Chapter 24 Biomarkers in Specific Disease States: Cardio-Oncology

Ugochukwu O. Egolum and Daniel J. Lenihan

 Abstract Cancer related mortality has been dramatically reduced in recent decades due to more effective cancer treatments, especially chemotherapy and radiation therapy. However, the use of these treatment modalities may be limited by the risk of significant cardiac damage. The current standard for cardiac safety assessment, in order to limit cardiotoxicity, predominantly focuses on serial cardiac imaging to identify changes in left ventricular ejection fraction (LVEF). Unfortunately, this method is imperfect and frequently is a late finding. Potentially permanent cardiac damage manifesting as a significantly reduced LVEF has to occur before any important change in management is undertaken. One alternative and complimentary approach is the appropriate use of cardiac biomarkers to identify subclinical cardiac damage allowing for earlier detection and institution of cardio-protective interventions. This chapter will highlight the clinical use of cardiac biomarkers, specifically natriuretic peptides, cardiac troponins, as well as emerging biomarkers, for the detection of cardiac injury in the context of cardio-oncology.

 Keywords Biomarker • Cardio-Oncology • Heart failure • Cardiac toxicity • Anthracyclines • Troponin • Natriuretic peptides

 Cancer and cardiovascular diseases are by far the most common diseases resulting in mortality in the developed world $[1]$. The last decade has seen a profound increase cancer therapeutic options and the efficacy of those treatments $[2]$. Consequently, there is an ever increasing cohort of patients who are long-term survivors of childhood and adult onset cancer $\lceil 3 \rceil$. As this patient population ages, there is an increasing overlap with concomitant cardiovascular disease (CVD) $[4-6]$. It appears that CVD in survivors may be an epidemiological consequence of aging but also is

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related to the toxicity of chemotherapy, radiation therapy or other treatments for cancer $[6, 7]$ $[6, 7]$ $[6, 7]$. Furthermore, a substantial portion of cancer patients may have preexisting CVD which can be unmasked or exacerbated by increasingly specific chemotherapeutic agents with cardiotoxic effects. Cardiac damage may occur in a myriad of ways including arrhythmias, myocardial ischemia, hypertension, left ventricular (LV) dysfunction and heart failure (HF) $[8-11]$. Additionally, there are a host of vascular complications that may arise during and after treatment [12]. Encouragingly, there is also evidence that early detection of cardiovascular damage with initiation of cardiovascular based medical therapy can prevent and/or enhance cardiac recovery in the case of LV dysfunction but also prevention of toxicity with control of vascular complications $[13-16]$. The main limitation is related to detecting cardiovascular dysfunction at an early stage and initiating therapy before permanent damage occurs. The emphasis, thus, has been on cardiac imaging modalities including echocardiography with and without LV deformation (strain), multiple gated acquisition scan (MUGA) and cardiac magnetic resonance imaging (cMRI) to hopefully detect damage at an early point $[17-21]$. Unfortunately, the detection of a significant change in LVEF by any of these modalities is generally a late finding and usually indicates substantial underlying cardiac damage and remodeling [6, 22, 23]. The challenge at the present time is to be able to identify cardiac damage at the earliest stage prior to a reduction in LVEF and initiate therapy or modify dosing to prevent LV dysfunction. One way of achieving this goal is to utilize cardiac biomarkers to identify those at risk for developing cardiotoxicity. Overall, the advantage of cardiac biomarkers is that it is generally much less expensive, can be followed with ease in a serial fashion, and are less subject to interpretative variation [22]. In this chapter we will examine the data supporting the use of cardiac based biomarkers to enhance safety and cardio-protection during therapy for cancer.

