
Otto Neugebauer's Vision for Rewriting the History of Ancient Mathematics

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The common belief that we gain "historical perspective" with increasing distance seems to me utterly to misrepresent the actual situation. What we gain is merely confidence in generalizations which we would never dare make if we had access to the real wealth of contemporary evidence.

— Otto Neugebauer, *The Exact Sciences in Antiquity*
(Neugebauer 1969, viii)

Abstract

Historians of mathematics have long exalted the achievements of the ancient Greeks as symbolized by a single name, Euclid of Alexandria. The thirteen books that comprise his *Elements* hold a place within Greek mathematics comparable to the Parthenon in its architectural tradition. Appreciation for Greek classicism was long reinforced by the formal ideal of Euclidean geometry, a style that persisted until well into the nineteenth century. Not until the early decades of the twentieth did a new picture of ancient mathematics emerge, advanced by the pioneering researches of Otto Neugebauer on Egyptian and especially Mesopotamian mathematics. Although grounded in detailed analysis of primary sources, Neugebauer's work was guided by a broad vision of the exact sciences in ancient cultures that predated the Greeks. He thereby broke with the traditional Greco-centric understanding of European science. Neugebauer's historical views and methodological approach, which elevated mathematical techniques while diminishing the importance of philosophical commentary, came under strong attack after he immigrated to the United States in 1939.

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Otto Neugebauer (1899–1990) was, for many, an enigmatic personality. Trained as a mathematician in Graz, Munich, and Göttingen, he had not yet completed his doctoral research when in 1924 Harald Bohr (1887–1951), brother of the famous physicist, invited him to Copenhagen to work together on Bohr’s new theory of almost periodic functions. Quite by chance, Bohr asked Neugebauer to write a review of T. Eric Peet’s (1882–1934) recently published edition of the Rhind Papyrus (Neugebauer 1925). In the course of doing so, Neugebauer became utterly intrigued by Egyptian methods for calculating fractions as sums of unit fractions (e.g. $3/5 = 1/3 + 1/5 + 1/15$). When he returned to Göttingen, he wrote his dissertation on this very topic. In 1927 he published the first of many researches on Babylonian mathematics and astronomy, a pioneering study on the evolution of the sexagesimal (base 60) number system (Neugebauer 1927). These works received high praise from leading Egyptologists and Assyriologists, helping to launch Neugebauer’s career as a historian of ancient mathematics and exact sciences. Indeed, he would go on to revolutionize research in these areas, leaving a deep imprint on our understanding of these ancient scientific cultures to this very day.

Yet Neugebauer’s general orientation as a historian seems strangely remote from today’s perspective, so much so that even scholars who know his work well and respect it highly have great difficulty identifying with his methodological views. One who worked closely with him during his later career at Brown University, Noel Swerdlow (1941–), gave a most apt description of the “zwei Seelen” that dwelled within Otto Neugebauer and that colored all his work:

At once a mathematician and cultural historian, Neugebauer was from the beginning aware of both interpretations and of the contradiction between them. Indeed, a notable tension between the analysis of culturally specific documents, whether the contents of a single clay tablet or scrap of papyrus or an entire Greek treatise, and the continuity and evolution of mathematical methods regardless of ages and cultures, is characteristic of all his work. And it was precisely out of this tension that was born the detailed and technical cross-cultural approach, in no way adequately described as the study of “transmission,” that he applied more or less consistently to the history of the exact sciences from the ancient Near East to the European Renaissance.

But if the truth be told, on a deeper level Neugebauer was always a mathematician first and foremost, who selected the subjects of his study and passed judgment on them, sometimes quite strongly, according to their mathematical interest. (Swerdlow 1993, 141–142)

Taking up this last point, one can easily appreciate why Neugebauer’s approach to history persuaded few, while provoking some of his detractors to take a firm stand against his methodological views and what they felt was a deleterious influence on studies of the ancient sciences.¹ Neugebauer firmly believed in the immutable character of mathematical knowledge, which meant that his field of

¹The sharpest attack against Neugebauer’s methodological approach came from Sabetai Unguru in (Unguru 1975); for this text and reactions to it, see (Christianidis 2004). I discussed this within the larger context of the historiographical debates from that period in (Rowe 1996). See also the

historical inquiry, the exact sciences, differed from all other forms of human endeavor in one fundamental respect: in this realm there was no room for historical contingency. The methodological implications Neugebauer drew from this were simple and clear: once an investigator had cracked the linguistic or hieroglyphic codes that serve to express a culture's scientific knowledge he or she then suddenly held the keys to deciphering ancient sources. And since the content of these sources pertained to mathematical matters, one could, in principle, argue inductively in order to reconstruct what they originally contained, namely a fixed and determinable pattern of scientific results. Clearly, this type of puzzle solving held great fascination for Neugebauer, and he practiced it with considerable success in his research on Mesopotamian astronomy, beginning in the mid-1930s.

Neugebauer's work on Greek mathematics during these politically turbulent times was far scantier. Nevertheless, his views on Greek mathematics formed a central component of his overall view of the ancient mathematical sciences. When it came to purely human affairs, Neugebauer professed that he held no *Weltanschauung*, and he took pains to make this known to those who, like Oskar Becker (1889–1964), mingled ideology with science (see Siegmund-Schultze 2009, 163). Regarding historiography, on the other hand, Neugebauer adopted a rigorously empirical approach that worked well in some cases, but often led him to make sweeping claims based on little more than hunches. Not surprisingly, his views on historiography had much to do with the special context in which he first experienced higher mathematics (Rowe 2012).

