Contribution of Retrotransposable Elements to Aging

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1 Introduction

Aging is characterized by a failure within many cells and organs of the normal homeostatic mechanisms. It is a major risk factor for numerous disorders, including diabetes, hypertension, cardiac disease, osteoarthritis, neurodegeneration, and cancer. Slowing the rate of aging offers an opportunity to prevent, or at least delay, the onset and extent of these disorders, as well as the possibility of extending healthy human life span. Despite the biological complexity that underlies aging, it has repeatedly proven possible to extend the life span of model organisms through modifications of specific physiological systems such as chromatin maintenance, intermediary metabolism, or insulin signaling (Kenyon et al. [1993;](#page-20-0) Rogina et al. [2000;](#page-22-0) Clancy et al. [2001](#page-18-0); Tatar et al. [2001](#page-23-0); Giannakou et al. [2004](#page-19-0); Hwangbo et al. [2004;](#page-20-1) Kapahi et al. [2004;](#page-20-2) Oberdoerffer and Sinclair [2007](#page-22-1); Dang et al. [2009;](#page-18-1) Sinclair and Oberdoerffer [2009](#page-23-1); Feser et al. [2010;](#page-19-1) Greer et al. [2010](#page-19-2); Kenyon [2010](#page-20-3); Feser and Tyler [2011](#page-19-3); Maures et al. [2011;](#page-21-0) Han and Brunet [2012](#page-19-4); Ni et al. [2012\)](#page-22-2).

A critical aspect of aging is the degradation of fundamental biological structures such as chromatin (Oberdoerffer and Sinclair [2007\)](#page-22-1). In somatic cells, stability of the genome and epigenome is essential for the maintenance of proper gene expression and silencing. Chromatin remodeling, including changes within regions of constitutive heterochromatin that were previously thought to retain repressive characteristics throughout the life of the cell, has emerged as an exciting area in the molecular genetics of aging. Chromatin maintenance, especially

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that of heterochromatin, has been shown to change with age in yeast, nematodes, flies, mice, and human cell culture, with far-reaching consequences for gene expression and cellular physiology (Kim et al. [1996;](#page-20-4) Smeal et al. [1996;](#page-23-2) Dang et al. [2009](#page-18-1); Feser et al. [2010](#page-19-1); Wood et al. [2010](#page-24-0); Feser and Tyler [2011;](#page-19-3) De Cecco et al. [2013a](#page-18-2); Jiang et al. [2013](#page-20-5); Sedivy et al. [2013](#page-23-3); Wood et al. [2016](#page-24-1)).

Age-related changes in chromatin states can alter gene transcription, resulting in the expression of genes that are normally silenced (or vice versa), with consequent deleterious effects on cellular physiology (Elgin and Grewal [2003](#page-18-3); Berger [2007](#page-17-0); Grewal and Jia [2007;](#page-19-5) Sedivy et al. [2008](#page-23-4); Dang et al. [2009;](#page-18-1) Feser and Tyler [2011](#page-19-3); Han and Brunet [2012](#page-19-4)). The observed loss of silencing in heterochromatic regions with age includes the increased transcription of genes native to heterochromatin, but also transcription and potential mobility of transposable elements (TEs), which make up the majority of transcripts emanating from heterochromatic regions. The ability of transposable elements not just to express themselves, but to mobilize to new genomic locations within individual somatic cells, adds an additional layer of peril to the potential consequences of the loss of heterochromatin silencing with age.

The contributions that loss of silencing of TEs in somatic cells makes toward the inevitable decline in organismal health with age are just beginning to be explored in detail. The recently discovered ability of TEs to promote aging is expected to open a new area of inquiry, with the potential of providing novel insights into the molecular mechanisms underlying the aging process, while simultaneously offering the promise of novel therapeutic interventions for the preservation of a healthier life span.

2 Remodeling of Chromatin During Aging

2.1 Yeast

The link between chromatin and aging has been well interrogated in invertebrate model systems. Early work in the budding yeast *S. cerevisiae* demonstrated a loss of silencing with age in heterochromatic regions of the genome, including telomeres, the mating type loci, and rDNA (Kim et al. [1996;](#page-20-4) Smeal et al. [1996;](#page-23-2) Kennedy et al. [1997\)](#page-20-6). More recently, a number of studies have examined the specific chromatin changes that take place as yeasts age. Histone H4K16 acetylation levels increase with age, and Sir2 (which deacetylates H4K16ac) levels drop (Dang et al. [2009\)](#page-18-1). Furthermore, subtelomeric heterochromatic regions lose both histones and silencing as cells age. Another study confirmed the observation of general histone loss with age, and also showed that increasing histone supply genetically is sufficient to extend yeast replicative life span (Feser et al. [2010](#page-19-1)). This age-related loss of histones is also associated with a breakdown in proper gene regulation, with normally silent genes becoming transcribed with age upon nucleosome loss or rearrangement (Hu et al. [2014\)](#page-20-7). This is accompanied by a general increase in genomic instability, with DNA strand breaks, mitochondrial-nuclear DNA transfer, chromosomal

alterations and translocations, and retrotransposition all increasing during yeast aging (Hu et al. [2014](#page-20-7)). Additionally, manipulating chromatin by deleting the ISWI family chromatin remodeling gene *ISW2* also leads to an extension in life span in a manner mimicking calorie restriction (Dang et al. [2014](#page-18-4)).

2.2 Nematodes

Results observed in yeast have also been extended to metazoan invertebrate model systems. In *C. elegans* a number of studies have shown links between chromatin structure and life span. Disrupting the ASH-2 complex, which contains a histone H3K4 methyltransferase activity, causes an increase in life span (Greer et al. [2010](#page-19-2), [2011\)](#page-19-6). Disruption of the H3K4 demethylases has also been reported to extend life span in several studies. RNAi knockdown or null mutations of the H3K4me3 demethylase RBR-2 as well as the H3K4me1/2 demethylases LSD-1 and SPR-5 extend life span (Lee et al. [2003](#page-21-1); McColl et al. [2008;](#page-21-2) Ni et al. [2012](#page-22-2); Alvares et al. [2014\)](#page-17-1). Manipulation of the heterochromatic H3K27me3 mark, which is associated with Polycomb group complex silencing, also showed effects on life span. Two independent studies demonstrated that disrupting the H3K27me3 demethylase UTX-1 leads to increased levels of H3K27me3 accumulation in the genome, as well as increased life span (Jin et al. [2011;](#page-20-8) Maures et al. [2011\)](#page-21-0). Similarly to yeast, knocking down expression of the ISWI complex member *athp-2* led to an increase in life span (Hu et al. [2014\)](#page-20-7).

