# **10 Vaccination Against Fungal Diseases: Lessons from** *Candida albicans*

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#### **Abstract**

The advances in medicine have achieved great benefits by improving or even eliminating various debilitating diseases or malignancies, expanding life expectancy. However, it has also originated the development of a compromised population susceptible to opportunistic diseases. A global major concern is the emergence and spread of life-threatening invasive infections in immunocompromised patients, in which the opportunistic fungal infections have greatly increased in the last decades. The high mortality rates associated with these infections, which remain as high as 40%, are due to the limited therapeutic options and the emergence of drug-resistant fungi, but also due to the lack of efficient early diagnosis. Consequently, these facts led to the opinion that new approaches are needed to improve the outcome of these patients, such as immunopreventive strategies that could even be combined with standard antifungal treatment. In view of the proven effectiveness of various antibacterial and antiviral vaccines in preventing the respective diseases, several works have been developed to induce protective immunity against fungal infections as well. The better understanding of how the immune system works against fungal pathogens has made possible to explore immunomodulatory strategies that can protect both immunocompetent and immunocompromised hosts and generate memory. Recently, two fungal vaccines against *Candida* have advanced through clinical trials. However, there are still many challenges in the development of an efficient vaccine against invasive fungal infections. We will provide an update on the progress made in immunization against fungal infections, reviewing host-fungi interactions, antigens, and adjuvants exploited in vaccine strategies, and discuss concerns that need to be overcome to further advance in the area of fungal vaccines.

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## **10.1** *Candida* **and Its Relation with the Host**

In healthy individuals, *Candida* colonization, as part of the normal human microbiota, remains generally benign without any symptoms of disease  $[1, 2]$  $[1, 2]$  $[1, 2]$  $[1, 2]$ . Tolerance of the immune system toward commensal species and maintenance of a homeostatic balance at the mucosal surfaces reflect a series of strategies such as avoidance of immune recognition, active suppression of the host response, and regulation of the immune response by the host, as well as the normal mucosal microbiota, confining microbes to this surface [[2,](#page-28-1) [3](#page-28-2)]. However, when tissue homeostasis is disrupted, *Candida* might penetrate the forbidden compartments of host tissues and cells, causing pathologies, ranging from recalcitrant skin/ mucosal infections to life-threatening systemic infections. The deep-seated or the disseminated infections have increased in frequency particularly in patients with medical conditions and treatments that affect natural barriers of the body or negatively impact the competence of the immune system. Such treatments include the use of broad-spectrum antibiotics, prosthetic devices and grafts, burn injuries, or surgery, and medical conditions refer to patients with leukemia, neutropenia, or under chemo and immunosuppressive therapies and patients with HIV infection [[4](#page-28-3)]. The current methods to protect susceptible individuals from these opportunistic infections include prophylactic use of antifungal agents or protective environments such as rooms with controlled air flow. Although it is accepted that early, presumptive treatment of patients with invasive candidiasis is a major determinant of survival, such strategies have not been validated by prospective studies [[5\]](#page-28-4).

In intensive care units (ICU), *Candida* spp. colonization may occur in up to 80% of critically ill patients, but curiously, the proportion of invasive candidiasis is less than 10% [[6,](#page-28-5) [7\]](#page-28-6). Therefore, the immune system is important for the maintenance of integrity at the mucosal surface, and, even in an immunocompromised state, *Candida* species remain in the benign commensal state rather than in the invasive pathogenic one. Indeed, some studies suggest that *C. albicans* may evolve to avoid confrontation with the host, favoring commensalism [\[8,](#page-28-7) [9](#page-29-0)]. How the immune system distinguishes these dual behaviors, commensal vs pathogenic, is a fundamental question in the development of immune-preventive strategies against commensal species and particularly *Candida* species.

The development of new immunotherapies, including vaccines, is driven by the progress made in the understanding of the molecular pathogenic mechanisms of the infectious agent. Understanding how mucosal homeostasis is established, maintained, or disrupted during fungal exposure and/or colonization should help to guide the development of new immunotherapies. Knowledge about the immune response against *Candida* infections has greatly increased in this last decade, with new data and reviews emerging frequently  $[10-16]$  $[10-16]$  $[10-16]$ . Thus, a brief review to the immune responses to *Candida* developed at the mucosa surface will be presented.

## **10.1.1 Within the Mucosal Layers: Interaction of** *Candida albicans* **with the Epithelium**

The mucosal epithelium is a critical barrier and the frontline of sensing microbes. Due to its commensal nature, the initial interaction of *Candida* with the human immune system is frequently via epithelial cells of the mucosa [\[17](#page-29-3), [18](#page-29-4)]. There are multiple ways to contain *C. albicans* in the mucosa, such as the microbial flora or the active role of epithelial cells that produce antimicrobial peptides [\[15](#page-29-5)]. In addition, epithelial cells secrete mucins that establish a protective film, forming a dense lattice close to the epithelial surface in which secreted antimicrobial molecules are embedded [\[19](#page-29-6)]. Curiously, it has been described that in the gastric mucosa, this mucin film is organized into two layers, an inner layer (50 μm thick) that is densely packed, firmly attached to the epithelium, and devoid of microbes, in contrast to the outer layer  $(100 \mu m)$  thick) that is movable, has an expanded volume due to proteolytic cleavages of the mucins, and is colonized by microbes [\[20](#page-29-7)]. Recently, it was described that mucin induces an oval-shaped morphology in *C. albicans* in which genes related to adhesion, filamentation, and biofilm formation are downregulated [\[21](#page-29-8)] and that mucin has an inherent direct candidacidal activity [\[22](#page-29-9)]. Mucosal epithelial cells express innate immune receptors or pattern-recognition receptors (PRRs) that recognize microbe-associated molecular patterns (MAMPs), allowing the immune system to detect attachment of microbes and act accordingly. However, the PRR that mediates recognition of fungi in epithelial cells are still largely unknown or undefined. The major known PRRs involved in the recognition of *Candida* cells were identified in the phagocytic cells and include the Toll-like receptors (TLRs), C-type lectin receptors (CLRs), NOD-like receptors (NLRs), and RIG-I-like receptors (RLRs) [\[15](#page-29-5)]. Among the 13 TLRs discovered, expression of TLR1 through TLR9 has been identified in human intestinal epithelial cells (IECs) [[23\]](#page-29-10), but its expression varies in different organisms and different mucosal surfaces (oral, vaginal, or gastric) [[17\]](#page-29-3). In addition, TLR2 and TLR4 that are important for host anti-*Candida* defenses [[14,](#page-29-11) [24\]](#page-29-12) have demonstrated hyporesponsiveness to their ligands in human IECs [\[23](#page-29-10)]. Furthermore, using a model of oral reconstituted human epithelium (RHE) TLR2, and particularly TLR4, seems to be highly responsive to *Candida* MAMPs [[25\]](#page-29-13). Expression of CLR in epithelial cells seems to be restricted to dectins. Human IECs express functional Dectin-1 that recognizes fungal β-glucans [[26\]](#page-29-14), and bronchial epithelial cells also express Dectin-1, which plays an important role in the control of *Aspergillus fumigatus* infections [\[27](#page-29-15)]. As a result of the long coevolution of fungi, and particularly *C. albicans* with mucosal surfaces having particular environments, the cross talk between fungi in the different mucosal surfaces has evolved in multiple ways under the double constraints of sensing microbes and adjusting the immune response to the perceived degree of threat while maintaining homeostasis at the mucosa.

Fungal cells differ from animal cells primarily due to the cell wall that surrounds their plasma membrane. The *Candida* cell wall structure has two distinct layers, an outer layer mainly composed of mannan and mannoproteins (O- and N-linked glycoproteins) and an inner layer that contains the skeletal polysaccharides chitin, β-1,3-glucan, and β-1,6-glucan, which confer strength and shape to the yeast cells [\[28](#page-29-16)]. These cell wall molecules, which are absent from the human body, are the major source of MAMPs for recognition by host PRRs. However, much of these molecules are expressed in both the commensal state and in the pathogenic state. Recently it was suggested that the pathogenic state may be recognized by "patterns of pathogenesis" or POP rather than only by microbial molecular structures [\[29](#page-29-17)]. In this hypothesis, MAMPs are delivered along with additional information that can be used by the host to distinguish pathogenic from nonpathogenic state and thereby guide the ensuing innate immune response. During such pathogenic behaviors, MAMPs access forbidden compartments of host tissues and cells, being recognized by PRRs as genuine pathogen-associated molecular patterns (PAMPs), and elicit an appropriate immune response. This POP hypothesis does not define a pathogen but a pathogenic behavior that can be adopted by any microbe. This allows a particular microbe to be considered a commensal or a pathogen, depending on time and context. Its pathogenicity is determined by its interaction with the host and can change with host, location in the host, and the immune state of the host [[3\]](#page-28-2). The cross talk between *Candida albicans* and the immune system can also be integrated into this hypothesis. It is well established that *Candida* show different behaviors, the pathogenic (invasive) and the nonpathogenic (commensal) [[1,](#page-28-0) [15,](#page-29-5) [30\]](#page-29-18), but favoring com-mensalism [\[8](#page-28-7), [9](#page-29-0)].

One sign of POP that has been defined is the microbial overgrowth in their host [\[3](#page-28-2)]. Epithelial cells are able to actively monitor the density of resident microbiota by sampling microbial molecules through PRRs [[23\]](#page-29-10). It is of particular significance that mucosal epithelial cells are able to discriminate between the commensal and the pathogenic behavior of *C. albicans* cells, and there are several ways to control yeast overgrowth [\[11](#page-29-19), [31](#page-29-20), [32](#page-30-0)]. The majority of the epithelial TLRs and Dectin-1 signal through MyD88 adaptor, leading to a transcriptional response primarily dependent on the transcription factor NF- $\kappa$ B [[15,](#page-29-5) [23\]](#page-29-10). In commensalism the presence of *C. albicans* yeast or hyphae triggers the NF-κB signaling pathway and an early response of the mitogen-activated protein kinase (MAPK) activation through ERK1/2 and JNK signaling. At the mucosal surfaces, this response leads to the secretion of IL-22, by innate and adaptive immune cells, that stimulates the proliferation of epithelial cells and, together with IL-17, the production of antimicrobial peptides, controlling fungal growth and tissue homeostasis [\[12](#page-29-21), [31\]](#page-29-20). However, when *C. albicans* outgrows and a high fungal hyphal burden is present, the threshold level of the MAPK activation is reached and a second pathway, the MAPK/MKP-1/c-Fos, is activated [[31\]](#page-29-20). Activation of this second response results in the production of proinflammatory cytokines, such as interleukin 1α/β (IL-1α/β), IL-6, GM-CSF, TNF- $\alpha$  and chemokines, RANTES, IL-8, and CCL20 that induce the recruitment, differentiation, and activation of various immune cells, including phagocytes [\[11](#page-29-19), [31\]](#page-29-20). This immune activation results in reduction of fungal burdens back down below the threshold level of activation and thus to the commensal state. One additional way to modulate *C. albicans* population density is through quorum sensing, in which molecules produced during continuous *C. albicans* growth act as inhibitors

of the yeast-to-hypha transition [[1,](#page-28-0) [33](#page-30-1)], and both morphological forms are known to be required for virulence [[34\]](#page-30-2). Curiously, the recently described non-lytic expulsion of internalized hyphal *Candida* cells by macrophage after phagocytosis benefits not only the immune cells, avoiding their own lysis, but also the fungus as it escapes from intracellular death [[35\]](#page-30-3). This suggests that reestablishment of homeostasis does not implicate the complete elimination of the fungus, but rather reduction of its burden to the commensal state. Thus, at the mucosal surface, there is a constant cross talk between *C. albicans* and host mucosal immune system in order to keep the fungal burden below a certain threshold and maintain homeostasis [[31\]](#page-29-20).

