

Chapter 8

Nonviral Delivery Systems for Gene Therapy for Retina and Posterior Segment Disease



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Abstract Gene therapy is a hopeful strategy for the treatment of retinal disorders with no effective treatment. Gene replacement therapy is the most widely used strategy to modulate the gene expression in clinical research of inherited or acquired ocular diseases. Viral vectors are at the forefront of translational gene therapy mainly due to their high efficacy; nevertheless, concerns regarding safety have fostered the progress of nonviral therapy. Nonviral systems are non-immunogenic and avoid the risk of insertional mutagenesis. Moreover, they can be easily produced at large scale and have the potential to deliver larger genetic payloads. However, vector engineering to attain tissue-selective targeting and/or regulate the extent of gene expression is a challenging issue of nonviral gene therapy. Subretinal or intravitreal injections are the best option for the success of gene delivery to the posterior segment of the eye, regardless of the type of vector used. Preclinical studies with nonviral vectors have shown encouraging results for the treatment of macular degeneration and some inherited retinal disorders such as X-linked retinoschisis, Stargardt disease, retinitis pigmentosa, and Leber congenital amaurosis. These recent advances point to nonviral gene therapy as a feasible therapeutic tool for retinal disorders.

Keywords Nonviral vectors · Gene therapy · Ocular diseases · Solid lipid nanoparticles · Liposomes · Polymeric nanoparticles

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Introduction

Ocular gene therapy is a hopeful approach to treat, cure, or prevent diseases changing the gene expression in the eyes. According to the European Medicines Agency (EMA), a gene therapy medicinal product means a biological medicinal product which fulfills the following two characteristics: (a) it contains an active substance which contains or consists of a recombinant nucleic acid used in or administered to human beings with a view to regulating, repairing, replacing, adding, or deleting a genetic sequence; (b) its therapeutic, prophylactic, or diagnostic effect relates directly to the recombinant nucleic acid sequence it contains or to the product of genetic expression of this sequence [44]. The modulation of the gene expression to treat inherited or acquired pathological conditions can be addressed by introducing DNA, messenger RNA (mRNA), small interfering RNA (siRNA), microRNA, or oligonucleotides. Gene therapy based on the administration of DNA and mRNA acts by means of therapeutic protein supplementation, whereas the use of siRNA and microRNA provides a posttranslational gene silencing. An emerging field in the treatment of monogenic disorders is the genome editing, which corrects the disease by replacing a sequence of a defective gene by a healthy copy in order to restore the “wild-type” DNA, enabling the cell to produce what is needed to have optimal phenotypic outcome [32]. *In vivo* approach aimed at treating the mutations directly implies the use of sequence-specific endonucleases, such as meganucleases, zinc-finger nucleases (ZFNs), transcription activator-like effectors (TALENs), and CRISPR/Cas (clustered regularly interspaced short palindromic repeat (CRISPR)-associated) systems [104].

Among the organs targeted by gene therapy, the eye has been at the forefront of translational gene therapy largely due to appropriate disease targets and its suitable anatomic features. The main advantages of the eye when treated with gene therapy include the following: it has a well-defined anatomy, it is accessible, it is relatively immune privileged, it can be easily examined, and in the same subject, one eye can be used as the experimental target and the other one as a control [93]. These advantages have fostered research that has culminated in various gene therapy clinical trials for ocular diseases, most of them related to disorders of the retina, a major cause of severe vision impairment.

Various strategies can be applied in retinal gene therapy depending on the underlying disease. Gene replacement is employed for the treatment of disorders that are due to loss-of-function mutations, and it is based on the delivery of a correct copy of the defective gene without removal of the endogenous mutant one. Gene silencing inhibits the expression of a mutated gene via modification of mRNA, and it is applied to disorders caused by gain-of-function mutations [96]. *In vivo* retinal genome editing is under preclinical approach at the moment, although it is a very active field and advances at a rapid pace. Currently, in the database of Gene Therapy Clinical Trials Worldwide [50], 34 clinical trials restricted to “ocular diseases” are reported, with gene replacement therapy being the most widely used strategy.

The clinical application of gene therapy has been limited owing to many technical barriers. Among them, the development of safe and effective delivery vectors is a key challenge. Although viral vectors have substantially advanced the field of gene therapy thanks mainly to their high efficacy, concerns regarding safety are bringing interest in the progress of nonviral therapy. Viral vectors, apart from the immunological and oncogenic risk, present other disadvantages such as the limited gene size packaging capacity and production difficulties. Nonviral systems have the potential to overcome many of these drawbacks; they show generally a very low immunogenicity and avoid the risk of insertional mutagenesis. Moreover, they have also the potential to deliver larger genetic payloads, and their production is simpler, cheaper, and more reproducible than viral vectors [39, 89]. Nevertheless, despite the development of a wide variety of nonviral vectors, low transfection efficacy remains the main obstacle for the progress of these systems toward the clinic. In fact, 23 from the 34 clinical trials reported for ocular diseases use viruses as vectors. Different strategies and efforts are still ongoing in the field of nonviral vectors thanks to the advances in material sciences, including the design of new lipid and polymers useful for gene delivery, the rapid progress of nanotechnology, and the progress in nucleic acid chemistry [105].

