Chapter 3 HCC



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3.1 Introduction

Hepatocellular carcinoma (HCC) ranks among the most common cancers worldwide, representing the sixth most common one, the third cause of cancer-related death, and accounts for 7% of all cancers [1]. HCC represents more than 90% of primary liver cancers and is a major global health problem. Over the last three decades, the age-adjusted incidence of liver cancer has risen to 4.6 per 100,000 individuals. The incidence of HCC will likely continue to rise as the hepatitis C epidemic reaches maturity and nonalcoholic steatohepatitis becomes more prevalent. The incidence of HCC increases progressively with advancing age in all populations, reaching a peak at 70 years [2].

Approximately 90% of HCCs are associated with a known underlying risk factor: the most frequent factors include chronic

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viral hepatitis (types B and C), alcohol intake, and aflatoxin exposure. In the developed Western world, only 20% of cases can be attributed to HBV infection, while chronic hepatitis C appears to be the major risk factor [3].

Cirrhosis is the other most important risk factor for HCC and may be caused by chronic viral hepatitis, alcohol, and other inherited metabolic diseases. All etiologic forms of cirrhosis may be complicated by tumor formation, but the risk is higher in patients with hepatitis infection. Overall, one-third of cirrhotic patients will develop HCC during their lifetime [4].

Recent studies have shown that liver cancer incidence increases in parallel to portal pressure as directly measured [5] or in parallel to the degree of liver stiffness as measured by elastography [6, 7].

The presence of cirrhosis influences the chance for anticancer treatment, affecting their results. Then, many available treatments can have an adverse impact on cirrhosis and the exact cause of death, which could be either the underlying disease or HCC.

3.2 Diagnosis

Early stage of HCC may be treated with potentially curative procedures such as resection, percutaneous ablation, and transplantation. Thus, there is an urgent need to identify better tools for detecting and characterizing these lesions in order to improve clinical outcome of HCC patients. Diagnosis of small HCC is feasible in 30–60% of cases, and this enables the application of curative treatments.

Until 2000, diagnosis was based on biopsy, and then a panel of experts reported, for the first time, noninvasive criteria (see Fig. 3.1) for HCC, based on a combination of imaging and laboratory findings [8]. The dynamic radiological contrast enhance-

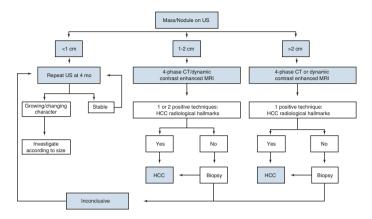


Fig. 3.1 Diagnostic algorithm for HCC in cirrhotic patients [8]

ment in the arterial phase by CT, MRI, angiography, or US (CEUS) represents the most important finding for the radiological diagnosis of early HCC.

The clinical evaluation and management of HCC require a comprehensive, multidisciplinary approach that involves cancer surveillance and consideration of both surgical and medical therapies.

The implementation of such an approach has resulted in increased survival rates for HCC. The therapeutic approach for HCC can vary widely depending on the extent of disease and on the underlying liver impairment due to the cirrhosis: from potentially curative surgical resection and/or ablation for small localized tumors to liver transplantation or newer biologic therapies for more advanced disease. Advances in minimal invasive therapies, such as radiofrequency (RFA), microwaves (MWA) ablation, and transarterial embolization and chemoembolization (TACE/TAE), transarterial radioembolization (TARE), play a vital role in the management of different stages of disease and also in pre- and perioperative transplant patients.

3.3 Staging Systems

Disease staging is particularly important in the management of HCC because it helps to predict prognosis and determine appropriate treatment options. The conventional tumor-nodemetastasis (TNM) classification of solid tumors, failed to be considered as reference system as in other fields, because of the two coexisting disease in the liver, even if its prognostic value could be taken in consideration, also for non-operated tumors [9, 10]. The most effective staging systems have to incorporate information about both cancer stage and liver function, which is often affected by the underlying liver disease. The Child-Turcotte-Pugh (CTP = TAB IIa/IIb) model is exclusively an assessment of liver function and is intended to predict prognosis and stratify disease severity, to facilitate transplant allocation [11]. While still used as a complementary tool to help with treatment decisions or evaluate progression and/or regression of disease, the CTP model has largely been replaced by the model for end-stage liver disease (MELD) score [12, 13]. MELD was originally developed at the Mayo Clinic and at that point was called the "Mayo End-stage Liver Disease" score [14]. It was derived from a series of patients undergoing TIPS procedures. The score turned out to be predictive of prognosis in chronic liver disease in general and-with some modifications-came to be applied as an objective tool in assigning need for a liver transplant. Higher MELD scores reflect more severe disease, poorer prognosis, and greater likelihood of liver transplantation, barring any absolute contraindications to transplantation [15-18]. While patients with HCC may be granted exception points that are added to their scores, the MELD system was not designed to assess HCC disease severity, and it does not provide good prognostic classification for these patients. The four major HCC staging systems include the American Joint Committee on

