

# Some Semi-Direct Products with Free Algebras of Symmetric Invariants

Oksana Yakimova

**Abstract** Let  $\mathfrak{g}$  be a complex reductive Lie algebra and  $V$  the underlying vector space of a finite-dimensional representation of  $\mathfrak{g}$ . Then one can consider a new Lie algebra  $\mathfrak{q} = \mathfrak{g} \ltimes V$ , which is a semi-direct product of  $\mathfrak{g}$  and an Abelian ideal  $V$ . We outline several results on the algebra  $\mathbb{C}[\mathfrak{q}^*]^{\mathfrak{q}}$  of symmetric invariants of  $\mathfrak{q}$  and describe all semi-direct products related to the defining representation of  $\mathfrak{sl}_n$  with  $\mathbb{C}[\mathfrak{q}^*]^{\mathfrak{q}}$  being a free algebra.

**Keywords** Coadjoint representation • Non-reductive Lie algebras • Polynomial rings • Regular invariants

## 1 Introduction

Let  $Q$  be a connected complex algebraic group. Set  $\mathfrak{q} = \text{Lie } Q$ . Then  $\mathcal{S}(\mathfrak{q}) = \mathbb{C}[\mathfrak{q}^*]$  and  $\mathcal{S}(\mathfrak{q})^{\mathfrak{q}} = \mathbb{C}[\mathfrak{q}^*]^{\mathfrak{q}} = \mathbb{C}[\mathfrak{q}^*]^Q$ . We will call the latter object the *algebra of symmetric invariants* of  $\mathfrak{q}$ . An important property of  $\mathcal{S}(\mathfrak{q})^{\mathfrak{q}}$  is that it is isomorphic to  $\text{ZU}(\mathfrak{q})$  as an algebra by a classical result of M. Duflo (here  $\text{ZU}(\mathfrak{q})$  is the centre of the universal enveloping algebra of  $\mathfrak{q}$ ).

Let  $\mathfrak{g}$  be a reductive Lie algebra. Then by the Chevalley restriction theorem  $\mathcal{S}(\mathfrak{g})^{\mathfrak{g}} = \mathbb{C}[H_1, \dots, H_{\text{rk } \mathfrak{g}}]$  is a polynomial ring (in  $\text{rk } \mathfrak{g}$  variables). A quest for non-reductive Lie algebras with a similar property has recently become a trend in invariant theory. Here we consider finite-dimensional representations  $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  of  $\mathfrak{g}$  and the corresponding semi-direct products  $\mathfrak{q} = \mathfrak{g} \ltimes V$ . The Lie bracket on  $\mathfrak{q}$  is defined by

$$[\xi + v, \eta + u] = [\xi, \eta] + \rho(\xi)u - \rho(\eta)v \quad (1)$$

for all  $\xi, \eta \in \mathfrak{g}$ ,  $v, u \in V$ . Let  $G$  be a connected simply connected Lie group with  $\text{Lie } G = \mathfrak{g}$ . Then  $\mathfrak{q} = \text{Lie } Q$  with  $Q = G \ltimes \exp(V)$ .

It is easy to see that  $\mathbb{C}[V^*]^G \subset \mathbb{C}[\mathfrak{q}^*]^{\mathfrak{q}}$  and therefore  $\mathbb{C}[V^*]^G$  must be a polynomial ring if  $\mathbb{C}[\mathfrak{q}^*]^{\mathfrak{q}}$  is, see [10, Section 3]. Classification of the representations

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of complex simple algebraic groups with free algebras of invariants was carried out by Schwarz [7] and independently by Adamovich and Golovina [1]. One such representation is the spin-representation of  $\text{Spin}_7$ , which leads to  $Q = \text{Spin}_7 \ltimes \mathbb{C}^8$ . Here  $\mathbb{C}[q^*]^q$  is a polynomial ring in three variables generated by invariants of bi-degrees  $(0, 2), (2, 2), (6, 4)$  with respect to the decomposition  $\mathfrak{q} = \mathfrak{so}_7 \oplus \mathbb{C}^8$ , see [10, Proposition 3.10].

In this paper, we treat another example,  $G = \text{SL}_n, V = m(\mathbb{C}^n)^* \oplus k\mathbb{C}^n$  with  $n \geq 2, m \geq 1, m \geq k$ . Here  $\mathbb{C}[q^*]^q$  is a polynomial ring in exactly the following three cases:

- $k = 0, m \leq n + 1$ , and  $n \equiv t \pmod{m}$  with  $t \in \{-1, 0, 1\}$ ;
- $m = k, k \in \{n - 2, n - 1\}$ ;
- $n \geq m > k > 0$  and  $m - k$  divides  $n - m$ .

We also briefly discuss semi-direct products arising as  $\mathbb{Z}_2$ -contractions of reductive Lie algebras.

## 2 Symmetric Invariants and Generic Stabilisers

Let  $\mathfrak{q} = \text{Lie } Q$  be an algebraic Lie algebra,  $Q$  a connected algebraic group. The index of  $\mathfrak{q}$  is defined as

$$\text{ind } \mathfrak{q} = \min_{\gamma \in \mathfrak{q}^*} \dim \mathfrak{q}_\gamma,$$

where  $\mathfrak{q}_\gamma$  is the stabiliser of  $\gamma$  in  $\mathfrak{q}$ . In view of Rosenlicht’s theorem,  $\text{ind } \mathfrak{q} = \text{tr.deg } \mathbb{C}(\mathfrak{q}^*)^Q$ . In case  $\text{ind } \mathfrak{q} = 0$ , we have  $\mathbb{C}[q^*]^q = \mathbb{C}$ . For a reductive  $\mathfrak{g}$ ,  $\text{ind } \mathfrak{g} = \text{rk } \mathfrak{g}$ . Recall that  $(\dim \mathfrak{g} + \text{rk } \mathfrak{g})/2$  is the dimension of a Borel subalgebra of  $\mathfrak{g}$ . For  $\mathfrak{q}$ , set  $\mathbf{b}(\mathfrak{q}) := (\text{ind } \mathfrak{q} + \dim \mathfrak{q})/2$ .

Let  $\{\xi_i\}$  be a basis of  $\mathfrak{q}$  and  $\mathcal{M}(\mathfrak{q}) = ([\xi_i, \xi_j])$  the structural matrix with entries in  $\mathfrak{q}$ . This is a skew-symmetric matrix of rank  $\dim \mathfrak{q} - \text{ind } \mathfrak{q}$ . Let us take Pfaffians of the principal minors of  $\mathcal{M}(\mathfrak{q})$  of size  $\text{rk } \mathcal{M}(\mathfrak{q})$  and let  $\mathbf{p} = \mathbf{p}_\mathfrak{q}$  be their greatest common divisor. Then  $\mathbf{p}$  is called the *fundamental semi-invariant* of  $\mathfrak{q}$ . The zero set of  $\mathbf{p}$  is the maximal divisor in the so called *singular set*

$$\mathfrak{q}_{\text{sing}}^* = \{\gamma \in \mathfrak{q}^* \mid \dim \mathfrak{q}_\gamma > \text{ind } \mathfrak{q}\}$$

of  $\mathfrak{q}$ . Since  $\mathfrak{q}_{\text{sing}}^*$  is clearly a  $Q$ -stable subset,  $\mathbf{p}$  is indeed a semi-invariant,  $Q \cdot \mathbf{p} \subset \mathbb{C}\mathbf{p}$ . One says that  $\mathfrak{q}$  has the “codim-2” property (satisfies the “codim-2” condition), if  $\dim \mathfrak{q}_{\text{sing}}^* \leq \dim \mathfrak{q} - 2$  or equivalently if  $\mathbf{p} = 1$ .

