

13 The Diverse Nutrient Strategies of Harmful Algae: Focus on Osmotrophy

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13.1 Introduction and Terminology

Ever since the discovery of microscopic flagellates, the manner in which they sustain themselves has been a topic of interest. Indeed, in 1677 Anthoni van Leeuwenhoek proposed to call the flagellates that he observed ‘animalcules’ after observing their motion, “When these animalcules bestirred ‘emselves, they sometimes stuck out two little horns, which were continually moved, after the fashion of a horse’s ears“ (re-quoted by Milius 1999). Today, there is much interest in identifying the mode of nutrition of plankton, especially harmful algae, for a variety of reasons. As many harmful algal blooms (HABs) have been associated with eutrophication and/or alterations in the amount and form of nutrients supplied (reviewed by Glibert et al. 2005), linking HABs nutrition with specific nutrient forms is a key management issue. Additionally, as models are developed for the prediction of HABs, accurately relating growth to the nutrient supply is essential. Lastly, there is much to be learned about adaptive physiology from cells that have a diversity of nutritional mechanisms.

Many HABs rely strictly upon photosynthesis for their carbon, and use inorganic nutrients for their nutrition: these are the *autotrophs*. Some phototrophic species, however, have alternative pathways for acquiring carbon or nutrients: these are the *mixotrophs*. They use dissolved or particulate organic substances to renew their cellular reserves of carbon, macronutrients, amino acids, trace elements or phospholipids (Raven 1997; Stoecker 1999; Granéli et al. 1999). In applying this terminology to nutrition, mixotrophy encompasses several processes, including *osmotrophy*, nutrition by direct absorption and uptake of organic molecules, and *phagotrophy*, ingestion of prey or other food particles. When carbon is incorporated, the process is *heterotrophy*.

Many mixotrophic species display a continuum of dependence on these alternative pathways. Some species only display osmotrophy or phagotrophy

when particular cellular requirements can apparently not be met by autotrophy. Other species have lost the ability for autotrophy and rely exclusively on phagotrophy and osmotrophy (Jones 1994). Heterotrophic dinoflagellates are among the species that have lost the ability for autotrophy. In fact, roughly half of all dinoflagellates are obligate heterotrophs (Smayda 1997). *Noctiluca* is a well-recognized example of a phagotrophic, heterotrophic dinoflagellate (Kimor 1979). Some heterotrophic dinoflagellates (e.g., *Pfiesteria*) can 'borrow' or 'steal' functional chloroplasts from its prey; this strategy is termed *kleptochloroplastidy* (Skovgaard 1998). The continuum of processes also includes such strategies as *dasmotrophy*, as found for the genus *Chrysochromulina*, in which extracellular toxins perforate the cell membrane of prey, inducing osmosis and leakage of organic compounds available for uptake or incorporation (Estep and MacIntyre 1989).

This chapter focuses on our current understanding of osmotrophy in HABs. This chapter is not intended to be a review of the uptake of organic compounds by algae (see recent review by Berman and Bronk 2003), but rather an introduction to the importance and diversity of this nutritional strategy for HABs.

13.2 Osmotrophy Pathways and Methods to Explore Them

One of the factors complicating the ability to trace the pathways of uptake of organic nutrients is the fact that in nature, the range of organic compounds is large, including dissolved amino acids, nucleic acids, polypeptides, polyphenolic substances and polysaccharides. Many are unknown or not well characterized, many are refractory, and others are highly variable in time and space (Antia et al. 1991; Bronk 2002). Ultra-filtration techniques have been used to characterize DOM by broad molecular weight fraction (e.g., Benner et al. 1997), but such approaches do not allow characterization or identification of individual compounds. Using ^{15}N -NMR spectroscopy, it has recently been found that there are different pools of high molecular weight DON in the oceans and they may have different chemical and biological reactivity (Aluwihare et al. 2005). This approach has yet to be applied to eutrophic coastal regions and may be useful in characterizing which DOM compounds are bioavailable during HAB outbreaks.

