

# Similarities and Differences in Stem Cells Between Cancer, Normal, and Injured Brain

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## Introduction

Mesenchymal stem cells (MSCs) have recently garnered tremendous interest within the field of neuroscience because MSCs communicate and interact with the nervous system during brain development, injuries, and even tumor formation. Also, MSCs are easily isolated, cultured, and manipulated. Furthermore, MSCs have several unique characteristics, like immunomodulation, homing to sites of injury and secreting trophic factors. All of these make MSCs as a promising candidate to treat neurological diseases. In this chapter, we are trying to answer several questions involving the relationship between MSC, brain development, and pathology based on an increasing amount of experimental evidences. For example, is MSC-initiated neuronal transdifferentiation possible? Where are MSCs located in the brain? How and why can MSCs be successfully used to treat brain injuries? What are the relationships between MSCs and brain tumors?

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## MSC and the Brain

### *MSC Transdifferentiate into Neural Cells*

Transdifferentiation is a process where different stem cells are capable of crossing the germ layer boundary to form cell types of alternative layers. The transdifferentiation concept has changed the notion that multipotent stem cells are restricted in their potency to form the cell types of a derived germ layer. For example, numerous studies show that mesodermal MSCs could transdifferentiate into ectodermal cells like neurons and astrocytes *in vivo* and *in vitro* [1–9, 20, 21]. It is a promising concept as this will make MSCs a good candidate for treatment of neurodegenerative disease, aiming to replace damaged or lost cells. However, to fully prove the possibility is still challenging.

Last decade, Woodbury et al. [1] and Sanchez-Ramos et al. [2] demonstrated for the first time the concept of MSCs participating *in vitro* in neuronal transdifferentiation. Their studies reported that neurons can be obtained from MSCs treated with chemicals or a cocktail of trophic factors [1, 2]. However, subsequent studies challenged both methods [3, 4] and raised the question as to whether MSCs neuronal differentiation was an artifact.

Until now, four major approaches have been proposed in order to transdifferentiate MSCs into neurons or glial cells *in vitro*:

1. Chemical induction (chemical compounds): For example, Woodbury et al. [1] previously treated MSC with beta-mercaptoethanol, followed by dimethyl sulfoxide (DMSO) and butylated hydroxyanisole (BHA); Deng et al. [5] used dibutyryl cyclic AMP (dbcAMP) and isobutylmethylxanthine (IBMX) for 3 days to induce MSC transdifferentiation; Francesco et al. [6] modified a neuronal induction medium by adding forskolin and valproic acid, but left out BHA. After induction, some of the cells had neuronal-like morphology and expressed neural markers such as neuron-specific nuclear protein (NeuN) and neuron-specific enolase (NSE). However, some follow-up studies questioned the conclusion derived from these protocols. Studies showed the formation of neuronal morphologies did not only take place in MSCs but also in human embryonic kidney (HEK)-293 cells and pheochromocytoma cell (PC)-12 cells after chemical induction [3, 7], which was probably due to the consequences of cell shrinkage and cytoskeleton alterations. Also, some neural proteins were spontaneously expressed on MSCs under standard culture conditions [4]. More importantly, these studies lacked functional electrophysiological evidence that shows excitatory properties of typical neuron.
2. Trophic factors: Brain-derived neurotrophic factor (BDNF) [2] or basic fibroblast growth factor (bFGF) [8, 9] combined with/without retinoic acid (RA) has been shown to induce neural differentiation. After induction, cells showed neuronal morphology and expressed neural marker-NeuN, microtubule-associated protein 2 (MAP2), or glial marker–glial fibrillary acidic protein (GFAP). An electrophysiological study demonstrated  $K^+$  current and  $K^+$  channels on the MSCs exposed to trophic factors FGF and EGF [10]. Furthermore, Cho et al. [11] confirmed that

MSCs treated with RA had spontaneous electrical activity and postsynaptic current, which is a unique characteristic of neuronal cells. Although this trophic factor induction appears to be promising, the function of neural-like MSC-derived cells still needs to be tested before translating this method to clinical usage, especially function on synaptic transmission and neurotransmitter regulation. Also, the host microenvironment may affect the characteristic of neural-like MSC after transplantation, and maintenance of the neuronal property after trophic factor induction needs to be further evaluated.

