Chapter 2 Vitamin D and Autoimmune Diseases

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Abbreviations

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© Springer International Publishing AG, part of Springer Nature 2018 41 E.P. Liao (ed.), *Extraskeletal Effects of Vitamin D*, Contemporary Endocrinology, https://doi.org/10.1007/978-3-319-73742-3_2

Introduction

Autoimmune diseases are characterized by an abnormal response of the immune system toward antigens of the body's own cells due to lack of self-tolerance. The various diseases differ from one another in the specific immune cells involved in the autoimmune process, the self-antigen targeted by the affected immune system, and, consequently, their signs and symptoms.

There are more than 80 known autoimmune diseases; their prevalence in the general population is estimated to be 5–20%. Genetic, immunological, hormonal, and environmental factors which may contribute to or increase the probability of autoimmune disease have been researched in recent years. One of the environmental factors studied has been vitamin D [\[1](#page-9-0), [2](#page-9-1)].

In recent years, evidence has accumulated regarding vitamin D's immunomodulatory features and its significant role in various processes of the immune system [\[1](#page-9-0), [3\]](#page-9-2). Vitamin D receptors (VDR) were found in immune cells, including macrophages, dendritic cells, B cells, and T cells [\[1](#page-9-0), [4,](#page-9-3) [5](#page-9-4)]; in vitro studies demonstrated the vitamin's direct effect on their activity [\[6](#page-9-5)].

The vitamin D receptor is a nuclear hormone receptor. The gene for this receptor incorporates many polymorphisms, four of which have been extensively studied: *TaqI*, *BsmI*, *ApaI*, and *FokI*. These polymorphisms may affect the receptor's function and thereby affect the serum levels of active vitamin D [\[7](#page-9-6)]. Several of these polymorphisms were previously linked to autoimmune diseases [[8,](#page-9-7) [9\]](#page-9-8).

Modern living has shifted human activity away from the sunlight, and consequently exposed us to a variety of autoimmune diseases whose prevalence is constantly on the rise. Among the diseases found to be related to vitamin D deficiency are multiple sclerosis, type I diabetes, inflammatory bowel diseases, and rheumatoid arthritis [[1,](#page-9-0) [10,](#page-9-9) [11\]](#page-10-0).

Vitamin D Deficiency

The definition of vitamin D deficiency is controversial in the medical literature; an accepted definition is a serum level of 25-hydroxy-vitamin D (25(OH)D—the measured form of vitamin D in the blood) under 30–40 ng/mL, as lower levels lead to activation of the parathyroid glands, which results in bone absorption and damage [\[12](#page-10-1)] as well as increased risk for malignancies, cardiovascular diseases, and autoimmune

diseases [[13\]](#page-10-2). Some researchers further divide deficiencies into insufficiency (21– 29 ng/mL) and deficiency (under 20 ng/mL) [\[7](#page-9-6), [14](#page-10-3)[–16\]](#page-10-4); in some publications, the definition of vitamin D deficiency is even lower [[12](#page-10-1), [17](#page-10-5)]. An optimal level for the functioning of the immune system, within the normal range of serum vitamin D concentration, is yet to be determined [[7\]](#page-9-6).

Vitamin D deficiency is more common in patients with autoimmune diseases than in healthy subjects [[18–](#page-10-6)[21\]](#page-10-7). One risk factor for vitamin D deficiency is certain medications used for treatment of autoimmune diseases, such as glucocorticoids; kidney damage is another risk factor, one that can also be the result of autoimmune diseases [\[12](#page-10-1), [22](#page-10-8)]**.**

Systemic Lupus Erythematosus

Systemic lupus erythematosus (SLE) is a chronic systemic autoimmune disease. Its manifestations involve almost all systems of the body, including the musculoskeletal, cardiovascular, and central nervous systems, as well as the kidneys and the skin. Signs and symptoms of the disease vary between patients, as does the severity. SLE is more common in women than in men, in a ratio of about 7:1 [\[23](#page-10-9)].

