## **Role of Serotonin-2A Receptors in Pathophysiology and Treatment of Depression**

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Abstract This chapter aims to summarize the up-to-day evidence-based biomedical knowledge on serotonin-2A (5-HT<sub>2A</sub>) receptors and their role in pathophysiology and treatment of central nervous system (CNS) disorders, with a primary focus on depression. The first paragraph provides a brief introduction to serotonin (5-HT) system and 5-HT receptors, focusing on serotonin-2 (5-HT<sub>2</sub>) family and 5-HT<sub>2A</sub> receptor specifically. The second paragraph is focused on molecular genetics of 5-HT<sub>2A</sub> receptors, polymorphism of 5-HT<sub>2A</sub> receptor (5HT2AR) gene, 5HT2AR gene epigenetic mechanisms, such as DNA methylation, and post-translational modifications of 5HT<sub>2A</sub>R messenger ribonucleic acid (mRNA), such as alternative splicing. The molecular and cellular pharmacology and physiology of 5-HT<sub>2A</sub> receptors in normal and pathological conditions are discussed in the third paragraph. The 5-HT<sub>2A</sub> receptors-acting ligands are addresses. The fourth paragraph describes the role of 5-HT receptors in the interaction between 5-HT and other neurotransmitter systems in health and in CNS disorders. The fifth and the final paragraph specifically deals with the role of  $5-HT_{2A}$  receptor in pathophysiology and treatment of depression, focusing on the 5-HT<sub>2A</sub> receptor expressed in the hippocampus.

**Keywords** Serotonin-2Areceptor $(5HT_{2A}R)$  gene polymorphism • Deoxyribonucleic acid (DNA) methylation • Messenger ribonucleic acid (mRNA) alternative splicing

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- G-protein coupled receptors (GPCR)  $G\alpha_{Q/Z}$ -11 protein Phospholipase C (PLC)
- Inositol trisphosphate (IP<sub>3</sub>) Calcium signaling Antidepressant drugs
  Antipsychotic drugs Hippocampus

#### Serotonin-2A Receptor: An Introduction

The 5-HT<sub>2A</sub> receptors belong to the 5-HT<sub>2</sub> family consists of two more subtypes:  $5\text{-HT}_{2B}$  and  $5\text{-HT}_{2C}$  receptors. These subtypes have similar molecular structure, amino acid sequence, and signaling properties. The  $5\text{-HT}_{2B}$  receptors have a restricted expression in CNS; they play an important role during the embryonic development [1]. The  $5\text{-HT}_{2A}$  and  $5\text{-HT}_{2C}$  receptors are widely distributed across the CNS and have multiple functions. All members of the  $5\text{-HT}_2$  receptor family primarily couple to PLC on activation. Like other G-protein coupled receptors (GPCRs),  $5\text{-HT}_2$  functional regulation also involves sensitization and desensitization-regulatory processes that help prevent overstimulation and allow recuperation of signaling competence, respectively [2].

Serotonin-2 receptor subtypes have been cloned from various species and tissues. The 5-HT<sub>2A</sub> receptor from hamster, human, monkey, mouse, pig, rat, and sheep all have the same length of 471 amino acid. The 5-HT<sub>2B</sub> receptor from human, mouse and rat have a length of 481, 504, and 479 amino acids and the 5-HT<sub>2C</sub> receptor from human, mouse and rat have a length of 458, 459, and 460 amino acids, respectively [3]. The 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptors are glycosylated on multiple sites. The genes for the 5-HT<sub>2A</sub> and 5-HT<sub>2B</sub> receptor have 3 introns; the 5-HT<sub>2C</sub> receptor gene has two introns. In humans, the genes are located on chromosome 13q14-q21 for the 5-HT<sub>2A</sub> receptor, chromosome position 2q36.3–2q37.1 for the 5-HT<sub>2B</sub> receptor, and chromosome X q24 for the 5-HT<sub>2C</sub> receptor [1].

It has been shown that some GPCRs, including the 5-HT<sub>2A</sub> receptor, exhibit critical differences in some aspects of functional regulation from those seen in conventionally studied model GPCRs such as the  $\beta_2$ -adrenergic receptor. This receptor couples to a number of intracellular signaling cascades, making it an important receptor to study. Therefore, the 5-HT<sub>2A</sub> receptor could well serve as an important alternate paradigm in the study of GPCR function [2].

Though the receptor has been studied largely in relation to its multiple functions in the CNS, high levels of receptor expression in other areas such as the intestine, platelets, and endothelial cells suggest that it could play crucial roles in other aspects of physiology, as well. They mediate contractile responses in many vascular smooth muscle preparations (e.g. bronchial, uterine and urinary smooth muscle), and part of the contractile effects of 5-HT in the guinea pig ileum. In addition, platelet aggregation and increased capillary permeability following exposure to 5-HT have been attributed to 5-HT<sub>2A</sub> receptor-mediated process. Moreover, 5-HT<sub>2</sub> receptor agonists, in addition to precursors of 5-HT and 5-HT releasing agents, mediate certain behavioral syndromes in vivo (e.g. head twitching in mice, and wet-dog shakes and back muscle

contractions in rats) [4]. Centrally, these receptors are principally located in the cortex, claustrum and basal ganglia. 5-HT<sub>2A</sub> receptor activation stimulates hormone secretion (e.g. ACTH, corticosterone, oxytocin, renin and prolactin) [5]. Considering the broad expression of 5-HT<sub>2A</sub> receptors across the brain and their involvement in multiple CNS functions, it is expected that these receptors will play a role pathophysiology of brain disorders. Indeed, the CNS disorders in which the 5-HT<sub>2A</sub> receptor seems to be involved range from schizophrenia, depression, obsessive compulsive disorder (OCD), and attention deficit–hyperactivity disorder (ADHD), to eating disorders such as anorexia nervosa, to autism spectrum disorders [2]. Implication of 5-HT<sub>2A</sub> receptors in mental disorders with complex etiologies is still not clearly understood. There are a large number of drugs targeted to this receptor.

