

Polyhydroxyalkanoates: Biodegradable 117
Plastics and Their Applications

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Abstract

Human civilization has experienced several ages such as stone, bronze, iron, and steel, and now it is a plastic age. Due to multifaceted properties of plastic, it is one of the most widely available and overused item in the world today and became a necessary evil. When disposed, it does not decompose and pollutes the land or air nearby when burned in the open air. Plastic pollution is affecting the global economy. Polyhydroxyalkanoates (PHAs) have been drawing much attention as

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 \oslash Springer Nature Switzerland AG 2019

L. M. T. Martínez et al. (eds.), Handbook of Ecomaterials, https://doi.org/10.1007/978-3-319-68255-6_84

biodegradable substitutes for conventional nondegradable plastics. PHAs are synthesized by numerous bacteria as carbon and energy storage capsules and are good candidates as biodegradable plastic material. Because of their versatility and wide range of properties, biodegradable PHAs are being used in various areas of modern benefits. With the currently increased interest level and the extensive research being carried out in this area, PHAs are potentially emerging as environmentally friendly materials of the next generation with a wide range of applicability. This chapter deals with the recent advances in applicability of PHAs.

Introduction

Plastics are utilized in almost every aspect of human life, from automobiles to medicine. Plastics score as materials of everyone's choice due to their useful characteristics, such as chemical resistance, elasticity, durability, moldability, and cost effectiveness. What makes plastics undesirable is the difficulty in their disposal. Plastics being xenobiotic are recalcitrant to microbial degradation [\[35](#page-22-0)]. The longevity of conventional plastics is estimated to be hundreds to thousands of years, but it seems to be even longer in the deep sea [[5\]](#page-20-0). Waste from conventional petrochemically produced plastics accumulates in nature leading, for instance, to pollution of 165 million tons of plastics in the world's oceans [[48\]](#page-22-1). Besides, their manufacture leads to increased usage of petroleum ending up in the slow depletion of the fossil fuels and thereby increasing the cost of petrochemicals. The menace of pollution has necessitated the development of biodegradable substitutes. Bio-based polymers represent an appropriate answer to the conventional plastics because most of them are biodegradable and even renewable, while some of them offer very similar properties as conventional petroleum-based polymers. Numerous types of biodegradable polymers are available including polylactides, polyglycolic acids, polyhydroxyalkanoates (PHAs), aliphatic polyesters, and polysaccharides.

Among the various groups of biopolymers, PHAs are unique. The PHAs are produced and accumulated intracellularly by a large number of microorganisms as energy and carbon reserves under nutrient stress conditions. PHAs are synthesized entirely by a biological process that involves conversion of carbon sources directly into PHA through microbial fermentation $[105]$ $[105]$. Up to 90% of the cell dry weight PHAs can be accumulated intracellularly under conditions of nutrient stress [\[24](#page-21-0), [64\]](#page-23-0). The molecular mass of PHA is in the range of 50,000–1,000,000 Da and varies with the microbial growth conditions, PHA producer, and downstream processes. The monomer units are all in D -(-) configuration owing to the stereospecificity of biosynthetic enzymes [\[24](#page-21-0), [75,](#page-24-0) [97,](#page-25-1) [121](#page-26-0)]. The PHAs are nontoxic, biocompatible, biodegradable thermoplastics, and have a high degree of polymerization, are highly crystalline, optically active and isotactic (stereochemical regular in repeating units), peizoelectric, and insoluble in water. These features make them highly competitive with polypropylene, the petrochemical-derived plastic.

The first PHA, PHB was discovered in Bacillus megaterium by the French scientist Lemoigne in 1926 [\[56\]](#page-23-1). Since then over 150 different kinds of PHAs have been discovered [[55](#page-23-2), [70](#page-23-3), [78\]](#page-24-1). Basically, PHAs comprise of (R)-3-hydroxyalkanoic acid monomers of C_3 to C_{14} C_{14} C_{14} . Their general structure is shown in Fig. 1. The identity of a typical monomer unit of a PHA is determined by the alkyl side chain, R, which can be saturated, unsaturated, straight, or branched, containing aliphatic or aromatic side groups or halogenated, epoxidized, and branched monomers $[55]$ $[55]$ $[55]$. The value of *n* (in Fig. [1](#page-3-0)) depends on the pendant group (R in Fig. [1\)](#page-3-0) and the microorganisms in which the polymer is produced. It usually varies from 100 to 30,000 [\[55](#page-23-2)] (Table [1](#page-3-1)).

Based on the chain length of the fatty acid monomers, PHAs can be classified into three categories: short-chain-length (scl) PHAs with 3–5 carbon atoms, medium-

SN	Type of alkyl group	PHA candidate	Popular name
	$R = H$; $x = 1$	Poly(3-hydroxypropionate)	P ₃ HP
\mathcal{D}	$R = CH_3$	Poly(3-hydroxybutyrate)	P3HB/PHB
4	$R = C_3H_7$	Poly(3-hydroxyhexanoate)	P3HHx
	$R = C_5H_{11}$	Poly(3-hydroxyoctanoate)	P3HO
6	$R = C_7H_{15}$	Poly(3-hydroxydecanoate)	P3HD
	$R = C_{11}H_{23}$	Poly(3-hydroxytetradecanoate)	P3HTD
8	$R = C_1$ ₅ H_{31}	Poly(3-hydroxyoctadecanoate)	P3HOD
9	$R = H$; $x = 2$	Poly(4-hydroxybutyrate)	P4HB
10	$R = H$; $x = 2$	Poly(5-hydroxyvalerate)	P ₅ H _V

Table 1 The general structure of members of PHA family

chain-length (mcl) PHAs with 6 to 14 carbon atoms, and long-chain-length (lcl) PHAs with more than 14 carbon atoms [[80\]](#page-24-2).

Properties of Polyhydroxyalkanoates

Physical Properties

After extraction from bacterial cell, PHA can appear in various forms according to its composition and process of purification. These polymers are characterized by their thermal properties, such as the glass transition temperature (Tg) of the amorphous phase and the melting temperature (Tm) of the crystalline phase. Most of PHAs behave as thermoplastics with melting temperatures between 50 \degree C and 180 \degree C. For example, P3HB has properties similar to polypropylene due to a similar melting point, tensile strength, and glass transition temperature.

