# **Simulation-Based Design Optimization by Sequential Multi-criterion Adaptive Sampling and Dynamic Radial Basis Functions**



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**Abstract** The paper presents a global method for simulation-based design optimization (SBDO) which combines a dynamic radial basis function (DRBF) surrogate model with a sequential multi-criterion adaptive sampling (MCAS) technique. Starting from an initial training set, groups of new samples are sequentially selected aiming at both the improvement of the surrogate model global accuracy and the reduction of the objective function. The objective prediction and the associated uncertainty provided by the DRBF model are used by a multi-objective particle swarm optimization algorithm to identify Pareto-optimal solutions. These are used by the MCAS technique, which selects new samples by down-sampling the Pareto front, allowing for a parallel infill of an arbitrary number of points at each iteration. The method is applied to a set of 28 unconstrained global optimization test problems and a six-variable SBDO of the DTMB 5415 hull-form in calm water, based on potential flow simulations. Results show the effectiveness of the method in reducing the computational cost of the SBDO, providing the background for further developments and application to more complex ship hydrodynamic problems.

# **1 Introduction**

Simulation-based design optimization (SBDO) techniques have developed in the last decades in response to the high cost of the build-and-test design paradigm, relying on the increasing accuracy of the simulation tools and availability of computational resources. SBDO requires the automated integration of design modification tools, accurate computer simulations, and optimization algorithms. SBDO typically

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requires a large number of computer simulations to identify the global optimal solution to the design problem. The high-fidelity, complexity, and computational expense of the simulation tools is approaching resource saturation, requiring therefore costreducing solutions.

The application of surrogate models alleviate the computational cost of SBDO. When performing surrogate-based SBDO, a sampling of the design space by design of experiment (DoE) techniques is used to train a surrogate model of the desired objective function, which is used by the optimization algorithm. Surrogates have been widely used in performing SBDO, including optimization of stochastic blackbox functions [\[14\]](#page-14-0), optimization under uncertainty [\[15](#page-14-1)], sampling-based reliabilitybased design optimization [\[31](#page-15-0)], deterministic [\[5](#page-13-0)] and stochastic [\[10\]](#page-14-2) hydrodynamic optimization.

The sampling of the design space needs to be efficient an effective, possibly achieving two competitive goals: an adequate global accuracy of the surrogate model (especially when a global optimum is sought), and a fine investigation of promising design regions [\[2](#page-13-1)]. DoEs defined on the basis of a priori methods can hardly achieve these goals. For this reason, *adaptive* sampling techniques have been developed which exploit information that becomes available during the optimization process. The literature proposes a large variety of adaptive sampling criteria. Some examples include: the Kushner's criterion [\[19\]](#page-14-3), which maximizes the probability of improving the objective; the expected improvement criterion, used in the efficient global optimization (EGO) algorithm  $[16]$ ; the lower confidence bounding function  $[7]$ , which minimizes the linear combination of surrogate model prediction and surrogate model uncertainty; locating the threshold-bounded extreme, locating the regional extreme, and minimizing surprises [\[30](#page-15-1)].

The objective of the current research is the extension of a dynamic radial basis function (DRBF) surrogate model [\[29\]](#page-15-2), used in earlier work for uncertainty quantification of ship hydrodynamic problems, to global, derivative free, deterministic design optimization.

The current method implements a sequential *multi-criterion adaptive sampling* (MCAS) technique based on DRBF-predicted objective and associated uncertainty. Starting from an initial training set, groups of new samples are selected from the Pareto front of non-dominated solutions obtained by a multi-objective extension of the deterministic particle swarm optimization (MODPSO) algorithm [\[4](#page-13-3), [18,](#page-14-5) [22](#page-14-6)]. An additional single-objective DPSO [\[26](#page-14-7)] is performed over the DRBF model to improve the selection of the global minimizer. The procedure is iterated until convergence.

The method is applied to 28 unconstrained global optimization test problems, as well as to the hull-form optimization in calm water and fixed speed of the DTMB 5415, an early concept of the USS Arleigh Burke-class destroyer DDG-51 used as a benchmark for experimental  $[20, 27]$  $[20, 27]$  $[20, 27]$  $[20, 27]$  and numerical optimization  $[12, 13, 25]$  $[12, 13, 25]$  $[12, 13, 25]$  $[12, 13, 25]$  $[12, 13, 25]$ studies. A potential flow code [\[1\]](#page-13-4) is used for the simulations. The performance of the DRBF method is assessed by comparison with a direct application of DPSO.