For SLE patients, sunlight is a double-edged sword, while sunlight produces vitamin D, which has a beneficial immunomodulatory effect, ultraviolet (UV) exposure in lupus patients worsens the disease's manifestations, primarily the cutaneous symptoms; therefore sun avoidance is advised [\[24](#page-10-10)].

Vitamin D deficiency is more common in lupus patients than in healthy subjects [\[20](#page-10-11), [21\]](#page-10-7). Similar findings were demonstrated in teenagers and young adults diagnosed with juvenile-onset systemic lupus erythematosus (JoSLE) [\[25](#page-10-12), [26](#page-10-13)]. There are several possible explanations for the difference between healthy subjects and patients with lupus. As mentioned previously, SLE patients are instructed to avoid exposure to sunlight due to photosensitivity, contributing to their vitamin D deficiency. In addition, the disease causes renal dysfunction, which interferes with the activation of the vitamin. Lastly, medical treatments for lupus, such as steroids, can harm the metabolism of the vitamin [[4,](#page-9-3) [16,](#page-10-4) [27,](#page-10-14) [28\]](#page-10-15).

In various molecular studies, vitamin D was found to have an attenuating effect on various immune cells that participate in the pathogenesis of the disease, including neutrophils [[29\]](#page-10-16), dendritic cells [[30\]](#page-10-17), and T regulatory cells (Treg) [\[31](#page-11-0)[–33](#page-11-1)].

A study by Schoindre et al. examined the association between 25(OH)D levels and disease activity and flares. The investigators found an association between low levels of the vitamin and a higher SLE activity; however, they did not find a similar association with risk of flares during the 6 months following the measurement [[17\]](#page-10-5). A study by Lertratanakul et al. presented the complementing finding that higher vitamin levels are associated with a lower disease activity [[34\]](#page-11-2). Similar findings were also reported in studies performed on adults in Australia [[35\]](#page-11-3), India [[36\]](#page-11-4), Egypt [\[37](#page-11-5)], and Jamaica [\[15](#page-10-18)], as well in a study on adolescents [\[25](#page-10-12)]. These findings were also supported in recent literature reviews [[4,](#page-9-3) [16,](#page-10-4) [28,](#page-10-15) [38\]](#page-11-6). Vitamin D levels were also associated with other manifestations of the disease, such as cognitive impairment [[39\]](#page-11-7).

However, in other studies of more limited scale, the investigators were unable to show a correlation between vitamin D deficiency and disease activity measured in different disease activity scales [[14,](#page-10-3) [26](#page-10-13), [27](#page-10-14), [40](#page-11-8), [41](#page-11-9)]. No link was found between vitamin D levels and SLE flares, even when flares were self-reported [\[20](#page-10-11)].

In a literature review published in 2014, intervening variables for the association between vitamin D levels and SLE manifestations were analyzed. The most significant variables that were found include medications (hydroxychloroquine, steroids, and vitamin D supplements), body mass index (BMI), renal function, and proteinuria [\[40\]](#page-11-8).

The assumed link between vitamin D deficiency and lupus, which was supported by some studies, has led to attempts to better understand its nature and direction in interventional studies using vitamin D supplements. The conventional doses in the literature are 800, 2000, and 4000 IU per day, with some studies suggesting adjustment of the dose to patient baseline vitamin levels and individual risk factors (BMI, use of steroids, etc.) [[4,](#page-9-3) [7\]](#page-9-6).

In a large-scale cohort study, vitamin D supplementation was given to lupus patients with 25(OH)D levels lower than 40 ng/mL (50,000 IU of D2 per week and 200 calcium/D3 units each, two times a day). Improvement in proteinuria was shown in patients with higher 25(OH)D levels, and a correlation was observed between disease activity and the change in 25(OH)D values. Notably, this correlation was only seen in patients who were vitamin D deficient at the beginning of the study. No effect on disease activity was found after increasing the vitamin D levels above 40 ng/mL [[42\]](#page-11-10). Similar findings were shown in adolescents and young adults; vitamin D supplements (50,000 IU per week, for 24 weeks) decreased disease activity and improved fatigue [[43\]](#page-11-11).

