# Chapter 8 Bioconversion of Methane for Value-Added Products



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#### What Will You Learn from This Chapter?

This chapter will briefly summarize the background of methane production from natural gas and biogas together with an introduction to the biomolecular basis of methanotrophy and the actual and potential commercial applications. This chapter also discusses the safety considerations for using methane in laboratory and safe development of methane-based bioprocesses. In the end, this chapter will focus on the process development for biological conversion of methane into desired products with attention to the enhancement of mass transfer efficiency and the development of bioreactor designs.

# 8.1 Introduction

Methane  $(CH_4)$  is a colorless, odorless, nontoxic, and flammable gas and is the simplest and most energy dense alkane with a specific energy of 55 MJ/kg. It is one of the most common gases in the universe where it was produced as part of the same processes that formed the stars, planets, and other celestial bodies. On earth, CH4 formed underground from organic material as a fossil fuel along with coal and petroleum. It is usually found in both wetlands and oceans, where it often finds its way to the surface and into the atmosphere. Approximately  $36\%$  of the CH<sub>4</sub> released into the atmosphere is due to natural geological activities (Bousquet et al. [2006\)](#page-15-0).

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However, most of the rest comes from human activities, such as burning fuel, leakage from natural gas systems, and raising livestock. In the USA, energy extraction (natural gas and petroleum), agriculture (enteric fermentation), and waste management (landfills) account for the highest  $CH_4$  production (EPA  $2015$ ). CH<sub>4</sub> is a greenhouse gas, and its production has kept pace with the world's population growth. Due to its high global warming potential that is 80 times that of  $CO<sub>2</sub>$  over a 20-year time frame, more than 5.4 quads ( $1.47 \times 10^5$  million cubic meter) of natural gas has been flared annually at oil production sites around the globe (World Bank  $2013$ ). CH<sub>4</sub> can also be harnessed as an energy source, which has played a vital role of the world's supply of energy for years. Natural gas containing about  $80-95\%$  (v/v)  $CH<sub>4</sub>$  mixed with other heavier alkanes is one of the major sources for  $CH<sub>4</sub>$  production. With the heating value of 1020 BTU per standard cubic foot (BTU/scf), it is one of the major fuels used throughout the country. As a fossil fuel, natural gas is commonly used as an energy source for transportation, heating, cooking, and electricity generation. More than 24.6 quads (2.4  $\times$  10<sup>4</sup> billion cubic feet) of natural gas has been extracted from the ground every year in the USA since 2010 (EIA [2016\)](#page-15-2). The International Energy Agency estimates that the extraction of natural gas will keep increasing with projections that 25% of global energy will be derived from natural gas by 2035. This is due in large part to a tremendous increase in natural gas extraction in the USA since 2007 because of the shale gas development. Shale gas is one form of unconventional natural gas that is trapped within relatively nonporous shale formations, compared to the conventional sources found in multiple, relatively small, porous zones in various naturally occurring rock formations such as carbonates, sandstones, and siltstones. The unconventional gas reservoirs have large volumes that previously had been difficult to develop. However, advanced shale gas technologies, primarily hydraulic fracturing or "fracking," have not only improved the extraction capacity of natural gas but have also reduced natural gas costs from \$13/MM BTU to \$3/MM BTU with prices expected to remain stable for a long time [\(www.eia.gov](http://www.eia.gov)), which allows  $CH_4$  to be available as an economic substrate for bioprocesses. As the primary carbon source, glucose (corn syrup) was projected at a cost of approximately \$645/ton from a techno-economic analysis in 2011 cost (Davis et al. [2015](#page-15-3)), which is equal to \$0.[1](#page-1-0)94/carbon mole.<sup>1</sup> The price of wellhead natural gas was \$2.62/MM BTU (spot price of Henry Hub) in 2015 that gives \$146/ton or \$0.00[2](#page-1-1)3/carbon mole.<sup>2</sup> Therefore, the cost of CH<sub>4</sub> on per mole of carbon is eight times cheaper than the cost of glucose per mole of carbon. Taking account of  $CH<sub>4</sub>$  as the most reduced carbon source available, it is clearly a more suitable carbon source for the production of reduced products (e.g., fatty acids) compared with glucose.

<span id="page-1-0"></span><sup>&</sup>lt;sup>1</sup>1 ton glucose gives 33,333 (1,000,000 g/180  $\times$  6) carbon mole. Glucose price = \$645/ton = \$645/  $33,333$  carbon mole =  $$0.0194/c$ arbon mole.

<span id="page-1-1"></span>Natural gas (NG) price =  $$2.62/MM BTU = $2.62/1000 ft<sup>3</sup>$ . Considering NG density of 0.7 kg/m<sup>3</sup> and 1 m<sup>3</sup> = 35 ft<sup>3</sup>, NG price = \$2.62/20 kg = \$131/ton. Therefore CH<sub>4</sub> price is \$146/ton when NG contains 90% CH<sub>4</sub>. 1 ton CH<sub>4</sub> gives 62,500 (1,000,000g/16) carbon mole. CH<sub>4</sub> price = \$146/ton =  $$146/62,500$ carbon mole = \$0.0023/carbon mole.