Biocompatibility

Even though the PHAs are synthesized by biological cells, in order to use them in biological systems, their biocompatibility study is necessary. An ideal biocompatible material must not cause severe immune reactions when introduced to soft tissues or blood of a host organism [[94\]](#page-25-2). Some studies have showed that PHA-based molecules are not toxic and are widely distributed in biological cells [\[13](#page-21-1), [49\]](#page-22-2). Moreover, PHA molecules are able to pervade aqueous and hydrophobic environment of the cell membrane and, therefore, are present in cytoplasm and intracellular fluids as well as in membranes and lipoproteins [[13,](#page-21-1) [49\]](#page-22-2). For example, P4HB and P3HB are

biocompatible and extremely well-tolerated in vivo, given that their hydrolysis yields 4HB and 3HB, respectively, which are common metabolites occurring naturally in the human body and suggests nontoxicity of implanted biopolymers [\[55](#page-23-2), [73\]](#page-24-3). These are also found to be immunologically inert and resorbable in vivo [[115](#page-26-1)]. In vitro tests have shown that P3HB was nontoxic in various human cell lines, including osteoblasts, fibroblasts, epithelial cells, and ovine chondrocytes [[72,](#page-24-4) [90\]](#page-25-3).

Biodegradability

As per ISO norms, a polymer is classified as biodegradable when "the breakdown by microorganisms in the presence of oxygen leads to carbon dioxide, water and mineral salts of any other elements present and new biomass" [\[92](#page-25-4), [108\]](#page-25-5). The property that distinguishes PHA from petroleum-based plastics is their biodegradability that allows microorganisms to break down the polymer into simple components upon exposure to soil, compost, or marine sediment.

Biodegradation of PHAs under aerobic conditions results in carbon dioxide and water, whereas in anaerobic conditions the degradation products are carbon dioxide and methane. Studies have shown that 85% of PHAs were degraded in 7 weeks [\[35](#page-22-0), [46\]](#page-22-3). The degradation rate depends on many factors, viz., first, the physical properties of the polymer itself, in particular to its surface area, its molecular weight, its monomeric composition, and its crystallinity and second, it depends on environmental conditions such as temperature, moisture level, pH, and available nutrients [\[91](#page-25-6), [148](#page-27-0)].

Modifications of PHAs

PHAs are still far away from replacing petroleum-based polymers such as PP or PE in a competitive manner. Various PHA blends have been developed to improve their performance. The blending of PHAs will offer more scope to expand their range of applications. PHB is the simplest homopolymer of the PHA family, with high degree of crystallinity resulting in a stiff and brittle nature. The copolymers of PHB can be made more flexible and less crystalline by the introduction of long alkyl side chain such as HB and HV leading to the formation of P(3HB-co-4HB) and P(3HB-co-3 HV), respectively. The P(3HB)/PLA blend is one of the most studied blends, which exhibits mechanical properties that are intermediate between the individual components. Zhao et al. $[145]$ $[145]$ $[145]$ reported the preparation of a $P(3HB-co-3 HV)/PLA$ blend using a corotating twin-screw extruder and found better performance. Zembouai et al. $[140]$ $[140]$ reported that the thermal stability of the P(3HB-co-3 HV)/ PLA blends could be improved by increasing the amount of PLA. The T_m for the blend was found to be in between these two polymers. Ethyl cellulose (EtC) is also a biomaterial like P(3HB) that is approved by the FDA (Food and Drug Administration) and is widely used as a blood coagulant, in coatings for pharmaceutical tablets, and matrices for poorly soluble drugs. Zhang et al. [\[142](#page-27-3)] had investigated the

miscibility, thermal behavior, and morphological structure of P(3HB) with ethyl cellulose (EtC) blends.

Market Status of PHA

As preliminary efforts, development and commercialization of PHB got a push initially in 1950s by W.R. Grace Co., a North-American Company. This process was not feasible owing to little production as well as lack of appropriate purification technique. In 1970, Imperial Chemical Industries (ICI, UK) started manufacturing PHA polymers under the trade name of $BIOPOL^{\circledR}$ by *Cupriavidus necator* NCIB 11599 from diverse carbon substrates like butyrolactone 1,6-hexanediol, etc. Today, there are several companies, viz., Metabolix Inc. (USA), Shenzhen Ecomann Technology Co. Ltd. (China), Tianjin GreenBio Materials Co. Ltd. (China), Meredian Inc. (USA), and Biomer (Germany), which are involved in the PHA bioplastic business. Two companies, USA-based Metabolix Inc. and China-based Shenzhen Ecomann Technology, have shown maximum growth and developments (Global Trends & Forecasts to 2018) (Table [2\)](#page-6-0).

Applications of Polyhydroxyalkanoates

PHAs resemble plastics, yet are biodegradable and biocompatible. This makes them an attractive agent in medical, packaging, and in many other applications [\[136](#page-27-4), [148\]](#page-27-0). It is difficult to differentiate the applications of PHA as many of these are interlinked. In this chapter, we have divided the applications broadly into medical and other nonmedical commercial applications with subheadings.

Medical and Healthcare Applications

Cardiovascular Applications

Cardiovascular tissue engineering aims to provide new and better approaches for treating various diseases of the cardiovascular system. For therapeutic and regenerative purposes, PHAs pose solutions with multiple advantages over synthetic materials owing to their biocompatible nature.

Vascular grafting is carried out to repair or replace damaged, diseased, or malfunctioned blood vessels in the arterial or venous systems. Tim et al. [[114](#page-26-2)] used a polymeric scaffold consisting of two components in a tubular conduit for tissue engineering the abdominal aorta in a lamb model. The inner layer was fabricated with a nonwoven PGA mesh and three layers of nonporous P(3HO-co-3HHx), with 10% of 3-hydroxyhexanoic acid, in the outer layer. The scaffolds were seeded with autologous cells and kept implanted for up to 5 months. The grafting results were promising since all the P(3HHx-co-3HO)/PGA grafts were found to

Table 2 Commercial producers of PHAs

allow unrestricted blood flow (except in one case), and no inflammatory responses were observed.

