# Chapter 4 Molecular Alterations in the Pathogenesis of Bladder Cancer Subtypes and Urothelial Carcinoma Variants

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## Introduction

Urothelial carcinoma (UCa) is the most common type of bladder cancer but other rare forms of cancer can rarely develop in the bladder including pure squamous cell carcinoma, adenocarcinoma, and small cell carcinoma. UCa is further subdivided into the conventional subtype (usual form) or one of numerous variant histologies. Historically, bladder cancer subtypes and variants of UCa were primarily subdivided based on morphological features. However, recent developments in our understanding of the genomic profiles of these entities have led to a better understanding of the molecular features associated with a subset of these lesions. This chapter will focus specifically on the diagnosis and molecular features associated with the major subtypes of bladder cancer and a subset of UCa variants that are not addressed in other chapters in this text.

# Variants of Urothelial Carcinoma

## Urothelial Carcinoma with Divergent Differentiation

The most common divergent differentiation in UCa is squamous and glandular differentiation. These two components are typically identified in association with components of the usual urothelial carcinoma.

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Fig. 4.1 Urothelial carcinoma with squamous differentiation characterized by distinct keratin formation. Squamous differentiation is the most common variant histology in urothelial carcinoma

*Squamous differentiation (SqD)* is the most common variant histology identified in UCa occurring in up to 40% of cases [1, 2]. SqD in this setting requires the presence of intercellular bridges and/or keratinization (Fig. 4.1). SqD may also be associated with other divergent histologies within an otherwise "usual" UCa, especially in high-grade and high-stage tumors. The term squamous cell carcinoma (SCC) of the bladder should be reserved for tumors that exhibit pure or nearly pure squamous features [2, 3]. SqD is not limited to UCa of the bladder as such morphology can also be seen in UCa of the upper tract [4].

Thorough and careful light microscopic evaluation is the best way to identify squamous lesions but sometimes such distinction may be difficult. There have been a number of markers proposed to aid in this situation but in most times, such markers work best in areas where the light microscopic features are straightforward and may be less helpful in difficult or less straightforward cases.

Both urothelial and squamous areas express many of the same proteins such as p63 and the high molecular weight cytokeratin (HMWK) at high rates [5–9]. Some markers have a tendency to preferentially stain squamous areas such as CK5/6 and CK14 [10, 11]. A recent study reported a novel panel of markers specific for squamous differentiation in a series of primary bladder squamous cell

carcinoma and urothelial carcinoma with squamous differentiation that included MAC387, desmoglein-3, and TRIM29 [12]. These markers preferentially stained squamous cell carcinoma and squamous areas in urothelial carcinoma with squamous differentiation compared to the urothelial areas. Markers that are more likely to stain urothelial than squamous areas include uroplakins, GATA3, S100P, and CK20 [10, 11, 13–20]. It is important to keep in mind, however, that there remains to be some overlap in the expression of these markers in areas of urothelial and squamous features.

The association of human papillomavirus (HPV) and bladder cancer with squamous phenotype has been explored but most evidence points to lack of such association in the vast majority of cases. A few exceptions include patients with neurogenic bladders or those who required repeated catheterization, in which p16 and HPV in situ hybridization was detected in the majority of tumor cells [21, 22]. It is important to note that p16 expression may be seen in conventional urothelial carcinoma with or without squamous differentiation without association with HPV [23]. Expression of this marker is thus insufficient to establish the diagnosis of HPVassociated disease in the absence of HPV genomic integration in the tumor.

A number of studies on the molecular aspects of bladder cancer included cases of UCa with squamous differentiation [24–27]. These studies have revealed robust molecular subtypes of UCa with interesting patterns of gene expression. They all identified a subtype that is enriched with squamous histology. Tumors in this group showed overexpression of high molecular weight keratins (CK5, CK6, and CK14) and epidermal growth factor receptor (EFGR) as well as underexpression of markers of urothelial differentiation such as uroplakins, GATA3, FOXA1, and thrombomodulin. These studies, however, included samples with mixed squamous and urothelial components and as such did not provide a clear evidence to the exact mechanisms involved in the development of the squamous morphology in this setting.