Besides the fossil-based CH4 derived from natural gas, biogas, a form of renewable energy produced from organic matter through the biological process of methanogenesis, is another major source of CH4. Biogas has a lower energy content (400 BTU/scf) than natural gas and is mainly composed of  $55-70\%$  CH<sub>4</sub> and 30–45% carbon dioxide  $(CO<sub>2</sub>)$ . It may also contain small amounts of moisture, siloxane, hydrogen sulfide, ammonia, nitrogen, hydrogen, and aromatic hydrocarbons (Tsavkelova and Netrusov [2012](#page-17-1)). Biogas is commonly produced via a process called anaerobic digestion, which is a complex process that involves two stages. In the first stage, decomposition is performed by acidogenic bacteria that metabolize the waste components (primarily protein, carbohydrate, cellulose, and hemicellulose) into mainly volatile fatty acids (acetic, propionic, and butyric acid) and ammonia along with  $CO<sub>2</sub>$  and hydrogen  $(H<sub>2</sub>)$  gases. In the second stage, most of the organic acids and all of the  $H_2$  are metabolized by methanogens, with the end result being production of generating biogas.

An important source of biogas is agricultural activities typically generated from enteric fermentation taking place within ruminant animals (e.g., cows, sheep, goats, cattle, buffalo, and camels), which is responsible for  $25\%$  of anthropogenic CH<sub>4</sub> production (EPA [2015](#page-15-1)). Methanogenic microbial communities responsible for the enteric fermentation reside in the stomachs of these animals and convert organic matter into CH4, which is exhaled, eructated, or released via flatus. Between 1990 and 2013, CH<sub>4</sub> production from agricultural activities increased by 11.3%, which is mainly due to the increased animal husbandry to provide increasing amounts of meat in the diet of the world's population. In 2014, more than 0.26 quads (257 billion cubic feet) of  $CH<sub>4</sub>$  was estimated to be produced from agriculture in the USA (USDA et al. [2014\)](#page-17-2). Global livestock production has increased substantially since the 1960s and is expected to continue rising. Rice farming is another agricultural source of biogas. Rice paddies, which are essentially artificial wetlands, are characterized by high moisture content, oxygen depletion, and ample organic material. This creates a great environment for methanogenic communities that decompose the organic matter for CH<sub>4</sub> generation.

Landfill gas is another type of anthropogenic biogas typically derived from anaerobic methanogenic communities present in water and soil samples which can convert a diverse range of agricultural, industrial, domestic, and municipal wastes and biodegradable solid waste. Landfills are the third largest source of  $CH<sub>4</sub>$  production in the USA presenting 0.29 quads (284 billion cubic feet) of  $CH_4$  produced in 2014 (USDA, EPA [2014\)](#page-17-2). The peak production of biogas is from 5 to 7 years after the feedstock is dumped at landfills (EPA [2000\)](#page-15-4). However, the bacteria will continue to decompose the buried waste and emit methane slowly for years after a landfill is closed.

# 8.2 Methanotrophic Bacteria

Methanotrophic bacteria are a group of bacteria that are capable of utilizing  $CH<sub>4</sub>$  as their sole carbon and energy source. Methanotrophs, first discovered in 1906, were isolated and characterized beginning in the 1970s by Whittenbury and his coworkers (Whittenbury et al. [1970](#page-17-3)), establishing the basis of the current classification of methanotrophic bacteria. Methanotrophic bacteria are gram-negative bacteria, and most were isolated from sewage, bogs, wetlands, lake basins, or ruminants (in other words, in environments where methane is plentiful), where they can grow well. Although methanotrophs are now known to be able to grow in both aerobic and anaerobic environments, most methanotrophs are usually specified as aerobic microorganisms that can oxidize methane to methanol and beyond for catabolism and anabolism. In this chapter, we will focus on aerobic methanotrophic bacteria and their cultivation. Readers who are interested in anaerobic methanotrophs can find more details from recent reviews (Caldwell et al. [2008](#page-15-5); Knittel and Boetius [2009](#page-16-0)).

Methanotrophs are now classified into three groups replacing earlier categories such as Type I, Type II, and Type X (Fei et al. [2014b\)](#page-16-1). Group I contains Gammaproteobacteria methanotrophs (formerly Type I and X) that are able to utilize the ribulose monophosphate (RuMP) cycle for single carbon assimilation, including the genera Methylobacter Methylococcus, Methylohalobius, Methylomonas, Methanosphaera, Methylosoma, Methylomicrobium, Methanothermus, and Methanosarcina. Group II contains Alphaproteobacteria methanotrophs (formerly Type II) capable of using the serine cycle to assimilate single carbon sources, including the genera Methylosinus, Methylocapsa, Methylocella, and Methylocystis. Recently, a new division of methanotrophs known as Verrucomicrobia methanotrophs have been assigned to Group III (Dunfield et al. [2007](#page-15-6); Pol et al. [2007\)](#page-17-4). This group can oxidize methane to generate metabolic energy to assimilate carbon at the level of  $CO<sub>2</sub>$  using the Calvin-Benson-Bassham cycle. All of the methanotrophs mentioned above are obligate methylotrophs that can only use the C1 molecules of methane, methanol, methylamine, or trimethylamine as the carbon and/or energy source. However, two recently discovered species, Methylocella and Methylocystis, are facultative methylotrophs, able to utilize not only methane/methanol as their sole energy source but also acetate and ethanol (Dedysh et al. [2005](#page-15-7); Im et al. [2011](#page-16-2)).