For the determination of the rates of uptake of specific organic compounds, stable isotopic or radiotracer techniques can be used. These are analogous to the techniques used for inorganic nutrient uptake. These techniques are limited, however, because only selected compounds can be enriched with a stable or radioactive tracer. Studies in which radioisotopes have been used to trace the uptake of specific organic compounds have a long history in the literature (e.g., Wheeler et al. 1974). Some natural dissolved organic nitrogen

(DON) compounds have been prepared with ^{15}N labels, but this involves growing natural assemblages or cultures with a ^{15}N substrate, isolating and concentrating the released DON and using this concentrate as a tracer in subsequent experiments (e.g., Bronk and Glibert 1993; Veuger et al. 2004). These experiments have not been widely conducted because of the difficulty and variability in the DON isolates. Other investigators have used organic compounds that are labeled with two tracers, such as ^{15}N and ^{13}C to assess the extent to which an organic compound may be used for nutrient or carbon (e.g., Mulholland et al. 2004).

Osmotrophy pathways are numerous and include direct uptake as well as extracellular oxidation and hydrolysis, and pinocytosis (Fig. 13.1). Enzymatic measurements have been used to determine some of the pathways involved in the incorporation and degradation of organic compounds (Chrost 1991). Several enzymes illustrate these pathways. Urease is an intracellular enzyme that has been characterized in relation to the uptake of urea. Urease activity appears to be constitutive for many algal species but may be higher in many

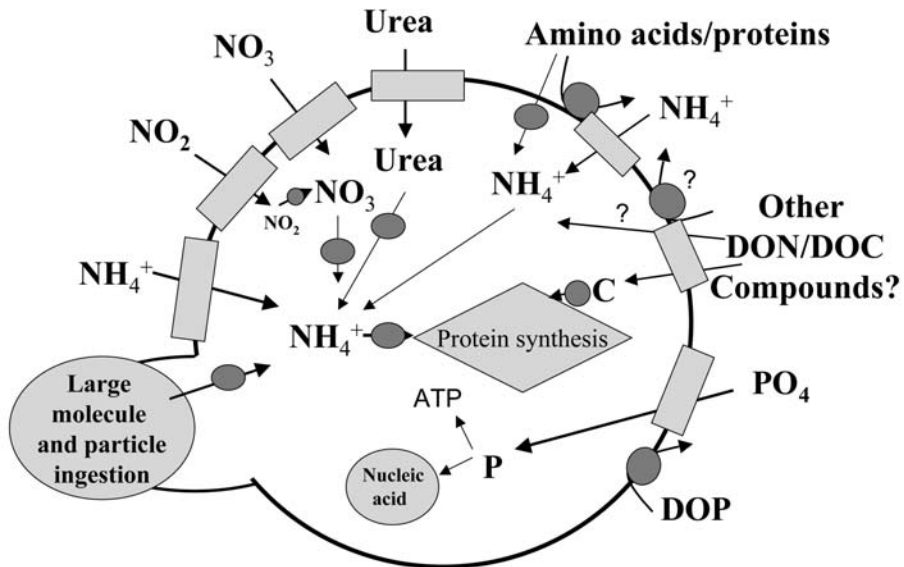


Fig. 13.1. Schematic of the various pathways by which HABs may acquire their nutrients. All phytoplankton can transport nitrate, nitrite, ammonium, urea and phosphate across cell membranes via passive diffusion or active transport. Some species can transport large organic molecules across their cell membrane, and some have cell surface enzymes for breaking down larger organic molecules before transporting the nutrients. Some species have the ability to phagocytize other cells, particles and/or large molecules. Although many pathways are shown, this is not intended to imply that all HABs have all capabilities. Pathways that involve enzymatic reactions are indicated with a *circle*. Pathways for which there is much uncertainty are indicated with a *question mark*

HAB species compared to non-HABs. For example, urease activity has been found to be sufficiently high in *Aureococcus anophagefferens* and *Prorocentrum minimum* to meet the cellular nitrogen demand for growth but insufficient to meet this demand in the diatom *Thalassiosira weissflogii* (Fan et al. 2003). In *Alexandrium fundyense* urease activity was shown to be seasonally variable and related to the toxin content of the cells (Dyhrman and Anderson 2003).

Another ecologically important enzyme is alkaline phosphatase (AP). This enzyme is responsible for the hydrolysis of organic phosphorus sources, such as sugar phosphates, phospholipids, and nucleotide phosphates, among other compounds. This enzyme is located on the cell surface, membrane-bound. In general, activity of AP increases upon phosphate stress and thus is an excellent ecological indicator of phosphorus limitation (Dyhrman 2005). Polyclonal antibodies have been developed to AP in selected species and whole-cell immunolabeling approaches have been applied in the field to determine the AP activity of *A. fundyense* in mixed assemblages (Dyhrman and Palenik 1999). Such approaches allow for species-specific activities to be determined, and advance our understanding of the nutrient status of individual species within mixed assemblages.

