**Cancer Dissemination Pathways** *Series Editor:* Daniele Regge

Daniele Regge Giulia Zamboni *Editors* 

# Hepatobiliary and Pancreatic Cancer



Cancer Dissemination Pathways

Daniele Regge, Candiolo Cancer Center, Torino, Italy Series Editor

This series uses a practical and clinically driven approach to describe the pathways of cancer dissemination, enabling readers to select the best therapeutic option for each patient and to predict disease outcome. Each volume includes an introduction to the morphopathological characteristics and genetic drivers of tumour spread, followed by a chapter describing the radiological signs and pathways of diffusion. The subsequent chapters include a systematic review of pathways of dissemination of each neoplasm. The content is presented schematically, with high-quality illustrations and images obtained from various imaging modalities. The clinical significance of findings and possible therapeutic options is also described where relevant. Daniele Regge Giulia Zamboni Editors

# Hepatobiliary and Pancreatic Cancer



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#### Foreword

The editors of this book, Prof. Dr. Daniele Regge and Dr. Giulia Zamboni, are highly esteemed experts in the field of abdominal and oncologic imaging. Together with other eminent imaging scientists in this area, they compiled a most informative book. The reader can acquire valuable and practical information on tumors of the hepatobiliary and pancreatic system and the spread of these neoplasms. After an introductory chapter on the principal mechanisms of tumor dissemination, specific hepatobiliary and pancreatic tumor entities, such as hepatocellular carcinoma, cholangiocarcinoma, bile duct and gallbladder tumors, pancreatic adenocarcinoma, neuroendocrine pancreatic tumors, mucinous carcinoma, and IPMN are discussed.

All chapters follow a common structure: after an overview on epidemiology, risk factors, pathology, diagnosis, staging and treatment, and the patterns of local as well as regional and distal spread are described. I found this book to be a very informative and stimulating read. The presentations are concise and easy to follow for the reader. Understanding is supported by excellent radiological images and illustrated by schematic representations. The pathology and the natural history of the diseases are mentioned so that the dissemination pathways can be reproduced. This also allows for understanding the therapeutic implications of radiological findings and should enable the readers to generate correct and meaningful radiological reports.

In this book, the most recent tumor classifications and guidelines are shown and critically discussed, which again should help the radiologist put his or her findings into perspective and thereby contribute to state-of-the-art therapy planning.

I am indeed convinced that this book may greatly contribute to adequate and precise assessment and treatment planning in patients with hepatobiliary and pancreatic tumors. I hope it will achieve the great success it rightly deserves.

#### **Maximilian Reiser**

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#### Contents

1	Mechanism of Tumour Dissemination in Hepatobiliary and Pancreatic Tumours		
	Daniele Regge, Giovanni Cappello, and Alberto Pisacane		
2	Radiological Signs of Tumor Dissemination	13	
	Daniele Regge, Giovanni Cappello, and Giulia Zamboni		
3	Hepatocellular Carcinoma	27	
	Irene Bargellini, Laura Coletti, and Giulia Lorenzoni		
4	Intrahepatic Cholangiocarcinoma	53	
	Maxime Ronot and Valérie Vilgrain		
5	Bile Duct and Gallbladder Tumors	67	
	Stefano Cirillo, Alessandro Ferrero, Teresa Gallo, Nadia Russolillo, and Stefano Cavanna		
6	Pancreatic Adenocarcinoma	83	
	Giulia Zamboni, Maria Chiara Ambrosetti, Laura Maggino, and Giuseppe Malleo		
7	Neuroendocrine Pancreatic Tumors	99	
	Marco Miotto, Giovanni Marchegiani, and Giulia Zamboni		
8	Mucinous Carcinoma and IPMN	111	
	Maria Chiara Ambrosetti, Matilde Bacchion, Alex Borin, and Roberto Pozzi Mucelli		

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### **Abbreviations**

ADC	Apparent diffusion coefficient	MEN1	Multiple endocrine neoplasia type 1
AFP	Alfa-fetoprotein	MRCP	Magnetic resonance
AJCC	American Joint Committee on		cholangiopancreatography
	Cancer	MRI	Magnetic resonance imaging
BCLC	Barcelona Clinic Liver Cancer	MVI	Microvascular invasion
<b>BD-IPMN</b>	Branch duct IPMN	NCAM	Neural cell adhesion molecules
BRPC	Borderline resectable pancreatic cancer	NCCN	National Comprehensive Cancer Network
BTT	Biliary tumor thrombus	NF1	Neurofibromatosis type 1
		NK	Natural Killer
CT	Computed tomography		
CINM	Clinical tumor-node-metastasis	PC	Plexus pancreaticus capitalis
DWI	Diffusion weighted imaging	PDAC	Pancreatic ductal adenocarcinoma
		PET	Positron emission tomography
EASL	European Association for the Study	PNET	Pancreatic neuroendocrine tumors
	of the Liver	PNI	Perineural invasion
ENETS	European Neuroendocrine Tumor Society	PRRT	Peptide receptor radionuclide therapy
eCC	Extrahepatic cholangiocarcinoma	pTNM	Pathological tumor-node-
ECM	Extracellular matrix	DTV	Metastasis
EM	Extrahepatic metastasis		Peritumoral lymphatic vessels
NAFID	Nonalcoholic fatty liver disease		Portal vein vascular invasion
	tionalconolic latty liver alsease	r VI	Fortal vent vascular invasion
GC	Gallbladder carcinoma	RCT	Randomized controlled trial
GB	Gallbladder	RF	Radiofrequency
HVI	Hepatic vein vascular invasion	RLN	Regional lymph nodes
HCC	Hepatocellular carcinoma	SEER	Surveillance, Epidemiology, and
HGDN	High-grade dysplastic nodules		End Results
HIV	Human immunodeficiency virus	SLN	Sentinel lymph node
		SMV	Superior mesenteric vein
	Intrahepatic cholangiocarcinoma	SSA	Somatostatin analogues
IPMIN	neoplasms	TACE	Trans-catheter arterial
IPNB	Intraductal papillary neoplasm of		
ISGPS	International Study Group of	TAE	Trans-catheter arterial embolization
15015	Pancreatic Surgery		Tumor-node-metastasis
		ISC	Tuberous scierosis complex
LGDN	Low-grade dysplastic nodules	UICC	Union Internationale Contre le
LI-RADS	Liver Imaging Reporting and Data System		Cancer/International Union Against Cancer
LTx	Liver transplantation	US	Ultrasound
MCN	Mucinous cystic neoplasms	VHL	von Hippel Lindau
MDCT	Multidetector computer tomography	WHO	World Health Organization
MD-IPMN	Main duct IPMN	Y90-RF	Yttrium-90 labeled spheres



# Mechanism of Tumour Dissemination in Hepatobiliary and Pancreatic Tumours

Daniele Regge, Giovanni Cappello, and Alberto Pisacane

1	.1	 Loca	Sp	oreac	- 2

- 1.1.1 Expansive Growth 2
- 1.1.2 Infiltrative Growth 3
- 1.2 Metastases 4
- 1.2.1 Intravasation 5
- 1.2.2 Circulation 7
- 1.2.3 Extravasation and Secondary Tumour Formation 8
- 1.2.4 Organ Specificity of Metastases 8
- 1.3 Perineural Invasion 8
- 1.4 Conclusions 10

**References – 10** 

#### 1.1 Local Spread

Local spread is defined as the diffusion of a tumour within an organ or throughout adjacent structures by contiguity. It represents the ability of cancer cells to thrust aside adjacent tissues to actively invade them and/or destroy them [1]. Tumours may either expand into adjacent tissues, spread locally through direct infiltration or disseminate along blood and lymphatic vessels, nerves, and excretory biliary ducts.

#### 1.1.1 Expansive Growth

Location and tumour characteristics affect the ability of cancer cells to spread locally. Some tumours, such as hepatocellular carcinoma (HCC), may have an inclination for *expansive growth*. Compression of the liver parenchyma by the expanding tumour may stimulate the development of a capsule that is composed of an inner layer of tight relatively pure fibrous tissue containing thin slit like vascular channels, and of an outer layer composed of looser fibrovascular tissue containing portal venules, bile ducts, and prominent sinusoids [2, 3] (**•** Fig. 1.1). Patients

with intact tumour capsule have a higher survival rate, suggesting that the capsule is a physical barrier to tumour spread [3–5].

Expansive growth is common also in intrahepatic cholangiocarcinoma (iCC) where malignant cells penetrate the bile duct wall and spread between the hepatocyte layers infiltrating the hepatic sinusoidal spaces [6]. Surrounded by liver parenchyma, iCC grows three-dimensionally, presenting itself as an irregularly but well-defined shaped solid mass [7, 8], not invading a major branch of the portal triad and with a peripheral fibrous component [7, 9]. iCC is rarely symptomatic in the early stages [6] and can achieve a large dimension before being diagnosed. Patients may develop symptoms when the large mass causes compression and dilatation of a large bile duct or when liver capsular invasion and retraction is present.

Pancreatic mucinous cystic neoplasms (MCN) are frequently characterized by an expansive growth. Typically, they appear as thick walled, unilocular/ multilocular cystic tumours [10] surrounded by a capsule composed of an inner epithelial layer secreting mucin and by an external layer of dense cellular ovarian-type stroma [11]. In a late phase, invasive carcinoma cells may infiltrate the capsule and reach its outer layer (intracapsular invasion) or



**Fig. 1.1** Tumour capsule in HCC (*Tum*); inner layer with slit like vascular channels (*asterisk*) and outer layer (*hash*)

3



**Fig. 1.2** Extrahepatic cholangiocarcinoma; intraductal growth in a dilated bile duct (*asterisk*)

extend into the surrounding pancreatic tissue and/ or thrust within the peritoneal cavity (extracapsular invasion) [12]. Spread of tumour through vascular, lymphatic, or neural structures is however a rare occurrence and this partly explains the favourable prognosis of MCN in comparison to the more aggressive pancreatic adenocarcinoma [10].

Extrahepatic cholangiocarcinoma (eCC) may extend to the bile duct or gallbladder wall by either intraductal, nodular or infiltrative growth [13, 14]. The intraductal eCC type has a distinct expansive growth pattern that can be characterized by a superficial mucosal spread along the bile duct lumen [6, 13, 15] (reported in 10–75% of cases) [13]. It can form intraductal papilla or mime a tumour thrombus, leading to peripheral bile duct dilatation (**•** Fig. 1.2). Extension through the duct wall and stromal invasion is rare and explains the better prognosis of this type of eCC [14, 15].

#### 1.1.2 Infiltrative Growth

Most pancreatic and biliary tumours have an infiltrative behaviour, which partly explains their dismal prognosis. From a pathological standpoint two different intramural infiltration growth-types have been observed in gallbladder cancer: the infiltrative growth-type, with muscle preservation, and the destructive growth-type where the muscle layer is destroyed [16-18]. The latter generally presents a more aggressive behaviour, also leading to a higher probability of lympho-vascular spread [16-18].

The aggressive behaviour of some tumours has also been linked to their surrounding environment. In pancreatic ductal cancer, for example, tumour-stromal interactions contribute in oncogenic signalling, promoting the synthetization of different components of the extracellular matrix (ECM), which stimulate the formation of a marked fibrosis and dense desmoplastic reaction, leading to a fibroblast-mediated tumour growth and progression [19-21]. Macroscopically, pancreatic adenocarcinoma forms a solid and firm, highly sclerotic mass, with poorly defined tumour burden and sends long tongues of neoplastic cells extending beyond the main tumour [22, 23] ( Fig. 1.3). Because the pancreas is not enclosed in a distinct capsule, the tumour easily invades the surrounding pancreatic fatty tissue, resulting in an infiltration of the prosperous network of lymphatic, vascular, and nerve structures and in a dissemination of



**Fig. 1.3** Infiltrative growth in pancreatic adenocarcinoma; tongues of neoplastic glandular tissue (*asterisk*) in a reactive, desmoplastic stroma (*plus*) infiltrating through involuted pancreatic parenchyma (*hash*)

malignant cells throughout these routes [23]. The pancreas is located in the retroperitoneal space and presents a very close anatomic relationship with a broad variety of structures and organs in the upper abdomen [24]. As a result, by the time it is detected pancreatic adenocarcinoma has usually spread beyond the gland invading adjacent organs by contiguity. Tumours arising from the pancreatic head or uncinated process are often associated with a direct compression or invasion of the bile duct and the duodenum while tumours originating from the body or the tail may directly involve the stomach and spleen [25, 26].

Infiltrative spread is influenced by the anatomy of the organ where the tumour originates. The gallbladder wall, for example, is composed of only four layers: mucosa, lamina propria, an irregular muscle layer, and externally by a thin stratum of connective tissue [18, 27, 28]. The absence of the submucosal layer and the irregular presence of the muscular layer make it easy for the tumour to cross the GB wall and to invade the adjacent structures by contiguity [27–30]. Moreover, since the hepatic surface of the GB lacks of the serosa layer, the connective tissue of the GB is continuous with the interlobular connective tissue of the liver. These unique anatomical characteristics explain why the direct infiltration of the liver and of the sub-hepatic space are the most common routes of local dissemination in GB cancer originating from the fundus or body [18, 28]. Conversely, tumours arising from the GB neck spread along the cystic duct and reach the extrahepatic bile duct, resulting in biliary obstruction [28, 31].

#### 1.2 Metastases

Metastases arise from the spread of cancer from the primary site to distant organs. The metastatic process consists of a series of steps all of which must be accomplished for metastatic tumour to develop (• Scheme 1.1). When the primary tumour reaches a size of 1–2 mm new vessels develop to provide nutrients and oxygen to cancer cells. These vessels also allow cancer cells to migrate through the endothelial barrier into the blood stream. Cells that survive in the blood circulation may extravasate in a new organ and enter the surrounding tissue where they can grow and develop new blood vessels. Tumour may spread to distant organs also

5



• Scheme 1.1 Steps of the metastatic cascade. During tumour growth (*A*), an increased amount of nutrients and oxygen is required by cancer cells. For this reason, the tumour produces growth factors, which stimulate the formation of new vessels (neoangiogenesis) (*B*). The highly permeable newly formed capillaries favour the migration of cancer cells into the blood stream where they can spread to other tissues (circulation) (*C*). Because of their dimensions, malignant cells get stuck in the lumen of

via the lymphatic system. The above described represents a stepwise process that will be explained in more detail in the following sections.

#### 1.2.1 Intravasation

*Intravasation* refers to the process in which cancer cells enter the lumen of blood vessels or lymphatics [1]. As aforementioned, the uncontrolled growth of cancer cells amplifies the metabolic activity of newly formed tissues which in turn increases the need for nutrients and oxygen. To provide nutrients and oxygen, neoplastic cells secrete growth factors that attract endothelial cells to the tumour; the latter secretes enzymes to degrade the basement line of capillaries and promote the formation of new blood vessels and lymphatics [32–35].

capillaries where they proliferate forming an intraluminal thrombus and then extravasate (*D*). Once in the new site, cancer cells may remain dormant for a long time (*E*) or they may start to grow again, forming a metastasis (*F*). Alternately, the intraluminal thrombus formed by cancer cells (*G*) may grow and determine the destruction of cell walls (*H*) facilitating the access of the proliferating tumour into the new tissue (*I*)

#### 1.2.1.1 Angiogenesis

The process by which tumour neovessels sprout from existing blood vessels is referred to as tumour angiogenesis [36]. Tumour vessels are malformed and highly permeable and favour the migration of neoplastic cell into the body blood stream. Characteristically, epithelial cells that give rise to most pancreatic and hepatobiliary cancers are characterized by the absence of motility due to tight cell-cell adhesion and the anchoring to the ECM by the basement membrane [1]. Reorganization of matrix constituents during tumour growth results in the disruption of the architecture of the ECM, promoting cell transformation, tumour cell motility, and migration [37]. Once near a vessel, the proteases produced by the malignant cells degrade the endothelium of the vessel enabling the access of cells into the blood stream [1].



**Fig. 1.4** Small satellite nodule of HCC (*asterisk*) and surrounding liver (*hash*)

In HCC, the process of intravasation is akin to the pathological concept of microvascular or macrovascular invasion of blood vessels [3]. Microvascular invasion (MVI) is defined as the presence of microscopic tumour invasion within the small vessels surrounding the tumour edge, visible only at microscopy [38]. Its presence is an important risk factor and determines an increased tumour recurrence rate after surgery [3, 39, 40]. Sumie et al. reported a 3-year recurrence-free survival rate in individuals with HCC that underwent liver resection with and without venous MVI, respectively, of 27.7% and 62.5% [41]. MVI can be mild, when one to five vessels are invaded, or severe, when more than five vessels are invaded [41]. Patients with HCC and severe MVI have a more dismal prognosis [41]. In both HCC and iCC, MVI may involve small veins on the tumour edge and result in the formation of "satellite" nodules within the venous drainage area surrounding the main lesion (**•** Fig. 1.4). Differently from "satellite" nodules, tumour nodules that develop in other liver segments are classified as distant metastases [3].

Macroscopic invasion takes place when the tumour thrombus is visible at gross pathologic examination and/or at imaging [38]. HCC patients

with macrovascular invasion have a poor prognosis and surgical resection is usually not a treatment option [42]. It follows that identification of venous invasion at imaging is of paramount importance to plan treatment of these patients and is included in the scoring systems that determine treatment strategies in HCC patients [43–45]. While vascular invasion is exceptional in other types of liver tumours, it may occasionally be observed in pancreatic endocrine tumours [46].

#### 1.2.1.2 Lymphangiogenesis

Lymphatic vessels compass a unidirectional fluid recycling system and play a pivotal role in tumour dissemination [47]. In normal conditions, fluid and cells are uptaken by lymphatic capillaries and conveyed down the lymphatic ducts to the regional lymph nodes and ultimately through the thoracic duct into the venous system [48]. Tumour cells can bolster lymphangiogenesis by altering the surrounding microenvironment and by promoting the secretion of soluble molecules that stimulate the enlargement of tumour lymphatic vessels [49]. Differently from angiogenesis, lymphatic capillaries grow mainly around the tumour (peri-tumoural lymphatic vessels; PTV) while intra-tumoural lymphatic vessels are small,

7





• Scheme 1.2 Lymphangiogenesis and lymphatic spread. During tumour growth (*A*), cancer cells secrete lymphangiogenic cytokines which stimulate the growth of new lymphatic vessels, mainly at the tumour edge (*B*). Tumour cells invade the extracellular matrix and infiltrate the lymphatic capillaries (*C*). Malignant cells move with

collapsed, and non-functional. As a consequence, only the PTV have the capacity to absorb fluid and cells and act as routes for cancer spread to regional lymph nodes [50]. Cancer cells are transported from the tumour to the lymphatic capillaries where the interstitial fluid pressure is lower and invade into their lumen through the open interendothelial gaps [51]. Lymphatic endothelial cells can support the process by secreting chemotactic factors that attract malignant tumour cells within the lymphatic lumen [52].

Tumour cells that enter the lymphatic system are transported to the regional lymph nodes (RLN) and grow to become secondary tumours (• Scheme 1.2). The first RLN where tumour cells metastasize is the sentinel lymph node (SLN) [53]. From there cancer cells can either travel in the lymph and eventually enter the circulation, or intravasate into the blood capillaries of the lymph node [48, 51]. Most advanced hepatobiliary and pancreatic tumours metastasize to RLN while those in the early stages rarely disseminate into the lymphatic system. In epithelial tumours,

the lymphatic stream into the sentinel lymph node and invade their cortex (*D*). From there, cancer cells can either travel in the lymph, reaching downhill lymph nodes (*E*) and eventually the thoracic duct from where they enter the blood circulation, or intravasate in the blood capillaries of the lymph node (*F*)

the presence of metastasis in RLN is one of the most important negative prognostic factors. Furthermore, the concept of SLN has dramatically changed the surgical approach to treatment of some tumours, which has evolved in nature from radical to minimal [54].

#### 1.2.2 Circulation

Cancer cells that enter the lumen of vessels or lymphatics migrate to distant tissues and form metastases in other organs. This is an extremely inefficient process as only <0.01% of tumour cells eventually form distant metastases [55, 56]. Typically, a 1-g tumour is composed of billions of cells that can shed 1–4 million cancer cells and most of these will die in the first 24 h by either attrition or direct cytotoxicity and lysis by Natural Killer (NK) cells on immunosurveillance [57]. Liver and lung are very efficient in arresting cancer cells, essentially by size restriction. Liver capillaries are small (3–8 µm in diameter) and are designed to allow transit of red blood cells (7  $\mu$ m in diameter and deformable), whereas cancer cells are quite large and usually stop in the pre-capillary vessels (20  $\mu$ m in diameter) [58].

#### 1.2.3 Extravasation and Secondary Tumour Formation

Cancer cells that survive and get stuck in small vessels *extravasate* into tissues by penetrating the endothelium. Extravasation can take place by two different mechanisms. In the first, cancer cells start proliferating within the vessel lumen [1]. With growth, the cell walls are destroyed paving the access to the host tissue. In the second, cancer cells degrade the endothelium and basement membrane through proteolysis [1].

Extravasation does not necessarily result in metastasis. Most single cells that enter tissues from circulation either are destroyed by immune cells, go into apoptose or remain dormant [59]. Some metastases can reside within the new environment without proliferating due to the absence of growth factors of the original tissue [60]. Micro-metastases can undergo various mutations during time, giving the tumour the potential to proliferate. Once the tumour has reached a size of 1–2 mm, neo-angiogenesis takes place regulating the transition between the avascular to the vascular phase [1]. At this point, the patient faces a poor prognosis.

#### 1.2.4 Organ Specificity of Metastases

Metastases show an organ-specific pattern of spread. For example, colorectal cancer has a propensity to metastasize to the liver while breast cancer develops brain, bone, and lung metastases. As mentioned above, other tumours metastasize to RLN and can then either enter blood circulation or keep on travelling in the lymphatic system [47]. Stephen Paget in his 1889 paper [61] observed that "distribution of secondary growths (metastases) was not a matter of chance" and hypothesized the "seed and soil" theory. According to Paget's theory, organ-specific distribution of metastases depended both on the characteristics of cancer cells (the seed) and of the secondary organ (soil). The "seed and soil" theory was later challenged by James Ewing which suggested that blood-flow

patterns determine which organ tumour cells reach first [62]. Indeed, the two mechanisms are not mutually exclusive and probably both have a role in the development of metastases [63–65]: the initial delivery of the tumour cells seems to be mechanically driven (circulatory theory) while the secondary growth depends on tumour compatibility with the host organ (seed and soil theory) [66]. The "seed and soil" hypothesis is now widely accepted and numerous genetic and epigenetic alterations have been found that endow cancer cells with the competence to colonize distant organs [67]. Haematogenous dissemination of pancreatic cancer cells to the liver and the development of aggressive metastases are very common and accounts for the high relapse and mortality rates of this type of cancer [67]. Certainly, the high frequency of liver metastases from pancreatic cancer is due to anatomical reasons since blood drains to the pancreas through the portal system [68]. It has also been hypothesized that blood entering the portal circulation follows a streamline phenomenon such that patients with carcinoma of the tail and body of the pancreas, particularly in the presence of splenic vein invasion, develop metastases preferably in the left lobe [68]. However, the presence of a short latency in metastasis relapse and the aggressiveness of pancreatic adenocarcinoma can be explained only by genetic mechanisms [69]. Like pancreatic adenocarcinoma, diffuse type HCC develops via intrahepatic dissemination of cancer cells through the portal vein in a short period of time [70].

#### 1.3 Perineural Invasion

As described in the previous sections, solid tumours spread in three classical pathways: local tumour spread, lymphatic and vascular dissemination. A fourth, less known route of tumour spread is represented by perineural invasion (PNI) [71]. PNI refers to the process of neoplastic invasion in, around, and through nerves ( Scheme 1.3) [72]. This route of dissemination was described for the first time in 1835 by Jean Cruveilheir, a French pathologist, who observed PNI in head and neck tumours [73].

From a pathological perspective, different growth patterns have been described, from a simple abutment on nerve structures to various grade of encasement of the nerve sheath (**2** Fig. 1.5).

9



• Scheme 1.3 Perineural invasion. Perineural invasion refers to the process of neoplastic invasion in, around, and through the nerves. A nerve sheath is composed of three tissue layers (from outside in): the epineurium, the

perineurium, and the endoneurium. Nerve involvement may be characterized by a simple abutment **a** or infiltration **b** of the epineurium or by the presence of neoplastic cells within the perineurium **c** or in the endoneurium **d** 



The presence of tumour cells within any of the three layers of the nerve sheath or encasement of at least 33% of the circumference of the nerve by the tumour tissue are sufficient features to define a PNI [74–76]. PNI can be a source of distant tumour spread and, in some tumours, the sole route of metastatic spread. Involvement of the nerve plexus adjacent to the organs affected by the tumour can be the cause of local or regional recurrence.

It was initially thought that progression along the nerves was favoured by the nerve sheath serving as a low resistance pathway for tumour cell spread [77]. This theory was rebutted when studies with electron microscopy demonstrated that vice versa the nerve sheath is a highly resistant pathway [78-80]. Although the molecular mechanisms of PNI pathogenesis and the aptitude of certain tumours to develop a PNI are still not well understood, the main driver of nerve invasion is the symbiotic relationship between cancer and host, in which both parties facilitate the metastatic process [71, 81]. Signalling mechanisms involving tumour cells, nerve cells, and the stromal environment play a pivotal role, through the production of neurotrophins, chemokines, and proteinases, as matrix metalloproteinases, facilitating tumour invasion [82, 83].

In many hepatobiliary malignancies, PNI has emerged as a key pathological feature and a marker of poor disease outcome [82]. PNI is a common finding in pancreatic cancer (70-100% of cases), bile duct cancer (75-85% of cases), and gallbladder carcinoma (44-72% of cases) [82, 84]. In pancreatic cancer, tumour cells extend by PNI through the plexuses from the celiac and superior mesenteric artery ganglia. In such occurrence, complete tumour removal with safe margins is difficult to achieve and is an important determinant of the patient prognosis. In a series of 72 patients with pancreatic cancer and lymph node negative disease, Ozaky et al. [85] reported a 5-year survival rate of 75% in patients without PNI versus 29% in those with PNI.

#### 1.4 Conclusions

Mechanisms of cancer spread have not yet been fully investigated and much still remains to be unveiled. However, current knowledge on the pathways of tumour dissemination and principles of organ-specific metastatization provide imaging doctors with tools for better comprehension of findings and clinicians with useful information for treatment planning and prognostic assessment.

