# Mechanisms Linking Depression to Cardiovascular Disease: What Do Epidemiological Studies Tell Us?

4

# Brenda W.J.H. Penninx

# Contents

4.1	Somatic Consequences of Depression	38	
4.2	The "Confounding Hypothesis" as Mechanism Linking Depression		
	to Cardiovascular Health	39	
4.3	Unhealthy Lifestyle as Mechanism Linking Depression		
	to Cardiovascular Health	40	
4.4	Biological Dysregulation Linking Depression to Cardiovascular Health	41	
	4.4.1 Metabolic Dysregulation	42	
	4.4.2 Immuno-Inflammatory Dysregulation	43	
	4.4.3 Autonomic Dysregulation	44	
	4.4.4 Hypothalamic-Pituitary-Adrenal (HPA) Axis Dysregulation	45	
4.5	Iatrogenic Effects Linking Depression to Cardiovascular Risk	46	
4.6	Other Noncausal Factors Linking Depression to Cardiovascular Risk	47	
Conclu	Conclusions		
References			

#### Abstract

The burden of disease for depression goes beyond functioning and quality of life and extends to somatic health. Depression has shown to subsequently increase the risk of cardiovascular morbidity and mortality. These somatic consequences can be partly explained by mediating mechanisms such as unhealthy lifestyle (smoking, excessive alcohol use, physical inactivity, unhealthy diet) or unfavorable pathophysiological disturbances (metabolic, immuno-inflammatory, autonomic, and HPA-axis dysregulations). This chapter presents epidemiological evidence for the existence of these plausible underlying mechanisms that link

B.W.J.H. Penninx, PhD

Department of Psychiatry, EMGO+ Institute for Health and Care Research and Neuroscience Campus Amsterdam, VU University Medical Center,

AJ Ernststraat 1187, Amsterdam 1081 HL, The Netherlands e-mail: b.penninx@vumc.nl

<sup>©</sup> Springer International Publishing Switzerland 2016

B.T. Baune, P.J. Tully (eds.), *Cardiovascular Diseases and Depression*, DOI 10.1007/978-3-319-32480-7\_4

depression to cardiovascular disease. However, alternative explanations for an increased cardiovascular risk in depressed persons are also discussed, namely, the confounding hypothesis, iatrogenic effects, or noncausal "third factors."

### 4.1 Somatic Consequences of Depression

The impact of depression on health extends beyond mental health. Over the last 20 years, many studies illustrated the adverse impact of depression on somatic health as well. As described previously, the evidence is convincing that depression increases the subsequent risk of cardiovascular disease development. Cardiovascular disease refers to those conditions that affect the heart and blood vessels, including among others coronary heart disease, cerebrovascular disease, and peripheral artery disease. Meta-analyses integrating longitudinal evidence concluded that depression results in an at least 80% increased risk of cardiovascular disease onset (Nicholson et al. 2006; van der Kooy et al. 2007). In line with a dose-response association, the cardiovascular morbidity risk is higher among persons with major depressive disorder than among those with subthreshold depressive symptoms, but the risk is also significantly increased in the latter group. This epidemiological evidence also extends to subclinical cardiovascular processes. Depressed persons are also at increased risk for peripheral atherosclerosis as indicated through, e.g., coronary or aortic calcification, impaired endothelial function, and increased arterial stiffness (Seldenrijk et al. 2010, 2011; Hamer et al. 2010).

This chapter will mainly focus on evidence that considers depression to be an etiological risk factor for cardiovascular disease. However, it is good to note that this is only a small part of the complex interaction between depression and cardiovascular disease. First, beyond increasing the risk of cardiovascular disease onset, depression also increases the risk of cardiovascular mortality when cardiovascular disease has already emerged (Doyle et al. 2015). So, there is extensive evidence that depression contributes not only to the onset but also to the progression of cardiovascular disease. Several of the underlying mechanisms discussed in this chapter are not specific for explaining why depression is an etiological risk factor but may also explain why depression is a prognostic risk factor among patients with clinically overt cardiovascular disease. Second, although I focus mainly on the mechanisms through which depression can increase subsequent cardiovascular risk, it is clear that a bidirectional link exists. Cardiovascular disease itself can – either through direct physical consequences or through indirect biological, bodily, or psychosocial changes – also increase the risk of developing depressive symptoms and disorders.

Furthermore, it is good to realize that the impact of depression on somatic health is not restricted to cardiovascular disease alone. There are various meta-analyses that have shown similar evidences when integrating results from longitudinal studies among initially somatic disease-free subjects. Depression also increases the onset risk of overall mortality (relative risk (RR)=1.81), diabetes (RR=1.60),

hypertension (RR = 1.42), stroke (RR = 1.34), obesity (RR = 1.58), Alzheimer's disease (RR = 1.66), and to a lesser extent even cancer (RR = 1.29) (Penninx et al. 2013). To even provide a larger picture, the increased cardiovascular risk associated with depression is not specific for depression either. For various other psychiatric conditions, similar observations have been described. In a large-scale population-based study incorporating data from over 50,000 subjects across the world, also panic disorder, specific phobia, post-traumatic stress disorder, and alcohol use disorders were found to predict subsequent heart disease onset (Scott et al. 2013). For nonspecific anxiety disorder, a recent meta-analysis summarizing a total of 37 papers including 1,565,699 persons also indicated a 50% increased risk of cardiovascular disease onset (Batelaan et al. 2016).

The fact that depression is not only associated with the onset of cardiovascular disease but also that of various other somatic conditions combined with the fact that this association extends to other psychiatric conditions as well already illustrates that it is not likely that underlying mechanisms are very disease specific. In the paragraphs below, I will describe which underlying mechanisms may likely contribute to the increased cardiovascular risk in depressed individuals. For this purpose I will mainly focus on results provided by large-scale epidemiological studies.

