

Light, Temperature, Photosynthesis, Heterotrophy, and the Lower Depth Limits of Mesophotic Coral Ecosystems

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Abstract

The attenuation of light with increasing depth, along with reduced exposure to wave stress, plays an important role in vertically structuring coral reef communities. Benthic photosynthetic organisms exhibit different depth distributions and abundance patterns which cause changes in community composition of associated reef fauna. This vertical zonation in coral reef community structure suggests special adaptations in response to the changing environmental regime with depth including changes in light intensity, light spectrum, and angular distribution. At the lower depth limits of mesophotic coral ecosystems (MCEs), both

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latter playing an important role at higher latitudes. The available evidence indicates that different species can exhibit distinct and sometimes opposing photophysiological adaptations with increasing depth. Some zooxanthellate corals appear to maximize ambient light utilization at the expense of efficiency, while others appear to maximize efficiency. Coral holobiont adaptations to mesophotic depths include changes in colony morphology, algal symbionts, pigment physiology, skeletal properties, and metabolic strategy. Given the scarcity of physiological studies at depths >60 m, the current understanding of how obligate zooxanthellate corals and other light-dependent organisms can inhabit such a broad depth distribution is far from complete. This chapter summarizes the ecologically relevant aspects of light and temperature regimes of MCEs, as well as the depth-related photophysiological and adaptive strategies of coral holobionts.

light and temperature can become limiting factors with the

Keywords

Mesophotic coral ecosystems · Light · Temperature · Photosynthesis · Physiology

42.1 Introduction

Mesophotic coral ecosystems (MCEs) are warm water, lightdependent coral reef communities starting at 30–40 m and extending to the bottom of the photic zone, which varies by location (Hinderstein et al. [2010](#page-23-0)). The lower depth limit of MCEs can reach >150 m in some locations but can be considerably shallower in lower-light habitats or higher-latitude locations (Kahng et al. [2010](#page-24-0)). MCEs represent a direct extension of shallow-water coral reef ecosystems. However, the upper depth limit attributed to MCEs does not represent a static physiological boundary for marine organisms but corresponds to the depth limit of conventional SCUBA diving

(Kahng et al. [2014\)](#page-24-1) and therefore the traditional limits of most knowledge available for coral reef science (Loya et al. [2016](#page-24-2); Turner et al. [2017](#page-27-0)).

Beginning in shallow water and increasing with depth, the abundance patterns of sessile benthic taxa on coral reef ecosystems including shifts in dominant species of zooxanthellate coral and benthic algae are well documented (reviewed in Kahng et al. [2010](#page-24-0), [2014\)](#page-24-1). This change in photosynthetic species composition includes a conspicuous reduction in the abundance of dominant shallow-water coral taxa (e.g., *Acropora*, *Porites*, and *Pocillopora*) at deeper depths (e.g., >60 m) (Kahng et al. [2010\)](#page-24-0). While a few *depth-generalist* species appear to span most or all of the depth range of zooxanthellate corals in the Caribbean, at other MCE locations, *depth specialists*, which are cryptic or absent in shallow water, dominate the zooxanthellate coral community in the lower photic zone (Kahng et al. [2010](#page-24-0); Englebert et al. [2014](#page-22-0)). Where studied crustose coralline algae are commonly reported as the dominant phototrophic taxa at the deepest depths (e.g., >200 m) (reviewed in Kahng et al. [2017\)](#page-24-3).

Of the physical factors (e.g., hydrodynamic regime, sedimentation, and topography) influencing this vertical zonation (reviewed in Kahng et al. [2010](#page-24-0)), gradients of both light and temperature play major roles in structuring communities. In general, there is a correlation between the water clarity by location and the observed lower depth limit of zooxanthellate corals and some macroalgae (Kahng et al. [2010](#page-24-0)). With increasing latitude, lower daily solar insolation during winter is thought to limit the depth distribution of corals (Muir et al. [2015a](#page-25-0)). Where there is reduced light due to lower water clarity, an upward shift in the coral community can be observed with deeper coral fauna occurring at shallower depths (e.g., Acevedo et al. [1989](#page-21-0)). In warm, turbid waters, light attenuation is a primary factor limiting photosynthetic organisms (including zooxanthellate corals) to relatively shallow depths (Kirk [2011](#page-24-4)), although, in some locations with relatively clear water, other factors (e.g., lack of suitable substrate and low temperature) may restrict lower depth distributions.

Both the change in photosynthetic species composition with depth and low light availability at extreme depths suggest that phototrophic depth specialists have physiological adaptations and capabilities lacking in their shallow-water counterparts. While special adaptations affect post-settlement fitness and therefore vertical zonation of community structure, larval behavior in the form of preferential settlement based on depth-related cues may also reinforce these patterns (Grigg [1965;](#page-23-1) Baird et al. [2003;](#page-21-1) Putnam et al. [2008\)](#page-26-0). This chapter summarizes the ecologically relevant changes in light (intensity, spectrum, and angular distribution) and temperature regimes with depth and the adaptation strategies enabling zooxanthellate corals to thrive in low-light habitats, as well as the lower depth limits for light-dependent corals.

42.2 Light Regime

42.2.1 Intensity of Photosynthetically Available Radiation

Many biotic and abiotic factors vary predictably with depth and can influence the upper and lower depth distribution of mesophotic organisms. For photosynthetic organisms, light regime (along with thermal and hydrodynamic regimes) is a primary factor influencing the vertical zonation of community structure (reviewed in Kahng et al. [2010](#page-24-0)). For zooxanthellate corals and algae, the underwater light field controls rates of primary productivity, growth, and calcification (reviewed in Barnes and Chalker [1990;](#page-21-2) Falkowski et al. [1990](#page-22-1)). As light travels through the water column, it is absorbed and scattered by particles that reduce its intensity and change its spectral composition. When light (E_0) entering the ocean reaches a particular depth (z) , its intensity (E_z) can be modeled by Beer's law:

$$
E_z = E_0 e^{-K_d z} \tag{42.1}
$$

where K_d is the diffuse attenuation coefficient of downwelling light. K_d primarily results from the combined effects of absorption and scattering, which are inherent optical properties of water (West et al. 2016). K_d also depends on the directionality of the instantaneous light field (technically classifying it as an apparent optical property), but this dependence is weak, especially at mesophotic depths, away from boundary effects (Mobley [1994](#page-25-1)). For purposes of this review, K_d is assumed to be a good representation of the optical water quality.

The exponential decay of downwelling light (Fig. [42.1\)](#page-2-0) eventually limits the distribution of obligate photosynthetic organisms (Kirk [2011](#page-24-4)). In Eq. [42.1](#page-1-0), E and K_d are both functions of wavelength *λ*, but for practical purposes attenuation can be represented by scalar irradiance $K_{d(PAR)}$, which is calculated from the integrated value of *E* across the wavelength range of photosynthetically available radiation or PAR (400– 700 nm). Assuming that an obligate photosynthetic taxon has a minimum absolute threshold of light intensity (E_{min}) required for basal metabolism (i.e., survival), the compensation depth limit for this taxon can be derived from Eq. [42.1](#page-1-0) and represented as follows (Kahng et al. [2010](#page-24-0)):

$$
Z_{\min} = \frac{\ln(E_0 / E_{\min})}{K_{d(\text{PAR})}}
$$
(42.2)

The greatest viable depth z_{min} for a given species will vary more strongly with $K_{d(PAR)}$ than with surface PAR (E_0) . However, additional environmental factors (e.g., thermal regime and space competition) may restrict depth distribution independent of $K_{d(PAR)}$ and E_0 .

Fig. 42.1 Variability in photosynthetically available light. The intensity of PAR decreases exponentially with depth. For all sites, PAR intensity was calculated for the $400-700$ nm range of K_d curves obtained from the following sources: Bermuda oceanic (thin gray lines) is the Bermuda Atlantic Time-series Study (BATS) from the SeaBASS database (Werdell and Bailey [2002\)](#page-27-3) for all months between 2000 and 2012 (*n* = 791), collected 0–120 m; Hawaiʻi oceanic is from Kalohi Channel collected 0–55 m, measured between 395 and 710 nm at 19 wavelengths (Kahng et al. [2012b\)](#page-24-5); Hawaiʻi coastal is from south Oahu collected 0–30 m, measured between 395 and 710 nm at 19 wavelengths (Kahng et al. [2012b\)](#page-24-5); Bahamas oceanic is from the SeaBASS database (collection depth N/A) measured between 412 and 683 nm at 7 wavelengths; Bahamas coastal K_d value calculated from irradiance measurements at 0–20 m (measured wavelengths unknown) from Fig. 2 in Lesser et al. [\(2009](#page-24-6)); and pure water is from Pope and Fry ([1997\)](#page-25-2) measured between 380 and 727.5 nm at 140 wavelengths. Measurement of surface light was used from the dataset presented in Fig. 5b of Akkaynak et al. ([2017\)](#page-21-4), measured between 305 and 710 nm at 15 wavelengths

Angle and orientation of local topography can also affect the amount of ambient light available to the benthos. On flat or gently sloping areas, sessile organisms can be exposed to light throughout the day, but on a steep slope, light is limited because the slope obstructs the light (i.e., shades the benthos) for a portion of the day (Brakel [1979](#page-21-3)). Reflectance and scattering properties of the substrata can further influence the amount of light available to the photosynthetic community (Kahng et al. [2012b](#page-24-5)).

In the ocean, annual solar insolation reaching the surface of the ocean is determined by latitude and atmospheric conditions (e.g., cloud cover) (reviewed in Kirk [2011](#page-24-4)). On shorter timescales, seasonal variations in both solar zenith angle and day length cause the daily solar insolation reaching the top of the atmosphere to vary in a predicable manner. Independent of latitude, daily variations in atmospheric and sea surface conditions moderate the daily irradiance entering the ocean.

42.2.1.1 Air-Sea Interface

When sunlight hits the ocean surface, some of it reflects back into the air reducing the amount transmitted into the seawater. Because the refractive index of seawater $(n_{sw} = 1.33)$ is larger than the refractive index of air $(n_{\text{air}} = 1)$, the angle of transmission θ_t becomes smaller than the original angle of incidence θ ^{*i*} (Snell's law, Fig. [42.2a](#page-3-0)):

$$
n_{\text{air}} \sin \theta_i = n_{\text{sw}} \sin \theta_t \tag{42.3}
$$

and the light transmitted into the ocean "bends" toward the vertical direction (0°). While Snell's law governs the angles of incident, reflected, and transmitted light travelling from one medium to another, the quantity of incident light that will be reflected/scattered at the air-sea boundary is governed by the Fresnel reflectance equation. Under calm surface conditions, almost all incident light perpendicular to the sea surface (e.g., $\theta_i = 0^\circ$) is transmitted into the ocean. As the incidence angle becomes larger, the ratio of light reflected from the surface increases, decreasing the portion that is transmitted into the water (Fig. [42.2b](#page-3-0)).

The amount of light reflected at the surface is heavily influenced by wind (reviewed in Kirk [2011\)](#page-24-4). During periods of light winds, surface waves can act like an optical lens focusing light and substantially amplifying its intensity over short (sub-second) timescales to depths of at least 35 m (Stramska and Dickey [1998](#page-26-1); Veal et al. [2010\)](#page-27-2). At higher velocities, winds increase surface roughness and inject small air bubbles into the water (e.g., white caps and sea foam). Both factors can dramatically increase the surface area of air-water interfaces and the potential for multiple angle reflections. Thus, for small angles of incidence (e.g., $\theta_i \approx 0^\circ$), reflectance increases, and the amount of light transmitted into the ocean is reduced. For example, at solar noon, the brightness of the white caps on the sea surface results from incident light being reflected and not transmitted into the ocean. For high angles of incidence (e.g., $\theta_i > 75^\circ$), the amount of light reflected is reduced, and the amount of light transmitted into the ocean is increased (Kirk [2011\)](#page-24-4).