2.3 Fruit Flies

In addition to *C. elegans*, *D. melanogaster* has also been a useful model to investigate the association between chromatin structure and organismal life span. The characteristic enrichments of the constitutive heterochromatin mark H3K9me3 and the heterochromatin protein HP1 are lost from pericentric heterochromatin with age in flies (Wood et al. [2010](#page-24-0)). In conjunction with this observation, heterochromatic silencing of reporter genes in these same regions was lost with age in multiple tissues in the fly (Jiang et al. [2013\)](#page-20-5). Overexpression of HP1 in flies is able to extend life span, suggesting the importance of maintaining proper heterochromatin structure with age (Larson et al. [2012](#page-21-3)). A study examining aging fly muscle showed an accumulation of γ H2AX, a histone variant associated with DNA strand breaks, in old flies (Jeon et al. [2015\)](#page-20-9). Knockdown of HP1 accelerated γH2AX accumulation and also shortened life span (Jeon et al. [2015\)](#page-20-9). Aging effects are however not limited to heterochromatin. Histone acetylation levels also change with age on multiple residues, including an increase of H4K12ac, and mutation of the H4K12 acetyltransferase *Chameau* confers extended life span (Peleg et al. [2016\)](#page-22-3).

2.4 Mammals

Recent studies show that large regions of the genome undergo significant reorganization in cellular senescence and in aged mammalian tissues. Cellular senescence is an irreversible cell cycle arrest that is triggered by replicative exhaustion, DNA damage, oncogene activation, or oxidative stress. Although low in numbers, senescent cells are found in aged tissues and have been shown to contribute to aging phenotypes (Baker et al. [2016\)](#page-17-2). During the onset of senescence large segments of euchromatin become more closed and accumulate heterochromatic marks (Fig. [1](#page-3-0)) (Kreiling et al. [2011;](#page-21-4) De Cecco et al. [2013a;](#page-18-2) Chen et al. [2015](#page-17-3); Criscione et al. [2016\)](#page-18-5). A key feature of senescent cells is the formation of senescence-associated heterochromatin foci (SAHF) containing specific heterochromatin signatures (Narita et al. [2003;](#page-22-4) Zhang et al. [2007;](#page-24-2) Chandra et al. [2012\)](#page-17-4). In contrast, regions of constitutive heterochromatin, such as lamin-associated domains (LADs) and centromeres, assume more open characteristics, as exemplified by the senescence-associated distention of satellites (SADS) (De Cecco et al. [2013a](#page-18-2); Sadaie et al. [2013;](#page-22-5) Swanson et al. [2013\)](#page-23-5). In addition, genes associated with the senescence-associated secretory phenotype (SASP) take on epigenetic signatures not found in non-senescent cells (Rai and Adams [2013;](#page-22-6) Chen et al. [2015\)](#page-17-3).

Genome-wide changes in chromatin structure also occur in chronologically aged cells in vivo, with a closing of euchromatic regions and an accumulation of heterochromatic marks, leading to an overall reduction in mRNA expression (Sarg et al. [2002](#page-22-7); Shumaker et al. [2006](#page-23-6); O'Sullivan et al. [2010;](#page-22-8) Kreiling et al. [2011](#page-21-4); De Cecco et al. [2013b\)](#page-18-6). A corresponding opening of constitutive heterochromatin (De Cecco et al. [2013b\)](#page-18-6) suggests an overall decompaction of the highly heterochromatic regions known to contain large numbers of retrotransposable elements (RTEs). Taken together,

Chromatin reorganization with age

Fig. 1 Age-associated chromatin reorganization. In young cells chromatin is organized into regions of tightly packed heterochromatin (*left*) and relatively open euchromatin (*right*). As cells age, some regions of heterochromatin open up and other regions of euchromatin become more condensed. As a result, repressed genes (such as RTEs, indicated in *blue*) in heterochromatic regions become susceptible to transcription

evidence points to large-scale changes in genome organization, with some regions becoming more closed and others more open, with the latter leading to an increase in the expression of RTEs (O'Sullivan and Karlseder [2012;](#page-22-9) Sedivy et al. [2013](#page-23-3)).

The loss of constitutive heterochromatin is correlated with a loss of DNA methylation and histone modifications associated with constitutive heterochromatin. Genome-wide methylation patterns change during cellular senescence and with age in the mammalian genome, with specific regions gaining methylation and others losing methylation (Cruickshanks et al. [2013a;](#page-18-7) Day et al. [2013;](#page-18-8) Hanzelmann et al. [2015\)](#page-20-10). In young cells the repetitive regions of the genome show highest levels of DNA methylation, and these regions of hypermethylation become hypomethylated with age (Avrahami et al. [2015](#page-17-5); Fernandez et al. [2015](#page-19-7); Sun and Yi [2015\)](#page-23-7). This global loss of methylation is coupled with a genome-wide reduction in the H3K9me3 histone modification (Scaffidi and Misteli [2006;](#page-22-10) Shumaker et al. [2006](#page-23-6); O'Sullivan et al. [2010;](#page-22-8) Zhang et al. [2015\)](#page-24-3), which is associated with repressive heterochromatin and is believed to be actively involved in the repression of RTEs (Scaffidi and Misteli [2006\)](#page-22-10). As discussed below, these heterochromatic marks are involved in silencing RTEs and their loss may contribute to the derepression of these elements.

It is also important to note that results obtained in model organisms are not always completely consistent. For instance, in flies the disruption of *lid*, a LSD-1 H3K4 demethylase analog, shortens life span, in contrast to results observed in *C. elegans* (Li et al. [2010](#page-21-5)). Disruption of the H3K27 methyltransferase E(Z) in flies leads to reduced levels of H3K27me3 and increased life span (Siebold et al. [2010\)](#page-23-8), in contrast to worms where higher levels of H3K27me3 were associated with long life span (Jin et al. [2011](#page-20-8); Maures et al. [2011\)](#page-21-0). Disruption of RBR-2 in worms can have differential effects depending on which allele is used (Greer et al. [2010;](#page-19-2) Alvares et al. [2014\)](#page-17-1). Nevertheless, although there undoubtedly are tissue-specific and even organism-specific mechanistic details that remain to be worked out, considerable evidence has accumulated for a strong association between chromatin structure, especially that of heterochromatin, and the regulation of longevity in multiple model systems.

