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Introduction

Cardiac toxicity by chemotherapeutic agents was first described more than 50 years ago, after the introduction of Daunomycin as an antimetabolic agent [1]. The early recognition of heart failure as a side effect of anthracyclines, led the oncologists to limit its cumulative dose, and prompted them to serially monitor heart function looking for left ventricular dysfunction [2]. Initial tools included voltage reduction in electrocardiograms and measurement of systolic ejection time assessed by “sphygmo-recording” [3]. Nevertheless, endomyocardial biopsy and the echocardiographic evaluation of the left ventricular ejection fraction (LVEF) evolved as the methods more commonly used for the identification of anthracycline-induced cardiomyopathy [4, 5]. The importance of endomyocardial biopsy decreased over time due to cost, risks inherent to its invasive nature and more importantly the important advances made in noninvasive cardiac imaging. As a result, noninvasive calculation of LVEF became the most widely used tool for monitoring cardiac function during and after cancer therapy [6].

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Side Effects of Chemotherapeutic Agents and Cardiac Complications Following Chemotherapy

Chemotherapeutic agents may affect the cardiovascular system in different ways. Table 38.1 summarizes the most common side effects.

Historically, the term cardio-toxicity was used indistinctly to refer to all types of cardiotoxicity, although more commonly referring to left ventricular dysfunction.

The expert consensus on the multi-modality imaging of the adult patient during and after cancer therapy coined the new term of

Table 38.1 Most common side of effects of different chemotherapeutic agents in the cardiovascular system

Agent	Most frequent toxicity
Anthracyclines	Heart failure, myopericarditis, arrhythmias
Trastuzumab	Heart failure
Cyclophosphamide	Heart failure, myopericarditis, arrhythmias
Taxanes	Heart failure, ischemia, arrhythmias
Fluoracil	Myocardial ischemia and infarction
Cisplatin	Hypertension
Methotrexate	Ischemia, arrhythmias
Tamoxifen	Venous thrombosis
Radiotherapy	Restrictive heart disease, accelerated atherosclerosis, pericardial effusion

cancer therapeutics related cardiac dysfunction (CTRCD) to specifically refer to left ventricular dysfunction caused by chemotherapeutic agents. CTRCD was defined as a confirmed drop (by repeated cardiac imaging performed 2–3 weeks following the study showing the initial drop) of greater than 10 absolute points of LVEF to a value less than 53%. Drops may be further categorized as symptomatic or asymptomatic, or with regard to reversibility, i.e., reversible (to within 5% points of baseline) partially reversible (improved by at least 10% points, but remaining more than 5% points below baseline) irreversible (remaining within 10% points of the nadir) or indeterminate (patient not available for re-evaluation due to death or refusal to undergo further imaging [7]).

Classification of Cardio-Toxic Drugs

Although there are more than 200 chemotherapeutic agents with different mechanisms of action and toxicity, for the sake of day to day clinical practice the expert consensus breaks CTRCD down in two types: Type I and II. Table 38.2 summarizes the differences using anthracyclines and trastuzumab as the prototypes for type I and II CTRCD. The understanding of the mechanisms of toxicity is essential, as it will give the clinicians the knowledge needed to know what to look for during surveillance of toxicity.

Mechanisms of Toxicity

Anthracyclines

Anthracycline cardiac toxicity has been for long attributed to the production of reactive oxygen species. Nevertheless, in the last decade the role of the enzyme topoisomerase 2 has gained significant relevance [8]. There are two topoisomerase 2 iso-enzymes in mammal species: Top2 α and Top2 β . It has been demonstrated that the anti-tumoral effect of doxorubicin is mediated by the formation of a ternary complex between Top2 α , doxorubicin and the DNA double helix [9]. Top2 α is only expressed in cells with a high mitotic rate like neoplastic cells, which explains the high efficacy of anthracyclines. In contrast, Top2 β is only expressed in normal tissue like cardiac cells. It was recently demonstrated in a Top2 β knockout animal model that dexrazoxane, a known cardio-protectant against doxorubicin cardiotoxicity, is active through the inhibition of Top2 β , which supports the role of Top2 β in anthracycline-induced CTRCD [10].

The incidence of heart failure fluctuates between 2.2 and 5.1% depending on the series [11]. The curves elaborated by Von Hoff and Swain showed that heart failure incidence is relatively low until a cumulative dose of 450 mg/m² is achieved [12]. This finding promoted the common belief that CTRCD was unlikely with doxorubicin doses lower than 450 mg/m².