Nonviral Vectors for Retinal Gene Therapy

Nonviral strategies based on physical methods (iontophoresis, electroporation, gene gun, nucleofection) have achieved considerable progress, but gene expression efficiency is still a limitation [20, 30, 79]. Chemical nonviral vectors are the most widely studied, including the nanoparticulated systems. Nanoparticles are exceedingly suitable for gene therapy because of their small size, ability to access the intracellular compartment, incredible surface-area-to-volume ratio, capacity to carry large payload, and minimal damage to cell membranes and cellular environment [77]. Another important advantage of nanoparticles is the capacity to transport different ligands such as antibodies, peptides, molecular sensors, and probes, among others, to target cells with high precision and specificity. Nonviral particles for gene therapy can be broadly divided into two groups depending on the material employed: lipidic systems, named lipoplexes, and polymeric systems, called polyplexes [108].

Lipidic Delivery Systems

The assembly of cationic lipids and nucleic acids through electrostatic interactions results in complexes named lipoplexes. However, cationic lipids confer excessive positive surface charge which has been shown to enable increased protein interactions and compromised distribution kinetics through rapid blood clearance as well as immune stimulation [47]. In order to minimize immunotoxicity of cationic lipids,

lipid nanoparticles (LNP) have provided remarkable results in recent clinical trials. Among LNP, liposomes and solid lipid nanoparticles (SLNs) are the preferred ones to deliver nucleic acids.

Liposomes are spherical vesicles composed of an aqueous compartment surrounded by a phospholipid bilayer of natural or synthetic origin, with size that can range from 20 nm to a few microns. Due to their resemblance to biological membranes, liposomes show higher biocompatibility than polymeric vehicles, which contribute to better delivery systems. Technological factors, such as the lipid-to-nucleic acid ratio or total lipid concentration in the final complex, are determinant for efficient gene delivery. Liposomal encapsulation of nucleic acids has shown to be an effective method to transfect corneal cells, inner retinal layer, and retinal pigment epithelia (RPE) [74]. In order to improve the efficacy and site specificity, significant effort has been dedicated to modify the composition and chemical structure of liposomes [1]. Different compounds have been incorporated to their structure, such as protamine sulfate [69, 88], poly-ethylene glycol (PEG) [85], or Arg(R)-Gly(G)-Asp(D) motif peptides [25].

SLNs are considered one of the most effective lipid-based colloidal vehicles [93]. SLNs consist of an aqueous dispersion of a layer of surfactants surrounding a solid lipid core, with particle sizes ranging from 50 to 1000 nm. Like liposomes, SLNs are composed of well-tolerated physiological lipids, often approved in pharmaceutical preparations for human use. Moreover, they have demonstrated good stability and can be sterilized and lyophilized. To improve the capacity of transfection, a variety of ligands can be incorporated on the SLNs surface, including dextran [41], protamine [40], cell penetration peptides [37], chitosan [43], or hyaluronic acid (HA) [5]. These components provide a higher protection to the genetic material, favor the cell internalization, and/or improve the trafficking of the nucleic acids inside the cell [93]. SLNs loaded with different plasmids have been shown to transfect retinal cells after intraocular administration by different routes in rats [42] and in mice [6, 7]. Other lipid-based systems studied for gene delivery to the retina include niosomes [73, 80] and span-polyarginine nanoparticles [86].

Polymeric Delivery Systems

Several polymers have been assayed to prepare polymeric nanoparticles, either of synthetic nature, such as poly-lactic-co-glycolic acid (PLGA), poly-L-lysine (PLL), or polyethylenimine (PEI), or being readily available in nature, such as chitosan or cyclodextrins [81]. These materials have a great potential for gene delivery because they generally have good biocompatibility and biodegradability, both properties related to their chemical structure. Another advantage is that polymers allow for adequate vector size as well as structural modifications, which is an important strategy to increase the efficiency of the delivery process. PEI nanoparticles have emerged as a powerful tool for nonviral transfection mainly because PEI promotes the endosomal escape; this system has demonstrated capacity to deliver antisense

oligonucleotides *in vitro* in rat retinal Müller glial cells and also *in vivo* after intravitreal administration [52, 59]. However, the cationic pDNA/PEI complexes have shown cytotoxicity on human RPE culture cells (ARPE-19) and strong aggregation in the vitreous body; high gene expression in the retina without such cytotoxicity after intravitreal administration was achieved by coating the PEI complexes with anionic polymers [65].