2.5 Changes in the 3D Structure of Chromosomes

Aging cells display dramatic alterations in chromatin accessibility, histone modifications, DNA methylation, and nuclear lamina associations. These changes in chromatin architecture were hypothesized to extend even to the 3D structure of the chromosomes. The first hint that chromosome structure may be altered in aging cells came from studies of fibroblasts from patients with the Hutchinson-Gilford progeria syndrome (HGPS) (McCord et al. [2013](#page-21-6)). HPGS is a premature aging disease that is caused by mutations in the lamin A gene (*LMNA*) that result in disruption of interactions between chromatin and the nuclear lamina. In cell culture HGPS patient skin fibroblasts display misshapen nuclei and a loss of the peripheral heterochromatin compartment (Goldman et al. [2004\)](#page-19-8). When HGPS skin fibroblasts were examined by Hi-C, a method to investigate the three-dimensional architecture of the genome, a breakdown of the compartmentalization of active and inactive chromatin domains was observed (McCord et al. [2013](#page-21-6)). The alterations are likely caused by the disruption of nuclear lamina-chromatin interactions which normally function to restrict the inactive heterochromatin compartment to the nuclear periphery (Guelen et al. [2008](#page-19-9)).

The 3D structure of chromosomes has also been explored using Hi-C in oncogene-induced and replicative cellular senescence, which have some overlapping but also distinct features. Oncogene-induced senescence (OIS) is believed to be induced by a DNA damage response that is caused by replication stress (Hills and Diffley [2014](#page-20-11)), whereas replicative cellular senescence is caused by a DNA damage response due to the progressive shortening and deprotection of telomeres. SAHF are typically observed in OIS (Narita et al. [2003\)](#page-22-4), whereas in many models of replicative senescence SAHF formation is weaker or sometimes not present (Kosar et al. [2011\)](#page-21-7). In OIS regions with heterochromatic histone marks as well as LADs display loss of local interactions and gain of long-range interactions (Chandra et al. [2015](#page-17-6)). This reorganization is consistent with the presence of SAHF in OIS, since heterochromatic regions could cluster spatially over long distances to form the SAHFs (Chandra et al. [2015\)](#page-17-6). The alterations in 3D chromosome structure observed in OIS are however relatively modest in comparison to the global loss of chromosome compartmentalization found in HPGS.

The alterations in chromosome structure observed during replicative senescence are more extensive than in OIS, but also not as drastic as in HPGS. In replicative senescence chromosomes displayed a global loss of long-range and increase of short-range interactions (Criscione et al. [2016\)](#page-18-5). Chromosome painting experiments additionally showed that these alterations were associated with a decrease in the absolute chromosome volume in senescent cells. In replicative senescence the chromosome compartment organization remained mostly unchanged; however, a subset of compartments switched from active to repressive domains (and vice versa). Similar to the compartment switching observed during cellular differentiation (Dixon et al. [2015\)](#page-18-9), compartment switching in replicative senescence also led to correlated changes in gene expression. Interestingly, similarities to cellular differentiation events were noted in studies of both OIS and replicative senescence (Chandra et al. [2015;](#page-17-6) Criscione et al. [2016](#page-18-5)). These observations highlight that cellular senescence is a programmed response to DNA damage that results in the remodeling of chromatin as well as large-scale changes in chromosome architecture, although these processes also include some distinct features that are dependent on the senescence-inducing stimuli.

3 Control of TEs and Their Activation with Aging

A significant fraction of eukaryotic genomes are comprised of repetitive sequences. Among the several types of repetitive sequences, noncoding tandem repeats (satellites, telomeres) and TEs are the most abundant. The TEs can be subdivided into two major groups, the DNA transposons and the retrotransposons (RTEs) (Huang et al. [2012\)](#page-20-12). Many species, including the model organisms *C. elegans* and *D. melanogaster* discussed in this chapter, harbor active elements of both classes. The most prominent TEs in the mammalian genome are the RTEs. There are three major families of RTEs: the long terminal repeat (LTR) RTEs, which include retroviruses; the long interspersed nuclear elements (LINEs); and the short interspersed nuclear elements (SINEs). LTR RTEs and LINEs encode a reverse transcriptase and other proteins required for retrotransposition, and hence intact elements can mobilize autonomously, whereas the SINEs are noncoding and exploit the machinery encoded by LINEs to transpose. It is believed that only the LINE L1 remains capable of autonomous retrotransposition in the human genome, whereas both LINE and LTR elements can mobilize in the mouse genome.

3.1 TEs Are Silenced by RNAi Pathways

Largely conserved across species from plants to animals, RNA interference (RNAi) pathways employ small RNAs (smRNAs) to regulate protein-coding genes as well as endogenous proviral sequences such as TEs (Shabalina and Koonin [2008\)](#page-23-9). TEs are repressed by RNAi at two levels: posttranscriptional regulation by targeting mRNA, and transcriptional regulation by the recruitment of repressive heterochromatic marks to silence the target genes. smRNA pathways known to regulate TEs in animals include the microRNA (miRNA) pathway, the short interfering RNA (siRNA) pathway, and the Piwi-interacting RNA (piRNA) pathways. While these pathways are known for their roles in silencing TEs, they are largely tissue specific with the siRNA and miRNA pathways being active in all tissues while the piRNA pathway is predominantly active in the gonads (Slotkin and Martienssen [2007;](#page-23-10) Ghildiyal and Zamore [2009;](#page-19-10) Heras et al. [2013,](#page-20-13) [2014;](#page-20-14) Hamdorf et al. [2015\)](#page-19-11).

