# Chapter 9 Progress of Controlled Drug Delivery Systems in Topical Ophthalmology: Focus on Nano and Micro Drug Carriers

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Abstract Corneal and conjunctival epithelia, along with the tear film, serve as biological barriers to protect the eye from the entrance of potentially harmful substances. These barriers create constraint effective drug medication of ocular diseases with topical ocular formulations. Designing an effective therapy for ocular diseases, especially for the anterior segment, has been considered a challenging task. Nano-drug carriers giving us an array of hope for ocular drug therapy, owing to its potential to improve the ocular retention, controlled release, trans-corneal permeation and thus intra-ocular drug availability. Nanotechnology-based formulation design is important in ocular pharmaceuticals yet knowledge of anatomy and physiology of eyes are critical along with the understanding of nanoparticles design. Here, we discussed the ocular transport of topically applied drug, different barriers in its path and how the nanoparticles as drug carriers can improve the drug delivery to the eyes.

**Keywords** Ocular drug delivery  $\cdot$  Topical administration  $\cdot$  Drug transport barriers • Controlled drug release • Nanotechnology • Targeting

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# Introduction

The development of drug delivery approach for the transportation of drug in a bioavailable and safe manner to the target site is now becoming an exceedingly important area of biopharmaceutical research. To be sure, a large number of novel drug delivery technologies surface every year and every segment of the body part has been attempted as a potential target for the site of action.

Ophthalmic drug delivery is one of the most interesting and challenging endeavors facing the pharmaceutical scientist. The anatomy, physiology, and biochemistry of the eye render this organ highly impervious to foreign substances. This appears to be quite challenging for drug delivery scientist to circumvent the protective barriers of the eye without causing permanent tissue damage. Development of newer, more sensitive diagnostic techniques and novel therapeutic agents continued to provide ocular delivery systems with high therapeutic efficacy.

The goal of pharmacotherapeutics is to treat a disease in a consistent and predictable fashion. An assumption is made that a correlation exists between the concentration of a drug at its intended site of action and the resulting pharmacological effect. The specific aim of designing a therapeutic system is to achieve an optimal concentration of a drug at the active site for the appropriate duration. Ocular disposition and elimination of a therapeutic agent are dependent upon its physicochemical properties as well as the relevant ocular anatomy and physiology (Hanrahan et al. [2012\)](#page-27-0) for treating anterior ocular disorders, topical administration into the conjunctival cul-de-sac is usually the preferred route of delivery. A successful design of a drug delivery system, therefore, requires an integrated knowledge of the drug molecule and the constraints offered by the ocular route of administration.

### Conventional Approach for Ocular Drug Delivery

Medication is applied to the surface of the eye for two purposes: to treat the outside of the eye for such infections as conjunctivitis, blepharitis, keratitis sicca, or to provide intraocular treatment through the cornea for diseases such as glaucoma or uveitis. Most ocular diseases are treated with topical application of solutions administered as eye drops. These conventional dosage forms account for nearly 90 % of the currently accessible marketed formulations. Eye drops used for soluble drug, require frequent instillations of highly concentrated solutions. The practical reasons for selecting solutions are the generally favorable cost advantage, the greater simplicity of formulation development and production and the good acceptance by patients despite a little blurring (Fitzgerald and Wilson [1994;](#page-26-0) Araujo et al. [2009](#page-24-0); Singh et al. [2011](#page-31-0)). In that, most of the drugs are washed from the eye by various mechanisms like lacrimation, tear dilution, and tear turnover. Moreover, the relatively impermeable corneal barrier restricts the entry of foreign substances. As a result, less than 5 % of administered drug penetrates the cornea and reaches intraocular tissues. Many researchers have made significant efforts to improve the ocular bioavailability by increasing the corneal residence/contact time by using

<span id="page-2-0"></span>novel delivery system such as aqueous eye drop with increased viscosity (Sechoy et al. [2000](#page-31-0); Tissie et al. [2002\)](#page-32-0), colloidal particles (De Campos et al. [2004;](#page-25-0) Sahoo et al. [2008](#page-30-0); Gupta et al. [2010](#page-26-0); Wadhwa et al. [2010](#page-32-0)), in situ gel system, dendrimers (Vandamme and Brobeck [2005](#page-32-0)), Ocular inserts (Ding [1998\)](#page-26-0), collagen shields (Hill et al. [1993](#page-27-0)) and ion pair (Higashiyama et al. [2006\)](#page-27-0).

# Drug Transport Mechanism and Barriers in Ocular Drug Delivery

The eyes are among the most readily accessible organs in terms of location in the body and topical delivery into the cul-de-sac is, by far, the most common route of ocular drug delivery. Absorption from this site may be corneal or non-corneal. The so-called non-corneal route of absorption involves penetration across the sclera and conjunctiva into the intraocular tissues. This mechanism of absorption is usually non-productive, as drug penetrating the surface of the eye beyond the corneal-scleral limbus is taken up by the local capillary beds and removed to the general circulation. This non-corneal absorption, in general, precludes the entry into the aqueous humor. But drug delivery to eye tissues is particularly problematic due to the presence of ocular barriers like tear film, cornea, conjunctiva and sclera (Fig. 9.1a, d).



Fig. 9.1 Anatomical structure of human eye and barriers in ocular drug delivery. a Human eye. b Cornea. c Tear film. d Conjunctiva

# Tear Film

The tear (precorneal film) film is the liquid layer bathing the cornea and conjunctiva. The film thickness is reported to be about  $3-10 \text{ µm}$  (Robinson [1993;](#page-30-0) King-Smith et al. [2000](#page-28-0); Szczesna et al. [2006](#page-31-0), [2007;](#page-31-0) Szczesna-Iskander and Iskander [2012;](#page-31-0) Dhubhghaill et al. [2012](#page-26-0)). The tear film consists of a three-layered structure comprising a lipid (oil) layer, an aqueous (water) layer and a mucous layer over the corneal epithelium as shown in Fig. [9.1](#page-2-0)c. The lipid layer is the outermost surface and polishes the corneal surface. It is produced by the meibomian glands and provides a smooth tear surface and retarding the rate of tear evaporation from the cornea. Mechanically traps and flushes out foreign bodies and chemicals contain bacteriostatic substances that inhibit the growth of microorganisms. The aqueous layer produced by the lachrymal gland is composed of water, proteins, and other substances, such as lipocalin, lactoferrin, lysozyme, and lacritin. This layer is responsible for control of infection, osmotic balance and water promoting spreading of the tear film. The inner-most layer of the tear film is mucus layer. It is produced by goblet cells of the conjunctiva and acts as a hydrophilic layer (water soluble) and serves as an anchor for the tear film and helps it adhere to the eye. The tear film creates a smooth surface for light to pass through the eye, nourishes the front of the eye, and provides protection from infection (Wolff [1946](#page-32-0), [1954](#page-32-0); King-Smith et al. [2000;](#page-28-0) Rathore and Nema [2009](#page-30-0); Montes et al. [2010](#page-29-0); Stahl et al. [2012\)](#page-31-0). Tear film which reduces the effective concentration of the administrated drugs due to dilution by the tear turnover (approximately  $1 \mu L/min$ ), accelerated clearance, and binding of the drug molecule to the tear proteins (Schoenwald et al. [1998;](#page-31-0) King-Smith et al. [2000;](#page-28-0) Her et al. [2013](#page-27-0)). The Osmolarity of the tear film equals 310–350 mOsm/kg in normal eyes and is adjusted by the principal inorganic ions  $Na^{+}$ ,  $K^{+}$ ,  $Cl^{-}$ ,  $HCO3^{-}$ , and proteins. The mean pH value of normal tears is about 7.4. Depending on age and diseases, values between 5.2 and 9.3 have been measured. Diurnal patterns of pH changes exist, with a general shift from acid to alkaline during the day. The buffer capacity of the tears is determined by bicarbonate ions, proteins, and mucin. Tears exhibit a non-Newtonian rheological behavior. The viscosity is about 3 mPas (Greaves et al. [1993](#page-26-0); Pandit et al. [1999](#page-30-0)). The surface tension depends on the presence of soluble mucin, lipocalins, and lipids. The mean surface tension value is about 44 mN/m (Nagyova and Tiffany [1999](#page-29-0); Tiffany [2003\)](#page-32-0).