Table 38.2 Characteristics of type I and type II CTRCD

	Type I	Type II
Characteristic agent	Doxorubicin	Trastuzumab
Clinical course and typical response to antiremodeling therapy (β -blockers, ACE inhibitors)	May stabilize, but underlying damage appears to be permanent and irreversible; recurrence in months or years may be related to sequential cardiac stress	High likelihood of recovery (to or near baseline cardiac status) in 2–4 months after interruption (reversible)
Dose effects	Cumulative, dose related	Not dose related
Effect of rechallenge	High probability of recurrent dysfunction that is progressive; may result in intractable heart failure or death	Increasing evidence for the relative safety of rechallenge (additional data needed)
Ultrastructure	Vacuoles; myofibrillar disarray and dropout; necrosis (changes resolve over time)	No apparent ultra structural abnormalities (though not thoroughly studied)

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Nevertheless, animal data reported by Neilan et al. showed that CTRCD is produced with doses as low as 20 mg/kg of doxorubicin, after detecting a 75-fold increase in cardiac cell apoptosis only 24 h after exposure [13]. The actual theory of anthracycline-induced CTRCD, supports the concept of an early and cumulative-dose dependent myocyte apoptosis. The later drop in LVEF follows the heart failure bio-mechanic model associated with negative left ventricular remodeling with subsequent secondary neuro-hormonal activation [14]. Anthracycline-induced CTRCD has been linked to a very poor prognosis, with 2-year mortality as high as 60% [15].

Trastuzumab

The amplification of the HER2/neu (ErbB2) gene identifies a group of breast cancer patients with very poor prognosis. Trastuzumab (Herceptin[®]) is a humanized monoclonal antibody that targets the tyrosine kinase receptor encoded by ErbB2 gene [16]. The development of this monoclonal antibody has been one of the most significant breakthroughs in the history of translational research after its approval in 1998. Multiple large-scale studies have proven that trastuzumab significantly reduces the risks of recurrence and early death in patients with HER2-positive breast cancers. However, symptomatic heart failure has been reported in 4% of treated patients and sub-clinical LV dysfunction in up to 10% of treated patients [17].

Combined Chemotherapy

The addition of trastuzumab to anthracyclines therapy increases the toxicity risk. Slamon et al. compared three chemotherapy regimens in patients with metastatic HER2 positive breast cancer, reporting a rate of 27% drop in LVEF in the group of combined trastuzumab-anthracycline, 13% in the trastuzumab-paclitaxel protocol and 8% in the trastuzumab free group. The incidence of severe cardiac dysfunction with

New York Heart Association (NYHA) class III or IV was the highest with 16%, in the patients who received trastuzumab and anthracycline, compared to 3% in patients who received anthracyclines without trastuzumab and 2% of those who received trastuzumab and paclitaxel [18].

Animal studies done using a cardiac stress model mediated by hemodynamic overload (aorta ligation), showed that ErbB2 knockout mice were significantly more susceptible to cardiac toxicity and heart failure. These findings support the crucial role of the ErbB2 as a cardio-protective pathway, that permits myocyte survival during acute stress signaling activation [19]. A blockade in this cardio-protective pathway after anthracycline exposure, creates the substrate for apoptosis during subsequent exposure to trastuzumab. This premise is consistent with clinical findings showing evidence of increased CTRCD after exposure to trastuzumab in patients with underlying myocardial disease in which the cardiac stress signals are presumably already activated [17].

Methods for Early Detection

LVEF is a major predictor of outcome in CTRCD, and the most common method used to evaluate cardiac function at baseline and during cancer treatment [6]. Although different imaging modalities have been used, LVEF is most commonly evaluated with echocardiography [20].

2D Echocardiography

Echocardiography has been established as the cornerstone in the imaging evaluation of patients in preparation for, during, and after cancer therapy. This is due to its wide availability, versatility, lack of radiation exposure, and low cost when compared to other modalities (nuclear medicine, magnetic resonance imaging). In addition to the evaluation of left and right ventricular dimensions, systolic and diastolic function at rest and during stress, it also allows a comprehensive evaluation of cardiac valves, aorta and pericardium, making it the imaging modality

of choice in the evaluation of the cancer patient [21–25]. However, the technique is affected by the quality of the acoustic window, the use of geometric assumptions in the calculation of left ventricular (LV) volumes, load dependency and operator expertise [26]. Thavendiranathan et al., reported that the 95% upper confidence interval for 2D LVEF is 10% when sequentially following cardio-oncology patients. This is problematic as this is the magnitude of change in LVEF that is looked for to adjudicate CTRCD [7, 27]. Additionally, the reported intra and inter-observer variability is significantly high, with ranges that fluctuate between 6–11% and 8–16% respectively, depending on the series [28].

Contrast Enhanced Echocardiography

The use of contrast agents is crucial for the assessment of LV volumes and function when the endocardium is not well defined, as it opacifies the LV and enhances the endocardial border definition [29]. This is particularly important as endocardial border dropout is frequently encountered in the imaging of patients with breast cancer due to prior mastectomy, chest radiation, insertion of breast expanders and breast reconstruction surgery. The American Society of Echocardiography and the European Association of Cardiovascular Imaging recommend the use of ultrasonic contrast agents when ≥ 2 contiguous LV segments are not seen on non-contrast images [7, 30, 31]. Nahar et al. compared LVEF quantification by radionuclide angiography with four different 2D echocardiography techniques (fundamental, fundamental with contrast, harmonic, and harmonic with contrast), reporting incremental correlation with each method. However, harmonic imaging with contrast provided the closest correlation [32]. Also, when compared with standard 2D imaging, contrast enhancement increased the feasibility of biplane volume analysis from 79 to 95%, and narrowed the limits of LVEF agreement between echo and CMR from -18.1