Suppose that  $F_1, \dots, F_r \in \mathcal{S}(\mathfrak{q})$  are homogenous algebraically independent polynomials. The *Jacobian locus*  $\mathcal{J}(F_1, \dots, F_r)$  of these polynomials consists of all  $\gamma \in \mathfrak{q}^*$  such that the differentials  $d_\gamma F_1, \dots, d_\gamma F_r$  are linearly dependent. In other words,  $\gamma \in \mathcal{J}(F_1, \dots, F_r)$  if and only if  $(dF_1 \wedge \dots \wedge dF_r)_\gamma = 0$ . The set  $\mathcal{J}(F_1, \dots, F_r)$  is a proper Zariski closed subset of  $\mathfrak{q}^*$ . Suppose that  $\mathcal{J}(F_1, \dots, F_r)$  does not contain divisors. Then by the characteristic zero version of a result of

Skryabin, see [5, Theorem 1.1],  $\mathbb{C}[F_1, \dots, F_r]$  is an algebraically closed subalgebra of  $\mathcal{S}(\mathfrak{q})$ , each  $H \in \mathcal{S}(\mathfrak{q})$  that is algebraic over  $\mathbb{C}(F_1, \dots, F_r)$  is contained in  $\mathbb{C}[F_1, \dots, F_r]$ .

**Theorem 1 (cf. [3, Section 5.8])** *Suppose that  $\mathfrak{p}_{\mathfrak{q}} = 1$  and suppose that  $H_1, \dots, H_r \in \mathcal{S}(\mathfrak{q})^{\mathfrak{q}}$  are homogeneous algebraically independent polynomials such that  $r = \text{ind } \mathfrak{q}$  and  $\sum_{i=1}^r \deg H_i = \mathfrak{b}(\mathfrak{q})$ . Then  $\mathcal{S}(\mathfrak{q})^{\mathfrak{q}} = \mathbb{C}[H_1, \dots, H_r]$  is a polynomial ring in  $r$  generators.*

*Proof* Under our assumptions  $\mathcal{J}(H_1, \dots, H_r) = \mathfrak{q}_{\text{sing}}^*$ , see [5, Theorem 1.2] and [9, Section 2]. Therefore  $\mathbb{C}[H_1, \dots, H_r]$  is an algebraically closed subalgebra of  $\mathcal{S}(\mathfrak{q})$  by [5, Theorem 1.1]. Since  $\text{tr.deg } \mathcal{S}(\mathfrak{q})^{\mathfrak{q}} \leq r$ , each symmetric  $\mathfrak{q}$ -invariant is algebraic over  $\mathbb{C}[H_1, \dots, H_r]$  and hence is contained in it.  $\square$

For semi-direct products, we have some specific approaches to the symmetric invariants. Suppose now that  $\mathfrak{g} = \text{Lie } G$  is a reductive Lie algebra, no non-zero ideal of  $\mathfrak{g}$  acts on  $V$  trivially,  $G$  is connected, and  $\mathfrak{q} = \mathfrak{g} \ltimes V$ , where  $V$  is a finite-dimensional  $G$ -module.

The vector space decomposition  $\mathfrak{q} = \mathfrak{g} \oplus V$  leads to  $\mathfrak{q}^* = \mathfrak{g}^* \oplus V^*$ , where we identify  $\mathfrak{g}$  with  $\mathfrak{g}^*$ . Each element  $x \in V^*$  is considered as a point of  $\mathfrak{q}^*$  that is zero on  $\mathfrak{g}$ . We have  $\exp(V) \cdot x = \text{ad}^*(V) \cdot x + x$ , where each element of  $\text{ad}^*(V) \cdot x$  is zero on  $V$ . Note that  $\text{ad}^*(V) \cdot x \subset \text{Ann}(\mathfrak{g}_x) \subset \mathfrak{g}$  and  $\dim(\text{ad}^*(V) \cdot x)$  is equal to  $\dim(\text{ad}^*(\mathfrak{g}) \cdot x) = \dim \mathfrak{g} - \dim \mathfrak{g}_x$ . Therefore  $\text{ad}^*(V) \cdot x = \text{Ann}(\mathfrak{g}_x)$ .

The decomposition  $\mathfrak{q} = \mathfrak{g} \oplus V$  defines also a bi-grading on  $\mathcal{S}(\mathfrak{q})$  and clearly  $\mathcal{S}(\mathfrak{q})^{\mathfrak{q}}$  is a bi-homogeneous subalgebra, cf. [10, Lemma 2.12].

A statement is true for a “generic  $x$ ” if and only if this statement is true for all points of a non-empty open subset.

**Lemma 1** *A function  $F \in \mathbb{C}[\mathfrak{q}^*]$  is a  $V$ -invariant if and only if  $F(\xi + \text{ad}^*(V) \cdot x, x) = F(\xi, x)$  for generic  $x \in V^*$  and any  $\xi \in \mathfrak{g}$ .*

*Proof* Condition of the lemma guaranties that for each  $v \in V$ ,  $\exp(v) \cdot F = F$  on a non-empty open subset of  $\mathfrak{q}^*$ . Hence  $F$  is a  $V$ -invariant.  $\square$

For  $x \in V^*$ , let  $\varphi_x: \mathbb{C}[\mathfrak{q}^*]^{\mathfrak{q}} \rightarrow \mathbb{C}[\mathfrak{g} + x]^{G_x \ltimes \exp(V)}$  be the restriction map. By [10, Lemma 2.5]  $\mathbb{C}[\mathfrak{g} + x]^{G_x \ltimes \exp(V)} \cong \mathcal{S}(\mathfrak{g}_x)^{G_x}$ . Moreover, if we identify  $\mathfrak{g} + x$  with  $\mathfrak{g}$  choosing  $x$  as the origin, then  $\varphi_x(F) \in \mathcal{S}(\mathfrak{g}_x)$  for any  $\mathfrak{q}$ -invariant  $F$  [10, Section 2]. Under certain assumptions on  $G$  and  $V$  the restriction map  $\varphi_x$  is surjective, more details will be given shortly.

There is a non-empty open subset  $U \subset V^*$  such that the stabilisers  $G_x$  and  $G_y$  are conjugate in  $G$  for any pair of points  $x, y \in U$  see e.g. [8, Theorem 7.2]. Any representative of the conjugacy class  $\{hG_xh^{-1} \mid h \in G, x \in U\}$  is said to be a *generic stabiliser* of the  $G$ -action on  $V^*$ .

There is one easy to handle case,  $\mathfrak{g}_x = 0$  for a generic  $x \in V^*$ . Here  $\mathbb{C}[\mathfrak{q}^*]^{\mathfrak{q}} = \mathbb{C}[V^*]^G$ , see e.g. [10, Example 3.1], and  $\xi + y \in \mathfrak{q}_{\text{sing}}^*$  only if  $\mathfrak{g}_y \neq 0$ , where  $\xi \in \mathfrak{g}$ ,  $y \in V^*$ . The case  $\text{ind } \mathfrak{g}_x = 1$  is more involved.

**Lemma 2** *Assume that  $G$  has no proper semi-invariants in  $\mathbb{C}[V^*]$ . Suppose that  $\text{ind } \mathfrak{g}_x = 1$ ,  $\mathcal{S}(\mathfrak{g}_x)^{G_x} \neq \mathbb{C}$ , and the map  $\varphi_x$  is surjective for generic  $x \in V^*$ . Then*

$\mathbb{C}[q^*]^q = \mathbb{C}[V^*]^G[F]$ , where  $F$  is a bi-homogeneous preimage of a generator of  $\mathcal{S}(\mathfrak{g}_x)^{G_x}$  that is not divisible by any non-constant  $G$ -invariant in  $\mathbb{C}[V^*]$ .