#### Cornea

The cornea is the transparent, dome-shaped window covering the front of the eye and one of the most densely innervated tissues on the surface of the body (Marfurt et al. [1989](#page-29-0); Muller et al. [2003](#page-29-0)). It is a powerful refracting surface, providing 2/3 of the eye's focusing power. Because there are no blood vessels in the cornea, it is normally clear and has a shiny surface. It's approximately 11.7 mm in diameter,

500 lm thick in the center with around 700 lm at the periphery and radius of curvature of anterior surface about 7.7 mm while the radius of curvature of the globe is approximately 12 mm (Fig. [9.1](#page-2-0)b) (Maurice and Mishima [1984;](#page-29-0) Hitzenberger et al. [1994\)](#page-27-0). The cornea consists of three layers; epithelium, stroma, and endothelium and passes the mechanical barrier of foreign substances. Each layer possesses a different polarity and a rate-limiting structure for drug permeation. The corneal epithelium is of lipophilic nature, and tight junctions among cells are formed to restrict paracellular drug permeation from the tear film and permeated lipophilic drugs (transcellular pathway). Hydrophilic charged cationic compounds permeate more easily through the cornea than anionic forms, because the corneal epithelium is negatively charged above its isoelectric point (Rojanasakul et al. [1992;](#page-30-0) Bourlais et al. [1998](#page-25-0); Gipson and Argueso [2003](#page-26-0); Baspinar et al. [2008;](#page-25-0) Araujo et al. [2009\)](#page-24-0). The highly hydrated structure of the stroma acts as a barrier to permeation of lipophilic drug molecules. Corneal endothelium is the innermost monolayer of hexagonal-shaped cells and acts as a separating barrier between the stroma and aqueous humor. The endothelial junctions are leaky and facilitate the passage of macromolecules between the aqueous humor and stroma (Bourlais et al. [1998;](#page-25-0) Fischbarg [2006](#page-26-0); Araujo et al. [2009](#page-24-0)).

# **Conjunctiva**

The conjunctiva is the thin, vascularized mucous membrane, transparent tissue that covers the outer surface of the eye. Histologically the conjunctiva consists of non-keratinized, stratified squamous epithelium, with interspersed goblet cells. It is involved in the formation and maintenance of the tear film, In addition, conjunctiva or episclera has a rich supply of capillaries and lymphatics as shown in Fig. [9.1d](#page-2-0) (Sugar et al. [1957;](#page-31-0) Raviola [1983;](#page-30-0) Robinson [1993](#page-30-0); Gausas et al. [1999](#page-26-0); Singh [2003;](#page-31-0) Hosoya et al. [2005\)](#page-27-0) therefore, drugs administered in the conjunctival or episcleral space may be cleared through blood and lymph. The conjunctival blood vessels do not form a tight junction barrier (King-Smith et al. [2000](#page-28-0)), which means drug molecules can enter into the blood circulation by pinocytosis and/or convective transport through paracellular pores in the vascular endothelial layer. The conjunctival lymphatics act as an efflux system for the efficient elimination of the conjunctival space. Recently, it has been reported that at least 10 % of a small molecular weight hydrophilic model compound (sodium fluorescein), administered in the subconjunctival space, was eliminated via the lymphatics within the first hour in rat eyes (Lee et al. [2010\)](#page-28-0). Therefore, drugs transported by lymphatics in conjunction with the elimination by blood circulation can contribute to systemic exposure, since the interstitial fluid is returned to the systemic circulation after filtration through lymph nodes.

# Sclera

The sclera (white of the eye) is white, fibrous collagen tissues, and has three layers (anterior to posterior): episclera, scleral stroma, and lamina fusca and continued to cornea anteriorly. Sclera provides the structural integrity that defines the shape and length of the eye (Newell [1993](#page-29-0); Ludwig [2005](#page-28-0); Pescina et al. [2011](#page-30-0)). Scleral permeability has been shown to have a strong dependence on the molecular radius. Scleral permeability decreases roughly exponentially with molecular radius. Additionally, the posterior sclera is composed of a looser weave of collagen fibers than the anterior sclera (Miao et al. [2013\)](#page-29-0), and the human sclera is relatively thick near the limbus (0.53  $\pm$  0.14 mm), thin at the equator (0.39  $\pm$  0.17 mm) and much thicker near the optic nerve (0.9–1.0 mm). Thus, the ideal location for transscleral drug delivery is near the equator at 12–17 mm posterior to the corneoscleral limbus (Myles et al. [2005;](#page-29-0) Qi et al. [2013\)](#page-30-0). Hydrophobicity of drugs affects scleral permeability; an increase of lipophilicity shows lower permeability; and hydrophilic drugs may diffuse through the aqueous medium of proteoglycans in the fiber matrix pores more easily than lipophilic drugs (Maurice and Mishima [1984](#page-29-0); Cruysberg et al. [2002](#page-25-0); Wen et al. [2013](#page-32-0)).

# Pharmacokinetic Consideration in Ocular Drug Delivery

The most significant reason for not conducting ocular pharmacokinetic studies in the human eye is the inability to sample tissues or fluids from the intact eye without risking pain and/or injury. Although the rabbit eye is useful in predicting human ocular toxicities (McDonald and Shadduck [1977](#page-29-0)), the eyes of each species are dissimilar in anatomy and physiology (Table 9.1) such that predicting human ocular pharmacokinetics from rabbit data may not be very precise for certain drugs.