Methanotrophs can metabolize CH<sub>4</sub>, because of a unique enzyme, methane monooxygenase (MMO), which catalyzes the conversion of methane to methanol, the first intermediate in methane metabolism. Two forms of MMO are known as soluble methane monooxygenase (sMMO) present in the cytoplasm and particulate methane monooxygenase (pMMO) bound to intracellular membranes. Most methanotrophs employ pMMO when grown in the presence of copper and iron (needed for pMMO activity) (Dalton [1992\)](#page-15-8), but the synthesis of sMMO is observed in copper-limited environments. In addition to copper and iron availability, a number of environmental factors can affect the type and activity level of MMO. These include nutrient availability including  $O_2$ , N, and P, gas transfer rate, pH, and temperature

(Fei et al. [2014b\)](#page-16-1). There are two separate cycles (ribulose monophosphate (RuMP) cycle and serine cycle) by which formaldehyde, produced as an intermediate in methane oxidation, is assimilated into microbial biomass and metabolites (Fei et al. [2014b\)](#page-16-1). The RuMP cycle is usually found in Group I methanotrophs for their C1 assimilation. These organisms have incomplete TCA cycles. Group II methanotrophs using the serine cycle to assimilate C1 substrates have functioning TCA cycles. However, some Group I methanotrophs not only use RuMP cycle but also possess genes for serine cycle enzymes (Lieberman and Rosenzweig [2004](#page-16-3)).

Metabolic engineering tools are beginning to play a critical role in the development of industrial methanotrophic biocatalysts. The development of mutagenesis techniques, gene transfer methods (knock-in and knockout of genes between chromosomal and plasmid DNA), and gene expression systems (development of promoters and other regulatory elements) offers a means to enhance nutrient uptake and alter metabolic flux toward desirable products, such as single-cell protein, chemicals, and fuels (biological conversion of gas to liquid fuels and chemicals or Bio-GTL&C), and other high-value co-products.

#### 8.3 Applications

# 8.3.1 Single-Cell Protein (Amino Acids)

Because of the challenges to supply sufficient protein for the world's growing population in the 1960, the concept of using methane and methanol for the production of microbial biomass termed single-cell protein (SCP) was pursued by several companies including ICI, Hoechst, and Phillips Petroleum. SCP derived from biomass of methanotrophs is composed of nutritionally acceptable amino acids and has been mainly used as a promising replacement for animal nutrition supplement and human protein consumption. Enormous R&D efforts were devoted to SCP production by methanotrophs using  $CH<sub>4</sub>$  as the carbon source. *Methylococcus* capsulatus (Bath) has been researched considerably because of its high efficiency in production of SCP with  $CH<sub>4</sub>$  and some criteria such as amino acid composition, digestibility, and animal performance and health. Bewersdorff and Dostálek (Bewersdorff and Dostálek [1971](#page-15-9)) determined that 71% crude protein on dry cell weight base was produced in a mixed bacterial culture grown using  $CH<sub>4</sub>$  with a yield of 0.64 protein/g CH<sub>4</sub>. In a continous cultivation with a dry cell weight of 2.2 g/L, the amino acid composition of the protein  $(g/100 g$  protein) was shown to be 10.2 of glutamic acid, 8.8 of aspartic acid, 7.7 of alanine, 7.0 of leucine, 5.9 of valine, 5.6 of glycine, 5.4 of lysine, 4.9 of isoleucine, and 4.3 of threonine along with smaller amounts of the other amino acids (Bewersdorff and Dostálek [1971](#page-15-9)). Protein from methanotrophic biomass has been used as animal supplement for pigs, chickens, mink, fox, dog, and fish (Øverland et al.  $2010$ ). Although several novel techniques for the production of SCP from methane were developed by Shell, viable commercial processes never materialized, largely due to economical considerations (Strong

et al. [2015\)](#page-17-5). Although the increases in agricultural productivity brought on by advances made during the "Green Revolution" greatly mitigated the challenges of providing food for an increasing world population, this problem is beginning to surface again, leading to a reconsideration of the potential production of microbial protein. Due to the abundant supply and cheapness of natural gas, the production of methanotrophic protein from natural gas could be realistic on an industrial scale. UniBio A/S, a pioneer company of methanotrophic protein production, based in Odense, Denmark, opened its first commercial plant of SCP using natural gas as a nutritional food protein feed for animals in Nov 2016 (Vallenet et al. [2013\)](#page-17-6). This plant improves UniBio's annual capacity of SCP production up to 80 ton. Calysta, Inc., a company located at Menlo Park, CA, USA, is also a major player focusing on the production of SCP using CH<sub>4</sub> as the sole carbon source. Calysta's FeedKind<sup>TM</sup> protein, a new fish and animal feed ingredient, has been targeting industrial markets of aquaculture and livestock feeds (Cantera et al. [2017\)](#page-15-10).