3. Genetic manipulation: A study showed that upregulating BDNF gene induced neuronal transdifferentiation of MSC following RA induction, which also increased the survival rate of MSCs compared to trophic factor induction alone [12]. A high ratio of neurons also can be obtained by Notch intracellular domain (NICD) transfection of MSCs followed with treatment with three trophic factors, bFGF, forskolin, and ciliary neurotrophic factor [13, 14]. Na<sup>+</sup>, K<sup>+</sup> current and action potentials, as well as expression of a neural marker, were found on these cells. Meanwhile, another study has confirmed that MSCs formed neurospheres and successfully differentiated into neurons, also by NICD transfection [13]. Most importantly, these cells improved functional recovery of “stroke” rats after transplantation and showed extended long neurites [13]. Upregulating expression of neurogenin1 (Ngn1) was also sufficient to induce MSCs differentiate into neurons [15], with expression of neuron-specific proteins and voltage-gated Ca<sup>2+</sup> and Na<sup>+</sup> channels. Not only upregulating proneural gene expression could achieve neuronal transdifferentiation of MSCs, but also knocking down neuronal-related gene has shown the possibility of inducing MSCs into neurons which involved downregulating gene RE-1 silencing factor (REST) using siRNA [16]. Taken together the results of these studies indicated gene manipulation plus trophic factor induction as a better strategy for MSC transdifferentiation with long-term maintenance of neuronal characteristics and better electrophysiological function compared to trophic factor alone. However, more risk exists with viral gene transfection for clinical usage. Therefore, the long-term effects of gene manipulation of such cells need to be further evaluated *in vivo* and *in vitro*.
4. Coculture of MSCs with neural cell types: A few studies showed that coculture with several neuronal types of cells, like cerebellar granule neuron [17], or astrocyte [18], can induce MSCs to differentiate into neurons with morphologic and molecular evidence. However, the effect may be due to trophic factors secreted by cocultured cells thus making it hard to tell the role of direct cell–cell interaction in this process.

Although tremendous progress has been made in MSCs neural transdifferentiation studies *in vitro*, to completely fulfill the ‘neuron’ definitions on single neural-like MSC is still challenging, like whether synaptic transmission of neuron induced from MSC can be regulated by neurotransmitters.

Evidence from *in vitro* studies indicated that the neuronal microenvironment could be important factors for MSCs neural induction. Indeed, this MSCs neural transdifferentiation phenomenon has been demonstrated from *in vivo* studies. Pioneer studies from Azizi et al. [19] and Kopen et al. [20] transplanted human

MSCs into adult brain or lateral ventricle of neonatal mice in which the engrafted MSCs survived and migrated throughout the brain. Some MSCs expressed neural or glial marker (GFAP). Furthermore, rat MSCs were infused into embryonic rat brain to evaluate their survival and phenotypic expression [21]. After infusion, engrafted MSCs migrated along the radial glial process and expressed neural marker NeuN. Although these results were exciting, unfortunately, *in vivo* studies cannot totally avoid the concern that neural transdifferentiation of MSC may be caused by spontaneous cell fusion, even though it happens at an extremely low frequency, as it has been shown that MSCs can fuse with neural cell types spontaneously [22]. So *in vivo* studies need to better separate engrafted MSCs and host cells using various methods and demonstrate the function of neuron-like MSCs in the future.

### ***Brain Pericytes***

MSCs were initially isolated from the bone marrow of an adult organism. However, subsequent studies demonstrated MSCs can also be obtained from nonmarrow tissues, such as adult muscle [23], adipose tissue [24], even brain [25]. Using the same culture method for bone marrow-derived MSCs, MSCs were successfully isolated from mouse brain with expression of mouse MSCs marker as well as their ability to undergo mesodermal differentiation [26]. Similarly, a group of cells, isolated from human brain ventricular wall and neocortex, expressed MSCs marker and have true multilineage potential toward a mesodermal and neuroectodermal phenotype [27].