1 Neugebauer's Cornell Lectures

In 1949, when Neugebauer delivered six lectures on ancient sciences at Cornell University, he was the first historian of mathematics to be given the honor of speaking in its distinguished Messenger lecture series. He did not waste this opportunity. Afterward, he went over his notes and gave the text its final, carefully sculpted form that we find today in the six chapters of Neugebauer's *The Exact Sciences in Antiquity*, published in 1951 with high-quality plates. His text begins by describing a famous work in the history of art: *Septembre* from the *Très Riches Heures du Duc de Berry* (see Plate 1, p. 127), one of the most famous works in the French Gothic tradition, which first gained public attention after 1856 when it was acquired by the Duc d'Aumale, founder of the Musée Condé in Chantilly. Neugebauer began by recalling the circumstances that brought this work to a close:

When in 1416 Jean de France, Duc de Berry, died, the work on his "Book of the Hours" was suspended. The brothers Limbourg, who were entrusted with the illuminations of this

(Footnote 1 continued)

contribution by Schneider to the present volume "[Contextualizing Unguru's 1975 Attack on the Historiography of Ancient Greek Mathematics](#)".

book, left the court, never to complete what is now considered one of the most magnificent of late medieval manuscripts which have come down to us.

A “Book of Hours” is a prayer book which is based on the religious calendar of saints and festivals throughout the year. Consequently we find in the book of the Duke of Berry twelve folios, representing each one of the months. As an example we may consider the illustration for the month of September. As the work of the season the vintage is shown in the foreground (see Plate 1). In the background we see the Château de Saumur, depicted with the greatest accuracy of architectural detail. For us, however, it is the semicircular field on top of the picture, where we find numbers and astronomical symbols, which will give us some impression of the scientific background of this calendar. Already a superficial discussion of these representations will demonstrate close relations between the astronomy of the late Middle Ages and antiquity. (Neugebauer 1969, 3)

Neugebauer went on to note four different types of writing for the numbers that appear in the *Book of Hours*: Hindu-Arabic as well as Roman numerals, number words (September through December for the seventh to the tenth months of the Roman calendar), and alphabetic numbers, here calculated modulo 19, the system used in connection with the Metonic lunar cycle. Regarding the latter, he noted that for a given year, the associated number between 1 and 18 was called the “golden number” in the late Middle Ages, after a 13th-century scholar wrote that this lunar cycle excels all others “as gold excels all other metals.”

He then comments as follows about the state of scientific progress in the Latin West when seen against the backdrop of earlier developments:

In the twelfth century this very primitive method [for calculating the date of a new moon] was considered by scholars in Western Europe as a miracle of accuracy, though incomparably better results had been reached by Babylonian and Greek methods since the fourth century B.C. and though these methods were ably handled by contemporary Islamic and Jewish astronomers. (Neugebauer 1969, 8)

Clearly, Neugebauer wanted his audience to realize that it was one thing to appreciate a magnificent work of art, quite another to think of it as a canvas for clues about the state of mathematical and astronomical knowledge in the culture within which it was produced.

For the second edition, he updated the material and added two technical appendices, but he still hoped to have “avoided... converting my lectures into a textbook” (Neugebauer 1969, ix). Evidently, he valued the less formal form of exposition associated with oral exposition, a hallmark of the Göttingen tradition (Rowe 2004). Still, Neugebauer grew up in Austria, not Prussia, which may help account for his playful sense of humor. A typical example comes in a passage where he comments on how astronomers took delight in harmonizing their science with anthropocentric religious views, whereas modern celestial mechanics teaches us to be humble creatures living in a solar system conditioned by accidental circumstances.

The structure of our planetary system is indeed such that Rheticus [an early champion of the Copernican theory] could say “the planets show again and again all the phenomena which God desired to be seen from the earth.” The investigations of Hill and Poincaré have demonstrated that only slightly different initial conditions would have caused the moon to travel around the earth in a curve [with small loops and].... Nobody would have had the



Plate 1 “Septembre” from the Très Riches Heures du Duc de Berry, located today in the Musée Condé, Chantilly, France

idea that the moon could rotate on a circle around the earth and all philosophers would have declared it as a logical necessity that a moon shows six half moons between two full moons. And what could have happened with our concepts of time if we were members of a double-star system (perhaps with some uneven distribution of mass in our little satellite) is something that may be left to the imagination. (Neugebauer 1969, 152–153)

2 Neugebauer and Courant in Göttingen

Significantly, Neugebauer dedicated this now classic book to “Richard Courant, in Friendship and Gratitude.” Elaborating on that dedication in the preface, he wrote that it was Richard Courant (1888–1972) who enabled him to pursue graduate studies in ancient mathematics, and he went on to remark: “more than that I owe him the experience of being introduced to modern mathematics and physics as a part of intellectual endeavour, never isolated from each other nor from any other field of our civilization” (Neugebauer 1969, vii). Neugebauer was a man who chose his words carefully, and so we may be sure that this public acknowledgement of his debt to Courant was far more than just a friendly gesture. The last part of the quotation, that Courant saw mathematics and physics as fields of intellectual endeavor “never isolated from each other nor from any other field of our civilization” comes very close to capturing the essence of Neugebauer’s own understanding of what it meant to study the history of mathematics as an integral part of human cultural life. Regarding Courant’s personal outlook, he described this in connection with the Göttingen mathematical tradition they both shared and valued:

...the real core of his work [consisted] in the conscious continuation and ever widening development of the ideas of Riemann, Klein, and Hilbert, and in his insistence on demonstrating the fundamental unity of all mathematical disciplines. One must always remain aware of these basic motives if one wants to do justice to Courant’s work and to realize its inner consistency. (Neugebauer 1963, 1)

As a close ally of Courant, Neugebauer shared a positivist vision of mathematics as an integral part of scientific culture. In particular, both men were deeply influenced by the universalism advocated by Göttingen’s two aging sages, Felix Klein (1849–1925) and David Hilbert (1862–1943) who broke with an older German tradition in which mathematical research was largely isolated from developments in neighboring disciplines, like astronomy and physics. Hilbert’s strong epistemic claims for mathematics had also deeply alienated conservative humanists on the Göttingen faculty, many of whom feared a realignment of traditional disciplinary boundaries (Rowe 1986). Neugebauer’s personal relationship with Courant reflects many of the broader mathematical and scientific interests the two men shared.