Polyesters, including poly(lactic) acid (PLA), poly(glycolic) acid (PGA), and their copolymer PLGA, have been also used for retinal nucleic acid delivery due to their ability to bind plasmids, their nontoxic features, and rapid internalization capacity [12, 23].

Polysaccharide-based nanoparticles are well suited for ocular gene delivery. HA and chitosan have been combined to obtain gene delivery nanoparticles (HA-CS-NP) for ocular applications [35]. The combination of HA-CS-NPs with cationic lipids has also been proposed as an effective nonviral vector for application in eye diseases [49].

Albumin [8], dendrimers [71], and PLL [70] are polymeric compounds also proposed as nucleic acid delivery systems for ocular applications. These compounds are able to protect the genetic material and to internalize them into the cell cytoplasm, increasing their presence in the nucleus.

Poly(2-(N,N-dimethylamino)ethyl methacrylate) (PDMAEMA) has been described as a very interesting polymer for gene therapy, and it is less toxic than PEI [106]. Recently, PDMAEMA, synthesized by reversible addition-fragmentation chain transfer in a defined-size polymer, has been able to direct gene expression in the RPE cell line D407 [15].

Barriers for Successful Nonviral Retinal Gene Therapy

The success of a treatment for a retinal disease based on gene therapy is greatly dependent on the selection of the most appropriate route of administration and the availability of a system efficiently internalized by the target cells. The ideal administration route will be the one that leads to the highest transfection rate for the targeted retinal cell type and the least risk of side effects. Topical administration is not currently an effective route to reach therapeutic concentrations of drugs in the back of the eye, especially in the case of large molecules such as the nucleic acids. Different periocular routes may be suitable for ocular administration including peribulbar, retrobulbar, posterior juxtasclear, sub-tenon, and subconjunctival, the most studied for gene delivery [60]. In a previous study in mice, after subconjunctival injection of RNA-loaded particles, most of them migrated toward the cornea, the targeted cells, although appreciable uptake by retinal cells was also observed [45]. In spite of the utility of the periocular routes, for a successful delivery of active molecules to the posterior segment of the eye, intravenous or intraocular administrations have been shown to be better options [11, 38]. The main barrier for drugs or genes injected systemically is the blood-retinal barrier, limited to large systemic

doses of lipophilic molecules. Targeting strategies have led to gene expression in the inner retina and the RPE after intravenous administration in mice, but bioavailability is still a major limitation [107].

Injection of the vectors into the subretinal space allows the contact of the nucleic acids with photoreceptors (PR), outer retinal layers, and RPE cells. Studies in mice have shown that subretinal delivery is the most effective to transfect PR and RPE cells; in fact, this route is the most used for inherited retinal diseases [108]. However, subretinal administration is an invasive method, and there is a high risk of ocular damage, i.e., lesions in RPE, hemorrhages, retinal tears, sub- or preretinal fibrosis, and retinal detachment [16]. Drug delivery by intravitreal injection is relatively easy, high doses are possible, and it is already routinely used. Although intravitreal delivery is less invasive than subretinal administration, adverse events such as retinal detachment or endophthalmitis may occur [66]. Suprachoroidal administration, below the sclera and above choroid, is safer than subretinal route and delivers the drug close to RPE, although it has shown to be little effective. Touchard et al. [97] have developed a transfection method called suprachoroidal electrotransfer, which combines the administration of a nonviral plasmid DNA with the application of an electrical field. However, from a practical point of view, only subretinal and intravitreal administration provide a significant concentration of the therapeutic compound in the target tissue.

Once the vector reaches the retinal cells, it has to overcome several physical barriers, including cellular internalization, escape from endocytic vesicles, diffusion through the cytoplasm, and, in the case of DNA-based systems, the transport into the nucleus [39]. Fig. 8.1 shows a scheme with the cellular barriers that a nonviral vector has to overcome for a successful delivery of the genetic material.

Modifications on the particle and genetic materials are designed to overcome all these obstacles.

Cell Membrane

Once the nucleic acid delivery system reaches and binds to the surface of the target cell, the entrance into the cell is initiated. In the absence of any specific ligand on the surface of the vector, the attachment to the cell surface occurs through electrostatic interactions between the charges of the vector and the cell membrane [36], with endocytosis being the most frequent pathway for internalization. Multiple mechanisms of endocytosis have been described: phagocytosis, macropinocytosis, clathrin-dependent endocytosis, or clathrin-independent endocytosis, which include caveolae-mediated endocytosis, flotillin-dependent endocytosis, GRAF1-dependent endocytosis, Arf6-dependent endocytosis, or RhoA-dependent endocytosis [36, 90]. The predominant entry mechanism depends on the target cell and on the composition of the vector.