Another POP behavior is cytosolic invasion, as many pathogens deliver active proteins, or virulence factors to access forbidden compartments of host tissues [[3\]](#page-28-2). When perturbations at the mucosa surface occur, such as the composition of the competitive commensal bacteria that may result from antibiotic use, or when the immune system becomes severely weakened by factors such as HIV infection, chemotherapy, or chirurgic interventions, the proper restriction of *C. albicans* may fail as well as the capacity to control its overgrowth, predisposing individuals to *Candida* invasion. If containment at the mucosa is breached, the yeast proliferates and penetrates forbidden compartments of the host tissues. *Candida albicans* has the ability to invade host epithelial cells by two routes: via active penetration and via induced endocytosis [[36,](#page-30-4) [37\]](#page-30-5). The active penetration is exclusively a fungal attribute and includes the physical pressure applied by the advancing hyphal growth in combination with the secretion of extracellular hydrolases like proteases, phospholipases, and lipases [[15,](#page-29-5) [38](#page-30-6)]. The induced endocytosis is the passive uptake of *C. albicans* cells through yeast surface proteins Als3p and Hgc1p by the E-cadherin receptor in host epithelial cells [[38\]](#page-30-6).

As stated above, the epithelial cells actively monitor resident microbes by sampling microbial molecules and channels that transport microbial cell wall components into epithelial cells [\[23](#page-29-10)]. To aid in the consequent epithelial response, several PRRs are intracellular and cytoplasmic and are involved in pathogen recognition, antigen processing and presentation, production of antimicrobial β-defensins, and activation of the inflammasomes. The inflammasome is a multiprotein complex that triggers the posttranslational activation of caspase-1 that in turn cleaves and activates the secretion of a variety of substrates, including pro-interleukin-1β and prointerleukin-18, leading to an inflammatory response [[15\]](#page-29-5). These PRR receptors are not exposed to pathogens unless they get into the cells, when invading mucosa, like TLR3 and TLR9 that recognize fungi nucleic acids or NOD2 that recognize fungal chitin [\[39](#page-30-7), [40](#page-30-8)]. It has also been reported that this activation may induce the maintenance of a minimal level of "physiological inflammation" by activating NOD proteins in the cytoplasm of gut epithelial and immune cells. Curiously, recognition of fungal chitin by NOD2, together with TLR9 and the mannose receptor, is essential for the selective secretion of the anti-inflammatory cytokine IL-10 by phagocytic cells [[41\]](#page-30-9). This exerts a collaborative control in which TLR signaling is required for the transcription of pro-IL-1 $\beta$  and pro-IL-18, and the posttranslational inflammasome response is then required for subsequent cleavage and secretion of the active cytokine. This dual control may be an important regulatory mechanism to limit inappropriate or unnecessary production of cytokines that trigger potent responses that may be potentially damaging to the host, particularly concerning commensal organisms. Thus, the immune system can initiate responses based not only on whether PAMPs are present but also on where those PAMPs are presented, allowing the epithelium to adjust the response to the perceived degree of threat and return to the homeostatic state once the pathogen has been controlled.

# **10.1.2 Beyond the Mucosal Layers: Overview of the Immune Response Against** *Candida* **Infections**

At the mucosal epithelium, *Candida* may assume a fully pathogenic behavior, invading deeper tissues, gaining access to the bloodstream and causing invasive infections [\[11](#page-29-19), [12](#page-29-21)]. One of the causes of this drastic rupture of homeostasis is a too weak immune system. The life-threatening opportunistic *Candida* infections occur particularly in immunocompromised patients in which the immune forces that normally contain *C. albicans* and establish equilibrium are at risk of being overwhelmed.

The host immune system responds with the innate immune attack as the immediately acting primary line of defense against fungi penetration. Recognition of fungal-associated PAMPs will lead to the initiation of a host defense that is mandatory to limit fungal burden in order to clear the invading fungus from non-authorized sites [[11,](#page-29-19) [15](#page-29-5)]. The cell populations that orchestrate this initial response include the phagocytic cells: neutrophils, macrophages, and dendritic cells (DCs) [\[10](#page-29-1), [13,](#page-29-22) [15\]](#page-29-5).

Macrophages are considered the first line of defense since they are distributed in various tissues as resident cells. Macrophages exhibit a considerable phenotypic diversity and functional plasticity that confer them the ability to efficiently respond to stimuli. The fungal cell wall is a dynamic structure that is continuously changing throughout the fungus cell cycle and during morphological transition. During tissue invasion, macrophages readily ingest the round yeast form of *C. albicans* as well as the relatively short filaments. The development of hyphae triggers the recognition of cell wall β-glucans via the Dectin-1/inflammasome pathway. Engagement of Dectin-1 induces not only direct macrophage activation and internalization of the fungus but it also has a synergistic effect on TLR2 and TLR4 responses, via NF-κB activation, leading to IL-1 $\beta$  production and T-helper cell 17 (Th17 cell) activation [\[32](#page-30-0), [42](#page-30-10), [43\]](#page-30-11). However, upon hyphal growth, *C. albicans* is able to shield β-glucans with surface mannans as a mechanism to evade cellular phagocytosis [[18\]](#page-29-4). Thus, other PAMPs, such as mannans and mannoproteins, are also recognized by several CLRs, including mannose receptor, Dectin-2, dendritic cell DC-SIGN, and MINCLE [\[14](#page-29-11), [44\]](#page-30-12). Dectin-2, mainly expressed on dendritic cells, macrophages, and neutrophils, recognizes *Candida* α-mannan and, together with Dectin-3, has been reported to form heterodimers, mediating *Candida* uptake by the phagocyte and leading to proinflammatory responses during *C. albicans* infection, also via NF-κB activation  $[10, 45]$  $[10, 45]$  $[10, 45]$  $[10, 45]$ .

In consequence of the inflammatory response, neutrophils migrate to the site of infection, and after the recognition of *Candida* cells, they can directly kill the yeast cells and inhibit their growth and the yeast-to-hyphal transition, limiting in this way the progression of fungus [\[46](#page-30-14)]. The importance of these phagocytes is highlighted by the observation that the depletion of mononuclear phagocytes results in accelerated fungal proliferation in tissues and increased mortality [\[47](#page-30-15)] and that neutropenic patients or patients with impaired neutrophil function are more susceptible to systemic fungal infections [\[46](#page-30-14)]. Phagocytic clearance of *C. albicans* involves the accumulation of phagocytes at the site where the yeast cells are located and engulfment and killing of fungal cells after the recognition of cell-surface fungal PAMPs [[48\]](#page-30-16). These innate immune cells damage or kill *C. albicans* cells by using a combination of oxidative and non-oxidative mechanisms that includes the production of antimicrobial peptides and degradative enzymes and the generation of antimicrobial reactive oxygen species (ROS)/nitric oxide synthase (iNOS) [[49,](#page-30-17) [50\]](#page-30-18). Human neutrophils and macrophages express constitutively chitotriosidase (CHIT-1), an enzyme that promotes digestion of fungal cell wall chitin and whose expression increases significantly during the end/late phase of infection [\[41](#page-30-9)]. Curiously, low concentrations of small chitin particles have been shown to induce IL-10 secretion, via NOD2 and TLR9 signaling [\[41](#page-30-9)]. This mechanism has been proposed to contribute to the resolution of the infection when the pathogen has been defeated by the immune system, promoting the attenuation of inflammatory-mediated diseases and consequent immune homeostasis.

The innate immune system not only specifically recognizes diverse microorganisms but also initiates and modulates the subsequent adaptive responses that are delivered by T cells and B cells through their interactions with antigen-presenting cells. Phagocytic DCs play an essential role in the activation of adaptive immune responses, being one of the most efficient antigen-presenting cells (APCs). DCs are important for processing and presenting fungal antigens, but, due to the dual nature of *C. albicans*, their reaction to this fungus must be flexible in order to shape T-cell responses accordingly. Th1 responses involving proinflammatory cytokines, such as IFN-γ and TNF-α, were considered protective against fungal infections, whereas Th2 responses, with the secretion of IL-4 and IL-10, were believed to enhance host susceptibility [\[16](#page-29-2)]. However, with the identification of Th17 response, involving proinflammatory cytokines IL-17 and IL-22, this is now considered a major pathway for protection against fungal infections [[12\]](#page-29-21). These cytokines induce neutrophil recruitment and activation, as well as the activation of epithelial cells and release of antifungal β-defensins, being important at the mucosal surface. The importance of Th17 in anti-*Candida* response was confirmed by the identification of functionally specific Th17 clones that respond to *C. albicans* by releasing IL-17 and IFN-γ, but not IL-10 [\[51](#page-30-19)]. Indeed, patients with defects in Th17 immunity have been directly linked to increased susceptibility to chronic mucocutaneous candidiasis (CMC) [\[52](#page-30-20)]. After recognition of *Candida*, the plasticity of DCs is reflected into distinct signaling pathways that are translated into different in vivo adaptive immune responses [[53\]](#page-30-21). Inflammatory DCs initiate antifungal Th17/Th2 cell responses to yeast through signaling pathways that involve adaptor MyD88, whereas tolerogenic

DCs activate Th1/Treg (T regulatory) cell responses to hypha through TRIF adaptor [\[16](#page-29-2), [53](#page-30-21)].