*Proof* If we have a Lie algebra of index 1, in our case  $\mathfrak{g}_x$ , then the algebra of its symmetric invariants is a polynomial ring. There are many possible explanations of this fact. One of them is the following. Suppose that two non-zero homogeneous polynomials  $f_1, f_2$  are algebraically dependent. Then  $f_1^a = cf_2^b$  for some coprime integers  $a, b > 0$  and some  $c \in \mathbb{C}^\times$ . If  $f_1$  is an invariant, then so is a polynomial function  $\sqrt[b]{f_1} = \sqrt[b]{c} \sqrt[a]{f_2}$ .

Since  $\mathcal{S}(\mathfrak{g}_x)^{G_x} \neq \mathbb{C}$ , it is generated by some homogeneous  $f$ . The group  $G_x$  has finitely many connected components, hence  $\mathcal{S}(\mathfrak{g}_x)^{G_x}$  is generated by a suitable power of  $f$ , say  $\mathbf{f} = f^d$ .

Let  $F \in \mathbb{C}[q^*]^q$  be a preimage of  $\mathbf{f}$ . Each its bi-homogeneous component is again a  $q$ -invariant. Without loss of generality we may assume that  $F$  is bi-homogenous. Also if  $F$  is divisible by some non-scalar  $H \in \mathbb{C}[V^*]^G$ , then we replace  $F$  with  $F/H$  and repeat the process as long as possible.

Whenever  $G_y$  (with  $y \in V^*$ ) is conjugate to  $G_x$  and  $\varphi_y(F) \neq 0$ ,  $\varphi_y(F)$  is a  $G_y$ -invariant of the same degree as  $\mathbf{f}$  and therefore is a generator of  $\mathcal{S}(\mathfrak{g}_y)^{G_y}$ . Clearly  $\mathbb{C}(V^*)^G[F] \subset \mathbb{C}[q^*]^q \otimes_{\mathbb{C}[V^*]^G} \mathbb{C}(V^*)^G =: \mathcal{A}$  and  $\mathcal{A} \subset \mathcal{S}(\mathfrak{g}) \otimes \mathbb{C}(V^*)^G$ . If  $\mathcal{A}$  contains a homogeneous in  $\mathfrak{g}$  polynomial  $T$  that is not proportional (over  $\mathbb{C}(V^*)^G$ ) to a power of  $F$ , then  $\varphi_u(T)$  is not proportional to a power of  $\varphi_u(F)$  for generic  $u \in V^*$ . But  $\varphi_u(T) \in \mathcal{S}(\mathfrak{g}_u)^{G_u}$ . This implies that  $\mathcal{A} = \mathbb{C}(V^*)^G[F]$ . It remains to notice that  $\mathbb{C}(V^*)^G = \text{Quot } \mathbb{C}[V^*]^G$ , since  $G$  has no proper semi-invariants in  $\mathbb{C}[V^*]$ , and by the same reason  $\mathbb{C}(V^*)^G[F] \cap \mathbb{C}[q] = \mathbb{C}[V^*]^G[F]$  in case  $F$  is not divisible by any non-constant  $G$ -invariant in  $\mathbb{C}[V^*]$ .  $\square$

It is time to recall the Raïs' formula [6] for the index of a semi-direct product:

$$\text{ind } q = \dim V - (\dim \mathfrak{g} - \dim \mathfrak{g}_x) + \text{ind } \mathfrak{g}_x \text{ with } x \in V^* \text{ generic.} \tag{2}$$

**Lemma 3** Suppose that  $H_1, \dots, H_r \in \mathcal{S}(q)^q$  are homogenous polynomials such that  $\varphi_x(H_i)$  with  $i \leq \text{ind } \mathfrak{g}_x$  freely generate  $\mathcal{S}(\mathfrak{g}_x)^{G_x} = \mathcal{S}(\mathfrak{g}_x)^{\mathfrak{g}_x}$  for generic  $x \in V^*$  and  $H_j \in \mathbb{C}[V^*]^G$  for  $j > \text{ind } \mathfrak{g}_x$ ; and suppose that  $\sum_{i=1}^{\text{ind } \mathfrak{g}_x} \deg_{\mathfrak{g}} H_i = \mathbf{b}(\mathfrak{g}_x)$ . Then

$$\sum_{i=1}^r \deg H_i = \mathbf{b}(q) \text{ if and only if } \sum_{i=1}^r \deg_V H_i = \dim V.$$

*Proof* In view of the assumptions, we have  $\sum_{i=1}^r \deg H_i = \mathbf{b}(\mathfrak{g}_x) + \sum_{i=1}^r \deg_V H_i$ .

Further, by Eq. (2)

$$\begin{aligned} \mathbf{b}(q) &= (\dim q + \dim V - (\dim \mathfrak{g} - \dim \mathfrak{g}_x) + \text{ind } \mathfrak{g}_x)/2 = \\ &= \dim V + (\dim \mathfrak{g}_x + \text{ind } \mathfrak{g}_x)/2 = \mathbf{b}(\mathfrak{g}_x) + \dim V. \end{aligned}$$

The result follows.  $\square$

From now on suppose that  $G$  is semisimple. Then both  $G$  and  $Q$  have only trivial characters and hence cannot have proper semi-invariants. In particular, the fundamental semi-invariant is an invariant. We also have  $\text{tr.deg } \mathcal{S}(\mathfrak{q})^{\mathfrak{q}} = \text{ind } \mathfrak{q}$ . Set  $r = \text{ind } \mathfrak{q}$  and let  $x \in V^*$  be generic. If  $\mathbb{C}[\mathfrak{q}^*]^Q$  is a polynomial ring, then there are bi-homogenous generators  $H_1, \dots, H_r$  such that  $H_i$  with  $i > \text{ind } \mathfrak{g}_x$  freely generate  $\mathbb{C}[V^*]^G$  and the invariants  $H_i$  with  $i \leq \text{ind } \mathfrak{g}_x$  are *mixed*, they have positive degrees in  $\mathfrak{g}$  and  $V$ .

**Theorem 2 ([3, Theorem 5.7] and [10, Proposition 3.11])** *Suppose that  $G$  is semisimple and  $\mathbb{C}[\mathfrak{q}^*]^{\mathfrak{q}}$  is a polynomial ring with homogeneous generators  $H_1, \dots, H_r$ . Then*

- (i)  $\sum_{i=1}^r \text{deg } H_i = \mathbf{b}(\mathfrak{q}) + \text{deg } \mathfrak{p}_q$ ;
- (ii) *for generic  $x \in V^*$ , the restriction map  $\varphi_x: \mathbb{C}[\mathfrak{q}^*]^Q \rightarrow \mathbb{C}[\mathfrak{g} + x]^{G_x \times V} \cong \mathcal{S}(\mathfrak{g}_x)^{G_x}$  is surjective,  $\mathcal{S}(\mathfrak{g}_x)^{G_x} = \mathcal{S}(\mathfrak{g}_x)^{\mathfrak{g}_x}$ , and  $\mathcal{S}(\mathfrak{g}_x)^{G_x}$  is a polynomial ring in  $\text{ind } \mathfrak{g}_x$  variables.*

It is worth mentioning that  $\varphi_x$  is also surjective for stable actions. An action of  $G$  on  $V$  is called *stable* if generic  $G$ -orbits in  $V$  are closed, for more details see [8, Sections 2.4 and 7.5]. By [10, Theorem 2.8]  $\varphi_x$  is surjective for generic  $x \in V^*$  if the  $G$ -action on  $V^*$  is stable.

### 3 $\mathbb{Z}/2\mathbb{Z}$ -contractions

The initial motivation for studying symmetric invariants of semi-direct products was related to a conjecture of D. Panyushev on  $\mathbb{Z}_2$ -contractions of reductive Lie algebras. The results of [10], briefly outlined in Sect. 2, have settled the problem.