Internalization of the vectors may be improved by using cell-penetrating peptides (CPP). For instance, in a previous study, SLNs were decorated with SAP, a

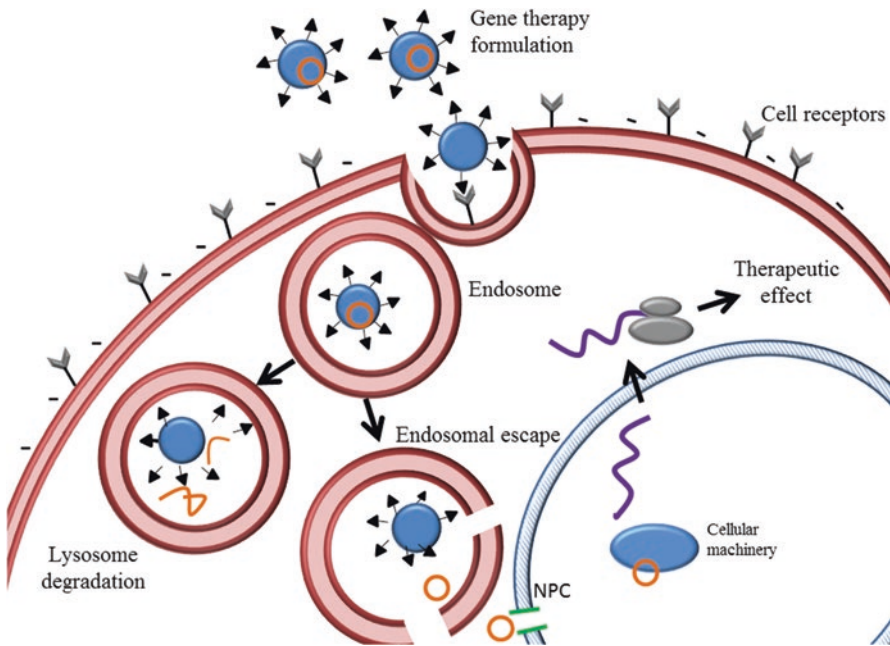


Fig. 8.1 Barriers that nonviral vectors need to overcome as nucleic acid delivery systems. (1) Binding to plasma membrane through electrostatic interactions between the cationic vectors and the negative charges of the plasma membrane or through interaction of ligands with specific receptors on the cell membrane. (2) Entry into the cell, mainly by endocytosis, which as ultimate step finishes in the degradative endolysosomes. (3) Escape of nucleic acids from the endosomes before degradation in lysosomal vesicles is crucial for effective transfection. Once released in the cytoplasm, RNA molecules will reach the intracellular target, whereas DNA molecules still have to bypass the nuclear membrane, but in postmitotic cells, nuclear localization signals are needed to lead to active translocation by means of interaction with nuclear pore complexes (NPC)

proline-rich peptide whose sequence is $(VRLPPP)_3$ (made with three repetitive VRLPPP units; V = Val, R = Arg, L = Leu, and P = Pro) and that has demonstrated good translocation properties and is non-cytotoxic. SAP was able to improve the transfection efficacy of the SLNs in ARPE-19 cells because it induced a change in the dominant internalization mechanism, from clathrin endocytosis to caveolae/raft-dependent endocytosis, thereby decreasing the lysosomal pathway and consequently, reducing vector degradation [37]. In another study, a complex prepared with liposomes, protamine, and DNA was modified with the TAT protein of human immunodeficiency virus 1 (HIV-1), a cell-permeable peptide. This system resulted in efficient cell-specific delivery and a long-term expression of *Rpe65* gene to mice lacking this gene; as a result, in vivo correction of blindness was detected [88].

The use of ligands for targeting to specific cells has also shown to improve the transfection effectiveness of nonviral vectors by means of the binding to cell surface receptors. For instance, the polysaccharide HA binds to the CD44 receptor, which

is widely expressed in RPE cells, and it has been used to enhance the *in vivo* transfection efficiency of nanoparticles [7, 49, 103]. Cell internalization through the CD44 receptor avoids the degradation of the vector that occurs when other uptake mechanisms are involved, such as the lysosomal degradation after clathrin-mediated endocytosis.