42.2.1.2 Optical Water Quality

Just as surface irradiance can vary based on weather conditions, the absorption and scattering of water (and therefore *Kd*) can also vary across space and time depending on the amount of dissolved and particulate matter suspended in the water column (reviewed in Kirk [2011\)](#page-24-4). In general, optical water quality is correlated with primary productivity, terrigenous influences (e.g., riverine discharge), and hydrodynamic conditions, which can suspend particles from the seafloor into the water column. The clearest surface waters are found in oligotrophic, subtropical gyres far away from landmasses and upwelling. Coastal waters generally have higher primary productivity and suspended particulate matter, and hence lower optical water quality (i.e., faster light

Fig. 42.2 (**a**) Snell's law. Light refracts, or bends, when travelling from one medium to another with different indices of refraction. When sunlight at incidence angle θ_i reaches the surface of the ocean, the part that is transmitted through bends toward the vertical direction (θ_i) because the refractive index of seawater ($n_{\text{sw}} \approx 1.33$) is larger than that of air ($n_a = 1$). The angle of reflected light θ_r is always equal to θ_i . (**b**) Fresnel reflectance. The fraction of light that is reflected from the air-sea interface is governed by Fresnel's reflectance function. For unpolarized water-incident light reflectance increases rapidly around incidence angles of $\theta_i = 30^\circ$. For air-incident light reflectance increases substantially when θ_i exceeds 65[°]

attenuation with depth) than adjacent open ocean locations (Fig. [42.1\)](#page-2-0). However, even oligotrophic, oceanic locations far from terrigenous influences [e.g., the Bermuda Atlantic Time-Series Study (BATS) Hydrostation S] can experience considerable variability in optical water quality over time (Fig. [42.1](#page-2-0)). For many locations, offshore oceanic water serves as the source water for the coastal zone and the end member for maximum water clarity for many MCEs.

42.2.2 Spectrum

The attenuation of light through seawater is wavelength dependent, and some wavelengths (perceived by humans as different colors) penetrate the water better than others (reviewed in Kirk [2011\)](#page-24-4). In pure water, longer wavelengths >570 nm (red-yellow light) are quickly attenuated with depth, while short wavelengths $\langle 500 \text{ nm}$ (blue and UVA light) penetrate deeper (Fig. [42.3](#page-3-1); Smith and Baker [1981;](#page-26-2) Pope and Fry [1997;](#page-25-2) Mason et al. [2016](#page-25-3)). In oligotrophic waters, the low concentration of phytoplankton, their light-absorbing pigments (e.g., chlorophyll), and the associated production of dissolved organic matter (DOM) result in optical properties similar to pure water, with the light spectra being predominately blue at depth (Fig. [42.3;](#page-3-1) Kirk [2011\)](#page-24-4). Unlike in coastal waters, ultraviolet radiation (<400 nm) penetrates relatively well in oligo-

Fig. 42.3 Diffuse downwelling attenuation coefficients. The attenuation of light through seawater is wavelength dependent. In pure water, longer wavelengths attenuate faster with depth, while shorter wavelengths penetrate further. See Fig. [42.1](#page-2-0) for data sources, plus the following: Eilat is from Station A (collection depth 0–120 m) measured between 305 and 710 nm at 15 wavelengths (R. Tamir, unpubl. data)

Fig. 42.4 Ultraviolet radiation (UVA) at depth. UVA (320–400 nm) is a significant component of the total light regime in oligotrophic waters, but not in some coastal waters. Data sources same as in Fig. [42.1](#page-2-0) plus the following: Hawaiʻi oceanic is from Station Aloha north of Oahu from the SeaBASS database (Werdell and Bailey [2002\)](#page-27-3), measured between 305 and 683 nm at 11 wavelengths collected between 0 and 148 m

trophic waters and remains a significant fraction of irradiance at depth (reviewed in Tedetti and Sempéré [2006\)](#page-26-3) (Fig. [42.4](#page-4-0)).

In more productive coastal and temperate waters, ultraviolet radiation (UVR) and blue light (short wavelengths) are more quickly attenuated, leaving green as the dominant light at depth in these waters (reviewed in Kirk [2011\)](#page-24-4). The predominant wavelength of light reaching the lower photic zone is strongly influenced by suspended particulate matter (i.e., tripton) and the concentration of CDOM (colored or chromophoric dissolved organic matter) – also known as gilvin (dissolved yellow substance) (Booth and Morrow [1997](#page-21-5); Stomp et al. [2007\)](#page-26-4). Because CDOM strongly absorbs ultraviolet and blue wavelengths, low concentrations of CDOM can alter light spectra at depth and shift the predominant wavelength at depth toward longer wavelengths (Bricaud et al. [1981;](#page-21-6) Stomp et al. [2007](#page-26-4)). In the lower photic zone, these spectral differences can become amplified. For example, the spectral composition reported from a coastal MCE location in the Bahamas (Lesser et al. [2009\)](#page-24-6) appears significantly different from adjacent offshore oceanic waters and other MCE locations (Figs. [42.4](#page-4-0) and [42.5](#page-4-1)). Typically, CDOM concentrations are correlated with primary production and proximity to riverine input, but small, persistent differences also exist between ocean basins on a macroscopic scale because of differences in riverine discharge of terrigenous DOM among ocean basins (Carder et al. [1989;](#page-22-2) Opsahl and Benner [1997](#page-25-4); Kirk [2011\)](#page-24-4). For example, the Atlantic Ocean

Fig. 42.5 Light spectrum of the lower photic zone. The relative intensity of light for each wavelength in the lower photic zone (where PAR is reduced to 1% of surface irradiance at each respective location). In more productive coastal areas, the predominant wavelength at depth shifts toward longer wavelengths due to the presence of phytoplankton and CDOM. (Data sources same as in Fig. [42.3](#page-3-1))

receives 3.6 times more riverine water discharge and has 2.6 times greater terrigenous DOM concentrations than the Pacific Ocean (Opsahl and Benner [1997](#page-25-4)).

Seasonal variability in thermal stratification, primary productivity, terrigenous influence, and photooxidation of CDOM can cause seasonal changes in optical water quality, especially in coastal waters (Kirk [2011](#page-24-4)). Episodic events such as diazotroph blooms during extended periods of calm, mesoscale eddies and storms causing deepwater mixing can also change the optical water quality. Even in the oligotrophic, open ocean, there can be considerable variability in optical water quality across time (e.g., BATS Hydrostation S in Fig. [42.3](#page-3-1)).

42.2.3 Angular Distribution

For the light transmitted into the seawater, the angle of transmission (θ_t) is reduced relative to the original incident angle (θ_i) , thereby "bending" the light toward the vertical direction (Eq. [42.3](#page-2-1) and Fig. [42.2a](#page-3-0)). In concert with the high transmission of low-angle incident light (high solar elevation) and low transmission of high-angle incident light (Fig. [42.2b](#page-3-0)), downwelling light concentrates around the vertical axis. In shallow water (e.g., at 5 m), the instantaneous light field is highly directional and dependent on the incident angle of the sun's rays, which varies by time of day (Fig. [42.6a\)](#page-5-0). On a

Fig. 42.6 Angular distribution of light. (**a**) Relative radiance distributions for PAR at selected depths and times of day. Arrow lengths indicate the relative radiance intensity for each direction at a given time and depth (not comparable between times and depths). (**b**) Angular distribution of total diel-integrated PAR radiance (mol photons m−² sr−¹ day−¹) for the same depths. Note the diffuse nature of the light field with increasing depth. (**c**) Despite the fact that the light becomes more diffuse with depth, the angular width of the light field (i.e., the angles at which radiance exceeds 0.5% of surface light level) decreases approximately exponentially with depth. Data were generated for the Au'au channel, Hawaii (20.9407 N, 156.7573 W) on June 21 using Hydrolight 5.3 for the default parameters of the "new" Case 1 model, assuming clear marine air, 75% relative humidity, 50 km visibility, 7 m s⁻¹ wind speed, bottom boundary specified with an average coral Lambertian spectral reflectance. The total daily PAR radiance plots were obtained by integrating radiance at each zenith and azimuth angles across wavelengths, with respect to time. The radiance distributions are not symmetrical around the vertical because the hourly intervals of Hydrolight runs are not equal before and after solar noon

relative scale, the angular distribution of instantaneous light field becomes more diffuse with increasing depth due to multiple scattering. However, light transmitted at higher angles is reduced (e.g., Frade et al. [2008\)](#page-23-2) because it must travel through more water per unit depth and is subject to greater attenuation than light transmitted at small angles (*θ*~0°). With increasing depth, the instantaneous light field becomes increasingly symmetrical about the vertical axis $(\theta = 0^{\degree})$ regardless of the time of day. Although the daily light field (integrated over 24 h) becomes more diffuse with increasing depth (Fig. [42.6b\)](#page-5-0), above a minimum threshold, it also becomes more directional at depth with the highest intensity of light near $\theta = 0^{\circ}$. For light irradiance above a minimum threshold (e.g., 0.5% of surface), the range of angles of incoming light above the threshold (angular width) narrows with depth (Fig. [42.6c\)](#page-5-0). In shallow water, this angular width is 360° (irradiance exceeds minimum threshold from all directions). Below a certain depth (not calculated in the example in Fig $42.6c$, this angular width starts to decrease very quickly (approximately exponentially). The decrease in angular width decelerates in deep water before eventually reaching zero.

The sharply truncated angular distribution of light available to the benthos at depth can be illustrated by a phenomenon called Snell's window. In accordance with Eq. [42.3](#page-2-1), light transmitted into the ocean bends toward the vertical direction compressing all air incident light into an angle of view of ~97° underwater (within the critical angle $\theta_c = 48.6^\circ$ from the vertical). For an observer looking at the sea surface from depth, this phenomenon results in a circular window of light surrounded beyond the critical angle by darkness (i.e.,

the attenuated reflection originating from weakly upwelled light) (Fig. [42.7\)](#page-6-0).

42.3 Temperature Regime

In the tropics and subtropics, open ocean temperature exhibits a predictable pattern with depth rapidly decreasing below the mixed layer (usually <100 m) followed by a more gradual decrease to abyssal depths (Emery and Dewar [1982](#page-22-3); Ohno et al. [2009](#page-25-5); Holte et al. [2017](#page-23-3)). During seasonal periods of sea surface temperature (SST) warming, the depth of the mixed layer is generally shallower as increasing thermal stratification opposes wind-driven mixing (Fig. [42.8a](#page-7-0)). During seasonal periods of SST cooling, thermal stratification weakens. In many regions, seasonal SST cooling can be correlated with stronger wind events that cause deeper mixing of the water column (Fig. [42.8b](#page-7-0)). At higher latitudes large seasonal variations in SST occur with deeper mixing especially during the winter (Ohno et al. [2009;](#page-25-5) Holte et al. [2017](#page-23-3)).

