

## Chapter 6

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### Principal Bundles and Characteristic Classes

A principal bundle is a locally trivial family of groups. It turns out that the theory of connections on a vector bundle can be subsumed under the theory of connections on a principal bundle. The latter, moreover, has the advantage that its connection forms are basis-free.

In this chapter we will first give several equivalent constructions of a connection on a principal bundle, and then generalize the notion curvature to a principal bundle, paving the way to a generalization of characteristic classes to principal bundles. Along the way, we also generalize covariant derivatives to principal bundles.

#### §27 Principal Bundles

We saw in Section 11 that a connection  $\nabla$  on a vector bundle  $E$  over a manifold  $M$  can be represented by a matrix of 1-forms over a framed open set. For any frame  $e = [e_1 \cdots e_r]$  for  $E$  over an open set  $U$ , the connection matrix  $\omega_e$  relative to  $e$  is defined by

$$\nabla_X e_j = \sum_i (\omega_e)^i_j(X) e_i$$

for all  $C^\infty$  vector fields  $X$  over  $U$ . If  $\bar{e} = [\bar{e}_1 \cdots \bar{e}_r] = ea$  is another frame for  $E$  over  $U$ , where  $a: U \rightarrow \text{GL}(r, \mathbb{R})$  is a matrix of  $C^\infty$  transition functions, then by Theorem 22.1 the connection matrix  $\omega_{\bar{e}}$  transforms according to the rule

$$\omega_{\bar{e}} = a^{-1} \omega_e a + a^{-1} da.$$

Associated to a vector bundle is an object called its **frame bundle**  $\pi: \text{Fr}(E) \rightarrow M$ ; the total space  $\text{Fr}(E)$  of the frame bundle is the set of all ordered bases in the fibers of the vector bundle  $E \rightarrow M$ , with a suitable topology and manifold structure. A **section** of the frame bundle  $\pi: \text{Fr}(E) \rightarrow M$  over an open set  $U \subset M$  is a map  $s: U \rightarrow \text{Fr}(E)$

such that  $\pi \circ s = \mathbb{1}_U$ , the identity map on  $U$ . From this point of view a frame  $e = [e_1 \cdots e_r]$  over  $U$  is simply a section of the frame bundle  $\text{Fr}(E)$  over  $U$ .

Suppose  $\nabla$  is a connection on the vector bundle  $E \rightarrow M$ . Miraculously, there exists a matrix-valued 1-form  $\omega$  on the frame bundle  $\text{Fr}(E)$  such that for every frame  $e$  over an open set  $U \subset M$ , the connection matrix  $\omega_e$  of  $\nabla$  is the pullback of  $\omega$  by the section  $e: U \rightarrow \text{Fr}(E)$  (Theorem 29.10). This matrix-valued 1-form, called an **Ehresmann connection** on the frame bundle  $\text{Fr}(E)$ , is determined uniquely by the connection on the vector bundle  $E$  and vice versa. It is an intrinsic object of which a connection matrix  $\omega_e$  is but a local manifestation. The frame bundle of a vector bundle is an example of a principal  $G$ -bundle for the group  $G = \text{GL}(r, \mathbb{R})$ . The Ehresmann connection on the frame bundle generalizes to a connection on an arbitrary principal bundle.

This section collects together some general facts about principal bundles.

### 27.1 Principal Bundles

Let  $E, M$ , and  $F$  be manifolds. We will denote an open cover  $\mathfrak{U}$  of  $M$  either as  $\{U_\alpha\}$  or more simply as an unindexed set  $\{U\}$  whose general element is denoted by  $U$ . A **local trivialization** with fiber  $F$  for a smooth surjection  $\pi: E \rightarrow M$  is an open cover  $\mathfrak{U} = \{U\}$  for  $M$  together with a collection  $\{\phi_U: \pi^{-1}(U) \rightarrow U \times F \mid U \in \mathfrak{U}\}$  of fiber-preserving diffeomorphisms  $\phi_U: \pi^{-1}(U) \rightarrow U \times F$ :

$$\begin{array}{ccc}
 \pi^{-1}(U) & \xrightarrow{\phi_U} & U \times F \\
 \searrow \pi & & \swarrow \eta \\
 & U &
 \end{array}$$

where  $\eta$  is projection to the first factor. A **fiber bundle** with fiber  $F$  is a smooth surjection  $\pi: E \rightarrow M$  having a local trivialization with fiber  $F$ . We also say that it is **locally trivial** with fiber  $F$ . The manifold  $E$  is the **total space** and the manifold  $M$  the **base space** of the fiber bundle.

The **fiber** of a fiber bundle  $\pi: E \rightarrow M$  over  $x \in M$  is the set  $E_x := \pi^{-1}(x)$ . Because  $\pi$  is a submersion, by the regular level set theorem ([21], Th. 9.13, p. 96) each fiber  $E_x$  is a regular submanifold of  $E$ . For  $x \in U$ , define  $\phi_{U,x} := \phi_U|_{E_x}: E_x \rightarrow \{x\} \times F$  to be the restriction of the trivialization  $\phi_U: \pi^{-1}(U) \rightarrow U \times F$  to the fiber  $E_x$ .

**Proposition 27.1.** *Let  $\pi: E \rightarrow M$  be a fiber bundle with fiber  $F$ . If  $\phi_U: \pi^{-1}(U) \rightarrow U \times F$  is a trivialization, then  $\phi_{U,x}: E_x \rightarrow \{x\} \times F$  is a diffeomorphism.*



Charles Ehresmann  
(1905–1979)

*Proof.* The map  $\phi_{U,x}$  is smooth because it is the restriction of the smooth map  $\phi_U$  to a regular submanifold. It is bijective because  $\phi_U$  is bijective and fiber-preserving. Its inverse  $\phi_{U,x}^{-1}$  is the restriction of the smooth map  $\phi_U^{-1} : U \times F \rightarrow \pi^{-1}(U)$  to the fiber  $\{x\} \times F$  and is therefore also smooth.  $\square$

A smooth **right action** of a Lie group  $G$  on a manifold  $M$  is a smooth map

$$\mu : M \times G \rightarrow M,$$

denoted by  $x \cdot g := \mu(x, g)$ , such that for all  $x \in M$  and  $g, h \in G$ ,

- (i)  $x \cdot e = x$ , where  $e$  is the identity element of  $G$ ,
- (ii)  $(x \cdot g) \cdot h = x \cdot (gh)$ .

We often omit the dot and write more simply  $xg$  for  $x \cdot g$ . If there is such a map  $\mu$ , we also say that  $G$  **acts smoothly on  $M$  on the right**. A **left action** is defined similarly. The **stabilizer** of a point  $x \in M$  under an action of  $G$  is the subgroup

$$\text{Stab}(x) := \{g \in G \mid x \cdot g = x\}.$$

The **orbit** of  $x \in M$  is the set

$$\text{Orbit}(x) := xG := \{x \cdot g \in M \mid g \in G\}.$$

Denote by  $\text{Stab}(x) \backslash G$  the set of right cosets of  $\text{Stab}(x)$  in  $G$ . By the orbit-stabilizer theorem, for each  $x \in M$  the map:  $G \rightarrow \text{Orbit}(x)$ ,  $g \mapsto x \cdot g$  induces a bijection of sets:

$$\begin{aligned} \text{Stab}(x) \backslash G &\longleftrightarrow \text{Orbit}(x), \\ \text{Stab}(x)g &\longleftrightarrow x \cdot g. \end{aligned}$$

The action of  $G$  on  $M$  is **free** if the stabilizer of every point  $x \in M$  is the trivial subgroup  $\{e\}$ .

A manifold  $M$  together with a right action of a Lie group  $G$  on  $M$  is called a **right  $G$ -manifold** or simply a  **$G$ -manifold**. A map  $f : N \rightarrow M$  between right  $G$ -manifolds is **right  $G$ -equivariant** if

$$f(x \cdot g) = f(x) \cdot g$$

for all  $(x, g) \in N \times G$ . Similarly, a map  $f : N \rightarrow M$  between left  $G$ -manifolds is **left  $G$ -equivariant** if

$$f(g \cdot x) = g \cdot f(x)$$

for all  $(g, x) \in G \times N$ .

A left action can be turned into a right action and vice versa; for example, if  $G$  acts on  $M$  on the left, then

$$x \cdot g = g^{-1} \cdot x$$

is a right action of  $G$  on  $M$ . Thus, if  $N$  is a right  $G$ -manifold and  $M$  is a left  $G$ -manifold, we say a map  $f : N \rightarrow M$  is  **$G$ -equivariant** if

$$f(x \cdot g) = f(x) \cdot g = g^{-1} \cdot f(x) \tag{27.1}$$

for all  $(x, g) \in N \times G$ .

A smooth fiber bundle  $\pi: P \rightarrow M$  with fiber  $G$  is a smooth **principal  $G$ -bundle** if  $G$  acts smoothly and freely on  $P$  on the right and the fiber-preserving local trivializations

$$\phi_U: \pi^{-1}(U) \rightarrow U \times G$$

are  $G$ -equivariant, where  $G$  acts on  $U \times G$  on the right by

$$(x, h) \cdot g = (x, hg).$$

*Example 27.2 (Product  $G$ -bundles).* The simplest example of a principal  $G$ -bundle over a manifold  $M$  is the product  $G$ -bundle  $\eta: M \times G \rightarrow M$ . A trivialization is the identity map on  $M \times G$ .

*Example 27.3 (Homogenous manifolds).* If  $G$  is a Lie group and  $H$  is a closed subgroup, then the quotient  $G/H$  can be given the structure of a manifold such that the projection map  $\pi: G \rightarrow G/H$  is a principal  $H$ -bundle. This is proven in [22, Th. 3.58, p. 120].

*Example 27.4 (Hopf bundle).* The group  $S^1$  of unit complex numbers acts on the complex vector space  $\mathbb{C}^{n+1}$  by left multiplication. This action induces an action of  $S^1$  on the unit sphere  $S^{2n+1}$  in  $\mathbb{C}^{n+1}$ . The complex projective space  $\mathbb{C}P^n$  may be defined as the orbit space of  $S^{2n+1}$  by  $S^1$ . The natural projection  $S^{2n+1} \rightarrow \mathbb{C}P^n$  with fiber  $S^1$  turn out to be a principal  $S^1$ -bundle. When  $n = 1$ ,  $S^3 \rightarrow \mathbb{C}P^1$  with fiber  $S^1$  is called the **Hopf bundle**.

**Definition 27.5.** Let  $\pi_Q: Q \rightarrow N$  and  $\pi_P: P \rightarrow M$  be principal  $G$ -bundles. A **morphism** of principal  $G$ -bundles is a pair of maps  $(\bar{f}: Q \rightarrow P, f: N \rightarrow M)$  such that  $\bar{f}: Q \rightarrow P$  is  $G$ -equivariant and the diagram

$$\begin{array}{ccc} Q & \xrightarrow{\bar{f}} & P \\ \pi_Q \downarrow & & \downarrow \pi_P \\ N & \xrightarrow{f} & M \end{array}$$

commutes.

**Proposition 27.6.** *If  $\pi: P \rightarrow M$  is a principal  $G$ -bundle, then the group  $G$  acts transitively on each fiber.*

*Proof.* Since  $G$  acts transitively on  $\{x\} \times G$  and the fiber diffeomorphism  $\phi_{U,x}: P_x \rightarrow \{x\} \times G$  is  $G$ -equivariant,  $G$  must also act transitively on the fiber  $P_x$ . □

**Lemma 27.7.** *For any group  $G$ , a right  $G$ -equivariant map  $f: G \rightarrow G$  is necessarily a left translation.*

*Proof.* Suppose that for all  $x, g \in G$ ,

$$f(xg) = f(x)g.$$

Setting  $x = e$ , the identity element of  $G$ , we obtain

$$f(g) = f(e)g = \ell_{f(e)}(g),$$

where  $\ell_{f(e)}: G \rightarrow G$  is left translation by  $f(e)$ . □

Suppose  $\{U_\alpha\}_{\alpha \in A}$  is a local trivialization for a principal  $G$ -bundle  $\pi: P \rightarrow M$ . Whenever the intersection  $U_{\alpha\beta} := U_\alpha \cap U_\beta$  is nonempty, there are two trivializations on  $\pi^{-1}(U_{\alpha\beta})$ :

$$U_{\alpha\beta} \times G \xleftarrow{\phi_\alpha} \pi^{-1}(U_{\alpha\beta}) \xrightarrow{\phi_\beta} U_{\alpha\beta} \times G.$$

Then  $\phi_\alpha \circ \phi_\beta^{-1}: U_{\alpha\beta} \times G \rightarrow U_{\alpha\beta} \times G$  is a fiber-preserving right  $G$ -equivariant map. By Lemma 27.7, it is a left translation on each fiber. Thus,

$$(\phi_\alpha \circ \phi_\beta^{-1})(x, h) = (x, g_{\alpha\beta}(x)h), \tag{27.2}$$

where  $(x, h) \in U_{\alpha\beta} \times G$  and  $g_{\alpha\beta}(x) \in G$ . Because  $\phi_\alpha \circ \phi_\beta^{-1}$  is a  $C^\infty$  function of  $x$  and  $h$ , setting  $h = e$ , we see that  $g_{\alpha\beta}(x)$  is a  $C^\infty$  function of  $x$ . The  $C^\infty$  functions  $g_{\alpha\beta}: U_{\alpha\beta} \rightarrow G$  are called **transition functions** of the principal bundle  $\pi: P \rightarrow M$  relative to the trivializing open cover  $\{U_\alpha\}_{\alpha \in A}$ . They satisfy the **cocycle condition**: for all  $\alpha, \beta, \gamma \in A$ ,

$$g_{\alpha\beta}g_{\beta\gamma} = g_{\alpha\gamma} \quad \text{if } U_\alpha \cap U_\beta \cap U_\gamma \neq \emptyset.$$

From the cocycle condition, one can deduce other properties of the transition functions.

**Proposition 27.8.** *The transition functions  $g_{\alpha\beta}$  of a principal bundle  $\pi: P \rightarrow M$  relative to a trivializing open cover  $\{U_\alpha\}_{\alpha \in A}$  satisfy the following properties: for all  $\alpha, \beta \in A$ ,*

- (i)  $g_{\alpha\alpha} =$  the constant map  $e$ ,
- (ii)  $g_{\alpha\beta} = g_{\beta\alpha}^{-1}$  if  $U_\alpha \cap U_\beta \neq \emptyset$ .

*Proof.* (i) If  $\alpha = \beta = \gamma$ , the cocycle condition gives

$$g_{\alpha\alpha}g_{\alpha\alpha} = g_{\alpha\alpha}.$$

Hence,  $g_{\alpha\alpha} =$  the constant map  $e$ .

(ii) if  $\gamma = \alpha$ , the cocycle condition gives

$$g_{\alpha\beta}g_{\beta\alpha} = g_{\alpha\alpha} = e$$

or

$$g_{\alpha\beta} = g_{\beta\alpha}^{-1} \quad \text{for } U_\alpha \cap U_\beta \neq \emptyset. \quad \square$$

In a principal  $G$ -bundle  $P \rightarrow M$ , the group  $G$  acts on the right on the total space  $P$ , but the transition functions  $g_{\alpha\beta}$  in (27.2) are given by left translations by  $g_{\alpha\beta}(x) \in G$ . This phenomenon is a consequence of Lemma 27.7.

### 27.2 The Frame Bundle of a Vector Bundle

For any real vector space  $V$ , let  $\text{Fr}(V)$  be the set of all ordered bases in  $V$ . Suppose  $V$  has dimension  $r$ . We will represent an ordered basis  $v_1, \dots, v_r$  by a row vector  $v = [v_1 \ \dots \ v_r]$ , so that the general linear group  $\text{GL}(r, \mathbb{R})$  acts on  $\text{Fr}(V)$  on the right by matrix multiplication

$$\begin{aligned} v \cdot a &= [v_1 \ \dots \ v_r][a_j^i] \\ &= \left[ \sum v_i a_1^i \ \dots \ \sum v_i a_r^i \right]. \end{aligned}$$

Fix a point  $v \in \text{Fr}(V)$ . Since the action of  $\text{GL}(r, \mathbb{R})$  on  $\text{Fr}(V)$  is clearly transitive and free, i.e.,  $\text{Orbit}(v) = \text{Fr}(V)$  and  $\text{Stab}(v) = \{I\}$ , by the orbit-stabilizer theorem there is a bijection

$$\begin{aligned} \phi_v : \text{GL}(r, \mathbb{R}) &= \frac{\text{GL}(r, \mathbb{R})}{\text{Stab}(v)} \longleftrightarrow \text{Orbit}(v) = \text{Fr}(V), \\ g &\longleftrightarrow vg. \end{aligned}$$

Using the bijection  $\phi_v$ , we put a manifold structure on  $\text{Fr}(V)$  in such a way that  $\phi_v$  becomes a diffeomorphism.

If  $v'$  is another element of  $\text{Fr}(V)$ , then  $v' = va$  for some  $a \in \text{GL}(r, \mathbb{R})$  and

$$\phi_{va}(g) = vag = \phi_v(ag) = (\phi_v \circ \ell_a)(g).$$

Since left multiplication  $\ell_a : \text{GL}(r, \mathbb{R}) \rightarrow \text{GL}(r, \mathbb{R})$  is a diffeomorphism, the manifold structure on  $\text{Fr}(V)$  defined by  $\phi_v$  is the same as the one defined by  $\phi_{va}$ . We call  $\text{Fr}(V)$  with this manifold structure the **frame manifold** of the vector space  $V$ .

*Remark 27.9.* A linear isomorphism  $\phi : V \rightarrow W$  induces a  $C^\infty$  diffeomorphism  $\tilde{\phi} : \text{Fr}(V) \rightarrow \text{Fr}(W)$  by

$$\tilde{\phi}[v_1 \ \dots \ v_r] = [\phi(v_1) \ \dots \ \phi(v_r)].$$

Define an action of  $\text{GL}(r, \mathbb{R})$  on  $\text{Fr}(\mathbb{R}^r)$  by

$$g \cdot [v_1 \ \dots \ v_r] = [gv_1 \ \dots \ gv_r].$$

Thus, if  $\phi : \mathbb{R}^r \rightarrow \mathbb{R}^r$  is given by left multiplication by  $g \in \text{GL}(r, \mathbb{R})$ , then so is the induced map  $\tilde{\phi}$  on the frame manifold  $\text{Fr}(\mathbb{R}^r)$ .

*Example 27.10 (The frame bundle).* Let  $\eta : E \rightarrow M$  be a  $C^\infty$  vector bundle of rank  $r$ . We associate to the vector bundle  $E$  a  $C^\infty$  principal  $\text{GL}(r, \mathbb{R})$ -bundle  $\pi : \text{Fr}(E) \rightarrow M$  as follows. As a set the total space  $\text{Fr}(E)$  is defined to be the disjoint union

$$\text{Fr}(E) = \bigsqcup_{x \in M} \text{Fr}(E_x).$$

There is a natural projection map  $\pi : \text{Fr}(E) \rightarrow M$  that maps  $\text{Fr}(E_x)$  to  $\{x\}$ .

A local trivialization  $\phi_\alpha: E|_{U_\alpha} \xrightarrow{\sim} U_\alpha \times \mathbb{R}^r$  induces a bijection

$$\begin{aligned} \widetilde{\phi}_\alpha: \text{Fr}(E)|_{U_\alpha} &\xrightarrow{\sim} U_\alpha \times \text{Fr}(\mathbb{R}^r), \\ [v_1 \cdots v_r] \in \text{Fr}(E_x) &\mapsto (x, [\phi_{\alpha,x}(v_1) \cdots \phi_{\alpha,x}(v_r)]). \end{aligned}$$

Via  $\widetilde{\phi}_\alpha$  one transfers the topology and manifold structure from  $U_\alpha \times \text{Fr}(\mathbb{R}^r)$  to  $\text{Fr}(E)|_{U_\alpha}$ . This gives  $\text{Fr}(E)$  a topology and a manifold structure such that  $\pi: \text{Fr}(E) \rightarrow M$  is locally trivial with fiber  $\text{Fr}(\mathbb{R}^r)$ . As the frame manifold  $\text{Fr}(\mathbb{R}^r)$  is diffeomorphic to the general linear group  $\text{GL}(r, \mathbb{R})$ , it is easy to check that  $\text{Fr}(E) \rightarrow M$  is a  $C^\infty$  principal  $\text{GL}(r, \mathbb{R})$ -bundle. We call it the **frame bundle** of the vector bundle  $E$ .