## 8.3.2 Bio-Based Chemicals (Bio-GTC)

The same reason for renewed attention to the production of methanotrophic SCP makes it attractive as a potential source of biochemicals. Soluble metabolites produced by methanotrophs (e.g., biopolymer, organic acids, keto acids, carboxylic acids, ectoine, and vitamins) are all potential products from  $CH<sub>4</sub>$  with multiple industrial uses and high global demand. Recent interests in industrial applications have been focused on the bioconversion of natural gas/ $CH<sub>4</sub>$  into bulk chemicals (Bio-GTC). Methanol, formaldehyde, and formate are the initial products of CH4 metabolism by methanotrophs. However, higher rates, yields, and titers would be necessary for economic processes. Poly-3-hydroxybutyrate (PHB) is a bio-derived and biodegradable plastic, a polyester made up of repeating units of 3-hydroxybutyric acid, with similar physical properties to other industrial plastics, such as polypropylene. The production of PHB has been observed in both Group I and Group II methanotrophs (Anthony [1982](#page-15-11)). However, the serine pathway used by Group II methanotrophs is the most efficient metabolic machinery for PHB biosynthesis (Wendlandt et al. [2001\)](#page-17-7). When isocitrate dehydrogenase is used for NADPH regeneration, the theoretical yield of PHB is 0.56–0.67 g/g (Asenjo and Suk [1986;](#page-15-12) Yamane [1993\)](#page-17-8), which is higher than that from glucose of 0.48 g/g and sucrose of 0.5 g/g (Yamane [1993](#page-17-8)), attesting to the higher energy content of methane compared to sugars. Wendlandt et al. reported that PHB content was as high as 51% in a continuous culture of Methylocystis sp. using methane as the sole carbon source (Wendlandt et al. [2001\)](#page-17-7). Mango Materials, a new biology start-up founded in 2011, recently announced its new process of converting waste biogas into bioplastics instead of conventional petroleum-based plastics (Whitworth [2014](#page-17-9)). According to an economic model developed by researchers at Stanford University, the cost for PHB produced from Mango Materials' process could be as low as \$1.2/kg (Roland-Holst et al. [2013](#page-17-10)).

Due to the development of genetic tools, manipulation of pathways to overproduce metabolic intermediates or compounds not naturally biosynthesized by methanotrophs is a topic of current interest. Recently, Calysta Energy announced that it has successfully demonstrated a lab-scale production of lactic acid from methane, under a research collaboration with NatureWorks (Calysta [2014\)](#page-15-13). Intrexon's patent also indicates the feasibility of the production of 1-butanol, fatty alcohols, fatty acid esters, and 2,3-butanediol by metabolically engineered methanotrophs (Coleman et al. [2014](#page-15-14)). Besides the production of chemicals mentioned above, succinic acids, acetic acids, ectoine, vitamins, and astaxanthin are alternative value added products with large markets that could be produced by methanotrophs (Strong et al. [2015](#page-17-5)).

#### 8.3.3 Biofuel (Bio-GTL)

Biofuel is another valuable product from methanotrophs with an enormous demand. A comprehensive review about the bioconversion of natural gas into liquid fuel (Bio-GTL) has been published discussing its opportunity and challenge (Fei et al. [2014b\)](#page-16-1). However, until now there are still few studies regarding the feasibility of utilizing the Bio-GTL concept for the production of liquid fuels, due in large part to the lack of a robust, suitable production strain. A program developed by the Advanced Research Projects Agency-Energy (ARPA-E) of the US Department of Energy (DOE) known as Reducing Emissions using Methanotrophic Organisms for Transportation Energy (REMOTE) was initiated in 2013 to accelerate the development of economic Bio-GTL processes. A continuous gas delivery system with safety control and precautions has been developed at the National Renewable Energy Laboratory for converting  $CH<sub>4</sub>$  into lipids in high cell density culture of oleaginous methanotrophs—Methylomicrobium buryatense (Scanlon [2014\)](#page-17-11). The microbial lipids can be catalytically upgraded to diesel blend stocks for biofuel. Downstream processing of microbial lipids for renewable diesel production follows a sequential catalytic upgrading process familiar to petroleum refining consisting of hydrotreating (hydroprocessing) followed by catalytic cracking and isomerization of the fatty acyl chains to fit the properties of renewable diesel. A techno-economic analysis (TEA) was performed for an integrated biorefinery process using biological conversion of methane considering such parameters as carbon yield, process efficiency, productivity (both lipid and acid), natural gas, and other raw material prices, etc. (Fei et al. [2014d](#page-16-5)). This preliminary cost analysis of Bio-GTL based solely on raw material costs and yields projected a CH4-derived diesel cost range from \$0.7 to \$10.8/gal (Fei et al. [2014b\)](#page-16-1).

Intrexon Corporation, a synthetic biology company, has formed a joint venture named Intrexon Energy Partners to scale up its Bio-GTL platform in 2014 targeting isobutanol for gasoline blending. Recently, Intrexon Corporation announced the achievement of farnesene production from CH4, a potential feedstock for diesel fuel. Considering the recent volatility of crude oil prices and the potential for future shortages, the utilization of  $CH<sub>4</sub>$  as a substrate for liquid fuel production has tremendous potential.