Below the seasonal thermocline in the open ocean, the predictable seasonal pattern of SST warming and cooling does not exist. At these depths the water is warmed via vertical mixing with the warmer water above it. Warming events are caused by episodic deep mixing events, which are more probable during seasonal SST cooling and weaker thermal stratification (Ohno et al. [2009;](#page-25-5) Holte et al. [2017](#page-23-3)). In general, warm surface water can mix to deeper depths when SST is colder (Fig. [42.8c\)](#page-7-0). For example, at 150 m depth at the Hawaii Ocean Time-series' Station Aloha (located 100 km

Fig. 42.7 Snell's cone. (**a**) An observer looking up to the sea surface from depth sees a dark reflection of the sea bottom that surrounds a small circular window of light called Snell's cone (or an optical manhole). The light entering the water at large angles bends toward the vertical, creating the circular window of light. Rays exceeding the critical angle of $\theta_c = 48.6^\circ$ are from upwelled light (very weak) that is reflected downward at the sea surface. (**b**) A branching *Acropora pharaonis* colony on an MCE at 44 m with Snell's cone (Photo credit: T. Shlesinger)

Fig. 42.8 Monthly temperature profiles measured at Station Aloha, Hawaiʻi. (**a**) Progression of temperature during the warming season (March–October 2002) and (**b**) cooling season (September 2001– March 2002). (**c**) Monthly temperature profiles (January 2001– November 2016; *n* = 164) illustrating the contrast between the consistent seasonal pattern SST and the lack of seasonal consistency below the surface mix layer (Data from Hawaii Ocean Time-Series program (<http://hahana.soest.hawaii.edu/hot/hot-dogs/>) Karl and Lukas [1996](#page-24-10)). Arrows in (**a**) and (**b**) indicate the temporal progression of the temperature profiles

north of Oahu), the warmest temperatures typically occur in late winter and early spring when SST is the coldest. In general, these dynamics govern the seasonal dynamics of the open ocean subtropical gyres, which feed the insular shelves and continental shelves where many MCEs are located.

In enclosed, low-latitude marginal seas (e.g., the Red Sea and Persian Gulf), shallower bathymetry, less heat capacity, and lack of connectivity with polar, deepwater formation result in warm bottom waters year-round (Tomczak and Godfrey [2003\)](#page-27-4). Seasonal SST warming and cooling cause greater variability in shallow water compared to more stable, warm deep waters (Biton and Gildor [2011](#page-21-7)). In the Gulf of Eilat/Aqaba, winter vertical mixing is driven by a loss of surface water buoyancy from a combination of summer evaporation (increase in salinity) and subsequent winter cooling.

Mesoscale eddies can also influence the thermal regime and sea surface height on nearby coastlines, especially around oceanic islands and their associated MCEs. The vast majority of these eddies are nonlinear and entrain/trap water within their core (Chelton et al. [2011\)](#page-22-4). Anticyclonic eddies cause surface waters to converge toward the eddy's center raising sea surface height and lowering the thermocline in the core. Cyclonic eddies cause waters to diverge away from the center raising the thermocline in the core and stimulating primary productivity (McGillicuddy et al. [1998;](#page-25-6) Seki et al. [2001](#page-26-5)). Mesoscale eddies are a common feature in the open ocean except at the equator (Chelton et al. [2011](#page-22-4)). They generally propagate westward $(5-12 \text{ cm s}^{-1})$ in the subtropics) with an average lifespan of ~32 weeks and propagation distance of ~550 km. However, many can last over a year and cross entire ocean basins. Eddy size and propagation speed vary inversely with latitude. The effects of a nearby mesoscale eddy can alter the normal thermocline structure and tide levels for several weeks (e.g., Firing and Merrifield [2004](#page-23-4)).

In many locations at mesophotic depths, the benthic communities are subject to rapid influxes of cooler, deeper water. These cold-water intrusions are often associated with internal waves coinciding with the lunar semidiurnal (M_2) tidal frequency (e.g., Wolanski and Delesalle [1995;](#page-27-5) Wolanski and Deleersnijder [1998;](#page-27-6) Wolanski et al. [2004](#page-27-7); Leichter et al. [2006](#page-24-7); Kahng et al. [2012a;](#page-24-8) Leichter et al. [2012\)](#page-24-9). Internal waves are often generated as tidal flow interacts with seafloor topography causing vertical oscillations in the water column's isopycnals (i.e., thermal structure). These internal waves travel along density boundaries/interfaces including the thermocline beneath the surface mixed layer. Upwelling of sub-thermocline water can also be caused by a variety of other interactions with surface currents and topographic features including island wakes, tidal jets, and land-sea boundary shear (Wolanski and Hamner [1988](#page-27-8)).

These short duration cold-water pulses vary in magnitude by location and can be very pronounced below the mixed layer depth. At some MCE locations, rapid temperature decreases of 2–6 \degree C lasting for \sim 1 to 2 h can be common (Wolanski and Pickard [1983;](#page-27-9) Leichter et al. [2008](#page-24-11), [2012](#page-24-9); Kahng et al. [2012a](#page-24-8); Bongaerts et al. [2015b](#page-21-8)). In areas of high internal wave energy dissipation, extreme thermocline fluctuations (e.g., >100 m) can occur (e.g., Wolanski et al. [2004](#page-27-7)). For many locations exposed to internal waves, the thermal variability at mesophotic depths is far greater than in shallow water (Fig. [42.9\)](#page-8-0). For example, the benthos at 100 m in the Auʻau Channel in Hawaiʻi often experiences a greater temperature range in a single day than the benthos in shallow water experiences all year (Fig. [42.10\)](#page-8-1). In general, these temperature variations do not reach shallow water (<10 m) except in areas with large breaking internal waves. During

periods of seasonal cooling, when the mixed layer deepens, the internal waves propagate along the deeper and more gradual density boundary thereby weakening (or potentially removing) their impact on shallower waters on a seasonal basis (e.g., Leichter et al. [2012](#page-24-9); Smith et al. [2016\)](#page-26-6).

In addition to being colder, the sub-thermocline water is often associated with higher concentrations of inorganic nutrients, which can enhance primary productivity in the euphotic zone (e.g., Wolanski et al. [1988;](#page-27-10) Leichter et al. [2003](#page-24-12); Letelier et al. [2004\)](#page-24-13). Aggregations of zooplankton and phytoplankton can also be associated with density boundaries and fronts and be transported with them due to their buoyant properties (Leichter et al. [1998](#page-24-14); Wolanski and Hamner [1988](#page-27-8); Pineda [1999](#page-25-7); McManus et al. [2005](#page-25-8)). These cold-water intrusions are generally viewed as ecologically beneficial and are linked to inorganic nutrient enrichment,

Fig. 42.9 Temperature range at different depths. The temperature range over 48 h at various depths (10, 60, and 120 m) in Curacao for April 2013–January 2014. (Data from Bongaerts et al. 2015, temperature recorded every 10 min)

Fig. 42.10 Short-term thermal variability. Temperature in the Auʻau Channel, Hawaiʻi (90–110 m depth) from November 5–8, 2007. (Data from Kahng et al. [2012a](#page-24-8), temperature recorded every 90 s)

enhanced bottom currents, heterotrophic feeding, and lower rates of coral bleaching during periods of anomalously high SST (Leichter et al. [1998](#page-24-14), [2006](#page-24-7), [2012;](#page-24-9) Roder et al. [2010](#page-26-7); Jantzen et al. [2013](#page-23-5); Wall et al. [2015](#page-27-11)). However, extreme cold-water intrusions may cause lethal stress and limit the lower depth distribution of sessile, warm-water fauna where they occur (Wolanski et al. [2004\)](#page-27-7).

42.4 Photophysiology and Adaptions to Light Regimes at Depth

42.4.1 Adaptation to Light Intensity

In shallow water, adaptation (both ontogenetic and phylogenetic) to high light irradiance dominates the photophysiology of zooxanthellate corals. *Symbiodinium* acclimated to high light increase their photoprotective pigments, which convert light energy to heat (i.e., nonphotochemical quenching or NPQ), increase antioxidant capacity, and adopt selfshading morphologies at a cellular level (MacIntyre et al. [2002](#page-25-9); Lesser [2006;](#page-24-15) reviewed in Falkowski and Raven [2007](#page-22-5)). Some coral hosts also employ self-shading mechanisms via gross colony morphology (Kaniewska et al. [2008](#page-24-16); Kaniewska et al. [2014](#page-24-17)), tissue thickness (Kaniewska et al. [2011](#page-24-18)), and contraction and/or retraction of polyps and tissue (Brown et al. [2002](#page-21-9); Levy et al. [2003\)](#page-24-19). Biochemically, some coral hosts also accumulate UV-absorbing mycosporinelike amino acids (MAAs) in exposed tissues (reviewed in Shick and Dunlap [2002\)](#page-26-8), produce heat shock proteins (Brown et al. [2002\)](#page-21-9), and express fluorescent proteins (FPs) and chromoproteins (CPs) of the green fluorescent protein family, which can play a photoprotective role (Salih et al. [2000](#page-26-9); Dove et al. [2001](#page-22-6); Smith et al. [2013](#page-26-10)). These host pigments are responsible for the pronounced color polymorphism of reef corals (Gittins et al. [2015,](#page-23-6) Quick et al. [2018](#page-26-11)). In shallow-water corals, the tissue concentration of the sunscreen proteins are regulated in response to increased light intensity, particularly in the blue spectral range (D'Angelo et al. [2008,](#page-22-7) [2012\)](#page-22-8).

Although Palmer et al. ([2009\)](#page-25-10) hypothesized antioxidant functions for coral FPs and CPs, the experimental support is very tenuous. The H_2O_2 scavenging experiments by Palmer et al. [\(2009](#page-25-10)) lacked positive (e.g., catalase) and negative controls. Therefore, any FP-/CP-specific activity cannot be distinguished from unspecific scavenging of the protein scaffold. Furthermore, the apparently positive correlation between the level of tissue fluorescence and H_2O_2 scavenging was disproportionately influenced by an individual outlier in each of the datasets (Fig. 3 in Palmer et al. 2009), and the R^2 values were accordingly low $(R^2 = 0.08 - 0.34).$

In low-light conditions associated with deeper depths and shaded habitats, corals and their endosymbiotic algae acclimate in reciprocal ways (reviewed in Falkowski et al. [1990](#page-22-1); Kirk [2011](#page-24-4)). Shade-acclimated plants decrease their photoprotective pigments and increase light-harvesting pigments at a cellular level by increasing the number and size (absorption cross section) of the photosynthetic units (PSU) (Kirk [2011](#page-24-4)). For most phytoplankton, the increase in lightharvesting pigments is achieved by increasing the size of the PSU antennae, but not the number of PSUs (Dubinsky and Stambler [2009\)](#page-22-9). Despite being a classic mechanism for optimizing light absorption and enhancing quantum efficiency in the lower photic zone (Chang et al. [1983;](#page-22-10) Prézelin [1987](#page-25-11); Iglesias-Prieto and Trench [1997;](#page-23-7) Hutchings et al. [2008\)](#page-23-8), an increase the number of PSUs per cell has not been observed to date on MCEs (Mass et al. [2007;](#page-25-12) Einbinder et al. [2016](#page-22-11)). Within the same coral species (i.e., *Stylophora pistillata*), both stable and increasing zooxanthellae densities have been associated with photoacclimatization to deeper depths (Falkowski et al. [1990;](#page-22-1) Titlyanov et al. [2001](#page-26-12); Mass et al. [2007](#page-25-12)) indicating that depth-related acclimatization mechanisms can vary due to other environmental factors (Winters et al. [2009;](#page-27-12) but see Keshavmurthy et al. [2013](#page-24-20)). In low light, the xanthophyll cycle, an important photoprotection mechanism (NPQ), can be converted to light-harvesting state in some algae (reviewed in Goss and Jakob [2010](#page-23-9)). Diatoxanthin (Dtx) is epoxidized into diadinoxanthin (Ddx) removing the quenching pigment and transforming the antennae system from a heat dissipation state to a light-harvesting state (Goss et al. [2006](#page-23-10)).

Per unit surface area, some shade-acclimated corals can exhibit greater photosynthetic capabilities than their high light-acclimated counterparts (reviewed in Falkowski et al. [1990](#page-22-1)). However, shade-acclimated corals reach their maximum rates of gross photosynthesis (P_{max}) at lower irradiance than their high light-acclimated counterparts (e.g., Einbinder et al. [2016\)](#page-22-11). In some shade-acclimated algae, a reduction in the carboxylation capacity limits P_{max} compared to high light-acclimated conspecifics (Falkowski and Raven [2007](#page-22-5)). For shade-acclimated corals, photosynthetic efficiency or *Pmax* normalized per unit chlorophyll *a* (*chl a*) is lower due to self-shading of light-harvesting centers. Also, an increase of antennae size leads to a decrease in quantum efficiency due to a slower rate of energy transfer to the reaction center (RC) (Croce and van Amerongen [2014\)](#page-22-12).