On a nonempty overlap  $U_{\alpha\beta} := U_\alpha \cap U_\beta$ , the transition function for the vector bundle  $E$  is the  $C^\infty$  function  $g_{\alpha\beta}: U_{\alpha\beta} \rightarrow \text{GL}(r, \mathbb{R})$  given by

$$\begin{aligned} \phi_\alpha \circ \phi_\beta^{-1}: U_{\alpha\beta} \times \mathbb{R}^r &\rightarrow U_{\alpha\beta} \times \mathbb{R}^r, \\ (\phi_\alpha \circ \phi_\beta^{-1})(x, w) &= (x, g_{\alpha\beta}(x)w). \end{aligned}$$

Since the local trivialization for the frame bundle  $\text{Fr}(E)$  is induced from the trivialization  $\{U_\alpha, \phi_\alpha\}$  for  $E$ , the transition functions for  $\text{Fr}(E)$  are induced from the transition functions  $\{g_{\alpha\beta}\}$  for  $E$ . By Remark 27.9 the transition functions for the open cover  $\{\text{Fr}(E)|_{U_\alpha}\}$  of  $\text{Fr}(E)$  are the same as the transition functions  $g_{\alpha\beta}: U_{\alpha\beta} \rightarrow \text{GL}(r, \mathbb{R})$  for the vector bundle  $E$ , but now of course  $\text{GL}(r, \mathbb{R})$  acts on  $\text{Fr}(\mathbb{R}^r)$  instead of on  $\mathbb{R}^r$ .

## 27.3 Fundamental Vector Fields of a Right Action

Suppose  $G$  is a Lie group with Lie algebra  $\mathfrak{g}$  and  $G$  acts smoothly on a manifold  $P$  on the right. To every element  $A \in \mathfrak{g}$  one can associate a vector field  $\underline{A}$  on  $P$  called the **fundamental vector field on  $P$  associated to  $A$** : for  $p$  in  $P$ , define

$$\underline{A}_p = \left. \frac{d}{dt} \right|_{t=0} p \cdot e^{tA} \in T_p P.$$

To understand this equation, first fix a point  $p \in P$ . Then  $c_p: t \mapsto p \cdot e^{tA}$  is a curve in  $P$  with initial point  $p$ . By definition, the vector  $\underline{A}_p$  is the initial vector of this curve. Thus,

$$\underline{A}_p = c'_p(0) = c_{p*} \left( \left. \frac{d}{dt} \right|_{t=0} \right) \in T_p P.$$

As a tangent vector at  $p$  is a derivation on germs of  $C^\infty$  functions at  $p$ , in terms of a  $C^\infty$  function  $f$  at  $p$ ,

$$\underline{A}_p f = c_{p*} \left( \left. \frac{d}{dt} \right|_{t=0} \right) f = \left. \frac{d}{dt} \right|_{t=0} f \circ c_p = \left. \frac{d}{dt} \right|_{t=0} f(p \cdot e^{tA}).$$

**Proposition 27.11.** *For each  $A \in \mathfrak{g}$ , the fundamental vector field  $\underline{A}$  is  $C^\infty$  on  $P$ .*

*Proof.* It suffices to show that for every  $C^\infty$  function  $f$  on  $P$ , the function  $\underline{A}f$  is also  $C^\infty$  on  $P$ . Let  $\mu: P \times G \rightarrow P$  be the  $C^\infty$  map defining the right action of  $G$  on  $P$ . For any  $p$  in  $P$ ,

$$\underline{A}_p f = \left. \frac{d}{dt} \right|_{t=0} f(p \cdot e^{tA}) = \left. \frac{d}{dt} \right|_{t=0} (f \circ \mu)(p, e^{tA}).$$

Since  $e^{tA}$  is a  $C^\infty$  function of  $t$ , and  $f$  and  $\mu$  are both  $C^\infty$ , the derivative

$$\left. \frac{d}{dt} (f \circ \mu)(p, e^{tA}) \right|_{t=0}$$

is  $C^\infty$  in  $p$  and in  $t$ . Therefore,  $\underline{A}_p f$  is a  $C^\infty$  function of  $p$ . □

Recall that  $\mathfrak{X}(P)$  denotes the Lie algebra of  $C^\infty$  vector fields on the manifold  $P$ . The fundamental vector field construction gives rise to a map

$$\sigma: \mathfrak{g} \rightarrow \mathfrak{X}(P), \quad \sigma(A) := \underline{A}.$$

For  $p$  in  $P$ , define  $j_p: G \rightarrow P$  by  $j_p(g) = p \cdot g$ . Computing the differential  $j_{p*}$  using the curve  $c(t) = e^{tA}$ , we obtain the expression

$$j_{p*}(A) = \left. \frac{d}{dt} \right|_{t=0} j_p(e^{tA}) = \left. \frac{d}{dt} \right|_{t=0} p \cdot e^{tA} = \underline{A}_p. \tag{27.3}$$

This alternate description of fundamental vector fields,  $\underline{A}_p = j_{p*}(A)$ , shows that the map  $\sigma: \mathfrak{g} \rightarrow \mathfrak{X}(P)$  is linear over  $\mathbb{R}$ . In fact,  $\sigma$  is a Lie algebra homomorphism (Problem 27.1).

*Example 27.12.* Consider the action of a Lie group  $G$  on itself by right multiplication. For  $p \in G$ , the map  $j_p: G \rightarrow G$ ,  $j_p(g) = p \cdot g = \ell_p(g)$  is simply left multiplication by  $p$ . By (27.3), for  $A \in \mathfrak{g}$ ,  $\underline{A}_p = \ell_{p*}(A)$ . Thus, for the action of  $G$  on  $G$  by right multiplication, the fundamental vector field  $\underline{A}$  on  $G$  is precisely the left-invariant vector field generated by  $A$ . In this sense the fundamental vector field of a right action is a generalization of a left-invariant vector field on a Lie group.

For  $g$  in a Lie group  $G$ , let  $c_g: G \rightarrow G$  be conjugation by  $g$ :  $c_g(x) = gxg^{-1}$ . The **adjoint representation** is defined to be the differential of the conjugation map:  $\text{Ad}(g) = (c_g)_*: \mathfrak{g} \rightarrow \mathfrak{g}$ .

**Proposition 27.13.** *Suppose a Lie group  $G$  acts smoothly on a manifold  $P$  on the right. Let  $r_g: P \rightarrow P$  be the right translation  $r_g(p) = p \cdot g$ . For  $A \in \mathfrak{g}$  the fundamental vector field  $\underline{A}$  on  $P$  satisfies the following equivariance property:*

$$r_{g*}\underline{A} = \underline{(\text{Ad } g^{-1})A}.$$

*Proof.* We need to show that for every  $p$  in  $P$ ,  $r_{g*}(\underline{A}_p) = \underline{(\text{Ad } g^{-1})A}_{pg}$ . For  $x$  in  $G$ ,

$$(r_g \circ j_p)(x) = pxg = pgg^{-1}xg = j_{pg}(g^{-1}xg) = (j_{pg} \circ c_{g^{-1}})(x).$$

By the chain rule,

$$r_{g*}(\underline{A}_p) = r_{g*}j_{p*}(A) = j_{pg*}(c_{g^{-1}})_*(A) = j_{pg*}((\text{Ad } g^{-1})A) = \underline{(\text{Ad } g^{-1})A}_{pg}. \quad \square$$



### 27.4 Integral Curves of a Fundamental Vector Field

In this section suppose a Lie group  $G$  with Lie algebra  $\mathfrak{g} := \text{Lie}(G)$  acts smoothly on the right on a manifold  $P$ .

**Proposition 27.14.** *For  $p \in P$  and  $A \in \mathfrak{g}$ , the curve  $c_p(t) = p \cdot e^{tA}$ ,  $t \in \mathbb{R}$ , is the integral curve of the fundamental vector field  $\underline{A}$  through  $p$ .*

*Proof.* We need to show that  $c'_p(t) = \underline{A}_{c_p(t)}$  for all  $t \in \mathbb{R}$  and all  $p \in P$ . It is essentially a sequence of definitions:

$$c'_p(t) = \left. \frac{d}{ds} \right|_{s=0} c_p(t+s) = \left. \frac{d}{ds} \right|_{s=0} p e^{tA} e^{sA} = \underline{A}_{p e^{tA}} = \underline{A}_{c_p(t)}. \quad \square$$

**Proposition 27.15.** *The fundamental vector field  $\underline{A}$  on a manifold  $P$  vanishes at a point  $p$  in  $P$  if and only if  $A$  is in the Lie algebra of  $\text{Stab}(p)$ .*

*Proof.* ( $\Leftarrow$ ) If  $A \in \text{Lie}(\text{Stab}(p))$ , then  $e^{tA} \in \text{Stab}(p)$ , so

$$\underline{A}_p = \left. \frac{d}{dt} \right|_{t=0} p \cdot e^{tA} = \left. \frac{d}{dt} \right|_{t=0} p = 0.$$

( $\Rightarrow$ ) Suppose  $\underline{A}_p = 0$ . Then the constant map  $\gamma(t) = p$  is an integral curve of  $\underline{A}$  through  $p$ , since

$$\gamma'(t) = 0 = \underline{A}_p = \underline{A}_{\gamma(t)}.$$

On the other hand, by Proposition 27.14,  $c_p(t) = p \cdot e^{tA}$  is also an integral curve of  $\underline{A}$  through  $p$ . By the uniqueness of the integral curve through a point,  $c_p(t) = \gamma(t)$  or  $p \cdot e^{tA} = p$  for all  $t \in \mathbb{R}$ . This implies that  $e^{tA} \in \text{Stab}(p)$  and therefore  $A \in \text{Lie}(\text{Stab}(p))$ .  $\square$

**Corollary 27.16.** *For a right action of a Lie group  $G$  on a manifold  $P$ , let  $p \in P$  and  $j_p: G \rightarrow P$  be the map  $j_p(g) = p \cdot g$ . Then the kernel  $\ker j_{p*}$  of the differential of  $j_p$  at the identity*

$$j_{p*} = (j_p)_{*,e}: \mathfrak{g} \rightarrow T_p P$$

is  $\text{Lie}(\text{Stab}(p))$ .

*Proof.* For  $A \in \mathfrak{g}$ , we have  $\underline{A}_p = j_{p*}(A)$  by (27.3). Thus,

$$\begin{aligned} A \in \ker j_{p*} &\iff j_{p*}(A) = 0 \\ &\iff \underline{A}_p = 0 \\ &\iff A \in \text{Lie}(\text{Stab}(p)) \quad (\text{by Proposition 27.15}). \end{aligned} \quad \square$$

## 27.5 Vertical Subbundle of the Tangent Bundle $TP$

Throughout this section,  $G$  is a Lie group with Lie algebra  $\mathfrak{g}$  and  $\pi: P \rightarrow M$  is a principal  $G$ -bundle. On the total space  $P$  there is a natural notion of vertical tangent vectors. We will show that the vertical tangent vectors on  $P$  form a trivial subbundle of the tangent bundle  $TP$ .

By the local triviality of a principal bundle, at every point  $p \in P$  the differential  $\pi_{*,p}: T_p P \rightarrow T_{\pi(p)} M$  of the projection  $\pi$  is surjective. The **vertical tangent subspace**  $\mathcal{V}_p \subset T_p P$  is defined to be  $\ker \pi_{*,p}$ . Hence, there is a short exact sequence of vector spaces

$$0 \rightarrow \mathcal{V}_p \longrightarrow T_p P \xrightarrow{\pi_{*,p}} T_{\pi(p)} M \rightarrow 0, \quad (27.4)$$

and

$$\dim \mathcal{V}_p = \dim T_p P - \dim T_{\pi(p)} M = \dim G.$$

An element of  $\mathcal{V}_p$  is called a **vertical tangent vector** at  $p$ .

**Proposition 27.17.** *For any  $A \in \mathfrak{g}$ , the fundamental vector field  $\underline{A}$  is vertical at every point  $p \in P$ .*

*Proof.* With  $j_p: G \rightarrow P$  defined as usual by  $j_p(g) = p \cdot g$ ,

$$(\pi \circ j_p)(g) = \pi(p \cdot g) = \pi(p).$$

Since  $\underline{A}_p = j_{p*}(A)$  by (27.3), and  $\pi \circ j_p$  is a constant map,

$$\pi_{*,p}(\underline{A}_p) = (\pi_{*,p} \circ j_{p*})(A) = (\pi \circ j_p)_*(A) = 0. \quad \square$$

Thus, in case  $P$  is a principal  $G$ -bundle, we can refine Corollary 27.16 to show that  $j_{p*}$  maps  $\mathfrak{g}$  into the vertical tangent space:

$$(j_p)_{*,e}: \mathfrak{g} \rightarrow \mathcal{V}_p \subset T_p P.$$

In fact, this is an isomorphism.

**Proposition 27.18.** *For  $p \in P$ , the differential at  $e$  of the map  $j_p: G \rightarrow P$  is an isomorphism of  $\mathfrak{g}$  onto the vertical tangent space:  $j_{p*} = (j_p)_{*,e}: \mathfrak{g} \xrightarrow{\sim} \mathcal{V}_p$ .*

*Proof.* By Corollary 27.16,  $\ker j_{p*} = \text{Lie}(\text{Stab}(p))$ . Since  $G$  acts freely on  $P$ , the stabilizer of any point  $p \in P$  is the trivial subgroup  $\{e\}$ . Thus,  $\ker j_{p*} = 0$  and  $j_{p*}$  is injective. By Proposition 27.17, the image  $j_{p*}$  lies in the vertical tangent space  $\mathcal{V}_p$ . Since  $\mathfrak{g}$  and  $\mathcal{V}_p$  have the same dimension, the injective linear map  $j_{p*}: \mathfrak{g} \rightarrow \mathcal{V}_p$  has to be an isomorphism.  $\square$

**Corollary 27.19.** *The vertical tangent vectors at a point of a principal bundle are precisely the fundamental vectors.*

Let  $B_1, \dots, B_\ell$  be a basis for the Lie algebra  $\mathfrak{g}$ . By the proposition, the fundamental vector fields  $\underline{B}_1, \dots, \underline{B}_\ell$  on  $P$  form a basis of  $\mathcal{V}_p$  at every point  $p \in P$ . Hence, they span a trivial subbundle  $\mathcal{V} := \coprod_{p \in P} \mathcal{V}_p$  of the tangent bundle  $TP$ . We call  $\mathcal{V}$  the **vertical subbundle** of  $TP$ .

As we learned in Section 20.5, the differential  $\pi_*: TP \rightarrow TM$  of a  $C^\infty$  map  $\pi: P \rightarrow M$  induces a bundle map  $\tilde{\pi}_*: TP \rightarrow \pi^*TM$  over  $P$ , given by

$$\begin{array}{ccc}
 TP & \xrightarrow{\tilde{\pi}_*} & \pi^*TM \\
 & \searrow & \swarrow \\
 & P &
 \end{array}
 \quad T_pP \ni X_p \mapsto (p, \pi_{*,p}X_p).$$

The map  $\tilde{\pi}_*$  is surjective because it sends the fiber  $T_pP$  onto the fiber  $(\pi^*TM)_p \simeq T_{\pi(p)}M$ . Its kernel is precisely the vertical subbundle  $\mathcal{V}$  by (27.4). Hence,  $\mathcal{V}$  fits into a short exact sequence of vector bundles over  $P$ :

$$0 \rightarrow \mathcal{V} \rightarrow TP \xrightarrow{\tilde{\pi}_*} \pi^*TM \rightarrow 0. \tag{27.5}$$

### 27.6 Horizontal Distributions on a Principal Bundle

On the total space  $P$  of a smooth principal bundle  $\pi: P \rightarrow M$ , there is a well-defined vertical subbundle  $\mathcal{V}$  of the tangent bundle  $TP$ . We call a subbundle  $\mathcal{H}$  of  $TP$  a **horizontal distribution** on  $P$  if  $TP = \mathcal{V} \oplus \mathcal{H}$  as vector bundles; in other words,  $T_pP = \mathcal{V}_p \oplus \mathcal{H}_p$  and  $\mathcal{V}_p \cap \mathcal{H}_p = 0$  for every  $p \in P$ . In general, there is no canonically defined horizontal distribution on a principal bundle.

A **splitting** of a short exact sequence of vector bundles  $0 \rightarrow A \xrightarrow{i} B \xrightarrow{j} C \rightarrow 0$  over a manifold  $P$  is a bundle map  $k: C \rightarrow B$  such that  $j \circ k = \mathbb{1}_C$ , the identity bundle map on  $C$ .

**Proposition 27.20.** *Let*

$$0 \rightarrow A \xrightarrow{i} B \xrightarrow{j} C \rightarrow 0 \tag{27.6}$$

*be a short exact sequence of vector bundles over a manifold  $P$ . Then there is a one-to-one correspondence*

$$\{\text{subbundles } H \subset B \mid B = i(A) \oplus H\} \longleftrightarrow \{\text{splittings } k: C \rightarrow B \text{ of (27.6)}\}.$$

*Proof.* If  $H$  is a subbundle of  $B$  such that  $B = i(A) \oplus H$ , then there are bundle isomorphisms  $H \simeq B/i(A) \simeq C$ . Hence,  $C$  maps isomorphically onto  $H$  in  $B$ . This gives a splitting  $k: C \rightarrow B$ .

If  $k: C \rightarrow B$  is a splitting, let  $H := k(C)$ , which is a subbundle of  $B$ . Moreover, if  $i(a) = k(c)$  for some  $a \in A$  and  $c \in C$ , then

$$0 = ji(a) = jk(c) = c.$$

Hence,  $i(A) \cap k(C) = 0$ .

Finally, to show that  $B = i(A) + k(C)$ , let  $b \in B$ . Then

$$j(b - kj(b)) = j(b) - j(b) = 0.$$

By the exactness of (27.6),  $b - kj(b) = i(a)$  for some  $a \in A$ . Thus,

$$b = i(a) + kj(b) \in i(A) + k(C).$$

This proves that  $B = i(A) + k(C)$  and therefore  $B = i(A) \oplus k(C)$ . □

As we just saw in the preceding section, for every principal bundle  $\pi: P \rightarrow M$  the vertical subbundle  $\mathcal{V}$  fits into a short exact sequence (27.5) of vector bundles over  $P$ . By Proposition 27.20, there is a one-to-one correspondence between horizontal distributions on  $P$  and splittings of the sequence (27.5).

## Problems

### 27.1. Lie bracket of fundamental vector fields

Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$  and let  $P$  be a manifold on which  $G$  acts on the right. Prove that for  $A, B \in \mathfrak{g}$ ,

$$[A, B] = [A, B].$$

Hence, the map  $\sigma: \mathfrak{g} \rightarrow \mathfrak{X}(P)$ ,  $A \mapsto \underline{A}$  is a Lie algebra homomorphism.

### 27.2.\* Short exact sequence of vector spaces

Prove that if  $0 \rightarrow A \xrightarrow{i} B \xrightarrow{j} C \rightarrow 0$  is a short exact sequence of finite-dimensional vector spaces, then  $\dim B = \dim A + \dim C$ .

### 27.3. Splitting of a short exact sequence

Suppose  $0 \rightarrow A \xrightarrow{i} B \rightarrow C \rightarrow 0$  is a short exact sequence of vector bundles over a manifold  $P$ . A **retraction** of  $i: A \rightarrow B$  is a map  $r: B \xrightarrow{j} A$  such that  $r \circ i = \mathbb{1}_A$ . Show that  $i$  has a retraction if and only if the sequence has a splitting.

### 27.4.\* The differential of an action

Let  $\mu: P \times G \rightarrow P$  be an action of a Lie group  $G$  on a manifold  $P$ . For  $g \in G$ , the tangent space  $T_g G$  may be identified with  $\ell_g^* \mathfrak{g}$ , where  $\ell_g: G \rightarrow G$  is left multiplication by  $g \in G$  and  $\mathfrak{g} = T_e G$  is the Lie algebra of  $G$ . Hence, an element of the tangent space  $T_{(p,g)}(P \times G)$  is of the form  $(X_p, \ell_{g*} A)$  for  $X_p \in T_p P$  and  $A \in \mathfrak{g}$ . Prove that the differential

$$\mu_* = \mu_{*,(p,g)}: T_{(p,g)}(P \times G) \rightarrow T_p P$$

is given by

$$\mu_*(X_p, \ell_{g*} A) = r_{g*}(X_p) + \underline{A}_{pg}.$$

### 27.5. Fundamental vector field under a trivialization

Let  $\phi_\alpha: \pi^{-1}U_\alpha \rightarrow U_\alpha \times G$

$$\phi_\alpha(p) = (\pi(p), g_\alpha(p))$$

be a trivialization of  $\pi^{-1}U_\alpha$  in a principal bundle  $P$ . Let  $A \in \mathfrak{g}$ , the Lie algebra of  $G$  and  $\underline{A}$  the fundamental vector field on  $P$  that it induces. Prove that

$$g_{\alpha*}(\underline{A}_p) = \ell_{g_\alpha(p)*}(A) \in T_{g_\alpha(p)}(G).$$

**27.6. Trivial principal bundle**

Prove that a principal bundle  $\pi: P \rightarrow M$  is trivial if and only if it has a section.

**27.7. Pullback of a principal bundle to itself**

Prove that if  $\pi: P \rightarrow M$  is a principal bundle, then the pullback bundle  $\pi^*P \rightarrow P$  is trivial.

**27.8. Quotient space of a principal bundle**

Let  $G$  be a Lie group and  $H$  a closed subgroup. Prove that if  $\pi P \rightarrow M$  is a principal  $G$ -bundle, then  $P \rightarrow P/H$  is a principal  $H$ -subbundle.