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11

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# Radiological Signs of Tumor Dissemination

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2.1	Introduction – 14
2.2	Local Spread – 14
2.2.1	Expansive Growth – 14
2.2.2	Infiltrative Growth – 16
2.3	Vascular Invasion – 19
2.4	Lymphatic Involvement – 21
2.5	Perineural Invasion – 22

2.6 Distant Metastases – 23

**References – 23** 

#### 2.1 Introduction

Assessment of tumor spread by imaging is important for treatment planning and to predict patient prognosis. Most significantly, imaging findings allow tailoring of surgical resection to the individual patient. For example, when imaging shows hepatocellular carcinoma (HCC) confined to one or few liver segments, patients can undergo parenchyma-sparing surgery allowing them to retain sufficient liver functionality even when chronic disease is present. Conversely, by identifying signs of locally advanced disease or distant metastases, oncologists may have but the option of neoadjuvant therapy or of palliative treatment, sparing unnecessary surgery to the patient.

Ultrasound (US) has a minor role in the assessment of tumor spread, due to its narrow field of view. However, it remains the primary imaging test for the surveillance of patients at risk for developing tumors in the abdominal parenchymas, e.g., the liver for HCC or metastases [1]. Computed tomography (CT) and magnetic resonance imaging (MRI) are the main imaging techniques for tumor diagnosis and staging; the latter may depict tumor functional characteristics and relate them to tumor aggressiveness. CT is usually the primary imaging test for staging of hepatobiliary and pancreatic neoplasia as it allows wide body coverage and has a high spatial resolution. Conversely, MRI's excellent contrast resolution allows better characterization of liver and pancreatic tumors, depiction of satellite nodules, and accurate assessment of tumor spread along the bile ducts.

Staging systems classify tumors according to the extent of their dissemination. Cancer staging allows clinicians to select the best treatment for each patient and provide prognostic information. The most commonly used staging system is the TNM devised by the French surgeon Pierre Denoix in 1943, developed and maintained by the Union for International Cancer Control (UICC) [2]. In the TNM system, T describes the primary tumor and the extent to which it invades the surrounding tissues, N describes the regional lymph node involvement, and M describes the presence or otherwise of distant metastases. TNM was initially adopted both by surgeons (cTNM) and pathologists (pTNM) to stage common solid tumors. With the advent of cross-sectional techniques, imaging criteria have been included in staging systems. TNM and other staging systems developed for hepatobiliary and pancreatic tumors will be discussed in the specific chapters.

#### 2.2 Local Spread

As aforementioned, local spread is defined as the diffusion of a tumor within an organ or to adjacent structures by contiguity. The molecular mechanisms of local tumor spread are now largely known and so is their pathological appearance. In comparison to macroscopic pathological tumor sections, radiological images have a low resolution. Even though imaging signs of local dissemination are gross, they are usually sufficient to assess tumor extent in most cases. In this section, radiological signs of expansive and infiltrative local tumor spread and correlation with pathological features will be described.

#### 2.2.1 Expansive Growth

Many liver and pancreatic tumors have an expansive growth pattern and may be surrounded by a capsule, usually composed of predominantly fibrous tissue containing thin vascular channels [3] or by a pseudocapsule formed by mixed fibrous tissue due to the compression of the tumor on the surrounding parenchyma or by hepatic sinusoids [4, 5]. At CT and MRI, the capsule typically shows a low-attenuating/hypointense rim on the arterial phase and is high-attenuating/hyperintense on the portal venous and on delayed phase images due to the retention of the slow-flowing contrast agent within the peritumoral microvessels [5, 6] ( Fig. 2.1). In progressed HCC, the presence of a capsule favors minimally invasive approaches to treatment and has a favorable prognosis in comparison to HCCs of similar grade but without or with a disrupted capsule [7-9]. Cystic tumors are frequently encapsulated and have smooth margins, and capsule imaging features may correlate with risk of malignancy. For example, thickwalled mucinous cystic tumors of the pancreas have a higher risk of malignancy than thin-walled lesions [10] ( Fig. 2.2).

Tumors without a capsule may also exhibit an expansive behavior. iCC that present with abundant fibrous stroma in the lesion center and a



• Fig. 2.1 MRT1-weighted image during i.v. administration of gadolinium chelates. The image shows a case of advanced HCC. Dominant lesion in segment 3 is surrounded by a thin hyperintense capsule (*arrowheads*) which is disrupted posteriorly. Three satellite nodules can be appreciated not far from the primary lesion, in segments 3 and 4 (*white arrows*)



**Fig. 2.2** MRT1-weighted image during i.v. administration of gadolinium chelates. The image shows a case of mucinous cystic neoplasm of the tail of the pancreas. The presence of a thin enhancing capsule surrounding the lesion is a sign of benignity (*arrowheads*). A parietal nodule can be appreciated on the anterior border of the cystic lesion (*white arrow*)



**Fig. 2.3** CT scan shows a large tumor involving segments 4 and 5. **a** Late arterial phase shows an inhomogeneous lesion with a gross irregular hyperdense

greater density of viable cells at the tumor periphery usually show well-demarcated margins, early peripheral enhancement, and progression of enhancement toward the lesion center in the delayed phase [11] ( Fig. 2.3).

pseudocapsule (*arrowheads*). **b** Delayed phase. The lesion retains contrast in its central part (*asterisk*). Diagnosis of iCC

Patient prognosis worsens when microvascular invasion is present. According to Lin et al. [12], MVI is a more accurate predictor of tumor recurrence than the Milan criteria following surgical resection for HCC. Disruption



**Fig. 2.4** MR cholangiopancreatography shows a large filling defect within the main bile duct with irregular margins (*white arrows*). Diagnosis of intraductal papillary bile duct neoplasm



**Fig. 2.5** Portal phase CT scan: NET of the pancreatic tail infiltrating the splenic parenchyma (*black arrows*)

of the capsule is an early sign of MVI and can be visible at imaging, as can invasion of surrounding tissues ( Fig. 2.1). Signs of extracapsular extension of liver tumors such as corona enhancement, satellite nodules, and liver capsule retraction will be discussed in the specific chapters.

Bile duct cancer may occasionally present as an intraluminal polypoid mass and exhibit a benign behavior. Intraluminal expansive growth can be easily detected on conventional imaging, which usually shows a well-defined, round, or oval shape mass, with a sessile or cauliflower-like appearance extending within the bile duct lumen [13] ( Fig. 2.4).

#### 2.2.2 Infiltrative Growth

Tumors with an aggressive behavior invade and destroy the tissue within the organ of origin and spread beyond, infiltrating adjacent organs and structures by contiguity. In case of infiltrative growth, the role of imaging is to assess the extent of invasion and examine the relationship of the primary tumor with adjacent structures. At CT and MRI, the newly formed tissue does not present smooth margins, but conversely it is characterized by irregular digitations that expand within and beyond the affected organ (**•** Fig. 2.5). When desmoplastic reaction and marked fibrosis are prevalent

and vital cells are a minority, as is the case of infiltrating pancreatic ductal adenocarcinoma (PDAC), tumor typically presents as a hypoattenuating/ hypointense mass, with the best contrast between tumor and pancreatic parenchyma provided by CT or MRI during the pancreatic phase [14–16] ( Fig. 2.6). Moreover, when cancer cells blend with the surrounding parenchima, tumors may present as inhomogeneous ill-defined masses. For example, when infiltrative subtype HCC cells intermingle with the regenerative substrate, the lesion is poorly vascularized and difficult to identify unless portal venous invasion is present [1] ( Fig. 2.7).

Small infiltrating tumors may be difficult to identify by imaging, and indirect signs of the newly formed tissue must therefore be carefully sought. Small PDAC is frequently accompanied by indirect signs, such as the dilatation of the main pancreatic duct or the common bile duct, parenchymal atrophy, deformity of the pancreatic contours, and the loss of parenchymal lobulations [16, 17] (**•** Fig. 2.8).

Extrahepatic cholangiocarcinoma (eCC) originates from the bile duct or gallbladder epithelium and has different growth patterns [13, 18]. The infiltrative pattern accounts for approximately two-thirds of bile duct cholangiocarcinomas [13]. In the latter case, tumor grows along the bile duct causing stenosis and/or a complete obliteration of its lumen [19]. When infiltrative behavior is present, CT and MRI show increased duct wall thick-



**Fig. 2.6** Pancreatic phase CT scan: PDAC of the head. **a** The image shows a markedly hypoattenuating mass of the head of the pancreas (*asterisk*) infiltrating the main pancreatic duct and the portal vein (*white arrowhead*). The nodular extension of tumor into the medial-posterior fat

area of the pancreatic head (*small arrow*) is a sign of perineural invasion. **b** The image shows an elongated enhancing lymph node of the fatty space located posteriorly to the pancreatic head (*white arrows*)



**Fig. 2.7** Female, asymptomatic,  $\alpha$ FP = 500. **a** Arterial phase. No focal lesions are visible on the CT scan. Of note, the right liver is slightly inhomogeneous. **b** Portal phase. CT scan shows a slightly hypodense region with

ness (duct wall >2 mm), arterial and/or portal phase wall enhancement (enhancing ring), and stenosis with dilatation of the biliary tree proximal to the lesion [20] ( Fig. 2.9). Infiltrative type eCC may grow beyond the bile duct wall and invade the periductal fat tissue, the liver parenchyma, or the

ill-defined margins in segments 6 and 7 (*black arrows*). A large filling defect can be observed obstructing the right branch of the portal vein (*arrowhead point*). Diagnosis: infiltrating type HCC with intraluminal thrombosis

vessels of the hepatic pedicle [20]. Gallbladder carcinoma also has an infiltrative behavior in approximately two-thirds of cases [13]. As mentioned in the previous chapter, the absence of the submucosal layer and the irregular presence of the muscular layer favor invasion of the adjacent structures,



**Fig. 2.8** Indirect signs of PDAC. **a** MRCP shows sharp narrowing of the distal main bile duct and of the pancreatic duct and dilatation of duct segments located proximally to the stenosis. **b** Pancreatic phase CT scan

shows dilatation of the pancreatic duct and parenchymal atrophy (*black arrowheads*). The pancreatic parenchyma is slightly thickened at the level of the head with loss of lobulation (*black arrow*)



**Fig. 2.9** a Portal phase CT scan. White arrow points to the main bile duct that has thickened walls with ring like enhancement. **b** MRCP shows sharp narrowing of the

distal main bile duct (*white arrow*) and dilatation of the proximal segments of the main bile duct, of the intrahepatic bile ducts and of the gallbladder. Diagnosis of eCC

frequently present at the time of diagnosis [13, 21–23]. At CT, gallbladder carcinoma typically presents as a hypo- to isoattenuating mass, which may contain low-attenuation areas of necrosis as well as enhancing foci of viable tumor (**D** Figs. 2.10

and 2.11). At MRI, the tumor is characterized by hypointensity on the T1-weighted images and hyperintensity on the T2-weighted images, with ill-defined early enhancement on gadoliniumenhanced images. MRCP may be useful for the evaluation of the extent of biliary duct invasion [19, 23] ( Fig. 2.11). Both CT and MR imaging also provide information on the eventual encroachment of tumor tissue in the liver or in other surrounding structures ( Figs. 2.10 and 2.11).



**Fig. 2.10** Portal phase CT scan. Large inhomogeneous hypoattenuating mass arising from the gallbladder and extensively infiltrating the liver parenchyma (*asterisk*). The arrow points to two large gallbladder stones. Diagnosis of gallbladder carcinoma

#### 2.3 Vascular Invasion

The migration of tumor cells into the vessel lumen (i.e., intravasation [24]) is a characteristic of advanced tumors [3, 5]. Intravasation provides a route for tumor dissemination and aggravates patient prognosis [3, 5]. HCC [5] and occasionally pancreatic neuroendocrine tumors (PNETs) may invade the portal vein and develop intraluminal thrombosis (• Fig. 2.7). Conversely, other histotypes of hepatobiliary and pancreatic cancers infiltrate the wall of arteries and veins from the outside causing luminal narrowing. Vascular infiltration affects staging and treatment strategy. PDAC, for example, is defined as locally advanced, therefore not amenable to surgery, if encasement of more than 180° of the circumference of the superior mesenteric artery or of celiac arteries by tumor is present at cross-sectional imaging [17, 25]. Vascular invasion frequently represents the line of demarcation between radical surgery and palliative treatment.

When small vessels are involved, vascular invasion can be detected only at microscopy. Conversely, imaging has a fundamental role in the assessment of macroscopic vascular invasion, i.e., the extension of tumor tissue inside a vessel (• Fig. 2.7) and of vascular encasement



**Fig. 2.11** a MRCP shows a filling defect at the level of the gallbladder neck, a signal void corresponding to the proximal main bile duct and dilatation of the intrahepatic

bile ducts. **b** Coronal CT maximum intensity projection shows a solid lesion of the gallbladder neck (*asterisk*). Diagnosis of gallbladder carcinoma



**Fig. 2.12** a Arterial phase CT scan: PDAC of the body-tail. The image shows a hypoattenuating mass of the body-tail of the pancreas (*asterisk*) encasing the splenic artery (*white arrowhead*) and the common hepatic artery (*black arrows*). Posteriorly the tumor infiltrates the peripancreatic fat and extends to the left celiac ganglia

(• Fig. 2.12). While intraluminal thrombosis is easy to identify at imaging, the true challenge remains to distinguish between a true tumor thrombus and a bland thrombus that has a different therapeutic approach and prognosis [26, 27]. The presence of a gross direct extension of a parenchymal tumor in an adjacent vessel [4], of neovascularity inside the thrombus, usually with the same characteristics of the main tumor, and punctate hyperenhancing "threads and streaks" within the vessel are characteristic imaging features of tumor thrombus [4, 27] (• Fig. 2.7). In equivocal cases, MR DWI sequences may provide assistance in the differentiation of the two entities, a restricted signal being observed in case of neoplastic thrombus [26]. Tublin et al. report a lumen dilation threshold of 23 mm to identify a tumor thrombus [27]. However, it should be noted that vascular expansion may be present also in acute bland thrombosis [4] in patients with portal hypertension [26].

The presence of a fat plane between the tumor and a vessel ( $\bigcirc$  Fig. 2.13) excludes the presence of vascular invasion [17]. In case a direct contact between the newly formed tissue and a vessel is appreciated at cross-sectional imaging, the role of the radiologist is to describe in detail the relationship between the tumor and the adjacent major vascular structures [17]. In fact, the extent of the tumor tissue around a vessel correlates with the probability of vessel invasion [28]. The term "abutment" characterize a tumor-vessel connection in which tumor involves <50% (<180°) of the vessel circumference ( $\bigcirc$  Fig. 2.14). Conversely, "encasement" is defined when a vessel is surrounded by

(white arrow). **b** Same patient, arterial phase CT scan. Note the encasement of the superior mesenteric artery and the infiltration of the celiac plexus by the tumor (white arrows). **c** Same patient, portal phase CT scan. The white arrow shows the "teardrop appearance" of the portal vein due to compression by the tumor (asterisk)



**Fig. 2.13** Pancreatic phase CT image shows a moderately hypoattenuating lesion of the pancreatic head (*asterisk*). The thin hypodense line between the lesion and the superior mesenteric vein (*arrow*) is a fatty plane that excludes the presence of vascular invasion



**Fig. 2.14** Pancreatic phase CT scan. The image shows an hypoattenuating mass of the head of the pancreas (*asterisk*). The tumor-vessel contact (*white arrow*) is <50% of the circumference of the vessel ("abutement")

more than 50% (>180°) by tumor tissue [28] (• Fig. 2.12). A CT grading system has been proposed to measure vascular involvement in PDAC [29] (• Table 2.1) where a 100% probability of vessel invasion has been observed in case of encasement >270° [17].

In pancreatic head tumor, a particular sign that is occasionally detected with imaging is the "teardrop" appearance of the superior mesenteric or portal vein [17, 28] ( Fig. 2.12c), a sign that is strongly related to tumor-vessel infiltration and considered a reliable indicator of unresectability, even if other signs are absent. In fact, in their retrospective study, Hough et al. found the SMV "teardrop" appearance as the only sign of nonresectability in 13 of 17 patients [30].

<b>Table 2.1</b> CT grading system for the assessment of vascular involvement in PDAC [29]			
Grade	Tumor-vessel contact	Chance of vessel invasion	
0	Absent	0%	
1	<90°	0–3%	
2	90–180°	~40% (29–57%)	
3	>180°	~80%	

#### 2.4 Lymphatic Involvement

In hepatobiliary and pancreatic cancer, lymphatic involvement is an important determinant of patient survival and may affect treatment planning [31]. It is therefore mandatory to perform accurate evaluation of nodal status.

At cross-sectional imaging, normal lymph nodes present smooth and well-defined margins, oval or cigar-like shape, a central fatty hilum, and have uniform and homogeneous density or signal intensity [32]. According to most imaging criteria, lymph nodes are considered metastatic when their short axis is >1 cm [33]. For hepatobiliary and pancreatic tumors, however, size criteria alone are unreliable in the assessment of the lymph node status. Noji et al. [34] evaluated regional lymph node metastases with CT in 146 patients with biliary cancer that underwent lymphadenectomy. They showed that only 28% of hepatoduodenal ligament lymph nodes with a short axis >1 cm were infiltrated by cancer [34] ( Fig. 2.15b). Indeed, lymph node hyperplasia, a common finding in patients with liver chronic disease or infection, may also cause hilar lymph node enlargement [34]. Dodd et al. examined 507 patients with end-stage cirrhosis for enlarged lymph nodes [35]. They found that 50% of subjects (253/507) had lymph nodes with a short axis >1 cm, including 36 of the 58 patients with cancer in the series. Of the latter, only two had



**Fig. 2.15** Arterial phase CT shows **a** a solid round lesion of the gallbladder neck (*black arrow*) and **b** a 15 mm enhancing lymph node of the hepatic pedicle, posterior to

the common hepatic artery. Diagnosis of gallbladder carcinoma with lymph node metastases

metastatic lymph nodes, while the remaining revealed a benign reactive process at histology [35].

Heterogeneous enhancement of lymph node internal structure is a more specific sign of tumor involvement. In their series Noji et al. found that 64% of lymph nodes with a heterogeneous pattern were metastatic [34]. Ringlike enhancement appears to be even more specific for metastatic involvement than the heterogeneous pattern but is rarely encountered [36].

Conversely, for PDAC, nodules of the fat area surrounding the pancreas should raise suspicion of lymph node neoplastic involvement ( Fig. 2.6b). Mochizuki et al. [37] correlated CT findings with those of en bloc pathological specimens from resected pancreatic tumor. They detected 95 lymph nodes with a short axis <1 cm in the medial posterior area of the pancreas head in a total of 28 patients, of whom 14 (15%) with metastases [37]. Overall, detection and characterization of lymph node metastases by CT yield disappointing results.

At MRI, metastatic lymph nodes are hyperintense on T2-weighted fat-suppressed images and show inhomogeneous enhancement on T1-weighted post-contrast images [38, 39]. Diffusion-weighted imaging may help characterize abdominal lymph nodes. Lower ADC values were observed by Akudman et al. in malignant lymph nodes in comparison to those with benign histology [40].

Summarizing, today's imaging technology is insufficient to predict lymph node status in the context of hepatobiliary and pancreatic tumors. Further technical advances, such as improvement of spatial and temporal resolution of available imaging modalities or the implementation of new contrast agents, might in the future allow reliable detection and characterization of benign and malignant lymph nodes.

#### 2.5 Perineural Invasion

Perineural invasion (PNI) is one of the most important prognostic factors in hepatobiliary and pancreatic tumors. Despite its importance, PNI is not included in most staging systems. PNI is present at histopathologic examination in up to 80–91% of resected iCC [41] and PDAC, respectively [42]. Unfortunately, direct invasion of the nerve sheath is not evaluable with conventional imaging and can only be confirmed by the pathologist. However, the presence of tumor along the nervous pathways at imaging should be considered highly suspicious of PNI.

The liver and the biliary tree are innervated by autonomic nerve fibers that run along major hepatic arteries within the hepatoduodenal ligament (hepatic artery) and gastrohepatic ligament (left gastric artery) [43]. Neurovascular bundles originate from the celiac ganglia and reach the abovementioned ligaments via the celiac plexus. The pancreatic head and the uncinate process are surrounded by a rich network of nerves, called plexus pancreaticus capitalis (PC) 1 and 2, described for the first time by Yoshioka and Wakabayashi [44]. PC1 originates from the right coeliac ganglion and extends along the posterior border of the pancreatic head behind the portal vein to enter the medial aspect of the uncinated process [45]. PC2 runs caudally to PC1, originates from the superior mesenteric artery plexus, and extends along the inferior pancreaticoduodenal artery and jejunal trunk [37, 46]. The latter is the preferential dissemination pathway of pancreatic adenocarcinoma of the uncinate process [45]. Finally, the tail and body of the pancreas are innerved by the splenic plexus [47, 48].

Multidetector computer tomography (MDCT) is the preferred imaging technique to detect PNI due to its high spatial and contrast resolution. On MDCT, PNI appears as soft tissue with the same density of tumor tissue, extending from the primary lesion along the neural pathways [45]. This results in streaky or strand-like structures within the fat tissue or in irregular masses near or in continuity with the tumor (• Fig. 2.6a). In adenocarcinoma of the pancreatic head, PNI progressively invades and cancels the adipose planes lying posteriorly and medially to the uncinate process and infiltrate the celiac ganglions. Ultimately, tissue may surround one or more of the following vessels: superior mesenteric artery, pancreaticoduodenal artery, superior mesenteric vein, celiac trunk, or splenic vein [49] ( Fig. 2.12a, b). In liver and bile duct tumors, PNI may show as a soft tissue mass or with a reticulate pattern within the hepatoduodenal or gastrohepatic ligaments and may surround the common hepatic artery or the left gastric artery.

In their study on the MDCT findings of extrapancreatic nerve plexus invasion by pancreas head carcinoma, Mochizuki et al. [37] describe four different CT patterns of peripancreatic tissue findings: fine reticular and linear, coarse reticular, mass and strand, and nodular. In fact, 92% of patients with mass and strand pattern and 63% of those with a coarse reticular pattern had PNI at pathology, suggesting that PNI should be reported when these patterns are detected on MDCT [37].

PNI is identified on MRI when either strandlike or mass-like signal intensity, like that of the original tumor, is detected along the neural pathways [49]. In pancreatic adenocarcinoma, PNI typically shows hypointense signal intensity on T1-weighted, iso- or moderate hyperintense signal on T2-weighted, hypointense signal on the arterial phase, and isointensity on the delayed phase images after Gd-DTPA contrast enhancement.

#### 2.6 Distant Metastases

The presence of cancer cells in distant sites is a poor prognostic factor and contraindicates surgery in the large majority of patients with hepatobiliary and pancreatic malignancies [16]. Tumors with a more aggressive behavior usually present distant metastases at the time of diagnosis. Indeed, approximately 50% of PDAC are diagnosed late, when distant metastases are already present [14]. Conversely, more benign tumors such as mucinous cystic neoplasms have a far lower risk of metastatic dissemination [50].

Distant metastases are usually detected at cross-sectional imaging. CT is commonly adopted for staging purposes since it allows a highresolution whole-body scan in a few seconds, while MRI is performed in specific clinical situations. For example, MRI with liver-specific contrast agent can be used to assess liver involvement since it has a higher sensitivity in the detection of small metastatic liver lesions in comparison to CT and PET [51]. Furthermore, MRI allows accurate characterization of indeterminate liver lesions [52]. Of note, secondary tumors usually present the same imaging characteristics of primary lesions. Hepatic metastases in patients with PDAC are hypovascular [14], while lung metastases from HCC present as soft tissue nodules which exhibit contrast enhancement in the arterial phase [53].

Positron emission tomography (PET) is not performed routinely in patients with hepatobiliary and pancreatic cancers [54]. However, PET/ CT may support clinical decision-making in specific clinical conditions. In some borderline situations where surgeons are uncertain on whether to perform surgery or not, FDG-PET may support decision-making by detecting or excluding distant metastases in anatomical regions that are difficult to evaluate by CT and MRI, such as the peritoneal cavity or the bone [54]. Nuclear medicine is also useful in the context of pancreatic neuroendocrine tumours (PNET) that expresses somatostatin receptors. In particular, <sup>68</sup>Ga-labeled somatostatin analogues are help-ful to localize primary tumor and detect sites of metastases [55–57].

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# **Hepatocellular Carcinoma**

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- 3.1 **Overview** 28
- 3.1.1 Epidemiology 28
- 3.1.2 Risk Factors 28
- 3.1.3 Pathology 28
- 3.1.4 Staging 30
- 3.1.5 Treatment 30
- 3.1.6 Prognosis 32

#### 3.2 HCC: Imaging Features and Prognosis – 32

- 3.2.1 Tumour Size 32
- 3.2.2 Intralesional Fat 32
- 3.2.3 Mosaic Architecture 33
- 3.2.4 Tumour Capsule 34
- 3.2.5 Corona Enhancement 34
- 3.2.6 Multifocality 35
- 3.3 HCC: Intrahepatic Dissemination 36
- 3.3.1 Microvascular Invasion 36
- 3.3.2 Intrahepatic Metastases 37
- 3.3.3 Macroscopic Vascular Invasion 37
- 3.3.4 Biliary Tumour Thrombus 40
- 3.4 HCC: Extrahepatic Metastasis 41
- 3.4.1 Lung 42
- 3.4.2 Lymph Nodes 43
- 3.4.3 Bone 44
- 3.4.4 Adrenal Glands 45
- 3.4.5 Other Metastatic Sites 46

#### **References – 47**

27

3
# 3.1 Overview

# 3.1.1 Epidemiology

Hepatocellular carcinoma (HCC) is the most common primary liver tumour and the third leading cause of cancer-related death [1]. Cirrhosis is the most important risk factor, with approximately 80% of HCC cases developing in cirrhotic patients; moreover, HCC represents the leading cause of mortality in patients with cirrhosis [2].

The incidence of HCC is highest in East Asia, sub-Saharan Africa and Melanesia, while in developed regions the incidence is generally low, with the exception of Southern Europe [3].

# 3.1.2 Risk Factors

In the majority of cases, HCC occurs in cirrhotic livers. The most frequent factors associated with cirrhosis include chronic viral hepatitis (types B and C), alcohol abuse and aflatoxin exposure. Hepatitis virus B (HBV) represents the cause of approximately 70% of HCC cases in Africa and East Asia, whereas in the Western world and in Japan, the majority of cases are related to hepatitis virus C (HCV) infection [3]. In developed countries, however, this scenario is changing. Vaccination and therapy for HBV infection, prevention campaigns for HBV and HCV transmission and newer potent antiviral therapies for HCV are progressively reducing the incidence of HCC related to viral infection, while metabolic disorders associated with obesity, diabetes and fatty liver disease are becoming a more frequent and fearsome risk factor for HCC [4]. Non-alcoholic fatty liver disease (NAFLD) is becoming a major cause of HCC in the United States, and NAFLD-HCC is associated with shorter survival time and more advanced tumour stage [5].

