

Prenatal Systemic Hypoxia-Ischemia and Oligodendroglia Loss in Cerebellum

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Abstract Hypoxic-ischemic (HI) injury is an important cause of death and disabilities. Despite all improvements in neonatal care, the number of children who suffer some kind of injury during birth has remained stable in the last decade. A great number of studies have shown alterations in neural cells and many animal models have been proposed in the last 5 decades. Robinson et al. (2005) proposed an HI model in which the uterine arteries are temporarily clamped on the 18th gestation day. The findings were quite similar to the ones observed in postmortem studies. The white matter is clearly damaged, and a great amount of astrogliosis takes place both in the gray and white matters. Motor changes were also found but no data regarding the cerebellum, an important structure related to motor performance, was presented. Using this model, we have shown an increased level of iNOS at P0 and microgliosis and astrogliosis at P9, and astrogliosis at P23 (up to 4 weeks from the insult). NO is important in migration, maturation, and synaptic plasticity, but in exacerbated levels it may also contribute to cellular and tissue damage. We have also evaluated oligodendroglia development in the cerebellum. At P9 in HI animals, we found a decrease in the number of PDGFR α + cells and an apparent delay in myelination, suggesting a failure in oligodendroglial progenitors migration/maturation and/or in the myelination process. These results point to an injury in cerebellar development that might help to explain the motor problems in HI.

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Abbreviations and Acronyms

| | |
|----------------|---|
| CNS | Central nervous system |
| CP | Cerebral palsy |
| CREB | cAMP response element-binding protein |
| ED1 | Antibody that labels macrophage/microglia |
| GFAP | Glial fibrillary acid protein |
| HI | Hypoxia ischemia |
| MBP | Myelin basic protein |
| NADPH-d | Nicotinamide adenine dinucleotide phosphate reduced diaphorase |
| NADPH-d+ | Nicotinamide adenine dinucleotide phosphate reduced diaphorase positive |
| NM | Non-manipulated |
| NMDA | <i>N</i> -methyl-D-aspartate |
| NO | Nitric oxide |
| NOS | Nitric oxide synthase |
| nNOS | Neuronal nitric oxide synthase |
| iNOS | Inducible nitric oxide synthase |
| PDGFR α | Platelet derived growth factor receptor alpha |
| P0 | Postnatal day 0, here considered as the day of birth |
| P2, 7, 9, 23 | Postnatal day 2, 7, 9, and 23 |
| SHAM | Surgical control |
| SMV | Superior Medullary Vellum |
| uANOVA | Univariate analyses of variance |
| WHO | World health organization |

General Considerations

Hypoxic-ischemic (HI) brain injury is an important cause of death and disabilities around the world, both in developing and developed countries (Vannucci and Vannucci 2005; Volpe 2009). According to WHO, about one million deaths occur yearly due to birth issues (Lawn et al. 2005). Despite all efforts at neonatal care in recent decades, the number of children who suffer injury during birth has remained stable during the last decade (Nelson et al. 2003). After perinatal insults, infant brains suffer oligodendrocyte loss, hypomyelination, astrogliosis (Marín-Padilla 1997), and perturbed cortical development (Marín-Padilla 1999). The mechanisms underlying these pathological changes remain unclear.

Cerebral palsy (CP), a chronic debilitating disorder of impaired motor development, is strongly associated with perinatal brain injury (Kuban and Leviton 1994;

Volpe 2001, 2003). Various perinatal brain insults have been associated with CP, including prematurity and chorioamnionitis (Perlman et al. 1996; Verma et al. 1997; Spinillo et al. 1998; Wu and Colford 2000; Terzidou and Bennett 2001). Although full term infants can develop CP, it occurs more frequently in premature infants (Cummins et al. 1993).

Because various insults at different gestational stages induce elevated levels of cytokines and disrupt brain development, it has been proposed that aberrant cytokine expression underlies perinatal brain injury (Adlino 1993). The pathogenesis of perinatal brain insults is, however, likely to involve numerous pathways associated with cytokines and oxygen-free radical species (Haynes et al. 2003; Folkert et al. 2004), and their relative contributions have yet to be defined.