In a separate study comparing the expression profiles of urothelial carcinoma and squamous cell carcinoma of the bladder, Hansel et al. [28] reported the presence of many similarly dysregulated genes and pathways between the two tumor types but there were also many genes that were preferentially dysregulated in the squamous cell carcinoma group particularly those related to squamous-specific morphology regardless of the site of origin (desmosomal complex, squamous epithelium related intermediate filaments, and squamous cornifying proteins).

*Glandular differentiation* is less common in urothelial carcinoma and the reported incidence is variable in different studies, which is likely related to the subjectivity and familiarity with identifying this variant histology or to selection or referral bias from the reporting institutions. The reported incidence ranges from 8 to 18% [1, 29–31]. The morphology of the glandular component in this setting resembles adenocarcinomas of other organs such as enteric/colonic adenocarcinoma, mucinous or a variety of mixed types (Fig. 4.2). There is limited literature



Fig. 4.2 Urothelial carcinoma with glandular differentiation. Tumor with glandular morphology admixed with the urothelial component (*center*)

on the molecular characteristics of glandular differentiation in UCa and they are likely to be overlapping with those of urothelial carcinoma as there is evidence that such tumors similarly harbor hotspot mutation in the *TERT* promoter region [32].

#### Nested and Microcystic Urothelial Carcinoma

These UCa variants are characterized by the presence of deceptively bland nests of invasive carcinoma that lack significant atypia or stromal reaction (Fig. 4.3). The original description of nested UCa included cases with small nests of invasive tumor but following recent reports, it has been expanded to include the recently described large nested variant and urothelial carcinoma with small tubules [33–36]. Another variant of urothelial carcinoma with bland morphologic features is microcystic UCa which is characterized by the presence of invasive medium-sized cystic structures with bland cytologic features that may show overlapping features with nested UCa [37, 38] (Fig. 4.3). The main challenge in diagnosing these entities is to distinguish them from benign proliferative urothelial conditions including von Brunn nest proliferation, nephrogenic adenoma, cystitis cystica, or inverted papilloma [39, 40]. These variants appear to show similar immunohistochemical features to conventional UCa. As of yet, there is no definitive molecular features associated with these entities to distinguish them from conventional UCa but there seems to be high rate of *TERT* promoter mutations in nested variant of urothelial carcinoma (including



Fig. 4.3 Nested variant of urothelial carcinoma. Variable sized nested of invasive urothelial carcinoma with minimal stromal reaction (a). Foci with microcystic morphology and overall bland histology also noted (b). This tumor is deeply invasive into the perivesical fat

large nested variant) compared to the absence of such an alteration in benign mimickers [41], which may aid in establishing the diagnosis in challenging cases.

#### Plasmacytoid Urothelial Carcinoma

Plasmacytoid UCa is a rare but aggressive variant of UCa characterized by the presence of discohesive, individual cells with fair amount of cytoplasm and eccentrically located nuclei that resemble plasma cells [42–44]. In nearly all cases, there is a variable amount of tumor cells with intracytoplasmic vacuoles that give the cells a signet ring cell appearance (Fig. 4.4). This tumor typically follows an aggressive clinical course marked by advanced stage at presentation and association with a high relapse and mortality rate, and frequent peritoneal carcinomatosis despite the apparent initial response to chemotherapy [42–46]. The urothelial nature of this tumor type is supported by immunostains commonly used for urothelial differentiation such as CK7, p63, and uroplakins.