Let  $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$  be a *symmetric decomposition*, i.e., a  $\mathbb{Z}/2\mathbb{Z}$ -grading of  $\mathfrak{g}$ . A semi-direct product,  $\tilde{\mathfrak{g}} = \mathfrak{g}_0 \ltimes \mathfrak{g}_1$ , where  $\mathfrak{g}_1$  is an Abelian ideal, can be seen as a *contraction*, in this case a  $\mathbb{Z}_2$ -*contraction*, of  $\mathfrak{g}$ . For example, starting with a symmetric pair  $(\mathfrak{so}_{n+1}, \mathfrak{so}_n)$ , one arrives at  $\tilde{\mathfrak{g}} = \mathfrak{so}_n \ltimes \mathbb{C}^n$ . In [4], it was conjectured that  $\mathcal{S}(\tilde{\mathfrak{g}})^{\tilde{\mathfrak{g}}}$  is a polynomial ring (in  $\text{rk } \mathfrak{g}$  variables).

**Theorem 3 ([4, 9, 10])** *Let  $\tilde{\mathfrak{g}}$  be a  $\mathbb{Z}_2$ -contraction of a reductive Lie algebra  $\mathfrak{g}$ . Then  $\mathcal{S}(\tilde{\mathfrak{g}})^{\tilde{\mathfrak{g}}}$  is a polynomial ring (in  $\text{rk } \mathfrak{g}$  variables) if and only if the restriction homomorphism  $\mathbb{C}[\mathfrak{g}]^{\mathfrak{g}} \rightarrow \mathbb{C}[\mathfrak{g}_1]^{\mathfrak{g}_0}$  is surjective.*

If we are in one of the “surjective” cases, then one can describe the generators of  $\mathcal{S}(\tilde{\mathfrak{g}})^{\tilde{\mathfrak{g}}}$ . Let  $H_1, \dots, H_r$  be suitably chosen homogeneous generators of  $\mathcal{S}(\mathfrak{g})^{\mathfrak{g}}$  and let  $H_i^\bullet$  be the bi-homogeneous (w.r.t.  $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$ ) component of  $H_i$  of the highest  $\mathfrak{g}_1$ -degree. Then  $\mathcal{S}(\tilde{\mathfrak{g}})^{\tilde{\mathfrak{g}}}$  is freely generated by the polynomials  $H_i^\bullet$  (of course, providing the restriction homomorphism  $\mathbb{C}[\mathfrak{g}]^{\mathfrak{g}} \rightarrow \mathbb{C}[\mathfrak{g}_1]^{\mathfrak{g}_0}$  is surjective) [4, 9].

Unfortunately, this construction of generators cannot work if the restriction homomorphism is not surjective, see [4, Remark 4.3]. As was found out by Helgason [2], there are four “non-surjective” irreducible symmetric pairs, namely,  $(E_6, F_4)$ ,

$(E_7, E_6 \oplus \mathbb{C})$ ,  $(E_8, E_7 \oplus \mathfrak{sl}_2)$ , and  $(E_6, \mathfrak{so}_{10} \oplus \mathfrak{so}_2)$ . The approach to semi-direct products developed in [10] showed that Panyushev’s conjecture does not hold for them. Next we outline some ideas of the proof.

Let  $G_0 \subset G$  be a connected subgroup with  $\text{Lie } G_0 = \mathfrak{g}_0$ . Then  $G_0$  is reductive, it acts on  $\mathfrak{g}_1 \cong \mathfrak{g}_1^*$ , and this action is stable. Let  $x \in \mathfrak{g}_1$  be a generic element and  $G_{0,x}$  be its stabiliser in  $G_0$ . The groups  $G_{0,x}$  are reductive and they are known for all symmetric pairs. In particular,  $\mathcal{S}(\mathfrak{g}_{0,x})^{G_{0,x}}$  is a polynomial ring. It is also known that  $\mathbb{C}[\mathfrak{g}_1]^{G_0}$  is a polynomial ring. By [4]  $\tilde{\mathfrak{g}}$  has the “codim-2” property and  $\text{ind } \tilde{\mathfrak{g}} = \text{rk } \mathfrak{g}$ .

Making use of the surjectivity of  $\varphi_x$  one can show that if  $\mathbb{C}[\tilde{\mathfrak{g}}^*]^{\tilde{\mathfrak{g}}}$  is freely generated by some  $H_1, \dots, H_r$ , then necessary  $\sum_{i=1}^r \text{deg } H_i > \mathbf{b}(\tilde{\mathfrak{g}})$  for  $\tilde{\mathfrak{g}}$  coming from one of the “non-surjective” pairs [10]. In view of some results from [3] this leads to a contradiction.

Note that in case of  $(\mathfrak{g}, \mathfrak{g}_0) = (E_6, F_4)$ ,  $\mathfrak{g}_0 = F_4$  is simple and  $\tilde{\mathfrak{g}}$  is a semi-direct product of  $F_4$  and  $\mathbb{C}^{26}$ , which, of course, comes from one of the representations in Schwarz’s list [7].

### 4 Examples Related to the Defining Representation of $\mathfrak{sl}_n$

Form now assume that  $\mathfrak{g} = \mathfrak{sl}_n$  and  $V = m(\mathbb{C}^n)^* \oplus k\mathbb{C}^n$  with  $n \geq 2, m \geq 1, m \geq k$ . According to [7]  $\mathbb{C}[V]^G$  is a polynomial ring if either  $k = 0$  and  $m \leq n + 1$  or  $m \leq n, k \leq n - 1$ . One finds also the description of the generators of  $\mathbb{C}[V^*]^G$  and their degrees in [7]. In this section, we classify all cases, where  $\mathbb{C}[q^*]^q$  is a polynomial ring and for each of them give the fundamental semi-invariant.

*Example 1* Suppose that either  $m \geq n$  or  $m = k = n - 1$ . Then  $\mathfrak{g}_x = 0$  for generic  $x \in V^*$  and therefore  $\mathbb{C}[q^*]^q = \mathbb{C}[V^*]^G$ , i.e.,  $\mathbb{C}[q^*]^q$  is a polynomial ring if and only if  $\mathbb{C}[V^*]^G$  is. The latter takes place for  $(m, k) = (n + 1, 0)$ , for  $m = n$  and any  $k < n$ , as well as for  $m = k = n - 1$ . Non-scalar fundamental semi-invariants appear here only for

- $m = n$ , where  $\mathbf{p}$  is given by  $\det(v)^{n-1-k}$  with  $v \in n\mathbb{C}^n$ ;
- $m = k = n - 1$ , where  $\mathbf{p}$  is the sum of the principal  $2k \times 2k$ -minors of

$$\begin{pmatrix} 0 & v \\ w & 0 \end{pmatrix} \text{ with } v \in k\mathbb{C}^n, w \in k(\mathbb{C}^n)^*.$$

In the rest of the section, we assume that  $\mathfrak{g}_x \neq 0$  for generic  $x \in V^*$ .

### 4.1 The Case $k = 0$

Here the ring of  $G$ -invariants on  $V^*$  is generated by

$$\{\Delta_I \mid I \subset \{1, \dots, m\}, |I| = n\} \text{ [8, Section 9],}$$

where each  $\Delta_I(v)$  is the determinant of the corresponding submatrix of  $v \in V^*$ . The generators are algebraically independent if and only if  $m \leq n + 1$ , see also [7].