### **Molecular Genetics and Epigenetics of Serotonin-2A Receptor**

## Serotonin-2A Gene Polymorphism

The 5-HT<sub>2A</sub> receptor, encoded by HTR2AR gene, is a widely-distributed post-synaptic target for 5-HT in the human brain. Serotonin-2A receptor heterogenity is affected by alternative polymorphisms and alternative splicing. The 5-HT<sub>2A</sub> receptor is a target for atypical antipsychotics and antidepressants. The role of genetic variants of HTR2AR in signaling modulation remains unclear, despite positive clinical associations [6]. Methods for detecting genetic polymorphisms are advancing rapidly and now allow simultaneous genotyping of several nucleotide polymorphisms. The Genetic Association Database [7] reports 346 unique association studies between single nucleotide polymorphisms (SNPs) in HTR2AR gene and human phenotypes and more than half of these studies find positive genotype-phenotype associations. Most are related to cognition or risk for neuropsychiatric disorders, supporting the presence of functional genetic variants in HTR2AR gene. Some of SNPs (e.g., T102C, C516T, A1438G) are silent mutations and do not cause a change in the protein. Other SNPs (e.g., W25S, I197V, S421F, A447V, H452Y) result in a change in an amino acid. Although the A1438G mutation is silent and does not result in alteration of the amino acid sequence of 5-HT<sub>2A</sub> receptor, it is located within promoter region of the gene. Thus was proposed that this mutation alters promoter activity and even so expression of 5-HT<sub>2A</sub> receptors [8]. Lower 5-HT<sub>2A</sub> receptor densities in some brain areas may cause another silent mutation, T102C [9]. On the other hand, mutation H452Y which caused change in protein has no effect on receptor expression, but reduces intracellular signaling capacity [10].

Numbers of studies have been conducted on the association between HTR2AR gene T102C polymorphism and major depressive disorder (MDD) [11–13]. To clarify the effects of HTR2AR gene T102C polymorphism on the risk of depression, Lin et al. [11] performed a meta-analysis in the Chinese population. Results have shown that HTR2AR gene T102C polymorphism is not associated with susceptibility to MDD in these population. Another study [14] demonstrated an association between T102C polymorphism of HTR2AR gene, lifespan, and the risk of age-related CNS disorders. Their results suggest that T102C is associated with mean life span, and thus this gene becomes a possible candidate for the group of adaptive genes to meat consumption proposed in the literature.

The  $5HT_{2A}$  receptor gene polymorphisms rs7997012 and rs6311 has been suggested to be involved in major depressive disorder. Htr2a knock-out mice (Htr2a-/-) displayed an increase in depressive-like behavior, compared to wild type, thus suggesting, that lowered 5-HT<sub>2A</sub> receptor transmission may favor the susceptibility and severity of major depressive episodes [15].

It is seems that genetic variants in the HTR2A gene affect the therapeutic effects of andtidepressant drugs but mechanism underlying the regulation of such response remains poorly described. According to study of Qesseveur et al. [16] the HTR2A gene may represent a relevant marker to predict the efficacy of antidepressant drugs. The effect of three HTR2A single nucleotide polymorphisms (SNPs- rs6313, rs6314 and rs7333412) was investigated. These three SNPs have potential functional consequences on 5-HT<sub>2A</sub> receptor, on response and remission rates after 3 months of antidepressant treatments. Their clinical data indicated that GG patients for the rs7333412 SNP were less prone to respond to antidepressant drugs than AA/AG patients.

T102C and A1438G polymorphisms were associated with risk for schizophrenia [17–19]. The T102C polymorphism is also related to tobacco use [20] and the A1438G polymorphism of HTR2AR gene is involved in the development of alcohol dependence [21]. Polymorphisms of the HTR2AR gene are associated with hallucinatory symptoms and delusions in demented and non-demented cohorts. The study of Craig et al. [22] examined the role of the HTR2AR gene T102C polymorphism in influencing psychotic symptoms in a large Northern Ireland Alzheimer's disease (AD) population. No significant association was found either in frequency of genotype or allelic variation for either set of symptoms. On the other hand, Lam et al. [23] demonstrated significant association between neuropsychiatric symptoms in AD and HTR2AR gene polymorphisms.

#### **Methylation**

Differential DNA methylation has been suggested to contribute to differential activity of alleles C and T and thereby to genetic associations between the C/T(102) polymorphism in the HTR2AR gene and psychiatric disorders [24]. This study demonstrated methylation in two CpG sites, which are specific to allele C. The majority of allele C-specific CpG sites were methylated in human temporal cortex and peripheral leukocytes. Findings that methylation of allele C-specific CpG sites in the first exon correlated significantly with the expression of DNA methylase 1 but not S-adenosylhomocysteine hydrolase, support the hypothesis that allele-specific DNA methylation is involved in regulation of HTR2AR gene expression, influencing expression differences between alleles C and T. De Luca et al. [25] developed an improved quantitative assay for the measurement of allele-specific methylation of the HTR2AR gene and genetic association between the HTR2AR gene T102C silent polymorphism and suicidality in patients with mood disorders and schizophrenia.

Falkenberg et al. [26] used functional and structural equation modeling (SEM) approaches to assess the contributions of the polymorphism (R6311S) to DNA methylation and HTR2AR gene expression in chronic fatigue syndrome (CFS) subjects from a population-based study. Their study suggests that the promoter polymorphism (rs6311) can affect both transcription factor binding and promoter methylation, and this along with an individual's stress response can impact the rate of HTR2A transcription in a genotype and methylation-dependent manner.

## Alternative Splicing

The first alternatively spliced isoform of  $5\text{-HT}_{2A}$  receptor was identified by Huang et al. [27] in the parasitic nematode species, *Ascaris Suum*. The  $5\text{-HT}_{2A}$ -s1 and  $5\text{-HT}_{2A}$ -s2 exhibited identical pharmacological profiles when stably expressed in human embryonic kidney (HEK) 293 cells. Both  $5\text{-HT}_{2A}$ s isoforms had higher affinity for 5-HT than their closely related *Caenorhabditis Elegans* homolog ( $5\text{-HT}_{2C}$ -e).

Guest et al. [28] identified an alternatively spliced HTR2AR gene transcript by PCR of human brain cDNA using degenerate oligonucleotide primers to transmembrane domains. PCR analysis showed that truncated (5HT2ARtr) and native HTR2AR genes were co-expressed in most brain tissues, with the highest levels being found in hippocampus, corpus callosum, amygdala, and caudate nucleus. Western blot analysis of HEK-293 cells transfected transiently with a 5HT2ARtr construct showed that a 30-kDa protein was expressed in cell membranes. Co-transfection studies showed no effect of the 5HT2ARtr variant on 3H-ketanserin binding to the native HTR2AR or on functional coupling of the HTR2AR to 5-HT-stimulated calcium influx.