As director of the Göttingen Mathematics Institute during the Weimar years, Courant was faced with numerous challenges as he struggled to uphold its international scientific reputation. Part of his strategy was conservative in nature.

Through his connections with Ferdinand Springer (1881–1965), Courant launched the famed “yellow series,” one of several initiatives that enabled Springer to attain a pre-eminent position as a publisher in the fields of mathematics and theoretical physics (Remmert and Schneider 2010). Courant was an innovator with a deep belief in the vitality of older traditions. His yellow series looked backward as well as forward; in fact, surprisingly few of its volumes betray a commitment to what came to be identified as modern, abstract mathematics. Far more evident was the way in which Courant and his co-editors built on the tradition of Klein and Hilbert, and with the yellow series he found a way to make local knowledge accessible well beyond the borders of Germany. For the history of the ancient exact sciences, Springer’s short-lived *Quellen und Studien* series—launched in 1929 and edited by Neugebauer, Julius Stenzel (1883–1935), and Otto Toeplitz (1881–1940)—created a new standard for studies in this fast-breaking field.

Soon after Neugebauer arrived in Göttingen in 1922, Courant gave him various special duties to perform at the hub of operations, located on the third floor of the *Auditorienhaus*. There one found the famous *Lesezimmer* together with an impressive collection of mathematical models, long cared for by Klein’s assistants. Now Neugebauer stood guard while Klein received nearly daily reports through those who were busy helping him prepare his collected works. Neugebauer’s new interest in Egyptian mathematics also came to Klein’s attention, along with a complaint that he had stuffed all the books on mathematics education tightly together on a high shelf, making them nearly inaccessible. By now Klein was an infirm old man who rarely left his home, which overlooked the botanical garden immediately behind the *Auditorienhaus*, but he still kept up a busy and tightly organized schedule. Neugebauer remembered how Klein called him over to be gently scolded. When he arrived, Klein greeted him by saying: “there came a new Moses into Egypt and he knew not Pharaoh!” (Reid 1976, 100) (a play on: “Now there arose up a new king over Egypt, which knew not Joseph”, Exodus I.8). The young Neugebauer surely realized that watching over the *Lesezimmer* was no trifling matter.

3 Neugebauer’s Revisionist Approach to Greek Mathematics

Neugebauer saw himself as a “scientific historian”; he had no patience for those who simply wanted to chronicle the great names and works of the past. George Sarton (1884–1956), who did little else, saw the history of science as a humanistic endeavor; nevertheless, he had the highest respect for Neugebauer’s achievements. Sarton’s views emerge clearly from correspondence during September 1933 with Abraham Flexner (1866–1959). At the time, Flexner was contemplating the possibility of founding a school for studies of science and culture at the Institute for Advanced Study. Sarton thought that Neugebauer was just the man for such an enterprise, a point he made by humbly contrasting the nature of their work:

As compared with Neugebauer I am only a dilettante. He works in the *front trenches* while I amuse myself way back in the rear — praising the ones, blaming the others; saying this ought to be done, etc.—& doing very little myself. What Neugebauer does is fundamental, what I do, secondary. (Pyenson 1995, 268)

Neugebauer certainly did view Sarton as a dilettante through and through. When I interviewed him in 1982, he made a point of telling me this by lumping him together with Moritz Cantor (1829–1920), another encyclopedist of great breadth and little depth.

Although plans to bring Neugebauer to Princeton came to naught, Harald Bohr managed to arrange a three-year appointment for him in Copenhagen beginning in January 1934. Neugebauer managed to get most of his property out of Germany, but had to abandon a house with a partially paid mortgage. In Copenhagen, his research was supported in part by the Rockefeller Foundation. Almost immediately he began preparing a series of lectures on Egyptian and Babylonian mathematics that he would publish in Courant's yellow series as *Vorgriechische Mathematik* (Neugebauer 1934). According to Swerdlow this volume was “as much a cultural as a technical history of mathematics” and represents “Neugebauer's most thorough and successful union of the two interpretations” (Swerdlow 1993, 145) More striking still is the unfinished character of this work, which represents the first volume in a projected trilogy that remained incomplete. Neugebauer had planned to tackle Greek mathematics proper in the second volume, whereas the third would have dealt with mathematical astronomy, both in the Greek tradition culminating with Ptolemy as well as the largely unknown work of late Babylonian astronomers. Thus, his original aim, as spelled out in the foreword to the first volume, was to achieve a first overview of the ancient mathematical sciences in their entirety, something that had never before been attempted.

Swerdlow has offered compelling reasons to explain why Neugebauer dropped this project, one being that he simply found the rich textual sources for Mesopotamian mathematical astronomy far more important than anything he could ever have written about Greek mathematics. Nevertheless, we can trace a fairly clear picture of the line of argument Neugebauer originally had in mind by examining the summary remarks at the conclusion of his *Vorgriechische Mathematik* as well as some of his other publications from the 1930s. Neugebauer's writings from the 1920s contain few hints that his understanding of ancient mathematics was fundamentally opposed to older views. By the early 1930s, however, his analyses of Babylonian texts led him to a new conception, namely that the Greek penchant for geometrization represented a retrograde step in the natural development of the exact sciences. This did not mean, of course, that he held a low opinion of Euclid's *Elements*; he simply thought that historians and philosophers had distorted its true place in the history of mathematics. Thus, he once imagined how scholars in some future civilization might easily form a deceptive picture of mathematical knowledge *circa* 1900 if the only important text that happened to survived were Hilbert's *Grundlagen der Geometrie* (Neugebauer 1931, 132).