**27.9. Fundamental vector fields**

Let  $N$  and  $M$  be  $G$ -manifolds with  $G$  acting on the right. If  $A \in \mathfrak{g}$  and  $f: N \rightarrow M$  is  $G$ -equivariant, then

$$f_*(\underline{A}_{N,q}) = \underline{A}_{M,f(q)}.$$

## §28 Connections on a Principal Bundle

Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$ . As we saw in the preceding section, on a principal  $G$ -bundle  $P \rightarrow M$ , the notion of a vertical tangent vector is well defined, but not that of a horizontal tangent vector. A connection on a principal bundle is essentially the choice of a horizontal complement to the vertical tangent bundle on  $P$ . Alternatively, it can be given by a  $\mathfrak{g}$ -valued 1-form on  $P$ . In this section we will study these two equivalent manifestations of a connection:

- (i) a smooth right-invariant horizontal distribution on  $P$ ,
- (ii) a smooth  $G$ -equivariant  $\mathfrak{g}$ -valued 1-form  $\omega$  on  $P$  such that on the fundamental vector fields,

$$\omega(\underline{A}) = A \quad \text{for all } A \in \mathfrak{g}. \tag{28.1}$$

Under the identification of  $\mathfrak{g}$  with a vertical tangent space, condition (28.1) says that  $\omega$  restricts to the identity map on vertical vectors.

The correspondence between (i) and (ii) is easy to describe. Given a right-invariant horizontal distribution  $\mathcal{H}$  on  $P$ , we define a  $\mathfrak{g}$ -valued 1-form  $\omega$  on  $P$  to be, at each point  $p$ , the projection with kernel  $\mathcal{H}_p$  from the tangent space to the vertical space. Conversely, given a right-equivariant  $\mathfrak{g}$ -valued 1-form  $\omega$  that is the identity on the vertical space at each point  $p \in P$ , we define a horizontal distribution  $\mathcal{H}$  on  $P$  to be  $\ker \omega_p$  at each  $p \in P$ .

### 28.1 Connections on a Principal Bundle

Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$ , and let  $\pi: P \rightarrow M$  be a principal  $G$ -bundle. A **distribution** on a manifold is a subbundle of the tangent bundle. Recall that a distribution  $\mathcal{H}$  on  $P$  is **horizontal** if it is complementary to the vertical subbundle  $\mathcal{V}$  of the tangent bundle  $TP$ : for all  $p$  in  $P$ ,

$$T_pP = \mathcal{V}_p \oplus \mathcal{H}_p.$$

Suppose  $\mathcal{H}$  is a horizontal distribution on the total space  $P$  of a principal  $G$ -bundle  $\pi: P \rightarrow M$ . For  $p \in P$ , if  $j_p: \mathfrak{g} \rightarrow T_pP$  is the map  $j_p(g) = p \cdot g$ , then the vertical tangent space  $\mathcal{V}_p$  can be canonically identified with the Lie algebra  $\mathfrak{g}$  via the isomorphism  $j_{p*}: \mathfrak{g} \rightarrow \mathcal{V}_p$  (Proposition 27.18). Let  $v: T_pP = \mathcal{V}_p \oplus \mathcal{H}_p \rightarrow \mathcal{V}_p$  be the projection to the vertical tangent space with kernel  $\mathcal{H}_p$ . For  $Y_p \in T_pP$ ,  $v(Y_p)$  is called the **vertical component** of  $Y_p$ . (Although the vertical subspace  $\mathcal{V}_p$  is intrinsically defined, the notion of the vertical component of a tangent vector depends on the choice of a horizontal complement  $\mathcal{H}_p$ .) If  $\omega_p$  is the composite

$$\omega_p := j_{p*}^{-1} \circ v: T_pP \xrightarrow{v} \mathcal{V}_p \xrightarrow{j_{p*}^{-1}} \mathfrak{g}, \tag{28.2}$$

then  $\omega$  is a  $\mathfrak{g}$ -valued 1-form on  $P$ . In terms of  $\omega$ , the vertical component of  $Y_p \in T_pP$  is

$$v(Y_p) = j_{p*}(\omega_p(Y_p)). \tag{28.3}$$

**Theorem 28.1.** *If  $\mathcal{H}$  is a smooth right-invariant horizontal distribution on the total space  $P$  of a principal  $G$ -bundle  $\pi: P \rightarrow M$ , then the  $\mathfrak{g}$ -valued 1-form  $\omega$  on  $P$  defined above satisfies the following three properties:*

- (i) for any  $A \in \mathfrak{g}$  and  $p \in P$ , we have  $\omega_p(\underline{A}_p) = A$ ;
- (ii) ( $G$ -equivariance) for any  $g \in G$ ,  $r_g^* \omega = (\text{Ad } g^{-1}) \omega$ ;
- (iii)  $\omega$  is  $C^\infty$ .

*Proof.* (i) Since  $\underline{A}_p$  is already vertical (Proposition 27.17), the projection  $v$  leaves it invariant, so

$$\omega_p(\underline{A}_p) = j_{p*}^{-1}(v(\underline{A}_p)) = j_{p*}^{-1}(\underline{A}_p) = A.$$

(ii) For  $p \in P$  and  $Y_p \in T_p P$ , we need to show

$$\omega_{pg}(r_{g*} Y_p) = (\text{Ad } g^{-1}) \omega_p(Y_p).$$

Since both sides are  $\mathbb{R}$ -linear in  $Y_p$  and  $Y_p$  is the sum of a vertical and a horizontal vector, we may treat these two cases separately.

If  $Y_p$  is vertical, then by Proposition 27.18,  $Y_p = \underline{A}_p$  for some  $A \in \mathfrak{g}$ . In this case

$$\begin{aligned} \omega_{pg}(r_{g*} \underline{A}_p) &= \omega_{pg} \left( \underline{(\text{Ad } g^{-1}) A}_{pg} \right) && \text{(by Proposition 27.13)} \\ &= (\text{Ad } g^{-1}) A && \text{(by (i))} \\ &= (\text{Ad } g^{-1}) \omega_p(\underline{A}_p) && \text{(by (i) again).} \end{aligned}$$

If  $Y_p$  is horizontal, then by the right-invariance of the horizontal distribution  $\mathcal{H}$ , so is  $r_{g*} Y_p$ . Hence,

$$\omega_{pg}(r_{g*} Y_p) = 0 = (\text{Ad } g^{-1}) \omega_p(Y_p).$$

(iii) Fix a point  $p \in P$ . We will show that  $\omega$  is  $C^\infty$  in a neighborhood of  $p$ . Let  $B_1, \dots, B_\ell$  be a basis for the Lie algebra  $\mathfrak{g}$  and  $\underline{B}_1, \dots, \underline{B}_\ell$  the associated fundamental vector fields on  $P$ . By Proposition 27.11, these vector fields are all  $C^\infty$  on  $P$ . Since  $\mathcal{H}$  is a  $C^\infty$  distribution on  $P$ , one can find a neighborhood  $W$  of  $p$  and  $C^\infty$  horizontal vector fields  $X_1, \dots, X_n$  on  $W$  that span  $\mathcal{H}$  at every point of  $W$ . Then  $\underline{B}_1, \dots, \underline{B}_\ell, X_1, \dots, X_n$  is a  $C^\infty$  frame for the tangent bundle  $TP$  over  $W$ . Thus, any  $C^\infty$  vector field  $X$  on  $W$  can be written as a linear combination

$$X = \sum a^i \underline{B}_i + \sum b^j X_j$$

with  $C^\infty$  coefficients  $a^i, b^j$  on  $W$ . By the definition of  $\omega$ ,

$$\omega(X) = \omega \left( \sum a^i \underline{B}_i \right) = \sum a^i B_i.$$

This proves that  $\omega$  is a  $C^\infty$  1-form on  $W$ . □

Note that in this theorem the proof of the smoothness of  $\omega$  requires only that the horizontal distribution  $\mathcal{H}$  be smooth; it does not use the right-invariance of  $\mathcal{H}$ .

**Definition 28.2.** An *Ehresmann connection* or simply a *connection* on a principal  $G$ -bundle  $P \rightarrow M$  is a  $\mathfrak{g}$ -valued 1-form  $\omega$  on  $P$  satisfying the three properties of Theorem 28.1.

A  $\mathfrak{g}$ -valued 1-form  $\alpha$  on  $P$  can be viewed as a map  $\alpha: TP \rightarrow \mathfrak{g}$  from the tangent bundle  $TP$  to the Lie algebra  $\mathfrak{g}$ . Now both  $TP$  and  $\mathfrak{g}$  are  $G$ -manifolds: the Lie group  $G$  acts on  $TP$  on the right by the differentials of right translations and it acts on  $\mathfrak{g}$  on the left by the adjoint representation. By (27.1),  $\alpha: TP \rightarrow \mathfrak{g}$  is  $G$ -equivariant if and only if for all  $p \in P, X_p \in T_pP$ , and  $g \in G$ ,

$$\alpha(X_p \cdot g) = g^{-1} \cdot \alpha(X_p),$$

or

$$\alpha(r_{g*}X_p) = (\text{Ad } g^{-1})\alpha(X_p).$$

Thus,  $\alpha: TP \rightarrow \mathfrak{g}$  is  $G$ -equivariant if and only if  $r_g^*\alpha = (\text{Ad } g^{-1})\alpha$  for all  $g \in G$ . Condition (ii) of a connection  $\omega$  on a principal bundle says precisely that  $\omega$  is  $G$ -equivariant as a map from  $TP$  to  $\mathfrak{g}$ .

## 28.2 Vertical and Horizontal Components of a Tangent Vector

As we noted in Section 27.5, on any principal  $G$ -bundle  $\pi: P \rightarrow M$ , the vertical subspace  $\mathcal{V}_p$  of the tangent space  $T_pP$  is intrinsically defined:

$$\mathcal{V}_p := \ker \pi_*: T_pP \rightarrow T_{\pi(p)}M.$$

By Proposition 27.18, the map  $j_{p*}$  naturally identifies the Lie algebra  $\mathfrak{g}$  of  $G$  with the vertical subspace  $\mathcal{V}_p$ .

In the presence of a horizontal distribution on the total space  $P$  of a principal bundle, every tangent vector  $Y_p \in T_pP$  decomposes uniquely into the sum of a vertical vector and a horizontal vector:

$$Y_p = v(Y_p) + h(Y_p) \in \mathcal{V}_p \oplus \mathcal{H}_p.$$

These are called, respectively, the *vertical component* and *horizontal component* of the vector  $Y_p$ . As  $p$  varies over  $P$ , this decomposition extends to a decomposition of a vector field  $Y$  on  $P$ :

$$Y = v(Y) + h(Y).$$

We often omit the parentheses in  $v(Y)$  and  $h(Y)$ , and write  $vY$  and  $hY$  instead.

**Proposition 28.3.** If  $\mathcal{H}$  is a  $C^\infty$  horizontal distribution on the total space  $P$  of a principal bundle, then the vertical and horizontal components  $v(Y)$  and  $h(Y)$  of a  $C^\infty$  vector field  $Y$  on  $P$  are also  $C^\infty$ .



*Proof.* Let  $\omega$  be the  $\mathfrak{g}$ -valued 1-form associated to the horizontal distribution  $\mathcal{H}$  by (28.2). It is  $C^\infty$  by Theorem 28.1(iii). In terms of a basis  $B_1, \dots, B_\ell$  for  $\mathfrak{g}$ , we can write  $\omega = \sum \omega^i B_i$ , where  $\omega^i$  are  $C^\infty$  1-forms on  $P$ . If  $Y_p \in T_p P$ , then by (28.3) its vertical component  $v(Y_p)$  is

$$v(Y_p) = j_{p*}(\omega_p(Y_p)) = j_{p*}(\sum \omega_p^i(Y_p)B_i) = \sum \omega_p^i(Y_p)(\underline{B}_i)_p.$$

As  $p$  varies over  $P$ ,

$$v(Y) = \sum \omega^i(Y)\underline{B}_i.$$

Since  $\omega^i$ ,  $Y$ , and  $\underline{B}_i$  are all  $C^\infty$ , so is  $v(Y)$ . Because  $h(Y) = Y - v(Y)$ , the horizontal component  $h(Y)$  of a  $C^\infty$  vector field  $Y$  on  $P$  is also  $C^\infty$ .  $\square$

On a principal bundle  $\pi: P \rightarrow M$ , if  $r_g: P \rightarrow P$  is right translation by  $g \in G$ , then  $\pi \circ r_g = \pi$ . It follows that  $\pi_* \circ r_{g*} = \pi_*$ . Thus, the right translation  $r_{g*}: T_p P \rightarrow T_{pg} P$  sends a vertical vector to a vertical vector. By hypothesis,  $r_{g*}\mathcal{H}_p = \mathcal{H}_{pg}$  and hence the right translation  $r_{g*}$  also sends a horizontal vector to a horizontal vector.

**Proposition 28.4.** *Suppose  $\mathcal{H}$  is a smooth right-invariant horizontal distribution on the total space of a principal  $G$ -bundle  $\pi: P \rightarrow M$ . For each  $g \in G$ , the right translation  $r_{g*}$  commutes with the projections  $v$  and  $h$ .*

*Proof.* Any  $X_p \in T_p P$  decomposes into vertical and horizontal components:

$$X_p = v(X_p) + h(X_p).$$

Applying  $r_{g*}$  to both sides, we get

$$r_{g*}X_p = r_{g*}v(X_p) + r_{g*}h(X_p). \tag{28.4}$$

Since  $r_{g*}$  preserves vertical and horizontal subspaces,  $r_{g*}v(X_p)$  is vertical and  $r_{g*}h(X_p)$  is horizontal. Thus, (28.4) is the decomposition of  $r_{g*}X_p$  into vertical and horizontal components. This means for every  $X_p \in T_p P$ ,

$$vr_{g*}(X_p) = r_{g*}v(X_p) \quad \text{and} \quad hr_{g*}(X_p) = r_{g*}h(X_p). \quad \square$$

### 28.3 The Horizontal Distribution of an Ehresmann Connection

In Section 28.1 we showed that a smooth, right-invariant horizontal distribution on the total space of a principal bundle determines an Ehresmann connection. We now prove the converse.

**Theorem 28.5.** *If  $\omega$  is a connection on the principal  $G$ -bundle  $\pi: P \rightarrow M$ , then  $\mathcal{H}_p := \ker \omega_p$ ,  $p \in P$ , is a smooth right-invariant horizontal distribution on  $P$ .*

*Proof.* We need to verify three properties:

- (i) At each point  $p$  in  $P$ , the tangent space  $T_p P$  decomposes into a direct sum  $T_p P = \mathcal{V}_p \oplus \mathcal{H}_p$ .

- (ii) For  $p \in P$  and  $g \in G$ ,  $r_{g^*}(\mathcal{H}_p) \subset \mathcal{H}_{pg}$ .
- (iii)  $\mathcal{H}$  is a  $C^\infty$  subbundle of the tangent bundle  $TP$ .

(i) Since  $\mathcal{H}_p = \ker \omega_p$ , there is an exact sequence

$$0 \rightarrow \mathcal{H}_p \rightarrow T_pP \xrightarrow{\omega_p} \mathfrak{g} \rightarrow 0.$$

The map  $j_{p^*}: \mathfrak{g} \rightarrow \mathcal{V}_p \subset T_pP$  provides a splitting of the sequence. By Proposition 27.20, there is a sequence of isomorphisms

$$T_pP \simeq \mathfrak{g} \oplus \mathcal{H}_p \simeq \mathcal{V}_p \oplus \mathcal{H}_p.$$

(ii) Suppose  $Y_p \in \mathcal{H}_p = \ker \omega_p$ . By the right-equivariance property of an Ehresmann connection,

$$\omega_{pg}(r_{g^*}Y_p) = (r_g^*\omega)_p(Y_p) = (\text{Ad } g^{-1})\omega_p(Y_p) = 0.$$

Hence,  $r_{g^*}Y_p \in \mathcal{H}_{pg}$ .

(iii) Let  $B_1, \dots, B_\ell$  be a basis for the Lie algebra  $\mathfrak{g}$  of  $G$ . Then  $\omega = \sum \omega^i B_i$ , where  $\omega^1, \dots, \omega^\ell$  are smooth  $\mathbb{R}$ -valued 1-forms on  $P$  and for  $p \in P$ ,

$$\mathcal{H}_p = \bigcap_{i=1}^{\ell} \ker \omega_p^i.$$

Since  $\omega_p: T_pP \rightarrow \mathfrak{g}$  is surjective,  $\omega^1, \dots, \omega^\ell$  are linearly independent at  $p$ .

Fix a point  $p \in P$  and let  $x^1, \dots, x^m$  be local coordinates near  $p$  on  $P$ . Then

$$\omega^i = \sum_{j=1}^m f_j^i dx^j, \quad i = 1, \dots, \ell$$

for some  $C^\infty$  functions  $f_j^i$  in a neighborhood of  $p$ .

Let  $b^1, \dots, b^m$  be the fiber coordinates of  $TP$  near  $p$ , i.e., if  $v_q \in T_qP$  for  $q$  near  $p$ , then

$$v_q = \sum b^j \left. \frac{\partial}{\partial x^j} \right|_q.$$

In terms of local coordinates,

$$\begin{aligned} \mathcal{H}_q &= \bigcap_{i=1}^{\ell} \ker \omega_q^i = \{v_q \in T_qP \mid \omega_q^i(v_q) = 0, i = 1, \dots, \ell\} \\ &= \{(b^1, \dots, b^m) \in \mathbb{R}^m \mid \sum_{j=1}^m f_j^i(q)b^j = 0, i = 1, \dots, \ell\}. \end{aligned}$$

Let  $F^i(q, b) = \sum_{j=1}^m f_j^i(q)b^j$ ,  $i = 1, \dots, \ell$ . Since  $\omega^1, \dots, \omega^\ell$  are linearly independent at  $p$ , the Jacobian matrix  $[\partial F^i / \partial b^j] = [f_j^i]$ , an  $\ell \times m$  matrix, has rank  $\ell$  at  $p$ . Without loss of generality, we may assume that the first  $\ell \times \ell$  block of  $[f_j^i(p)]$  has

rank  $\ell$ . Since having maximal rank is an open condition, there is a neighborhood  $U_p$  of  $p$  on which the first  $\ell \times \ell$  block of  $[f^i_j]$  has rank  $\ell$ . By the implicit function theorem, on  $U_p$ ,  $b^1, \dots, b^\ell$  are  $C^\infty$  functions of  $b^{\ell+1}, \dots, b^m$ , say

$$\begin{aligned} b^1 &= b^1(b^{\ell+1}, \dots, b^m), \\ &\vdots \\ b^\ell &= b^\ell(b^{\ell+1}, \dots, b^m). \end{aligned}$$

Let

$$\begin{aligned} X_1 &= \sum_{j=1}^{\ell} b^j(1, 0, \dots, 0) \frac{\partial}{\partial x^j} + \frac{\partial}{\partial x^{\ell+1}} \\ X_2 &= \sum_{j=1}^{\ell} b^j(0, 1, 0, \dots, 0) \frac{\partial}{\partial x^j} + \frac{\partial}{\partial x^{\ell+2}} \\ &\vdots \\ X_{m-\ell} &= \sum_{j=1}^{\ell} b^j(0, 0, \dots, 1) \frac{\partial}{\partial x^j} + \frac{\partial}{\partial x^m}. \end{aligned}$$

These are  $C^\infty$  vector fields on  $U_p$  that span  $\mathcal{H}_q$  at each point  $q \in U_p$ . By the subbundle criterion (Theorem 20.4),  $\mathcal{H}$  is a  $C^\infty$  subbundle of  $TP$ .  $\square$

### 28.4 Horizontal Lift of a Vector Field to a Principal Bundle

Suppose  $\mathcal{H}$  is a horizontal distribution on a principal bundle  $\pi: P \rightarrow M$ . Let  $X$  be a vector field on  $M$ . For every  $p \in P$ , because the vertical subspace  $\mathcal{V}_p$  is  $\ker \pi_*$ , the differential  $\pi_*: T_pP \rightarrow T_{\pi(p)}M$  induces an isomorphism

$$\frac{T_pP}{\ker \pi_*} \xrightarrow{\sim} \mathcal{H}_p \xrightarrow{\sim} T_{\pi(p)}M$$

of the horizontal subspace  $\mathcal{H}_p$  with the tangent space  $T_{\pi(p)}M$ . Consequently, there is a unique horizontal vector  $\tilde{X}_p \in \mathcal{H}_p$  such that  $\pi_*(\tilde{X}_p) = X_{\pi(p)} \in T_{\pi(p)}M$ . The vector field  $\tilde{X}$  is called the **horizontal lift** of  $X$  to  $P$ .

**Proposition 28.6.** *If  $\mathcal{H}$  is a  $C^\infty$  right-invariant horizontal distribution on the total space  $P$  of a principal bundle  $\pi: P \rightarrow M$ , then the horizontal lift  $\tilde{X}$  of a  $C^\infty$  vector field  $X$  on  $M$  is a  $C^\infty$  right-invariant vector field on  $P$ .*

*Proof.* Let  $x \in M$  and  $p \in \pi^{-1}(x)$ . By definition,  $\pi_*(\tilde{X}_p) = X_x$ . If  $q$  is any other point of  $\pi^{-1}(x)$ , then  $q = pg$  for some  $g \in G$ . Since  $\pi \circ r_g = \pi$ ,

$$\pi_*(r_{g*}\tilde{X}_p) = (\pi \circ r_g)_*\tilde{X}_p = \pi_*\tilde{X}_p = X_p.$$

By the uniqueness of the horizontal lift,  $r_{g*}\tilde{X}_p = \tilde{X}_{pg}$ . This proves the right-invariance of  $\tilde{X}$ .