#### 8.4 Safety Control

### 8.4.1 Explosive Limits

<span id="page-7-0"></span> $CH<sub>4</sub>$  is a combustible gas, which can cause fires or explosions in the presence of  $O<sub>2</sub>$ and an ignition source. As shown in the stoichiometric equation below, the combustion of  $CH<sub>4</sub>$  is highly exothermic.

$$
CH_4 + 2O_2 \rightarrow CO_2 + 2H_2O \quad \Delta H = -890 \text{ KJ/molA}
$$
 (8.1)

Therefore, the presence of  $CH<sub>4</sub>$  in the atmosphere is a potential hazard in industrial, commercial, and domestic environments. Due to the colorless and odorless nature of gaseous hydrocarbons, a trace amount of the organic sulfur compound methanethiol (CH4S) is added to give commercial natural gas its distinctive smell. This safeguard makes gas leaks readily detectable and reduces the potential for serious explosion or asphyxiation. To support  $CH<sub>4</sub>$  combustion in air, however, the ratio of  $CH_4$  and air/ $O_2$  must be within well-defined explosive range, determined experimentally and referred to as explosive limits. The lower explosive limit (LEL) of  $CH_4$  is the lowest  $CH_4$  percentage by volume in air that can cause an explosion if ignited, and the upper explosive limit (UEL) of methane is the highest  $CH_4$  percentage in air capable of producing an explosion (Gas [2013\)](#page-16-6). These values are 5% for the LEL and 15% for the UEL. Below the LEL,  $CH<sub>4</sub>$  is too lean to burn, and above the UEL, CH<sub>4</sub> is too rich to burn. Therefore, CH<sub>4</sub> concentrations outside those limits are nonflammable. The potential for  $CH_4$  explosions is also associated with the limiting oxygen concentration (LOC). The LOC is the minimum concentration of  $O_2$  in a homogeneous mixture of CH<sub>4</sub>, air, and an inert gas (e.g.,  $N_2$ , CO<sub>2</sub>) that will propagate a fire or explosion, independent of the concentration of  $CH<sub>4</sub>$  (Zlochower and Green [2009\)](#page-17-12). The effect of adding an inert gas into a fuel gas mixture is to reach a level, below which this mixture can no longer be made flammable. Therefore, using air instead of pure  $O<sub>2</sub>$  can reduce the possibility of explosion and avoid the flammable mixture zone. The LOC for methane is 12.1 vol% and 14.6 vol% with N<sub>2</sub> and  $CO<sub>2</sub>$  as an inert gas, respectively (Hamer et al. [1967\)](#page-16-7). Thus, by maintaining the  $O<sub>2</sub>$  concentration in a gas mixture below the LOC, certain safe operation can be predicted and guaranteed. A triangular diagram exhibits the regimes of flammability in a homogeneous mixture of  $CH_4$ , air, and  $N_2$ . This flammability diagram also depicts explosive limits (LEL and UEL), LOC, combustion stoichiometric line, and  $N<sub>2</sub>$  purge concentrations to avoid the flammable zone (orange-colored envelope determined experimentally). More explanations of this diagram are available (Hamer et al. [1967\)](#page-16-7).

As discussed above, the flammability of  $CH<sub>4</sub>$  is calculated based upon its combustion stoichiometry (Eq. [8.1\)](#page-7-0). However, during the culture of aerobic methanotrophic bacteria, the concentrations of  $CH<sub>4</sub>$  and  $O<sub>2</sub>$  vary with the gas specific utilization rate. For example, the utilization efficiency of  $CH_4$  and  $O_2$  will increase as the cell density increases and will either plateau or decrease in the stationary phase, which means the concentration of  $CH_4$  and  $O_2$  in the off-gas from a bioreactor will change with the growth phase of methanotrophs. Therefore the metabolic stoichiometry of methanotrophs should also be considered for the safe usage of gas mixtures during cultivation.

## 8.5 Control and Precaution

To grow aerobic methanotrophic bacteria, CH4 is required as carbon source and energy source, and  $O_2$  is required as source of the oxygen atom in methanol and as electron acceptor. Continuous supply of these two gases is mandatory during cultivation in order to maintain a healthy growth environment. Therefore, safety considerations for controls and precautions of continuous gas supply are needed before designing a bioprocess and carrying out experiments. Risk assessment is the first step to estimate the likelihood and magnitude of the occurrence of an unwanted event scenario and is used in such potentially dangerous fields, such as fire management, petroleum and natural gas extraction, processing and delivery, coal mine activity, and nuclear science and engineering. A risk assessment process for  $CH<sub>4</sub>$ usage in a laboratory should not only review restrictions, regulations, and standards for the institution but also consider the specifics of hazardous materials used for experiments, system pressure, size and ventilation of lab room, fire and explosion, volume/quantity, gas mixture composition, and flow rate of the gas mixture. A matrix shown in Table [8.1](#page-9-0) is a simple tool to estimate the risk level of different scenarios.

