Chapter 7 Ultra-Low-Power Biomedical Circuit Design and Optimization: Catching the Don't Cares

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7.1 Introduction

As reported by United Nations and US Census Bureau, the US population has enormously grown during the past several decades, climbing from 209 million in 1970 to 310 million in 2010. Most importantly, the percentage of senior citizens (more than 65-year old) is expected to reach 21.28% in 2050. With the rapid booming of senior citizen population, the expenditure of healthcare continuously increases at a rate of 5-10% per year in the USA. Such a trend is also observed worldwide over a large number of other countries.

To reduce healthcare cost while simultaneously delivering high-quality health services, developing new portable and/or implantable biomedical devices is of great importance. Billions of US dollars could be saved by reforming today's healthcare infrastructure with these biomedical devices for various medical applications [8, 24]:

- *Health monitoring*: Health condition should be reliably monitored for each person to predict and diagnose chronic diseases at the very early stage. For instance, ECG signals can be continuously measured and automatically classified by a portable biomedical device to diagnose arrhythmia [3, 19, 29].
- *Clinical treatment*: Clinical therapy should be reliably delivered for each patient for both preventative care and disease treatment. Taking neuroprosthesis as an example, brain signals are sensed and decoded by an implantable device to control the prosthesis of a patient with neurological disorder [5, 11, 13, 21, 22, 26].

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Towards these goals, miniaturized portable and/or implantable biomedical circuits must be designed and deployed to reliably sense, process, and transmit a large amount of physiological data with extremely low-power consumption. These circuits must carry several important "features":

- *High accuracy*: A biomedical device must accurately generate the desired output, such as diagnosis result for arrhythmia [3, 19, 29], and movement direction and velocity for neuroprosthesis [5, 11, 13, 21, 22, 26], that is not contaminated by artifacts, errors, and noises originated from human body and/or external environment [6, 17, 25].
- *Small latency*: The response of a biomedical device must be sufficiently fast for a number of real-time applications such as vital sign monitoring [7, 31] and deep brain stimulation [9, 18]. In these cases, physiological data must be locally processed within the biomedical device to ensure fast response time, especially when a reliable wired or wireless communication channel is not available to transmit the data to an external device (e.g., smart phone, cloud server, etc.) for remote processing. Even in the cases where data transmission is possible such as neuroprosthesis control [5, 11, 13, 21, 22, 26], the raw data must be locally processed and compressed before transmission in order to minimize the communication energy.
- *Low power*: To facilitate a portable and/or implantable device to continuously operate over a long time without recharging the battery, its power consumption must be minimized. Especially for the implantable applications where power consumption is highly constrained (e.g., less than 100 μ W), it is necessary to design an application-specific circuit, instead of relying on general-purpose microprocessors, to meet the tight power budget [12, 15, 16, 27, 28, 30, 32].
- *Flexible reconfigurability*: Reconfigurability is needed to customize a biomedical device for different patients and/or different usage scenarios. For instance, the movement decoder of neuroprosthesis should be retrained every day to accommodate the time-varying characteristics of neural sources, recording electrodes and environmental conditions [26]. It, in turn, requires a reconfigurable circuit implementation that can be customized every day.

The aforementioned features, however, are considered to be mutually exclusive today. Taking neuroprosthesis as an example, executing a sophisticated movement decoding algorithm is overly power hungry for portable and/or implantable applications. For this reason, renovating the healthcare infrastructure with portable and/or implantable biomedical devices requires an even higher standard of performance than what can be offered by today's circuit technology.

In this chapter, we discuss a radically new design framework to seamlessly integrate data processing algorithms and their customized circuit implementations for co-optimization. The proposed framework could bring about numerous opportunities to substantially improve the performance of biomedical circuits. From this point of view, it offers a fundamental infrastructure that enables next-generation biomedical circuit design and optimization for many emerging applications.