We prove the smoothness of  $\tilde{X}$  by proving it locally. Let  $\{U\}$  be a trivializing open cover for  $P$  with trivializations  $\phi_U: \pi^{-1}(U) \xrightarrow{\sim} U \times G$ . Define

$$Z_{(x,g)} = (X_x, 0) \in T_{(x,g)}(U \times G).$$

Let  $\eta: U \times G \rightarrow U$  be the projection to the first factor. Then  $Z$  is a  $C^\infty$  vector field on  $U \times G$  such that  $\eta_*Z_{(x,g)} = X_x$ , and  $Y := (\phi_{U*})^{-1}Z$  is a  $C^\infty$  vector field on  $\pi^{-1}(U)$  such that  $\pi_*Y_p = X_{\pi(p)}$ . By Proposition 28.3,  $hY$  is a  $C^\infty$  vector field on  $\pi^{-1}(U)$ . Clearly it is horizontal. Because  $Y_p = v(Y_p) + h(Y_p)$  and  $\pi_*v(Y_p) = 0$ , we have  $\pi_*Y_p = \pi_*h(Y_p) = X_{\pi(p)}$ . Thus,  $hY$  lifts  $X$  over  $U$ . By the uniqueness of the horizontal lift,  $hY = \tilde{X}$  over  $U$ . This proves that  $\tilde{X}$  is a smooth vector field on  $P$ .  $\square$

### 28.5 Lie Bracket of a Fundamental Vector Field

If a principal bundle  $P$  comes with a connection, then it makes sense to speak of horizontal vector fields on  $P$ ; these are vector fields all of whose vectors are horizontal.

**Lemma 28.7.** *Suppose  $P$  is a principal bundle with a connection. Let  $\underline{A}$  be the fundamental vector field on  $P$  associated to  $A \in \mathfrak{g}$ .*

- (i) *If  $Y$  is a horizontal vector field on  $P$ , then  $[\underline{A}, Y]$  is horizontal.*
- (ii) *If  $Y$  is a right-invariant vector field on  $P$ , then  $[\underline{A}, Y] = 0$ .*

*Proof.* (i) A local flow for  $\underline{A}$  is  $\phi_t(p) = pe^{tA} = r_{e^{tA}}(p)$  (Proposition 27.14). By the identification of the Lie bracket with the Lie derivative of vector fields [21, Th. 20.4, p. 225] and the definition of the Lie derivative,

$$[\underline{A}, Y]_p = (\mathcal{L}_{\underline{A}}Y)_p = \lim_{t \rightarrow 0} \frac{(r_{e^{-tA}})_* Y_{pe^{tA}} - Y_p}{t}. \tag{28.5}$$

Since right translation preserves horizontality (Theorem 28.5), both  $(r_{e^{-tA}})_* Y_{pe^{tA}}$  and  $Y_p$  are horizontal vectors. Denote the difference quotient in (28.5) by  $c(t)$ . For every  $t$  near 0 in  $\mathbb{R}$ ,  $c(t)$  is in the vector space  $\mathcal{H}_p$  of horizontal vectors at  $p$ . Therefore,  $[\underline{A}, Y]_p = \lim_{t \rightarrow 0} c(t) \in \mathcal{H}_p$ .

(ii) If  $Y$  is right-invariant, then

$$(r_{e^{-tA}})_* Y_{pe^{tA}} = Y_p.$$

In that case, it follows from (28.5) that  $[\underline{A}, Y]_p = 0$ .  $\square$

## Problems

### 28.1. Maurer–Cartan connection

If  $\theta$  is the Maurer–Cartan form on a Lie group and  $\pi_2: M \times G \rightarrow G$  is the projection to the second factor, prove that  $\omega := \pi_2^*\theta$  is a connection on the trivial bundle  $\pi_1: M \times G \rightarrow M$ . It is called the *Maurer–Cartan connection*.

**28.2. Convex linear combinations of connections**

Prove that a convex linear combination  $\omega$  of connections  $\omega_1, \dots, \omega_n$  on a principal bundle  $\pi: P \rightarrow M$  is again a connection on  $P$ . ( $\omega = \sum \lambda_i \omega_i$ ,  $\sum \lambda_i = 1$ ,  $\lambda_i \geq 0$ .)

**28.3. Pullback of a connection**

Let  $\pi_Q: Q \rightarrow N$  and  $\pi_P: P \rightarrow M$  be principal  $G$ -bundles, and let  $(\bar{f}: Q \rightarrow P, f: N \rightarrow M)$  be a morphism of principal bundles. Prove that if  $\theta$  is a connection on  $P$ , then  $\bar{f}^* \theta$  is a connection on  $Q$ .

## §29 Horizontal Distributions on a Frame Bundle

In this section we will explain the process by which a connection  $\nabla$  on a vector bundle  $E$  over a manifold  $M$  gives rise to a smooth right-invariant horizontal distribution on the associated frame bundle  $\text{Fr}(E)$ . This involves a sequence of steps. A connection on the vector bundle  $E$  induces a covariant derivative on sections of the vector bundle along a curve. Parallel sections along the curve are those whose derivative vanishes. Just as for tangent vectors in Section 14, starting with a frame  $e_x$  for the fiber of the vector bundle at the initial point  $x$  of a curve, there is a unique way to parallel translate the frame along the curve. In terms of the frame bundle  $\text{Fr}(E)$ , what this means is that every curve in  $M$  has a unique lift to  $\text{Fr}(E)$  starting at  $e_x$  representing parallel frames along the curve. Such a lift is called a **horizontal lift**. The initial vector at  $e_x$  of a horizontal lift is a **horizontal vector** at  $e_x$ . The horizontal vectors at a point of  $\text{Fr}(E)$  form a subspace of the tangent space  $T_{e_x}(\text{Fr}(E))$ . In this way we obtain a horizontal distribution on the frame bundle. We show that this horizontal distribution on  $\text{Fr}(E)$  arising from a connection on the vector bundle  $E$  is smooth and right-invariant. It therefore corresponds to a connection  $\omega$  on the principal bundle  $\text{Fr}(E)$ . We then show that  $\omega$  pulls back under a section  $e$  of  $\text{Fr}(E)$  to the connection matrix  $\omega_e$  of the connection  $\nabla$  relative to the frame  $e$  on an open set  $U$ .

### 29.1 Parallel Translation in a Vector Bundle

In Section 14 we defined parallel translation of a tangent vector along a curve in a manifold with an affine connection. In fact, the same development carries over to an arbitrary vector bundle  $\eta: E \rightarrow M$  with a connection  $\nabla$ .

Let  $c: [a, b] \rightarrow M$  be a smooth curve in  $M$ . Instead of vector fields along the curve  $c$ , we consider smooth sections of the pullback bundle  $c^*E$  over  $[a, b]$ . These are called **smooth sections of the vector bundle  $E$  along the curve  $c$** . We denote by  $\Gamma(c^*E)$  the vector space of smooth sections of  $E$  along the curve  $c$ . If  $E = TM$  is the tangent bundle of a manifold  $M$ , then an element of  $\Gamma(c^*TM)$  is simply a vector field along the curve  $c$  in  $M$ . Just as in Theorem 13.1, there is a unique  $\mathbb{R}$ -linear map

$$\frac{D}{dt}: \Gamma(c^*E) \rightarrow \Gamma(c^*E),$$

called the **covariant derivative** corresponding to  $\nabla$ , such that

- (i) (Leibniz rule) for any  $C^\infty$  function  $f$  on the interval  $[a, b]$ ,

$$\frac{D(fs)}{dt} = \frac{df}{dt}s + f\frac{Ds}{dt};$$

- (ii) if  $s$  is induced from a global section  $\tilde{s} \in \Gamma(M, E)$  in the sense that  $s(t) = \tilde{s}(c(t))$ , then

$$\frac{Ds}{dt}(t) = \nabla_{c'(t)}\tilde{s}.$$

**Definition 29.1.** A section  $s \in \Gamma(c^*E)$  is **parallel along a curve**  $c: [a, b] \rightarrow M$  if  $Ds/dt \equiv 0$  on  $[a, b]$ .

As in Section 14.5, the equation  $Ds/dt \equiv 0$  for a section  $s$  to be parallel is equivalent to a system of linear first-order ordinary differential equations. Suppose  $c: [a, b] \rightarrow M$  maps into a framed open set  $(U, e_1, \dots, e_r)$  for  $E$ . Then  $s \in \Gamma(c^*E)$  can be written as

$$s(t) = \sum s^i(t) e_{i,c(t)}.$$

By properties (i) and (ii) of the covariant derivative,

$$\begin{aligned} \frac{Ds}{dt} &= \sum_i \frac{ds^i}{dt} e_i + \sum_j s^j \frac{D}{dt} e_{j,c(t)} \\ &= \sum_i \frac{ds^i}{dt} e_i + \sum_j s^j \nabla_{c'(t)} e_j \\ &= \sum_i \frac{ds^i}{dt} e_i + \sum_{i,j} s^j \omega_j^i(c'(t)) e_i. \end{aligned}$$

Hence,  $Ds/dt \equiv 0$  if and only if

$$\frac{ds^i}{dt} + \sum_j s^j \omega_j^i(c'(t)) = 0 \text{ for all } i.$$

This is a system of linear first-order differential equations. By the existence and uniqueness theorems of differential equations, it has a solution on a small interval about a given point  $t_0$  and the solution is uniquely determined by its value at  $t_0$ . Thus, a parallel section is uniquely determined by its value at a point. If  $s \in \Gamma(c^*E)$  is a parallel section of the pullback bundle  $c^*E$ , we say that  $s(b)$  is the **parallel transport** of  $s(a)$  along  $c: [a, b] \rightarrow M$ . The resulting map:  $E_{c(a)} \rightarrow E_{c(b)}$  is called **parallel translation** from  $E_{c(a)}$  to  $E_{c(b)}$ .

**Theorem 29.2.** Let  $\eta: E \rightarrow M$  be a  $C^\infty$  vector bundle with a connection  $\nabla$  and let  $c: [a, b] \rightarrow M$  be a smooth curve in  $M$ . There is a unique parallel translation  $\varphi_{a,b}$  from  $E_{c(a)}$  to  $E_{c(b)}$  along  $c$ . This parallel translation  $\varphi_{a,b}: E_{c(a)} \rightarrow E_{c(b)}$  is a linear isomorphism.

The proof is similar to that of Theorem 14.14.

A **parallel frame along** the curve  $c: [a, b] \rightarrow M$  is a collection of parallel sections  $(e_1(t), \dots, e_r(t))$ ,  $t \in [a, b]$ , such that for each  $t$ , the elements  $e_1(t), \dots, e_r(t)$  form a basis for the vector space  $E_{c(t)}$ .

Let  $\pi: \text{Fr}(E) \rightarrow M$  be the frame bundle of the vector bundle  $\eta: E \rightarrow M$ . A curve  $\tilde{c}(t)$  in  $\text{Fr}(E)$  is called a **lift** of the curve  $c(t)$  in  $M$  if  $c(t) = \pi(\tilde{c}(t))$ . It is a **horizontal lift** if in addition  $\tilde{c}(t)$  is a parallel frame along  $c$ .

Restricting the domain of the curve  $c$  to the interval  $[a, t]$ , we obtain from Theorem 29.2 that parallel translation is a linear isomorphism of  $E_{c(a)}$  with  $E_{c(t)}$ . Thus, if

a collection of parallel sections  $(s_1(t), \dots, s_r(t)) \in \Gamma(c^*E)$  forms a basis at one time  $t$ , then it forms a basis at every time  $t \in [a, b]$ . By Theorem 29.2, for every smooth curve  $c: [a, b] \rightarrow M$  and ordered basis  $(s_{1,0}, \dots, s_{r,0})$  for  $E_{c(a)}$ , there is a unique parallel frame along  $c$  whose value at  $a$  is  $(s_{1,0}, \dots, s_{r,0})$ . In terms of the frame bundle  $\text{Fr}(E)$ , this shows the existence and uniqueness of a horizontal lift with a specified initial point in  $\text{Fr}(E)$  of a curve  $c(t)$  in  $M$ .

## 29.2 Horizontal Vectors on a Frame Bundle

On a general principal bundle vertical vectors are intrinsically defined, but horizontal vectors are not. We will see shortly that a connection on a vector bundle  $E$  over a manifold  $M$  determines a well-defined horizontal distribution on the frame bundle  $\text{Fr}(E)$ . The elements of the horizontal distribution are the horizontal vectors. Thus, the notion of a horizontal vector on the frame bundle  $\text{Fr}(E)$  depends on a connection on  $E$ .

**Definition 29.3.** Let  $E \rightarrow M$  be a vector bundle with a connection  $\nabla$ ,  $x \in M$ , and  $e_x \in \text{Fr}(E_x)$ . A tangent vector  $v \in T_{e_x}(\text{Fr}(E))$  is said to be **horizontal** if there is a curve  $c(t)$  through  $x$  in  $M$  such that  $v$  is the initial vector  $\tilde{c}'(0)$  of the unique horizontal lift of  $\tilde{c}(t)$  of  $c(t)$  to  $\text{Fr}(E)$  starting at  $e_x$ .

Our goal now is to show that the horizontal vectors at a point  $e_x$  of the frame bundle form a vector subspace of the tangent space  $T_{e_x}(\text{Fr}(E))$ . To this end we will derive an explicit formula for  $\tilde{c}'(0)$  in terms of a local frame for  $E$ . Suppose  $c: [0, b] \rightarrow M$  is a smooth curve with initial point  $c(0) = x$ , and  $\tilde{c}(t)$  is its unique horizontal lift to  $\text{Fr}(E)$  with initial point  $e_x = (e_{1,0}, \dots, e_{r,0})$ . Let  $s$  be a frame for  $E$  over a neighborhood  $U$  of  $x$  with  $s(x) = e_x$ . Then  $s(c(t))$  is a lift of  $c(t)$  to  $\text{Fr}(E)$  with initial point  $e_x$ , but of course it is not necessarily a horizontal lift (see Figure 29.1). For any  $t \in [0, b]$ , we have two ordered bases  $s(c(t))$  and  $\tilde{c}(t)$  for  $E_{c(t)}$ , so there is a smooth matrix  $a(t) \in \text{GL}(r, \mathbb{R})$  such that  $s(c(t)) = \tilde{c}(t)a(t)$ . At  $t = 0$ ,  $s(c(0)) = e_x = \tilde{c}(0)$ , so that  $a(0) = I$ , the identity matrix in  $\text{GL}(r, \mathbb{R})$ .

**Lemma 29.4.** In the notation above, let  $s_*: T_x(M) \rightarrow T_{e_x}(\text{Fr}(E))$  be the differential of  $s$  and  $\underline{a'(0)}$  the fundamental vector field on  $\text{Fr}(E)$  associated to  $a'(0) \in \mathfrak{gl}(r, \mathbb{R})$ . Then

$$s_*(c'(0)) = \tilde{c}'(0) + \underline{a'(0)}_{e_x}.$$

*Proof.* Let  $P = \text{Fr}(E)$  and  $G = \text{GL}(r, \mathbb{R})$ , and let  $\mu: P \times G \rightarrow P$  be the right action of  $G$  on  $P$ . Then

$$s(c(t)) = \tilde{c}(t)a(t) = \mu(\tilde{c}(t), a(t)), \quad (29.1)$$

with  $c(0) = x$ ,  $\tilde{c}(0) = e_x$ , and  $a(0) =$  the identity matrix  $I$ . Differentiating (29.1) with respect to  $t$  and evaluating at 0 gives

$$s_*(c'(0)) = \mu_{*,(\tilde{c}(0), a(0))}(\tilde{c}'(0), a'(0)).$$



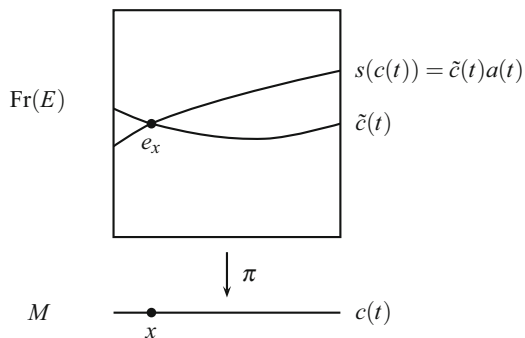


Fig. 29.1. Two liftings of a curve

By the formula for the differential of an action (Problem 27.4),

$$s_*(c'(0)) = r_{a(0)*}\tilde{c}'(0) + \underline{a'(0)}_{\tilde{c}(0)} = \tilde{c}'(0) + \underline{a'(0)}_{e_x}. \quad \square$$

**Lemma 29.5.** *Let  $E \rightarrow M$  be a vector bundle with a connection  $\nabla$ . Suppose  $s = (s_1, \dots, s_r)$  is a frame for  $E$  over an open set  $U$ ,  $\tilde{c}(t)$  a parallel frame over a curve  $c(t)$  in  $U$  with  $\tilde{c}(0) = s(c(0))$ , and  $a(t)$  the curve in  $\text{GL}(r, \mathbb{R})$  such that  $s(c(t)) = \tilde{c}(t)a(t)$ . If  $\omega_s = [\omega_j^i]$  is the connection matrix of  $\nabla$  with respect to the frame  $(s_1, \dots, s_r)$  over  $U$ , then  $a'(0) = \omega_s(c'(0))$ .*

*Proof.* Label  $c(0) = x$  and  $\tilde{c}_i(0) = s_i(c(0)) = e_{i,x}$ . By the definition of the connection matrix,

$$\nabla_{c'(0)}s_j = \sum \omega_j^i(c'(0))s_i(c(0)) = \sum \omega_j^i(c'(0))e_{i,x}. \quad (29.2)$$

On the other hand, by the defining properties of the covariant derivative (Section 29.1),

$$\begin{aligned} \nabla_{c'(t)}s_j &= \frac{D(s_j \circ c)}{dt}(t) = \frac{D}{dt} \sum \tilde{c}_i(t)a_j^i(t) \\ &= \sum (a_j^i)'(t)\tilde{c}_i(t) + \sum a_j^i(t)\frac{D\tilde{c}_i}{dt}(t) \\ &= \sum (a_j^i)'(t)\tilde{c}_i(t) \quad (\text{since } D\tilde{c}_i/dt \equiv 0). \end{aligned}$$

Setting  $t = 0$  gives

$$\nabla_{c'(0)}s_j = \sum (a_j^i)'(0)e_{i,x}. \quad (29.3)$$

Equating (29.2) and (29.3), we obtain  $(a_j^i)'(0) = \omega_j^i(c'(0))$ . □

Thus, Lemma 29.4 for the horizontal lift of  $c'(0)$  can be rewritten in the form

$$\tilde{c}'(0) = s_*(c'(0)) - \underline{a'(0)}_{e_x} = s_*(c'(0)) - \underline{\omega_s(c'(0))}_{e_x}. \quad (29.4)$$

**Proposition 29.6.** *Let  $\pi: E \rightarrow M$  be a smooth vector bundle with a connection over a manifold  $M$  of dimension  $n$ . For  $x \in M$  and  $e_x$  an ordered basis for the fiber  $E_x$ , the subset  $\mathcal{H}_{e_x}$  of horizontal vectors in the tangent space  $T_{e_x}(\text{Fr}(E))$  is a vector space of dimension  $n$ , and  $\pi_*: \mathcal{H}_{e_x} \rightarrow T_xM$  is a linear isomorphism.*

*Proof.* In formula (29.4),  $\omega_s(c'(0))$  is  $\mathbb{R}$ -linear in its argument  $c'(0)$  because  $\omega_s$  is a 1-form at  $c(0)$ . The operation  $A \mapsto \underline{A}_{e_x}$  of associating to a matrix  $A \in \mathfrak{gl}(r, \mathbb{R})$  a tangent vector  $\underline{A}_{e_x} \in T_{e_x}(\text{Fr}(E))$  is  $\mathbb{R}$ -linear by (27.3). Hence, formula (29.4) shows that the map

$$\begin{aligned} \phi: T_xM &\rightarrow T_{e_x}(\text{Fr}(E)), \\ c'(0) &\mapsto \tilde{c}'(0) \end{aligned}$$

is  $\mathbb{R}$ -linear. As the image of a vector space  $T_xM$  under a linear map, the set  $\mathcal{H}_{e_x}$  of horizontal vectors  $\tilde{c}'(0)$  at  $e_x$  is a vector subspace of  $T_{e_x}(\text{Fr}(E))$ .