Perinatal brain injury invariably involves the gray and white matters, with the balance between them depending on the stage of cerebral developmental and vessel maturation. In order to study HI insult and its mechanisms of damage, several animal models have been proposed. Each has focused on a particular developmental stage, trying to mimic one of the many types of brain injury that occurs in humans (Fig. 1).

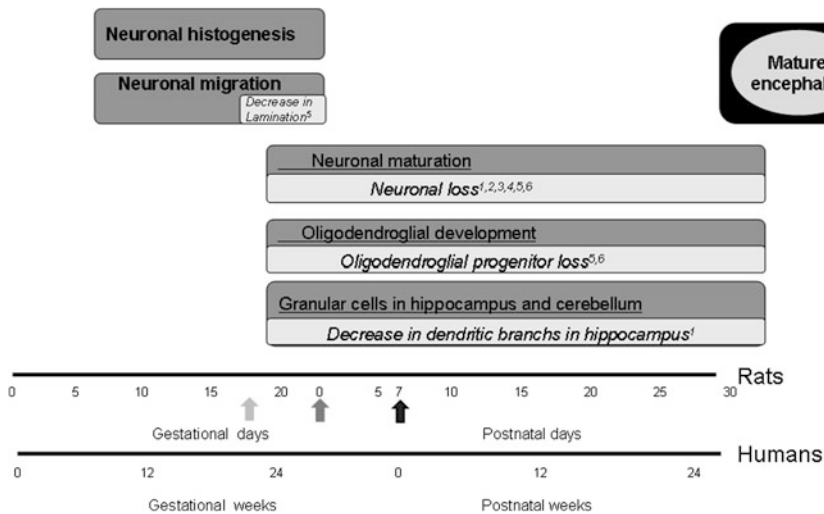


Fig. 1 Timeline of brain development at the cellular level and the temporal relationship of rat and mouse versus human brain development. *Arrows* point to ages that HI insult in rats/mouse in prenatal life (*light gray*), at birth (*medium gray*) and postnatal life (*dark gray*) are most often performed. Light gray boxes summarize the major effects observed in rodent HI models with the numbers indicating representative references: 1—Tashima et al. (2001); 2—Grojean et al. (2003); 3—Loeliger et al. (2003); 4—Dieni and Rees (2003); 5—Robinson et al. (2005); 6—Olivier et al. (2005)

The first model was proposed by Levine (1960) using adult rats with a permanent ligation of the carotid artery. This model was particularly useful to study stroke. Rice et al. (1981) adapted this model in postnatal day (P)7 rats with the carotid ligation either permanent or temporary, creating one of the most used models in HI field. This age was chosen because it is comparable to newborn humans regarding several parameters, including cell proliferation rate, cell migration, and establishment of layering patterns in the cortex. This model has been useful for understanding several mechanisms of HI injury. However, it excludes close interaction between mother and fetus.

To include the relationship between mother and fetus, Wigglesworth proposed a model of growth restriction in 1964, in which one uterine artery was permanently ligated on embryonic day (E)17, inducing ischemia and probably hypoxia, yet the purpose of the study was exactly to show ischemia. Pups from the ligated uterine horn exhibited growth restriction at birth, in both rats and pigs (Wigglesworth 1964; Minkowski et al. 1981; Morand et al. 1982; Chanez et al. 1993; Jensen et al. 1996; Sadiq et al. 1999).

In a growth restriction model, Olivier et al. (2005) found damage to white matter like that in humans who suffer perinatal hypoxia. The growth-restricted animals did not recover weight, even in adulthood. Moreover, there were diffuse white matter lesions, increased cell death, and macrophage invasion, indicating increased inflammation. At P7, they observed a loss of pre-oligodendrocytes and deficient myelination. Those characteristics resemble what is seen in preterm infants with birth complications.

Another group in 2005 presented an HI model in which all four uterine arteries were clamped for 15, 30, or 45 min on gestation day 18 (Robinson et al. 2005). The results were similar to those of the growth restriction model. Additionally, only 45 min of HI mimicked the neuropathology of what is seen in humans (Marín-Padilla 1997, 1999): white matter astrogliosis, oligodendrocyte death, axonal injury, and altered cortical cerebral layering. Robinson et al. (2005) also described increased proinflammatory cytokines both in amniotic fluid and frontal lobe of the fetuses 4 and 24 hours after the insult. Motor performance also declined, for locomotion diminished in the open field test and steps shortened in the stride length test in adult animals.