Pharmacokinetic factor	Human eye	Rabbit eye
Tear volume $(\mu L)$	7.5	$7.0 - 30.0$
Tear turnover rate $(\mu L/min)$	$0.5 - 2.2^{\rm a}$	$0.6 - 0.8$
Spontaneous blinking rate (times/min)	15	$4 - 5$
Nictitating membrane	Absent	Present
pH of tears	7.14–7.82	7.14–7.82
Milliosmolarity of tears (mOsm/L)	305	305
Corneal thickness (mm)	0.52	0.40
Corneal diameter (mm)	15	12
Aqueous humor volume $(\mu L)$	310	310
Aqueous humor turnover rate $(\mu L)$	1.53	1.53

Table 9.1 Anatomical and physiological difference in human eye and New Zealand rabbit

<sup>a</sup>Range depending on blinking rate and conjunctival sac volume

After topical administration of an ophthalmic drug solution, the drug is firstly mixed with the lachrymal fluid. The contact time of drug with ocular tissues is relatively short (1–2 min) because of the permanent production of lachrymal fluid  $(0.5-2.2/\mu l/min)$ . Then, approximately half of the drug flows through the upper canaliculus and the other half, through the lower canaliculus into the lachrymal sac, which opens into the nasolacrimal duct. Drainage of lachrymal fluid during blinking (every 12 s) towards the nasolacrimal duct induces a rapid elimination of conventional dosage forms (Ahmed and Patton [1985,](#page-24-0) [1987\)](#page-24-0). The drug is absorbed into the retina-choroid via a corneal or scleroconjunctival route; the iris and ciliary body are presumably supplied via both the transcorneal and the extracorneal pathways.

Drugs penetrate across the corneal epithelium via the transcellular or paracellular pathway. Lipophilic drugs prefer the transcellular route, while hydrophilic drugs penetrate primarily through the paracellular pathway, which involves passive or altered diffusion through intercellular spaces. The transcorneal penetration appears to be hindered by the binding of the drug to the corneal tissues. The cornea may act as a drug reservoir, slowly releasing the drug into the aqueous humor, where levels decrease very slowly.

Then, drugs are distributed from the aqueous humor to the intraocular tissues, i.e., iris-ciliary body, lens, vitreous and choroid-retina and eliminated mainly via aqueous humor turnover and venous blood flow in the anterior uvea (Fig. [9.2\)](#page-7-0). It is suggested that ocular penetration via the scleroconjunctival route is more rapid (for a hydrophilic drug) than via the transcorneal route (Worakul and Robinson [1997\)](#page-32-0). Both transconjunctival absorption and transnasal absorption after drainage via the nasolacrimal duct are generally undesirable, not only because of the loss of active ingredient into the systemic circulation but also because of possible side-effects, for instance the effects on the heart when beta-blockers are administered for the treatment of wide-angle glaucoma (Schoenwald [1990](#page-31-0); Jtirvinen et al. [1995;](#page-27-0) Meseguer et al. [1996](#page-29-0)).

#### Formulation Approach for Ocular Drug Delivery

Mainly two types of approaches i.e., conventional and controlled drug delivery approaches. Conventional drug delivery approaches such as solutions, suspensions, and ointments, account for almost 90 % of the currently accessible ophthalmic formulations on the market (Lang [1995](#page-28-0); Bourlais et al. [1998\)](#page-25-0). They offer some advantages such as their ease of administration by the patient, ease of preparation and low production costs. However, there are also significant disadvantages, especially with the use of conventional solutions, including the very short contact time with the ocular surface and the fast nasolacrimal drainage, both leading to poor bioavailability of the drug. Nevertheless, conventional eye drops remain the most commonly used dosage forms in ocular delivery. The various drawbacks of conventional ocular drug delivery are described in Table [9.2.](#page-7-0)

<span id="page-7-0"></span>

Fig. 9.2 Systemic representation of drug distribution after topical instillation in human eye

Table 9.2 Disadvantages of the conventional ocular delivery system over controlled or novel drug delivery approaches such as colloidal, viscosity enhancing formulation, in situ gel system and ocular insert

Solution	Suspensions	Ointment
• Rapid pre-corneal	• Drug properties decide	• Sticking of eyelids
elimination	performance	• Poor patient compliance
• Loss of drug by	• Loss of both solution and	• Blurred vision
drainage	suspended solid	• No true sustained effect
• No sustained action		• Drug choice limited by
		partition co-efficient

# Advantages of Modified Ocular Drug Delivery (Wilson [2004](#page-32-0); Vyas and Khar [2008](#page-32-0))

- To circumvent the protective barriers like drainage, lacrimation and diversion of exogenous chemicals into the systemic circulation by the conjunctiva
- To increase the ocular bioavailability of the drug by increasing corneal contact time. This can be achieved by effective coating or adherence to corneal surface so that the released drug effectively reaches the anterior chamber.
- To overcome the side effects of pulsed dosing produced by conventional systems.
- To provide comfort and compliance to the patient and yet improve the therapeutic performance of the drug over conventional systems.
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- To provide sustained and controlled drug delivery.
- To provide targeting within the ocular globe, so as to prevent the loss to other organs.

# Colloidal Carrier Exploited for Ocular Drug Delivery

Colloidal delivery systems, particularly nanoparticulate system are widely employed for the treatment of ocular disease. It would prolong the ocular retention of the drug, allowing the drug to remain in contact with the cornea for a longer duration, sustained release and thus increasing bioavailability. These delivery systems include liposomes, microemulsions/nanoemulsions, and nanoparticulate system etc.

#### Microemulsions/Nanoemulsions

Microemulsions/nanoemulsion (ME/NE) are of interest to the pharmaceutical scientists as promising drug delivery vehicles due to their, small size, simple and inexpensive preparation, and their sterilization easily by filtration (Soukharev and Wojciechowska [2005\)](#page-31-0), low viscosity, greater ability as drug carrier, absorption promoter, and low surface tension of microemulsions, show spreading on the cornea mixing with the precorneal film constituents (Fialho [2004](#page-26-0)), long term stability, low toxicity and irritancy, considerable capacity for solubilisation of a variety of drug molecules (lipophilic and hydrophilic) and great potential in bioavailability improvement (Fialho [2004;](#page-26-0) Soukharev and Wojciechowska [2005;](#page-31-0) Gupta and Moulik [2008](#page-26-0); Djekic et al. [2008;](#page-26-0) Kesavan et al. [2013\)](#page-28-0). It consists of water, oil and a mixture of surfactants and co-surfactant, making a homogeneous, optically isotropic and thermodynamically stable and a small droplet size in the dispersed phase (<1.0 mm) (Soukharev and Wojciechowska [2005;](#page-31-0) Djekic et al. [2008](#page-26-0)).

The penetration enhancing the property of surfactant and co-surfactant of microemulsions increases the drug permeability (drug uptake) and facilitates the passage of drug by the corneal membrane. Moreover, microemulsion/nanoemulsion achieve sustained release of a drug applied to the cornea and higher penetration into the deeper layers of the ocular structure and the aqueous humor than the eye drops. Due to prolonged release of drug from microemulsions, they find an important role in ocular delivery which can decrease the frequency of application.

