

# The Role of Spleen-Derived Immune Cells in Ischemic Brain Injury

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## 1 Introduction

Despite numerous extensive studies on stroke therapy in the past decades, few have successfully translated to the clinic and many limitations and potential problems remain. One major limitation is that the brain has been studied in isolation, as if tissue injury in the brain is unrelated to what occurs in peripheral organs. We now realize that stroke not only injures the brain, but it also affects multiple organs, including the skeletal muscle [1–3], heart [4], liver [5], lung [6], and peripheral immune system, including the spleen [7–9]. As a result, it has been speculated that modulating peripheral organs may protect against brain injury. And in fact, we have reported that repetitive ischemia performed in the hind limbs of rats reduces brain infarction after focal ischemia—a phenomenon defined as remote preconditioning [10]. Other important facts are that the spleen interacts with the ischemic brain, as stroke results in spleen atrophy, while spleen removal (splenectomy) performed before stroke onset robustly reduces post-stroke brain injury [11, 12]. Therefore, the spleen may be an alternative avenue or target for stroke treatment. In this Chapter, we discuss how the spleen and its immune cells contribute to brain injury induced by stroke.

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## 2 The Structure and Function of the Spleen

The spleen is a unique organ belonging to the super immune system, which consists of many lymphatic organs and multiple immune cells [13–15]. The immune system includes primary and secondary lymphoid organs. The primary lymphoid organs mainly include the bone marrow and thymus, which are the sites of lymphocyte development and maturation. The secondary lymphoid tissues include the spleen, lymph nodes, and mucosa- and skin-associated lymphoid tissues, within which mature lymphocytes exert their immune response, such as antigen recognition and activation, clonal selection and proliferation, as well as phagocytosis [16–19].

The spleen, as the largest secondary lymphoid organ, plays important roles in the immune response. It is composed of red and white pulp with distinct morphologies and functions [16–19]. Blood circulation in the spleen is supplied by a single artery called the splenic artery, which branches into a network of smaller arteries that travel through the spleen, forming arterioles, and connecting with sinuses [14]. The small arterioles travel to and end in a venous sinusoidal system in the red pulp, which serves as a blood filter by trapping old or damaged red blood cells that are phagocytosed by red pulp macrophages. Another major function of the red pulp is for iron recycling [14]. White pulp is a lymphoid tissue surrounding the small branches of arterials, and is composed of T cell, B cell (follicle), and marginal zones, where the immune response occurs [16–19].

Taken together, the primary function of the spleen is to monitor circulating blood, filter blood by trapping damaged or old red blood cells, and initiate the immune response against pathogens found in the circulation. Both innate and adaptive immune responses can be easily mounted in the spleen, as the unique pattern of blood supply and structure or organization of the immune compartments allow various immune responses to occur. However, the spleen also releases lymphocytes into the blood circulation in response to stress and inflammation, which travel to other organs, including the brain. Therefore, the spleen is also an important organ for modulating brain functions and neuroinflammation.

## 3 The Spleen Is Involved in Brain Infarction Induced by Stroke

It is well known that bidirectional effects occur between the injured brain and peripheral immune system after stroke, and the spleen has a unique role in such bidirectional effects. On one hand, stroke causes peripheral immune suppression [9], which results in post-stroke infection and mortality [20–23]. The immune suppression is manifested by a reduction in lymphocyte activation and spleen atrophy after stroke [9]. As a major secondary lymphocyte organ, the spleen functions to maintain lymphocyte and erythrocyte populations. Splenic atrophy is a typical

marker of the immune suppression induced by stroke [9]. On the other hand, the peripheral immune system increases local brain inflammation via recruitment and infiltration of circulating neutrophils [24–27], monocytes/macrophages [28, 29], and T cells [30–35], thus exacerbating ischemic injury.

The most direct evidence that spleen is involved in brain injury is shown in splenectomy studies. Ajmo and colleagues showed in their first study that splenectomy performed 2 weeks before stroke reduced infarction by 80% [11, 12]. This study is supported by Li et al., who found that splenectomy performed immediately before traumatic brain injury decreases animal mortality and improves cognitive function in rats [36, 37]. In addition, Ostrowski et al. reported that acute splenic irradiation, which results in lymphocyte death in the spleen, reduces brain injury in rat focal cerebral ischemia [38]. They found that the therapeutic time window extends to 3–4 h after stroke onset, suggesting that spleen modulation post-stroke can provide neuroprotection [38]. More recently, Seifert et al. and Zhang et al. also confirmed the neuroprotective effect of splenectomy against stroke [39–41]. In contrast, Kim et al. failed to see any neuroprotective effect of splenectomy immediately before stroke on reducing infarction, although splenectomy did reduce the accumulation of inflammatory macrophages in the ischemic brain [42]. The explanation for this controversial result is unknown. Although the authors argue that the timing of splenectomy immediately before stroke is a factor [42], others have shown that spleen irradiation performed even 3–4 h after stroke can generate protection [38].