Escape from Endosomes

Inside the cytoplasm, the release of the vector from either endosomes or lysosomes has been reported to be a major limitation for transfection. In the case of polyplexes, cationic protonable polymers can induce endosomal escape through the proton sponge effect, as mentioned above for PEI/DNA complexes. Vesicle-disturbing peptides conjugated to polyplexes may also facilitate the endosomal escape. Another strategy is the use of lysosomotropic agents, such as chloroquine, procaine, and spermidine, that promote pH buffering in endosomal vesicles [2]. Regarding lipoplexes, fusion of the cationic lipids has been proposed to facilitate not only the endosomal escape but also the DNA release [101].

Nuclear Envelope

Upon release in the cytoplasm, RNA molecules are available to reach the target and initiate the effect, but DNA has to bypass another important barrier, the nuclear membrane. When polyplexes are used as nonviral vectors, an incomplete polyplex dissociation in the nucleus has been proposed as a limiting step for efficient transfection [29]; in fact, polyplexes have been detected intact inside the nucleus, where they presumably undergo dissociation [17].

The entry into the nucleus is an important limiting step for transfection with DNA, as the nuclear membrane is a selective barrier to molecules bigger than 40 kDa, such as plasmids. In actively mitotic cells, the disruption of the nuclear membrane allows plasmids to enter into the nucleus; however, in postmitotic cells, like PR, the entry of large molecules depends on nuclear localization signals (NLS). NLS sequences lead to active translocation through the nuclear envelope. The peptide protamine presents NLS sequences of six consecutive arginine residues, and it is frequently used as a component of nonviral vectors. In retinal cells, this peptide has shown to be able to significantly improve the transfection efficacy of nonviral vectors [40] and also *in vivo* after ocular administration [42, 88].

Decreasing the size of genetic material may help to increase nuclear internalization and transfection efficacy. In this sense, the minicircles, compact DNA vectors that lack a bacterial backbone, have led to superior levels and longer duration of gene expression with respect to full-length DNA plasmids [61].

Gene Expression

Plasmids delivered via nonviral vectors can be maintained episomally, thus avoiding the risk of insertional mutagenesis, although transient instead of stable transfection is usually achieved. Vector engineering to attain selective tissue targeting and/or regulation of the extent of gene expression is a challenging issue of retinal gene therapy that demands active research. Mammalian gene expression can be regulated by several elements such as enhancers, locus control regions, boundary elements, insulators, scaffold/matrix attachment regions (S/MARs), and CpG depletion [62, 82]. In this sense, the high load capacity of nonviral systems features an important advantage, allowing the inclusion of additional regulatory elements in order to target and to improve the level and long-term expression.

S/MAR sequences, which anchor chromatin to the nuclear matrix proteins during the interphase, were included in a plasmid containing the *RPE65* gene [63]. The plasmid, administered encapsulated in PEGylated-PLL nanoparticles to the subretinal space of *rpe65^{-/-}* mice, led to a long expression of the transgene related to the stability of the expression cassette, which was isolated intact 1 year postinjection [64]. Various systems for transgene integration have been developed to promote long-term expression, such as transposition systems based on the recombinases FC31 and Sleeping Beauty. Integrase from bacteriophage FC31 has also conferred genomic integration of plasmid DNA and has led to long-term expression in rat RPE cells after subretinal injection followed by electrotransfer [24]. A nonviral strategy based on the Sleeping Beauty transposon system also resulted in stable expression of pigment epithelium-derived factor (PEDF) in ARPE19 cells [57]. These findings have conducted to the evaluation of this strategy as a possible treatment of age-related macular degeneration associated to neovascularization [58].

Tissue-specific promoters for retinal cells have been used as a strategy to circumvent the lack of cell specificity of nonviral vectors. For instance, structural improvement of the *Rs1h*-deficient mice retina has been shown after successful delivery of a plasmid containing the gene *RS1* under the control of a specific promoter for PR (murin opsin promoter, mOPS) formulated in SLNs [7]. Wang et al. used liposome-based vectors and different promoters that were able to achieve cell specificity for a variety of cell types: RPE cell specificity with vitelliform macular dystrophy (VMD2), rod cell specificity with mouse rhodopsin, cone cell specificity with red/green opsin, and ganglion cell specificity with thymocyte antigen promoters [100]. PEGylated liposomes containing an expression plasmid encoding bacterial galactosidase under the influence of either the simian virus (SV)40 promoter or the glial fibrillary acidic protein (GFAP) gene promoter have been used to target the cornea after intravenous administration [107].

The induction of the delivered gene expression only when it is needed is also a challenge. It can be achieved including inducible regulatory sequences in the promoter, which will be only active in the presence of specific environmental signals. In other cases, gene expression is regulated by drugs. For instance, an autogenous transgene regulatory system (ARES) is inducible by isopropyl β -d-1-thiogalactopyranoside

(IPTG), which has no off-target effects in mammals. Sochor et al. used this system to control reversibly the luciferase expression in the murine retina after oral delivery of IPTG [92].