In contrast to the previously mentioned study, a recent review of the literature did not reach definite conclusions regarding the effectiveness of vitamin D supplements on patients [[7\]](#page-9-6). A more recent interventional study, conducted in 2015, also failed to demonstrate vitamin D supplements effectiveness: although a high-dose regimen elevated serum levels of vitamin D, no significant difference was found between the treatment groups in disease activity and serological markers [\[44](#page-11-12)].

Currently, there is no across-the-board recommendation to give vitamin D supplements to lupus patients, apart from those patients that are treated with steroids, for whom a dose of 800–1000 units per day is recommended [\[45](#page-11-13)].

Type 1 Diabetes Mellitus

Type 1 diabetes mellitus, previously known as juvenile diabetes or insulin-dependent diabetes, is an autoimmune disease that is usually diagnosed during childhood or adolescence, typically before the age of 30. It is caused by an autoimmune response against beta cells in the pancreas, leading to their gradual destruction and to insulin deficiency $[46]$ $[46]$.

The association between diabetes type 1 and vitamin D deficiency was investigated in an epidemiological study. In this study, researchers investigated the relationship between ultraviolet B (UVB) irradiance in different locations worldwide and the incidence of type 1 diabetes in children. Researchers assumed that UVB irradiation, as the primary source of vitamin D in the human body, could be used as a rough estimation of the vitamin's circulating levels. They demonstrated a correlation between the disease's rates and the patients' distance from the equator: higher rates of the disease were found at higher geographic latitudes, in which UVB is scarce $(R^2 = 0.25, p < 0.001)$ [[47\]](#page-11-15).

In a cohort study from Finland, 10,366 children were followed for 31 years, from 1966 to 1997. The researchers wished to investigate whether vitamin D supplementation in early life influenced the risk for development of type 1 diabetes later in life. They found that regular administration of vitamin D supplementation (2000 IU per day) in the first year of life was associated with reduced risk for the disease)0.12, 95% CI 0.03–0.51 relative risk (RR((. They also found that children suspected of suffering from rickets, which is caused by vitamin D deficiency, during their first year of life were more susceptible to develop type 1 diabetes later on) RR 3.0, CI 1.0–9.0 ([\[48](#page-11-16)].

Similarly, a large-scale population-based study from multiple centers in Europe demonstrated a reduction in risk for type 1 diabetes in countries where vitamin D supplementation during infancy is prevalent [\[49](#page-11-17)]; a meta-analysis done on this subject reached similar conclusions [\[50](#page-12-0)]. A different study from Norway concluded that children whose mothers who suffered from vitamin D deficiency during their pregnancy were more than twice as likely to develop type 1 diabetes [[51\]](#page-12-1). These findings suggest the great importance of sufficient levels of vitamin D during infancy, neonatal, and even prenatal periods.

Vitamin D supplementation improved glycemic control of type 1 diabetes, as measured by Hemoglobin A1C levels, in pediatric patients who suffered from vitamin D deficiency [[52\]](#page-12-2). Normal vitamin D levels in diabetes patients were correlated with lower prevalence of macroalbuminuria, a marker for diabetic nephropathy, but not with other complications of the disease, such as retinopathy and cardiovascular diseases [\[53](#page-12-3)].

Animal models studies also support this association, and further demonstrate a direct effect of vitamin D on the pancreas and its function. Zeitz generated mice with inactive mutant VDR. Compared to wild type mice, the mutant mice had elevated glucose levels and reduced insulin secretion in response to glucose administration [[54\]](#page-12-4).

Multiple Sclerosis

Multiple sclerosis (MS) is an inflammatory disease that causes demyelination of the central nervous system. The disease usually has a relapsing and remitting course; its clinical manifestations include sensory disturbances, motor weakness, diplopia, gait and balance disturbance, vertigo, and bladder dysfunction [[55\]](#page-12-5). These symptoms are believed to be caused by inflammation, demyelination, and axonal damage [[56\]](#page-12-6).