The balance between proinflammatory and anti-inflammatory signals is essential for successful host/pathogen interaction in fungal infections in which Treg cells play a critical role [[53\]](#page-30-21). Treg cells, essentially via production of IL-10, are able to inhibit components of innate and adaptive immunity in order to regulate the host's immune defense to an adequate level, a protective response without total elimination of the fungus and preventing an exacerbated level of tissue damage [\[16](#page-29-2), [53\]](#page-30-21). However, overly potent Treg suppression can inhibit protective immunity, favoring the pathogen. Nevertheless, since Treg cells control the quality and magnitude of innate and adaptive effector responses, the outcomes may range from protective tolerance to overt immunosuppression, determining the balance between commensalism and pathogenicity. At the mucosa, a local anti-inflammatory/tolerogenic response through secretion of IL-10 and TGF-β would allow for the fungus persistence, whereas beyond the mucosa, an appropriate inflammatory immune response is necessary to eliminate the fungus and return to a homeostasis state.

The role of Th17 responses in systemic fungal infection is somehow controversial since, on one hand, it is described that IL-17RA−/− and IL-17A−/− mice have elevated susceptibility to disseminated candidiasis [[54,](#page-30-22) [55\]](#page-31-0) and IL-17A mediates vaccine-induced protection in mice [\[56](#page-31-1)]. On the other hand, humans with mutations in the IL-17 pathway typically do not develop disseminated disease [[55\]](#page-31-0), and candidemic patients showed significantly higher levels of IL-17A than patients without invasive candidiasis [\[57](#page-31-2)]. The idea that elevated Th17 and Treg responses are harmful in disseminated candidiasis seemingly contrasts with the apparent protective role of IL-17 in mice. In disseminated candidiasis, it has been reported that *C. albicans* drives expansion of a complex Treg cell population that by depletion of IL-2 promotes the development of Th17 responses that are associated with immune pathology and with *C. albicans* survival and dissemination [\[55](#page-31-0)], [[58\]](#page-31-3).

Th17 and Treg subsets are reciprocally regulated during naïve T-cell differentiation. However, it is clear that IL-17/Th17 and Treg cells have a complex relationship and that the outcome of the response to *Candida* depends on the site of infection in which the corresponding microenvironment is able to shape these responses. At the intestinal mucosa, high levels of transforming growth factor beta (TGF-β) and retinoic acid have been found that together with short-chain fatty acids (SCFAs) from commensal microbes, fermentation supports tolerogenic Tregs, favoring *C. albicans* commensalism. In contrast, internal organs are shielded from the external environment, and thus inflammation induced during disseminated *C. albicans* infection is more likely to go unchecked, resulting in collateral tissue damage by Th17 responses [[55,](#page-31-0) [58\]](#page-31-3). Together, these data underline the importance of the balance between proinflammatory and anti-inflammatory responses for both susceptibility to acquire invasive candidiasis and ability to clear the infection, once it has disseminated.

In addition to the cellular responses, adaptive B cell, by inducing antibodymediated memory responses, may neutralize adherence and spread on and/or through epithelial and endothelial tissues. The role of antibodies in the defense

against candidiasis has been controversial due to the belief that immunocompromised patients, the main targets of opportunistic fungal infections, were unable to develop adequate adaptive B-cell response. In addition, due to the fact that *Candida* are commensal organisms, natural anti-*Candida* antibodies may be present in the serum of normal individuals, but no correlation with these antibodies and protection to a subsequent infection has been observed.

There is now substantial evidence for the important role of antibody-mediated immunity in clearance of infectious agents, including host resistance to systemic candidiasis. Regarding the class of immunoglobulins, it has been proposed that IgM titers against intracellular proteins that are not localized to the cell wall would be higher in patients with systemic candidiasis than in controls, which suggests that these antigens might be less visible to the humoral immune system during commensal growth [[59\]](#page-31-4). However, regarding the subclass, a recent study evaluated how the IgG subclass of an anti-*Candida* antibody influences its biological functions, using the mouse model [[60\]](#page-31-5). Four IgG subclass variants of the human antimannan antibody, Mg1, M1g2, M1g3, and M1g4, equivalent to IgG1, IgG2, IgG3, and IgG4, were constructed and evaluated. They found that the four IgG subclasses differ in protection against hematogenously disseminated candidiasis in mice, with IgG1, IgG3, and IgG4 being more effective than IgG2. This difference has been attributed to the lower capacity of IgG2 to mediate FcγR-dependent phagocytic clearance. All variants promoted deposition of both murine and human complement C3 onto the yeast cell surface; however, IgG4 was less potent in activating the complement system. The fact that IgG4 was less potent in activating the complement system but induced similar levels of protection than IgG1 and IgG3 and was even more protective than IgG2 suggests that the role of the complement system in mediating protection may not be that determinant. Indeed, in a murine model of cryptococcosis, it was found that complement-nonactivating human IgG2- or IgG4-mouse chimeric antibodies are protective, while complement-activating human IgG1- or IgG3- mouse chimeric antibodies are nonprotective [[61\]](#page-31-6).

The study of the immunoglobulins present in the serum of human subjects without signs of infection in comparison with patients with proven systemic candidiasis has also contributed to the identification of proteins that could be specifically recognized in each situation. The antibodies identified specifically in subjects without infection were considered as natural anti-*Candida* antibodies and are believed to arise from the commensal relation with the host. Some of the natural anti-*Candida* antibodies identified *Candida,* Eno1p, Pgk1p, Adh1p, and Pdc11p, are abundant metabolic enzymes present in the yeast cell wall [[62\]](#page-31-7). However, only antibodies against Pgk1p and Adh1p seem to differentiate patients with systemic candidiasis from noninfected subjects. Antibodies against glyceraldehyde-3-phosphate dehydrogenase (Gap1p), phosphoglycerate kinase (Pgk1p), phosphoglycerate mutase (Gpm1p), enolase (Eno1p), pyruvate kinase (Cdc19p), aconitase (Aco1p), methionine synthase (Met6p), hyphal wall protein (Hwp1), and mannan, among others, have been identified specifically in patients with systemic candidiasis. However, conditions that make the molecular targets available to the immune system to induce antibodies differ according to many variables, including the morphology changes of the pathogens, the competence of the immune system at the entry port, the composition of the commensals and their similarity of epitopes, and the history of antimicrobial treatments that have different modes of action, among others. All these mechanisms contribute to expose antigenic molecules to the immune system, and some treatments will increase/alter exposure of certain antigenic molecules. This may be one of the reasons why it has been so difficult to identify antibodies/antigenic molecules that would be useful for immunoglobulin-based prophylactics and therapeutics. Nevertheless, Mycograb (NeuTec Pharma), a human recombinant antibody anti-Hsp90p, was found to be therapeutically effective in combination with amphotericin B in patients with systemic candidiasis in a randomized, blinded, multicenter trial [[63\]](#page-31-8).

These studies evidence that the role of antibody-mediated resistance to fungal infections is important and may be exploited as new therapeutic approaches; however, the isotypes that could be more effective in a response that involves antibodies must be carefully chosen to mimicking the host's natural protective antibody and balance between its disease-causing effects, as exacerbated complement activation, and its effects on clearance of microbes.

Intense investigation of immunological mechanisms involved in fungal infections means that we are closer to understanding the processes involved in the identification and clearance of pathogens from the human body and in establishing immune memory to respond to future exposure. The ideal vaccine would initiate an innate immune response capable of directing the adaptive immune response toward efficient inactivation and removal of the specific pathogen from non-allowed sites, followed by the development of adequate immune memory. In the next section, an update of the studies toward the development of vaccination strategies will be presented.

# **10.2 Induction of Immunity in Experimental Models**

# **10.2.1 Concerns About the Development of Preventive Strategies**

The increasing incidence and severity of invasive fungal infections coupled with diagnostic difficulties and high cost of treatments prompted the investigation on vaccination [\[64\]](#page-31-9). However, for years the development of fungal vaccines has lagged behind that of vaccines against bacteria and viruses because of the idea that most patients who develop life-threatening fungal infections have profound defects in their immune systems and are unable to respond to vaccination. Indeed invasive *Candida* infections often take place in hospital settings; however, it is has been estimated that only 10–20% of patients who develop bloodstream infection from *Candida* are seriously immunocompromised. The large majority develop the infection after patient's normal bacterial flora is disrupted by antibiotics or when their skin or gut mucosa are breached by burns, central venous catheters, or surgery [[4](#page-28-3), [5,](#page-28-4) [65\]](#page-31-10). Furthermore, several studies confirmed the immunogenicity and efficacy of vaccines in seriously immunocompromised patients, such as those with active leukemia, HIV infections, or cancer patients [[66](#page-31-11)[–68](#page-31-12)]. Thus, immunocompromised patients are able to respond to vaccination, and vaccination has been recommended to patients with HIV and cancer despite their weakened immune systems [\[69](#page-31-13)–[71\]](#page-31-14). It has been assumed that acutely ill hospitalized patients whose primary host defense defect is anatomical will also respond to vaccination [\[65\]](#page-31-10). In the case of *Candida* systemic infections, the various medical procedures that are identified as risk factors, such as exposure to broad-spectrum antibiotics, central venous catheters, or major surgery, are perfectly recognized, opening a window of opportunity to vaccinate at-risk patients even before the onset of infection [[72–](#page-31-15)[74\]](#page-31-16). In addition, prevention of infectious disease in the elderly has become a priority in the twentyfirst century as the proportion of older individuals increases globally. Fungal infections due to *Candida*, *Aspergillus,* and *Cryptococcus* are known to be more frequent in the extreme of the ages, children and elder patients.

Consistent with Benjamin Franklin's dictum "an ounce of prevention is worth a pound of cure," preventive therapies against invasive candidiasis may reduce the severity of these infections. A vaccine that could prevent or improve the outcome of fungal infections would not only benefit the at-risk patients but also be of major benefit for national healthcare systems by reducing hospital costs.

### **10.2.2 Evidences from Systemic Candidiasis in the Human Host**

Several studies were undertaken to identify *Candida albicans* immunogenic proteins specifically recognized by antibodies produced during the natural course of systemic *Candida* infection and that could be exploited for vaccine development or even as useful biomarkers for diagnosis. One of the earliest studies evaluated, by immunoblotting, 201 sequential serum samples from 45 patients with systemic candidiasis in which 13 were involved in an outbreak at London Hospital [[75\]](#page-32-0). This study showed a high heterogeneity in antibody response but was able to identify an antibody against a 47-kD antigen present in all patients that recovered from the systemic infection. Later, the 47-kD antigen was identified as a breakdown product of heat-shock protein (Hsp) 90 from *C. albicans*. The presence of antibodies against a 47-kD antigen in serum of other patients with systemic candidemia with a favorable outcome has also been identified by other independent studies. Serum from these patients passively transferred to mice infected with a lethal dose of *C. albicans* was able to induce protection, and a monoclonal antibody raised against a synthesized conserved epitope (LKVIRK) of Hsp90p reduced mice mortality when given 24 h before an i.v. challenge [[76\]](#page-32-1). This antibody seems to protect through neutralization of the protein-binding activity of *Candida* circulating Hsp90p or by inhibition of *Candida* growth [[77\]](#page-32-2). However, an epitope mapping analysis indicated that antibodies reacting to epitopes of this protein showed 100% direct homology with comparable sites on human Hsp90p, raising the concern of development of autoimmune reactions. These studies showed that patients who recover from systemic candidiasis may produce autoantibodies against self-epitopes.