Stock et al. [[107\]](#page-25-7) used (3HO-co-3HHx) with 10% of 3-hydroxyhexanoic acid, as a component of an autologous cell seeded tissue engineered vascular graft in lambs. During the course of study, proteoglycans/glycosaminoglycans (component of extracellular matrix) increased and reached a maximum value at day 112 in the tissue engineered conduit. Qu et al. [[85\]](#page-24-5) also investigated the role of P(3HB-co-3HHx) for vascular grafting.

Tissue engineered heart valves are deemed to have the potential to overcome shortcomings of prosthetic valves and homograft valves that are currently being used for valve replacements. One of the early studies using an elastomeric P(3HO) for the fabrication of a tri-leaflet heart valve scaffold was carried out by Sodian et al. [[104\]](#page-25-8). Vascular cells were harvested from ovine carotid arteries, expanded in vitro, and seeded onto the heart valve scaffold. When this artificial tri-leaflet scaffold was incorporated in the test animal model, all animals survived the procedure and the valves showed minimal regurgitation. Stock et al. [\[106](#page-25-9)] also evaluated the feasibility of creating tri-leaflet, valved, pulmonary conduits from autologous ovine vascular cells and P(3HO) in lambs. Histological examination showed the development of thrombus formation on all three leaflets after 4 weeks. Wu et al. [\[131](#page-27-5)] developed hybrid valves, which were fabricated from decellularized porcine aortic valves coated with P(3HB-co-3HHx). The in vitro test to study the biomechanical performances of the hybrid valve revealed that coating with P(3HB-co-3HHx) increased

the tensile strength of the valve. For the in vivo study, hybrid valve conduits were implanted in the pulmonary region in sheep without a cardiopulmonary bypass. Recently, Harrington et al. [\[40](#page-22-4)] disclosed a stent that included sparse comb PHA systems. The stent included a stent body, scaffold, or substrate made partially or completely of polymer material including PHA.

Tissue Engineering

PHAs are star biomaterials to be used in tissue engineering applications because of their biodegradability, biocompatibility, and low cytotoxicity. In a study carried out by Lomas et al. [\[61](#page-23-4)] the suitability of P(3HB-co-3HHx) and collagen hybrid constructs was investigated with a view to creating a future tissue-engineered product. Here, human embryonic stem cells (hESCs), spontaneously differentiated hESCs (SDhESCs), and mesenchymal stem cells (hMSCs) were tested. It was shown that hMSCs and SDhESCs demonstrated good long-term viability with an overall lack of stimulus independent differentiation.

Unmodified/raw mcl-PHAs, without any copolymerization, were tested as a novel scaffold for tissue engineering applications using MSCs. The data revealed that this biomaterial supports cell attachment [\[71](#page-24-6)]. Ceftiofur-loaded P(3HB-co-3 HV) microparticles (PHBV-CEF) have been formulated and successfully developed as a drug delivery system to treat infectious diseases. The in vitro release experiment showed a sustained release profile of ceftiofur for 7 days, and the antimicrobial test demonstrated that ceftiofur kept its antibiotic activity after the encapsulation process, and the cell proliferation assay showed a very low cytotoxicity of PHBV-CEF on Hep-G2 cells with an $IC50 > 10$ mg/mL [[117\]](#page-26-3). A model anticancer drug, ellipticine, was successfully encapsulated in P(3HB-co-3 HV) to increase the cytotoxic effect of ellipticine by increasing its bioavailability [[66\]](#page-23-5). Dinjaski et al. [\[30](#page-22-5)] reported that a naturally functionalized bacterial polyhydroxyalkanoates (PHACOS) inhibited the growth of methicillin-resistant Staphylococcus aureus. The authors observed that the more antimicrobial thioester ligands linked to the polyester back bone, the more was the effective biocide activity of PHACOS. Furthermore, Pramual et al. [[83\]](#page-24-7) suggested that pTHPP-loaded PHAs nanoparticles exhibited high photocytotoxicity against HT-29 cancer cells and suggested an attractive biopolymerin photodynamic therapy, as an alternative to conventional cancer treatments.

Bone is a complex, living, constantly changing tissue, which consists of cancellous and cortical bone. This architecture allows the skeleton to perform its essential mechanical functions. Chemically, bone is composed of an inorganic mineral phase of hydroxyapatite (HA) (60% by weight) and an organic phase, which is mainly type I collagen. There have been a variety of studies on scaffold preparation to address the problem of bone loss. In an investigation by Wang et al. [[126\]](#page-26-4), rabbit bone marrow cells were inoculated on 3D scaffolds of PLA, P(3HB) and P(3HB-co-3HHx), to evaluate their in vitro biocompatibilities. The results showed that the cells on the P (3HB-co-3HHx) scaffolds were able to maintain typical osteoblast phenotypes: round cell shape, high alkaline phosphatase activity, strong calcium deposition, and fibrillar collagen synthesis. Lu et al. [\[62](#page-23-6)] evaluated the effect of HA and

orientation of fibers on cell proliferation and differentiation in vitro. MSCs were seeded on scaffolds made from blends of P(3HB-co-HV) and HA. The results confirmed that the MSCs attached and proliferated more favorably on randomoriented P(3HB-co-3 HV) nanofibrous meshes without HA. Recently, the adhesive strength of bone-implant interface and in vivo degradation of P3HB composites was investigated by Meischel et al. [\[67](#page-23-7)]. Their results showed that P3HB composites with $ZrO₂$ and a high percentage of Herafill[®] (30%) (a composite made of calcium sulfate, calcium carbonate, and glycerol tripalmitate) showed the highest values of bone accumulation around the implant and no significant degradation of the implants was found after 36 weeks in vivo. However, improvement of the mechanical properties of the studied P3HB composites is necessary in order to obtain an appropriate load-bearing material.