An extensive review, done in 2008, suggests that distance from the equator is the strongest risk factor for MS, seemingly due to UV radiation exposure. Also, emigration from cold countries, with relatively low UV irradiation (e.g., United Kingdom), to sunny ones (e.g., South Africa) decreased the risk for MS [\[57](#page-12-7)]. A seasonal pattern was described for the clinical course of MS, which, at least in part, can be explained by vitamin D levels [[58\]](#page-12-8).

Munger et al. analyzed data from two very large cohort studies of women: "Nurses' Health Study" (92,253 participants) and "Nurses' Health Study II" (95,310 participants). Dietary and supplementary vitamin D intake was assessed at baseline and every 4 years. The study found that the risk for developing MS was lower in women with high vitamin D intake, compared to women with low intake (RR 0.67, 95% CI 0.40–1.12; $p = 0.03$), and vitamin D supplementation was inversely associ-ated with MS risk. Notably, high dietary intake of vitamin D alone did not generate similar association [\[59](#page-12-9)].

MHC (major histocompatibility complex) class II are a family of molecules that is found in specific cells of the immune system known as antigen-presenting cells. Genetic variations in these molecules greatly influence the function of the immune system and were previously associated with autoimmunity [[60\]](#page-12-10). MHC class II allele HLA-DRB1*15, which was previously linked to increased risk for MS, is relatively common among individuals of Northern European descent [\[61](#page-12-11)]. Ramagopalan et al. wished to demonstrate a mechanism by which genetic and environmental factors of MS interact. In their molecular study, they showed a direct impact of vitamin D on HLA-DRB1*1501, as the vitamin influences its expression in lymphocytes [\[62](#page-12-12)].

Vitamin D was reported to be an early predictor of MS activity and progression: slower progression and low disease activity were seen in patients with high serum vitamin D levels at the time of MS diagnosis. An increase of 50 nmol/L in average serum vitamin D levels in the first year from diagnosis was associated with less active lesions in magnetic resonance imaging (MRI) scans and lower relapse rate [\[63](#page-12-13)[–65](#page-12-14)].

In an interventional study, increased levels of transforming growth factor $β1(TGF-β1)$ were measured in response to vitamin D supplementation in MS patients after 6 months' treatment [[66\]](#page-12-15).

Inflammatory Bowel Diseases

Inflammatory bowel diseases (IBD) are characterized by chronic inflammation of the gastrointestinal tract. The underlying mechanism for these diseases remains unknown, although the mechanism seems to involve overactivation of the immune system in response to certain antigens in individuals with genetic predisposition. Clinical manifestations of IBD vary and can include abdominal pain, diarrhea, weight loss, and anemia. There are two main diseases in this category: Crohn's disease (CD) and ulcerative colitis (UC); the two differ in their clinical, histological, and epidemiological characters [\[67](#page-12-16), [68](#page-12-17)].

Vitamin D deficiency was found in 49.8% of IBD patients in a retrospective cohort study and severe deficiency in 10.9% of them. Moreover, low levels of vitamin D were associated with higher disease activity and lower quality of life in patients with CD (though not patients with UC) [\[69](#page-12-18)]. One factor that may mediate this association is malabsorption, a common condition in IBD patients, which can influence the absorption of vitamin D from food. However, the main source for vitamin D in the human body is its synthesis in the skin; therefore the influence of malabsorption as a mediator is limited. Another possible mediator for this association is the regulatory effect of vitamin D on the innate immune system's response to intestinal microbiota; the intestinal microbiota is one of the environmental factors that has been associated with IBD in recent year [\[70](#page-12-19)].

To examine the relationship between vitamin D and IBD, a team created an experimental animal model of IBD by creating interleukin 10 (IL-10) knockout (KO) mice, who developed the inflammatory bowel disease spontaneously. They created three groups: a control group, an experimental group that was vitamin D deficient, and an experimental group that was deficient early in life, but later supplemented with the vitamin. Both experimental groups showed severe clinical manifestations of the disease, however, vitamin D supplementation ameliorated symptoms of the disease. Mice in the control group did not develop the disease [[71\]](#page-13-0). Other supporting evidence for this association can be found in another animal model experiment, in which IL-10 KO mice, as well as VDR KO mice, developed severe IBD with high mortality rates [\[72](#page-13-1)].