#### **2 Optimization Problem Formulation**

Given a design variable vector **x** of dimension *N* and a design objective

$$
f(\mathbf{x}) \, : \, \mathbb{R}^N \to \mathbb{R} \tag{1}
$$

the optimization problem is formulated as

$$
\min_{\mathbf{x} \in \Omega} f(\mathbf{x}) \tag{2}
$$

where

$$
\Omega = \left\{ \mathbf{x} \in D \subset \mathbb{R}^N \mid c_i(\mathbf{x}) \leq 0, \ i = 1, \dots, N_c \right\}
$$
 (3)

is the feasible set, *D* is the design space defined by box constraints, and  $c_i(\mathbf{x})$  are inequality constraints. Herein, these are handled by a linearly penalized objective function

<span id="page-2-0"></span>
$$
f_p(\mathbf{x}) = f(\mathbf{x}) + \gamma \sum_{i=1}^{N_c} \max [c_i(\mathbf{x}), 0]
$$
 (4)

# **3 Dynamic Radial Basis Function Method for Optimization**

# *3.1 Surrogate Model*

Given a set of *M* training points  $\{z_i\}_{i=1}^M$  with associated function evaluations  $y_i =$  $g(\mathbf{z}_i)$ , a power law RBF provides predictions as

$$
h(\mathbf{x}, \varepsilon_j) = \sum_{i=1}^{M} w_i \varphi (||\mathbf{x} - \mathbf{z}_i||) \quad \text{with} \quad \varphi = ||\mathbf{x} - \mathbf{z}_i||^{\varepsilon_j}
$$
 (5)

where the exponent  $\varepsilon_j \in \mathbb{R}$  is a tuning parameter;  $\mathbf{w} = \{w_i\}_{i=1}^M$  is the solution of the linear system that provides exact prediction at  $\mathbf{x} = \mathbf{z}_i$ 

<span id="page-2-1"></span>
$$
\mathbf{A}\mathbf{w} = \mathbf{y} \quad \text{with} \quad a_{ij} = \varphi \left( ||\mathbf{z}_i - \mathbf{z}_j|| \right) \quad \text{and} \quad \mathbf{y} = \{ y_i \}
$$
 (6)

The DRBF model [\[29](#page-15-2)] provides the expected value of a sample of RBF predictions over a stochastic distribution of  $\varepsilon_j$ . Herein,  $\varepsilon_j$  is assumed uniformly distributed between  $\varepsilon_{\min}$  and  $\varepsilon_{\max}$ :

$$
\hat{g}(\mathbf{x}) = \text{EV}[h(\mathbf{x}, \varepsilon_j)] \quad \text{with} \quad \{\varepsilon_j\}_{j=1}^{N_{\varepsilon}} \sim \text{unif}[\varepsilon_{\min}, \varepsilon_{\max}] \tag{7}
$$

The uncertainty  $\hat{U}_{\rho}(\mathbf{x})$  associated to the prediction at **x** is quantified by the 95%confidence band of  $h(\mathbf{x}, \varepsilon_i)$ .

If multiple functions  $(g_k, k = 1, \ldots, N_g)$  are assessed, multiple surrogate models need to be computed. If these are based on the same training points  $\{z_i\}_{i=1}^M$ , with corresponding function evaluations  $\mathbf{y}_k = \{g_k(\mathbf{z}_i)\}_{k=1}^{N_g}$ , a single factorization of the matrix **A** may be used. In fact, the system

$$
AW = Y \tag{8}
$$

may be solved at once. In this case,  $\mathbf{W} = [\mathbf{w}_1 | \dots | \mathbf{w}_{N_g}]$  and  $\mathbf{Y} = [\mathbf{y}_1 | \dots | \mathbf{y}_{N_g}]$ .