Kesavan and coworkers developed microemulsions of dexamethasone and found that small stable globule size, acceptable physicochemical behavior, good mucoadhesive properties, and ability to enhance bioavailability through its longer precorneal residence time sustained the release of the drug (Kesavan et al. [2013](#page-28-0)).

Ammar and co-workers described dilutable nanoemulsions of dorzolamide. They developed nanoemulsion of dorzolamide hydrochloride using different oils, surfactants, and co-surfactants by applying pseudo ternary-phase diagrams. These nanoemulsions showed acceptable physicochemical properties and exhibited slow drug release and no sign of inflammation on Draize rabbit. Biological evaluation of dorzolamide hydrochloride nanoemulsions on normotensive albino rabbits indicated that these products had higher therapeutic efficacy, faster onset of action, and prolonged effect relative to either drug solution or the market product (Ammar et al. [2009\)](#page-24-0).

The high-level indomethacin in inner ocular structure, aqueous humor in rabbit eyes was achieved upon topical instillation of chitosan nanoemulsion as compared with indomethacin drug solution. The chitosan nanoemulsion prepared were able to make contact intimately with the cornea thus giving a slow continuing drug release with long-term drug intensity, thus enhancing delivery to both internal and external ophthalmic tissues (Badawi et al. [2008;](#page-24-0) Addo et al. [2010](#page-24-0), [2015\)](#page-24-0). The microemulsions system exploited for ocular delivery of various drugs is shown in Table 9.3

Tayel and co-workers developed controlled-release in situ ocular drug-loaded nanoemulsion using pseudo ternary phase diagrams technique (NE) gels. The formulation contained isopropyl myristate/Miglyol 812), surfactants (Tween 80/Cremophor EL), co-surfactant (polyethylene glycol 400) and water and gellan gum solution (0.2  $\%$ , w/w). The optimized formulation was evaluated in vitro and in vivo and showed transparency, rheological behavior, mucoadhesive force, sustained drug release. On instillation in rabbit eye, it showed good consistency, thermodynamically stable and no irritation and histopathological assessment of ocular irritation. The gel had significantly ( $P < 0.01$ ) higher  $C_{max}$ , delayed  $T_{max}$ ,

Drug	Formulation	Outcomes	Reference
Dexamethasone	Chitosan-coated Microemulsion	Significant Enhanced bioavailability	Kesavan et al. (2013)
Everolimus	Microemulsion	Microemulsion was Stable, 8.64 ng/mL drug reached in 30 min	<b>Baspinar</b> et al. (2008)
Vitamin A palmitate	Microemulsion	Showed better wettability and longer ocular retention	Ma et al. (2008)
Chloramphenicol	Microemulsion	Bioavailability increased compared to eye drops	Ly et al. (2005)
Dexamethasone	Microemulsion	It increased the AUC two-fold higher than conventional eye drops	Fialho (2004)
Pilocarpine	Microemulsion	Significantly Decreased intraocular pressure by 25 $%$	Garty and Lusky (1994)
Timolol	Microemulsion	Significantly increased AUC 3.5 fold than timolol eye drops in aqueous humor	Gasco et al. (1989)

Table 9.3 Microemulsion system exploited for ocular drug delivery

prolonged mean residence time and increased bioavailability as compared to terbinafine hydrochloride eye drops and maintained effective aqueous humor concentrations (Tayel et al. [2013\)](#page-31-0).

#### Nanoparticles (Nps)

Nanoparticles (NPs) are one of the most studied colloidal systems with the object of improving targeting of the drug to organs and increasing drug bioavailability across biological membranes. NPs are sub-microscopic, a colloidal system consisting of macromolecular substances that vary in size from 1 to 1000 nm. In NPs the drug may be dissolved, entrapped, adsorbed, attached or encapsulated into the polymer matrix.

Depending on the method of preparation, it can be classified into two groups: NPs (nanospheres) and nanocapsules and have different release profile of drug (Sahoo and Labhasetwar [2003;](#page-30-0) Omidi et al. [2012](#page-30-0)).

Nanospheres are small solid spheres constituting of a dense solid polymeric network having a large surface area (Omidi et al. [2012\)](#page-30-0). Drugs can either be incorporated into the matrix system or adsorbed on the surface of the nanospheres. On the other hand, nanocapsules have a small central cavity (oily droplet) surrounded by a polymeric membrane and drug can either be incorporated into the matrix system or adsorbed on the surface (Fig. [9.3](#page-11-0)).

NPs represent promising drug carriers for ophthalmic applications. After optimal binding of these particles, the drug absorption in the eye is enhanced significantly in comparison to eye drop solutions owing to the much slower ocular elimination rate of particles. Smaller particles are better tolerated by the patients than larger particles; therefore NPs may comfortably be used for prolonged action ophthalmic delivery systems.

Different polymers can be used to fabricate NPs such as biodegradable polymers like polylactide (PLAs), poly (D, L-lactides), polylactic-co-glycolic acid, E-caprolactone, (Fessi et al. [1989;](#page-26-0) Kumari et al. [2010;](#page-28-0) Aksungur et al. [2011;](#page-24-0) Gupta et al. [2011\)](#page-26-0), polyacrylamide, poly cyanoacrylate and polymethylmethacrylate (Zimmer et al. [1991](#page-32-0); Wenger et al. [2011](#page-32-0)) and natural polymers like CS (Jain et al. [2014\)](#page-27-0), gelatin (Vandervoort and Ludwig [2004\)](#page-32-0), sodium alginate (Zhu et al. [2012\)](#page-32-0), albumin (Zimmer et al. [1994](#page-32-0); Merodio et al. [2002](#page-29-0)) and tamarind kernel polysaccharide (Kaur et al. [2012](#page-28-0)) can be used effectively for efficient drug delivery to the ocular tissues. Many researchers conducted the study on nanoparticulate drug delivery system and found that it prevents the degradation of the drug in an ocular environment and release of drug over an extended period of time which gives the desired effect (Gupta et al. [2010;](#page-26-0) Javadzadeh et al. [2010\)](#page-27-0) (Table [9.4\)](#page-12-0).

Başaran and coworkers showed in an in vivo study that CS-NPs of cyclosporine prolonged release of active agent due to the positive charge of CS. This may be attributed to enhanced residence time at the corneal and conjunctival surfaces (Başaran et al. [2014](#page-25-0)).

<span id="page-11-0"></span>

Sabzevari and coworkers studied the biodegradable poly  $\beta$ -amino ester-NPs of triamcinolone acetonide of anti-inflammatory effects in the rabbit eye. It was concluded that polymeric NPs of triamcinolone acetonide will provide good anti-inflammatory effects as subconjunctival injection method and are better compared to other drug delivery systems (Sabzevari et al. [2013](#page-30-0)).