### Molecular Pharmacology of and Serotonin-2A Receptors

#### Signal Transduction Pathways of Serotonin-2A Receptor

The activation of 5-HT<sub>2A</sub> receptor leads to the dissociation of  $G\alpha_{Q/Z}$  protein into  $\alpha$  and  $\beta\gamma$  subunits. The  $\alpha$  subunit of  $G\alpha_{Q/Z}$  protein activates the phospholipase C (PLC), which in turn catalyzes the dissociation of inositol 1,4,5-trisphosphate (IP<sub>3</sub>)-diacylglycerol (DAG) complex into the IP<sub>3</sub> and DAG. The DAG activates protein kinase C (PKC), and IP<sub>3</sub> stimulates calcium (Ca<sup>2+</sup>) release from endoplasmic reticulum (ER) into the cytoplasm, a characteristic activation signature of many GPCRs



**Fig. 1** Detailed signal transduction pathways of serotonin-2A receptors. Serotonin-2A  $(5-HT_{2A})$  receptor activates protein kinase Cβ (PLCβ). Protein kinase Cβ hydrolysis phosphatidylinositol 4,5 bisphosphate (PIP<sub>2</sub>) to diacylglycerol (DAG) which activates protein kinesis A (PKA) and inositol trisphosphate (IP<sub>3</sub>) which acts through inositol trisphosphate receptors (IP<sub>3</sub>R) localize on endoplasmic reticulum. Activation of this signaling pathway leads to increase in intracellular calcium concentration which affects ion channels, enzyme activity, and neurotransmission or gene expression. Intracellular calcium can also lead to activation of calmodulin which activates extracellular signal-regulated kinases (ERK) and activation of calcineurin leading to inhibition of voltage-dependent calcium channels. Activation of ERK signaling pathway suppresses 5-HT<sub>2A</sub> receptor signaling through RSK2 kinase. Extracellular signal-regulated kinases can be activated by TGFβ receptor signaling pathway involving Ras GTP-ases interacting with Raf kinases and mitogen-activated protein kinase (MAPK)

[29, 30]. This cascade has been the most extensively studied and is perhaps the most important signal transduction pathway regulated by this receptor (Fig. 1).

Stimulation of the 5-HT<sub>2A</sub> receptor leads to the activation of at least three distinct signal transduction pathways: IP<sub>3</sub>/DAG-, arachidonic acid (AA)-, and 2-arachidonyl-glycerol (2-AG)-mediated. In addition to PLC, 5-HT<sub>2A</sub> receptors were also reported to activate phospholipase A2 (PLA2), so-called phospholipase B (PLB) [31].

Besides phospholipases-mediated calcium signaling,  $5\text{-HT}_{2A}$  receptor activation also induces extracellular signal-regulated kinase (ERK) phosphorylation *via* diverse intracellular signaling mechanisms [32]. Src and calmodulin (CaM) promote  $5\text{-HT}_{2A}$  receptor-mediated phosphorylation of ERK. In the PC12 cells, ERK phosphorylation by  $5\text{-HT}_{2A}$  receptor may not depend on PLC/PKC signaling, and instead requires an increase in intracellular calcium, and the activation of CaM and Src [33]. The ERK target p90 ribosomal S6 kinase 2 (RSK2) directly acts on the third intracellular (i3) loop of  $5\text{-HT}_{2A}$  receptor protein [34], leading to direct phosphorylation of the i3 loop at the conserved residue Ser-314 and to suppression of  $5\text{-HT}_{2A}$  receptor signaling. In addition, RSK2 is required for tyrosine kinases, such as the epidermal growth factor receptor and the platelet-derived growth factor receptor, both of which have been demonstrated to attenuate 5-HT<sub>2A</sub> receptor functioning in primary cortical neurons [35, 36].

The 5-HT<sub>2A</sub> receptors, like other members of 5-HT<sub>2</sub> family, couple preferentially via  $G\alpha_{Q/Z^-}$ 11 to the IP<sub>3</sub>/PKC/Ca<sup>2+</sup> pathway, although inhibition of cyclic adenosine monophosphate (cAMP) production has been reported [37].

The 5-HT<sub>2A</sub> receptor also regulates the tyrosine kinase pathway activity [33]. Activation of neuronal 5-HT<sub>2A</sub> receptor activates transglutaminase which leads to transamidation of Rac1, a small G protein, resulting in constitutive activation of Rac1 [38]. Chronic treatment with olanzapine, an atypical antipsychotic drug, causes the desensitization of 5-HT<sub>2A</sub> receptor signaling. In rat frontal cortex, stimulation of the JAK-STAT pathway desensitizes the 5-HT<sub>2A</sub> receptor-mediated PLC activation induced by olanzapine [39]. Furthermore, constitutive activation of 5-HT<sub>2A</sub> receptor induces G $\alpha_{O/Z}$ -11 phosphorylation and desensitization (uncoupling) [40].

## Functional Selectivity and Internalization of Serotonin-2A Receptors

Interestingly, different agonists of 5-HT<sub>2A</sub> receptors vary in the efficacy with which they stimulate individual signal transduction pathways [2, 41]. This phenomena is called functional selectivity and the 5-HT<sub>2A</sub> receptor was one of the first receptors for which this was described [29, 42]. This discovery was based of the observation that hallucinogenic effects of drugs such as LSD do not correlate with their activation of the IP<sub>3</sub>/DAG pathway [2].

It has been suggested that hallucinogen, but not nonhallucinogen,  $5\text{-HT}_{2A}$  receptor agonist induce phosphorylation of the  $5\text{-HT}_{2A}$  receptor at S280 located in the third intracellular loop. Importantly, these authors also demonstrated that pretreating cells with pertussis toxin (PTX) decreased PLC activation induced by the hallucinogens 2,5-Dimethoxy-4-iodoamphetamine (DOI) and LSD, whereas PTX treatment did not affect lisuride and ergotamine responses [43]. Jones et al. [44] discovered, that application of the 5-HT<sub>2A</sub> receptor agonist DOI to cultured cortical neurons induced phosphorylation of p21-activated kinase (PAK) via Rac guanine nucleotide exchange factor (RacGEF) kalirin-7 [44]. Taken together, these observations suggest that hallucinogens selectively activate G $\alpha_{I/O}$ -dependent signaling, whereas non-hallucinogen 5-HT<sub>2A</sub> receptor agonists do not [45].