When the surrogate model is used for unconstrained optimization,  $N_g = 1$ ,  $g(\mathbf{x}) = f(\mathbf{x})$ , and the model output is the couple  $\{\hat{f}, \hat{U}_f\}$ . When the surrogate model is used for constrained optimization,  $N_g = N_c + 1$ ,  $g_1(\mathbf{x}) = f(\mathbf{x})$ ,  $g_2(\mathbf{x}) = c_1(\mathbf{x})$ , …,  $g_{N_c+1}(\mathbf{x}) = c_{N_c}(\mathbf{x})$ , and the output is the  $N_c + 1$  couples  $\{\hat{f}, \hat{U}_f\}$ ,  $\{\hat{c}_1, \hat{U}_{c_1}\}$ , …,  ${\{\hat{c}_{N_c}, \hat{U}_{c_{N_c}}\}}$ . The penalized objective function  $\hat{f}_p(\mathbf{x})$  is computed using the predictions  $\hat{f}$ ,  $\hat{c}_1$ , ...,  $\hat{c}_{N_c}$ , as per Eq. [4.](#page-2-0)

#### *3.2 Multi-criterion Adaptive Sampling*

Starting from an initial training set, the MCAS identifies groups of new samples balancing the surrogate model accuracy and the search for the global minimizer. This is pursued by solving the multi-objective optimization problem

$$
\min_{\mathbf{x} \in D} \hat{f}_p(\mathbf{x}) \quad \text{and} \quad \max_{\mathbf{x} \in D} \hat{U}_f(\mathbf{x}) \tag{9}
$$

Note that for unconstrained problems  $\hat{f}_p(\mathbf{x})$  corresponds to  $\hat{f}(\mathbf{x})$ , while  $\hat{U}_f(\mathbf{x})$  is always the uncertainty of the (non-penalized) objective.

The Pareto front obtained is down-sampled in order to identify *m* equally spaced points along a curvilinear coordinate  $\xi$  (Fig. [1\)](#page-4-0). In view of the fact that: (a) sampling too close to available training points does not add useful information to the analysis, (b) as the distance between training points decreases, the matrix *A* in Eq. [6](#page-2-1) may result ill-conditioned, and (c) the uncertainty at the training points is zero, i.e.

$$
\lim_{\|\mathbf{x}-\mathbf{z}_i\|\to 0} \hat{U}_f(\mathbf{x}) = 0,\tag{10}
$$

a constraint is defined such that  $\hat{U}_f(\mathbf{x}) \geq u_{\text{min}}$ , where  $u_{\text{min}} = \beta \hat{U}_{range}$  with  $\hat{U}_{range} =$  $\{\max[\hat{U}_f(\mathbf{x})] - \min[\hat{U}_f(\mathbf{x})]\}.$ 

<span id="page-4-0"></span>



# *3.3 Optimization Procedure*

The optimization procedure using DRBF and MCAS is performed as per the following algorithm.

# **Algorithm** DRBF with MCAS



#### **4 Deterministic Particle Swarm Optimization**

Particle Swarm Optimization (PSO) belongs to the class of heuristic algorithms for single-objective evolutionary derivative-free global optimization and was originally introduced by Kennedy and Eberhart [\[18\]](#page-14-5). In order to make PSO more efficient for its use within SBDO, a deterministic version of the algorithm (DPSO) was formulated by Campana et al. [\[4](#page-13-3)] as follows

<span id="page-5-0"></span>
$$
\begin{cases} \mathbf{v}_i^{k+1} = \chi \left[ \mathbf{v}_i^k + c_1 (\mathbf{x}_{i, pb} - \mathbf{x}_i^k) + c_2 (\mathbf{x}_{gb} - \mathbf{x}_i^k) \right] \\ \mathbf{x}_i^{k+1} = \mathbf{x}_i^k + \mathbf{v}_i^{k+1} \end{cases}
$$
(11)

Equation [11](#page-5-0) represents velocity and position, respectively, of the *i*th particle at the *k*th iteration. Particles are attracted by the personal best position  $\mathbf{x}_{i, ph}$  ever found by the *i*th particle and by the global best position  $\mathbf{x}_{gh}$  ever found by all particles. The effectiveness of DPSO depends on the constriction factor  $\chi$ , the cognitive and social learning rate  $c_1$  and  $c_2$ , along with the number of individuals  $N_p$  and their initial distribution and velocity. Serani et al. [\[26\]](#page-14-7) investigate the effect of such parameters and propose guidelines for an efficient use of the algorithm in the context of ship hydrodynamic optimization [\[24\]](#page-14-13).