Some species have the capability for the breakdown of other organic compounds at the cell surface. These species have enzymes that can oxidize or hydrolyze amino acids or proteins. Extracellular amino acid oxidation has been shown to be expressed to a greater degree by dinoflagellates compared to some diatoms, and furthermore, to be expressed to a greater degree when inorganic nutrients are at or near depletion (Palenik and Morel 1990; Mulholland et al. 1998). Also occurring at the cell surface of many flagellates is peptide hydrolysis. Both peptide hydrolysis and amino acid oxidation may be important in some HABs, e.g., *A. anophagefferens* in natural communities (Mulholland et al. 2002). Leucine amino peptidase is another protease that hydrolyses peptide bonds and liberates amino acids (Dyhrman 2005). It is measured by assessing the rate of hydrolysis of an artificial substrate, and has been shown to be of potential significance in dinoflagellates and other HAB classes. Stoecker and Gustafson (2003), for example, demonstrated in Chesapeake Bay that Leu-amino-peptidase activity was associated with a dinoflagellate bloom, and that in non-axenic cultures of *Akashiwo sanguinea*, *Gonyaulax grindleyi*, *Gyrodinium uncatenum*, *Karlodinium micrum* and *P. minimum* the activity was associated with the dinoflagellates and not the bacteria.

The direct uptake of larger organic molecules by osmotrophic dinoflagellates is largely unknown, but there is evidence that the mechanism involves pinocytosis (endocytotic uptake), at least in some species. For example, *Prorocentrum micans* can accumulate fluorescently labeled proteins in the pusule, an organelle that functions in osmoregulation, waste disposal and/or nutrition (Klut et al. 1987). Fluorescently labeled high molecular weight dex-

trans were also found to be taken up by *Alexandrium catenella* and to accumulate in small vesicles in the cells (Legrand and Carlsson 1998). In the same species, Doblin et al. (2001), using autoradiography, found that radioactively labeled humic substances were also taken up by the cells. The process of pinocytosis begins to blur the distinction between osmotrophy and phagotrophy and many species, such as *Alexandrium* spp., can use the same pathway to capture large organic molecules or prey.

Several new methods are being applied to the study of the large suite of individual compounds in natural mixes of dissolved organic matter (DOM) and their uptake by HABs (Glibert et al. 2005). Electrospray mass spectrometry is a tool that can be used to identify and quantify the presence of a broad suite of compounds in a natural sample and to track their rate of change under experimental conditions (Seitzinger et al. 2005). Other advances in flow cytometry, epifluorescence microscopy and the detection of gene expression, using quantitative reverse transcription PCR, show promise in detecting expression of enzymes and can help to isolate the source of the signal in a natural sample (Dyhrman 2005). New in situ nutrient monitoring systems provide data on both inorganic and organic nutrient fluxes on the time and space resolution necessary to define the ephemeral changes that may be significant to phytoplankton cells. There are thus many new tools to address the composition and fluxes of DOM and the physiological capability of cells to use distinct fractions of available DOM. Although many approaches are now available, there is a need to integrate both qualitative and quantitative approaches to estimate the importance of osmotrophy to HABs in nature.

13.3 Cellular Costs and Benefits of Osmotrophy

The photosynthetic apparatus constitutes up to 50% of the cell biomass and uses a high proportion of the cellular budget of energy and nutrients. All autotrophs have the ability to assimilate organic molecules since they possess enzymes in the Krebs cycle. Thus, the costs and maintenance of transplasma membranes, lysosomes and specific enzymes used in the uptake and digestion of organic molecules is similar in osmotrophs and strict autotrophs. In contrast, strict heterotrophs have higher growth efficiencies than strict autotrophs and mixotrophs because they do not have the cellular maintenance costs of the photosynthetic apparatus (Raven 1997; Stoecker 1999). There is some evidence that the energetic costs for mixotrophy may be higher in brackish waters than in marine environments, due to the physiological constraints of osmotic stress in a brackish system (Moorthi 2004). Although there may be higher cellular costs to maintaining mixotrophy, there are obvious advantages. Facultative mixotrophs can switch from autotrophic to heterotrophic nutrition under unfavorable environmental

conditions (light, nutrients), resulting in survival and potentially increased growth compared to those species unable to accommodate to unfavorable conditions. It has thus been hypothesized that osmotrophy in HABs is an advantage that may help them thrive during periods when inorganic nutrients may not be sufficient.