In the course of this transition, Neugebauer's assertions about the character of ancient mathematics often took on a strident tone. Particularly suggestive is an essay entitled "Zur geometrischen Algebra," published in 1936 in *Quellen und Studien* (Neugebauer 1936). Significantly, Neugebauer takes as his motto a famous fragment from the late Pythagorean Archytas of Tarentum, which reads: "It seems that logistic far excels the other arts in regard to wisdom, and in particular in treating more clearly what it wishes than geometry. And where geometry fails, logistic brings about proofs" (Neugebauer 1936, 245). Much has been written about this passage, in particular about what might be meant by the term "logistic", a matter Jacob Klein (1899–1978) discussed at great length in his study "Die griechische Logistik und die Entstehung der Algebra" (Klein 1936), which appeared alongside Neugebauer's article². In fact, both scholars were chasing after the same elusive goal, though the similarity ends there.

Klein was a classical philologist who later became a master teacher of the "Great Books" curriculum at St. Johns College in Annapolis Maryland. Not surprisingly, he was intent on squeezing as much out of Plato as he possibly could. Thus he distinguished carefully between practical and theoretical logistic, offering a new interpretation of Diophantus' *Arithmetica* that placed it within the latter tradition. Neugebauer had no patience for the nuances of meaning classicists liked to pull out of their texts. Indeed, he had an entirely different agenda. His point was that rigorous axiomatic reasoning in the style of Euclid arose rather late, and that Archytas, a contemporary of Plato, was bearing witness to the primacy of algebraic content over the geometrical form in which the Greeks dressed their mathematics. With that, we can take another step toward attaining a closer understanding of Neugebauer's *Weltanschauung*.

Decades earlier, the Danish historian of mathematics H. G. Zeuthen (1839–1920) already advanced the idea that the Greeks had found it necessary to geometrize their purely algebraic results after the discovery of incommensurable magnitudes (Zeuthen 1896).³ Neugebauer took up this by then standard interpretation, adopted by Heath (1861–1940) and nearly everyone else, but he then went much further, arguing that the algebraic content—found not only in Book II of Euclid but throughout the entire corpus of Apollonius' *Conica* (Neugebauer 1932)—could be traced back to results and methods of the Babylonians:

The answer to the question what were the origins of the fundamental problem in all of geometrical algebra [meaning the application of areas, as given in Euclid's *Elements*, I.44 and VI.27–29] can today be given completely: they lie, on the one hand, in the demands of

²It was later translated into English by Eva Brann (1929) (Klein 1968).

³Ancient sources only hint at the circumstances surrounding this discovery, which probably took place during the latter half of the fifth century. Before this time, it was presumed that magnitudes of the same kind, for example two lengths, could always be measured by a third, hence commensurable. This is equivalent to saying that their ratio will be equal to the ratio of two natural numbers. This theory had to be discarded when it was realized that even simple magnitudes, like the diagonal and side of a square, have an irrational ratio because their lengths are incommensurable lengths. The discovery of such irrational objects in geometry had profound consequences for the practice of Greek geometry in the fourth century, see (Fowler 1999).

the Greeks to secure the general validity of their mathematics in the wake of the emergence of irrational magnitudes, on the other, in the resulting necessity to *translate the results of the pre-Greek “algebraic” algebra as well*. Once one has formulated the problem in this way, everything else is completely trivial [!] and provides *the smooth connection between Babylonian algebra and the formulations of Euclid*. (Neugebauer 1936, 250, my translation, his italics)⁴

The mathematical concepts underlying this argument are by no means difficult. It must be emphasized, however, that what may seem mathematically trivial (i.e. obvious) should hardly be thought of as historically self-evident. Since Zeuthen’s time, it had been customary to interpret Greek problem-solving methods as manipulations closely related to techniques like “completing the square”, used to solve quadratic equations. These Greek methods, called applications of areas, occupy a prominent place in Euclid’s *Elements* as well as in his *Data*, a kind of handbook for problem solving. Neugebauer was struck by the parallelism between certain standard Babylonian problems and the Greek methods for solving very similar problems geometrically (Neugebauer 1969, 40–41, 149–150).

A typical algebra problem found in several cuneiform tablets from the Old Babylonian period requires that one find two numbers whose sum (or difference) and product are both given—Neugebauer called this the “normal form” leading to a single quadratic equation. This pair of problems, depending on whether the sum or difference is given, can also be found as Propositions 84 and 85 in Euclid’s *Data*. Moreover, according to the neo-Platonic commentator Proclus—on the authority of Aristotle’s student, Eudemus, author of a lost *History of Geometry* written just before Euclid’s time—the three types of applications of areas (later used by Apollonius to distinguish the three types of conic sections: ellipse, parabola, and hyperbola) were discovered long before Euclid: “These things, say Eudemus, are ancient and are discoveries of the Muse of the Pythagoreans” (Heath 1956, 343).