# 4.2 The "Confounding Hypothesis" as Mechanism Linking Depression to Cardiovascular Health

Subjects with depression are usually older, more often female, and have a lower socioeconomic status, and their general health is worse than that of their nondepressed peers. This leads to the hypothesis that age, sex, sociodemographics, and baseline health conditions rather than depression per se might be responsible for the differential subsequent cardiovascular health patterns between depressed and non-depressed subjects. This "confounding hypothesis" (see Table 4.1) is likely to contribute to finding worse cardiovascular outcomes among the depressed. Generally, most longitudinal population studies that examined the risk of cardiovascular events in depressed persons have found that the risk associated with depression declined with 20-30% after considering these sociodemographic and baseline health conditions (Nicholson et al. 2006; van der Kooy et al. 2007). However, after adjustment for these potential confounding variables in statistical analyses, the cardiovascular risk in depressed persons remained significantly increased compared to that of non-depressed persons illustrating that the link does not seem to be completely due to simply confounding. Of course, it can be that in some cases depression may be a prodrome of not yet discovered and diagnosed (and therefore not measurable) subclinical or medical conditions that affect subsequent cardiovascular disease onset. However, it is unlikely that this completely explains the increased cardiovascular risk as results are rather consistent across studies, not restricted to older samples only (in which other health conditions may be present), and have also been found for major depressive disorders with an early age of onset.

nanisms			
Smoking			
Excessive alcohol use			
Physical inactivity			
Unhealthy diet			
Inadequate medical attention			
Lower (e.g., somatic) treatment compliance			
Metabolic dysregulations			
Immuno-inflammatory dysregulations			
Autonomic dysregulations			
HPA-axis dysregulations			
Noncausal mechanisms			
Depression picks up or is a prodrome of not yet discovered or measured (sub)clinical conditions			
Pharmacological effect of, e.g., antidepressants increase cardiovascular risk			
Childhood stressors			
Personality			
Genetic pleiotropy			

 Table 4.1
 Summary of proposed mechanisms linking depression to increased cardiovascular risk

However, alternative explanations for an increased cardiovascular risk in depressed persons are also discussed, namely, the confounding hypothesis, iatrogenic effects, or noncausal "third factors"

<sup>a</sup>Factors that influence both cardiovascular risk and depression risk but rather independently from each other

# 4.3 Unhealthy Lifestyle as Mechanism Linking Depression to Cardiovascular Health

Increased behavioral risk profiles in depressed persons may explain their higher risk for adverse health consequences. Behavioral risk factors appear to cluster in the same individuals. Increased smoking and alcohol consumption are well documented in depression. Depressed persons not only smoke more often, they are found to be less likely to quit smoking and might inhale more deeply and smoke more of the cigarette than non-depressed smokers (Anda et al. 1990). In addition, the food intake of depressed persons has shown to be less adequate, healthy, and nutritious than that of non-depressed persons. It has been shown that depressed persons have a higher 24-h caloric intake than non-depressed persons (Sanhueza et al. 2013). On the other hand, certain vitamin deficiencies, such as vitamin D, B12, and folate deficiencies, are more prevalent in depressed older persons (Penninx et al. 2000; Milaneschi et al. 2014), which illustrates that certain depressed persons may not get adequate nutrition. Depressed persons also engage less in physical activities such as walking, gardening, and vigorous exercise activities such as sports. So, physical inactivity is common among depressed persons

(Stephens 1988), partly because their attitudes toward exercise and exercise selfefficacy may be more negative. These unhealthy lifestyles can contribute to the fact that depressed individuals are more at risk for adverse health outcomes, since these constitute the most important risk factors for the onset of cardiovascular disease. This is especially an important observation, since, e.g., the level of physical activity is potentially modifiable through an exercise regimen. There are several clinical trials that – although not consistent across all trials – illustrate that when depressed persons are randomized to an exercise intervention, their depressed mood significantly improves (Rosenbaum et al. 2014). Other lifestyle changing programs, such as nutritional interventions or smoking cessation, may also be relevant in depressed persons and may positively impact not just on mental health but also on cardiovascular health (Ward et al. 2015).

Finally, depressed mood has shown to impede recovery processes by discouraging persons from obtaining adequate medical attention and rehabilitation and following treatment regimens. It has been described that depressed persons are generally at least twice less compliant in taking medications or following up on certain lifestyle regimens provided by health-care professionals (DiMatteo et al. 2000). This lower compliance and poorer self-care with general health regimens could in part be due to lack of a supportive social network which has more often been observed in depressed than in non-depressed persons (Penninx et al. 1998). One study indeed confirmed that depressed cardiac patients received lower quality of care than their non-depressed peers and that this contributed to their higher mortality risk (Druss et al. 2001).

Meta-analyses on cardiovascular consequences of depression have reported pooled effect sizes for adjusted associations which considered potential mediating variables such as lifestyle indicators. This is possible as many – but not all – of the conducted longitudinal studies associating depression to incident cardiovascular morbidity have adjusted for lifestyle differences. These lifestyle-adjusted pooled effect sizes are only slightly lower than unadjusted ones, suggesting that the increased morbidity risks are not simply due to lifestyle differences (Nicholson et al. 2006; van der Kooy et al. 2007). However, considering the fact that, e.g., nutritional and physical activity patterns are not easy to assess in detail in large-scale observational studies, residual impact of these behavioral factors may still exist.

#### 4.4 Biological Dysregulation Linking Depression to Cardiovascular Health

In addition to the above provided explanations, depression-related biological dysregulations that also constitute risk factors for somatic illnesses could further contribute to the observed depression and cardiovascular disease link. The next section describes evidence for biological dysregulations examined in this context. I focused on the most commonly examined biological dysregulations in this respect, namely, metabolic, immuno-inflammatory, autonomic, and HPA-axis dysregulations.