B-type Natriuretic Peptide

 B-type natriuretic peptide (BNP) is a neurohormone polypeptide secreted by the myocytes of the ventricles in response to increased wall stress from volume expansion and pressure overload and is secreted along with a 76-amino acid N-terminal pro BNP (NT-proBNP), that is biologically inactive, and eventually cleaved to the active 32 amino acid BNP [[24 \]](#page-16-0). The hemodynamic effects of BNP include a decrease in afterload and increase in natriuresis; thus, counteracting some of the pathophysiologic mechanisms responsible for the progression of HF. Robust data from the Breathing Not Properly trial (BNP trial) demonstrated that BNP was able to differentiate congestive heart failure (CHF) from non-CHF causes of dyspnea with good specificity and high negative predictive values. Subsequent studies also showed the utility of BNP for prognosis and risk stratification in the setting of HF $[25, 26]$. Additionally, NT-proBNP–guided optimal medical therapy is associated with a reduced incidence of cardiovascular death, new episodes of decompensated HF, and reduction in NT-proBNP that also correlates with LV remodeling and recovery [27]. Based on the aforementioned clinical utility, it comes as no surprise that the natriuretic peptides, BNP/NT-proBNP, can be useful in the setting of early detection of potential cardiotoxicity due to its ability to detect subclinical disease, direct medical therapy and assist with prognostication even prior to a decline in LVEF [28, 29].

 Multiple studies have looked at the utility of perturbations in BNP/NT-proBNP levels in patients with cancer undergoing treatment with chemotherapy or radiation (Table [24.1 \)](#page-3-0). In one such study, patients receiving high dose anthracyclines for breast cancer, NT-proBNP was measured at baseline and immediately following each treatment cycle $[30]$. There was a high degree of correlation between a rise in NT-ProBNP and a reduction in LVEF. Similar findings with natriuretic peptides were replicated in other studies primarily with the use of anthracycline-based chemotherapeutic regimens [28, [29](#page-16-0)]. The utility of BNP to assist in identifying those patients at risk for cardiotoxicity and LV dysfunction goes beyond the acute setting. Persistently elevated BNP is predictive of late onset adriamycininduced cardiotoxicity and correlates with cardiac dysfunction detected over time [6, [28](#page-16-0)–30]. Furthermore, a baseline elevation of BNP can mark a patient at high risk for the development of cardiotoxicity during subsequent rounds of chemotherapy $[16]$.

 Aside from predicting subsequent cardiotoxicity predominantly with anthracycline- based treatment, natriuretic peptide (NP) levels may indicate a potential therapeutic benefit. In one study, children with acute lymphoid leukemia (ALL) were randomized to receive doxorubicin with or without dexrazoxane (a cardioprotective free radical scavenger) and those patients given dexrazoxane tended to have reduced NT-proBNP concentrations indicating a cardioprotective effect (47 vs. 20% , $p = 0.07$) [31]. It is especially important to have cardioprotective strategies in the pediatric population that have increased long-term survival into adulthood $[5, 32]$. Additionally, NT-proBNP levels were lower and the LV mass was reduced in a pediatric patient population nearly 4 years after anthracycline treatment $(p = 0.003)$ [32]. Consequently, NT-BNP/BNP levels appear to guide providers in identifying those specific patients at risk for toxicity as well as indicating what therapeutic interventions may reduce the impact of cardiac dysfunction with anthracyclines.

 The utility of natriuretic peptides (NP) to assist in detecting cardiac damage during cancer therapy can extend to a broader population than just those receiving known substantial cardio-toxins like anthracyclines. For instance, those patients receiving chest radiation, those at risk for development of atrial fibrillation while receiving anti-VEGF based therapy, those at risk for HF with tyrosine kinase inhibitors, and potentially those receiving combination therapy for multiple myeloma all may be populations in which NP may be useful $[16, 33-35]$. Elevated BNP levels correlate with an increased risk for radiation induced cardiomyopathy (early and late) and are directly related to the amount of radiation delivered [33]. Furthermore, NT-proBNP levels are used to stage and predict outcomes in patients with AL amyloidosis as well as monitor response to therapy [36–38].

