referred to as von Ebner's glands and are serous. The acini are drained by a series of ducts the smallest of which are the intralobular intercalated ducts. They are lined by a single layer of cuboidal epithelium and a layer of myoepithelial

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cells. These in turn drain into the striated ducts so named because of longitudinal infolding of the cell membranes which appears as striations under light microscopy. The striated ducts are also intralobular, but lined by a single layer of epithelium with eosinophilic granular cytoplasm. The intense granular eosinophilia is due to numerous mitochondria. Striated ducts typically lack myoepithelial cells, and are present only in the major salivary glands. Striated ducts drain into the interlobular ducts which are lined by pseudostratified columnar epithelium devoid of a myoepithelial layer. In the major salivary glands these ducts drain into major excretory ducts (Stensen's duct in the parotid gland, Wharton's duct in the submandibular gland, and Bartholin's duct in the sublingual gland) and the epithelium of these ducts changes to squamous as they exit through the oral mucosa. In addition, the sublingual gland also contains several accessory ducts known as Rivinus' ducts. As a result of late encapsulation during embryologic development the parotid gland, unlike the submandibular and sublingual glands, contains intraparenchymal lymph nodes. Late encapsulation is also responsible for the presence of salivary gland structures, usually ducts and less frequency acini, in intra- and periparotid lymph nodes [177, 197, 288, 385, 391, 462].

Lesions of salivary glands are not common but can be pathologically challenging due to their wide range of histologic patterns. Inflammatory and non-neoplastic conditions of salivary glands far outnumber neoplasms which account for less than 3% of all tumors of the head and neck. Approximately one in six parotid tumors, one in three submandibular tumors, and one in two minor salivary gland tumors will be malignant. While tumors of the sublingual gland are extremely uncommon, approximately 80% are malignant [43, 57, 184, 198, 539].

## Non-neoplastic Diseases

## **Acute Sialadenitis**

## **Clinical Features**

Acute sialadenitis may involve any salivary gland, though the major salivary glands, particularly the parotid, are most commonly affected [399, 462]. Causes may be bacterial or viral. This entity typically presents unilaterally with localized erythema, swelling, and tenderness in the affected region. Purulent exudate can often be expressed from the excretory duct orifices. Acute viral sialadenitis, in contrast, is preceded by prodromal symptoms including fever, myalgia, and headache and is typically bilateral [399, 462].

# Pathology

Grossly, for both acute bacterial and viral sialadenitis, the lobular architecture of the salivary gland is maintained, though the lobules may be expanded and friable with the color ranging from red to yellow. Areas of liquefaction indicative of abscess formation may be present. Cytomegalovirus (CMV) sialadenitis may show no gross abnormalities.

Microscopically, acute bacterial sialadenitis is characterized by acinar destruction with interstitial infiltrates comprised mainly of neutrophils. Multiple small abscesses with necrosis are common. Occasionally, the bacteria can be seen with special stains such as gram stain and acid fast stain, particularly in cases where antibiotics have not yet been administered. Acute viral sialadenitis is rarely examined histopathologically, but consists of a lymphocytic and monocytic infiltrate in the interstitium with vacuolar change in the acini. CMV sialadenitis shows minimal inflammation, but instead may show viral inclusions in both the acini and ducts [195].

#### Pathogenesis

Bacterial sialadenitis typically occurs as the result of salivary stasis and subsequent retrograde contamination of the salivary ducto-acinar units by oral flora [399, 515]. The parotid is thought to be more prone to bacterial infection since its secretions are predominantly serous and thus lack the protective constituents (IgA, sialic acid, lysozomes) seen in mucinous secretions of the other salivary glands [555, 556]. Causes of salivary stasis include postsurgical setting, dehydration, medical illness, advanced age, radiation, medications, neonatal setting, sialectasia, and sialolithiasis [394, 399, 473]. Bacterial sialadenitis is often polymicrobial. The most common bacterial isolate in acute sialadenitis is Staphylococcus aureus. Streptococcal species, most notably the Viridans streptococcal organisms, are also common, and more recently, the contributing role of anaerobic bacteria such as Bacteriodes, peptostreptococcal, and fusobacterial species has been recognized. Rarely, mycobacterial infection may present as an acute sialadenitis rather than a chronic granulomatous sialadenitis (see below) [91, 399, 462, 473, 515].

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Viral sialadenitis is typically systemic and can be most commonly attributed to the mumps paramyxovirus. Additional viral isolates include influenza, parainfluenza, Coxsackie A and B, Epstein-Barr, echovirus, and lymphocytic choriomeningitis virus [399, 462]. In the setting of human immunodeficiency virus (HIV), CMV and adenovirus have also rarely been implicated in acute viral parotitis [399].

### Prognosis

The prognosis is largely dependent on the patient's underlying disease and its successful treatment. For instance, the reported high mortality (20–50%) reflects the generally poor health status in patients with acute bacterial sialadenitis. A small number will progress to a chronic sialadenitis after resolution of the acute sialadenitis [399].

# **Chronic Non-autoimmune Sialadenitis**

## **Clinical Features**

Chronic non-autoimmune sialadenitis is clinically characterized by a variety of symptoms ranging from a long-standing swelling or mass to xerostomia and superinfection. It may be a result of sialolithiasis which most commonly occurs in the submandibular gland [399, 462]. Chronic sialadenitis, often resulting in xerostomia, is a common and dreaded complication of radiotherapy for head and neck malignant neoplasms [266, 565].

Chronic non-autoimmune sialadenitis is often attributable to a specific etiologic factor such as recurrent obstruction (i.e., sialolithiasis), irradiation, or granulomatous disease [195, 462]. A subset of cases, referred to as chronic recurrent sialadenitis or parotitis, based on the most common site of occurrence, are marked by recurrent painful episodes of swelling and expression of a gray or whitish flocculent material from the duct. Chronic recurrent sialadenitis typically occurs in children or young adults, and may be unilateral or bilateral [60, 462, 503]. Other rare categories of chronic non-autoimmune sialadenitis include infectious granulomatous disease [91, 195].

# Pathology

Chronic sialadenitis on gross examination ranges from unremarkable to a firm tan with expansion or atrophy of the lobular architecture depending on the degree of inflammation and chronicity. With sialolithiasis, stones may be grossly evident with associated obstructive changes of sialectasia and periductal fibrosis (Fig. 3.1a).



**Fig. 3.1:** Chronic sialadenitis from sialolithiasis. **a** Submandibular gland with a large sialolith (*arrow*), and mildly ectatic ducts with periductal fibrosis represented by the fibrous white lines radiating from the stone (gross image). **b** Chronic sialadenitis with periductal fibrosis and a mixed chronic inflammatory infiltrate around a large duct that shows mucinous metaplasia (H&E, 100×)

Mucus extravasation may be noted as well [195]. Radiation sialadenitis may show a fibrous white cut surface with involvement of adjacent soft tissue. When the residual lobules are noted, they may be attenuated with interstitial fibrosis.

Microscopically, the classic findings of chronic sialadenitis are a chronic inflammatory infiltrate composed of lymphocytes, plasma cells and macrophages, fibrosis, acinar atrophy, and mucous cell metaplasia of the ductal system (Fig. 3.1b) [462]. A common regenerative phenomenon is the presence of intercalated duct hyperplasia that may be diffuse or nodular. In sialolithiasis, dark calcific stone fragments are noted within the ducts which may have a concomitant squamous metaplasia. Infectious granulomatous sialadenitis ranges from the caseating granulomatosis seen in tuberculosis to foamy histiocytic aggregates seen in atypical mycobacterial infections. Intra- and periparotid lymph node involvement may be seen in the latter [319]. Acid fast stains will highlight the mycobacterial organisms.

# Pathogenesis

The predilection of sialoliths to form in the submandibular gland is thought to be a result of the alkaline pH and high mucin content of its secretions. The nidus for stone formation has been postulated to arise as a result of bacteria or organic debris [399, 462]. However, recent scanning electron microscopy and X-ray crystallography studies refuted this as a major cause, finding only hydroxyapatite crystals rich in calcium phosphates [326].

The mechanisms for radiation sialadenitis are not entirely understood, but recent T-cell subset evaluations suggest a possible immune-mediated component of injury [266, 565]. Tuberculous sialadenitis is rare; only 49 cases of tuberculous parotitis have been reported since 1966 [352]. In a review by van der Walt and Leake, tuberculous sialadenitis comprised 8/57 cases of granulomatous sialadenitis [590].

## Prognosis

The prognosis depends on the etiologic factor, if identified, and the severity of disease. While surgical treatment of chronic sialadenitis leads to resolution of symptoms of pain and prevention of superinfection with fistula or sinus tract formation [19], xerostomia, often seen in radiation sialadenitis, does not resolve [266, 565].

# **Autoimmune Sialadenitis**

## **Clinical Features**

The majority of autoimmune sialadenitides are in the histopathologic spectrum of myoepithelial sialadenitis (MESA), a term coined as early as 1972 by Donath and Seifert [158], also known as benign lymphoepithelial lesion. The clinical correlates are varied and include terms such as Mikulicz disease, sicca complex, Sjögren's syndrome, and chronic punctate sialadenitis [462].

Other systemic autoimmune diseases that can affect the salivary glands, usually the parotid include Wegener's granulomatosis, sarcoid, and Kimura's disease [383]. Recent evidence indicates that a subset of chronic sclerosing sialadenitides (Kuttner tumor) have an autoimmune etiology [332].

Myoepithelial sialadenitis may present as unilateral or bilateral enlargement of salivary glands, at any age with or without autoimmune disease, but most commonly manifests in females in their fifth to sixth decades as a reflection of the close association with Sjögren's syndrome. Sialograms show sialectasia which ranges from punctate to cavitary [462].

Sjögren's syndrome is an autoimmune exocrinopathy characterized by keratoconjunctivitis, xerostomia, and often other extrasalivary manifestations. It can exist as a primary disease or as a secondary disease associated with other systemic autoimmune diseases, typically rheumatoid arthritis and occasionally progressive systemic sclerosis [224].

Salivary gland involvement in Wegener's granulomatosis, characterized by upper and lower respiratory tract and renal disease, is rare with the parotid being most commonly affected [383]. Fauci et al. [206] report an incidence of less than 1% (n=158) in their experience. In contrast, salivary gland involvement in sarcoidosis is not infrequent ranging from 4% to 30%. A rare syndromic variant of sarcoidosis known as Heerfordt syndrome is characterized by uveitis, bilateral parotitis, and facial nerve palsy [383].

Kimura's disease occurs typically in young Asian males and is characterized clinically by painless lymphadenopathy of the head and neck region, including periparotid and intraparotid lymph nodes [383, 536]. Chronic sclerosing sialadenitis presents as a firm localized swelling of the salivary gland mimicking a neoplasm, most commonly involving the submandibular gland [462]. While originally categorized as a non-specific localized chronic sialadenitis, when excluding cases that are attributable to sialolithiasis or other localized obstruction, at least a subset present with autoimmune extrasalivary disease such as primary sclerosing cholangitis, idiopathic retroperitoneal fibrosis, and lymphoplasmacytic sclerosing pancreatitis warranting placement in the autoimmune sialadenitis category [323, 332].

# Pathology

The gross manifestations of myoepithelial sialadenitis are similar to chronic non-immune sialadenitis, ranging from a diffuse to a multinodular expansion. In some cases, the gross cut surface is a tan-pink reminiscent of a lymph node, however, the lobular architecture is maintained distinguishing this from lymphoma. Wegener's granulomatosis may show areas of liquefaction necrosis as a result of vasculitis. Sarcoidosis and Kimura's disease may show preferential enlargement of the intra/periparotid lymph nodes. Chronic sclerosing sialadenitis on gross examination resembles a salivary gland neoplasm and with a well-circumscribed tan-white appearance.

The histologic hallmark of MESA is the presence of epithelial-myoepithelial islands infiltrated by lymphocytes (Fig. 3.2). However, the process begins as a collection of lymphocytes centered on an intralobular duct. This lymphoid infiltrate, when a cluster of at least 50 lymphocytes per 4 mm<sup>2</sup> defines a lymphoid focus, is the basis for grading of labial minor salivary gland biopsies for the diagnosis of Sjögren's syndrome [139, 458]. As these lymphoid infiltrates progress, germinal centers may form, and acinar atrophy ensues. A proliferation of ductal epithelium-myoepithelium arises, obliterating duct lumina and eventually giving rise to classic epithelial-myoepithelial islands. A few studies suggest that these islands may not contain a myoepithelial component, but are composed of metaplastic intercalated ducts with an altered immunophenotype [309, 333].

Salivary glands in the setting of secondary Sjögren's syndrome due to progressive systemic sclerosis may show periglandular fibrosis without inflammation in addition to MESA [458]. Wegener's granulomatosis manifests as a classic triad of vasculitis, necrosis, and granulomatous inflammation, however, only 16% of cases with parotid involvement have the complete triad [383]. Sarcoid is characterized by tight epithelioid granulomas and a lymphoid infiltrate. Biopsies of salivary glands in the lip for the diagnosis of sarcoid show granulomas in 53% of cases [442].

Chronic sclerosing sialadenitis bears a remarkable similarity to lymphoplasmacytic sclerosing pancreati-

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Fig. 3.2: Myoepithelial sialadenitis (MESA) with a florid lymphoid infiltrate and prominent epithelial myoepithelial islands permeated by lymphocytes (H&E, 100×)

tis [323]. It is characterized by periductal fibrosis with a dense lymphoplasmacytic infiltrate with lymphoid follicles. Eosinophils are variably present. Kitagawa et al. demonstrated the presence of obliterative phlebitis in all cases as demonstrated by elastic stain [332].

#### Pathogenesis

While Sjögren's syndrome often has a characteristic serology, including anti SS-A, anti SS-B, and occasionally ANA and rheumatoid factor, the pathogenesis of the disease is not entirely clear and is multifactorial as with most autoimmune diseases [224]. In addition to HLA-DR associations, recent studies suggest that polymorphisms in genes, such as minor histocompatibility antigen HA-1, and TNF may play a role in the disease process. Humoral dysregulation and B-cell hyperactivity is thought to be another pathogenic mechanism for Sjögren's syndrome [224, 277].

Similarly, though the serologic manifestations are well known, the pathogenesis of Wegener's granulomatosis, sarcoidosis, Kimura's disease, and chronic sclerosing sialadenitis are not well understood. The classic serologic manifestation of Wegener's granulomatosis is a positive C-ANCA. Sarcoidosis often manifests with hypergammaglobulinemia as well as non-serologic laboratory abnormalities such as hypercalcemia, elevated alkaline phosphatase, and angiotensin-converting enzyme levels. Kimura's disease is characterized by elevated IgE levels and eosinophilia, while the association of chronic sclerosing sialadenitis with elevated IgG4 levels has been noted recently [323, 332, 383].

As with most sialadenitides, the prognosis is related to the severity and treatment of the underlying disorder [75]. Severe recurrent parotitis is amenable to surgical treatment [19]. Of note, patients with Sjögren's syndrome have a forty-fold increased risk for development of small B-cell lymphomas of mucosa-associated lymphoid tissue (MALT) type [224].

#### **Special Considerations**

## Myoepithelial Sialadenitis and Mucosa-associated Lymphoid Tissue (MALT) Lymphomas

The majority of salivary gland lymphomas are of the MALT type and arise in the setting of MESA. This association was noted as early as 1971 by Azzopardi and Evans [31]. The transition from MESA to MALT lymphoma is postulated to occur as a result of antigenic stimulation, though unlike gastric MALT lymphoma, the etiologic agent (*H. pylori* in gastric MALT lymphoma) has not been identified [32].

Histologic distinction of MESA from early MALT lymphoma is obfuscated by the detection of clonal proliferations in otherwise typical appearing MESA [214, 337]. These MESA clones have a limited VH repertoire even among different patients, suggesting antigenic stimulation from a common epitope [32]. While these clones may eventually give rise to MALT lymphoma, clonality in the setting of typical or even atypical MESA without a significant mass lesion does not indicate lymphoma. The earliest histologic changes of MALT lymphoma are the presence of "halos" of monocytoid or centrocytelike B cells that are slightly paler on low power magnification [301]. These coalesce into sheets and eventually form mass lesions. Involvement of intra- and periparotid lymph nodes is often seen.

Immunohistochemical and flow cytometric analysis show a population of B cells that are CD19 positive, CD20 positive, CD5 negative, CD10 negative, and CD23 negative. CD43, typically seen on T cells may be aberrantly expressed. MALT lymphomas will often but not always show light chain restriction [1]. Mucosa-associated lymphoid tissue lymphomas have several associated characteristic translocations and trisomies though the frequencies of these vary by site. In the salivary gland, the most common translocation is t(14;18)(q32;q21) which is the fusion of IGH/MALT1, while trisomy 3 was the most common alteration overall. The significance of these alterations is unclear at this point [552].

## Myoepithelial Sialadenitis and Carcinoma

In rare instances, MESA can have malignant transformation of the epithelial component. Carcinomas arising in MESA are typically lymphoepithelial carcinomas resembling undifferentiated non-keratinizing nasopharyngeal carcinoma, though keratinizing squamous cell carcinomas have been described [44, 89, 428]. Lymphoepithelial carcinomas of the parotid, akin to their nasopharyngeal counterparts, are often associated with Epstein-Barr virus (EBV) infection. Wu et al. demonstrated the presence of EBV small RNA-1 (EBER-1) in MESA with carcinomatous transformation in both the benign and malignant areas, while typical MESA was negative for EBER [620].

## **Necrotizing Sialometaplasia**

## **Clinical Features**

Necrotizing sialometaplasia (NSM), originally described by Abrams et al. in 1973 [3] is a benign self-healing salivary gland lesion that can mimic malignancy. NSM typically occurs in the fourth decade (mean age 45.9 years) with a male predilection (male:female ratio 1.9:1) [85, 222, 311, 462]. Although the palate is the most frequently involved site, NSM has been described in mucoserous glands throughout the upper and lower respiratory tract [85, 380, 484, 630]. The usual presentation is that of a single unilateral ulcer at the junction of the hard and soft palate [85, 222, 462]. Roughly 10% are bilateral, and 15% are asymptomatic [85].

## Pathology

Grossly, NSM is typically a well-demarcated, raised, erythematous ulcer. The underlying salivary tissue is a soft friable gray tan [195, 462]. In a review of 184 cases, the mean size was 1.9 cm (range 0.7-5.0 cm) [85]. The defining microscopic features are: ulceration with pseudoepitheliomatous hyperplasia of the adjacent mucosa, infarction of the salivary lobule, with mucus extravasation, varying degrees of fibrosis, and squamous metaplasia of the ductoacinar units (Fig. 3.3a, b). The latter may be prominent and thus misread as mucoepidermoid carcinoma or squamous cell carcinoma. However, the key distinguishing feature of NSM from the malignant lesions that it may mimic is the maintenance of the lobular architecture despite the often extensive squamous metaplasia. On small biopsy specimens, this may be difficult to assess. Though a high index of suspicion of this lesion is the main requirement for its diagnosis in such a situation, a recent study suggests that NSM in contrast to squamous cell carcinoma does not show evidence of immunohistochemical staining for p53, Ki-67, and bcl-2 and thus may be a useful adjunct for distinguishing between these lesions [418]. It is very important to have an accurate diagnosis of NSM to avoid unnecessary surgery.

## Pathogenesis

ducts (H&E, 100×)

The classic NSM is thought to be the result of spontaneous infarction of mucoserous glands. However, a clinically and histologically identical picture is seen as a result of surgery, radiation, trauma, or vasculitis among other

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distortive injuries [26, 487]. The self-limiting nature and histologic features suggest vascular compromise with ischemic injury and subsequent regeneration as the main pathogenic mechanism [85, 462]. The occasional association with repeated emesis seen in bulimia and other disorders raises the possibility of chemical injury as a contributing factor [4, 496].