Neugebauer would have been the last to argue that the Pythagoreans had anything to do with this ancient knowledge; for him, the key fact was merely that the original ideas were old, hence likely to have roots in still older cultures from which the Greeks borrowed freely. Having established that the mathematical content of the Babylonian texts was fundamentally algebraic, he now claimed that Mesopotamia was the original source of the algebra underlying the “geometric algebra” uncovered by Zeuthen at the end of the nineteenth century. Neugebauer was fully aware, of course, that his interpretation required a really bold leap of the historical imagination, since making a claim for the transmission of such knowledge over

⁴Die Antwort auf diese Frage, d. h. auf die Frage nach der geschichtlichen Ursache der Uraufgabe der gesamten geometrischen Algebra, kann man heute vollständig geben: sie liegt einerseits in der aus der Entdeckung irrationaler Größen folgenden Forderung der Griechen, der Mathematik ihre Allgemeingültigkeit zu sichern durch Übergang vom Bereich der rationalen Zahlen zum Bereich der allgemeinen Größenverhältnisse, andererseits in der daraus resultierenden Notwendigkeit, *auch die Ergebnisse der vorgriechischen “algebraische” Algebra in eine “geometrische” Algebra zu übersetzen*. Hat man das Problem einmal in dieser Weise formuliert, so ist alles Weitere vollständig trivial und liefert *den glatten Anschluß der babylonischen Algebra an die Formulierungen bei Euklid*.

such a vast span of time meant accepting that this mathematical linkage sufficed to fill a gap devoid of any substantive documentary evidence. Summarizing his position, he offered these remarks:

Every attempt to connect Greek thought with the pre-Greek meets with intense resistance. The possibility of having to modify the usual picture of the Greeks is always undesirable, despite all shifts of view,... [and yet] the Greeks stand in the middle and no longer at the beginning. (Neugebauer 1936, 259, my translation)⁵

When we try to square this with Neugebauer's stated belief that we should be wary of generalizations about the distant past—the position quoted in the motto to this essay—the problems with such an argument only become more acute. Perhaps these evident difficulties help explain the intensely passionate language in the concluding parts of his text. The tone in *The Exact Sciences in Antiquity* is far milder, and yet his arguments remain substantively the same (Neugebauer 1969, 146–151). There is even brief mention of the same quotation from Archytas, and one senses what Swerdlow might have meant when he wrote that Neugebauer grew bored with Greek mathematics (Swerdlow 1993, 146).

Neugebauer's research represented part of a large-scale intrusion by mathematicians into a field that was formerly dominated by classicists. Before he entered the field the history of Greek mathematics was traditionally seen as strongly linked with the works and influence of Plato and Aristotle, a view that would later be contested by the prolific American historian Wilbur Knorr (1945–1997)⁶. Neugebauer's work thus struck a sympathetic chord among a younger generation of experts on Greek mathematics, even though he had left the field by the mid 1930s. Ever the anti-philosopher, he wanted to undermine the special German fascination with Greek philosophy, most particularly the Platonic tradition. In this respect, his work stood poles apart from that of Becker, or for that matter, Toeplitz, both of whom, like Neugebauer, published regularly in *Quellen und Studien*. These two older contemporaries combined fine-tuned mathematical analyses with careful philological readings of classical Greek texts. Neugebauer, on the other hand, showed very little interest in studies of this kind. Furthermore, he had an entirely different agenda: he aimed to overthrow the standard historiography that made mathematics look like the handmaiden of Greek philosophy.

Neugebauer's original vision thus entailed a radical rewriting of the history of ancient mathematics and exact sciences. One of his central theses was that rigorous axiomatic reasoning in the style of Euclid arose rather late. At the same time he liked to call on the testimony of Archytas, who—according to Neugebauer's reading—tells us that the Greeks of that era understood the primacy of algebraic content over geometrical form. If one probed the later Greek sources with a mathematically trained eye—as Neugebauer tried to show in his study of

⁵Jeder Versuch, Griechisches an Vorgriechisches anzuschließen begegnet einem intensiven Widerstand. Die Möglichkeit, das gewohnte Bild der Griechen modifizieren zu müssen, ist immer wieder unerwünscht, trotz aller Wandlungen ... stehen also die Griechen in der Mitte und nicht mehr am Anfang.

⁶See, for example, the essays by Knorr in (Christianidis 2004).

Apollonius' *Conica*—what one found was a fundamentally algebraic style of thought. His revisionist stance also aimed to debunk the notion of a “Greek miracle” that sprang up during the sixth century from the shores of Ionia. Neugebauer was convinced that most of the sources that reported on the legendary feats of ancient heroes—Thales, Pythagoras, and their intellectual progeny—were just that: legends that had grown with the passing of time. So his constant watchword remained skepticism with regard to the accomplishments of the early Greeks, whereas Toeplitz, Becker, and others began to analyze extant sources with a critical eye toward their standards of exactness.⁷

4 Greek Mathematics Reconsidered

One can well imagine that for some experts on ancient Greek philosophy and early science, Neugebauer's views regarding the historical development of Greek mathematics were simply anathema. On the other hand, he published almost nothing that dealt with early Greek mathematics per se, partly no doubt in order to avoid controversy. Still, he had a number of notable allies in classics who shared his general skepticism. In fact, a debate was then underway in which these skeptics questioned the level of truly scientific activity among the followers of 6th-century physiologi, particularly the early Pythagoreans. German classical philology had witnessed a very different type of debate when Friedrich Nietzsche (1844–1900) published his *Birth of Tragedy*, but in a sense the parallel holds true. Leading classicists saw themselves as *Kulturträger*, which meant that they were quite accustomed to playing for “high stakes” (or at least imagining they were). Owing to their spiritual affinity with the ancient Greeks, they did not think of themselves as mere scholars: their discipline and special expertise carried with it an implicit social responsibility, namely to explain the deeper meaning of Greek ideals to that special class of German society, its *Bildungsbürgertum*, who perhaps alone could appreciate the true mission of the German people, especially when faced with momentous “world-historical” events like the Great War.