Since  $\pi(\tilde{c}(t)) = c(t)$ , taking the derivative at  $t = 0$  gives  $\pi_*(\tilde{c}'(0)) = c'(0)$ , so  $\pi_*$  is a left inverse to the map  $\phi$ . This proves that  $\phi: T_xM \rightarrow T_{e_x}(\text{Fr}(E))$  is injective. Its image is by definition  $\mathcal{H}_{e_x}$ . It follows that  $\phi: T_xM \rightarrow \mathcal{H}_{e_x}$  is an isomorphism with inverse  $\pi_*: \mathcal{H}_{e_x} \rightarrow T_xM$ .  $\square$

### 29.3 Horizontal Lift of a Vector Field to a Frame Bundle

We have learned so far that a connection on a vector bundle  $E \rightarrow M$  defines a horizontal subspace  $\mathcal{H}_p$  of the tangent space  $T_pP$  at each point  $p$  of the total space of the frame bundle  $\pi: P = \text{Fr}(E) \rightarrow M$ . The horizontal subspace  $\mathcal{H}_p$  has the same dimension as  $M$ . The vertical subspace  $\mathcal{V}_p$  of  $T_pP$  is the kernel of the surjection  $\pi_*: T_pP \rightarrow T_{\pi(p)}M$ ; as such,  $\dim \mathcal{V}_p = \dim T_pP - \dim M$ . Hence,  $\mathcal{V}_p$  and  $\mathcal{H}_p$  have complementary dimensions in  $T_pP$ . Since  $\pi_*(\mathcal{V}_p) = 0$  and  $\pi_*: \mathcal{H}_p \rightarrow T_{\pi(p)}M$  is an isomorphism,  $\mathcal{V}_p \cap \mathcal{H}_p = 0$ . It follows that there is a direct sum decomposition

$$T_p(\text{Fr}(E)) = \mathcal{V}_p \oplus \mathcal{H}_p. \tag{29.5}$$

Our goal now is to show that as  $p$  varies in  $P$ , the subset  $\mathcal{H} := \bigcup_{p \in P} \mathcal{H}_p$  of the tangent bundle  $TP$  defines a  $C^\infty$  horizontal distribution on  $P$  in the sense of Section 27.6.

Since  $\pi_{*,p}: \mathcal{H}_p \rightarrow T_{\pi(p)}M$  is an isomorphism for each  $p \in P$ , if  $X$  is a vector field on  $M$ , then there is a unique vector field  $\tilde{X}$  on  $P$  such that  $\tilde{X}_p \in \mathcal{H}_p$  and  $\pi_{*,p}(\tilde{X}_p) = X_{\pi(p)}$ . The vector field  $\tilde{X}$  is called the **horizontal lift** of  $X$  to the frame bundle  $P$ .

Since every tangent vector  $X_x \in T_xM$  is the initial vector  $c'(0)$  of a curve  $c$ , formula (29.4) for the horizontal lift of a tangent vector can be rewritten in the following form.

**Lemma 29.7 (Horizontal lift formula).** *Suppose  $\nabla$  is a connection on a vector bundle  $E \rightarrow M$  and  $\omega_s$  is its connection matrix on a framed open set  $(U, s)$ . For  $x \in U$ ,  $p = s(x) \in \text{Fr}(E)$ , and  $X_x \in T_xM$ , let  $\tilde{X}_p$  be the horizontal lift of  $X_x$  to  $p$  in  $\text{Fr}(E)$ . Then*

$$\tilde{X}_p = s_{*,x}(X_x) - \underline{\omega_s(X_x)}_p.$$

**Proposition 29.8.** *Let  $E \rightarrow M$  be a  $C^\infty$  rank  $r$  vector bundle with a connection and  $\pi: \text{Fr}(E) \rightarrow M$  its frame bundle. If  $X$  is a  $C^\infty$  vector field on  $M$ , then its horizontal lift  $\tilde{X}$  to  $\text{Fr}(E)$  is a  $C^\infty$  vector field.*

*Proof.* Let  $P = \text{Fr}(E)$  and  $G = \text{GL}(r, \mathbb{R})$ . Since the question is local, we may assume that the bundle  $P$  is trivial, say  $P = M \times G$ . By the right invariance of the horizontal distribution,

$$\tilde{X}_{(x,a)} = r_{a*} \tilde{X}_{(x,1)}. \quad (29.6)$$

Let  $s: M \rightarrow P = M \times G$  be the section  $s(x) = (x, 1)$ . By the horizontal lift formula (Lemma 29.7),

$$\tilde{X}_{(x,1)} = s_{*,x}(X_x) - \underline{\omega_s(X_x)}_{(x,1)}. \quad (29.7)$$

Let  $p = (x, a) \in P$  and let  $f$  be a  $C^\infty$  function on  $P$ . We will prove that  $\tilde{X}_p f$  is  $C^\infty$  as a function of  $p$ . By (29.6) and (29.7),

$$\tilde{X}_p f = r_{a*} s_{*,x}(X_x) f - r_{a*} \underline{\omega_s(X_x)}_{(x,1)} f, \quad (29.8)$$

so it suffices to prove separately that  $(r_{a*}(s_{*,x}X_x))f$  and  $(r_{a*}\underline{\omega_s(X_x)}_{(x,1)})f$  are  $C^\infty$  functions on  $P$ .

The first term is

$$\begin{aligned} (r_{a*} s_{*,x}(X_x))f &= X_x(f \circ r_a \circ s) \\ &= X(f \circ r_a \circ s)(\pi(p)) \\ &= X(f(s(\pi(p))a)) = X(f(\mu(s(\pi(p)), a))) \\ &= X(f(\mu(s(\pi(p)), \pi_2(p)))) \end{aligned} \quad (29.9)$$

where  $\mu: P \times G \rightarrow P$  is the action of  $G$  on  $P$  and  $\pi_2: P = M \times G \rightarrow G$  is the projection  $\pi_2(p) = \pi_2(x, a) = a$ . The formula (29.9) expresses  $(r_{a*} s_{*,x}(X_x))f$  as a  $C^\infty$  function on  $P$ .

By the right equivariance of the connection form  $\omega_s$ , in (29.8) the second term can be rewritten as

$$\begin{aligned} r_{a*} \underline{\omega_s(X_x)}_{(x,1)} f &= \underline{(\text{Ad } a^{-1}) \omega_s(X_x)}_{(x,a)} f \\ &= \underline{(\text{Ad } \pi_2(p)^{-1}) \omega_s(X_{\pi(p)})}_p f, \end{aligned}$$

where  $(\text{Ad } \pi_2(p)^{-1}) \omega_s(X_{\pi(p)})$  is a  $C^\infty$  function:  $P \rightarrow \mathfrak{gl}(r, \mathbb{R})$  that we will denote by  $A(p)$ . The problem now is to show that  $\underline{A(p)}_p f$  is a  $C^\infty$  function of  $p$ .

Let  $\mu: P \times G \rightarrow P$  be the right action of  $G = \text{GL}(r, \mathbb{R})$  on  $P = \text{Fr}(E)$ . Then

$$\underline{A(p)}_p f = \left. \frac{d}{dt} \right|_{t=0} f(p \cdot e^{tA(p)}) = \left. \frac{d}{dt} \right|_{t=0} f(\mu(p, e^{tA(p)})).$$

Since  $f$ ,  $\mu$ ,  $A$ , and the exponential map are all  $C^\infty$  functions,  $\underline{A(p)}_p f$  is a  $C^\infty$  function of  $p$ . Thus,  $\tilde{X}_p f$  in (29.8) is a  $C^\infty$  function of  $p$ . This proves that  $\tilde{X}$  is a  $C^\infty$  vector field on  $P$ .  $\square$

**Theorem 29.9.** *A connection  $\nabla$  on a smooth vector bundle  $E \rightarrow M$  defines a  $C^\infty$  distribution  $\mathcal{H}$  on the frame bundle  $\pi: P = \text{Fr}(E) \rightarrow M$  such that at any  $p \in P$ ,*

- (i)  $T_p P = \mathcal{V}_p \oplus \mathcal{H}_p$ ;
- (ii)  $r_{g*}(\mathcal{H}_p) = \mathcal{H}_{pg}$  for any  $g \in G = \text{GL}(r, \mathbb{R})$ ,

where  $r_g: P \rightarrow P$  is the right action of  $G$  on  $P$ .

*Proof.* To prove that  $\mathcal{H}$  is a  $C^\infty$  subbundle of  $TP$ , let  $U$  be a coordinate open set in  $M$  and  $s_1, \dots, s_n$  a  $C^\infty$  frame on  $U$ . By Proposition 29.8 the horizontal lifts  $\tilde{s}_1, \dots, \tilde{s}_n$  are  $C^\infty$  vector fields on  $\tilde{U} := \pi^{-1}(U)$ . Moreover, for each  $p \in \tilde{U}$ , since  $\pi_{*,p}: \mathcal{H}_p \rightarrow T_{\pi(p)}M$  is an isomorphism,  $(\tilde{s}_1)_p, \dots, (\tilde{s}_n)_p$  form a basis for  $\mathcal{H}_p$ . Thus, over  $\tilde{U}$  the  $C^\infty$  sections  $\tilde{s}_1, \dots, \tilde{s}_n$  of  $TP$  span  $\mathcal{H}$ . By Theorem 20.4, this proves that  $\mathcal{H}$  is a  $C^\infty$  subbundle of  $TP$ .

Equation (29.5) establishes (i).

As for (ii), let  $\tilde{c}'(0) \in \mathcal{H}_p$ , where  $c(t)$  is a curve in  $M$  and  $\tilde{c}(t) = [v_1(t) \cdots v_r(t)]$  is its horizontal lift to  $P$  with initial point  $p$ . Here we are writing a frame as a row vector so that the group action is simply matrix multiplication on the right. For any  $g = [g^i_j] \in \text{GL}(r, \mathbb{R})$ ,

$$\tilde{c}(t)g = \left[ \sum g^i_1 v_i(t) \cdots \sum g^i_r v_i(t) \right].$$

Since  $Dv_i/dt \equiv 0$  by the horizontality of  $v_i$  and  $g^i_j$  are constants,  $D(\sum g^i_j v_i)/dt \equiv 0$ . Thus,  $\tilde{c}(t)g$  is the horizontal lift of  $c(t)$  with initial point  $\tilde{c}(0)g$ . It has initial tangent vector

$$\left. \frac{d}{dt} \right|_{t=0} \tilde{c}(t)g = r_{g*} \tilde{c}'(0) \in \mathcal{H}_{pg}.$$

This proves that  $r_{g*} \mathcal{H}_p \subset \mathcal{H}_{pg}$ . Because  $r_{g*}: \mathcal{H}_p \rightarrow \mathcal{H}_{pg}$  has a two-sided inverse  $r_{g^{-1}*}$ , it is bijective. In particular,  $r_{g*} \mathcal{H}_p = \mathcal{H}_{pg}$ .  $\square$

## 29.4 Pullback of a Connection on a Frame Bundle Under a Section

Recall that a connection  $\nabla$  on a vector bundle  $E$  can be represented on a framed open set  $(U, e_1, \dots, e_r)$  for  $E$  by a connection matrix  $\omega_e$  depending on the frame. Such a frame  $e = (e_1, \dots, e_r)$  is in fact a section  $e: U \rightarrow \text{Fr}(E)$  of the frame bundle. We now use the horizontal lift formula (Lemma 29.7) to prove that the Ehresmann connection  $\omega$  on the frame bundle  $\text{Fr}(E)$  determined by  $\nabla$  pulls back under the section  $e$  to the connection matrix  $\omega_e$ .

**Theorem 29.10.** *Let  $\nabla$  be a connection on a vector bundle  $E \rightarrow M$  and let  $\omega$  be the Ehresmann connection on the frame bundle  $\text{Fr}(E)$  determined by  $\nabla$ . If  $e = (e_1, \dots, e_r)$  is a frame for  $E$  over an open set  $U$ , viewed as a section  $e: U \rightarrow \text{Fr}(E)|_U$ , and  $\omega_e$  is the connection matrix of  $\nabla$  relative to the frame  $e$ , then  $\omega_e = e^* \omega$ .*

*Proof.* Let  $x \in U$  and  $p = e(x) \in \text{Fr}(E)$ . Suppose  $X_x$  is a tangent vector to  $M$  at  $x$ . If we write  $\omega_{e,x}$  for the value of the connection matrix  $\omega_e$  at the point  $x \in U$ , then  $\omega_{e,x}$  is an  $r \times r$  matrix of 1-forms at  $x$  and  $\omega_{e,x}(X_x)$  is an  $r \times r$  matrix of real numbers, i.e., an element of the Lie algebra  $\mathfrak{gl}(r, \mathbb{R})$ . The corresponding fundamental vector field on  $\text{Fr}(E)$  is  $\underline{\omega_{e,x}(X_x)}$ . By Lemma 29.7, the horizontal lift of  $X_x$  to  $p \in \text{Fr}(E)$  is

$$\tilde{X}_p = e_* X_x - \underline{\omega_{e,x}(X_x)}_p.$$

Applying the Ehresmann connection  $\omega_p$  to both sides of this equation, we get

$$\begin{aligned} 0 &= \omega_p(\tilde{X}_p) = \omega_p(e_* X_x) - \omega_p\left(\underline{\omega_{e,x}(X_x)}_p\right) \\ &= (e^* \omega_p)(X_x) - \omega_{e,x}(X_x) \end{aligned} \quad (\text{by Theorem 28.1(i)}).$$

Since this is true for all  $X_x \in T_x M$ ,

$$e^* \omega_p = (e^* \omega)_x = \omega_{e,x}.$$

□

## §30 Curvature on a Principal Bundle

Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$ . Associated to a connection  $\omega$  on a principal  $G$ -bundle is a  $\mathfrak{g}$ -valued 2-form  $\Omega$  called its curvature. The definition of the curvature is suggested by the second structural equation for a connection  $\nabla$  on a vector bundle  $E$ . Just as the connection form  $\omega$  on the frame bundle  $\text{Fr}(E)$  pulls back by a section  $e$  of  $\text{Fr}(E)$  to the connection matrix  $\omega_e$  of  $\nabla$  with respect to the frame  $e$ , so the curvature form  $\Omega$  on the frame bundle  $\text{Fr}(E)$  pulls back by  $e$  to the curvature matrix  $\Omega_e$  of  $\nabla$  with respect to  $e$ . Thus, the curvature form  $\Omega$  on the frame bundle is an intrinsic object of which the curvature matrices  $\Omega_e$  are but local manifestations.

### 30.1 Curvature Form on a Principal Bundle

By Theorem 11.1 if  $\nabla$  is a connection on a vector bundle  $E \rightarrow M$ , then its connection and curvature matrices  $\omega_e$  and  $\Omega_e$  on a framed open set  $(U, e) = (U, e_1, \dots, e_r)$  are related by the second structural equation (Theorem 11.1)

$$\Omega_e = d\omega_e + \omega_e \wedge \omega_e.$$

In terms of the Lie bracket of matrix-valued forms (see (21.12)), this can be rewritten as

$$\Omega_e = d\omega_e + \frac{1}{2}[\omega_e, \omega_e].$$

An Ehresmann connection on a principal bundle is Lie algebra-valued. In a general Lie algebra, the wedge product is not defined, but the Lie bracket is always defined. This strongly suggests the following definition for the curvature of an Ehresmann connection on a principal bundle.

**Definition 30.1.** Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$ . Suppose  $\omega$  is an Ehresmann connection on a principal  $G$ -bundle  $\pi: P \rightarrow M$ . Then the *curvature* of the connection  $\omega$  is the  $\mathfrak{g}$ -valued 2-form

$$\Omega = d\omega + \frac{1}{2}[\omega, \omega].$$

Recall that frames for a vector bundle  $E$  over an open set  $U$  are sections of the frame bundle  $\text{Fr}(E)$ . Let  $\omega$  be the connection form on the frame bundle  $\text{Fr}(E)$  determined by a connection  $\nabla$  on  $E$ . In the same way that  $\omega$  pulls back by sections of  $\text{Fr}(E)$  to connection matrices, the curvature form  $\Omega$  of the connection  $\omega$  on  $\text{Fr}(E)$  pulls back by sections to curvature matrices.

**Proposition 30.2.** *If  $\nabla$  is a connection on a vector bundle  $E \rightarrow M$  and  $\omega$  is the associated Ehresmann connection on the frame bundle  $\text{Fr}(E)$ , then the curvature matrix  $\Omega_e$  relative to a frame  $e = (e_1, \dots, e_r)$  for  $E$  over an open set  $U$  is the pullback  $e^*\Omega$  of the curvature  $\Omega$  on the frame bundle  $\text{Fr}(E)$ .*

*Proof.*

$$\begin{aligned}
 e^*\Omega &= e^*d\omega + \frac{1}{2}e^*[\omega, \omega] \\
 &= de^*\omega + \frac{1}{2}[e^*\omega, e^*\omega] \quad (e^* \text{ commutes with } d \text{ and } [\cdot, \cdot] \text{ by Proposition 21.8}) \\
 &= d\omega_e + \frac{1}{2}[\omega_e, \omega_e] \quad (\text{by Theorem 29.10}) \\
 &= \Omega_e. \quad (\text{by the second structural equation}) \quad \square
 \end{aligned}$$

## 30.2 Properties of the Curvature Form

Now that we have defined the curvature of a connection on a principal  $G$ -bundle  $\pi: P \rightarrow M$ , it is natural to study some of its properties. Like a connection form, the curvature form  $\Omega$  is equivariant with respect to right translation on  $P$  and the adjoint representation on  $\mathfrak{g}$ . However, unlike a connection form, a curvature form is horizontal in the sense that it vanishes as long as one argument is vertical. In this respect it acts almost like the opposite of a connection form, which vanishes on horizontal vectors.

**Lemma 30.3.** *Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$  and  $\pi: P \rightarrow M$  a principal  $G$ -bundle with a connection  $\omega$ . Fix a point  $p \in P$ .*

- (i) *Every vertical vector  $X_p \in T_pP$  can be extended to a fundamental vector field  $\underline{A}$  on  $P$  for some  $A \in \mathfrak{g}$ .*
- (ii) *Every horizontal vector  $Y_p \in T_pP$  can be extended to the horizontal lift  $\tilde{B}$  of a  $C^\infty$  vector field  $B$  on  $M$ .*

*Proof.* (i) By the surjectivity of  $j_{p*}: \mathfrak{g} \rightarrow \mathcal{V}_p$  (Proposition 27.18) and Equation (27.3),

$$X_p = j_{p*}(A) = \underline{A}_p$$

for some  $A \in \mathfrak{g}$ . Then the fundamental vector field  $\underline{A}$  on  $P$  extends  $X_p$ .

(ii) Let  $x = \pi(p)$  in  $M$  and let  $B_x$  be the projection  $\pi_*(Y_p) \in T_xM$  of the vector  $Y_p$ . We can extend  $B_x$  to a smooth vector field  $B$  on  $M$ . The horizontal lift  $\tilde{B}$  of  $B$  extends  $Y_p$  on  $P$ .  $\square$

By Proposition 28.6, such a horizontal lift  $\tilde{B}$  is necessarily right-invariant.

**Theorem 30.4.** *Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$ . Suppose  $\pi: P \rightarrow M$  is a principal  $G$ -bundle,  $\omega$  a connection on  $P$ , and  $\Omega$  the curvature form of  $\omega$ .*

- (i) (Horizontal) *For  $p \in P$  and  $X_p, Y_p \in T_pP$ ,*

$$\Omega_p(X_p, Y_p) = (d\omega)_p(hX_p, hY_p). \quad (30.1)$$

- (ii) (G-equivariance) *For  $g \in G$ , we have  $r_g^*\Omega = (\text{Ad } g^{-1})\Omega$ .*

(iii) (Second Bianchi identity)  $d\Omega = [\Omega, \omega]$ .

*Proof.* (i) Since both sides of (30.1) are linear in  $X_p$  and in  $Y_p$ , we may decompose  $X_p$  and  $Y_p$  into vertical and horizontal components, and so it suffices to check the equation for vertical and horizontal vectors only. There are three cases.

**Case 1.** Both  $X_p$  and  $Y_p$  are horizontal. Then

$$\begin{aligned} \Omega_p(X_p, Y_p) &= (d\omega)_p(X_p, Y_p) + \frac{1}{2}[\omega_p, \omega_p](X_p, Y_p) && \text{(definition of } \Omega) \\ &= (d\omega)_p(X_p, Y_p) \\ &\quad + \frac{1}{2}([\omega_p(X_p), \omega_p(Y_p)] - [\omega_p(Y_p), \omega_p(X_p)]) \\ &= (d\omega)_p(X_p, Y_p) && (\omega_p(X_p) = 0) \\ &= (d\omega)_p(hX_p, hY_p). && (X_p, Y_p \text{ horizontal}) \end{aligned}$$

**Case 2.** One of  $X_p$  and  $Y_p$  is horizontal; the other is vertical. Without loss of generality, we may assume  $X_p$  vertical and  $Y_p$  horizontal. Then  $[\omega_p, \omega_p](X_p, Y_p) = 0$  as in Case 1.

