

# **Improved Kernels for Several Problems on Planar Graphs**

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**Abstract.** In this paper, we study the kernelization of the Induced Matching problem on planar graphs, the Parameterized Planar 4-Cycle Transversal problem and the Parameterized Planar Edge-Disjoint 4- Cycle Packing problem. For the Induced Matching problem on planar graphs, based on Gallai-Edmonds structure, a kernel of size 26*k* is presented, which improves the current best result 28*k*. For the Parameterized Planar 4-Cycle Transversal problem, by partitioning the vertices in given instance into four parts and analyzing the size of each part independently, a kernel with at most  $51k - 22$  vertices is obtained, which improves the current best result 74*k*. Based on the kernelization process of the Parameterized Planar 4-Cycle Transversal problem, a kernel of size 51*k−*22 can also be obtained for the Parameterized Planar Edge-Disjoint 4-Cycle Packing problem, which improves the current best result 96*k*.

### **1 Introduction**

Given an instance  $(I, k)$  of a parameterized problem Q, the kernelization process is to transform  $(I, k)$  into a new instance  $(I', k')$  in polynomial time such that  $(I, k)$  is a yes-instance of Q if and only if  $(I', k')$  is a yes-instance of Q, where  $k' \leq k$ , and  $|I'| \leq f(k)$  for some computable function f. In this paper, we study the kernelization of the Induced Matching problem on planar graphs, the Parameterized Planar 4-Cycle Transversal problem, and the Parameterized Planar Edge-Disjoint 4-Cycle Packing problem.

#### **Induced matching**

In graph theory, a matching in a graph  $G = (V, E)$  is a set of edges without common vertices. A matching  $M$  of  $G$  is an induced matching of  $G$  if no edge in  $E - M$  has both endpoints contained in  $V(M)$  ( $V(M)$ ) is the set of vertices contained in  $M$ ). The Induced Matching problem is to decide whether a given graph G has an induced matching of size at least  $k$ . The Induced Matching problem was introduced by Stockmeyer and Vazirani [\[29\]](#page-11-0), and has attracted lots of attention. Duckworth et al. [\[9](#page-10-0)] proved that the Induced Matching problem

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on general graphs is NP-complete. The NP-hardness of the problem was also studied on many restricted graph classes, such as, the bipartite graphs with maximum degree three [\[23\]](#page-11-1), planar bipartite graphs [\[9\]](#page-10-0), 3-regular planar graphs [\[9](#page-10-0)],  $C_4$ -free bipartite graphs [\[23\]](#page-11-1), chair-free graphs [\[19](#page-11-2)], line-graphs [\[19\]](#page-11-2), and Hamiltonian graphs [\[19](#page-11-2)]. The Induced Matching problem is polynomial time solvable in many graph classes, such as, trees  $[11, 12]$  $[11, 12]$  $[11, 12]$ , interval-filament graphs [\[16](#page-10-3)], AT-free graphs [\[16](#page-10-3)], circular arc graphs [\[16\]](#page-10-3), chordal graphs [\[5](#page-10-4)], weakly chordal graphs [\[7\]](#page-10-5), line-graphs of Hamiltonian graphs [\[19\]](#page-11-2), polygon-circle graphs [\[6](#page-10-6)],  $(P_5, D_m)$ -free graphs [\[19,](#page-11-2)[24\]](#page-11-3),  $(P_k, K_{1,n})$ -free graphs [\[19](#page-11-2)[,24](#page-11-3)], trapezoid graphs [\[12](#page-10-2)], interval-dimension graphs [\[12](#page-10-2)], and comparability graphs [\[12](#page-10-2)].

Duckworth et al. [\[9](#page-10-0)] proved that the Induced Matching problem is APXcomplete on r-regular graphs  $(r > 3)$  and bipartite graphs with maximum degree three. Orlovich et al. [\[27](#page-11-4)] gave that in general graphs, the Induced Matching problem cannot be approximated within a factor of  $n^{1/2-\epsilon}$  for any  $\varepsilon > 0$ . Chlebík and Chlebíková  $[8]$  $[8]$  proved that it is NP-hard to approximate the Induced Matching problem within factor of  $r/2^{O(\sqrt{lnr})}$  for r-regular graphs. Duckworth et al. [\[9](#page-10-0)] gave an approximation algorithm for the problem on r-regular graphs  $(r > 3)$ ] with ratio  $r-1$ , and proposed a polynomial-time approximation scheme (PTAS) for the Induced Matching problem on planar graphs of maximum degree three. Gotthilf and Lewenstein [\[13\]](#page-10-8) gave an approximation algorithm for the Induced Matching problem with ratio  $0.75r + 0.15$ .

In this paper, we study the following problem.