Other factors associated with increased risk of developing HCC include male sex (male to female ratio 2.4), older age (peak incidence around 70 years), cigarette smoking, HIV coinfection, persistent increase in alanine aminotransferase level, increased alfa-fetoprotein (AFP) level and progressive impairment of liver function [6].

# 3.1.3 Pathology

The majority of HCC develop in the background of liver fibrosis and cirrhosis. In this setting, carcinogenesis is a long-lasting multistep process with progressive malignant transformation from regenerative nodules to low-grade (LGDN) and high-grade (HGDN) dysplastic nodules, early HCC and, finally, overt HCC [7, 8]:

- Macroregenerative nodules are benign entities, histologically indistinguishable from the adjacent parenchymal cirrhotic nodules.
- Dysplastic nodules are mostly less than 2 cm in diameter and encapsulated and differ from the surrounding liver parenchyma with regard to size, colour, texture and degree of bulging. They are histologically characterized by the presence of portal tracts and:
  - LGDN: mild increase in cellularity without architectural atypia;
  - HGDN: cytological and architectural atypia insufficient for a diagnosis of malignancy, increased cell density with irregular trabecular pattern and occasional unpaired arteries.
- Small HCC are by definition less than 2 cm in size and are divided into two different entities:
  - Early, vaguely nodular-type HCC: characterized by indistinct margins with welldifferentiated cells and few portal tracts; it is considered as "in situ carcinoma". It can be difficult to differentiate from HGDN; stromal invasion (tumour cells invading the portal tracts or fibrous septa) represents the most reliable morphological feature for differential diagnosis.
  - Progressed, distinctively nodular-type HCC: characterized by distinct margins, it presents clear signs of malignancy, with well or moderately differentiated histology, area of steatosis, neoarterialization and possible microvascular invasion (MVI); portal tracts are absent.
- Overt HCC typically forms heterogeneous soft masses with areas of haemorrhage or necrosis. Macroscopically, three main patterns have been described. The *nodular or expanding pattern* is more common and characterized by a fibrous capsule and possible satellite nodules (• Fig. 3.1). The

*infiltrative or massive pattern* features large, poorly defined masses with invasive borders (**•** Fig. 3.2); the prognosis is poorer. The *diffuse pattern* is less frequent and characterized by small nodules diffused to the entire liver (**•** Fig. 3.3).

Histologically, HCC is typically a highly vascularized tumour with different degrees of cellular differentiation (well, moderately and poorly



**Fig. 3.1** Nodular-type HCC with typical enhancement at CT in late arterial phase **a** and wash-out with tumour capsule in portal venous phase **b** 

differentiated) based upon the architectural and cytological features.

The most important pathological feature influencing diagnosis and management of HCC is represented by the vascular changes taking place in the process of carcinogenesis. In the malignant nodules, new unpaired arteries develop not accompanied by bile ducts; this process of *arterialization* is directly related to the degree of HCC differentiation, particularly in lesions smaller than 3 cm [9].

Meanwhile, *sinusoidal capillarization* takes place, consisting of transformation of fenestrated hepatic sinusoids into continuous capillaries, coupled with collagenization of the extravascular spaces of Disse and deposition of laminin and basement membranes near the endothelial cells and hepatocytes [9–11]. These vascular changes are the basis of the typical vascular pattern that can be appreciated at contrast-enhanced dynamic imaging.



**Fig. 3.3** Diffuse HCC characterized by multiple small arterially enhancing nodules diffused in the entire liver



**Fig. 3.2** Infiltrative HCC (*arrows*) with poorly defined margins and typical arterial phase wash-in **a** and portal venous **b** and equilibrium **c** phases wash-out

Together with these vascular changes, other modifications can be observed and exploited using newer hepatocyte-specific contrast agents, such as a progressive decrease in the number of Kupffer cells and of bile canaliculi [12–16].

A limited number of HCC develop *without liver fibrosis*. These cases have usually a better prognosis, because of the absence of functional liver impairment, allowing more aggressive treatments. For instance, HCC has been described in patients with metabolic syndrome without liver fibrosis. Moreover, some specific tumour variants can develop on normal livers, such as in case of malignant transformation of hepatocellular adenomas and fibrolamellar HCC [17].

# 3.1.4 Staging

Over the years, numerous staging systems have been proposed for HCC, none of them receiving universal validation and acceptance [18]. Nonetheless, over the last years there has been a general agreement that staging of HCC should take into account not only tumour extension but also the patients' general clinical conditions and liver function.

The Barcelona Clinic Liver Cancer (BCLC) system [6, 19, 20] is the most commonly adopted staging modality for HCC in the Western world, and it has been endorsed by the American and European guidelines [3, 6]. It consists of five different stages, on the basis of tumour extension, patient's performance status [21] and liver function [22] ( Tables 3.1, 3.2, and 3.3).

# 3.1.5 Treatment

Treatment of HCC is strongly dependent on the stage at which the tumour is diagnosed [3, 6].

#### 3.1.5.1 Very Early Stage

In the very early-stage, 5-year survival after curative treatments ranges from 60 to 80%. For several years, resection has been advocated as the first-line treatment in very early-stage HCC. More recent data have shown ablation to be not inferior and more cost-effective than surgery [23, 24], representing today the first-line treatment option in patients not suitable for liver transplantation (LTx) [20].

The role of LTx in this stage of the disease is debated. Some authors have proposed LTx in patients with tumour recurrence [25], while others have proposed to reserve LTx to those patients with pathological evidence of MVI or satellites after first-line resection [26].

#### 3.1.5.2 Early Stage

In the early stage curative treatments are indicated, including LTx, resection and percutaneous ablation. Surgical approaches should represent

Table 3.1 BCLC (Barcelona Clinic Liver Cancer) staging system [26]						
BCLC stage	ECOG PS	Liver function	Tumour stage	First-line treatment		
Stage 0 (very early)	0	No portal hypertension, normal bilirubin	Single, <2 cm	Resection Ablation		
Stage A (early)	0	Child-Pugh A-B	Single; ≤3 tumours, ≤3 cm	Transplantation		
Stage B (intermediate)	0	Child-Pugh A-B	Large multinodular	Transarterial chemoembolization		
Stage C (advanced)	1–2	Child-Pugh A-B	Vascular invasion, extrahepatic spread	Sorafenib		
Stage D (terminal)	3–4	Child-Pugh C	Any	Best supportive care		

Stage 0, A and B: all criteria should be fulfilled Stages C and D: at least one criterion should be fulfilled *ECOG PS* Eastern Cooperative Oncology Group Performance Status Table 2.2

Group performance status [28]			
Stage			
0	Fully active, without restriction		
1	Restricted in physically strenuous activity but ambulatory and able to carry out light work		
2	Capable of self-care, unable of work activities; up for more than 50% waking hours		
3	Limited self-care capacity; confined to bed or chair >50% waking hours		

4	Completely disabled; confined to bed or chair
5	Dead

Table 3.3 Child-Pugh score [29]						
Parameter	1 point	2 points	3 points			
Ascites	None	Mild	Moder- ate to severe			
Hepatic encephalopa- thy	None	Grades I–II	Grades III–IV			
Total bilirubin, μmol/L (mg/ dL)	<34 (<2)	34–50 (2–3)	>50 (>3)			
Serum albumin (g/dL)	>3.5	2.8-3.5	<2.8			
Prothrombin time (s)/INR (%)	<4.0/<1.7	4.0–6.0/ 1.7–2.3	>6.0/>2.3			
Class A: 5–6 points Class B: 7–9 points Class C: 10–15 points						

the first-line options, reaching 60–80% 5-year survival rates [20].

*LTx* represents the most appealing treatment option, being able to cure both the tumour and the underlying cirrhosis. The Milan criteria (single HCC  $\leq$ 5 cm or  $\leq$ 3 nodules each  $\leq$ 3 cm and no macrovascular invasion on imaging) are used to select patients for LTx [27]. When these criteria

are fulfilled, the 5-year survival rate is approximately 75%. However, these criteria are considered to be restrictive, and expanded selection criteria have been proposed, such as the University of California, San Francisco criteria (single nodule up to 6.5 cm or a maximum of three lesions, none of which larger than 4.5 cm, with a maximum total size less than 8 cm, without vascular invasion or metastases) [28] or the up-to-seven criteria, where seven is the maximum score allowed, summing the size of the largest tumour (in cm) to the total number of tumours [29].

The major limitation for successful transplantation is organ shortage, with patients waiting over 1 year on the waiting list at increased risk for tumour progression and delisting. To avoid progression, resection and ablation, TACE and radioembolization are commonly used to bridge patients to LTx [30, 31].

Early-stage patients excluded from LTx should be considered for *resection*, particularly in the presence of single lesion and normal bilirubin, without signs of portal hypertension [3, 6, 20].

Ablation represents the first-line approach in patients excluded from surgery; radiofrequency (RF) ablation is the most frequently adopted technique, although microwave ablation is becoming a strong competitor. Tumour location, size and number may limit the indications for percutaneous ablation. In fact, the success of ablation is lower when more than two nodules are treated and in tumours >3 cm in size and in perivascular location [32]. Larger tumours could benefit from sequential treatments by TACE and ablation [33], when surgery is contraindicated.

*Tumour recurrence* after ablation or resection remains a matter of concern. In fact, 50–80% of patients will experience recurrence within 5 years after resection and the majority within 2 years [34]. Likewise, 3-year cumulative recurrence rates of about 50% are reported after RF ablation [35, 36]. Predictors of recurrence are tumour size, multifocality, vascular invasion and poor differentiation. Up to now, adjuvant treatments have failed in reducing the risk of recurrence [37].

#### 3.1.5.3 Intermediate Stage

*TACE* represents the first-line treatment modality in intermediate-stage HCC patients, with reported median survival of around 20 months [38–40], reaching 40 months in well-selected patients [41–43]. Other treatment options in the selected intermediate-stage patients include combined TACE and ablation, radioembolization with Yttrium-90 labelled spheres (Y90-RE) and resection and LTx after successful tumour downstaging (defined as the reduction of the tumour burden to meet acceptable criteria, based on expected survival after LTx that should be equal to that of patients who meet transplant criteria without downstaging) [33, 46–50].

#### 3.1.5.4 Advanced Stage

According to guidelines, sorafenib represents the first-line treatment option in advanced-stage HCC [3, 6, 20], able to increase survival and time to progression [51, 52].

Numerous other trials have investigated the role of other molecular-targeted agents, all of them failing in demonstrating additional benefits compared to sorafenib or placebo in first- and second-line systemic treatments. Only recently, the results of the phase III RESORCE trial have been presented showing that, compared to placebo, regorafenib improves overall survival in patients progressing after treatment with sorafenib [53]. Thus, a second-line treatment is today available in selected patients.

*Y90-RE* represents another appealing treatment option in advanced-stage HCC, particularly in patients with limited portal vein tumour thrombosis, achieving highly competitive survival rates compared to sorafenib, with lower toxicities [54–56]. Prospective, multicentre, randomized trials are ongoing to evaluate results of Y90-RE in comparison to TACE or sorafenib or in combination with sorafenib.

Finally, in highly selected patients with limited macrovascular tumour invasion and preserved liver function, *resection* has been proposed showing excellent long-term survival rates [57, 58].

# 3.1.6 Prognosis

Prognosis largely depends on the stage at which the tumour is detected. Patients presenting with very advanced HCC are considered untreatable and will die within 3–6 months [3]. However, early detection by surveillance programmes and refinements of treatments for viral infection, liver cirrhosis and tumours have contributed to the improvement of prognosis over the last 15 years [4].

# 3.2 HCC: Imaging Features and Prognosis

Due to the characteristics of vascular changes occurring in the process of carcinogenesis, HCC can be reliably diagnosed by cross-sectional imaging. In fact, non-invasive diagnosis of HCC is possible in patients with liver cirrhosis when a nodule >1 cm in diameter is detected at dynamic contrast-enhanced computed tomography (CT) and/or magnetic resonance imaging (MRI), with arterial hyper-enhancement (wash-in) and portal venous or delayed phase hypo-enhancement (wash-out) (**•** Fig. 3.1) [3, 6, 20]. These imaging features enable diagnosis of HCC with almost 100% specificity and positive predictive value.

Besides this typical vascular pattern, imaging enables the identification of additional tumoural features that may represent prognostic factors related to tumour dissemination and patient survival, such as *tumour size*, *intratumoural fat*, *mosaic architecture*, *fibrous capsule*, *corona enhancement* and *multifocality* [11].

## 3.2.1 Tumour Size

Compared to small lesions, *large HCCs* (>2 cm) tend to have a higher histologic grade and a more aggressive biologic behaviour, increasing the risk for vascular invasion and extrahepatic tumour metastasis. For these reasons, it is clinically important to identify small HCCs prior to their growth beyond 2 cm.

## 3.2.2 Intralesional Fat

Intralesional fatty change occurs during hepatocarcinogenesis in approximately 10% of HCCs [59]. It has been reported that patients with lesions showing intratumoural fat may have better prognosis, with longer time to tumour progression and reduced risk of metastasis. A possible explanation is that intralesional fat is a typical feature of early and well-differentiated HCC, not described in poorly differentiated lesions. MRI with chemical shift (in- and opposed-phase) sequences represents a simple, highly sensitive and specific method to demonstrate intratumoural fatty infiltration (**•** Fig. 3.4).

# 3.2.3 Mosaic Architecture

Mosaic architecture is the presence of intratumoural heterogeneity caused by fibrotic septa, necrosis, haemorrhage, copper deposition and fatty infiltration (• Fig. 3.5) [11]. This feature is



**Fig. 3.4** Axial MRI with chemical shift (in-phase, **a**; opposed-phase, **b**) demonstrating intratumoural fatty infiltration (*arrows*)



**Fig. 3.5** Axial MRI (T2-weighted, **a** T1-weighted, **b** arterial phase, **c** portal venous phase, **d** equilibrium phase, **e**) demonstrating two large HCC with mosaic architecture

more frequently observed in large HCCs. Mosaic architecture is unusual in tumours other than HCC; therefore, it has been included among the ancillary features favouring the diagnosis of HCC in the recent LI-RADS (Liver Imaging Reporting and Data System) proposed by the American College of Radiology [60, 61]. Mosaic architecture is considered an unfavourable prognostic sign, associated with increased risk of postsurgical recurrence [62].

## 3.2.4 Tumour Capsule

Tumour capsule can be appreciated in 60–80% of HCC nodules and has been listed among the features enabling HCC diagnosis in the LI-RADS (**•** Fig. 3.1) [60]. Although a tumour capsule is more frequently described in lesions larger than 2 cm, it represents a favourable prognostic factor compared to nodules of similar grade and

size without capsule or with disrupted capsule [63, 64]. This may be due to the barrier effect of the fibrous capsule that inhibits HCC dissemination.

# 3.2.5 Corona Enhancement

Corona enhancement is related to the enhancement of the venous drainage in the peritumoural parenchyma. In progressed HCCs, the portal venules draining the tumour communicate with the sinusoids in the peritumoural parenchyma, determining corona-shaped perinodular enhancement a few seconds after the tumoural enhancement [11]. While tumour capsule appears as a progressively enhancing rim in portal venous and delayed phases (**•** Fig. 3.1), corona enhancement is appreciated in the late arterial or early venous phases and fades in the subsequent phases (**•** Fig. 3.6). The presence of large [65] or irregular



**Fig. 3.6** Axial CT (late arterial phase, **a** and **c**; equilibrium phase, **b** and **d**) showing HCC with corona enhancement in late arterial phase (**a**, *arrows*) disappear-

ing in equilibrium phase **b**; a small satellite nodule is visible (**c** and **d**, *arrowheads*)

and/or distorted [66] corona enhancement may predict MVI and can indicate a higher risk for local recurrence after resection or ablation [67]. Thus, some authors recommend including the corona enhancement areas within the margins of resection or ablation [67].

## 3.2.6 Multifocality

Multifocal tumours are associated with poorer prognosis and reduced therapeutic chances. They are either multiple independent HCCs arising simultaneously (multicentric HCC) or intrahepatic metastases from a primary HCC (• Fig. 3.7) [68]. Multicentric HCC is expected to show a more favourable biological behaviour, while metastatic HCC is associated with earlier recurrences and poorer prognosis. Therefore, differentiation is of major importance. In general, multicentric tumours present as small, uniform nodules in different segments ( Fig. 3.8), and histologically they can show different features, including variable grades of differentiation, as a representation of the multistep carcinogenesis. As opposite, metastatic HCCs can be visualized as small satellites surrounding a larger lesion and are usually moderately to poorly differentiated, with evidence of multistep no carcinogenesis (**Fig. 3.9**) [8, 11, 69].



- **Fig. 3.7** Intrahepatic dissemination according to TNM classification:
- Stage 1: solitary, <2 cm without vascular invasion</p>
- Stage 2: solitary, <2 cm with vascular invasion; solitary, >2 cm or multiple, one lobe <2 cm without vascular invasion
- I Stage 3: solitary, >2 cm with vascular invasion; multiple, one lobe <2 cm with vascular invasion; multiple, one lobe >2 cm without vascular invasion
- Stage 4: multiple, > one lobe and/or invasion of major branch of portal or hepatic veins



**Fig. 3.8** Multicentric HCC, with two small nodules in left liver lobe (a, *arrows*) and two nodules in right liver lobe (b, *arrows*)



**Fig. 3.9** Metastatic HCC with multiple nodules extensively invading the left hepatic lobe (axial scan, **a**; coronal reformation, **b**)

# 3.3 HCC: Intrahepatic Dissemination

In the early stages, the majority of HCC grow as encapsulated or expanding masses. As the tumour enlarges, it infiltrates the surrounding liver parenchyma forming satellite nodules, which by definition should be located within 2 cm from the main tumour and should be  $\leq 2$  cm in size ( $\blacksquare$  Fig. 3.10).

# 3.3.1 Microvascular Invasion

The most frequent pathway of HCC dissemination is through the venous circulation, starting from *MVI*. This is defined as the histological identification of tumour emboli within the vascular spaces rimmed by endothelium [8], it is responsible for intrahepatic and extrahepatic metastasis, as well as portal and hepatic vein macrovascular invasion, and it is related to



Fig. 3.10 Axial a and oblique coronal views b showing a larger lesion surrounded by multiple small satellite nodules

tumour size, being observed in over 50% of larger HCC. MVI cannot be reliable detected at imaging. However, some imaging features are reported to be closely related to increased risk of MVI, such as the presence of more than three nodules, the tumour size exceeding 3 cm [70], the detection of corona enhancement [11, 66], a lower apparent diffusion coefficient (ADC) measured with diffusion-weighted MR imaging [70] and 18F-fluorodeoxyglucose (FDG) uptake [71] at positron emission tomography (PET)/CT [72].

More recently, a computed tomography radiogenomic biomarker has been derived from a 91-gene HCC "venous invasion" gene expression signature that is able to predict MVI and prognosis in HCC surgical candidates [73]. This biomarker considers several features, such as tumour size, tumour-to-liver interface, heterogeneity, the presence of internal arteries and enhancement pattern [74].

## 3.3.2 Intrahepatic Metastases

Intrahepatic metastases develop by two different pathways [67, 75]. Tumour cells may enter the portal venules draining from the primary tumour and spread into the surrounding parenchyma generating satellite nodules, as described above. Alternatively, tumour cells may enter directly into the systemic circulation, generating metastatic nodules outside the drainage area, including other segments and the contralateral lobe.

As already mentioned, prognosis of patients with intrahepatic metastasis from HCC is poorer compared to patients with multicentric HCC [69], since the tumour is usually poorly differentiated, has a more aggressive biological behaviour and has already invaded the systemic circulation.

# 3.3.3 Macroscopic Vascular Invasion

Vascular invasion involves more frequently the portal venous system than the hepatic veins and is more common in large and poorly differentiated HCC [75]. Macrovascular invasion distinguishes HCC from metastatic neoplasms that rarely invade the intrahepatic vessels.

Macroscopic portal vein vascular invasion (PVI) usually occurs during tumour enlargement involving the contiguous portal vein branches. Thus, besides tumour size, tumour location in respect to the portal vein branches represents an important risk factor ( Fig. 3.11). Diagnosis of PVI is based on the identification of enlarged portal vein branches with solid component showing hyper-enhancement in the late arterial phase and wash-out in the portal venous and/or equilibrium phases at CT, MRI and contrast-enhanced ultrasound ( Fig. 3.12). However, differentiating PVI from bland thrombosis may be challenging ( Fig. 3.13) [76], and additional imaging



**Fig. 3.11** Axial MRI in late arterial **a** and portal venous phases **b** showing HCC in segment 1 invading the main portal trunk (*arrows*)



**Fig. 3.12** Macroscopic vascular invasion of left portal branch (*arrows*), with arterial phase wash-in **a** and portal venous **b** and equilibrium phase **c** wash-out



**Fig. 3.13** Macroscopic vascular invasion of left, right and main portal branches (late arterial phase), **a** portal venous phase, **b**, with limited bland thrombosis in the right portal branch (**b**, *arrow*)



**Fig. 3.14** Oblique CT reformations in late arterial **a** and portal venous **b** phases show macroscopic HCC invasion of the segmental portal branch for segment 5

parameters have been proposed, such as intermediate signal intensity in T2-weighted MR images [77], iodine mapping obtained from dual-energy CT [78] and ADC measurement on DWI [79].

The extent of PVI impacts patients' prognosis. In fact, several studies have reported significantly higher survival rates in patients with segmental or sectorial PVI compared to patients with tumour thrombus extending to the left or right main portal vein, the main trunk or even beyond [54, 55, 80, 81]. These findings suggest that minor PVI (limited to sectorial/segmental branches) should be considered for more aggressive treatments, such as resection, Y90-RE ( Fig. 3.14)

(*arrows*). Three months after Y90-RE complete lesion devascularisation is observed (late arterial phase, **a**; portal venous phase, **b**)

and TACE, even combined with percutaneous ablation [54, 55, 57, 58, 82, 83]. Indeed, the reported median survival of advanced HCC patients after resection (27.8 months) [82], drug-eluting bead TACE (13.5 months) [83] or Y90-RE (13.0 months) [54] seems to be at least comparable to or even longer than the median survival reported after sorafenib alone (10.7 months in the SHARP trial and 6.5 months in the Asia Pacific Study) [51, 52].

*Macroscopic hepatic vein vascular invasion* (*HVI*) is less frequent than PVI ( Fig. 3.15). In HVI, the thrombus can extend into the inferior vena cava (IVC) leading to the formation of



**Fig. 3.15** Infiltrative HCC (*asterisk*) with macroscopic invasion of left hepatic vein (*arrows*; late arterial phase, **a**; portal venous phase, **b**)



**Fig. 3.16** Coronal oblique CT reformation **a** showing large HCC in the right hepatic lobe invading the right hepatic vein, inferior vena cava and right

atrium (*arrow*) and associated with intrapulmonary dissemination (**b**, *arrows*)

thrombi in the right atrium, intrapulmonary dissemination (**•** Fig. 3.16), pulmonary embolism or even sudden death, thus leading to a poorer prognosis compared to PVI, particularly when the thrombosis extends into the IVC [84]. As for PVI, although the standard of care should be sorafenib according to European and American guidelines [3, 6], more aggressive treatments, including TACE, Y90-RE and surgery, have been proposed in selected patients, with promising clinical outcomes [54, 55, 57, 84, 85].

#### 3.3.4 Biliary Tumour Thrombus

A relatively rare pathway of HCC dissemination is through the biliary tree, with a reported incidence of 0.53–12.9% in autopsy and surgical specimens [86].

Biliary tumour thrombus (BTT) is defined as the macroscopic or microscopic identification of tumour emboli within the bile ducts, it can be responsible for jaundice and biliary enlargement [87] and it is frequently related to tumour size and differentiation. Usually, it is detected as a



• Fig. 3.17 Biliary tumour thrombus classification [88]: type 1, involving the second-order intrahepatic duct; type 2, involving the first-order intrahepatic duct; type 3a,

extending to the hepatic confluence; type 3b, growing in the common hepatic duct; type 4, dislodged within the common hepatic duct

free-floating thrombus or as an extended invasion within dilated bile ducts [87]; however, in some cases BTT cannot be reliable detected at imaging.

HCC with BTT is classified according to the location of BTT, as proposed by Ueda et al. [88]: type 1, involving the second-order intrahepatic duct; type 2, involving the first-order intrahepatic duct; type 3a, extending to the hepatic confluence; type 3b, implanted tumour growing in the common hepatic duct; and type 4, dislodged BTT within the common hepatic duct (**C** Fig. 3.17).

BTT is associated with poorer prognosis, due to a more aggressive biological behaviour and the frequent association with vascular invasion and poor tumour differentiation [86]. In HCC with BTT, surgical treatment is recommended whenever possible to improve long-term survival [86–88].

# 3.4 HCC: Extrahepatic Metastasis

Due to improvements in diagnostic modalities and prolonged survival, extrahepatic metastasis (EM) are today more frequently observed in patients with HCC.

In the BCLC staging system, patients with EM are considered equal to patients with macroscopic

vascular invasion. However, with the exception of patients with regional nodal metastasis, several studies reported poorer survival in patients with EM compared to patients with PVI and no EM [54, 55, 80]. Thus, these two entities should be considered separately from a prognostic point of view [58, 80].

Cause of death in HCC patients with EM is generally related to progression of intrahepatic disease and not to the metastatic tumour spread, indicating the importance of controlling intrahepatic tumours even in HCC patients with EM. However, the presence of EM itself is an indicator of the primary tumour's aggressiveness with limited response to locoregional treatments [89].

Most studies report lungs (47%) and abdominal lymph nodes (45%) as the most frequent sites of extrahepatic disease, but bones (37%) and adrenal glands (12%) can also be involved [90– 92]. Rarely, HCC metastases may be found in the skin, brain, muscles, kidney, pancreas and ovary.

Several imaging technique as CT, MRI, radionuclide bone scanning and 18F-FDG PET/CT can be used for identification of EM. In HCC patients with high AFP levels, lung CT and radionuclide bone scanning are usually recommended to rule out EM.

As for the other conditions of advanced-stage HCC, according to Western guidelines sorafenib

is indicated for patients with EM [3, 6]. However, sorafenib is a disease stabilizer, with a response rate lower than 9%. Thus, in specific situations with limited intrahepatic tumour burden and few, resectable metastases, resection or ablation could be considered [93–95].

# 3.4.1 Lung

Haematogenous dissemination to the lung is the prevalent mechanism of HCC extrahepatic spread and has a reported prevalence of 18–60% [90, 91].

In order to detect lung metastases from HCC, chest CT should be performed at regular intervals during routine follow-up. Lung metastasis present as soft tissue nodules, preferentially located in the lower lobes, and may exhibit contrast enhancement in the arterial phase (• Fig. 3.18) [92]. Pleural metastases have also been reported (• Fig. 3.19). Biopsy is generally required for histological confirmation.