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Table 24.1 (continued)

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BNP brain natriuretic peptide, NT N-terminal, AC anthracycline, RT radiation therapy, HDC high-dose chemotherapy, T trastuzumab, LVEF left ventricular
ejection fraction, HE heart fai *T* trastuzumab, *LVEF* left ventricular *BNP* brain natriuretic peptide *, NT* N-terminal, *AC* anthracycline, *RT* radiation therapy, *HDC* high-dose chemotherapy, ejection fraction, *HE* heart failure, *ALL* acute lymphoblastic leukemia, *FS* fractional shortening, *PFR* peak filling rate

 It should be noted that NP, although broadly useful and predictive, must be interpreted within the entire clinical context at the moment of sampling for any given patient. For example, a rapid increase in BNP/NT-proBNP in a patient undergoing chemotherapy easily could be related to a concomitant process, such acute kidney injury or volume overload, without evidence of cardiac dysfunction or toxicity. In this context, the clinician is encouraged to make a careful assessment of the volume status of the patient and attempt to define the presence of other prominent comorbidity such as sepsis [39].

Troponin

Troponin I (TnI) and troponin T (TnT) are both cardiac specific proteins that form an integral part of the cardiac contractile unit $[16, 22]$. As biomarkers they are highly specific and sensitive for cardiac damage and are widely used in the diagnosis/treatment of acute coronary syndromes as currently supported by major guideline documents $[40]$. Elevations in troponin correlate with cardiac myocyte damage/ death, however, it does not distinguish the mechanism of injury. As such, cardiac troponin has found utility in the screening of asymptomatic patients for cardiotoxicity during and after treatment $[41-43]$. A summary of the major clinical trials exam-ining the utility of troponin in cardio-oncology is provided in Table [24.2](#page-8-0) [6].

 One of the larger studies to examine this topic enrolled 703 patients with various advanced malignances who were receiving high dose chemotherapy [\[41](#page-17-0)]. TnI was checked at initiation of therapy, and 1 month after. Cardiac function was measured and documented with echocardiography at baseline, and 1, 2, 6, and 12 months post therapy. Thirty percent of the patients had early TnI elevation, and a third of subsequently showed elevated TnI at 1 month. Reductions in ejection fraction were predicted by both early $(r=0.78, p \le 0.001)$ and persistent elevation at 1 month $(r$ $=0.92$, $p < 0.001$). Not only did elevated troponin predict decline in EF, persistent elevation was able to predict the development of symptomatic HF which suggests that troponin elevation closely correlates with the cardiotoxic effects of the chemotherapeutic agents [\[44](#page-17-0)]. Having a positive troponin at any time predicted future cardiovascular events with a positive predictive value of 84 % and negative predictive value of 99 %. There is a suggestion that troponin I elevation may be able to predict cardiac dysfunction with other cardio-toxic therapy, such as trastuzumab, but the data has not been as consistent as initially reported [16, [45](#page-17-0), 46]. Additionally, troponin T has shown utility in the care of patients with *light chain amyloidosis* [\[37](#page-17-0) , [38 ,](#page-17-0) [47 \]](#page-17-0). Troponin levels are predictive of outcomes and decreases correlate with response to therapy and can be used to monitor disease activity in the post therapy patient with amyloidosis.

 The utility of troponin to detect cardiac damage in patients who survived prior treatment of childhood cancer is a hopeful goal but has not been well established to date [48–50]. However, a study in patients with ALL treated with anthracyclines and dexrazoxane had a reduced incidence of elevated troponin underscoring the protec-

Table 24.2 Role of cardiac troponins in the evaluation of chemotherapy and radiation-induced cardiotoxicity **Table 24.2** Role of cardiac troponins in the evaluation of chemotherapy and radiation-induced cardiotoxicity (continued)

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* From Tian et al. [[6](#page-15-0)], with permission

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Tn troponin, AC anthracycline, *RT* radiation therapy, HDC high-dose chemotherapy, T trastuzumab, LVEE left ventricular ejection fraction, ALL acute lympho-
blastic leukemia *Tn* troponin, *AC* anthracycline, *RT* radiation therapy, *HDC* high-dose chemotherapy, T trastuzumab, *LVEE* left ventricular ejection fraction, *ALL* acute lymphoblastic leukemia

tive effect during active treatment $[31]$. In another study elevated troponin correlated with lower LV mass at 4 years post treatment [44]. Although early troponin elevation during therapy was predictive of cardiac dysfunction, post therapy troponin did not correlate with risk of late onset cardiotoxicity [[48 \]](#page-17-0).