Unlike other variants of urothelial carcinoma (including NOS), it has been recently shown that the presence of truncating mutations or promoter hypermethylation of CDH1 is the defining feature of plasmacytoid variant of bladder cancer [42]. Using whole exome and targeted sequencing, truncating somatic alterations in the CDH1 gene were identified in 84% of plasmacytoid carcinomas and were specific to this histologic variant (Fig. 4.4). Furthermore, all but one *CDH1* wild-type plasmacytoid carcinoma exhibited CDH1 promoter hypermethylation and loss of E-cadherin expression. With the exception of CDH1 mutation, the genomic landscape of plasmacytoid carcinoma was similar to that of UCa, NOS with frequent mutations in chromatin modifying genes, cell cycle regulators, and PI3 kinase pathway alterations [42]. These results suggest that plasmacytoid and UCa-NOS bladder cancers likely evolve from a shared cell of origin. This was further supported by performing exon capture and deep sequencing of two adjacent portions of a bladder tumor which contained distinct regions of plasmacytoid and classic UCa. Both histologic regions shared mutations in CDKN1A (A45fs) and PIK3C2G (S48R), implying that these were early truncal alterations occurring within a common precursor cell. A CDH1 Y68fs mutation along with mutations in PTEN, NOTCH2, FAT4, and other genes were, however, unique to the plasmacytoid component [42].

Functional cell lines studies supported a significant role of CDH1 loss in promoting cell discohesion and stromal invasion, which could explain the higher incidence of both local recurrence and cancer-specific mortality as well as the higher rate of peritoneal spread than those with pure urothelial carcinoma. By performing Clustered Regularly Interspersed Palindromic Repeat (CRISPR)/Cas9-mediated knockout of *CDH1* in two *CDH1* wild-type urothelial carcinoma cell lines (RT4 and MGHU4), loss of E-cadherin expression resulted in increased migratory capability of MGHU4 cells. Additionally, both RT4 and MGHU4 CDH1-knockout cells displayed enhanced invasion across a Boyden chamber membrane. These results indicate that somatic loss-of-function mutations in *CDH1*, with consequent



**Fig. 4.4** Plasmacytoid urothelial carcinoma with characteristic diffuse and discohesive growth pattern (**a**). Occasional signet ring cells also present. There is complete loss of E-cadherin expression in the invasive tumor (**b**, note E-cadherin retention in the overlying non-neoplastic urothelial mucosa). This tumor harbored a truncating *CDH1*mutation (L729 fs), the gene encoding for E-cadherin. The urothelial carcinoma in-situ component retains membranous E-cadherin expression (**c**, **d**)



Fig. 4.4 (continued)

E-cadherin loss, lead to enhanced cellular migration and invasive properties in plasmacytoid carcinoma, characterized by marked cell discohesion and single cell infiltration. Notably, E-cadherin staining was absent in the invasive component of plasmacytoid variant tumors but was retained within in situ regions (Fig. 4.4). E-cadherin is a fundamental component of epithelial intercellular adhesions, and E-cadherin loss is implicated in tumor invasion and progression [47, 48], and prior studies have reported E-cadherin loss by immunohistochemistry is high percentage of plasmacytoid carcinoma [43, 49]. These observations indicate that E-cadherin loss, typically as a result of *CDH1* mutation and less commonly as a result of *CDH1* promoter methylation, is the molecular basis for the distinct pattern of local invasion and spread observed in patients with plasmacytoid bladder cancers. Moreover, in contrast to the germline *CDH1* mutations that typify diffuse hereditary gastric cancers and a subset of lobular breast cancer, no germline *CDH1* alterations were identified in the plasmacytoid variant bladder cancer [42].

### Micropapillary Urothelial Carcinoma

This is a rare variant of urothelial carcinoma that is now increasingly appreciated but whose diagnosis still lacks high degree of interobserver concordance. This is even more problematic since many clinicians advise early cystectomy for this disease even in the absence of invasion into the muscularis propria [50]. The prevalence of this variant histology is variable ranging from 0.7 to 2.2% in the initial reports to as high as 8% in more recent studies, which may depend on the diagnostic threshold used to identify this variant [51, 52]. The characteristic morphologic appearance of this tumor is that of small tight clusters of tumor cells lacking true fibrovascular cores and present within lacunar spaces (Fig. 4.5) [53]. The basis behind this appearance is the "reverse orientation or polarization" of the basal and luminal aspects of the cells, as shown by electron microscopy as well as MUC1 expression, which is a glycoprotein normally located in the apical aspect of normal glandular epithelium and that is localized predominantly on the stroma-facing surface of the tumor cells in this entity [54, 55]. The end result is the lack of cohesion between tumor and stroma.