We are interested only in  $m$  that are smaller than  $n$ . Let  $n = qm + r$ , where  $0 < r \leq m$ , and let  $I \subset \{1, \dots, m\}$  be a subset of cardinality  $r$ . By choosing the corresponding  $r$  columns of  $v$  we get a matrix  $w = v_I$ . Set

$$F_I(A, v) := \det (v|Av| \dots |A^{q-1}v|A^q w), \text{ where } A \in \mathfrak{g}, v \in V^*. \tag{3}$$

Clearly each  $F_I$  is an  $SL_n$ -invariant. Below we will see that they are also  $V$ -invariants. If  $r = m$ , then there is just one invariant,  $F = F_{\{1, \dots, m\}}$ . If  $r$  is either 1 or  $m - 1$ , we get  $m$  invariants.

**Lemma 4** *Each  $F_I$  defined by Eq. (3) is a  $V$ -invariant.*

*Proof* According to Lemma 1 we have to show that  $F_I(\xi + \text{ad}^*(V) \cdot x, x) = F(\xi, x)$  for generic  $x \in V^*$  and any  $\xi \in \mathfrak{sl}_n$ . Since  $m < n$ , there is an open  $SL_m$ -orbit in  $V^*$  and we can take  $x$  as  $E_m$ . Let  $\mathfrak{p} \subset \mathfrak{gl}_n$  be the standard parabolic subalgebra corresponding to the composition  $(m, n - m)$  and let  $\mathfrak{n}_-$  be the nilpotent radical of the opposite parabolic. Each element (matrix)  $\xi \in \mathfrak{gl}_n$  is a sum  $\xi = \xi_- + \xi_p$  with  $\xi_- \in \mathfrak{n}_-, \xi_p \in \mathfrak{p}$ . In this notation  $F_I(A, E_m) = \det (A_-|(A^2)_-| \dots |(A^{q-1})_-|(A^q)_{-I})$ .

Let  $\alpha = \alpha_A$  and  $\beta = \beta_A$  be  $m \times m$  and  $(n - m) \times (n - m)$ -submatrices of  $A$  standing in the upper left and lower right corner, respectively. Then  $(A^{s+1})_- = \sum_{t=0}^s \beta^t A_- \alpha^{s-t}$ . Each column of  $A_- \alpha$  is a linear combination of columns of  $A_-$  and each column of  $\beta^t A_- \alpha^{j+1}$  is a linear combination of columns of  $\beta^t A_- \alpha^j$ . Therefore

$$\begin{aligned} F_I(A, E_m) &= \det (A_-| \dots |(A^{q-1})_-|(A^q)_{-I}) = \\ &= \det (A_-|\beta A_-| \dots |\beta^{q-2} A_-|\beta^{q-1} A_-|_{-I}). \end{aligned} \tag{4}$$

Notice that  $\mathfrak{g}_x \subset \mathfrak{p}$  and the nilpotent radical of  $\mathfrak{p}$  is contained in  $\mathfrak{g}_x$  (with  $x = E_m$ ). Since  $\text{ad}^*(V) \cdot x = \text{Ann}(\mathfrak{g}_x) = \mathfrak{g}_x^\perp \subset \mathfrak{g}$  (after the identification  $\mathfrak{g} \cong \mathfrak{g}^*$ ),  $A_- = 0$  for any  $A \in \mathfrak{g}_x^\perp$ ; and we have  $\beta_A = cE_{n-m}$  with  $c \in \mathbb{C}$  for this  $A$ . An easy observation is that

$$\begin{aligned} \det (\xi_-|(\beta_\xi + cE_{n-m})\xi_-| \dots |(\beta_\xi + cE_{n-m})^{q-1}\xi_-|) &= \\ &= \det (\xi_-|\beta_\xi \xi_-| \dots |\beta_\xi^{q-1}\xi_-|). \end{aligned}$$

Hence  $F_I(\xi + A, E_m) = F_I(\xi, E_m)$  for all  $A \in \text{ad}^*(V) \cdot E_m$  and all  $\xi \in \mathfrak{sl}_n$ . □

**Theorem 4** *Suppose that  $\mathfrak{q} = \mathfrak{sl}_{n \times m}(\mathbb{C}^n)^*$ . Then  $\mathbb{C}[\mathfrak{q}^*]^{\mathcal{Q}}$  is a polynomial ring if and only if  $m \leq n + 1$  and  $m$  divides either  $n - 1$ ,  $n$  or  $n + 1$ . Under these assumptions on  $m$ ,  $\mathfrak{p}_{\mathfrak{q}} = 1$  exactly then, when  $m$  divides either  $n - 1$  or  $n + 1$ .*

*Proof* Note that the statement is true for  $m \geq n$  by Example 1. Assume that  $m \leq n - 1$ . Suppose that  $n = mq + r$  as above. A generic stabiliser in  $\mathfrak{g}$  is  $\mathfrak{g}_x = \mathfrak{sl}_{n-m} \times m\mathbb{C}^{n-m}$ . On the group level it is connected. Notice that  $\text{ind}_{\mathfrak{g}_x} = \text{tr.deg } \mathcal{S}(\mathfrak{g}_x)^{G_x}$ , since  $G_x$  has no non-trivial characters. Note also that  $\mathbb{C}[V^*]^G = \mathbb{C}$ , since  $m < n$ . If  $\mathbb{C}[\mathfrak{q}^*]^{\mathcal{Q}}$  is a polynomial ring, then so is  $\mathbb{C}[\mathfrak{g}_x^*]^{G_x}$  by Theorem 2(ii) and either  $n - m = 1$  or, arguing by induction,  $n - m \equiv t \pmod{m}$  with  $t \in \{-1, 0, 1\}$ .

Next we show that the ring of symmetric invariants is freely generated by the polynomials  $F_I$  for the indicated  $m$ . Each element  $\gamma \in \mathfrak{g}_x^*$  can be presented as  $\gamma = \beta_0 + A_-$ , where  $\beta_0 \in \mathfrak{sl}_{n-m}$ . Each restriction  $\varphi_x(F_I)$  can be regarded as an element of  $\mathcal{S}(\mathfrak{g}_x)$ . Equation (4) combined with Lemma 4 and the observation that  $\mathfrak{g}_x^* \cong \mathfrak{g}/\text{Ann}(\mathfrak{g}_x)$  shows that  $\varphi_x(F_I)$  is either  $\Delta_I$  of  $\mathfrak{g}_x$  (in case  $q = 1$ , where  $F_I(A, E_m) = \det A_{-j}$ ) or  $F_I$  of  $\mathfrak{g}_x$ . Arguing by induction on  $n$ , we prove that the restrictions  $\varphi_x(F_I)$  freely generate  $\mathcal{S}(\mathfrak{g}_x)^{\mathfrak{g}_x}$  for  $x = E_m$  (i.e., for a generic point in  $V^*$ ). Notice that  $n - m = (q - 1)m + r$ .

The group  $\text{SL}_n$  acts on  $V^*$  with an open orbit  $\text{SL}_n \cdot E_m$ . Therefore the restriction map  $\varphi_x$  is injective. By the inductive hypothesis it is also surjective and therefore is an isomorphism. This proves that the polynomials  $F_I$  freely generate  $\mathbb{C}[\mathfrak{q}^*]^{\mathcal{Q}}$ .

If  $m$  divides  $n$ , then  $\mathbb{C}[\mathfrak{q}^*]^{\mathcal{Q}} = \mathbb{C}[F]$  and the fundamental semi-invariant is a power of  $F$ . As follows from the equality in Theorem 2(i),  $\mathfrak{p} = F^{m-1}$ .