Each pathway differs somewhat in its effector proteins, manner of smRNA biogenesis, and modes of silencing. The siRNA pathway employs 21 nt siRNAs derived from the cleavage of long double-stranded (dsRNA) substrates by the protein Dicer (Yang and Kazazian [2006](#page-24-4); Brennecke et al. [2007;](#page-17-7) Czech et al. [2008](#page-18-10); Ghildiyal et al. [2008;](#page-19-12) Kawamura et al. [2008\)](#page-20-15). These siRNAs are loaded onto an argonaute (AGO) effector protein, thereby forming an RNA-induced silencing complex (RISC), which then uses its siRNA to target and cleave homologous mRNAs in the cytoplasm. The RISC can also move to the nucleus where it recruits chromatin-modifying enzymes promoting the formation of heterochromatin at the site of TE transcription (Slotkin and Martienssen [2007;](#page-23-10) Fagegaltier et al. [2009](#page-19-13)).

The piRNA pathway operates through a mechanism whereby large genomic regions consisting of intact as well as fragmented TEs, called piRNA clusters, are transcribed into large single-stranded RNA precursors that are then processed into smaller 23–29 nt piRNAs. piRNAs are also loaded onto pathway-specific Piwi clade argonaute proteins, thus forming piRNA-RISCs (Brennecke et al. [2007](#page-17-7); Ghildiyal and Zamore [2009\)](#page-19-10). Similar to the siRNA pathway, these piRNA-RISCs are able to target TE transcripts for silencing either through catalytic cleavage or heterochromatization (Aravin et al. [2007](#page-17-8),

[2008](#page-17-9); Carmell et al. [2007](#page-17-10); Di Giacomo et al. [2013](#page-18-11)). These smRNA pathways have been shown to be critical in preventing the genomic damage caused by the reactivation of TEs. Evidence is also growing that the ability of these pathways to perform their vital functions of suppressing TEs, in both somatic and reproductive tissues, may be closely linked with aging phenotypes.

3.2 Disruption of RNAi Pathways Correlates with Aging Phenotypes

The role of RNAi in regulating TEs in metazoans has been well characterized in multiple model organisms. In *Drosophila*, mutations in genes of either the siRNA or the piRNA pathways have consistently been associated with a dramatic upregulation of TE transcript levels (Vagin et al. [2006](#page-23-11); Rozhkov et al. [2013](#page-22-11)). This correlates with both an increase in transposition and a change in the heterochromatic marks associated with TEs (Fagegaltier et al. [2009](#page-19-13); Gu and Elgin [2013](#page-19-14); Perrat et al. [2013\)](#page-22-12). Mutants in the siRNA genes *Dcr-2* and *Ago-2* have dramatically shortened life spans, and this correlates with significant reactivation of TEs (Czech et al. [2008;](#page-18-10) Ghildiyal et al. [2008;](#page-19-12) Lim et al. [2011](#page-21-8); Li et al. [2013\)](#page-21-9). Interestingly, while TEs have been shown to reactivate with age across multiple species, the transcript levels of RNAi genes that regulate TEs are not known to decline with age and in fact remain constant (Li et al. [2013;](#page-21-9) Abe et al. [2014\)](#page-17-11). However, the spectrum of available smRNAs that are loaded onto RISCs is known to change with age (Abe et al. [2014\)](#page-17-11). In addition, mutation of known modifiers of RNAi efficacy has been shown to modulate TE activity and life span (Savva et al. [2013\)](#page-22-13). Multiple age-associated diseases are also associated with TE reactivation. For example, macular degeneration in mice and human cell culture has been shown to be dependent upon RNAi machinery where RNAi mutants exhibited increased levels of Alu RNA resulting in RNA toxicity (Kaneko et al. [2011](#page-20-16); Tarallo et al. [2012;](#page-23-12) Gelfand et al. [2015](#page-19-15)). This suggests that while RNAi proteins themselves may remain relatively constant with age, the many dynamic components and partners of RNAi as well as the overall activity of RNAi may not be as stable. Hence, inhibition or enhancement of RNAi silencing of TEs would be expected to negatively or positively impact life span, respectively. A better understanding of the dynamics of RNAi TE silencing may allow us to control TE reactivation with age.

3.2.1 RNA Editing

In the siRNA pathway, dsRNAs serve as the substrates from which RNAi proteins produce and employ siRNAs in silencing TEs (Ghildiyal and Zamore [2009\)](#page-19-10). dsR-NAs in general have also long been known to be substrates for dsRNA-modifying enzymes such as ADAR proteins. These enzymes bind to dsRNAs and are able to convert adenosine bases to inosines, a base analog of guanine (Savva et al. [2012\)](#page-22-14). This A-to-I editing results in a base pair mismatch between the resulting inosine and the thymine that previously paired with the edited adenosine. The capacity of ADAR proteins to edit dsRNAs has been shown to confer new properties on their substrates, including modified secondary structures, altered stability, nuclear retention, and even novel protein-coding functions (Chen et al. [2008](#page-17-12); Jepson et al. [2011;](#page-20-17) Rieder et al. [2013\)](#page-22-15).

Since dsRNAs are the substrates for siRNA formation, ADAR may also be able to edit these RNAs and thereby modulate the RNAi pathway. The Dicer proteins that catalyze the endonucleolytic cleavage of their dsRNA targets often require a high degree of base pair complementarity, a property that is impaired by RNA editing (Scadden and Smith [2001](#page-22-16); Wang et al. [2005;](#page-24-5) Carpenter et al. [2009;](#page-17-13) Heale et al. [2009\)](#page-20-18). Hence, ADAR could indirectly inhibit the ability of the siRNA pathway to silence TEs by impairing the access of Dicer to its dsRNA substrates. In fact, it was recently shown that a dsRNA trigger necessary for the silencing of a TE in *Drosophila* was a target of ADAR, and ADAR mutants showed reduced levels of TE transcripts, suggesting enhanced TE silencing (Savva et al. [2013\)](#page-22-13). These mutants also showed altered levels of heterochromatic marks, including HP1 and H3K9me3, and dramatic changes in position effect variegation, a phenotype in *Drosophila* known to be dependent on heterochromatin boundaries. Finally, ADAR mutants showed a dramatic extension of life span. These results suggest that RNA editing may abrogate the TE silencing effects of RNAi and that disrupting genes that impede RNAi, such as ADAR, may enhance TE silencing and thereby extend organismal life span.