## Prognosis

Prognosis is excellent. NSM is a self-limiting lesion lasting from a few days to a few months and does not recur [85].

# **Subacute Necrotizing Sialadenitis**

# **Clinical Features**

Subacute necrotizing sialadenitis (SANS) is a recently described idiopathic reactive process of minor salivary glands with only 26 cases reported in the literature [106, 222, 371, 588, 612]. SANS shares several clinical and pathologic features with NSM.

In contrast to NSM, SANS occurs typically in the second decade. However, similar to NSM, SANS typically occurs as a painful mass of the palate, though they are non-ulcerated in contrast to NSM. The male predominance is more pronounced (approximately 3:1) though

Fig. 3.3: Necrotizing sialometaplasia. a Palate with ulcerated squamous mucosa (left) and necrotic mucous acini (right) showing loss of cellular detail and focal extravasation of mucin (arrow) (H&E, 20×). b Squamous metaplasia or "sialometaplasia" is noted in the



this may reflect sampling bias, as many of these cases were obtained from a military population [222, 612].

# Pathology

Grossly, these are non-ulcerated submucosal masses ranging from 0.3 to 2.5 cm. The histologic criteria put forth by Werning et al. [612] are: acinar cell necrosis and loss, and a mixed inflammatory infiltrate comprised of neutrophils, eosinophils (occasionally prominent), and plasma cells. In contrast to NSM, SANS does not show squamous metaplasia or fibrosis. Ultrastructurally, dense particles have been identified in the acini that either represent viral particles or lysosomes [222, 612].

## Pathogenesis

The etiology of SANS is unclear. Because of similar clinical and pathologic characteristics, SANS is viewed by some as a variant of NSM [371]. However, the association in a significant number of patients with upper respiratory tract infections, close living quarters, and a winter month predilection raises the possibility of a viral etiology. Further in support of this is the presence of dense viral-like particles ultrastructurally in the acini in several cases [222].

## Prognosis

As in NSM, prognosis is excellent. SANS is also a self-limiting disease with an even more rapid course than NSM; all cases reported resolved within 4 weeks [222, 371, 588].

## Sialadenosis

# **Clinical Features**

Sialadenosis is a diffuse enlargement of salivary glands described in several conditions. Sialadenosis usually involves the parotid glands, and less commonly the submandibular glands [121, 157, 462]. Minor salivary gland involvement has also been reported [408]. It is frequently bilateral and has an equal sex distribution. It is typically painless and the enlargement may impart a striking facial appearance. Causes can be categorized as: nutritional (alcoholism, cirrhosis, eating disorders, kwashiorkor, and pellagra), endocrine (diabetes mellitus, thyroid disease, gonadal dysfunction), and neurochemical (vegetative state, lead, mercury, iodine, thiouracil, isoproterenol) [157, 462].

# Pathology

Grossly, the salivary glands are unremarkable except for enlargement. Histologically, sialadenosis is a mixture of acinar hypertrophy and fatty infiltration. Morphometric studies have shown an increase in mean acinar cell diameter (approximately 75 microns) as compared to normal (approximately 50 microns) [267]. Additionally zymogen granules are increased in number and size by light and electron microscopy [160]. Most importantly, no inflammation or fibrosis is identified, distinguishing sialadenosis from the sialadenitides. While amyloidosis may present with diffuse enlargement of the salivary glands, histologically, there will be interstitial fibrosis with the characteristic pale amyloid deposition that can be demonstrated with a Congo red stain [195].

## Pathogenesis

Despite the variety of predisposing conditions, sialadenosis is thought to be a neurosecretory disorder. Ultrastructural and animal experimental studies point to a disturbance in the autonomic innervation of the salivary glands as the initiating factor for sialadenosis [121, 123, 157, 160, 161].

## Prognosis

The persistence of sialadenosis is dependent on the severity and duration of the underlying disease. However, there is usually little morbidity associated with the condition itself; most surgical treatment is performed for cosmetic purposes.

# Adenomatoid Hyperplasia

## **Clinical Features**

Adenomatoid hyperplasia is a proliferation of the mucous acini of minor salivary glands. The incidence of this le-

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sion is likely higher than reported in the literature [16, 39, 86, 95, 462]. Adenomatoid hyperplasia typically presents as a painless mass located on the hard and soft palate in up to 95% of cases [39]. Rarely, adenomatoid hyperplasia is located on the retromolar trigone [86, 116]. While it can present at any age it is more common in the fourth decade with a slight male predominance. The typical clinical concern is that of a benign salivary gland tumor [462]. We have occasionally noted these lesions in uvulo-palatopharyngoplasty specimens suggesting their role in some cases of obstructive sleep apnea.

## Pathology

Grossly, these lesions range from 0.5 to 3 cm [462]. They are usually non-ulcerated well-circumscribed masses. Histologically, the lesion is comprised of expanded lobules of mucous acini. Occasionally, there is mucus extravasation, but without an inflammatory reaction. The overlying mucosa is unremarkable.

## Pathogenesis

The pathogenesis is unclear. While Arafat et al. [16] raise the possibility of a hamartomatous origin to this lesion, Barrett and Speight [39] report 14 of 20 (70%) cases to be associated with dentures and/or tobacco suggesting a localized response to trauma. But this apparent association may be the result of sampling bias since the most common clinical setting for the detection of adenomatous hyperplasia is during dental examination [462].

## Prognosis

Prognosis is excellent. Recurrences are not seen after excision.

## Salivary Duct Cysts

#### **Clinical Features**

Salivary duct cysts are also known as sialocysts, simple cysts, and retention cysts. Unlike mucus extravasation phenomenon, salivary duct cysts are true cysts with an epithelial lining [56]. Salivary duct cysts are the most

# common salivary cysts, comprising about 1.5% of all salivary gland disease in one study [507].

# Pathology

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These cysts are unilocular and may grossly contain tan serous to gray mucoid material. Long-standing cysts can develop sialoliths. Histologically, these cysts are lined by a cuboidal, columnar, or squamous epithelium. Oncocytic metaplasia may be seen in older individuals. However, these cysts are not associated with lymphoid elements [462].

## **Pathogenesis**

Sialocysts usually occur secondary to obstruction from various causes [56, 195, 462].

## Prognosis

Prognosis is excellent. Rare complications include superimposed infections. Recurrences are rare and result from incomplete excision [462].

# Lymphoepithelial Cysts

## **Clinical Features**

Lymphoepithelial cysts refer to a group of lesions that include true first branchial cleft cysts, salivary-type lymphoepithelial cysts, and HIV-associated sialadenitis, which may also have overlap with MESA.

True branchial cleft cysts typically present shortly after birth or in childhood though they may not be symptomatic until adulthood. They usually present as a painless unilateral mass near or occasionally in the parotid gland, often with associated sinus tracts and fistulae. Superinfection may cause pain [454, 462, 575].

Salivary duct derived lymphoepithelial cysts rarely present at birth and are not associated with sinus tracts or fistulae. HIV-associated lymphoepithelial cysts have become increasingly common, comprising up to 60% of parotid lymphoepithelial cysts [564]. These tend to be bilateral and associated with lymphadenopathy. The incidence of parotid gland involvement in HIV is about 3–5% [307]. Occasionally these may be the presenting symptom of HIV infection [390]. While the parotid gland is the most frequent site for HIV-associated lymphoepi-thelial cysts, cysts in the submandibular glands have also been described [262].

# Pathology

True first branchial cleft cysts of the parotid region can be divided into type I and type II. Type I anomalies are considered reduplication of the ectodermal component of the ear canal while type II anomalies also include mesodermal components as well [18, 212, 454]. The type II anomalies are more closely associated with the parotid parenchyma [454, 462]. Grossly, these cysts are adjacent to or in the parotid gland and contain cloudy to cheesy material representing keratinaceous debris. In type II anomalies, the cyst wall may grossly contain cartilage. Histologically, branchial cleft cysts will contain a combination of squamous and ciliated respiratory type epithelium. Type II lesions will also contain skin adnexal structures and cartilage. Both types may have lymphoid tissue with germinal centers in the periphery.

Lymphoepithelial cysts may have a nodular inner lining that grossly represents lymphoid tissue. Salivary type lymphoepithelial cysts are lined by a thin squamous or columnar epithelium, and are surrounded by a prominent lymphoid infiltrate with all the architectural features of a lymph node including sinusoidal spaces and primary and secondary germinal centers [56, 467]. Lymphoepithelial cysts in HIV often contain epithelial-myoepithelial islands seen in MESA, and an additional finding is that of multinucleated giant cells [169, 595]. Immunohistochemical detection has identified p24 antigen in the macrophages and giant cells seen in HIV-associated lymphoepithelial cysts [93, 347, 386, 583, 595]. The lymphoid infiltrate differs from that in non-HIV-associated lymphoepithelial cysts and MESA in that it is comprised largely of CD8<sup>+</sup> lymphocytes [118, 382].

## Pathogenesis

True branchial cleft cysts in the parotid region are derived from the first branchial pouch and are duplication defects. While, initially, the term branchial cleft cyst was used interchangeably for all parotid lymphoepithelial cysts [551, 608], this term has now been reserved only for those cysts that have clinical or histologic features of first branchial pouch derivatives, e.g., early age of onset, presence of sinuses or fistulae, ciliated respiratory epithelium, and the presence of mesodermal elements in type II anomalies. The presence of salivary inclusions within the lymphoid stroma of some lymphoepithelial cysts, as well the documentation of a high amylase content in cyst fluid suggest that most lesions called lymphoepithelial cysts are of the salivary type [230, 467]. These cysts are thus thought to represent cystic dilation of salivary inclusions of the intra- and periparotid lymph nodes.

Human immunodeficiency virus-associated lymphoepithelial cysts are also thought to arise from cystification of salivary elements. However, the histologic spectrum along with three-dimensional reconstruction of 100 parotid lesions [308] suggest that these cysts are cystic dilation of the native ductal system of the salivary gland in the setting of MESA-type lesion akin to that seen in autoimmune disease rather than dilatation of salivary gland inclusions within a lymph node [307, 308].

## Prognosis

The recurrence rate for all types of lymphoepithelial cysts is low to absent, however, branchial cleft cysts are more likely to recur than the others, and must be completely excised [18, 212].

## **Dysgenetic Polycystic Disease**

### **Clinical Features**

Dysgenetic polycystic disease is an extremely rare salivary gland disease with only 15 cases reported in the literature [45, 156, 211, 235, 396, 456, 511].

Dysgenetic polycystic disease occurs almost exclusively in the parotid glands of women with an age range of 6–65 years [235]. Only one case has been documented in the submandibular gland of a man [456, 511]. Usually this disease is bilateral, but unilateral disease has been documented [45, 156, 211, 235, 396, 456, 511]. The typical presentation is that of a non-tender mass [211, 511, 534].

# Pathology

Grossly, these lesions present as spongy masses of the involved salivary gland. Histologically, there is variable replacement of the parenchyma by cysts of various size and shape. The epithelium is attenuated and cuboidal, and the cyst wall is delicate with minimal fibrosis or inflammation. Occasional invaginations and tufting of epithelium may be seen. The lumina may contain concentric eosinophilic secretions [534].

## Pathogenesis

Dysgenetic polycystic disease is thought to be a result of some type of embryologic insult. Though it bears similarities to polycystic disease of other organ sites, such as kidney and liver, this entity has not been associated with extraparotid manifestations. At least three cases have a familial association, though the responsible genetic alteration has not yet been identified [198, 201, 492, 539].

# Prognosis

This entity is sufficiently rare as to preclude definitive prediction of behavior. To date, recurrence has been described only occasionally [198, 539].

## **Benign Tumors**

# **Pleomorphic Adenoma**

## **Clinical Features**

Pleomorphic adenoma, also known as benign mixed tumor, is the most common tumor of salivary gland origin, accounting for about 60% of all salivary tumors in large series [298]. Up to 80% occur in the parotid gland, 10% in the submandibular glands, and the rest in the minor salivary glands, throughout the upper and lower aerodigestive tract [449, 508, 624]. While most occur in the lower pole of the superficial lobe, the deep lobe can be involved. Extensions of deep lobe pleomorphic adenomas are the most common tumors of the parapharyngeal space constituting 40% of tumors in this region [198, 201]. Bilateral occurrences of pleomorphic adenoma are rare [201, 462].

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Pleomorphic adenomas can occur in any decade, but the mean age is 46 years with a slight female predilection [96, 291, 329]. The typical presentation is that of a painless, slowly growing mass.

## Pathology

Grossly, pleomorphic adenomas are typically well-circumscribed ovoid masses. They usually range from 2 to 5 cm in greatest dimension [559], though tumors as large as 50 cm in diameter, weighing over 6 kg have been reported [354, 509, 527]. Larger tumors often have a bosselated surface and may distend overlying skin and cause erosion of bone and remodeling deep to the tumor. In the major glands, they usually have a complete capsule, while in the minor glands they may not. Pleomorphic adenomas usually show a lobulated glistening gray tan cut surface representative of the chondromyxoid stroma characteristic of this tumor (Fig. 3.4a). Areas with less chondroid appear as a homogeneous white tan color. Larger tumors may have areas of calcification/ossification, hemorrhage, and necrosis. Such areas of heterogeneity should be sampled to exclude malignant degeneration. Satellite nodules in primary tumors are rare, but are typical of recurrent tumors.

Histologically, pleomorphic adenoma, as with many salivary tumors, is a biphasic tumor comprised of epithelial (ductal) and myoepithelial cells. It is named for its various histologic patterns or "pleomorphism," rather than actual cellular atypia. The myoepithelial component often predominates with spindled to ovoid cells with wispy pink cytoplasm embedded in a pale chondromyxoid blue gray stroma. These myoepithelial cells are often seen streaming off the ductal component blending into the stroma (Fig. 3.4b). A unique pattern within areas of myoepithelial predominance is a palisaded growth resembling that seen in schwannoma [111]. Cartilaginous differentiation is often seen. Occasionally, osseous metaplasia and even lipomatous metaplasia may be present [201]. Tyrosine-rich crystals are occasionally seen within the chondroid stroma [145, 201].

The tumors can show various epithelial differentiation such as oncocytic, squamous, mucinous, and sebaceous metaplasia, and can be mistaken for squamous cell carcinoma or mucoepidermoid carcinoma, if the overall architecture and configuration is not taken into consideration [445]. The proportions of epithelial and myoepithelial

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**Fig. 3.4:** Pleomorphic adenoma. **a** A small well-circumscribed tumor of the tail of the parotid showing a homogeneous glistening gray-tan cut surface (gross image). **b** An encapsulated pleomorphic adenoma with ducts (*top*) and nodular areas of chondroid differentiation (*arrow*) (H&E, 40×). **c** Cellular pleomorphic adenoma with back-to-back ducts lined by myoepithelial cells almost resembling epithelial-myoepithelial carcinoma. Focal squamous metaplasia is present (*small arrows*) (H&E, 100×)

cells vary greatly. Myoepithelial-rich pleomorphic adenomas may be indistinguishable from myoepithelioma if the ductal component is not found. At the other end of the spectrum, cellular pleomorphic adenomas, which contain tubulotrabecular proliferations of ducts and myoepithelial cells with minimal stroma can be mistaken for malignant tumors such as epithelial-myoepithelial carcinoma and adenoid cystic carcinoma if only limited biopsy material is available (Fig. 3.4c).

Immunohistochemically, the ductal components are positive for various cytokeratins: 7, 8, 14, and 19, typically of low molecular weight. The myoepithelial cells are positive for p63, smooth muscle actin, calponin, vimentin, muscle-specific actin, and variably for S-100 protein and glial fibrillary acidic protein (GFAP) [629]. GFAP, though not a sensitive myoepithelial marker, has the benefit of highlighting areas of chondroid stroma, which can distinguish pleomorphic adenoma from other similar histologic types [297]. Similarly, bone morphogenic proteins and type II collagen are expressed in these areas as well [97, 388]. While immunostaining for S-100 also highlights the stromal component, it may also stain some ductal areas [97].

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#### Pathogenesis

Roughly 70% of pleomorphic adenomas have cytogenetic alterations that likely play a central role in tumorigenesis, and can be stratified into four groups: those with 8q12 rearrangements, those with 12q13-15 rearrangements, those with miscellaneous clonal changes, and those that are karyotypically normal [325, 598]. Bullerdiek et al. [597] note that the karyotypically normal individuals are usually a decade older than those with 8q12 rearrangements.

The 8q12 abnormalities are typically translocations involving the PLAG1 gene, juxtaposing this zinc finger gene with a ubiquitously expressed translocation partner gene. The two most common translocations, t(3;8) (p21;q12) and t(5;8) (p13;q12), result in CTTNB1-PLAG1 and LIFR1-PLAG1 fusions, respectively [240, 241]. PLAG1 alterations result in increased IgF2 expression, which is likely contributory to the development of pleomorphic adenoma [5]. The 12q13-15 abnormalities are also usually translocations, involving the HMGA2 (HMGIC) gene that encodes a transcription factor involved in the modulation of DNA structural conformation. The most common alterations are ins(9;12) and t(3;12), which result in HMGA2-NFIB and HMGA2-FHIT fusions, respectively [189].

Interestingly, a t(3;12) was reported in two cases (siblings) of familial pleomorphic adenomas [28, 242, 289, 356, 479]. The aforementioned translocations are also implicated in radiation-associated pleomorphic adenomas [242].

## Prognosis

While pleomorphic adenoma is a benign tumor, it has the capacity to recur and to undergo malignant transformation. Recurrence rates range from 0.8% to 6.8% in large series with long-term follow-up [287]. While complete excision is required to ensure a favorable outcome, preservation of anatomic structures is also a major concern. Ghosh et al. [102, 477] argue that the recurrence rate is low (1.8%) even with tumor less than 1 mm from the margin. Capsular rupture and subsequent tumor spillage may play a role in the recurrence of pleomorphic adenoma, however, Henriksson et al. [78, 247, 610] report that the presence of pseudopodia or capsular infiltration was a more significant predictor of recurrence. Recurrent pleomorphic adenomas have a higher likelihood for second recurrence of about 6–15%. Uninodular recurrences have a better outcome than multinodular recurrences [78, 247, 610].

Rarely, a histologically benign pleomorphic adenoma can metastasize and behave like a low-grade malignancy, however, there are no features that can predict this rare occurrence. However, many of these tumors metastasized after at least one initial local recurrence, suggesting the possibility that altered anatomy secondary to surgery gave access to vascular and lymphatic channels [24]. As many as 40% of patients with metastasizing pleomorphic adenomas die with disease [133, 429, 626]. True malignant transformation is rare as well. Clinical features predictive of malignant transformation are age, tumor size, and a long history of a mass in the parotid gland and submandibular location. The presence of hyalinized stroma is the most predictive histologic parameter for malignant transformation [462, 626].

# **Basal Cell Adenoma**

#### **Clinical Features**

Basal cell adenomas tend to occur over the age of 50 years with a slight female predilection of 2:1 [199]. They usually occur in the parotid (75%) or submandibular gland (approximately 5%) [626]. These lesions are quite rare in the minor salivary glands when excluding canalicular adenomas which were previously categorized with basal cell adenoma [623, 625]. They typically present as a slowly growing solitary painless mass [462]. A special variant of basal cell adenoma, the membranous type (dermal analog tumor) can be associated with multiple trichoepitheliomas and cylindromas (Brooke-Spiegler syndrome) [429].