Based on the assessment, risk management and control are also required for using CH4 in laboratory (Schaufele [2013\)](#page-17-13). In general, risk control can be categorized as administrative controls, engineering controls, and work practice controls (safe operating procedure). Administrative controls can be in the form of general policies or laboratory-specific standard operating procedures established at an administrative level and implemented by the principal investigator, laboratory supervisor, department chair, department safety committee, or office of environmental health and safety at an institute or a university. Engineering controls consist of various measures for eliminating hazards or reducing exposure to hazards at their sources before they are created. In the laboratory, examples of engineering controls for  $CH<sub>4</sub>$  usage include pressure relief valves, flow check valves, continuous leakage detection systems, use of nonflammable gas mixtures, limitation of maximum flow rates of  $CH<sub>4</sub>$  (e.g., installation of a restrictive flow orifice), and utilization of local exhaust systems such as a fume hood or ventilation system. A continuous gas delivery system for a Bio-GTL&C process can include a transient leakage detection system

	Risk Level				
	Insignificant	Minor	Moderate	Major	Catastrophic
	(minor	(some	(significant)	(operations)	(business)
	problem	disruption	time/resources	severely	survival is at
	easily handed	possible.	required, e.g.	damaged,	risk damage,
	by normal	e.g. damage	damage equal	e.g. damage	e.g. damage
	day to day	equal to	to \$1 million)	equal to \$10	equal to \$25
	processes)	\$500k)		million)	million)
Likelihood	Consequences				
Very likely (>90	High	High	Extreme	Extreme	Extreme
chance)					
Likely (50-90%	Moderate	High	High	Extreme	Extreme
chance)					
Possible $(10-50\%$ Low		Moderate	High	Extreme	Extreme
chance)					
Unlikely $(3-10\%$	Low	Low	Moderate	High	Extreme
chance)					
Rare $(<3\%$	Low	Low	Moderate	High	High
chance)					

<span id="page-9-0"></span>Table 8.1 Risk assessment tool

that is able to turn off a solenoid valve at the  $CH_4$  source if  $CH_4$  is detected outside the cultivation system and an off-gas monitor system that is able to adjust the flow rate and ratio of  $CH_4$  and air/ $O_2$  through the mass flow controller to avoid flammable gas mixture (Hamer et al. [1967](#page-16-7)).

# 8.6 Cultivation of Methanotrophs

## 8.6.1 Operating Strategy of Methanotrophic Cultivation

Besides the safety management and control, one of the first decisions is the choice of the cultivation mode, which is essential to fermentation performances in terms of growth rate and productivity. The production of PHB and SCP by methanotrophs was a topic of interest for the last two decades. In those works, the researchers investigated batch, fed-batch, and continuous cultivation scheme for the methanotrophic culture. It is important to note that gaseous substrates  $(CH_4 \text{ and } O_2)$  need to be supplied constantly during the cultivation of methanotroph to achieve high cell densities and productivities.

Batch cultivation in a closed culture system is the simplest mode for the production of cell mass and desired products (Fei et al. [2011b](#page-15-15), [2013a](#page-16-8), [b](#page-16-9), [2014a](#page-16-10); Kennedy and Krouse [1999](#page-16-11)). Because nutrients (including  $CH_4$  and  $O_2$ ) are not added, nor waste products (other than  $CO<sub>2</sub>$ ) removed in the course of the entire fermentation, batch cultures can only allow a limited generation of microorganisms before growth stops. In the early stage of process development and research study, batch culture is a good choice due to the low capital investment and simple operation. Serum vials and screw-cap bottles have been widely used in the methanotrophic cultivation for strain screening and genetic manipulation works (Whittenbury et al. [1970\)](#page-17-3). In order to overcome the limitations of low cell density and productivity in batch cultivation, fed-batch cultures with controlled nutrient feeding are more suitable at large scale.

For the fed-batch culture of methanotrophs, one or more nutrients (especially  $CH<sub>4</sub>, O<sub>2</sub>$ , and nitrogen sources) are supplemented to the sealed container or bioreactor during cultivation, and the primary product remains in the bioreactor until the end of the fermentation (Fei et al. [2011a,](#page-15-16) [2015](#page-16-12), [2016;](#page-16-13) Kennedy and Krouse [1999;](#page-16-11) Park et al. [2014\)](#page-17-14). A higher cell density culture of methanotrophs can be achieved easily by only adding  $CH_4$  and  $O_2$  continuously (Fei et al. [2014c](#page-16-14)). In contrast to the closed/semi-closed system of batch/fed-batch culture, an open system with continuous cultivation provides steady-state cell growth rate by continuously supplying fresh medium as well as gaseous substrates such as  $CH<sub>4</sub>$  and  $O<sub>2</sub>$  for the methanotrophic cultivation.

This third option has several advantages over batch and fed-batch operations, including minimizing equipment downtime and production time lost due to the lag phase of the microbial culture. Shah et al. reported that both cell-specific growth rate and pMMO productivity were improved in a continuous culture of M. trichosporium OB3b (Park et al. [1992\)](#page-16-15). Although the limitation of  $CH_4$  and  $O_2$  can be solved in fedbatch and continuous cultures, the mass transfer of these gases in the aqueous phase is the greatest technical challenge for the methanotrophic cultivation at large scale.