Both *in vitro* and studies *in vivo* have shown receptor redistribution in response to exposure to antagonists. The 5-HT<sub>2A</sub> receptor is internalized in response to both agonists and antagonists, adding a very interesting twist to its signaling properties [46, 47]. This feature of the 5-HT<sub>2A</sub> receptor may play important roles in its signaling and in the actions of antipsychotic medications. The antagonist-mediated internalization of the rat 5-HT<sub>2A</sub> receptor, unlike 5-HT-mediated internalization, is

Name of ligand	Effects of binging	Receptor affinity
Brexipiprazole	Antagonist	5-HT <sub>1A</sub> , 5-HT <sub>2A</sub>
Cyproheptadine	Antagonist/inverse agonist	5-HT <sub>1A</sub> , 5-HT <sub>2A</sub> , 5-HT <sub>2B</sub> , 5-HT <sub>2C</sub> ,5-HT <sub>3</sub> , 5-HT <sub>6</sub> , 5-HT <sub>7</sub>
DOI	Agonist/partial agonist	5-HT <sub>2A</sub> , 5-HT <sub>2B</sub> , 5-HT <sub>2C</sub>
MDL100907	Highly selective antagonist	5-HT <sub>2A</sub>
Olanzapine	Agonist/inverse agonist	5-HT <sub>1A</sub> , 5-HT <sub>3</sub> , 5-HT <sub>6</sub> , 5-HT <sub>7</sub> ,5-HT <sub>2A</sub> , 5-HT <sub>2B</sub> , 5-HT <sub>2C</sub>
Risperidone	Antagonist/inverse agonist/ irreversible antagonist	5-HT <sub>1A</sub> , 5-HT <sub>1B</sub> , 5-HT <sub>1D</sub> , 5-HT <sub>5A</sub> , 5-HT <sub>6</sub> 5- HT <sub>2A</sub> , 5-HT <sub>2B</sub> , 5-HT <sub>2C</sub> 5-HT <sub>7</sub>
Ritanserin	Antagonist	5-HT <sub>2A</sub> , 5-HT <sub>2C</sub>
Seroquel	Antagonist	5-HT <sub>1A</sub> , 5-HT <sub>2A</sub> , 5-HT <sub>2C</sub> , 5-HT <sub>7</sub>
Spiperone	Antagonist	$\begin{array}{c} 5\text{-HT}_{1\text{A}}, 5\text{-HT}_{1\text{B}}, 5\text{-HT}_{1\text{D}}, 5\text{-HT}_{1\text{E}}, 5\text{-HT}_{1\text{F}}, \\ 5\text{-HT}_{2\text{A}}, 5\text{-HT}_{2\text{B}}, 5\text{-HT}_{2\text{C}}, 5\text{-HT}_{5\text{A}}, 5\text{-HT}_{6}, \\ 5\text{-HT}_{7} \end{array}$
TCB-2	Agonist	5-HT <sub>2A</sub> , 5-HT <sub>2C</sub>
YM 992	Antagonist	5-HT <sub>2A</sub>

Table 1 5-HT<sub>2A</sub> ligands and their selectivity towards the 5-HT receptor family

independent of protein kinase C (PKC) activation [47]. Bhatnagar and colleagues [46] examined the internalization process of this receptor in detail, demonstrating that both agonist- and antagonist-induced internalization of the 5- $HT_{2A}$  receptor were dynamin-dependent and via clathrin-mediated endocytosis. Activation of the 5- $HT_{2A}$  receptor by agonists, but not antagonists, induced greater translocation of arrestin-3 than arrestin-2 to the plasma membrane, and resulted in differential sorting of arrestin-2, arrestin-3, and 5- $HT_{2A}$  receptors into distinct plasma membrane and intracellular compartments. It is likely that these differences in distribution of the various signaling components induced by agonists and antagonists may be important in the "ligand-directed" of second messenger signals by the 5- $HT_{2A}$  receptor, depending upon which ligand is used to stimulate the receptor. Authors discovered, that *in vitro* knockdown of Caveolin-1 (Cav-1, a scaffolding protein) nearly abolished 5- $HT_{2A}$  receptors with Gaq.

#### Serotonin-2A-Acting Drugs

Several drugs that have been developed for treatment of psychiatric disorders selectively bind to the 5-HT<sub>2A</sub> receptor and modulate its signaling pathways (Table 1). The antipsychotic drugs spiperone and methiothepin with antipsychotic properties are nonselective antagonists of 5-HT<sub>1</sub> and 5-HT<sub>2</sub> receptors. Both prevent the 5-HT-dependent PLC activation at 10  $\mu$ M concentration. However, cyproheptadine (10  $\mu$ M), another antagonist of 5-HT<sub>1</sub> and 5-HT<sub>2</sub> receptors, had no effect on PLC activity [48].

Brexpriprazole is an antagonist of  $5\text{-HT}_{2A}$ ,  $5\text{-HT}_{1A}$  and  $D_2$  receptors, is approved for the clinical use as a main pharmacotherapy in schizophrenia and as an adjunct in antidepressant-resistant depression. This drug demonstrated robust antipsychotic, antidepressant-like and anxiolytic activities, and limited extrapyramidal symptom liability with pro-cognitive efficacy in animal models [49]. Accumulating evidence suggests that antipsychotic drugs act by promoting neurite outgrowth. In the study of Ishima and colleagues [50] authors examined whether brexpiprazole can affect neurite outgrowth in cell culture. They found that brexpiprazole significantly potentiated nerve growth factor (NGF)-induced neurite outgrowth in PC12 cells, in a concentration dependent manner. Moreover, inhibitors of inositol IP<sub>3</sub> receptors, xestospongin C and 2-aminoethoxydiphenyl borate (2-APB), significantly blocked the effects of brexpiprazole. These findings suggest that brexpiprazole-induced neurite outgrowth is mediated through 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors, and subsequent Ca<sup>2+</sup> signaling via IP<sub>3</sub> receptors [50].