Surgical resection offers the only chance for long-term survival [96, 97], but it is effective only in patients with solitary pulmonary metastasis. Unfortunately, the multifocal nature of the disease and the poor hepatic reserve limit the surgical



**Fig. 3.18** Lung metastasis **a**, with arterial enhancement **b** and equilibrium phase **c** wash-out



**Fig. 3.19** Pleural metastasis with typical arterial enhancement in middle **a** and lower right **b** lobes (arrows)

options. Thus, minimally invasive alterative options have been proposed, such as percutaneous ablation and brachytherapy, frequently combined with systemic chemotherapy. A multicentre study from Hiraki et al. demonstrated an overall survival rate after RF ablation for HCC pulmonary metastases of 87% at 1 year and 57% at 3 years [98].

# 3.4.2 Lymph Nodes

Lymph nodes are the second most common site of metastasis after lungs, with a reported frequency of 27–42% [99, 100]. More frequently, the lymphatic spread follows the descending deep lymphatic networks along the portal vein, that involve

the hilar hepatic lymph nodes ( Fig. 3.20), from where cells gain access to the celiac nodes and then to the intestinal nodes. Another possible pathway of dissemination involves the ascending deep network, involving the nodes close to inferior vena cava and reaching the mediastinum ( Fig. 3.21). At times, the lymphatic spread may engage the superficial lymphatic networks, consisting in four different areas: the lateral and medial anterior diaphragmatic groups draining into the pericardiac and subxiphoid nodes, the falciform ligament draining into the anterior abdominal wall and the epigastric and the subxiphoid nodes that drain into the internal mammary nodes ( Fig. 3.22).

As opposite to other neoplasms, the size of the lymph nodes (1.5–4 cm) is not a reliable criterion



**Fig. 3.20** Large, inhomogeneously enhancing hepatic lymph node metastasis with inhomogeneous mild arterial enhancement **a** and venous hypoattenuation **b** 



**Fig. 3.21** Multiple extensive mediastinal nodal metastases with thrombus in the right pulmonary vein (*arrow*)



Fig. 3.22 Patterns of lymphatic dissemination



**Fig. 3.23** Small regional lymph node metastases showing arterial enhancement (**a**, **b**; *arrows*) and portal venous wash-out (**c**, **d**; *arrows*)

for malignancy [100], since it is well known that cirrhotic patients have benign enlarged lymph nodes. The most useful criterion for diagnosis of malignancy is represented by the contrast enhancement in the arterial phase, as for the primary tumour (**•** Fig. 3.23).

Compared to distant EM, patients with regional nodal metastasis have a better prognosis. Thus, although according to guidelines, sorafenib is the standard of care for patients with lymph node metastases, locoregional treatments of the primary tumour should be considered in patients with limited regional nodal involvement, whenever possible, to control the intrahepatic disease. Accordingly, resection and ablation of regional metastasis have been described in selected cases [101, 102].

# 3.4.3 Bone

The most frequent site of bone metastases is the vertebra (• Fig. 3.24), possibly because of cell dissemination through the portal vein-vertebral vein

45



**Fig. 3.24** Metastasis of second lumbar vertebra with large lytic area **a** and solid tissue with typical wash-in **b** and wash-out **c**, **d** 

plexuses due to the presence of portal thrombus and/or portal hypertension, followed by pelvis and ribs [103]. Bone metastases are frequently multiple and invariably lytic. When sufficiently large, the lesion shows solid content, with expanding growth and arterial enhancement (**•** Fig. 3.24).

The poor quality life of patients with bone metastasis is due to pain, pathological fractures and reduced mobility. Radiotherapy and medical therapy are used to control pain [104]. However, tumour relapse and adverse events (nausea, loss of appetite, diarrhoea and radiodermatitis) after radiotherapy are frequent. Recently, RF ablation

has been proposed [105]. Surgical or percutaneous stabilization of lytic lesions is indicated to improve quality of life, and it can be combined with RF ablation or preoperative arterial embolization to prevent tumour dissemination and reduce intraoperative blood loss [106].

# 3.4.4 Adrenal Glands

Adrenal metastases are found in up to 8–17% of metastatic cases [91]. Adrenal spread may follow a random pattern in terms of laterality: one or both adrenal glands can be involved, with the right and left equally affected.

Similar to the problem of differentiating benign from malignant lymphadenopathy, the presence of an enlarged adrenal mass does not always imply malignancy. Adrenal adenomas are a more common cause of an enlarged adrenal gland, even in cancer patients. Differential diagnosis is possible when enhancement in the arterial phase is visualized indicating a metastatic mass (**•** Fig. 3.25).

Several treatment strategies have been proposed for HCC adrenal metastases, including surgery (■ Fig. 3.26), percutaneous ablation, TACE and radiotherapy [107–110].

#### 3.4.5 Other Metastatic Sites

In addition to haematogenous and lymphatic dissemination, HCC can directly infiltrate contiguous structures, such as the diaphragm (**©** Fig. 3.27), the falciform ligament and the abdominal wall (**©** Figs. 3.28 and 3.29) [92]. Peritoneum dissemination is a rare condition (**©** Fig. 3.30).



• Fig. 3.25 Right adrenal metastasis invading the inferior vena cava (*arrow*)



• Fig. 3.26 Small, bioptically proven right adrenal metastasis (*arrow*); adrenalectomy was performed



• Fig. 3.27 Inhomogeneous diaphragmatic nodular metastasis (arrows) adjacent to the right a and left b liver lobes



**Fig. 3.28** Large HCC in segment 6 (*asterisk*) with initial signs of capsular infiltration extending to the contiguous abdominal wall (*arrow*)



**Fig. 3.29** Small metastasis of the abdominal wall (a, *arrow*) successfully treated by microwave ablation (b, *arrow*)



• Fig. 3.30 Peritoneum dissemination of HCC (arrow)

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51

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# Intrahepatic Cholangiocarcinoma

Maxime Ronot and Valérie Vilgrain

- 4.1 **Overview** 54
- 4.1.1 Epidemiology 54
- 4.1.2 Risk Factors 54
- 4.1.3 Pathology 54
- 4.1.4 Diagnosis 54
- 4.1.5 Staging 56
- 4.1.6 Treatment and Prognosis 58
- 4.2 Patterns of Local Spread 58
- 4.2.1 Parenchymal Dissemination 58
- 4.2.2 Vascular Invasion 59
- 4.3 Regional and Distal Spread 60
- 4.3.1 Lymph Node Involvement 60
- 4.3.2 Perineural Invasion 63
- 4.3.3 Distant Metastases 64
- 4.4 Conclusions 64

References – 64

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## 4.1 Overview

# 4.1.1 Epidemiology

Intrahepatic cholangiocarcinoma (ICC) is a primary neoplasm that develops from epithelial cells of the intrahepatic bile ducts. It represents 10–15% of all primary liver cancers and is the second most common primary hepatic cancer after hepatocellular carcinoma [1]. It is associated with a very poor prognosis because most patients with ICC present with advanced disease, characterized by large multifocal tumors, vascular invasion, and in some cases extrahepatic spread.

## 4.1.2 Risk Factors

Cholangiocarcinogenesis is a multifactorial process. Several risks factors have been identified, but in the vast majority of cases, no risk factor is present. Long-established risk factors are hepatobiliary flukes (*Opisthorchis viverrini* and *Clonorchis*), primary sclerosing cholangitis (PSC), biliary inherited anomalies (choledochal cystic diseases, Caroli's disease), hepatolithiasis, and toxins. More recently, cirrhosis has been shown to be a risk factor.

#### 4.1.3 Pathology

According to the Japanese Liver Cancer Group, different patterns of tumor growth can be described for ICC: mass-forming (exophytic), periductal (infiltrating), intraductal (polypoid), or mixed (mass-forming and periductal) pattern. Mass forming is the most frequent pattern in intrahepatic cholangiocarcinoma [2].

The pathological diagnosis of ICC is based on the WHO classification of biliary tract cancer. ICC is an adenocarcinoma or mucinous carcinoma with frequent marked fibrous stroma. Tumor spread is characterized by local intrahepatic metastases, vascular and perivascular invasion, lymphatic involvement, and perineural invasion, explaining both local and distant tumor spread patterns.

Local spread is driven by peritumoral intrahepatic metastases and vascular involvement. Intrahepatic local metastases are often referred to as "daughter" or "satellite" nodules and correspond to the growth of small tumors surrounding the main lesion. Local spread is different from that observed in hepatocellular carcinoma (HCC) and is more frequently macroscopic. Moreover, and unlike HCC, micro- and macrovascular spread are not endoluminal but perivascular and result in progressive vessel encasement.

Locoregional and more distant spread rely mostly on invasion and diffusion through the deep lymphatic system of the liver as well as on perineural invasion. The lymphatic system of the liver is divided into deep and superficial networks ( Fig. 4.1) [3]. The deep system follows the ramifications of the portal triads and hepatic veins, while the superficial system is in the connective tissue of convex and inferior surfaces of the liver. In the deep system, the periportal lymphatic tract is the most important, responsible for 80% of hepatic lymph drainage. Small lymphatics progressively merge into larger lymph vessels along the portal tract transporting lymph in the same direction as the bile, toward the hepatic hilum. The rich plexus of periportal tract lymphatics converges to form 12-15 lymph vessels that drain into the hepatic lymph nodes of the portal hilum. This explains the frequent hilar nodal extension of ICC. These hepatic lymph nodes are located along the hepatic vessels in the lesser omentum. The outgoing efferent lymphatic vessels from the perihilar lymph nodes reach the celiac lymph nodes, which drain into the cisterna chili (Pecquet cisterna) which is the dilated origin of the thoracic duct. The other part of the deep system contains lymphatics that follow the hepatic veins and merge into five to six large lymphatic vessels that pass through the diaphragm along with the inferior vena cava toward the posterior mediastinal lymph nodes [3]. This explains the possible presence of lung metastases as well as vascular invasion.

Perineural invasion is found at histopathology in up to 80% of patients. It is a known marker of highly aggressive disease and is associated with a poor prognosis [4–6]. The process of perineural invasion is different from metastases via the bloodstream or the lymphatic system, with distinctive histologic features, underlying cellular mechanisms, and molecular mediators [6].

# 4.1.4 Diagnosis

Imaging plays an important role in the diagnostic process as it allows assessment of locoregional and distant tumor spread. Imaging features of



■ Fig. 4.1 Schematic representation of the liver lymphatic system. a Deep lymphatic system of the liver portal tract showing the course of the deep periportal lymphatic system (*red arrows*) to lymph nodes of the hepatic hilum and then to the celiac lymph nodes (*yellow dots*). b Deep lymphatic system of the liver—hepatic veins tract showing the course of the deep ascending lymphatic system (*purple arrows*) following hepatic veins to mediastinal lymph nodes (*yellow dots*). c Superficial lymphatic system of the liver. Right set (*orange arrow*): lymph vessels go by on the abdominal surface of the diaphragm to reach the celiac lymph nodes. Middle set (*red arrows*): through

ICC have been well described [1, 7]. The goal of imaging of ICC is threefold: (a) to characterize ICC by identifying suggestive imaging features, (b) to confirm the diagnosis with imaging-guided biopsy (c) to perform pre-therapeutic staging, and to assess surgical resectability. Several complementary imaging techniques are used to this the inferior vena cava foramen to the mediastinal lymph nodes. Left set (*purple arrows*): through the esophageal hiatus to the superior gastric lymph nodes. Few central vessels (*pink arrows*) drain along the falciform ligament downward to the abdominal wall or upward to the parasternal lymph nodes. **d** Superficial lymphatic system of the liver—inferior surface. The majority of lymphatics (*red, purple and pink arrows*) converge toward the hepatic lymph nodes of *porta hepatis*. Few lymphatics of the posterior part of caudate and right lobes (orange and pink arrows) accompany the inferior vena cava through the diaphragm to mediastinal lymph nodes

purpose. Abdominal ultrasound (US) is often the first-line examination to identify tumor location and visualize upstream bile duct dilatation, when present. In experienced hands, US is a highly accurate diagnostic exam. However, a differential diagnosis and locoregional tumor extension cannot be determined with this technique. Multiphase contrast-enhanced computed tomography (CT) and magnetic resonance imaging (MRI) are therefore performed to reach a positive and differential diagnosis of the lesion for tumor staging. Although CT accurately evaluates vascular encasement and provides whole-body imaging, MRI is the best technique to assess intrahepatic spread and biliary extension.

On unenhanced CT, ICC is usually seen as a hypoattenuating focal liver mass with irregular margins. Occasionally, calcifications may be seen. Multiphase contrast-enhanced CT acquisitions show peripheral rim enhancement on arterial phase images with progressive uptake on portal venous and delayed phases due to the presence of marked fibrous stroma [8]. CT may also frequently show biliary obstruction, capsular retraction, and/or ipsilateral parenchymal atrophy. On MR imaging, ICC is hypointense on T1-weighted and moderately hyperintense on T2-weighted images [9]. T2-weighted images may also show central hypointensity corresponding to areas of fibrosis. After extracellular contrast agent administration, dynamic images show peripheral enhancement on arterial phase images followed by progressive and concentric enhancement, somewhat similar to that observed with CT. If MR cholangiopancreatography is performed, it can help visualize the level of biliary obstruction, but the value of this technique is more limited than for hilar cholangiocarcinoma. Several enhancement patterns have been described on hepatospecific gadolinium chelate-enhanced MR imaging [10]. Most lesions (60%) show a thin peripheral rim with centripetal or progressive enhancement on portal venous phase images and marked hypointensity on hepatobiliary phase images (96%) [10].

The use of 18-fluorodeoxyglucose positron emission tomography (FDG-PET) to detect cholangiocarcinomas is a subject of debate. ICCs are usually considered to be FDG-avid lesions, and tumors as small as 1 cm can be detected with a reported sensitivity of 85–95%. However, FDG-PET is less accurate for identifying infiltrating tumors [11, 12]. Thus the clinical value of PET/CT for the diagnosis of ICC is limited when CT and/or MR imaging can identify typical features [13].

# 4.1.5 Staging

Optimal treatment of cholangiocarcinoma is based on complete tumor resection, which mainly depends on locoregional and distant tumor extension. The goal of surgery is to obtain complete tumor resection with safe margins (R0) while preserving a sufficient and functional future liver remnant, i.e., with good vascular in- and outflow and by restoring bile flow.

Unlike hilar cholangiocarcinoma, the literature on the staging of ICC is poor. However, several staging systems have been proposed, including two based on data from Japan [8, 9]. Okabayashi et al. [8] have proposed a staging system based on several independent factors associated with poor long-term survival, including the presence of vascular invasion, symptomatic disease, regional lymph node metastases, and multiple tumors (> Box 4.1). Yamasaki et al. [9] include tumor size (<2 cm or >2 cm), solitary vs. multiple tumors, the presence/absence of vascular or peritoneal invasion, distant metastases, and the presence/absence of regional lymph node metastases in their staging system ( Table 4.1). Recent studies have shown that prediction of the long-term prognosis was

Box 4.1 Proposed Staging System for Patients with Mass-forming Intrahepatic Cholangiocarcinoma According to Okabayashi et al. [17]

- T: Primary tumor
  - T1: Solitary tumor without vascular invasion
  - T2: Solitary tumor with vascular invasion
  - T3: Multiple tumors with or without
- vascular invasion
- N: Regional lymph nodes
   N0: No regional lymph node metastases
  - N1: Regional lymph node metastases
- M: Distant metastasis
   M0: No distant metastases
  - M1: Distant metastases
- Stage grouping
  - Stage I: T1 N0 M0
  - Stage II: T2 N0 M0
  - Stage IIIA: T3 N0 M0
  - Stage IIIB: Any T N1 M0
  - Stage IV: Any T any N M1

*M* metastasis status, *N* lymph node status, *T* tumor classification

**Table 4.1** Proposed staging system for patients with mass-forming intrahepatic cholangio-carcinoma according to the Liver Cancer Study Group of Japan [14]

Criteria	
1. No. of tumors	Solitary
2. Size of largest tumor	2 cm
3. Venous or serosal invasion	
Tumor classificatior	1
T1	All three criteria are fulfilled
T2	Only two of the three criteria are fulfilled
Т3	Only one of the three criteria is fulfilled
T4	None of the three criteria are fulfilled
Stage	
I	T1N0M0
Ш	T2N0M0
Ш	T3N0M0
IVA	T4N0M0
	T1-T4N1M0
IVB	Any T any N M1

*M* metastasis status, *N* lymph node status, *T* tumor classification

poor with this staging system and have proposed a new one (> Box 4.2) [10]. In 2010 the 7th edition of the AJCC/UICCA staging manual was published, including a specific staging system for ICC (> Box 4.3) [11]. With the AJCC/UICCA system, tumor size is not considered a prognostic factor, while T-classification is based on the number of lesions, on the presence/absence of vascular invasion, intrahepatic metastasis, and invasion of adjacent structures. The AJCC/UICCA staging system also includes both "N" and "M" subclassifications. Regional lymph node metastases in the hilar, periduodenal, and peripancreatic nodes are considered N1 disease. This staging system has been independently validated [12] and endorsed by the European Association for the Study of the Liver (EASL) as the

## Box 4.2 Proposed Staging System for Patients with Mass-forming Intrahepatic Cholangiocarcinoma According to Nathan et al. [18]

- T categories
  - T1: Solitary tumor, no vascular invasion
    T2: Solitary tumor with vascular invasion
  - ≥ 2 tumors, ± vascular invasion
     T3: Extrahepatic extension
- N categories
  - NX: Nearby (regional) lymph nodes cannot be assessed.
  - N0: No regional lymph node metastases
  - N1: Regional lymph node metastases
- M categories
  - M0: No distant metastases
  - M1: Distant metastases
- Stages
  - I T1, N0, M0
  - II T2, N0, M0 or T3, N0, M0
  - III Any T, N1, M0
  - IV Any T, any N, M1

Box 4.3 Proposed Staging System for Patients with Mass-forming Intrahepatic Cholangiocarcinoma According to the 7th edition of the AJCC [19]

- T categories
  - Tis: Cancer cells only in the mucosa (intramucosal carcinoma).
  - T1: Solitary tumor without vascular invasion
  - T2: Split into 2 groups:
    - T2a: Solitary tumor with vascular invasion
    - T2b:  $\geq$ 2 tumors, ±vascular invasion
  - T3: Tumor perforating the visceral peritoneum or involving local extrahepatic structures by direct invasion
  - T4: Tumors with any periductal-infiltrating component
- N categories
  - N0: No regional lymph node metastases
  - N1: Regional lymph node metastases
- M categories
  - M0: No distant metastases
  - M1: Distant metastases
- Stages
- = 0 Tis, N0, M0
- I T1, N0, M0
- II T2, N0, M0
- III T3, N0, M0
- IVA T4, N0, M0 OR any T, N1, M0
- IVB Any T, any N, M1

*M* metastasis status, *N* lymph node status, *T* tumor classification

preferred staging system for resected ICC (recommendation level B1) [1]. Nevertheless, EASL acknowledges that future studies should focus on stratifying nonsurgical patients for clinical studies using a clinical rather than a surgical staging process [1]. Recent reports suggest that the presence of metastatic lymph node or the analysis of lymph node ratio has better prognostic value than the AJCC 7th edition staging system [13]. These reports also stress that tumor size and biliary invasion should be reintroduced [14] ( Table 4.2), and that tumor growth types are essential [16].

• Table 4.2 Proposed revised staging system for patients with mass-forming intrahepatic cholangiocarcinoma according to the Liver Cancer Study Group of Japan [15]

Criteria	
1. No. of tumors	Solitary
2. Size of largest tumor	2 cm
3. Vascular or major biliary invasion	vp0, va0, b0-b2
Tumor classification	
T1	All three criteria are fulfilled
T2	Only two of the three criteria are fulfilled
Т3	Only one of the three criteria is fulfilled
T4	None of the three criteria are fulfilled
Stage	
I	T1N0M0
II	T2N0M0
III	T3N0M0
IVA	T4N0M0
	T1-T3N1M0
IVB	T4N1M0
	Any TN0, N1 M1

*b0–b2* no biliary invasion or minor biliary invasion within second-order branch of the bile duct, *M* metastasis status, *N* lymph node status, *T* tumor classification, *va0* no arterial invasion, *vp0* no portal vein invasion

#### 4.1.6 Treatment and Prognosis

Although surgery is the best-known treatment, only 20-40% of patients with ICC are eligible for potential curative resection at diagnosis [1]. Adjuvant chemotherapy and/or radiotherapy has failed to improve survival in most patients. The 5-year survival in patients following curative surgery is 30–35%, while the median overall survival is approximately 28 months [1]. • Table 4.3 lists the main factors affecting survival in five different surgical series. The most important prognostic factors are age at diagnosis, tumor size (>2–3 cm), lymph node metastases, multiple tumors or intrahepatic metastases, vascular invasion [14, 16], and type of enhancement on contrast-enhanced CT [20]. Serosal invasion is not considered to be a prognostic factor in all studies [17, 21]. Multivariate analyses have shown that lymph node metastases, multiple tumors at presentation, and vascular invasion are the most important independent factors associated with a poor postoperative outcome [17, 18].

# 4.2 Patterns of Local Spread

# 4.2.1 Parenchymal Dissemination

According to Okabayashi et al., approximately one third of patients with a preoperative diagnosis of a solitary tumor have multiple satellite lesions in the resected specimen (• Fig. 4.2) [8]; when larger than 1 or 2 cm, approximately two thirds of satellite nodules are detected by imaging [20]. Historical studies have shown that CT and conventional MR imaging have similar performances for the detection of satellite lesions [17]. With both imaging modalities, nodules are appreciated because they enhance in parallel with the primary tumor. With the introduction of diffusionweighted imaging (DWI) ( Fig. 4.3) and of hepatobiliary MR contrast agents, satellite nodules can be visualized better by MRI. Kang et al. reported additional daughter nodules (i.e., located around the main tumor) in 10% of the patients and intrahepatic metastases (i.e., distant from the main tumor) in 2%, using gadoxetic acid-enhanced MRI during the hepatobiliary phase [21]. CT or MR can be used to estimate the volume of the future liver remnant of potential surgical candidates. In patients with a healthy liver parenchyma,

5		J		5 /	
	Okabayashi [17]	LCSGJ [14]	Nathan [18]	AJCC/UICC 7th [19]	LCSGJ revised [15]
Number of patients	60	136	598	598	419
Years	1981–1999	1990–1996	1988–2004	NM	2000–2005
Race	Japanese	Japanese	Western	Western	Japanese
Prognostic factors (wors	e survival)				
Tumor size	No	>2 cm	No	No	>2 cm
Tumor number	≥2	≥2	≥2	≥2	≥2
Vascular invasion	Yes	Yes	Yes	Yes	Yes
Peritoneal invasion	No	Yes	NM	Yes	Yes
Symptomatic tumor	Yes	NM	NM	NM	NM
Lymph node invasion	Yes	Yes	Yes	Yes	Yes
CEA preoperative	<5 ng/mLª	NM	NM	NM	NM
ALP preoperative	>300 IU/mL <sup>a</sup>	NM	NM	NM	NM
CA 19-9 preoperative	No	NM	NM	Yes	NM
R1 resection	No	No	NM	Yes	NM
PSC	No	NM	NM	Yes	NM

·	Table 4.3	Recognized	prognostic	factors according	g to the main	staging	systems
			<b>D D D D D D D D D D</b>				

NM not mentioned in the original publication, yes significant prognostic factor, no no significant impact on survival, ALP alkaline phosphatase, CA carbohydrate antigen, CEA carcinoembryonic antigen, LCSGJ Liver Cancer Study Group of Japan, PSC primary sclerosing cholangitis <sup>a</sup>Only significant prognostic factor in univariate analysis

25% of the total liver volume should be preserved to minimize morbidity from resection, whereas 40% of the total liver volume is required in patents with extensive fibrosis or cirrhosis. In patients with an insufficient future liver remnant, portal vein embolization (PVE) may be indicated to increase volume of remnant liver [18, 19]. Patients traditionally undergo sequential treatment with preoperative biliary drainage when necessary followed by PVE before resection.

# 4.2.2 Vascular Invasion

Assessment of vascular extension is important because it determines the therapeutic options and is predictive of oncological outcome. Unlike hepatocellular carcinoma, which spreads to the vascular lumen, progression of ICC results in vascular encasement. Imaging features suggestive for vascular involvement include close contact between the tumor and the vessel, vascular deformation, and stenosis or irregularities with nearly complete occlusion. Vascular encasement is present in approximately 50% of cases, and it involves the portal branches ( Fig. 4.4) more often than the hepatic veins ( Fig. 4.5) [20, 22]. The presence of segmental or lobar atrophy is strongly associated with ipsilateral portal vein encasement [23]. Anatomical variants (e.g., accessory right hepatic vein, low insertion of the right posterior portal vein, etc.) should be reported because it can change the treatment strategy.

Doppler ultrasound effectively identifies vascular invasion, encasement, or occlusion of both the portal veins and the hepatic arteries. In one study, preoperative US correctly identified 13/16 cases of liver tumors involving the hepatic vein yielding a sensitivity, specificity, and a PPV of 81%, 97%, and 87%, respectively [15]. In a second historical study,



**Fig. 4.2** Example of satellite nodules in a 63-year-old male with intrahepatic cholangiocarcinoma located in the right liver. Contrast-enhanced CT on portal venous **a**, **b** and delayed phase images **c**, **d** show a large heterogeneous mass with progressive enhancement (from **a** to **c**)

and capsular retraction due to the presence of abundant fibrous stroma. Several smaller lesions (*arrows* in **b** and **d**) are depicted around the main tumor, with similar aspect. These lesions correspond to satellite nodules

preoperative US identified 38/41 patients (sensitivity = 93%) with portal vein involvement at surgery [24]. The above reported results were comparable to those of CT arterial portography. Nevertheless, in clinical practice the reference imaging modalities for vascular invasion are contrast-enhanced CT and MR imaging preferably with 3D and multiplanar reconstructions [25]. Although the two examinations are comparable, CT is considered more reliable in the assessment of vascular involvement due to its high spatial resolution.