More recently another study used a highly sensitive proteomic approach to identify potential candidate markers for diagnosis and follow-up of systemic candidiasis [\[62](#page-31-7)]. This study identified immunoreactive protein species that were present in patients with proven candidemia and also in patients with no apparent *Candida* infection. In patients with invasive candidiasis, the authors were able to unambiguously identify 42 different *C. albicans* protein targets of the human immune response. The abundance of housekeeping enzymes, most of them (65%) metabolic enzymes, was discussed, as among other possibilities, being part of a universal signal for infection. Since these are ubiquitous and highly conserved enzymes, their epitopes can be shared by several infectious agents and allow the development of a natural resistance to infection. The identifications of these proteins by the immune system may be considered as part of the "patterns of pathogenesis" response. Among the metabolic enzymes that are also present on the *C. albicans* cell surface, antibodies against glyceraldehyde-3-phosphate dehydrogenase (Gap1p), phosphoglycerate kinase (Pgk1p), phosphoglycerate mutase (Gpm1p), enolase (Eno1p), pyruvate kinase (Cdc19p), aconitase (Aco1p), and methionine synthase (Met6p) had already been identified in human serum previously. Several other proteins, including Hxk2p (hexokinase) and Pgi1p and 6-phosphofructokinase, were identified as antigens of the immune system for the first time in this study. Curiously, antibodies against *C. albicans* proteins Eno1p, Fba1p, Tkl1p, Ino1p, Met6p, Sah1p, Ilv5p, Ade17p, and Qcr2p increased in response to antifungal treatment, indicating that their exposure to the immune system increased with the antifungal treatment. From this survey, 14% of the identified targets were chaperons and heat-shock proteins, including Ssa1p and Ssb1p identified in previous studies as antigens and Ssc1p and Sse1p newly identified as antigens in this study. Curiously, the 47-kDa fragments of Hsp90p identified previously [\[75](#page-32-0)] were not identified in this analysis, only the 82-kDa fragment of the same protein. The *C. albicans* elongation factor 1 (Eft1p) and Eft2p, known to be located in the cell wall and proposed as potential antigens for vaccine development, were also found in this study.

The protein targets identified in the serum of subjects with no infection were considered as targets of natural anti-*Candida* antibodies. These natural antibodies identified *Candida* Eno1p, Pgk1p, Adh1p, and Pdc11p, which corresponded to abundant glycolytic and fermentative enzymes. The natural antibodies were considered to be elicited during commensal colonization by *C. albicans* or against other homologue protein from different commensals or infectious agents that cross-react with *C. albicans* proteins. This study also identified targets that could be correlated with recovery from systemic candidiasis in humans, like high serum levels of anti-Eno1p antibodies, the appearance or maintenance of anti-Hsp90p, anti-Tpi1p, or the disappearance of serum anti-Met6p or anti-Pgk1p antibody levels.

Another study measured IgG and IgM titers in the serum of human systemic *Candida* infections against 15 specific recombinant *C. albicans* antigens [\[59](#page-31-4)]. It is documented that patients with systemic candidiasis already exhibit significantly high IgG titers against a wide range of antigens (ENO1, HWP1, mannan, and CGTA) before or at the time that the diagnosis is confirmed by a positive blood culture. This study suggests that invasive candidemia may be preceded by low-level systemic exposure to *Candida* spp., possibly due to "leakage" from mucosal sites of colonization.

# **10.2.3 Exploited Protection Strategies Against Candidiasis**

## **10.2.3.1 Whole-Cell Vaccines**

The need for therapeutic or preventive use of a vaccine in candidiasis, particularly in certain groups of at risk patients, is reflected in the huge number of experimental investigations designed to attempt induction of protection (Fig. [10.1](#page-12-0)). The early studies trace back to the decade of the 1950s.

<span id="page-12-0"></span>

**Fig. 10.1** Overview of innate and immune responses to pathogen/adjuvant actions. (**a**) A schematic of a vaccine formulation with the antigen (that could be live attenuated/low virulent cells, killed cells, disrupted cells, ribosomal extracts, cell wall extracts, glycoconjugates, or recombinant proteins/peptide) and adjuvant (including aluminum compounds, MF59 Freund's adjuvants, AS03 and AS04, virosomes, or liposomes). (**b**) Vaccine formulation is delivered into the body through different route of entry. Some adjuvants presumably form a depot at the site of injection (*1*), which is associated with slow release of antigen. Other adjuvants are associated with secretion of cytokines and chemokines (*2*), which may induce the recruitment of various immune cells to the injection site, including APCs (*3*). (**c**) APCs express various PRRs on the surface (including TLRs, CLRs) and intracellularly (TLRs, NLRs, and RLRs), which enhances antigen uptake (*4*) and favors activation and maturation (*5*) of APCs. Mature APCs increase the capacity for antigen processing and presentation and upregulate the expression of MHC (class I and II) and co-stimulatory (CD80, CD86) molecules. (**d**) Mature APCs then migrate to the draining lymph nodes (*6*) to interact with antigen-specific B or T cell to activate antibody secretion and B cells and/or effector CD8+ T and CD4+ T-cell responses (*7*)

One of the early documented studies repeatedly immunized rabbits intravenously (i.v.) with heat-killed *C. albicans* prior to infection and then assessed survival, histopathology of infected organs, and passive immunization. The authors concluded that this immunization did not result in protection and that the anti-*Candida* antibodies induced by vaccination did not protect the animals [[78\]](#page-32-3). Latter, by using the same model but immunizing with heat-killed and heat-weakened *Candida*, protection was only observed in rabbits immunized with viable *Candida*, and histological analysis indicated that cellular immunity was important [[79\]](#page-32-4). These observations point to the active action of the pathogen in the immunization process. In the murine model, low virulent *Candida* strains, modified in the filamentation capacity and stress response, were shown to provide protection against a subsequent intravenous systemic *Candida* infection [[80–](#page-32-5)[82\]](#page-32-6). The efficacy of these strategies reached 100% for all strains at least in one evaluated condition. Other study, using the mouse model, also observed the importance of fungal pathogenicity on priming of mice with *Candida* [[83\]](#page-32-7). Naïve BALB/c mice were intraperitoneally (i.p.) primed with a low inoculum of *Candida* yeast cells that were heat-killed, deliberately attenuated, or in its pathogenic native form. One month later, mice were infected with the pathogenic strains, and only the mice primed with the pathogenic native form were able to clear the infection. Live-attenuated pathogens or in its pathogenic native form have been shown to be especially immunostimulatory when used as vaccines. This may be explained by the hypothesis that the immune system also responds to POP and suggest why killed strains failed to elicit protection [[29\]](#page-29-17). However, due to the complexity of antigens and the inexistence of generalizable procedure for how best to attenuate pathogens for their use as vaccines, attenuated strains challenge safety requirements. However, changing to a subcutaneous (s.c.) immunization with a *Candida* sonicate, Mourad and Friedman [\[84](#page-32-8)] were able to protect 50% of mice, obtaining the best result in comparison with viable or killed *C. albicans* cells. In addition, they observed that transferring passively anti-*Candida* serum to naïve mice conferred them protection against a subsequent systemic candidiasis [[84\]](#page-32-8). After these results, subcellular antigens have been further exploited as candidates for augmenting host defense and vaccine development against candidiasis.

#### **10.2.3.2 Ribosomal Vaccines**

Ribosomal subcellular vaccines derived from both prokaryotic and eukaryotic pathogens were widely exploited as protectors and afforded high levels of protection at small dose levels, being the duration of the immunity they provided greater than the ones obtained with previous antigens used. Thus, ribosomal fractions were also used to try to induce protection against candidemia. Studies documented that it was possible to induce protection by immunization with *C. albicans* ribosomes, not only against heterologous *C. albicans* strains, with 30–78% of survival, but also cross protection against *C. tropicalis* isolates, with 57–64% of survival rate from a systemically administered lethal infection [[85\]](#page-32-9). Ribosomal vaccine was also exploited in experimentally induced cell-mediated immunocompromised mice, resulting in a survival rate that reached 76% [[86\]](#page-32-10). This vaccine was also exploited in tumor-bearing mice, and although the survival rate did not change, it was able to expand the median survival time [[87\]](#page-32-11). These results showed that vaccination of immunocompromised and tumor-bearing hosts with *Candida* ribosome could provide enhanced resistance to disseminated candidiasis. These works encouraged the continuing studies in immunization against candidiasis and revealed the immunogenicity of subcellular components and the role of antibodies in the protection. A variety of cell constituents, routes of administration, and protocols have been tested, and despite the degree of variability in the results observed, induction of protection was considered possible. However, due to the complexity of the antigen that would probably include mRNA, rRNA, and ribosomal proteins, newly synthesized polypeptides along with other cell components from the extraction procedure, the identification of the moiety to fully describe the protective immunity mechanism was difficult, and other more defined antigens have been exploited.