Suppose that  $m$  divides either  $n - 1$  or  $n + 1$ . Then we have  $m$  different invariants  $F_I$ . By induction on  $n$ ,  $\mathfrak{g}_x$  has the ‘‘codim-2’’ property, therefore the sum of  $\text{deg } \varphi_x(F_I)$  is equal to  $\mathfrak{b}(\mathfrak{g}_x)$  by Theorem 2(i). The sum of  $V$ -degrees is  $m \times n = \dim V$  and hence by Lemma 3  $\sum \text{deg } F_I = \mathfrak{b}(\mathfrak{q})$ . Thus,  $\mathfrak{q}$  has the ‘‘codim-2’’ property.  $\square$

*Remark 1* Using induction on  $n$  one can show that the restriction map  $\varphi_x$  is an isomorphism for all  $m < n$ . Therefore the polynomials  $F_I$  generate  $\mathbb{C}[\mathfrak{q}^*]^{\mathcal{Q}}$  for all  $m < n$ .

### 4.2 The Case $m = k$

Here  $\mathbb{C}[V^*]^G$  is a polynomial ring if and only if  $k \leq n - 1$ ; a generic stabiliser is  $\mathfrak{sl}_{n-k}$ , and the  $G$ -action on  $V \cong V^*$  is stable. We assume that  $\mathfrak{g}_x \neq 0$  for generic  $x \in V^*$  and therefore  $k \leq n - 2$ .

For an  $N \times N$ -matrix  $C$ , let  $\Delta_i(C)$  with  $1 \leq i \leq N$  be coefficients of its characteristic polynomial, each  $\Delta_i$  being a homogeneous polynomial of degree  $i$ . Let  $\gamma = A + v + w \in \mathfrak{q}^*$  with  $A \in \mathfrak{g}$ ,  $v \in k\mathbb{C}^n$ ,  $w \in k(\mathbb{C}^n)^*$ . Having these objects we form an  $(n + k) \times (n + k)$ -matrix

$$Y_\gamma := \left( \begin{array}{c|c} A & v \\ \hline w & 0 \end{array} \right)$$



and set  $F_i(\gamma) = \Delta_i(Y_\gamma)$  for each  $i \in \{2k + 1, 2k + 2, 2k + 3, \dots, n + k\}$ . Each  $F_i$  is an  $SL_n \times GL_k$ -invariant. Unfortunately, these polynomials are not  $V$ -invariants.

*Remark 2* If we repeat the same construction for  $\tilde{q} = \mathfrak{gl}_n \ltimes V$  with  $k \leq n - 1$ , then  $\mathbb{C}[\tilde{q}^*]^{\tilde{Q}} = \mathbb{C}[V^*]^{GL_n}[\{F_i \mid 2k + 1 \leq i \leq n + k\}]$  and it is a polynomial ring in  $\text{ind } \tilde{q} = n - k + k^2$  generators.

**Theorem 5** *Suppose that  $m = k \leq n - 1$ . Then  $\mathbb{C}[q^*]^q$  is a polynomial ring if and only if  $k \in \{n - 2, n - 1\}$ . In case  $k = n - 2$ ,  $q$  has the “codim-2” property.*

*Proof* Suppose that  $k = n - 2$ . Then a generic stabiliser  $\mathfrak{g}_x = \mathfrak{sl}_2$  is of index 1 and since the  $G$ -action on  $V$  is stable,  $\mathbb{C}[q^*]^q$  has to be a polynomial ring by [10, Example 3.6]. One can show that the unique mixed generator is of the form  $F_{2k+2}H_{2k} - F_{2k+1}^2$ , where  $H_{2k}$  is a certain  $SL_n \times GL_k$ -invariant on  $V$  of degree  $2k$  and then see that the sum of degrees is  $\mathbf{b}(q)$ .

More generally,  $q$  has the “codim-2” property for all  $k \leq n - 2$ . Here each  $G$ -invariant divisor in  $V^*$  contains a  $G$ -orbit of maximal dimension, say  $G_y$ . Set  $u = n - k - 1$ . If  $G_y$  is not  $SL_{n-k}$ , then  $\mathfrak{g}_y = \mathfrak{sl}_u \ltimes (\mathbb{C}^u \oplus (\mathbb{C}^u)^* \oplus \mathbb{C})$  is a semi-direct product with a Heisenberg Lie algebra. Following the proof of [4, Theorem 3.3], one has to show that  $\text{ind } \mathfrak{g}_y = u$  in order to prove that  $q$  has the “codim-2” property. This is indeed the case,  $\text{ind } \mathfrak{g}_y = 1 + \text{ind } \mathfrak{sl}_u$ .

Suppose that  $0 < k < n - 2$  and assume that  $\mathcal{S}(q)^q$  is a polynomial ring. Then there are bi-homogeneous generators  $\mathbf{h}_2, \dots, \mathbf{h}_{n-k}$  of  $\mathbb{C}[q^*]^Q$  over  $\mathbb{C}[V^*]^G$  such that their restrictions to  $\mathfrak{g} + x$  form a generating set of  $\mathcal{S}(\mathfrak{g}_x)^{\mathfrak{g}_x}$  for a generic  $x$  (with  $\mathfrak{g}_x \cong \mathfrak{sl}_{n-k}$ ), see Theorem 2(ii). In particular,  $\deg_{\mathfrak{g}} \mathbf{h}_t = t$ .

Take  $\tilde{g} = (\mathfrak{sl}_n \oplus \mathfrak{gl}_k) \ltimes V$ , which is a  $\mathbb{Z}_2$ -contraction of  $\mathfrak{sl}_{n+k}$ . Then  $q$  is a Lie subalgebra of  $\tilde{g}$ . Note that  $GL_k$  acts on  $q$  via automorphisms and therefore we may assume that the  $\mathbb{C}$ -linear span of  $\{\mathbf{h}_t\}$  is  $GL_k$ -stable. By degree considerations, each  $\mathbf{h}_t$  is an  $SL_k$ -invariant as well. The Weyl involution of  $SL_n$  acts on  $V$  and has to preserve each line  $\mathbb{C}\mathbf{h}_t$ . Since this involution interchanges  $\mathbb{C}^n$  and  $(\mathbb{C}^n)^*$ , each  $\mathbf{h}_t$  is also a  $GL_k$ -invariant. Thus,

$$\mathcal{S}(q)^q = \mathcal{S}(q)^{\tilde{g}} = \mathcal{S}(q) \cap \mathcal{S}(\tilde{g})^{\tilde{g}}.$$

Since  $\tilde{g}$  is a “surjective”  $\mathbb{Z}_2$ -contraction, its symmetric invariants are known [4, Theorem 4.5]. The generators of  $\mathcal{S}(\tilde{g})^{\tilde{g}}$  are  $\Delta_j^\bullet$  with  $2 \leq j \leq n + k$ . Here  $\deg \Delta_j^\bullet = j$  and the generators of  $(\mathfrak{sl}_n \oplus \mathfrak{gl}_k)$ -degrees  $2, 3, \dots, n - k$  are  $\Delta_{2k+2}^\bullet, \Delta_{2k+3}^\bullet, \dots, \Delta_{n+k}^\bullet$ . As the restriction to  $\mathfrak{sl}_n \oplus \mathfrak{gl}_k + x$  shows, none of the generators  $\Delta_j^\bullet$  with  $j \geq 2k + 2$  lies in  $\mathcal{S}(q)$ . This means that  $\mathbf{h}_t$  cannot be equal or even proportional over  $\mathbb{C}[V^*]^G$  to  $\Delta_{2k+t}^\bullet$  and hence has a more complicated expression. More precisely, a product  $\Delta_{2k+1}^\bullet \Delta_{2k+t-1}^\bullet$  necessarily appears in  $\mathbf{h}_t$  with a non-zero coefficient from  $\mathbb{C}[V^*]^G$  for  $t \geq 2$ . Since  $\deg_V \Delta_{2k+1}^\bullet = 2k$ , we have  $\deg_V \mathbf{h}_t \geq 4k$  for every  $t \geq 2$ . The ring  $\mathbb{C}[V^*]^G$  is freely generated by  $k^2$  polynomials of degree two. Therefore,

the total sum of degrees over all generators of  $\mathcal{S}(\mathfrak{q})^{\mathfrak{q}}$  is greater than or equal to

$$\mathbf{b}(\mathfrak{sl}_{n-k}) + 4k(n - k - 1) + 2k^2 = \mathbf{b}(\mathfrak{q}) + 2k(n - k - 2).$$

This contradicts Theorem 2(i) in view of the fact that  $\mathbf{p}_{\mathfrak{q}} = 1$ .