# 4.3 Regional and Distal Spread

# 4.3.1 Lymph Node Involvement

It is important to accurately assess lymph nodal involvement as the presence of metastatic disease within the regional lymph nodes is a strong predictor of a poor long-term outcome following curative intent resection of intrahepatic cholangiocarcinoma [26]. Regional lymph nodes are divided into N1 nodes (hilum and around the common bile duct, periportal, peripancreatic, periduodenal) and N2 nodes (superior mesenteric and celiac). In published series, the overall accuracy of CT for detection of metastatic lymph nodes is 77%, and the most common error in preoperative imaging is underestimation of nodal involvement [20]. At CT neoplastic lymph nodes are round or enlarged and with a heterogeneous enhancement ( Figs. 4.6 and 4.7). Unfortunately, this pattern is uncommon in the early stage of lymph node involvement. Indeed, as previously reported, the size of the nodes is poorly correlated with tumor status since small nodes may be metastatic and large lymph nodes may be benign. For instance, Adachi et al. reported a 50% sensitivity for enlarged nodal size for the detection of tumor



**Fig. 4.3** Added value of diffusion-weighted images for the detection of satellite nodules in a 47-year-old male with intrahepatic cholangiocarcinoma located in the right liver. Contrast-enhanced CT on portal venous phase **a**, **b** shows a large heterogeneous mass in the right lobe (*arrow* in **a**) and

several smaller lesions (*arrows* in **b**) located in the liver dome. On diffusion-weighted images **c**, **d** with high b values, the main tumor is more conspicuous (*arrow* in **c**). Images show significantly more satellite nodules in the liver dome (*dashed circle* in **d**) and a contralateral nodule (*arrow* in **d**)



**Fig. 4.4** Portal vein encasement in a 52-year-old male with intrahepatic cholangiocarcinoma of the right liver lobe. Contrast-enhanced CT with maximum intensity projection shows the heterogeneous mass in the right liver and the absence of right portal vein (*arrow*), due to the tumoral encasement. The main portal vein and the left branch were not involved



**Fig. 4.5** Hepatic vein encasement in a 57-year-old female with intrahepatic cholangiocarcinoma. Contrast-enhanced CT (portal venous phase) shows a heterogeneous mass located in the central part of the liver, in close contact with the inferior vena cava. The left hepatic vein is completely encased in the tumor and shows no contrast enhancement (*black arrow*). The right hepatic vein (*white arrow*) and the portal veins (*dashed arrow*) are not occluded



**Fig. 4.6** Metastatic lymph nodules in a 51-yearold female with intrahepatic cholangiocarcinoma. Contrast-enhanced CT (portal venous phase) shows a heterogeneous mass located in segment 8 (*arrow* in



a). Several enlarged and heterogeneous lymph nodes are depicted around along the liver vascular pedicle, around the hepatic and splenic arteries, and the celiac axis (*arrows* in **b**)



**Fig. 4.7** Retroperitoneal metastatic lymph nodules in a 68-year-old male with intrahepatic cholangiocarcinoma. Contrast-enhanced CT (portal venous phase) shows numerous bilobar hypodense tumors corresponding to

disseminated intrahepatic cholangiocarcinoma **a**. Multiple enlarged and heterogeneous lymph nodes are depicted in the retroperitoneum (*arrows* in **b** and **c**)

invasion [27]. Lymph nodes around the cardiac portion of the stomach and along the lesser gastric curvature should be examined in addition to nodes in the hepatoduodenal ligament in ICC of the left lobe [28].

Since at diffusion-weighted imaging, lymph nodes are hyperintense regardless of the tumoral status; there is no added value from this additional sequence. Conversely, the use of hepatobiliary MR contrast agents could indirectly help in identifying nodal invasion. Indeed, Kang et al. have shown that the extent of relative enhancement of the main tumor on hepatobiliary phase images following Gd-EOB-DTPA administration was significantly higher in patients without than in those with lymph node metastases [21]. Finally, the diagnostic value of FDG-PET has been shown to be disappointing for the detection of regional lymph node metastases, even though its positive predictive value is higher than that of CT [29]. Thus, the contribution of preoperative imaging to determine malignant lymph nodes is low.

# 4.3.2 Perineural Invasion

The liver is innervated by both afferent and efferent autonomic nerves, which are associated with the portal vein, hepatic artery, and bile ducts within the liver hilum. The sympathetic innervation is postganglionic and originates in the celiac and superior mesenteric ganglia. The parasympathetic nerves branch off from the vagus nerve. The anterior plexus originates from the left portion of the celiac plexus and forms a network of nerves surrounding the hepatic artery. The posterior plexus is derived from the right portion of the celiac plexus and is located around the portal vein with occasional innervations accompanying the hepatic vein (**s** Fig. 4.8a).

Perineural invasion is a common histological finding in biliary malignancies. It is a local diffusion mode for tumors, and it plays a critical role in prognosis [5]. Tumor perineural invasion is not correlated with patient's age or gender and with the presence of distant metastases (including liver or abdominal cavity or peritoneum metastases). However, it is highly correlated with tumor volume, location, depth of invasion, angiogenesis, and lymph node involvement [30]. The biliary system lies close to both the peripheral nervous plexus and the coeliac plexus, and this proximity may facilitate peripheral nerve invasion by biliary tumors. Since the biliary system is rich of autonomic nerves, perineural invasion may also be facilitated ( Fig. 4.8b) [31]. In the past it was thought that tumors invaded the nerves through the lymphatic pathway within the nerve or perineurium [30]. However, more recently it has been shown that not all patients presenting with perineural invasion have lymphatic metastasis [30]. By performing three-dimensional reconstruction of extrahepatic bile duct pathological specimens, Maxwell et al. [32] have shown that tumor perineural invasion is actually a type of local tumor growth pattern. Indeed, the perineural interspace invasion was the fifth dependent metastasis pathway to be discovered (aside from tumor direct invasion metastasis, implantation metastasis, lymphatic, and blood route metastasis). Farges et al. [26] have shown that in patients without lymph node invasion, a larger resection margin was associated with better survival, suggesting an important role of peritumoral perineural invasion.

Unfortunately there are few studies reporting imaging features of perineural invasion. However,



**Fig. 4.8** a Gross anatomy of the hepatic nervous system. The anterior plexus forms around the common hepatic artery, and the posterior plexus forms around the portal vein. These plexuses follow these structures to enter the liver hilum with the accompanying portal structures

and carry afferent and efferent fibers of both sympathetic and parasympathetic origin. **b** Anatomy of the intrinsic sympathetic and parasympathetic nerve fibers. Sympathetic and parasympathetic fibers surround the portal area, and sympathetic fibers course into liver sinusoids Raghavan et al. demonstrated that soft-tissue infiltration along the celiac plexus on CT is a sign of perineural invasion [33]. This is surgically relevant because perineural invasion adjacent to the main tumor or within the gastrohepatic or the hepatoduodenal ligaments is potentially resectable with negative surgical margins. Conversely, celiac lymph node metastases or perineural invasion within the celiac plexus is generally a negative prognostic factor that contraindicates major hepatic resection with curative intent.

# 4.3.3 Distant Metastases

Extrahepatic and distant metastases of intrahepatic cholangiocarcinoma are rare at presentation, the most common sites being the lungs, peritoneum, and bones. At diagnosis, peritoneal carcinomatosis is rare, while it is more frequently observed as recurrence after resection. Whole-body CT and FDG-PET/CT are interesting complementary techniques to detect distant metastases. The main advantage of FDG-PET consists in the detection of otherwise unsuspected metastases, and its findings change the surgical management strategy in up to 30% of patients [34–36]. Thus, before surgical resection, PET/CT could be performed to help rule out occult metastatic disease.

Baheti et al. have shown that the features of the main tumor on CT affect the distribution pattern of distant metastases [37]. The authors identified three tumor types: type I, solitary dominant mass; type II, dominant mass with satellite nodules in the same segment; and type III, multiple scattered hepatic lesions. Solitary dominant masses were smaller and had the lowest incidence of metastases at presentation (26%) and the best overall survival. Pulmonary metastases were more common in patients with multiple scattered hepatic lesions, and bone metastases were less common in those with solitary dominant masses compared to the other groups. Finally, the time to first metastasis decreased from type I to type III [37].

# 4.4 Conclusions

Cholangiocarcinoma is the most common primary tumor of the bile ducts. Imaging plays an important role in the diagnosis of intrahepatic tumors which typically present as focal heterogeneous progressively enhancing mass, frequently associated with capsular retraction and upstream biliary dilatation. Definition of parenchymal, vascular, perineural, and nodal involvement as well as the identification of distant metastases with imaging is most important in order to select patients for curative resection. However, while imaging is accurate in detecting parenchymal invasion and vascular encasement, it has limitations in the evaluation of the extent of nodal and perineural invasion.

#### Conflict of Interests

None of the authors have any conflict of interest or financial ties to disclose.

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# Bile Duct and Gallbladder Tumors

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- 5.1 **Overview 68**
- 5.1.1 Epidemiology 68
- 5.1.2 Risk Factors 68
- 5.1.3 Pathology 68
- 5.1.4 Staging 69
- 5.1.5 Diagnosis 70
- 5.1.6 Treatment 77
- 5.1.7 Prognosis 78
- 5.2 Patterns of Local Spread and Implications to Treatment – 78
- 5.2.1 Local Spread of Gallbladder Carcinoma 78
- 5.2.2 Local Spread of Hilar Cholangiocarcinoma 78
- 5.3 Vessel Infiltration and Resectability 79
- 5.4 Involvement of Adjacent Organs 79
- 5.5 Lymph Node Involvement 79
- 5.5.1 Gallbladder Carcinoma 79
- 5.5.2 Hilar Cholangiocarcinoma 80
- 5.6 Perineural Invasion 80
- 5.7 Metastatic Spread 80

**References – 80** 

#### 5.1 Overview

#### 5.1.1 Epidemiology

Bile duct carcinoma is rare, accounting for <2% of all tumors [1–3]. Its prevalence varies according to geographic region, with the highest rates reported in Southeast Asia, partly due to endemic liver parasitic infections. According to the American Cancer Society, about 2–3000 people in the USA develop cholangiocarcinoma each year [2]. Recent reports have shown that incidence and mortality are increasing, especially in Western Europe and the USA, but this data may be in part attributed to misclassification of intra- and extrahepatic cholangiocarcinomas [3]. The average age of presentation is 60–70 years, with a slightly male predilection (54%) [2, 4].

## 5.1.2 Risk Factors

Most cholangiocarcinomas develop without a specific etiology, but a strong correlation between tumor development and any condition leading to a state of chronic irritation or inflammation and cholestasis in the biliary tree has been observed [5]. Numerous risk factors have been identified including [5] primary sclerosing cholangitis, recurrent pyogenic cholangitis, cholelithiasis, hepatobiliary flukes (*Opisthorchis viverrini*, *Clonorchis sinensis*), Caroli's disease/choledochal cysts, congenital hepatic fibrosis, toxins (thorotrast, polyvinyl chloride, heavy alcohol use), viral infections (HIV, HBV, HCV, EBV), cirrhosis, and other abnormalities of the biliary duct (especially those with pancreatic juice reflux in the bile duct).

#### 5.1.3 Pathology

More than 95% of biliary tract neoplasms are cholangiocarcinomas, a malignant tumor arising from the biliary tract epithelium, while other types of bile duct tumors, i.e., sarcomas, small cell cancers, etc., are very rare. The Liver Cancer Study Group of Japan classifies bile duct cholangiocarcinoma based on the type of growth considering the following three major classes (**D** Fig. 5.1): massforming or nodular type, periductal-infiltrating or sclerosing type, and intraductal-growing or papillary type. This classification directly correlates



**Fig. 5.1** Different growth types of hilar cholangiocarcinoma according to Liver Cancer Study Groups, Japan

with the overall survival of patients, the longest survival being that of the papillary type followed by the mass-forming type [4].

Cholangiocarcinoma may arise from any part of the biliary tree ( Fig. 5.2). The most common site of origin is the hepatic hilum followed by the distal bile duct and the intrahepatic bile ducts. The first two locations together are defined as extrahepatic bile duct cancers. Hilar cholangiocarcinoma, or Klatskin's tumor, is by far the most common site of bile duct cancer accounting for approximately two thirds of cases. Cholangiocarcinoma develops through multistep carcinogenesis, and two types of precursor lesions have been identified: a flat intraepithelial biliary neoplasia or Bilin (discernible only at a microscopic level) and an intraductal papillary lesion or IPNB (previously named papillomatosis) [6]. Both these lesions arise in large hilar and extrahepatic bile ducts and are only rarely present in the septal or interlobular bile ducts.

Cholangiocarcinomas may also originate from the fundus (60%), body (30%), or neck (10%) of the gallbladder [6]. The latter, likewise hilar cholangiocarcinoma, has two types of precursor lesions: flat lesions with either low-grade dysplasia or high-grade dysplasia and massforming lesions (adenomas). Most of gallbladder carcinomas arise from flat dysplasia, while massforming precursor lesions are found only in few cases [7–10].





## 5.1.4 Staging

To date, there is no optimal pathologic staging system for bile duct cholangiocarcinoma that provides both good prognostic capabilities and aids in surgical planning and patient selection. The most widespread staging system for cholangiocarcinomas is the 2010 American Joint Committee on Cancer (AJCC) TNM system. The system includes four separate staging systems, based on primary tumor site (• Tables 5.1 and 5.2) [11]. A new staging system for hilar carcinoma based on published prognostic factors and resectability criteria has been recently proposed, but it still needs to be validated in large patient series (• Table 5.3) [12].

Biliary involvement of hilar carcinomas can be classified according to the Bismuth-Corlette classification ( Fig. 5.3). This classification is not a staging system but an anatomic description originally developed to assess resectability of hilar cholangiocarcinomas [13, 14].

<b>Table 5.1</b> Gallbladder cancer staging according to AJCC (7th edn, 2010)		
	Gallbladder cancer staging	
Primary	tumor (T)	
ТХ	Primary tumor cannot be assessed	
то	No evidence of primary tumor	
Tis	Carcinoma in situ	
T1	Tumor invades lamina propria or muscular layer	

<b>Table 5.1</b> (continued)			
	Gallbladder cancer staging		
T1a	Tumor invades lamina propria		
T1b	Tumor invades muscular layer		
T2	Tumor invades perimuscular connective tissue, no extension beyond the serosa or into the liver		
Τ3	Tumor perforates the serosa (visceral peritoneum) and/or directly invades the liver and/or one other adjacent organ or structure, such as the stomach, duode- num, colon, pancreas, omentum, or extrahepatic bile ducts		
T4	Tumor invades main portal vein or hepatic artery or invades 2 or more extrahepatic organs or structures		
Regiona	l lymph nodes (N)		
NX	Regional lymph nodes cannot be assessed		
N0	No regional lymph node metastasis		
N1	Metastases to nodes along the cystic duct, common bile duct, hepatic artery, and/or portal vein		
N2	Metastases to periaortic, pericaval, superior mesenteric artery, and/or celiac artery lymph nodes		
Distant	metastasis (M)		
M0	No distant metastasis		
M1	Distant metastasis		

Table 5.2 Hilar cholangiocarcinoma staging according to AJCC (7th edn, 2010)		
	Hilar cholangiocarcinoma staging	
Primary	tumor (T)	
ТХ	Primary tumor cannot be assessed	
ТО	No evidence of primary tumor	
Tis	Carcinoma in situ	
T1	Tumor confined to the bile duct, with extension up to the muscle layer or fibrous tissue	
T2a	Tumor invades beyond the wall of the bile duct to surrounding adipose tissue	
T2b	Tumor invades adjacent hepatic parenchyma	
Т3	Tumor invades unilateral branches of the portal vein or hepatic artery	
T4	Tumor invades main portal vein or its branches bilaterally, the common hepatic artery, the second-order biliary radicals bilaterally, or the second-order biliary radicals unilaterally, with contralateral portal vein or hepatic artery involvement	
Regional lymph nodes (N)		
NX	Regional lymph nodes cannot be assessed	
NO	No regional lymph node metastasis	
N1	Regional lymph node metastasis (including nodes along the cystic duct, common bile duct, hepatic artery, and portal vein)	
N2	Metastasis to periaortic, pericaval, superior mesenteric artery, and/or celiac artery lymph nodes	
Distant	metastasis (M)	
M0	No distant metastasis	
M1	Distant metastasis	

## 5.1.5 Diagnosis

## 5.1.5.1 Bile Duct Carcinoma

Accurate assessment of both local and distant dissemination is mandatory for treatment planning. Bile duct carcinoma may spread both vertically and horizontally (• Fig. 5.4). *Vertical spread* occurs when

Table 5.3 Hilar cholangiocarcinoma staging system proposed by Deoliveira et al				
	Side/ location	Description		
Bile duc	t (B) (based or	Bismuth classification)		
B1		Common bile duct		
B2		Hepatic duct confluence		
B3	R	Right hepatic duct		
B3	L	Left hepatic duct		
B4		Right and left hepatic duct		
Tumor s	ize (T)			
T1		<1 cm		
T2		1–<3 cm		
T3		≥3 cm		
Tumor f	orm (F)			
Sclerosi	ng	Sclerosing (or periductal)		
Mass		Mass forming (or nodular)		
Mixed		Sclerosing and mass forming		
Polypoid		Polypoid (or intraductal)		
Involvei	ment (>180°) c	of the portal vein (PV)		
PV0		No portal involvement		
PV1		Main portal vein		
PV2		Portal vein bifurcation		
PV3	R	Right portal vein		
PV3	L	Left portal vein		
PV4		Right and left portal vein		
Involvei	ment (>180°) c	of the hepatic artery (HA)		
HA0		No arterial involvement		
HA1		Proper hepatic artery		
HA2		Hepatic artery bifurcation		
HA3	R	Right hepatic artery		
HA3	L	Left hepatic artery		
HA4		Right and left hepatic artery		
Liver rei	mnant volume	(V)		
V0		No information on volume needed (liver resection not foreseen)		

<b>Table 5.3</b> (continued)			
	Side/ location	Description	
V (%)	Indicate segments	% total volume of a putative remnant liver after resection	
Lymph nodes (N) (based on Japanese Society of Biliary Surgery)			
NO		No lymph nodes involve- ment	
N1		Hilar and/or hepatic artery lymph nodes involvement	
N2		Periaortic lymph nodes involvement	
Metasta	Metastases (M) (based on TNM classification (11))		
MO	M0 No distant metastases		
M1		Distant metastases, including liver and peritoneal metastases	

tumor disseminates to the adjacent tissues, more commonly to the liver parenchyma, portal venous system, hepatic artery, and regional lymph nodes. *Horizontal spread* occurs when tumor progresses along the bile duct long axis. Progression along the bile ducts in one of the decisive factors determining resectability and in case surgery is decided upon the extent of liver resection. Involvement beyond the second branch on both sides is a criterion of non-resectability [14, 15]. Intraepithelial spread of bile duct carcinoma has been found in over 10% of patients, but it is difficult to diagnose because the cancer cells replace the normal epithelium of the bile ducts without any perceptible thickening of ducts walls (a thickening less than 1 mm is considered impossible to

prove with imaging techniques) [16, 17]. Computed tomography (CT) is the best imaging modality to evaluate the spread of bile duct carcinomas into the surrounding tissues and the relationship between tumor and the vascular structures within the hepatic pedicle. CT should be performed using a multiphasic technique, which should include a delayed phase, starting 150–180 s from intravenous iodine injection. Bile duct cancer may show a

Fig. 5.3 Bismuth-Corlette classification of hilar cholangiocarcinoma. Type I: tumor limited to the common hepatic duct, below the level of the confluence of the right and left hepatic ducts. Type II: tumor involves the right and left hepatic ducts. Type Illa: tumor extends to the right hepatic duct. Type IIIb: tumor extends to the left hepatic duct. Type IV: tumor extends to the bifurcations of both right and left hepatic ducts or multifocal involvement





**Fig. 5.4** a, b CT shows infiltration of both the left portal vein (*white arrow*) and artery (*arrow head*, vertical spread); c MRCP shows left bile duct involvement (horizontal spread)



**Fig. 5.5** CT scan shows hilar cholangiocarcinoma (*white arrows*). Tumor has a higher attenuation than the surrounding liver due to the abundant fibrous stroma in the delayed phase **b**, while it does not enhance in the

higher attenuation than the surrounding liver due to the abundant fibrous stroma and be better appreciated in the delayed phase (**•** Fig. 5.5) [16].

Focal reduction in vessel caliber, circumferential encasement greater than 180°, and vessel occlusion are signs of vascular involvement (**•** Figs. 5.6 and 5.7). The proportion of the circumferential contact between the tumor and the blood vessels or bile ducts is an important highly specificity information and should be reported among other criteria of tumor invasion (**•** Table 5.4). Attenuation differences within the hepatic parenchyma surrounding the hilum are pathognomonic of parenchymal infiltration (**•** Fig. 5.8).

CT reliability is limited by the presence of biliary stents, and therefore if a biliary drainage is

arterial phase a. Lesion is in contact posteriorly with the right branch of the PV and anteriorly with the hepatic parenchyma of segment 4. Of note, marked dilatation of the right and left bile ducts

necessary because of cholestasis, it should be placed after tumor staging has been completed. Biliary stents are radiopaque and may cause artifacts that underestimate the spread of cancer along the bile ducts. Conversely, inflammatory changes may be a consequence of drainage placement and can be misinterpreted as tumor progression along the ducts, possibly leading to overestimation.

Overall, CT is recommended as the first level test in patients with obstructive jaundice and with suspicion at ultrasound of a malignant lesion of the hepatic-pancreatic-biliary tract. However, for a more accurate assessment of horizontal spread, CT is not sufficient and needs to be integrated with *magnetic resonance cholangiopancreatography* (MRCP). MR imaging has an excellent soft



**Fig. 5.6** Hilar cholangiocarcinoma. **a** CT showing encasement of right hepatic artery by the tumor (*arrow points*) just before the second-order division. **b** Both the right bile duct and B6–7 are dilated. **c** Intraoperative liver ultrasound confirmed the presence and the extension of

tissue contrast resolution and allows evaluation of the extent of tumor spread to the peripheral ducts, which is essential for surgical planning ( Fig. 5.9). Compared to direct cholangiography, MRCP is noninvasive, does not require contrast medium administration, can investigate the entire biliary tree, and provides excellent quality 3D multiplanar reconstructions useful for surgical treatment planning. The use of 3D T1-weighted gradient echo sequences during intravenous administra-

right hepatic artery infiltration (*white arrow points*) and **d** the dilatation of right bile duct. *RPV* right portal vein, *RHA* right hepatic artery, *RBD* right bile duct, *CT* celiac trunk, *B6–B7* right posterior bile duct, *A6–A7* right posterior hepatic artery

tion of gadolinium chelates increases the ability to visualize vascular anatomy [18]. Combining 3D T1w dynamic sequences, performed during contrast medium administration, with MRCP, increases the diagnostic accuracy of preoperative staging with MR imaging [18–20]. Accuracy in the evaluation of bile duct involvement ranges from 81 to 96% and is more accurate for the Bismuth-Corlette type 1 and 2 hilar cholangiocarcinomas, especially when periductal or infiltrat-



**Fig. 5.7 a**, **b** CT scan shows the presence of soft tissue within the hepatoduodenal ligament with encasement of both the main bile duct, of the portal vein (*arrow*), and of the hepatic artery (*arrow points*). **c** Maximum intensity

ing intraductal growth is present [16]. As for CT caution is advised if a biliary stent is present, since it can lead to an overestimation of lesion extent.

The role of FDG-PET/CT in the evaluation of hilar cholangiocarcinoma is controversial, despite most bile duct cancers being 18F-FDG avid lesions. The sensitivity of FDG-PET/CT is dependent not only on the anatomic location of the lesion but also on the growth pattern and pathologic characteristics [19]. Currently there is no clear benefit of FDG-PET/CT over CT or MRI for

projection shows that PV involvement is circumferential (*arrow*). **d** Arterial phase 3D volume rendering shows stenosis at the origin of the left hepatic artery (*arrow point*)

diagnosis or staging of the tumor, but it could be employed, in the preoperative setting, to detect metastatic spread or to differentiate between benign and malignant ambiguous lesions, while during follow up, it could allow early detection of recurrence [20].

#### 5.1.5.2 Gallbladder Carcinoma

Gallbladder carcinoma may appear either as a mass replacing the gallbladder, as an intraluminal polyp (• Fig. 5.10), or as a focal (• Fig. 5.11) or

	Table 5.4	Accuracy	of CT	signs of	vascular	involvement in
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Vascular infiltration parameters					
	Arteries		Veins		
	Sensitivity (%)	Specificity (%)	Sensitivity (%)	Specificity (%)	
Incarceration or lumen obliteration	66	100	14	100	
Circumferential contact > 180°	84–97	91–98	49	100	
Wall irregularities	45	99	63–65	100	
Stenosis	41	100	55	100	



**Fig. 5.8** Extrahepatic cholangiocarcinoma. **a** Portal phase axial CT scan shows large slightly hypointense mass (*arrow points*) infiltrating the liver parenchyma in segments 1 and 4 and the right and left main portal

b

branches (*small arrows*); **b** Delayed CT scan shows modest lesion enhancement (*arrow points*). Of note, marked dilatation of the intrahepatic bile ducts

С







**Fig. 5.10** CT scan in the venous phase shows gallbladder carcinoma with polypoid-like intraluminal growth

complete wall thickening ( Fig. 5.12). CT is the most used technique for the diagnosis and staging of GC [21]. The overall accuracy of CT in the identification of both the primary lesion and the local spread is reported to range between 70 and 93% [22]. CT also shows a 76% specificity and 82% sensitivity in distinguishing between malignant and benign wall thickening [23]. MR imaging shows a higher accuracy in evaluating vascular invasion (100% sensitivity, 87% specificity), bile duct involvement (68% sensitivity, 86% specificity), and hepatic invasion (90% sensitivity, 89% specificity) [23] ( Fig. 5.12). It also allows better differentiation between T1a and  $\geq$ T1b tumors and easier identification of gallbladder adenomyomatosis with its intramural cyst-like dilated Rokitansky-Aschoff sinuses [24]. The use of diffusion-weighted



**Fig. 5.11** CT scan in the delayed phase shows cholangiocarcinoma of gallbladder neck (*arrow*) infiltrating main bile duct (*arrow head*)



**Fig. 5.12** Patient with situs inversus. **a** Axial CT scan shows a large lesion of the gallbladder infiltrating both the liver parenchyma and the hepatic pedicle (*arrows*). **b** Axial CT scan shows multiple confluent nodules of the peritoneum (*arrow*). **c** MRCP shows dilatation of

imaging (DWI) is also useful to differentiate malignant from benign lesions [22–24].

FDG-PET/CT may have a role in the preoperative assessment in the differential diagnosis of ambiguous lesions, to demonstrate distant spread and in the identification of residual disease after cholecystectomy [23].