Wadhwa and coworkers reported that hyaluronic acid (HA) gave the synergistic effect for mucoadhesion in association with dorzolamide hydrochloride or timolol maleate loaded CS-NPs and revealed that CS-HA-NPs show a higher reduction in intraocular pressure level as compared to pure drug solution (Wadhwa et al. [2010\)](#page-32-0).

Agnihotri and Vavia evaluated diclofenac sodium–loaded PLGA-NPs for ocular use and found good biocompatibility with the eye (Agnihotri and Vavia [2009](#page-24-0)).

Jwala and coworkers studied the PLGA-NPs delivery of acyclovir prodrug for the treatment of ocular herpes keratitis. It was found that NPs exhibited a biphasic release behavior i.e., initial burst phase followed by sustained release because PLGA-NPs may retard the degradation of the prodrug in the precorneal area and

<span id="page-12-0"></span>

**Table 9.4** Colloidal system exploited for ocular delivery of different drugs Table 9.4 Colloidal system exploited for ocular delivery of different drugs





Table 9.4 (continued)

further sustain the release in deep corneal tissues. Dispersion of NPs in thermosensitive gels completely eliminated the burst release phase (Jwala et al. [2011\)](#page-27-0).

Basaran and co-workers developed cyclosporine NPs for ocular delivery by spray drying technique by using different grade (molecular weight) of CS and characterized in vitro and in vivo. In vitro study showed sustained release. The in vivo study was done using sheep and sample was analyzed by enzyme immune assay. It showed that prolonged release of active agent from positively charged CS formulations after 72 h of study in both aqueous and vitreous humor samples. This was attributed to increasing in the corneal and conjunctival surfaces residence, so it was concluded that CS possess bioadhesive and permeation enhancing property (Başaran et al. 2014).

Tayel and coworkers formulated terbinafine hydrochloride NPs by positively charged Eudragit® RS 100 and CS polymer in a different ratio by nanoprecipitation technique using Soybean lecithin (1 %, w/v) and Pluronic<sup>®</sup> F68 as surfactant and stabilizer. The NPs have small particles size, zeta potential (73.29–320.15 nm and +20.51 to +40.32 mV respectively) and sustained release profile in simulated tear fluid (pH 7.4). The NPs were physically stable at 4 and 25 °C. NP suspension showed significantly ( $P < 0.05$ ) increased drug mean residence time and improved its ocular bioavailability; 1.657-fold in rabbits aqueous humor as compared to terbinafine hydrochloride eye drops  $(0.25 \%, w/v)$ . To enhance the permeation and residence time of the formulation, by overcoming the limitations associated with protective ocular barriers (Tayel et al. [2013](#page-31-0)).

Pathak and co-workers evaluated novel pH-triggered nano-emulsified in situ gel (NE-ISG) for ophthalmic delivery of fluconazole. Pseudoternary phase diagrams were constructed using capmul MCM (oil phase), tween 80 (surfactant) and transcutol P (cosurfactant) to identify the nanoemulsion region and formation of spontaneous emulsification. The formulations were characterized by permeation, corneal irritation, and corneal toxicity. The optimized nanoemulsion in situ gels showed 3-fold  $(337.67 \text{ µg/cm}^2)$  higher Ex vivo transcorneal permeation than commercial eye drops  $(112.92 \mu g/cm^2)$ . Formulation did not show any visual signs of tissue damage. Hence it was concluded that nanoemulsion gel may offer a more intensive treatment of ocular fungal infections due to higher permeation, prolonged precorneal residence time and sustained drug release along with higher in vitro efficacy, safety and greater patient compliance (Pathak et al. [2013\)](#page-30-0).

Mohammed and coworkers developed and studied the fluconazole loaded chitin nanogels (Flu-CNGs) for the treatment of corneal fungal infections. Flu-CNGs have controlled release pattern at a prolonged period of time. Flu-CNGs are hemocompatible, cytocompatible and also showed very good cell uptake in human dermal fibroblast cells and penetration to the deeper sections of the porcine cornea with no signs of destruction or inflammation to corneal cells (Mohammed et al. [2013\)](#page-29-0).

Du Toit and coworkers developed and compared two specific embodiments of an ocular nanosystem (NS) i.e., CS-poly (e-caprolactone), and the composite lipoidal-polymeric NS for intelligent treatment of inflammatory disorders (specifically ocular afflictions). The anti-inflammatory efficacy, demonstrated by a decrease

in 4-chloro-7-nitrobenzo-2-oxa-1, 3-diazole complex formation (0.0031 Vs  $0.0023$  mmol/L) and decrease in NF $\kappa$ B formation (decrease in relative optical density of 0.2027 vs. 0.2420). It was found that indomethacin lipoidal-polymeric NS has enhanced efficacy in terms of tissue permeation, cell uptake, and anti-inflammatory activity (Du Toit et al. [2013\)](#page-26-0).

Zhou and co-worker developed a self-aggregated nanoparticulate vehicle using an amphiphilic poly (lactic acid)-grafted-CS (PLA-g-CS) copolymer and to evaluate its potential for ocular delivery of amphotericin B. The self-aggregated PLA-g-CS NPs had a core-shell structure with an average particle size of approximately 200 nm and zeta potentials higher than +30 mV with high encapsulation efficiency. The formulation showed sustained release profile and no sign of irritation after instillation in rabbit eye was scored. The significant antifungal activity was observed when compared with amphotericin B against Candida albicans. The in vivo ocular pharmacokinetic study suggested that the PLA-g-CS-NPs have the advantage of prolonging residence time at the ocular surface. The corneal penetration study showed that the PLA-g-CS NPs could penetrate into the cornea (Zhou et al. [2013\)](#page-32-0).

Kaur and co-workers developed the spanlastics which consisted of spans and an edge activator prepared by the ether injection method and characterized by size, shape, and the number of vesicles/ml by optical microscopy, Entrapment efficiency, ex vivo corneal permeability study and MTT assay. Spanlastics formulation is smaller in size (3 times) and showed a better permeation in comparison to a corresponding niosomal formulation and 3-fold increase compared to the marketed formulation due to its elastic nature. The developed system is novel and provides an effective and safe formulation of fluconazole (Kaur et al. [2012\)](#page-28-0).

Başaran and co-workers developed cyclosporine A NPs using cationic Eudragit RS for ocular application. The formulation was characterized in vitro and in vivo. The NPs showed the extended release of incorporated drug. In vivo study showed prolonged residence time off, cyclosporine A in the deeper layers (vitreous humor) of the eye due the positively charged nature of Eudragit RS (Başaran et al. [2011\)](#page-25-0).

Singh and coworkers developed CS-NPs of brimonidine tartrate (BT) for ocular delivery and characterized by TEM, SEM, particle size, and polydispersity index (PI), DSC, IR, and entrapment efficiency which gave an insight of physicochemical interaction that influenced the CS-NPs formation and entrapment of BT. In vitro release showed sustained release. In vivo studies confirmed a significant sustained effect BT-NPs were compared with conventional eye drops. It was concluded that BT loaded CS-NPs could help to reduce dosing frequency by sustained drug release in the treatment of glaucoma (Singh et al. [2011](#page-31-0)).