Although MSCs can be isolated retrospectively from different tissues, the native distribution of MSCs has long been a mystery. Two landmark studies [28, 29] published in 2008 partly unveiled the reason for this mystery. Thus, Crisan et al. [28] identified a subset of pericytes from multiple adult tissues, which expressed CD146, neural/glial antigen 2 (NG2), and platelet-derived growth factor (PDGF)-R $\beta$ , and exhibited the same osteogenic, chondrogenic, adipogenic, and myogenic potential as MSCs. This indicated that the pericyte may be integral to the origin of the elusive MSCs [28]. Since then, the characteristics and function of pericyte have been reexplored and recognized, as this type of cells was first found 140 years ago [30]. Pericytes are perivascular cells, which form an incomplete layer on the abluminal surface of capillary endothelial cells. In addition, the known functions of pericytes include vascular support, participating in angiogenesis, matrix protein synthesis, vessel stabilization, and regulation of vascular tone [31]. Most importantly, recent studies showed that pericytes have been regarded as a potential reservoir of stem cells for adult tissue repair.

In the central nervous system, the pericyte is an important part of the neurovascular unit (NVU), which consists of neural cells and vascular cells. The pericyte is involved in the regulation of angiogenesis, vascular tone, and blood–brain barrier function. They are mainly distributed around cerebral capillaries and cover more than 30% surface of capillaries [30]. Paul et al. [27] indicated that adult brain pericytes have all the features of MSCs, such as expressing MSCs immunological markers, CD105<sup>+</sup>, CD90<sup>+</sup>, CD73<sup>+</sup>, as well as mesenchymal differentiation potential [27].

## **MSCs and Brain Injury**

### ***Therapeutic Roles of MSC for Brain Injury***

MSC transplantation in human patients began in 1995, aimed at promoting the survival of engrafted hematopoietic stem cell. Based on the safety of MSC transplantation and multiple potentials of MSCs, subsequent studies have been performed to investigate the therapeutic role in numerous diseases and disorders, including brain injury.

Stroke and traumatic brain injury (TBI) are the leading causes of adult disability worldwide, arising from the loss of neurons and impairment of neurological function. Unfortunately, there is limited treatment for these diseases. Preclinical studies, using MSCs transplantation to treat stroke and TBI, began early this century. Li et al. [32] transplanted bone marrow-derived MSCs into “stroke” mouse brain. They found that the engrafted MSCs survived and improved functional recovery. From that, numerous follow-up studies tried to figure out the optimized source of MSCs, delivery routes, time window, and dosage for MSCs transplantation for stroke and TBI.

### **Delivery Routes**

Three major routes have been investigated for stroke treatment: intracerebral [33–36], intracarotid [37, 38], and intravenous [39–41]. A growing number of studies showed MSCs administration decreased infarct size and improved neurological outcome in “stroke” animals through all three routes. However, it remains unclear which route is more efficient based on existing experimental evidence, as these studies lacked a direct comparison with different delivery routes of MSCs. One meta-analysis, based on preclinical studies of MSCs for ischemic stroke, showed that the effect size of intracerebral administration was larger than with the intravenous one [42]. This indicated that direct transplant of MSCs into brain may be more efficient, but it is invasive and needs complex neurosurgery. Furthermore, intracerebral [43, 44] and intravenous [43] MSCs transplantation have also been evaluated for TBI treatment. Both routes of MSCs administration improved functional recovery after TBI. However, which route is ideal remains unclear.

### **Cell Resources**

MSCs, derived from various resources, have been investigated for stroke and TBI treatment, including bone marrow [45, 46], placenta [47], peripheral blood [48], adipose [45, 46], and umbilical cord blood [49, 50]. All of these cells have been shown beneficial impact on neural injury after transplantation. However, few studies have compared the efficacy of different MSCs. There was one study that indicated adipose-derived MSCs maybe a preferable source than bone marrow-derived MSCs for stroke therapy because of higher proliferative activity, more vascular endothelial growth factor (VEGF) secretion, and easier access [45].