By Lemma 30.3 the vertical vector  $X_p$  extends to a fundamental vector field  $\underline{A}$  on  $P$  and the horizontal vector  $Y_p$  extends to a right-invariant horizontal vector field  $\tilde{B}$  on  $P$ . By the global formula for the exterior derivative (Problem 21.8)

$$d\omega(\underline{A}, \tilde{B}) = \underline{A}(\omega(\tilde{B})) - \tilde{B}(\omega(\underline{A})) - \omega([\underline{A}, \tilde{B}]).$$

On the right-hand side,  $\omega(\tilde{B}) = 0$  because  $\tilde{B}$  is horizontal, and  $\tilde{B}\omega(\underline{A}) = \tilde{B}A = 0$  because  $A$  is a constant function on  $P$ . Being the bracket of a fundamental and a horizontal vector field,  $[\underline{A}, \tilde{B}]$  is horizontal by Lemma 28.7, and therefore  $\omega([\underline{A}, \tilde{B}]) = 0$ . Hence, the left-hand side of (30.1) becomes

$$\Omega_p(X_p, Y_p) = (d\omega)_p(\underline{A}_p, \tilde{B}_p) = 0.$$

The right-hand side of (30.1) is also zero because  $hX_p = 0$ .

**Case 3.** Both  $X_p$  and  $Y_p$  are vertical. As in Case 2, we can write  $X_p = \underline{A}_p$  and  $Y_p = \underline{B}_p$  for some  $A, B \in \mathfrak{g}$ . We have thus extended the vertical vectors  $X_p$  and  $Y_p$  to fundamental vector fields  $X = \underline{A}$  and  $Y = \underline{B}$  on  $P$ . By the definition of curvature,

$$\begin{aligned} \Omega(X, Y) &= \Omega(\underline{A}, \underline{B}) \\ &= d\omega(\underline{A}, \underline{B}) + \frac{1}{2}([\omega(\underline{A}), \omega(\underline{B})] - [\omega(\underline{B}), \omega(\underline{A})]) \\ &= d\omega(\underline{A}, \underline{B}) + [A, B]. \end{aligned} \tag{30.2}$$

In this sum the first term is

$$\begin{aligned} d\omega(\underline{A}, \underline{B}) &= \underline{A}(\omega(\underline{B})) - \underline{B}(\omega(\underline{A})) - \omega([\underline{A}, \underline{B}]) \\ &= \underline{A}(B) - \underline{B}(A) - \omega([\underline{A}, \underline{B}]) && \text{(Problem 27.1)} \\ &= 0 - 0 - [A, B]. \end{aligned}$$



Hence, (30.2) becomes

$$\Omega(X, Y) = -[A, B] + [A, B] = 0.$$

On the other hand,

$$(d\omega)_p(hX_p, hY_p) = (d\omega)_p(0, 0) = 0.$$

(ii) Since the connection form  $\omega$  is right-equivariant with respect to  $\text{Ad}$ ,

$$\begin{aligned} r_g^* \Omega &= r_g^* \left( d\omega + \frac{1}{2} [\omega, \omega] \right) && \text{(definition of curvature)} \\ &= dr_g^* \omega + \frac{1}{2} [r_g^* \omega, r_g^* \omega] && \text{(Proposition 21.8)} \\ &= d(\text{Ad } g^{-1}) \omega + \frac{1}{2} [(\text{Ad } g^{-1}) \omega, (\text{Ad } g^{-1}) \omega] \\ &= (\text{Ad } g^{-1}) \left( d\omega + \frac{1}{2} [\omega, \omega] \right) \\ &= (\text{Ad } g^{-1}) \Omega. \end{aligned}$$

In this computation we used the fact that because  $\text{Ad } g^{-1} = (c_{g^{-1}})_*$  is the differential of a Lie group homomorphism, it is a Lie algebra homomorphism.

(iii) Taking the exterior derivative of the definition of the curvature form, we get

$$\begin{aligned} d\Omega &= \frac{1}{2} d[\omega, \omega] \\ &= \frac{1}{2} ([d\omega, \omega] - [\omega, d\omega]) && \text{(Proposition 21.6)} \\ &= [d\omega, \omega] && \text{(Proposition 21.5)} \\ &= \left[ \Omega - \frac{1}{2} [\omega, \omega], \omega \right] && \text{(definition of } \Omega) \\ &= [\Omega, \omega] - \frac{1}{2} [[\omega, \omega], \omega] \\ &= [\Omega, \omega]. && \text{(Problem 21.5)} \quad \square \end{aligned}$$

In case  $P$  is the frame bundle  $\text{Fr}(E)$  of a rank  $r$  vector bundle  $E$ , with structure group  $\text{GL}(r, \mathbb{R})$ , the second Bianchi identity becomes by Proposition 21.7

$$d\Omega = [\Omega, \omega] = \Omega \wedge \omega - \omega \wedge \Omega, \quad (30.3)$$

where the connection and curvature forms  $\omega$  and  $\Omega$  are  $\mathfrak{gl}(r, \mathbb{R})$ -valued forms on  $\text{Fr}(E)$ . It should not be so surprising that it has the same form as the second Bianchi identity for the connection and curvature matrices relative to a frame  $e$  for  $E$  (Proposition 22.3). Indeed, by pulling back (30.3) by a frame  $e: U \rightarrow \text{Fr}(E)$ , we get

$$\begin{aligned} e^* d\Omega &= e^* (\Omega \wedge \omega) - e^* (\omega \wedge \Omega), \\ de^* \Omega &= (e^* \Omega) \wedge e^* \omega - (e^* \omega) \wedge e^* \Omega, \\ d\Omega_e &= \Omega_e \wedge \omega_e - \omega_e \wedge \Omega_e, \end{aligned}$$

which is precisely Proposition 22.3.

## Problems

### 30.1. Curvature of the Maurer–Cartan connection

Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$ , and  $M$  a manifold. Compute the curvature of the Maurer–Cartan connection  $\omega$  on the trivial bundle  $\pi: M \times G \rightarrow M$ .

### 30.2. Generalized second Bianchi identity on a frame bundle

Suppose  $\text{Fr}(E)$  is the frame bundle of a rank  $r$  vector bundle  $E$  over  $M$ . Let  $\omega$  be an Ehresmann connection and  $\Omega$  its curvature form on  $\text{Fr}(E)$ . These are differential forms on  $\text{Fr}(E)$  with values in the Lie algebra  $\mathfrak{gl}(r, \mathbb{R})$ . Matrix multiplication and the Lie bracket on  $\mathfrak{gl}(r, \mathbb{R})$  lead to two ways to multiply  $\mathfrak{gl}(r, \mathbb{R})$ -valued forms (see Section 21.5). We write  $\Omega^k$  to denote the wedge product of  $\Omega$  with itself  $k$  times. Prove that  $d(\Omega^k) = [\Omega^k, \omega]$ .

### 30.3. Lie bracket of horizontal vector fields

Let  $P \rightarrow M$  be a principal bundle with a connection, and  $X, Y$  horizontal vector fields on  $P$ .

- (a) Prove that  $\Omega(X, Y) = -\omega([X, Y])$ .
- (b) Show that  $[X, Y]$  is horizontal if and only if the curvature  $\Omega(X, Y)$  equals zero.

### §31 Covariant Derivative on a Principal Bundle

Throughout this chapter,  $G$  will be a Lie group with Lie algebra  $\mathfrak{g}$  and  $V$  will be a finite-dimensional vector space. To a principal  $G$ -bundle  $\pi : P \rightarrow M$  and a representation  $\rho : G \rightarrow \text{GL}(V)$ , one can associate a vector bundle  $P \times_{\rho} V \rightarrow M$  with fiber  $V$ . When  $\rho$  is the adjoint representation  $\text{Ad}$  of  $G$  on its Lie algebra  $\mathfrak{g}$ , the associated bundle  $P \times_{\text{Ad}} \mathfrak{g}$  is called the **adjoint bundle**, denoted by  $\text{Ad}P$ .

Differential forms on  $M$  with values in the associated bundle  $P \times_{\rho} V$  turn out to correspond in a one-to-one manner to certain  $V$ -valued forms on  $P$  called **tensorial forms of type  $\rho$** . The curvature  $\Omega$  of a connection  $\omega$  on the principal bundle  $P$  is a  $\mathfrak{g}$ -valued tensorial 2-form of type  $\text{Ad}$  on  $P$ . Under this correspondence it may be viewed as a 2-form on  $M$  with values in the adjoint bundle  $\text{Ad}P$ .

Using a connection  $\omega$ , one can define a covariant derivative  $D$  of vector-valued forms on a principal bundle  $P$ . This covariant derivative maps tensorial forms to tensorial forms, and therefore induces a covariant derivative on forms on  $M$  with values in an associated bundle. In terms of the covariant derivative  $D$ , the curvature form is  $\Omega = D\omega$ , and Bianchi's second identity becomes  $D\Omega = 0$ .

#### 31.1 The Associated Bundle

Let  $\pi : P \rightarrow M$  be a principal  $G$ -bundle and  $\rho : G \rightarrow \text{GL}(V)$  a representation of  $G$  on a finite-dimensional vector space  $V$ . We write  $\rho(g)v$  as  $g \cdot v$  or even  $gv$ . The **associated bundle**  $E := P \times_{\rho} V$  is the quotient of  $P \times V$  by the equivalence relation

$$(p, v) \sim (pg, g^{-1} \cdot v) \quad \text{for } g \in G \text{ and } (p, v) \in P \times V. \tag{31.1}$$

We denote the equivalence class of  $(p, v)$  by  $[p, v]$ . The associated bundle comes with a natural projection  $\beta : P \times_{\rho} V \rightarrow M$ ,  $\beta([p, v]) = \pi(p)$ . Because

$$\beta([pg, g^{-1} \cdot v]) = \pi(pg) = \pi(p) = \beta([p, v]),$$

the projection  $\beta$  is well defined.

As a first example, the proposition below shows that an associated bundle of a trivial principal  $G$ -bundle is a trivial vector bundle.

**Proposition 31.1.** *If  $\rho : G \rightarrow \text{GL}(V)$  is a finite-dimensional representation of a Lie group  $G$ , and  $U$  is any manifold, then there is a fiber-preserving diffeomorphism*

$$\begin{aligned} \phi : (U \times G) \times_{\rho} V &\xrightarrow{\sim} U \times V, \\ [(x, g), v] &\mapsto (x, g \cdot v). \end{aligned}$$

*Proof.* The map  $\phi$  is well defined because if  $h$  is any element of  $G$ , then

$$\phi([(x, g)h, h^{-1} \cdot v]) = (x, (gh) \cdot h^{-1} \cdot v) = (x, g \cdot v) = \phi([(x, g), v]).$$

Define  $\psi: U \times V \rightarrow (U \times G) \times_{\rho} V$  by

$$\psi(x, v) = [(x, 1), v].$$

It is easy to check that  $\phi$  and  $\psi$  are inverse to each other, are  $C^{\infty}$ , and commute with the projections.  $\square$

Since a principal bundle  $P \rightarrow M$  is locally  $U \times G$ , Proposition 31.1 shows that the associated bundle  $P \times_{\rho} V \rightarrow M$  is locally trivial with fiber  $V$ . The vector space structure on  $V$  then makes  $P \times_{\rho} V$  into a vector bundle over  $M$ :

$$\begin{aligned} [p, v_1] + [p, v_2] &= [p, v_1 + v_2], \\ \lambda [p, v] &= [p, \lambda v], \quad \lambda \in \mathbb{R}. \end{aligned} \tag{31.2}$$

It is easy to show that these are well-defined operations not depending on the choice of  $p \in E_x$  and that this makes the associated bundle  $\beta: E \rightarrow M$  into a vector bundle (Problem 31.2).

*Example 31.2.* Let  $\text{Ad}: G \rightarrow \text{GL}(\mathfrak{g})$  be the adjoint representation of a Lie group  $G$  on its Lie algebra  $\mathfrak{g}$ . For a principal  $G$ -bundle  $\pi: P \rightarrow M$ , the associated vector bundle  $\text{Ad}P := P \times_{\text{Ad}} \mathfrak{g}$  is called the **adjoint bundle** of  $P$ .

## 31.2 The Fiber of the Associated Bundle

If  $\pi: P \rightarrow M$  is a principal  $G$ -bundle,  $\rho: G \rightarrow \text{GL}(V)$  is a representation, and  $E := P \times_{\rho} V \rightarrow M$  is the associated bundle, we denote by  $P_x$  the fiber of  $P$  above  $x \in M$ , and by  $E_x$  the fiber of  $E$  above  $x \in M$ . For each  $p \in P_x$ , there is a canonical way of identifying the fiber  $E_x$  with the vector space  $V$ :

$$\begin{aligned} f_p: V &\rightarrow E_x, \\ v &\mapsto [p, v]. \end{aligned}$$

**Lemma 31.3.** *Let  $\pi: P \rightarrow M$  be a principal  $G$ -bundle,  $\rho: G \rightarrow \text{GL}(V)$  a finite-dimensional representation, and  $E = P \times_{\rho} V$  the associated vector bundle. For each point  $p$  in the fiber  $P_x$ , the map  $f_p: V \rightarrow E_x$  is a linear isomorphism.*

*Proof.* Suppose  $[p, v] = [p, w]$ . Then  $(p, w) = (pg, g^{-1}v)$  for some  $g \in G$ . Since  $G$  acts freely on  $P$ , the equality  $p = pg$  implies that  $g = 1$ . Hence,  $w = g^{-1}v = v$ . This proves that  $f_p$  is injective.

If  $[q, w]$  is any point in  $E_x$ , then  $q \in P_x$ , so  $q = pg$  for some  $g \in G$ . It follows that

$$[q, w] = [pg, w] = [p, gw] = f_p(gw).$$

This proves that  $f_p$  is surjective.  $\square$

The upshot is that every point  $p$  of the total space  $P$  of a principal bundle gives a linear isomorphism  $f_p: V \rightarrow E_{\pi(p)}$  from  $V$  to the fiber of the associated bundle  $E$  above  $\pi(p)$ .

**Lemma 31.4.** *Let  $E = P \times_{\rho} V$  be the vector bundle associated to the principal  $G$ -bundle  $P \rightarrow M$  via the representation  $\rho: G \rightarrow \text{GL}(V)$ , and  $f_p: V \rightarrow E_x$  the linear isomorphism  $v \mapsto [p, v]$ . If  $g \in G$ , then  $f_{pg} = f_p \circ \rho(g)$ .*

*Proof.* For  $v \in V$ ,

$$f_{pg}(v) = [pg, v] = [p, g \cdot v] = f_p(g \cdot v) = f_p(\rho(g)v). \quad \square$$

*Example 31.5.* Let  $\pi: P \rightarrow M$  be a principal  $G$ -bundle. The vector bundle  $P \times_{\rho} V \rightarrow M$  associated to the trivial representation  $\rho: G \rightarrow \text{GL}(V)$  is the trivial bundle  $M \times V \rightarrow M$ , for there is a vector bundle isomorphism

$$P \times_{\rho} V \rightarrow M \times V, \\ [p, v] = [pg, g^{-1} \cdot v] = [pg, v] \mapsto (\pi(p), v),$$

with inverse map

$$(x, v) \mapsto [p, v] \quad \text{for any } p \in \pi^{-1}(x).$$

In this case, for each  $p \in P$  the linear isomorphism  $f_p: V \rightarrow E_x = V$ ,  $v \mapsto [p, v]$ , is the identity map.

### 31.3 Tensorial Forms on a Principal Bundle

We keep the same notation as in the previous section. Thus,  $\pi: P \rightarrow M$  is a principal  $G$ -bundle,  $\rho: G \rightarrow \text{GL}(V)$  a finite-dimensional representation of  $G$ , and  $E := P \times_{\rho} V$  the vector bundle associated to  $P$  via  $\rho$ .

**Definition 31.6.** A  $V$ -valued  $k$ -form  $\varphi$  on  $P$  is said to be *right-equivariant of type  $\rho$*  or *right-equivariant with respect to  $\rho$*  if for every  $g \in G$ ,

$$r_g^* \varphi = \rho(g^{-1}) \cdot \varphi.$$

What this means is that for  $p \in P$  and  $v_1, \dots, v_k \in T_p P$ ,

$$(r_g^* \varphi)_p(v_1, \dots, v_k) = \rho(g^{-1})(\varphi_p(v_1, \dots, v_k)).$$

In the literature (for example, [12, p. 75]), such a form is said to be *pseudo-tensorial of type  $\rho$* .

**Definition 31.7.** A  $V$ -valued  $k$ -form  $\varphi$  on  $P$  is said to be *horizontal* if  $\varphi$  vanishes whenever one of its arguments is a vertical vector. Since a 0-form never takes an argument, every 0-form on  $P$  is by definition horizontal.

**Definition 31.8.** A  $V$ -valued  $k$ -form  $\varphi$  on  $P$  is *tensorial of type  $\rho$*  if it is right-equivariant of type  $\rho$  and horizontal. The set of all smooth tensorial  $V$ -valued  $k$ -forms of type  $\rho$  is denoted by  $\Omega_{\rho}^k(P, V)$ .

*Example.* Since the curvature  $\Omega$  of a connection  $\omega$  on a principal  $G$ -bundle  $P$  is horizontal and right-equivariant of type Ad, it is tensorial of type Ad.

The set  $\Omega_\rho^k(P, V)$  of tensorial  $k$ -forms of type  $\rho$  on  $P$  becomes a vector space with the usual addition and scalar multiplication of forms. These forms are of special interest because they can be viewed as forms on the base manifold  $M$  with values in the associated bundle  $E := P \times_\rho V$ . To each tensorial  $V$ -valued  $k$  form  $\varphi \in \Omega_\rho^k(P, V)$  we associate a  $k$ -form  $\varphi^\flat \in \Omega^k(M, E)$  as follows. Given  $x \in M$  and  $v_1, \dots, v_k \in T_x M$ , choose any point  $p$  in the fiber  $P_x$  and choose lifts  $u_1, \dots, u_k$  at  $p$  of  $v_1, \dots, v_k$ , i.e., vectors in  $T_p P$  such that  $\pi_*(u_i) = v_i$ . Then  $\varphi^\flat$  is defined by

$$\varphi_x^\flat(v_1, \dots, v_k) = f_p(\varphi_p(u_1, \dots, u_k)) \in E_x, \tag{31.3}$$

where  $f_p: V \rightarrow E_x$  is the isomorphism  $v \mapsto [p, v]$  of the preceding section.

Conversely, if  $\psi \in \Omega^k(M, E)$ , we define  $\psi^\sharp \in \Omega_\rho^k(P, V)$  as follows. Given  $p \in P$  and  $u_1, \dots, u_k \in T_p P$ , let  $x = \pi(p)$  and set

$$\psi_p^\sharp(u_1, \dots, u_k) = f_p^{-1}(\psi_x(\pi_* u_1, \dots, \pi_* u_k)) \in V. \tag{31.4}$$

**Theorem 31.9.** *The map*

$$\begin{aligned} \Omega_\rho^k(P, V) &\rightarrow \Omega^k(M, E), \\ \varphi &\mapsto \varphi^\flat, \end{aligned}$$

*is a well-defined linear isomorphism with inverse  $\psi^\sharp \leftarrow \psi$ .*

*Proof.* To show that  $\varphi^\flat$  is well defined, we need to prove that the definition (31.3) is independent of the choice of  $p \in P_x$  and of  $u_1, \dots, u_k \in T_p P$ . Suppose  $u'_1, \dots, u'_k \in T_p P$  is another set of vectors such that  $\pi_*(u'_i) = v_i$ . Then  $\pi_*(u'_i - u_i) = 0$  so that  $u'_i - u_i$  is vertical. Since  $\varphi$  is horizontal and  $k$ -linear,

$$\begin{aligned} \varphi_p(u'_1, \dots, u'_k) &= \varphi_p(u_1 + \text{vertical}, \dots, u_k + \text{vertical}) \\ &= \varphi_p(u_1, \dots, u_k). \end{aligned}$$

This proves that for a given  $p \in P$ , the definition (31.3) is independent of the choice of lifts of  $v_1, \dots, v_k$  to  $p$ .

Next suppose we choose  $pg$  instead of  $p$  as the point in the fiber  $P_x$ . Because  $\pi \circ r_g = \pi$ ,

$$\pi_*(r_g u_i) = (\pi \circ r_g)_* u_i = \pi_* u_i = v_i,$$

so that  $r_g u_1, \dots, r_g u_k$  are lifts of  $v_1, \dots, v_k$  to  $pg$ . We have, by right equivariance with respect to  $\rho$ ,

$$\begin{aligned} \varphi_{pg}(r_g u_1, \dots, r_g u_k) &= (r_g^* \varphi_{pg})(u_1, \dots, u_k) \\ &= \rho(g^{-1})\varphi_p(u_1, \dots, u_k). \end{aligned}$$

So by Lemma 31.4,

$$\begin{aligned} f_{pg}(\varphi_{pg}(r_{g*}u_1, \dots, r_{g*}u_k)) &= f_{pg}(\rho(g^{-1})\varphi_p(u_1, \dots, u_k)) \\ &= (f_p \circ \rho(g))(\rho(g^{-1})\varphi_p(u_1, \dots, u_k)) \\ &= f_p(\varphi_p(u_1, \dots, u_k)). \end{aligned}$$

This proves that the definition (31.3) is independent of the choice of  $p$  in the fiber  $P_x$ .