The extension of DPSO to multi-objective problems can be found, for instance, in Pellegrini et al. [\[22](#page-14-6)]. This is based on extending the definition of the personal and global best in the Pareto-optimality sense. Specifically, the personal attractor  $\mathbf{x}_{i, pb}$  is the closest point to  $\mathbf{x}_i$  of the pesonal Pareto front. The global attractor  $\mathbf{x}_{i,gb}$  is different for each particle and defined as the closest point to  $\mathbf{x}_i$  of the global Pareto front.

#### **5 Optimization Problems**

The DRBF model with the MCAS method is applied to unconstrained global optimization test problems and to the hull-form optimization of the DTMB 5415. The formulation of the problems is presented in the following.

#### *5.1 Unconstrained Global Optimization Test Problems*

The study includes the minimization of 28 unconstrained global optimization test problems [\[3,](#page-13-5) [29](#page-15-2)] with a number of independent variables ranging from two to 12. These include multimodal, highly nonlinear, and transcendental functions. Table [1](#page-6-0) provides details of the problems, such as their dimension and search domain.

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<span id="page-6-0"></span>

Function	No. variables	<b>ID</b>	<b>Bounds</b>
Alpine	2, 3, 4, 6, 12	A2, A3, A4, A6, A12	$-10 < x_i < 5$
Exponential	2, 3, 4, 6, 12	E2, E3, E4, E6, E12	$-10 \le x_i \le 5$
Griewank	2, 3, 4, 6, 12	G <sub>2</sub> , G <sub>3</sub> , G <sub>4</sub> , G <sub>6</sub> , G <sub>12</sub>	$-5 < x_i < 5$
Hartmann	3, 6	H <sub>3</sub> , H <sub>6</sub>	$0 < x_i < 1$
Levy	2, 3, 4, 6, 12	L <sub>2</sub> , L <sub>3</sub> , L <sub>4</sub> , L <sub>6</sub> , L <sub>12</sub>	$-10 < x_i < 10$
McCormick	2	M <sub>2</sub>	$-1.5 \leq x_1 \leq 4$ ,
			$-3 \le x_2 \le 4$
Styblinski-Tang	2, 3, 4, 6, 12	S <sub>2</sub> , S <sub>3</sub> , S <sub>4</sub> , S <sub>6</sub> , S <sub>12</sub>	$-5 < x_i < 5$

**Table 1** Unconstrained global optimization test problems

# *5.2 Hull-Form Optimization of the DTMB 5415*

The SBDO example is the hull-form optimization of the DTMB 5415 model (Fig. [2\)](#page-6-1). This has been widely investigated by towing tank experiments [\[20](#page-14-8), [27](#page-14-9)] and SBDO studies [\[12,](#page-14-10) [17,](#page-14-14) [25](#page-14-12), [28\]](#page-15-3). In the present work, a single-objective SBDO is shown, aiming at the reduction of the total resistance  $R<sub>T</sub>$  in calm water at 18 kn, corresponding to a Froude number (Fr) equal to 0.25. Main particulars and design conditions are summarized in Table [2.](#page-6-2)



<span id="page-6-1"></span>**Fig. 2** A 5.720 m length model of the DTMB 5415 (CNR-INSEAN model 2340)

<span id="page-6-2"></span>

Description	Symbol	Unit	Value
Displacement	∇	<b>Tonnes</b>	8,636
Length between perpendiculars LBP		m	142
Beam	B	m	18.9
Draft	$\tau$	m	6.16
Longitudinal center of gravity	<b>LCG</b>	m	71.6
Vertical center of gravity	<b>VCG</b>	m	1.39
Speed	V	kn	18
Water density	$\rho$	kg/m <sup>3</sup>	998.5
Kinematic viscosity	ν	$m^2/s$	$1.09 \times 10^{-6}$
Gravity acceleration	g	m/s <sup>2</sup>	9.803