**Induced Matching problem on planar graphs:** Given a planar graph  $G =$  $(V, E)$  and an integer k, find an induced matching of size at least k in  $G$ , or report that no such matching exists.

Moser and Thilikos [\[25\]](#page-11-5) proved that the Induced Matching problem on gen-eral graph is W[1]-hard. It was pointed out in [\[26\]](#page-11-6) that the Induced Matching problem is even W[1]-hard on bipartite graphs. Based on the kernelization methods in [\[1\]](#page-10-9), Moser and Sikdar [\[26](#page-11-6)] gave a linear kernel for the Induced Matching problem on planar graphs. Kanj et al. [\[18\]](#page-11-7) improved the above kernel result to  $40k$ . Erman et al. [\[10\]](#page-10-10) gave that every *n*-vertex twinless planar graph contains an induced matching of size  $(n + 9)/28$ , and a kernel of size 28k was obtained, which is the current best result. A kernel of size  $2k(1+d+d^2)$  was presented for the Induced Matching problem on degree-bounded graphs with maximum degree d by Moser and Sikdar [\[26\]](#page-11-6).

In this paper, we study the Induced Matching problem on planar graphs. The key point to get the improved kernel is based on the analysis of Gallai-Edmonds decomposition structure. Several new reduction rules are presented, which results in a kernel of size 26k for the Induced Matching problem on planar graphs.

#### s-**Cycle Transversal**

The s-Cycle Transversal problem has been widely studied in extremal graph theory [\[2](#page-10-11)], graph coloring [\[35\]](#page-11-8) and computational biology [\[28](#page-11-9)], which is to find a set S of edges of size at most k in a given graph  $G$  such that S intersects every cycle of length s in  $G$ , where s is a constant. When s is small, several related problems have also been studied, such as the chromatic numbers in graphs without 3-cycles [\[30](#page-11-10)] and 5-cycles [\[31](#page-11-11)], designing Low-Density Parity-Check (LDPC) codes [\[15](#page-10-12)] based on Taner graphs without 4-cycles.

The s-Cycle Transversal problem for any fixed  $s \geq 3$  is known to be NPcomplete on general graphs  $[34]$  $[34]$ . Brügmann et al.  $[4]$  $[4]$  showed that the s-Cycle Transversal problem remains NP-complete on planar graphs for  $s = 3$ . Xia and Zhang [\[32\]](#page-11-13) proved that the s-Cycle Transversal problem is NP-complete on planar graphs for any fixed  $s \geq 3$ . Krivelevich [\[21\]](#page-11-14) presented a 2-approximation algorithm for the 3-Cycle Transversal problem. Kortsarz et al. [\[20\]](#page-11-15) showed that a (2 −  $\epsilon$ )-approximation algorithm for 3-Cycle Transversal problem implies a  $(2 - \epsilon)$ -approximation algorithm for Vertex Cover problem. Kortsarz et al. [\[20\]](#page-11-15) presented a generalized  $(s-1)$ -approximation algorithm for s-Cycle Transversal problem for odd number s.

The s-Cycle Transversal and related problems have also been studied from parameterized complexity point of view, which are defined as follows.

**Parameterized 4-Cycle Transversal:** Given an undirected graph  $G = (V, E)$ and an integer k, find a subset  $E' \subseteq E$  with  $|E'| \leq k$  such that each 4-cycle in G contains at least one edge from  $E'$ , or report that no such subset exists.

**Parameterized**  $(\leq s)$ -Cycle Transversal: Given an undirected graph  $G =$  $(V, E)$ , a constant s and an integer k, find a subset  $E' \subseteq E$  with  $|E'| \leq k$  such that each  $(\leq s)$ -cycle in G contains at least one edge from E', or report that no such subset exists.

**Parameterized Planar 4-Cycle Transversal:** Given a planar graph  $G =$  $(V, E)$  and an integer k, find a subset  $E' \subseteq E$  with  $|E'| \leq k$  such that each 4-cycle in G contains at least one edge from  $E'$ , or report that no such subset exists.

A kernel with  $6k$  vertices and a kernel with  $11k/3$  vertices in general graphs and planar graphs for 3-Cycle Transversal problem were presented in [\[4\]](#page-10-13), respectively. Xia and Zhang [\[32](#page-11-13)] gave that the Parameterized 4-Cycle Transversal problem and the Parameterized  $(\leq 4)$ -Cycle Transversal problem admit a kernel with  $6k<sup>2</sup>$  vertices on general graphs. By applying the region decomposition technique developed by Guo and Niedermeier [\[14\]](#page-10-14), Xia and Zhang [\[32](#page-11-13)] obtained several kernelization results on planar graphs: a kernel with 74k vertices for Parameterized 4-Cycle Transversal problem, a kernel with 32k vertices for Parameterized  $(\leq 4)$ -Cycle Transversal and a kernel with 266k vertices for the Parameterized  $(*5*)$ -Cycle Transversal problem. Xia and Zhang [\[33](#page-11-16)] studied the kernelization of the Parameterized (≤*s*)-Cycle Transversal problem, and obtained a kernel of size  $36s^3k$  for  $s > 5$ .