#### **10.2.3.3 Cell Wall Component Vaccines**

Fungal cell wall constituents are excellent candidates for vaccine development since the cell wall is a unique microbial feature with an important role in antigen presentation and immunomodulation [[28\]](#page-29-16). *Candida albicans* cell wall is composed mainly of carbohydrates (80–90%), such as mannans, β-glucans, and chitin, while proteins and lipids are a minority. β-glucans and chitin are located at the innermost layer of the cell wall, providing structural rigidity and strength, while mannans are abundant in the outer layer. Purified polysaccharide vaccines are poorly immunogenic and largely ineffective, due to the inability of the polysaccharide to induce T-cell responses. Thus, in glycan-based vaccines, it is common to conjugate the polysaccharide to a carrier molecule such as the tetanus or diphtheria bacterial toxoid [[88\]](#page-32-12), which are accepted for human use, or to peptides derived from the infectious agent of interest [\[89](#page-32-13)]. Nevertheless, glycopeptides may still be poorly immunogenic, and adjuvant has been also included, like the complete Freund's adjuvant [[89\]](#page-32-13). Previous studies have shown that the major cell wall components that elicit a host immune system response are proteins and mannoproteins, in which both the carbohydrate and protein moieties are important [\[89](#page-32-13), [90\]](#page-32-14). *Candida* surface mannans were used either alone or encapsulated [[91\]](#page-32-15) or even conjugated with protein to induce protection against experimental disseminated candidiasis [\[92](#page-32-16)]. The formulations of mannans conjugated with BSA showed high levels of protection with 100% survival in a disseminated murine model. This study also suggested that di- and trisaccharides of mannans were more efficient than tetra-, penta-, or hexasaccharides in inducing protective antibodies. In order to avoid the controversy of results that might be influenced by the distinct methods of mannan extraction, the new approaches developed fully synthetic mannan conjugated vaccines. In this view, a synthetic trisaccharide mannan-tetanus toxoid conjugated vaccine was used in experimental immunization protocol that was designed to simulate the clinical situation in which vaccination can be performed before a patient becomes immunocompromised by treatment with immunosuppressive drugs to induce leukopenia [\[93](#page-32-17)]. Although no survival rate was quantified in this study, this conjugate vaccine achieved a statistically significant reduction of fungal burden in the kidney and liver of immunized rabbits, when compared to controls, and this was attributed to the high titer of specific IgG antibody capable of opsonizing the surface of *C. albicans* cells. This model indicated that even in leukocytopenic animals, a previous vaccination is able to induce resistance against disseminated candidiasis.

Xin and collaborators [\[89](#page-32-13)] selected *C. albicans* cell-surface proteins previously identified as inducers of antibodies during human candidiasis [\[62](#page-31-7)] to conjugate with β-mannan. The selected proteins were fructose-bisphosphate aldolase (Fba), methyltetrahydropteroyltriglutamate (Met6), hyphal wall protein-1 (Hwp1), glyceraldehyde-3-phosphate dehydrogenase (Gap1), and phosphoglycerate kinase (Pgk1). By using an antigen-pulsed DC-based vaccine strategy, immunization with β-(Man)3-Hwp1, β-(Man)3-Fba, or β-(Man)3-Met6 conjugates showed 80–100% survival rate, while with β-(Man)3-Enol or β-(Man)3-Gap1, the survival rate was 40% or 80%, respectively. Curiously, in this study β-(Man)3-Pgk1 slightly enhanced disease. The β-(Man)3-Fba conjugate vaccine, which induced the highest protection, showed similar results against disseminated candidiasis in two different mouse strains, BALB/c and C57BL/6 mice. However, the immunization protocol utilizing dendritic cells is cost prohibitive. Thus, β-(Man)3-Fba has been exploited in a subcutaneous immunization protocol using different adjuvants. After several approaches using of β-(Man)3-Fba with alum or MPL (lipid A, monophosphoryl) with no significant protection, the use of tetanus toxoid was able to restore protection previously observed and even protect 100% outbred mice [[94\]](#page-32-18).

The protective responses against *Candida* mannan components appeared to be mainly by induction of protective antibodies specific against the fungal peptide epitopes and the conjugated polysaccharides, which enabled the production of antipolysaccharide IgG antibodies in vivo. The switch from IgM to IgG, the activation of the complement system, and the rapid deposition of high amounts of factor C3b onto the yeast cell wall are mechanisms that contribute to inhibit *Candida* growth in vitro [[89,](#page-32-13) [94,](#page-32-18) [95](#page-32-19)]. These results substantiate the role of antibody in protection against systemic candidiasis in the animal model, evidenced by high survival rates and reduced organ fungal burden.

Although mannoproteins are the major components of the cell wall in eliciting immune responses, β-glucans were also exploited. However, due to their poor immunogenicity, β-glucans must be also conjugated with other molecules. The brown alga *Laminaria digitata* β-1,3-glucans, the laminarin, has been exploited as immunizing antigen conjugated with the diphtheria toxoid, and results showed it was protective against both mucosal and systemic candidiases in mice, with a protective rate around 70% [\[88](#page-32-12)]. Remarkably, this laminarin vaccine strategy conferred cross protection to mice lethally challenged with the major fungal pathogens *C. albicans*, *Aspergillus fumigatus*, and *Cryptococcus neoformans* [\[96](#page-32-20)]. The bacterial calreticulin (Crt) protein is functionally similar to heat-shock proteins, and one of the main characteristics of this HSP family is that they possess a potent immunostimulatory activity. This characteristic was exploited as an effective carrier or adjuvant for β-glucans in assisting the production of glycotope-specific IgG antibodies in healthy as well as in immunocompromised mice. Laminarin conjugated with a peptide from calreticulin (LAM-CRT) induced proliferation of B lymphocytes and production of specific laminarin and β-1,3-glucans IgG1 antibodies, even in athymic (T-cell-deficient) nude mice [\[97](#page-32-21)]. Vaccination with LAM-CRT protected mice against systemic *C. albicans* challenge with a survival rate around 60%.

#### **10.2.3.4 Vaccines for Neutralizing Virulence Factors**

The previous approaches of vaccination were mainly based on the stimulation of the immune system with whole microbes or with constitutive microbial epitopes present at the surface of the infectious organism. However, the most effective human vaccines, which prevent tetanus and diphtheria, are directed against the bacterial toxins rather than against the structural epitopes. Thus, another approach in the development of immunoprotective therapies against fungal infections is the development of strategies that focused on the neutralization of virulence factors, either present in the cell wall or secreted that should be produced by microorganisms in their pathogenic form [\[83](#page-32-7)]. Virulence factors include proteins involved in the adhesion to and invasion of host tissues, secreted lytic enzymes, the morphogenetic yeast-to-hyphae switching, the maintenance of cellular integrity, or the avoidance of the host immune response.

In this view, vaccines based on the adhesins Als1p and Als3p were exploited. Als1p allows binding of *C. albicans* to human vascular endothelial cells, and Als3p is a hypha-specific surface protein that also mediates attachment to epithelial and endothelial cells. In addition, Als3p is one of the adhesion molecules that is responsible for the binding to host cell receptors, such as E-cadherin, and induce passive endocytose of *C. albicans* by host epithelial cells [\[38](#page-30-6)]. Intraperitoneal immunization of BALB/c mice with a recombinant N-terminal peptide of Als1p (rAls1p-N) induced modest protection against invasive candidiasis, protecting 25% of the infected mice [[98\]](#page-33-0). However, when a subcutaneous route of infection was tested, the protection rate was much higher, around 50–57% of immunocompetent mice survive the lethal infection [\[99](#page-33-1)]. This vaccination regime was also applied to neutropenic mice that were challenged with a ten-time lower inoculum, with and overall survival of 88% versus 38% of the controls, as well as in a mucosal oropharyngeal candidiasis model, with results showing a clear reduction on invasive tongue lesions. The recombinant N-terminal peptide of Als3p (rAls3p-N) was also exploited and compared with rAls1p-N [[100\]](#page-33-2). Results indicated that rAls3p-N is as effective as rAls1p-N against disseminated candidiasis, with similar survival rates, around 40–50%, but was more effective than rAls1p-N against oropharyngeal or vaginal candidiasis. This high effectiveness in mucosal models may be due to the fact that Als3p mediated superior adhesion to epithelial cells than Als1p.

These data showed promising results, and rAls3p-N stands out as a candidate for further development of an anti-candidal vaccine. However, these vaccines used Freund's adjuvant to enhance immunogenicity, which is not allowed for human use. In order to switch to an adjuvant approved for human use, these vaccines were tested with alum (Alhydrogel), but the efficacy was lower than with Freund's adjuvant even using a higher dose of the recombinant proteins. The survival rate using Freund's adjuvant ranged from 100% to 50% using 20 μg of rAls1p-N, while with Alhydrogel diluted in phosphate-buffered saline (PBS) was of only 10% using 100 μg of rAls1p-N [\[101](#page-33-3)]. Regarding rAls3p-N, the protection of around 40–50%

with Freund's adjuvant shifted to around 35% with 300 μg of antigen with Alhydrogel [\[102](#page-33-4)]. Interestingly, Als3p is structurally similar to the *Staphylococcus aureus* surface clumping factor (ClfA) that is also involved in adhesion, so these authors also tested for a cross-reactivity of rAls3p-N vaccine against *S. aureus* infection in mice. Results showed that vaccination with rAls3p-N in Alhydrogel improved the survival of mice subsequently infected with multiple clinical isolates of *S. aureus* (40–70% of survival rate) [[103\]](#page-33-5). Although rAls1p-N and rAls3p-N vaccines induced broader antibody-based responses, antibody titers did not significantly correlate with survival in both recombinant peptides. Thus, the protective mechanism of these recombinant peptides was not correlated with protective antibodies but was associated to enhancing cell-mediated immunity. In concordance with these observations, B-cell-deficient mice showed the same pattern of resistance, while in T-cell deficient (nude), the protection against systemic candidiasis previously observed was abolished.

More recently, the efficacy of rAls3p-N vaccine with alum as adjuvant in protecting against vulvovaginal candidiasis was evaluated in a murine VVC model [\[56](#page-31-1)]. In this model, rAls3p-N induced a strong immune humoral response with high antirAls3p-N serum IgG and vaginal IgA titers. In addition, ex vivo killing of opsonized *C. albicans* by neutrophils was correlated with significant decrease in vaginal fungal burden both in inbred and outbred mice infected with different clinical *C. albicans* isolates. As in previous studies, the role of B and T cells in vaccine-mediated protection was evaluated in B-cell-deficient and nude mice, respectively, showing that contrary to the systemic model, rAls3p-N efficacy in the VVC model requires both B and T cells.

Other virulence factors that were thought to be neutralized were the secreted aspartyl proteinases (SAPs), an enzyme family with ten members, from Sap1p to Sap10p. While Sap1p and Sap8p are secreted and released to the surrounding medium, Sap9p and Sap10p remain bound to the cell surface [\[2](#page-28-1)]. These enzymes are involved in the degradation of mucous substrates and defensive factors, promoting *Candida* damage to epithelial and endothelial cells and helping the fungal cells to elude the host immune response. One of the early studies presented results of immunization against a secreted unidentified 43,000-MW protein, named p43, that resulted in the complete neutralization of its immunomodulatory effects and was very efficient in protecting mice, i. e., no CFUs were observed in the kidney of vaccinated mice in comparison with the controls [[104\]](#page-33-6). The mechanism of protection involved the production of protective specific antibodies against p43 evaluated by passive administration. In latter studies, the same authors used *C. albicans* Sap2p, a 41,000-MW secreted protein that they believed to mediate some of the effects observed with p43. However, they concluded that although an immunomodulatory role for Sap2p on *C. albicans* was also observed, it appeared unlikely that p43 activities previously described were caused by Sap2p alone [[105\]](#page-33-7). Nevertheless, this study assessed the potential of an intradermal (i.d.) vaccination with 10 μg Sap2p inoculum incorporated in alum adjuvant in preventing systemic candidiasis in BALB/c mice. After a lethal inoculum of *C. albicans*, an effective protection was obtained in Sap2p-immunized mice with a survival rate of around 75%. The immune

protection against systemic candidiasis in mice immunized with Sap2p is antibody mediated as indicated by the correlation of survival with an increase in serum antibodies to Sap2p and by the passive transfer of anti-Sap2 IgG that significantly decreased yeast burden in the kidneys of *C. albicans*-infected mice.