The authors pointed out that this walking pattern is characteristic of children who develop cerebral palsy and its spastic gait. This systemic rodent prenatal HI insult accurately models human perinatal brain injury in several important ways, including functional association of altered brain development with motor delay, and consequently provides novel insights into the pathogenesis of human perinatal brain insults. As the cerebellum has the major importance in motor learning, we wish to obtain information concerning the effects of HI using a rodent systemic prenatal model. After Robinson et al. (2005) found that 45 min is the time that mimics human pathology, we ligated the four uterine arteries for this period.

Nitric Oxide Synthase Levels and Distribution Were Impaired in a Prenatal Systemic HI Model

Enhancement of nitric oxide synthase (NOS) isoform expression has been reported in CNS areas after HI events (Kaur et al. 2006; Vexler and Yenari 2009). NO overproduction contributes to excitotoxicity, resulting in cell death and axonal damage (see Chapter “[Glial Cells and Integrity of the Nervous System](#)”). We measured the levels of neuronal (nNOS) and inducible (iNOS) isoforms at P0 (day of birth, i.e., 5 days after the HI insult). There was no difference in the level of nNOS protein in the cerebellum of HI animals compared to SHAM controls, as shown in Fig. 2a. However, the level of iNOS was significantly increased in HI animals (Fig. 2b).

Glial cells have been suggested as the major source of this NO overproduction (Kashiwagi et al. 2003). NADPH-d histochemistry labels the NOS family (all three isoforms), the enzymes responsible for NO production. The number of NADPH-d+ cells is significantly increased in cerebellar white matter of young rats (Savignon et al. 2012). At P9 there were no differences in the number of NADPH-d+ cells in the cerebellar white matter comparing non-manipulated (NM), SHAM, and HI animals. However, at P23, the number of NADPH-d+ cells decreased in NM and SHAM animals, remaining significantly higher in HI animals (as discussed in Fig. 5 of Savignon et al. 2012).

We identified NADPH-d+ cells in the white matter using specific markers for macrophage/microglia (ED1) or astrocytes (GFAP). At P9, both SHAM and HI

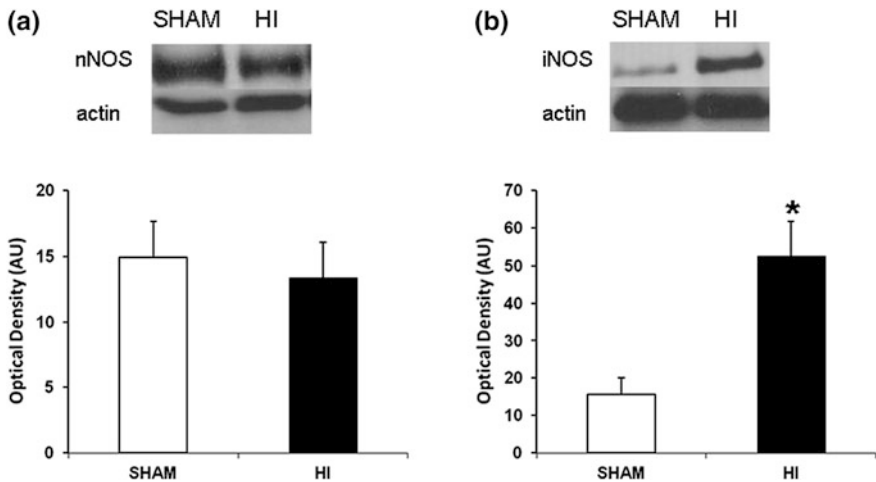


Fig. 2 Increase in iNOS following HI injury. Both nNOS and iNOS levels are shown in the rat cerebellum at birth (P0) in SHAM and HI group. Data are represented as means ± SEM in arbitrary units (AU), resulting from 3 independent experiments. **a** nNOS–SHAM = 14.9 ± 2.7; HI = 13.4 ± 2.7, $p = 0.7036$. No significant difference was observed between groups ($p > 0.05$). **b** iNOS–SHAM = 15.6 ± 4.5; HI = 52.6 ± 9.1, $p = 0.0220$. HI group presents a significant increase in iNOS levels ($p < 0.05$)