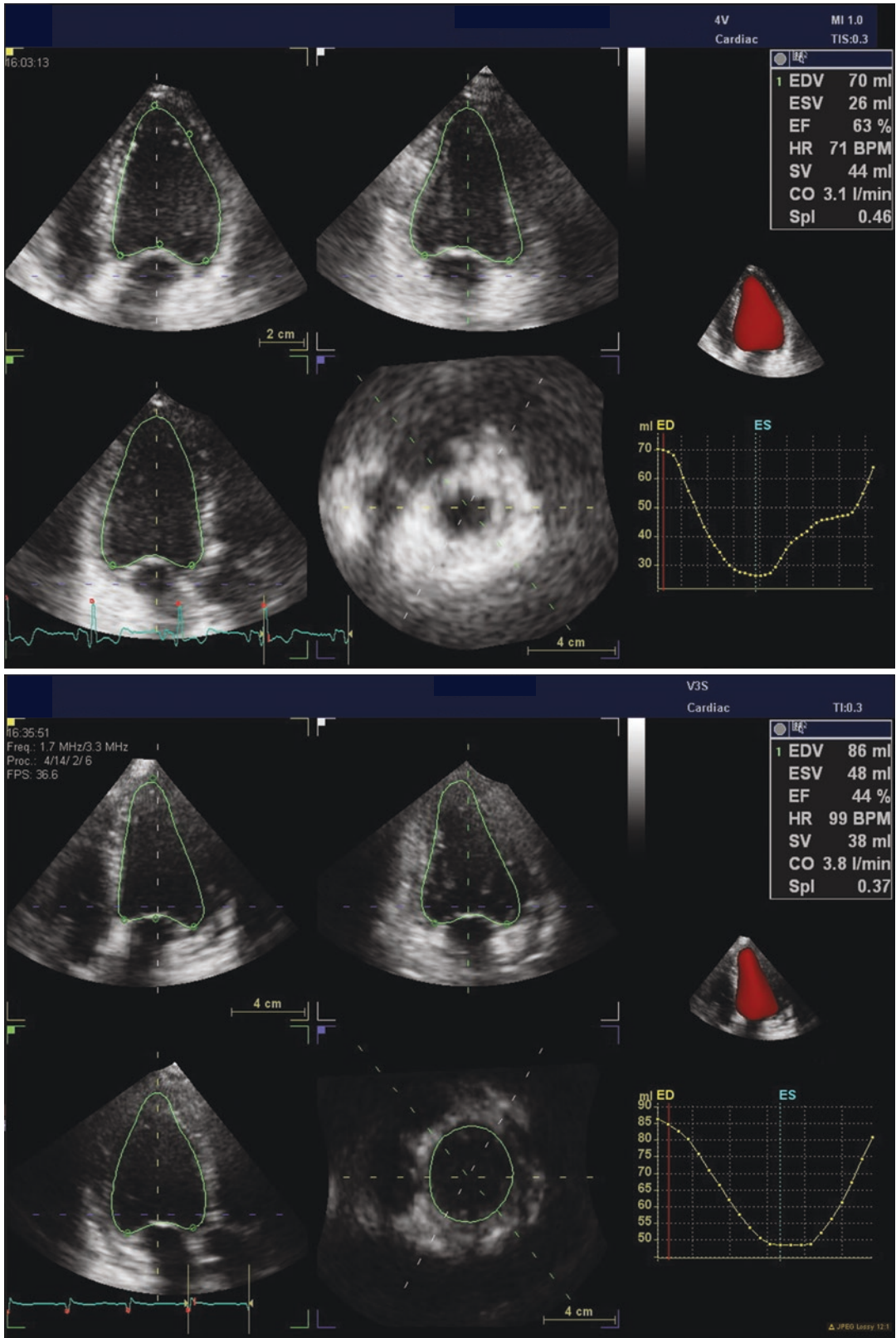
to 8.3% to -7.7 to 4.1% [33]. Intra and inter-observer reproducibility also benefited from contrast use, achieving correlation indices (r) of >0.9 [29].

To obtain the best enhancement echocardiographic contrast, it is crucial to optimize the 2D images in the 4-chamber view; bringing the mechanical index to 0.15–0.3 to decrease the amount of bubble destruction and adjust the probe frequency for best penetration. Once the injection of contrast starts, the rate of injection needs to be decreased if attenuation is present or increased swirling is observed.

3D Echocardiography

The main pitfalls of 2D echocardiography in the calculation of ventricular volumes and LVEF quantification are the geometrical assumptions made, and the common foreshortening of the left ventricle. Real time 3D echocardiography emerged as an alternative because of its ability to capture full ventricular volumes with no geometrical assumptions and allowing easy identification of the true apex of the heart [34]. Jacobs et al. compared the accuracy of 2D and 3D imaging against CMR for measuring end diastolic volume, end systolic volume, and LVEF. 3D measurements had a higher correlation with CMR ($r = 0.96, 0.97$ and 0.93 for EDV, ESV and EF respectively) [35].