While an increase in light-harvesting pigments with depth (traditionally called shade adaptation or shade acclimation) has been demonstrated in both shallow-water corals and depth-generalist mesophotic corals, stable or decreasing pigment concentrations with depth has also been demonstrated in mesophotic coral species (reviewed in Kahng et al. [2010](#page-24-0)). Some corals in the lower photic zone consistently exhibit lower areal concentrations of photosynthetic pigments than

their shallow-water counterparts (Kahng et al. [2012b](#page-24-5)). At intermediate depths increasing photosynthetic pigment concentrations per unit area to maximize utilization of ambient light is potentially advantageous, but this form of shade acclimation eventually becomes self-limiting due to the *package* effect (Kirk [1975](#page-24-21); Bissett et al. [1997\)](#page-21-10) as the incremental gain in light harvesting per unit pigment diminishes (Falkowski et al. [1990](#page-22-1); Stambler and Dubinsky [2007](#page-26-13)). Theoretically, there is a limit to enhancing light absorption through an increase in effective antenna cross section as intracellular self-shading (from increased pigment density) negates gains in quantum efficiency (Falkowski and Dubinsky [1981\)](#page-22-13). In an energy- or resource-limited environment, the incremental cost of producing and maintaining additional pigments may outweigh the energetic gain in incremental light harvesting.

An alternative way to enhance quantum efficiency is to modify the pathway of excitation energy trapping and its transfer. The challenge with a very low-light environment is that the balance between the rates of trapping photons to the rate of the back reactions (decay rates) for the S states may not be positive, thereby preventing oxygen evolution via catalytic water oxidation (McEvoy and Brudvig [2006](#page-25-13); Nelson and Yocum [2006](#page-25-14); Cox et al. [2013](#page-22-14); Shen [2015](#page-26-14)). Assuming a single electron is moved by photosystem (PS) II per a quanta of energy, four positive charges have to be concurrently created to produce an oxygen molecule via the five S-stages of the oxygen-evolving complex (OEC) where the S1 state is the resting state of the enzyme under no light (Joliot et al. [1969;](#page-24-22) Kok et al. [1970](#page-24-23)). Under very low light, the enzyme may never cycle to the S4 state (due to lack of protons) and thus never release an oxygen molecule. In order for the symbiont to have a positive contribution to the overall energy balance of this symbiosis, the organism must outcompete the back reaction.

An efficient low-light energy transfer model was postulated by Einbinder et al. ([2016\)](#page-22-11) based on novel photosynthetic characteristics measured from deep (65 m) for *Stylophora pistillata* colonies with a *Symbiodinium* clade distinct from shallow (3 m) conspecific colonies. A model of a closely arranged PSII array combined with PCP (peridinin-chlorophyll *a* protein) soluble antenna that funnels the light to PSI can create an efficient low-light energy transfer system that is no longer based on a membraneembedded light-harvesting system, but a membrane-attached light-harvesting system. Under low-light intensities, this energy transfer increases photosynthetic quantum efficiency (Joliot and Joliot [1964,](#page-23-11) [2003\)](#page-23-12). Combining this distinct lightharvesting antenna organization with a lower PSI content will enable a cooperative effect (Einbinder et al. [2016\)](#page-22-11).

To minimize self-shading at an intercellular level, some coral species exhibit a monolayer zooxanthellae arrangement in deep water (in contrast to the multilayer zooxanthellae arrangement in shallow-water corals) (Dustan [1979](#page-22-15); Schlichter et al. [1986](#page-26-15)). Also, the distribution of photosynthetic pigments over a greater number of symbionts reduces the package effect and increases light absorption efficiency (a[∗]) (Scheufen et al. [2017\)](#page-26-16). At a colony level, corals growing in low light construct less self-shading colony morphologies including wider spacing between branches/plates and more flattened growth forms at depth compared to conspecifics in shallower water (Fig. [42.11](#page-11-0); Kühlmann [1983;](#page-24-24) Anthony et al. [2005](#page-21-11); Hoogenboom et al. [2008](#page-23-13)).

42.4.2 Chromatic Adaption and Fluorescent Pigments

In addition to the decrease in light intensity, the change in light spectra with increasing depth has led to distinct niches and pigment physiology of algal taxa in the lower photic zones across various aquatic habitats (Falkowski and Laroche [1991](#page-22-16); Stomp et al. [2007](#page-26-4)). For the lower depth distributions of benthic macroalgal taxa in oligotrophic waters, the general pattern from brown (phaeophytes) to green (chlorophytes) to red (rhodophytes) at the deepest depths suggests phylogenetic chromatic adaptation to a particular spectral character (Dring [1981](#page-22-17); Kirk [2011](#page-24-4); but see Ramus [1983](#page-26-17)). Compared to algae, relatively few studies have investigated chromatic adaptation in zooxanthellate corals. Because reef-building corals only host dinoflagellates as major photosynthetic endosymbionts (Baker [2003;](#page-21-12) LaJuenesse et al. [2005\)](#page-24-25), the potential for chromatic adaptation is focused on the genus *Symbiodinium*. All dinoflagellates including *Symbiodinium* are phylogenetically constrained in terms of their available photosynthetic machinery including their light-harvesting pigments (reviewed in Schnepf and Elbrächter [1999](#page-26-18)).

Despite the pronounced changes in spectra with increasing depth, physiological evidence for chromatic adaptation in mesophotic corals remains somewhat limited. In several shallow-water corals, depth-dependent distribution of MAAs has been demonstrated along with acclimation to UV exposure (reviewed in Shick and Dunlap [2002\)](#page-26-8). MAAs absorb UV light, which can induce photochemical damage, and are assumed to originate from the algal partner in microalgalinvertebrate symbiosis.

The negative effects that red light can have on *Symbiodinium* indicate that at least some *Symbiodinium* are adapted to the absence (or near absence) of red light which characterizes the underwater light field in general including in shallow water (Kinzie et al. [1984](#page-24-26); Wijgerde et al. [2014](#page-27-13)). In a controlled experiment using *Stylophora pistillata*, Mass et al. [\(2010](#page-25-15)) demonstrated that corals, acclimated to a restricted spectrum of blue light (at 40 m) versus full spectrum PAR (at 3 m), exhibited higher photosynthetic perfor-

Fig. 42.11 Changes in coral colony morphology with depth. (**a**) Spherical colony of *Platygyra lamellina* in a shallow reef (3 m) compared to (**b**) a flat conspecific colony in an MCE (44 m). (**c**) The branching coral *Stylophora pistillata* in shallow reef (1.5 m) compared to (**d**) an MCE (46 m). Branching corals may display thinner branches with greater space between them in MCEs compared to shallow reefs. (**e**) *Turbinaria reniformis* in a shallow reef (5 m) with typical foliose coral morphology with upright unifacial laminae in contrast to (**f**) flat colony of *Turbinaria reniformis* in an MCE (41 m). (**g**) *Goniastrea retiformis* colony with a massive to sub-massive growth form in shallow reef (2 m) compared to (**h**) an encrusting foliose morphology in an MCE (43 m). All photos taken at the Gulf of Eilat/Aqaba, Red Sea (Photo credits: T. Shlesinger)

mance in their respective spectral habitats independent of light intensity.

Based on absorption characteristics, an increase in chl $c₂$ (with a blue-shifted peak compared to peridinin) would be expected for dinoflagellate taxa chromatically adapted to blue light in the lower photic zone (Iglesias-Prieto and Trench [1994\)](#page-23-14). The available evidence from mesophotic corals conflicts with this expectation of chromatic adaptation. Chl *c*₂/chl *a* ratios decline with increasing depths for depthgeneralist coral species (spanning from shallow to mesophotic depths), and depth-specialist coral species dominating the lower photic zone (i.e., agariciids) exhibit lower ratios than their shallow-water counterparts (Lesser et al. [2010;](#page-24-27) Nir et al. [2011;](#page-25-16) Kahng et al. [2012b](#page-24-5); Einbinder et al. [2016\)](#page-22-11).

Based on the overlap between the emission spectra of host FPs and the absorption spectra of photosynthetic pigments, FPs had been hypothesized to enhance photosynthesis (Schlichter et al. [1986](#page-26-15); Schlichter and Fricke [1991](#page-26-19); Salih et al. [2000](#page-26-9)). However, coral FPs (donor) are not energetically coupled to the light-harvesting pigments (acceptor) of *Symbiodinium* (reviewed in Dubinsky and Falkowski [2011\)](#page-22-18) because Förster resonance energy transfer (FRET) decreases

exponentially $(E = 1/\left|1 + \frac{r}{R}\right|)$ $= 1 / \left\{1 + \right\}$ ë $\left|\frac{r}{R}\right|$ û ú $\sqrt{2}$ í ï $\overline{\mathfrak{l}}$ \mathbf{I} $\left\{ \right.$ þï $1/\sqrt{1}$ 0 6 $1/2 + \frac{1}{2}$ \rightarrow) with donor-to-acceptor

separation distance (r) , where R_0 is the Förster distance of this pair of donor and acceptor, i.e., the distance at which the energy transfer efficiency is 50% (Förster [1960\)](#page-23-15). Without intracellular coupling, the efficiency of energy transfer from host FPs to symbiont light-harvesting centers becomes mathematically minute.

Despite the limitations imposed by spatial physics, Smith et al. [\(2017](#page-26-20)) proposed that photoconvertible red fluorescent proteins (pcRFPs) provide adaptive value to corals at intermediate depths by showing that the proportion of corals with pcRFPs increases with depth (to at least 45 m) and by demonstrating increased long-term survivorship of color morphs with strong pcRFP expression compared to conspecific color morphs without them. The yellow-orange wavelengths emitted by pcRFPs lie in-between the blue and red absorption peaks of the chlorophyll-peridinin complex in *Symbiodinium* (Devred et al. [2013;](#page-22-19) Croce and van Amerongen [2014\)](#page-22-12) and can stimulate photosynthesis deeper in heavily pigmented coral tissues (Bollati et al. [2017](#page-21-13); Smith et al. [2017](#page-26-20)). This mechanism would enable the symbiont community deeper in the coral tissue (which is shaded by near-surface symbionts and deprived of ambient blue light) to increase their levels of photosynthesis. Downwelling yellow-orange light $($ >560 μ m) quickly attenuates from the light spectrum with increasing depth and is essentially absent below 30–40 m in clear oligotrophic waters (Kahng et al. [2012b](#page-24-5); Smith et al. [2017](#page-26-20)). While the conversion of blue-to-orange wavelengths by pcRFP is efficient (Bollati

et al. [2017](#page-21-13)), the subsequent utilization of this light by photosynthetic pigments is not due to nondirectional emission (i.e., Lambertian diffusion) and distance between donor (pcRFP) and acceptor (*Symbiodinium* chl). Given the loss of energy involved, this mechanism would add value only if the near-surface symbiont community is somehow unable to utilize the incident blue light or is rate limited due to other factors such as diffusion limitation in dense aggregations of near-surface symbionts.

For substrates and metabolites exchanged with the external environment, some evidence from the micro environments in the tissue of shallow-water corals shows that surface symbionts would be less diffusion limited than symbionts deeper in the tissue layer (300–500 μm deep) (Kühl et al. [1995](#page-24-28); de Beer et al. [2000](#page-22-20); Brodersen et al. [2014](#page-21-14)). The coral skeleton acts as a barrier to diffusion causing metabolites (e.g., oxygen) to accumulate in lower tissue layers (Kühl et al. [1995](#page-24-28); Brodersen et al. [2014](#page-21-14)). In deeper water with less light, less diffusion limitation would be expected during the daytime, but the same general concentration gradients would be expected.