In this paper, we study the kernelization of the Parameterized Planar 4- Cycle Transversal problem. We give several reduction rules and partition the vertices in given instance into four parts to bound the size of reduced instance. A kernel with at most 51k−22 vertices is obtained for the Parameterized Planar 4-Cycle Transversal problem, which improves the current best result 74k. The kernelization process for the Parameterized Planar 4-Cycle Transversal problem can be applied to the kernelization of the Parameterized Planar Edge-Disjoint 4-Cycle Packing problem, which is to decide whether k edge-disjoint 4-cycles can be found in a given planar graph G. We can get that the Parameterized Planar Edge-Disjoint 4-Cycle Packing problem admits a kernel of size  $51k - 22$ , which improves the current best result given in [\[17\]](#page-11-17).

### **2 Preliminaries**

Given a graph  $G = (V, E)$ , for two vertices  $u, v$  in G, let uv denote the edge between u and v. For a vertex  $v \in G$ , let  $N(v) = \{u|vu \in E\}$ . For a vertex v in G, let  $deg(v)$  denote the degree of v. A vertex in G with degree d is called a degree-d vertex. For a subset  $V' \subseteq V$ , let  $G - V'$  denote the graph obtained by removing the vertices in  $V'$  and all its incident edges from  $G$ . For a subset  $E' \subseteq E(G)$ , let  $G - E'$  denote the graph obtained by deleting all edges in E from G. Assume that all paths discussed in this paper are simple. For two sets  $A, B$ , let  $A \ B$  denote  $A - B$ . A 4-cycle in G is a cycle in G with four vertices and four edges. An edge subset S is called a 4-Cycle Transversal set of G if  $G \setminus S$  is 4-cycle free. For any cycle C in G, let  $E(C)$  be the set of edges contained in C.

For two vertices  $u, v$  in  $G$ , if  $u$  and  $v$  have the same neighborhood, i.e.,  $N(u) = N(v)$ , then u, v are called *twin-vertices*. A graph G is called a *twinless graph* if no twin-vertices are contained in  $G$ . For a subset  $V'$  of  $V$ , the subgraph induced by  $V'$  is denoted by  $G[V']$ . For a set S of edges of G, let  $V(S)$  denote the set of vertices contained in  $S$ . For a set  $M$  of edges of  $G$ , if no two edges in M have common vertices, then M is a matching of  $G$ , all the vertices in M are called *matched* vertices, and the vertices in  $V \setminus V(M)$  are called *unmatched* vertices. The size of a matching M is the number of edges in M, denoted by  $|M|$ . A maximum matching is a matching that contains the largest possible number of edges. A matching is a perfect matching if all the vertices in graph are matched vertices. For a set  $S$  of edges of  $G$ ,  $S$  is an induced matching of  $G$  if  $S$  satisfies the following properties: (1) S is a matching of G; (2) no edge in  $E\setminus S$  has both endpoints contained in  $V(S)$ . For an induced matching S of G, the size of S is the number of edges contained in S, denoted by |S|. For a graph G, the *independence number* of G is the size of the maximum independent set of G.

Given a graph  $G = (V, E)$ , a 4-cycle packing  $\mathcal{P} = \{C_1, C_2, \ldots, C_t\}$  of size t is a collection of t edge-disjoint 4-cycles, i.e., each element  $C_i \in \mathcal{P}$  is a 4-cycle and  $E(C_i) \cap E(C_j) = \emptyset$  for any two different 4-cycles  $C_i, C_j \in \mathcal{P}$ . A 4-cycle packing is maximal if it is not properly contained in any strictly larger 4-cycle packing in G. The set of vertices in 4-cycles in  $P$  is denoted by  $V(P)$ .

# **3 Improved Kernel for the Induced Matching Problem on Planar Graphs**

<span id="page-3-0"></span>Given an instance  $(G, k)$  of the Induced Matching problem on planar graphs, we first give several reduction rules for the problem.

<span id="page-4-3"></span>**Rule 3.1** [\[26](#page-11-6)]. For a vertex v in G with degree zero, delete v from  $G$ .