3.2.2 RISC Complex Misloading

Argonautes are the main effector proteins that perform RNAi silencing, and the siRNA, piRNA, and miRNA pathways all employ such proteins (Ghildiyal and Zamore [2009](#page-19-10)). The argonaute proteins act in concert with their respective smRNAs to mediate silencing. The miRNA pathway utilizes miRNAs (21–22 nt long) that often imperfectly base pair with their targets upon association with an argonaute protein. This miRNA-RISC then prevents translation of the target mRNA by one of the two methods: stalling or blocking ribosome access, or cleavage of the target mRNA (Ghildiyal and Zamore [2009](#page-19-10)). Mammals have four argonautes (AGO1–4), and while only AGO2 is catalytically active, all four argonautes are able to bind smRNAs and facilitate inhibition of translation (Liu [2004;](#page-21-10) Meister et al. [2004;](#page-21-11) Wilson and Doudna [2013](#page-24-6)). Interestingly, human AGO2 can accept both miRNAs and siRNAs (Hutvagner and Zamore [2002;](#page-20-19) Martinez et al. [2002\)](#page-21-12).

In contrast, in *Drosophila* Ago1 is almost exclusively loaded with miRNAs while Ago2 is loaded mostly with siRNAs (Forstemann et al. [2007](#page-19-16)). However, recent work has shown that miRNAs and siRNAs compete for loading onto Ago2, the argonaute responsible for TE silencing in flies (Abe et al. [2014](#page-17-11)). In both flies and mammals, siRNAs are specifically 2′-*O*-methylated at their 3′ termini (Ghildiyal and Zamore [2009\)](#page-19-10). In flies miRNAs were found to be increasingly inappropriately methylated with age, allowing them to be loaded onto Ago2, and thus reducing

siRNA access (Abe et al. [2014](#page-17-11)). This study did not examine the effect of this RISC misloading on the ability of siRNAs to silence TEs. However, this is an interesting possibility, especially in mammals where siRNAs and miRNAs share AGO2 for silencing, and this competition could functionally impact TE silencing.

3.3 The Role of the piRNA Pathway in Aging

3.3.1 piRNA Deficiencies in Aging Gonads

The piRNA pathway has long been known to be a guardian of genomic integrity in the germline. These longer smRNAs (23-29 nt) associate with three Piwi clade argonaute proteins and, similar to siRNAs, are 2′-*O*-methylated (Brennecke et al. [2007;](#page-17-7) Ghildiyal and Zamore [2009](#page-19-10)). An exonuclease, known as Nibbler, regulates the length of miRNAs, siRNAs, and piRNAs in both somatic and gonadal tissues (Feltzin et al. [2015](#page-19-17)). Nibbler is responsible for the appropriate trimming of the 3′ termini of these diverse classes of smRNAs (Liu et al. [2011](#page-21-13); Feltzin et al. [2015\)](#page-19-17). In flies, Nibbler mutants showed age-associated accumulation of brain damage and physiological effects such as loss of climbing ability (Abe et al. [2014](#page-17-11); Feltzin et al. [2015\)](#page-19-17). Another study showed an association between increased Nibbler activity and TE reactivation (Wang et al. [2016](#page-24-7)). piRNA length was also shown to increase in aged ovaries and this correlated with lower piRNA abundance, suggesting a disruption of piRNA biogenesis. piRNA pathway mutants display increased TE reactivation and a decline or complete loss in fertility (Wang et al. [2016\)](#page-24-7), and both of these phenotypes are also observed in aging animals. Aging is also known to directly affect the fertility of mammals (Ge et al. [2015\)](#page-19-18) and may be related to reactivation of TEs. In support of this, studies in mice where L1 elements were transgenically overexpressed show increased embryonic lethality suggesting that TEs directly contribute to infertility (Malki et al. [2014](#page-21-14)). It is possible that reproductive output is reduced with age due to an increase in piRNA trimming, resulting in aberrant piRNA biogenesis and increased TE reactivation

3.3.2 The piRNA Pathway in Somatic Tissues

Recent evidence has begun to suggest that the piRNA pathway may also be active in tissues outside of the gonad. piRNAs and their argonautes have been found in healthy somatic tissues of flies, mice, macaques, and humans (Lee et al. [2011](#page-21-15); Yan et al. [2011](#page-24-8); Perrat et al. [2013](#page-22-12); Jones et al. [2016](#page-20-20)). In addition, multiple studies have documented reactivation of piRNA pathway machinery in various types of cancer (Ross et al. [2014\)](#page-22-17). However, it is not yet known why these piRNA components are expressed in these situations. It is interesting to note that multiple studies have also shown reactivation of TEs in cancer (Chenais [2013](#page-18-12); Doucet-O'Hare et al. [2015;](#page-18-13) Ewing et al. [2015;](#page-19-19) Rodic et al. [2015\)](#page-22-18) (see also chapters "Retrotransposon Contribution to Genomic Plasticity" and "LINE-1 Retrotransposons as Neoplastic Biomarkers"). One possibility is that the piRNA pathway, arguably the premier genomic defense against TEs, is activated as a compensatory response to TE derepression in cancerous or aging somatic tissues. Our knowledge of piRNA pathway activity in somatic tissues is very incomplete, and experiments determining a mechanistic cause for its presence and the role it serves in the soma have yet to be performed.

3.4 TEs in the Mammalian Genome

Approximately 50% of mammalian genomes are comprised of repetitive sequences (de Koning et al. [2011](#page-18-14)). Over evolutionary time most resident RTEs have acquired multiple mutations and are no longer active; however a small fraction retain the ability to transpose (Levin and Moran [2011](#page-21-16); Sookdeo et al. [2013\)](#page-23-13). In response, cells have evolved mechanisms to keep these elements tightly repressed. The front-line defense against RTEs is transcriptional silencing (Fig. [2\)](#page-10-0). In mouse embryonic stem