Meanwhile, intravaginal (i.v.g.) and intranasal (i.n.) immunizations with a Sap preparation, mainly consisting of Sap2p, showed that neutralization of these secreted proteins could also be effective in conferring protection against vaginal candidiasis in the rat model. An inoculum of 100 μg of Sap with cholera toxin as adjuvant induced a high degree of protection against vaginal challenge with *C. albicans*. This study showed that the i.n. route is as efficient as the i.v.g. in inducing protection against mucosal candidiasis, and it is recognized that i.n. route is much more easy to subject compliance to self-administration [[106\]](#page-33-8). In order to specify the antigen to propose a candidate vaccine against mucosal candidiasis, a recombinant and truncated Sap2 protein (rSap2t) was produced, and the immunization with this recombinant protein induced antibody-mediated protection against *Candida* infection in an experimental model of rat vaginitis, confirming the previous results [[107\]](#page-33-9).

Hyr1p is an important virulence factor for *C. albicans*, a mannoprotein on the cell wall implicated in the resistance to phagocyte killing, and another approach to induce protection used this protein [[108](#page-33-10)]. Mice were immunized with a recombinant fragment (rHyr1p-N) in complete Freund's adjuvant or in Alhydrogel and then infected with *C. albicans*. The results showed that vaccination with rHyr1p-N mixed with both adjuvants markedly improved survival in a range of 60% or 70%. The mechanism of resistance included the action of polyclonal antibodies specific to anti-rHyr1p that enhanced mouse neutrophil killing activity evidenced by the lower organ fungal burden [\[108](#page-33-10)]. In order to expand these findings to both immunocompetent and neutropenic mice and to evaluate if this vaccination strategy also protects against non-*albicans Candida* spp., immunizations with rHyr1p-N in alum were tested. Results showed a dose-dependent improved survival rates in immunocompetent mice compared to mice receiving adjuvant alone, with the best results observed with 33 μg of rHyr1p-N in alum (survival rate of around 40%). Vaccination with the highest dose also reduced the tissue fungal burden by approximately 16-fold when compared to control mice. This vaccine effectively protected neutropenic mice against candidiasis (survival rate of around 20%) and significantly increased the mouse immune response as determined by detection of increased anti-rHyr1p-N antibody titers and reduced CFUs in the kidneys. The rHyr1p-N vaccine also induced a significant reduction of tissue fungal burden of vaccinated mice and then infected with *C. glabrata*, *C. parapsilosis*, and *C. tropicalis*. The mechanism of protection rendered by rHyr1p-N appears to be attributed, at least in part, to protective antibody response as evidenced by the results obtained with passive immunization with purified rabbit anti-Hyr1p IgG prolonged survival of mice infected with *C. albicans*.

Evidence has accumulated that antibodies specific for certain yeast cell-surface epitopes may be protective and, thus, screens for antibodies against those epitopes expressed during pathogenesis in human disseminated candidiasis have been performed [\[59](#page-31-4)]. From these studies, some of the antigens responsible for the induction of antibodies identified during the convalescent phase or that could be correlated with higher probability of recovery were tested. Anti-wall enolase antibodies stand out as protective antibody, and thus enolase was tested to evaluate if it would induce protection against a systemic infection in the mice model. One hundred micrograms of purified recombinant enolase (rEno1p) in complete Freund's adjuvant were evaluated in an immunization protocol, and results indicated to be effective in reducing the CFUs of organs of immunized mice [[109\]](#page-33-11). The immune protection appeared to be mainly antibody mediated as indicated by the increased titers of enolase-specific IgG1 and IgG2a in serum of immunized mice that enhanced opsonization-mediated yeast killing by neutrophils. Passive transfer of anti-rEno1p also reduced fungal burden of non-immunized infected mice.

Antibodies against heat-shock proteins, particularly anti-Hsp90p, have also been detected in sera from patients that recovered from invasive candidiasis in contrast to those that did not survived, and consequently Hsp90p, a cell wall protein, has been suggested as an important target for protective studies. In addition, Mycograb (NeuTec Pharma) a monoclonal antibody binds to the immunodominant epitope of *C. albicans*. Hsp90p has been applied in combined therapies with positive results [\[63](#page-31-8)]. Two strategies have been exploited, an Hsp90-expressing DNA vaccine and a recombinant Hsp90 protein vaccine [[110,](#page-33-12) [111](#page-33-13)]. However, and although particularly the recombinant protein induced significant increases in both serum and vaginal hsp90-CA-specific IgG and IgA antibodies when compared to the control group, these vaccination approaches did not elicit sufficient protection.

In sum, the best protective effects observed to date have been stimulated by immunization with viable cells from virulent or avirulent *C. albicans* strains. Killed cells or subcellular components have been moderately successful and even less efficient in subunit vaccines. However, in the last years, the focus on the development of fungal vaccines has been placed on subunit vaccines that are easily controlled in terms of preparation and composition, enabling a more precise evaluation of their mechanism of action and good manufacturing practices. Although expectations for these vaccines are high, some authors think that for invasive candidiasis univalent vaccines are unlikely to be successful [\[64](#page-31-9)]. The reasons for these disbelieve are mainly due to the fact that the most effective vaccines developed are against known virulence factors with inducing of protective antibodies. One of the main characteristic features of *C. albicans* is its extraordinary range of virulence factors that are expressed by families of genes. Most of these gene families are composed of at least ten different members that in their action facilitate tissue invasion by enabling the fungus to escape from, modulate, or even exacerbate host immunity and in most situations play redundant roles. Thus, the univalent vaccines confer a considerable probability that the fungus can escape from immune responses induced by the vaccination strategy by compensatory expression of other virulence traits. Taking into account these observations, the use of at least two unrelated virulence-associated fungal immunogens was recommended to be present in the vaccine formulation, such as the Als3p and the Sap2p.

Other approach to reduce the chances of immune evasion is to go back to the use of a more complex immunization antigen. A recent study used dithiothreitol (DTT)-extracted *C. albicans* cell wall surface proteins (CWSP) as antigens incorporated into cationic liposomes as adjuvant/delivery system. The cationic surfactant dioctadecyldimethylammonium bromide (DODAB) and monoolein, 1-monooleoylrac-glycerol (MO), were used to develop a DODAB:MO lipid-based delivery system loaded with CWSP and determined its immunogenicity for the development of a vaccine against systemic *Candida* infection [\[112](#page-33-14)]. Results showed that immunized mice displayed strong humoral response, with high levels of IgGs against specific cell wall proteins, and restimulated splenocytes derived from immunized mice secreted high levels of IFN- $\gamma$  and IL-17. This vaccine strategy was able to protect around 70% of mice [[113\]](#page-33-15). The main advantage of this system is that liposomes, as particulate carriers, entrap and protect the specific protein(s) against degradation, enhancing APC uptake of the liposomes and activation.

Many positive results have been achieved in the last years in the development of fungal vaccines. Technological developments have allowed for a rapid expansion of the number of general strategies for making new vaccines, and studies will continue, based on more comprehensive understanding of the immunobiology of pathogen, the type and specificity of immune response required for persistent protection against disease, the attainment of mucosal immunity, and the optimal vaccination strategy to achieve protection. From all these studies, several candidate vaccines have been proposed, and an important outcome is that the selection of antigens and the complexation method of conjugation are critical since it may result in the development of potentially useful protective vaccine candidates, or it may enhance disease susceptibility. Problems associated with conjugated vaccines such as variation in antigen loading, immunogenic linkers, and antigenic carrier proteins must be considered. The important point, from the standpoint of antibodymediated protection, is that the enormous antigenic complexity of the *Candida* cell should not be expected to reliably induce production of protective antibodies, as defined by specificity, titer, isotype, and effector function. *Candida albicans* antigens that appeared to have potential immunoprotective responses seem to be protein or glycoprotein components that play their structural/physiological role outside the plasma membrane, belonging to the cell wall, or that are secreted to the exocellular environment.

In recent years, advancement of vaccines has focused on improving immune adjuvant and the use of novel vaccine carriers. Some of these particulate carriers also show adjuvant properties. Herein, we will present the adjuvant carriers that are used in commercial vaccines and the carriers that are being used in the development of fungal vaccines.

## **10.2.4 Licensed Adjuvant Carriers**

The main goal of vaccination is the induction of a protective immunity against a particular disease, and in some subunit vaccines, this can only be achieved by addition of an adjuvant or adjuvant combinations. Subunit, highly purified antigens may lack PAMPs needed to activate the innate immune system and downstream adaptive

responses. It is thought that the use of adjuvants (Latin word adjuvare, meaning "to help or aid") may help to overcome this first step on the innate immune response [\[114](#page-33-16)]. Thus, the choice of adjuvant/s is important since it can direct the type of adaptive immune response to the administered antigen, preferentially activating specific T-cell responses. Adjuvants may also improve immune responses in populations where responses to vaccines are typically reduced, such as infants, the elderly, and the immunocompromised, which is the more vulnerable population for acquiring fungal infections.

Although adjuvants are important components of the vaccine strategy, their mechanisms of action only recently are being revealed [\[115](#page-33-17)]. Latest evidence suggest that adjuvants may have one or more of the following mechanisms of action: (1) depot effect (sustained release of antigen at the site of injection), (2) secretion of cytokines and chemokines, (3) cellular recruitment at the site of injection, (4) increase antigen uptake and presentation to APCs, (5) upregulation of MHC II and co-stimulatory molecules, (6) migration to the draining lymph nodes, and (7) modulation of the immune adoptive responses (Fig. [10.1](#page-12-0)) [[115\]](#page-33-17). The most widely recognized vaccine adjuvants are aluminum-based mineral salts, emulsions, virosomes, and liposomes, which are also considered delivery systems.