Cancer's tumor-node-metastasis (TNM) model, the Okuda classification model, the Cancer of the Liver Italian Program (CLIP) score, and the Barcelona-Clínic Liver Cancer (BCLC) staging system. The BCLC staging system has emerged as the most accurate and comprehensive cancer model to show consistent prognostic determination. The Barcelona-Clínic Liver Cancer classification divides HCC patients in five stages (0, A, B, C, and D), according to preestablished prognostic variables, and allocates therapies according to treatment-related status (Fig. 3.2) [19–21]. Thus, it provides information on both prognostic prediction and treatment allocation. Prognosis prediction is defined by variables related to tumor status (size, number, vascular invasion, N1, M1), liver function (Child-Pugh's), and health status (ECOG). Treatment allocation incorporates treatment-dependent variables, which have been shown to influence therapeutic outcome, such as bilirubin, portal hypertension, or presence of symptoms-ECOG. While future studies incorporating genomic and proteomic profiles of patients and their cancers will provide even more accurate prognostic data and more individualized therapy, the BCLC model is currently the most comprehensive and widely accepted staging system for HCC, mainly for its practical aspect and for being the only one linked to the treatment algorithm. BCLC has become the reference classification in daily clinical practice and for clinical trials in Western countries, and it is endorsed by EASL (European Associations for the Study of the Liver) and AASLD (American Association for the Study of Liver Diseases). However BCLC stage B and C include a wide range of different tumors even if only referred to TACE as the only therapeutic option. For that reason a complementary score system (NIACE) has been proposed by some experts in order to extend the indications for surgery (BCLC B) or for transarterial chemoembolization (BCLC C) [10] (Tables 3.1 and 3.2).

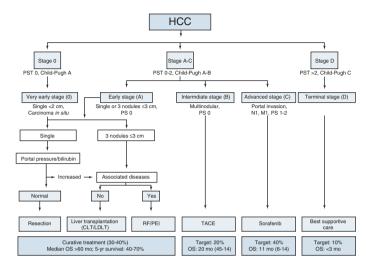


Fig. 3.2 Updated BCLC staging system and treatment strategy, 2011. Reproduced from [22]

Table 3.1	Child	Pugh	Score	System
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Measure	1 point	2 points	3 points
Total bilirubin, µmol/L (mg/dL)	<34 (<2)	34–50 (2–3)	>50(>3)
Serum albumin, g/L	>35	28-35	<28
PT INR	<1.7	1.71-2.30	> 2.30
Ascites	None	Mild	Moderate to severe
Hepatic encephalopathy	None	Grade I–II (or suppressed with medication)	Grade III–IV (or refractory)

The score employs five clinical measures of liver disease. Each measure is scored 1–3, with three indicating the most severe liver function impairment [23]

		0	
Points	Class	1-year survival (%)	2-year survival (%)
5–6	А	100	85
7–9	В	81	57
10-15	С	45	35

 Table 3.2
 Child-Pugh score classification

Chronic liver disease is classified into Child-Pugh class A to C, employing the added score from above

3.4 Prognosis

The prognosis of advanced hepatocellular carcinoma (HCC) remains poor, particularly for patients with portal vein tumor thrombosis and extrahepatic metastases (median survival: 3–6 months).

The Tokyo-index is a well established and simple indicator for prognosis for survival.

Tokyo score			
Parameter	0	1	3
Albumin (g/dL)	>3.5	2.8-3.5	<2.8
Bilirubin (mg/dL)	<1	1-2	>2
Tumor size (cm)	<2	2-5	>5
Tumor foci	<3	1–3	>3

Patients with a score up to 2 do have a relative good prognosis. Patients with a total score between 4 and 6 do have a 2-year survival expectation of 50%.

3.5 Therapy

In oncology, the benefits of treatments should be assessed through randomized controlled trials and meta-analysis. Few medical interventions have been systematically tested in HCC, in contrast with other cancers with a high prevalence worldwide, such as lung, breast, colorectal, and stomach cancer. As a result, the strength of evidence for most therapies in HCC is far behind the most prevalent cancers worldwide. The level of evidence for efficacy, according to trial design and endpoints for all available treatments in HCC and the strength of recommendations according to GRADE, are summarized in Fig. 3.3.

Recommendations, in terms of selection for different treatment strategies, should be based on evidence-based data, in circumstances where all potential efficacious interventions are available. However, multidisciplinary HCC tumor boards, including hepatologists, surgeons, oncologists, radiologists, interventional radiologists, pathologists, and translational researchers, should discuss any single HCC patient, according to the specific clinical characteristics and imaging findings and to the international guidelines; treatment strategies should be adapted to local regulations and/or team capacities and costbenefit strategies. The ideal treatment option, for a specific

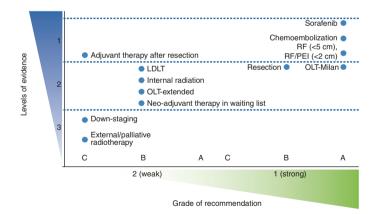


Fig 3.3 Representation of EASL–EORTC recommendations for treatment according to levels of evidence (NCI classification) and strength of recommendation (GRADE system) [24]

patient with HCC, is determined based on the burden of tumor and extent of underlying liver disease.

3.5.1 Surgical Approach

Liver resection or transplantation have been considered the best treatment options, with curative intent, for patients with HCC until the role of hepatic ablative therapies has emerged as effective curative option. A recent meta-analysis of about 8500 patients, with a 10-year perspective, showed that in patients with very early HCC and Child-Pugh class A, RFA provides similar life expectancy and quality-adjusted life year at a lower cost compared with resection [25]. However, surgical resection is still widely considered as the primary treatment in carefully selected patients with HCC. With the advances in surgical and interventional radiology techniques (such as preoperative portal vein embolization), the perioperative mortality has been reduced to less than 5%, depending on the extent of resection and hepatic reserve. Modern standards of HCC resection in cirrhotic patients are defined as follows: expected 5-year survival rates of 60-76%, with a perioperative mortality of 1.3-3% and blood transfusion requirements of less than 10% [26-31]. Anatomic resections, aiming at 2 cm margins, provide better survival outcome than narrow resection margins <1 cm [32] and are recommended only in case that the maintenance of appropriate function to the remnant liver volume is ensured. In patients properly selected according to liver functional status, the main predictors of survival are tumor size, number of microsatellites, and vascular invasion [33]. The Japanese nationwide survey has shown that a cutoff below 2 cm is an independent predictor of survival in a series of thousands of patients [34]. Five-year survival rates for patients with HCC ≤ 2 cm was of 66%, compared with 52% for tumors 2-5 cm, and 37% for tumors >5 cm. Multinodularity also predicts survival, with 5-year survival rates after resection of single tumors of 57 and 26% for three or more nodules, respectively. A recent meta-analysis, however, demonstrated that OS and DFS were better in hepatic resection with postoperative TACE group than in hepatic resection without postoperative TACE group. The same paper revealed not advantages in using TACE as a neoadjuvant therapy before liver resection [35].