Other evidence suggests that conditions deeper in the tissue may be more favorable for photosynthesis. Kühl et al. ([1995\)](#page-24-28) reported a sub-tissue surface gross photosynthesis maximum in the tissue below 500 μm, and Brodersen et al. ([2014\)](#page-21-14) detected a sub-tissue surface maximum (around 300 μm depth) in quantum efficiency under low light. While exchange of substrates and metabolites with the external environment may be more limiting in deeper tissue, diffusionlimited exchange (between host and symbiont) of vital compounds such as coral host N- and P-rich metabolites and photosynthate may have the opposite gradient with more diffusion limitation associated with a near-surface symbiont community.

This light redistribution concept is somewhat analogous to the redistribution of high solar flux on the surface of the mantle of tridacnid clams to *Symbiodinium* in deeper layers of tissue (Holt et al. [2014](#page-23-16)). Bragg-reflective iridocytes efficiently scatter light in a wavelength-specific and angledependent manner. Depending on their size and microstructure, iridocytes reflect a small percentage the same color that they forward scatter preferentially (Ghoshal et al. [2016](#page-23-17)). Other wavelengths are scattered at higher angles. High incoming solar flux can be redistributed over a greater area (deeper in the tissue) for efficient utilization and obviate the need for nonproductive photoprotection (e.g., NPQ) (Holt et al. [2014\)](#page-23-16).

The concept of chromatic adaptation to utilize "leftover" light, which either lies outside the absorption peak of overshading plants or is a byproduct of photosynthesis itself (i.e., red chl fluorescence), also has precedence. In the low-light environment of endolithic algae (Ostreobidineae) below the layer of coral tissue (Marcelino and Verbruggen [2016\)](#page-25-17), nearinfrared and infrared wavelengths (700–900 nm) dominate the light field and are enhanced over incident irradiance in some corals (Magnusson et al. [2007\)](#page-25-18). These wavelengths are not utilized by *Symbiodinium* but lay within the theoretical limits (<900 nm) for natural photosynthesis (van Grondelle and Boeker [2017](#page-27-14)). They readily penetrate coral tissue well into the coral skeleton where they may be at least partially utilized by chromatically adapted *Ostreobium*. Due to the limited penetration of these longer wavelengths through the water column in stark contrast to the deep depth distribution of *Ostreobium*, the adaptive value of these near-infrared absorbing pigments is debatable (Ralph et al. [2007\)](#page-26-21). For the tropical plant *Begonia* which inhabits the very low-light environment of the forest floor, the light regime is predominantly green (Jacobs et al. [2016\)](#page-23-18). The thylakoid tissue of *Begonia* is structured to create multilayered photonic structures which directly enhance the capture of green light and increases quantum yield 10–15% under low-light conditions. While photonic structures are well represented in a diversity of phyla and have been demonstrated to enhance photosynthesis in other algae (Vukusic and Sambles [2003;](#page-27-15) Toster et al. [2013;](#page-27-16) De Tommasi [2016](#page-22-21)), whether corals or *Symbiodinium* employ analogous photonic structures is unknown.

42.4.3 Gross Morphology

In shallow waters where available light is not limiting, corals can exhibit a diversity of colony morphologies to optimize different strategies (e.g., resistance to wave stress, maximize surface area for mass transfer and photosynthesis, and reduce internal light field via self-shading) (reviewed in Todd [2008](#page-27-17)). Daily light flux from all incident angles is sufficient to support photosynthetic organisms ($E_{\theta} > E_{min}$ for all θ) due to change in incident angle throughout the day and diffusive scattering from both particles in the water column and the seafloor. For example, coral and algae can grow on the undersides of horizontal substrates, which are completely shaded from direct sunlight.

With increasing depth, a reduction in self-shading morphologies is common although some species can be phenotypically stable (Willis [1985](#page-27-18)). For shallow-water branching and foliaceous corals, acclimation to small increases in depth (<10 m) can be associated with greater spacing between branches or plates, less vertical growth, fewer branches or convolutions, and less surface area (Jaubert [1977;](#page-23-19) Willis [1985](#page-27-18); Muko et al. [2000;](#page-25-19) Kruszynski et al. [2007](#page-24-29); Kaniewska et al. 2008). Across larger in situ-depth gradients (\sim 20 to 40 m), intraspecific micromorphology at deeper locations includes lower calical relief, fewer septa, and lower corallite density (Wijsman-Best [1974;](#page-27-19) Willis [1985](#page-27-18); Beltrán-Torres and Carricart-Ganivet [1993](#page-21-15); Muko et al. [2000;](#page-25-19) Klaus et al.

[2007](#page-24-30)). Reciprocal transplants of *Goniastrea pectinata* in shallow water (between 3 and 7 m) have confirmed acclimation of corallite morphology leading to less self-shading at slightly deeper depths (Ow and Todd [2010](#page-25-20)).

Across much larger depth gradients, the incident angles of light sufficient to drive photosynthesis (i.e., above a minimum threshold for a given taxon) become limited to a range of angles symmetric about the vertical axis (angular width) (Fig. [42.6\)](#page-5-0). The angular width for driving daily net positive photosynthesis becomes increasingly narrow with increasing depth. This narrowing angular width correlates with the flattening of coral skeletons with increasing depth (i.e., more perpendicular to the vertical axis) (Fig. [42.11](#page-11-0); Fricke and Schuhmacher [1983;](#page-23-20) Kühlmann [1983](#page-24-24); Titlyanov and Titlyanova [2002;](#page-26-22) Muir et al. [2015b](#page-25-21)). If light is limiting at depth, there is adaptive value in eliminating colony selfshading and maximizing solar flux per unit area of coral surface.

In deeper water where the angular width of light above the threshold for net positive photosynthesis is very narrow (Fig. [42.6](#page-5-0)), coral skeleton orientations which deviate away from being perpendicular $(\theta = 90^{\circ})$ to the vertical axis will reduce their daily solar flux per unit surface area by a factor of sin θ ($E_{\theta} = E_{z,\theta=90}$ °|sin θ). At depths, where E_{z} is close to the compensation depth $(E_z \sim E_{min})$, the angle of the coral skeleton must be very close to $|\theta| = 90^\circ$ (i.e., horizontally oriented plates) to maintain net positive photosynthesis, and the potential angular deviation from the perpendicular plane becomes highly constrained. This relationship holds for both foliose/plating (concave: $|\theta| < 90^\circ$) and mounding (convex: |*θ*| > 90°) coral morphologies (Fig. [42.11](#page-11-0)). At their maximum depth distribution, obligate zooxanthellate corals (commonly agariciids) exclusively exhibit thin horizontal plates (Kahng and Maragos [2006;](#page-24-31) Kahng et al. [2012b;](#page-24-5) Englebert et al. [2014](#page-22-0); Englebert et al. [2017](#page-22-22)). These corals grow to expand surface area rather than volume resulting in very thin skeletal plates requiring minimal calcification (Anthony and Hoegh-Guldberg [2003](#page-21-16); Kahng [2013;](#page-24-32) Luck et al. [2013](#page-25-22)).

42.4.4 Role of Calcium Carbonate Skeletons and Light Scattering

The reflective properties of calcium carbonate play an important role in increasing the light-harvesting efficiency of photosynthetic marine organisms including corals, calcareous green algae, and coralline red algae, as well as phototrophs growing on calcium carbonate substrate (Enríquez et al. [2005](#page-22-23); Kahng et al. [2012b](#page-24-5), [2017\)](#page-24-3). In corals, the aragonite skeleton redirects diffuse light back into the overlying tissue enhancing scalar irradiance in the tissue, increasing the path length of photons within the tissue, and raising the absorption efficiency of light-harvesting pigments in endosymbiotic algae (Wangpraseurt et al. [2014](#page-27-20)). The scalar irradiance at the surface of the coral tissue can be three to five times enhanced over the incident downwelling irradiance outside the tissue (Kühl et al. [1995](#page-24-28)). The reflective properties of skeletons allow corals to absorb two to five times more light than isolated symbionts (with the same density of pigments) and harvest the same amount of incident radiation as the leaf of a terrestrial plant with six times less pigment density (Enríquez et al. [2005\)](#page-22-23). This enhancement effect is greater at lower pigment densities and decreases with increasing pigmentation.

Under conditions of high light in shallow water and reduced pigmentation due to bleaching, this absorption efficiency can magnify susceptibility to photo-damage (Enríquez et al. [2005](#page-22-23); Terán et al. [2010;](#page-26-23) Marcelino et al. [2013\)](#page-25-23). This light enhancement effect does not apply to harmful UVR (Reef et al. [2009](#page-26-24)). While coral skeletons are highly reflective for PAR and infrared, they readily absorb UVR and weakly fluoresce yellow light. This property allows corals to enhance PAR for photosynthesis without the harmful effects of enhancing UVR, which readily penetrates oligotrophic waters.

Scattering properties differ among coral taxa due to variations in colony morphology and skeletal construction (Marcelino et al. [2013;](#page-25-23) Enríquez et al. [2017\)](#page-22-24). Due to their micromorphology (e.g., concave parallel septal cavities), the skeletons of some agariciid species enhance light absorption more than the skeletons of their shallow-water counterparts (i.e., *Porites* spp.) independent of photosynthetic pigment concentrations (Kahng et al. [2012b;](#page-24-5) Enríquez et al. [2017](#page-22-24)). This adaptation may help these deepwater specialists dominate the coral taxa in the lower photic zone. For calcareous and coralline algae that dominate the flora in the lower photic zone (reviewed in Kahng et al. [2010;](#page-24-0) Kirk [2011](#page-24-4); Kahng et al. [2017](#page-24-3)), the calcium carbonate underlying their tissues enhance their light-harvesting efficiency in an analogous fashion to corals. The superior reflectance of calcite versus aragonite (Gaffey [1986](#page-23-21)) may play a role in the deeper depth distribution of coralline red algae (calcitic) relative to calcareous green algae (aragonitic). Although non-calcareous, endolithic green algae (Ostreobidineae) have also been reported as abundant below 200 m (Aponte and Ballantine [2001](#page-21-17)), they inhabit calcium carbonate substrate and may benefit from its reflective properties.

Microscale light regulation in corals also occurs in the coral tissue. Differences in the refractive index between tissue layers can cause incident photons to be scattered and even trapped (due to internal reflections) within the tissue (Wangpraseurt et al. [2014](#page-27-20)). Photons can also be internally reflected (Fresnel reflection) due to differences in refractive indices between tissue layers and between the tissue and overlying water. The former increases the photon path length per vertical distance travelled, increasing the average residence time of photons within the tissue layer, and thereby raises the probability of photon absorption by photosynthetic pigments.

In concert with the coral skeleton, the latter can lead to photon trapping or wave guiding for obliquely scattered photons and promote lateral light transfer within the coral tissue. This anisotropic propagation of light within the coral tissue may facilitate light-harvesting efficiency in low-light habitats (Brodersen et al. [2014;](#page-21-14) Wangpraseurt et al. [2014](#page-27-20)).