## **Role Serotonin-2A Receptors in the Regulation of CNS Circuits**

## Role of Serotonin-2A Receptors in the Interactions Between Serotonin and Glutamate and GABA Systems

DOI (1-[2,5-dimethoxy-4-iodophenyl-2-aminopropane]) is a hallucinogen acting as agonist of 5-HT<sub>2A</sub> receptors, similarly to lysergic acid diethylamide (LSD). It was reported that DOI causes a dose-related inhibition of 5-HT neuronal activity, with the highest dose reducing firing rates by >80%. Pretreatment with the 5-HT<sub>2</sub> receptor antagonist ritanserin completely blocked the action of DOI [51]. Study of Quesseveur et al. [52] confirms this inhibitory effect of DOI on dorsal raphe (DR) nucleus 5-HT neuronal activity. DOI's response is dependent on 5-HT<sub>2A</sub> receptors because it diminished in 5-HT<sub>2A</sub> receptors lacking mice. Possible way of DOI inhibitory effect on DR 5-HT neuronal activity is via increasing of GABA release in DR. Other study shows that activation of 5-HT<sub>2A</sub> receptors in the PFC by DOI increased the firing activity of DR 5-HT neurons. DOI administration also affected the firing rate of pyramidal neurons while most of them were excited, 11% were inhibited and rest was unaffected [53] In this case, excitatory and inhibitory actions of DOI on pyramidal cell firing are likely mediated by receptors located on pyramidal neurons and GABA interneurons, respectively. DOI also stimulates 5-HT release in the PFC, probably via a mechanism involving interaction between 5-HT<sub>2A</sub> and AMPA (a-amino-3-hydroxy-5methyl-4-isoxazolepropionic acid) receptors [54] (Fig. 2).

The PFC seems to play crucial role in depression. PFC is involved in higher brain functions and carries a control of brain functions through the processing and integration of signals from other brain areas, such as neocortex, several thalamic nuclei,



**Fig. 2** Interactions between -HT<sub>2A</sub> receptors and the other system. (a) Excitatory pyramidal neurons in the medial prefrontal cortex (mPFC) control activity of 5-HT neurons in dorsal raphe (DR)

and the brain stem. The apical and basal dendrites of pyramidal neurons of the PFC are highly enriched with 5-HT<sub>2A</sub> receptors. These receptors are present also on large and medium-sized GABAergic interneurons that control the activity of local microcircuits [55]. The mPFC in rodents innervates via long glutamatergic axons various brain areas involved in depression, such as nucleus accumbens (NAcc), amygdala, and PFC [56]. As well, activity of dopaminergic neurons in ventral tegmental area (VTA) is under the excitatory control of 5-HT<sub>2A</sub> receptors in mPFC. Neurons in mPFC excited through 5-HT<sub>2A</sub> receptors increase the firing rate and burst firing of dopaminergic neuron and dopamine release in VTA [57].

The 5-HT<sub>2A</sub> receptor activation located on thalamocortical afferents could increase glutamate release and increase spontaneous excitatory postsynaptic currents (EPSCs) through the activation of pyramidal AMPA receptors, however, this suggestion is based by the recent anatomical data indicating that the terminal 5-HT<sub>2A</sub> receptors are not located on glutamate axons [58].

## Role of Serotonin-2A Receptors in the Interactions Between Serotonin and Dopamine Systems

The 5-HT<sub>2A</sub> receptor stimulation results in enhanced dopamine (DA) release in rat PFC, presumably via facilitation of 5-HT<sub>1A</sub> receptor stimulation. Ability of clozapine to increase DA release may be boosted by antagonism of 5-HT<sub>2A</sub> receptors [59].

The local infusion of DOI into the PFC dampened potassium (K<sup>+</sup>)-mediated DA release in a dose-dependent manner. Regular intracortical administration of MDL 100907 caused an increase in cortical DA efflux, suggesting that cortical 5-HT<sub>2A</sub> receptors potentiate the phasic release of DA [60]. The stimulatory effect of 5-HT on efflux of dopamine in the striatum is effective only when nigro-striatal DA transmission is elevated above basal levels [61]. Antagonism of 5-HT<sub>2A</sub> receptors may modulate the activity of dopamine neurons in different areas. For the nigro-striatal dopaminergic pathway was suggested a model in which blockade of these receptors led to increased output of dopaminergic neurons into the striatum [62].

Brexpiprazole has higher affinity to  $D_2$  than to the 5-HT<sub>2A</sub> receptors. While other antipsychotic drugs act as  $D_2$  antagonists, brexpiprazole is a partial agonist of the  $D_2$ 

**Fig. 2** (continued) through 3 different mechanisms: *N*-methyl-D-aspartate (NMDA) and 2-amino-3-(3-hydroxy-5-methylisoxazol-4-yl)propionate (AMPA) receptors- mediated excitation; GABA<sub>A</sub> receptors- mediated inhibition; and 5-HT<sub>1A</sub> autoreceptors- mediated inhibition. (**b**) Regulation of the dopaminergic system through 5-HT<sub>2A</sub> receptors. In the ventral tegmental area (VTA) or in medial prefrontal cortex (mPFC), 5-HT<sub>2A</sub> receptors have also been identified in GABAergic interneurons. Their activation leads to the inhibition of dopaminergic activity. 5-HT<sub>2A</sub> receptors might also be expressed in dopaminergic neurons in VTA region and their activation would stimulate dopaminergic activity. (**c**) Locus coeruleus (LC) receives dense 5-HT projections coming from dorsal raphe (DR), which have an inhibitory effect on noradrenergic neurons. Increased 5-HT levels act also on excitatory 5-HT<sub>2A</sub> receptors on GABAergic neurons which lead to an inhibition of norepinephrine release

receptors [63, 64]. The  $D_2$  receptor agonistic features could alter DA neurotransmission by stimulating  $D_2$  receptors when the levels of DA are lowered, while decreasing their activation when DA levels are increased [65].

Increase in 5-HT levels inhibits dopaminergic neurons as the lesion of 5-HT neurons results in an increase of dopaminergic neuronal activity in the VTA [66]. Thus, an increase in the availability of 5-HT cause by SSRIs might result in attenuation of the firing of dopaminergic neurons. Neuronal activity of dopaminergic neurons has a critical role in the VTA in motivation, hedonia and reward, so the inhibition of this firing might contribute to SSRI resistance in some patients [67].

## Role of Serotonin 2A Receptors in the Interactions Between Serotonin and Norepinephrine Systems

The 5-HT<sub>2A</sub> receptor is likely to play an important role in the interaction between norepinephrine (NE) and serotonin (5-HT) systems [68]. Increased 5-HT levels act on excitatory 5-HT<sub>2A</sub> receptors on GABA neurons, thus leading to an inhibition of NE release [69].