Inflammatory bowel diseases have extra intestinal manifestations and a deteriorating effect on bone health; IBD patients are more prone to suffer from osteoporosis and fractures. One suggested explanations for these issues is malabsorption of calcium from digested food. It has also been suggested that inflammatory mediators impact the activity of osteoblasts or bone-forming cells [\[73](#page-13-2)]. Hence, in IBD patients, vitamin D supplementation importance is doubly important, both for modulation of the immune system and for preserving bone health.

Rheumatoid Arthritis

Rheumatoid arthritis (RA) is a chronic and systemic autoimmune disease that is usually characterized by symmetric polyarticular inflammation. Non-articular involvement of the disease can be seen in almost all systems of the body, including the skin, eye, lung, heart, kidney, and blood vessels.

Vitamin D deficiency is common among male RA patients, and even more so in patients who developed anti-cyclic citrullinated peptide antibodies—a specific, yet nonsensitive, marker of the disease [\[74](#page-13-3)].

When vitamin D levels and RA symptoms were compared between populations of Northern and Southern Europe, an inverse association was found between vitamin D levels and RA disease activity. Notably, this association was found only during the summer months [[75\]](#page-13-4).

A cohort study, comprised of 29,368 women over the course of 11 years, showed that intake of vitamin D supplementations was inversely associated with the risk of RA $(p = 0.03)$, while dietary intake of vitamin D showed a similar trend but did not reach significance ($p = 0.16$) [[76\]](#page-13-5). In a different study that analyzed data from the "Nurses' Health Study," no association was found between dietary intake of vitamin D and RA risk [[77\]](#page-13-6).

All three studies imply a fundamental difference between dietary and supplementary intake of vitamin D. This difference could be explained by the retrospective design of the studies and the methodological difficulty in assessing dietary intake of vitamin D, in contrast to intake of supplements.

Psoriasis

Psoriasis is a chronic inflammatory skin disorder. One of the disease's manifestations is hyperproliferation of the skin, which results in erythematous plaques with or without silver scale over them.

Lower levels of vitamin D deficiency were observed in psoriasis patients compared to healthy control patients in a case-control study by Orgaz-Molina et al. [[78\]](#page-13-7). Also, VDR polymorphism in *ApaI* was associated with psoriasis, as well as with early onset of the disease [\[79](#page-13-8)].

In addition to the immunomodulatory effect of vitamin D, another important feature of the vitamin was discovered—as an antiproliferative agent, specifically in keratinocytes. Previous studies demonstrated that keratinocytes have VDR and that activated vitamin D inhibited their proliferation and stimulated their maturation in vitro [[80\]](#page-13-9), making activated vitamin D and its analogues good therapeutic agents for psoriasis [\[81](#page-13-10)]. Therefore, topical use of vitamin D analogs (calcipotriol, calcitriol, and tacalcitol) is an essential part of psoriasis treatment [\[82](#page-13-11)].

Other Autoimmune Diseases

Vitamin D deficiency was previously associated with several other autoimmune diseases.

Primary biliary cholangitis (primary biliary cirrhosis—PBC) and autoimmune hepatitis (AIH) are chronic autoimmune inflammatory diseases of the liver. Different genetic polymorphisms of VDR were associated with both diseases, *BsmI* polymorphisms in PBC patients (χ^2 = 9.49, *p* = .009) and *FokI* in AIH patients (χ^2 = 9.71, *p* = .008) [\[8\]](#page-9-7).

VDR polymorphism (*BsmI* and *TaqI*) was also associated with autoimmune thy-roid diseases (AITD) [[83\]](#page-13-12). Moreover, vitamin D deficiency was found to be more common among patients with autoimmune thyroid diseases compared to patients with non-autoimmune thyroid diseases and to healthy controls; vitamin D deficiency also correlated with presence of antithyroid antibodies [\[84](#page-13-13)].