Cartilage Repair

Cartilage is the slippery tissue that covers the ends of bones in a joint. Several investigations have been carried out on three-dimensional polymer scaffold systems consisting of a blend of P(3HB) and P(3HB-co-3HHx) for their use as a matrix for cartilage tissue engineering [[27,](#page-21-2) [28](#page-21-3), [146](#page-27-6)]. Among the first of such studies carried out was by Deng et al. [[27\]](#page-21-2), who showed that chondrocytes proliferated better on the blend P(3HB)/P(3HB-co-3HHx) scaffolds than on the simple P(3HB) scaffold, and the blended scaffold was able to promote both extracellular matrix formation on the surface and regeneration of chondrocytes on the inside. In another study [[28](#page-21-3)], rabbit articular cartilage (RAC) was seeded on blend $P(3HB)/P(3HB-co-3HHx)$ scaffolds (weight ratios 1:0, 2:1, 1:1, 1:2, 0:1) to investigate the production of the extracellular matrix of articular cartilage chondrocytes in vitro. Biochemical analysis and RT-PCR confirmed that the blend polymer of P(3HB)/P(3HB-co-3HHx) was capable of initiating a redifferentiation process, which allowed chondrocytes to express and produce type II collagen to a higher extent than the P(3HB)-only scaffold (the control). Zhao et al. [\[146\]](#page-27-6) have studied RAC on a 3D scaffold matrix made of P(3HB-co-3HHx)/P(3HB) consisting of 60 wt% P(3HB-co-3HHx). EDX analysis of the extracellular matrix on the scaffolds demonstrated a high level of calcium and phosphorus elements in a Ca/P molar ratio of 1.66, which is approximately equal to that of natural hydroxyapatite, which has a Ca/P ratio of 1.67. This suggests that P (3HB-co-3HHx)/P(3HB) scaffolds can be effectively used for regeneration of cartilage [\[146](#page-27-6)]. Matrices of collagen-containing calcium phosphate (CaP-Gelfix) and matrices of PHBV were produced to create a cartilage via tissue engineering [\[50](#page-23-8)]. Macroscopic examination showed that PHBV (with or without chondrocytes) maintained its integrity for 21 days, while CaP-Gelfix got deformed and degraded within 15 days. PHBV was found to have better healing response than CaP-Gelfix. Using electrospinning of blends of P(3HB)/P(3HO), Ching et al., [[17](#page-21-4)] produced polymer scaffolds and optimized their structure, stiffness, degradation rates, and biocompatibility. Scaffolds with ratio of 1:0.25 of P(3HB)/P(3HO) exhibit randomly oriented fibers that closely mimic the collagen fibrillar meshwork of native cartilage and match the stiffness of native articular cartilage too.

Ophthalmological Applications

The eye is a complex and highly evolved organ. Common eye disorders may arise from anatomical changes to the cornea and lens. Retinal pigment epithelial cells (RPE) constitute a simple layer of cuboidal cells that are strategically situated behind the photoreceptor (PR) cells [[8\]](#page-21-5). RPE degeneration causes retinal disorders. Tezcaner et al. [\[112](#page-26-5)] reported the growth of retinal pigment epithelium cells on PHBV8 film chosen as a temporary substrate. The cells were also grown to confluency as an organized monolayer suggesting PHBV8 film as a potential temporary substrate for subretinal transplantation to replace diseased or damaged retinal pigment epithelium. PHBHHx scaffolds have been evaluated for use in eyelid reconstruction during experiments on animals [[147\]](#page-27-7). Although the scaffold performed satisfactorily, it produced some inflammation that took about 2 weeks to clear.

Nerve Regeneration

PHAs have also gained interest as biomaterial for nerve regeneration [\[69](#page-23-9), [139\]](#page-27-8). PHAs like P(3HO) and copolymers, for example P(3HB-co-3HHx), have also been used as biopolymer scaffolds for the regeneration of nerve axons [[79,](#page-24-8) [130\]](#page-27-9). Yang et al. [\[134](#page-27-10)] showed that fetal mouse cerebral cortex cells were able to grow well when seeded on P(3HB-co-3HHx) films. Xu et al. [[132\]](#page-27-11) carried out further studies on the behavior of neural stem cells on PHA nanofiber scaffolds to study its potential for repairing central nervous system (CNS) injuries. Three different PHAs, P(3HB), P (3HB-co(4HB), and P(3HB-co-3HHx), were fabricated into 3D nanofiber matrices via a novel phase separation process to mimic natural extracellular matrix. Cell culture studies with neural stem cells showed that all the three PHA materials were able to support NSC growth, synaptic outgrowth, and synaptogenesis as opposed to the 2D films. In another study [[122,](#page-26-6) [124](#page-26-7)], the ter polymer of P(3HB-co-3 HV-co-3HHx) was used as a matrix material for the differentiation of human bone marrow MSC (hBMSC) in nerve cells. The polymer was fabricated into a porous 3D scaffold using thermally induced phase separation. The P(3HB-co-3 HV-co-3HHx) scaffold was found to provide the most suitable environment for hBMSC proliferation and differentiation into nerve cells.