42.4.5 Algal Symbionts

As in shallow water, obligate zooxanthellate corals at depth are energetically dependent on their algal endosymbionts (i.e., *Symbiodinium*) for their autotrophic capabilities. Both depth-generalist subclades with broad depth distributions and depth-specialist subclades have been found in MCEs (reviewed in Kahng et al. [2017\)](#page-24-3). Independent of environmental conditions, most coral hosts appear to exhibit specificity in their symbioses with one *Symbiodinium* type, which does not change over time (Baker [2003;](#page-21-12) Goulet [2006](#page-23-22), [2007](#page-23-23); Bongaerts et al. [2011a](#page-21-18)). Consistent with this pattern, multiple depth-generalist coral species, which also inhabit mesophotic depths, appear to maintain the same *Symbiodinium* type across a large depth range (Bongaerts et al. [2011b,](#page-21-19) [2015b](#page-21-8); Cooper et al. [2011;](#page-22-25) Nir et al. [2011](#page-25-16); Ziegler et al. [2015](#page-27-21)). However, some coral species are consistently associated with multiple symbiont types (i.e., polymorphic) and exhibit depth- and irradiance-related partitioning in the relative frequencies of clades and subclades (Rowan and Knowlton [1995;](#page-26-25) Rowan et al. [1997;](#page-26-26) Bongaerts et al. [2015a](#page-21-20)). *Symbiodinium* in MCEs is comprehensively reviewed in Goulet et al. [\(2019](#page-23-24)), Chap. [30](https://doi.org/10.1007/978-3-319-92735-0_30) of this book.

In addition to *Symbiodinium*, endolithic green algae (Ostreobidineae) can contribute photosynthate to coral hosts and may play a significant metabolic role for corals at depth (Odum and Odum [1955;](#page-25-24) Schlichter et al. [1997;](#page-26-27) Fine and Loya [2002](#page-23-25)). Endolithic algae colonize the coral skeleton below the layer of host coral tissue and live in an environment of very low light that can be enriched in red and infrared wavelengths (Magnusson et al. [2007](#page-25-18)). Their very low metabolic rates likely facilitate their ability to inhabit these extremely low-light habitats (Shashar and Stambler [1992](#page-26-28)). Recent multi-marker metabarcoding of coral skeleton endoliths has revealed that the endolithic algae community is far more taxonomically diverse at the family level than previously recognized (Marcelino and Verbruggen [2016](#page-25-17)). *Ostreobium* (which was previously thought to consist of only three species) has several separate evolutionary lineages that predate the first scleractinian corals.

Green sulfur bacteria (GSB; *Chlorobiaceae*) with their bacterial chlorophylls have also been detected in the endolithic community of shallow-water corals (Magnusson et al. [2007](#page-25-18); Ralph et al. [2007](#page-26-21); Cai et al. [2017](#page-22-26)). GSB are obligate photoautotrophs (anoxygenic photosynthesis) that are strictly

anaerobic and occupy the lowermost part of light-stratified environments with their very sensitive light receptors (chlorosomes) (reviewed in Imhoff [2014](#page-23-26)). Bacterial chlorophylls strongly absorb both UVA and infrared wavelengths (Croce and van Amerongen [2014\)](#page-22-12). While the latter is generally limited to shallow water due to rapid attenuation in seawater, the former can remain a significant fraction of the available light in the lower photic zone (Fig. [42.4](#page-4-0)). Metagenomic analyses confirm the widespread presence of GSB in shallow-water corals and their nitrogen-fixing capabilities (Cai et al. [2017](#page-22-26)). Given their ability to inhabit the low-light regions deep within coral skeletons below the layer of endolithic algae (Ralph et al. [2007\)](#page-26-21), their presence in mesophotic corals as potential symbionts is quite probable.

Interestingly, photosynthesis may not be the only function of algal endosymbionts in mesophotic corals. The depth distributions of active algal endosymbionts in antipatharians, benthic foraminifera, and cyanosponges extend far below the photic zone and suggest that algal endosymbionts may perform other adaptive functions for their hosts or may even be parasitic (Grzymski et al. [2002](#page-23-27); Usher [2008;](#page-27-22) Wagner et al. [2010](#page-27-23)). Below the photic zone, Kahng et al. [\(2014](#page-24-1)) hypothesized that algal endosymbionts may be assimilating nitrate (instead of inorganic carbon) in environments where their heterotrophic hosts are limited by the availability of reduced forms of bioavailable nitrogen.

42.5 Metabolic Adaptations

42.5.1 Energetics and Elemental Resources

Like all living organisms, corals require both energy (phototrophy and/or chemotrophy) and elemental resources through assimilation of organic matter (organoheterotrophy) and/or inorganic (lithoautotrophy) compounds. Survival, growth, and reproduction can be limited by either factor independently. Energetics depends on both energy input (e.g., organic carbon from photosynthesis or heterotrophy) and metabolic demand (i.e., energy output) with each affecting growth and growth efficiency. Required elemental resources consist of all elements needed to build organic molecules. In general, hydrogen, oxygen, carbon, and sulfur are not limiting in the marine environment because bioavailable forms of each are readily available from seawater (Libes [2011](#page-24-33)). However, in oligotrophic waters, organisms are often subject to (bioavailable) nitrogen limitation and sometime phosphorus or iron limitation (Atkinson and Falter [2003;](#page-21-21) Karl and Church [2017](#page-24-34)). In well-lit shallow-water habitats, the uptake of inorganic nutrients (e.g., nitrogen and phosphorous) and dissolved organic nutrients (e.g., urea and amino acids) has been shown to limit coral growth due to suboptimal mass transfer, which is a function of not only nutrient concentration but also water velocity and drag (reviewed in Atkinson [2011](#page-21-22); Suzuki and Casareto [2011\)](#page-26-29). In other words, current speed can compensate for low inorganic nutrient concentrations and facilitate faster growth.

In low-light conditions, some corals lower metabolic demand via slower rates of growth, less calcification, and reduced respiration (Huston [1985](#page-23-28); Anthony and Hoegh-Guldberg [2003](#page-21-16); Grigg [2006](#page-23-29); Cooper et al. [2011](#page-22-25)). At depth, some mesophotic corals exhibit morphological adaptations, which reduce basal metabolism thereby increasing growth efficiency. These adaptations include thinner tissue (less biomass per unit area) and lower surface area-to-volume ratios, which slows metabolite exchange rates and reduces respiration per unit surface area (reviewed in Falkowski et al. [1990](#page-22-1); Anthony and Hoegh-Guldberg [2003](#page-21-16)). Lower surface area-tovolume ratios can be achieved by having larger polyps and/ or lower polyp density per unit area. However, such morphological features may also influence hydrodynamic boundary layer effects, drag, and therefore mass transfer rates. For several coral species, polyp density decreases with depth or in shaded habitat (e.g., Dustan [1979](#page-22-15); Lasker [1981](#page-24-35); Dinesen [1983](#page-22-27); Villinski [2003;](#page-27-24) Einbinder et al. [2009](#page-22-28)). Some of the deepest obligate zooxanthellate corals (i.e., *Leptoseris* spp.) exhibit relatively large polyps without tentacles and extremely low polyp densities (Fig. [42.12;](#page-16-0) Luck et al. [2013](#page-25-22); Englebert et al. [2017\)](#page-22-22). However, all corals at depth and in low light do not uniformly exhibit these morphologies. For example, many shade-dwelling zooxanthellate corals in shallow water exhibit small polyps (Dinesen [1983](#page-22-27)).

42.5.2 Light and Growth

Since light is presumed to be the primary environmental factor controlling growth rates of zooxanthellate corals via light-enhanced calcification (reviewed in Allemand et al. [2011](#page-21-23)), growth rates in the lower photic zone can be expected to be very slow. Intraspecific growth rates decrease with increasing latitude and decreasing PAR (Harriott [1999](#page-23-30); Lough et al. [2016](#page-24-36)). The exponential attenuation of light with increasing depth also decreases intraspecific growth rates (Huston [1985;](#page-23-28) Grigg [2006\)](#page-23-29) and fecundity (Shlesinger et al. [2018](#page-26-30)). In the Au'au Channel of Hawaiʻi, the growth rate of *Porites lobata* declines exponentially with depth from 13.5 mm year⁻¹ at 6 m to 3.0 mm year⁻¹ at 60 m (Grigg [2006](#page-23-29)). Similar declines in growth rates have been reported for other species across analogous depth gradients (e.g., Dustan [1979;](#page-22-15) Huston [1985](#page-23-28); Weinstein et al. [2016](#page-27-25)). However, there is currently a dearth of data on the growth rates of zooxanthellate corals below normoxic SCUBA diving depths (>60 m). For many years, the lone species measured at greater depth was *Leptoseris fragilis*, which grows 0.2– 0.8 mm year−¹ at 90–120 m in the Red Sea (Fricke et al.

Fig. 42.12 Low polyp density and relatively large polyps in *Leptoseris* spp*.* (**a**–**c**) skeletal specimens collected at 80–130 m depth with 0.82, 1.24, and 1.22 polyps per cm² (a, b, and c, respectively). (d) *Leptoseris* sp. in situ at depth of 50 m. Scale bars represent 1 cm (Photo credits: (a-c) Takaaki Watanabe, (d) T. Shlesinger)

[1987](#page-23-31)). This evidence suggested that zooxanthellate coral growth rates are uniformly very slow at lower mesophotic depths. However, an 11 mm year−¹ radial growth rate reported for a single colony of *Leptoseris hawaiiensis* at 90 m in Hawaiʻi demonstrates the potential for moderate growth for an obligate zooxanthellate coral in the lower photic zone (Kahng [2013\)](#page-24-32). In Curacao, recent transplant experiments of *Agaricia grahamae* confirmed moderate growth rates are possible at mesophotic depths (up to 30.8 mm year−¹ at 60 m and up to 4.1 mm year⁻¹ at 80–100 m) (Bongaerts et al. [2015b](#page-21-8)). At their deepest depths, agariciids (including *Leptoseris* and *Agaricia*) form thin horizontal plates that grow radially, and some species do not increase in thickness over time. This efficient growth strategy maximizes surface area while minimizing calcification. While these delicate colonies would be highly susceptible to breakage in shallow

water, they are protected from wave stress given their depth distribution (Luck et al. [2013](#page-25-22)).

In shallow, oligotrophic waters where light energy is plentiful, the primary benefit of heterotrophy for zooxanthellate corals is likely the acquisition of limiting elemental resources (e.g., bioavailable nitrogen and phosphorus). For a few depth-generalist species, growth and reproduction evidence is consistent with the premise that elemental resources are more limiting than energetics in shallow water in contrast to deeper water. For *Madracis mirabilis* in Jamaica, growth rates are bimodal with depth, with the fastest growth rates at 10 and 30 m and slower growth at 20 and 45 m (Leichter et al. [2006\)](#page-24-7). In the US Virgin Islands, *Orbicella faveolata* exhibits higher fecundity at 40 m compared to shallower depths, and *Porites astreoides* exhibits a constant fecundity with depth $(5-37 \text{ m})$ (Holstein et al. [2015,](#page-23-32) [2016\)](#page-23-33). While these data indicate that light energy may not always be the sole limiting factor for growth and reproduction with depth, the potential causes of faster growth or higher fecundity at depth (i.e., increased availability of inorganic nutrients, increased availability of heterotrophic resources, or reduced exposure to shallow-water stressors) have not been isolated.

Anecdotally, long-term cultures of several deepwater *Leptoseris* spp. colonies (collected 70–130 m) growing under a simulated deepwater light regime (programmed using an AquaIllumination AI Hydra 52 LED light system) at the Waikiki Aquarium in Honolulu, Hawaiʻi, have shown that zooxanthellate corals can survive and grow for several years without allochthonous POM (S. Kahng, unpubl. data). The aquarium facility is fed with filtered groundwater (from a 14 m well) which has very low levels of dissolved organic matter, but high concentrations of inorganic nutrients (Atkinson et al. [1995](#page-21-24)). Therefore, corals growing in such cultures essentially have no heterotrophic resources to supplement energetics.

In theory, when dissolved inorganic nutrients are readily available, zooxanthellate corals should be able to acquire all necessary elemental resources via their algal symbionts, which can readily assimilate them from seawater. Below the surface mixed layer, the increased availability of inorganic nutrients below may reduce or even obviate the need for heterotrophy as a source for elemental resources. The extent to which energetic subsidies from heterotrophy are required by zooxanthellate corals in the lower photic zone to compensate for low levels of light is likely species specific and remains largely unresolved.