## Pathology

Grossly, these tumors are a well-circumscribed, solid homogeneous gray-white to tan-brown occasionally mimicking an enlarged lymph node. Rarely, cystic change may be seen grossly [625]. Most tumors are less than 2 cm in diameter [142]. Membranous type basal cell adenomas can be multifocal [429].

Despite the archaic term for these tumors, "monomorphic adenomas," basal cell adenomas can show a va-

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riety of histologic patterns: tubular, trabecular, cribriform [141], solid, and membranous. Other than the membranous type, these morphologic patterns have no clinical significance. The common feature to all of these tumors is a "basaloid" morphology to the tumor, namely a proliferation of small dark cells that have a peripheral cuboidal to columnar layer with varying degrees of stratification and palisading. These tumors are reminiscent of cutaneous epidermal and adnexal tumors such as basal cell carcinoma, trichoepithelioma, and cylindroma. Microscopic cystic change is fairly common, present in as many as 65% of tumors, predominating in the tubular/trabecular patterns [622, 625]. Within the center of these tumors, various lines of differentiation can be seen ranging from squamous to sebaceous to mucinous. Basal cell adenomas may have a myxoid or hyaline stroma, and rarely a myoepithelial-rich cellular stroma [606]. However, in contrast to pleomorphic adenoma, the stroma, regardless of cellularity, is distinct from the basaloid proliferation, with no intermingling. Mitoses are rare to absent, as is necrosis, and there is no infiltration of surrounding tissue or perineural invasion, which distinguishes basal cell adenomas from their malignant counterparts, basal cell adenocarcinomas.

The tubular pattern is composed of ductal structures surrounded by a cuboidal to palisaded basal layer, while the trabecular pattern consists of ribbons or cords of cells traversing a myxoid or hyaline stroma resembling a canalicular adenoma in areas (Fig. 3.5a). The solid and cribriform variants consist of broader nests or islands of cells



Fig. 3.5: Patterns of basal cell adenoma (all H&E, 100×): **a** tubulotrabecular, **b** solid, **c** cribriform, and **d** membranous or dermal analogue type. *Inset* for **d** a focus of striated duct hyperplasia adjacent to the main tumor

#### **Pathology of Salivary Gland Disease**

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with peripheral palisading, and "cookie cutter" holes in the latter (Fig. 3.5b, c). This latter variant can be confused with adenoid cystic carcinoma. However, the cribriform basal cell adenoma does not infiltrate the surrounding tissue like adenoid cystic carcinoma. Additionally, though often small and dark, the nuclei in this subtype are more vesicular and less angulated than adenoid cystic carcinoma nuclei.

The membranous type of basal cell adenoma consists of nests of cells arranged in a "jigsaw" pattern identical to cutaneous cylindromas. Also similar to cylindroma, is the abundance of hyaline droplets within the nest, occasionally resembling adenoid cystic carcinoma, though the hyaline droplets of membranous basal cell adenomas are smaller, and the nests are more rounded. The surrounding salivary tissue of membranous basal cell adenomas seen in syndromic and multifocal lesions may show areas of striated duct hyperplasia (Fig. 3.5d *inset*) with a focally basaloid appearance representing precursor lesions and explaining the multifocality seen in some of these distinct subtypes of basal cell adenoma [627].

Immunohistochemically, these tumors are strongly and diffusely positive for p63 a progenitor cell marker that is expressed in basal and myoepithelial cells [436]. These tumors may also show varying degrees of true myoepithelial differentiation, staining positively for various smooth muscle markers [296, 519]. Nagao et al. were able to use a combination of a mitotic activity of less that 4 per 10 high power fields along with a low proliferation index by Ki-67 immunostaining, low apoptotic index by TUNEL labeling, and negativity for biomarkers p53, and EGFR to effectively separate basal cell adenomas from basal cell adenocarcinomas [125].

# Pathogenesis

For most sporadic basal cell adenomas, the pathogenesis is unclear. Cytogenetic alterations have been only rarely characterized in non-membranous basal cell adenomas, with a trisomy 8 and chromosome 13 alterations being the major abnormalities described [576]. With regards to membranous basal cell adenomas, alterations at the CYLD1 gene locus at chromosome 16q12-13 are seen in both sporadic and familial/syndromic cases, suggesting that this alteration is vital to this subtype's pathogenesis [378]. CYLD1 is a deubiquitinating enzyme whose loss of activity correlates with activation of the NF-kB pathway, hinting at a mechanism of tumorigenesis [375].

## Prognosis

Basal cell adenomas have a low rate of recurrence, except for the membranous subtype, which may recur in about 25% of cases. Because of the propensity for multifocality in membranous basal cell adenomas, some of these cases may represent separate tumors rather than true recurrences [179]. Malignant transformation is rare, again favoring the membranous type of basal cell adenoma [137, 179, 210].

# **Canalicular Adenoma**

#### **Clinical Features**

Canalicular adenoma, previously categorized with basal cell adenoma, is a rare tumor comprising less than 1% of all salivary tumors [137, 179, 210]. The mean age is 65 years with a female predilection (ratio: 1.8:1) [179, 553]. The anatomic distribution of canalicular adenomas is distinctive, with a marked tendency to involve minor salivary glands of the upper lip in about 80% of cases. The second most common site is the buccal mucosa, where these tumors occur in almost 10% of cases [210]. The remainder of cases occur in the palate [486], and only rarely in the parotid gland [179]. They typically present as painless, slowly growing submucosal nodules. Rarely, multiple/multifocal canalicular adenomas can occur and present clinically with as many as 13 discrete masses, typically occurring in the upper lip and buccal mucosa [462].

## Pathology

Grossly tumors are well circumscribed and range from 0.5 to 2.0 cm [209]. They are a homogeneous tan to yellowtan. Cyst formation is common [627]. Histologically, at low power magnification, these tumors show a characteristic beaded appearance of thin anastomosing cords of cells embedded in a loose paucicellular myxoid stroma with prominent vascularity (Fig. 3.6). On higher magnification, the cords are comprised of two rows of bland monomorphic columnar cells with some stratification. The palisading columnar cells of canalicular adenomas resemble the basal layer of tubular/trabecular basal cell adenomas, however, there are no other cell morphologies as seen in the latter. Additionally the appearance of the tumor cords "floating" in a loose vascular stroma is characteristic and only focally, if ever, seen in basal cell adenoma.



**Fig. 3.6:** Canalicular adenoma with thin cords of columnar epithelium streaming through a myxohyaline stroma (H&E, 100×)

Immunohistochemically, these tumors are uniformly positive for vimentin, S-100, and cytokeratins and only rarely for GFAP [164]. Muscle markers such as smooth muscle actin, calponin, and smooth muscle myosin heavy chain are uniformly negative suggesting a pure ductal epithelial differentiation [164]. Furthermore, canalicular adenomas are negative for p63, which is a key distinguishing factor immunophenotypically from the strongly p63-positive basal cell adenomas/carcinomas [328].

Polymorphous low-grade adenocarcinoma can be in the differential diagnosis of canalicular adenoma, but as its name suggests, polymorphous low-grade adenocarcinoma has a greater variation in growth pattern and cell type, and will show areas of infiltration and often perineural invasion, though distinction may still be admittedly difficult on small biopsy. Additionally, multifocality of canalicular adenomas may be mistakenly interpreted as infiltration. Both polymorphous low-grade adenocarcinoma and canalicular adenoma are immunophenotypically very similar, though p63 can often be positive in the former [210].

## Pathogenesis

The pathogenesis is not well understood, though the presence of multifocality in some cases suggests a field effect [179, 367].

# Prognosis

The prognosis is excellent and recurrences are extremely rare. Additionally, some of these recurrences may represent separate tumors [36, 179, 498].

# Myoepithelioma

## **Clinical Features**

Myoepitheliomas account for about 1.5% of all salivary tumors. This tumor is primarily one of adults with a peak incidence in the third to fourth decades (range 8–82 years) [62, 63]. The parotid gland is the most common site ranging from 40% to 50%, followed by the minor salivary glands in the palate [462]. Myoepitheliomas of the sinonasal mucoserous glands are rare [101, 143]. Myoepitheliomas usually present as slow-growing painless masses.

# Pathology

Grossly, these tumors are a well-circumscribed uniform gray tan. In the parotid gland they usually have a thin fibrous capsule, but in the palate, they may not [143]. The majority measure less than 3 cm [143, 462]. Microscopically, myoepitheliomas have a varied morphology.

In a review of 40 cases, Dardick et al. [524] delineated different cell types: spindled (32.5%), epithelioid (45.0%), hyaline (7.5%), clear (2.5%), and mixed (12.5%). The spindle cell type consists of bland sheets of cells with ovoid nuclei and delicate amphophilic to eosinophilic cytoplasm arranged in interlacing fascicles (Fig. 3.7a). There may be loose myxoid change, occasionally imparting a reticulated or net-like arrangement, but there is never chondroid metaplasia as seen in pleomorphic adenomas [558]. The epithelioid cell type (Fig. 3.7b) consists of cords or solid sheets of polygonal round cells with amphophilic to eosinophilic cytoplasm occasionally arranged in pseudoglandular spaces. Occasionally the cytoplasm is granular and oncocytic with accumulation of mitochondria as seen in oncocytic epithelial lesions [50, 143]. The hyaline or plasmacytoid cell type (Fig. 3.7c) consists of sheets of cells with eccentrically located nuclei and a droplet of prominent dense hyaline eosinophilic cytoplasm. The ultrastructural correlate to these droplets are accumulations of intermediate filaments that in immunoelectron



Fig. 3.7: Variants of myoepithelioma (all H&E, 200×): a spindled, b epithelioid, c plasmacytoid, and d clear cell type

microscopy show staining for muscle-specific actin [101, 364]. The clear cell type (Fig. 3.7d) most closely resembles the epithelioid cell type but instead shows clear cytoplasm indicative of glycogen accumulation [524]. Additionally, squamous metaplasia can be seen in all subtypes of myoepithelioma, particularly after fine-needle aspiration [101]. Within the oncocytic myoepitheliomas, sebaceous differentiation has rarely been described [495].

The number of true ducts that are allowed in myoepithelioma is debatable and arbitrary, but if there are more than scattered ducts present within a myoepithelial tumor, perhaps a better designation would be a myoepithelial-rich pleomorphic adenoma, or possibly even an epithelial-myoepithelial carcinoma, particularly when the myoepithelial component is of the clear cell subtype [495]. Myoepitheliomas are distinguished from their malignant counterparts by the absence of infiltration [10, 557]. Other useful morphologic features include a low mitotic count, absence of necrosis, and perineural and angiolymphatic invasion [10, 557].

Immunohistochemically, myoepitheliomas will stain with both actins and cytokeratins as well as GFAP and S-100 to varying degrees [231]. These markers are less likely to be expressed in the plasmacytoid and clear cell types, though vimentin, which is rarely expressed in non-neoplastic myoepithelial cells, is strongly and uniformly positive in all cell types in myoepithelioma [476, 500, 606]. The second generation muscle marker calponin shows promising results, staining myoepithelial cells more consistently than smooth muscle actin [167]. Additionally, p63, a basaloid/myoepithelial stem cell marker is also strongly and consistently expressed in myoepithelioma [300] serving as a useful adjunct using the traditional myoepithelial markers.

## Pathogenesis

As with many rare salivary gland tumors, the pathogenesis of myoepithelioma is poorly understood. In one myoepithelioma, a t(1:12)(q25;q12) along with deletions of parts of chromosomes 3 and 9 has been described [606]. By comparative genomic hybridization, gross cytogenetic alterations were only present in 25% (3/12) of cases [220, 606]. In 3/12 myoepitheliomas, p53 mutations have been identified [498]. Additionally, p53 homologues p63 and p73 have a unique isoform expression profile in myoepitheliomas as compared to normal salivary tissue, namely the truncated transcriptionally inactive forms ( $\Delta N$  isoforms) increased in myoepitheliomas [10, 73].

## Prognosis

Prognosis is generally favorable; recurrences are relatively rare and are usually related to incomplete excision [495]. Malignant transformation is uncommon [485]. In one series, myoepithelial carcinomas had an antecedent myoepithelioma in only 8% (2/25) of cases [179, 217]. Some authors regard all clear cell myoepitheliomas as tumors of uncertain malignant potential even if they are histologically benign [604]. However, this may stem from the past usage of the term clear cell myoepithelioma interchangeably with epithelial-myoepithelial carcinoma [517].

# Warthin's Tumor

# **Clinical Features**

Warthin's tumor, which Warthin himself [420] called *papillary cystadenoma lymphomatosum*, is morphologically similar to other cystadenomas, but the demographic, pathologic, and morphologic features of this lesion are sufficiently distinct to separate it from these other cystadenomas. Warthin's tumor is the second most common salivary tumor overall, comprising 3% of all salivary tumors in the United States [179], though the incidence may be as high as 30% in smaller regions such as central Pennsylvania [517]. The mean age at diagnosis is 62 years, rarely occurring before the age of 40 years [341, 468]. This tumor more commonly occurs in males, though the male to female ratio has decreased dramatically within the past 50 years to about 2:1 [179, 517]. These trends in sex incidence as well as the aforementioned regional variation are likely attributable to the strong link between Warthin's tumor and smoking. Warthin's tumor has an eight times higher incidence in smokers than in non-smokers [535].

Warthin's tumor is essentially restricted to the parotid gland and its lymph nodes, with the tail of the parotid being the most common site of involvement. It is also the salivary gland tumor which occurs most commonly bilateral/multifocal (up to 20%) [587]. Rarely extraparotid Warthin's tumors have been described, comprising as high as 8% of all Warthin's tumors, essentially all occurring in the cervical lymph nodes [517]. Rare examples have been described in the other glands [462], but on close scrutiny these are either from the anterior tail of the parotid or adjacent lymph nodes. Most patients present with a painless mass that may fluctuate in size in as many as 40% of patients. Rarely, infarcted Warthin's tumors (see below) may cause pain [517].

# Pathology

Grossly these tumors range from 1 to 10 cm (mean 3.5 cm) [504]. They are typically well circumscribed and often cystic to varying degrees. The cyst lining is often a nodular tan-white with papillary excresence s and the fluid contents are characteristically a granular brown reminiscent of "motor oil." The actual parenchyma is a nodular tan to dark brown (Fig. 3.8a). Microscopically, Warthin's tumor is comprised of a papillary proliferation lined by a double layer composed of surface columnar oncocytic epithelium (Fig. 3.8b) and a smaller basal layer of small cuboidal cells with myoepithelial characteristics. Mucinous, sebaceous, and squamous metaplasia have been seen in Warthin's tumor [200]. The surrounding stroma contains a highly ordered lymphoid architecture similar to an actual lymph node, with germinal centers often found in cores of the epithelial papillae. When Warthin's tumor arises in a lymph node, it may be mistaken for metastatic carcinoma, particularly papillary thyroid carcinoma. However, papillary thyroid carcinoma does not form a two cell layer proliferation, and will have characteristic nuclear features (clearing, overlap, grooves, elongation, and pseudoinclusions) which are absent in Warthin's tumor.



Fig. 3.8: Warthin's tumor. **a** A solid tan-brown tumor of the parotid with central papillary architecture (gross image). **b** A papillary cystic tumor lined by columnar oncocytic cells with a prominent lymphoid stroma including a capsule resembling that of a lymph node (H&E, 20×). **c** "Infarcted" Warthin's tumor with a transition to squamous metaplasia with surrounding fibrosis (*arrows*) (H&E, 100×)

The degree of epithelial proliferation varies greatly, and Seifert et al. classified Warthin's tumor into four histologic subtypes based largely on this epithelial to stromal ratio [153]. Their "type 4" Warthin tumor is of diagnostic interest as this represents Warthin's tumor with extensive squamous metaplasia. This so-called metaplastic or infarcted Warthin's tumor often shows extensive squamous metaplasia (Fig. 3.8c), necrosis, fibrosis, and histiocytic infiltrates, thought to be a result of mechanical or vascular induced changes [462]. In at least some cases, this is secondary to fine-needle aspiration [517]. This variant can be mistaken for a mucoepidermoid carcinoma or a metastatic squamous cell carcinoma. However, infarcted Warthin's tumor will still have a papillary configuration similar to typical Warthin's tumor. Additionally many infarcted Warthin's tumors will on careful examination still show areas of oncocytic columnar epithelium.

Immunohistochemical stains are not usually used for diagnostic purposes, but as with most oncocytic lesions, the epithelial cells of Warthin's tumor are ultrastructurally composed of mitochondria, which can also be stained by for phosphotungstic acid-hematoxylin (PTAH) or immunohistochemically with antibodies to mitochondrial components such as cytochrome c [566, 568]. The im-

## Pathogenesis

Despite being a fairly common and well-described identity, the pathogenesis of Warthin's tumor is not well understood and is still debated. The prevailing theory for its initial development is that Warthin's tumor is a proliferation of the intercalated and striated ducts of heterotopic salivary inclusions in intra- and periparotid lymph nodes rather than a tumor with a prominent lymphoid response. Since the parotid does not have a complete capsule, the lymph nodes and parotid parenchyma have the ability to intermingle embryologically [506]. While many other salivary gland neoplasms may have a prominent lymphoid stroma [392, 447], the fact that Warthin's tumor almost exclusively occurs in the parotid gland and its associated lymph nodes, and the presence of lymph node architectural elements, occasionally including capsular sinuses, give credence to this hypothesis. Also the involvement of the lymphoid component by metastatic carcinoma, granulomatous disease, and lymphomas in a distribution similar to that in lymph nodes further supports this belief [17, 290]; this is not simply a papillary oncocytic proliferation with a tumor-associated lymphoid response.

Another point of contention is whether this represents a true neoplasm or a reactive proliferation. Initial studies demonstrated cytogenetic alterations in some Warthin's tumors such as loss of the Y chromosome, 6p abnormalities, and t(11;19) which is interestingly also seen in mucoepidermoid carcinomas. However, loss of the Y chromosome is a senescent change that can be seen normal tissues, and many of the cytogenetic alterations seen in these series are usually only minority cell components in an otherwise normal stemline [362, 363]. More recently, Warthin's tumor has not been shown to have monoclonality, either by X chromosome-linked human androgen receptor analysis or by loss of heterozygosity profiling [233, 493, 628].

Regardless of origin and clonality, smoking likely contributes significantly to the pathogenesis of the tumor. One mechanism includes mitochondrial DNA damage resulting in mutations [517]. Possible contributing mechanisms other than smoking include autoimmunity and EBV infection [504, 617].

## Prognosis

Prognosis with surgical excision alone is excellent with a recurrence rate of 2–5% [617]. Even these may represent separate tumors, since Warthin's tumor is often multifocal. Malignant transformation is extremely rare with an estimated occurrence of 0.1–2% [523]. Interestingly mucoepidermoid carcinoma is the most common histology, though squamous cell carcinoma and oncocytic carcinomas have been described [179].

## Cystadenomas

## **Clinical Features**

Cystadenomas are rare benign cystic salivary tumors that resembles Warthin's tumor, though the clinicopathologic features of this tumor are different. In contrast to Warthin's tumor, there is actually a slight female predilection, and there is no association with smoking [179, 600]. The mean age at presentation is 57 years [80]. The major site of involvement is the parotid gland (45%), though unlike Warthin's tumor, other cystadenomas can be in sites such as the lip and buccal mucosa [523]. Rarely these tumors have been described in the supraglottic larynx as well [243, 522].

# Pathology

Cystadenomas range from unicystic (approximately 20%) to multicystic. The multicystic lesions may often have a larger central cyst. These cysts may be filled with a serous or mucinous fluid, and papillary excrescences may be evident within the cysts though these are far less prominent than in cystadenocarcinomas. The histology recapitulates the gross appearance, and the cysts are lined by one to two cell layers comprised of a mixture of oncocytic, mucinous, and focally sebaceous, or squamous epithelium. Papillary oncocytic cystadenomas and mucinous cystadenomas are the most common subtypes. The papillae of the former have a simple architecture with no ramification and minimal multilayering of cells [397]. Luminal tyrosine crystals are occasionally seen [248].