Skin Defect Repair

The skin is the largest organ of the human body, representing approximately one tenth of the body mass, and serves several important functions, including physical barrier to the external environment, thermal regulation, and retention of normal hydration, and therefore is critical to our survival. The development of novel materials for effective regeneration of injured skin is one of the serious concerns in reconstructive medicine [[101\]](#page-25-10). PHAs are instrumental in skin defect repair in many ways. In the studies carried out by Tang et al. [[110\]](#page-26-8), copolymers of poly(3HB $co-5$ mol%-3HHx), poly(3HB-co-7 mol%-4HB), and poly(3HB-co-97 mol%-4HB) were used to fabricate nanostructured fibrous scaffolds. Tensile strength and Young's modulus of these scaffolds were comparable to those of human skin. Histological evaluation showed that subcutaneous implantation of the electrospun PHA scaffolds was well-tolerated in vivo. Li et al. [[60\]](#page-23-10) developed nanofibrous matrices using blends of P(3HB)/P(3HB-co-3HHx) and P(3HB)/P(3HB-co-4HB) resembling collagen fibers. The human keratinocyte cell line, HaCat, was seeded on these scaffolds and their response compared with HaCat seeded on solvent cast films of the same composition. It was seen that cell behavior including morphology, adhesion ability, and viability on the nanofibrous matrices were better than those on the ordinary solid matrices. 2D films fabricated using the homopolymer of P(3HO) were also studied as matrix material for skin tissue engineering. The films possess a smooth surface with moderate hydrophilicity. When seeded with HaCaT cells, these P(3HO) films were found to support cell adhesion, proliferation, and maturation of the seeded HaCaT cells. In addition to its biocompatibility, the flexible and elastomeric nature of the film also makes it suitable for applications in difficult contours of the body [[86\]](#page-24-9). Zonari et al. [\[149](#page-27-12)] produced thin nanoporous membranes and 3D porous scaffolds of PHBV that were combined in bilayer structures to recreate the epidermal and dermal layers, respectively. Results confirmed suitability of the PHBV structures to support cell adhesion and proliferation with human keratinocytes (hKC) and dermal fibroblasts (hDFb). Recently, Shishatskaya et al. [\[101](#page-25-10)] reported the efficacy of nonwoven membranes of P(3HB/4HB) carrying the culture of allogenic fibroblasts against model skin defects in Wistar rats. The morphological, histological, and molecular studies revealed the presence of fibroblasts on dressing materials which facilitated wound healing, vascularization, and regeneration. The wounds under the P(3HB/ 4HB) membrane carrying cells healed 1.4 times faster than the wounds under the cell-free membrane and 3.5 times faster than the wounds healing under eschar (control). The complete wound healing process was achieved at day 14. Nonwoven membranes developed from P(3HB/4HB) helped in reducing inflammation, enhancing angiogenic properties of skin, and facilitating better wound healing process.

Drug Delivery Systems

Due to various limitations of conventional drug therapy, administered either intravenously or via an extravascular route (oral, nasal, sublingual, or rectal), controlled drug delivery of pharmacologically active agents to the specific site of action at the therapeutically optimal rate and dose regimen is a major attraction in designing drug delivery systems [[102\]](#page-25-11). Since 1990s, PHAs became star candidates for use as carriers for prolonged release of therapeutics due to their biodegradability, biocompatibility, and their degradation by surface erosion. Many drug delivery studies have been carried out using PHAs [[4,](#page-20-1) [44](#page-22-6), [57,](#page-23-11) [82,](#page-24-10) [102](#page-25-11)]. Kawaguchi et al. [[47\]](#page-22-7) reported the preparation of microspheres of PHB containing the antitumor drug 2',3'-diacyl-5fluoro-2'-deoxyuridine. The PHB microspheres showed low toxicity and good compatibility in mice and rats. Studies have been carried out on the application of PHA and dendrimer matrix for efficient transdermal drug delivery system (TDDS) by Wang et al. [\[127](#page-26-9)]. They used tamsulosin as the model drug to the dendrimer. The dendrimer-containing PHA matrix achieved the clinically required amount of tamsulosin permeating through the skin model. In an interesting study, P(3HB-co-3HHx) was studied as a matrix for the control release of triamcinolone acetonide for possible treatment of cystoid macular edema and acute posterior segment inflammation associated with uveitis. Results showed that 90% of the drug was released

within the first 24 h, then at a slower rate. It was also reported that drug release profile can be adjusted by changing the drug/polymer ratio and the particle size distribution [[6\]](#page-20-2). Shishatskaya et al. [[100\]](#page-25-12) incorporated rubomycin in absorbable PHB matrix in the form of microparticles. Rubomycin deposited in polymeric microparticles exhibited pronounced antitumor activity in mice, inhibited the proliferative activity of Ehrlich ascitic carcinoma, and improved survival of mice with tumors. A sustained release system of P13 K inhibitor (TGX221) based on PHA nanoparticle was developed and used to block the proliferation of cancer cell lines [\[63](#page-23-12)]. It was found that TGX221 gradually released from PHA-based nanoparticles, and the growth of cancer cell lines was significantly slower in cells treated with TGX221 nanoparticles. Shah et al. [[98\]](#page-25-13) determined the efficacy of cisplatin-loaded self-assembled amphiphilic copolymer nanoparticles against tumor. The in vitro release profile of cisplatin from P(3 HV-co-4HB)-b-mPEG showed a sustained release of the drug.

As Cell Anchor

PHAs have also been used as cell-seeded biomaterials with the aim of promoting new tissue formation or regeneration. Cheng et al. [\[16](#page-21-6)] have shown that 3HB (0.02 g $ml⁻¹$) promoted cell proliferation in cultured L929 cells plated at high cell density $(1 \times 105$ cells/well) but not at lower cell densities. Although 3HB did not affect cell cycle progression, it significantly inhibited cell death. 3HB treatment prevented necrosis, reducing cell membrane permeability after 4 h following serum withdrawal from the medium, and for all subsequent time points. In an interesting study [[51\]](#page-23-13), random or aligned PHB electrospun nanofibers that generate bone and spinal axon scaffolds were combined with MSCs. The adhesion and proliferation of cells on these membranes were examined. It was observed that the MSCs maintained their characteristic properties on the membranes, adhered to the membranes, and preserved their viability.

Wound Management

In current surgery practices, a need is seen for absorbable fibers and surgical meshes with a prolonged strength retention that can be used as a suture material. Over the past 20 years, PHA and its composites have been used to develop devices including sutures, suture fasteners, meniscus repair devices, rivets, tacks, staples, screws, interference screws, bone plates and plating systems, surgical mesh, repair patches, slings, cardiovascular patches, orthopedic pins, bone filling augmentation material, adhesion barriers [[23\]](#page-21-7), stents, guided tissue repair/regeneration devices, articular cartilage repair devices [\[125](#page-26-10), [128](#page-27-13)], nerve guides [\[7](#page-20-3)], tendon repair devices, atrial septal defect repair devices, pericardial patches, bulking and filling agents, vein valves, bone marrow scaffolds, meniscus regeneration devices, ligament and tendon grafts, ocular cell implants, spinal fusion cages, skin substitutes, dural substitutes, bone graft substitutes, bone dowels, wound dressings, and hemostats [[13\]](#page-21-1). Martin et al. [\[65](#page-23-14)] claimed to develop absorbable polyester fibers, braids, and surgical meshes with prolonged strength retention derived from copolymers or homopolymers of P4HB. These devices are believed to provide a wider range of in vivo strength retention, antiadhesion properties, reduced risks of infection, or other postoperative problems resulting from absorption and eventual elimination of the device and competitive cost. The devices may be suitable for use in pediatric applications where their absorption should not hinder growth and wound healing with long-term mechanical stability. The devices may additionally be combined with autologous, allogenic, and/or xenogenic tissues to provide implants with improved mechanical, biological, and handling properties. Some remarkable applications are listed in Table [3](#page-13-0).