**Table 2** DTMB 5415 model main particulars and test conditions (full scale)

An orthogonal representation of the shape modification is used, since more efficient in the context of shape design optimization [\[3,](#page-13-5) [9](#page-14-15)]. Specifically, six orthogonal functions  $\Psi_{1,\dots,6}$  are applied for the modification of the hull shape, controlled by six design variables  $\alpha_{1,\dots,6}$ :

$$
\begin{cases} \Psi_j(u,v) := \alpha_j \sin\left(\frac{p_j \pi u}{A_j} + \phi_j\right) \sin\left(\frac{q_j \pi v}{B_j} + \chi_j\right) \mathbf{e}_{k(j)} \\ (u,v) \in [0; A_j] \times [0; B_j] \end{cases}
$$
(12)

where *u* and *v* are curvilinear coordinates;  $p_j$  and  $q_j$  define the order of the function in *u* and *v* direction, respectively;  $\phi_j$  and  $\chi_j$  are the corresponding spatial phases;  $A_j$ and  $B_i$  define the modification domain size;  ${\bf e}_{k(i)}$  is a unit vector. Table [3](#page-7-0) summarizes the parameters used here, including upper and lower bounds of  $\alpha_i$ . The results will be presented in terms of non-dimensional design variables  $x_i \in [-1, 1]$  given by  $x_j = 2(\alpha_j - \alpha_{j,min})/(\alpha_{j,max} - \alpha_{j,min}) - 1$ . Geometrical constraints include fixed displacement and length between perpendiculars (automatically satisfied by the geometry modification tool), and  $\pm 5\%$  maximum variation of beam and draft.

The solver used is the potential flow code WARP [\[1](#page-13-4)] based on the double model linearization [\[8\]](#page-14-16). The wave resistance is estimated by integrating the pressure over the hull, whereas the friction resistance is estimated by a local approximation based on flat-plate theory [\[23](#page-14-17)]. Simulations are performed for the right demi-hull taking advantage of the symmetry about the *x z* plane. The computational domain for the free surface is defined within 1 *LBP* upstream, 3 *LBP* downstream and 1.5 *LBP* sideways. The associated panel grid used can be found in Serani et al. [\[25\]](#page-14-12). The validation of the computations for the original hull is shown in Fig. [3](#page-8-0) versus experimental data collected at CNR-INSEAN [\[21](#page-14-18)] showing a reasonable agreement especially for low speeds.  $C_T = R_T/0.5 \rho V^2 S_{w, \text{stat}}$ ,  $\delta$ , and  $\tau$  are shown, where  $R_T$  is the total resistance,  $S_{w,stat}$  is the static wetted surface area,  $\delta$  is the sinkage (positive if the center of gravity sinks), and  $\tau$  is the trim (positive if the bow sinks).

<span id="page-7-0"></span>

Description		$p_i$	$\phi_i$	$q_i$	$\chi_j$	k(j)	$\alpha_{j,min}$ (m)	$\alpha_{j,max}$ (m)
Hull modification 1		2.0	$\theta$	1.0	$\theta$	2	$-1.0$	1.0
	2	3.0	$\Omega$	1.0	$\theta$	$\overline{c}$	$-1.0$	1.0
	3	1.0	$\theta$	2.0	$\Omega$	$\overline{c}$	$-0.5$	0.5
	$\overline{4}$	1.0	$\theta$	3.0	$\overline{0}$	$\overline{c}$	$-0.5$	0.5
Sonar dome mod- ification		1.0	$\overline{0}$	1.0	$\theta$	$\overline{2}$	$-0.3$	0.3
	6	0.5	$\pi/2$	0.5	$\Omega$	3	$-0.5$	0.5

**Table 3** Orthogonal function parameters for shape modification



<span id="page-8-0"></span>**Fig. 3** Total resistance coefficient (**a**), non-dimensional sinkage (**b**), and trim (**c**) in calm water versus Fr, for the model scale DTMB 5415 (*LBP* = 5.72 m)

### **6 Numerical Results**

The test problems are solved using the following setup. The initial DoE is a Hammersley sequence sampling (HSS),  $N_{\varepsilon} = 513$ ,  $\varepsilon \in [0.75, 2.5]$ ,  $m = 5$ ,  $\beta = 0.01$ , and the maximum number of function evaluations *Ne*v*al* is 1000. The hull-form optimization is solved using the following setup. The initial DoE is a HSS,  $N_{\epsilon} = 200$ ,  $\varepsilon \in [0.75, 2.5]$ ,  $m = 8$ ,  $\beta = 0.01$ , and  $N_{eval} = 1000$ . DPSO parameters are given in Table [4.](#page-8-1)

#### *6.1 Unconstrained Global Optimization Test Problems*

For the assessment of the test problems, the normalized difference between the current optimum and the true minimum  $\Delta_f$  is used as a metric [\[3](#page-13-5)]. DRBF and DPSO are iterated until  $\Delta_f \leq 0.1\%$  or until the number of function evaluations reaches  $N_{eval}$ .