### 5.1.6 Treatment

Radical resection with a microscopically negative margin (R0) is the only way to cure bile duct can-

intrahepatic bile ducts and stenosis of the proximal common bile duct (*arrow points*) confirmed by **d** direct cholangiography. Diagnosis of gallbladder carcinoma with peritoneal carcinomatosis

cer and is associated with marked survival advantages. The surgical strategy is largely conditioned on the mode of tumor spread. The longitudinal spread of biliary cancers determines the type of radical operation, including extended hepatectomy and hepatopancreaticoduodenectomy. Direct invasion of major vessels, regardless of the prognosis, presents surgical challenges whose indication and operative procedures continue to be debated. When there is severe adhesion between tumor and portal vein, combined resection and reconstruction are necessary to obtain a possible negative surgical margin, yet routine resection of the portal vein might not be recommended unless supported by findings from a randomized clinical trial. Distant metastasis, peritoneal carcinomatosis, and para-aortic lymph node metastasis are absolute contraindications for radical surgery in patients with biliary cancers. The criteria determining the unresectability in HC can be related to patient general status such as reduced health and cirrhosis or factors related to the extension of the disease (> Box 5.1) [25, 26].

## Box 5.1 Criteria of Unresectability in Patients with Hilar Cholangiocarcinoma

- Bilateral extension to the second biliary confluence with bilateral hepatic artery or portal vein invasion
- Invasion of the main trunk of the portal vein (before its bifurcation) or hepatic artery
- Atrophy of a liver lobe with contralateral vascular invasion or extension to the contralateral second biliary branch
- Unilateral extension of the tumor to the sectional bile ducts with involvement of the contralateral portal vein
- Metastasis to the para-aortic lymph nodes
- Distant metastases

The role of cytotoxic therapy for advanced biliary cancer remains controversial because of the minimal impact on the disease. Gemcitabine alone or in combination with cisplatin or oxaliplatin is the most commonly used agents for advancedstage biliary cancer. Combination chemotherapy might improve response rates but is indicated only for patients with a good performance status due to the higher toxicity [27]. The association of chemotherapeutic agents and precision radiation techniques may improve local control and survival in patients with advanced biliary cancer [28].

#### 5.1.7 Prognosis

Median overall survival rate is low, since most patients are not eligible for curative resection. Extrahepatic bile duct cancer shows a 5-year survival rate of 30% in patients with localized disease, 24% if regional spread is present, and 2% for those with distant localizations [15–17].

#### 5.2 Patterns of Local Spread and Implications to Treatment

#### 5.2.1 Local Spread of Gallbladder Carcinoma

Gallbladder carcinoma spreads early on in its course usually by direct liver extension. Anatomy facilitates spread to the liver. Indeed, the gallbladder wall lacks a submucosal layer, and no serosa is present where the viscera are attached to the liver. Consequently, the tumor invades through the thin gallbladder wall early and then extends directly to the liver (around the gallbladder fossa) and adjacent structures, mainly the bile duct (**•** Fig. 5.12).

Surgical approach is strictly dependent on T stage. Tis/T1a gallbladder cancer is typically diagnosed after cholecystectomy for lithiasis and requires no further treatment [29]. Wedge resection of the gallbladder bed or bisegmentectomy S4b-5 and N1 lymph node dissection are recommended in the treatment of T1b and T2 disease [30]. Conversely, the treatment of a locally advanced carcinoma of the gallbladder T3/T4 remains a challenge [31]. Overall, patient survival is higher when only major hepatectomy is performed than when pancreatoduodenectomy or resection of other organs is needed [32].

## 5.2.2 Local Spread of Hilar Cholangiocarcinoma

Hilar cholangiocarcinoma spreads locally by transmural invasion of the bile duct wall. Cancer may spread longitudinally along the right and left hepatic ducts but also disseminate in the cranial and dorsal directions along the thin lateral bile ducts. It is common for HC to infiltrate the hepatic parenchyma and the hepatoduodenal ligament. In particular, HC may extend anteriorly to involve the base of segment 4 or extend in posteriorly to the short bile ducts of the caudate lobe. The main goal of surgical resection in patients with HC is to remove the liver parenchyma adjacent to the hepatic hilum together with the hilar plate to achieve a complete curative resection. In this sense, complete caudate lobectomy and resection of the inferior part of Couinaud's segment 4 coupled with right or left hemihepatectomy (according to the



**Fig. 5.13** Right trisectionectomy extended to segment 1, bile duct resection, and lymphadenectomy for right-side hilar cholangiocarcinoma. *IVC* inferior vena cava, *LHV* left hepatic vein, *LBD* left bile duct, *LPV* left portal vein, *PV* portal vein

predominant tumor location) are the gold standard treatments of HC. Generally an extended right hemihepatectomy is preferentially indicated for even centrally located tumors, because of the greater length of left hepatic duct that facilitates the goal of the negative margin [33] ( Fig. 5.13). The pattern of infiltration at the proximal border of HC closely relates to the gross tumor type [34]. The papillary type has a predominantly intraluminal growth pattern. By contrast, the nodular infiltrative or sclerosing gross types spread longitudinally along the duct wall but also present with microscopic intramural extension. Superficial spread, defined as a mucosal extension of more than 20 mm, is observed in more than 10% of hilar cholangiocarcinomas, more frequently in the papillary and well-differentiated types [35-37]. A gross surgical margin of more than 1 cm in the infiltrating type, and of more than 2 cm in the papillary and nodular types, is recommended to obtain microscopically negative margins.

### 5.3 Vessel Infiltration and Resectability

The right hepatic artery runs just behind the common hepatic duct, and the portal bifurcation is located very close to the confluence of the hepatic ducts. These anatomical considerations justify the high rate of vascular infiltration in patients with biliary cancer. Commonly, invasion of the portal vein walls proceeds from the adventitia to the intima. In other cases, following endothelial destruction, a thrombus may form and progress until the lumen of the vein is totally obliterated. When there is severe adhesion between the tumor and portal vein, combined resection and reconstruction are necessary to obtain negative surgical margins [38, 39].

Invasion of the right hepatic artery may be the consequence of direct extension of the tumor to the hepatoduodenal ligament or may be secondary to the infiltration of the hepatic pedicle by a lymph node metastasis. With the advances in surgical technique, it is now possible to perform hepatic artery resection and reconstruction; however, the true benefit in terms of survival for this aggressive approach is yet to be established [40].

## 5.4 Involvement of Adjacent Organs

Duodenum, colon, and other abdominal viscera may be directly invaded by biliary cancer. In the absence of distant metastases, the involvement of adjacent organs does not constitute per se a criterion for non-resectability. Combination of hepatectomy and pancreaticoduodenectomy is commonly indicated when there is direct duodenal or pancreatic invasion and peripancreatic lymph node involvement [41]. The survival benefits of these procedures remain unclear; consequently this aggressive surgery is not routinely recommended.

#### 5.5 Lymph Node Involvement

## 5.5.1 Gallbladder Carcinoma

Ito and Mishima divided the lymphatic drainage of the gallbladder into the following three pathways based on detailed dissections of the lymphatic system of adult cadavers: the cholecysto-retropancreatic, the cholecystocoeliac, and the cholecysto-mesenteric pathway. These three pathways converge with the abdominal aortic lymph nodes near the left renal vein. Recently, Wakay et al.



**Fig. 5.14** Operative field after N2-lymphadenectomy in the treatment of gallbladder carcinoma. *PV* portal vein, *LGV* left gastric vein, *CT* celiac trunk, *CHA* common hepatic artery, *PHA* proper hepatic artery, *BD* bile duct

[15] have shown, thanks to immunohistochemical analysis, that portal tract invasion mainly results from lymphatic spread within the portal tracts. The frequency of lymph node involvement is strongly influenced by the depth of invasion of the GC. Regional lymph node metastases occur in 19-62% and 75-85% of patients with T2 and T3/ T4 GC, respectively [42]. Based on the lymphatic spread pathways and the frequency of regional N1 and N2 lymph node involvement, regional lymph node dissection is indicated in T2 and T3/T4 stage GC (**•** Fig. 5.14). The role of para-aortic lymph node dissection in advanced GC is not known. Kondo et al. showed that postoperative survival for patients with positive para-aortic lymph nodes without distant metastases was as poor as those patients with distant metastases [43].

#### 5.5.2 Hilar Cholangiocarcinoma

Lymphatic metastases from HC appear to spread first to the pericholedochal nodes in the hepatoduodenal ligament and then to spread widely toward the posterosuperior area around the pancreatic head, portal vein, and common hepatic artery [41, 42]. The para-aortic nodes are regarded as the final nodes in the abdominal lymphatic system from the bile duct. In patients with hilar cholangiocarcinoma, N1 and N2 regional lymph node dissection is indicated. Patients with nodal involvement beyond the hepatoduodenal ligament, including para-aortic nodal metastases, were shown to have dismal prognosis with a 5-year survival of 0–12%. Therefore, routine lymph node dissection beyond the hepatoduodenal ligament is not recommended.

#### 5.6 Perineural Invasion

Perineural invasion can occur by direct invasion of peribiliary nerve plexus and/or via perineural lymphatic vessels. This phenomenon seems to be highly correlated with tumor volume, location, depth of invasiveness, angiogenesis, and lymph node involvement. It has been shown that perineural invasion is correlated with neural cell adhesion molecules (NCAM) expression, indicating that NCAM molecules on the surface of tumor cells might induce them to migrate and adhere to nerve cells when the tumor breaches their capsule. Perineural invasion in advanced GC is strictly associated to extrahepatic biliary infiltration [44, 45], and it represents an independent pathway of spread into the liver.

#### 5.7 Metastatic Spread

Hematogenous spread of biliary cancer occurs most commonly to the liver and the peritoneum (• Fig. 5.12). Metastases to other organs, such as the lungs, osseous structures, kidneys, adrenals, and brain, occur less frequently. Diagnostic laparoscopy at the time of operation identifies unresectable or metastatic disease in ~50% of patients with gallbladder cancer [46]. There are a number of factors that may contribute to gallbladder cancer spread into the peritoneum such as the anatomical location of the gallbladder that has relations inferiorly with the peritoneal surfaces and the immobility of the omental bursa, which promotes a gravitational distribution of tumor cells and the intrinsic characteristics of the peritoneum. Indeed, the microenvironment of peritoneum is hypoxic, well vascularized, and lined with mesothelium overlaying immune aggregates, which express proangiogenic and adhesion molecules that are highly selective for tumor growth and evolution [44].

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## Pancreatic Adenocarcinoma

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- 6.1 **Overview** 84
- 6.1.1 Epidemiology 84
- 6.1.2 Risk Factors 84
- 6.1.3 Pathology 84
- 6.1.4 Staging 84
- 6.1.5 Treatment 85
- 6.1.6 Prognosis 85
- 6.2 Patterns of Local Spread 86
- 6.2.1 Introduction 86
- 6.3 Vessel Infiltration and Resectability 87
- 6.3.1 Splenic Vessels Infiltration 88
- 6.4 Involvement of Adjacent Organs 90
- 6.5 Lymph Node Involvement 91
- 6.5.1 Anatomical Aspects: Tumors of the Pancreatic Head 91
- 6.5.2 The Uncinate Process: A Ventral Enclave in the Dorsal Pancreas – 92
- 6.5.3 Anatomical Aspects: Tumors of the Distal Pancreas 93
- 6.5.4 Surgical Implications 93
- 6.6 Extrapancreatic Nerve Plexus Invasion 94
- 6.6.1 Surgical Implications 95
- 6.7 Metastatic Spread 95

**References – 96** 

#### 6.1 Overview

#### 6.1.1 Epidemiology

The American Cancer Society estimates that about 48,960 new cases of pancreatic cancer (24,840 in men and 24,120 in women) will be diagnosed in the USA in 2015 [1]. The overall incidence of pancreatic cancer has been relatively stable for decades.

Although pancreatic cancer constitutes only about 3% of all cancers in the USA, it accounts for about 7% of all cancer-related deaths, being the fourth leading cause of cancer deaths in both men and women [2].

## 6.1.2 Risk Factors

Estimates indicate that 40% of pancreatic cancer cases are sporadic in nature, up to 30% are related to smoking, and 20% may be associated with dietary factors. Only 5–10% are hereditary in nature [3].

Diabetes mellitus increases the risk for pancreatic adenocarcinoma. The National Comprehensive Cancer Network (NCCN) guideline for pancreatic adenocarcinoma (2.2011 version) acknowledges long-standing diabetes mellitus as a risk factor for pancreatic cancer.

Another important risk factor is chronic pancreatitis: the risk increases linearly with time, with 4% of patients who had chronic pancreatitis for 20 years duration developing pancreatic cancer.

The risk is even higher in patients with hereditary pancreatitis (increased more than 50-fold).

#### 6.1.3 Pathology

Ductal adenocarcinoma arises from, and is phenotypically similar to, pancreatic duct epithelium, with mucin production and expression of a characteristic cytokeratin pattern.

Most ductal adenocarcinomas are well to moderately differentiated. They usually consist of well-developed glandular structures, which more or less imitate normal pancreatic ducts, embedded in a fibrous desmoplastic stroma.

It is the most common tumor in the pancreas, accounting for 85–90% of all pancreatic neoplasms. The majority (approximately 75%) arise in the head of the pancreas, mainly in the upper half, less commonly in the uncinate process, 15–20% in the body, and 5–10% in the pancreatic tail.

Ductal adenocarcinomas are firm and poorly defined masses. Hemorrhage and necrosis are uncommon, while microcystic areas may be present.

The pancreas is anatomically divided into three main parts: head, body, and tail. The head of the pancreas includes the neck (anterior to the superior mesenteric vein and the portal vein) and the uncinate process. The boundary between the head and body of the pancreas is the left margin of the superior mesenteric and portal vein. Body and tail of the pancreas are collectively referred to as distal pancreas; the boundary between body and tail is the line dividing the distal pancreas into two equal halves.

Given the different characteristics of lymphvascular and neural stream and the distinctive relationship with the contiguous organs, tumors originating from each portion of the pancreas display a peculiar behavior in terms of local invasion.

The identification of such specific patterns of tumor spread in relation to the site of origin within the gland is of paramount importance in guiding surgical decision-making as regards both the assessment of resectability and the definition of the optimal extension of the resection.

#### 6.1.4 Staging

The evaluation of the extent of local invasion is fundamental for tumor staging, in order to identify patients who are eligible for resection with curative intent. The preferred staging system for pancreatic cancers is the tumor-node-metastasis (TNM) system of the combined American Joint Committee on Cancer (AJCC)/International Union Against Cancer (UICC). In this classification, the characteristics of local aggressiveness are taken into account both in the evaluation of the T and N parameters (**•** Tables 6.1 and 6.2).

## 6.1.5 Treatment

Treatment of pancreatic cancer depends on the stage of the disease, dividing patients with resectable, locally advanced (unresectable) or metastatic disease.

<b>Table 6.1</b> TNM staging for pancreatic carcinoma			
Prima	ary tumor (T)		
ТΧ	Primary tumor cannot be assessed		
Т0	No evidence of primary tumor		
Tis	Carcinoma in situ		
T1	Tumor limited to the pancreas, $\leq 2 \text{ cm}$ in greatest dimension		
T2	Tumor limited to the pancreas, >2 cm in greatest dimension		
Т3	Tumor extends beyond the pancreas but without involvement of the celiac axis or the superior mesenteric artery		
T4	Tumor involves the celiac axis or the superior mesenteric artery (unresectable primary tumor)		
Regio	onal lymph nodes (N)		
NX	Regional lymph nodes cannot be assessed		
N0	No regional lymph node metastasis		
N1	Regional lymph node metastasis		
Dista	nt metastasis (M)		
M0	No distant metastasis		
M1	Distant metastasis		

cancer	glouping for participation
Stage	
0	Tis, N0, M0
IA	T1, N0, M0
IB	T2, N0, M0
IIA	T3, N0, M0
IIB	T1-3, N1, M0
III	T4, Any N, M0
IV	Any T, Any N, M1

**Table 6.2** Stage grouping for pancreatic

Patients with resectable cancer should undergo upfront surgery; depending on tumor location, this can be pancreaticoduodenectomy, distal pancreatectomy, or total pancreatectomy.

Chemotherapy may be used in neoadjuvant regimens, for adjuvant postoperative therapy, or as a single treatment in metastatic patients.

Medical treatment of metastatic pancreatic cancer is based on both FOLFIRINOX [4] and administration of gemcitabine and nabpaclitaxel [5].

Performance status, assessment of comorbidities, and presence of biliary stents are the main criteria for the choice of treatment.

#### 6.1.6 Prognosis

Overall 5-year survival rate is 7.2%, ranging from 27.1% for localized disease to 2.4% for metastatic disease (**1** Tables 6.3 and 6.4).

Table 6.3	5-Year observed survival rate (%)
Stage	5-Year observed survival rate (%)
IA	14
IB	12
IIA	7
IIB	5
III	3
IV	1

2005–2011 (SEER C	2005–2011 (SEER Cancer Statistic Review)			
Stage at diagnosis	Both sexes	Males	Females	
All stages	7.2	7.0	7.3	
Localized	27.1	27.0	27.0	
Regional	10.7	11.1	10.3	
Distant	2.4	2.4	2.5	
Unstaged	4.4	5.0	4.0	

**Table 6.4** 5-Year relative survival (%) for

### 6.2.1 Introduction

Besides its well-known metastatic aptitude, pancreatic ductal adenocarcinoma (PDAC) is characterized by a striking tendency for loco-regional dissemination. Local extension of the tumor is determined by multiple factors, reflecting both the peculiar biology of the cancer cells and the complexity of the anatomical location of the pancreas. The dense network of nerves, blood vessels, and lymphatic vessels surrounding the gland constitutes the optimal basis for tumor's local infiltration and involvement of adjacent organs that often occurs. When the tumor is located mainly in the head of the pancreas, vascular invasion often occurs in the portal/superior mesenteric axis (**•** Fig. 6.1a). Conversely, when the tumor is located in the body and tail of the pancreas, it generally infiltrates the celiac trunk and/or the splenic vessels [6] (**•** Fig. 6.1b).

Occasionally, local invasion may also involve the inferior vena cava (especially for tumors arising in the pancreatic head) or, rarely, the aorta (tumors of the pancreatic head or body). The degree of vascular involvement is a fundamental parameter in cancer staging, and vascular invasion is the main determinant of local resectability [7].



## 6.3 Vessel Infiltration and Resectability

Given the absence of distant metastases, a tumor is considered resectable when clear fat planes can be identified around the celiac axis, hepatic artery, and superior mesenteric artery, and there is no radiologic evidence of superior mesenteric vein or portal vein distortion ( Fig. 6.2).

The term "borderline resectable pancreatic cancer" (BRPC) is commonly used to describe tumors involving the porto-mesenteric or arterial axis, that is, an intermediate stage between straightforwardly resectable and technically unresectable disease. The concept of borderline resectable itself is continuously evolving, in relation with the improvement of operative techniques and the deepening of the knowledge on the impact of vascular resections in terms of morbidity, mortality, and long-term survival.

As such, in the latest version of the NCCN guidelines (2015.2) the definition of BRPC has been reformulated ( Table 6.5) and slight differences from the ISGPS consensus statement [8] have been introduced.

The definition of unresectability is related to the location of the primary tumor ( Table 6.6).

For all tumor sites, tumors are considered unresectable if there are distant metastases, or LN metastases beyond the field of resection.



• Fig. 6.2 Resectable tumor, a fat plane is seen between the tumor and the mesenteric vessels (*arrow*)



**Fig. 6.3** 180° encasement of the SMA (*arrow*)—borderline resectable pancreatic cancer (BRPC)

Table 6.5 2015 NCCN guidelines, definition of BRPC tumors			
Tumors in the head/ uncinate process	Contact with the common hepatic artery without extension to celiac axis or hepatic artery bifurcation, allowing for safe and complete resection/reconstruction		
	Tumor contact with the SMA $\leq$ 180° ( $\blacksquare$ Fig. 6.3)		
	Presence of variant anatomy, and the presence/degree of tumor contact should be noted because it may affect surgical planning		
Distal tumors	Contact with celiac axis of $\leq 180^{\circ}$		
	Contact with celiac axis of >180° without involvement of the aorta and with intact and uninvolved gastroduodenal artery		
All locations	Contact with SMV or PV of >180°, contact of $\leq$ 180° with contour irregularity or thrombosis of the vein but with suitable vessel proximal and distal to the site of involvement, allowing for safe and complete resection/reconstruction ( $\blacksquare$ Fig. 6.4)		
	Contact with the inferior vena cava		



**Fig. 6.4** Axial **a** and coronal **b** CT images show a short contact between tumor and SMV (*arrow* in **a** and **b**), therefore defining a BRPC

Table 6.6	Definition of unresectability
Tumor site	Criteria for unresectability
Head of pancreas	>180° superior mesenteric artery encasement, any celiac abutment ( <b>•</b> Fig. 6.5)
	Non-reconstructable superior mesenteric/portal vein occlusion ( Figs. 6.6, 6.7, and 6.8)
	Aortic or inferior vena cava invasion or encasement
Body of pancreas	Superior mesenteric artery or celiac encasement >180° (C Fig. 6.9)
	Non-reconstructable superior mesenteric/portal vein occlusion
	Aortic invasion or encasement
Tail of pancreas	Superior mesenteric artery or celiac encasement >180°
For all sites	Distant metastases ( Figs. 6.10 and 6.11)
	Metastases to lymph nodes beyond the field of resection

Tumors in the head of the pancreas are considered straightforwardly unresectable if the superior mesenteric artery is encased for more than 180°, if there is any abutment of the celiac axis, if there is invasion of the aorta or the inferior vena cava, or if there is a non-reconstructable occlusion of the superior mesenteric vein or the portal vein.

Tumors in the body of the pancreas are considered unresectable if there is encasement for more than 180° of the celiac axis or the superior mesenteric vein, invasion or encasement of the aorta, or a non-reconstructable occlusion of the superior mesenteric vein or the portal vein.

Tumors in the tail of the pancreas are unresectable when they encase for >180° the celiac axis or the superior mesenteric artery.

## 6.3.1 Splenic Vessels Infiltration

Splenic vessels constitute a relatively frequent site of local invasion by tumors arising in the distal pancreas: the rate of splenic artery and vein invasion in resected PDAC is reported around 20–30% and 50%, respectively [6, 9].

Surgical resection is commonly performed if infiltration of both the splenic vein and artery (T3 category) is present.

Retrospective studies on patients undergoing distal pancreatectomy [6, 9] have demonstrated that splenic artery infiltration is an independent predictor of survival, while splenic vein invasion is not. This might be explained based on anatomic considerations: the splenic artery courses a few millimeters outside the pancreas, whereas splenic vein runs within the gland the ( Fig. 6.12). Arterial invasion could therefore represent an indicator of extrapancreatic tumor spread. In addition, given that pancreatic cancer is known to metastasize via the axonal flow, the dense network of nerves that surrounds the splenic artery could facilitate tumor progression upstream to the celiac plexus, leading to adverse prognosis.



**Fig. 6.5** Axial **a** and sagittal **b** CT images show encasement of the SMA (*arrow* in **a** and **b**) and involvement of the retroperitoneal fat, defining this tumor as unresectable



**Fig. 6.6** Axial **a** and coronal **b** CT images show a non-reconstructable infiltration of the porto-mesenteric confluence (*arrow* in **a** and **b**)



**Fig. 6.7** Invasion of the SMA, SMV, and retroperitoneal fat



**Fig. 6.8** Teardrop mesenteric vein (*arrow*), sign of infiltration of the SMV



**Fig. 6.9** Axial **a** and coronal MIP **b** CT images show infiltration of the splenic vessels (*arrowhead* in **a**) and encasement of the celiac axis >180° (*arrow* in **a**), which makes this tumor unresectable



Fig. 6.10 Liver metastases (arrows)



**Fig. 6.12** Infiltration of the splenic artery—the tumor is technically resectable; note how the tumor extends dorsally outside the pancreas to reach the artery (*arrow*)



• Fig. 6.11 Peritoneal metastases (arrows)

It should be therefore noted that—even if a radical resection can be achieved safely from a surgical standpoint—splenic artery involvement

implies more aggressive tumor biology affecting patient prognosis.

## 6.4 Involvement of Adjacent Organs

Adjacent organs can be involved by direct tumor invasion. The pattern of local extension depends on the site of origin of the tumor.

- Head of pancreas
  - Invasion of adjacent structures such as the duodenum and the biliary tract constitutes a relatively frequent finding on pancreaticoduodenectomy specimens. Occasionally, tumors originating in the pancreatic head

may also involve: colon (right or transverse), transverse mesocolon, small bowel, right kidney and adrenal gland, liver, gallbladder, and diaphragmatic crura.

Distal pancreas

Tumors arising in the body or tail of the pancreas can involve the spleen, stomach, colon (transverse or left), transverse mesocolon, small bowel, left kidney and adrenal gland, ligament of Treitz, spine, liver, diaphragmatic crura, and diaphragm.

The ISGPS has provided detailed descriptions of the organs resected during standard pancreaticoduodenectomy and distal pancreatectomy [10]. Every additional resection is considered an extended procedure.

Standard pancreaticoduodenectomy:

- Head of the pancreas and uncinate process
- Duodenum and first segment of jejunum
- Common bile duct and gallbladder
- Lymphadenectomy
- Sometimes pylorus and/or antrum of stomach
- Sometimes elements of the transverse mesocolon exclusive of relevant vasculature (e.g., limited soft tissue contiguous to the tumor but not including the colon itself)

Standard distal pancreatectomy:

- Body and/or tail of the pancreas
- Spleen, including splenic vessels
- Lymphadenectomy
- Sometimes fascia of Gerota
- Sometimes elements of the transverse mesocolon exclusive of relevant vasculature (e.g., limited soft tissue contiguous to the tumor but not including the colon itself)

In the absence of distant metastases, the involvement of adjacent organs does not constitute per se a criterion for non-resectability as far as an extended free-margin tumor resection can be safely performed.

### 6.5 Lymph Node Involvement

Lymph node metastases have been reported in 60–90% of patients with resected PDAC [11] and lymph node staging is considered one of

the strongest prognostic factors after the resection [12].

The precise identification of the specific sequence of lymph node invasion and its correlation with patient survival would be of great value in clinical practice, potentially allowing a better selection of patients undergoing upfront surgery rather than neoadjuvant therapy, and affecting the definition of the optimal extension of lymphadenectomy during resection. The detailed pattern of lymph nodal spread is however difficult to outline, due to the complexity of the anatomical connections between the different lymphatic routes. In addition to this, we must consider the current limitations of even state-of-the-art imaging in the detection of LN metastases. Size-based criteria have been shown to be inadequate for the detection of LN metastases.

Lymph nodes within the pancreatic draining nodal basin are classified into different stations according to the nomenclature proposed by the Japanese Pancreas Society [13]. This nomenclature has reached international acceptance and its use has been also recommended by the latest Consensus Statement of the International Study Group on Pancreatic Surgery [14].