42.6 Evidence for Increased Heterotrophy

Given their mixotrophic capabilities, zooxanthellate corals are thought to increase their metabolic reliance on heterotrophy in low-light habitats to compensate for reduced rates of photosynthesis (reviewed in Houlbrèque and Ferrier-Pagès [2009](#page-23-34)). Early investigations from in situ respirometry (Fricke et al. [1987\)](#page-23-31), carbon budgets and models (Falkowski et al. [1984](#page-22-29); Muscatine et al. [1984](#page-25-25); Dubinsky and Jokiel [1994\)](#page-22-30), and bulk stable isotopes (Muscatine et al. [1989\)](#page-25-26) have provided consistent support for an increased reliance on heterotrophy under low-light conditions at mesophotic depths. Heterotrophic carbon is estimated to supply up to 15–35% of daily metabolic demand in healthy corals and up to 100% in some species of bleached corals (reviewed in Houlbrèque and Ferrier-Pagès [2009\)](#page-23-34). Heterotrophic plasticity is thought to be species specific with differential feeding capabilities recorded among zooxanthellate corals. Where measured, feeding rates are not related to surface area-to-volume ratio or polyp size (reviewed in Houlbrèque and Ferrier-Pagès [2009](#page-23-34)). While zooxanthellate corals clearly benefit from heterotrophy in many ways, direct evidence for the relative importance of heterotrophy for depth-specialist zooxanthellate corals in the lower photic zone remains somewhat sparse (but see Crandall et al. [2016\)](#page-22-31).

Recent investigations regarding the role of heterotrophy at mesophotic depths have relied heavily on the interpretation of stable isotopic values and have generated a collection of conflicting and potentially confusing conclusions. Therefore, a comprehensive review of the available evidence to date is warranted. Both bulk and compound-specific carbon stable isotopes for coral host tissue ($\delta^{13}C_h$), zooxanthellae ($\delta^{13}C_z$), and coral skeleton ($\delta^{13}C_s$) have been used as proxies for measuring relative rates of photosynthesis and relative contributions of autotrophy versus heterotrophy. The use of δ^{15} N in zooxanthellate corals has also been used to identify nitrogen sources and trophic status. However, interpretation of bulk isotopic values can be problematic due to heterogeneous distribution of isotopic values within total organic matter (Benner et al. [1987](#page-21-25)).

Applications of dietary biomarkers such as lipids have also been used in marine trophic studies to determine cycling and transfer of material (Parrish [2013\)](#page-25-27). Because lipid composition can be taxa specific, lipid classes (e.g., wax esters, triglycerides, phosolipids, and sterols) and their constituents (various types of fatty acids and alcohols) can be used as dietary tracers for heterotrophy (Houlbrèque and Ferrier-Pagès [2009;](#page-23-34) Tolosa et al. [2011;](#page-27-26) reviewed in Parrish [2013](#page-25-27)). Their different functional roles (as energy reserves or membrane structural components) enable feeding studies to trace the fate and determine the effects of heterotrophic input (Treignier et al. [2008\)](#page-27-27). Integrating compound-specific stable isotopic analysis with dietary biomarkers can eliminate much of the inherent uncertainty associated with bulk stable isotopic values and can clarify nutrient acquisition strategies for corals (Parrish [2013](#page-25-27); Crandall et al. [2016](#page-22-31)). For example, Treignier et al. [\(2009](#page-27-28)) demonstrated effects of light and food source on the δ^{13} C signature of various lipid components providing insight in the relative importance of photosynthesis, direct absorption from diet, and de novo synthesis for each.

42.6.1 Host and Symbiont Carbon Stable Isotopes

The bulk tissue δ^{13} C values for consumers are similar to those of its food source (i.e., endmembers) (reviewed in Peterson and Fry [1987](#page-25-28); Peterson [1999\)](#page-25-29). For corals relying primarily on (photolitho-)autotrophy for their supply of organic carbon, the bulk carbon stable isotopic composition of their tissue ($\delta^{13}C_h$) should be similar to that of their zooxanthellae ($\delta^{13}C_z$). Conversely, for corals relying heavily on (chemoorgano-)heterotrophy for their supply of organic carbon, their $\delta^{13}C_h$ values should be similar to that of the organic matter being consumed (e.g., plankton), which has more negative δ^{13} C values.

For eight coral species over a depth range of 1–50 m, Muscatine et al. ([1989\)](#page-25-26) applied the diffusion depletion hypothesis (D'elia et al. [1983](#page-22-32)), to provide modest support for an increase in heterotrophy with depth. Due to translocation of photosynthate, the coral $\delta^{13}C_h$ should be similar to their zooxanthellae $\delta^{13}C_z$ in shallow water, but decrease with depth as corals rely more on consuming particulate organic matter (i.e., heterotrophy). Muscatine et al. [\(1989](#page-25-26)) reported an increasing difference between bulk $\delta^{13}C_h$ and $\delta^{13}C_z$ with depth indicating a greater reliance on heterotrophy.

Subsequent investigations of bulk $\delta^{13}C_h$ and $\delta^{13}C_z$ have reported conflicting trends (Alamaru et al. [2009](#page-21-26); Maier et al. [2010](#page-25-30); Crandall et al. [2016\)](#page-22-31) with increasing depth and identified the potential for additional δ^{13} C tissue dynamics including light-dependent rates of fractionation during photosynthesis (Swart et al. [2005\)](#page-26-31), recycling of carbon between coral host and symbionts (Alamaru et al. [2009](#page-21-26); Einbinder et al. [2009](#page-22-28)), and host carbon retention (Tremblay et al. [2014\)](#page-27-29). Increased nitrate and phosphate availability which can differ with depth may also affect $\delta^{13}C_h$ and $\delta^{13}C_z$ across time, especially if the N:P ratios are not balanced (Tanaka et al. [2017\)](#page-26-32). These factors confound use of bulk $\delta^{13}C_h$ and $\delta^{13}C_z$ values to directly interpret heterotrophy with depth (e.g., as in Lesser et al. [2010\)](#page-24-27). Irradiance conditions alone do not strongly influence the percentage of photosynthate translocation as complex interactions between autotrophy and heterotrophy alter how nutrients are acquired and shared (Tremblay et al. [2014\)](#page-27-29).

Instead of increasing with depth, heterotrophic feeding may simply be a function of species-specific capability and food availability (Maier et al. [2010;](#page-25-30) Seemann [2013](#page-26-33)). Using δ13C compound-specific stable isotopic analysis of sterols, Crandall et al. ([2016\)](#page-22-31) demonstrated that while *Montastraea cavernosa* does not increase heterotrophic feeding with depth (to 60 m), *Agaricia* spp. appears to rely on heterotrophy at both shallow and mesophotic depths. Heterotrophic capabilities and plasticity under low light appear to be species specific (reviewed in Houlbrèque and Ferrier-Pagès [2009](#page-23-34); Seemann [2013](#page-26-33)). While reduced light may increase rates of heterotrophic feeding in some species (Muscatine et al. [1984](#page-25-25); Palardy et al. [2005](#page-25-31)), light intensity does not influence feeding rates of other species (Langlois and Hoogenboom [2014\)](#page-24-37).

42.6.2 Skeleton Carbon Stable Isotopes

While the origins of $\delta^{13}C_s$ variation in zooxanthellate scleractinian corals remain a matter of considerable debate (McConnaughey [2003;](#page-25-32) Sun et al. [2008](#page-26-34)), there is general agreement that increasing solar insolation results in more

positive $\delta^{13}C_s$ due to fractionation during photosynthesis (Swart et al. [2005](#page-26-31)). Normalizing $\delta^{13}C_s$ to $\delta^{18}O_s$ to correct for kinetic effects (Heikoop et al. [2000](#page-23-35)), Lesser et al. ([2010\)](#page-24-27) used the transformed $\delta^{13}C_s$ values to calculate theoretical photosynthesis-to-respiration (P:R) ratios (sensu McConnaughey et al. [1997\)](#page-25-33) for *Montastraea cavernosa* in the Bahamas and reported a declining trend with depth. Although photosynthesis often affects $\delta^{13}C_{s}$ more than respi-ration (McConnaughey [2003\)](#page-25-32), no correlation between $\delta^{13}C_s$ and the P:R ratio has been found to date in experiments that have directly measured P:R (Swart et al. [1996](#page-26-35), [2005](#page-26-31)). Therefore, the relationship between $\delta^{13}C_s$ and P:R ratio remains hypothetical.

42.6.3 Tissue Nitrogen Stable Isotopes

The use of bulk $\delta^{15}N$ in zooxanthellate corals to determine trophic status is more complex than in pure animal systems (i.e., non-plant/algae). For strict heterotrophs, $\delta^{15}N$ is affected by food source (equilibrium effect) and trophic enrichment where δ^{15} N values become more positive with trophic level (Peterson and Fry [1987\)](#page-25-28). In zooxanthellate corals, light levels affect rates of fractionation with greater fractionation in low-light levels (Heikoop et al. [1998\)](#page-23-36). Numerous factors can influence bulk $\delta^{15}N$ including variability in nitrogen sources (both organic and inorganic) with depth, eutrophication (Risk et al. [2009\)](#page-26-36), zooxanthellae density (Heikoop et al. [2000](#page-23-35)), coral bleaching (Rodrigues and Grottoli [2006](#page-26-37)), and endosymbiotic nitrogen-fixing cyanobacteria (Lema et al. [2012](#page-24-38); Benavides et al. [2016\)](#page-21-27). Within a single colony, holobiont $\delta^{15}N$ values can also vary by location due to uneven heterotrophic feeding (e.g., greater feeding on the tips of coral branches) (Maier et al. [2010](#page-25-30)).

Another factor influencing $\delta^{15}N$ in zooxanthellate corals is phosphate availability which influences the amount of inorganic nitrogen assimilated from seawater (Tanaka et al. [2015](#page-26-38)). Phosphate availability also influences the assimilation of diazotroph-derived bioavailable nitrogen ($\delta^{15}N = 0\%$) (Bednarz et al. [2017](#page-21-28)). Since phosphate concentrations generally increase with depth below the surface mix layer (e.g., Letelier et al. [2004](#page-24-13)), these nitrogen source dynamics differentially affect corals at mesophotic depths and can complicate the analysis and interpretation of depth trends in $\delta^{15}N$ that span multiple nutrient regimes.

For some corals, there is a general trend of holobiont $\delta^{15}N$ values decreasing with increasing depth, which has been interpreted as the autotrophic signature overwhelming any heterotrophic signature (Muscatine and Kaplan [1994](#page-25-34); Heikoop et al. [1998;](#page-23-36) Maier et al. [2010;](#page-25-30) Baker et al. [2011](#page-21-29)). Theoretically, at extreme depths with very low-light levels, the heterotrophic signature should eventually prevail and reflect more positive holobiont $\delta^{15}N$ (Heikoop et al. [1998](#page-23-36)). For the largest depth gradients studied, the corals showed no

consistent depth trend in $\delta^{15}N_h$ or $\delta^{15}N_z$ indicating additional δ15N dynamics must be considered (Alamaru et al. [2009](#page-21-26); Lesser et al. [2010](#page-24-27)). In the only controlled experiment to date, Reynaud et al. [\(2009](#page-26-39)) also reported no trend in $\delta^{15}N_h$ or $\delta^{15}N_z$ across a range of low to moderate light. Unexpectedly, fed coral colonies actually exhibited more negative $\delta^{15}N_h$ and $\delta^{15}N_z$ compared to starved colonies indicating that nitrogen recycling between host and symbiont can overwhelm any heterotrophic signature (Reynaud et al. [2009\)](#page-26-39). This level of nitrogen recycling varies by coral species (Tanaka et al. [2015](#page-26-38)).