Nonviral Vectors in Gene Therapy for Retina and Posterior Segment Diseases

Many studies in animals have demonstrated the potential utility of gene therapy for the treatment of ocular diseases. As a result, translational clinical research has started. Nevertheless, up to date, the clinical trials reported for ocular diseases use viral vectors or naked genetic material, and nonviral vectors are still restricted to preclinical phases.

X-Linked Retinoschisis (XLRS)

XLRS is a retinal degenerative disease affecting young males, caused by mutations in the gene *RS1* that encodes the secreted protein retinoschisin, with a prevalence of approximately 1:5000 to 1:25000 [91]. Disorganization of retinal layers and distinct abnormalities in the electroretinogram are hallmarks of the disease [94]. The splitting of retinal layers, with bilateral foveal schisis, is observed at early stages of the disease, and it results in cystic degeneration of the central retina. The progression and severity of XLRS is very variable leading to mild to severe loss in central vision. Currently, there is no cure for the schisis formation, and the treatment is focused on preserving the low vision.

Gene augmentation therapy may be an excellent therapeutic approach, due to the well-understood monogenic origin of this disease. In addition, retinoschisin is a secreted protein, and not only the transfected cells benefit from the replacement of the gene, since once secreted, the protein spreads from the site of expression. Novel therapies may be addressed in retinoschisin-deficient mice, which show close resemblance of the retinal phenotype with XLRS patients and represent an excellent disease model [18, 102]. Studies in the mouse model with viral vectors as delivery systems of *RS1* emphasize the potential of gene therapy for XLRS and highlight the importance of careful design and optimization for specific, minimally invasive, and long-lasting gene therapy [56, 83, 84]. Recently, a preclinical dose escalation study of intravitreal *RS1* gene delivery with viral vectors has been carried out [19]. Structural improvement was shown by reduction of retinal cavities 3–4 months after injection, and electroretinogram values were normalized at 3–4 months and 6–9 months postinjection, even when the production levels of retinoschisin were lower than in wild-type mice. A fully normal level of the protein expression seems not to be necessary for a therapeutic effect.

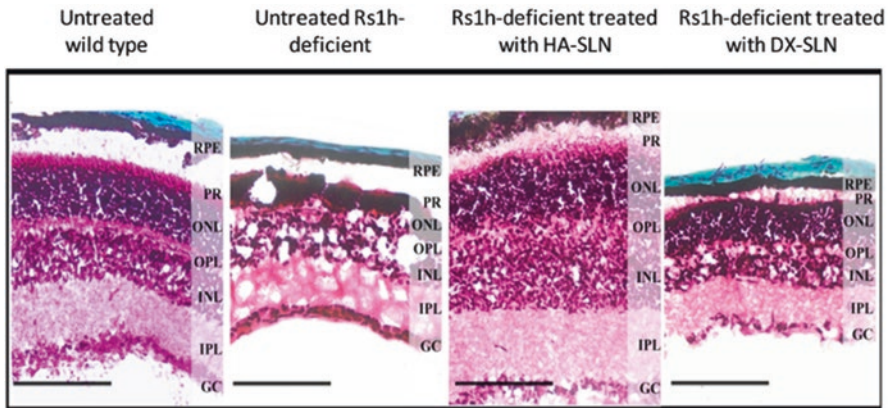


Fig. 8.2 Microscopic images of retinas stained by Masson's trichrome technique. Photographs show the differences in the retina structures of wild-type mice and retinoschisin-deficient mice untreated and treated with SLN-based vectors (HA-SLN and DX-SLN) 2 weeks after intravitreal administration. Green, connective tissue; dull green, muscle; dark blue, nuclei; pink, cytoplasm and muscle fibers. Images were captured at 20 \times magnification. Scale bar: 100 μ m. (Reprinted from "Biomaterials, 90, Apaolaza et al., Copyright [7];" with permission from Elsevier). RPE Retinal Pigment Epithelium, PR Photoreceptors, ONL Outer Nuclear Layer, OPL Outer Plexiform Layer; INL Inner Nuclear Layer, IPL Inner Plexiform Layer, GC Ganglion Cell Layer

Nonviral vectors are a promising alternative to viral vectors for attempting the treatment of XLRs with gene therapy. Our research group has demonstrated the capacity of SLN loaded with a plasmid containing the *RS1* gene to transfect a number of retinal layers after ocular injection to the mice model of XLRs and to induce the production of the therapeutic protein retinoschisin. As it is shown in Fig. 8.2, the production of retinoschisin led to a partial recovery of the structure of the retina [6, 7].