#### 4.4.1 Metabolic Dysregulation

Often clinical metabolic dysregulations are assessed in the context of the metabolic syndrome: a clustering of general metabolic risk factors including abdominal obesity, increased blood glucose (hyperglycemia), elevated blood pressure, increased triglycerides, and decreased high-density lipoprotein (HDL) cholesterol. Metabolic dysregulations are well-established risk factors for the development of various somatic conditions, especially cardiovascular disease and diabetes (Mottillo et al. 2010). Pan et al. (2012) systematically reviewed 29 cross-sectional studies and found depression and the metabolic syndrome to be modestly associated (unadjusted odds = 1.42; adjusted odds = 1.34). Some reviewed prospective studies confirmed a bidirectional association with depression predicting the onset of metabolic syndrome, which in turn predicted depression onset over time. However, the metabolic syndrome is a heterogeneous concept: pathophysiological mechanisms of elevated blood pressure, dyslipidemia, and hyperglycemia are not necessarily similar. Consequently, various studies have tested consistency of associations with depression across different metabolic syndrome components. The most consistent evidence seems to exist between depression and obesity-related components (abdominal obesity, low HDL cholesterol, hypertriglyceridemia), whereas associations between depression with hyperglycemia and hypertension are less often confirmed (Pan et al. 2012). Also when evidence from longitudinal studies was pooled, consistent associations were only confirmed for the obesity-related components. The association between depression and metabolic dysregulations seems to follow a dose-response association as larger dysregulations were found with increasing level of depression severity (Van Reedt Dortland et al. 2010). Two longitudinal studies among depressed patients found that a combination of multiple metabolic dysregulations contributed to sustained chronicity of depression (Vogelzangs et al. 2011, 2014). Taken together, literature suggests that abdominal obesity and lipid disturbances are the driving force behind the relationship between depression and metabolic syndrome. Once both are present, abdominal obesity might give rise to multiple metabolic dysregulations, which in turn might be responsible for remaining in a depressed state.

Indeed, depression is a heterogeneous condition with multiple, diverging symptoms defining the concept. Metabolic dysregulations have found to be more specifically present in "atypical depression," a subtype present in 20–30% of all depressed cases and marked by hypersonnia and fatigue, increased appetite and weight gain, mood reactivity, and interpersonal rejection sensitivity (Penninx et al. 2013). Two studies directly comparing atypical versus melancholic depressed persons both confirmed that metabolic syndrome were more present in atypical than in melancholic depression (Seppälä et al. 2012; Lamers et al. 2010). Also when examining longitudinal associations with abdominal obesity-related outcomes in a large-scale population sample, it was mainly atypical depression that was found to be predictive (Lasserre et al. 2014).

How could metabolic dysregulations in depression arise? White adipose tissue, especially in the abdominal area, is an active endocrine organ producing

inflammatory cytokines and hormones (e.g., leptin) and, therefore, a major contributor to pathogenic immune-metabolic responses both in the central nervous system and brain and in the rest of the body. For instance, leptin has shown to affect hippocampal and cortical structure through its actions on neurogenesis, axon growth, synaptogenesis, and dendritic morphology regulation (Paz-Filho et al. 2010). Another possible mechanism linking metabolic dysregulation and depression may be represented by cerebrovascular damage associated with metabolic syndrome, which according to the so-called "vascular depression" hypothesis predispose to depression especially in late life (Alexopoulos 2006). Finally, other depressionrelated biological dysregulations discussed below may constitute shared underlying pathways to metabolic alterations.

#### 4.4.2 Immuno-Inflammatory Dysregulation

A consistent body of evidence indicates that depression is associated with dysregulated inflammation, an immune response that derives from activation of the innate immune system. The inflammatory mediator network is represented by a bewildering array of molecules, the most prominent of which are pro-inflammatory cytokines (e.g., interleukin (IL)-6 and TNF- $\alpha$ ) produced within innate immune cells in response to immunologic challenge. Other cytokines, known as anti-inflammatory, oppose this response by attenuating the production of pro-inflammatory cytokine (e.g., IL-10) or by antagonizing their action at the receptor level (e.g., IL-1RA). The actions of pro-inflammatory cytokines on peripheral cellular targets such as hepatocytes lead to the synthesis of acute phase proteins (e.g., C-reactive protein (CRP)) responsible for the systemic inflammatory response. Chronic, low-grade systemic elevations of these molecules are considered abnormal and have shown to increase the onset of cardiovascular morbidity and mortality (Cesari et al. 2003; Kaptoge et al. 2010). There is a strong interconnection between metabolic abnormalities and inflammation illustrated by the facts that abdominal fat tissue produces cytokines, and these subsequently increase metabolic syndrome development (Visser et al. 1999).

Three recent meta-analyses reported significantly higher levels of the inflammatory markers TNF- $\alpha$ , sIL-2R, IL-6, and IL-1RA in drug-naïve depressed subjects compared to controls (Dowlati et al. 2010; Liu et al. 2012; Howren et al. 2009). Overall, effect sizes were modest (ranging from a Cohen's d of 0.15–0.35) with slightly stronger effect sizes for studies using clinical diagnoses of depression instead of symptom reports (Penninx et al. 2013). Although systemic inflammation has been found for both melancholic and atypical depressed subjects, it appears to be more strongly present in atypical depression (Lamers et al. 2013; Penninx et al. 2013). An essential role was found for body mass index (BMI) as a covariate: studies adjusting for BMI found much lower effect sizes, likely due to the fact that adipose tissue is an important source of cytokines. However, even after adjustment for BMI, elevated inflammation levels in the depressed were observed, indicating that immune and metabolic dysregulations are partly complementary. Most meta-analyzed studies were cross-sectional which makes it hard to draw any causal inferences. However, several lines of research indicate that the link between inflammation and depression is likely bidirectional. It has been demonstrated that immunotherapy with IFN- $\alpha$  can precipitate depression (Bonaccorso et al. 2002). In turn, cytokines produced peripherally can access the brain – either directly crossing the blood-brain barrier through saturable active transport systems or indirectly via microglia activation – which can result in decreased neurogenesis also in emotion-regulating brain structures (Shelton and Miller 2010). Cytokines also catalyze the synthesis of kynurenine from tryptophan, which may result in reduced synthesis of serotonin and increased synthesis of tryptophan catabolites, which could all perturb neurotransmission and result in hippocampal neuron damage (Sublette and Postolache 2012). Finally, depression may facilitate weight gain – partly as a result of sedentary behavior and unhealthy dietary choice – which in turn promotes inflammation that ultimately may reinforce depression, creating a deleterious vicious cycle for physical and mental health.