After Imperial Germany collapsed following that calamitous struggle, it should come as no surprise that fresh fissures developed within the humanities and, in particular, the discipline of classical philology. This suggests that by the time Neugebauer brought forth his new vision for understanding the history of the exact sciences a quite general reorientation had long been underway among experts who specialized in classical Greek science and philosophy. At any rate, Neugebauer had plenty of good company. He could thus cite the work of classical scholars like Eva Sachs (1882–1936) and Erich Frank (1883–1949)—dubbed by their opponents as “hyper-critical” philologists—while defending his case for recasting the early history of Greek mathematics.

⁷See (Christianidis 2004) for a recent account of older as well as the newer historiography on Greek mathematics.

Thus, in a synopsis of (van der Waerden 1940) for *Mathematical Reviews*, he wrote:

In the first paragraph the author shows that the famous paradoxa of Zeno (for example, of the tortoise and Achilles) are not at all directed against the infinite divisibility of geometrical magnitudes, but that their aim is simply to support the assumption of Parmenides that all movement is only a human fiction. The second part points out that *in Zeno's time no mathematical theory of importance existed* in which infinitesimal methods played a role. This fits in with the general concept of the development of Greek mathematics, which is familiar, at least since Frank's book "Plato und die sogenannten Pythagoreer" [Halle 1923]. The last paragraph emphasizes that the so-called "crisis" of the foundation of Greek mathematics did not originate in the problem of infinite divisibility but from the discovery of irrationals. (Neugebauer 1940)⁸

Bartel Leendert van der Waerden (1903–1996) was a distinguished Dutch mathematician who had taken a course on ancient mathematics with Neugebauer in Göttingen. They remained good friends and corresponded regularly about historical matters, but they also often disagreed. Only a year after he wrote the above, Neugebauer came back to the same issue while reporting on (van der Waerden 1941), a paper on Pythagorean astronomy:

The author gives an outline of the development of Greek astronomy in its earlier phases. He seems to have overlooked the book of Frank, *Plato und die sogenannten Pythagoreer*, where essential points of his theory are already published. (Neugebauer 1941)

Neugebauer's persistent references to Frank's book appear to have made no impression on van der Waerden, who remained in Leipzig after the Nazis rose to power. This makes it highly unlikely, of course, that he knew of Neugebauer's printed remarks from 1940 to 1941, at least not until some time after the war had ended. Yet when he brought out the original Dutch edition of *Science Awakening* in 1950—a more popular account of the exact sciences in antiquity that drew heavily on Neugebauer's researches—van der Waerden presented the legendary Pythagoras as the founder of a scientific school, one in which the sage's teachings had a profoundly mathematical character as opposed to merely espousing the doctrines of a religious sect that practiced number mysticism.

Neugebauer, who was not Jewish, could have stayed on in Göttingen. After Courant's dismissal, however, he chose instead to leave for Copenhagen in January 1934. From this new outpost he continued editing Springer's *Zentralblatt* until 1938, at which point he resigned in protest of Nazi racial policies that had led to the removal of Jewish colleagues from its board. These events then paved the way for the founding of *Mathematical Reviews*, which Neugebauer co-managed beginning in 1940, after his arrival at Brown University. Courant, who was now teaching at New York University, had by this time severed his publishing connections with Springer. Ten days after the devastating blow to Jewish property and life during the *Reichskristallnacht*, he wrote to Ferdinand Springer informing him that he wished

⁸Neugebauer here alludes to the so-called "foundations crisis" that supposedly ensued with the discovery of incommensurable magnitudes. This interpretation became popular during the 1920s, but later fell out of favour (Christianidis 2004).

to resign as editor of the “yellow series” (Reid 1976, 208–209). Still, Courant continued to maintain his former contacts in Göttingen after the war. He often visited the Mathematics Institute, whose new director Franz Rellich (1906–1955) had earlier been part of the “Courant clique” that was forced to leave in 1933. Neugebauer, by contrast, refused ever to set foot in Germany again (he did visit Austria once or twice however).

Despite his loathing for the Nazis, Neugebauer steered clear of politics when commenting on the work of scholars whom he surely knew to be faithful followers of Hitler’s brand of fanatical German nationalism. A striking example of this can be seen in his review of the German translation of the well-known *Commentary on Book I of Euclid’s Elements*, written by the neo-Platonic philosopher Proclus in the fifth century. One should note that this rather large volume (Steck 1945) with extensive commentary by Max Steck (1907–1971), a hardcore Nazi from Munich, managed to get published in the year 1945. Neugebauer praised the work of the translator and then wrote this about Steck’s contribution:

The introduction [33 pp.] contains many words which fortunately have no English equivalent, e.g., “deutscher Geistraum,” “Geistschau,” “in- und ausstrahlen,” etc. By means of this “denkanschauend” method Proclus is made a founder of the German Idealismus for which Cusanus, Copernicus, Kepler, Hegel, Gauss (!) and many others are quoted. On the other hand, Proclus is considered as the culmination of Greek mathematics. The author here follows [Andreas] Speiser with whom he shares the tendency to consider the last phase of Greek metaphysics as representative of Greek mathematics. The subsequent commentary on Proclus shows the same contempt for the chronological element of history. There is hardly a combination of any pair of famous names missing, however great their distance may be. (Neugebauer 1945)