**Rule 3.2** [\[26](#page-11-6)]. For a vertex v in G, if v contains at least two degree-1 neighbors, denoted by  $\{u_1, u_2, \dots, u_i\}$   $(i \geq 2)$ , then delete arbitrarily  $i - 1$  vertices from  $\{u_1, u_2, \cdots, u_i\}.$ 

<span id="page-4-4"></span>**Rule 3.3** [\[26](#page-11-6)]**.** For two vertices u, v with  $|N(u) \cap N(v)| \geq 2$ , if  $N(u) \cap N(v)$ contains at least two degree-2 vertices, denoted by  $\{w_1, w_2, \dots, w_j\}$   $(j \geq 2)$ , then delete arbitrarily  $j-1$  vertices from  $\{w_1, w_2, \cdots, w_j\}$ .

<span id="page-4-2"></span>**Rule 3.4.** For any two twin-vertices  $u, v$  in  $G$ , delete one of  $\{u, v\}$ .

It is easy to see that if the induced matching contains vertex from  $\{u, v\}$ , then only one of  $\{u, v\}$  is contained in the induced matching, and any one of  $\{u, v\}$  can be in the induced matching.

<span id="page-4-0"></span>**Rule 3.5.** For any two vertices  $u, v$  in  $G$ , if there is a degree-2 vertex  $w$  in  $N(u) \cap N(v)$ , u has a degree-1 neighbor x, and v has a degree-1 neighbor y, then vertex w can be deleted.

**Lemma 1.** *Rule* [3.5](#page-4-0) *is correct and can be applied in*  $O(n^3)$  *time.* 

*Proof.* Assume that  $(G, k)$  is an instance of the Induced Matching problem on planar graphs. We prove this lemma based on the following cases.

(1) no edge from  $\{[u, w], [u, x], [v, w], [v, y]\}$  is contained in any induced matching of size at least  $k$  of  $G$ .

Assume that S is an induced matching of size k of G without containing any edge from  $\{[u, w], [u, x], [v, w], [v, y]\}$ . By deleting vertex w, S is still an induced matching of size k in  $G[V\setminus \{w\}]$ .

(2) one edge from  $\{[u, w], [v, w]\}$  is contained in an induced matching of size at least  $k$  in  $G$ .

Without loss of generality, assume that edge  $[v, w]$  is contained in an induced matching S of size k in G. Let  $S' = (S \setminus \{[v, w]\}) \cup \{[v, y]\}.$  It is easy to see that  $S'$  is an induced matching of size k in  $G$ .

This reduction rule can be executed in the following way: for each possible  $w$ in G, check any two vertices  $u, v$  in  $N(w)$ , and decide whether  $u, v$  have degree-1 vertices in their neighbors, respectively. It is easy to see that Rule [3.5](#page-4-0) can be applied in  $O(n^3)$  time.

<span id="page-4-1"></span>**Rule 3.6.** For any three vertices  $v_1, v_2, v_3$  in G, if there is degree-3 vertex u in  $N(v_1) \cap N(v_2) \cap N(v_3)$ ,  $v_1$  has a degree-1 neighbor x,  $v_2$  has a degree-1 neighbor y, and  $v_3$  has a degree-1 neighbor z, then vertex u can be deleted.

**Lemma 2.** *Rule* [3.6](#page-4-1) *is correct and can be applied in*  $O(n^4)$  *time.* 

*Proof.* Assume that  $(G, k)$  is an instance of the Induced Matching problem on planar graphs. We prove this lemma based on the following cases.

(1) no edge from  $\{[u, v_1], [u, v_2], [u, v_3]\}$  is contained in any induced matching of size at least  $k$  of  $G$ .

Assume that  $S$  is an induced matching of size  $k$  of  $G$  without containing any edge from  $\{[u, v_1], [u, v_2], [u, v_3]\}$ . By deleting vertex u, S is still an induced matching of size k in  $G[V \setminus \{u\}]$ .

(2) one edge from  $\{[u, v_1], [u, v_2], [u, v_3]\}$  is contained in an induced matching of size at least  $k$  in  $G$ .

Without loss of generality, assume that edge  $[u, v_1]$  is contained in an induced matching S of size k in G. Let  $S' = (S \setminus \{(u, v_1\}) \cup \{(v_1, x)\}\)$ . It is easy to see that  $S'$  is an induced matching of size k in  $G$ .

This reduction rule can be executed in the following way: for each possible u in G, check any three vertices  $v_1, v_2, v_3$  in  $N(w)$ , and decide whether  $v_1, v_2, v_3$ have degree-1 vertices in their neighbors, respectively. It is easy to see that Rule [3.6](#page-4-1) can be applied in  $O(n^4)$  time.

We first introduce the terminologies related to Gallai-Edmonds structure [\[22\]](#page-11-18).

Given a graph G, if for each vertex v in G,  $G \setminus \{v\}$  has a perfect matching, then  $G$  is called a *factor-critical* graph. For a subset  $V'$  of vertices in  $G$ , let  $N(V')$  denote all the vertices of G which are adjacent to at least one vertex in  $V'$ . For a matching M in G, M is called a *near-perfect matching* of G if there is exactly one unmatched vertex in G.