#### 4.4.3 Autonomic Dysregulation

Acute stress results into immediate activation of sympathetic nerves and reduction of parasympathetic nerves in order to prepare the body for a fight and flight response. A direct measurement method for autonomic tone is assessing noradrenaline spillover to plasma. Unfortunately, such invasive spillover studies are not implementable in large psychiatric cohorts, restricting our insights into generalizability of results and the role of potential confounding factors. That is why researchers have used noninvasive, but more indirect, indicators of autonomic tone obtained from electrical and impedance cardiography assessments. A noninvasive method for autonomic dysregulation assessment is heart rate variability (HRV), particularly in the respiratory frequency range, as an indicator of cardiac vagal control. HRV reflects an individual's capacity for parasympathetic inhibition of autonomic arousal and is an important predictor for cardiovascular disease and mortality (Dekker et al. 2000; Tsuji et al. 1996). Autonomic dysregulation is involved in cardiovascular somatic symptoms such as tachycardia, blood pressure liability, and tendencies toward hypertension and predicts the onset of metabolic dysregulations over time (Licht et al. 2013).

Depression is hypothesized to involve an autonomic nervous system that is in a relative state of more sympathetic and less parasympathetic activation. According to the polyvagal theory, this is partly due to the fact that impairments of low vagal tone are associated with reduced social engagement and a less flexible behavioral response to environmental changes (Porges 2001). Rottenberg (2007) summarized 13 studies including 312 depressed patients and 374 controls and found indeed a significantly reduced HRV in depression (Cohen's d=0.33). Four years later, Kemp et al. (2010) repeated a meta-analysis in which only power-domain analyses were allowed to measure HRV, and all included subjects were free of cardiovascular disease. Meta-analyzing results of 14 studies (302 patients, 424 controls) yielded again a modest but significant pooled effect size indicating a lower HRV among the

depressed. Contrary to these results were studies by Licht et al. (2008) and Kemp et al. (2014) with a sample size that was by far larger than the total number of participants in the meta-analyses and could adjust for lifestyle. In these studies, more than 1,000 major depressive disorder patients without antidepressants did not consistently show differences in HRV as compared to control subjects, and in a 2-year follow-up, depression state (changes) was not associated with HRV (Licht et al. 2010). On the contrary, in both large-scale studies (Licht et al. 2008; Kemp et al. 2014), significantly lower HRV was found among antidepressant users, especially those using tricyclic antidepressants and serotonergic-noradrenergic reuptake inhibitors. This led to the authors' conclusion that it is not depressed state but use of antidepressants that changes autonomic tone. The TCA effect on HRV – likely through direct anticholinergic effects - was confirmed in a meta-analysis (Kemp et al. 2010). So, it remains rather unclear whether depression itself is associated with a reduced vagal tone. Of note is that studies included in these meta-analyses measured autonomic tone during resting condition. Depression could be more strongly associated with reduced parasympathetic tone when persons are exposed to stress conditions.

#### 4.4.4 Hypothalamic-Pituitary-Adrenal (HPA) Axis Dysregulation

Hyperactivity of the HPA-axis in depression has been considered one of the most reliable findings in biological psychiatry. Chronic stress is perceived by the cortex of the brain and transmitted to the hypothalamus, where corticotropin-releasing hormone is released onto pituitary receptors, ultimately resulting in release of cortisol into the blood. To assess HPA-axis activity, salivary measures are increasingly used to reflect the active unbound form of cortisol. The cortisol awakening response assesses the natural response of the HPA-axis to awakening; evening cortisol levels reflect basal activity. In a meta-analysis by Knorr et al. (2010) summarizing 20 casecontrol studies including 1,354 depressed patients and 1,052 controls, salivary cortisol levels were on average 2.58 nmol/l increased in the morning and 0.27 nmol/l in the evening for depressed patients. In an even larger meta-analysis by Stetler and Miller (2011), evidence for higher cortisol levels across various bodily fluids (plasma, urine, saliva) was summarized. Again, this evidence illustrated that depressed individuals displayed increased cortisol levels (d=0.60), although the effect size was considerably less – and rather modest – when only high methodological quality studies were included (d=0.33). Vreeburg and colleagues (2009) showed that these findings were consistent among 701 current as well as among 579 remitted depressed cases, suggesting that HPA-axis hyperactivity represents a vulnerability rather than a state indicator. In line with this, HPA-axis hyperactivity has also been observed among non-affected offspring of depressed patients, suggesting that it may partly reflect a genetic vulnerability marker or endophenotype of depression (Vreeburg et al. 2010).

HPA-axis dysregulation appears to be more prevalent especially in persons with melancholic depression, characterized by a disturbance in affect marked by

anhedonia and nonreactive mood, by psychomotor disturbance, and by vegetative and cognitive symptoms of insomnia, loss of appetite and weight, diurnal mood variation, and impaired concentration. When summarizing several studies directly that compared cortisol levels across melancholic and atypical depression, we showed that in fact, cortisol levels among individuals with atypical depression may not be reliably higher than cortisol levels among healthy non-depressed persons (Lamers et al. 2013; Penninx et al. 2013). In line with this, a sub-analysis in Stetler and Miller's meta-analysis (2011) described that the effect size of the cortisoldepression association is higher when more melancholic depressed cases were included in studies and lower when more atypical depressed cases were included. Melancholic features were associated with 54 % larger effect sizes compared with depression without melancholic features.