Fig. 2 RTEs in the genome are repressed by heterochromatin. (**a**) Multiple pathways are involved in the establishment and maintenance of heterochromatin. In many regions of the genome, these domains of heterochromatin encompass RTEs and are instrumental in their silencing. The RB complex recruits several histone methyltransferases (HMTs) that methylate specific lysine residues on histones H3 and H4. Additional HMTs are recruited to the site of heterochromatin formation as part of a Kruppel-associated box-associated protein 1 (KAP1)-dependent pathway that requires ribosylation (Rs) by the sirtuin SIRT6. Together, these mechanisms maintain DNA methylation at cytosine residues by methyltransferases (DNMTs). (**b**). These processes are disrupted in aging cells resulting in the relaxation of heterochromatin that in turn allows the expression of RTEs. RB complex: a complex containing the retinoblastoma protein, elongation factor 2, histone deacetylases 1 and 2, methyl CpG-binding protein 2, and the nucleosomal and remodeling deacetylase complex; Me₃: methyl group; Ac: acetyl group; MBP: methylation-binding protein

(ES) cells, LTR RTEs are silenced through multiple mechanisms including DNA methylation by the DNA methyltransferases 1 and 3L (DNMT1 and DNMT3L) (Slotkin and Martienssen [2007\)](#page-23-10). In addition, ES cells use a Kruppel-associated boxassociated protein 1 (KAP1)-dependent pathway that results in the tri-methylation of histone H3 on lysine 9 (H3K9me3) by the methyltransferase ESET (Matsui et al. [2010;](#page-21-17) Rowe et al. [2010](#page-22-19)). Recruitment of ESET to LTR RTEs requires the deposition of the histone variant H3.3 (Elsasser et al. [2015\)](#page-18-15).

LINE RTEs are also silenced through multiple mechanisms in mammalian cells including DNA methylation and histone modification. Mouse embryonic fibroblasts (MEFs) regulate the expression of the LINE L1 in part through a pathway involving the SIRT6-mediated ribosylation of KAP1 (Van Meter et al. [2014](#page-23-14)). In addition, MEFs and human cancer cell lines require the recruitment of the EF2/RB complex along with the histone deacetylases 1 and 2 (HDAC1 and HDAC2), the methyl CpG-binding protein 2 (MeCP2), and the nucleosomal and remodeling deacetylase (NuRD) complex to silence L1 expression (Montoya-Durango et al. [2009,](#page-21-18) [2016;](#page-21-19) Teneng et al. [2011](#page-23-15)). In human and mouse neural tissue some L1s become transiently activated during neural progenitor cell differentiation, and this process has been hypothesized to drive variation in neuronal genomes (Muotri et al. [2005](#page-22-20); Erwin et al. [2014](#page-18-16)). Expression of L1s in neural stem cells (NSC) is repressed by SOX2, HDAC1, MeCP2, DNA methylation, and repressive histone modifications, and these factors are reduced during NSC activation (Muotri et al. [2005](#page-22-20), [2010;](#page-22-21) Coufal et al. [2009](#page-18-17)). SINEs are repressed by DNA methylation, MeCP2, methyl-binding proteins 1 and 2 (MBP1 and MBP2), and the histone modification H3K9me3, and the removal of the latter is necessary for SINE expression (Varshney et al. [2015\)](#page-23-16). The common theme among these repressive pathways is the presence of DNA methylation and the H3K9me3 histone modification. These repressive heterochromatic marks are used by the cell to silence RTEs in an effort to maintain genome integrity. However, as discussed above, these repressive pathways are altered during the aging process and can lead to the derepression of RTEs.

RTE expression increases during cellular senescence and with age in several different mouse tissues. In senescent human fibroblasts the relaxation of heterochromatic regions is correlated with increased expression of L1s and the SINEs Alu and SVA (De Cecco et al. [2013a](#page-18-2)). Since some of these elements belong to the evolutionarily youngest subfamilies and have intact sequences, they should be capable of transposition (De Cecco et al. [2013a\)](#page-18-2). Indeed, increased genomic copy numbers of L1Hs were observed in senescent cells.

In mouse, members of the LINE (L1), SINE (B1 and B2), and LTR (MusD) families were found to increase expression with age in liver and skeletal muscle (De Cecco et al. [2013b](#page-18-6)). Interestingly, there appears to be variability between tissues as this increase was more pronounced in muscle than in liver. The transcription of L1s, the largest family of potentially active retrotransposons, was also analyzed in mouse liver by RNA-seq using a bioinformatic pipeline recently developed for the analysis of repetitive sequences in high-throughput DNA sequencing data (Criscione et al. [2014\)](#page-18-18). Many of the L1 subfamilies in the mouse genome were found to increase their expression in liver samples from old animals (De Cecco et al. [2013b\)](#page-18-6).

Expression of RTE mRNA is only the first step that eventually may lead to actual transposition, and several cellular defense mechanisms are known to be active downstream of heterochromatinization. In addition, many elements in the genome have acquired mutations rendering them incapable of transposition. However, current evidence suggests that at least a subset of the derepressed elements are capable of transposition during cellular senescence as well as aging of several mouse tissues (De Cecco et al. [2013a](#page-18-2), [b](#page-18-6)).

4 Consequences of Age-Associated TE Activation

4.1 Chimeric Transcripts

In this section we explore the links between RTE activity and changes in the transcriptome. We refer to this process as *transcriptional instability*, and discuss here the different forms it can take and its potential role in aging. The reader can also refer to chapters "Retrotransposon-Derived Regulatory Regions and Transcripts in Stemness" and "Retrotransposon-Driven Transcription and Cancer" for a discussion on RTE-induced transcriptome changes in the context of pluripotent cells and cancer, respectively. Transcriptional noise, defined as increased cell-to-cell variation in gene expression, has been described in the aging mouse heart (Bahar et al. [2006\)](#page-17-14). Dysregulation of alternative splicing has been found in cellular senescence (Cao et al. [2011\)](#page-17-15), in the aging brain (Mazin et al. [2013\)](#page-21-20) and neurodegeneration (Tollervey et al. [2011\)](#page-23-17), and in blood leukocytes (Harries et al. [2011](#page-20-21)). It has been argued that these changes may be of particular relevance in postmitotic cells and tissues (Warren et al. [2007](#page-24-9)). Although a direct link between transcriptional instability and RTE activity has not yet been demonstrated in aging, the ability of RTEs to affect the transcriptome is well known in other contexts. First and foremost, over the course of evolution RTEs have rewired the core regulatory network of the mammalian genome (Kunarso et al. [2010\)](#page-21-21). This demonstrates their ability to influence the transcriptome by either disrupting regulatory elements or contributing new ones. For example, Alu elements harbor binding sites for nuclear hormone receptors and can compete or act as promoters for nearby genes (Polak and Domany [2006;](#page-22-22) Deininger [2011\)](#page-18-19). Their presence in introns can result in alternative or aberrant splicing (Lev-Maor et al. [2008](#page-21-22)) that can lead to disease (Ganguly et al. [2003\)](#page-19-20).