The most well-known adjuvants in vaccination strategies are aluminum-based mineral salts, also known as alum. Aluminum salts consist of crystalline nanoparticles that aggregate to form a heterogeneous dispersion of particles of several microns. They are highly charged and conducive, which allows the binding between the antigens or immunomodulatory molecules to alum due to strong electrostatic interactions [\[116](#page-33-18), [117\]](#page-33-19). Regardless the progress in understanding aluminum salts, its mode of action is still poorly understood. It is described that in the peritoneal cavity of mice, alum induces recruitment of immune cells through induction of chemoattractants like CCL2 the neutrophil chemotaxin KC (CXCL1) and eosinophil chemotaxin eotaxin (CCL11) [[118](#page-33-20)]. Eosinophils appear to have a crucial role in priming and differentiation of B cells, resulting in robust antibody production and Th2-type immune responses [[119\]](#page-33-21). However, there is evidence suggesting that injection of alum leads to tissue damage and cell death with the release of endogenous danger signals and inflammasome activation, which leads to the release of IL-1β and IL-18, in a caspase-1-dependent manner  $[120]$  $[120]$  $[120]$ . There are some conflicting results with regard to the role of inflammasomes in adjuvant activity of alum, but the most recent idea is that inflammasome activation by alum might play an important role in activating innate immunity, but the contribution of inflammasomes in the activation of adaptive immunity remains unclear [\[119](#page-33-21)]. Aluminum adjuvants act primarily to increase antibody production and are therefore suitable for vaccines targeting pathogens in which the antibodies mediated killing is important and therefore may not be suitable for vaccine strategies where a strong cellular immunity is essential.

An emulsion is a mixture of two nonmiscible liquids and an emulsifier that is required to stabilize the emulsion by increasing its kinetic stability. Depending on the water-to-oil ratio, the type of emulsifier, and the mixing procedure, a water-inoil (W/O) or an oil-in-water (O/W) emulsion may be produced [[121](#page-34-1)]. The MF59 was the first oil-in-water adjuvant to be developed and approved for use in human vaccines, and it is the second most frequently used in licensed vaccines after alum. It is composed by squalene, polysorbate 80, and sorbitan trioleate. Similar to alum, MF59 also induces the release of the chemokines such as CCL2, CCL3, CCL4, and CXCL8, which are involved in cell recruitment from blood into peripheral tissue. MF59 also accelerates and enhances monocyte differentiation into DCs, augments Ag uptake, and facilitates migration of DCs into tissue-draining lymph nodes to prime adaptive immune responses [\[122\]](#page-34-2). MF59 does not seem to be correlated with enhanced antibodies titers, and it seems to induce balanced Th1/Th2 responses [[115](#page-33-17)].

Freund's adjuvants were the earlier used emulsion adjuvants. Freund's complete adjuvant (FCA) is a mixture of 85% mineral oil (Marco 52) and 15% emulsifier (Arlacel A, mannide monooleate) prepared with 500 μg/ml of heat-killed and dried *Mycobacterium tuberculosis*. Incomplete Freund's adjuvant (IFA) lacks the mycobacterial component, making it safer but less potent. However, Freund's adjuvants were considered too reactogenic for continued use in humans [[123\]](#page-34-3).

More recently two new emulsions, adjuvant systems 03 (AS03) and 04 (AS04), were developed. AS03 is composed of squalene,  $\alpha$ -tocopherol, and polysorbate 80, while adjuvant AS04 is composed of monophosphoryl lipid A preparation (MPL) and aluminum salt. AS04 was shown to induce optimal immune responses only when co-localized with antigen, providing a depot effect  $[124]$  $[124]$ . The depot effect at the injection site is the most widely recognized mechanism of action of adjuvants. This slow release of antigens ensures constant stimulation of the immune system and has been correlated with production of high antibody titers. Recent evidence indicates that this is not the main mechanism of action of alum or MF59. AS04 was also found to induce maturation of DCs (via TLR4), which then trafficks to the draining lymph nodes to activate antigen-specific T cells [\[124](#page-34-4)]. AS03 was found to upregulate cytokines, such as colony-stimulating factor 3 (CSF3) and IL-6 and chemokines as CCL2, CCL3, and CCL5, which then leads to cellular recruitment at the injection. The mononuclear cells after taking up antigen traffick to draining lymph nodes [[125\]](#page-34-5). These data suggest that the direct effects of AS04 and AS03 adjuvants are on innate immune cells and effectors, activating of APCs and trafficking to draining lymph node, and also inducing secretion of cytokines and chemokines. It is described that these effects will enhance antibody responses and Th1 responses [\[115](#page-33-17), [124](#page-34-4), [125](#page-34-5)].

Virosomes are spherical unilamellar vesicles of phospholipid carrying envelope virus proteins on their surface or encapsulated within the lumen [[126\]](#page-34-6). Influenza virosomes have been used in the first vaccine to use an adjuvant other than aluminum, a vaccine against hepatitis A licensed in 1994 [[126\]](#page-34-6). Influenza virosome ensures robust and long-lasting immune responses against subunit antigens with an excellent safety profile. The adjuvant effect of virosomes is once more related to their ability to enhance antigen processing and presentation by APCs. Through fusion of the virosome membrane with the membrane of endosomes or phagosomes, the encapsulated protein antigens gain direct access to the MHC class I presentation pathway [\[127](#page-34-7)]. In addition, virosomes can also deliver their contents to DCs and

MHC class I and class II molecules and induce maturation of DCs, being able to activate T-helper cells [\[128](#page-34-8)]. This phenomenon of presentation of antigen located in the MHC class II pathway to MHC class I pathway is called "cross presentation" and helps the development of both T-helper and T cytotoxic responses, against the antigen [[129\]](#page-34-9). This cross presentation has been considered a major focus to the success of producing an effective immune response to a vaccine, particularly against intracellular parasites. The cytokine profile induced by virosomes, including TNFα, GM-CSF, IFN-γ, and IL-2, is consistent with a Th1 response.

Liposomes are double or multilayered, biodegradable carrier vesicles made up of phospholipids and/or synthetic lipids that consist of a lipid bilayer shell with an aqueous core. Liposomal formulations can be tailored in terms of the lipid composition, the liposomal charge, the size/lamellarity, and/or the membrane fluidity in order to obtain optimal retention of different types of antigens, including adjuvants, and enhancement of the desired vaccine-specific immune responses [\[130](#page-34-10)]. The conjugation of hydrophilic molecules in the surface of liposomes is also possible and further facilitates the uptake by phagocytes [[131\]](#page-34-11). In addition, liposomes are highly acceptable by the human body and present low toxicity. From these characteristics, liposomes are, without any doubt, the most studied carrier system, and the interest for liposome-based vaccines has markedly increased over the last decades.

The lipids selected for liposomal system will ultimately determine their properties and ability of inducing maturation of DCs, which is important to achieve an optimized vaccine carrier. The particle size governs cellular trafficking to secondary lymph nodes, antigen uptake, and cellular responses, and it has been described that small particles (20–200 nm) drain freely toward lymph nodes, while large particles (500, 1,000 nm) are dependent on DCs for transport to the lymph nodes [[132\]](#page-34-12). The selection of the type of lipids also determines liposomal membrane fluidity, which is linked to cellular trafficking and antigen presentation to APCs. It has been determined that rigid, saturated dimethyl dioctadecyl ammonium (DDA) lipid greatly enhances priming ability of Th1-directed immune response, compared with a fluid unsaturated lipid [[133\]](#page-34-13). The surface charge of the liposome, the zeta potential value, is also determined by their lipid composition and dictates the colloidal stability of the formulation. The surface charge of liposomes has a major importance in the immune response, with cationic liposomes having an advantage over their neutral and anionic counterparts [[131\]](#page-34-11). Indeed, the high surface density of positive charges offers an important platform for antigen adsorption due to electrostatic interactions. Moreover, the interaction between the positively charged liposomes and the negatively charged membranes of APCs facilitates liposome uptake, increasing the vaccine potency without the need to increase the concentration of antigen [[134\]](#page-34-14). In addition, the depot effect at the site of injection has also been described for the cationic adjuvant formulation (CAF) 01, a combination of DDA/TDB (trehalose-6,6-dibehenate) which is currently in phase I clinical trial [[115,](#page-33-17) [135\]](#page-34-15).

In sum, an essential point in the investigation of modern adjuvants is not only the initiation of a potent adequate immune response but mainly targeting APC receptors to achieve such response avoiding as much as possible the toxicity associated. Moreover, the ideal adjuvant should be able to promote an antigen-specific immune

response and should be nontoxic, biocompatible, readily biodegraded and eliminated, inexpensive to produce, stable before administration, and physicochemically well defined to facilitate quality control important to ensure reproducible manufacturing and activity.

## **10.2.5 Vaccine Strategies Against** *Candida* **Infections that Advanced to Clinical Trials**

Many positive results have been achieved in the development of fungal vaccines in the last years. However, few vaccine formulations directed against *C. albicans* have successfully completed phase I clinical trials, and although the majority of the studies are concerned with the development of vaccines against systemic candidiasis, the only approaches that enter clinical trials were against recurrent vulvovaginal candidiasis (VVC). The first study described in the literature is the phase II study of D.651, an oral vaccine designed to prevent VVC prepared with *C. albicans* ribosomes and membrane proteoglycan from a nonencapsulated *Klebsiella pneumoniae* as adjuvant [\[136](#page-34-16)]. Latter, the PEV7 from Pevion Biotech (Ittigen, Switzerland) composed of an antigen fragment of Sap2p embedded in a virosomal formulation with intrinsic adjuvanticity was proposed against VVC infection. Finally, the NDV-3 from NovaDigm Therapeutics (USA) based on a recombinant protein fragment from Als3 with alum as the adjuvant is also in phase 1b/2a clinical trial to evaluate NDV-3 again in patients diagnosed with VVC.

The preliminary phase II study of D.651 vaccine was conducted in 22 women with a history of frequent recurrences of vulvovaginal candidiasis. Vaccine was taken orally and administered intermittently over 6 months in capsules. The vaccine was well tolerated and reduced an average of 3.59 attacks of VVC per 6 months to an average of 0.55 attacks per 6 months. However, this trial was conducted without placebo, and no further studies on this vaccine have been documented.