Some studies have used a dexamethasone test to evaluate the sensitivity of the hypothalamus to feedback signals for the shutdown of CRH release, but results are more inconsistent. Nelson and Davis (1997) summarized that dexamethasone suppression studies found that the normal cortisol-suppression response is absent in about half of the patients with very severe symptoms (e.g., those hospitalized or those with psychotic symptoms). However, the non-suppression rate in outpatients with major depression was found to be much lower and not differential between 1,280 depressed outpatients and 308 controls (Vreeburg et al. 2009). So, the indicated larger non-suppression of the HPA-axis in depression is likely restricted to only the most severe (psychotic) cases.

Several mechanisms may underlie the relationship between HPA-axis dysregulation and depression. Depression research has focused mainly on the role of mineral corticoid and glucocorticoid receptors, acting as transcriptional regulators of cortisol effects on the initiation and termination of the stress response. Alterations of this regulating network, defined glucocorticoid resistance, may determine a chronic activation of the stress response resulting in atrophy of hippocampal cells, reduced neurogenesis, and synaptic plasticity and altered monoaminergic signaling, all of which may lead to a depressive state (De Kloet et al. 2005). Other factors such as early-life epigenetic programming of glucocorticoid genes and inflammatory processes may also be involved in the dysregulation of HPA-axis responsiveness in depressed subjects (Silverman and Sternberg 2012).

HPA-axis dysregulation has also been implicated in the onset and progression of cardiovascular disease, although evidence for this is not extensive. In two longitudinal observational studies, higher morning cortisol levels and a flatter slop in cortisol levels across the day were found to increase the risk of subsequent cardiovascular mortality in nonclinical populations (Vogelzangs et al. 2010; Kumari et al. 2011).

# 4.5 latrogenic Effects Linking Depression to Cardiovascular Risk

To what extent can antidepressant utilization contribute to an increased cardiovascular risk among depressed individuals? A few observational large-scale studies have reported increased cardiovascular risks among persons using antidepressants (Whang

et al. 2009; Hamer et al. 2011). It is an easy step to then point at the antidepressants as driving the increased cardiovascular risk. However, this type of finding cannot simply be interpreted as evidence for cardiovascular-induced risks through pathophysiological effects of antidepressants themselves mainly because such findings are heavily biased through "confounding by indication." In observational studies, subjects using antidepressants are likely to be different in many ways from subjects not using antidepressants: they are likely the most severe and chronic depression cases, or they may have other (mental or physical) reasons to be treated with antidepressants. Even if observational analyses adjust for presence and severity of depression, confounding by indication may still be present, and therefore one should be cautious with research interpretations of observational studies regarding effects of medications.

As described above, there is more and more consistent evidence that antidepressant medications, especially the tricyclic antidepressants and the serotonergicnoradrenergic reuptake inhibitors, may increase cardiac vagal tone (Licht et al. 2008, 2010; Kemp et al. 2014). However, whether this in the end may truly contribute to an increased subsequent cardiovascular disease risk remains unknown. Autonomic tone differences were generally completely diminished when antidepressant medication use was stopped (Licht et al. 2010), and it could simply be that detrimental effects of depressed mood status itself may be larger than that of antidepressants. In addition, experimental intervention results, however, do indicate that several antidepressants may in fact contribute to reduction of other specific pathophysiological disturbances such as inflammation and cortisol levels (Hannestad et al. 2011; Hinkelmann et al. 2012). In all, it is difficult to use epidemiological observational data to draw definitive conclusions of the presence of iatrogenic effects of antidepressants, and whether these truly contribute to cardiovascular disease risks. In order to formally test this, one would require a very large, long-term experimental trial, which is difficult, if not impossible, to conduct.

# 4.6 Other Noncausal Factors Linking Depression to Cardiovascular Risk

Alternative explanations for the link between depression and increased cardiovascular morbidity development could be "third underlying factors" that increase the risk of depression as well as the risk of cardiovascular disease but rather independently from each other (see Table 4.1). Several examples for such noncausal mechanisms exist. First, childhood maltreatment including emotional, physical, or sexual abuse has shown to be a very strong risk factor for the later onset of depression (Teicher and Samson 2013). However, childhood maltreatment has also found to be associated with both subclinical cardiovascular processes and an increased cardiovascular event risk, partly independent from depression (Bomhof-Roordink et al. 2015; Sumner et al. 2015). Consequently, if the same at-risk persons share increased risks for two conditions, associations would become apparent, but this does not have to reflect a causal, temporal association between the two conditions. Second, personality traits such as neuroticism, introversion, and type D personality have shown to be linked to both the development of depression and cardiovascular events and could therefore constitute such a "third factor" that indirectly links both outcomes (Grande et al. 2012; Jokela et al. 2014).

Finally, an often ignored "third factor" is genetic vulnerability. If two conditions share similar genetic risk variants, persons with those high-risk genes could develop both conditions. The phenomenon of shared genetic effects is called genetic pleiotropy (de Geus 2006). This is an area that has not received a lot of research attention yet. However, it is not hard to imagine that the phenomenon of genetic pleiotropy may occur. Utilizing twin data, de Moor et al. (2008) and Bartels et al. (2012) illustrated that the link between reduced exercise behavior and mood symptoms is for a large extent due to shared genetic risks. Also for several of the biological mechanisms described above, for instance, inflammation or metabolic dysregulations, strong genetic influences have been described. These genetic influences may make persons vulnerable for biological dysregulations, which could then result in both depression as well as cardiovascular disease and in (longitudinal) relationships between both outcomes.