13.4 Ecological Significance of Osmotrophy

For osmotrophy to be of significance ecologically, the organisms present must have the capability for uptake of complex organic nutrients and/or carbon, and such compounds must be available in the environment. To illustrate the ecological importance of osmotrophy, several examples are described, which show both the diversity of the sources supporting HAB osmotrophy and the significance of these sources in their proliferation.

Blooms of the dinoflagellate *Karenia brevis* generally originate offshore, in oligotrophic waters, and may be stimulated by sources of nutrients that are not of anthropogenic origin. Indeed, it is thought that these blooms are stimulated by organic matter produced by blooms of yet another HAB species of the cyanobacterium genus *Trichodesmium* (Walsh and Steidinger 2001). *Trichodesmium* spp. are diazotrophs that can form large blooms in tropical and subtropical regions of the world's oceans (LaRoche and Breitbarth 2005). When these cells are actively fixing N_2 they release ammonium and DON, mostly in the form of low molecular weight compounds (Capone et al. 1994; Glibert and Bronk 1994). The release of DON during blooms can enrich the ambient DON within blooms by 50–500% (Karl et al. 1992; Glibert and O'Neil 1999). Using isotopic techniques in which the *Trichodesmium* were first enriched with $^{15}N_2$, and the released DON was subsequently collected and provided to natural *K. brevis*, the direct uptake of this source of nitrogen was documented (Bronk et al. 2004).

DON stimulates another dinoflagellate, *P. minimum*, during its early stages of development, but in this case, DON is typically of terrestrial or anthropogenic origin. The occurrence of large *P. minimum* blooms has often been linked to eutrophication in coastal environments (Heil et al. 2005). A large proportion of river nutrient loading is in the form of humic substances, high molecular weight organic compounds which, while generally considered refractory, may stimulate *P. minimum*. In mesocosm experiments, Granéli et al. (1985) showed that dinoflagellate populations, including *P. minimum*, were stimulated by inorganic nitrogen only when added in combination with humic acids. Carlsson et al. (1999) found that humic additions stimulated the growth of this dinoflagellate, and that *P. minimum* used 35% of the DON from the added humics. Heil (2005) also found that organic additions stimulated growth rates and cell yields of *P. minimum*, but the

extent of stimulation varied with the organic fraction examined and its molecular weight: stimulation was greatest with humic and fulvic acids and lowest with hydrophilic acids.

Aureococcus anophagefferens provides yet another model of the role of DON in stimulating brown-tides. Development of these blooms has previously been correlated with years of low rainfall (LaRoche et al. 1997), in turn leading to higher ratios of organic: inorganic nitrogen. Studies in natural blooms have substantiated high uptake rates of organic nitrogen (e.g., Berg et al. 1997). Lomas et al. (2004) identified the benthos as an important source of both organic nitrogen and phosphorus in the Long Island, New York embayments where these blooms are most common. Recent reports underscore the importance of DON, specifically low molecular weight DON (urea and amino acids), in promoting growth of these cells and therefore the development of blooms, particularly under low light (Pustizzi et al. 2004; Mulholland et al. 2004). Peptides, acetamide and urea contribute significantly to the growth of *A. anophagefferens* under axenic conditions (Berg et al. 2002).

Urea has been related to several HAB outbreaks. Urea is a simple organic molecule with significant ecological implications because of the growing use of urea as an agricultural fertilizer (Glibert et al. 2006). Blooms of cyanobacteria have been found to be directly related to urea availability or rates of urea uptake (e.g., Berman 1997; Glibert et al. 2004). In Florida Bay, the fraction of the algal community composed of cyanobacteria was positively correlated with the fraction of urea-N uptake and negatively correlated with the fraction of nitrate-N uptake (Glibert et al. 2004). In the Baltic Sea, urea was among the nitrogen compounds shown to contribute significantly to the growth of the filamentous cyanobacteria *Aphanizomenon* sp. and *Nodularia spumigena* (Panosso and Granéli 2000; Berg et al. 2003). Urea has also been found to promote faster growth than other nitrogen sources in *A. ovalisporum* and *T. theibautii* (Berman and Chava 1999; Mulholland et al. 1999). Urea has also been found to be significant for several HAB dinoflagellate outbreaks, such as in blooms of *Lingulodinium polyedrum* off the coast of California (Kudela and Cochlan 2000) and *Alexandrium catenella* from the Thau lagoon, Mediterranean Sea (Collos et al. 2004).