Lymphoid stroma is sparse to absent in contrast to Warthin's tumor. Cystadenocarcinomas and cystic salivary duct carcinomas tend to have more architectural

#### Pathology of Salivary Gland Disease

complexity with solid areas and angulated cystic spaces rather than the round contours seen in cystadenomas. Additionally, they will have more cytologic atypia and mitotic activity than cystadenomas. Cystadenomas may resemble low-grade mucoepidermoid carcinomas, but the former, even when they are mucinous, will be lined mostly by columnar epithelium and will not have the three cell populations seen in mucoepidermoid carcinoma. Acinic cell carcinomas may mimic cystadenomas, however, the acinar cell lining in the cysts can be highlighted by a periodic acid-Schiff (PAS) reaction after diastase stain.

## Pathogenesis

The pathogenesis is not well understood. While these tumors bear a superficial resemblance to Warthin's tumor, demographics, the lack of a defined risk factor, and the site distribution suggest that these arise through distinct mechanisms.

## Prognosis

The prognosis for this benign tumor is excellent; complete excision should be curative. One case of a carcinoma arising in a cystadenoma has been described.

## Lymphadenomas

# **Clinical Features**

Lymphadenomas consist of both sebaceous and non-sebaceous tumors. They are rare tumors that also bear resemblance to Warthin's tumor because of their prominent lymphoid stroma, though their epithelial constituents are different.

Sebaceous lymphadenomas, described as early as 1960 [20, 34, 146, 151, 213, 215, 344, 397, 594, 605, 621] are far more common than non-sebaceous lymphadenomas [74, 110, 345, 379]. They tend to occur in the elderly, beyond the sixth decade, and are almost always seen in the parotid gland [403, 461]. All reported non-sebaceous lymphadenomas to date have been described in males (age 13–79 years), exclusively in the parotid gland [379].

The typical presentation is that of a painless mass, mimicking a pleomorphic adenoma. Sebaceous lymphadenomas may occasionally be cystic, clinically resembling Warthin's tumor [379].

## Pathology

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Sebaceous and non-sebaceous lymphadenomas are grossly solid or cystic well-circumscribed tan masses. Histologically, sebaceous lymphadenomas will have solid to cystic nests of sebaceous cells, foamy polygonal epithelial cells with a slight basaloid appearance on the periphery of the nests embedded within a lymphoid stroma reminiscent of a lymph node (Fig. 3.9a, b). While some reports of non-sebaceous lymphadenomas show a cystic, albeit non-papillary, bilayered oncocytic proliferation within a lymphoid stroma, such tumors may be better classified as variants of Warthin's tumor [248]. The term non-sebaceous lymphadenoma should be reserved for solid basaloid or tubular benign neoplasms with a prominent lymphoid stroma.

These lymphadenomas may mimic MESA, lymphoma, or lymphoepithelial carcinoma. However, the circumscription, lobular nested appearance, and bland cytology of lymphadenomas separate it from lymphoepithelial carcinoma. Unlike MESA, lymphadenomas should only have a localized lymphoid stromal component without significant sialadenitis outside the lesion. Lymphomas should have effacement of lymphoid architecture characterized by abnormal non-polarized follicles or an absence altogether [162]. Sebaceous lymphadenocarcinoma is among the rarest of salivary tumors and can be separated from lymphadenomas by its infiltrative pattern and other features of malignancy such as perineural and angiolymphatic invasion.

## Pathogenesis

Lymphadenomas are thought to have an origin from epithelial rests within lymph nodes, similar to Warthin's tumor [385]. In support of this theory is the occasional coexistence of mixed sebaceous lymphadenoma-Warthin's tumors [578]. Sebaceous components are thought to be ectodermally derived and formed embryologically along lines of closure [29, 109]. Ultrastructurally and chemically, the constituents of sebaceous glands in the parotid gland and sebaceous glands of the skin are identical [82].



Fig. 3.9: Sebaceous lymphadenoma. **a** A solid and cystic proliferation with a lymphoid stroma reminiscent of a lymph node (H&E,  $40\times$ ). **b** The proliferation is comprised of foamy polygonal cells defining the sebaceous cell type (H&E,  $400\times$ )

## Prognosis

Prognosis is excellent; resection is curative.

#### **Oncocytoma and Oncocytosis**

#### **Clinical Features**

The distinction between oncocytoma and oncocytosis is often arbitrary. Oncocytoma is a rare monomorphic salivary tumor that resembles oncocytosis, which is a diffuse or multifocal proliferation of oncocytes. Oncocytoma is the preferred terminology if an oncocytic lesion is solitary or dominant and/or encapsulated. Hence, by this definition an oncocytoma can arise in the setting of oncocytosis.

It accounts for 1% of salivary tumors. Both oncocytoma and oncocytosis occur usually in the sixth decade, mirroring the increase in the number of oncocytes in salivary glands that is seen with increasing age [324]. About 20% of patients with oncocytoma have an antecedent history of radiation exposure [82]. They may be solitary, bilateral (7%), or arise in the setting of multinodular oncocytic hyperplasia. The parotid gland is the most common (84%) site while the remainder arise almost exclusively in the submandibular gland [381]. Rarely oncocytomas of the minor salivary glands have been described [82]. Clinically oncocytosis presents as unilateral or bilateral salivary gland enlargement, typically of the parotid gland [82, 459]. Multifocal nodular oncocytic hyperplasia is far more common than diffuse oncocytosis.

# Pathology

Grossly, oncocytoma is a solid well-circumscribed mass measuring 3–4 cm. Diffuse oncocytosis presents as a diffuse enlargement while multifocal nodular oncocytic hyperplasia has at least two nodules within the salivary parenchyma. As with oncocytic lesions at other sites, oncocytosis and oncocytoma are a tan brown to dark mahogany brown. Oncocytosis can be divided into two main pathologic categories of conditions that clinically and histologically mimic neoplasia: multifocal nodular oncocytosis.

Microscopically, diffuse oncocytosis involves the entire gland with minimal if any residual normal salivary tissue. In contrast, oncocytoma and multifocal nodular oncocytic hyperplasia consist of solid/trabecular, well-demarcated proliferations of oncocytes, cells with abundant granular pink cytoplasm and round nuclei, embedded in otherwise normal-appearing salivary tissue. Oncocytomas may have capsules, particularly in the parotid gland (Fig. 3.10a). The ultrastructural correlate of the granular cytoplasm of oncocytes is the abundance of mitochondria. Clear cell change can be seen in these lesions and reflects the accumulation of glycogen (Fig. 3.10b) [462, 524].



Fig. 3.10: Oncocytoma and oncocytosis. **a** An encapsulated parotid tumor comprised of solid "pink" or oncocytic cells (H&E, 40×). **b** Clear cell oncocytosis of the parotid (H&E, 100×). **c** Immunohistochemical stain for cytochrome c showing positivity in an oncocytoma (DAB chromogen, 400×)

In contrast, oncocytic carcinomas are characterized by necrosis and perineural and vascular invasion. Metastatic carcinomas, particularly renal cell carcinoma and Hürthle cell thyroid carcinoma can mimic oncocytosis or oncocytoma, however, immunohistochemical stains are helpful in ruling out these metastatic tumors [29, 109]. Other considerations include acinic cell carcinoma, oncocytic mucoepidermoid carcinoma, and oncocytic myoepitheliomas. A battery of histochemical stains, PAS with diastase treatment for acinic cell carcinoma, mucicarmine for mucoepidermoid carcinoma, and myoepithelial markers such as smooth muscle actin and vimentin for myoepithelioma, can help to exclude these entities. Additionally oncocytomas, because of their mitochondria, will be positive by phosphotungstic acid-hematoxylin stain or by immunohistochemistry toward mitochondrial cytochromes (Fig. 3.10c) [100].

## Pathogenesis

The pathogenesis of oncocytosis and oncocytoma is unclear, but is likely a progression from the age-related precursor of oncocytic metaplasia [381]. While mitochondrial abnormalities are a plausible pathogenic mechanism, mitochondrial DNA C-tract mutations are noted to be rare in these lesions [82].

### Prognosis

The prognosis is excellent for lesions classified both as oncocytoma and oncocytosis [87, 178]. Recurrence rates for oncocytomas are roughly 10% in oncocytoma, and may be a result of multifocality rather than incomplete excision. Recurrent cases also tend to have marked clear cell change [8, 90, 279, 537].

## Miscellaneous Rare Benign Tumors and Tumor-like Lesions

#### **Ductal Papillomas**

# **Clinical Features**

Ductal papillomas are a rare group of benign papillary neoplasms of the large excretory duct. There are three major subtypes of ductal papillomas of salivary gland origin that are about equally rare: intraductal papilloma, inverted ductal papilloma, and sialadenoma papilliferum.

Intraductal papillomas are rare with only 40 cases reported using strict criteria [87]. They are typically a tumor of minor salivary duct origin, though tumors in the parotid, submandibular, and sublingual glands have been described [87, 99, 127, 148, 236, 271, 283, 321, 342, 614,

618]. They typically occur in the fifth or sixth decade as a painless submucosal mass (range 29–77 years) without a gender predilection [236].

Inverted ductal papillomas are also rare with only 35 cases reported [401]. Inverted ductal papilloma is a tumor of minor salivary origin (lip and buccal mucosa) with the only tumor reported in the major salivary gland being debatable [87, 178, 257, 582]. There is no sex predilection and the mean age of occurrence is 53 years. These tumors typically present as a painless submucosal mass with a central dilated pore reflecting their localization to the salivary excretory duct orifice.

Sialadenoma papilliferum resembles its skin adnexal counterparts syringocystadenoma papilliferum and hidradenoma papillferum [87] and is rare with under 50 cases reported, and estimated incidence of approximately 0.2% [87]. These tumors also typically occur in the fifth to sixth decade and they are most frequent on the palate, but unlike other ductal papillomas, they present as granular papillary masses reflecting their often extensive surface component.

# Pathology

Grossly, inverted ductal and intraductal papillomas are well-circumscribed masses, the latter more cystic, that are typically less than 3.0 cm. Sialadenoma papilliferum is a granular tan mass that involves the surface mucosa [489]. Microscopically, inverted ductal papilloma has an endophytic growth of transitional/basaloid cells with microcysts reminiscent of its Schneiderian counterpart inverted papilloma. The nests of basaloid cells have central cleft-like spaces that are lined by columnar excretory duct-type mucinous cells. Intraductal papilloma is histologically composed of a cystic dilation of the excretory duct with a papillary arborizing proliferation of a mixture of oncocytic or mucinous cells. Histologically sialadenoma papilliferum shows surface papillary projections of oncocytic columnar cells intermingling with the surface squamous epithelium with a well-demarcated submucosal component that is comprised of large-caliber ducts with uniform "saw tooth" like papillary luminal invaginations.

All ductal papillomas may be mistaken for other papillary salivary gland tumors, particularly cystadenomas and cystadenocarcinomas. Cystadenomas and cystadenocarcinomas are deeper within the salivary gland parenchyma and can be multicystic unlike ductal papillomas [342]. Nonetheless, this distinction in some cases is not well defined. In the pancreas, intraductal papillary mucinous neoplasms and mucinous cystic neoplasms are separated by the fact that the former maintains its connection to the ductal system [401]. In contrast, the understanding of cystadenomas and cystadenocarcinomas of the salivary gland from this standpoint is rudimentary if at all existent; and the possibility that many of these cystadenomas may actually be intraductal papillomas or "papillomatosis" has not been examined in the literature.

#### Pathogenesis

Other than the presumed excretory ductal origin, not much is know about the pathogenesis of ductal papillomas. Of note, inverted ductal papillomas, unlike Schneiderian papillomas, have no known human papilloma virus association [87]. Also, unlike its cutaneous counterpart syringocystadenoma papilliferum, sialadenoma papilliferum is not a part of the nevus sebaceous complex [439, 514].

## Prognosis

Prognosis is generally thought to be excellent, though most series have little or no follow-up [6, 138, 190, 208, 248, 306, 313, 322, 368, 455]. Rare cases of malignant intraductal papillomas and transformation of sialadenoma papilliferum are described [313], but as a rule, excision is thought to be curative.

## Sebaceous Adenoma

## **Clinical Features**

While sebaceous differentiation can be seen in a variety of salivary tumors, purely sebaceous adenoma without a lymphoid component is extremely rare with less than 25 cases reported [173]. The mean age is roughly 62 years with a slight male predominance. Sites that are usually involved are the parotid gland and the oral cavity closely mirroring the distribution of Fordyce granules and ectopic sebaceous rests [208].

# Pathology

These tumors are well circumscribed and composed of sheets or lobules of sebaceous cells. These must be distinguished from other clear cell neoplasms such as mucoepidermoid carcinoma, acinic cell carcinoma, and clear cell oncocytoma among others [613]. The oil red O stain on frozen sections and electron microscopy remain the two main supportive studies to prove sebaceous differentiation: the presence of intracellular lipids within the foamy cells.

## Pathogenesis

Unlike cutaneous sebaceous adenomas there is no described association with Muir-Torre syndrome, a hereditary non-polyposis colorectal carcinoma syndromic variant, suggesting a different pathogenesis [248, 313]. While non-neoplastic ectopic sebaceous rests express androgen receptor just as in their cutaneous counterparts [67, 246, 254, 310, 525, 532], it is not clear whether sebaceous adenomas express this marker.

## Prognosis

The prognosis is excellent; none of the cases reported have recurred after excision [254].

## **Sclerosing Polycystic Adenosis**

#### **Clinical Features**

Sclerosing polycystic adenosis is an extremely rare cystic lesion resembling fibrocystic disease of the breast with only 37 cases described in the literature [254]. The mean age of occurrence is 44.5 years with no sex predilection [246, 525]. The typical presentation is that of a slowgrowing usually painless mass, usually in the parotid.

## Pathology

These tumors range from 0.3 to 6.0 cm and are grossly comprised of homogeneous tan masses with a microcystic cut surface. Microscopically, they are well circumscribed with cystic spaces lined by varying proportions of ductal and acinar cells. A spectrum of changes that can be seen in fibrocystic disease of the breast can also be seen in sclerosing polycystic adenosis including sclerosing adenosis, apocrine metaplasia, and atypical ductal hyperplasia [520]. Changes of salivary ductal carcinoma in situ have rarely been identified [77, 538, 546]. There is an outer myoepithelial layer to the ducts and tubules seen in sclerosing polycystic adenosis, distinguishing these lesions from an invasive salivary duct carcinoma.

## Pathogenesis

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While traditionally considered a non-neoplastic lesion, recent studies of X chromosome-linked human androgen receptor suggest a clonal and thus neoplastic origin to this lesion [77, 295, 481].

#### Prognosis

The prognosis is fairly good, even in cases with changes of salivary duct carcinoma in situ, with a local recurrence rate of 19%. No metastases or mortality have been reported with this disease.

# **Malignant Tumors**

# **Adenoid Cystic Carcinoma**

## **Clinical Features**

The term adenoid cystic carcinoma was coined by Spies in 1930 [68, 349, 560]. Robin, Lorain and Laboulbene are credited with the first microscopic description which appeared in a paper they published in 1853 [77, 168, 226, 268, 599]. In 1859 Billroth suggested the term cylindroma and over the years numerous other terms have been proposed for this tumor including tubular carcinoma, tubular sarcoma, endothelioma hyalinum, adenocystic basaloid carcinoma, adenocystic carcinoma, adenoepithelioma, basaloma, and basal cell tumor to name just a few [168, 226, 599]. The preferred term is adenoid cystic carcinoma. It has the advantage of identifying the lesion as a carcinoma while avoiding confusion with the benign cutaneous appendage tumor known as cylindroma. The term adenoid cystic carcinoma is still somewhat misleading however since the tumor is not cystic!

Although the majority arise from the major and minor salivary glands, identical tumors can arise from seromucous glands throughout the upper and lower respiratory tract and from the esophagus, lacrimal glands, ceruminous glands, Bartholin's glands, Cowper's glands, breast, uterine cervix, vulva, and rarely the ovary. Adenoid cystic carcinoma accounts for 10% of all salivary gland neoplasms and 30% of all minor salivary gland tumors [351]. It also accounts for 20% of all malignant salivary gland tumors making it the second most common salivary gland malignancy. It is the most common carcinoma of minor salivary glands where the most frequent location is the palate [114]. Adenoid cystic carcinoma makes up 12-15% of parotid gland carcinomas, 30-60% of submandibular gland carcinomas, and 35-55% of minor salivary gland carcinomas [168, 338, 474, 546]. Approximately 25% arise in the major salivary glands and 75% in the minor salivary glands [30, 114, 117].

Adenoid cystic carcinoma is most frequently encountered in individuals between 40 and 60 years of age. It rarely afflicts those younger than 20 years of age. Although some series have a slight female predominance others show either no sex predilection or a male predominance [30]. The most common symptom is a slow-growing frequently painless mass, a feature which may lull both patient and physician into a false sense of security. More ominous signs and symptoms include fixation to adjacent tissues and paraesthesia or paralysis due to the affinity of this tumor for perineural invasion. Ulceration may occur with intraoral tumors and obstruction and epistaxis with those arising from seromucous glands in the sinonasal tract.

## **Pathologic Features**

Adenoid cystic carcinoma, despite its name, is grossly solid rather than cystic and firm. The tumor may be well or poorly circumscribed. The cut surface is pink tan and non-hemorrhagic. Close inspection of those that appear well circumscribed usually reveals areas of infiltration.

Microscopically the tumor is composed of ductal and myoepithelial cells. The predominant cell type consists of cells with dense angular nuclei and scant frequently clear cytoplasm. The cells are considered to be of myoepithelial origin. A second and much less frequent cell type has a nucleus with a more open chromatin pattern and contains more abundant pink cytoplasm. This second cell type is considered to be of ductal origin [30, 103, 114, 164, 182].

Three characteristic growth patterns have been described, namely tubular or trabecular, cribriform, and solid. While all three histologic patterns are often encountered in a single tumor, frequently one pattern predominates. The cribriform pattern is the most common and also most easily recognizable. Proliferation of myoepithelial-type tumor cells form nests in which the cells surround spaces or pseudolumens producing a classic sieve or Swiss cheese pattern (Fig. 3.11a, b). The pseudolumens are filled with dense eosinophilic basement membrane material produced by the myoepithelial cells or basophilic mucinous material [353]. This basement membrane material also surrounds nests of tumor cells. When this material is extensive it can result in disruption of the cribriform pattern in which case thin strands of tumor cells stream through this material. True lumens lined by ductal-type epithelium are rare and smaller than the pseudolumens. They may contain mucicarmine and PAS-positive diastase-resistant mucin. The stroma is commonly dense and hyalinized but may also be myxoid. There is a very strong predilection for perineural invasion.

The tubular growth pattern features ducts and tubules lined by an inner layer of epithelial cells and an outer type of myoepithelial cells. Tubular structures containing eosinophilic hyalinized or basophilic material are also present.

In the solid pattern of adenoid cystic carcinoma, rounded and lobulated solid nests and islands of tumor cells predominate containing few if any pseudolumens or true lumens. While cells similar to those seen in the tubular and cribriform growth patterns may be present, over all the cells in the solid pattern tend to be larger and the nuclei larger and less angular. Cellular pleomorphism and comedonecrosis can also be seen, features not typical of the tubular and cribriform growth patterns. Mitoses are often present and may reach counts as high as 5 or more per 10 high power fields. This is in contrast to the tubular and cribriform growth patterns where mitotic figures are infrequently encountered.