Clinically, some studies suggested that conservative treatment for this disease is ineffective and advocated early cystectomy, even in T1 patients while other studies suggest that a more standard bladder sparing approach is reasonable in carefully selected patients in this setting [56, 57]. The application of chemotherapy for the treatment of micropapillary carcinoma showed mixed results with studies showing no benefit from neoadjuvant chemotherapy while others reported efficacy with aggressive systemic chemotherapy [58–60].

At the molecular level, higher rates of *ERBB2* alterations occur in micropapillary carcinoma than in classic UCa, particularly HER2 amplification (Fig. 4.5) [61]. Additionally, a recent study demonstrated that *ERBB2* amplification is associated with worsened cancer-specific survival in patients with micropapillary UC following radical cystectomy [62, 63]. Mutations in known hotspots in *ERBB2* have also been recently reported in micropapillary carcinoma of the bladder [64] but it is not clear whether the frequency of these mutations is higher in this variant histology compared to classic UCa. In another recent study on gene expression profiling of micropapillary bladder cancer, the authors reported the presence of common downregulation of miR-296 and activation of chromatin-remodeling complex RUVBL1 in this disease but did not provide explanation for how these molec-



**Fig. 4.5** Micropapillary urothelial carcinoma. Clusters of high-grade tumor cells in lacunar spaces (*right*). This variant histology is commonly associated with HER2 overexpression and *ERBB2* amplification as shown by Chromogenic in situ hybridization (CISH) where many copies of *ERBB2* are detected (inset, *brown signal*)

ular events contribute to the development of micropapillary bladder cancer [65]. Interestingly *ERBB2* was one of the genes that were upregulated in the majority of the studies tumors.

### Sarcomatoid Urothelial Carcinoma

Sarcomatoid UCa (formerly referred to as "carcinosarcoma") is rare and is usually associated with advanced disease and poor outcomes [66]. This tumor is more common than primary sarcoma of the bladder which is the main differential diagnosis for this entity [1, 67]. Recognizable epithelial morphology is usually present in many of the cases and can represent urothelial, glandular, squamous, and/or small cell/neuroendocrine morphologies. The spectrum of morphologies of the sarcomatous elements is quite variable and may include spindle cell (not otherwise specified), myxoid, pseudoangiosarcomatous, and malignant fibrous histiocytoma-like undifferentiated features. In addition, heterologous elements (osseous, chondroid, etc.) may also be identified in a small subset of cases [1, 68]. It has been shown in earlier studies that the sarcomatous component in this tumor shares common clonal origin with the urothelial component [67]. In a recent study on sarcomatoid urothelial carcinoma, the authors report overexpression of markers of epithelial-to-mesenchymal transition in this tumor including vimentin, FoxC2, SNAIL, and ZEB1, as well as concurrent loss of E-cadherin and elevated N-cadherin expression [68]. Another study reported the presence of frequent TERT promoter mutation in sarcomatoid urothelial carcinoma of the upper urinary tract [69]. Similarly, we have encountered cases of sarcomatoid UCa harboring genetic alterations that are similar to those seen in UCa NOS such as mutations in TERT promoter, TP53 and chromatinremodeling genes (unpublished data, Fig. 4.6).



**Fig. 4.6** An example of sarcomatoid urothelial carcinoma from a cystoprostatectomy specimen. The tumor consists of high-grade spindle and epithelioid cells with extension to the perivesical fat (**a**). The epithelial component was evident in the transurethral resection specimen (**b**). By targeted next generation sequencing of the sarcomatoid carcinoma the tumor harbored 12 alterations including *TERT* promoter (1295228C > T) mutation and truncating mutations in *TP53* (Q331\*) and *ARID1A* (T1921Kfs\*16). Alterations in these genes are generally very common in urothelial carcinoma



Fig. 4.6 (continued)

# Small Cell/Neuroendocrine Carcinoma of the Bladder

This is a rare variant of bladder cancer that is morphologically identical to the small cell carcinoma of the lung, but may be admixed with an epithelial (or rarely sarcomatoid) component of UCa in up to 50% of cases [1]. Epithelial components associated with this tumor are heterogeneous and include urothelial, squamous, glandular morphology or only an in situ component (Fig. 4.7).