## 6.5.1 Anatomical Aspects: Tumors of the Pancreatic Head

According to the reports and on the basis of the previous findings of radioisotope and dye injection studies in normal pancreas samples [15–18], two main routes of lymphatic drainage from the pancreatic head were identified (• Fig. 6.13):

- The superior part of the head appears to drain to lymph nodes around the celiac axis via the lymph nodes that surround the common hepatic artery.
- The remainder of the head is postulated to drain to lymph nodes around the superior mesenteric artery up to para-aortic lymph nodes.

A more recent study, however, has shown that pancreatic cancer can frequently spread to distant LNs via multiple lymphatic drainage basins without a dominant sentinel location [19].



**Fig. 6.13** Distribution of lymphatic metastases to lymph node stations from tumors in the pancreatic head (*orange*) and tail (*green*). *LN* Lymph Node Station

## 6.5.2 The Uncinate Process: A Ventral Enclave in the Dorsal Pancreas

During embryological development, the pancreas arises from the fusion of two independent primordia: the smaller ventral bud forms the caudal part of the pancreatic head and uncinate process, whereas the cephalic part of the pancreatic head, as well as the body and tail, are derived from the larger dorsal bud.

Pancreaticoduodenectomy is generally performed in a similar manner irrespective of the origin of the embryological segment. However, on the basis of their different embryological origins, pancreatic cancers arising in the head and in the uncinate process may actually display peculiar tendencies in local spreading. This fascinating field and its surgical implications have been explored by Japanese authors.

Kitagawa et al. [20] noticed that the lymphatic spread pattern of head PDAC could be attributed to tumor location and speculated that this phenomenon was correlated with the embryological

structure of pancreas. The authors showed an exclusive pattern of lymph nodal metastases that was limited to station 8 (along the hepatic artery) and 12 (hepatoduodenal ligament) for tumors almost entirely confined to the dorsal pancreas, and to station 14 (superior mesenteric artery) for tumors almost entirely confined to the ventral pancreas (uncinate process). However, in the case of cancers extending into both domains the lymph node metastases were distributed widely in areas along the superior mesenteric artery, common hepatic artery, and the hepatoduodenal ligament. These results indicate that lymphatic spread of the embryological ventral and dorsal domains of pancreas head carcinomas may be independent of each other even after the fusion of these domains. On this basis, the authors concluded that in order to achieve radical resection during pancreaticoduodenectomy the specific site of lymph node dissection should be guided by the tumor location.

These results were not completely confirmed by the more recent study by Okamura [21], which showed somewhat various and not exclusive lymph nodal metastasis patterns like those of Kitagawa, but confirmed some differences in loco-regional dissemination for pancreatic head tumors arising from the two different primordia. The authors indeed highlighted a significantly higher rate of lymph vessel invasion and of LN station 15 (lymph nodes along the middle colic artery) involvement for tumors arising in the dorsal pancreas, whereas the rate of perineural invasion tended to be higher in tumors arising from the ventral bud.

Comparable findings were reported for patterns of perineural invasion according to the site of origin of the tumor [22], reinforcing the idea that the spread pattern of pancreatic ductal adenocarcinoma of the head and of the uncinate process may differ on the basis of their different embryological development.

Anyway there is currently no evidence supporting the application of different surgical procedures during pancreaticoduodenectomy for tumors arising from the dorsal and ventral pancreas, and further studies are needed to clarify the field.

### 6.5.3 Anatomical Aspects: Tumors of the Distal Pancreas

Based on the anatomical study by Deki and Sato [23], two major lymphatic routes were initially identified in the left half of the pancreas: one follows the splenic blood vessels and the other accompanies the inferior pancreatic artery. By way of these routes, lymphatics from the left half of the pancreas flow into the nodes situated on the left side of the origins of the celiac trunk and superior mesenteric artery (**S** Fig. 6.13).

Early studies [24] suggested a high metastatic rate in lymph nodes along the splenic artery (50%) and the inferior body (35%), around the common hepatic artery (25%) and the para-aortic lymph nodes (20%).

Subsequent studies [25] described quite a different pattern of nodal involvement by distal cancers, with a high metastatic incidence along the splenic artery, superior mesenteric artery, aorta and celiac trunk, and a relatively low incidence on the inferior pancreatic body and around the common hepatic artery.

The important role of stations 11 (splenic artery) and 14 (superior mesenteric artery) as metastatic sites was further confirmed by subsequent studies [26, 27] but a clear route of lymph nodal spreading could not be identified.



• Fig. 6.14 Extrapancreatic nerve plexus

Indeed, as pointed out by Fernandez Cruz [28] lymphatic spread of tumors arising in the distal pancreas seems to be less continuous and somewhat "scattered" in comparison with head tumors: through the splenic artery route, these cancers appear to disseminate widely to the retroperitoneum, the para-aortic region, and to other peripancreatic lymph nodes ( Fig. 6.14).

#### 6.5.4 Surgical Implications

Despite the recognized prognostic importance of LN variables, the optimal extent of lymphadenectomy during pancreatic resection with radical intent for PDAC is still debated [12].

The performance of extended lymphadenectomy during pancreaticoduodenectomy has not been recommended in clinical practice [14]. However, the interpretation of the current evidence is somewhat hampered by the lack of a common definition of standard lymphadenectomy, preventing comparison of different studies.

As concerns tumors arising in the distal pancreas, studies on lymphadenectomy during leftsided pancreatectomy are scarce. On the basis of the Japanese histopathological studies on the distribution of metastatic lymph nodes, extended lymphadenectomy (including the para-aortic,

93

6

celiac, and superior mesenteric lymph nodes) has been proposed in order to improve patient prognosis. However, no study could provide evidence on a survival benefit related to such extended lymphadenectomy and the optimal extension of lymph nodal retrieval remains unclear.

## 6.6 Extrapancreatic Nerve Plexus Invasion

Pancreatic ductal adenocarcinoma shows a striking tendency for perineural invasion both within and beyond the pancreas. Perineural invasion and extrapancreatic nerve plexus infiltration are recognized as significant prognostic factors in pancreatic carcinoma [22, 29]. However, because of the complexity of the anatomical structures around the pancreas, the patterns of spread of carcinoma through the neural stream are difficult to define in details.

Extrapancreatic nerve plexus have been first categorized by Japanese investigators in the 1950s [30]. This classification has been further refined and finally endorsed by the Japanese Pancreas Society, which identifies ( Fig. 6.14):

 PL Ph I: Pancreatic head plexus I originates from the right celiac ganglia and enters the superior medial margin of the uncinate process.

- PL Ph II: Pancreatic head plexus II originates from the superior mesenteric plexus and runs as a wide band along the entire length of the medial margin of the uncinate process.
- PL sma: Superior mesenteric arterial plexus.
- PL hdl: Plexus within the hepatoduodenal ligament.
- PL ce: Celiac plexus.
- PL cha: Common hepatic artery plexus.
- PL sp: Splenic plexus.

Head tumors display a more complex spreading pattern, depending on the specific location of the tumor within the pancreatic head. In particular, two main patterns of neural invasion by head PDAC have been identified, in close relationship with the embryological development of the pancreas.

Pathological studies [22] on pancreaticoduodenectomy specimens showed a significant correlation between the tumor location considering the two pancreatic primordia and the site of extrapancreatic nerve plexus infiltration (• Fig. 6.15):

- Cancers almost entirely confined to the ventral pancreas extended through the pancreatic head nerve plexus (PL ph1 and PL ph2) to the superior mesenteric nerve plexus (PL sma) ( Fig. 6.16).
- Tumors almost entirely confined to the dorsal pancreas tended to involve the neural



• Fig. 6.15 Different routes of perineural invasion for tumors in the pancreatic head (*PH*), uncinate process (*UP*), and body/tail (*PBT*)



• Fig. 6.16 Coronal CT image shows a hypoattenuating tumor in the pancreatic head and spiculations (*arrow*) in the adipose tissue between the pancreatic head and the superior mesenteric artery, consistent with perineural invasion (confirmed at pathology)

plexus around the common hepatic artery (PL cha) and the hepatoduodenal ligament (PL hdl).

In patients with carcinoma of the body and tail of the pancreas, the splenic plexus is the most frequent site of invasion [24]. In addition, a second route of neural invasion has been proposed [31], directly leading to the celiac ganglion via a distinct nerve trunk, which runs independently of blood vessels.

#### 6.6.1 Surgical Implications

While the splenic ganglion is easily removed during distal pancreatectomy, the optimal extent of neural clearance during pancreaticoduodenectomy for pancreatic cancer is still debated. Extended extrapancreatic neural plexus dissection has been advocated, especially by Japanese authors [32], in order to obtain oncologically negative resections and better survival outcomes.

RCTs comparing outcomes of standard and extended lymph node resection failed to show any survival advantages in comparison with standard resections and were frequently associated with intractable diarrhea [33–37].

Coherently, in the recent ISGPS Guidelines [14] circumferential clearance of the lymph nodes and neural plexus around the superior mesenteric artery has not been recommended, and only tissue at the right side of the superior mesenteric artery has been included in the definition of standard resection.

#### 6.7 Metastatic Spread

The liver is the primary site for hematogenous metastatic spread from the pancreas. The location of the primary tumor influences the distribution of metastases within the liver: tumors located in the body-tail of the pancreas, especially when splenic vein invasion is present, tend to metastasize to the left lobe of the liver more than tumors located in the head of the pancreas [38]. This has been hypothesized to be due to the streamline phenomenon, i.e., the dual blood flow in the portal trunk to the liver: the blood flow from the superior mesenteric vein follows preferentially the right portal trunk to the right lobe of the liver ( Fig. 6.17). The blood flow from the splenic vein together with the inferior mesenteric vein follows the left side of the portal trunk to the left portal vein and the left lobe of the liver and, because of the smaller caliber of the left portal vein, also enters into the right branch of the portal vein. Therefore, the right lobe of the liver receives the majority of the blood flow, even from the splenic vein.

This streamline phenomenon, already well demonstrated by portal venography studies, can be explained with the shortness of the portal trunk, the smoother angle between the superior mesenteric vein and the right portal vein, and the larger caliber of the right portal vein.

Other less common sites for hematogenous metastases include, in approximate order of frequency, the lungs, adrenals, kidneys, bones, brain, and skin. For dissemination to these sites, no differences have been reported based on the location of the primary tumor. • Fig. 6.17 Distribution of hematogenous metastases to the liver according to the streamline phenomenon theory



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## Neuroendocrine Pancreatic Tumors

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7.1	Overview –	100

- 7.1.1 Epidemiology 100
- 7.1.2 Risk Factors 100
- 7.1.3 Pathology 100
- 7.1.4 Clinical Presentation 101
- 7.1.5 Classification and Staging 101
- 7.1.6 Treatment 101
- 7.1.7 Prognosis 103
- 7.2 Patterns of Local Spread 103
- 7.2.1 Introduction 103
- 7.3 Vessel Infiltration and Resectability 103
- 7.4 Involvement of Adjacent Organs 104
- 7.5 Lymphnode Involvement 105
- 7.6 Extrapancreatic Nerve Plexus Invasion 105
- 7.7 Metastatic Spread 106
- 7.7.1 Liver Metastases 106
- 7.7.2 PNETs Metastatic to Other Organs 107

**References – 108** 

#### 7.1 Overview

## 7.1.1 Epidemiology

Although often described as rare, the incidence of PNETs has shown a marked increase over the last two decades, thanks to the widespread use of cross-sectional imaging, so that the actual reported incidence for PNETs is 0.32 per 100,000 per year [1]. Autopsy studies searching for small (<1 cm) NETs reported frequencies ranging from 0.8 to 10%.

PNETs are usually solitary and sporadic but in some cases they belong to inherited syndromes, namely: multiple endocrine neoplasia type 1 (MEN1), von Hippel Lindau (VHL), neurofibromatosis type 1 (NF1), and tuberous sclerosis complex (TSC).

## 7.1.2 Risk Factors

As opposed to pancreatic adenocarcinoma, PNETs have no defined disease-related risk factors.

#### 7.1.3 Pathology

Pancreatic neuroendocrine tumors (PNETs) are a complex and heterogeneous group of rare neoplasms of the pancreas (3%) originating from totipotential stem cells or differentiated mature endocrine cells within the gland.

Pancreatic NETs can be sporadic localized to the head, body, or tail of the gland or they can occur as multiple lesions. MEN-1-associated pancreatic NETs are almost always multifocal and they are usually distributed throughout the pancreatic parenchyma (**•** Fig. 7.1) [2].

Macroscopically, PNETs are usually solitary, solid masses, from 1 to 5 cm in diameter, with rounded borders. The usual PNET is rich in small vessels and has scant fibrotic stroma. It may have unusual features, including cystic aspects, may lead to be misinterpreted as cystic neoplasia; more rarely, they show considerable fibrosis, mimicking ductal adenocarcinoma.

Microscopically, the majority of PNETs are well-differentiated tumors that grow as solid nests or with trabecular patterns, although glandular, acinar, and cribriform features are observed as well.



**Fig. 7.1** Axial **a**, **b** and coronal **c** CT images in a patient affected by MEN-1 show two tumors in the pancreatic head: one in the lower portion is inhomogeneous and hypoat-

tenuating (*arrowhead* in **a**); the second located cranially, is homogeneously hyperattenuating (*arrowhead* in **b**). Both tumors are classified as T3 based on their diameter

## 7.1.4 Clinical Presentation

Based on the clinical features. PNETs are divided in two categories: functioning (F) or nonfunctioning (NF) neoplasms. F-PNETs present with inappropriate hormone secretion-syndromes and include insulinomas (hypoglycemia), gastrinomas (peptic ulcer, hyperchlorhydria, diarrhea), glucagonomas (hyperglycemic coma, necrolytic migratory erythema), VIPomas (diarsomatostatinomas and (diabetes). rhea). NF-PNETs represent the majority (40-80%) of PNETs and mostly present with mass-related symptoms of abdominal pain, nausea, and weight loss or completely asymptomatic and diagnosed incidentally during routine exams (incidentalomas) [3].

## 7.1.5 Classification and Staging

Since PNETs encompass a wide range of different diseases, it is important to stratify them as precisely as possible, in order to define their biological behavior and orient the most appropriate treatment.

The European Neuroendocrine Tumor Society (ENETS) has recently proposed a prognostic stratification system [4] that takes into account:

- The World Health Organization (WHO) 2010 grading classification, which distinguishes between well-differentiated neuroendocrine tumors (NETs) and poorly differentiated neuroendocrine carcinomas (NECs) of small or large cell type. NETs are then divided according to a grading scheme based on mitotic count or Ki67 index ( Table 7.1).
- The Tumor-Node-Metastasis (TNM) staging system, which classifies tumors limited to the

```
pancreas as T1, T2, or T3 based primarily on dimensions (<2, 2–4, >4 cm). Of note, T3 also includes cases with invasion of the duodenum or bile duct, whereas T4 cases are those with invasion of adjacent organs or large vessels (• Table 7.2) (• Figs. 7.1, 7.2, 7.3, and 7.4).
```

#### 7.1.6 Treatment

#### 7.1.6.1 Surgery

In metastatic patients with a reasonable performance status, surgery is recommended in the absence of unresectable lymph node and extraabdominal metastases, or diffuse unresectable peritoneal carcinomatosis [5]. Potentially curative (R0/R1) surgery should be performed when liver metastases are unilobar (type 1 pattern) in welldifferentiated (NET G1–G2) lesions. NEC G3 lesions should not be resected unless they are isolated [6].

Debulking resections (R2), with or without other locoregional or ablative procedures, can be justified as palliative surgical intent in selected patients eligible for aggressive surgery; however, removal of approximately 90% of the tumor volume is recommended.

The resection of the primary tumor, including regional and distal lymph nodes, even in patients with unresectable metastatic liver disease, seems to be related to a better prognosis [7–9] and should be performed prior or synchronous to the treatment of liver metastases.

Liver transplantation may be a therapeutic option in those patients affected by metastatic disease conditioning a hormonal syndrome refractory to all other available treatments, or substitution of liver parenchyma with an increased risk of organ failure. Even if there is a lack of

Table 7.1 World Health Organization 2010 classification of PNETs				
Differentiation	Classification	Grade	Mitotic count (per 10 HPF)	Ki-67 index (%)
Well differentiated	NET	G1	<2	≤2
	NET	G2	2–20	3–20
Poorly differentiated	NEC	G3	>20	>20

NET neuroendocrine tumor, NEC neuroendocrine carcinoma, HPF high power fields

Table 7.2	ENETS-TNM 2012	classification
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#### T-primary tumor

- Tx Primary tumor cannot be assessed
- T0 No evidence of primary tumor
- T1 Tumor limited to the pancreas and size <2 cm
- T2 Tumor limited to the pancreas and size 2–4 cm
- T3 Tumor limited to the pancreas and size >4 cm or invading duodenum or bile duct
- T4 Tumor invading adjacent organs (stomach, spleen, colon, adrenal) or wall of large vessel (celiac axis or superior mesenteric artery)

#### N-regional lymph nodes

Nx	Regional lymph node cannot be assessed	
N0	No regional lymph node metastasis	
N1	Regional lymph node metastasis	
M-distant metastasis		
Mx	Distant metastasis cannot be assessed	
M0	No distant metastasis	
M1	Distant metastasis	
Stage		
Stage	2	
Stage I	2 T1, N0, M0	
I I Ila	2 T1, N0, M0 T2, N0, M0	
I IIa IIb	T1, N0, M0 T2, N0, M0 T3, N0, M0	
I IIa IIb IIIa	T1, N0, M0 T2, N0, M0 T3, N0, M0 T4, N0, M0	
Illa Ilb Illa Illb	T1, N0, M0 T2, N0, M0 T3, N0, M0 T4, N0, M0 Any T, N1, M0	

• Fig. 7.2 Axial arterial phase CT image shows a small homogeneous slightly hypervascular tumor (*arrow*) in the pancreatic tail (T1, G1)



**Fig. 7.3** Axial arterial phase CT image shows a 3-cm homogeneous hypervascular tumor in the head of the pancreas (T2, G2)

consensus, the following criteria are minimal requirements for choosing liver transplantation: good performance status, "type 3 pattern" of diffuse G1/G2 disease, absence of unresectable extrahepatic disease [3, 34].

## 7.1.6.2 Local Ablative and Locoregional Techniques

Radiofrequency ablation (RFA) may be employed for palliation in order to avoid a major surgical procedure and it can also effectively supplement a surgical resection as antitumor treatment and in relieving symptoms in patients with PNET liver metastases (type 2 pattern) less than 5 cm in size [10, 11].



**Fig. 7.4** Axial arterial phase CT image shows a large inhomogeneous hypodense tumor in the head of the pancreas, which encases the superior mesenteric artery (*arrow*) (T4, G3): a stent is seen in the main bile duct (*arrowhead*)
Transcatheter arterial embolization (TAE) or chemoembolization (TACE) may be used to treat liver metastases (G1/G2) in unresectable patients. These procedures are contraindicated in case of complete portal vein thrombosis, hepatic insufficiency, and Whipple procedure [12, 13].

#### 7.1.6.3 Medical Therapy

#### Antiproliferative treatment

Somatostatin analogues (SSA) like Octreotide and Lanreotide are commonly used to treat symptoms associated with hormone hypersecretion in neuroendocrine tumors, and have recently been associated with prolonged progression-free survival among patients with advanced, grade 1 or 2 (Ki-67 < 10%) entero-pancreatic, somatostatin receptor-positive neuroendocrine tumors with prior stable disease, irrespective of the hepatic tumor burden [14, 15].

#### Chemotherapy

Systemic chemotherapy using combinations of streptozotocin and doxorubicin or 5-FU should be considered in patients with advanced unresectable progressive G1–G2 pancreatic NET. Combinations of Etoposide and Cisplatin and more recently Temozolomide are indicated in metastatic NEC G3 [3].

#### Molecular Targeted Therapy

Everolimus and Sunitinib represent novel therapeutic options in patients with surgically nonresectable PNETs after progression following chemotherapy [16–18].

#### **PRRT**

Peptide Receptor Radionuclide Therapy (PRRT) with radiolabeled somatostatin analogues may be used to treat metastases of G1/G2 NETs and with refractory carcinoid syndrome: the analogues used are <sup>90</sup>Y- and/or <sup>177</sup>Lu-DOTATOC or -DOTATATE [19, 20].

## 7.1.7 Prognosis

These tumors are considered less aggressive, when compared with pancreatic adenocarcinoma, on account of their slow growth and also the longer survival observed in patients with advanced disease. Overall the 5-year survival rates range from 38 to 100%, depending on tumor site, stage, and grade.

#### 7.2 Patterns of Local Spread

#### 7.2.1 Introduction

The patterns of diffusion of PNETs such as venous narrowing or occlusion, arterial abutment and arterial encasement are mostly similar to those of ductal adenocarcinoma.

Recent clinical series have started to show some pathological elements that seem to define a distinctive pattern of local spread that includes tumor thrombus and intraductal growth, especially for nonfunctioning PNETS where venous tumor thrombus can extend in the portal, superior mesenteric, and splenic veins (**•** Figs. 7.5 and 7.6). Mechanisms behind this specific pathological and molecular behavior are still unclear [21].

Morphologic (contrast enhanced CT/MRI) and functional (e.g., 68Ga-PET and FDG-PET) imaging are necessary in order to assess the resectability and plan the type of surgical resection [4].

# 7.3 Vessel Infiltration and Resectability

A tumor is considered resectable when clear anatomical planes can be identified around the celiac axis, hepatic artery, and superior mesenteric



• Fig. 7.5 Venous phase CT image shows a large tumor in the body-tail of the pancreas invading the main trunk of the portal vein with tumor thrombus (*arrow*)



**Fig. 7.6** Axial **a** and coronal **b** CT images show a large inhomogeneous tumor in the pancreatic head and body that encases the SMA and invades the SMV (*arrowhead* in **b**)

artery, and there is no radiologic evidence of superior mesenteric vein or portal vein distortion. "Borderline-resectable" neoplasm definition and patterns of vessel are not peculiar, and follow those already described for PDAC in > Chap. 5.

The resectability of NF pancreatic NETs should be assessed preoperatively. Surgical resection is contraindicated under the following conditions: (1) circumferential invasion of portal vein system with portal cavernoma (tumor thrombus excluded), and (2) circumferential invasion of superior mesenteric artery (**•** Figs. 7.6 and 7.7). The presence of celiac trunk invasion is not an absolute contraindication for distal pancreatectomy [4].

Surgical resection should be considered also in cases with vascular abutment or invasion, in ter-



**Fig. 7.7** Arterial phase CT shows a large inhomogeneous tumor in the pancreatic head that encases the SMA (*arrow*)

tiary care centers [22]. Norton et al., in their series, described a good oncological outcome and acceptable postoperative complication rate in patients who underwent aggressive surgery. Extended vascular (venous and arterial) resections and liver resection for vascular invasion and simple pattern liver metastatic disease, respectively, could be a considerable therapeutic option [23].

The presence of portal vein thrombi in patients with PanNETs is not rare and does not represent a contraindication to surgery. A recent study has described the removal of the thrombus as a safe and feasible procedure in highly selected patients and following specific precautions: (a) the tumor thrombus must be mobile as an appendage from the primitive tumor (i.e., without vessel encasement); (b) if needed, multivisceral and/or additional vessels resections should be performed before thrombectomy, and (c) a multimodal therapeutic strategy should be considered before performing surgery (e.g., citoreductive treatment) [24].

## 7.4 Involvement of Adjacent Organs

Direct tumor invasion can occur for PNETs, depending on the primary tumor localization (head or distal pancreas), as described for pancreatic ductal adenocarcinoma (see ► Chap. 5) (■ Figs. 7.8 and 7.9). When surgery is warranted, distal or total pancreatectomy with multivisceral resection (partial/subtotal gastrectomy, nephrectomy, left colectomy) can be feasible.



• Fig. 7.8 A large inhomogeneous tumor in the bodytail of the pancreas that encases the splenic vessels and invades the splenic parenchyma; a hypervascular liver metastasis is also observed



**Fig. 7.9** A large hypervascular tumor in the body-tail of the pancreas abutting the lesser gastric curvature, without a clear resection plane

# 7.5 Lymphnode Involvement

The prevalence of reported lymph node metastases ranges from about 40% in NF-PNETs to up to 80% in gastrinomas [25]. Although the lymphatic spread scheme can be similar to PDAC, small G1 nonfunctioning neoplasms are associated with a lower risk of positive lymph nodes and related to a better prognosis.

Recent studies have reported that the presences of lymph nodes, and their number in some of these studies, have important prognostic value in PNET patients. These results support the recommendation that systematic removal of lymph nodes in the peritumoral area should be routinely performed in any PNET resection. When lymph nodes are involved, a



**Fig. 7.10** CT shows several enlarged lymphnodes in the retroperitoneum. The largest (*arrowhead*) has inhomogeneous enhancement and a fluid portion compatible with necrosis

positive nodal status, the number of involved lymph node, as well as the ratio of positive lymph nodes and total retrieved lymph nodes are important independent predictors of recurrence after surgery [22].

Although several studies have demonstrated that proper staging for many types of gastrointestinal cancers requires the evaluation of a minimum number of lymph nodes, no threshold has been established for PNETs [26].

Once again, we must remember the current limitations of even state-of-the-art imaging in the detection of LN metastases, and the inadequacy of size-based criteria (• Fig. 7.10).

## 7.6 Extrapancreatic Nerve Plexus Invasion

Due to the lack of studies, mechanisms behind neural invasion by the PNETs are not clear.

Neural invasion is observed in a high number of PNETs irrespective of functional activity, hormonal subtype, or histology. It is more frequently found in high grade PNETs and in tumors that display entrapments of islets and a higher mitotic activity, reflecting a more aggressive nature [27]. Similarly to what described for PDAC, the expression of epidermal growth factor receptor (EGFR) and nerve growth factor (NGF) appears to be associated with a higher rate of perineural invasion. This invasion, however, is usually microscopical and confined within tumor boundaries: once the tumor is resected, therefore, the perineural and epineural tumor propagations appear to be removed together with the tumoral mass without having spread beyond the tumor borders [27].

## 7.7 Metastatic Spread

PNETs represent an important clinical issue because of their high percentage of metastatization. Liver metastases, especially from a nonfunctioning primitive tumor [4], are found in 40–45% up to 73% of patients at the time of initial diagnosis, and the presence of metastases represents the most crucial prognostic factor [28].

In international databases, the median survival in distant metastatic disease was 33 months in patients with G1-G2 NETs, but only 5 months in patients with poorly differentiated carcinomas/ NEC G3, and 5-year survival rates around 40–60% [29, 30].

## 7.7.1 Liver Metastases

#### 7.7.1.1 Pattern of Metastases

Macroscopically, three different patterns of liver infiltration by metastases have been described [31, 32] (• Table 7.3):

- Liver metastases confined to one liver lobe or limited to two adjacent segments. This "simple pattern" can be found in 20–25% of the cases (• Fig. 7.11).
- Liver metastases with a "complex pattern," i.e., with one lobe primarily affected but with smaller contralateral lesions occurring in 10–15% of the cases (■ Fig. 7.12).
- 3. Diffuse, multifocal liver metastases are found in 60–70% of the cases (■ Fig. 7.13).