 Despite the utility of troponin as outlined above, there appears to be mixed data as it relates to the utility of troponin levels for predicting radiation induced cardiomyopathy $[51, 52]$.

Emerging Biomarkers

 There has been a desire to identify an effective biomarker to detect cardiac injury during cancer treatment and therefore other markers have been investigated.

Myeloperoxidase (MPO)

 MPO is a proatherogenic enzyme produced by neutrophils that is indicative off oxidative stress and lipid peroxidation. Its prognostic role in acute coronary syndrome and heart failure has been suggested $[46, 53–55]$. In the context of cancer chemotherapy, a panel of biomarkers including NT-proBNP, growth differentiation factor (GDF)-15, placenta growth factor (PlGF), c reactive protein (crp), soluble fms-like tyrosine kinase receptor (sFlt)-1, and galectin (gal)-3 in breast cancer patients receiving antracyclines and herceptin were examined [\[46](#page-17-0)]. In patients with 90th percentile MPO interval change from baseline the probability of cardiotoxicity at 15 months was 34.2 %, and the risk of future cardiac toxicity increased with each standard deviation increase in MPO concentration (HR 1.34, *p* = 0.048). Although, the most useful biomarker tested was high sensitivity troponin I, MPO was also modestly useful in detection of cardiac damage.

C-reactive Protein (CRP)

CRP is an acute phase reactant produced in response to inflammation $[56, 57]$. Although its role in CAD and HF is well documented, the utility of CRP in the cardio-oncology patient population has mixed results [58, [59](#page-18-0)]. High-sensitivity CRP (hsCRP) concentrations \geq 3 mg/l predicted impaired LVEF with 92.9% sensitivity and 45.7% specificity (PPV, 40.6% ; NPV, 94.1%) in a cohort of breast cancer patients. HsCRP elevations occurred >70 days before echocardiographic changes were seen. As such, hsCRP maybe able to risk stratify patients and delineate who needs more stringent follow up [58]. Another study, in a survivorship cohort, found higher CRP values regardless of exposure to cardiotoxic treatment but poor correlation with LV mass,

wall thickness, and dimension $[50]$. This suggests that hs-CRP may be a surrogate for overall inflammation or tumor burden in addition to drug effects.

Total Antioxidant Status (TAOS)

 Total antioxidant status is a sum total of antioxidants in the blood and could potentially be used to monitor for cardiac toxicity in anthracycline based therapy [49]. A study of 29 children undergoing anthracycline based therapy for acute leukemia showed statistically significant decrease in TAOS which correlated with higher total doses of anthracyclines and subsequent reduction in LVEF.

Nitric Oxide (NO)

NO is generated by NO synthase from L-arginine in numerous cell types and is a key regulator of cardiomyocyte contractility [60]. Dysregulated NO synthesis is implicated in the pathophysiology of doxorubicin-induced cardiotoxicity $[6, 60]$. One study demonstrated significantly higher plasma levels of total nitrite, a stable product of NO, in children that received doxorubicin and in those with abnormal LVEF as compared to healthy controls and an increased NO may be an indicator of subclinical cardiotoxicity.

 In addition to the markers discussed above, future directions include heart-type fatty acid-binding protein, cytochrome C, glycogen phosphorylase isoenzyme BB and circulating microRNAs deserve mention as potential targets.

Conclusion

 With a dramatic improvement in the overall survival and outcomes of patients with cancer, cardiac damage or exacerbation of underlying cardiac disease by cancer therapy has become a critically important issue for cancer survivors and clinicians. Screening for cardiotoxicity, as per current guidelines, focuses predominantly on serial noninvasive imaging. This is costly, subject to variation in reader interpretation, and often detects changes when cardiac remodeling has already taken place. Cardiac biomarkers have emerged as an inexpensive means to serially follow patients and to potentially detect early subclinical cardiac toxicity. Biomarkers can delinate low versus high risk patients allowing for intensive screening in the later group. As such, biomarkers, can potentially reduce costs associated with unnecessary serial screening. Early, detection of subclinical cardiotoxic effects, facilitate changes in the chemotherapy regimen and/or the initiation of cardioprotective medical regimen (eg. Beta blocker) to prevent permanent cardiac remodeling.