## 8.7 Mass Transfer Efficiency

Gas-liquid mass transfer efficiency is one of the primary technology obstacles in aerobic microorganism cultivation. The mass transfer efficiency, which can be expressed as the volumetric mass transfer coefficient  $K<sub>L</sub>a$ , has been described by Klasson (Klasson et al. [1993\)](#page-16-16), as shown in the following equation:

$$
\gamma_{\rm S}^{\rm G} = \frac{K_{\rm L}a}{H} \left( P_{\rm S}^{\rm G} - P_{\rm S}^{\rm L} \right)
$$

where  $\gamma_G$  (mol/L/h) is the volumetric mass transfer rate of the gaseous substrate;  $P_S^G$ and  $P_S^{\rm L}$  (atm) are the partial pressures of the gaseous substrate in gas and liquid phase, respectively; and  $H$  (L $\times$ atm/mol) is Henry's law constant. According to the above equation, it is obvious that the partial pressure differential (between gas and liquid phase) is the main driving force for mass transfer and thus controls the availability of the substrate. Since  $H$  is a temperature-dependent constant, the mass transfer efficiency is affected by the culture temperature as well.

Because of the importance of gaseous substrates,  $CH_4$  and  $O_2$ , gas mass transfer efficiency is one of the most important parameters in methanotrophic cultivation. The low solubility of CH<sub>4</sub> (22.7 mg/L) and  $O_2$  (14.6 mg/L) in the water/fermentation

broth significantly limits their consumption and conversion efficiency for the cell growth of methanotrophs and calls for continuous transfer from the gas phase to the liquid phase. In order to improve the gas transfer rate, gas molecules need to travel into gas-liquid interface and subsequently diffuse efficiently through the culture media and then through the microbial cell surface, where they can participate in metabolic reactions. In an ideal situation, the bubble size of gaseous substrate should be small and uniform throughout the bioreactor. Therefore, significant effort has gone into the design of bioreactors that can provide a higher mass transfer rate by generating more gas-liquid-cell interfacial area from smaller and uniform gas bubbles.

#### 8.8 Bioreactor Design

Because both  $CH_4$  and  $O_2$  acting as the electron donor and acceptor are gaseous and the solubility of these two gases is extremely low in the culture medium, the cultivation of methanotrophs will be severely limited by the mass transfer efficiency. Therefore, the choice of the bioreactor and the operating strategy for methanotrophic cultivation is one of the most important decisions in regard to the bioprocess development, which determines the final product titer, carbon conversion efficiency, and productivity and whether sustainable, reliable performance can be achieved. In addition to the bioreactor design and culture strategy, other aspects (e.g., the composition of gas mixture) should also be considered for high mass transfer. The gas mixture topics to be considered are air/pure  $O_2$ , pure methane, natural gas, biogas, and source of natural gas and biogas to provide workable mixtures of CH4, higher alkanes,  $CO<sub>2</sub>$ , and minor contaminants such as  $H<sub>2</sub>S$ . Alvarez-Cohen reported that the highest cell growth of methanotrophs in a suspended cultivation is estimated at 130–200 mg/L/h with feeding  $CH_4/O_2$  mixture and 40–70 mg/L/h with feeding  $CH<sub>4</sub>/air mixture (Alvarez-Cohen 1993)$  $CH<sub>4</sub>/air mixture (Alvarez-Cohen 1993)$ . The use of the water-immiscible organic solvent for improving methane transfer rate has been tested to achieve a high cell density culture (Han et al. [2009](#page-16-17)). Recently, a method of adding nanoparticles with functional groups into gas fermentation system has been developed to exploit the extensive adsorption capability of the functionalized nanoparticles to increase the mass transfer coefficiency (Zhu et al. [2010](#page-17-15)).

#### 8.8.1 Continuous Stirred-Tank Bioreactors

Continuous stirred-tank bioreactors (CSTRs) are the most widely used bioreactors for growing methanotrophic bacteria. By increasing the agitation speed or modifying the bioreactor's impellor, smaller-size bubbles can be generated for increasing the gas-liquid interfacial area. However, high shear rates from excessive agitation could damage cells and inhibit growth rate, and power input for these strategies greatly

reduce the economic viability of industrial-scale fermentations. Consequently, microbubble sparging reactors, bubble column reactors, loop and airlift reactors, trickle-bed reactors, and membrane-based reactors are some of the other configurations developed for enhancing the mass transfer efficiency.

#### 8.8.2 Microbubble Sparging CSTRs

In order to generate smaller gas bubbles, a microbubble distributor has been developed and equipped for CSTRs. A microbubble sparger breaks up the gaseous substrate into extremely fine and, in some cases, surfactant-stabilized bubbles in a high shear zone, which have lower rise velocities for longer liquid retention time (Bredwell et al. [1999\)](#page-15-18). Compared with traditional CSTRs, the microbubble distributor provides a more energy efficient method to increase mass transfer efficiency.

## 8.8.3 Bubble Column Bioreactors

Bubble column bioreactors that are basically a cylindrical vessel with a gas sparging system at the bottom are widely used for industrial applications with large working volumes due to advantages in design and operation as compared to other reactors. Excellent heat and mass transfer, low maintenance requirements, and low operational costs are primary merits for methanotrophic cultivation. It was found that a bubble column reactor provided a higher conversion rate of methane than CSTR did, which resulted in a threefold greater propylene oxide titer (Hill et al. [1990](#page-16-18)).