Real time 3D has also proven to be a reproducible tool, making it the ideal method for the sequential calculation of LVEF required in chemotherapy patients. A comparison of four techniques (2D bi-plane, 2D tri-plane and 3D echocardiogram with and without contrast) in patients undergoing chemotherapy and stable LV function showed that non-contrast 3D volume and LVEF had the best intra and inter-observer as well as the lower test-retest variability giving the operator the possibility of identifying changes of 6 absolute point of LVEF (below the 10 point threshold that would adjudicate CTRCD). 3D LVEF provided an upper CI limit of 4.9 [27] (Fig. 38.1).



Contrast Enhanced 3D Echocardiography

There is contradictory data regarding the advantages of contrast enhanced 3D echocardiography, currently preventing its use on daily clinical practice.

Corsi et al. compared contrast 3D imaging with CMR, reporting not only an improvement in the accuracy and reproducibility of LV volume measurements in patients with poor image quality, but also an enhancement in the assessment of regional wall motion assessment from 3D data-

ets [36]. In contrast, Jenkins et al. reported that contrast enhanced 3D echocardiography was not superior to a contrast 2D approach for LVEF measurement when compared to CMR. However contrast 3D was superior to other contrast and non-contrast modalities in patients with previous infarction [37]. Following the same line, a recent study performed in cancer patients undergoing chemotherapy did not show advantage of contrast 3D over standard 3D imaging for determination of LV volumes and LVEF in terms of reproducibility and temporal variability [27] (Fig. 38.2).

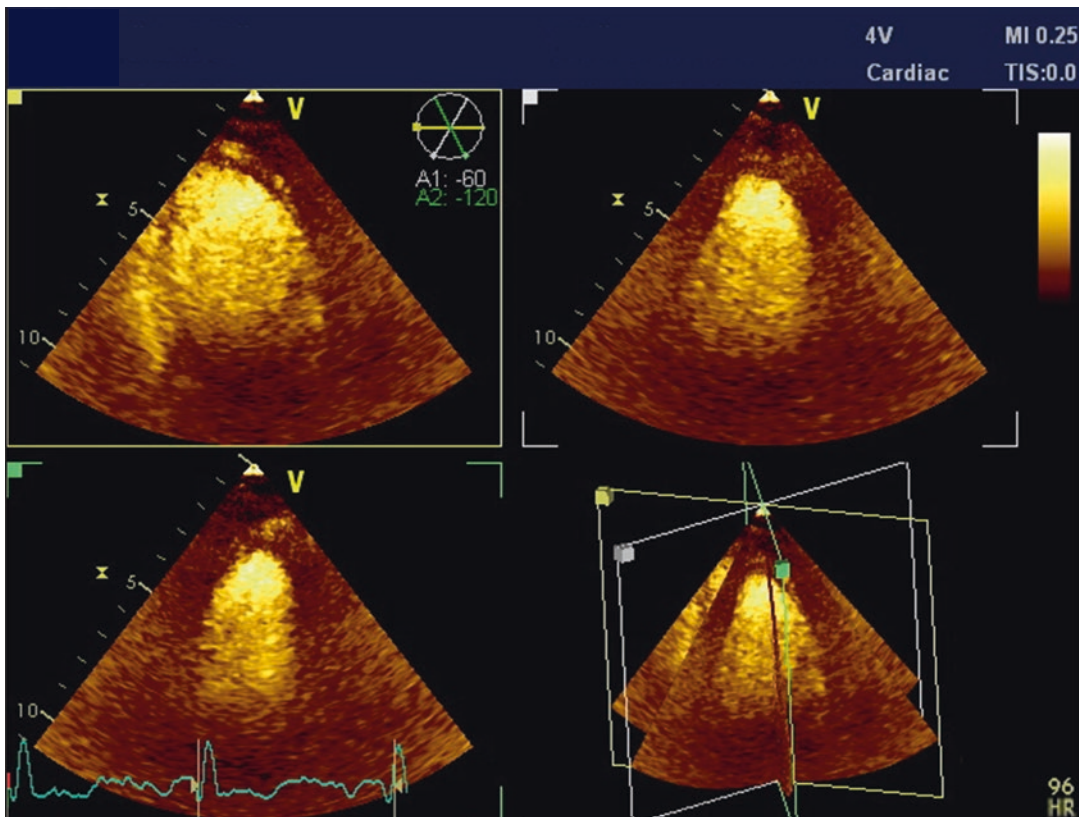


Fig. 38.2 3D LVEF with echocardiographic contrast enhancement

2D Based Left Ventricular Strain

Although LVEF is the most common method of monitoring cardiac function during cancer treatment, it is not optimal due to its inherent variability (>10%) [27], and as a result inability to detect early subtle changes in ventricular function [38]. Evaluation of left ventricular mechanics using 2D speckle-tracking have emerged as a reproducible and more accurate method for evaluation of systolic function [39–41], and the detection of detect subclinical left ventricular dysfunction [42–45].

Global longitudinal strain (GLS) is calculated as the percentage of shortening or lengthening of an individual segment and is reported as a mean of the 18 cardiac segments.

GLS also has a lower inter-observer variability as reported by Marwick et al. [46]. The authors studied the GLS inter-observer variability in 242 normal subjects, reporting a mean difference 0.24% and a 95% CI of –9.6 to +9.7%.