In addition to the protective effects of splenectomy against stroke, evidence exists to suggest that the spleen modulates brain injury induced by stroke. First, there is a correlation between reductions in spleen size after stroke and the extent of infarction in animal studies, as well as between spleen size and brain injury in stroke patients [43]. Second, a number of studies suggest that neuroprotectants attenuate the reduction in spleen size and splenocyte numbers. As we reported, moderate hypothermia attenuates the reduction in spleen size and lymphocyte numbers, and this correlates with the robust protective effects of hypothermia on brain infarction [44]. In addition, cord blood injection inhibited brain injury, which was associated with less reduced spleen sizes [45]. Taken together, the spleen contributes to brain injury induced by stroke.

Nevertheless, the underlying mechanisms involved in the cross talk between the spleen and post-stroke ischemic brain, and how immune cells derived from the spleen modulate ischemic brain injury are unclear. In the next two sections I will identify potential mechanisms based on previous studies about spleen function, as well as the possible roles of splenic immune cells in neuroinflammation.

## 4 Communication Between the Spleen and Brain

Bidirectional communication between the spleen and brain occurs via the immune system and central nervous system (CNS). The peripheral lymphoid organs, including the spleen, are hardwired to the autonomous nervous system [46]. In addition,

lymphocytes in the spleen and other peripheral lymphoid organs express receptors for neurotransmitters released from the nervous system [23]. Other sensors, such as cytokine receptors in the CNS, relay information from the CNS to peripheral organs. This information is mainly processed in the brain by the frontal premotor cortex, hypothalamus, pituitary, and brain stem, which forms three major pathways: the hypothalamus–pituitary–adrenal (HPA) axis, the sympathetic nervous system (SNS), and the parasympathetic nervous system (PNS) [23]. These three major systems bridge the peripheral lymphoid organs, including the spleen, with the brain.

The SNS innervates almost all lymphoid organs, including the bone marrow, thymus, lymphoid nodes, and spleen [23]. In addition, almost all leukocytes express adrenergic receptors, which react with the neurotransmitter catecholamine released from the SNS [47–51]. Activation of the SNS due to inflammatory stimulation in the brain results in a large release of catecholamine, which influences immune functions in the peripheral lymphoid organs [23]. It is known that catecholamine released from the SNS results in a transient and rapid release of leukocytes from the lymphoid organs, including the spleen [52, 53]. This results in a rapid increase of leukocytes into the circulation, which migrate to the brain and exacerbate the brain's inflammatory response [52]. Indeed, Ajmo and colleagues demonstrated that treatment with either prazosin, an  $\alpha_1$  receptor blocker, or carvedilol, a pan adrenergic receptor blocker, prevented the reduction in spleen size, and carvedilol significantly reduced infarct volume, suggesting that SNS-mediated catecholamines regulate the splenic response to stroke through the activation of adrenergic receptors [12].

In addition to the SNS, PNS and HPA are also involved in the crosstalk between the CNS and peripheral lymphoid organs [23]. PNS activity modulated by the release of acetylcholine promotes the anti-inflammatory response, and reduces cytokine production, such as IL-1 $\beta$  and TNF $\alpha$ , in the peripheral organs [54]. In addition, HPA activity leads to the release of glucocorticoids, which are also anti-inflammatory and immune-suppressive [55, 56]. Nevertheless, whether or not PNS and HPA activity results in leukocyte release from the spleen is not clear.

Although it is clear that the spleen contributes to brain injury induced by stroke, and communication between the spleen and CNS is modulated by the three pathways previously discussed, the role of the SNS, PNS, and HPA in the worsening effects of spleen on brain injury has not been well studied. It is likely that stroke results in neuroinflammation in the ischemic brain, which produces various inflammatory cytokines, such as IL-1 $\beta$ , IL-6, and TNF  $\alpha$  [23]. These released cytokines may secrete into the CSF or diffuse within the ischemic brain, thus stimulating the SNS and resulting in the release of leukocytes from the spleen. Another possibility is that inflammatory cytokines are released from the ischemic brain into the circulation, and these cytokines act directly on the spleen via the circulating blood [23]. The released leukocytes could then infiltrate into the ischemic brain, and result in a larger infarction.

## 5 Neuroinflammation and Spleen Immune-Cell Trafficking to the Brain After Stroke

Inflammation plays several critical roles in stroke and stroke-induced brain injury. For example, systemic infection-induced inflammation correlates with stroke [57–63], and surgery-induced inflammation also increases the risk of stroke [64–66]. Second, after ischemic stroke there is an immediate onset of neuroinflammation, which involves multiple facets, including brain damage, tissue clearance, and functional recovery [67]. The spleen may be involved in these aforementioned neuroinflammation and stroke, although there is no clear evidence indicating how spleen immune cells modulate stroke, and the role of splenocytes on post-stroke brain recovery has not been studied.