The landscape of genomic alterations of small cell bladder cancer is still undefined, yet a few studies have provided intriguing insights into the similarities and differences between small cell and urothelial histology of bladder tumors as well as small cell cancer of the lung. A retrospective sequencing and copy number analysis of 97 carcinomas of the bladder, including ten small cell carcinomas, revealed RB1 alterations predicted to result in loss of function in every tumor [70], similar to findings in small cell lung cancer [71]. In a second study, 87 matched tumor and germline samples were sequenced from 61 patients with small cell carcinoma of the bladder. Tumors were derived from either transurethral resection (TUR) or cystectomy specimens. Macro-dissection was performed to isolate the neuroendocrine component in those tumors exhibiting mixed histology. Genomic analyses included targeted exon capture, whole exome, and whole transcriptome sequencing. Additionally, two samples were subjected to whole genome sequencing [72, 73]. TP53 and RB1 alterations were detected in 90% and 87% of this cohort, respectively, and 80% of tumors displayed co-alterations of both genes, similar to what is observed in small cell lung cancer. Furthermore, loss of expression of RB1 was identified in some tumors without a corresponding loss-of-function mutation,

#### 4 Histological Subtypes and Variants of Bladder Cancer



Fig. 4.7 Small cell carcinoma of the urinary bladder. In addition to the small cell/neuroendocrine component, this tumor contains urothelial, glandular, and sarcomatous component (glandular component shown in this figure)

suggesting an alternative mechanism, such as epigenetic silencing, that may contribute to RB1 loss. Moreover, the high frequency of G1/S phase checkpoint disruption indicates that this may be a necessary event in the development of small cell bladder cancer. Interestingly, alterations commonly detected in UCa were also found in the small cell carcinoma cohort, including *TERT* promoter mutations in 95% and truncating alterations within epigenetic modifier genes such as *CREBBP*, *EP300*, *ARID1A*, *KMT2D*, and others, in nearly 75% of samples [72, 73]. A notable exception was *KDM6A* loss-of-function alterations, which were found more frequently in UCa than small cell histology. Activating *FGFR3* mutations, a hallmark of low-grade urothelial tumors and present in approximately 20% of highgrade invasive UCa, were by contrast found in a minority of small cell carcinoma of the bladder. *CDKN2A* deletion and *CCND1* amplifications, found commonly in UCa, were not detected within the small cell carcinoma cohort. *E2F3* amplification was found in both small cell and urothelial bladder tumors, while this event was rare in small cell lung cancer.

A high level of chromosomal instability was observed in bladder small cell carcinoma, including whole genome duplication in 72% of tumors that correlated with the presence of *TP53* missense mutations. The APOBEC mutation signature that was identified within muscle-invasive bladder cancer from the TCGA bladder cancer study [24] was observed in 95% of small cell bladder cancer in this cohort; notably, small cell lung cancers are typically characterized by a mutation signature associated with tobacco exposure distinct from the APOBEC signature.

In a subset of patients, sequencing was performed on the small cell and urothelial components of the same tumor. In two cases, clonal mutations were present that were identified in both the small cell and urothelial histologies, yet RB1 and TP53 mutations were sequestered within the small cell histology component, implying that these mutations represent evolutionary branching from a common precursor into two separate histologies. In a second example, clonal mutations within the TERT promoter and PIK3CA were identified in the small cell and urothelial histologies, while *RB1* and *TP53* alterations were only detected in the small cell component and an ERBB2 L755S mutation only within the urothelial component. These findings clearly support the concept that small cell carcinoma of the bladder is closely related to, and develops form, a precursor UCa. It still remains unclear; however, what exact molecular mechanisms underlie the development of the small cell histology from UCa as much of the reported alterations in small cell carcinoma are similar to what is reported in UCa including the combined RB1/TP53 which are co-mutated in a subset of UCa that clearly does not display small cell/neuroendocrine differentiation [24, 70, 74].