Low levels of vitamin D were inversely associated with disease's severity, both in Behçet's disease, a type of immune-mediated small-vessel systemic vasculitis [\[85\]](#page-13-14), and in systemic sclerosis (SS), an autoimmune connective tissue disease [\[86\]](#page-13-15). Vitamin D levels were found to be lower in diffuse cutaneous type of SS (dcSSc) compared to limited cutaneous type (lcSSc) and negatively associated with patients' skin thickness [\[87\]](#page-13-16).

Possible Underlying Mechanism

In the past decades, since the discovery of VDR on activated T cells [[5\]](#page-9-4), there has been growing interest in the various effects of vitamin D on T cells.

Type 1 T helper cells (Th1) and their subset of cytokine, including IL-2, IL-12, interferon gamma (IFN- γ), and tumor necrosis factor (TNF), have been previously associated with autoimmune processes. Specifically, Th1 are involved in organspecific autoimmune diseases [[88,](#page-13-17) [89](#page-13-18)] like many of the diseases mentioned above. Another subset of T helper cells, Th17, which was discovered more recently, also has a crucial role in various autoimmune conditions [\[90](#page-13-19)].

Vitamin D was shown to have an inhibitory effect on Th1 cells [[91\]](#page-14-0), leading to decrease in Th1 cytokine production [\[18](#page-10-6), [91,](#page-14-0) [92](#page-14-1)]. Some studies also suggest vitamin D promotes an immunologic shift toward Th2, by increasing Th2 cytokines [\[91](#page-14-0), [93\]](#page-14-2); however, there are conflicting reports on this matter, as some studies suggest vitamin D has an inhibiting effect on Th2 cytokines as well [\[94](#page-14-3)]. Th17 cells are also influenced by vitamin D; the activated form of vitamin D was shown to modulate Th17 activity and ameliorate symptoms of related autoimmunity [\[95](#page-14-4)].

T regulatory cells (Treg) are a subset of CD4+ T cells that also express CD25. They have an important role in modulation of the immune system, specifically in autoimmune diseases that are mediated by autoreactive T cells [\[96](#page-14-5)]. Previous studies have found that vitamin D increased the population of Treg cells, both in animal models [[97\]](#page-14-6) and in humans [[92,](#page-14-1) [98\]](#page-14-7).

Evidence suggests B cells are also affected by vitamin D. In an in vitro study, vitamin D inhibited autoantibody production and secretion [\[99](#page-14-8)].

Dendritic cells are antigen-presenting cells that activate naïve T cells and stimulate B cells for growth and differentiation [\[100](#page-14-9), [101\]](#page-14-10). Differentiation and maturation of dendritic cells is modulated and inhibited by vitamin D, as well as their activity, which results in increased tolerance in autoimmune conditions [\[102](#page-14-11)[–104](#page-14-12)].

Conclusion

Maintaining normal levels of vitamin D is important as vitamin D has a crucial role in regulation of the immune system.

Vitamin D deficiency is common in patients with autoimmune diseases. Epidemiologically, this deficiency was found to be a risk factor for various diseases, including MS, type 1 diabetes, IBD, and RA.

Many molecular studies concluded that through different mechanisms, vitamin D has an immunomodulatory effect on various cells of the immune system; however, the interaction between those mechanisms is yet to be determined. Although great progress has been made on this subject in recent years, there are still some missing parts of the puzzle.

Clinically, vitamin D deficiency affects the activity of numerous autoimmune diseases outcomes: end organ damage in SLE patients, glycemic control in type 1 diabetes patients, MRI-detected brain lesions and relapse frequency in MS patients, disease activity and quality of life in IBD patients, disease activity and serologic markers in RA patients, and others.

However, interventional studies done mostly on SLE patients failed to reach definite conclusions regarding treatment with vitamin D supplements for the disease, except for those performed on patients treated with steroids. Determining the safety, efficacy, and establishment of the exact doses for vitamin D treatment in patients with autoimmune diseases requires additional extensive interventional studies. Future studies should address possible intervening variables such as initial and final levels of the vitamin, VDR genetics, and sun exposure. However, since vitamin D has little, if any, known side effects in autoimmune patients, and its cost is rather low, vitamin D treatment should be considered based on existing evidence.

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- 2 Vitamin D and Autoimmune Diseases
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