RTEs, including many transposition-incompetent elements, retain intact promoter sequences that are capable of driving transcription (Faulkner et al. [2009\)](#page-19-21). L1s contain both sense and antisense promoters (ASPs) that can transcribe into adjacent regions to produce chimeric transcripts (Speek [2001;](#page-23-18) Cruickshanks and Tufarelli [2009](#page-18-20)). The sense promoter can promote transcription of downstream genes (Abyzov et al. [2013](#page-17-16)), and L1-ASP transcription of upstream genes has also been found (Speek [2001](#page-23-18); Nigumann et al. [2002\)](#page-22-23). Transformed cancer cell lines and prostate tumors display significant upregulation of L1 RNA expression (Criscione et al. [2014\)](#page-18-18). The marked increased in L1 promoter activity in cancer cells has been linked to a variety of aberrant L1 chimeric transcripts. In colorectal cancer, hypomethylation of L1s leads to activation of the methylation-silenced MET and RAB3IP proto-oncogenes (Hur et al. [2014\)](#page-20-22). A truncated isoform of the oncogene c-MET can be driven from an alternative promoter by hypomethylation of an intronic L1-ASP (Roman-Gomez et al. [2005](#page-22-24); Weber et al. [2010](#page-24-10); Wolff et al. [2010\)](#page-24-11). Conversely, an L1-ASP-driven RNA can silence the metastasis-suppressor gene TFPI-2 (Cruickshanks et al. [2013b](#page-18-21)). This suggests that activation of L1-ASPs might lead to epigenetic silencing of tumor-suppressor genes, potentially by similar mechanisms as those described for antisense RNAs in development or several diseases (Tufarelli et al. [2003](#page-23-19); Matzke and Birchler [2005;](#page-21-23) Yu et al. [2008;](#page-24-12) Taft et al. [2010](#page-23-20)). Hence, it is evident that RTEs are capable of interfering with the transcriptional machinery at multiple levels and could contribute a similar role to cellular dysfunction during aging.

4.2 Characterizing the Transposition Landscape in Aging Cells

TE sequences posed a great challenge for the initial sequencing and assembly of reference genomes. Their analysis has lagged far behind that of non-repetitive sequences, and even the most recent draft of the human genome (GRCh38) contained major updates of TE annotations. Short-read sequencing strategies, such as Illumina's HiSeq, provide an additional challenge: it is not possible to unambiguously assign the genomic locations of many reads originating from repetitive elements. To enable the comprehensive documentation of all existing and novel RTE insertions in the genomes of human somatic cells we would ideally require long reads spanning the entire RTE and flanking sequences on both sides, sufficient coverage of the genome to make statistically significant calls, and low costs to make the profiling of many tissues and ages economically feasible. Recent advances in longread high-throughput sequencing platforms, including Pacific Biosystems Single Molecule, Real-Time (SMRT) Sequencing, and Oxford Nanopore MinION, will likely aid in discovery of new transposition events; however, these technologies are still costly and yield low coverage.

To further complicate studying RTE mobility during cellular senescence or aging of tissues, many new insertions are likely to be "private," i.e., occurring in an individual cell after it has ceased dividing. This is likely from theoretical considerations, because many cells in the adult organism are postmitotic. Thus characterization of the transposition landscape in aging cells is complicated by the fact that the landscape is likely to be unique for each individual cell. Two approaches have been used to overcome this obstacle: greatly enriching for RTEs before sequencing, or sequencing single-cell genomes. The principle of RTE enrichment is simply to reduce the genomic space that is sequenced in order to increase the coverage and the sensitivity of detection. The caveats of enrichment are that there is selection bias (it requires prior knowledge of active transposons) and enrichment cannot predict transposition frequency (distinguish between equivalent activity in all cells and many hits in some cells). Nevertheless, enrichment methods can provide high coverage, and have been successful in demonstrating the presence of novel events in different biological contexts by several groups (Ewing and Kazazian [2010](#page-19-22); Huang et al. [2010](#page-20-23); Baillie et al. [2011;](#page-17-17) Solyom et al. [2012;](#page-23-21) Shukla et al. [2013](#page-23-22)).

An attractive alternative approach is high-throughput sequencing of single-cell genomes. Single-cell sequencing was first used to identify copy number variants (CNVs) in single cells from tumors (Navin et al. [2011\)](#page-22-25). Single-cell sequencing was also used to examine retrotransposition frequency in the postmortem adult brain (Evrony et al. [2012,](#page-18-22) [2015;](#page-18-23) Upton et al. [2015](#page-23-23)). While these studies clearly identified novel somatic retrotransposition events in the adult human brain, they differed on the frequency of transposition. One group (Upton et al. [2015\)](#page-23-23) reported a frequency of approximately 14 new retrotranspositions per hippocampal neuron and approximately 11 per cortical neuron, while another group (Evrony et al. [2012](#page-18-22), [2015\)](#page-18-23) found that somatic retrotranspositions were relatively infrequent. The reasons for these differences, which may be technical in nature, are currently under discussion (Upton et al. [2015](#page-23-23); Evrony et al. [2016](#page-18-24)). Hence, more work is necessary to document the retrotransposition frequency in the adult brain, and in particular to address the bioinformatic challenges of detecting novel transposition events in single-cell highthroughput sequencing data.

4.3 Transposable Elements and Autoimmunity

Studies of the negative effects of RTEs have largely focused on the damage caused by the transposition process to the genomes of their hosts. While many transposition events are abortive, they often cause DNA double-strand breaks and can promote a variety of illegitimate recombination events, such as chromosomal rearrangements (Farkash and Luning Prak [2006\)](#page-19-23). Recent work has shed light on a new dimension of this host-pathogen relationship: an interesting link between RTEs and the development of autoimmune disease (Bhoj and Chen [2008\)](#page-17-18).