Liver transplantation is the first treatment choice for patients with small multinodular tumors (<3 nodules <3 cm) or those with single tumors ≤ 5 cm and advanced liver dysfunction. Theoretically, transplantation may simultaneously cure the tumor and the underlying cirrhosis. The role of liver transplantation, as the mainstay of treatment for the majority of patients with HCC, has evolved in the last few decades. Historically, the Milan criteria have been considered the gold standard for selecting patients: single HCC ≤ 5 cm or up to three nodules \leq 3 cm [36]. Following these criteria and according to modern standards, perioperative, 1-year, and 5-year mortality are expected to be 3%, $\leq 10\%$, and $\leq 30\%$, respectively. Living donor liver transplantation has emerged as a way to expand the donor pool and has influenced the role of transplantation for HCC, especially in communities with little access to cadaveric transplantation. Salvage transplantation is an alternative option as it allows a window for the biologically less favorable lesions to declare tumor behavior. Salvage transplantation also decreases the burden on transplant resources. Three-year survival expectation: 60-80%.

3.5.2 Systemic Therapy

Systemic chemotherapy does not play a central role in the treatment of HCC, due to the issue of a low sensitivity for chemotherapeutic agents and the difficulties in administering a sufficient dose, due to chronic liver dysfunction. Systemic treatment, by mean of biologicals, is the new frontier for advanced stage HCC. Sorafenib, an oral protein kinase inhibitor, is a systemic drug that has been licensed for the treatment of hepatocellular carcinoma (HCC). An international, phase III, placebo-controlled trial (SHARP) demonstrated an advantage in the median overall survival (10.7 vs. 7.9 months) and the median time to radiological progression (5.5 vs. 2.8 months) Sorafenib group [37].

3.5.3 Minimally Invasive Locoregional Therapies

Locoregional hepatic tumor therapies include intra-arterial, percutaneous, and external therapies and the guidelines of the Liver Cancer Study Group of Japan (JSH 2014), is the only treatment algorithm including all the available local therapeutic techniques, for the wide range of clinical appearances of patients affected by HCC (Fig. 3.4).

Intra-arterial Therapies:

- 1. Hepatic arterial infusion (HAI)
- 2. Transarterial chemoembolization (TACE)
- 3. Transarterial embolization (TAE)
- 4. Y90 radioembolization (Y90RE)
- 5. Percutaneous hepatic chemoperfusion (PHP)

Percutaneous Therapies:

- 1. Percutaneous ethanol injection (PEI)
- Local ablative techniques (radiofrequency ablation, RFA; microwaves ablation, MWA; laser-induced thermotherapy, LITT)
- 3. Combined therapies (usually intra-arterial and local ablative)

External Therapies:

- 1. External Beam Radiation Therapy (EBRT)
- 2. High-intensity focused ultrasound

Intra-Arterial Therapies:

Clinical conditions:

- Patients with large single or multinodular HCC
- Sufficient liver function
- No infiltration of other big vessels
- No distal metastases influencing the prognosis

3.5.3.1 Hepatic Arterial Infusion (HAI)

Chemotherapeutic agents: 5-Fluorouracile, Cisplatinum/ Oxaliplatin, Mitomycin C.

The concept of regional chemotherapy for hepatic metastases via HAI, is based on several principles. First, hepatic tumors (both primary and metastatic ones) derive their blood supply from the hepatic artery, while normal hepatocytes are perfused mostly from the portal circulation [39]. Thus, infusion of chemotherapy via the hepatic artery could achieve toxic levels in tumor cells, with relative sparing of normal hepatic parenchyma. Second, extraction of drug from the hepatic arterial circulation via the first-pass effect, can result in high local concentrations and minimal systemic toxicity. The ideal agent should have a high dose-response curve, high extraction rate, and rapid total body clearance once infusion is discontinued. Intra-arterial chemotherapy is one of the possible treatment options, for patients with advanced HCC not candidate for hepatic resection, percutaneous ablation, and transcatheter arterial chemoembolization. Patients with advanced HCC are increasingly treated in Japan with hepatic

arterial infusion chemotherapy (HAIC). HAIC may provide moderate therapeutic efficacy and survival benefit with substantially tolerable toxicity profiles in patients with advanced HCC.

A dedicated arterial infusion catheter is placed through the left subclavian artery with the tip located into the coiled GDA. A side hole is made, at the level of proper hepatic artery, in order to deliver the drug into the arterial blood stream. Proximal end of infusion catheter is connected with a reservoir (port), which is surgically placed in a subcutaneous pocket, below the clavicle. In BCLC treatment strategy flow-chart, selective intra-arterial chemotherapy is not recommended for the management of HCC (evidence 2A; recommendation 2B); meanwhile this therapy is indicated by the guidelines of the Liver Cancer Study Group of Japan (JSH 2014) for patients with portal vein invasion at the main portal branch (Fig. 3.4) [38].

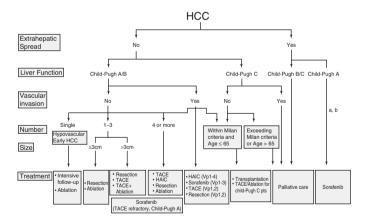


Fig. 3.4 Consensus-based treatment algorithm for hepatocellular carcinoma revised in 2014 [38]

3.5.3.2 Transarterial Chemoembolization (TACE)

Chemotherapeutic agents: Doxorubicin, Cisplatinum, Mitomycin C.