Immunohistochemical stains confirm the presence of both myoepithelial and epithelial cells in adenoid cystic carcinoma. The myoepithelial cells are positive for muscle-specific actin and usually stain for S-100 protein as well as vimentin, p63, and cytokeratin. The epithelial cells stain for cytokeratin, carcinoembryonic antigen, and



Fig. 3.11: Adenoid cystic carcinoma. **a** Cribriform growth pattern. Cells with dense angular nuclei and scant clear cytoplasm surround spaces producing a classic Swiss cheese pattern (H&E, 200×). **b** Perineural invasion (H&E, 200×)

epithelial membrane antigen and are negative for musclespecific actin and vimentin. They may or may not express S-100 protein [469]. Recently adenoid cystic carcinomas have been shown to be positive for MUC3 [14, 204, 229, 406]. They may also be estrogen and progesterone receptor positive although not in all cases [182]. Approximately 90% are c-kit (CD117) positive [142].

## **Differential Diagnosis**

Included in the differential diagnosis of adenoid cystic carcinoma are basaloid squamous cell carcinoma, basal cell adenocarcinoma, basal cell adenoma, cellular pleomorphic adenoma, polymorphous low-grade adenocarcinoma, and the basal cell and plexiform subtypes of ameloblastoma. The staining pattern with p63 is useful in distinguishing basaloid squamous cell carcinoma from adenoid cystic carcinoma. Basaloid squamous cell carcinomas consistently display diffuse staining of nearly 100% of the tumor cells with p63. Adenoid cystic carcinomas, on the other hand, show staining of a single peripheral layer of cells or compartmentalized staining with surrounding or interspersed p63 negative cells [463].

Basal cell adenomas, unlike adenoid cystic carcinomas, are characterized by peripheral palisading, a delicate fibrovascular stroma, a circumscribed rather than infiltrating growth pattern and lack of perineural invasion. Rarely, however, they may show trabecular and solid cribriform growth patterns reminiscent of adenoid cystic carcinoma [250]. Basal cell adenocarcinomas show areas of invasive growth and perineural invasion, features in common with adenoid cystic carcinoma, but otherwise resemble basal cell adenomas.

Cellular pleomorphic adenomas can resemble adenoid cystic carcinomas, however, careful examination of the junction of the cellular elements with the stroma aids in the distinction. In pleomorphic adenomas the myoepithelial cells spin off the epithelial elements and blend into the stroma. By contrast, there is a sharp demarcation between the cellular components of adenoid cystic carcinomas and the surrounding, often hyalinized, stroma. In addition, perineural invasion is not present in pleomorphic adenomas. Pleomorphic adenomas are also GFAP positive and adenoid cystic carcinomas are GFAP negative.

Perineural invasion occurs as often in polymorphous low-grade adenocarcinoma (PLGA) as in adenoid cystic carcinoma. However, the cells in PLGA are cuboidal to columnar with eosinophilic or clear cytoplasm and vesicular nuclei. The classic hyperchromatic angulated nucleus of adenoid cystic carcinoma is not present. Expression of c-kit may also be helpful as it is positive in virtually 100% of adenoid cystic carcinomas and in only approximately 50–60% of PLGA [268, 474, 546]. In addition, it has also been reported that where as 90% of tumor cells in PLGA are positive for epithelial membrane antigen, only the epithelial cells lining true lumens stain in adenoid cystic carcinoma [474]. Peripheral palisading is inconspicuous in the basal cell subtype of ameloblastoma and stellate reticulum is absent. The tumor is composed of islands and anastomosing cords of small basaloid cells. These features may lead to confusion in separating this tumor from adenoid cystic carcinoma. The plexiform subtype also lacks peripheral palisading and has a scant stellate reticulum. It consists of anastomosing cords of low columnar to cuboidal tumor cells. Lack of myoepithelial cells and absence of perineural invasion are useful features in separating these subtypes of ameloblastoma from adenoid cystic carcinoma.

#### **Treatment and Prognosis**

Surgical resection is the primary treatment for adenoid cystic carcinoma. Lymph node metastases are uncommon and, although controversial, neck dissection may be reserved for patients with clinically positive lymph nodes [94, 104, 268, 338, 351, 407, 474]. Distant metastases, on the other hand, develop in 20-60% of cases and most commonly involve the lung, liver, bone, and brain [77]. Radiation as the sole treatment has proven ineffective since the tumor is radiosensitive but not radiocurable. Postoperative radiotherapy, however, has proven effective in improving local control of the tumor [7, 204, 294, 450]. The best results appear to be obtained by using a combination of radical surgery and postoperative radiation therapy [122, 128, 338, 349, 393, 407, 499, 542, 586]. The usefulness of chemotherapy remains to be proven. Since adenoid cystic carcinomas are usually c-kit positive, clinical trials have been undertaken using Imatinib. These have yielded conflicting results [135].

Data from a combined series of over 800 cases of adenoid cystic carcinoma from all sites shows the following survival rates: 75% at 5 years, 40% at 10 years, 25% at 15 years, and 20% at 20 years [54, 264, 554]. In a series of 129 cases, univariate analysis showed that age over 45 years, paresthesia, advanced clinical stage, solid histological growth pattern, and increased expression of p53 correlated with a poor prognosis. Advanced clinical stage, solid histological growth pattern, and increased expression of p53 were found to be independent significant prognostic factors of a poor prognosis in multivariate analysis of this series [165, 183, 225, 226, 275, 376, 581, 586, 589, 599]. Studies looking only at the histologic growth pattern have shown that grade I tumors (predominately tubular with no solid component), grade II tumors (predominately cribriform with no more than 30%

solid component), and grade III tumors (solid component greater than 30%) have cumulative 15-year survival rates of 39%, 26%, and 5%, respectively [71, 259, 339]. The prognostic significance of perineural invasion and DNA ploidy remain controversial [550].

# Mucoepidermoid Carcinoma

## **Clinical Features**

Mucoepidermoid carcinoma is the most common cancer of the salivary glands. Although it accounts for 30% of all cancer of the salivary glands, it forms only 10% of all salivary gland tumors and less than 5% of head and neck cancers [219]. Stewart et al. first reported this tumor in the United States in 1945 [83]. They coined the term mucoepidermoid tumor and suggested that it occurred in both a benign and malignant form. Foote and Frazell were the first to point out that all mucoepidermoid tumors are carcinomas albeit those which histologically appear low grade very rarely metastasize [71, 270, 343, 373].

Slightly more than 50–60% arise in the major salivary glands and of these, greater than 80% occur in the parotid, 8–13% in the submandibular gland, and 2–4% in the sublingual gland. Most of the remainder arise from minor salivary glands, usually in the palate. Seromucous glands at other sites such as the sinonasal tract, larynx, and trachea may also be the site of mucoepidermoid carcinomas on rare occasions [83, 258, 259].

Mucoepidermoid carcinoma most commonly occurs in adults (mean age 49 years), although no age group is excluded and indeed it is the most common salivary gland cancer in the pediatric age group [92, 601]. In some series it is more common in women by a ratio of 3:2 while in others there is no sex predominance [71]. The rare intraosseous (central) mucoepidermoid carcinomas, however, have an established female predominance [51, 71, 187].

The most common presenting symptom is a slowly enlarging painless mass of several years duration clinically mimicking a pleomorphic adenoma or other benign neoplasm. Pain and tenderness along with rapid enlargement may be seen with high-grade lesions [452, 563].

#### Pathologic Features

The gross appearance varies with the grade of the tumor. Low-grade mucoepidermoid tumors produce well-circumscribed though unencapsulated ovoid masses usually

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2–4 cm in diameter. Solid gray-white or gray-pink areas are mixed with mucus-filled macrocysts while intermediate grade neoplasms are grossly similar but lack macrocysts. High-grade tumors may be similar in size but grossly are not well circumscribed and gross infiltration is often evident. They do not contain macrocysts although hemorrhage and necrosis, which are not infrequent, may lead to areas of cystic degeneration.

By definition, mucoepidermoid carcinomas are composed of three types of cells, namely mucous cells, epidermoid cells, and intermediate cells. Mucous cells are filled with mucin (mucicarmine and PAS-diastase positive) which compresses the small dark nucleus peripherally. Epidermoid (squamous) cells are polygonal with vesicular nuclei and abundant eosinophilic cytoplasm. Keratinization is rare and never extensive. Intercellular bridges are also not frequently encountered. The third type of cell is the intermediate cell, thought by some to be able to differentiate into mucous and epidermoid cells [88, 273, 315]. Intermediate cells are smaller than either mucous or epidermoid cells and do not stain with either PAS or mucicarmine stains. Intermediate cells range from small basaloid cells to cells just smaller than epidermoid cells and when numerous grow in syncytia. They are also negative with PAS and mucicarmine stains. Less frequently clear cells, oncocytes, spindle cells, and sebaceous cells may predominate. The clear cell variant of mucoepidermoid carcinoma is composed primarily of clear cells with well-defined cell borders and clear cytoplasm due to the presence of glycogen [107, 203, 282, 305, 372, 424, 584].

Oncocytic mucoepidermoid carcinomas show extensive oncocytic change [431]. Other variants include the spindle cell, sebaceous cell, and sclerosing variants [259]. The sclerosing type is characterized by dense central sclerosis with a prominent peripheral inflammatory response composed of lymphocytes, plasma cells, and frequently eosinophils. Possible etiologies of the sclerosis include a reaction to extravasated mucin and/or infarction. In addition there is at least one report of dedifferentiation in a low-grade mucoepidermoid carcinoma [83].

Three histologic grades of mucoepidermoid carcinoma have been described. Low-grade tumors contain numerous cysts of varying size including macrocysts. These cysts are lined predominately by well differentiated mucin containing goblet cells. The cysts are filled with mucus which may extravasate producing an extensive inflammatory reaction in the surrounding stroma. Solid nests of intermediate and epidermoid cells are infrequent and there is essentially no cellular pleomorphism. Mitoses are very infrequent and there is no perineural invasion. Low-grade tumors are well circumscribed both grossly and microscopically (Fig. 3.12a, b). Intermediate-grade tumors have fewer and smaller cysts and fewer cysts lined exclusively by goblet cells. Intermediate cells tend to predominate and they, along with epidermoid cells, produce large cellular sheets. Pleomorphism is absent or minimal, mitoses are infrequent, and perineural invasion is very uncommon. Grossly intermediate-grade tumors are usually circumscribed but microscopically infiltration of the adjacent salivary gland tissue is present. High-grade



**Fig. 3.12**: Mucoepidermoid carcinoma. **a** Low-grade mucoepidermoid carcinoma. Tumor is cystic and contains cytologically bland mucous, epidermoid, and intermediate cells (H&E, 200×). **b** Low-grade mucoepidermoid carcinoma with prominent peripheral lymphoid inflammatory response (H&E, 200×)

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tumors are characterized by a solid growth pattern composed of various mixtures of intermediate, epidermoid, and squamous cells. Cystic structures are infrequent and small. Mucous cells form less than 10% of the cellular component and indeed may be difficult to recognize unless the tissue is stained with mucicarmine or PAS-diastase. Cytologic and nuclear pleomorphism are present and mitoses are easily found. Perineural invasion may also be present. Grossly the high-grade tumors are not well circumscribed and microscopically tissue infiltration is seen. In an attempt to make grading more objective two numerical scoring systems have been proposed, one by Goode et al. [591] and the other by Brandwein et al. [276, 353, 607]. In one study 89% of high-grade mucoepidermoid carcinomas were aneuploid while 88% of low and intermediate tumors were diploid [11, 276, 607].

Mucoepidermoid carcinomas stain for cytokeratins and may focally stain for vimentin. Negative staining for GFAP and usually for muscle-specific actin and S-100 protein are consistent with an epithelial origin with no significant myoepithelial component. Mucoepidermoid carcinomas also express MUC1, MUC4, and MUC5AC [276]. MUC1 expression increases with tumor grade while staining for MUC4 decreases with grade [444, 591]. Positive staining for MUC5AC may be helpful in separating high-grade mucoepidermoid carcinoma from squamous cell carcinoma [469]. High-grade tumors are typically positive for HER2/neu and typically show greater than 20% nuclear staining for Ki-67, while low-grade tumors are negative for HER2/neu and show less than 20% nuclear staining for Ki-67 [601]. Mucoepidermoid carcinomas do not stain for estrogen receptor [574, 601].

### **Differential Diagnosis**

High-grade mucoepidermoid carcinomas contain few mucin cells and frequently extensive areas of squamous differentiation making distinction from a squamous cell carcinoma difficult. In these cases use of mucin stains to identify the rare mucin-containing cells, positive staining for MUC5AC, and lack of extensive keratinization are useful in recognizing the tumor as a mucoepidermoid carcinoma.

Adenosquamous carcinoma of salivary glands is rare and usually arises in minor rather than major salivary glands. By definition it contains separate distinct foci of adenocarcinoma and squamous cell carcinoma unlike mucoepidermoid carcinoma where both cell types are intimately admixed. The clear cell variant of mucoepidermoid carcinoma may mimic other primary and non-primary clear cell carcinomas. Proper classification usually comes down to identifying at least some areas containing mucous cells and intermediate and or epidermoid cells.

Cystadenomas and cystadenoma carcinomas tend to have less stroma than mucoepidermoid carcinomas. They also lack the solid proliferations of intermediate and epidermoid cells and typically show a papillary component.

Central mucoepidermoid carcinoma is usually low grade and must be distinguished from glandular odontogenic cysts. According to Waldron and Koh, some areas of glandular odontogenic cysts can be histologically identical to low-grade central mucoepidermoid carcinomas [339, 470]. The epithelial lining of glandular odontogenic cysts, however, is uniformly thin and lacks the more solid areas of epithelial proliferation seen in mucoepidermoid carcinomas [470].

#### Treatment and Prognosis

The treatment of mucoepidermoid carcinomas is surgical resection. Low-grade tumors are usually treated with wide local excision without neck dissection. Neck dissection in intermediate-grade tumors is probably only indicated if lymph nodes are clinically suspicious. High-grade cancer is treated with neck dissection. Prognosis is influenced by the grade and stage of the tumor, and patient age and sex [470]. Histologic grade is considered one of the most important factors in prognosis. Overall 5-year survival rates range from 92% to 100% for low-grade tumors, 62% to 92% for intermediate-grade tumors, and 0% to 43% for high-grade tumors [531]. Age over 40 years is also associated with a poor prognosis but it should be noted that most cancers in the first and second decades of life are histologically low-grade tumors [470]. Tumors of the submandibular gland have a worse prognosis than tumors of the parotid gland [202, 255, 350, 440, 475, 601, 619]. While all mucoepidermoid carcinomas are capable of metastasizing, metastases most often occur in highgrade lesions. In addition to lymph nodes, the usual sites of metastases are the lungs and bone [601].

## Central (Intraosseous) Mucoepidermoid Carcinoma

Central mucoepidermoid carcinomas are rare with less than 100 cases having been reported [202, 440, 601]. They

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are, however, the most common intraosseous salivary gland tumor [601]. They occur three times more often in the mandible (usually in the region of the third molar) than the maxilla and are twice as common in women. Although they have been reported in both the young and the very old, the mean age at diagnosis is 51 years [40, 72, 186, 194, 245, 360, 370, 415, 453, 567]. Usually low grade, they are thought to arise from the lining of odontogenic cysts or from entrapped salivary gland tissue [567].

## **Malignant Mixed Tumors**

The term malignant mixed tumor is an all inclusive term encompassing three clinically and histologically distinct tumors. They are: (1) carcinoma ex-pleomorphic adenoma, (2) malignant mixed tumor (carcinosarcoma), and (3) metastasizing mixed tumor.

#### Carcinoma Ex-pleomorphic Adenoma

# **Clinical Features**

Carcinoma ex-pleomorphic adenoma (CEPA) is by definition a carcinoma arising in a pleomorphic adenoma. While it makes up only 5–15% of all salivary gland carcinomas, it accounts for 99% of all malignant mixed tumors [245]. Thackray and Lucas have estimated that left unresected, approximately 25% of pleomorphic adenomas would eventually undergo carcinomatous change [239, 370, 410]. Approximately 80% arise in the major salivary glands and 20% in the minor salivary glands. Among the major salivary glands the parotid gland is the primary site in 81.7% of cases, the submandibular gland in 18%, and the sublingual gland is 0.3% [94, 219, 370, 540]. Origin in minor salivary glands occurs in approximately 20% of cases with the palate being the most frequent intraoral site [61, 186, 453].

Most series show a female to male ratio of 1.2 to 3:1 [61, 186, 194, 239, 245, 370, 540, 567]. In at least three series, however, men outnumbered women by a ratio of 2:1 [21, 41, 61, 130, 194, 245, 370, 540, 567]. The average age at diagnosis is 50–60 years which is approximately 10 years older than most individuals with pleomorphic adenoma. While cases have been reported in patients from the first to the ninth decade, CEPA is extremely uncommon in individuals below the age of 20 years [41, 239, 245, 490].

Reflecting its origin in a pleomorphic adenoma, the most common clinical presentation is sudden rapid en-

largement of a long-standing (average 20 years) previously non or slowly enlarging painless mass. In 12–55% of cases this rapid enlargement will be painful and often associated with facial nerve palsy and fixation to the surrounding soft tissues [188]. A minority of patients present with a rapidly enlarging mass and no prior symptoms [41].

Scientific support for the origin of CEPA from pleomorphic adenomas was provided by Eneroth and Zetterberg. They undertook a microspectrophotometric DNA analysis of pleomorphic adenomas and demonstrated a difference in the DNA content between morphologically benign pleomorphic adenomas of short duration and those of long duration [21, 41, 61, 245, 369, 415, 453, 567, 569]. This finding supports the hypothesis that the risk of carcinomatous transformation in a pleomorphic adenoma increases with the age of the tumor. Origin in a small previously undetected pleomorphic adenoma could explain those cases of CEPA presenting without a history of a previous long-standing slowly enlarging mass.

#### **Pathologic Features**

Gross features suggestive of CEPA include foci of capsular invasion, hemorrhage, and necrosis in a tumor which in other areas shows classic features of a pleomorphic adenoma. In one series of 47 cases these tumors averaged 4.4 cm in greatest diameter in the parotid gland, 5.0 cm in the submandibular gland, and 2.2 cm in the minor salivary glands [61, 130, 219, 453, 490].

The carcinoma is usually a high-grade adenocarcinoma or an undifferentiated carcinoma although numerous other types including squamous cell carcinoma, mucoepidermoid carcinoma, adenoid cystic carcinoma, myoepithelial carcinoma, clear cell carcinoma, papillary carcinoma, and terminal duct carcinoma have been reported (Fig. 3.13a, b) [453]. Thus when making the diagnosis of CEPA it is important to state the histologic subtype and degree of differentiation of the carcinomatous component. The ratio of pleomorphic adenoma to carcinoma in CEPA is highly variable. Hyalinization is frequently seen in the benign component of the tumor and indeed the presence of hyalinization in an otherwise classic pleomorphic adenoma is reason for additional sampling to avoid missing a small focus of CEPA [370, 453, 540]. On the other hand, residual pleomorphic adenoma may be reduced to a few microscopic foci. In one series residual foci of pleomorphic adenoma were 5 mm in 9% of cases [569].