Let  $\psi \in \Omega^k(M, E)$ . It is clear from the definition (31.4) that  $\psi^\sharp$  is horizontal. It is easy to show that  $\psi^\sharp$  is right-equivariant with respect to  $\rho$  (Problem 31.4). Hence,  $\psi^\sharp \in \Omega_p^k(P, V)$ .

For  $v_1, \dots, v_k \in T_x M$ , choose  $p \in P_x$  and vectors  $u_1, \dots, u_k \in T_p P$  that lift  $v_1, \dots, v_k$ . Then

$$\begin{aligned} (\psi^\sharp)_x(v_1, \dots, v_k) &= f_p(\psi_p^\sharp(u_1, \dots, u_k)) \\ &= f_p(f_p^{-1}(\psi_x(\pi_*u_1, \dots, \pi_*u_k))) \\ &= \psi_x(v_1, \dots, v_k). \end{aligned}$$

Hence,  $\psi^\sharp = \psi$ .

Similarly,  $\phi^\flat = \phi$  for  $\phi \in \Omega_p^k(P, V)$ , which we leave to the reader to show (Problem 31.5). Therefore, the map  $\psi \mapsto \psi^\sharp$  is inverse to the map  $\phi \mapsto \phi^\flat$ .  $\square$

*Example 31.10 (Curvature as a form on the base).* By Theorem 31.9, the curvature form  $\Omega$  of a connection on a principal  $G$ -bundle  $P$  can be viewed as an element of  $\Omega^2(M, \text{Ad}P)$ , a 2-form on  $M$  with values in the adjoint bundle  $\text{Ad}P$ .

When  $k = 0$  in Theorem 31.9,  $\Omega_p^0(P, V)$  consists of maps  $f: P \rightarrow V$  that are right-equivariant with respect to  $\rho$ :

$$(r_g^*f)(p) = \rho(g)^{-1}f(p),$$

or

$$f(pg) = \rho(g^{-1})f(p) = g^{-1} \cdot f(p).$$

On the right-hand side of Theorem 31.9,

$$\Omega^0(M, P \times_\rho V) = \Omega^0(M, E) = \text{sections of the associated bundle } E.$$

Hence, we have the following corollary.

**Corollary 31.11.** *Let  $G$  be a Lie group,  $P \rightarrow M$  a principal  $G$ -bundle, and  $\rho: G \rightarrow \text{Aut}(V)$  a representation of  $G$ . There is a one-to-one correspondence*

$$\left\{ \begin{array}{l} G\text{-equivariant maps} \\ f: P \rightarrow V \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{sections of the associated bundle} \\ P \times_\rho V \rightarrow M \end{array} \right\}.$$

By the local triviality condition, for any principal bundle  $\pi: P \rightarrow M$  the projection map  $\pi$  is a submersion and therefore the pullback map  $\pi^*: \Omega^*(M) \rightarrow \Omega^*(P)$  is an injection. A differential form  $\varphi$  on  $P$  is said to be **basic** if it is the pullback  $\pi^*\psi$

of a form  $\psi$  on  $M$ ; it is *G-invariant* if  $r_g^* \varphi = \varphi$  for all  $g \in G$ . More generally, for any vector space  $V$ , these concepts apply to  $V$ -valued forms as well.

Suppose  $\rho : G \rightarrow \text{GL}(V)$  is the trivial representation  $\rho(g) = \mathbb{1}$  for all  $g \in G$ . Then an equivariant form  $\varphi$  of type  $\rho$  on  $P$  satisfies

$$r_g^* \varphi = \rho(g^{-1}) \cdot \varphi = \varphi \quad \text{for all } g \in G.$$

Thus, an equivariant form of type  $\rho$  for the trivial representation  $\rho$  is exactly an invariant form on  $P$ . Unravelling Theorem 31.9 for a trivial representation will give the following theorem.

**Theorem 31.12.** *Let  $\pi : P \rightarrow M$  be a principal  $G$ -bundle and  $V$  a vector space. A  $V$ -valued form on  $P$  is basic if and only if it is horizontal and  $G$ -invariant.*

*Proof.* Let  $\rho : G \rightarrow \text{GL}(V)$  be the trivial representation. As noted above,  $\Omega_\rho^k(P, V)$  consists of horizontal,  $G$ -invariant  $V$ -valued  $k$ -forms on  $P$ .

By Example 31.5, when  $\rho$  is the trivial representation, the vector bundle  $E = P \times_\rho V$  is the product bundle  $M \times V$  over  $M$  and for each  $p \in P$ , the linear isomorphism  $f_p : V \rightarrow E_x = V$ , where  $x = \pi(p)$ , is the identity map. Then the isomorphism

$$\begin{aligned} \Omega^k(M, E) &= \Omega^k(M, M \times V) = \Omega^k(M, V) \rightarrow \Omega_\rho^k(P, V), \\ &\psi \mapsto \psi^\# , \end{aligned}$$

is given by

$$\begin{aligned} \psi_p^\#(u_1, \dots, u_k) &= \psi_x(\pi_* u_1, \dots, \pi_* u_k) \quad (\text{by (31.4)}) \\ &= (\pi^* \psi)_p(u_1, \dots, u_k). \end{aligned}$$

Therefore,

$$\psi^\# = \pi^* \psi.$$

This proves that horizontal,  $G$ -invariant forms on  $P$  are precisely the basic forms.  $\square$

### 31.4 Covariant Derivative

Recall that the existence of a connection  $\omega$  on a principal  $G$ -bundle  $\pi : P \rightarrow M$  is equivalent to the decomposition of the tangent bundle  $TP$  into a direct sum of the vertical subbundle  $\mathcal{V}$  and a smooth right-invariant horizontal subbundle  $\mathcal{H}$ . For any vector  $X_p \in T_p P$ , we write

$$X_p = vX_p + hX_p$$

as the sum of its vertical and horizontal components. This will allow us to define a covariant derivative of vector-valued forms on  $P$ . By the isomorphism of Theorem 31.9, we obtain in turn a covariant derivative of forms on  $M$  with values in an associated bundle.

Let  $\rho : G \rightarrow \text{GL}(V)$  be a finite-dimensional representation of  $G$  and let  $E := P \times_\rho V$  be the associated vector bundle.



**Proposition 31.13.** *If  $\varphi \in \Omega^k(P, V)$  is right-equivariant of type  $\rho$ , then so is  $d\varphi$ .*

*Proof.* For a fixed  $g \in G$ ,

$$\begin{aligned} r_g^* d\varphi &= dr_g^* \varphi = d\rho(g^{-1})\varphi \\ &= \rho(g^{-1})d\varphi, \end{aligned}$$

since  $\rho(g^{-1})$  is a constant linear map for a fixed  $g$ . □

In general, the exterior derivative does not preserve horizontality. For any  $V$ -valued  $k$ -form  $\varphi$  on  $P$ , we define its **horizontal component**  $\varphi^h \in \Omega^k(P, V)$  as follows: for  $p \in P$  and  $v_1, \dots, v_k \in T_p P$ ,

$$\varphi_p^h(v_1, \dots, v_k) = \varphi_p(hv_1, \dots, hv_k).$$

**Proposition 31.14.** *If  $\varphi \in \Omega^k(P, V)$  is right-equivariant of type  $\rho$ , then so is  $\varphi^h$ .*

*Proof.* For  $g \in G$ ,  $p \in P$ , and  $v_1, \dots, v_k \in T_p P$ ,

$$\begin{aligned} r_g^*(\varphi_{pg}^h)(v_1, \dots, v_k) &= \varphi_{pg}^h(r_{g^*}v_1, \dots, r_{g^*}v_k) && \text{(definition of pullback)} \\ &= \varphi_{pg}(hr_{g^*}v_1, \dots, hr_{g^*}v_k) && \text{(definition of } \varphi^h) \\ &= \varphi_{pg}(r_{g^*}hv_1, \dots, r_{g^*}hv_k) && \text{(Proposition 28.4)} \\ &= (r_g^* \varphi_{pg})(hv_1, \dots, hv_k) \\ &= \rho(g^{-1}) \cdot \varphi_p(hv_1, \dots, hv_k) && \text{(right-equivariance of } \varphi) \\ &= \rho(g^{-1}) \cdot \varphi_p^h(v_1, \dots, v_k) && \square \end{aligned}$$

Propositions 31.13 and 31.14 together imply that if  $\varphi \in \Omega^k(P, V)$  is right-equivariant of type  $\rho$ , then  $(d\varphi)^h \in \Omega^{k+1}(P, V)$  is horizontal and right-equivariant of type  $\rho$ , i.e., tensorial of type  $\rho$ .

**Definition 31.15.** Let  $\pi: P \rightarrow M$  be a principal  $G$ -bundle with a connection  $\omega$  and let  $V$  be a real vector space. The **covariant derivative** of a  $V$ -valued  $k$ -form  $\varphi \in \Omega^k(P, V)$  is  $D\varphi = (d\varphi)^h$ .

Let  $\rho: G \rightarrow \text{GL}(V)$  be a finite-dimensional representation of the Lie group  $G$ . The covariant derivative is defined for any  $V$ -valued  $k$ -form on  $P$ , and it maps a right-equivariant form of type  $\rho$  to a tensorial form of type  $\rho$ . In particular, it restricts to a map

$$D: \Omega_\rho^k(P, V) \rightarrow \Omega_\rho^{k+1}(P, V) \tag{31.5}$$

on the space of tensorial forms.

**Proposition 31.16.** *Let  $\pi: P \rightarrow M$  be a principal  $G$ -bundle with a connection and  $\rho: G \rightarrow \text{GL}(V)$  a representation of  $G$ . The covariant derivative*

$$D: \Omega_\rho^k(P, V) \rightarrow \Omega_\rho^{k+1}(P, V)$$

*on tensorial forms of type  $\rho$  is an antiderivation of degree  $+1$ .*

*Proof.* Let  $\omega, \tau \in \Omega_p^*(P, V)$  be tensorial forms of type  $\rho$ . Then

$$\begin{aligned} D(\omega \wedge \tau) &= (d(\omega \wedge \tau))^h \\ &= ((d\omega) \wedge \tau + (-1)^{\deg \omega} \omega \wedge d\tau)^h \\ &= (d\omega)^h \wedge \tau^h + (-1)^{\deg \omega} \omega^h \wedge (d\tau)^h \\ &= D\omega \wedge \tau^h + (-1)^{\deg \omega} \omega^h \wedge D\tau. \end{aligned}$$

Since  $\tau$  and  $\omega$  are horizontal,  $\tau^h = \tau$  and  $\omega^h = \omega$ . Therefore,

$$D(\omega \wedge \tau) = D\omega \wedge \tau + (-1)^{\deg \omega} \omega \wedge D\tau. \quad \square$$

If  $E := P \times_{\rho} V$  is the associated vector bundle via the representation  $\rho$ , then the isomorphism of Theorem 31.9 transforms the linear map (31.5) into a linear map

$$D: \Omega^k(M, E) \rightarrow \Omega^{k+1}(M, E).$$

Unlike the exterior derivative, the covariant derivative depends on the choice of a connection on  $P$ . Moreover,  $D^2 \neq 0$  in general.

*Example 31.17 (Curvature of a principal bundle).* By Theorem 30.4 the curvature form  $\Omega \in \Omega_{\text{Ad}}^2(P, \mathfrak{g})$  on a principal bundle is the covariant derivative  $D\omega$  of the connection form  $\omega \in \Omega^1(P, \mathfrak{g})$ . Because  $\omega$  is not horizontal, it is not in  $\Omega_{\text{Ad}}^1(P, \mathfrak{g})$ .

### 31.5 A Formula for the Covariant Derivative of a Tensorial Form

Let  $\pi: P \rightarrow M$  be a smooth principal  $G$ -bundle with a connection  $\omega$ , and let  $\rho: G \rightarrow \text{GL}(V)$  be a finite-dimensional representation of  $G$ . In the preceding section we defined the covariant derivative of a  $V$ -valued  $k$ -form  $\varphi$  on  $P$ :  $D\varphi = (d\varphi)^h$ , the horizontal component of  $d\varphi$ . In this section we derive a useful alternative formula for the covariant derivative, but only for a tensorial form.

The Lie group representation  $\rho: G \rightarrow \text{GL}(V)$  induces a Lie algebra representation  $\rho_*: \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ , which allows us to define a product of a  $\mathfrak{g}$ -valued  $k$ -form  $\tau$  and a  $V$ -valued  $\ell$ -form  $\varphi$  on  $P$ : for  $p \in P$  and  $v_1, \dots, v_{k+\ell} \in T_pP$ ,

$$\begin{aligned} (\tau \cdot \varphi)_p(v_1, \dots, v_{k+\ell}) &= \frac{1}{k!\ell!} \sum_{\sigma \in S_{k+\ell}} \text{sgn}(\sigma) \rho_* (\tau_p(v_{\sigma(1)}, \dots, v_{\sigma(k)})) \varphi_p(v_{\sigma(k+1)}, \dots, v_{\sigma(k+\ell)}). \end{aligned}$$

For the same reason as the wedge product,  $\tau \cdot \varphi$  is multilinear and alternating in its arguments; it is therefore a  $(k + \ell)$ -covector with values in  $V$ .

*Example 31.18.* If  $V = \mathfrak{g}$  and  $\rho = \text{Ad}: G \rightarrow \text{GL}(\mathfrak{g})$  is the adjoint representation, then

$$(\tau \cdot \varphi)_p = \frac{1}{k!\ell!} \sum_{\sigma \in S_{k+\ell}} \text{sgn}(\sigma) [\tau_p(v_{\sigma(1)}, \dots, v_{\sigma(k)}), \varphi_p(v_{\sigma(k+1)}, \dots, v_{\sigma(k+\ell)})].$$

In this case we also write  $[\tau, \varphi]$  instead of  $\tau \cdot \varphi$ .

**Theorem 31.19.** *Let  $\pi: P \rightarrow M$  be a principal  $G$ -bundle with connection form  $\omega$ , and  $\rho: G \rightarrow \text{GL}(V)$  a finite-dimensional representation of  $G$ . If  $\varphi \in \Omega_p^k(P, V)$  is a  $V$ -valued tensorial form of type  $\rho$ , then its covariant derivative is given by*

$$D\varphi = d\varphi + \omega \cdot \varphi.$$

*Proof.* Fix  $p \in P$  and  $v_1, \dots, v_{k+1} \in T_pP$ . We need to show that

$$(d\varphi)_p(hv_1, \dots, hv_{k+1}) = (d\varphi)_p(v_1, \dots, v_{k+1}) + \frac{1}{k!} \sum_{\sigma \in S_{k+1}} \text{sgn}(\sigma) \rho_*(\omega_p(v_{\sigma(1)})) \varphi_p(v_{\sigma(2)}, \dots, v_{\sigma(k+1)}). \quad (31.6)$$

Because both sides of (31.6) are linear in each argument  $v_i$ , which may be decomposed into the sum of a vertical and a horizontal component, we may assume that each  $v_i$  is either vertical or horizontal. By Lemma 30.3, throughout the proof we may further assume that the vectors  $v_1, \dots, v_{k+1}$  have been extended to vector fields  $X_1, \dots, X_{k+1}$  on  $P$  each of which is either vertical or horizontal. If  $X_i$  is vertical, then it is a fundamental vector field  $\underline{A}_i$  for some  $A_i \in \mathfrak{g}$ . If  $X_i$  is horizontal, then it is the horizontal lift  $\tilde{B}_i$  of a vector field  $B_i$  on  $M$ . By construction,  $\tilde{B}_i$  is right-invariant (Proposition 28.6).

Instead of proving (31.6) at a point  $p$ , we will prove the equality of functions

$$(d\varphi)(hX_1, \dots, hX_{k+1}) = \text{I} + \text{II}, \quad (31.7)$$

where

$$\text{I} = (d\varphi)(X_1, \dots, X_{k+1})$$

and

$$\text{II} = \frac{1}{k!} \sum_{\sigma \in S_{k+1}} \text{sgn}(\sigma) \rho_*(\omega(X_{\sigma(1)})) \varphi(X_{\sigma(2)}, \dots, X_{\sigma(k+1)}).$$

**Case 1.** *The vector fields  $X_1, \dots, X_{k+1}$  are all horizontal.*

Then  $\text{II} = 0$  because  $\omega(X_{\sigma(1)}) = 0$  for all  $\sigma \in S_{k+1}$ . In this case, (31.7) is trivially true.

**Case 2.** *At least two of  $X_1, \dots, X_{k+1}$  are vertical.*

By the skew-symmetry of the arguments, we may assume that  $X_1 = \underline{A}_1$  and  $X_2 = \underline{A}_2$  are vertical. By Problem 27.1,  $[X_1, X_2] = \underline{[A_1, A_2]}$  is also vertical.

The left-hand side of (31.7) is zero because  $hX_1 = 0$ . By the global formula for the exterior derivative [21, Th. 20.14, p. 233],

$$\text{I} = \sum_{i=1}^{k+1} (-1)^{i-1} X_i \varphi(\dots, \widehat{X}_i, \dots) + \sum_{1 \leq i < j \leq k+1} (-1)^{i+j} \varphi([X_i, X_j], \dots, \widehat{X}_i, \dots, \widehat{X}_j, \dots).$$

In this expression every term in the first sum is zero because  $\varphi$  is horizontal and at least one of its arguments is vertical. In the second sum at least one of the arguments of  $\varphi$  is  $X_1, X_2,$  or  $[X_1, X_2]$ , all of which are vertical. Therefore, every term in the second sum in I is also zero.

As for II in (31.7), in every term at least one of the arguments of  $\varphi$  is vertical, so  $\text{II} = 0$ .

**Case 3.** *The first vector field  $X_1 = \underline{A}$  is vertical; the rest  $X_2, \dots, X_{k+1}$  are horizontal and right-invariant.*

The left-hand side of (31.7) is clearly zero because  $hX_1 = 0$ .

On the right-hand side,

$$\begin{aligned} \text{I} &= (d\varphi)(X_1, \dots, X_{k+1}) \\ &= \sum (-1)^{i+1} X_i \varphi(X_1, \dots, \widehat{X}_i, \dots, X_{k+1}) \\ &\quad + \sum (-1)^{i+j} \varphi([X_i, X_j], X_1, \dots, \widehat{X}_i, \dots, \widehat{X}_j, \dots, X_{k+1}). \end{aligned}$$

Because  $\varphi$  is horizontal and  $X_1$  is vertical, the only nonzero term in the first sum is

$$X_1 \varphi(X_2, \dots, X_{k+1}) = \underline{A} \varphi(X_2, \dots, X_{k+1})$$

and the only nonzero terms in the second sum are

$$\sum_{j=2}^{k+1} (-1)^{1+j} \varphi([X_1, X_j], \widehat{X}_1, X_2, \dots, \widehat{X}_j, \dots, X_{k+1}).$$

Since the  $X_j, j = 2, \dots, k+1,$  are right-invariant horizontal vector fields, by Lemma 28.7,

$$[X_1, X_j] = [\underline{A}, X_j] = 0.$$

Therefore,

$$\text{I} = \underline{A} \varphi(X_2, \dots, X_{k+1}).$$

If  $\sigma(i) = 1$  for any  $i \geq 2,$  then

$$\varphi(X_{\sigma(2)}, \dots, X_{\sigma(k+1)}) = 0.$$

It follows that the nonzero terms in II all satisfy  $\sigma(1) = 1$  and

$$\begin{aligned} \text{II} &= \frac{1}{k!} \sum_{\substack{\sigma \in S_{k+1} \\ \sigma(1)=1}} \text{sgn}(\sigma) \rho_*(\omega(X_1)) \varphi(X_{\sigma(2)}, \dots, X_{\sigma(k+1)}) \\ &= \frac{1}{k!} \sum_{\substack{\sigma \in S_{k+1} \\ \sigma(1)=1}} \text{sgn}(\sigma) \rho_*(A) \varphi(X_{\sigma(2)}, \dots, X_{\sigma(k+1)}) \\ &= \rho_*(A) \varphi(X_2, \dots, X_{k+1}) \quad (\text{because } \varphi \text{ is alternating}). \end{aligned}$$

Denote by  $f$  the function  $\varphi(X_2, \dots, X_{k+1})$  on  $P$ . For  $p \in P$ , to calculate  $\underline{A}_p f$ , choose a curve  $c(t)$  in  $G$  with initial point  $c(0) = e$  and initial vector  $c'(0) = A$ , for example,  $c(t) = \exp(tA)$ . Then with  $j_p: G \rightarrow P$  being the map  $j_p(g) = p \cdot g$ ,

$$\begin{aligned} \underline{A}_p f &= j_{p*}(A)f = j_{p*}(c'(0))f = j_{p*}\left(c_*\left(\left.\frac{d}{dt}\right|_{t=0}\right)\right)f \\ &= (j_p \circ c)_*\left(\left.\frac{d}{dt}\right|_{t=0}\right)f = \left.\frac{d}{dt}\right|_{t=0}(f \circ j_p \circ c). \end{aligned}$$

By the right-invariance of the horizontal vector fields  $X_2, \dots, X_{k+1}$ ,

$$\begin{aligned} (f \circ j_p \circ c)(t) &= f(pc(t)) \\ &= \varphi_{pc(t)}(X_{2,pc(t)}, \dots, X_{k+1,pc(t)}) \\ &= \varphi_{pc(t)}(r_{c(t)*}X_{2,p}, \dots, r_{c(t)*}X_{k+1,p}) \\ &= r_{c(t)*}^* \varphi_{pc(t)}(X_{2,p}, \dots, X_{k+1,p}) \\ &= \rho(c(t)^{-1})\varphi_p(X_{2,p}, \dots, X_{k+1,p}) \quad (\text{right-equivariance of } \varphi) \\ &= \rho(c(t)^{-1})f(p). \end{aligned}$$

Differentiating this expression with respect to  $t$  and using the fact that the differential of the inverse is the negative [21, Problem 8.8(b)], we have

$$\underline{A}_p f = (f \circ j_p \circ c)'(0) = -\rho_*(c'(0))f(p) = -\rho_*(A)f(p).$$

So the right-hand side of (31.7) is

$$I + II = \underline{A}f + \rho_*(A)f = -\rho_*(A)f + \rho_*(A)f = 0. \quad \square$$

If  $V$  is the Lie algebra  $\mathfrak{g}$  of a Lie group  $G$  and  $\rho$  is the adjoint representation of  $G$ , then  $\omega \cdot \varphi = [\omega, \varphi]$ . In this case, for any tensorial  $k$ -form  $\varphi \in \Omega_{\text{Ad}}^k(P, \mathfrak{g})$ ,

$$D\varphi = d\varphi + [\omega, \varphi].$$

Although the covariant derivative is defined for any  $V$ -valued form on  $P$ , Theorem 31.19 is true only for tensorial forms. Since the connection form  $\omega$  is not tensorial, Theorem 31.19 cannot be applied to  $\omega$ . In fact, by the definition of the curvature form,

$$\Omega = d\omega + \frac{1}{2}[\omega, \omega].$$

By Theorem 30.4,  $\Omega = (d\omega)^h = D\omega$ . Combining these two expressions for the curvature, one obtains

$$D\omega = d\omega + \frac{1}{2}[\omega, \omega].$$

The factor of  $1/2$  shows that Theorem 31.19 is not true when applied to  $\omega$ .