## Timing

Time of MSCs delivery after stroke varied from 1 h to 1 month [42]. Several studies published recently indicated 24 h after stroke is optimized for MSCs intraarterial or intravenous transplantation with improved behavior and more cell migration to infarcts [51–53]. Also 24 h is clinically reasonable, when patients tend to be stabilized. For TBI, 24 h following TBI were typically used for MSC transplantation, based on known study results [54]. However, the optimized time (i.e., window) for transplantation remains unclear, since it is hard to decide based on current available information.

## Dosage

The MSCs dosage used for stroke preclinical studies ranges from  $4 \times 10^5$  to  $1.2 \times 10^8$  cells/kg [54]. Chen et al. [41] evaluated the relationship between cell dose and efficacy. High dosage ( $3 \times 10^6$ ) was more efficient than low dosage ( $1 \times 10^6$ ) for MSCs intravenous transplantation on the cerebral ischemic rat with better behavioral recovery. Also MSCs transplantation dose dependently restored cerebral blood flow (CBF) and blood–brain barrier (BBB) function [55]. However, various quantity and presentation of cell dosage make it harder to compare the efficacy among different preclinical studies and to directly guide clinical studies. Thus, dosage used for TBI studies varied from  $6 \times 10^6$  to  $3.2 \times 10^8$  cells/kg depending on the administration route [54]. However, optimized dosage for stroke and TBI therapy still needs to be explored.

## *Mechanisms of MSCs Cell Therapy on Brain Injury*

### Immunomodulation

MSCs undergo crosstalk with the innate and adaptive immune system. Their immunomodulatory functions depend on the microenvironment, through cell contact and independent mechanisms (reviewed by Blanc et al. [56]). Stroke and TBI induce a strong inflammatory response that leads to subsequent recruitment of leukocytes to the infarct zone. MSCs transplantation significantly reduced inflammation and subsequent cell death. Ohtaki et al. [57] used microarray to detect gene changes after MSCs transplantation on global cerebral ischemic mice. Over 10 % of proinflammation genes were downregulated after human bone marrow-derived MSCs transplantation and three neuroprotective genes were upregulated [57]. Similarly, engrafted MSCs reduced brain inflammation and suppressed microglia and macrophage activity after TBI [44, 58]. The resolution of the postinjury inflammatory milieu will also ameliorate brain self-repair, as evidence has showed that MSCs reduced glial scar formation after stroke or TBI [59].

More interestingly, engrafted MSC-induced immunomodulation is not limited to injured brain and it affects peripheral immune organs as well. Thus, a recent study showed a dramatic spleen distribution of MSCs after intravenous administration to rat after induction of stroke [60]. Engrafted MSCs not only had a remote anti-inflammation role on brain but also reduced TNF- $\alpha$  expression in spleen [60].

## Trophic Factors

Although numerous studies have confirmed the neural transdifferentiation potential of MSCs *in vitro*, solid evidences that indicate a therapeutic role for MSCs on stroke and TBI is due to cell replacement is still lacking. On the other hand, bystander effects of MSCs transplantation play a more important role in brain recovery, especially involving secreted trophic factors by engrafts. *In vitro* studies showed cocultured with stroke and TBI brain extracts upregulated MSCs synthesis and expression of trophic factors, BDNF, NGF, VEGF, and HGF *in vitro* [61]. Meanwhile, MSCs transplantation increased trophic factors expression not only in engrafted cells but also in host brain tissue after stroke [62]. Also, the expression of host NGF and BDNF genes was significantly increased after intravenous administration of MSCs for TBI [63]. Furthermore, compared to MSC alone, BDNF gene-modified human MSCs resulted in increased BDNF expression and enhanced the therapeutic effect of cell therapy on stroke [64]. As Li and Chopp et al. [65] described, transplanted MSCs work as ‘small molecular factories’ by continually secreting trophic factors for brain repair. Maybe that’s why cell therapy is more efficient than single molecular therapy.