In summary, biomarkers offer significant advantages in the detection, treatment and prognostication of cardiotoxicity. Multiple cardiac biomarkers have been studied and shown utility in this setting. However, as we look to the future with ever increasing array of available chemotherapy agents, further prospective randomized trials need to be conducted with the incorporation of cardiac biomarkers to improve our understanding of their optimal role. Eventually, cardiac biomarkers maybe implemented in every day practice and serve to replace or complement cardiac imaging.

 Here we will present a patient recently seen at our medical center and illustrate how we applied biomarkers to their medical care.

Case

 A 65 y/o Caucasian male, WL, with medical history of hypertension and dyslipidemia was referred to our heart failure clinic from an outside general cardiology clinic for evaluation of difficult to manage heart failure with preserved ejection fraction (HFpEF). Despite diuretic therapy, he continued to have orthopnea, edema and dyspnea with minimal activity. His echocardiogram showed mild concentric LVH, normal ejection fraction, grade II diastolic dysfunction and no significant valvular pathology. His electrocardiogram showed low voltage and a pseudoinfarct pattern raising suspicion for infiltrative cardiomyopathy. Laboratory evaluation showed a monoclonal protein spike and a bone marrow biopsy was notable for 15 % clonal plasma cells and no amyloid. A cMRI demonstrated global subendocardial delayed enhancement consistent with amyloidosis. A cardiac catheterization was negative for significant coronary disease with biopsy positive for congo red staining-confirming a diagnosis of AL cardiac amyloidosis. His diuretic regimen was adjusted and with careful attention to salt/fluid intake his HFpEF symptoms improved.

 He was referred to the Oncology clinic for further evaluation. At that point his troponin I was 0.12 ng/ml \langle <0.03 ng/ml), BNP 569 pg/ml \langle <100 pg/ml) and serum lamda light chain 27.19 mg/dl (0.57-2.63 mg/dl). At this point he was started on induction therapy with 6 cycles of bortezomib and dexamethasone. During induction therapy he developed worsening heart failure symptoms and a repeat echocardiogram showed ejection fraction of 35 %. His troponin I and BNP increased to 0.21 ng/ml and 1006 pg/ml respectively. His cardiac dysfunction was presumed secondary to bortezomib. Based on prior reported studies this is generally reversible [52]. We adjusted his medical regimen with the addition of carvedilol, spironolactone and uptitration of his diuretic regimen. We continued and completed induction therapy. After approximately 6 months his LVEF was back to normal. Additionally, troponin I and BNP decreased to 0.13 ng/ml and 340 pg/ml respectively, signally cardiac recovery and reduction in disease activity. He subsequently underwent consolidation therapy with reduced dose melphalan and stem cell transplantation. During post-transplant follow up, troponin I normalized, BNP decreased to

Fig. 24.1 The time course of BNP elevation (pg/ml) during the diagnosis and successful treatment of a patient with AL amyloidosis. (*A*) diagnosis, (*B*) bortezomib + dexamethasone, (*C*) LVEF drop to 35 %, (D) LVEF recovery/stem cell transplant, (E) heart failure symptoms improved

Fig. 24.2 The time course of Troponin I elevation (ng/ml) during the diagnosis and successful treatment of a patient with AL amyloidosis. (*A*) diagnosis, (*B*) chemotherapy + dexamethasone, (*C*) LVEF drop to 35 %, (*D*) LVEF recovery/stem cell transplant, (*E*) heart failure symptoms improved

 120 pg/ml and serum lambda light chain decreased to the normal range <1.66 mg/ dl. Overall, the biomarker activity was consistent with no amyloid disease activity and no ongoing cardiac damage. We will continue to follow him closely checking BNP and troponin levels periodically (Figs. 24.1, 24.2, and 24.3).

Fig. 24.3 The time course of lambda light chain levels (mg/dl) during the diagnosis and successful treatment of a patient with AL amyloidosis. (A) diagnosis, (B) chemotherapy + dexamethasone, (C) heart failure symptoms improved

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