Due to their rarity, the treatment recommendations for small cell bladder cancers are extrapolated from those for small cell lung cancer, and include systemic cisplatin-based chemotherapy plus radical cystectomy or chemotherapy and radiation therapy. Similar to small cell lung cancer, metastatic spread of small cell bladder cancer occurs early in the disease course and recurrent disease following definitive therapy is typically resistant to additional chemotherapy. Clearly, novel treatments need to be investigated in small cell bladder cancer. Of note, in the cohort described above, 46% of tumors possessed potential therapeutically actionable alterations, including *ERBB2* and *PIK3CA* hotspot activating mutations. The advent of basket trials of small molecular inhibitors, in which patients are enrolled based upon mutation status independent of tumor histology, provides an appealing treatment opportunity for patients with small cell bladder cancer whose tumors harbor such actionable genomic alterations.

#### Adenocarcinoma of the Bladder

Adenocarcinomas of the bladder as well as urachal adenocarcinomas are rare. While most of these tumors histologically resemble colorectal adenocarcinomas (Fig. 4.8), the genomic alterations that define this rare subset of bladder cancers are not well defined. In one study from a patient with metastatic urachal adenocarcinoma who achieved a long-term (at least 8 months) response to cetuximab (a monoclonal antibody directed against EGFR), targeted exome sequencing of the patient's primary tumor initially identified an amplification of *EGFR* in a *KRAS* wild-type context. Sequencing of nine additional urachal carcinomas revealed MAPK pathway alterations in four tumors and mutations within *APC* in two specimens [75]. An additional cohort of 16 urachal adenocarcinomas was analyzed using a targeted exon capture sequencing approach which revealed *KRAS* hotspot alterations in 5 (29%) and



Fig. 4.8 Invasive urachal adenocarcinoma with enteric features, treated with partial cystectomy. This tumor harbored activating *KRAS* (G12D) and a truncating *TP53* (P152fs) mutations in addition to many genetic alterations including *CCND1* amplification and *SMAD4* deletion

*ERBB2* activating mutations as well as amplification in 3 (18%) tumors [76]. These results suggest that MAPK pathway activation is a common phenomenon in urachal adenocarcinomas; moreover, this genomic profile of EGFR amplification, APC mutations, and KRAS activating mutations resembles that of colorectal adenocarcinoma. SMAD4, a tumor suppressor gene involved in TGF beta signaling, is commonly inactivated in pancreatic and colorectal adenocarcinomas, resulting in activation of the TGF beta pathway. Alterations in SMAD4, including two truncating mutations, were observed in 18% of urachal adenocarcinomas in this cohort. Additionally, GNAS hotspot alterations and amplification were identified in 18% of tumors. GNAS encodes the alpha subunit of the trimeric G protein coupled receptor complex that can activate the MAPK pathway. In a second patient with metastatic urachal adenocarcinoma that had progressed on chemotherapy, activating mutations were detected in KRAS (Q61L) and GNAS (R201C). Based upon this genomic profile, the patient was initiated on the MEK inhibitor trametinib for compassionate use and achieved over 29 months of stable disease. This response, in combination with that seen with cetuximab therapy, suggests that adenocarcinomas of the bladder and urachus represent a unique opportunity for MAPK pathway inhibition to derive meaningful clinical benefit. These observations also suggest that the genomic landscape of adenocarcinomas of the urinary tract may represent colorectal adenocarcinomas more closely than UCa.

In a separate cohort of nine primary bladder adenocarcinomas, a similarly high rate of *KRAS* alterations (43%) was observed. One specimen harbored *ERBB2* amplification. Interestingly, mutations in *ARID1A* and *SMARCA4*, epigenetic modifiers that are commonly altered in UCa, were also seen. In both urachal and primary bladder adenocarcinomas, *TP53* was the most commonly mutated gene [76].

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