These studies showed successful gene transfer using lipid-based nanocarriers, with promising results that point to nonviral gene therapy as a feasible future therapeutic tool for posterior segment disorders.

Stargardt Disease

Stargardt disease is the most common inherited juvenile macular degeneration in humans, with a pattern of autosomal recessive inheritance [14]. The gene involved in Stargardt disease is named *ABCA4*, which encodes for a PR-specific all-trans-retinal transporter [4]. Due to a defective *ABCA4* protein, vitamin A aldehyde forms deposits in RPE cells during the process of disk shedding and phagocytosis. Consequently, abnormal high levels of lipofuscin pigments accumulate in the RPE, triggering RPE cell death and causing secondary PR degeneration [78]. The

impairment and loss of vision in Stargardt patients can be due to hundreds of mutations in the *ABCA4* gene. The mutations in this gene are also responsible for other visual diseases such as cone-rod dystrophy and autosomal recessive retinitis pigmentosa [33, 72]. Heterozygous mutations in *ABCA4* may lead to the development of age-related macular degeneration. At present, there is no cure for *ABCA4*-associated disease, and gene therapy has been proposed for Stargardt disease. Currently, a phase I/II clinical trial based on viral vectors for subretinal administration is underway [28]. The large size of the *ABCA4* cDNA, a limitation for viral delivery, makes nonviral vectors as a suitable alternative. In a recent study [55], compacted DNA nanoparticles (8–10 nm in diameter) formulated with PEG-substituted PLL (CK30PEG) were used to inject *ABCA4* to *ABCA4*-deficient mice by subretinal route. After administration, the expression of the transgene was detected for up to 8 months, and a significant correction of functional and structural Stargardt phenotypes was observed, including improved recovery of adaptation to darkness and decrease of lipofuscin granules.

Retinitis Pigmentosa

Retinitis pigmentosa is the most common subtype of retinal degeneration, responsible for loss of vision in one in 4000 people worldwide [87]. Defects in more than 60 genes have been identified in patients with retinitis pigmentosa, as autosomal dominant (30–40% of cases), autosomal recessive (50–60%), or X-linked (5–15%) forms. Notwithstanding, mutation in 30–35% of patients cannot be identified [87]. The features of the disease and its progression vary significantly among patients, but night blindness due to loss of rod PR in the early phase of the disease is very frequent. Over time, cone PR are also affected resulting in decreased central visual acuity. As a consequence, patients describe tunnel vision, which may result on complete blindness [13, 54].

Gene therapy for retinitis pigmentosa aims to slow down or stop the progress of retinal degeneration. Preclinical evaluation in animal models provides expectations for future clinical application. Due to the variety of genes involved in the disease, several animal models have been developed. One strategy to generate animal models that mimics the human autosomal recessive retinitis pigmentosa is directed to mutations in the genes encoding the two rod cyclic nucleotide-gated (CNG) channel subunits. Knockout of *CNGBI* in mice results in a phenotype that recapitulates the principal pathology of retinitis pigmentosa patients [75]. Another animal model of retinitis pigmentosa is a dog deficient in a GTPase regulator-interacting protein 1 (*RPGRIPI*) [67]. Rhodopsin gene (*RHO*), which encodes the photosensitive pigment in rod PR, is another gene whose mutations (>100) have been identified in individuals with retinitis pigmentosa [87]. There has been an attempt to treat *RHO*-linked retinitis pigmentosa by means of a new strategy named “mutation-independent suppression and replacement,” which comprises both gene suppression and gene replacement [76]. The Royal College of Surgeons (RCS) rat is a widely studied

animal model of retinal degeneration with a mutation in the MER proto-oncogene tyrosine kinase (*Mertk*) gene, and it serves as a model of an autosomal recessive form of retinal degeneration [34]. Both functional and structural retina preservations were achieved with gene replacement therapy in this model of *Mertk*-related retinitis pigmentosa. Based on this proof of concept, a phase I clinical trial of subretinally administered *Mertk* in a viral vector was conducted. Peak gains of greater than three lines of vision were observed in two of the six patients recruited in the trial [51].