**Theorem 1** *(The Gallai-Edmonds Structure Theorem)* [\[22](#page-11-18)]**.** *For a given graph* G*, let* D *be the set of vertices which are not covered by at least one maximum matching of*  $G$ *, let*  $A$  *be the set of vertices in*  $V \ D$  *which are adjacent to at least one vertex in* D, and let  $C = V \setminus (A \cup D)$ . Then,

- *(a) the components of the subgraph induced by* D *are factor-critical,*
- *(b) the subgraph induced by* C *has a perfect matching,*
- *(c) if* M *is any maximum matching of* G*, it contains a near-perfect matching of each component of* G[D]*, a perfect matching of each component of* G[C] *and matches all vertices of* A *with vertices in distinct components of* G[D]*,*
- *(d) the size of the maximum matching is*  $1/2(|V| c(G[D]) + |A|)$ *, where*  $c(G[D])$ *is the number of components in* G[D]*.*

For simplicity, a Gallai-Edmonds structure of graph G is denoted by  $(C, A, D).$ 

**Lemma 3** [\[22](#page-11-18)]. For a given graph  $G = (V, E)$ , a Gallai-Edmonds structure (C, A, D) *of* G *can be obtained in polynomial time.*

<span id="page-5-0"></span>The relationship between maximum matching and induced matching can be obtained as follows.

**Lemma 4** [\[18](#page-11-7)]**.** *Let* G *be a minor-closed family of graphs and let* c *be a constant such that any graph in* G *is* c*-colorable. Moreover, let* G *be a graph from* G *and let* M *be a matching in* G*. Then* G *contains an induced matching of size at least*  $|M|/c$ *.* 

For an instance  $(G, k)$  of the Induced Matching problem on planar graphs, apply Rules [3.1](#page-3-0)[–3.6](#page-4-1) whenever possible on G. Let  $(G' = (V', E'), k')$  be the reduced instance such that no rule is applicable on  $G'$ .

**Theorem 2.** *The Induced Matching problem on planar graphs admits a kernel of size* 26k*.*

*Proof.* For a Gallai-Edmonds structure  $(C, A, D)$  of  $G'$ , the components in  $G'[D]$ are divided into two parts  $S, T$  such that  $T$  contains the set of components, each of which has at least three vertices, and S contains the set of isolated vertices in  $G'[D]$ . Let  $T_{2i+1}$   $(i \geq 1)$  be a subset of T such that each component in  $T_{2i+1}$  has  $2i + 1$  vertices. Assume that  $T = \bigcup_{i=1}^{h} T_{2i+1}$ . Let  $S_1 = \{u | u \in S, deg(u) = 1\},$  $S_2 = \{u|u \in S, deg(u) = 2\}$ , and  $S_3 = \{u|u \in S, deg(u) \geq 3\}$ . Since Rule [3.4](#page-4-2) is not applicable on  $G'$ ,  $G'$  contains no twin-vertices. Therefore, in the subgraph induced by the vertices in  $A \cup D$ , by Euler formula,  $|S_2| \leq 3|A| - 6$ , and  $|S_3| \leq$  $2|A| - 4$ . We discuss the size of S by the following cases.

(1)  $0 \leq |S_1| < |A|/2$ .

Under this case, we can get that:

$$
|S| = |S_1| + |S_2| + |S_3|
$$
  
\n
$$
\leq |S_1| + 3|A| - 6 + 2|A| - 4
$$
  
\n
$$
\leq |S_1| + 5|A| - 10
$$
  
\n
$$
< 5.5|A| - 10
$$

(2)  $|A|/2 < |S_1| < |A|$ .

By Rule [3.5,](#page-4-0) if there exists a degree-2 vertex  $w$  in common neighbors of  $u, v$  and both  $u, v$  have degree-1 neighbors, then vertex w can be deleted. Therefore, if  $|A|/2 \leq |S_1| \leq |A|$ , then the number of degree-2 vertices in  $S_2$ is bounded by  $3|A| - 6 - (|S_1| - |A|/2)$ . Then, we can get that

$$
|S| = |S_1| + |S_2| + |S_3|
$$
  
\n
$$
\leq |S_1| + 3|A| - 6 - (|S_1| - |A|/2) + 2|A| - 4
$$
  
\n
$$
\leq 5.5|A| - 10
$$

By the above two cases, we can get that  $|S| \leq 5.5|A| - 10$ .