Fig. 3.13: Carcinoma ex-pleomorphic adenoma. **a** Area showing undifferentiated carcinoma (H&E, 200×). **b** Adjacent area of same specimen showing residual pleomorphic adenoma (H&E, 200×)

#### Treatment and Prognosis

The treatment of CEPA is wide surgical resection usually with a neck dissection. Features associated with an unfavorable prognosis include high tumor grade, large size, soft tissue invasion, perineural invasion, and lymph node metastases [81, 453]. Tortoledo correlated survival with the histologic subtype of the carcinoma and reported 5year survival rates of 96% for terminal duct carcinoma, 62% for ductal carcinoma, 50% for myoepithelial carcinoma, and 30% for undifferentiated carcinoma [41, 130, 245, 370, 567]. He also found no deaths when the tumor invaded less than 6 mm. Brandwein reported no recurrences in patients with less than 1.5 mm of invasion and Olsen found that patients with less than 5 mm of invasion did not suffer recurrence [81, 154, 207].

Carcinoma ex-pleomorphic adenoma metastasizes exclusively as a carcinoma. Metastases develop in 30–70% of cases and distant metastases occur more frequently than regional metastases. These metastases seem to show a particular affinity for lungs and bone especially the vertebral column [81, 154, 370, 415].

In recent years much has been written about non-invasive (in situ or intracapsular) CEPA [207]. These are carcinomas which arise within a pleomorphic adenoma but have not penetrated the capsule of the pleomorphic adenoma. It has been stated that this finding has no adverse effect and the standard resection for a pleomorphic adenoma is curative [330, 331]. There is, however, at least one well-documented report in which a non-invasive CEPA metastasized to a lymph node [245, 261].

# **True Malignant Mixed Tumor**

#### **Clinical Features**

True malignant mixed tumors (TMMT) may be regarded as carcinosarcomas since both the epithelial and stromal components are histologically malignant. Kirklin is credited with the first description of these tumors in 1951 and King was the first to refer to them as carcinosarcomas in 1967 [12, 234, 570].

As of 2000, 60 cases have been reported in the literature, the largest series having been reported by Gnepp in 1998 [66, 346]. TMMT comprise only 0.16–1.0% of all malignant tumors of salivary glands [245, 346]. Although most appear to arise de novo, approximately 33% of patients have either a clinical history or histologic confirmation of a coexisting pleomorphic adenoma [12, 223, 346]. The most common location is the parotid gland. Patients have ranged in age from 14 to 87 years and there is no sex predilection [12, 70, 223, 245, 261, 346, 547, 548, 570]. Signs and symptoms are similar to those of CEPA. Both carcinoma and sarcoma are seen in the metastases of TMMT.

## **Pathologic Features**

The carcinomatous component is most often an adenocarcinoma, a squamous cell carcinoma, or an undifferentiated carcinoma [223]. The sarcomatous element is usually a chondrosarcoma, however, other types of sarcoma have also been reported including spindle cell sarcoma not otherwise specified, osteosarcoma, fibrosarcoma, malignant fibrous histiocytoma, leiomyosarcoma, and rarely liposarcoma and rhabdomyosarcoma [66].

Fowler et al. studied the loss of heterozygosity in tumor suppressor genes in TMMTs and CEPAs. They found that the carcinomatous and sarcomatous components of TMMTs are closely related. These findings also suggested a common clonal origin for the benign and carcinomatous components of CEPA [219].

#### Treatment and Prognosis

The treatment is radical surgical resection which should be combined with radiation and chemotherapy. Even with such radical treatment most patients die within 5 years [245]. TMMTs metastasize more commonly via a hematogenous rather than lymphatic route with lung and bone being the most frequent sites of metastases.

## **Metastasizing Mixed Tumor**

#### **Clinical Features**

Metastasizing mixed tumor is a pleomorphic adenoma, which despite its benign histology, metastasizes. Histologically it is identical to a pleomorphic adenoma and can only be recognized as malignant once it has metastasized. Lung and bone are the most frequent sites of metastatic disease.

Foot and Frazell are credited with the first report of this tumor in 1953 [292]. Gnepp was able to find only 32 reported cases in his 1993 literature search [228, 245, 611]. By 1997 the number had increased to 40 [76, 113, 260, 387]. Approximately 65% occur in the parotid gland and the mean age at presentation is 32 years [245, 610]. Initial signs and symptoms are consistent with those of a pleomorphic adenoma. In almost all cases metastases have been preceded by at least one and frequently numerous local recurrences of a pleomorphic adenoma [610].

The time from initial resection of the pleomorphic adenoma to the appearance of metastatic disease can be as short as 1 year or as long as 20 years.

## **Pathologic Features**

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Histologically metastasizing mixed tumors are essentially identical to pleomorphic adenomas and lack any characteristics of malignancy [387]. Flow cytometry has not been helpful in identifying these tumors [387]. A recent analysis of p53, bcl-2, M1B1, CD105, p27, and p21 expression also proved unrewarding in identifying differences between metastasizing and non-metastasizing pleomorphic adenomas [387, 419].

#### **Treatment and Prognosis**

Surgical excision is also the treatment of choice for the primary tumor, recurrences, and metastases [610].

Metastases may be related to implantation of tumor cells into blood vessels and lymphatics at the time of surgery [245]. In Wenig's review of 32 patients, 25 (78%) were alive with or without tumor or died of unrelated causes [441]. Gnepp reported follow-up on 20 patients, seven of whom (37%) had died of their disease [98].

## Acinic Cell Carcinoma

## **Clinical Features**

The first description of acinic cell carcinoma was published by Nasse in 1892 [361, 539]. Acinic cell tumor, the old name for this neoplasm, reflects the fact that its malignant behavior was not recognized until Buxton's publication in 1953 [539].

Acinic cell carcinoma is a rare low-grade carcinoma accounting for only 2–4% of salivary gland neoplasms [47, 98, 126, 361, 400, 465]. The parotid gland is the most common site (80%) followed by the minor salivary glands (16%) and the submandibular gland (4%). While 80% occur in the parotid gland, acinic cell carcinoma accounts for only 4% of all parotid tumors [47, 269, 357, 400]. Although some series have shown a male predominance and at least one no sexual preference, most report a female to male ratio of approximately 2:1 [47, 172, 400, 457,

494, 579]. The rate of bilateral involvement of the parotid gland is 3%. While this is low, the incidence of bilateral involvement by acinic cell carcinoma is second only to Warthin's tumor which has a 5–10% incidence of bilaterality [166, 409].

The tumor is most frequent in individuals between 40 and 50 years of age but may occur at any age. Although less than 4% of patients are younger than 20 years, it is second only to mucoepidermoid carcinoma among salivary gland carcinomas occurring in the pediatric population [172, 361].

Patients typically present with a history of a slowly enlarging painless mass that is not fixed to the surrounding soft tissue or skin. Pain is a symptom in approximately 22% of patients and facial nerve paresis or paralysis is encountered in approximately 3–8% [278, 361]. The median duration of symptoms prior to treatment is 2 years but may be as long as several decades [191].

#### Pathologic Features

Grossly the tumors are solitary, firm or rubbery, and well circumscribed ranging in size from 0.5 to 13 cm (median 2.5 cm). Recurrent tumors are frequently multiple and may be poorly demarcated. The cut surface varies from tan to gray and is occasionally cystic [22, 404]. Microscopically multiple growth patterns occur, often within the same tumor. These have been categorized as solid, microcystic, papillary-cystic, and follicular with the solid and microcystic patterns being the most common (Fig. 3.14a–c). In the solid growth pattern the tumor cells grow in large sheets. The microcystic pattern is characterized by interspersed spaces of varying sizes. The microcyst formation may result from accumulation of secretions due to a lack of ducts or may possibly be an artifact of fixation [263, 465]. The papillary-cystic pattern has large cystic spaces containing branching projections of epithelium. In the follicular pattern cystic spaces are distended with a homogeneous proteinaceous material resulting in a pattern mimicking thyroid follicles.

The prototypical cell type is round to polyhedral with a uniform round eccentric nucleus and basophilic granular cytoplasm. These cells very closely resemble normal serous acinar cells and indeed the diagnosis of acinic cell carcinoma is predicated on identifying this cell type. Other cell types include those resembling intercalated duct epithelial cells, vacuolated cells, clear cells, and nonspecific glandular cells. Intercalated duct type cells are cuboidal with eosinophilic cytoplasm and centrally placed hyperchromatic nuclei. Vacuolated cells are similar in size to acinar type cells but have vacuolated acidophilic cyto-



Fig. 3.14: Acinic cell carcinoma. **a** Neoplastic acinic cells with basophilic granular cytoplasm and growing in a predominately solid pattern (H&E, 200×). **b** Microcystic growth pattern (H&E, 200×). **c** Acinic cell carcinoma composed of granular acinic cells (dark granular cells) and non-specific glandular cells. Note presence of abundant lymphoid tissue (H&E, 200×)
plasm and a more open chromatin pattern. Their nuclei may exhibit slight pleomorphism. Although vacuolated, the cells do not contain lipid, glycogen, or epithelial mucin. Clear cells have pale or non-staining cytoplasm but otherwise resemble acinar or non-specific glandular cells. Non-specific glandular cells have eosinophilic cytoplasm, indistinct cell borders, and nuclei which are larger, more vesicular and more pleomorphic than those of the other cell types. Any one tumor may be composed primarily or exclusively of diagnostic acinar type cells or may contain a mixture of cell types. Mitotic figures are rare. Tumors composed primarily of acinar-type cells closely resemble normal serous salivary glands except for the lack of striated ducts. The stroma is typically scant and composed of thin delicate strands of fibrovascular tissue although some tumors may have a dense hyalinized stroma. A relatively frequent finding in the stroma is the presence of abundant lymphoid tissue complete with germinal center formation. This occurs in upward of 30% of acinic cell carcinomas [172, 175, 176].

Like normal acinar cells, the acinar cells of acinic cell carcinoma contain PAS-positive diastase-resistant zymogen granules. The cytoplasm does not stain with mucicarmine or alcian blue stains although, in some cases, intercellular cystic areas may contain material that is weakly mucicarminophilic [145, 172, 176]. Well-differentiated acinar cells are also positive for lactoferrin, amylase, alpha-1-antitrypsin, alpha-1-antichymotrypsin, carcinoembryonic antigen, Leu M-1, and vasoactive intestinal polypeptide [318, 448]. Acinic cell carcinomas are also immunoreactive for cytokeratin and approximately 10% are S-100 positive [353]. They are negative for c-kit and p53 overexpression is low [46, 47, 58, 180, 404, 443]. Acinic cell carcinomas have also been found to be MUC3 positive, MUC5AC negative, and MUC6 negative [361, 443].

## **Differential Diagnosis**

The lack of both ducts and a normal lobular architecture aid in distinguishing acinic cell carcinoma from normal salivary gland parenchyma. Absence of mucous and epidermoid cells permits distinction of the microcystic form of acinic cell carcinoma from mucoepidermoid carcinoma. Identification of zymogen-containing acinar cells separates the papillary-cystic form of acinic cell carcinoma from cystadenocarcinoma. Lack of staining for thyroglobulin and thyroid transcription factor distinguishes the follicular variant of acinic cell carcinoma from metastatic thyroid carcinoma.

## Pathogenesis

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The name acinic cell carcinoma and the presence of acinar-type cells in the tumor would suggest origin from acinar cells. Most investigators, however, indicate an origin from the stem or reserve cells of the intercalated ducts [443].

## **Treatment and Prognosis**

The treatment of acinic cell carcinoma is complete surgical resection. Neck dissection is usually not recommended because of a relatively low incidence of metastases to regional lymph nodes [361]. There have been only a few reported instances of a favorable response to radiation treatment, most tumors having been radio resistant. Radiation is usually reserved for tumors which cannot be completely resected or for those uncommon cases which have extensive perineural or lymphatic invasion [126, 361, 443].

Acinic cell carcinoma is a low-grade malignancy and there is no correlation between cell type or growth pattern and prognosis [180, 361, 443, 465, 541]. The recurrence rate averages 10–35% and the distant metastatic rate 13–16% [361, 409]. The lungs and bone are the most common sites of distant metastases. Survival rates range from 78 to 90%, 63 to 83%, and 44 to 67% at 5, 10, and 20 years, respectively [166, 521]. The clinical course may be protracted and cases of recurrence have been reported after a disease-free interval of 30 years [284, 521].

Most tumors are diploid and as a result DNA studies are usually not helpful in predicting outcome. El-Nagger, however, reported that in a study of 15 patients, none with diploid tumors developed metastases or died of disease while five of eight with aneuploid tumors developed metastases and four succumbed to their disease [521].

MIB-1 appears to be an independent prognostic factor. Patients with MIB-1-negative tumors have been shown to have significantly better survival than those with MIB-1positive tumors [545]. In a study by Skalova, five of seven patients with MIB-1 values higher than 10% had unfavorable outcomes while none of the 17 patients with values lower than 5% suffered recurrences during follow-up periods as long as 30 years [286, 545].

# **Dedifferentiated Acinic Cell Carcinoma**

A highly aggressive form of acinic cell carcinoma was reported by Stanley in 1988 [152, 166, 497]. He called these tumors dedifferentiated acinic cell carcinomas. In addition to well-differentiated classic acinic cell carcinoma each contained discrete areas of poorly differentiated adenocarcinoma or undifferentiated carcinoma. Transitional areas between the classic acinic cell carcinoma and the high-grade component are not seen.

Unlike classic acinic cell carcinomas, these tumors are highly malignant and metastasize early. It is therefore recommended that these cancers be treated by radical resection and neck dissection [286]. The dedifferentiated component is usually aneuploid [55, 105, 192, 227]. Henley reported a case in which p53 was negative immunohistochemically in both the low- and high-grade components. In addition, polymerase chain reaction and non-isotopic single-stranded conformational polymorphism analysis showed a germ line configuration of the p53 gene, exons five through eight, in both low- and high-grade components of the tumor [249, 562].

# Polymorphous Low-grade Adenocarcinoma

## **Clinical Features**

Polymorphous low-grade adenocarcinoma of salivary gland origin (PLGA) was previously known as terminal duct carcinoma and lobular carcinoma because of its histologic resemblance to these forms of breast carcinoma [2, 9, 48, 193, 327, 374, 596]. It is a rare neoplasm with distinctive clinical and morphologic features.

Most of these cancers arise from intraoral minor salivary glands where it accounts for approximately 10% of all intraoral minor salivary gland tumors and 25% of the malignant ones [512, 609]. Sixty to seventy percent involve the palate [238, 327, 402, 411, 471, 480]. Origin from minor salivary glands in the nose and nasopharynx and from the lacrimal glands has also been reported [105]. Origin in major salivary glands is very uncommon and, when it does occur, usually involves the parotid gland [577].

The tumors grow slowly producing a non-ulcerated painless mass ranging in size from 0.4 to 6 cm [105, 193]. Most patients are between 40 and 60 years of age although some patients have been in their early twenties and at least one was diagnosed in a 12-year-old child [105, 134,

252, 464, 571, 609]. PLGA is more common in women than men by a ratio of 2:1 [64, 163, 413, 463].

## **Pathologic Features**

The name PLGA is appropriate because it reflects the main features of this neoplasm. The tumor is polymorphous in its histologic growth patterns but cytologically bland. It is its infiltrating growth pattern, tendency for recurrence, perineural invasion, and ability to metastasize that attest to its malignancy.

Grossly PLGAs are solid and well circumscribed but not encapsulated. The cut surface is homogeneous and tan to tan-yellow. Histologically any combination of six growth patterns, namely solid, tubular, fascicular, cribriform, linear single cell, and papillary/papillary cystic may be observed. Concentric growth around blood vessels and nerves is also characteristic. The tumor cells are cytologically bland and vary from cuboidal to columnar with eosinophilic or clear cytoplasm. Nuclei are round to oval with vesicular chromatin and small nucleoli. Mitotic activity and necrosis are usually not present. The tumor is supported by a stroma which may be scant or abundant and can vary from mucinous to hyalinized. Invasion of soft tissue may be local and easily overlooked. Perineural invasion is typical of PLGA and is seen as often or more often than in adenoid cystic carcinoma (Fig. 3.15a-c).

Polymorphous low-grade adenocarcinomas are positive for cytokeratin and vimentin. They are also strongly positive for S-100. Approximately 10–50% have been reported to be positive for GFAP, muscle-specific actin, and epithelial membrane antigen [105, 526]. Reported results of c-kit expression have been inconsistent [134, 252]. Proliferative activity as measured by Ki-67 is low ranging from 0.2 to 6.4 with a mean of 2.4% [64, 144, 232, 526].

## **Differential Diagnosis**

Included in the differential diagnosis are pleomorphic adenoma, adenoid cystic carcinoma, and acinic cell carcinoma. Pleomorphic and monomorphic adenomas of minor salivary glands do not have a capsule, however, they have a pushing rather than infiltrating destructive margin and lack neurotropism. Adenoid cystic carcinomas infiltrate and have a marked predilection for perineural invasion, however, the prototypical cell of these tumors has an



Fig. 3.15: Polymorphous low-grade adenocarcinoma. **a** Cytologically bland tumor cells with eosinophilic to clear cytoplasm. The tumor cells are growing in a solid pattern (H&E, 200×). **b** Papillary cystic growth pattern (H&E, 200×). **c** Tubular growth pattern with perineural invasion (H&E, 200×)

angulated hyperchromatic nucleus and scant cytoplasm. This is in contrast to the typical cell of PLGA which has a vesicular nucleus and more cytoplasm. Acinic cell carcinomas are rare in minor salivary glands and infrequently show perineural invasion. Although there is overlap in the immunohistochemical staining, PLGAs are less frequently and less diffusely positive for GFAP than pleomorphic adenomas. In addition it is the epithelial component that stains in PLGA in contrast to pleomorphic adenomas where the staining is in the stromal component [64, 163, 413, 463]. Positivity for vimentin and a much lower proliferative activity measured by Ki-67 can be helpful in separating PLGA from adenoid cystic carcinoma which shows just the opposite staining results [48, 105, 327, 414]. Some have found c-kit expression helpful in distinguishing between PLGA and adenoid cystic carcinoma while other have not [105].

## **Treatment and Prognosis**

Treatment is complete surgical resection. PLGA is a lowgrade indolent tumor with a tendency for local recurrence. In the past it has been associated with a recurrence rate of 20% although a more recent study by Castle et al. reports a recurrence rate of 9.1% [48, 327, 414]. The average time from initial surgery to recurrence is 7 years [193]. In 6–7% of cases there is metastasis to regional lymph nodes [48, 193, 530]. Tumors with a predominately papillary growth pattern have been associated with a higher incidence of cervical lymph node metastases [105, 193]. Postoperative radiation therapy is considered unnecessary due to the low-grade nature of this tumor. Distant metastases have occurred but are very uncommon [159]. Deaths due to tumor are infrequent and usually occur after a prolonged course [25, 217, 312, 572, 573].

### Epithelial-myoepithelial Carcinoma

## **Clinical Features**

Epithelial-myoepithelial carcinoma of salivary glands (EMC) is a rare but histologically distinctive low-grade carcinoma. The name was proposed in 1972 by Donath et al. who were the first to appreciate that the tumor is a carcinoma [416, 417, 544]. Prior names applied to this neoplasm include adenomyoepithelioma, clear cell adenoma, and glycogen-rich adenoma and reflect the misconception that it is a benign tumor.

Epithelial-myoepithelial carcinoma accounts for approximately 0.5–1% of all salivary gland neoplasms and favors women by a ratio of 2:1 [446, 466]. The vast majority arise in the major as opposed to the minor salivary glands. The parotid gland is the site of approximately 75% with an additional 10–12% arising in the submandibular gland. Origin from minor salivary glands primarily occurs in the palate [505, 561]. EMC has also arisen in extraoral mucoserous glands in the nasopharynx, larynx, and bronchi [572]. Interestingly a histologically identical tumor occurs in the breast where it is still known as an adenomyoepithelioma [129, 132, 256, 417, 491].