Since the curvature form  $\Omega$  on a principal bundle  $P$  is tensorial of type  $\text{Ad}$ , Theorem 31.19 applies and the second Bianchi identity (Theorem 30.4) may be restated as

$$D\Omega = d\Omega + [\omega, \Omega] = 0. \quad (31.8)$$

## Problems

Unless otherwise specified, in the following problems  $G$  is a Lie group with Lie algebra  $\mathfrak{g}$ ,  $\pi: P \rightarrow M$  a principal  $G$ -bundle,  $\rho: G \rightarrow \text{GL}(V)$  a finite-dimensional representation of  $G$ , and  $E = P \times_{\rho} V$  the associated bundle.

### 31.1. Transition functions of an associated bundle

Show that if  $\{(U_{\alpha}, \phi_{\alpha})\}$  is a trivialization for  $P$  with transition functions  $g_{\alpha\beta}: U_{\alpha} \cap U_{\beta} \rightarrow G$ , then there is a trivialization  $\{(U_{\alpha}, \psi_{\alpha})\}$  for  $E$  with transition functions  $\rho \circ g_{\alpha\beta}: U_{\alpha} \cap U_{\beta} \rightarrow \text{GL}(V)$ .

### 31.2. Vector bundle structure on an associated bundle

Show that the operations (31.2) on  $E = P \times_{\rho} V$  are well defined and make the associated bundle  $\beta: E \rightarrow M$  into a vector bundle.

### 31.3. Associated bundle of a frame bundle

Let  $E \rightarrow M$  be a vector bundle of rank  $r$  and  $\text{Fr}(E) \rightarrow M$  its frame bundle. Show that the vector bundle associated to  $\text{Fr}(E)$  via the identity representation  $\rho: \text{GL}(r, \mathbb{R}) \rightarrow \text{GL}(r, \mathbb{R})$  is isomorphic to  $E$ .

### 31.4. Tensorial forms

Prove that if  $\psi \in \Omega^k(M, P \times_{\rho} V)$ , then  $\psi^{\sharp} \in \Omega^k(P, V)$  is right-equivariant with respect to  $\rho$ .

### 31.5. Tensorial forms

For  $\varphi \in \Omega_{\rho}^k(P, V)$ , prove that  $\varphi^{\flat\sharp} = \varphi$ .

## §32 Characteristic Classes of Principal Bundles

To a real vector bundle  $E \rightarrow M$  of rank  $r$ , one can associate its frame bundle  $\text{Fr}(E) \rightarrow M$ , a principal  $\text{GL}(r, \mathbb{R})$ -bundle. Similarly, to a complex vector bundle of rank  $r$ , one can associate its frame bundle, a principal  $\text{GL}(r, \mathbb{C})$ -bundle and to an oriented real vector bundle of rank  $r$ , one can associate its oriented frame bundle, a principal  $\text{GL}^+(r, \mathbb{R})$ -bundle, where  $\text{GL}^+(r, \mathbb{R})$  is the group of all  $r \times r$  matrices of positive determinant. The Pontrjagin classes of a real vector bundle, the Chern classes of a complex vector bundle, and the Euler class of an oriented real vector bundle may be viewed as characteristic classes of the associated principal  $G$ -bundle for  $G = \text{GL}(r, \mathbb{R})$ ,  $\text{GL}(r, \mathbb{C})$ , and  $\text{GL}^+(r, \mathbb{R})$ , respectively.

In this section we will generalize the construction of characteristic classes to principal  $G$ -bundles for any Lie group  $G$ . These are some of the most important diffeomorphism invariants of a principal bundle.

### 32.1 Invariant Polynomials on a Lie Algebra

Let  $V$  be a vector space of dimension  $n$  and  $V^\vee$  its dual space. An element of  $\text{Sym}^k(V^\vee)$  is called a **polynomial** of degree  $k$  on  $V$ . Relative to a basis  $e_1, \dots, e_n$  for  $V$  and corresponding dual basis  $\alpha^1, \dots, \alpha^n$  for  $V^\vee$ , a function  $f: V \rightarrow \mathbb{R}$  is a polynomial of degree  $k$  if and only if it is expressible as a sum of monomials of degree  $k$  in  $\alpha^1, \dots, \alpha^n$ :

$$f = \sum a_I \alpha^{i_1} \dots \alpha^{i_k}. \quad (32.1)$$

For example, if  $V = \mathbb{R}^{n \times n}$  is the vector space of all  $n \times n$  matrices, then  $\text{tr} X$  is a polynomial of degree 1 on  $V$  and  $\det X$  is a polynomial of degree  $n$  on  $V$ .

Suppose now that  $\mathfrak{g}$  is the Lie algebra of a Lie group  $G$ . A polynomial  $f: \mathfrak{g} \rightarrow \mathbb{R}$  is said to be **Ad( $G$ )-invariant** if for all  $g \in G$  and  $X \in \mathfrak{g}$ ,

$$f((\text{Ad } g)X) = f(X).$$

For example, if  $G$  is the general linear group  $\text{GL}(n, \mathbb{R})$ , then  $(\text{Ad } g)X = gXg^{-1}$  and  $\text{tr} X$  and  $\det X$  are  $\text{Ad } G$ -invariant polynomials on the Lie algebra  $\mathfrak{gl}(n, \mathbb{R})$ .

### 32.2 The Chern–Weil Homomorphism

Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$ ,  $P \rightarrow M$  a principal  $G$ -bundle,  $\omega$  an Ehresmann connection on  $P$ , and  $\Omega$  the curvature form of  $\omega$ . Fix a basis  $e_1, \dots, e_n$  for  $\mathfrak{g}$  and dual basis  $\alpha^1, \dots, \alpha^n$  for  $\mathfrak{g}^\vee$ . Then the curvature form  $\Omega$  is a linear combination

$$\Omega = \sum \Omega^i e_i,$$

where the coefficients  $\Omega^i$  are real-valued 2-forms on  $P$ . If  $f: \mathfrak{g} \rightarrow \mathbb{R}$  is the polynomial  $\sum a_I \alpha^{i_1} \dots \alpha^{i_k}$ , we define  $f(\Omega)$  to be the  $2k$ -form

$$f(\Omega) = \sum a_I \Omega^{i_1} \wedge \cdots \wedge \Omega^{i_k}$$

on  $P$ . Although defined in terms of a basis for  $\mathfrak{g}$ , the  $2k$ -form  $f(\Omega)$  is independent of the choice of a basis (Problem 32.2).

Recall that the *covariant derivative*  $D\varphi$  of a  $k$ -form  $\varphi$  on a principal bundle  $P$  is given by

$$(D\varphi)_p(v_1, \dots, v_k) = (d\varphi)_p(hv_1, \dots, hv_k),$$

where  $v_i \in T_pP$  and  $hv_i$  is the horizontal component of  $v_i$ .

**Lemma 32.1.** *Let  $\pi: P \rightarrow M$  be a principal bundle. If  $\varphi$  is a basic form on  $P$ , then  $d\varphi = D\varphi$ .*

*Proof.* A tangent vector  $X_p \in T_pP$  decomposes into the sum of its vertical and horizontal components:

$$X_p = vX_p + hX_p.$$

Here  $h: T_pP \rightarrow T_pP$  is the map that takes a tangent vector to its horizontal component. Since  $\pi_*X_p = \pi_*hX_p$  for all  $X_p \in T_pP$ , we have

$$\pi_* = \pi_* \circ h.$$

Suppose  $\varphi = \pi^*\tau$  for  $\tau \in \Omega^k(M)$ . Then

$$\begin{aligned} D\varphi &= (d\varphi) \circ h && \text{(definition of } D) \\ &= (d\pi^*\tau) \circ h && (\varphi \text{ is basic}) \\ &= (\pi^*d\tau) \circ h && ([21, Prop. 19.5]) \\ &= d\tau \circ \pi_* \circ h && \text{(definition of } \pi^*) \\ &= d\tau \circ \pi_* && (\pi_* \circ h = \pi_*) \\ &= \pi^*d\tau && \text{(definition of } \pi^*) \\ &= d\pi^*\tau && ([21, Prop. 19.5]) \\ &= d\varphi && (\varphi = \pi^*\tau). \end{aligned} \quad \square$$

The Chern–Weil homomorphism is based on the following theorem. As before,  $G$  is a Lie group with Lie algebra  $\mathfrak{g}$ .

**Theorem 32.2.** *Let  $\Omega$  be the curvature of a connection  $\omega$  on a principal  $G$ -bundle  $\pi: P \rightarrow M$ , and  $f$  an  $\text{Ad}(G)$ -invariant polynomial of degree  $k$  on  $\mathfrak{g}$ . Then*

- (i)  $f(\Omega)$  is a basic form on  $P$ , i.e., there exists a  $2k$ -form  $\Lambda$  on  $M$  such that  $f(\Omega) = \pi^*\Lambda$ .
- (ii)  $\Lambda$  is a closed form.
- (iii) The cohomology class  $[\Lambda]$  is independent of the connection.

*Proof.* (i) Since the curvature  $\Omega$  is horizontal, so are its components  $\Omega^i$  and therefore so is  $f(\Omega) = \sum a_I \Omega^{i_1} \wedge \cdots \wedge \Omega^{i_k}$ .



To check the  $G$ -invariance of  $f(\Omega)$ , let  $g \in G$ . Then

$$\begin{aligned} r_g^*(f(\Omega)) &= r_g^*\left(\sum a_I \Omega^{i_1} \wedge \cdots \wedge \Omega^{i_k}\right) \\ &= \sum a_I r_g^*(\Omega^{i_1}) \wedge \cdots \wedge r_g^*(\Omega^{i_k}). \end{aligned}$$

Since the curvature form  $\Omega$  is right-equivariant,

$$r_g^*\Omega = (\text{Ad } g^{-1})\Omega$$

or

$$r_g^*(\sum \Omega^i e_i) = \sum ((\text{Ad } g^{-1})\Omega)^i e_i,$$

so that

$$r_g^*(\Omega^i) = ((\text{Ad } g^{-1})\Omega)^i.$$

Thus,

$$\begin{aligned} r_g^*(f(\Omega)) &= \sum a_I ((\text{Ad } g^{-1})\Omega)^{i_1} \wedge \cdots \wedge ((\text{Ad } g^{-1})\Omega)^{i_k} \\ &= f((\text{Ad } g^{-1})\Omega) \\ &= f(\Omega) \quad (\text{by the Ad } G\text{-invariance of } f). \end{aligned}$$

Since  $f(\Omega)$  is horizontal and  $G$ -invariant, by Theorem 31.12, it is basic.

- (ii) Since  $\pi_* : T_p P \rightarrow T_{\pi(p)} M$  is surjective,  $\pi^* : \Omega^*(M) \rightarrow \Omega^*(P)$  is injective. Therefore, to show that  $d\Lambda = 0$ , it suffices to show that

$$\pi^* d\Lambda = d\pi^*\Lambda = df(\Omega) = 0.$$

If  $f = \sum a_I \alpha^{i_1} \cdots \alpha^{i_k}$ , then

$$f(\Omega) = \sum a_I \Omega^{i_1} \wedge \cdots \wedge \Omega^{i_k}.$$

In this expression, each  $a_I$  is a constant and therefore by Lemma 32.1

$$Da_I = da_I = 0.$$

By the second Bianchi identity (31.8),  $D\Omega = 0$ . Therefore,  $D\Omega^i = 0$  for each  $i$ . Since the  $\Omega^i$  are right-equivariant of type Ad and horizontal, they are tensorial forms. By Lemma 32.1 and because  $D$  is an antiderivation on tensorial forms (Proposition 31.16)

$$\begin{aligned} d(f(\Omega)) &= D(f(\Omega)) = D\left(\sum a_I \Omega^{i_1} \wedge \cdots \wedge \Omega^{i_k}\right) \\ &= \sum_I \sum_j a_I \Omega^{i_1} \wedge \cdots \wedge D\Omega^{i_j} \wedge \cdots \wedge \Omega^{i_k} \\ &= 0. \end{aligned}$$

- (iii) Let  $I$  be an open interval containing the closed interval  $[0, 1]$ . Then  $P \times I$  is a principal  $G$ -bundle over  $M \times I$ . Denote by  $\rho$  the projection  $P \times I \rightarrow P$  to the first factor. If  $\omega_0$  and  $\omega_1$  are two connections on  $P$ , then

$$\tilde{\omega} = (1-t)\rho^*\omega_0 + t\rho^*\omega_1 \tag{32.2}$$

is a connection on  $P \times I$  (Check the details). Moreover, if  $i_t: P \rightarrow P \times I$  is the inclusion  $p \mapsto (p, t)$ , then  $i_0^* \tilde{\omega} = \omega_0$  and  $i_1^* \tilde{\omega} = \omega_1$ .

Let

$$\tilde{\Omega} = d\tilde{\omega} + \frac{1}{2}[\tilde{\omega}, \tilde{\omega}]$$

be the curvature of the connection  $\tilde{\omega}$ . It pulls back under  $i_0$  to

$$\begin{aligned} i_0^* \tilde{\Omega} &= d i_0^* \tilde{\omega} + \frac{1}{2} i_0^* [\tilde{\omega}, \tilde{\omega}] \\ &= d\omega_0 + \frac{1}{2} [i_0^* \tilde{\omega}, i_0^* \tilde{\omega}] \\ &= d\omega_0 + \frac{1}{2} [\omega_0, \omega_0] \\ &= \Omega_0, \end{aligned}$$

the curvature of the connection  $\omega_0$ . Similarly,  $i_1^* \tilde{\Omega} = \Omega_1$ , the curvature of the connection  $\omega_1$ .

For any  $\text{Ad}(G)$ -invariant polynomial

$$f = \sum a_I \alpha^{i_1} \dots \alpha^{i_k}$$

of degree  $k$  on  $\mathfrak{g}$ ,

$$\begin{aligned} i_0^* f(\tilde{\Omega}) &= i_0^* \sum a_I \tilde{\Omega}^{i_1} \wedge \dots \wedge \tilde{\Omega}^{i_k} \\ &= \sum a_I \Omega_0^{i_1} \wedge \dots \wedge \Omega_0^{i_k} \\ &= f(\Omega_0) \end{aligned}$$

and

$$i_1^* f(\tilde{\Omega}) = f(\Omega_1).$$

Note that  $i_0$  and  $i_1: P \rightarrow P \times I$  are homotopic through the homotopy  $i_t$ . By the homotopy axiom of de Rham cohomology, the cohomology classes  $[i_0^* f(\tilde{\Omega})]$  and  $[i_1^* f(\tilde{\Omega})]$  are equal. Thus,  $[f(\Omega_0)] = [f(\Omega_1)]$ , or

$$\pi^* [\Lambda_0] = \pi^* [\Lambda_1].$$

By the injectivity of  $\pi^*$ ,  $[\Lambda_0] = [\Lambda_1]$ , so the cohomology class of  $\Lambda$  is independent of the connection. □

Let  $\pi: P \rightarrow M$  be a principal  $G$ -bundle with curvature form  $\Omega$ . To every  $\text{Ad}(G)$ -invariant polynomial on  $\mathfrak{g}$ , one can associate the cohomology class  $[\Lambda] \in H^*(M)$  such that  $f(\Omega) = \pi^* \Lambda$ . The cohomology class  $[\Lambda]$  is called the **characteristic class** of  $P$  associated to  $f$ . Denote by  $\text{Inv}(\mathfrak{g})$  the algebra of all  $\text{Ad}(G)$ -invariant polynomials on  $\mathfrak{g}$ . The map

$$\begin{aligned} w: \text{Inv}(\mathfrak{g}) &\rightarrow H^*(M) \\ f &\mapsto [\Lambda], \text{ where } f(\Omega) = \pi^* \Lambda, \end{aligned} \tag{32.3}$$

that maps each  $\text{Ad}(G)$ -invariant polynomial to its characteristic class is called the **Chern–Weil homomorphism**.

*Example 32.3.* If the Lie group  $G$  is  $\mathrm{GL}(r, \mathbb{C})$ , then by Theorem B.10 the ring of  $\mathrm{Ad}(G)$ -invariant polynomials on  $\mathfrak{gl}(r, \mathbb{C})$  is generated by the coefficients  $f_k(X)$  of the characteristic polynomial

$$\det(\lambda I + X) = \sum_{k=0}^r f_k(X) \lambda^{r-k}.$$

The characteristic classes associated to  $f_1(X), \dots, f_k(X)$  are the ***Chern classes*** of a principal  $\mathrm{GL}(r, \mathbb{C})$ -bundle. These Chern classes generalize the Chern classes of the frame bundle  $\mathrm{Fr}(E)$  of a complex vector bundle  $E$  of rank  $r$ .

*Example 32.4.* If the Lie group  $G$  is  $\mathrm{GL}(r, \mathbb{R})$ , then by Theorem B.13 the ring of  $\mathrm{Ad}(G)$ -invariant polynomials on  $\mathfrak{gl}(r, \mathbb{R})$  is also generated by the coefficients  $f_k(X)$  of the characteristic polynomial

$$\det(\lambda I + X) = \sum_{k=0}^r f_k(X) \lambda^{r-k}.$$

The characteristic classes associated to  $f_1(X), \dots, f_k(X)$  generalize the Pontrjagin classes of the frame bundle  $\mathrm{Fr}(E)$  of a real vector bundle  $E$  of rank  $r$ . (For a real frame bundle the coefficients  $f_k(\Omega)$  vanish for  $k$  odd.)

## Problems

### 32.1. Polynomials on a vector space

Let  $V$  be a vector space with bases  $e_1, \dots, e_n$  and  $u_1, \dots, u_n$ . Prove that if a function  $f: V \rightarrow \mathbb{R}$  is a polynomial of degree  $k$  with respect to the basis  $e_1, \dots, e_n$ , then it is a polynomial of degree  $k$  with respect to the basis  $u_1, \dots, u_n$ . Thus, the notion of a polynomial of degree  $k$  on a vector space  $V$  is independent of the choice of a basis.

### 32.2. Chern–Weil forms

In this problem we keep the notations of this section. Let  $e_1, \dots, e_n$  and  $u_1, \dots, u_n$  be two bases for the Lie algebra  $\mathfrak{g}$  with dual bases  $\alpha^1, \dots, \alpha^n$  and  $\beta^1, \dots, \beta^n$ , respectively. Suppose

$$\Omega = \sum \Omega^i e_i = \sum \Psi^j u_j$$

and

$$f = \sum a_I \alpha^{i_1} \dots \alpha^{i_k} = \sum b_I \beta^{i_1} \dots \beta^{i_k}.$$

Prove that

$$\sum a_I \Omega^{i_1} \wedge \dots \wedge \Omega^{i_k} = \sum b_I \Psi^{i_1} \wedge \dots \wedge \Psi^{i_k}.$$

This shows that the definition of  $f(\Omega)$  is independent of the choice of basis for  $\mathfrak{g}$ .

### 32.3. Connection on $P \times I$

Show that the 1-form  $\tilde{\omega}$  in (32.2) is a connection on  $P \times I$ .

### 32.4. Chern–Weil homomorphism

Show that the map  $w: \mathrm{Inv}(\mathfrak{g}) \rightarrow H^*(M)$  in (32.3) is an algebra homomorphism.