Given the multiple of factors influencing bulk carbon and nitrogen stable isotopic values for zooxanthellate corals and the multiple trends of these factors with depth, in situ studies attempting to isolate heterotrophic signatures with depth are fraught with many potentially confounding factors. Such studies must be interpreted in concert with all relevant studies including the most recent and ongoing advancements in the field of stable isotopic analyses.

42.6.4 Comparative Morphology and Depth Distributions

Facultatively zooxanthellate corals, obligate heterotrophic (azooxanthellate) corals, and other anthozoans, which rely on currents for passive suspension feeding, generally share common morphological features including functional tentacles for capturing particulate organic matter and tall and/or ramose morphologies designed to penetrate the benthic boundary layer (Etnoyer and Morgan [2005](#page-22-33); Lumsden et al. [2007](#page-25-35)). Zooxanthellate corals abundant in the lower photic zone tend to exhibit contrasting features, which appear inconsistent with optimal passive suspension feeding, including horizontal plate-like morphologies, low or decreasing polyp density with depth, and polyps which lack tentacles (Dinesen [1980;](#page-22-34) Fricke and Schuhmacher [1983](#page-23-20); Fricke et al. [1987](#page-23-31); Goldberg [2002;](#page-23-37) Einbinder et al. [2009](#page-22-28); Holstein et al. [2015](#page-23-32)). These features characterize many of the species from the family Agariciidae, which dominates the zooxanthellate coral community found in the lower photic zone throughout the Indo-Pacific Ocean and in parts of the Caribbean (reviewed in Kahng et al. [2010](#page-24-0), [2014](#page-24-1); Englebert et al. [2017](#page-22-22)). For example, polyp densities for several deepwater (>70 m) *Leptoseris* spp. have been commonly measured at 0.1–2.2 polyps per cm² (S. Kahng, unpubl. data) (Fig. [42.12](#page-16-0)).

If heterotrophic capabilities play a significant role in extending the depth distribution of zooxanthellate corals, species with morphologies facilitating passive suspension feeding could be expected to thrive deeper (in less light) than other species. Similar to antipatharians which are strictly heterotrophic, gorgonians commonly have tall and ramose morphologies and high polyp densities which can facilitate

passive suspension feeding (Fabricius and Alderslade [2001](#page-22-35); Won et al. [2001;](#page-27-30) Brugler et al. [2013\)](#page-21-30). However, zooxanthellate gorgonians have much shallower depth distributions than zooxanthellate scleractinians with flattened morphologies (reviewed in Kahng et al. [2010](#page-24-0)), and the gorgonians common to the lower photic zone (>60–70 m) are exclusively azooxanthellate (e.g., Bridge et al. [2012\)](#page-21-31). While facultative zooxanthellate scleractinians (e.g., *Oculina varicosa*, *Astrangia danae*, and *Madracis pharensis*) also have ramose colony morphologies and can inhabit depths below the photic zone, the zooxanthellate morphs of these species exhibit much shallower lower depth distributions than their obligate zooxanthellate counterparts (Kahng et al. [2010](#page-24-0)).

42.7 Role of Light and Temperature in the Lower Depth Limits of MCEs

The depths at which benthic photosynthetic taxa thrive are deepest in oligotrophic tropical and subtropical waters due to their superior optical water clarity (Kirk [2011](#page-24-4)). Obligate photosynthetic taxa have been observed living in situ at extreme depths, for example, 165 m for *Leptoseris* spp. at Johnston Atoll (Maragos and Jokiel [1986\)](#page-25-36) and 312 m for crustose coralline algae at Pitcairn Island (Friedlander et al. [2014](#page-23-38)). While the decrease in light intensity with increasing depth is very rapid in shallow waters, it is very gradual in the lower photic zone. Zooxanthellate corals at their lower depth limit at Johnston Atoll, Gulf of Eilat/Aqaba, and Hawaiʻi Island are very small in size and found on barren substrate (reviewed in Kahng et al. [2010\)](#page-24-0). A gradual decrease in conspecific colony size with increasing depth and the presence of only small colonies at their lower depth limit would be consistent with slow/stunted growth and light limitation for an obligate photosynthetic organism. In other locations (i.e., Enewetak, Palau, and the Au'au Channel), zooxanthellate corals at their lower depth limit can be relatively large (Colin et al. [1986;](#page-22-36) Wolanski et al. [2004](#page-27-7); Kahng et al. [2012b](#page-24-5)). These observations are not consistent with a gradual decrease in light eventually limiting the lower depth distribution of a photosynthetic organism, but instead suggest a sharp threshold-related, depth limit.

In some subtropical locations with clear, oligotrophic waters, low temperatures can limit the depths to which zooxanthellate corals and other warm-water, sessile benthic fauna extend (reviewed in Kahng et al. [2010](#page-24-0), [2012a](#page-24-8), [2014](#page-24-1)). For example, in Hawaiʻi, the community structure of sessile benthic organisms exhibits a vertical zonation with an abrupt cessation of warm-water fauna at a location-specific depth (Kahng and Kelley [2007](#page-24-39)). In the Au'au Channel, both dominant zooxanthellate and azooxanthellate mesophotic corals (e.g., *Antipathes griggi*, *A. grandis*, and *Carijoa riisei*) abruptly cease at \sim 115 m (Kahng and Kelley [2007\)](#page-24-39). The

shared lower depth limit across several taxa suggests a common mechanism. The replacement of warm-water azooxanthellate corals by closely related taxa of similar morphology and trophic ecology at deeper depths (e.g., *Acanthopathes undulata*, *Myriopathes* spp., *Stichopathes* spp., and *Corallium* spp.) suggests that neither resource limitation, competition, top-down control, nor mechanical disturbance are determining factors.

For ectothermic tropical organisms, exposure to low temperatures has been shown to limit their geographic distribution at high latitudes (Pörtner [2002,](#page-25-37) [2010\)](#page-25-38). An analogous decrease in temperature with depth eventually limits the distribution of tropical organisms in deeper waters. All organisms live within a limited range of body temperatures due to optimized structural and kinetic coordination of molecular, cellular, and systemic processes with functional constraints at temperature extremes (Pörtner and Farrell [2008\)](#page-25-39). The physiological basis by which temperature limits the geographic and depth distribution of sessile, ectothermic fauna is aerobic capacity, which decreases and eventually goes to zero outside an optimal temperature range (Kassahn et al. [2009](#page-24-40); Pörtner [2010](#page-25-38)). While environmental controls on oxygen- and capacity-limited thermal tolerance (i.e., OCLTT) apply to all living organisms (Bozinovic and Pörtner [2015](#page-21-32)), these constraints can have a pronounced effect on the depth limits of sessile, ectothermic tropical fauna, which cannot migrate to warmer waters during low-temperature events.

While distribution limits for zooxanthellate corals and their thermal regime have been relatively well studied at high latitude (reviewed in Kleypas et al. [1999;](#page-24-41) Kahng et al. [2010](#page-24-0)), there is considerably less data on the thermal regime at their lower depth distribution. Cold tolerance is both species-specific (e.g., Higuchi et al. [2015;](#page-23-39) Kemp et al. [2016](#page-24-42)) and population-specific within a species indicating the importance of adaption to the local environment (Howells et al. [2013](#page-23-40)). From both in situ observations and laboratory experiments, a wide range of low-temperature tolerances has been reported with high-latitude species exhibiting the most resilience to cold temperature exposure (Davis [1982;](#page-22-37) Porter et al. [1982](#page-25-40); Roberts et al. [1982;](#page-26-40) Coles and Fadlallah [1991](#page-22-38); Muscatine et al. [1991;](#page-25-41) Harriott and Banks [2002;](#page-23-41) Macintyre [2003](#page-25-42); Hoegh-Guldberg et al. [2005](#page-23-42); Chen et al. [2009](#page-22-39); Thomson and Frisch [2010](#page-26-41); Kemp et al. [2011\)](#page-24-43). For example, the coral reef community at Iki Island, Japan (33.5°N), regularly experiences low temperatures of 13 \degree C every winter (Yamano et al. [2001](#page-27-31)). In contrast, prolonged exposure to temperatures of only 18 °C can cause mortality in coldsensitive species at lower latitudes (e.g., Jokiel and Coles [1977](#page-23-43); Laboy-Nieves et al. [2001\)](#page-24-44). Where measured, the annual thermal regime at the lower depth limit of zooxanthellate corals remains considerably warmer (Kahng et al. [2012a](#page-24-8); Bongaerts et al. [2015b\)](#page-21-8) than previously reported lethal exposures to cold temperatures. This disconnect indi-

cates that lethal, cold-water temperatures do not always limit the depth distribution of warm-water fauna (but see Wolanski et al. [2004](#page-27-7)).

Within an optimal temperature range, growth and development scale approximately linearly with increasing temperature (Jarošík et al. [2004](#page-23-44); Neuheimer and Taggart [2007](#page-25-43); Neuheimer et al. [2011](#page-25-44)). A narrower thermal tolerance window is often associated with reproduction (van der Have [2002](#page-27-32); Pörtner and Farrell [2008;](#page-25-39) Dixon et al. [2009](#page-22-40)) and decouples the lower survival limit from the lower limit of reproduction and development and therefore natural distribution. Such restrictive thermal thresholds limiting reproduction, but not adult survival, are widely reported for other ectothermic organisms. For viable development, the temperature ranges are much narrower than the ranges for the performance of adult physiological traits (van der Have [2002](#page-27-32); Dixon et al. [2009\)](#page-22-40). Low temperature-induced, reversible inactivation of regulatory proteins involved in first-order reactions (e.g., cell cycle or DNA replication) could be responsible for threshold character of thermal limits on reproduction and development (van der Have [2002\)](#page-27-32). Adult physiological traits depend broadly on metabolic efficiency and metabolic pathways, which are not directly linked to cell cycle or DNA replication. Therefore, the narrow thermal niche for reproduction makes thermal habitat (and depth) selection critical and high selectivity by larvae probable (van der Have [2002](#page-27-32)). Genotypes associated with larval behavior settling below depths of viable reproduction would quickly exit the gene pool. Thus, the lower depth limits of warm water sessile invertebrates, including zooxanthellate corals, may be heavily influenced by larval settlement behavior.

42.8 Summary

Zooxanthellate corals exhibit a variety of strategies for growing in deeper water where the light available to drive photosynthesis decreases to a very small fraction of surface irradiance. For shallow-water species, which also inhabit intermediate depths, shade adaptation (e.g., increase in pigment concentrations) appears to be a common strategy for increasing utilization of ambient light (energy input) at the expense of photosynthetic efficiency. This strategy suggests that the coral growth may be light limited, but the holobiont is not necessarily efficiency limited. For many depthspecialist species, efficient use of energy and elemental resources appears to drive adaptation strategies in the lower photic zone. Minimal investments in tissue biomass, pigments, and calcification may optimize return on investment (i.e., increased fitness). Superior efficiency allows them to grow faster and compete more effectively in very low-light habitats as obligate photosynthetic organisms and inhabit depths where shallow-water counterparts may have difficulties reproducing or surviving. The collective evidence indicates that different species can exhibit distinct and sometimes opposing photophysiological adaptations with increasing depth. Zooxanthellate corals do not conform to a uniform set of adaptations or consistent trophic or metabolic strategy at depth. The gradient of light and other environmental factors with increasing depth create heterogeneity in habitat niches and opportunity for adaptations and specialization. As more mesophotic habitats are studied and molecular genetic tools clarify identification of species, the recognized biodiversity on coral reefs is expected to grow and expand our understanding of the ecological secrets allowing obligate zooxanthellate corals to thrive at extreme depths.

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