animals presented NADPH-d+/ED1+ cells (Fig. 3a, b—arrows) and NADPH-d+/GFAP+ cells (Fig. 3c, d—arrows). In both groups, the morphology of NADPH-d+/ED1+ cells is typical of reactive microglia, i.e., small and rounded cells. At P23, both groups still presented NADPH-d+/ED1+ cells in the white matter (Fig. 3e, f—arrows), with the same morphology as in P9. However, at P23, HI animals still presented NADPH-d+/GFAP+ cells similar to reactive astrocytes (Fig. 3h—arrows), whereas SHAM animals did not present NADPH-d+/GFAP+ cells morphologically similar to reactive astrocytes, but instead showed typical GFAP+ astroglia (Fig. 3g—arrowheads), indicating that the insult has long-term effects on tissue (Savignon et al. 2012).

These results, mainly those found at P9, were not a complete surprise since the surgery procedure and anesthesia may account for an inflammation component or other damage. It is worth noting that microglia/astrocytes preferentially express the iNOS isoform when reactive, as in cases of injury and inflammation, typifying what is called microgliosis and astrogliosis (see Chapter “[Glial Cells and Integrity of the Nervous System](#)”). Thus, we have shown that the cerebellar tissue presents an environment hostile to other cells such as oligodendrocyte progenitors. It has been shown in the last two decades that NO is important in migration, maturation, and synaptic plasticity of a variety of cerebellar cells. However, it is also a contributing factor to cellular and tissue damages if that production is greatly increased, as it occurs during inflammation.

Oligodendroglia Loss in the Cerebellum

Neurons, oligodendrocytes, and particularly their progenitors are most affected by HI (Back et al. 2002a, b). As mentioned in Chapter “[Oligodendrocytes: Functioning in a Delicate Balance Between High Metabolic Requirements and Oxidative Damage](#)”, oligodendroglia progenitors do not have a mature enzymatic system to deal with the substantial free radicals delivered in HI events, particularly by microglia (Thorburne and Juurlink 1996; Le Mellédo et al. 2004). NO produced by glia expressing iNOS (You and Kaur 2000; Park et al. 2002) may also be responsible for this vulnerability. It has been demonstrated that both neurons and oligodendrocytes release considerable glutamate to the extracellular compartment, and this together with increasing NO, may cause excitotoxicity and cell death (Back et al. 2007). Activated microglia express glutamate receptors (Gottlieb and Matute 1997) and may be modulated by the excess extracellular glutamate, producing more NO.

Oligodendrocytes are derived from various subpopulations of progenitors (see Chapter “[Glial Cells and Integrity of the Nervous System](#)” for further reading on oligodendrocyte development). In the subventricular layer one arises to populate forebrain (cortex) and midbrain (thalamus and hypothalamus), while another in the ceiling of the fourth ventricle populates hindbrain (cerebellum, pons, and brain