Once he was located in the United States Neugebauer published regularly in English in the Danish journal *Centaurus* as well as in numerous American publications, including George Sarton’s *Isis*, the official journal of the History of Science Society. By the early 1950s, however, a first wave of negative reaction began to swell up among émigré scholars now residing in the United States. In 1951 Neugebauer’s revisionist interpretation came under strong attack in *Isis* in an article entitled “Philolaos in Limbo, or: What Happened to the Pythagoreans?”, written by George de Santillana (1902–1974) and Walter Pitts (1923–1969). The first author, well-known for his book *The Crime of Galileo*, had fled fascist Italy to take up a post at the Massachusetts Institute of Technology. Thus, it was fitting that the authors began their essay by citing these famous words: “Several years ago there was published in Rome a salutary edict which, in order to obviate the dangerous tendencies of our present age, imposed a seasonable silence upon the Pythagorean opinion that the earth moves....” They then proceeded to explain their present purpose:

These are the opening words of Galileo’s preface to his *Dialogue on the World Systems*. One would be tempted to repeat them almost word for word today, apropos [sic] of certain contemporary philological research. The invisible edict or “trend” to which we refer has decreed that the whole development of Greek mathematics and astronomy must be condensed into a rather short interval of time around 400 B.C., so that almost all the

mathematics, astronomy, and music theory of the “so-called Pythagoreans” becomes contemporary with Plato and his successors. (de Santillana and Pitts 1951, 112)

Three different groups were then identified as being responsible for this trend. The first of these is only vaguely named by alluding to “the massed power of Platonic and Aristotelian scholarship.” Far more important for their critique was the role played by the aforementioned “hyper-critical philologists”, especially Sachs and Frank, but also the American, W. A. Heidel, author of “The Pythagoreans and Greek Mathematics” (Heidel 1940). Frank, who had succeeded Heidegger in 1928 as professor of philosophy in Marburg, had been forced to flee Germany after losing this chair in 1935; he eventually came to Harvard as a Rockefeller Fellow. Unable to secure regular employment in the United States, he died in Amsterdam in 1949. His older study (Frank 1923) argued that when Aristotle spoke about “so-called Pythagoreans” he was referring to the circle around Archytas of Tarentum, who was a friend of Plato as well as a gifted mathematician. This argument supported Frank’s larger thesis, according to which the early Pythagoreans were merely a religious sect and played no substantive role in early Greek science.

The third group of trend setters was “the recent school of scientific historians which has attempted to trace the connection between Babylonian and Greek mathematics.” Several works are cited by three authors: Neugebauer, van der Waerden, and the mathematician Kurt Reidemeister (1893–1971). “Relying on Frank,” it is charged,

these authors have dismissed the entire tradition about early Greek mathematics, and supplanted it either with a most improbably late transference of Babylonian mathematics to Greece in the Vth century, or else have tried to fill the gap with speculations, conceived certainly in a true and subtle mathematician’s spirit, derived from conjectural traces in Euclid and Plato. (ibid.)

Having identified Frank as the key culprit responsible for this hyper-critical treatment of sources on the Pre-Socratics—in the present case the authenticity of fragments attributed to Philolaos form the principal matter under dispute—de Santillana and Pitts proceed to demolish the arguments in his book. Since Frank was no longer among the living, there was small chance of a rebuttal, although they also chided the distinguished classicist Harold Cherniss (1904–1987) for having been duped by Frank’s arguments regarding the authenticity of the Philolaos fragments (Cherniss 1935, 386).

The year 1951 also saw the publication of the original Copenhagen edition of *The Exact Sciences in Antiquity*. It was reviewed at length in *Isis* by Sarton, who noted that no one but Neugebauer could have written such a book. Sarton also paid tribute to Cornell University for its role in helping the author produce this idiosyncratic *synthesis* based on his six Messenger Lectures from 1949. This opportunity, Sarton felt sure, gave Neugebauer just the incentive he needed to address a broader set of historical issues, something he was otherwise loathe to do. In his review, Sarton put the matter this way: “as he does not like synthetic work and even affects to despise it, he would probably not have written this book without that flattering invitation, and we, his readers, would have been the losers” (Sarton 1952, 69).

One can easily read between the lines here, since Sarton, the doyen of American historians of science, certainly saw himself as a leading representative of that very genre of scholarship to which he here alluded (Sarton 1936a). Nor was this review altogether positive. The reviewer voiced skepticism, for example, when it came to Neugebauer's claims regarding the historical impact of Babylonian mathematics and astronomy. Noting that neither Hipparchus nor Ptolemy made mention of earlier Babylonian theoretical contributions, he wondered how historians could ever know that these Greek astronomers drew on such sources? As for Babylonian algebra, why should we assume that this knowledge survived long after the period of Hammurabi when there is no extant evidence for a continuous tradition of high mathematical culture in Mesopotamia? And if such mathematical knowledge persisted, how was it transmitted? After all, the complexity of the Babylonian algebraic and astronomical techniques required an expertise similar to Neugebauer's own. Sarton also took sharp issue with Neugebauer over the centrality of Hellenistic science, especially his claim that this melting pot of ancient science later spread to India before entering Western Europe, where it held sway until the time of Newton. In Sarton's view, the Hellenistic period marked the final phase of Babylonian science, though he admitted some slight influences on both the Indian and Islamic cultural spheres. For the most part, however, he contrasted the larger long-term impact of Greek science with the relatively meager legacy of the Babylonian tradition. For him, this was the gravest shortcoming of all; how could Neugebauer write a book called *The Exact Sciences in Antiquity* and virtually ignore the achievements of the Greeks? Doing that was comparable to writing a play entitled *Hamlet* while leaving out the figure of Hamlet himself. With that quip, Sarton could chide Neugebauer's Danish editors—identified as Zeuthen's countrymen—for allowing their distinguished friend to make such a blunder.