#### 8.8.4 Loop and Airlift Bioreactors

Similar to the mechanical agitation of bubble column bioreactors, loop and airlift bioreactors are characterized by recirculating the liquid in a defined cyclic pattern through channels that connect the gas-liquid separator on top of the main bubbling section or riser to its lower part. The patterns of fluid circulation are designed specifically for enhancing the mass transfer. It was found that a forced-liquid vertical tubular loop bioreactor can provide a twofold cell density improvement compared with an external airlift loop reactor and a horizontal tubular loop bioreactor (Yazdian et al. [2012](#page-17-16)). Rahnama et al. developed and compared a bubble column and a vertical loop reactor for the PHB production from natural gas, and a PHB content of 51% w/w was achieved in their vertical tubular loop bioreactor (Rahnama et al. [2012\)](#page-17-17). UNIBIO, a company from Denmark, has also demonstrated commercial production of SCP as animal feed from methane using a patented U-loop bioreactor (UNIBIO [2011](#page-17-18)).

# 8.8.5 Trickle-Bed Bioreactor

Unlike bubble column bioreactors, gas and liquid in a trickle-bed bioreactor move cocurrently downward over a packed bed, or gas is fed countercurrently upward, while the liquid moves downward. Trickle-bed bioreactors have been mainly used for waste gas removal by methanotrophs (Reij et al. [1998](#page-17-19)). In the trickle-bed reactor, methanotrophic bacteria as catalysts are packed on the bed, which allows them to degrade the pollutants after the waste gas diffuses through the water phase. The mass transfer rate in the trickle-bed reactor is relatively dependent on the gas flow rate and operating temperature (Barton et al. [1999\)](#page-15-19).

## 8.8.6 Monolithic Biofilm Bioreactors

Monolithic biofilm bioreactors resemble trickle-bed reactors, in which the gaseous substrate is allowed to pass through a bed of carrier material with low-pressure drop. Microorganisms are present as a biofilm attached on the bed, which have been used in  $CH<sub>4</sub>$  bioconversion platforms. In the culture of methanotrophs, attached methanotrophic bacteria in the biofilm utilize  $CH<sub>4</sub>$  efficiently for the production of extracellular products and cell mass (Arcangeli and Arvin [1999](#page-15-20)).

#### 8.8.7 Membrane Biofilm Bioreactors

In a membrane biofilm bioreactor (MBfR), a biofilm is directly attached to a membrane instead of carrier media in monolithic biofilm bioreactors. Gases pass through the interior of a membrane to a biofilm growing on the membrane surface. Composite hollow fiber MBfRs have been proposed as technologically and economically feasible for nitrate and trichloroethylene (TCE) removal from contaminated waters with methane (Rishell et al.  $2004$ ). In the MBfRs, CH<sub>4</sub> and O<sub>2</sub> are diffused through the walls of membranes without forming bubbles. The methanotrophic biofilms on the outer wall of the membranes continuously consume gaseous substrates and pollutants for the development of a thick biofilm, which gave a TCE removal efficiency up to 90% (Clapp et al. [1999](#page-15-21)). This innovative MBfR offers a significant advantage in providing a high transfer rate of sparingly soluble gaseous substrates directly to the microorganisms, preventing losses to the atmosphere or effluent liquid and potentially reducing the gas volume (Henstra et al. [2007](#page-16-19)).

# 8.9 Conclusions and Future Perspectives

In this chapter, we have reviewed current knowledge of bioconversion of methane derived from either natural gas or biogas into high-value chemical products and biofuels instead of conventional methane application such as electricity generation or heating. Methane-derived products could replace a significant amount of petroleum-based commodities in the USA while at the same time capturing value from a wasted resource and mitigating climate change issues exacerbated by vented and flared natural gas. With the advent of economic and efficient tools of systems biology especially genomics, transcriptomics, and metabolomics, the potential to construct recombinant methanotrophic bacteria for methane-derived products is becoming much more accessible. Nevertheless, the challenges in moving from proof of concept to scale-up and commercialization still remain to be solved. The biggest technical challenges for methane bioconversion in commercial-scale application remain to be the mass transfer efficiency of poorly soluble gaseous substrates in the culture medium. Consequently, the development of bioreactors for methane fermentation has been the most popular research topic within recent years. CSTR, microbubble sparging CSTR, bubble columns reactors, loop reactors, airlift reactors, trickle-bed reactors, and membrane-based reactors are some of reactor types that have been investigated to improve mass transfer efficiency. The organic solvent and nanoparticle addition can also enhance the mass transfer but with a high cost. Meanwhile, efforts to optimize culture medium and fermentation conditions as well as exploring bioprocess technology are being pursued to enhance productivity and reduce production cost. Besides the consideration of the optimization of the bioprocess control, the safety assessment, risk management, and engineering and administrative control for  $CH<sub>4</sub>$  usage are also essential to the entire bioprocess.

#### Take-Home Message

- As a promising class of biocatalysts, methanotrophs are able to convert the  $CH<sub>4</sub>$ derived from natural gas or biogas into single-cell protein (SCP), biochemicals, and biofuels.
- Safety controls for  $CH_4$  use are available and are a key factor for the development of bioconversion of  $CH<sub>4</sub>$  at both laboratory and industrial scales.
- To improve the mass transfer efficiency of  $CH<sub>4</sub>$  in batch, fed-batch, and continuous culture modes for the methanotrophic cultivation, bubble column bioreactor, loop and airlift bioreactor, trickle-bed bioreactor, monolithic biofilm bioreactor, and membrane biofilm bioreactor have been explored and have shown promise in enhancing both methanotroph growth and product kinetics.

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