Acute brexpiprazole administration reduced inhibition of two important interaction nodes between the 5-HT and NE systems. The blockade of 5-HT<sub>2A</sub> receptors revokes the tonic inhibition of NE neuronal firing activity, and the blocking of  $\alpha_2$ adrenergic receptors on the nerve terminals of NE neurons stimulates NE release [70].

YM992 [(S)-2-[[(7-fluoro-4-indanyl)oxy]methyl]morpholine monohydrochloride] is a selective serotonin reuptake inhibitor (SSRI) and a potent 5- $HT_{2A}$  receptor antagonist. Acute injection of YM992 significantly decreased NE neuron firing activity and blocked the inhibitory effect of a subsequent injection of the 5- $HT_2$ receptor agonist DOI. After 2-day treatment the firing activity was elevated even more significantly, however after 7-day and 21-day treatment a partial recovery was observed. This NE activity may be a result of 5-HT reuptake inhibition plus 5- $HT_{2A}$ receptor antagonism [69].

The activation of  $5\text{-HT}_{2A}$  and  $5\text{-HT}_{1A}$  receptors suppresses the firing of 5-HT and noradrenergic neurons of the locus coeruleus (LC). Serotoninergic neurons recover their firing rate with prolonged treatment, because of the desensitization of  $5\text{-HT}_{1A}$  autoreceptors, but the firing rate of noradrenergic neurons does not recover over time [68].

## *Role of Serotonin-HT2A in the Response to Antidepressant and Mood Stabilizing Drugs*

Selective serotonin reuptake inhibitors (SSRIs) induce inhibition of NE neuron firing [71]. It was reported in several open-label and blind studies that antagonists of  $5\text{-HT}_{2A}$  receptors, such as atypical antipsychotic drugs, potentiate the therapeutic effect of SSRIs in patients with depression [72]. It is also reported that antidepressants induce down-regulation of  $5\text{-HT}_{2A}$  receptors after repeated treatment [55].

Risperidone is 5-HT<sub>2A</sub> and dopamine D<sub>2</sub> receptor antagonist which is the only antagonist known to saturate the 5-HT<sub>2A</sub> receptors even at low doses (0.5–1 mg/day) [73]. It was reported that risperidone reverses SSRI-induced inhibition of NE neurons due to its 5-HT<sub>2A</sub> receptor antagonistic property [71]. Co-administration of risperidone with venlafaxine or fluoxetine may enhance their antidepressant effects. Addition of yohimibine to the combination of risperidone with venlafaxine or fluoxetine augmented the antidepressant-like action proposing an interaction of  $\alpha_2$ adrenergic and 5-HT<sub>2A</sub> receptor in mediating their action [74]. Palperidone is the main metabolite of risperidone. Although they share the same receptor binding profile, it seems that they have different effects on 5-HT and NE firing in vivo. Co-administration of paliperidone did not interfere with the effect of SSRIs, but still managed to inhibit the NE firing inhibition induced by the SSRIs which leads to assumption that it may be an effective enhancement of the treatment [75].

Amibegron (SR58611A)—selective  $\beta$ 3 adrenergic agonist [76] interacts with serotonergic system in the brain resulting in an antidepressant effect [77]. It increases the synthesis of 5-HT and tryptophan levels in several brain areas, such as hippocampus, cortex, hypothalamus and striatum. Amibegron did not modify nor-adrenaline synthesis and metabolism, but it did increase its release [78]. A 5-HT<sub>2A</sub> receptor antagonist ketanserin significantly reversed the effect of amibegron which leads to conclusion that these antidepressant-like effects are partially caused by the 5-HT<sub>2A</sub> receptor activation, more precisely by interaction with 5-HT<sub>1A</sub>, 5-HT<sub>2A/2C</sub> and 5-HT<sub>3</sub> serotonin receptors [79, 80].

Function of cortical 5-HT<sub>2A</sub> receptors has a specific role in the modulation of conflict anxiety. Weisstaub et al. [81] demonstrated that global disruption of 5-HT<sub>2A</sub> receptor signaling in mice reduced inhibition in conflict anxiety paradigms without affecting fear-conditioned and depression-related behaviors. Selective restoration of 5HT<sub>2A</sub> receptor signaling to the cortex normalized conflict anxiety behaviors.

The serotonergic system appears to play a role in episodic memory which is affected in pathologies such as schizophrenia, Alzheimer and depression. The 5-HT<sub>2A</sub> receptors as one of the principal post-synaptic receptors for 5-HT in the brain are involved in neuropsychiatric and neurological disorders associated with memory deficits. Results of Morici et al. [82] showed that the 5-HT<sub>2A</sub> and also 5-HT<sub>1A</sub> receptors can be a novel target for drug development to improve episodic memory retrieval in psychiatric and neurological disorders.

# Serotonin-2A Receptors in Pathophysiology and Treatment of Depression

## *Expression and Function of Serotonin-2A Receptors in the Hippocampus*

Hippocampus is a brain structure which plays role in a spatial learning and declarative memory. It receives robust serotonergic innervation from medial and dorsal raphe nuclei. There is some evidence indicating role of 5-HT and its receptors in various aspects of cognitive functions including learning and memory. Nowadays, exact role of 5-HT in hippocampus is not fully understood. Results of functional studies are contradictory. One of possible explanation for these contradictory results is that 5-HT acts through different types of 5-HT receptors. The 5-HT<sub>2A</sub> receptor subtype is related to memory disorders [83] and several neurological diseases like Alzheimer disease [84, 85] and schizophrenia [86–88].