7.2 How Can We Beat the State of the Art?

In this chapter, we attempt to address the following fundamental question: How can we further push the limit of accuracy, latency, power, and reconfigurability to meet the challenging performance required for portable and/or implantable biomedical applications? Historically, algorithm and circuit designs have been considered as two separate steps. Namely, a biomedical data processing algorithm is first developed and validated by its software implementation (e.g., MATLAB, C++, etc.). Next, a circuit is designed to implement the given algorithm. Such a two-step strategy suffers from several major limitations that motivate us to fundamentally rethink the conventional wisdom in this area.

First, since the biomedical data processing algorithms are particularly developed and tuned for their software implementations, they are *not* fully optimized for circuit implementations. Ideally, data processing algorithms should be customized to mitigate the non-idealities induced by circuit implementations (e.g., nonlinear distortion of analog front-end, quantization error of digital computing, etc.). Second, while a circuit implementation inevitably introduces various non-idealities, these non-idealities can be classified into two broad categories: (1) *critical non-idealities* that may significantly distort the output of a biomedical circuit, and (2) *non-critical non-idealities* that can be effectively mitigated or even completely eliminated by the data processing algorithm. A good circuit implementation should optimally budget the available resources (e.g., power) to maximally reduce the critical non-idealities rather than the non-critical ones.

Motivated by these observations, we propose to develop a radically new design framework to seamlessly *integrate* data processing algorithms and their customized circuit implementations for co-optimization, as shown in Fig. 7.1. Our core idea is to view a biomedical circuit, along with the data processing algorithm implemented by the circuit, as an information processing system. We develop an information-theoretic metric, referred to as *information processing capacity* (IPC) that extends the conventional communication notion of channel capacity to our application of biomedical data sensing, processing, and transmission. IPC quantitatively measures the amount of information that can be *processed* by the circuit. Intuitively, IPC is directly correlated to the accuracy of the circuit implementation. If a circuit can accurately process the input data and generate the desired output, its IPC is high. Otherwise, its IPC is low. In the extreme case, if a circuit cannot generate any meaningful output due to large errors, its IPC reaches the lowest value zero.

IPC can efficiently distinguish critical vs. non-critical non-idealities. It is strongly dependent on the critical non-idealities that distort the output, and is independent of the non-critical non-idealities that can be eliminated by the data processing algorithm. Hence, it serves as an excellent "quality" metric that we should maximize in order to determine the optimal data processing algorithm and the corresponding circuit implementation subject to a set of design constraints (e.g., latency, power, reconfigurability, etc.).



Fig. 7.1 An information-theoretic framework is proposed to co-optimize data processing algorithms and their customized circuit implementations for higher accuracy, smaller latency, lower power, and better reconfigurability of biomedical devices

It is important to note that our proposed design framework is *not* simply to combine algorithm and circuit designs. Instead, we aim to develop new methodologies that would profoundly revise today's data processing algorithms and integrated circuit designs for biomedical applications. In particular, our proposed information-theoretic framework can optimally explore the tradeoffs between accuracy, latency, power, and reconfigurability over all hierarchical levels from algorithm design to circuit implementation. From this point of view, the proposed framework based on IPC offers a fundamental infrastructure that enables next-generation biomedical circuit design and optimization for numerous emerging applications.

7.3 Information Processing Capacity

In this section, we describe an information-theoretic metric, IPC, to quantitatively measure the amount of information that can be processed by a biomedical circuit. It serves as a "quality" measure, when we co-optimize the algorithms and circuits for data sensing, processing, and transmission of biomedical devices. It, in turn, facilitates us to achieve superior accuracy, latency, power, and reconfigurability over the conventional design strategies.

7.3.1 Information-Theoretic Modeling

The IPC of a biomedical circuit can be mathematically modeled based on information theory. Without loss of generality, we consider a biomedical circuit, including



Fig. 7.2 An information-theoretic framework is proposed to co-optimize data processing algorithms and their customized circuit implementations for higher accuracy, smaller latency, lower power, and better reconfigurability of biomedical devices. (a) circuit implementation with non-idealities, and (b) ideal implementation

data sensing, processing, and transmission modules in general, as an information processing system shown in Fig. 7.2a. Since the circuit implementation is *not* perfect due to non-idealities, the aforementioned information processing system may generate errors where its output y_{CKT} deviates from the desired value.