Chemoembolization is the most widely used primary treatment for unresectable HCC [34, 40, 41] and the recommended first-line therapy for patients at intermediate stage of the disease [22, 42, 43]. HCC has an intense neo-angiogenic activity during its progression. The rationale for TACE is that the intra-arterial infusion of a cytotoxic agent, followed by embolization of the tumor-feeding blood vessels, will result in a strong cytotoxic and ischemic effect.

TACE should be distinguished from the lipiodol conventional TACE (cTACE), drug-eluting beads TACE (DEBTACE), and bland embolization (TAE and micro-bland TAE).

 cTACE combines transcatheter delivery of chemotherapy emulsified with lipiodol followed by embolization of the feeding arteries. Chemoembolization achieves partial responses in 15–55% of patients and significantly delays tumor progression and macrovascular invasion. Survival benefits, among supporting care, were obtained for the first time in two studies, both published in 2002 [44, 45].

Meta-analysis of some RCTs showed a beneficial survival effect of TAE/cTACE in comparison to the control group [43]. Sensitivity analysis showed a significant benefit of cTACE with cisplatin or doxorubicin in four studies but none with embolization (using old embolic materials) alone in three studies. Overall, the median survival for intermediate HCC cases is expected to be around 16 months, whereas after chemoembolization the median survival is about 20 months. As a result of these investigations, TACE has been established as the standard of care for patients who meet the criteria for the intermediate stage of the BCLC staging system.

Treatment-related deaths are expected in less than 2% of cases, and the best candidates are patients with preserved liver function and asymptomatic multinodular tumors, without vascular invasion or extrahepatic spread. Patients should present relatively well-preserved liver function (mostly Child-Pugh A or B7 without ascites). Patients with liver decompensation or more advanced liver failure, should be excluded since the ischemic insult can lead to severe adverse events [46], if the technique is not carried out with a super-selective way. There is no good evidence for which is the best chemotherapeutical agent and the optimal re-treatment strategy. Super-selective chemoembolization is recommended to minimize the ischemic insult to non-tumoral tissue, enhancing the therapeutic effect. Hepatic resection, RFA, and cTACE have been recently compared regarding the long-term survival, and it was found that a 5-year OS with cTACE was similar to the other two local treatments, in patients with single-nodule HCC of 3 cm or smaller without vascular invasion. The authors also suggested that special care should be taken to obtain a complete response when cTACE is used as an initial treatment [47]. cTACE, DEBTACE, and TAE are usually performed through the femoral artery percutaneous approach. A selective angiography of proper hepatic artery has to be performed, in order to define the liver vasculature and detect the tumor-feeding vessels. With the help of selective catheters and micro-catheters, a super-selective embolization of tumor-feeding arteries should be achieved, sparing the unaffected areas of the liver parenchyma. Endpoint, for a better result, should be the vascular shutdown to the tumor. Despite selecting the patients and performing a super-selective embolization, TACE is not without risks. Complications may range from post-embolization syndrome (of variable intensity) to liver abscesses, hepatic insufficiency, ischemic cholecystitis, or cases of death that have even been also described. The use of cone-beam CT or fluoro-CT hybrid devices during the intra-arterial techniques, also

can improve the efficacy and safety of chemoembolization, positively affecting the prognosis of HCC patients [48].

- DEBTACE. The ideal TACE scheme should allow maximum and sustained intratumoral concentration of the chemotherapeutic agent with minimal systemic exposure, along with calibrated tumor vessel obstruction. DEBTACE is performed by injecting microspheres loaded with antiblastic drug, such as doxorubicin. Unlikely to the cTACE, where the injected drug is quickly release into the systemic circulation, drug-eluting beads provide a gradual release of the chemotherapy agent into the tumor, reducing the systemic side effect and maximizing the local efficacy against tumor cells. Embolic microspheres have the ability to sequester chemotherapeutic agents and release them in a controlled mode, over a 1-week period. This strategy has been shown to increase the local concentration of the drug, with negligible systemic toxicity [49]. However, a randomized phase II study comparing TACE and DEBTACE reported a nonsignificant trend of better antitumoral effect [50] [295r] in the latter arm. Two recent meta-analyses comparing DEBTACE with cTACE concluded that both techniques lead to similar clinical response and tolerance [51, 52].

3.5.3.3 Transarterial Embolization (TAE)

In the majority of published studies on HCC treatment with TAE, the reported embolic agent is gelatin sponge, which may induce only temporarily ischemia and without distal tumor vessel embolization. Only recently, few new studies on new embolic agents, such as resin or gelatin microspheres, are available. Even if there is no evidence for a better survival benefit from DEBTACE than TACE and also TAE, if performed with

small particles (40/100 μ m), there is an increasing general consensus about the need to use the smallest available particles in treating HCC, in order to achieve a better, durable, and deeper embolic effect, independently by the use of drug or not [53-56]. Few papers on HCC treatment with TAE, using very small particles, reported an interesting safety profile with local results comparable with DEBTACE/TACE series [57]. A retrospective study, comparing TAE and DEBTACE in patients waiting for liver transplantation, demonstrated no differences in outcomes of the two treatments [58]. However, based on data coming from old papers on TAE with gelatin sponge, BCLC doesn't recommend the use of TAE for HCC. A recent randomized clinical trial comparing TAE and DEBTACE reported no apparent difference, between the two treatment arms, in terms of response, PFS, or OS. The authors also supported the use of TAE as a reasonable therapeutic option and an alternative to the DEBTACE with doxorubicin-loaded microspheres, according to the comparable safety profile, progression rate, and survival [59].