Epithelial-myoepithelial carcinoma is essentially a tumor of older adults (mean age 60 years) although rare cases have been reported in the pediatric age group and we have seen one unreported case in a 6½-year-old child [124, 159]. Patients usually present with an asymptomatic mass. Much less frequently signs and symptoms of a malignant neoplasm, such as facial paralysis and pain, are present. In some instances a mass has been present for several years prior to the patient seeking medical treatment [218].

# phism and increased mitotic activity. Histologic evidence of malignancy is reflected in areas showing an infiltrative growth pattern and perineural invasion. Prominent collars of PAS-positive diastase-resistant basement membrane material surrounds the duct-like structures which in turn form nests of tumor separated by fibrous bands of connective tissue. This results in a multilobulated appearance (Fig. 3.16). In the cribriform growth pattern two cell populations are still discernable and, while the cribriform pattern can mimic an adenoid cystic carcinoma, no hyaline material is present in the round spaces. The solid growth pattern consists of sheets of myoepithelial cells which, in some areas, may have a spindled shape. Ductal structures are scarce and may be difficult to find. The papillary growth pattern is encountered in cystic areas. The epithelium lining the cystic spaces and papillary structures maintains a biphasic pattern with luminal ductal epithelial cells and abluminal clear myoepithelial cells. In all growth patterns the myoepithelial cells predominate, however, occasionally areas may be present in which apparent atrophy of the clear cells has produced "naked ducts" lined only by a single layer of epithelial cells.

## **Pathologic Features**

Grossly these tumors are firm, solid, and frequently lobulated. The margins may be sharply circumscribed or infiltrative. The cut surface ranges from yellow to gray-white and cystic spaces are sometimes present. Those involving the palate frequently ulcerate. EMC have ranged in size from 1 to 8 cm.

Histologically four growth patterns have been described namely tubular, cribriform, solid, and papillary [573]. The tubular is the prototypical and most common growth pattern. The tubules are composed of duct-like structures having an inner (luminal) single cell layer of cytologically bland cuboidal epithelial cells with dense granular eosinophilic cytoplasm and an outer (abluminal) single or multiple cell layer of ovoid to polygonal myoepithelial cells with clear cytoplasm and eccentric vesicular nuclei. The epithelial cells are strongly positive with cytokeratin stains and negative for myoepithelial markers. The myoepithelial cells are usually only weakly positive for cytokeratin but stain strongly with myoepithelial markers (S-100, smooth muscle actin, p63, HHF35, and calponin). Their clear cytoplasm is due to the presence of glycogen. Pleomorphism and mitotic activity are absent or minimal although rarely tumors may show pleomor-

## **Differential Diagnosis**

The differential diagnosis of EMC includes other salivary gland tumors containing clear cells, such as clear cell myoepithelioma, acinic cell carcinoma, and mucoepidermoid carcinoma, and metastatic tumors which may contain clear cells, in particular those from the kidney and thyroid. The clear cells of EMC are myoepithelial cells which contain glycogen and are PAS positive diastase sensitive and mucicarmine negative. The clear cells of clear cell myoepithelioma are also glycogen-containing myoepithelial cells with the same staining characteristics as those in EMC. Clear cell myoepitheliomas, however, lack ducts and may contain foci of other types of myoepithelial cells such as the plasmacytoid, hyaline, and epithelioid forms. Acinic cell carcinoma contains cells with PAS-positive diastase-resistant granules not seen in EMC. Mucoepidermoid carcinoma contains intracytoplasmic droplets of mucin which is PAS positive diastase resistant and mucicarmine positive. The clear cells in renal cell carcinoma contain both glycogen and lipid and will be PAS positive diastase sensitive and will also stain with lipid stains. Clear cell carcinoma of the thyroid is negative for both glycogen and lipid but will stain for thyroglobulin and thyroid transcription factor.

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Fig. 3.16: Epithelial-myoepithelial carcinoma. The tumor forms tubules lined by a luminal layer of epithelial cells and an abluminal single or multiple cell layer of myoepithelial cells with clear cytoplasm. Prominent collars of basement membrane material surround the structures. Pleomorphism is absent or minimal (H&E,  $200\times$ )

## **Treatment and Prognosis**

The treatment is complete surgical resection. Fonseca et al. found higher values of proliferative cell nuclear antigen in the myoepithelial cells as opposed to the epithelial cells and a higher recurrence rate in those tumors with a predominately solid myoepithelial growth pattern [124, 274]. A study by Tralongo et al. also found that higher proliferative activity occurred in tumors with a more aggressive clinical course [49, 274]. Other studies, however, failed to demonstrate a correlation between proliferative activity or histologic growth pattern and prognosis [124]. The majority of the tumors are diploid [49, 124, 129, 377, 516]. Some studies have found that those which were aneuploid pursued a more aggressive clinical course, however, 60% of those that were diploid also recurred or metastasized [49, 124, 129, 377, 516]. Local recurrence rates for EMC range from 30% to 50% [335, 395]. Regional lymph node metastases occur in 18% of patients and distant metastases in 7-25% [265, 317, 472]. The overall 5-year survival rate is 80%. This drops off to approximately 70% at 10 years.

## **Basal Cell Adenocarcinoma**

### **Clinical Features**

Basal cell adenocarcinoma is the malignant counterpart of basal cell adenoma. It is an uncommon low-grade malignancy first recognized by Klima et al. in 1978 [147, 317, 423]. It accounts for 1.6% of all salivary gland neoplasms and 2.9% of all salivary gland malignancies [423].

Most patients are in their sixth or seventh decade (mean age 60 years) although cases have been reported in individuals as young as 2 months and as old as 92 years [265, 317, 423, 436, 603]. Basal cell adenocarcinoma occurs with equal frequency in men and women [265]. Most of these tumors arise de novo. Origin in a pre-existing basal cell adenoma is uncommon and when it does occur it usually involves the membranous type of basal cell adenoma [15, 147, 265, 317]. Approximately 90% of the tumors arise in the major salivary glands with the vast majority involving the parotid gland [181]. Origin in minor salivary glands is uncommon [52, 423]. Patients usually present with an asymptomatic swelling which may be of weeks to years in duration. Pain and tenderness are uncommon [502]. In approximately 10-15% of cases basal cell adenocarcinoma of salivary glands occurs in conjunction with dermal cylindromas and trichoepitheliomas. This association, while significant, is lower than the 40% association of basal cell adenomas with these dermal tumors [603].

## **Pathologic Features**

Basal cell adenocarcinomas are solid tan to gray tumors up to 7 cm in diameter. Infiltration into adjacent salivary gland parenchyma and soft tissue may be readily apparent or very subtle.

Microscopically there is a striking resemblance to basal cell adenomas both in growth pattern and cytology. Four growth patterns, identical to those in basal cell adenoma, occur. The tubular pattern is characterized by

multiple small ductal structures, the trabecular by anastomosing cords of cells, the solid by rounded nests or large sheets of cells, and the membranous by overproduction of basement membrane material which accumulates both around cell nests and intercellularly. While any combination of growth patterns may be seen in a single tumor, one usually predominates. Of the four patterns, the solid is the most common.

Cytologically two cell types similar to those in basal cell adenomas are present. One is a small round cell with a round dark staining nucleus and scant cytoplasm and the other a larger cell with a lighter staining nucleus and amphophilic cytoplasm. In most tumors the smaller cells are arranged peripherally and perpendicular alignment of their nuclei produces a palisading effect, which may, however, not be as conspicuous as in basal cell adenomas. Centrally located larger cells may produce swirls and squamous eddies.

Cellular and nuclear pleomorphism are minimal and necrosis, if present, is focal and not extensive. Mitoses are not frequent averaging two or less per 10 high power fields. Careful examination, however, will reveal invasive growth. In addition there is a 25–35% incidence of perineural and intravascular invasion [65, 265, 502, 616].

Immunohistochemically basal cell adenocarcinoma does not differ from basal cell adenoma [502]. Staining results support both epithelial and myoepithelial differentiation in both the small and large cells. While all tumors stain for cytokeratin, the majority are also at least focally positive for S-100, smooth muscle actin, vimentin, carcinoembryonic antigen, and epithelial membrane antigen [115, 181, 375].

Pleuro-potential ductal reserve cells are thought to give rise to this tumor [436]. The membranous variant of basal cell adenoma is the most common variant to give rise to basal cell adenocarcinoma, however, this is uncommon, most basal cell adenocarcinomas apparently arising de novo [423].

## **Differential Diagnosis**

Included in the differential diagnosis of basal cell adenocarcinoma are basal cell adenoma, adenoid cystic carcinoma, cutaneous basal cell carcinoma, and small cell carcinoma. In some instances basal cell adenocarcinoma may only be differentiated from basal cell adenoma by identifying an infiltrative growth pattern and/or perineural and intravascular invasion. Some have found staining for Ki-67, p53, bcl-2, and epidermal growth factor receptor helpful in making this distinction [336, 580]. The membranous variant of basal cell adenoma is typically multifocal and care must be taken not to mistake multifocality for invasion.

Basal cell adenocarcinoma and adenoid cystic carcinoma both show perineural invasion and both produce hyalinized basement membrane material. Intercellular deposits of basement membrane material, squamous eddies, and peripheral palisading, however, are features associated with basal cell adenocarcinoma and not adenoid cystic carcinoma. A dual population of small and large cells is also characteristic of basal cell adenocarcinoma and not adenoid cystic carcinoma.

Perineural invasion and immunohistochemical evidence of myoepithelial differentiation would aid in distinguishing basal cell adenocarcinoma from cutaneous basal cell carcinoma. Clinical information as to the location of the tumor would also be invaluable.

Basal cell adenocarcinoma lacks the nuclear molding, brisk mitotic activity, and prominent necrosis of small cell carcinomas. Unlike small cell carcinoma, it is negative for synaptophysin and chromogranin.

## **Treatment and Prognosis**

Treatment is complete surgical excision. Since it is a lowgrade carcinoma, neck dissection is usually reserved for patients with clinically positive lymph nodes. In a recent review of a large series of basal cell adenocarcinomas Muller and Barnes found a local recurrence rate of 37%. Cervical lymph node metastases were present in 8% and distant metastases in 4%. There was a mean follow-up of 54 months on 45 patients. Of these patients, 37 were alive without disease, 4 were alive with disease, 1 died of disease, and 3 died of other causes [10, 35, 120, 437, 488, 495].

# **Myoepithelial Carcinoma**

## **Clinical Features**

Myoepithelial carcinoma (malignant myoepithelioma) is the malignant counterpart of myoepithelioma. By definition it is composed exclusively or almost exclusively of myoepithelial cells with an infiltrative growth pattern.

Myoepithelial carcinomas account for only 0.2% of all epithelial salivary gland tumors and 10% of all myoepi-

thelial salivary gland tumors [437, 495]. Approximately 84 cases have been reported to date [580]. Seventy-five percent occur in the parotid gland [10, 120, 336, 437, 488, 495, 580, 593]. When minor salivary glands are involved there is a distinct predilection for those in the palate [495]. The tumors are most common in the sixth and seventh decades (range 24–81 years) and occur with equal frequency in men and women [495]. A painless mass is usually the only clinical complaint. Approximately 50% of cases have arisen in a pre-existing benign tumor, usually a pleomorphic adenoma or a myoepithelioma.

### Pathologic Features

Grossly myoepithelial carcinomas are unencapsulated soft to firm and often nodular. They vary in size from 2 to 10 cm. The cut surface is tan to gray-white and may show areas of necrosis and cystic degeneration. Glassy gelatinous areas may also be observed [303, 405, 495].

Microscopically there is infiltrative destructive growth into the adjacent salivary gland or soft tissues. These tumors frequently have a multinodular growth pattern. The nodules of tumor cells are separated by fibrous stroma and often have myxoid or necrotic centers. As in myoepitheliomas any of four cell types may be present and in any proportion. These cell types are: (1) epithelioid, (2) plasmacytoid (or hyaline), (3) spindle, and (4) clear cell. The epithelioid cells have a moderate amount of eosinophilic cytoplasm. The plasmacytoid or hyaline cells have eccentrically placed nuclei reminiscent of plasma cells and a glassy eosinophilic cytoplasm. They tend to be particularly numerous in palatal tumors. The spindle cells are elongated and resemble plump fibroblasts. The clear cells have clear cytoplasm due to the presence of glycogen. In some myoepithelial carcinomas the cytologic atypia is obvious whereas in others cytologic features of malignancy are very subtle and indeed the diagnosis of malignancy may rest solely on identifying areas of invasive destructive growth. In the 25 cases reported by Savera et al. 40% were classified as high grade and 60% as low grade [171, 174, 221].

The stromal component of these tumors is characterized by the presence of amphophilic to blue-gray myxoid material and or eosinophilic hyalinized material. The myxoid material is particularly characteristic and often accumulates around nests of plasmacytoid cells.

Results of immunoperoxidase stains are essentially the same as obtained with myoepitheliomas. Myoepithelial

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carcinomas are positive for AE1/3, vimentin, and S-100 in virtually all cases. Vimentin and S-100 are infrequently present in normal myoepithelial cells but are sensitive (although non-specific) markers of neoplastic myoepithelial cells. Reactivity for other myoepithelial cell markers such as muscle specific actin, GFAP, smooth muscle actin, and calponin is variable.

# **Differential Diagnosis**

The differential diagnosis is lengthy and includes a number of other salivary gland tumors such as: polymorphous low-grade adenocarcinoma, epithelial-myoepithelial carcinoma, oncocytoma and hyalinizing clear cell carcinoma, benign and malignant spindle cell tumors, plasmacytomas, and metastatic renal cell carcinoma. Documentation of exclusive myoepithelial differentiation by immunohistochemistry will usually permit distinction of myoepithelial carcinomas from other neoplasms.

## **Treatment and Prognosis**

The treatment is complete surgical excision. The clinical behavior of myoepithelial carcinomas is unpredictable. Although tumors showing cytologic pleomorphism, numerous mitoses and necrosis are usually associated with an adverse outcome, some with aggressive histologic features have been clinically indolent. In addition there have been reports of histologically low-grade tumors that have metastasized and resulted in death [221].

# Cystadenocarcinoma

## **Clinical Features**

Cystadenocarcinomas of the salivary glands are lowgrade cystic neoplasms which have been reported in the past under a variety of names including malignant papillary cystadenoma, mucus-producing adenopapillary carcinoma, low-grade papillary adenocarcinoma, papillary adenocarcinoma, adenopapillary carcinoma, papillary cystadenocarcinoma, and papillary cystic adenocarcinoma [9, 27, 69, 112, 140, 171, 174, 185, 221, 320, 412, 422, 501, 510, 529, 543, 544, 615].

The tumor is twice as common in the major salivary glands as opposed to the minor salivary glands and most frequently occurs in the parotid [221]. Cystadenocarcinomas have been reported over an age range of 20–86 years, however, 75% of patients are over the age of 50 years (mean age 58.8 years) [334].

Microscopically there are haphazardly arranged cystic spaces that vary in size and shape. Mucin released from ruptured cysts can produce an inflammatory response. The cystic spaces are separated by scant to abundant stroma. Solid cellular areas and ducts may be present between the cysts and at the periphery of tumor but they never comprise the major portion of the neoplasm. The cysts and ducts are lined by small and large cuboidal and columnar cells with eosinophilic, clear, or mucinous cytoplasm. In some tumors the columnar cells impart a decidedly enteric appearance. The epithelium lining the cystic spaces may form a single layer to multiple layers. In over three fourths of cases there is a prominent intracystic papillary growth. This may range from small collections of cells lacking a fibrovascular core to large elongated and branching structures supported by fibrovascular cores. A cribriform growth pattern may also be seen. Nuclei are typically bland and mitoses are infrequent. Although some tumors may show a moderate degree of nuclear pleomorphism this has not been predictive of a more aggressive course. In contrast, tumors composed predominately of columnar cells may prove to be more aggressive [314, 585].

Recognizing these tumors as carcinomas is predicated upon finding areas of infiltrative growth. Tumors arising in minor salivary glands infiltrate adjacent soft tissue and skeletal muscle and may even infiltrate bone. In major salivary glands the infiltrative growth may be limited to the gland parenchyma making it more difficult to appreciate. Perineural invasion is not a feature of this neoplasm.

### **Differential Diagnosis**

Included in the differential diagnosis are cystadenomas and all other salivary gland neoplasms that may have a cystic component. Cystadenomas are the benign counterpart of cystadenocarcinomas and do not have the infiltrative growth pattern of the latter.

Low-grade mucoepidermoid carcinomas are predominately cystic and often papillary cystic but unlike cystadenocarcinomas contain a mixture of mucous, epidermoid, and intermediate cells. Epidermoid differentiation is uncommon in cystadenocarcinomas. Also the solid proliferative component is usually larger in mucoepidermoid carcinomas than in cystadenocarcinomas.

The cystic and papillary areas of polymorphous lowgrade adenocarcinoma can also be confused with cystadenocarcinoma. Features of polymorphous low-grade adenocarcinoma which can aid in the distinction from cystadenocarcinoma include a more variable growth pattern, mucinous and hyalinized stroma, and perineural invasion.

The papillary cystic form of acinic cell carcinoma is distinguished from cystadenocarcinoma by its microcystic growth pattern and tumor cells with basophilic, PASpositive diastase-resistant zymogen granules.

### **Treatment and Prognosis**

Cystadenocarcinomas are low-grade tumors and complete surgical excision is usually curative.

These tumors are uncommon and the series by Foss et al. remains the largest to date [293]. They had followup on 40 of their 57 patients. Local recurrence occurred in three patients and four had regional lymph node metastasis, three at the time of diagnosis and one 4.5 years following initial surgery. Three patients developed local recurrences which were successfully treated. Of the 40 patients, 36 were alive and 4 died of other causes after a mean postsurgical interval of 59 months.

### Salivary Duct Carcinoma

## **Clinical Features**

First described by Kleinsasser et al. in 1968, salivary duct carcinoma is a rare high-grade tumor thought to arise from the large excretory ducts [314]. The name is somewhat misleading since essentially all salivary gland carcinomas are believed to arise from reserve cells of the ductal system.

The peak incidence of this tumor occurs in the sixth and seventh decades. It is more common in men than women by a ratio of 2.5:1. It occurs most commonly in the parotid gland (78%) followed by the submandibular gland (12%) and the minor salivary glands (10%) [293]. Salivary duct carcinoma comprises approximately 6–10% of all carcinomas of the parotid [13]. Many patients present with signs and symptoms reflecting the aggressive behavior of this tumor including a rapidly enlarging painful mass and facial nerve paresis or paralysis. In the series by Jaehne et al., approximately two thirds of the patients presented with T3 or T4 tumors [293]. Hosal et al. reported the presence of calcifications seen on CT scans in 5 of their 15 patients [84, 150, 272, 358, 425, 451, 533].

# **Pathologic Features**

Grossly salivary duct carcinomas are firm and gray white. Tumor margins may be obviously infiltrating or, less frequently, may appear deceptively discrete. Central comedo-type necrosis may be apparent on cross-section.