For a subset  $T_{2i+1}$  of T and for a maximum matching M in G', at least i edges of  $T_{2i+1}$  can be added into M. Therefore, we can get that

$$
\frac{|M|}{|V'|} \ge \frac{|A| + \sum_{i=1}^{h} i|T_{2i+1}| + 1/2|C|}{|A| + 5.5|A| - 10 + \sum_{i=1}^{h} (2i+1)|T_{2i+1}| + |C|}
$$
  
>  $\frac{1}{6.5}$ 

Then,  $|V'| < 6.5|M|$ . Let I be any induced matching of size k in G'. By Lemma [4](#page-5-0) and the Four-color theorem of planar graphs,  $|M| \leq 4|I|$ . Therefore,  $|V'| < 6.5 \cdot 4|I| < 26k$ .  $|V'| < 6.5 \cdot 4|I| \le 26k.$ 

# **4 Improved Kernel for the Parameterized Planar 4-Cycle Transversal Problem**

For a given instance  $(G = (V, E), k)$  of the Parameterized Planar 4-Cycle Transversal problem, we firstly find a maximal 4-cycle packing  $\mathcal P$  in  $G$ , and let  $Q = V - V(\mathcal{P})$ . We can get that the size of  $V(\mathcal{P})$  is at most 4k, and Q contains no 4-cycle. The remaining task is to bound the size of  $Q$ . We first give several reduction rules.

**Rule 4.1.** If there exists an edge  $e \in E$  which is not contained in any 4-cycle, then delete e from  $G$ ; if there exists a vertex  $v$  in  $G$  not contained in any cycle, then delete  $v$  from  $G$ .

It is easy to see that Rule [4.1](#page-3-0) is safe and can be executed in polynomial time. For any vertex  $v$  in  $G$  and any cycle  $C$  in  $\mathcal{P}$ , if  $v$  is connected to at least one vertex in C, then we call v is adjacent to C. For each cycle  $C \in \mathcal{P}$ , let  $Q(C)$ denote the set of vertices in Q that are adjacent to C.

**Rule 4.2.** If there is a 4-cycle  $C \in \mathcal{P}$  with  $V' = Q(C) \cup V(C)$  such that  $G[V']$ contains at least two edge-disjoint 3-cycles, then replace  $C$  by these 4-cycles in P.

**Rule 4.3.** If there are two 4-cycles  $C_1, C_2 \in \mathcal{P}$  with  $V'' = Q(C_1) \cup V(C_1) \cup V(C_2)$  $Q(C_2) \cup V(C_2)$  such that  $G[V'']$  contains at least three edge-disjoint 4-cycles, then replace  $C_1, C_2$  in  $P$  by these 4-cycles in  $P$ .

Each execution of Rule [4.2](#page-4-3) and Rule [4.3](#page-4-4) can be done in polynomial time, and increases the number of 4-cycles in  $P$  by at least 1.

For a given instance  $(G, k)$  of the Parameterized Planar 4-Cycle Transversal problem, Rule [4.3](#page-4-4) is applied when Rule [4.2](#page-4-3) is not applicable on graph G. Note that after each application of Rule [4.3,](#page-4-4) the updated 4-cycle packing  $\mathcal P$  is still maximal. For simplicity, a maximal 4-cycle packing P is called a *proper* 4-cycle packing if neither Rule [4.2](#page-4-3) nor Rule [4.3](#page-4-4) is applicable to update  $P$ .

In the following, we assume that  $P$  is a proper 4-cycle packing obtained by applying Rules [4.1](#page-3-0)[–4.3](#page-4-4) exhaustively, and let  $Q = V - V(P)$ . We now discuss the properties of the edges in  $G[Q]$ . For an edge  $e = uv$  in  $G[Q]$ , if there exists a cycle C in  $\mathcal P$  such that  $u, v$  with two adjacent vertices in C form a 4-cycle, then edge uv is called a *single edge* in  $G[Q]$ , and we say e is adjacent to cycle C.

**Lemma 5.** *Let* C *be an arbitrary 4-cycle in* P*, and let* R *be the set of vertexdisjoint single edges in*  $G[Q]$  *adjacent to* C. If  $|R| \geq 2$ *, then all the single edges in* R *must be adjacent to a unique edge in* C*.*

For a 4-cycle  $C$  in  $G$ , if only one edge of  $C$  is shared with other 4-cycles in G, then C is called a *dangling* cycle in G.

**Rule 4.4.** For a dangling 4-cycle C in  $G$ , all the edges in  $C$  can be deleted from G, and  $k = k - 1$ .

Let  $(G, k)$  be the reduced instance of the Parameterized Planar 4-Cycle Transversal problem by exhaustively applying reduction Rules [4.1](#page-3-0)[–4.4.](#page-4-2) We now analyze the size of G. Assume that  $P$  is a proper 4-cycle packing in G, and let  $Q = V - V(\mathcal{P})$ . We divide the vertices in Q into the following parts:  $Q_1 = \{v \in Q | |N(v) \cap V(\mathcal{P})| = 1\}, Q_2 = \{v \in Q | |N(v) \cap V(\mathcal{P})| = 2\},$  $Q_3 = \{v \in Q | |N(v) \cap V(\mathcal{P})| \geq 3\}, Q_0 = Q \setminus (Q_1 \cup Q_2 \cup Q_3).$ 

<span id="page-8-2"></span>Since  $P$  is a proper 4-cycle packing in G and reduction Rule [4.1](#page-3-0) is not applicable on G, we can get that  $Q_0 = \emptyset$ . We now bound the size of  $Q_3$ .