Sarton's criticisms reflect the views of a generalist who clearly found Neugebauer's overall framework far from convincing. He had the highest respect for the author's specialized contributions to research on the ancient exact sciences—work that required not only formidable mathematical abilities but also immense discipline—but this review makes plain that he saw Neugebauer's book as the product of a remarkable specialist. Sarton's overall verdict—seen from his personal vantage point of someone who hoped to open inroads for the history of science within the curriculum of American higher education—echoed Neugebauer's own forthright opinion that he “did not like synthetic work” (ibid.). *Exact Sciences*, Sarton opined, was of limited value for introductory courses; it should not and could not be taken as a model for teaching the history of ancient science. Though full of nicely chosen anecdotes and a good deal of general information, it simply did not pass muster as a global account of the history of the exact sciences in ancient cultures. Swerdlow later expressed a very different opinion when he wrote:

Neugebauer here allowed himself the freedom to comment on subjects from antiquity to the Renaissance. The expert can learn something from it, and from its notes, every time it is read, and for the general reader it is, in my opinion, the finest book ever written on any aspect of ancient science. (Swerdlow 1993, 156)

Sarton saw himself as a champion of what he called a “synthetic approach” to the history of mathematics (Sarton 1936b, 11). What Neugebauer thought about this can well be surmised from the preface to the first edition of *Exact Sciences in Antiquity*: “I am exceedingly skeptical of any attempt to reach a “synthesis”—whatever this term may mean—and I am convinced that specialization is the only basis of sound knowledge” (Neugebauer 1969, vii–viii). Paging through Sarton’s booklet, *The Study of the History of Mathematics*, one can easily understand Neugebauer’s dismissive attitude. There one reads that:

The main reason for studying the history of mathematics, or the history of any science, is purely humanistic. Being men, we are interested in other men, and especially in such men as have helped us to fulfill our highest destiny. As soon as we realize the great part played by individual men in mathematical discoveries — for, however these may be determined, they cannot be brought about except by means of human brains —, we are anxious to know all their circumstances. (Sarton 1936b, 12)

Sarton’s humanistic approach to the history of mathematics thus derives from simple human curiosity, which he admits is the same instinct that feeds public fascination with murderers. Whereas newspapers skillfully exploit this “insatiable desire to know every detail of a murder case, those who are more thoughtful wish to investigate every detail of scientific discoveries or other creative achievements” (ibid.). This loftier interest apparently has much to do with Sarton’s sympathy for hero worship: “One soon realizes that mathematicians are much like other men, except in the single respect of their special genius, and that genius itself has many shapes and aspects” (ibid.).

Not surprisingly, Neugebauer drew a sharp line between his work and that of dabblers like Sarton, though he never launched a frontal attack on the latter’s own works. He did, however, occasionally publish critical responses to Sarton’s opinions in *Isis*, one of which sheds much light on the intellectual fault lines that divided them. In a review of van der Waerden’s *Science Awakening*, Sarton expressed dismay over the author’s “shocking ingratitude” towards Cantor, whom he called “one of the greatest scholars of [the] last century, a man to whom every historian of mathematics owes deep gratitude.” After citing this passage, Neugebauer went on to explain why he was writing this “Notice of Ingratitude” (Neugebauer 1956):

Since I must conclude that this statement in its generality would also apply to myself, I should like to point out that I never felt a trace of indebtedness to Cantor’s voluminous production. I do not deny, of course, the fact that it had a great influence, though in a direction quite opposite to what Professor Sarton’s statement implies. I always felt that its total lack of mathematical competence as well as its moralizing and anecdotal attitude seriously discredited the history of mathematics in the eyes of mathematicians, for whom, after all, the history of mathematics has to be written. In methodological respects, Cantor’s work might be of some value for historians of science since it contains so many drastic examples of how one should not approach a problem... If Cantor had not philosophized about a goose counting her young or about oriental mathematics, which was equally inaccessible to him, but instead had studied the texts themselves, he would have avoided countless misinterpretations and inaccuracies which have become commonplace. It was with good reasons that the *Bibliotheca Mathematica* for years ran a special column devoted

to corrections of errors in Cantor's *Geschichte der Mathematik*. But no amount of corrections can ever remedy consistent mediocrity. (Neugebauer 1956, 58)

Given that Neugebauer's academic career was decisively shaped by his training and background as a mathematician, one can easily understand his aversion to the writings of Cantor and Sarton. He was most definitely not a "synthetic" historian in the sense of Sarton, but we can say just as assuredly that his work was guided by a larger view of the history of mathematics. His was an approach to history deeply grounded in the mathematical culture he grew up in, and his sensibilities as a historian were from the very beginning guided by a grandiose vision. Neugebauer worked on details, but always with a larger landscape in mind. His attitude toward his own work seems to have also contained elements of playful irony. When he came to the end of his Messenger lectures on the exact sciences in antiquity, he offered a simile to describe the historian's craft:

In the Cloisters of the Metropolitan Museum in New York there hangs a magnificent tapestry which tells the tale of the Unicorn. At the end we see the miraculous animal captured, gracefully resigned to his fate, standing in an enclosure surrounded by a neat little fence.⁹ This picture may serve as a simile for what we have attempted here. We have artfully erected from small bits of evidence the fence inside which we hope to have enclosed what may appear as a possible, living creature. Reality, however, may be vastly different from the product of our imagination; perhaps it is vain to hope for anything more than a picture which is pleasing to the constructive mind when we try to restore the past. (Neugebauer 1969, 177)

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⁹This refers to "The Unicorn in Captivity," one of seven tapestries dating from ca. 1500 located in The Cloisters in New York. In the pagan tradition, the unicorn was a one-horned creature that could only be tamed by a virgin; whereas Christians made this into an allegory for Christ's relationship with the Virgin Mary.

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