#### Liposomes

Liposomes were first introduced as drug delivery carriers by Bangham et al. ([1965\)](#page-25-0). They bilayer lipid vesicles composed of altering aqueous compartments enclosed by lipid bilayers (mainly phospholipids and cholesterol) of natural and synthetic origin, and usually within the size range of  $10 \text{ nm}$  to  $1 \mu \text{m}$  or greater (Meisner and Mezei [1995;](#page-29-0) Mishra et al. [2011](#page-29-0); Honda et al. [2013](#page-27-0)). Liposomes have advantages like, improved bioavailability of ophthalmic drugs after topical administration (Monem et al. [2000;](#page-29-0) Kaur and Kanwar [2002;](#page-28-0) Wadhwa et al. [2009](#page-32-0)), no toxicity and low antigenicity (Rooijen and Nieuwmegen [1980](#page-30-0)) stable, biocompatible, biodegradable and metabolize in vivo encapsulating both lipophilic, hydrophilic and amphiphilic molecules (Klibanov et al.[1990;](#page-28-0) Abrishami et al. [2009](#page-24-0)) hydrophilic drugs are entrapped in the aqueous layer, while hydrophobic drugs are stuck in the lipid bilayers. Depending on their size and number of bilayers, liposomes can be classified as small unilamellar vesicles (SUVs, 20 nm to  $\sim$  200 nm), giant unilamellar vesicles (GUVs,  $>1 \mu$ m), and large unilamellar vesicles (LUVs, 200 nm to  $\sim$ 1 µm) and, Multilamellar vesicles (MLV, >0.5 µm) (Jesorka and Orwar [2008\)](#page-27-0). Unilamellar vesicles are composed of single layer of lipid such as lecithin or phosphatidylglycerol encapsulating aqueous interior core whereas Multilamellar vesicle is composed of various layers of lipid bilayers (Kaur et al. [2004](#page-28-0); Mainardes et al. [2005;](#page-29-0) Shen and Tu [2007](#page-31-0); Elbayoumi and Torchilin [2010;](#page-26-0) Dai et al. [2013\)](#page-25-0). Liposome can also be divided into three categories i.e., negatively charged, positively charged and neutral liposomes. The charge present on the liposome is due to charged ingredient used in formulation development. Many researchers investigated that positively charged liposomes exhibited prolonged precorneal retention than negative and neutral charge liposome, due to electrostatic interaction with the negatively charged corneal epithelium, thus enhance drug absorption (Monem et al. [2000;](#page-29-0) Abuzaid et al. [2003;](#page-24-0) Danion et al. [2007;](#page-25-0) Dai et al. [2013\)](#page-25-0).

Hitoshi Sasaki and co-workers reported the surface modification of liposome with poly-L-lysine to enhance the efficiency of coumarin-6 as a model drug and fluorescent marker to the retina (delivery to the posterior segment of the eye). It was found that surface modification with low molecular weight poly-L-lysine significantly increase the delivery as compared to high molecular weight poly-L-lysine, because aggregation of surface-modified liposomes, increased particle size hampered distribution to inner ocular tissue (Sasaki et al. [2013\)](#page-31-0).

Hathout and co-workers reported acetazolamide liposome showed that positively charged and neutral liposomes exhibited greater lowering in IOP and a more prolonged effect than the negatively charged ones. The findings suggest that liposomes enhance corneal penetration of drug by being adsorbed onto the corneal surface, with direct transfer of drug from liposomal to epithelial cell membranes (Hathout et al. [2007](#page-27-0)).

Drug loading capacity and entrapment efficiency of liposomes depend on many factors such as the size of liposomes, concentration and types of lipid used, and physicochemical properties of therapeutic agent itself. Loading capacity and entrapping efficiency are poor for SUVs in comparison to MLVs. However, LUVs provide a balance between size, loading capacity and entrapment efficiency (Jesorka and Orwar [2008;](#page-27-0) Ding et al. [2005](#page-26-0)).

Bochot and coworkers reported the phosphodiester oligonucleotide encapsulated in liposome showed sustained release in the vitreous humor, retina and choroid (37 % even after 15 days) compared with the release from the solution and in a reduced distribution to the non-targeted tissues (sclera, lens) (Bochot et al. [1998a](#page-25-0), [b](#page-25-0)).

Szulc and coworkers reported that the liposomal encapsulation of the hydrophilic drug, pilocarpine, enhanced its pharmacological effect in rabbits when using positively charged vesicles (Szulc et al. [1988](#page-31-0)).

Chetoni and coworkers compared Acyclovir containing positively charged unilamellar liposomes compared with pure acyclovir in solution and marketed acyclovir ointment containing same acyclovir concentrations (0.12 %). It was observed that the liposomal formulation have the highest drug concentration in the aqueous humor of rabbits. The in vitro release profile of liposomal formulation showed sustained release. It was concluded that positively charged liposome bind to negatively charge corneal epithelium enhances the efficacy of acyclovir. These results indicated a significant advantage of acyclovir liposome as an alternative to acyclovir ointment (Chetoni et al. [2004\)](#page-25-0).

Similarly many antiviral drugs like iododeoxyuridine, ganciclovir and acyclovir-loaded in a liposome (immuno-liposome) have been reported for the treatment of ocular herpes infection (herpes simplex virus) (Norley et al. [1986\)](#page-30-0).

#### Niosomes

Niosome is a novel nonionic surfactant, a bilayered vesicular system formed by self-assembly of hydrated surfactant. Like liposome, they deliver a drug in a controlled manner to enhance bioavailability and get therapeutic effect over a longer period of time (Mujoriya and Bodla [2011;](#page-29-0) Karim et al. [2012](#page-28-0)). But the liposome has some drawbacks like high cost and limited shelf life, and these are overcome by developing the new vesicular system niosome (Mahale et al. [2012\)](#page-29-0). The vesicle suspension is a water based vehicle; this offers high patient compliance in comparison with an oily delivery system. They possess an infrastructure consisting of hydrophilic, amphiphilic and lipophilic moieties together and can entrap both hydrophilic and lipophilic drug with a wide range of solubilities. The bilayers of the niosomes protect the enclosed active pharmaceutical ingredient from the heterogeneous factors present both inside and outside the body so can be used for labile and sensitive drugs (Khan et al. [2011\)](#page-28-0). Mainly nonionic surfactant preferred due to their nontoxic, stable, ability to maintain pH up to physiological pH, act as solubilizer, wetting agents, and permeability enhancer, improve the bioavailability, controlled release of drug, nonimmunogenic biodegradable and biocompatible properties. The order of toxicity potential of various surfactant are cationic  $\geq$  anionic > ampholytic > nonionic. It comprised of both polar and nonpolar segments and has high interfacial activity. The formation of bilayered vesicles instead of micelles is dependent on the hydrophilic–lipophilic balance (HLB), chemical structure, critical packing parameter (CPP) of the surfactant. The chain length and size of the hydrophilic head group of the nonionic surfactant affect the entrapment