The gene *RDS*, which encodes the retinal degeneration slow protein, is frequently associated to retinitis pigmentosa. A well-characterized animal model for *RDS*-associated retinitis pigmentosa (the *Rds*^{+/-} mouse) is available to provide a valuable and readily accessible in vivo system for developing and testing gene therapy [31, 98]. *RDS* gene replacement mediated by nonviral vectors has been assayed in this model after subretinal administration. Cai et al. [21] developed DNA nanoparticles consisting of single molecules of DNA compacted with PEG-substituted lysine 30-mer peptide (CK30PEG10K). Vectors were administered by subretinal route, and *RDS* mRNA levels peaked at postinjection day 2–7 and remained elevated at the latest time point examined, 120 days after administration. A significant improvement in the outer segment structures was observed, rod function (measured by electroretinography) showed statistically significant improvement compared with controls, and cone function in nanoparticle-injected eyes reached the wild-type levels. More recently, span-polyarginine (SP-PA) nanoparticles were developed to mediate gene transfer in the subretinal space of a mouse model of retinitis pigmentosa carrying a point mutation (A216P) in the *Prpf31* gene. SP-PA nanoparticles were able to efficiently transfect mice retinas with GFP and *Prpf31* plasmid. Statistically significant improvement in visual acuity and retinal thickness was found in mice treated with the SP-PA-*Prpf31* nanoplatform [86].

These findings confirm the potential of nonviral vector-mediated gene replacement as treatment of retinitis pigmentosa.

Age-Related Macular Degeneration

Age-related macular degeneration (AMD) is the leading cause of irreversible blindness in people aged 50 years or older in the developed world. More than nine million Americans have AMD, and cases are expected to nearly double by 2050 to 17.8 million [26]. Depending on the histopathological characteristics, AMD can be classified into several categories: early, intermediate, and advanced AMD [99]. In early and intermediate AMD, only minimal visual acuity impairment occurs, but advanced AMD is the leading cause of blindness worldwide. AMD may be avascular or may be characterized by the subretinal invasion of choroidal vessels. Whereas avascular AMD is a slow progressing disorder, in which PR degeneration follows RPE cell degeneration, neovascularization-related AMD progresses rapidly to blindness following RPE cell degeneration.

Among the multiple factors that play a role in AMD, the strong genetic contribution is well documented [48]. In fact, gene therapy is considered one of the improved treatments under study for AMD [46]. In a phase I clinical trial, 28 patients with advanced neovascular AMD were treated using adenoviral vector-mediated intravitreal gene transfer of PEDF, which is an antiangiogenic cytokine. This therapeutic strategy appears to help arrest the growth of neovascular AMD [22]. As mentioned above, currently a nonviral strategy based on the Sleeping Beauty transposon system is also under preclinical evaluation to achieve stable expression of PEDF as treatment of AMD associated to neovascularization [58].

Leber Congenital Amaurosis

Leber congenital amaurosis (LCA) is an autosomal recessive disease resulting from mutation in at least 15 genes [3]. Prevalence of LCA is 1 in 35,000 newborn of all blind children [95]. Patients with this severe retinal disease suffer from a marked impairment of the visual acuity at birth or during the first 6 months of life, sensory poorly reactive pupils, and severely diminished or non-detectable electroretinogram activity [95].

There is no successful treatment for LCA, but four independent clinical trials have been carried out for human RPE65-associated LCA [9, 10, 27, 68]. The *RPE65* gene encodes for all-trans-retinyl-ester hydrolase, which is a 65 KDa enzyme that in RPE is critical for the production of 11-cis-retinal. This compound is transported to the PR where it binds to apo-rhodopsin; the apo-rhodopsin-11-cis-retinal complex reacts with a photon to produce a change in membrane potential, which generates a nerve signal that travels to the visual cortex for image formation and recognition. Deficiency of all-trans-retinyl-hydrolase due to mutation in *RPE65* gene happens in about 6% of LCA cases in humans [95]. In the most recent clinical trial for RPE65-LCA, a phase III clinical trial, patients that received subretinally injections of the *RPE65* gene in a viral vector have shown successful improvement of the sensitivity to light and functional vision [53].

RPE65 gene has been also formulated in nonviral vectors composed of liposomes and protamine. Efficient cell-specific delivery and long-term expression of the *RPE65* gene in mice lacking RPE65 protein led to in vivo correction of blindness [88]. In order to obtain long expression of the *RPE65* gene with nonviral vectors, the S/MAR sequence was included in the corresponding plasmid. As mentioned above, this strategy led to detection of the expression cassette 1 year after subretinal injection to mice lacking the *RPE65* gene [63].

Conclusions

Ocular gene therapy is a hopeful approach to treat, cure, or prevent diseases by modulating gene expression in the retina and in the posterior segment of the eye. Human clinical trials are beginning to show encouraging results, although nonviral vector engineering to attain tissue-selective targeting and/or regulate the extent of gene expression is still a challenge. Preclinical studies with nonviral vectors have shown encouraging results for the treatment of some ocular diseases, such as macular degeneration, and some inherited retinal disorders including X-linked retinoschisis, Stargardt disease, retinitis pigmentosa, and Leber congenital amaurosis. These recent advances point to nonviral gene therapy as a feasible therapeutic tool for retinal disorders.

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