**Lemma 6.**  $|Q_3|$  ≤  $max\{0, 2|V(P)| - 4\}$ *.* 

In the following, we will bound the size of  $Q_1$  and  $Q_2$ . For any two distinct vertices u, v in P, let  $Q_2(uv) = \{w \in Q_2|N(w) \cap V(\mathcal{P}) = \{u, v\}\}\)$ . Assume that T is the set of all non-empty subsets  $Q_2(uv)$  for each distinct vertices u, v in P.

<span id="page-8-1"></span>**Lemma 7.** *Each subset in* T *has size one.*

**Lemma 8.**  $|T| \leq 3|V(\mathcal{P})| - 6$ *.* 

In graph G, a path with three vertices and two edges is called a 3-path. For any two 3-paths  $p_1 = (x_1, x_2, x_3)$  and  $p_2 = (y_1, y_2, y_3)$ ,  $p_1$  is called connected to  $p_2$  if  $p_1, p_2$  satisfies the following properties: for any vertex  $x_i$  ( $1 \le i \le 3$ ), there exists a unique vertex  $y_j$  in  $p_2$  ( $1 \leq j \leq 3$ ) such that  $x_i y_j$  is an edge in G.

<span id="page-8-0"></span>**Lemma 9.** For any arbitrary  $\frac{1}{4}$ -cycle  $C \in \mathcal{P}$ , there exists at most one 3-path in G[Q] *connected to a 3-path of* C*.*

For a single edge  $e$  in  $G[Q]$ , we first claim that the two endpoints of edge  $e = uv$  are not both from  $Q_1$ . Assume that  $u, v$  are both from  $Q_1$ , and are connected to an edge  $e' = u'v'$  of 4-cycle C in P. It is easy to see that 4-cycle constructed by vertices  $u, v, u', v'$  is a dangling 4-cycle, which can be handled by Rule [4.4,](#page-4-2) a contradiction. Thus, we can get that for each single edge  $e$  with one vertex from  $Q_1$  in  $G[Q]$ , e is adjacent to the unique edge in a 4-cycle of  $P$ , and the other endpoints of e must be from  $Q_2 \cup Q_3$ .

Suppose that  $e_1 = \{a, b\}$  and  $e_2 = \{c, d\}$  are two single edges in  $G[Q]$  which are adjacent to the same edge  $e = \{u, v\}$  of a 4-cycle in  $P$ , where a, c are the vertices in  $Q_2 \cup Q_3$ , b, d are the vertices in  $Q_1$ . Assume that a, c are adjacent to u, and b, d are adjacent to v. We first claim that  $e_1$  and  $e_2$  cannot share a vertex. Assume that  $e_1$  and  $e_2$  share a vertex. If  $a = c$ , then a 4-cycle  $\{a, b, v, d\}$  can be found, which is edge-disjoint with the 4-cycles in  $P$ , contradicting with the maximality of  $P$ . Other cases of sharing vertices of  $e_1$  and  $e_2$  can be similarly discussed.

<span id="page-8-3"></span>Assume that e is a single edge in  $G[Q]$  which is adjacent to an edge e' of a 4-cycle in  $P$ . Let u be one vertex in e from  $Q_1$ , and let v be the other endpoint of e which is from  $Q_2 \cup Q_3$ . It is not hard to see that vertex u can be adjacent to exactly one vertex in  $Q_2 \cup Q_3$ , and vertex v can be adjacent to exactly one vertex of  $Q_1$ . Otherwise, one of reduction rules can be applied again. We now bound the number of vertices in  $Q_1$ .

#### **Lemma 10.** *The number of vertices in*  $Q_1$  *is at most*  $6|V(\mathcal{P})| + 3k - 12$ *.*

*Proof.* It is not hard to get that the vertices in  $Q_1$  might form single edges and 3-paths. By Lemma [9,](#page-8-0) we get that for any arbitrary 4-cycle  $C \in \mathcal{P}$ , there exists at most one 3-path in  $G[Q]$  that is connected to 3-path of C. Assume that P' is a 3-path in  $G[Q]$  that is connected to 3-path of C. The vertices in  $P'$  might be from  $Q_1$  and  $Q_2 \cup Q_3$ . The vertices in the 3-paths whose vertices are all from  $Q_1$  are bounded by 3k. Thus, the remaining task is to consider the vertices in 3-paths that contain vertices from  $Q_2 \cup Q_3$ , and the vertices in the single edges that contain vertices from  $Q_2 \cup Q_3$ .