efficiency of the drug. Nonionic surfactants with stearyl  $(C_{18})$  chains show higher entrapment efficiency than those with lauryl  $(C_{12})$  chains. The Tween series of surfactants bearing a long alkyl chain and a large hydrophilic moiety in combination with cholesterol in a 1:1 ratio have the highest entrapment efficiency (Arunothayanun et al.  $2000$ ). HLB value in the range  $14-17$  is not suitable to produce niosomes whereas one with an HLB value of 8.6 gives niosomes with the highest entrapment efficiency. Entrapment efficiency decreases as the HLB value decreases from 8.6 to 1.7 (Lawrence et al. [1996](#page-28-0); Biswal et al. [2008;](#page-25-0) Shahiwala et al. [2002;](#page-31-0) Kumbhar et al. [2013\)](#page-28-0). For HLB  $> 6$ , cholesterol must be added to the surfactant in order to form a bilayered vesicle and for lower HLB values, cholesterol enhances the stability of vesicles. It is also seen that the addition of cholesterol enables more hydrophobic surfactants to form vesicles, suppresses the tendency of the surfactant to form aggregates, and provides greater stability to the lipid bilayers by promoting the gel-liquid transition temperature of the vesicle of water soluble drugs (Khazaeli et al. [2007\)](#page-28-0). Different non-ionic surfactant used in the manufacturing of niosomes like alkyl ethers and alkyl glyceryl ethers. The component of niosomes are surfactant, ether linked surfactants i.e., polyoxyethylene 4 lauryl ether (Brij 30), polyoxyethylene acetyl ethers (Brij 58) (Carafa et al. [2002;](#page-25-0) Manosroi et al. [2003](#page-29-0); Raymzond et al. [2006\)](#page-30-0), Polyoxyethylene fatty acid esters (Brij 72) (Pardakhti et al. [2007](#page-30-0); Balakrishnan et al. [2009](#page-24-0)), Sorbitan fatty acid esters (Guinedi et al. [2005\)](#page-26-0); Cholesterol; Charge Inducers: increase the stability of the vesicles by induction of charge on the surface preventing the fusion of vesicles due to repulsive forces of the same charge and provide higher values of zeta potential, negative charge inducers are diacetyl phosphate, dihexadecyl phosphate and lipoamine acid and positive charge inducers are sterylamine and cetyl pyridinium chloride (Shan et al. [2008](#page-31-0)). Gemini and Bola surfactants also used for preparation of niosome have, non-toxic, more stable, non-irritating non-haemolytic higher solubility, lower aggregation number and have no drawback like other surfactants such as Polysorbate (Harmful to persons with Crohn's disease) (Hait and Moulik [2002;](#page-27-0) Ikeda [2003](#page-27-0); Yan et al. [2009](#page-32-0); Carol and Keita [2010\)](#page-25-0).

Different methods are used for the preparation of niosomes: such as lipid layer hydration, reversed phase evaporation, micro-fluidization, ether injection, the bubbling of nitrogen, Handjani–Vila method, enzymatic method, single pass technique involving evaporation to produce a lipid film followed by hydration with the hydration medium.

Basha and co-workers developed span 60 based nanovesicles with edged activator Tween 80 (TW80), sodium cholate (SC) or sodium deoxycholate (SDC) of clotrimazole. They found that spherical unilamellar vesicle with  $87.92\%$  entrapment efficiency and displayed sustained antifungal effect over 12 h against *Candida* albicans. The AUC of the optimized formulation was 3.09 times more than that of drug suspension with no sign of irritation after testing for ocular tolerance (Basha et al. [2013](#page-25-0)).

Hamdy and coworkers reported the Span 60-based niosomes for ocular delivery of naltrexone HCl (NTX) and found that 5-fold increase in NTX entrapment efficiency (EE %). Ex vivo transcorneal permeation studies conducted using excised cow corneas showed that niosomes were capable of controlling NTX permeation, enhanced its corneal permeability and practically nonirritant (Hamdy et al. [2011](#page-27-0)).

Akhter and coworker developed ganciclovir mucoadhesive niosomal dispersion. It was revealed from the results that the developed formulations were nonirritant and nontoxic in nature. It was further concluded that ganciclovir nanoformulation could be utilized as a potential delivery system for treatment of ocular infections by topical instillation (Akhter et al. [2011\)](#page-24-0).

Kapadia and coworkers reported niosomes incorporated in situ gel hydrogel for ocular delivery are found effective in controlling the drainage of the formulation from the ocular site and were effective in improving the formulation performance (Kapadia et al. [2009\)](#page-28-0).

Aggarwal and coworkers reported that CS-coated timolol maleate  $(0.25 \%)$ niosomal formulation exhibits more effect on intraocular pressure reduction with less chance of cardiovascular side effects when compared to a marketed formulation timolol solution (TMS; 0.25 %) (Aggarwal and Kaur [2005](#page-24-0)).

Ahmed and coworkers developed the non-ionic surfactant niosome delivery of acetazolamide. The results of this study showed the effect of cholesterol content, the type of surfactant and the method of preparation on the entrapment efficiency and in vitro drug release. The higher entrapment efficiency was obtained with multilamellar niosomes prepared with span 60 and cholesterol in a 7:6 molar ratio. The in vivo evaluation of acetazolamide niosomes in comparison to acetazolamide solution and niosomes showed that acetazolamide multilamellar niosomes most effectively prolonged the decrease in the IOP. Slight irritation could be observed in corneal tissues after long treatment with these niosomes which is reversible and abolished by time (Ahmed et al. [2005](#page-24-0)).

#### Dendrimers

Dendrimers are particularly auspicious potentially usable in numerous applications. It is a tree like highly branched synthetic nanostructured polymers with a three-dimensional structure. These very monodisperse molecules are composed of an initiator core, interior layers of repeating units, and multitudinous terminal groups. Their branched layered architectures displayed a high number of controlled terminal groups which favored biomedical applications (Bai et al. [2006](#page-24-0); Newkome and Shreiner [2008](#page-30-0); Kambhampati and Kannan [2013](#page-28-0)). They are classified by the number of branches and terminal groups. Various cores and units can be used, which can change the properties and shape of the dendrimer. The drug delivery can be achieved by coupling a drug through one of two approaches. Hydrophobic drugs can be complexed within the hydrophobic dendrimer interior to make them water-soluble or drugs can be covalently coupled to the surface of the dendrimer. Dendrimers can either attach to a therapeutic agent by a permanent or separable bond to the end groups or be enclosed within the dendrimer itself. Because of its multiple terminal groups and its polymer backbone, dendrimers can have multiple functionalities. In addition, dendrimers have been shown to be capable of bypassing efflux transporters and enable the efficient transport of drugs across cellular barriers (Yang and Kao [2006;](#page-32-0) Samad et al. [2009](#page-31-0); Abdelkader et al. [2011\)](#page-24-0).