GLS has proven to be an early independent predictor of subsequent reduction in LVEF after exposure to chemotherapeutic drugs. Negishi et al. evaluated the optimal myocardial deformation index to predict CTRCD at 12 months in 100 breast cancer patients that received chemotherapy (46 with simultaneous anthracyclines and trastuzumab). They assessed them at baseline, 6 months and 12 months and found that a 11% drop in GLS (95% CI, 8.3–14.6%) was the strongest predictor of later cardiotoxicity with an area under the curve of 0.87, a sensitivity of 65% and a specificity of 94% [47] (Fig. 38.3).

In clinical practice, GLS should be used in all patients exposed to cardio-toxic regimens where available. When baseline strain measurement is available a GLS reduction $\geq 15\%$ when compared to baseline is considered of clinical significance.

If a baseline strain assessment is not available, the reader is referred to the JUSTICE study defining abnormality as 2 SD below the mean for vendor, gender and age (Table 38.3) [48].

Stress Echocardiography

Exercise and dobutamine stress echocardiography have been used in the identification of anthracycline-induced CTRCD. In 31 cancer patients studied before, during and after 6 months chemotherapy therapy, low dose dobutamine did not provide additional value for the early detection of cardiotoxicity [49, 50]. A prospective study of LV contractile reserve by repeated low-dobutamine stress echocardiograms in 49 women with breast cancer showed that a reduction in LVEF with dobutamine >5%, appeared to be a threshold that discriminate the risk of a future drop in LVEF [51].

It is reasonable to assess the presence of ischemia in patients with risk factors or known history of CAD who will receive regimens associated with ischemia induction (i.e. 5FU and anti-VEGF inhibitors).

Cardiac Complications Following Radiotherapy

Evidence of dose dependent increase in cardiovascular disease after chest radiotherapy has been documented in several studies, especially in the field of breast cancer and lymphoma. Ionizing radiation causes micro and macro-vascular damage in all cardiac tissues (pericardium, valves, heart muscle and coronary arteries). Primary radiation fibrosis is not related to the primary effect of the radiation, but rather to a reparative response of the heart tissue to injury in the micro-vascular system.