Commercial Nonmedical Applications

In addition to their biomedical applications, PHAs can potentially replace petrochemicals-based plastics in several other areas, prime among which are discussed in this section.

Packaging Applications

Packaging is an important aspect to maintain the quality of food products during storage, transportation, and consumption. Also, packaging is a powerful marketing tool, which brings to the consumer all the information needed to make a choice of the brand. Biodegradable packaging materials can be degraded into carbon dioxide and water by microorganisms via metabolism under natural conditions of temperature, humidity, and oxygen [\[33,](#page-22-8) [93\]](#page-25-14). As the only biodegradable polymer that comes entirely from nature, PHA, has been processed into bottles, bags, and films using injection-moulding, blowing, and pressing particularly due to its excellent biodegradability and oxygen permeability resistance characteristics [[19,](#page-21-8) [34](#page-22-9)]. The potential of PHAs for truly biodegradable packaging was recognized in the 1980s with the commercial release of Biopol[®], thermoplastic resins of P(3HB) with various copolymer loadings of (3 HV), by Imperial Chemical Industries (ICI, now Zeneca). PHAs were initially used to make articles of daily use such as shampoo bottles [[41](#page-22-10)], moisture barriers in sanitary products [\[54\]](#page-23-15), and packaging materials by Wella (Germany) [[129](#page-27-14)]. PHA were also developed as packaging films mainly for uses as shopping bags, containers and paper coatings, disposable items such as razors, utensils, diapers, hygiene products for women, cosmetic containers and cups, as well as medical surgical garments, upholstery, carpet, packaging, compostable bags and lids, or tubs for thermoformed articles by Proctor & Gamble, Biomers, Metabolix, and several other companies [[18,](#page-21-9) [68](#page-23-16)].

PHB fibers with high tensile strength were prepared by stretching the fibers after isothermal crystallization near the glass-transition temperature [\[109](#page-26-11)]. However, the tensile strength still restricts further application of PHAs as a packaging material. In order to solve this problem, PVA was grafted on PIP (poly-cis-1,4-isoprene) and mixed with PHB, had better tensile properties and impact strength than PHB/PIP blends, which were immiscible [[137\]](#page-27-15). On the other hand, Zhang et al. [\[141](#page-27-16)] reported improved mechanical properties for blends of PHB/PLA compared with the

Table 3 Medical applications of PHAs

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Table 3 (continued)

common PHB. Combined with synthetic plastics or starch, PHAs make excellent packaging films [\[113\]](#page-26-13). Fabra et al. [[32\]](#page-22-12) created an innovative way to develop renewable microbial-based biopolyester multilayer structures with enhanced barrier performance, which is of significant interest for food packaging applications. These multilayer structures were based on PHBV with a valerate content of 12% (PHBV12) containing a high barrier interlayer of zein electrospun nanofibers. The incorporation of HV in PHB, resulting in PHBV, increased impact strength, elongation modulus, tensile strength, and decreased Young's modulus, making the film more flexible and more resistant [[99\]](#page-25-15). PHBV degraded between five and 6 weeks in a microbiologically active environment, resulting in water and carbon dioxide in aerobic conditions. In an anaerobic environment, degradation was faster, producing methane [\[103](#page-25-16)]. Diez-Pascual and Diez-Vicente [\[29](#page-22-13)] dispersed ZnO into scl-PHA to obtain a composite film with antibacterial properties, which could be applied in the food packaging industry. Without the use of a coupling agent, nano-ZnO achieved a uniform dispersion in a PHBHV matrix, and also proved effective in the nucleation of PHBHV. With the increased addition of nano-ZnO, the thermal property, rigidity, strength, and tenacity of PHBHV film increased significantly. Kovalcik et al. [\[52](#page-23-17)] prepared PHBHV/Kraft lignin films using a thermo-hydraulic press for packaging of various perishable goods. A reduction of permeability was observed for oxygen by 77% and for carbon dioxide by 91%, in 1 wt % lignin blended PHBHV. Chea et al. [[12\]](#page-21-10) investigated the sorption behavior, mechanical properties, and barrier properties of PHBHV film under realistic conditions of storage and food contact. It was found that PHBHV film was very stable in all the food liquid simulants tested (viz., water, acetic acid 3% (w/v) , ethanol 20% (v/v) , isooctane, and olive oil). Biodegradable and optically transparent films were prepared by blending PLA with P3HB using the melt processing method. P3HB and acetyl tributyl citrate (ATBC) were used to improve the crystallinity of PLA and the processability as well as the flexibility of the film. In addition, the thermal stability of PLA/P3HB film could be improved by the addition of CNC. The interaction between ATBC and CNC improved the dispersion of CNC and further increased the intermolecular interaction between PLA and PHBHV chains [\[3\]](#page-20-6).