<b>Table 7.3</b> Pattern of metastatic spread to the liver	
Pattern	Diffusion
Type 1—simple	One lobe or two adjacent segments
Type 2—complex	One lobe and smaller satellites
Type 3—diffuse	Multifocal lesions to all the liver



**Fig. 7.11** CT shows one single large metastasis in the left liver lobe (simple pattern, type 1)







**Fig. 7.13** CT shows innumerable liver metastases distributed to all segment (diffuse pattern, type 3)

# 7.7.1.2 Predisposing/Predictive Factors of Metastasis

- Grading: Ki-67 labeling index reflects the biological behavior and is strongly correlated with the malignant potential of the primitive disease. European and SEER (the largest US epidemiological database) series confirmed this aspect: 50% of patients with poorly differentiated neuroendocrine carcinomas (NEC G3) had distant metastases at initial diagnosis, whereas only 21 and 30% of patients with well-differentiated and moderately differentiated neuroendocrine tumors (NET G1 and G2) showed distant metastases at initial diagnosis, respectively [33].
- **T-Stage**: In NF-PNETs a primitive tumor size >2 cm increases the risk of malignancy compared to  $T \le 2$  cm. It must be underlined that even in this last group of smaller tumors, in some series 10% up to 19% of patients presented metastatic lymph nodes at final histological exam [34, 35].
- Functionality is associated with metastatic disease depending on the tumor cell type:
  - *Insulinoma*: Mostly benign tumor that metastasizes in <10% of cases [36].</li>
  - Gastrinoma: Metastases are very frequent and occur first in regional lymph nodes and in the liver (70–80% at diagnosis) and later in the skeleton (12%). Metastatic Zollinger-Ellison Syndrome (ZES) indicates a bad prognosis disease with a 10-year overall survival (OS) of 30% [37].
  - VIPoma: 56% and 64% rate of metastases and malignancy, respectively, as described in large series, with a 5-year survival rate of 60% [38].
  - Glucagonoma: Almost 60% of patients have already metastases at the time of diagnosis [39].
  - Somatostatinoma: 80% are malignant, of large size, and present with liver metastases [40].

# 7.7.2 PNETs Metastatic to Other Organs

Occasionally liver metastases from PNETs may be associated with extrahepatic metastases including

peritoneal cavity (• Fig. 7.14), lung, bone, and other metastatic disease sites (e.g., brain, heart, ovaries) with still unclear mechanisms [30, 32, 41–43].

## 7.7.2.1 Bone Metastases

In a recent series bone metastases occurred in 8% of pancreatic NETs (12 out of 153) [44]. They are often asymptomatic and found during staging exams. The most common symptoms are pain, pathological fractures, and hypercalcemia-related symptoms [29].

#### 7.7.2.2 Lung Metastases

According to the literature, 4–15% of GEP-NETs present with lung metastases in the advanced stage of the disease. Although usually asymptomatic, patients may present with cough, hemoptysis, and pneumonia, a classical triad caused by luminal obstruction and tumor ulceration [29].

## 7.7.2.3 Cardiac Metastases

The incidence in patients with NETs is <1%. They occur late in the course of the disease and are observed irrespective of the presence of carcinoid valvular disease. However, they are almost always associated with other metastases and liver involvement. The occurrence of myocardial metastases has been described more often in patients with functioning tumors than in those with nonfunctioning tumors [30–32, 43].

• Fig. 7.14 Multiple peritoneal nodules compatible with carcinomatosis (*arrows*)



#### 7.7.2.4 Ovarian Metastases

They represent approximately 2% of all NETs metastases, but are extremely rare with pancreatic primary tumors and are more common with ileum and appendix carcinoid tumors. They are usually bilateral in contrast to primary ovarian lesions, which are usually unilateral, and this constitutes a significant point in terms of differential diagnosis [30].

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# Mucinous Carcinoma and IPMN

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- 8.1 Mucinous Adenocarcinoma 112
- 8.1.1 Epidemiology 112
- 8.1.2 Pathology 112
- 8.1.3 Clinical Management 114
- 8.2 Patterns of Local Spread 114
- 8.2.1 Introduction 114
- 8.2.2 Vessel Infiltration 115
- 8.2.3 Lymph Node Metastases 115
- 8.2.4 Neural Infiltration 115
- 8.2.5 Peritoneal Dissemination 115
- 8.3 Intraductal Papillary Mucinous Neoplasms 115
- 8.3.1 Epidemiology 115
- 8.3.2 Pathology 116
- 8.3.3 Clinical Management 117
- 8.4 Patterns of Local Spread 118
- 8.4.1 Invasive Cancer and IPMN 118
- 8.4.2 Vessel Infiltration 119
- 8.4.3 Lymph Node Involvement 120
- 8.4.4 Neural Infiltration 120
- 8.4.5 Peritoneal Dissemination 120

References – 121

Mucin-producing tumors of the pancreas represent a group of premalignant or malignant neoplasms forming multilocular cysts and lined by tall, columnar mucinous epithelium. These tumors are broadly divided into mucinous cystic neoplasms (MCN) and intraductal papillary mucinous neoplasms (IPMN) which have different biologic behavior and pathologic features, including prevalence of invasive cancer, recurrence rate after radical resection, and presence of multifocal lesions. For these reasons a deep knowledge of the imaging features and preferential pathways of local or distal tumor spread focusing on parenchymal, vascular, nodal, perineural, and peritoneal dissemination may improve the radiologist's confidence when assessing these diseases.

Mucin-producing tumors of the pancreas represent a group of premalignant or malignant neoplasms forming multilocular cysts and lined by tall, columnar mucinous epithelium. These tumors are broadly divided into mucinous cystic neoplasms (MCN) and intraductal papillary mucinous neoplasms (IPMN) [1].

#### 8.1 Mucinous Adenocarcinoma

Until 1978 there was no distinction between serous and mucinous cystadenomas of the pancreas [2]. A few years later, in 1982, Ohashi et al. published the first description of what now we refer to as IPMNs [3]. In 1996 first, and then in 2000 the World Health Organization (WHO) definitively differentiated these two entities and defined the presence of ovarian stroma as peculiar to mucinous cystic neoplasms of the pancreas (MCN). Afterwards many international consensus conferences, up to the Sendai classification, have proposed guidelines for the diagnosis and management of MCN.

#### 8.1.1 Epidemiology

Mucinous cystic neoplasms represent approximately 10% of pancreatic cysts and 1% of pancreatic neoplasms. They are found almost exclusively in women (>95% of cases) in their 4th to 6th decades of life. However, there is a spectrum and cases have been described in women ranging from 20 to 95 years of age [4]. Complete surgical excision of this group of pancreatic neoplasms usually results in an excellent prognosis (94%) 5-year survival) without any additional therapy being indicated. Clinical follow-up is however suggested, often for a prolonged period, as the tumors are slow-growing and may recur after several years. The 5-year disease-specific survival for noninvasive MCNs is 100%, and for those with invasive cancer 57%. Patients with malignant tumors are reported to be 5.5 years older than those with adenoma and borderline neoplasms, and patients with invasive carcinoma were 11 years older than those with noninvasive MCNs. Whether the benign-appearing epithelium transforms into malignant epithelium in a teleologic development of the tumor or whether the malignant epithelium "matures" into a benignappearing epithelium is unknown. For these reasons, none of the tumors within the MCN category should ever be regarded as truly benign, but instead as low-grade malignant tumors.

## 8.1.2 Pathology

Mucinous cystic neoplasms (MCNs) usually are large, septated, thick-walled mucinous cysts that lack communication with the ductal system, and occur almost exclusively in the body and tail of pancreas.

The pathogenesis of mucinous cystic neoplasm of the pancreas is uncertain. However, this lesion shares both clinical and pathologic characteristics with biliary and ovarian mucinous tumors. It has been speculated that the embryologic origins of these lesions may be related to germ cell migration in early fetal life during the first 8 weeks of gestation. Each of these tumors is characterized by two distinct histologic components: an inner epithelial layer composed of tall mucin-secreting cells, and a dense cellular ovarian-type stroma. The latter was first described by Compagno and Oertel in 1978, who made a clear distinction between these neoplasms and serous cystic tumors. However, the presence of ovarian stroma was not considered a specific diagnostic criterion for MCNs until a recent consensus conference held in Sendai, Japan, where the International Association of Pancreatology proposed guidelines requiring the presence of ovarian stroma to establish the diagnosis of MCNs [5]. The entire tumor is usually encapsulated by an outer layer of fibrous connective tissue.

**Fig. 8.1** Mucinous cystic adenoma of the tail of the pancreas. Female, 55 years old. Contrast-enhanced CT-scan on axial plane **a** and curvilinear reconstruction **b** show a unicystic lesion of the tail of the pancreas (*arrowhead* in **a** and **b**) without visible septations. The lesion has a thin wall, without papillary projections, and does not show any communication with the main pancreatic duct, which is dilated. Stranding of the peripancreatic fat around the lesion could mean infiltration of adjacent organs

Mucinous cystic neoplasms are large, ranging from 2 to 36 cm, with an average size of 10 cm. The tumors are round with well-defined margins; however, a bosselated or lobulated surface may be seen. Cut sections of a typical mucinous cystic neoplasm reveal a multilocular cystic lesion with thin septations measuring less than 2 mm in greatest thickness (**•** Fig. 8.1). The cystic cavities vary in size and measure up to many centimeters in greatest dimension. The internal surface of the cyst may contain thin papillary projections into the lumen. In rare cases, a single dominant cystic cavity or a unilocular cystic mass may be seen. The lesions do not normally communicate with the pancreatic duct. Thickness of septa and presence of parietal nodules and papillary vegetations are correlated with malignancy [6] ( Figs. 8.2 and 8.3).

113



**Fig. 8.2** Mucinous cystoadenocarcinoma. Fifty-eightyear-old woman. Coronal **a** and axial **b** T2-weighted MR images demonstrated a large cystic lesion of the tail of the pancreas with hyperintense fluid content and various papillary projections (*arrow* in **b**). Coronal **c** and axial **d** T1-weighted MR images after contrast administration show enhancement of the wall and the projections (*arrow* in **d**)



■ Fig. 8.3 Mucinous cystic adenocarcinoma of the tail of the pancreas. Female, 48 years old. A very large multiloculated cystic mass of the tail of the pancreas is seen on axial a T2-weighted MR images. The content of the different cysts is hyper or isointense on T2-weighted MR images due to different mucin components. The mass shows many irregular and thick internal septations with enhancement on axial b T1-weighted imaged after contrast administration

# 8.1.3 Clinical Management

Since complete surgical excision can result in good prognosis and 5-year disease-specific survival for noninvasive MCNs is 100% while for those with invasive cancer is only 57%, surgical excision and long-term follow-up are the treatment of choice for patients with MCNs. Although most patients with MCNs undergo tumor resection, the surgical indications have become considerably more conservative with the introduction of Sendai and Fukuoka consensus guidelines which defined the characteristics of high-risk neoplasms (**1** Table 8.1).

Iable 8.1 Sendal and Fukuoka criteria for risk assessment of IPMN and MCN	
Guidelines	Criteria
Sendai consensus guidelines	
High risk	Symptomatic
	Size ≥3 cm
	Solid component/mural nodules
	Dilated main duct (≥5 mm)
Fukuoka consensus guidelines	
High risk	Proximal lesion with obstructive jaundice
	Solid component/mural nodules
	Dilated main duct (≥10 mm)
Worrisome	Size ≥3 cm
	Pancreatitis
	Thickened, enhancing walls
	Dilated duct (5–10 mm)
	Change in duct caliber with distal atrophy
	Lymphadenopathy

# 8.2 Patterns of Local Spread

## 8.2.1 Introduction

Many studies showed that a single MCN can harbor different degrees of dysplasia, from adenoma to invasive carcinoma. The prevalence of invasive cancer is only 12%. Two degrees of invasion were defined: intracapsular, if neoplastic invasion did not go beyond the outer layer of the wall; extracapsular, if it extended into the surrounding pancreatic and extrapancreatic tissue. Only patients with invasive cancer diffusely invading into or beyond the tumor wall are at substantial risk of distant or local recurrence, whereas those with intracapsular foci of invasive carcinoma have a much better prognosis. Unfortunately, once recurrence is diagnosed, the prognosis is very poor: in the study by Crippa, all seven patients with tumor recurrence died after a mean of 6.5 months [7].

# 8.2.2 Vessel Infiltration

Although macroscopic vessel infiltration is not described in the literature, resectability criteria devised for adenocarcinoma can be used also for mucinous adenocarcinoma (see  $\triangleright$  Chap. 6, **Tables 6.5 and 6.6**). Since tumor location is in 95% in the body-tail, splenic artery and vein should be the vessels more frequently involved and, unless the celiac axis is involved at the origin, tumors should be always resectable. In a recent multicenter study only microvascular infiltration was demonstrated and only in 10.5% of cases [7].

## 8.2.3 Lymph Node Metastases

Although no specific data with regard to node status are mentioned in the literature for MCNs defined by ovarian stroma, Crippa et al. [7] observed a complete absence of lymph node metastases in patients with invasive carcinoma, despite sampling of an average of 14 nodes in 19 patients. Interestingly, ovarian mucinous neoplasms show a similar biologic behavior, with lymph node metastases reported in less than 10% of the cases. Because the probability of malignancy is very low in patients with small MCNs without nodules, lymphadenectomy can be avoided and parenchyma-sparing procedures such as middle pancreatectomy and perhaps enucleations should be performed more often, because they decrease the rate of postoperative pancreatic insufficiency and they have been proven to be safe in the treatment of well-selected patients with MCNs.

# 8.2.4 Neural Infiltration

Mucinous adenocarcinoma does not show a high tendency to perineural invasion: in a recent study this was demonstrated in only 16% of cases [7]. Because of the peculiar tumor location, in case of perineural invasion the splenic plexus is the most frequent site of invasion; the second site of invasion is a direct route leading to the celiac ganglion via a distinct nerve trunk, which runs independently of blood vessels.

# 8.2.5 Peritoneal Dissemination

As described above, mucins in cancer cells contribute to carcinogenesis and tumor invasion by simultaneously disrupting existing interactions and establishing new ones. These tumors produce variable amounts of intracellular and/or extracellular mucins. For these reasons, the peculiar dissemination of mucinous adenocarcinoma of the pancreas is peritoneal. Pseudomixoma peritonei is a peculiar condition characterized by accumulation of copious gelatinous materials throughout the peritoneal cavity. In the literature few cases of pseudomixoma peritonei associated with pancreatic neoplasms have been reported. Peritoneal lesions can be infiltrative, micronodular or macronodular, with coexistence of different patterns of spread in a single case. Nodular lesion can present enhancement after administration of contrast material or can be hypoattenuating due to simil-cystic appearance. Sometimes they can show microcalcifications. The mesenteric scirrhotic involvement usually manifests with thickening of the roots of the sheats. In advanced cases thickening and enhancement of peritoneal reflections coexist with soft tissue nodules and stranding and thickening of the omentum (omental cake).

## 8.3 Intraductal Papillary Mucinous Neoplasms

### 8.3.1 Epidemiology

Once considered as "rare" entities, intraductal papillary mucinous neoplasms (IPMN) nowadays represent the most frequent cystic neoplasm of the pancreas even in asymptomatic patients, in which they usually constitute an incidental finding [8]. The first report of this pathology was made by Ohashi in 1982 [3], and afterwards the knowledge of this emerging disease has significantly improved so that it was included in the classification of the exocrine pancreatic neoplasms proposed by the World Health Organization (WHO) in 1996 [9].

The WHO has defined IPMNs as intraductal papillary mucinous neoplasms with tall, columnar, mucin-containing epithelium, with or without papillary projections, involving the main pancreatic duct and/or its branch ducts.

The WHO classification was updated in 2000 [10] and 2010 [11]; these updates divided the tumors in two different entities according to the site of origin: branch-duct and main-duct IPMNs that seem to have a more aggressive behavior [12, 13].

Although the real incidence of IPMNs is still unknown, it is consistently increasing in the last decades. In some surgical series, IPMN represent 8% of all pancreatic resections [14].

#### 8.3.2 Pathology

According to the 2010 WHO classification, IPMNs are subdivided into two different entities: main-duct IPMNs (MD-IPMNs) and branchduct IPMNs (BD-IPMNs). IPMNs that involve both the main pancreatic duct and the branch ducts are defined mixed IPMNs [15].

MD-IPMNs involve the main pancreatic duct and are usually located in the proximal portion of the gland (75%), but they can spread to the rest of the main pancreatic duct. They usually presents as a dilated ( $\geq 1$  cm) main pancreatic duct full of mucus that may extrude through a bulging ampulla, although it can also appear as a "cyst" along the main pancreatic duct (**•** Figs. 8.4 and 8.5).

BD-IPMNs involve the side branches of the pancreatic ductal system, more commonly in the uncinate process, even if they are described in the whole gland, appearing as a cystic lesion communicating with a non-dilated main pancreatic duct.

Mixed-type IPMNs involve both the main pancreatic duct and the side branches of the ductal system (• Fig. 8.6).

Noninvasive intraductal papillary mucinous neoplasms are classified based on the highest degree of cytoarchitectural atypia into three categories: low-grade dysplasia, moderate dysplasia, and high-grade dysplasia/carcinoma in situ. Invasive neoplasms are classified as IPMN with an associated invasive carcinoma.



**Fig. 8.4** Main-duct intraductal papillary mucinous neoplasm of the pancreas. Male, 73 years old. Contrastenhanced portal venous phase CT-scan on curved multiplanar reconstruction **a** and axial **b** plane. The main pancreatic duct is irregularly dilated, mostly in the head of the gland. ERCP **c** shows the dilatation of the entire course of the main pancreatic duct with small parietal irregularities at the head and tail of the pancreas

Considering the epithelial subtypes, tubular, colloid, and oncocytic invasive IPMNs have varying prognoses. Colloid and oncocytic types have markedly improved biology, whereas the tubular type has a course that resembles ductal adenocarcinoma (**•** Fig. 8.7).



**Fig. 8.5** Main-duct intraductal papillary mucinous neoplasm. Female, 78 years old. Axial T2 weighted MR image **a** and MRCP **b** show an irregularly dilated main pancreatic duct in its entire length. No enhancing nodules or parietal thickening are visible in the arterial phase both in the axial T1-post contrast MR image **c** and in the curved-MPR CT image **d** 

The histological subtypes of adenocarcinoma arising in the background of IPMN appear to correlate with a specific epithelial subtype. All the invasive IPMNs with colloid carcinoma originate in the background of intestinal-type IPMN, and those with oncocytic carcinoma are seen only on the background of oncocytic-type IPMN. The majority of tubular adenocarcinomas (66%) originate in gastric-type IPMNs, although rare tubular adenocarcinomas were also found to arise in the background of pancreatobiliary-type (13%), intestinal-type (16%), and oncocytic-type (5%) IPMNs [16].

The histology of invasive components has also been associated with the type of duct involvement by IPMN. It has been described that main-ducttype IPMNs often exhibit intestinal-type epithelium, and branch-duct-type IPMNs usually show gastric-type epithelium [17].

#### 8.3.3 Clinical Management

While the management of BD-IPMN has undergone modifications over time, the treatment of MD-IPMN has not changed much over the past three decades. Most patients with MD-IPMN who are fit for surgery undergo tumor resection. On the contrary, the surgical indications for branch-duct-type IPMN (BD-IPMN) have become considerably more conservative. The Sendai guidelines published in 2006 proposed a variety of strategies for the management of IPMN ( Table 8.1) [5]. The guidelines recommended resection of most BD-IPMN measuring >3 cm in diameter even without mural nodules, and many patients with these tumors therefore underwent resection. As malignancy was found in 25.5% of all resected BD-IPMNs and invasive cancer was found in 17.7%, the later Fukuoka guidelines included more conservative criteria for the surgical resection of BD-IPMN [18]. They adopt two layers of criteria for assessment of IPMN: "highrisk stigmata" considered to be indicative of malignancy, and "worrisome features" possibly pointing towards malignancy. The high-risk stigmata include obstructive jaundice, enhancing solid component, and main pancreatic duct size  $\geq$ 10 mm: patients with these features should undergo resection without delay. The worrisome features include a cyst size  $\geq 3$  cm, thickened and enhancing cyst walls, non-enhancing mural



**Fig. 8.6** Mixed-type intraductal papillary mucinous neoplasm. Female, 68 years old. Axial T2-weighted MR images **a**, **b** and MRCP **c** show marked dilatation of the main pancreatic duct and branch ducts of the whole

nodules, main pancreatic duct size 5–9 mm, an abrupt change in the main pancreatic duct caliber with distal pancreatic atrophy, and lymphadenopathy on imaging examinations; and clinical acute pancreatitis. Patients with these features should be evaluated by endoscopic ultrasonography (EUS) for further risk stratification. The use of these two layers of criteria seems to result in more accurate assessment of the need for surgical treatment of BD-IPMN [19].

## 8.4 Patterns of Local Spread

## 8.4.1 Invasive Cancer and IPMN

Invasive cancers arising from IPMNs are recognized as a morphologically and biologically heterogeneous group of neoplasms. Considering all ductal types, the proportion of invasive IPMC gland. Some papillary vegetations which show enhancement in axial T1-weighted portal venous phase (*arrow* in d) are visible inside the ducts of the head of the pancreas

among total IPMN ranges from 19 to 50%, and the 5-year survival rate of invasive IPMN is reported to range from 31 to 78% [20].

On the other hand, PDAC with an associated IPMN represents another extreme of invasive IPMC. Pancreatic ductal adenocarcinoma located in the environs of IPMN makes it difficult to distinguish whether the IPMN is the precursor or a coincident condition of PDAC. However, both PDAC derived from IPMN and PDAC concomitant with IPMN revealed better prognostic outcome compared with conventional PDAC.

Some authors indicate that concomitant pancreatic cancer occurs in 2–10% of patients with IPMN [21–29]. Even a small ( $\leq 1$  cm diameter) BD-IPMN is associated with an 8% risk of developing distinct pancreatic cancer during surveillance [22]. The reported yearly incidence of distinct pancreatic cancer in patients with BD-IPMN is 0.41–1.10% [22, 24, 28].



**Fig. 8.7** Intraductal papillary mucinous neoplasm with associated invasive colloid-type adenocarcinoma. Fifty-seven-year-old male. Contrast-enhanced MDCT on coronal **a**, **d**, sagittal **b**, and axial **c** planes shows a large hypovascular mass of the head of the pancreas without

Invasive IPMN, as a whole, appears to have improved survival compared with pancreatic ductal adenocarcinoma (PDAC), but there are conflicting results as to whether the improved survival of invasive IPMN is confirmed when the tumors are matched by stage.

# 8.4.2 Vessel Infiltration

As for pancreatic adenocarcinoma, the arterial pattern of encasement is defined as obliteration

fat cleavage planes with the duodenal wall and with encasement of the superior mesenteric vein. The main pancreatic duct is well visible, dilated in the whole gland with atrophy of the parenchyma in the body of the pancreas

of the fat plane of less than 50% or obliteration of at least 50% for the celiac trunk and the hepatic and superior mesenteric arteries. Venous pattern of encasement is defined as complete circumferential obliteration of the fat plane of 50% or more, deformation of the superior mesenteric vein into the tear drop sign, or thrombosis or obliteration of the lumen. Obliteration of the fat plane for less than 50% is not considered venous encasement. Although no other different criteria of resectability for vessel infiltration have been proposed yet, some authors observed that vascular

criteria are less accurate in the evaluation of resectability of IPMN than in the case of pancreatic carcinoma. In a recent study, the overall accuracy of helical CT in determining surgical resectability of malignant IPMNs when using adenocarcinoma criteria was 74%, the positive predictive value in determining whether malignant IPMNs were resectable was 100% while the positive predictive value of helical CT in determining whether malignant IPMNs were unresectable was only 17% [30]. This was likely due to the common peripancreatic inflammatory changes that occur in these patients secondary to pancreatitis (which occurs in 30% of patients with IPMN and 3% of patients with adenocarcinoma), resulting in peripancreatic fat stranding mimicking carcinomatosis on CT.

#### 8.4.3 Lymph Node Involvement

Considering that the sequence of lymph node involvement is the same of pancreatic adenocarcinoma, according to the different location of the tumor, lymph node involvement occurs less frequently in patients with IPMN than in those with adenocarcinoma. In a recent study, lymph node involvement was found in 25% of patients with IPMN, including 58% of those with invasive tumors, and in 76% of patients with adenocarcinoma [30].

## 8.4.4 Neural Infiltration

Although, depending on the tumor site, the pattern of neural invasion is comparable to that of PDAC, some studies reported a lower perineural invasion rate for invasive IPMN when compared to PDAC (49.2% vs. 76.5%) [31]. When present it is associated with unfavorable long-term outcome [32].

## 8.4.5 Peritoneal Dissemination

As already described for mucinous adenocarcinoma, in tumors producing intracellular or extracellular mucins cancer cells carcinogenesis and tumor spread can occur with peritoneal dissemination (• Figs. 8.8 and 8.9). Since IPMN are



■ Fig. 8.8 Intraductal papillary neoplasm associated with ductal adenocarcinoma of the pancreas. Female, 61 years old. Contrast-enhanced portal venous phase CT-scan axial plane a, b. At the head of the pancreas a mixed lesion, with predominantly cystic component and irregularly thickened walls and septa is well depicted. The main pancreatic duct at the body and tail of the pancreas is slightly dilated. Pseudomixoma peritonei is well visible with peritoneal reflections, soft tissue nodules, and stranding of the omentum (omental cake, *arrow*)

characterized by intraductal proliferation of mucinous epithelia growing within cystically dilated pancreatic ducts, pseudomixoma peritonei can be their initial clinical presentation or sign of recurrence [33]. As already specified pseudomixoma peritonei is characterized by accumulation of copious gelatinous materials throughout the peritoneal cavity. Only few cases of IPMN with pseudomixoma peritonei have been reported in which the causes where iatrogenic (by surgical intervention or by endosonographically guided fine-needle aspiration biopsy) or a latent rupture or fistula formation of the IPMN into the peritoneal cavity [33–35].



**Fig. 8.9** Invasive intraductal papillary neoplasm of the pancreas. Male, 68 years old. Contrast-enhanced portal venous phase CT on axial plane. A heterogeneous lesion of the tail of the pancreas is depicted. The lesion has a solid (*asterisk* in **a**) and cystic components and shows communication with the main pancreatic duct. Pseudomixoma peritonei is well visible with peritoneal reflections, soft tissue nodules, and stranding of the omentum (omental cake, *arrow*)

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