Let  $Q'_2$  be a subset of  $Q_2$  such that each vertex in  $Q'_2$  has at least one neighbor in  $Q_1$ , and let  $Q'_3$  be a subset of  $Q_3$  such that each vertex in  $Q'_3$  has at least one neighbor in  $Q_1$ . In order to bound the number of vertices in  $Q_1$ , we first construct an auxiliary graph H as follows: (1) add vertices  $Q_1 \cup Q_2' \cup Q_3' \cup V(\mathcal{P})$ into H; (2) for a vertex u in  $Q_1$  and a vertex v in  $Q'_2 \cup Q'_3 \cup V(\mathcal{P})$ , if there exists an edge between  $u$  and  $v$  in  $G$ , then add edge  $uv$  into  $H$ . Based on the auxiliary graph  $H$ , another auxiliary graph  $H'$  can be constructed in the following way: (1) add vertices  $Q'_2 \cup Q'_3 \cup V(\mathcal{P})$  into  $H'$ ; (2) for any vertex  $u \in Q'_2 \cup Q'_3$  and any vertex  $v \in V(\mathcal{P})$ , if there exists a vertex w in  $Q_1$  such that uw and wv are the edges in  $H$ , then add edge uv into  $H'$ . It is easy to see that  $H'$  is a bipartite planar graph and triangle-free, and each vertex in  $Q'_2 \cup Q'_3$  has degree at least three. By the above discussion, for any vertex u in  $Q'_2 \cup Q'_3$  and any vertex v in  $V(\mathcal{P})$ , u and v can have at most one common neighbor from  $Q_1$  in G. Thus, no two vertices in  $H'$  have multiple edges. The number of vertices in  $Q_1$  is exactly the number of edges in H'. The number of vertices contained in  $Q'_2 \cup Q'_3$ is bounded by  $2|V(\mathcal{P})| - 4$ . Therefore, the number of edges in H<sup>t</sup> is bounded by  $2((2|V(\mathcal{P})|-4)+|V(\mathcal{P})|)-4=6|V(\mathcal{P})|-12$ . Thus, the total number of vertices in  $Q_1$  is at most 6| $V(\mathcal{P})$ | + 3k − 12.

For an isolated vertex v in  $G[Q]$ , if v is connected to the vertices of C, such as  $a, c$  or  $b, d$ , then it is called vertex v is connected to 4-cycle C.

**Lemma 11.** For any arbitrary 4-cycle  $C \in \mathcal{P}$ , if an isolated vertex v in  $G[Q]$ *is connected to* C*, then no single edge or 3-path in* G[Q] *can be connected to* C*. Similarly, if a single edge in* G[Q] *is connected to* C*, then no isolated vertex or 3-path in* G[Q] *can be connected to* C*; if a 3-path in* G[Q] *is connected to* C*, then no isolated vertex or single edge can be connected to* C*.*

**Theorem 3.** *The Parameterized Planar 4-Cycle Transversal problem admits a kernel of at most* 51k − 22 *vertices.*

*Proof.* For the reduced instance  $(G, k)$  of the Parameterized Planar 4-Cycle Transversal problem, the size of G is bounded by  $|V(\mathcal{P})| + |Q_1| + |Q_2| + |Q_3|$ . The size of  $V(\mathcal{P})$  is bounded by 4k. By Lemma [8,](#page-8-1) the number of vertices in  $Q_2$ is bounded by  $3|V(\mathcal{P})| - 6$ , and by Lemma [6,](#page-8-2) the number of vertices in  $Q_3$  is bounded by  $2|V(\mathcal{P})|-4$ . By Lemma [10,](#page-8-3) the number of vertices in  $Q_1$  is bounded by  $6|V(\mathcal{P})| + 3k - 12$ . Thus, the total number of vertices in the reduced graph G is  $|V(G)| = |V(\mathcal{P})| + |Q_1| + |Q_2| + |Q_3| \le |V(\mathcal{P})| + (6|V(\mathcal{P})| + 3k - 12) +$ <br>(3|V(P)| - 6) + (2|V(P)| - 4) < 51k - 22.  $(3|V(\mathcal{P})| - 6) + (2|V(\mathcal{P})| - 4) \le 51k - 22.$ 

The kernelization process for the Parameterized Planar 4-Cycle Transversal problem can be applied to the kernelization of the Parameterized Planar Edge-Disjoint 4-Cycle Packing.

**Corollary 1.** *The Parameterized Planar Edge-Disjoint 4-Cycle Packing problem admits a kernel of at most* 51k − 22 *vertices.*

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