As Fiber Material

PHA fibers can be obtained using melt spinning, gel spinning, or electrospinning methods. PHBHV fibers with a strength of 1.85 cN/dtex and an elongation of 47.9% could be obtained after stretching through a high-speed nozzle [[14\]](#page-21-11). After the formation of PHA fibers, a transition from visco-elasticity to brittleness could be observed with the increase of storage time, which restricted their further application. To solve this problem, Li et al. [[58](#page-23-18)] blended PLA with PHBHV and obtained PLA/PHBHV fibers via the conventional melt-spinning and hotdrawing processes. The tensile strength of PLA/PHBHV (70/30) fibers obtained under a speed of 2500 m/min and a draw ratio of 1.6 was above 2.0 cN/dtex, which pointed to their potential for application in the textile industry. Hufenus et al. [[42\]](#page-22-14) combined PHBHV with PLA in a core/sheath configuration and

introduced a new spin pack concept. To further improve the mechanical properties of PHA fibers, drawing and heat-setting processes were conducted after the formation of P3HB and PHBHV fibers [\[43\]](#page-22-15). PHA fibers can be prepared using the gel-spinning method, incorporating the processes of extrusion, hot drawing, and annealing [[37](#page-22-16)]. As distinct from melt- and gel-spinning, the electro-spinning method is often used to prepare PHA nanofibers with special morphology [[31\]](#page-22-17), such as self-bundling yarn [[123\]](#page-26-14), coral-like structure [\[133\]](#page-27-18), and beaded structure [\[138\]](#page-27-19), which are of potential benefit in the areas of tissue engineering, filtration, and sensors. Electrospun scl-PHA fibers also have porous surface structure and microstructure, which provides the possibility for hydrophilic or hydrophobic control and for the adhesion regeneration of cells. Interestingly, the surface structure of nanofibers can be further adjusted to control the interfacial parameters using plasma treatment technology [[138](#page-27-19)].

As Biofuels

The application of PHA as a source of biofuel is promising if low-cost PHA is used in this process. It can be obtained from activated sludge or food industry waste [[36](#page-22-18), [88](#page-24-13)]. The role of PHA-based materials as biofuels was first proposed by Zhang et al. [[144](#page-27-20)]. Various PHAs like PHB and mcl PHA can be converted to (R)-3-hydroxybutyrate methyl ester (3HBME) and medium chain-length hydroxyalkanoate methyl ester (3HAME) by acid catalyzed hydrolysis. It was found that 3HBME and 3HAME had combustion heat values of 20 kJ g^{-1} and 30 kJ g^{-1} , respectively. Addition of 10% 3HBME or 3HAME to ethanol, which has a combustion heat of 27 kJ g^{-1} , enhanced the combustion heat of ethanol to 30 kJ g⁻¹ and 35 kJ g⁻¹, respectively. The production cost of PHA-based biofuels was also roughly estimated to be around \$1200 per ton. In their subsequent work, they blended 3HBME with 97% gasoline in volume ratios of 5%, 8.5%, 10%, 15%, and 20% and found that 3HBME had similar or better properties as a fuel additive compared with ethanol in terms of oxygen content, dynamic viscosity, flash point, and boiling point [[122](#page-26-6), [124](#page-26-7)].

As a Precursor of Carbon Material

As a kind of polymer with plenty of alkyls and hydroxy fatty acids, PHA can introduce an additional oxygen element into a carbon precursor. Chen et al. [\[15](#page-21-12)] synthesized a spindle-like hierarchical carbon structure of submicron dimension by the pyrolysis of a PHA/ferrocene/chloroform precursor. The electrochemical performance of the obtained carbon material showed a specific capacitance of 188 F/g with excellent stability over 10,000 cycles. In addition, PHA can also be used to improve the melt spinnability of Kraft lignin and prepare continuously spooled lignin/PHA fibers, which could be converted to low-cost carbon fibers.

For Paper Finishing

PHAs are water insoluble, hydrophobic in nature, possess excellent film-forming properties, provide a barrier against oxygen, and offer good UV resistance with low water vapor permeability (WVP) compared to other biopolymers, which make then

ideal candidates for paper finishing. Cyras et al. [[20\]](#page-21-13) coated PHB over paper by solvent casting using chloroform. When the PHB concentration exceeds 10 wt %, they observed reduction in moisture and water absorption, WVP and surface roughness, and increased surface hydrophobicity along with improvement in the surface tensile properties over the paper. When PHB was coated over paperboard by compression molding, similar results were obtained in terms of improved barrier and mechanical properties, which were further enhanced when the paperboard was acetylated to have better adhesion between the PHB coating and the paperboard [[21\]](#page-21-14). PHAs have also been used for surface coating of paper and as sizing agents [\[9](#page-21-15)] to improve mechanical properties of papers resulting in improvement of water resistance and tensile strength. The degradation of P(3HB-co-4HB) coated brown Kraft paper and its components was investigated in a constructed soil environment by Dagnon et al. [\[22](#page-21-16)]. Soil burial tests were carried out over 8 weeks, and a substantially higher weight loss was observed *vis-a-vis* the pure biopolymer under the same conditions, which was attributed to a higher microbial population gathered over the coated papers than on the pure biopolymer.

Agricultural Applications

Various agriculture practices require the usage of large quantities of plastics. In order to overcome the serious drawback of using huge quantities of plastics, researches have recently used PHAs for various applications in agricultural industries.

The use of PHAs as a carrier for targeted and controlled delivery of pesticides (alpha-hexachlorocyclohexane and lindane) to soil was investigated by Voĭnova et al. [[118\]](#page-26-15). Pesticides embedded in PHA carrier were released gradually and slowly, without bursts, as the polymer got degraded by the soil microflora. The microbial soil component actively responded to the addition of the polymer as an additional nutrient substrate; the latter was degraded and then utilized. PHAs have been used as controlled release agents for herbicides in agriculture. Controlled release potentially reduces the impact of the herbicides on nontarget species and reduces the need for repeated applications [\[38\]](#page-22-19). Micro- and nanoparticles of PHB and PHBV were used in a controlled release formulation of the herbicide ametryn [[38](#page-22-19)]. PHB has been successfully tested for removing lipidsoluble organic pollutants from water by adsorption [[143\]](#page-27-21). P(3HB/3 HV) was used to develop sustained-release formulations of the herbicide Zellek Super in the form of films and microgranules by Prudnikova et al. [\[84\]](#page-24-14). They found that PHAs can be used effectively to construct environmentally friendly sustainedrelease PHA-herbicide systems that can be placed into the soil together with seeds. Boyandin et al. [[10](#page-21-17)] studied the formulations of herbicide metribuzin embedded in matrices of P3HB and its composites with PEG and PCL, and wood powder prepared in the form of pressed pellets containing 75% polymeric basis (pure P3HB, or its composite with a second component with a ratio of 7:3) and 25% metribuzin. The most active release of metribuzin (about 60% of the embedded herbicide over 35 days) was detected for the P3HB/PEG carrier compared to the P3HB, P3HB/wood, and P3HB/PCL forms (30–40%). The study shows that herbicide release can be controlled by the matrix formulation.