The presence of 5-HT<sub>2A</sub> receptors in hippocampus was demonstrated in different studies by multiple methods including immunohistochemistry, *in situ* hybridization, autoradiography and quantitative reverse transcription-polymerase chain reaction (RT-PCR). Results from these studies are quite different and depending on methodology which was used. Minimal levels of 5-HT<sub>2A</sub> receptors were detected in human hippocampus by RT-PCR and autoradiography. They were barely detected in pyramidal cells in Cornu ammonis (CA) regions, and were not detected in dentate gyrus (DG) [89]. In rat hippocampus mRNA for 5-HT<sub>2A</sub> receptors was detected in both CA regions and in DG [90]. In CA area of rat hippocampus low levels of 5-HT<sub>2A</sub> receptors were detected by in situ hybridization and autoradiography methods. In ventral DG moderate levels of specific 5-HT<sub>2A</sub> receptors binding were detected [91]. Immunohistochemistry studies showed that 5-HT<sub>2A</sub> receptors expressed both excitatory glutamatergic and inhibitory GABAergic neurons [92-95]. Virtually all main hippocampal excitatory neurons (granular and pyramidal cells) expressed 5- $HT_{2a}$ receptors. Strong expression is localized in apical dendrites of pyramidal cells, where 5-HT receptors can increase excitatory postsynaptic currents (EPSP) [92, 94]. Electrophysiological studies demonstrated that outward current induced by 5-HT and  $\alpha$ -methyl-serotonin (5-HT<sub>2A</sub> receptors agonist) in pyramidal cells of rat CA1 hippocampal area is blocked by ketanserin and spiperon (5-HT<sub>2A</sub> receptors antagonist) in dose dependent manner [96]. The 5-HT<sub>2A</sub> receptors are also expressed in mossy fiber in rat hippocampus [92]. Receptors localized on presynaptic side of mossy fibers could regulate excitatory neurotransmission and as result affect release of glutamate in hippocampus [97, 98]. On the other hand, colocalization analyses show that 5-HT<sub>2A</sub> receptors are expressed in GABAergic neurons located in different rat hippocampal regions. This colocalization is similar in different hippocampal areas: in DG, CA1, CA2 and CA3 field. In hippocampal CA areas are 5-HT<sub>2A</sub> receptors widespread in number of GABAergic interneurons distributed in pyramidal cell layer, in strata oriens, radiatum and lacunosum-moleculare.

The 5-HT<sub>2A</sub> receptors are expressed on 90% of GABAergic neurons in hippocampus [92]. Electrophysiology studies showed that activation of 5-HT<sub>2A</sub> receptors activate GABAergic neurons in rat DG [99] and in CA1 field [100]. High density of 5-HT<sub>2A</sub> receptor in deeper layers of granular cell layer corresponds with study demonstrating that 5-HT receptors can regulate neurogenesis in subgranular zone of DG [101]. Because GABA regulates progenitor turnover and integration of newly synthetized neurons in DG [102], it can be assumed that GABA neurons distributed in subgranular zone can be involved in hippocampal progenitor proliferation mediated by 5-HT<sub>2A</sub> receptors [103].

### Function of 5-HT<sub>2A</sub> Receptors in Hippocampus in Health

Recent studies suggested that 5-HT<sub>2A</sub> receptors are included in several hippocampal functions although underlying mechanisms are still unclear. Activity of hippocampal pyramidal neurons can be modulated by 5-HT<sub>2A</sub> receptors in different ways: directly, by activation of 5-HT<sub>2A</sub> receptors in pyramidal cells, or indirectly, by activation of 5-HT<sub>2A</sub> receptors in GABA interneurons [96]. Serotonin 5-HT<sub>2A</sub> receptors can participate in information processing in hippocampus by participating in neurotransmission in different neuronal populations. Strong and widespread expression of 5-HT<sub>2A</sub> receptors in hippocampus is prerequisite for critical involvement of 5-HT receptors in number of brain functions including learning and memory [92]. It was shown that an application of M100907 (highly selective 5-HT<sub>2A</sub> receptors antagonist) to brain slices facilitates induction of long term potentiation (LTP) in CA1 field of rat hippocampus [104].

As a critical factor modulating brain plasticity is considered brain-derived neurotrophic factor (BDNF). Hippocampal BDNF mRNA expression was induced by physical activity which positively regulated neurogenesis and induced LTP [105]. This factor can acutely influence synaptic efficiency of neurons. Some electrophysiological studies demonstrate that application of BDNF on hippocampal slices results in increase of synaptic strength [106–110]. In hippocampus 5-HT<sub>2A</sub> receptors participate in regulation of BDNF levels as their agonist DOI decreased the expression of BDNF mRNA in granular cell layer in DG, but not in CA regions. Effect of agonist was blocked by pretreatment with selective antagonist of 5-HT<sub>2A</sub> receptors. Same decrease of BDNF expression in hippocampus is observed during stress and it is possible that this effect is mediated by 5-HT<sub>2A</sub> receptors. This hypothesis is supported by an observation that pretreatment with ketaserin significantly blocked stress induced decrease in BDNF expression [111].

Involvement of 5-HT<sub>2A</sub> receptors in process of learning and memory is supported by study where systematic activation of  $5-HT_{2A}$  receptors with agonist (TCB-2) enhanced the consolidation of both fear memory and object memory [112]. The memory strengthening effect of TCB-2 was blocked by pretreatment with 5-HT<sub>2A</sub> receptors antagonist (MDL11,939). Local microinfusion of TCB-2 into CA1 field of dorsal hippocampus had similar effect on memory consolidation observed after systemic treatment [113]. Postsynaptic 5- $HT_{2A}$  receptors can modulate memory storage associated with object also by influencing on N-Methyl-D-Aspartate (NMDA) receptors. It is supported by fact that hippocampal 5-HT<sub>2A</sub> receptors are predominantly expressed in dendritic part of pyramidal neurons [93, 114] and dendrites which expressed 5-HT<sub>2A</sub> receptors expressed also NMDAR subunit NR1 and GluR2 [114]. Activation of 5-HT<sub>2A</sub> receptors causes an increase of intracellular Ca<sup>2+</sup> concentration which in combination with NMDA receptor-mediated calcium influx can strengthen the synaptic plasticity. These observations suggest that an activation of 5-HT<sub>2A</sub> receptors induces facilitation of object memory storage and can result from potentiating of glutamate release in hippocampus, temporal dynamics of pyramidal neurons and critical post-training period. These receptors may serve as a drug

target for pharmacological intervention in the treatment of memory disorders [115]. It is known that new neurons are generated in mammal DG. These new neurons are later during life integrated into hippocampal circuit. Serotonin belongs to important factors influencing neurogenesis. Among others 5-HT receptor subtypes (5-HT<sub>1A</sub>, 5-HT<sub>1B</sub> and 5-HT<sub>2C</sub>), activation of 5-HT<sub>2A</sub> receptors is involved in the positive regulation of adult neurogenesis in DG caused by regulation of cell proliferation in this region [103]. It was reported that some animal models of depression produce decrease in hippocampal cell proliferation and neurogenesis. Unlike the depression, chronic treatment with antidepressants, such as SSRIs, seem to have the positive effect on neurogenesis which is sufficient to reduce anxiety and depression-related behavior [116].