Figure [4](#page-9-0) shows the convergence of DRBF and DPSO algorithms for the test problems E2, H6, and G12 as an example. The figure displays the value of the metric versus the number of functions evaluations *M*. DRBF is found significantly more effective than DPSO for E2 and G12, and slightly more efficient for H6. The average performance of DRBF and DPSO is summarized in Fig. [5,](#page-9-1) taking into account all the test problems. The average number of function evaluations needed to achieve  $\Delta_f \leq 0.1\%$  is shown versus the number of design variables *N*. On average, DRBF

<span id="page-8-1"></span>

Objective function	$N_p$	$N_{eval}$	Initialization	$\begin{bmatrix} x & c_1 & c_2 \end{bmatrix}$
$f_p$ (no surrogate)	4N	1000	HSS $v \neq 0$ [5]	$[0.721 \; 1.655 \; 1.655]$ [6]
$\hat{f}_p$ (surrogate, single-objective)	4N	256N	HSS $v \neq 0$ [5]	$[0.721 \; 1.655 \; 1.655]$ [6]
$\hat{f}_p$ , $\hat{U}_f$ (MCAS, multi-objective) 32N		1024N	$HSS v = 0$	[0.9801 0.3333 0.6767]
				[11]

**Table 4** DPSO parameters



**Fig. 4** Convergence of DRBF and DPSO for test problems E2 (**a**), H6 (**b**), G12 (**c**)

<span id="page-9-0"></span>

<span id="page-9-1"></span>**Fig. 5** Average number of function evaluations needed to achieve  $\Delta_f \leq 0.1\%$ 



<span id="page-9-2"></span>**Fig. 6** Sensitivity analysis of the design variables (**a**), and convergence of DRBF and DPSO for the hull-form optimization of the DTMB 5415 (**b**)

requires fewer function evaluations to achieve the optimal solution. Moreover, DRBF outperforms DPSO for  $N = 12$  since the latter does not achieve  $\Delta_f \leq 0.1\%$  for any of the problems within the prescribed budget *Ne*v*al* .



<span id="page-10-0"></span>**Fig. 7** Optimal design variables (left), and sections of the DTMB 5415 original and optimized hulls, comparing DRBF and DPSO solutions (right)

<span id="page-11-0"></span>

function No. evaluations			Design variables $[-]$					$R_T \times 10^5$ [N]	
		$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	x <sub>6</sub>	Value	$\Delta\%$
50	<b>DRBF</b>	1.00	$-1.00$	$-0.57$	$-0.02$	$-1.00$	1.00	3.07	$-9.65$
	<b>DPSO</b>	0.83	$-1.00$	0.56	0.35	0.47	$-0.13$	3.16	$-7.09$
100	<b>DRBF</b>	1.00	$-1.00$	$-0.59$	$-0.02$	$-1.00$	1.00	3.07	$-9.66$
	<b>DPSO</b>	1.00	$-1.00$	0.86	0.06	0.14	0.74	3.11	$-8.46$
1000	<b>DRBF</b>	1.00	$-1.00$	0.10	0.38	$-1.00$	1.00	3.06	$-9.92$
	<b>DPSO</b>	1.00	$-1.00$	0.21	0.43	$-1.00$	1.00	3.06	$-9.93$

**Table 5** Design variables and objective function values for the DTMB 5415 hull-form

# *6.2 Hull-Form Optimization of the DTMB 5415*

The penalized objective of Eq. [4](#page-2-0) is computed using a penalty coefficient  $\gamma = 100$ .

A preliminary sensitivity analysis for each design variable is presented in Fig. [6a](#page-9-2). Unfeasible designs are not reported. Changes in *f* reveal a potential reduction of the total resistance (at  $Fr = 0.25$ ) close to 2%.