To accurately characterize the "error" of the biomedical circuit, we further consider an ideal information processing system that guarantees to provide the correct output y_{IDEAL} , as shown in Fig. 7.2b. In other words, the ideal system is "conceptually" implemented with a circuit with "infinite" precision. It does not carry any non-ideality and, hence, is error-free. The "difference" between y_{CKT} and y_{IDEAL} indicates the non-idealities caused by the circuit implementation. However, quantitatively measuring such a difference is non-trivial, since a biomedical circuit can be applied to a broad range of usage scenarios (e.g., various physiological signals, various users, various environmental conditions, etc.). The comparison between y_{CKT} and y_{IDEAL} must cover all these scenarios where y_{CKT} and y_{IDEAL} are not just two numerical numbers and, hence, we cannot compare their difference by simply subtracting y_{CKT} from y_{IDEAL} . The information-theoretic metric, IPC, quantitatively measures the "quality" of approximating y_{IDEAL} by y_{CKT} . To derive the mathematical representation of IPC, we consider two different cases: (1) discrete output and (2) continuous output.

First, if the outputs y_{CKT} and y_{IDEAL} are discrete values (e.g., the diagnosis result of arrhythmia may be positive or negative), y_{CKT} and y_{IDEAL} can be modeled as two discrete random variables to cover the uncertainties over all usage scenarios. In general, we assume that y_{CKT} and y_{IDEAL} take M possible values $\{y_1, y_2, ..., y_M\}$. The statistics of these two random variables can be described by using their joint probability mass function (PMF) pmf(y_{CKT} , y_{IDEAL}). Table 7.1 shows a simple example for the binary random variables y_{CKT} and y_{IDEAL} (i.e., either TRUE or FALSE) where their statistics are fully described by four probabilities: true positive rate P_{TP} , false negative rate P_{FN} , false positive rate P_{FP} , and true negative rate P_{TN} .

Table 7.1 Confusion matrixof a binary classifier

		Ускт	
		True	False
<i>YIDEAL</i>	True	P_{TP}	$P_{\rm FN}$
	False	P _{FP}	P _{TN}

IPC is defined as the mutual information $I(y_{CKT}, y_{IDEAL})$ between y_{CKT} and y_{IDEAL} [2, 4]:

$$I(y_{\text{CKT}}, y_{\text{IDEAL}}) = \sum_{y_{\text{CKT}}} \sum_{y_{\text{IDEAL}}} \text{pmf}(y_{\text{CKT}}, y_{\text{IDEAL}}) \cdot \log\left(\frac{\text{pmf}(y_{\text{CKT}}, y_{\text{IDEAL}})}{\text{pmf}(y_{\text{CKT}}) \cdot \text{pmf}(y_{\text{IDEAL}})}\right).$$
(7.1)

Intuitively, the IPC metric in (7.1) measures the amount of information carried by y_{IDEAL} that can be learned from y_{CKT} . In one extreme case, if the circuit implementation is perfect, y_{CKT} is identical to y_{IDEAL} and, hence, IPC reaches its maximum. In the other extreme case, if y_{CKT} does not follow y_{IDEAL} at all due to large errors, there is no information about y_{IDEAL} that can be learned from y_{CKT} and, hence, IPC reaches its minimum (i.e., zero).

There are two important clarifications that should be made for IPC. First, instead of directly measuring the information carried by the circuit output y_{CKT} , we take the ideal output y_{IDEAL} as the "reference" and measure the information related to y_{IDEAL} . Since y_{IDEAL} represents all the important information of interest, IPC accurately captures our "goal" and ignores the "don't cares." This is the reason why IPC can serve as an excellent quality metric to guide our proposed algorithm/circuit co-optimization.