Dendrimeric structures are of particular interest in the field of drug delivery due to their peculiar structural properties including controllable internal cavities bearing specific species for the encapsulation of guest drugs and external periphery with 3D multiple functional moieties for solubilisation, conjugation of bioactive compounds and targeting molecules, and recognition purposes The main successes of dendrimers resulted in their appropriate, reproducible and optimized design parameters addressing physicochemical limitations of classical drugs (e.g., solubility, specificity, stability, biodistribution and therapeutic efficiency) (Jain and Gupta [2008](#page-27-0)) and their ability to overcome biological issues to reach the right target(s) (e.g., first-pass effect, immune clearance, cell penetration, off-target interactions, etc.). Improvement of pharmacokinetic (PK) and pharmacodynamic (PD) behaviors of both drug-dendrimer conjugates and drug–dendrimer encapsulates versus drugs demonstrates their strong potentials in medicine as nano-carriers (Gajbhiye et al. [2009;](#page-26-0) Mintzer and Grinstaff [2011](#page-29-0)). The most commonly used dendrimers in nanomedicine are polyamidoamines (PAMAM), poly(L-lysine), scaffold dendrimers (PLL), polyesters (PGLSA-OH), polypropylimines (PPI), poly(2,2-bis (hydroxymethyl) propionic acid scaffold dendrimers (bis-MPA) and aminobis (methylene phosphonic acid) scaffold dendrimer.

# Contemporary View: Paradigm Shift Towards Mucoadhesive Nano-System

From last 20 years, efforts have been directed in the rational design of ocular drug delivery consisting of mucoadhesive nanocarriers. Thereafter, the plan was directed to generate nanocarriers with a hydrophilic coating with the idea of improving their stability and their interaction with the mucosa (Calvo et al. [1997](#page-25-0); Schipper et al. [1997;](#page-31-0) De Campos et al. [2003](#page-25-0); Ludwig [2005](#page-28-0)). It was proficient via optimization of nanocarrier's ocular drug delivery to obtain long-lasting bioadhesive/residence time by the so-called 'mucoadhesive property' based on entrapment of particles in the ocular mucous layer and interaction of bioadhesive polymer chain with mucin (Henriksen et al. [1996](#page-27-0); Du Toit et al. [2013\)](#page-26-0). Maintenance of the designed nanocarriers in the ocular delivery following topical application is thus decisive to accomplish unremitting drug release and prolonged therapeutic activity. The concept of enhanced ocular resident time and bioavailability of drug through mucoadhesive nanocarriers and positively charged mucoadhesive nano-system and its interaction with the ocular surface are illustrated in Fig. [9.4](#page-22-0).

Therefore, a design of nanocarriers from mucoadhesive materials is crucial for improved retention in the ocular cul-de-sac (Du Toit et al. [2013](#page-26-0)). Ocular mucoadhesion, exclusively, refers to the capability of certain polymers to hold on to the mucous layer casing the conjunctival and corneal surfaces of the eye by

<span id="page-22-0"></span>

Fig. 9.4 Proposed mechanism of mucoadhesion over the corneal surface exploiting mucoadhesive and positively charged nano-system

noncovalent bonds (Round et al. [2002\)](#page-30-0). Washout time for the mucoadhesive polymeric system is reduced since this depends on mucous turnover rate rather than lachrymal discharge turnover rate. A mucoadhesive polymer with plentiful hydrophilic functional group viz sulfate, hydroxyl, carboxyl, amide has found a fundamental role in ocular drug delivery system owing to their adhesion property with precorneal/conjunctival mucin layer via non-covalent bonds, and remaining in place for as long as the mucin is available there. Using this concept, various investigators planned the cationic polymer CS and CMKP as a polymer of choice because of its unique properties, including good enough biodegradability and biocompatibility permeability enhancer by affecting both paracellular and intracellular pathways of epithelial cells in a reversible manner without affecting cell viability or causing membrane wounds (Hirano et al. [1990;](#page-27-0) Takeuchi et al. [1996;](#page-31-0) Calvo et al. [1997](#page-25-0); Felt et al. [1999](#page-26-0); Motwani et al. [2008](#page-29-0)).

For the selection of bioadhesive polymer intended for ophthalmic drug delivery, the viscosity and wetting properties of the polymer are considered. Viscosity measures the resistance to flow which depends on upon its molecular mass, concentration, temperature and shear stress. Polymer showing non-Newtonian behaviour, when incorporated in formulation possessing pseudoplastic behaviour in which viscosity decreases with increasing shear rate (due to blinking and ocular

movement), this results is significantly less resistance to blinking and demonstrates greater acceptance as compared to formulation possessing polymer exhibiting Newtonian flow, no real improvement of bioavailability in polymer showing Newtonian flow system (Schoenwald et al. [1978](#page-31-0); Greaves et al. [1993;](#page-26-0) Ludwig and Ooteghem [1992;](#page-28-0) Van Ooteghem [1995\)](#page-32-0). The mucoadhesive properties of polyacrylic acid hydrogel and their ability to penetrate the mucin at the surface of the eye have been investigated extensively (Ponchel et al. [1987;](#page-30-0) Slovin and Robinson [1993;](#page-31-0) Duchfine et al. [1988;](#page-26-0) Meng et al. [2011](#page-29-0)). There are many mucoadhesive polymers used for designing of a colloidal carrier (Greaves et al. [1993](#page-26-0); Govender et al. [2005](#page-26-0)) in ocular drug delivery. Various mucoadhesive polymers and their mucoadhesive strength are graded in Table 9.5.

Several other synthetic polymers have been examined for the fabrication of mucoadhesive nanocarriers for ocular delivery, for example, Pignatello and coworkers reported the formulation and evaluation of nanocarriers composed of Eudragit-RL100 with good ocular tolerance, and no inflammation or discomfort in the rabbit eye (Pignatello et al. [2002](#page-30-0)). Similarly, Barbault-Foucher and co-workers reported the hyaluronic acid (HA) colloidal system as a natural, non-irritating carrier system that showed pseudoplastic behavior with desirable ocular mucoadhesive properties (Barbault-Foucher et al. [2002\)](#page-25-0).

	Mucoadhesive strength
Anionic	$^{+++}$
Anionic	$^{+++}$
Anionic	$^{+++}$
Cationic	$^{+++}$
Anionic	$++(+)$
Anionic	$^{++}$
Anionic	$^{++}$
Anionic	$++(+)$
Anionic	$++(+)$
Anionic	$+$
Anionic	$^{+}$
Anionic	$^{+}$
Non-ionic	$+(+)$
Non-ionic	$+$
Non-ionic	$^{+}$
Non-ionic	$+$
	Charge

Table 9.5 Various mucoadhesive polymers and their mucoadhesive strength

Mucoadhesive strength  $++$  excellent;  $++$  good;  $+$  poorlabsent

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