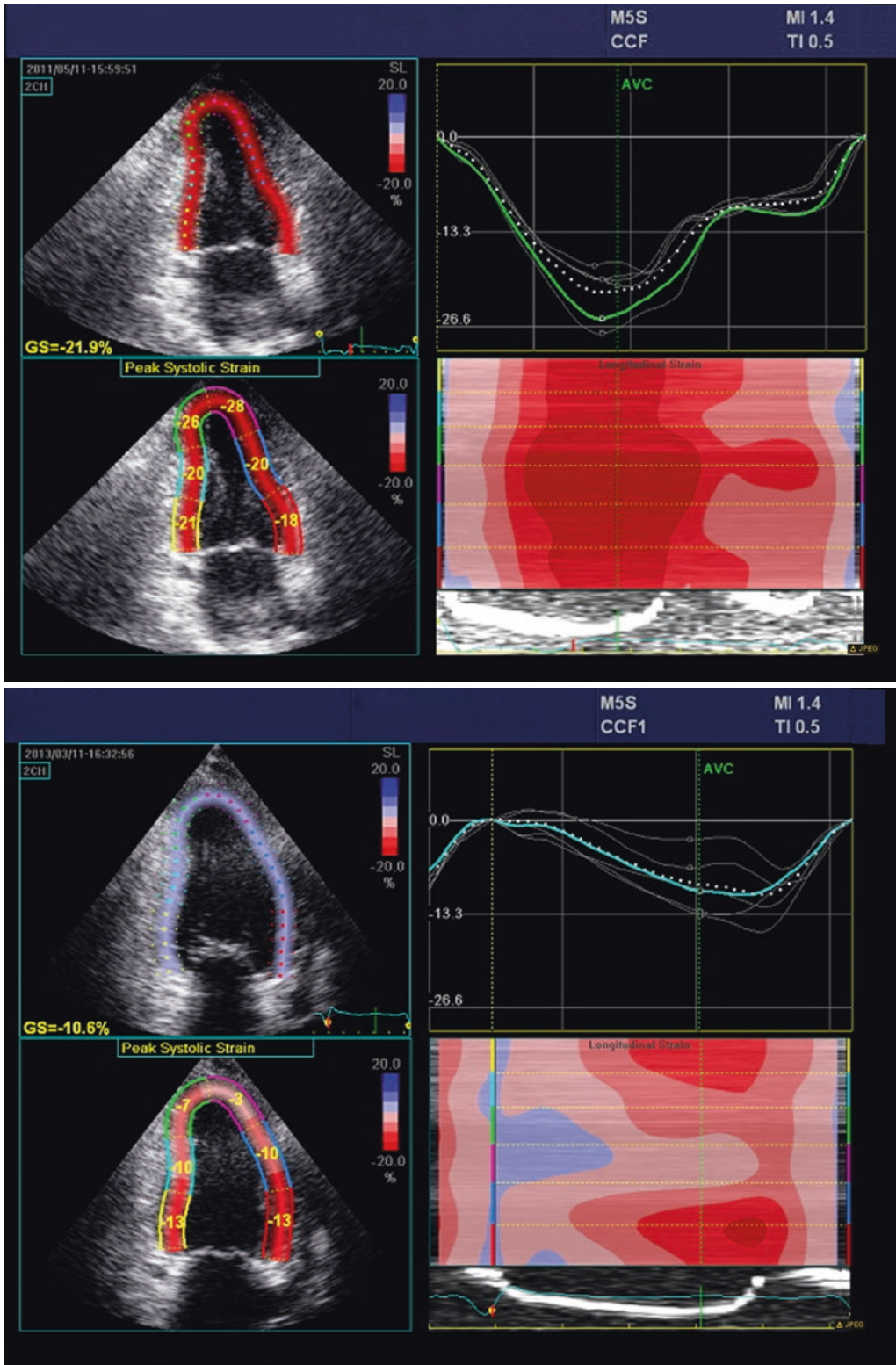


Fig. 38.3 Global longitudinal strain pre and post exposure to anthracyclines. Sub-clinical LV dysfunction is present as GLS has fallen 46%

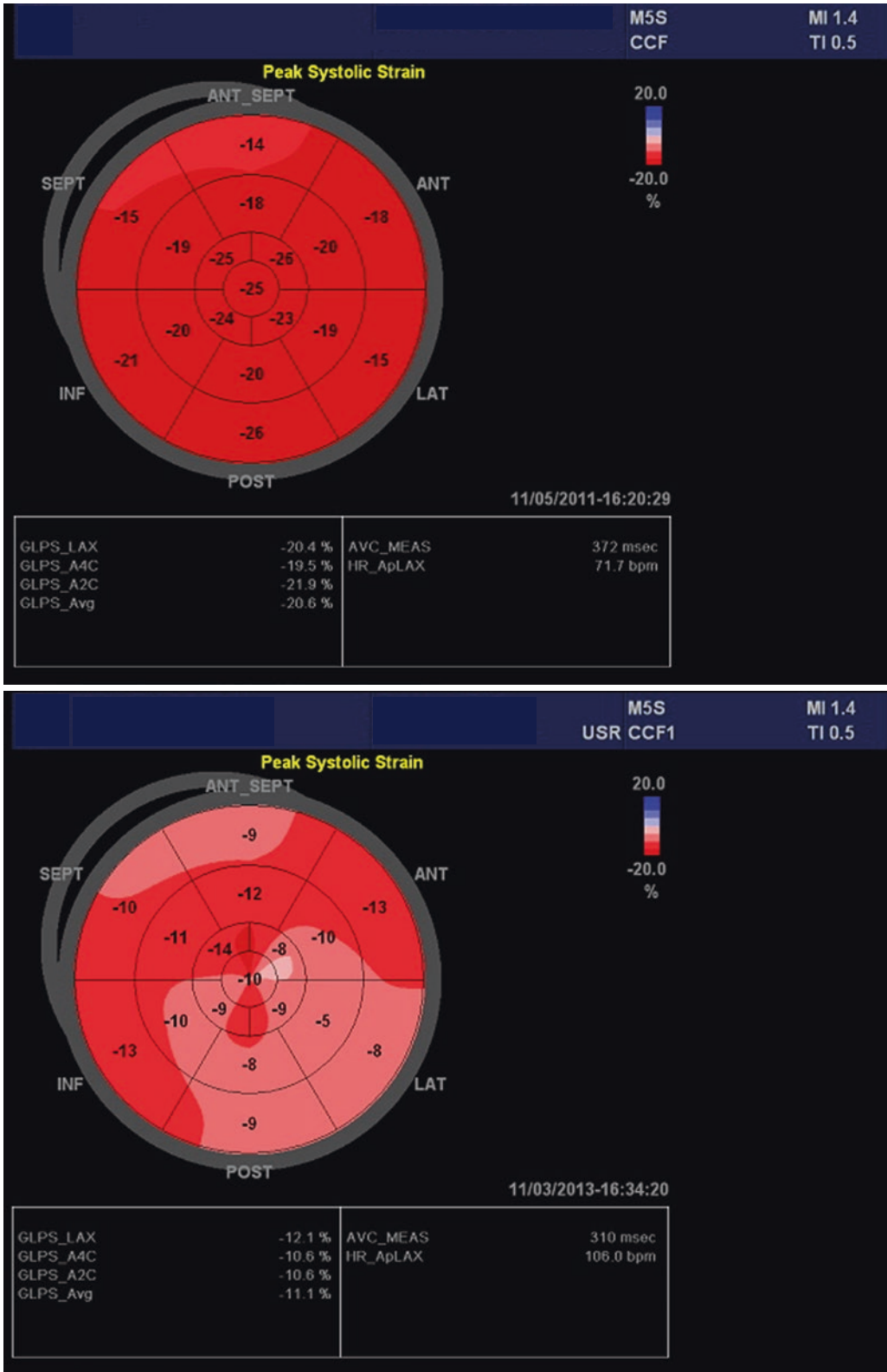


Fig. 38.3 (continued)