Second, IPC is different from other simple accuracy metrics that directly measure the difference between y_{CKT} and y_{IDEAL} based on statistical expectations. To understand the reason, we consider the example in Fig. 7.3a for which we may simply define the accuracy as the summation of the true positive rate P_{TP} and the true negative rate P_{TN} . Fig. 7.3b shows how this accuracy metric varies as a function of the false positive rate P_{FP} and the false negative rate P_{FN} where the probabilities for y_{IDEAL} to be TRUE and FALSE are set to pmf($y_{IDEAL} = TRUE$) = 0.01 and pmf($y_{IDEAL} = FALSE$) = 0.99, respectively. In this example, we set pmf($y_{IDEAL} = TRUE$) to be much less than pmf($y_{IDEAL} = FALSE$) to mimic the practical scenarios where pmf($y_{IDEAL} = TRUE$) and pmf($y_{IDEAL} = FALSE$) are highly unbalanced. For example, in the application of arrhythmia diagnosis [3, 19, 29], the probably of being negative (i.e., with arrhythmia) should be much less than the probability of being negative (i.e., without arrhythmia), since arrhythmia is only carried by a small group of unhealthy patients over the entire population.

Studying Fig. 7.3a, we observe that the simple accuracy metric heavily depends on the false positive rate P_{FP} , but weakly depends on the false negative rate P_{FN} , because the probability pmf(y_{IDEAL} = TRUE) is extremely small. If we maximize



(b)

the aforementioned accuracy metric for algorithm/circuit co-optimization, it would aggressively minimize the false positive rate $P_{\rm FP}$, thereby resulting in a large false negative rate $P_{\rm FN}$. Consequently, a large portion of the unhealthy patients with arrhythmia may be mistakenly diagnosed as healthy ones.

On the other hand, Fig. 7.3b shows the relation between our proposed IPC and P_{FP} and P_{FN} . It can be observed that IPC is influenced by both P_{FP} and P_{FN} . Hence, by maximizing IPC, we take both P_{FP} and P_{FN} into account. This simple example demonstrates that when pmf($y_{\text{IDEAL}} = \text{TRUE}$) and pmf($y_{\text{IDEAL}} = \text{FALSE}$) are highly unbalanced, IPC can appropriately guide our proposed algorithm/circuit co-optimization, while the simple accuracy metric fails to work.

Finally, it is worth mentioning that if the outputs y_{CKT} and y_{IDEAL} are continuous values (e.g., movement decoding for neuroprosthesis results in the velocity value

that is continuous), y_{CKT} and y_{IDEAL} can be modeled as two continuous random variables and their statistics can be described by the joint probability density function pdf(y_{CKT} , y_{IDEAL}). In this case, IPC can again be defined as the mutual information $I(y_{CKT}, y_{IDEAL})$ between y_{CKT} and y_{IDEAL} [2, 4]:

$$I(y_{\text{CKT}}, y_{\text{IDEAL}}) = \int_{-\infty}^{+\infty} \int_{-\infty}^{-\infty} pdf(y_{\text{CKT}}, y_{\text{IDEAL}}) \cdot \log\left(\frac{pdf(y_{\text{CKT}}, y_{\text{IDEAL}})}{pdf(y_{\text{CKT}}) \cdot pdf(y_{\text{IDEAL}})}\right)$$
(7.2)

In the following sub-sections, we will further discuss how IPC can be used for design and optimization of biomedical circuits.

7.3.2 Soft Channel Selection

Soft channel selection is an important task that is facilitated by our proposed algorithm/circuit co-optimization based on IPC. We consider the multi-channel biomedical device in Fig. 7.4, where channel selection is one of the most important tasks [1, 10, 23]. Appropriately selecting the important channels and removing the unimportant ones can efficiently minimize the amount of data for sensing, processing, and transmission, thereby substantially reducing the power consumption.