The PEV7 vaccine is based on a truncated and enzymatically inactive recombinant aspartyl proteinase-2 (rSap2) of *C. albicans* presented on the surface of influenza virosomes [\[106](#page-33-8), [107,](#page-33-9) [137\]](#page-34-17). PEV7 was considered to be safe in a repeated-dose toxicological study in rats and generated a robust serum IgG and IgA anti-Sap2 antibody response in mouse and rat models after intramuscular and intravaginal immunizations. Phase I clinical trial used lyophilized virosome formulations for intramuscular and intravaginal application with the intention to assess the safety and immunogenicity of PEV7 via a systemic prime/mucosal boost regime in 48 healthy volunteers. Half of the women received intramuscular injections, while the other half received intravaginal capsules. This capsular formulation for intravaginal application represents an innovation with the advantage of an easier administration, favoring patient compliance. Results showed that 100% of the vaccinated women developed specific and functional B-cell memory. Both routes of vaccination induced a rapid and specific production of antibodies either in serum and/or cervicovaginal secretion, which was very encouraging with regard to the therapeutic potential of the vaccine [\[138](#page-34-18)]. A summary of the study can be found at [clinicaltrials.](http://clinicaltrials.gov) [gov](http://clinicaltrials.gov) website, identifier number NCT01067131.

The NDV-3 trial is a multicenter, double-blind, randomized, placebo-controlled study to evaluate the safety, tolerability, immunogenicity, and efficacy of this vaccine. Due to previous results, this vaccine was developed to treat and prevent infections caused by *Candida* but also to *Staphylococcus aureus*, being the first vaccine to demonstrate preclinical cross kingdom potential [\[103](#page-33-5)]. This phase 1b/2a trial involved 188 patients from multiple centers in the United States with the aim to study and estimate the effect of a single, intramuscularly administered dose of NDV-3, as compared to placebo, by evaluating safety and tolerability, as well as humoral and cellular immune responses. The study will also summarize recurrence of VVC over six- and 12-month period, time-toonset of first VVC episode, and severity of subsequent VVC episodes. A summary of the study can be found at [clinicaltrials.gov](http://clinicaltrials.gov) website, identifier number NCT01926028.

## **10.2.6 Concerns to Overcome to Further Advance in Fungal Vaccines**

The development of innovative vaccines to protect patients from fungal and bacterial infections that can be recurrent, drug resistant, and in some cases life-threatening is still necessary. Other vaccines are ready to enter clinical trials if industrial interest and sufficient monetary support can be raised. Nevertheless, there are still some crucial points to solve such as (1) the majority of the studies that were performed in animal models that have limitations, (2) further understanding of the mechanisms of the *C. albicans*-host interaction in mucosal and systemic infections, and (3) the development of autoimmunity.

The majority of the studies have been developed in animal models that although very useful do not fully recapitulate infection by the opportunistic commensal *C. albicans*. Mice and humans are undoubtedly substantially different regarding their innate immune response to *Candida*. One of the main limitations is that, unlike humans, mice do not have a *C. albicans* GI flora and they lack *Candida* serum antibodies. Several attempts to develop a more real model have been made, and there have been indications that *Candida*-colonized mice were able to developed fungalspecific antibodies in the serum due to the colonization and that these animals, if immunized with a specific protein, were able to develop specific antibodies to that immunogen [\[139](#page-34-19)]. However, the information that can be gained from using such mice is still limited. The consequences may be that vaccines that are very efficient in the animal model could be less effective in human, and the protection of the vaccine is not persistent or high grade or cause unacceptable toxicity. Thus, in preclinical trials, the effectiveness of vaccines should be tested in different species of animals, including mice, rabbits, and monkeys. The infection models could include immune-normal animals and immune-deficient animals and could involve variations in the symbiotic bacteria.

To design any vaccine, the mechanisms that confer protective immunity in the host against fungi must be fully understood. This knowledge is important in the selection for constituents from the fungus that elicit the particular type of immune response. In addition, there is little control of the *C. albicans* strain used in the studies, the dose of the inocula to produce the intended outcome of death or tissue infection, the dosage and delivery time of the vaccines to produce the greatest protection effect, the information regarding the different outcomes form various routes of *Candida* infection, or the multiple parameters tested, such as tissue CFU and survival rates. This is further complicated in the development of a multivalent vaccine. Although substantial advances have been made in the understanding of host-*Candida* interactions, the current paradigm of *C. albicans*-specific immunity suggests that pathogenic growth of the fungus is prevented by a combination of innate and adaptive Th1/Th17 responses, which activates phagocytes as the major candidacidal effectors [[33](#page-30-1), [58](#page-31-3)]. However, despite the idea that Th17 cells are protective against fungal infections, recent studies suggest that inflammatory Th17 and Treg responses are protective at mucosal surfaces, but in systemic candidiasis, a time-dependent and coordinated Th17/Treg response is fundamental for a positive outcome [\[63](#page-31-8)]. The balance between protective versus pathogenic immunity is crucial in determining the disease outcome. This is one of the reasons that justify why vaccines against mucosal candidiasis have advanced to clinical trials. Another concern in the development of subunit vaccines is the adjuvant used. Adjuvants have been used for long time, but their mechanisms of action are not fully understood. They may act by a combination of various mechanisms to create a local immunocompetent environment at the injection site. Many of the preclinical studies were conducted using adjuvants that are not recommended for human use such as the Freund's adjuvant, and when switched to an adjuvant recommended, the results were not the same and in many cases were lower than expected. In addition, and probably due to the lack of knowledge about their mechanism of action, adjuvants are selected just taking into consideration what is in use. Adjuvants should be selected depending on the type of innate responses that are needed to be activated, because they may alter the quality and quantity of adaptive immune responses. That is why just changing the adjuvant without considering its mechanism of action may not produce the expected results. Thus, more studies should be developed in order to better understand the mechanisms of action of adjuvants, providing critical information that will help in a rational design of vaccines and inform on adjuvant safety. These informations will lead to the recommendation of new adjuvants for human use.

The development of autoimmunity was always an important concern, particularly in the case of commensal species as *C. albicans*. Keeping the balance of the immune system in eliminating invading pathogens, while still maintaining selftolerance to avoid autoimmunity, is critical for the body's health. In this balance, the mucosa microbiota provides benefits to the host by actively participating in the regulation of immune homeostasis. These evidences are confirmed by the consequences of altering the gut microbial communities, termed dysbiosis, such as the autoimmune disorders. The mucosa microbiota has a profound effect on both

the innate and adaptive immune system so it is not surprising that some members of the microbiota have been linked to autoimmune diseases. It has been determined that the microflora of mice with colitis fails to metabolize tryptophan into metabolites that act as aryl hydrocarbon receptor (AHR) ligands, modulating production of IL-22, an important cytokine for intestinal homeostasis [[140](#page-34-20)]. Intestinal inflammation is attenuated after inoculation of mice with three *Lactobacillus* strains capable of metabolizing tryptophan or by treatment with an AHR agonist. Despite difficulties in fungal DNA extraction methods and fungal identification methods, the gut, oral, lung, and skin niches presented four genera in common: *Aspergillus*, *Candida*, *Cryptococcus*, and *Penicillium* [[141](#page-34-21)]. Fungi have long been suspected to be involved in inflammatory bowel disease (IBD) pathogenesis since many genes involved in the immune response against fungi have associated single nucleotide polymorphisms (SNPs) in IBD patients, such as in DECTIN-1, CARD9, or NFkBp105 genes in the signaling pathways of antigen-presenting cells and IL12p40, IL23R, or IL-6ST, cytokines and cytokine receptors involved in Th17 and Th1 polarization, among others [[142](#page-34-22)]. These findings suggest a role of fungi in inflammatory pathogenesis. Thus, it is not surprising to find that fungi, and particularly *C. albicans*, are more abundant in the gut microbiota of these patients. But this enhancement in the fungal population is particularly related to a genetic defect in microbial sensing in the susceptible human population. Using animal models, gut inflammation induced by dextran sodium sulfate (DSS) promoted *C. albicans* growth, and this growth enhances inflammation. Thus, it seems that there is a vicious circle in which intestinal inflammation induces *C. albicans* proliferation, which itself enhances the inflammatory process. That is why it is described that *C. albicans* has a negative impact on inflammation. Thus, any factor that significantly alters the local microbiota such as prolonged use of broadspectrum antibiotics enhances the fungal population in the microbiota and augments intestinal inflammation, contributing to the initiation of the vicious circle and enhancing the changes of fungi translocation and systemic infection. But this predisposition to increased inflammation may not be a direct action of *Candida* in inducing the autoimmune dysfunction, unless patients present genetic defect in fungi sensing. Indeed, host genes affect the composition and function of the gut microbiota.

In order to induce an autoimmune dysfunction, vaccination against *C. albicans* either enhances chronic inflammation, particularly at the mucosa, or develops an adaptive response against an epitope similar to a human molecule. Antibodies against *Saccharomyces cerevisiae* mannan as well as against other fungal cell wall components, such as anti-laminarin that identifies β-1,3-glucans and against β-1,4- N-acetylglucosamine, present in the chitin layers, have been shown to be associated with Crohn's disease [\[141,](#page-34-21) [143](#page-34-23)]. But once more, the existence of these antibodies may be due to the fact that in susceptible individuals, intestinal inflammation induces *C. albicans* proliferation, enhancing the changes of fungi translocation and being seen by the immune system. There is no evidence that in normal individuals the presence of these antibodies enhances the probability of mucosa inflammation. On the contrary, from the vaccination studies against *Candida*

vaginal infections, a reduction in the inflammation sign as well as a local reduced fungal burden is documented [[144](#page-35-0)]. However, regarding vaccine development studies against systemic candidiasis, mucosal inflammation is not a parameter that is frequently included in these studies. Thus, the study of the induction of inflammatory at the mucosa should also be included in the studies addressing systemic candidiasis.

In terms of cross-reactions responses against molecules/epitopes with homology to human molecules, epitope mapping analysis has showed that some heat-shock proteins epitopes from *C. albicans* may have as much as 100% direct homology with human heat-shock proteins [[76\]](#page-32-1). Thus, antibodies developed against the heatshock proteins, particularly Hsp90p, raise the concern of development of autoimmune reactions. In children idiopathic short stature with *C. albicans* and/or *H. pylori* gut colonization, it was reported that the incidence of autoantibodies against selected neuropeptides is high due to molecular mimicry between antigens of these microbiota and the selected neuropeptides [[145\]](#page-35-1). However, further studies are necessary to elucidate this issue. To avoid induction of these autoantibodies by vaccination, the selection of target molecules is essential, molecules that are unique to the fungi should be addressed, and an epitope mapping analysis should always be performed.

In summary, despite the advances in our knowledge and understanding in pathogenesis and immune responses, invasive fungal infections continue to result in significant morbidity and mortality in critically ill patients. The traditional antifungal chemotherapy has met some limitations, such as the toxicity and emergence of resistance, limiting their effectiveness. Therefore, there is an urgent need to improve treatment options for these patients, and vaccines can represent novel approaches against fungal infections. Although the currently available vaccines have demonstrated good protection, there is still a long way to go before a vaccine to be applied in patients with predisposing factors to systemic fungal infections will be achieved.

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