## Role of Hippocampal Serotonin-2A Receptors in Pathophysiology and Treatment of Depression

The main effect of antidepressants is increasing of synaptic 5-HT levels. There is some evidence suggesting that hippocampus can be influenced by depression. It is known that hypercorticosolemia, an animal model of depression, results in the death of hippocampal neurons [117]. Change of serotonergic function in hippocampus is likely to be involved in defects of mood regulation associated with the major depressive disorder (MDD). Serotonin 5-HT<sub>2A</sub> receptors play role in these changes. Postmortem studies in depressed suicide completers documented changes in 5-HT<sub>2A</sub> receptors binding in hippocampus [118, 119]. Magnetic resonance imaging (MRI) studies showed changes in 5-HT<sub>2A</sub> receptors binding potential in hippocampus in patients with MDD [120, 121]. Magnetic resonance imaging studies also demonstrated decrease of hippocampal volume in patients with MDD which correlated with duration of depression [120, 121]. However, decrease in 5-HT<sub>2A</sub> receptors binding potential is higher than volume loss and indicates that both conditions can coexist. Not only depression itself, but also the total number of days with depression inversely correlates with hippocampal volume [121, 122]. Serotonin 5-HT<sub>2A</sub> receptor binding is not influenced by depression phase. However, patients not previously treated for depression have lower 5-HT<sub>2A</sub> receptor binding than patients with previous medication treatment. It is possible that medication treatment provides compensatory upregulation of 5-HT<sub>2A</sub> receptors [123]. It is well established that decreased 5-HT<sub>2A</sub> receptor transmission is associated with depression [124]. It is also possible that decreased 5-HT<sub>2A</sub> receptor-mediated neurotransmission has special importance. Indeed, decreased 5-HT<sub>2A</sub> receptors binding was reported in patients with depression [123]. In addition, antidepressants treatment may cause changes in expression and binding of  $5\text{-}HT_{2A}$  receptors and these changes can persist for a long time after treatment [1, 125–129].

Nowadays, the role of astrocytes in depression has been intensively studied [130]. 5-HT<sub>2A</sub> receptors are expressed not only in hippocampal neurons, but also in astrocytes. This suggests the possibility that also 5-HT<sub>2A</sub> receptors express in astrocyte

have functional implications in psychiatric disorders [95]. Beside their housekeeping functions, astrocytes are dynamic regulators of synaptogenesis, synaptic strength and control neurogenesis in the adult DG [131]. Astrocytes synthesize and release many neurotrophic factors vital for neuronal health such as BDNF, glial-derived neurotrophic factor (GDNF), nerve growth factor (NGF), and neurotrophins 3 and 4/5 [132, 133]. Brain-derivated neurotrophic factor blocks neurogenesis in depression which is opposite to healthy condition. Its function has been implicated in the neurogenesis hypothesis of depression in which the antidepressants enhance neurogenesis, and BDNF is a key regulator of this mechanism. Antidepressants (including SSRIs) induce the CREB phosphorylation, CREB binds to the BDNF 13 promoter and induces BDNF transcription. Moreover, stress can reduce the expression of BDNF in the hippocampus and this reduction can be prevented by long-term chronic antidepressant treatment [134, 135]. In vitro studies reported that SSRIs stimulate the expression of BDNF, GDNF and vascular endothelial growth factor (VEGF) in primary culture of astrocytes [136–138]. In vivo data showed that the specific overexpression of BDNF in hippocampal astrocytes produced antidepressant-like effect accompanied by an increase in cell proliferation, maturation and survival of new neurons by generated cells in the DG of the hippocampus [139]. It is possible that astrocytes contribute to the enhancement in neurotrophic support and associated augmentation in synaptic plasticity that may form the basis for antidepressant efficacy. Several reports suggested that fluoxetine and other drugs can modulate the structural plasticity of astrocytes. Following chronic administration of lithium and some antipsychotic drugs, increased numbers of glia have been reported in the hippocampi of rats and nonhuman primates [140, 141]. In another study fluoxetine prevented the stress-induced decrease on a number of hippocampal astrocytes, but had no effect in nonstressed animals [142]. It demonstrates that fluoxetine, a prominent member of the SSRI family, can significantly modify the structural plasticity of astrocytes, and it is very likely that these morphological alterations either reflect or induce functional changes within the glial-neuronal interaction [142]. In particular, it is well accepted that SSRIs activate 5-HT<sub>2A</sub> receptors and stimulate signaling intracellular cascades leading to the phosphorylation/activation of extracellular signal regulated kinases (ERK1/2). Hence, antidepressants may exert their therapeutic activity by stimulating this pathway. In the hippocampus ERK1/2 have been implicated in mood regulation [143] as suggested by their blunted activation and/or expression in both depressed patient [144] and animal models of depression [145].

#### Conclusion

The 5-HT<sub>2A</sub> receptors belong to the 5-HT<sub>2</sub> receptor family, the only known group of 5-HT receptors which are coupled to  $G\alpha_{Q/Z}$  proteins. The primary signal transduction mechanism of 5-HT<sub>2A</sub> receptors involves activation of PLC and calcium signaling. However, 5-HT<sub>2A</sub> receptor-mediated alteration of cAMP levels has also been reported. The 5-HT<sub>2A</sub> receptor is a product of 5HT2AR gene. Genetic polymorphism

of 5HT2AR gene, its epigenetic regulation, and post-translational modifications of 5HT2AR mRNA have been reported. Furthermore, pre- and post-translational 5HT2AR alterations correlate with certain CNS disorders, such as depression, schizophrenia, dementia, and alcohol and nicotine dependence. On the functional level, 5-HT<sub>2A</sub> receptors play a central role in the interaction between 5-HT and norepinephrine systems and they are also involved in 5-HT-glutamate, 5-HT-GABA, and 5-HT-dopamine interactions. In addition,  $5-HT_{2A}$  receptors are fundamental in the modulation of hippocampal neuronal circuits. These lines of evidence, taken together, indicate that  $5-HT_{2A}$  receptors are one of the primary targets for antidepressant and mood stabilizing drugs and other CNS medications. And indeed, atypical antidepressant drugs act as antagonist of  $5-HT_{2A}$  receptors.

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