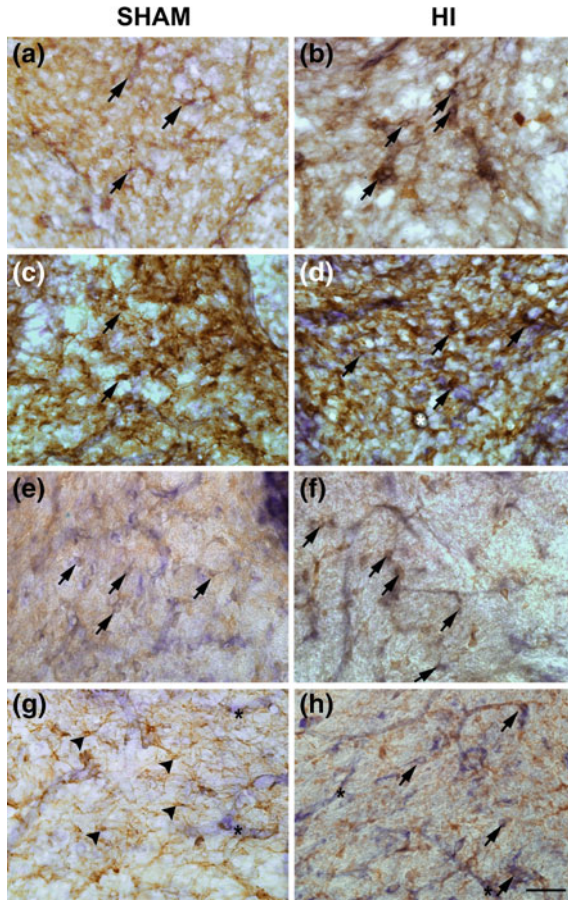


Fig. 3 NOS activity remains associated with reactive astrocyte end feet at blood vessels in P23 HI cerebellum. Double labeling with NADPH-d histochemistry (*dark-blue*) and microglia or astroglia immunoidentification (*brown*) in the vermis region of the cerebellar white matter (0.5 mm mediolateral distance) during development. **a–d** P9; **e–h** P23. **a, c, e** and **g** (SHAM); **b, d, f** and **h** (HI); **a–b** and **e–f** double labeled with anti-ED1 antibody; **c, d** and **g, h** double labeled with anti-GFAP antibody. In both groups at P9, we can observe small, rounded NADPH-d+/ED1+ cells (**a** and **b**) or NADPH-d+/GFAP+ cells (**c** and **d**), as indicated by *arrows*. In **d**, observe a blood vessel, transversally cut, which presents NADPH-d staining, surrounded by GFAP+ astrocytic endfeet (*asterisk*). At P23, observe small rounded NADPH-d+/ED1+ cells in both groups, as indicated by *arrows*. HI animals display NADPH-d+/GFAP+ cells with typical reactive astrocyte morphology (*arrows*). SHAM animals do not present NADPH-d+/GFAP+ cells resembling reactive astrocytes. *Arrowheads* point to typical GFAP+ astrocytes, with no NADPH-d labeling. Notice the presence of NADPH-d+ blood vessels (*asterisks* in **g** and **h**) that are surrounded by GFAP+ astrocytic processes in HI animals (**h**) but not in SHAM animals (**g**). Calibration bar: 50 μ m. Reproduced from Savignon et al. (2012)

stem). There is some disagreement regarding the timing of these events. In the forebrain it is early and in the hippocampus and cerebellum it is quite late.

Reynolds and Wilkin (1988) showed the sequential changes in oligodendroglia during development, beginning as nondifferentiated cells in the superior medullary vellum (SMV) and the base of cerebellum, which then populate the whole organ. Others described the phenotypic and antigenic changes that oligodendroglia undergo during differentiation both in vitro as in vivo (Pfeiffer et al. 1993; Baumann and Pham-Dinh 2001).

Oligodendroglial progenitors express alpha-receptor to platelet-derived growth factor (PDGFR α) (Baumann and Pham-Dinh 2001). Data from our laboratory showed that in both HI and control animals the density of PDGFR α + progenitors at P2 is about 20 cells/100 μm^2 (unpublished data), escalating to about 50 cells per field at P9, and returning at P23 to the same levels as at P2.

At P2, there were no differences in the number of PDGFR α + cells in cerebellar white matter in both groups (Fig. 4a, b). This was not a complete surprise, since rodent cerebellum develops rapidly postnatally. At P9, there was a significant increase in PDGFR α + cells in both groups when compared to P2 (uANOVA; $F = 126.34$, $p < 0.001$). However, HI animals showed a significant lower number in this progenitor subpopulation compared to SHAM animals (Fig. 4c, d), indicating that a prenatal HI event somehow affected the proliferation rate and/or survival of oligodendroglial progenitors. At P23, in both groups a significant reduction in PDGFR α + counting was observed in both groups (Fig. 4e, f). This was expected, since the rate of proliferation diminishes and the progenitors start to differentiate, downregulate PDGFR α , and form myelin. Figure 4g depicts the cell counting results for each group.

Myelin basic protein (MBP), a marker of mature oligodendrocyte and myelin (see Chapters “Glial Cells and Integrity of the Nervous System” and “Oligodendrocytes: Functioning in a Delicate Balance Between High Metabolic Requirements and Oxidative Damage”), was also impaired in the prenatal HI systemic model. At P9 in SHAM animals, MBP+ fibers were observed close to the calbindin-positive Purkinje cell layer (arrows in Fig. 5a), whereas in HI animals those MBP+ fibers were clearly located in the main white matter tracts (Fig. 5b), suggesting an apparent delay in myelination in the cerebellum. As development proceeds, oligodendrocytes/myelin were found in all extents of the granular layer in both groups. Yet, it appears that some failure occurred in the oligodendroglial progenitors migration/maturation and/or in the myelination process, since we found non-myelinated gaps in the granular layer (asterisks in Fig. 5d). This occurred only in HI animals. This pattern was maintained in HI animals until adulthood (Fig. 5f). Together, these results point to an injury in cerebellar oligodendroglia development that might help to explain the motor problems observed in HI animals.