3.5.3.4 Y90 Radio Embolization (Y90RE)

Radioembolization is defined as the infusion of very small (<40 μ m) microspheres containing yttrium-90 (90Y) [60–62] into the hepatic artery. Due to the hypervascularity of HCC, intra-arterial injection of microspheres will be preferentially delivered to the tumor-bearing area and selectively emit high energy, with a low-penetrating radiation to the tumor. This treatment should be reserved only to centers with sophisticated equipments and trained interventional radiologists, in cooperation with nuclear medicine specialists, in order to reduce the potential risk of possible serious side effects: severe lung shunting and intestinal radiation should be prevented prior to the procedure. This treatment can be safely used in patients with portal

vein thrombosis, where it seems to obtain the best clinical results [61]. Recently, some studies reported a median survival time of 17.2 months for patients at intermediate stages and 12 months for patients at advanced stages and portal vein invasion [61-63]. Objective response rates ranged from 35 to 50% [60-62]. Around 20% of patients present liver-related toxicity and 3% treatment-related death [60]. Despite the amount of data reported, there are no RCT testing the efficacy of 90Y radioembolization compared with chemoembolization or sorafenib in patients at intermediate or advanced stage, respectively. Only retrospective analyses are available, reporting approximately equivalent survivals after TACE and TARE. However, in a recent meta-analysis, the adjusted indirect comparison of DEBTACE versus TARE for hepatocellular carcinoma revealed a median overall survival longer for DEBTACE (22.6 vs. 14.7 months), with no significant difference in tumor response rate [64].

Further research trials are needed to establish a competitive efficacy role in this population (BCLC = evidence 2A; recommendation 2B).

3.5.3.5 Percutaneous Hepatic Chemoperfusion (PHP)

Percutaneous hepatic perfusion (PHP) is a regionalized, minimally invasive approach to cancer treatment currently undergoing Phase II and Phase III clinical testing in melanoma, CRC, and NET metastatic patients. PHP may treat a variety of hepatic tumors, including HCC, by isolating the liver and exposing the organ to high-dose chemotherapy [65]. As demonstrated in clinical trials, patients treated by PHP can tolerate much higher doses of chemotherapeutic agents than those receiving traditional systemic chemotherapy without increased toxicities.

Using a system of catheters and filters, PHP isolates the liver from the circulatory system and infuses a chemotherapeutic agent directly to the liver via the hepatic artery. The venous effluent from the liver is then filtered outside of the body, and the filtered blood is returned into the jugular vein. PHP is a repeatable procedure and can be performed in an operating room or a radiology suite under general anesthesia. There are very few experiences in the treatment of HCC patients; however the complexity of this revolutionary technique represents the main limitation. Further studies and a longer experience are needed before to treat HCC patient with PHP outside protocol studies.

Author	Ν	Concept ^a	Intra-arterial therapy	RR (%)	Median survival (months)	Years survival (%)
Gerunda et al. [66]		TACE + LR vs LR vs. TACE	.1×: 50 mg epirubicin + Gelfoam	ND	Overall survival: TACE + LR vs. TACE/LR p < 0.05	
Graziade et al. [67]		TACE + LT	70 mg epirubicin + lipiodol (+/–PVA particles) Every 6–8 weeks	CR: 30 PR: 67	ND	1 year: 98 2 years: 98 5 years: 94
Yao et al. [68]	30	TACE+/- RFA+/- PEI + LT	ND	Down staging: 70	ND	1 year: 89 2 years: 82
Bharat et al. [69]		TACE (78%), RFA (11%), PE (2%), TACE + RFA (9%) + LT vs. LT	+ 10 mg MMC +	significant	5y OS(%): 82 vs. 52 (no difference in pT0 and t pT1)	

3.5.4 Study Results: Neoadjuvant Therapies (HAI/Chemoembolization)

(continued)

Author	Ν	Concept ^a	Intra-arterial therapy	RR (%)	Median survival (months)	Years survival (%)
Obed et al. [70]	74	TACE + LT vs. TACE vs. No therapy	50 mg epirubicin	After TACE: 29		ND
Zangos et al. [71]	48	TACE + LITT	10 mg/m ² MM0 + lipiodol - DSM 3× every 4 weeks		36	ND
Hoffmann et al. [72]	208	TACE +/- sorafenib + LT	4× carbo-DDP + lipiodol			
Zhou et al. [73]	108	TACE vs. control	mg MMC - 5 mg	Path. RR: \leq 50%: 40.4 vs. + 94.6 50–100%: 59.6 vs. 5.4 ($p < 0.01$)	ND	DfS (1 year, 3 years, 5 years): 49, 26, 13 vs. 39, 21, 9 OS (1 year, 3 years, 5 years): 73, 40, 31 vs. 70, 32, 21 p > 0.05
Choi et al. [74]	.16	TACE + radiation + LR	50 mg doxorubici + lipiodol - Gelfoam Median: 3×/ patient		13	ND
Schaudt et al. [75]	27	TACE/TACE + PEI/ LITT + LT	lipiodol +	TACE (<i>N</i> = 15): PR/SD: <i>N</i> = 14	OS (TACE vs. non- TACE): 82 vs. 61%	
Wang et al. [76]		TACE + LR vs LR	.cTACE	ND	ND	5y OS = in two groups

(continued)

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		Intra-arterial		Median survival	Years survival
Author	N Concept ^a	therapy	RR (%)	(months)	(%)
	MA TACE + LR 1347 LR	vs.cTACE	5y DFS > in TACE + L	ND R	ND
Si et al. [78]	MA TACE + LR 430 LR	vs.cTACE	ND	ND	5y OS = in two groups

^a*LR* liver resection, *LT* liver transplantation, *RFA* radiofrequency ablation, *LITT* laser-induced thermotherapy, *MA* meta-analysis