Today's channel selection is typically considered as a binary decision: a channel is either selected or not selected for recording. With the proposed informationtheoretic framework based on IPC, we are now able to make a "soft" decision for each channel, referred to as soft channel selection. Namely, instead of simply including or excluding a given channel, we can finely tune the resolution of the channel (e.g., the number of bits required to represent the signal from the channel). Intuitively, an important channel should be designed with high resolution, while an unimportant channel can be designed with low resolution. The channel resolution is directly correlated to the power consumption of both analog front-end (e.g.,



Fig. 7.4 A multi-channel biomedical device is shown to illustrate the application of soft channel selection



sensors, analog filters, ADCs, etc.) and digital computing (e.g., digital filters, data processors, etc.). It, in turn, facilitates us to optimally explore the tradeoff between accuracy and power. In the extreme case, if the resolution of a channel is set to 0-bit, the channel is completely removed and it is equivalent to the conventional binary channel selection in the literature.

To demonstrate the efficacy of our proposed soft channel selection, we consider a preliminary example of movement decoding for neuroprosthesis, where our objective is to decode the movement direction from electrocorticography (ECoG) [26]. Fig. 7.5 compares the optimal IPC for both the conventional binary channel selection and the proposed soft channel selection. Note that the proposed approach successfully reduces the power of the analog front-end by up to $10\times$. It is important to mention that the proposed idea of soft channel selection can be further extended to other important applications such as data compression and transmission.

7.3.3 Robust Data Processing

To maximally reduce the power consumption for portable and/or implantable applications, fixed-point arithmetic, instead of floating-point arithmetic, is often adopted to implement data processing algorithms and the word length for fixed-point computing must be aggressively minimized. While fixed-point arithmetic has been extensively studied for digital signal processing during the past several decades [14, 20], it is rarely explored for many emerging data processing tasks that involve sophisticated learning algorithms (e.g., movement decoding for neuroprosthesis). It remains an open question how to revise these algorithms to maximally tolerate the quantization error posed by finite word length. Based upon IPC, data processing algorithms can be completely redesigned to mitigate the quantization error so that these algorithms can be mapped to fixed-point implementations with extremely low resolution.



Fig. 7.6 A data learning algorithm typically consists of two steps: feature extraction and classification/regression



As shown in Fig. 7.6, a learning algorithm typically consists of two steps: (1) feature extraction and (2) classification (e.g., to determine movement direction for neuroprosthesis) and/or regression (e.g., to determine movement velocity for neuroprosthesis). We propose to maximize the IPC metric of a classification or regression engine subject to the constraint that all arithmetic operations for both feature extraction and classification/regression are quantized. Our reformulated learning algorithm solves a "robust" optimization problem to find the optimal, quantized classifier or regressor that is least sensitive to quantization error. It, in turn, offers superior performance over other conventional approaches where quantization error is not explicitly considered within the learning process.

As an example for illustration purpose, we consider the classification problem of decoding the movement direction from electrocorticography (ECoG) for neuroprosthesis. Fig. 7.7 shows the optimized IPC metric as a function of word length. To achieve the same IPC, our proposed approach can reduce the word length by 2-bit compared to the conventional classifier. Note that the word length of fixed-point arithmetic is directly correlated to the power consumption of its circuit implementation. Hence, reducing word length is of great importance for low-power portable and/or implantable biomedical devices.

7.4 Case Study: Brain–Computer Interface

Brain–computer interface (BCI) has been considered as a promising communication technique for patients with neuromuscular impairments. For instance, neural prosthesis provides a direct control pathway from brain to external prosthesis for paralyzed patients. It can offer substantially improved quality of life to these patients. To create a neural prosthesis, we must appropriately measure the brain signals and then accurately decode the movement information from the measured signals [5, 11, 13, 21, 22, 26].

A variety of signal processing algorithms have been proposed for movement decoding in the literature. Most of these algorithms first extract the important features to compactly represent the information carried by the brain signals. Next, the extracted features are provided to a classification and/or regression engine to decode the movement information of interest. While most movement decoding algorithms in the literature are implemented with software on microprocessors, there is a strong need to migrate these algorithms to hardware in order to reduce the power consumption for practical BCI applications.

7.4.1 System Design

Fig. 7.8 shows a simplified block diagram for the proposed hardware implementation of BCI. It consists of three major components:

• *Signal normalization*: The magnitude of brain signals varies from subject to subject and from channel to channel. Hence, representing brain signals by fixed-point arithmetic requires a large word length (i.e., a large number of bits). In



Fig. 7.8 A simplified block diagram is shown for the proposed hardware implementation of BCI

order to minimize the word length and, consequently, the power consumption for fixed-point computation, we must appropriately normalize the brain signal from each channel.