## Angiogenesis

Angiogenesis is an important event related to the long-term repair and restoration process of the brain after brain injury. Cultured MSCs continually secrete angiogenic cytokines including, VEGF, bFGF, and placental growth factor (PLGF) [66–69]. Thus, MSC transplantation promoted VEGF secretion, VEGF receptor 2 (VEGFR2) expression, and angiogenesis in the ischemic boundary zone (IBZ) after stroke [70, 71]. A recent study also indicated that only exosomes derived from cultured MSCs were able to enhance angiogenesis in animals following stroke [72]. Furthermore, effect of brain angiogenesis after stroke was greater after transplantation of PLGF gene-modified MSCs, compared to nonmodified MSCs [73].

In addition to secreting angiogenic factors, MSCs also have the potential to differentiate into an endothelial lineage [74]. This unique property could be beneficial for vascular repair after brain injury. Indeed, Liao et al. [50] observed a subset of engrafted cells that differentiated into endothelial cells after intracerebral transplanted human umbilical-derived MSCs (UC-MSCs) in a rat model of stroke. Also, the UC-MSCs treatment increased vascular density and VEGF expression in ipsilateral hemisphere [50].



## MSC and Cancer

### *Cancer Stem Cells (CSCs)*

A tumor or cancer can be viewed as an aberrant organ initiated by a tumorigenic cancer cell that acquired the capacity for indefinite proliferation through accumulated mutation [75]. Two hypothetical models, stochastic and hierarchal, have been proposed to explain tumor initiation and development [76]. Cancer-stem-cell theory derived from the hierarchal model asserts that only a rare subset of cells within the tumor have the ability to generate new tumors [75]. In 1997, the confirmatory experimental evidence for this theory was demonstrated by Bonnet and Dick [77]. Since then, numerous studies have verified the existence of cancer stem cells in various kinds of cancer, for example, breast cancer [78], brain tumor [79, 80]. Compared to normal stem cells, cancer stem cells have similar properties of self-renewal and differentiation, but cancer stem cells usually have genomic or karyotypic mutation and aberrant differentiation [80]. The concept of cancer stem cell has propelled researchers in a direction to better understand the oncogenesis and to rethink the strategy for cancer therapy.

### *MSC and Brain Tumor*

Glioblastoma multiforme is an aggressive and invasive neoplasm characterized by extensive neovascularization [76]. Several groups demonstrated tropism of MSC to gliomas by implanting MSCs into gliomas of animals and tracking the migration of MSCs [81, 82]. This tumor-specific migratory pattern makes MSCs a promising cellular vehicle for delivery of therapeutic agents, although whether tumor cells recruit endogenous MSCs remain to be clarified. Meanwhile, glioblastoma stem cells (GSC) are able to transdifferentiate into pericytes or MSC-like cells [83], contributing to the maintenance of microvasculature itself [84, 85]. In addition, the selective elimination of GSC-derived pericytes disrupted the neovasculature and potently inhibited tumor growth [84].

The effect of native MSCs on tumor growth is still controversial. On the one hand, MSCs have been shown to suppress tumor growth of glioma [81, 86], through suppressed tumor angiogenesis [86]. On the other hand, others have reported MSC implantation can promote tumor growth [87], partly by supporting tumor vasculature [82, 88], and by reducing tumor cell apoptosis [87].

Even though the relationship between brain tumor/CSCs and MSCs is controversial, several studies indicated MSCs could be regarded as vector to deliver therapeutic molecules [89, 90], based on the homing property of MSCs to tumor. Sasportas et al. [89] gene-modified MSC to secrete cytokine tumor necrosis factor apoptosis ligand (TRAIL). In vitro and in vivo studies showed TRAIL-MSCs successfully inhibited growth of glioma through inducing tumor cell apoptosis [89]. Similar



results have been verified by another group [90]. Moreover, the results of this study demonstrated that antitumor effect of TRAIL-MSC was better than TRAIL alone using adenovirus-mediated delivery [90]. Other antitumor molecules, such as HSV-tk [91], IL-17 [92], and IFN- $\alpha$  [93], have also been investigated and have shown reduced tumor development. All of these studies indicated using MSCs as a vehicle to target tumor is a promising strategy for future tumor therapy.

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