#### Conclusions

This review summarized longitudinal evidence indicating that depression increased the onset risk of cardiovascular disease onset. As summarized in Table 4.1, epidemiological evidence indicates that underlying mechanisms likely involve unhealthy lifestyles as well as a multitude of biological dysregulations that are more prevalent among depressed persons as compared to their nondepressed peers. To what extent each of these mediating mechanisms contribute to the observed increased cardiovascular risk among the depressed remains to be determined as hardly any studies have examined and quantified the mediating mechanisms in the link between depression and cardiovascular disease in a comprehensive manner. In addition, using an epidemiological observational study design for this has its limitations since the confounding hypothesis and the influence of noncausal "third factors" are hard to exclude. Nevertheless, considering the consistency of findings over multiple studies, there is convincing evidence that unhealthy lifestyles and various biological dysregulations interplay in reinforcing the vicious cycle through which depression and cardiovascular disease reinforce each other.

Acknowledgements Penninx was supported through a VICI grant (NWO grant 91811602).

**Competing Interests** The author has no conflict of interest to report.

#### References

Alexopoulos GS (2006) The vascular depression hypothesis: 10 years later. Biol Psychiatry 60:1304–1305

Anda RF, Williamson DF, Escobedo LG, Mast EE, Giovino GA, Remington PL (1990) Depression and the dynamics of smoking. A national perspective. JAMA 264:1541–1545

- Bartels M, de Moor MH, van der Aa N, Boomsma DI, de Geus EJ (2012) Regular exercise, subjective wellbeing, and internalizing problems in adolescence: causality or genetic pleiotropy? Front Genet 3:4
- Batelaan N, Seldenrijk A, Bot M, van Balkom A, Penninx BW (2016) Anxiety and new-onset of cardiovascular disease: a critical review and meta-analysis. Br J Psychiatry 208(3):223–231
- Bomhof-Roordink H, Seldenrijk A, van Hout HP, van Marwijk HW, Diamant M, Penninx BW (2015) Associations between life stress and subclinical cardiovascular disease are partly mediated by depressive and anxiety symptoms. J Psychosom Res 78:332–339
- Bonaccorso S, Marino V, Puzella A, Pasquini M, Biondi M, Artini M et al (2002) Increased depressive ratings in patients with hepatitis C receiving interferon-alpha-based immunotherapy are related to interferon-alpha-induced changes in the serotonergic system. J Clin Psychopharmacol 22:86–90
- Cesari M, Penninx BWJH, Newman AB, Kritchevsky SB, Nicklas BJ, Sutton-Tyrrell K et al (2003) Inflammatory markers and onset of cardiovascular events: results from the Health ABC study. Circulation 108:2317–2322
- de Geus EL (2006) Genetic pleiotropy in depression and coronary artery disease. Psychosom Med 8:185–186
- de Kloet ER, Joels M, Holsboer F (2005) Stress and the brain: from adaptation to disease. Nat Rev Neurosci 6:463–475
- De Moor MH, Boomsma DI, Stubbe JH, Willemsen G, de Geus EJ (2008) Testing causality in the association between regular exercise and symptoms of anxiety and depression. Arch Gen Psychiatry 65:897–905
- Dekker JM, Crow RS, Folsom AR, Hannan PJ, Liao D, Swenne CA et al (2000) Low heart rate variability in a 2-minute rhythm strip predicts risk of coronary heart disease and mortality from several causes: the ARIC Study. Atherosclerosis Risk In Communities. Circulation 102:1239–1244
- DiMatteo MR, Lepper HS, Croghan TW (2000) Depression is a risk factor for noncompliance with medical treatment: meta-analysis of the effects of anxiety and depression on patient adherence. Arch Intern Med 160:2101–2107
- Dowlati Y, Herrmann N, Swardfager W, Liu H, Sham L, Reim EK et al (2010) A meta-analysis of cytokines in major depression. Biol Psychiatry 67:446–457
- Doyle F, McGee H, Conroy R, Conradi HJ, Meijer A, Steeds R, Sato H, Stewart DE, Parakh K, Carney R, Freedland K, Anselmino M, Pelletier R, Bos EH, de Jonge P (2015) Systematic review and individual patient data meta-analysis of sex differences in depression and prognosis in persons with myocardial infarction: a MINDMAPS study. Psychosom Med 77:419–428
- Druss BG, Bradford WD, Rosenheck RA, Radford MJ, Krumholz HM (2001) Quality of medical care and excess mortality in older patients with mental disorders. Arch Gen Psychiatry 58:565–572
- Grande G, Romppel M, Barth J (2012) Association between type D personality and prognosis in patients with cardiovascular diseases: a systematic review and meta-analysis. Ann Behav Med 43:299–310
- Hamer M, Kivimaki M, Lahiri A, Marmot MG, Steptoe A (2010) Persistent cognitive depressive symptoms are associated with coronary artery calcification. Atherosclerosis 210:209–213
- Hamer M, Batty GD, Seldenrijk A, Kivimaki M (2011) Antidepressant medication use and future risk of cardiovascular disease: the Scottish Health Survey. Eur Heart J 32:437–442
- Hannestad J, DellaGioia N, Bloch M (2011) The effect of antidepressant medication treatment on serum levels of inflammatory cytokines: a meta-analysis. Neuropsychopharmacology 36:2452–2459
- Hinkelmann K, Mortiz S, Botzenhardt J, Muhtz C, Wiedemann K, Kellner M, Otte C (2012) Changes in cortisol secretion during antidepressive treatment and cognitive improvement in patients with major depression: a longitudinal study. Psychoneuroendocrinology 37:685–692
- Howren MB, Lamkin DM, Suls J (2009) Associations of depression with C-reactive protein, IL-1, and IL-6: a meta-analysis. Psychosom Med 71:171–186
- Jokela M, Pulkki-Raback L, Elovainio M, Kivimaki M (2014) Personality traits as risk factors for stroke and coronary heart disease mortality: pooled analysis of three cohort studies. J Behav Med 37:881–889