Several HAB events are related to an elevation in the ratio of dissolved organic carbon (DOC):DON. Conditions where dissolved organic nutrients and DOC concentrations are high will likely favor osmotrophs over strictly photosynthetic protists. Three separate blooms of *Pfiesteria piscicida*, *P. minimum* and *A. anophagefferens* in Chesapeake Bay were all correlated with elevated DOC:DON ratios relative to the long-term mean (Glibert et al. 2001). The elevation in this ratio for these particular blooms was a reflection of both elevated levels of DOC as well as a depletion of DON. Lomas et al. (2001) showed this relationship to be robust for numerous brown tide blooms in Long Island, New York. Furthermore, during a bloom of *Gymnodinium* spp. in Kuwait Bay, the ratios of DOC:DON for stations collected within the bloom

were approximately twice those determined for non-bloom stations with a mixed phytoplankton assemblage (Heil et al. 2001).

The extent to which carbon from DOC is used by osmotrophs is highly variable. Amino acid carbon may be used more readily than urea-carbon in *A. anophagefferens* (Mulholland et al. 2004). It is thought that DOC is important in the growth and maintenance of blooms of many *Dinophysis* species, including those responsible for diarrhetic shellfish poisoning (DSP). These blooms are often found in light limited environments, such as off the French coast or in the Baltic Sea, where they proliferate in thin layers in the region of the thermocline where light is less than 1% (Gisselson et al. 2002; Gentien et al. 2005). Utilization of DOC in these species has been documented by the uptake of ^{14}C in organic compounds in the dark (Granéli et al. 1997) and enrichment experiments with natural dinoflagellate communities (Purina et al. 2004). These results suggest that *Dinophysis* can compensate for reduced irradiance by feeding heterotrophically.

13.5 A Comment on Evolutionary Aspects of Osmotrophy

The endosymbiotic theory of eukaryote evolution was introduced by Margulis (1975) and more formally described by Margulis (1981). The concept that symbiotic relationships gave rise to many organelles, including chloroplasts, has now been vastly extended. Whereas the primary plastids all likely trace back to a cyanobacterial endosymbiotic event, the phylogenetic evidence now suggests that early branching of the eukaryotic phototrophs gave rise to two major clades, the “green algae”, which contain chlorophyll-*b* (chl-*b*) and the “red algae” which contain chlorophyll-*c* (chl-*c*) (Delwiche 1999; Grzebyk et al. 2003). With respect to the dinoflagellates, there is evidence for secondary plastid endosymbiotic events, leading to dinoflagellates with either chl-*b* or *c* (Delwiche 1999). Future genomic analyses will be of value in resolving the number and nature of endosymbiotic plastid events (Palmer 2003).

Even with the uncertainties in understanding plastid endosymbiotic evolution, the evolution of mixotrophy is more complex than autotrophy. Raven (1997) suggested that phagotrophy is a primitive character and its absence in photosynthetic protists a derived trait. Osmotrophs take up organic substances via importers localized in the plasma membrane, just like phagotrophs, but they do not have proper food vacuoles and digestion enzymes are likely excreted into the environment. This suggests that in an evolutionary sense, osmotrophy may have preceded phagotrophy because without membrane importers food vacuoles would have no function (Martin et al. 2003). However, this idea is not without controversy, given the traditional view that phagocytosis was necessary for eukaryotic evolution. Mixotrophy is found in a large number of planktonic groups, suggesting independent evolu-

tion (Jones 1994). How eukaryotes became osmotrophs in the first place remains unclear, and genomic analyses are needed to see if eukaryotic genes involved in osmotrophy (e.g., cell membrane transport proteins involved in the uptake of specific organic compounds) share similarities with those found among bacteria.

13.6 Conclusions

Organic forms of nutrients originate from various sources, natural and anthropogenic. Organic nutrients are, in turn, used by many HAB species that have multiple acquisition mechanisms. The strategies for nutrient and carbon acquisition by HABs are thus far more complex than were thought a decade or two ago. With the application of the host of methods now available to characterize and quantify organic matter and to measure nutrient and carbon fluxes, the role of osmotrophy in HAB dynamics will increasingly be recognized. However complex, these processes must be better understood, quantified and incorporated into models in order to advance our ability to understand population and food web dynamics and to predict the occurrences of HABs.

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