- *Feature extraction*: There are many different feature extraction approaches for movement decoding of BCI. For instance, given the brain signal recorded from a particular channel, we can apply discrete cosine transform (DCT) and consider the DCT coefficients as the features for decoding [28].
- *Classification*: Once all features are extracted for multiple channels, they are further combined to decode the movement information. For instance, all features can be linearly combined by a linear classifier to determine the movement direction of interest. Here, a variety of linear classification algorithms (e.g., linear discriminant analysis, support vector machine, etc.) can be used, where the classifier training is performed offline. The on-chip classification engine performs the multiply-and-accumulate operations to determine the final output (i.e., the movement direction) from the features.

7.4.2 Experimental Results

We consider the ECoG data set collected from a human subject with tetraplegia due to spinal cord injury [26]. The ECoG signals are recorded with a high-density 32-electrode grid over the hand and arm area of the left sensorimotor cortex. The sampling frequency is 1.2 kHz. The human subject is able to voluntarily activate his sensorimotor cortex using attempted movements.

Our objective is to decode the binary movement direction (i.e., left or right) from a single trial that is 300 ms in length. The ECoG data set contains 70 trials for each movement direction (i.e., 140 trials in total). For movement decoding, 7 important channels with 6 features per channel (i.e., 42 features in total) are selected based on the Fisher criterion. A linear classifier is trained and implemented with 8-bit fixed-point arithmetic to decode the movement direction.

The BCI system is implemented with a Xilinx FPGA Zynq-7000 board. For testing and comparison purposes, we further implement a reference design based on the conventional technique [30]. In this sub-section, we compare the performance between our proposed hardware implementation and the reference design.

We estimate the power and energy consumption for both the proposed and the reference designs by using Xilinx Power Analyzer, where the clock frequency is set to 0.5 MHz. Table 7.2 compares the power consumption for these two different designs. Note that the proposed design achieves more than $56 \times$ energy reduction

Table 7.2 Power and energy		Proposed design	Reference design
operation	Power (mW)	0.72	3.8
operation	Runtime (ms)	1.094	11.71
	Energy (µJ)	0.787	44.5

Table 7.3 Power	Signal normalization (μW)	25.2	
functional blocks for the	Feature extraction (μW)	690.2	
proposed design	Classification (µW)	2.6	



Fig. 7.9 A Xilinx FPGA Zynq-7000 board is used to validate the proposed hardware design for movement decoding of BCI

over the reference design. Table 7.3 further shows the power consumption for different functional blocks of the proposed design. Note that feature extraction dominates the overall power consumption for our proposed hardware implementation. Hence, additional efforts should be pursued to further reduce the power consumption of feature extraction in our future research.

To validate the proposed design on the Xilinx Zynq-7000 board, we first load our hardware design to the FPGA chip through the programming interface. Next, the ECoG data set is copied to an SD card that is connected to the Zynq-7000 board. When running the movement decoding flow, a single trial of the ECoG signals is first loaded to the SRAM block inside the FPGA chip. Next, these signals are passed to the functional blocks of signal normalization, feature extraction and classification for decoding. The decoding results are read back to an external computer through an RS-232 serial port on the Zynq-7000 board so that we can verify the decoding accuracy. Fig. 7.9 shows a photograph of the Xilinx FPGA Zynq-7000 board where the RS-232 port and the programming interface are both highlighted.

7.5 Summary

In this chapter, we describe a new design framework for ultra-low-power biomedical circuits. The key idea is to co-optimize data processing algorithms and their circuit implementations based on an information-theoretic metric: IPC. The proposed design framework has been demonstrated by a case study of BCI. Our experimental results show that the proposed design achieves more than $56 \times$ energy reduction over a reference design. As an important aspect of our future research, we will further apply the proposed design framework to other emerging biomedical applications.

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