Microscopically the tumor is very reminiscent of ductal carcinoma of the breast. An intraductal component is characterized by solid, papillary, cribriform, and comedo growth patterns similar to intraductal carcinoma of the breast. All four growth patterns may be present in the same tumor. The invasive component typically grows as ribbons and small clusters of cells in a desmoplastic often hyalinized stroma reminiscent of invasive ductal carcinoma of the breast. It should also be stressed that invasive disease may also produce growth patterns similar to intraductal disease. In these instances invasion is recognized by a lack of a smooth round contour to the nests of tumor cells and also by a lack of a peripheral layer of myoepithelial cells [136, 205, 244, 314, 358, 451, 528]. The tumor cells are moderately large with an increased nuclear cytoplasmic ratio, abundant eosinophilic cytoplasm, hyperchromatic pleomorphic nuclei with prominent nucleoli, and frequent and abnormal mitotic figures (Fig. 3.17a, b). Perineural invasion is present in approximately 80% of cases [37, 155, 358, 398, 451]. The tumor cells are typically positive for cytokeratin and epithelial membrane antigen. Immunoreactivity for CEA has varied from 0% to 72% while staining for S-100 has varied from 0% to 41% depending on the series [285, 433, 434, 460, 518]. Salivary duct carcinomas are also frequently positive for gross cystic disease fluid protein, androgen receptor, and HER2/neu [304, 433, 460]. They are seldom positive for estrogen and progesterone receptors [433, 460]. Proliferative activity as measured by Ki-67 is usually high.

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Histologic variants of salivary duct carcinoma include sarcomatoid, micropapillary, and mucin-rich [434]. The sarcomatoid variant is biphasic consisting of both carcinomatous and sarcomatous areas histologically. The sarcomatous change is considered to be a dedifferentiated phenomenon and has also been seen in mucoepidermoid, acinic cell, adenoid cystic, squamous cell, and epithelial-myoepithelial salivary gland carcinomas [285, 518]. The sarcomatous component expresses cytokeratin and epithelial membrane antigen in addition to being positive for vimentin [316, 592]. The micropapillary variant has foci where the tumor produces morula-like papillary cell clusters which lack fibrovascular cores and are surrounded by clear spaces [13, 119]. Mucin-rich sal-



Fig. 3.17: Salivary duct carcinoma. **a** Cribriform and comedo growth patterns (H&E, 100×). **b** Higher magnification to show marked pleomorphism of tumor cells and abnormal mitotic figures (H&E, 400×)

ivary duct carcinoma is characterized by islands of tumor cells surrounded by pools of mucus which in turn are separated into compartments by bands of fibrous tissue [293].

### **Differential Diagnosis**

The differential diagnosis includes metastatic adenocarcinoma from the prostate and metastatic ductal carcinoma of the breast. Immunoperoxidase staining for prostate-specific antigen aids in ruling out metastatic prostate carcinoma [38]. It is more difficult to exclude metastatic ductal carcinoma of the breast since, in rare instances, salivary duct carcinoma is positive for estrogen and progesterone receptors. Identifying areas of intraductal (in situ) tumor would serve to distinguish salivary duct carcinoma from both metastatic prostate and breast carcinoma [293]. Less frequently other primary salivary gland cancers, namely mucoepidermoid carcinoma and low-grade papillary adenocarcinoma, enter into the differential diagnosis. Mucoepidermoid carcinomas, unlike salivary duct carcinomas, are not characterized by papillary, cribriform, and comedo growth patterns. Moreover mucoepidermoid carcinomas have a more heterogeneous cell population consisting of intermediate, epidermoid, and mucin cells. This is in contradistinction to the single, albeit pleomorphic, cell type that comprises salivary duct carcinoma. Papillary growth in a low-grade adenocarcinoma should not cause confusion with salivary duct carcinoma since this is cytologically a low-grade tumor. In addition it is primarily a cancer of minor rather than major salivary glands.

## **Treatment and Prognosis**

Because of its aggressive clinical behavior, salivary duct carcinoma is treated by complete surgical excision of the primary combined with neck dissection and adjuvant radiotherapy. Cervical lymph node metastases are present in 60–80% of cases. Hosal et al. found that 50% of patients with clinically negative (N0) lymph nodes had histologically positive lymph nodes [149]. The incidence of distant metastases ranges from 33% to 66%. Two thirds of patients die of their disease usually within 4 years of treatment [79, 149]. Death is usually from distant metastases range which occur most commonly to the lungs and bone [79, 149].

# Low-grade Cribriform Cystadenocarcinoma

### **Clinical Features**

This tumor was first described by Delgado et al. in 1996 [79, 149]. The authors proposed the term low-grade salivary duct carcinoma. Currently the preferred term is low-grade cribriform cystadenocarcinoma (LGCC) which avoids confusion with conventional salivary duct carcinoma which is a high-grade tumor with a poor prognosis.

This is an uncommon tumor, less than 30 cases having been reported [221]. Patients have a median age of 64 years and the tumor occurs with equal frequency in men and women. In a combined series of 26 cases, 25 arose in the parotid gland and one in the submandibular gland [79, 149]. Most patients seek treatment because of the presence of an asymptomatic mass.

### Pathologic Features

Tumors range in size from 0.7 to 4 cm. Grossly they are well circumscribed and typically have a cystic component which may be either focal or extensive.

Microscopically LGCC resembles atypical ductal hyperplasia of the breast or micropapillary/cribriform in situ ductal carcinoma of the breast. Single or multiple cystically dilated ducts and smaller ducts are filled by cells forming cribriform, micropapillary, and filigree papillary patterns. Areas showing a solid growth pattern may also be present. The tumor cells are cytologically bland ductal cells (Fig. 3.18a, b). Some may contain a golden brown lipofuscin-like pigment in the cytoplasm imparting an apocrine-like appearance to the cells. Immunoperoxidase stains for myoepithelial cells demonstrate that most of the tumors are in situ although some will show foci of microinvasion or small focal areas of limited invasive disease.

Low-grade cribriform cystadenocarcinomas are strongly and diffusely positive for S-100, however, they do not show evidence of myoepithelial differentiation. Stains for HER-2/neu and androgen are typically negative [170, 196, 299].

## **Differential Diagnosis**

The differential diagnosis of LGCC centers around cystadenocarcinoma and the papillary cystic variant of acinic



Fig. 3.18: Low-grade cribriform cystadenocarcinoma. **a** Infiltrating tumor with cribriform/micropapillary growth pattern (H&E,  $40\times$ ). **b** Higher magnification to show that the tumor cells are cytologically bland. This is in marked contrast to the pleomorphism seen in salivary duct carcinomas (H&E,  $200\times$ )

cell carcinoma. Although cystadenocarcinomas often have a papillary component they lack the resemblance to atypical ductal hyperplasia and ductal carcinoma in situ of the breast which is so characteristic of LGCC. In addition cystadenocarcinomas tend to have a more obvious invasive component, while LGCCs are frequently entirely in situ carcinomas or only minimally invasive [299, 421, 430, 602].

The papillary cystic variant of acinic cell carcinoma will contain acinar cells with basophilic zymogen granules which are PAS positive diastase resistant. These are not present in LGCC. LGCC may, however, contain intracytoplasmic golden yellow granules of lipofuschin-like material.

Low-grade cribriform cystadenocarcinoma is strongly and diffusely positive for S-100 and negative for androgen receptor and HER-2/neu. This is exactly opposite to the staining pattern of salivary duct carcinoma and argues against LGCC being a low- grade form of salivary duct carcinoma.

## **Treatment and Prognosis**

The treatment is complete surgical resection. Follow-up is limited because of the small number of reported cases, however, to date none have recurred [170, 196, 421, 602].

# Large Cell Carcinoma

# **Clinical Features**

Large cell carcinoma of the salivary glands is a rare highgrade neoplasm composed of large undifferentiated cells lacking any features of squamous cell carcinoma or adenocarcinoma. In some series it is two to three times less common than small cell carcinoma of salivary glands, however, in at least one series it was more common than small cell carcinoma of salivary glands [33, 280, 421].

These tumors are more common in the major than the minor salivary glands and most frequently occur in the parotid gland [348, 438]. They are rapidly growing and patients often present with involvement of adjacent soft tissue and skin. Cervical metastasis is also frequently present. Most patients are in their seventh decade when diagnosed and there is no sex bias with regard to incidence [53, 299].

## **Pathologic Features**

Grossly the tumors tend to be large, averaging 3 cm at the time of surgery. Large cell carcinomas are poorly circumscribed firm gray-white tumors which may be solid and partially cystic. The cut surface frequently has areas of hemorrhage and necrosis. Infiltration into the salivary gland parenchyma and frequently the surrounding soft tissues is obvious grossly in most cases.

Microscopically the tumor is composed of sheets, nests, and trabeculae of large undifferentiated cells which may be strikingly pleomorphic or relatively uniform (Fig. 3.19). The individual tumor cells are two to three times larger than those of small cell carcinoma and have abundant eosinophilic to amphophilic cytoplasm. Some cells may have clear cytoplasm due to the presence of glycogen. Mucin, however, is not present. Nuclei are large and pleomorphic with prominent single or multiple nucleoli. Some cells may be elongated and spindle shaped. A few large cell carcinomas have contained numerous osteoclast-like giant cells [59, 170, 253, 281, 302, 340, 426, 478, 482]. Mitotic figures are abundant and foci of necrosis are common as are perineural and angiolymphatic invasion. Some tumors may be positive for neuroendocrine markers such as synaptophysin and chromogranin A [170, 196, 251].



Fig. 3.19: Large cell carcinoma. The tumor is composed of sheets and nests of large pleomorphic cells. There is no evidence of squamous or glandular differentiation (H&E, 200×)

## **Differential Diagnosis**

Metastatic undifferentiated or poorly differentiated carcinomas, large cell and anaplastic lymphomas, and metastatic melanomas must be considered in the differential diagnosis. A thorough history and physical examination are critical in excluding the possibility of a metastatic poorly differentiated or undifferentiated carcinoma.

Large cell carcinomas have a tendency to grow in a dyscohesive cell pattern which can closely mimic large cell and anaplastic lymphomas. Unlike large cell carcinomas, lymphomas will be negative for cytokeratin and positive for lymphoid markers with immunohistochemistry techniques.

Immunohistochemistry is also invaluable in separating amelanotic melanomas from large cell carcinomas. The melanomas will be negative for cytokeratin but positive for markers such as S-100, HMB45, and Melan A.

### **Treatment and Prognosis**

Large cell carcinoma is a highly malignant tumor which requires aggressive treatment. This frequently entails radical surgery combined with radiation and chemotherapy. With undifferentiated carcinomas, cell size (large cell carcinoma versus small cell carcinoma) does not appear to alter the prognosis [170, 251, 427].

# **Small Cell Carcinoma**

## **Clinical Features**

Although most common in the lung, approximately 4% of small cell carcinomas arise from a variety of extrapulmonary sites including the head and neck where they have been reported in the larynx, pharynx, cervical esophagus, nose and paranasal sinuses, oral cavity, and salivary glands [281, 340, 366, 482]. The most common site in the head and neck is the larynx.

Small cell carcinoma accounts for less than 2% of salivary gland malignancies and less than 1% of all salivary gland neoplasms [170, 196, 482]. Over 80% arise in the parotid gland [170, 196, 251, 482]. Less frequently they arise in the submandibular gland or the minor salivary glands [427]. Approximately 60% occur in men although in some series the male to female ratio may be as high as 6:1 [251]. Patients are usually over the age of 50 years (mean 54 years) although rarely these cancers have been reported in children [483]. Most patients complain of a rapidly enlarging mass which may or may not be painful. Unlike pulmonary small cell carcinoma, there is no association between smoking and small cell carcinoma of the salivary gland [108, 432].

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## **Pathologic Features**

The tumors are gray to gray-white and firm. Infiltrative growth is usually obvious grossly.

Microscopically small cell carcinoma forms infiltrative sheets, nests, and trabeculae of cells supported by a fibrovascular stroma. Focal rosette-like formations, peripheral palisading, and ductal differentiation may be present [108]. Individual tumor cells are 1.5 to 2 times the diameter of a lymphocyte. They have round to fusiform hyperchromatic nuclei, small inconspicuous nucleoli, and scant cytoplasm. Nuclear molding and crush artifact are common. Mitoses are frequent and foci of tumor necrosis are commonly seen.

Some tumors are composed of cells which are slightly larger and have more cytoplasm corresponding to the intermediate type of small cell carcinoma of the lung. Foci of squamous differentiation may occasionally be seen [432].

Small cell carcinomas of salivary glands are typically positive for the cytokeratin stains AE1/3, CK20, and CAM5.2 frequently in a perinuclear dot-like pattern. CK7 is positive in addition to CK20 in approximately one third of cases [432]. These tumors are also positive for neuroendocrine markers, most commonly neuron-specific enolase, chromogranin, and synaptophysin.

## **Differential Diagnosis**

Most frequently entertained in the differential diagnosis are metastatic small cell carcinoma of the lung, metastatic Merkel cell carcinoma of the skin, malignant lymphoma, and the solid variant of adenoid cystic carcinoma.

Small cell carcinoma of the lung and small cell carcinoma of the salivary gland are histologically identical. Knowledge of the clinical history and physical examination along with the results of special stains will usually lead to the correct diagnosis. Small cell carcinomas of the lung are positive for CK7 and negative for CK20. In addition the perinuclear dot-like staining pattern is not seen [251, 253]. They are also positive for thyroid transcription factor while small cell carcinomas of salivary glands are usually negative, although in one series of 15 cases of small cell carcinoma of salivary glands three were positive for thyroid transcription factor [432].

Merkel cell carcinoma and small cell carcinoma of salivary gland origin have an identical immunohistochemical staining pattern including a characteristic perinuclear dot-like pattern with cytokeratin stains. A detailed history and physical examination is critical in excluding a diagnosis of Merkel cell carcinoma.

Malignant lymphomas are negative for cytokeratin and neuroendocrine markers and positive for lymphocyte markers such as leukocyte common antigen. The exact opposite is true for small cell carcinomas.

Rarely the solid variant of adenoid cystic carcinoma may mimic small cell carcinoma on routine hematoxylin and eosin stained sections. Unlike small cell carcinoma, however, it does not show a perinuclear dot-like staining pattern with cytokeratin stains and neuroendocrine stains are negative.

### **Treatment and Prognosis**

Although not as aggressive as small cell carcinoma of the lung or larynx, small cell carcinoma of the salivary glands is a high-grade malignancy and is treated aggressively. This frequently involves a combination of surgery, radiation, and chemotherapy [216, 513, 549]. Gnepp et al. reported 2- and 5-year survival rates of 70% and 46% for small cell carcinoma of salivary glands compared to 16% and 5% for those of the larynx [539]. Another series by Nagao et al. reported 2- and 5-year survival rates of 38% and 13% for small cell carcinoma of salivary glands [237, 513].

### Primary Squamous Cell Carcinoma

### **Clinical Features**

Primary squamous cell carcinomas of the salivary glands (PSSC) are rare, extremely aggressive neoplasms, the majority of patients presenting with advanced disease [384, 513]. In Spiro's review of 2,807 salivary gland tumors, PSSC accounted for only 1.9% [23, 513]. Nine percent of patients have a history of prior radiation exposure [23, 131, 237, 355, 389, 513].

In over two thirds of cases the cancer arises in the parotid gland and most of the remaining cases originate in the submandibular gland [513]. Rare cases probably arise in minor salivary glands although these may be difficult or impossible to distinguish from mucosal squamous cell carcinomas with extension into underlying minor salivary glands. PSSC is twice as common in men as women [365]. Although it has been reported in all decades of life, it is most common in the seventh decade. It is extremely uncommon in individuals below the age of 20 years [435]. Signs and symptoms include a painful rapidly enlarging mass and facial nerve palsy, although up to 50% may present with an asymptomatic mass [42, 237, 355, 389, 513].

# **Pathologic Features**

Primary squamous cell carcinomas are gray-white, firm, usually grossly infiltrative, and average 3 cm in diameter. The cut surface may show focal areas of necrosis.

Histologically the tumors are usually moderately differentiated squamous cell carcinomas. Keratin production, often with keratin pearl formation, and intercellular bridge formation are easily identified (Fig. 3.20). Less frequently the tumors are high grade. Perineural invasion is frequently present. The stroma typically show a desmoplastic response.



Fig. 3.20: Primary squamous cell carcinoma. Glassy cytoplasm and intercellular bridges identify this tumor as a squamous cell carcinoma (top half of figure). Residual salivary gland is present in the lower half of figure (H&E,  $100\times$ )

## **Differential Diagnosis**

Squamous metaplasia, necrotizing sialometaplasia, keratocystoma, high-grade mucoepidermoid carcinoma, and metastatic squamous cell carcinoma all enter into the differential diagnosis.

Squamous metaplasia may occur in other neoplasms in response to inflammation and leakage of cyst contents such as may occur for example in Warthin's tumor. Prior fine-needle aspiration of benign tumors may also induce squamous metaplasia [216, 237, 355].

Preservation of normal lobular architecture and lack of invasion best serve to distinguish necrotizing sialometaplasia from PSSC. These features may, however, be difficult to appreciate in a small biopsy.

Keratocystoma is a benign cystic neoplasm in which cystic spaces are filled with keratin and lined by regularly oriented bland squamous cells. Although some solid squamous islands may be present there is no evidence of invasive, destructive growth [23, 216, 237, 355].

Mucoepidermoid carcinomas must, by definition, contain mucous cells. Mucous cells, however, are not numerous in high-grade tumors and indeed may not be recognized in some cases, unless the sections are stained with PAS-diastase or mucicarmine stains. While composed primarily of squamous (epidermoid cells), high-grade mucoepidermoid carcinomas do not show the extensive intracellular keratinization, keratin pearl formation, and intercellular bridge formation characteristic of PSSC.

Metastatic squamous cell carcinoma to salivary glands is more common than PSSC. This is particularly true in the parotid with its rich supply of both peri- and intraglandular lymph nodes [359]. The presence of a focus of squamous cell carcinoma in situ would serve to distinguish PSSC from metastatic squamous cell carcinoma. Such foci are seldom seen however because most PSSCs present at an advanced stage with obliteration of any foci of in situ disease. The clinical history and physical examination may provide valuable information. Squamous cell carcinoma metastatic to the parotid usually originates from skin lesions of the head and neck. The skin of the frontal and temporal areas, the periorbital region, the cheek, the pinna, the external ear canal, and the pre- and postauricular areas are favored sites. Tumors at these sites are usually clinically obvious long before metastases to the parotid.

## **Treatment and Prognosis**

This is an aggressive tumor and radical resection with neck dissection is usually indicated. The facial nerve may be spared if not directly infiltrated by tumor. Up to 75% of patients have histologically positive cervical lymph nodes. There is a significant incidence of local-regional recurrence which has led to the use of postoperative radiation therapy in may instances. Distant metastases occur in 20–30% of patients. The 5-year survival rate is 25%.

### **Take Home Messages**

- Salivary gland pathologic entities have a wide morphologic spectrum, and there may be considerable overlap between non-neoplastic, benign, and malignant entities.
- Non-neoplastic disease is often a manifestation of an underlying systemic disease. Necrotizing sialometaplasia is important to consider as it may histologically mimic malignancy. Marginal zone B-cell lymphoma may be a late complication of autoimmune MESA.
- Benign tumors are varied and named for their cell type. They have an appreciable recurrence rate if incompletely excised. Pleomorphic adenomas can behave aggressively after multiple recurrences without having malignant histologic features. Some tumors that are multifocal such as canalicular adenomas and Warthin's tumors, imply a field effect, while membranous type basal cell adenoma is multifocal often as a result of known mutations. Malignant transformation is extremely rare.
- Malignant tumors are as varied as their benign counterparts. Certain tumors are almost always low grade (i.e., polymorphous low-grade adenocarcinoma, acinic cell carcinoma, epithelial-myoepithelial carcinoma), while others are definitionally high grade (i.e., salivary duct carcinoma, large cell carcinoma, and small cell carcinoma). Malignant mixed tumor should be considered a category of disease with several clinicopathologic variants rather than a distinct entity. Histologic grading systems are most important in adenoid cystic carcinoma and mucoepidermoid carcinoma, while understanding of pathologic prognosticators in other malignant tumors is still not well established.

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