3.5.5 Study Results: Adjuvant Therapy (HAI/ Chemoembolization)

Author	Ν	Concept	Intra-arterial therapy	Median survival (months)	Years survival/DfS (%)
Lai et al. [79]	66	LR + TACE + IV chemotherapy vs. LR (control)	3 × 10 mg cisDDP + v lipiodol + 40 mg/m ² doxorubicin IV every 2 months	ND	DfS (1, 2, 3 years): 50, 36, 18 vs. 69, 53, 48 (p = 0.04)
Ono et al. [80]	. 108	HAI/IV vs. control (meta- analysis of 3 protocols)	 1 × 40 mg/m² epirubicin + oral 300 mg/d tegafur vs. control 1 × 40 mg/m² epirubicin + IV 40 mg/m² epirubicin every 3 months +300 mg/day Carmofur (2 years) vs. control IV 40 mg/m² epirubicin every 2 months (1 year) vs. control 	patients without adjuvant treatment p = 0.02	. ,

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Author	N	Concept	Intr	a-arterial therapy		lian survival nths)	Years survival/DfS (%)
Wen et al [81]	. 28	LR + HAI	d4: d7:	250 mg FUDR 10 mg doxorubicin 4 mg MMC ycles (1st and 2nd year after resection)	ND		1 year: 11 3 years: 7 5 years: 5
Li et al. [82]	131	A: LR vs. B: LR + TACE vs. C: LR + TACE + PVC ^a		30 mg doxorubicin + 20 mg mitomycin +80–100 mg cis- or carbo-DDP + lipiodol			DfS (1, 3, 5 year): 87, 66, 48 vs. 87, 77, 61 vs. 96, 85, 73 A vs. C: <i>p</i> = 0.005 A vs. B and B vs. C: <i>p</i> > 0.05
Peng et al. [83]	116	TACE vs. control	500	mg/m ² 5-FU + 30 mg/m ² doxorubicin + lipiodol + Gelfoam (2–5 cycles monthly)		vs. 9	Estimated survival rates (1, 3, 5 years): 51, 34, 22 vs. 33, 17, 9
Zhou et al. [73]	115	LR + TACE vs. LR	200	mg/m ² carbo-DDP + 6 mg/m ² MMC + lipiodol + 40 mg/m ² epirubicin	14 v	vs. 23	OS (1, 3, 5 years): 56, 19, 18 vs. 81, 33, 23
Zhong et al. [84]	659	LR + TACE vs. LR (meta- analysis)	Do	xorubicin, epirubicin, MMC, 5-FU, carbo-DDP + lipiodol +/– Gelfoam		vs. 41 (15 vs. 9 for patients with palliative LR)	
Cheng et al. [85]	909	(MA) LR + TACE vs. LR		xorubicin, epirubicin, MMC, 5-FU, carbo-DDP + lipiodol +/– Gelfoam			5y OS/DFS > in TACE + LR group

^a*PVC* portal vein chemotherapy

3.5.6 Study Results: Palliative Therapy

Concept TAE vs. TACE vs. BSC Ν 112 (37 vs. 40 vs. 35) Therapy TA(C)E: Gelfoam +/-75, 50 oder 25 mg/m² doxorubicin + Lipiodol Frequency Every 2 and 6 month, then every 6 month 25 vs. 29 vs. 18 Median survival 1, 2, 3 year (%): 75, 50, 29 vs. 82, 63, 29 vs. 17, 0, 0 (month) (p = 0.009)Toxicity TAE: 7 vs. TACE: 11 (cholecystitis, ischemic $(N \ge \text{grade})$ hepatitis, liver abscess, liver failure, III) gastrointestinal bleeding) Conclusion Therapeutic advantage for TACE, comparable results for TAE and BSC. Chemoembolization is the therapeutic standard for patients with unresectable HCC with adequate liver functions

Llovet et al. (2002) [45]:

Furuse et al. (2003) [86]:

Concept	TACE
Ν	17
Access	Via A. femoralis (A. hepatica distal of A. gastroduodenalis, left or right)
Therapy	40 mg/m ² epirubicin + Amilomer (DSM)
Frequency	Every 4–6 weeks
Response (%)	RR: 53
Median survival	22 month 2 year (%): 45
Toxicity (%)	pain (44), nausea (44), vomiting (22), fever (44), leucopenia (44)
Conclusion	In opposite to a lot of other TACE studies with nondegradable embolic materials, severe toxicities were not seen in this one. The promising response rates have to be reevaluated in bigger randomized studies

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Huo et al. (2003) [87]:

Concept	TACE + PAI vs. PAI
Ν	108
Therapy	TACE: 20-30 mg doxorubicin + lipiodol + Gelfoam
	PAI: 50% acetic acid
Frequency	TACE + PAI: max. $3 \times$
	PAI: 2×/week
Median	1–3 year:
survival	TACE + PAI vs. PAI: 100, 69 vs. 96, 32 (<i>p</i> = 0.008)
Toxicity (%)	TACE: fever, pain, elevation of liver enzymes (most of patients)
	PAI: mild
Conclusion	Sequential therapy with TACE and PAI is superior to repeated PAI therapies alone

Dettmer et al. (2006) [88]:

Concept	(1) TACE + PEI vs. (2) PEI vs. (3) PEI after TACE vs.
-	(4) PEI after BSC
Ν	101
Therapy	PEI: 96% steriler Äthanol
	TACE: 50 mg/m ² cisDDP +50 mg/m ² Doxorubicin
	+450–900 mg Amilomer (DSM) + 5–30 mL
	lipiodol
Frequency	ND
Median	1, 3 year: 73%, 47%
survival	1, 3, 5 year (%):(1): 90, 52, 43 ($N = 37$)/(2): 65, 50, 37
	(N = 34)/(3): 91, 40, 30 $(N = 10)/(4)$: 50, 23, 12
	(N = 20)
	(1) vs. (4) $p < 0.001$
Toxicity (%)	TACE ($N = 67$): 10.4% (2× leukopenia, 1×
	pancytopenia, 2× dissection of A. hepatica, 1× liver
	failure (reversible), $1 \times$ inguinal hematoma)
~	PEI (<i>N</i> = 268): 25.7%
Conclusion	Patients stratified to a combination of TACE and PEI
	can expect longer survival than those stratified to
	repeated PEI alone. Furthermore, patients with large
	or multiple tumors in good clinical status may also profit from a combination of TACE and
	reconsideration for secondary PEI
	reconsideration for secondary FEI

Concept	Prospective cohort study of TACE
Ν	8510
Therapy	Doxorubicin + cisDDP + lipiodol + Gelfoam
Frequency	ND
Median survival	1-, 3-, 5- und 7-Jahresüberleben (<i>N</i> = 8510): 82%, 47%, 26%, 16%
Survivar	Stadium T2 1-, 3- und 5-Jahresüberleben (<i>N</i> = 2934): 90%, 57%, 32%
	Stadium T3 1-, 3- und 5-Jahresüberleben (<i>N</i> = 2949): 80%, 39%, 20%
	Medianes Überleben 34 Monate
Toxicity	Mortality of TACE: 0.5%
Conclusion	TACE showed safe therapeutic modality with a relatively high 5-year survival rate for unresectable HCC patients

Takayasu et al. (2006) [41]:

Kirchhoff et al. (2007) [89]:

Concept	Retrospective cohort study of TACE
Ν	47
Therapy	50 mg/m ² cisDDP + 50 mg/m ² doxorubicin +450– 900 mg Amilomer (DSM) + lipiodol
Frequency	Every 6 weeks
Response	CR: 0, PR: 36%, NC: 55%, PD: 9%
Median survival	1 year, 2 year, 3 year: 75%, 59%, 41% OS 26 month
Toxicity (%)	Grad III: 7.1% ($N = 8$), Grad IV: 3.6% ($N = 4$),
Conclusion	DSM and lipiodol were combined successfully in the palliative TACE treatment of advanced HCC resulting in high rates of tumor response and survival at limited toxicity

Ishida et al. (2008) [90]:

Concept	TACE after TAE
Ν	13
Therapy	d1: 4–8 mg MMC + DSM followed by 1250 mg 5-FU + 25–50 mg cisDDP 125 mg FA d7: 1250 mg 5-FU + 25–50 mg cisDDP 125 mg FA
Frequency	Every 2 weeks
RR	CR: 1, PR: 12 RR: 86.7%
Survival	1-, 2, 3 year (%): 100, 29, 10 Median survival (month): 20.4
Toxicity (N)	Thrombocytopenia (> grade III): 8, abdominal pain (grade I–III): most of the patients, duodenal ulcer (II + III): 3
Conclusion	This novel TACE concept achieves favorable results and is useful in treating patients with multifocal HCC

Salem et al. (2010) [60]:

Concept	HAI of ⁹⁰ Y (single-center prospective)
Ν	291
Therapy	1-5 dosages (100-120 Gy/therapy), glass-based device
Results	TTP: 8 months
	OS (BCLC B vs. Child-Pugh A): 17 vs. 14 months
	RR (CR, PR): 42%
Toxicity	Bilirubin (grade III + IV): 19%, fatigue: >50%,
	diarrhea (some)
Conclusions	Patients with Child-Pugh A disease, with or without
	PVT, benefited most from the therapy. Patients with
	Child-Pugh B disease who had PVT had poor
	outcomes. These data can be used to design future
	Y90 trials and to describe Y90 as a potential
	treatment option for patients with HCC

Carr et al. (2010) [91]:

Concept	Comparison of TACE and HAI ⁹⁰ Y (single-center 2 cohort experience analyses, retrospectively)
Ν	932
Inclusion criteria	No candidates for surgical resection, RFA, or hepatic transplantation
Therapy	TACE (catheter): 125 mg/m ² cisDDP (30 min) + dexamethasone
	Embolization: Gelfoam or embospheres (100–300,µm)
	Every 8–12 weeks
	HAI ⁹⁰ Y: Single dose (after early progress second treatment possible)
Results	TACE ($N = 691$), HAI ⁹⁰ Y ($N = 99$), no treatment ($N = 142$)
	OS: 8.5 (TACE), 11.5 (HAI ⁹⁰ Y), 2.0 (untreated)
	RR (CR, PR, SD): 89% (TACE), 76 (HAI ⁹⁰ Y)
	RR (%): 65; PfS: 10.5 months, CR: <i>N</i> = 3, PR: <i>N</i> = 8; OS: 27.5 months
Toxicity (HAI)	Hematological (grade III + IV): $N = 9$, non- hematological (grade II + IV): $N = 4$
Conclusions	⁹⁰ Y and TACE seem to be equivalent regional therapies for patients with unresectable HCC

Lammer et al. (2010) [50]:

Concept	Comparison of doxorubicin-eluting-bead embolization with TACE
Ν	212
Therapy	4 mL DC beads (2 vials) with 150 mg doxorubicin vs. 50–75 mg/m ² doxorubicin + lipiodol + particles (e.g., PVA, Gelfoam)
Frequency	Every 2 months
RR (at	DC beads: CR: 27, PR: 25
6 months)	TACE: CR: 22, PR: 21
	RR (%): 52 vs. 44 (<i>p</i> = 0.11)
Survival	ND
Toxicity (N)	No statistical difference for primary safety endpoints
Conclusion	DC bead embolization leads to lower systemic doxorubicin levels with less systemic side effects. The activity is comparable to classical TACE