□

### 4.3 The Case $0 < k < m$

Here  $\mathbb{C}[V^*]^G$  is a polynomial ring if and only if  $m \leq n$ , [7]. If  $n = m$ , then  $\mathfrak{g}_x = 0$  for generic  $x \in V^*$ . For  $m < n$ , our construction of invariants is rather intricate.

Let  $\pi_1, \dots, \pi_{n-1}$  be the fundamental weights of  $\mathfrak{sl}_n$ . We use the standard convention,  $\pi_i = \varepsilon_1 + \dots + \varepsilon_i$ ,  $\varepsilon_n = -\sum_{i=1}^{n-1} \varepsilon_i$ . Recall that for any  $t$ ,  $1 \leq t < n$ ,  $\Lambda^t \mathbb{C}^n$  is irreducible with the highest weight  $\pi_t$ . Let  $\{e_1, \dots, e_n\}$  be a basis of  $\mathbb{C}^n$  such that each  $e_i$  is a weight vector and  $\ell_t := e_1 \wedge \dots \wedge e_t$  is a highest weight vector of  $\Lambda^t \mathbb{C}^n$ . Clearly  $\Lambda^t \mathbb{C}^n \subset \mathcal{S}^t(\mathfrak{t} \mathbb{C}^n)$ . Write  $n - k = d(m - k) + r$  with  $0 < r \leq (m - k)$ . Let  $\varphi : m\mathbb{C}^n \rightarrow \Lambda^m \mathbb{C}^n$  be a non-zero  $m$ -linear  $G$ -equivariant map. Such a map is unique up to a scalar and one can take  $\varphi$  with  $\varphi(v_1 + \dots + v_m) = v_1 \wedge \dots \wedge v_m$ . In case  $r \neq m - k$ , for any subset  $I \subset \{1, \dots, m\}$  with  $|I| = k + r$ , let  $\varphi_I : m\mathbb{C}^n \rightarrow (k + r)\mathbb{C}^n \rightarrow \Lambda^{k+r} \mathbb{C}^n$  be the corresponding (almost) canonical map. By the same principle we construct  $\tilde{\varphi} : k(\mathbb{C}^n)^* \rightarrow \Lambda^k(\mathbb{C}^n)^*$ .

Let us consider the tensor product  $\mathbb{W} := (\Lambda^m \mathbb{C}^n)^{\otimes d} \otimes \Lambda^{k+r} \mathbb{C}^n$  and its weight subspace  $\mathbb{W}_{d\pi_k}$ . One can easily see that  $\mathbb{W}_{d\pi_k}$  contains a unique up to a scalar non-zero highest weight vector, namely

$$w_{d\pi_k} = \sum_{\sigma \in S_{n-k}} \text{sgn}(\sigma) (\ell_k \wedge e_{\sigma(k+1)} \wedge \dots \wedge e_{\sigma(m)}) \otimes \dots \otimes (\ell_k \wedge e_{\sigma(n-r+1)} \dots \wedge e_{\sigma(n)}).$$

This means that  $\mathbb{W}$  contains a unique copy of  $V_{d\pi_k}$ , where  $V_{d\pi_k}$  is an irreducible  $\mathfrak{sl}_n$ -module with the highest weight  $d\pi_k$ . We let  $\rho$  denote the representation of  $\mathfrak{gl}_n$  on  $\Lambda^m \mathbb{C}^n$  and  $\rho_r$  the representation of  $\mathfrak{gl}_n$  on  $\Lambda^{k+r} \mathbb{C}^n$ . Let  $\xi = A + v + w$  be a point in  $\mathfrak{q}^*$ . (It is assumed that  $A \in \mathfrak{sl}_n$ .) Finally let  $(\cdot, \cdot)$  denote a non-zero  $\mathfrak{sl}_n$ -invariant scalar product between  $\mathbb{W}$  and  $\mathcal{S}^d(\Lambda^k(\mathbb{C}^n)^*)$  that is zero on the  $\mathfrak{sl}_n$ -invariant complement of  $V_{d\pi_k}$  in  $\mathbb{W}$ . Depending on  $r$ , set

$$\mathbf{F}(\xi) := (\varphi(v) \otimes \rho(A)^{m-k} \varphi(v) \otimes \rho(A^2)^{m-k} \varphi(v) \otimes \dots \otimes \rho(A^d)^{m-k} \varphi(v), \tilde{\varphi}(w)^d)$$

for  $r = m - k$ ;

$$\mathbf{F}_r(\xi) := (\varphi(v) \otimes \rho(A)^{m-k} \varphi(v) \otimes \dots \otimes \rho(A^{d-1})^{m-k} \varphi(v) \otimes \rho_r(A^d)^r \varphi_r(v), \tilde{\varphi}(w)^d)$$

for each  $I$  as above in case  $r < m - k$ . By the constructions the polynomials  $\mathbf{F}$  and  $\mathbf{F}_I$  are  $\text{SL}_n$ -invariants.

**Lemma 5** *The polynomials  $\mathbf{F}$  and  $\mathbf{F}_I$  are  $V$ -invariants.*

*Proof* We restrict  $\mathbf{F}$  and  $\mathbf{F}_I$  to  $\mathfrak{g}^* + x$  with  $x \in V^*$  generic. Changing a basis in  $V$  if necessary, we may assume that  $x = E_m + E_k$ . If  $r < m - k$ , some of the invariants  $\mathbf{F}_I$  may become linear combinations of such polynomials under the change of basis, but this does not interfere with  $V$ -invariance. Now  $\varphi(v)$  is a vector of weight  $\pi_m$  and  $\tilde{\varphi}(w)^d$  of weight  $-d\pi_k$ . Notice that  $dm + (k + r) = n + kd$ . If  $\sum_{i=1}^{n+kd} \lambda_i = d \sum_{i=1}^k \varepsilon_i$  and each  $\lambda_i$  is one of the  $\varepsilon_j$ ,  $1 \leq j \leq n$ , then in the sequence  $(\lambda_1, \dots, \lambda_{n+kd})$  we must have exactly one  $\varepsilon_j$  for each  $k < j \leq n$  and  $d + 1$  copies of each  $\varepsilon_i$  with  $1 \leq i \leq k$ . Hence the only summand of  $\rho(A^s)^{m-k} \varphi(E_m)$  that plays any rôle in  $\mathbf{F}$  or  $\mathbf{F}_I$  is  $\ell_k \wedge A^s e_{k+1} \wedge \dots \wedge A^s e_m$ . Moreover, in  $A^s e_{k+1} \wedge \dots \wedge A^s e_m$  we are interested only in vectors lying in  $\Lambda^{m-k} \text{span}(e_{k+1}, \dots, e_n)$ .