Table 38.3 Definition of sub-clinical LV dysfunction by global longitudinal strain measurement

<i>Cardiotoxicity by changes in global longitudinal strain (GLS)</i>	
Change in GLS	Reduction $\geq 15\%$ from baseline
Absolute number of GLS	Drop below the lower limit of normal for vendor, gender and age when baseline is not available [48]

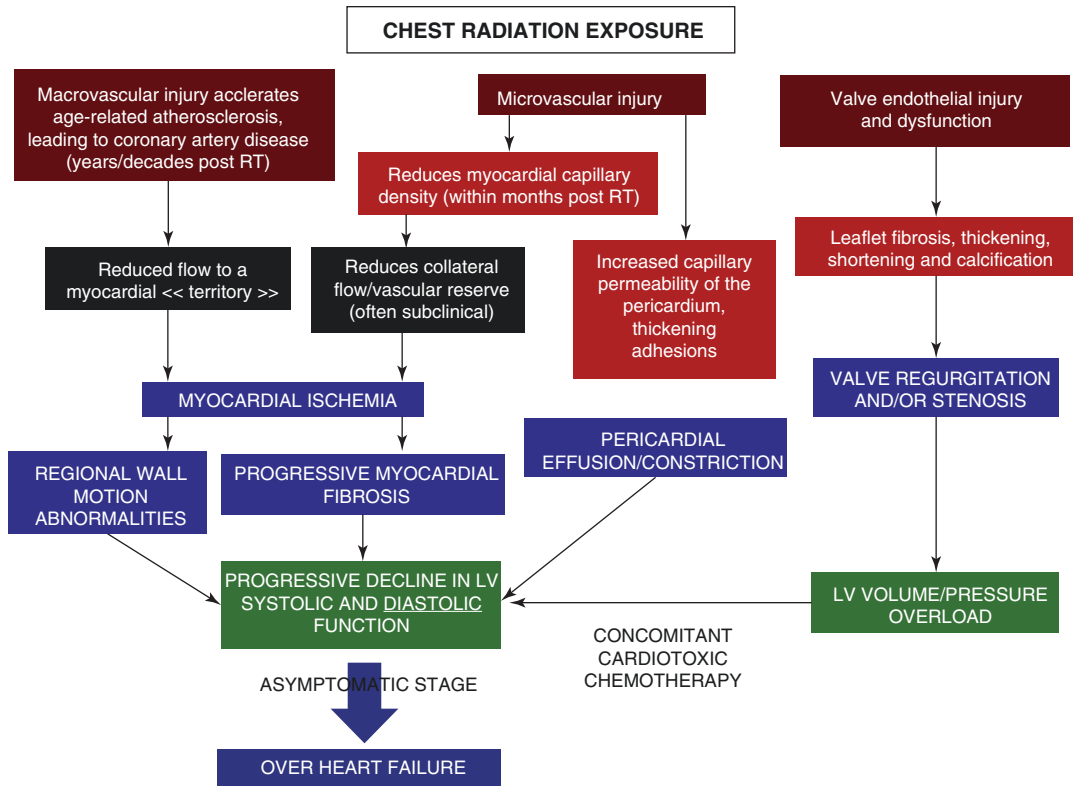


Fig. 38.4 Pathophysiology of radiation-induced heart disease. Reproduced with permission from [53]

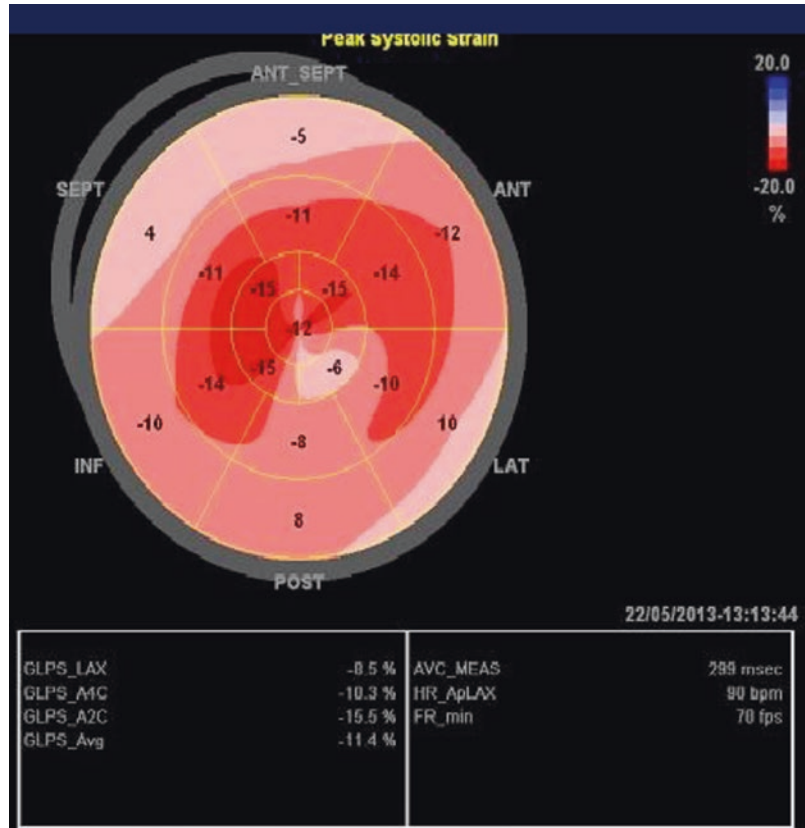
Echocardiography continues to be the working horse in the evaluation of pericardial and valvular heart disease in these patients. Strain imaging has emerged as a very useful tool unveiling the presence of myocardial injury not previously recog-

nized with 2D echocardiography [52] (Fig. 38.4). A summary of cardiac changes noted after radiation therapy are noted in Fig. 38.5. Global strain values can also be significantly reduced following radiation (Fig. 38.6).