Nagano (2010) [92]:

Concept	HAI + IFN- α (s.c.)
Ν	55
Therapy	d1-5, 8-12: 300 mg/mm ³ /d 5-FU + 3x/week 5 Mio IU
	IFN- α (s.c.) week 3 and 4: only IFN
Frequency	1×
RR	CR: 8, PR: 4
	RR: 44%
Survival	1 year, 3 years (responders): 83, 31
	Median survival (months): 12
Toxicity (N)	Fever, chills, flue-like syndrome (grade I + II)
	Fatigue, nausea (grade I)
Conclusion	This therapy might be a promising strategy for patients with advanced HCC

Kucuk et al. (2010) [93]:

Concept	Comparison of TACE and HAI 90Y (single-center 2
	cohort experience analyses, retrospectively)
Ν	932
Inclusion criteria	No candidates for surgical resection, RFA, or hepatic transplantation
Therapy	TACE (catheter): 125 mg/m ² cisplatin
	(30 min) + dexamethasone
	Embolization: Gelfoam or embospheres
	$(100-300_{\mu}m)$
	Every 8–12 weeks
	HAI ⁹⁰ Y: Single dose (after early progress second treatment possible)
Results	TACE ($N = 691$), HAI ⁹⁰ Y ($N = 99$), no treatment ($N = 142$)
	OS: 8.5 (TACE), 11.5 (HAI ⁹⁰ Y), 2.0 (untreated)
	RR (CR, PR, SD): 89% (TACE), 76 (HAI 90Y)
	RR (%): 65; PfS: 10.5 month, CR: <i>N</i> = 3, PR: <i>N</i> = 8;
	OS: 27.5 month
Toxicity (HAI)	Hematological (grade III + IV): $N = 9$, non-
	hematological (grade II + IV): $N = 4$
Conclusions	⁹⁰ Y and TACE seem to be equivalent regional
	therapies for patients with unresectable HCC

Kondo et al. (2011) [94]:

Concept	HAI
Ν	24 with portal vein tumor thrombosis
Therapy	65 mg/m ² cisDDP (in 70 mL)
Frequency	Every 4–6 weeks
RR	CR: 1, PR: 4 RR: 21%
Survival	1 year, 2 year (%): 38, 16 OS: 7 months
Toxicity (N)	Anorexia, nausea, fatigue, liver enzymes (grade III + IV)
Conclusion	Safe and well-tolerated therapy for this special group of patients

Gao et al. (2016) [95]:

Concept	TACE vs. TACE + HAI
Ν	29 TACE vs. 45 TACE + HAI
Therapy	TACE = 40 mg epirubicin; HAI = OXA + CF + 5FU
Frequency	Every 4–6 weeks
RR	TACE = ORR 45.9%; DCR 70.3%
	TACE + HAI = ORR 68.9%; DCR 86.7%
Survival	mPFS = 8 month (TACE + HAI) vs. 4.5 month (TACE)
Toxicity (N)	More common in TACE + HAI
Conclusion	TACE + HAI may be safe and more effective than
	TACE alone for inoperable HCC

Bonomo et al. (2010) [57]:

Concept	mbTAE = micro-bland embolization
Ν	66 patients with HCC (single or multiple nodules)
Therapy	Microparticles (40 and/or 100 μm) injection until blood shut down
Frequency	On demand, according to the imaging follow-up
Results	OR (CR + PR) = 58%
(RECIST)	DS (OR + SD) = 76%
Survival	1 year, 2 year (%): 96, 92
Toxicity (N)	No/very low Post Embolization Syndrome
Conclusion	Safe and well-tolerated therapy with very high local results and survival benefits

Brown et al. (2016) [59]:

Concept	TAE vs. DC beads in HCC
N	51 pts. TAE vs. 50 pts. DC beads
Therapy	Microparticles (100–300 μm) without drug (TAE) or with doxo (DC beads)
Frequency	On demand, according to the imaging follow-up @ 3 months
Results (RECIST)	No difference between TAE and DC beads in any measure, including PFS or response rate, at any time point
Toxicity (N)	No difference
Conclusion	TAE should continue to be considered a reasonable therapeutic option and an alternative to embolization with doxorubicin-loaded microspheres

Ibrahim et al. (2011) [96]:

Concept	Down staging of HCC with 90Y (single center,
	prospectively)
Ν	8
Inclusion criteria	HCC with involved caudate lobe
Therapy	Single dose mostly (range 1–3)
Results	CR: $N = 1$ (WHO), $N = 3$ (EASL guidelines)
	OS: 25 months (censored)
	PfS: 10 months
Toxicity	Fatigue: 50%, bilirubin (grade III): $N = 1$
Conclusions	⁹⁰ Y appears to be a feasible, safe, and effective
	treatment with unresectable caudate lobe HCC

Zhang et al. (2015) [97]:

Concept	TARE vs. TACE (meta-analysis)
Ν	(8 studies) 1499 pts.: 1048 TACE and 451 TARE for
	HCC
Inclusion criteria	Unresectable HCC in child A patients
Results	3 year OS better in TARE groups

Toxicity	No statistical difference between groups on any complications
Conclusions	Due to a better 3-year OS, TTP, hospitalization time, and some complications, the use of TARE (Y90) for HCC patients is to be considered promising

Lobo et al. (2016) [98]:

Concept	TARE vs. TACE (meta-analysis)
Ν	(5 studies) 553 pts.: 284 TACE and 269 TARE for
	HCC
Inclusion criteria	Unresectable HCC
Results	4 year OS no difference; CR and PR no difference
Toxicity	No difference in fever, nausea, and vomiting
Conclusions	TARE appears to be a safe alternative treatment to TACE in patients affected by unresectable HCC

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