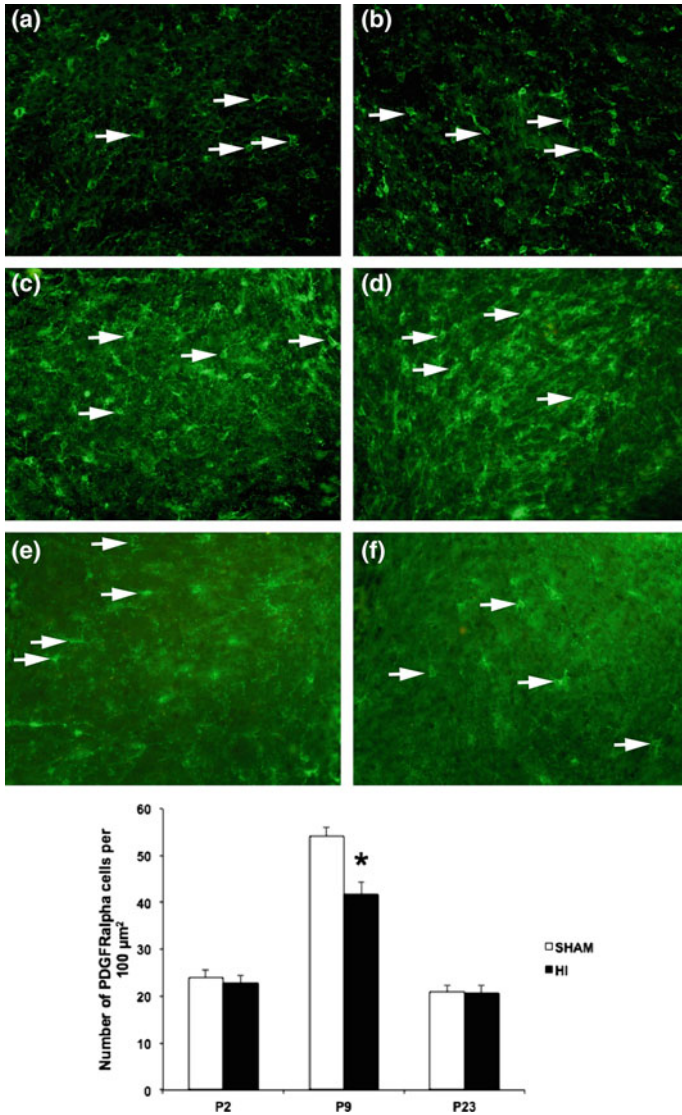


Fig. 4 Number of PDGFR α + cells in the cerebellar white matter of SHAM and HI animals at P2, P9 and P23. At P2, we have not observed differences in the number of PDGFR α + cells in cerebellar white matter (a, b). At P9 (c, d) there is a significant increase in the number of PDGFR α + cells in both groups when compared to P2 (uANOVA; $F = 126.34$, $p < 0.001$). However, HI animals have a lower number of PDGFR α + cells than SHAM at P9 (d, but better shown in g). At P23, a significant reduction in PDGFR α + counting was observed in both groups (e, f) when compared to P9. g Depicts the cell counting for each group, with density measured as number per 100 μm^2 . Calibration bar: 50 μm