Acute	Long-term
<p>Pericarditis</p> <ul style="list-style-type: none"> Acute exudative pericarditis is rare and often occurs during radiotherapy as a reaction to necrosis/inflammation of a tumour located next to the heart. Delayed acute pericarditis occurs within weeks after radiotherapy and can be revealed by either an asymptomatic pericardial effusion or a symptomatic pericarditis. Cardiac tamponade is rare. Spontaneous clearance of this effusion may take up to 2 years. 	<p>Pericarditis</p> <ul style="list-style-type: none"> Delayed chronic pericarditis appears several weeks to years after radiotherapy. In this type, extensive fibrous thickening, adhesions, chronic constriction, and chronic pericardial effusion can be observed. It is observed in up to 20% of patients within 2 years following irradiation. Constrictive pericarditis can be observed in 4–20% of patients and appears to be dose-dependent and related to the presence of pericardial effusion in the delayed acute phase.
<p>Cardiomyopathy</p> <ul style="list-style-type: none"> Acute myocarditis related to radiation-induced inflammation with transient repolarization abnormalities and mild myocardial dysfunction. 	<p>Cardiomyopathy</p> <ul style="list-style-type: none"> Diffuse myocardial fibrosis (often after a > 30-Gy radiation dose) with relevant systolic and diastolic dysfunction, conduction disturbance, and autonomic dysfunction. Restrictive cardiomyopathy represents an advanced stage of myocardial damage due to fibrosis with severe diastolic dysfunction and signs and symptoms of heart failure
<p>Valve disease</p> <ul style="list-style-type: none"> No immediate apparent effects. 	<p>Valve disease</p> <ul style="list-style-type: none"> Valve apparatus and leaflet thickening, fibrosis shortening, and calcification predominant on left-sided valves (related to pressure difference between the left and right side of the heart). Valve regurgitation more commonly encountered than stenosis. Stenotic lesions more commonly involving the aortic valve. Reported incidence of clinically significant valve disease: 1% at 10 years; 5% at 15 years; 6% at 20 years after radiation exposure. Valve disease incidence increases significantly after >20 years following irradiation; mild AR up to 45% ≥moderate AR up to 15%, AS up to 16%, mild MR up to 48%, mid PR up to 12%.
<p>Coronary artery disease</p> <ul style="list-style-type: none"> No immediate apparent effects. (Perfusion defects can be seen in 47% of patients 6 months after radiotherapy and may be accompanied by wall-motion abnormalities and chest pain. Their long-term prognosis and significance are unknown.) 	<p>Coronary artery disease</p> <ul style="list-style-type: none"> Accelerated CAD appearing in the young age. Concomitant atherosclerotic risk factors further enhance the development of CAD. Latent until at least 10 years after exposure. (Patients younger than 50 years tend to develop CAD in the first decade after treatment, while older patients have longer latency periods.) Coronary ostia and proximal segments are typically involved. CAD doubles the risk of death; relative risk of death from fatal myocardial infarction varies from 2.2 to 8.8.
<p>Carotid artery disease</p> <ul style="list-style-type: none"> No immediate apparent effects. 	<p>Carotid artery disease</p> <ul style="list-style-type: none"> Radio therapy-induced lesions are more extensive, involving longer segments and atypical areas of carotid segments. Estimated incidence (including sub-clavian artery stenosis) about 7.4% in Hodgkin's lymphoma.
<p>Other vascular disease</p> <ul style="list-style-type: none"> No immediate apparent effects. 	<p>Other vascular disease</p> <ul style="list-style-type: none"> Calcification of the ascending aorta and aortic arch (porcelain aorta). Lesions of any other vascular segments present within the radiation field.

Fig. 38.5 Acute and chronic manifestations of radiation-induced heart disease. Reproduced with permission from [53]

Fig. 38.6 Global longitudinal strain in patient with radiation-induced restrictive heart disease



Conclusions

Echocardiography is the mainstay for the evaluation of the patient during and after cancer therapy (chemo or radiotherapy). Three-dimensional echocardiography is the method choice for the evaluation of LVEF where available, as it has the lowest inherent variability, therefore allowing the adjudication of CTRCD. If the technique is unavailable, the enhancement of 2D echocardiograms with contrast is an acceptable alternative. It is essential to use strain imaging during the surveillance of patients receiving potentially cardiotoxic chemotherapeutic agents due to its ability to recognize subtle changes in cardiac function that prognosticate downstream CTRCD.

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