PHAs appear to be potentially useful in controlling bacterial pathogens in certain aquaculture applications also [\[25](#page-21-18), [26,](#page-21-19) [74](#page-24-15)]. For example, administering 1000 mg L^- 1 of PHB particles of an average diameter of 30 μ m, or addition of inactivated cells (10⁷ cells L^{-1}) of PHB-containing *Brachymonas* bacteria (equivalent to 10 mg L^{-1} PHB) to the culture water of brine shrimp (Artemia nauplii) larvae, conferred a complete protection from a virulent strain of the intestinal pathogen *Vibrio campbellii* [\[26\]](#page-21-19). Other similar reports have claimed an inhibitory effect of PHB on certain gut microflora of the giant freshwater larvae of prawn (Macrobrachium rosenbergii) [\[74\]](#page-24-15). Addition of PHB (5 g L^{-1}) in the feed significantly increased the survival rate of the prawn larvae and improved their development. The total bacterial counts and Vibrio spp. counts were significantly reduced in PHB-fed larvae compared to the control larvae.

Formulations of the fertilizer urea loaded in the P3HB in the form of films, pellets, and coated granules were constructed and investigated by Volova et al. [\[119\]](#page-26-16). Nitrogen release into soil occurred as the polymer was degraded, and it was dependent on the geometry of the carrier and the amount of nitrogen loaded in it, showing that nitrogen release can last for 30 days or longer and that release rates can be controlled by varying the fabrication technique. P3HB/urea formulations were shown to have a favorable effect on the soil microbial community also. The use of such slow-release formulations can decrease the amounts of chemicals in the environment and prevent their adverse effects on the biosphere.

Stabilization of Nanoparticles

Silver nanoparticles have attracted much attention because of their antibacterial properties [[59\]](#page-23-19), but slurries of such particles tend to be unstable. Prolonged stability of such slurries has been reported after incorporating PHAs in them [\[81](#page-24-16)].

Other Applications

PHAs are applicable in numerous other areas of modern usage, which exceed beyond the theme limit of this chapter. Some remarkable applications include development of artificial esophagus [\[13](#page-21-1)], as raw materials for the production of latex paints [\[95](#page-25-17)], denitrification [[39,](#page-22-20) [53\]](#page-23-20), in restoration of seagrass habitats in marine environment [\[96](#page-25-18)], in diagnosis and endotoxin removal [\[78](#page-24-1)], to produce strong fibers for fisheries industry [\[11\]](#page-21-20), and in conductive nanocomposite electrodes [\[111\]](#page-26-17). Some of these applications are listed in Table [4](#page-19-0).

Perspectives

In recent years, PHAs have gained much attention both in research and industry for a wide range of applications. Without any doubts, they are valuable biomaterials with versatile properties. Nevertheless, limitations still persist such as the elevated cost of commercial PHA and limited market availability. A lot of progress has been made recently through the formulation of PHA with tailored additives and blends leading to greatly improved mechanical profiles, as well as suitable processability via extrusion or injection moulding. Using current knowledge and progress in genetic

SN	PHA type	Subarea	Remarks	References
1.	PHB	Packaging	Packaging films	Tharanathan [113]
2.	PHAs		Shampoo bottles	Hocking and Marchessault [41]
3.	PHAs		Moisture barriers in sanitary products	Lauzier et al. $[54]$
4.	(PHBV12)		Food packaging	Fabra et al. $\lceil 32 \rceil$
5.	ZnO doped PHBHV		Food packaging with antibacterial properties	Diez-Pascual and Diez- Vicente $[29]$
6.	PHBHV/ Kraft lignin films		Packaging of perishable goods	Kovalcik et al. $[52]$
7.	P (HB-HV)		Water-proof films on the back of diaper sheets	Reddy et al. [89]
8.	PLA/ PHBHV	Fiber material		Li et al. $[58]$; Hufenus et al. $[42]$
9.	PHB and mel PHA	Biofuels	Converted to (R)-3-hydroxybutyrate methyl ester (3HBME) and medium chain-length hydroxyalkanoate methyl ester (3HAME)	Zhang et al. $[144]$; $[122]$ 124]
10.	PHAs	Precursor of carbon material	Synthesized a spindle-like hierarchical carbon structure	Chen et al. [15]
11.	PHB	Paper finishing	Solvent casting using chloroform	Cyras et al. $\lceil 20 \rceil$
12.	PHAs		Surface coating of paper and as sizing agents	Bourbonnais and Marchessault [9]
13.	$(P(3HB-$ $co-4HB$)		Coating of brown Kraft paper	Dagnon et al. $\lceil 22 \rceil$
14.	PHAs	Agricultural applications	Carrier for pesticides (alpha- hexachlorocyclohexane and lindane)	Voĭnova et al. $[118]$
15.	PHB and PHBV		Controlled release formulation of the herbicide ametryn	Grillo et al. [38]
16.	PHB		Removing lipid-soluble organic pollutants from water by adsorption	Zhang et al. $[143]$
17.	P(3HB/ 3 HV)		Sustained-release formulations of the herbicide Zellek super	Prudnikova et al. $[84]$
18.	PHB		Inhibitory effect on certain gut microflora of the giant freshwater prawn (Macrobrachium rosenbergii) larvae	Nhan et al. [74]

Table 4 Nonmedical applications of PHAs

(continued)

Table 4 (continued)

engineering and synthetic biology it seems to be possible to construct an ideal PHA producer, which can biosynthesize new biopolymers and could be used cost-effectively for industrial production. Research and developmental studies are going on to improve the yield of PHA, for instance, by genetic modification of the bacteria or the use of waste for their growth. Further improvements are still needed to allow a broader market introduction of PHAs. The use of waste feedstock for the culture of the microorganisms accumulating PHAs is also needed as a vehicle to lead to their greater economic viability and sustainability. Further investigations and efforts must be undertaken by researchers to reduce the production costs of these PHAs and increase the industrial sustainability and commercialization of PHAs. It is quite possible that further improvements could produce even more flexible grades of PHAs or even transparent ones through the control of the crystallization process.

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