Let us choose blocks  $\alpha, U, \beta$  of  $A$  as shown in Fig. 1. Then up to a non-zero scalar  $\mathbf{F}(A, E_m + E_k)$  is the determinant of

$$(U|\beta U + U\alpha|P_2(\alpha, U, \beta)| \dots |P_{d-1}(\alpha, U, \beta)),$$

$$\text{where } P_s(\alpha, U, \beta) = \sum_{t=0}^s \beta^t U \alpha^{s-t}.$$

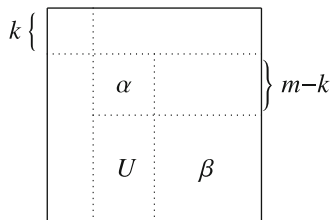
Each column of  $U\alpha$  is a linear combination of the columns of  $U$ , a similar relation exists between  $\beta^t U \alpha^{s+1}$  and  $\beta^t U \alpha^s$ . Therefore

$$\mathbf{F}(A, E_m + E_k) = \det (U|\beta U|\beta^2 U| \dots |\beta^{d-1} U). \tag{5}$$

We have to check that  $\mathbf{F}(\xi + A, x) = \mathbf{F}(\xi, x)$  for any  $A \in \text{ad}^*(V) \cdot x$  and any  $\xi \in \mathfrak{g}$ , see Lemma 1. Recall that  $\text{ad}^*(V) \cdot x = \text{Ann}(\mathfrak{g}_x) = \mathfrak{g}_x^\perp \subset \mathfrak{g}$ . In case  $x = E_m + E_k$ ,  $U$  is zero in each  $A \in \mathfrak{g}_x^\perp$  and  $\beta$  corresponding to such  $A$  is a scalar matrix. Therefore  $\mathbf{F}(\xi + \text{ad}^*(V) \cdot x, x) = \mathbf{F}(\xi, x)$ .

The case  $r < m - k$  is more complicated. If  $\{1, \dots, k\} \subset I$ , then  $I = \tilde{I} \sqcup \{1, \dots, k\}$ . Let  $U_{\tilde{I}}$  be the corresponding submatrix of  $U$  and  $\alpha_{\tilde{I} \times \tilde{I}}$  of  $\alpha$ . One just has to replace

**Fig. 1** Submatrices of  $A \in \mathfrak{sl}_n$



$U$  by  $U_{\bar{i}}$  and  $\alpha$  by  $\alpha_{\bar{i}\times\bar{j}}$  in the last polynomial  $P_{d-1}(\alpha, U, \beta)$  obtaining

$$\mathbf{F}_I(A, x) = \det (U|\beta U|\beta^2 U|\dots|\beta^{d-2} U|\beta^{d-1} U_{\bar{i}}).$$

These are  $\binom{m-k}{r}$  linearly independent invariants in  $\mathcal{S}(\mathfrak{g}_x)$ .

Suppose that  $\{1, \dots, k\} \not\subseteq I$ . Then  $\rho_I(A^d)^r$  has to move more than  $r$  vectors  $e_i$  with  $k + 1 \leq i \leq m$ , which is impossible. Thus,  $\mathbf{F}_I(A, x) = 0$  for such  $I$ .  $\square$

**Theorem 6** *Suppose that  $0 < k < m < n$  and  $m - k$  divides  $n - m$ , then  $\text{ind } \mathfrak{g}_x = 1$  for generic  $x \in V^*$  and  $\mathbb{C}[q^*]^Q = \mathbb{C}[V^*]^G[\mathbf{F}]$  is a polynomial ring, the fundamental semi-invariant is equal to  $\mathbf{F}^{m-k-1}$ .*

*Proof* A generic stabiliser  $\mathfrak{g}_x$  is  $\mathfrak{sl}_{n-m} \times (m-k)\mathbb{C}^{n-m}$ . Its ring of symmetric invariants is generated by  $F = \varphi_x(\mathbf{F})$ , see Theorem 4 and Eq. (5). We also have  $\text{ind } \mathfrak{g}_x = 1$ . It remains to see that  $\mathbf{F}$  is not divisible by a non-constant  $G$ -invariant polynomial on  $V^*$ . By the construction,  $\mathbf{F}$  is also invariant with respect to the action of  $\text{SL}_m \times \text{SL}_k$ . The group  $L = \text{SL}_m \times \text{SL}_m \times \text{SL}_k$  act on  $V^*$  with an open orbit. As long as  $\text{rk } w = k$ ,  $\text{rk } v = m$ , the  $L$ -orbit of  $y = v + w$  contains a point  $v' + E_k$ , where also  $\text{rk } v' = m$ . If in addition the upper  $k \times m$ -part of  $v$  has rank  $k$ , then  $L \cdot y$  contains  $x = E_m + E_k$ . Here  $\mathbf{F}$  is non-zero on  $\mathfrak{g} + y$ . Since the group  $L$  is semisimple, the complement of  $L \cdot (E_m + E_k)$  contains no divisors and  $\mathbf{F}$  is not divisible by any non-constant  $G$ -invariant in  $\mathbb{C}[V^*]$ . This is enough to conclude that  $\mathbb{C}[q^*]^Q = \mathbb{C}[V^*]^G[\mathbf{F}]$ , see Theorem 2.

The singular set  $\mathfrak{q}_{\text{sing}}^*$  is  $L$ -stable. And therefore  $\mathfrak{p}_{\mathfrak{q}}$  is also an  $\text{SL}_m \times \text{SL}_k$ -invariant. Hence  $\mathfrak{p}$  is a power of  $\mathbf{F}$ . In view of Theorem 2(i),  $\mathfrak{p} = \mathbf{F}^{m-k-1}$ .  $\square$

**Theorem 7** *Suppose that  $0 < k < m < n$  and  $m - k$  does not divide  $n - m$ , then  $\mathbb{C}[q^*]^Q$  is not a polynomial ring.*

*Proof* The reason for this misfortune is that  $\binom{m}{k+r} > \binom{m-k}{r}$  for  $r < m - k$ . One could prove that each  $\mathbf{F}_I$  must be in the set of generators and thereby show that  $\mathbb{C}[q^*]^Q$  is not a polynomial ring. But we present a different argument.

Assume that the ring of symmetric invariants is polynomial. It is bi-graded and  $\text{SL}_m$  acts on it preserving the bi-grading. Since  $\text{SL}_m$  is reductive, we can assume that there is a set  $\{H_1, \dots, H_s\}$  of bi-homogeneous mixed generators such that  $\mathcal{S}(\mathfrak{q})^{\mathfrak{q}} = \mathbb{C}[V^*]^G[H_1, \dots, H_s]$  and the  $\mathbb{C}$ -linear span  $\mathcal{H} := \text{span}(H_1, \dots, H_s)$  is  $\text{SL}_m$ -stable. The polynomiality implies that a generic stabiliser  $\mathfrak{g}_x = \mathfrak{sl}_{n-m} \times (m-k)\mathbb{C}^{n-m}$  has a free algebra of symmetric invariants, see Theorem 2(ii), and by the same statement  $\varphi_x$  is surjective. This means that  $r$  is either 1 or  $m - k - 1$ , see Theorem 4,  $s = m - k$ , and  $\varphi_x$  is injective on  $\mathcal{H}$ . Taking our favourite (generic)  $x = E_m + E_k$ , we see that there is  $\text{SL}_{m-k}$  embedded diagonally into  $G \times \text{SL}_m$ , which acts on  $\varphi_x(\mathcal{H})$  as on  $\Lambda^r \mathbb{C}^{m-k}$ . The group  $\text{SL}_{m-k}$  acts on  $\mathcal{H}$  in the same way. Since  $m - k$  does not divide  $n - m$ , we have  $m - k \geq 2$ . The group  $\text{SL}_m$  cannot act on an irreducible module  $\Lambda^r \mathbb{C}^{m-k}$  of its non-trivial subgroup  $\text{SL}_{m-k}$ , this is especially obvious in our two cases of interest,  $r = 1$  and  $r = m - k - 1$ . A contradiction.  $\square$

*Conjecture 1* It is very probable that  $\mathbb{C}[q^*]^q = \mathbb{C}[V^*]^G[\{\mathbf{F}_I\}]$  for all  $n > m > k \geq 1$ .

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