- Kaptoge S, Di Angelantonio E, Lowe G, Pepys MB, Thompson SG, Collins R et al (2010) C-reactive protein concentration and risk of coronary heart disease, stroke, and mortality: an individual participant meta-analysis. Lancet 375:132–140
- Kemp AH, Quintana DS, Gray MA, Felmingham KL, Brown K, Gatt JM (2010) Impact of depression and antidepressant treatment on heart rate variability: a review and meta-analysis. Biol Psychiatry 67:1067–1074
- Kemp AH, Brunoni AR, Santos IS, Nunes MA, Dantas EM, Carvalho de Figueiredo R, Pereira AC, Ribeiro AL, Mill JG, Andreão RV, Thayer JF, Benseñor IM, Lotufo PA (2014) Effects of depression, anxiety, comorbidity, and antidepressants on resting-state heart rate and its variability: an ELSA-Brasil cohort baseline study. Am J Psychiatry 171:1328–1334
- Knorr U, Vinberg M, Kessing LV, Wetterslev J (2010) Salivary cortisol in depressed patients versus control persons: a systematic review and meta-analysis. Psychoneuroendocrinology 35:1275–1286
- Kumari M, Shipley M, Stafford M, Kivimaki M (2011) Association of diurnal patterns in salivary cortisol with all-cause and cardiovascular mortality: findings from the Whitehall II study. J Clin Endocrinol Metab 96:1478–1485
- Lamers F, De Jonge P, Nolen WA, Smit JH, Zitman FG, Beekman ATF et al (2010) Identifying depressive subtypes in a large cohort study: results from the Netherlands Study of Depression and Anxiety (NESDA). J Clin Psychiatry 71:1582–1589
- Lamers F, Vogelzangs N, Merikangas K, de Jonge P, Beekman A, Penninx B (2013) Evidence for a differential role of HPA-axis function, inflammation and metabolic syndrome in melancholic versus atypical depression. Mol Psychiatry 18:692–699
- Lasserre AM, Glaus J, Vandeleur CL, Marques-Vidal P, Vaucher J, Bastardot F, Waeber G, Vollenweider P, Preisig M (2014) Depression with atypical features and increase in obesity, body mass index, waist circumference, and fat mass: a prospective, population-based study. JAMA Psychiatry 71:880–888
- Licht CMM, de Geus EJC, Zitman FG, Hoogendijk WJG, van Dyck R, Penninx BWJH (2008) Association between major depressive disorder and heart rate variability in the Netherlands Study of Depression and Anxiety (NESDA). Arch Gen Psychiatry 65:1358–1367
- Licht CMM, de Geus EJC, van Dyck R, Penninx BWJH (2010) Longitudinal evidence for unfavorable effects of antidepressants on heart rate variability. Biol Psychiatry 68:861–868
- Licht CMM, de Geus EJC, Penninx BW (2013) Dysregulation of the autonomic nervous system predicts the development of the metabolic syndrome. J Clin Endocr Metab 98:2484–2493
- Liu Y, Ho RC-M, Mak A (2012) Interleukin (IL)-6, tumour necrosis factor alpha (TNF-alpha) and soluble interleukin-2 receptors (sIL-2R) are elevated in patients with major depressive disorder: a meta-analysis and meta-regression. J Affect Disord 139:230–239
- Milaneschi Y, Hoogendijk W, Lips P, Heijboer AC, Schoevers R, van Hemert AM, Beekman ATF, Smit JH, Penninx BW (2014) The association between low vitamin D and depressive disorders. Mol Psychiatry 19:444–451
- Mottillo S, Filion KB, Genest J, Joseph L, Pilote L, Poirier P et al (2010) The metabolic syndrome and cardiovascular risk a systematic review and meta-analysis. J Am Coll Cardiol 56:1113–1132
- Nelson JC, Davis JM (1997) DST studies in psychotic depression: a meta-analysis. Am J Psychiatry 154:1497–1503
- Nicholson A, Kuper, Hemingway H (2006) Depression as an aetiologic and prognostic factor in coronary heart disease: a meta-analysis of 6362 events among 146538 participants in 54 observational studies. Eur Heart J 27:2763–2774
- Pan A, Keum N, Okereke OI, Sun Q, Kivimaki M, Rubin RR et al (2012) Bidirectional association between depression and metabolic syndrome: a systematic review and meta-analysis of epidemiological studies. Diabetes Care 35:1171–1180
- Paz-Filho G, Wong ML, Licinio J (2010) The procognitive effects of leptin in the brain and their clinical implications. Int J Clin Pract 64:1808–1812
- Penninx BW, van Tilburg T, Boeke AJ, Deeg DJ, Kriegsman DM, van Eijk JT (1998) Effects of social support and personal coping resources on depressive symptoms: different for various chronic diseases? Health Psychol 17:551–558