Arguably, the primal form of infection is the parasitism of nucleic acids (Stetson [2009\)](#page-23-24). Across billions of years of host-pathogen interactions many antiviral defense mechanisms have evolved and became implemented with various degrees of success (Hannon [2002](#page-19-24); Kawai and Akira [2006](#page-20-24); Pichlmair and Reis e Sousa [2007\)](#page-22-26). Many of these response networks are centered on the detection of nucleic acids. To discriminate self from non-self, antiviral sensors must detect potentially hazardous invading nucleic acids among the copious amounts of host-derived DNA and RNA. However, overactivation or other failures of these antiviral systems can result in hyperstimulation of the immune system and autoimmune responses (Banchereau and Pascual [2006\)](#page-17-19). The type I interferon (IFN-I) response is in particular important for establishing an antiviral state; however chronic IFN-I signaling can lead to hyperimmune activation and inflammation (Wilson et al. [2013](#page-24-13)).

Study of one specific human autoimmune disease, Aicardi–Goutieres syndrome (AGS), has provided important insights into the contribution of endogenous RTEs to the development of autoimmunity (Stetson et al. [2008](#page-23-25)). The *TREX1* gene encodes a 3′ exonuclease that degrades perceived invading DNA, including the cDNAs from endogenous RTEs. Unless eliminated, these DNA fragments accumulate in the cytosol and activate the IFN-stimulatory DNA (ISD) response and innate immune signaling. *TREX1* was found to be mutated in AGS, and the accumulation of RTEderived cDNAs was associated the hyperactivation of the IFN-I pathway. In addition to *TREX1*, mutations in the RNAseH2 enzyme also cause AGS, suggesting that accumulation of RNA-DNA hybrids derived from endogenous RTEs contributes to the chronic pro-inflammatory state (Bhoj and Chen [2008\)](#page-17-18).

In a fascinating parallel, chronic inflammation was proposed many years ago to play a major role in exacerbating the aging process, referred to as the "inflammaging" theory of aging (Franceschi and Campisi [2014](#page-19-25)). Inflammaging appears to be significant risk factor for the morbidity and mortality of the elderly, as most, if not all, age-related diseases share an inflammatory component. However, the etiology of inflammaging remains largely unknown. Thus, both aging and RTEs have been independently associated with chronic IFN-I responses, and aging itself has been associated with RTE activation (De Cecco et al. [2013b](#page-18-6)). It is thus tempting to speculate a direct connection between these factors, and a causal role between the ageassociated expression of RTEs and chronic IFN-I activation.

Autoimmune inflammation that may be caused by the accumulation of RTEderived single-stranded DNA (Yang et al. [2007\)](#page-24-14) can be treated with reverse transcriptase inhibitors (Beck-Engeser et al. [2011](#page-17-20)). Several different nucleoside reverse transcriptase inhibitors (NRTIs), developed to treat HIV, have been tested against the reverse transcriptase enzyme encoded by L1 elements, with varying degrees of success (Jones et al. [2008](#page-20-25); Dai et al. [2011](#page-18-25)). Note that some of these compounds might also exert their effects indirectly by inhibiting inflammation, independently of reverse transcriptase inhibition (Fowler et al. [2014](#page-19-26)). It is thus important to consider the possibility that interventions designed specifically against RTE activities may be effective against autoimmune disorders and perhaps other age-related diseases.

5 Conclusions

In this chapter we have summarized recent discoveries documenting age-related changes in chromatin and transposable element activity. What is the significance of these changes to our understanding of aging and for the prospect of developing new interventions to ameliorate the decline of organismal function with age?

Studies in yeast, nematodes, and fruit flies have demonstrated a strong link between the loss of a "youthful" chromatin state and aging. The salient characteristic of youthfulness in this context we believe is the effective partitioning and maintenance of euchromatic and heterochromatic domains of the genome. An important (albeit not only) consequence of the loss of this chromatin homeostasis is a failure to maintain the effective repression of TE activity. The evidence linking TE activity to aging, though less abundant, is steadily growing. Studies in yeast, flies, mice, and human cell culture show that compromising the cellular TE surveillance mechanisms can result in cellular damage, age-associated diseases, and shortened life span (Czech et al. [2008;](#page-18-10) Ghildiyal et al. [2008;](#page-19-12) Wallace et al. [2008;](#page-24-15) Kaneko et al. [2011;](#page-20-16) Lim et al. [2011;](#page-21-8) Maxwell et al. [2011](#page-21-24); Li et al. [2013](#page-21-9); Jeon et al. [2015](#page-20-9); Wood et al. [2016](#page-24-1)). Evidence is also emerging that augmenting the surveillance mechanisms that maintain TE repression improves cellular physiology and may extend healthy life span (Savva et al. [2013](#page-22-13); Wood et al. [2016](#page-24-1)).

Activation of TEs in the germline has been postulated to drive evolution and create genomic diversity. We believe that the sporadic activation of RTEs in somatic cells is unlikely to be beneficial. Instead, RTE activation is more likely to result in a variety of deleterious effects, such as dysregulation of gene expression, transcriptional noise, chronic activation of an antiviral state, insertional mutagenesis, DNA damage, and genome instability (Fig. [3\)](#page-16-0). The emerging understanding of the potential role of RTEs to promote these rather serious consequences has led us (and others) to envision (Li et al. [2013;](#page-21-9) Sedivy et al. [2013](#page-23-3); Volkman and Stetson [2014\)](#page-24-16) that drugs targeting RTEs, such as NRTIs, or more indirect interventions, such as improving repressive heterochromatin or bolstering some other defense mechanisms, may provide new and novel therapeutic modalities to treat diseases of aging and extend healthy life span.

Fig. 3 Retrotransposition theory of aging. RTEs are epigenetically silenced in young somatic cells by their incorporation into constitutive heterochromatin, and additionally targeted by RNAi pathways and a variety of antiviral surveillance systems. Due to an accumulation of macromolecular damage and loss of homeostatic capacity, caused by a variety of extrinsic as well as intrinsic stresses, these cellular defense mechanisms become weakened with age. One consequence of this decline is the activation of dormant RTEs. The age-related increase in RTE expression and mobilization in turn causes further damage, and thus promotes the dysregulation of cellular physiology, loss of tissue function, and ultimately many of the deleterious aspects of aging

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