The optimization process by DRBF is shown in Fig. [6b](#page-9-2), including the comparison with DPSO. The figure displays the convergence of *f* versus *M*. Note that all the solutions correspond to feasible designs. Both methods reach a total resistance reduction



<span id="page-11-1"></span>**Fig. 8** Wave elevation pattern (left) and pressure field distribution (right) at Fr = 0.25 of the optimized DRBF (**a**) and DPSO (**b**) hulls compared to the original (**c**), with 1000 function evaluations

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<span id="page-12-0"></span>

Parameter	Unit	Original	Optimized $\Delta\%_{\text{orig}}$	
			<b>DRBF</b>	<b>DPSO</b>
$C_w$	-	$1.00E - 03$	$-26.36$	$-26.77$
$C_f$	-	$1.61E - 03$	$-0.04$	$-0.05$
$\overline{C_T}$	-	$2.62E - 03$	$-10.15$	$-10.30$
$\delta / LBP$	-	$1.37E - 03$	5.45	5.27
τ	rad	$9.90E - 04$	91.54	89.99
$S_{w,stat}/LBP^2$	-	$1.48E - 01$	0.25	0.42
$S_{w,\text{dyn}}/LBP^2$	-	$1.51E - 01$	0.36	0.52

**Table 6** Summary of optimization results for DTMB 5415 hull-form

close to 10%. DRBF provides a quite sudden convergence achieving 9.6% reduction with 41 function evaluations, whereas DPSO requires nearly 400 evaluations to reach the same improvement.

Figure [7a](#page-10-0), b, and c show the solutions for 50, 100, and 1000 function evaluations, respectively, in terms of variable values and hull sections of the corresponding designs. Table [5](#page-11-0) gives the design variables and the associated objective function values. The solutions provided by DRBF and DPSO differ in the objective reduction by 2.66%, 1.20%, and 0.01%, for 50, 100, and 1000 evaluations, respectively.

Figure [8](#page-11-1) shows the non-dimensional wave elevation pattern and the associated non-dimensional pressure distributions on the hull comparing DRBF and DPSO final designs to the original hull. The transverse wave is reduced and the pressure shows a better recovery towards the stern.

Finally, Table [6](#page-12-0) summarizes the main parameters associated with the optimal DRBF and DPSO designs. The resistance coefficients are defined as  $C_x = R_x/0.5$  $\rho V^2 S_{w,\text{stat}}$ , with  $R_w$ ,  $R_f$ ,  $R_T$  being wave, frictional, and total resistance, respectively;  $S_{w,stat}$  and  $S_{w,dyn}$  are static and dynamic wetted surface areas.

#### **7 Conclusions and Future Work**

The current study investigates the performance of a novel method for simulationbased design optimization (SBDO), which combines a dynamic radial basis function (DRBF) surrogate model with a sequential multi-criterion adaptive sampling (MCAS) technique. The MCAS selects groups of new samples sequentially, starting from an initial deterministic DoE and using the function prediction and its associated uncertainty as provided by the surrogate model. Function value and uncertainty of the surrogate are the two objectives of a multi-objective deterministic particle swarm optimization (MODPSO) algorithm, which is used to obtain Pareto-optimal solutions. The MCAS performs the parallel infill of an arbitrary number of new training points by down-sampling of the Pareto front. Therefore, this sampling method pursues simultaneously the global accuracy of the surrogate and the refinement of optimal regions, also exploiting the availability of parallel computing architectures.

Numerical results for a set of 28 unconstrained global optimization test problems show that DRBF outperforms a direct application of DPSO, requiring on average approximately 80% fewer function evaluations for two-dimensional problems and 35% for higher dimensions.

The application of DRBF to the six-variable hull-form optimization of the DTMB 5415 shows the potential of the method in performing constrained SBDO problems. The hull is optimized using a potential flow solver and the total resistance is reduced by nearly 10%. For a large number of simulations, DRBF and DPSO converge approximately to the same solution. DRBF is found more efficient than the direct application of DPSO, showing a quite sudden convergence. Specifically, 9% resistance reduction is achieved by DRBF requiring nearly ten times fewer simulations than DPSO.

Future developments include the assessment of optimal initial DoEs, in terms of number of samples and distribution, along with the optimal number of samples selected by the MCAS technique at each iteration. The promising result of DRBF lays the groundwork for further investigations, including SBDO with larger design spaces and the use of high-fidelity CFD methods, such as RANS solvers.

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