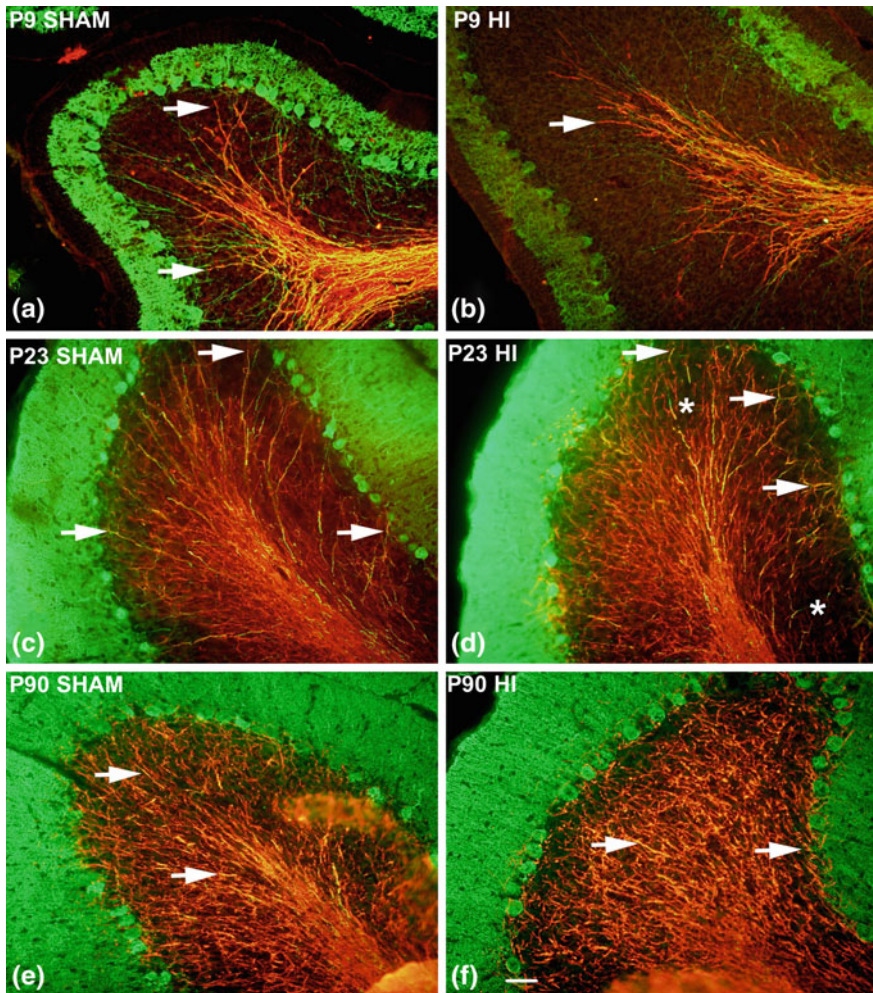


Fig. 5 Myelination is delayed in HI animals. Myelin basic protein (MBP) is labeled in *red* and calbindin, in Purkinje cells, in *green*. At P9, there is an apparent delay in myelination in the cerebellum. In SHAM animals, some MBP+/Calbindin+ axons are close to Purkinje cell layer (*arrows* in **a**), while in HI animals those axons are not (**b**). From P23 (**c**, **d**) until adulthood (**e**, **f**), MBP+/Calbindin+ occupy all of the granular layer (*arrows*) in both SHAM and HI animals. Calibration bar: 100 μ m

Concluding Remarks

Multiple types of injury resulting from preterm birth in humans, including systemic HI, converge to hinder brain cell survival, particularly for immature oligodendrocytes and cerebral neurons (Volpe 2009). Impaired brain cell survival and

differentiation continue for a prolonged period after the initial injury in animal models (Robinson et al. 2005; Mazur et al. 2010). At the time of the HI insult and in the days following, when the levels of cytokine and other inflammatory modulators are still elevated (Robinson et al. 2005), several glial and neuronal progenitor populations are entering the cerebellum parenchyma through the prospective cerebellar white matter. These progenitors, especially of oligodendrocytes, are more vulnerable to HI events because they lack the enzymatic complexes capable of dealing with the great amount of free radicals produced during HI. NO forms free radicals if produced in large amounts and is toxic to oligodendrocyte progenitors. In addition, elevated NO may trigger *N*-methyl-D-aspartate (NMDA)-mediated intracellular Ca⁺⁺-influx and CREB-mediated transcription of apoptotic proteins such as Bax, Bad, and Bcl-x1, causing neuronal death (Zubrow et al. 2002a, b; Mishra et al. 2006).

Our results showed that in this systemic model of prenatal HI, oligodendroglial differentiation in the cerebellum was impaired, with a reduction in the number of PDGFR α -cells (oligodendrocyte progenitors) and mature oligodendroglial cells, as demonstrated by reduced MBP immunostaining. This supports this model for use in devising new therapeutic strategies for HI insults.

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