- Penninx BW, Guralnik JM, Ferrucci L, Fried LP, Allen RH, Stabler SP (2000) Vitamin B(12) deficiency and depression in physically disabled older women: epidemiologic evidence from the Women's Health and Aging Study. Am J Psychiatry 157:715–721
- Penninx BW, Milaneschi Y, Lamers F, Vogelzangs N (2013) Understanding the somatic consequences of depression: biological mechanisms and the role of depression symptom profile. BMC Med 11:129
- Porges SW (2001) The polyvagal theory: phylogenetic substrates of a social nervous system. Int J Psychophysiol 42:123–146
- Rosenbaum S, Tiedemann A, Sherrington C, Curtis J, Ward PB (2014) Physical activity interventions for people with mental illness: a systematic review and meta-analysis. J Clin Psychiatry 75:964–974
- Rottenberg J (2007) Cardiac vagal control in depression: a critical analysis. Biol Psychol 74:200-211
- Sanhueza C, Ryan L, Foxcroft DR (2013) Diet and the risk of unipolar depression in adults: systematic review of cohort studies. J Hum Nutr Diet 26:56–70
- Scott KM, de Jonge P, Alonso J, Viana MC, Liu Z, O'Neill S, Aguilar-Gaxiola S, Bruffaerts R, Caldas-de-Almeida JM, Stein DJ, de Girolamo G, Florescu SE, Hu C, Taib NI, Lépine JP, Levinson D, Matschinger H, Medina-Mora ME, Piazza M, Posada-Villa JA, Uda H, Wojtyniak BJ, Lim CC, Kessler RC (2013) Associations between DSM-IV mental disorders and subsequent heart disease onset: beyond depression. Int J Cardiol 168:5293–5299
- Seldenrijk A, Vogelzangs N, van Hout HP, van Marwijk HW, Diamant M, Penninx BW (2010) Depressive and anxiety disorders and risk of subclinical atherosclerosis: findings from the Netherlands Study of Depression and Anxiety (NESDA). J Psychosom Res 69:203–210
- Seldenrijk A, van Hout HP, van Marwijk HW, de Groot E, Gort J, Rustemeijer C, Diamant M, Penninx BW (2011) Depression, anxiety, and arterial stiffness. Biol Psychiatry 69:795–803
- Seppälä J, Vanhala M, Kautiainen H, Eriksson J, Kampman O, Mantyselka P et al (2012) Prevalence of metabolic syndrome in subjects with melancholic and non-melancholic depressive symptoms. A Finnish population-based study. J Affect Disord 136:543–549
- Shelton RC, Miller AH (2010) Eating ourselves to death (and despair): the contribution of adiposity and inflammation to depression. Prog Neurobiol 91:275–299
- Silverman MN, Sternberg EM (2012) Glucocorticoid regulation of inflammation and its functional correlates: from HPA axis to glucocorticoid receptor dysfunction. Ann N Y Acad Sci 1261:55–63
- Stephens T (1988) Physical activity and mental health in the United States and Canada: evidence from four population surveys. Prev Med 17:35–47
- Stetler C, Miller GE (2011) Depression and hypothalamic-pituitary-adrenal activation: a quantitative summary of four decades of research. Psychosom Med 73:114–126
- Sublette ME, Postolache TT (2012) Neuroinflammation and depression: the role of indoleamine 2,3-dioxygenase (IDO) as a molecular pathway. Psychosom Med 74:668–672
- Sumner JA, Kubzansky LD, Elkind MS, Roberts AL, Agnew-Blais J, Chen Q, Cerdá M, Rexrode KM, Rich-Edwards JW, Spiegelman D, Suglia SF, Rimm EB, Koenen KC (2015) Trauma exposure and posttraumatic stress disorder symptoms predict onset of cardiovascular events in women. Circulation 132:251–259
- Teicher MH, Samson JA (2013) Childhood maltreatment and psychopathology: a case for ecophenotypic variants as clinically and neurobiologically distinct subtypes. Am J Psychiatry 170:1114–1133
- Tsuji H, Larson MG, Venditti FJJ, Manders ES, Evans JC, Feldman CL et al (1996) Impact of reduced heart rate variability on risk for cardiac events. The Framingham Heart Study. Circulation 94:2850–2855
- Van der Kooy K, van Hout H, Marwijk H, Marten H, Stehouwer C, Beekman A (2007) Depression and the risk for cardiovascular diseases: systematic review and meta-analysis. Int J Geriatr Psychiatry 22:613–626
- van Reedt Dortland AK, Giltay EJ, Zitman FG, van VT, Penninx BW (2010) Metabolic syndrome abnormalities are associated with severity of anxiety and depression and with tricyclic antidepressant use. Acta Psychiatr Scand 122:30–39

- Visser M, Bouter LM, McQuillan GM, Wener MH, Harris TB (1999) Elevated C-reactive protein levels in overweight and obese adults. JAMA 282:2131–2135
- Vogelzangs N, Beekman AT, Milaneschi Y, Bandinelli S, Ferrucci L, Penninx BW (2010) Urinary cortisol and six-year risk of all-cause and cardiovascular mortality. J Clin Endocr Metab 95:4959–4964
- Vogelzangs N, Beekman AT, Boelhouwer IG, Bandinelli S, Milaneschi Y, Ferrucci L et al (2011) Metabolic depression: a chronic depressive subtype? Findings from the InCHIANTI study of older persons. J Clin Psychiatry 72:598–604
- Vogelzangs N, Beekman ATF, van Reedt-Dortland AKB, Schoevers R, Giltay E, de Jonge P, Penninx BW (2014) Inflammatory and metabolic dysregulation and the 2-year course of depressive disorders. Neuropsychopharmacology 39:1624–1634
- Vreeburg SA, Hoogendijk WJG, van Pelt J, Derijk RH, Verhagen JCM, van Dyck R et al (2009) Major depressive disorder and hypothalamic-pituitary-adrenal axis activity: results from a large cohort study. Arch Gen Psychiatry 66:617–626
- Vreeburg SA, Hartman CA, Hoogendijk WJG, van Dyck R, Zitman FG, Ormel J et al (2010) Parental history of depression or anxiety and the cortisol awakening response. Br J Psychiatry 197:180–185
- Ward MC, White DT, Druss BG (2015) A meta-review of lifestyle interventions for cardiovascular risk factors in the general medical population: lessons for individuals with serious mental illness. J Clin Psychiatry 76:e477–e486
- Whang W, Kubzansky LD, Kawachi I, Rexrode KM, Kroenke CH, Glynn RJ, Garan H, Albert CM (2009) Depression and risk of sudden cardiac death and coronary heart disease in women: results from the Nurses' Health Study. J Am Coll Cardiol 53:950–958