Upon stroke, cerebral blood vessel(s) is occluded and become hypoxic, causing reduced nitric oxide and further constriction of blood vessels, which increases production of reactive oxygen species and platelet activity [67]. These intravascular changes cause the adhesion of leukocytes to the blood vessel walls, and increases in blood–brain barrier permeability and leukocyte infiltrate to the ischemic brain. Therefore, in addition to resident microglia in the brain, which are activated and transformed into macrophages, other leukocytes from circulating blood, including neutrophils, monocytes/macrophages, B cells, T cells, NK, and NKT cells, play important roles in neuroinflammation and brain injury induced by stroke [67].

It is known that T cells modulate brain injury [31, 32, 67]. T cells include the subsets of CD4 T cells, CD8 T cells, and  $\gamma\delta$ T cells. Functionally, activated CD4 T cells during immune responses can be further differentiated into Th1, Th2, and Th17 subsets. It has been reported that the lack of total T cells, or a subset of CD4, CD8, or  $\gamma\delta$ T cells, results in a smaller infarction compared with immune intact animals [68]. In addition, the lack of Th1 cells results in neuroprotection, while the lack of Th2 cells exacerbates brain injury [31]. We also observed that the lack of Th17 was neuroprotective against stroke (unpublished observation). Th17 cells function by secreting IL-17. A previous study has shown that neutralization of IL-17 attenuates neuroinflammation [69]. Among these cell types, CD4+CD25+ regulatory T cells (Treg) have been the most widely studied, with results showing that Treg cells have anti-inflammatory functions and their activity attenuates delayed brain injury induced by stroke [68, 70, 71]. B cells have been less studied in stroke. The first study on B cells suggested that B cells do not have an effect on brain injury induced by stroke [33]. More recently, regulatory B cells were found to inhibit brain injury [72].

Monocytes/macrophages are also important for brain injury induced by stroke. Macrophages can be derived from both brain resident microglia and peripheral blood monocytes. The latter can be released from bone marrow and other secondary lymphoid organs, including the spleen. Functionally, macrophages are polarized into M1 and M2 phenotypes, with pro- and anti-inflammatory roles, respectively [73, 74].

It has been reported that the M1 phenotype is detrimental, while M2 phenotype is beneficial, in ischemic stroke [75–77]. In particular, M2 macrophages may play a critical role in promoting brain repair and recovery after stroke [75].

As we discussed, the spleen contains most of the cell types in the immune system, including T cells, B cells, monocytes, and macrophages, and stroke results in the contraction of the spleen, which leads to the release of leukocytes into the blood circulation. The released leukocytes then migrate to the brain modulating acute brain injury. Nevertheless, as discussed, leukocytes recruited into the ischemic brain include those released not only from the spleen and other secondary lymphoid nodes, but also from the primary lymphoid organs, such as bone marrow. It still remains unclear how much the spleen contributes to or modulates brain injury induced by stroke among these various lymphoid organs.

Although the spleen contributes to brain injury induced by stroke, few studies have examined the exact roles of the individual cell types that make up splenocytes or the underlying mechanisms of spleen-induced brain injury. Nevertheless, several lines of evidence from previous studies indeed suggest that the involvement of spleen in ischemic brain injury is associated with splenocytes and neuroinflammation. First, one recent study suggests that spleen contraction induced by stroke correlates with reduced cell numbers of monocytes in the spleen, including pro-inflammatory Ly6C<sup>hi</sup> and anti-inflammatory Ly6C<sup>low</sup> monocytes [42]. The study further showed that the deployment of these monocyte subsets coincided with respective increases in the ischemic brain [42]. In contrast, splenectomy reduced leukocyte infiltrations into the ischemic brain [42], suggesting that monocytes are released from the spleen and migrate to the ischemic brain. Second, there is additional direct evidence that splenocytes infiltrate into the ischemic brain. In one study, splenocytes were labeled with CFSE, and it was found that CFSE-positive cells were released into the blood from the spleen, including T cells, neutrophils, and monocytes. The presence of CFSE-positive monocytes and NK cells in the cerebral blood vessels of the ischemic brain [39] suggests that splenocytes migrate into the ischemic brain. Third, splenocyte infiltrations are associated with the expression of inflammatory factors. Splenectomy results in reductions in T cells, neutrophils, and macrophages in the ischemic brain [41], and this is associated with decreases in pro-inflammatory cytokines, such as IL-1 $\beta$  and TNF $\alpha$ , and with increases in anti-inflammatory cytokines, including IL-10, in the brain [41]. Another study suggests that the protective effect of splenectomy is associated with IFN $\gamma$ , as IFN $\gamma$  was found to be increased in the spleen in early stroke followed by increases in the brain [40]. Fourth, the protective effects of neuroprotectants are linked to spleen functions. For instance, agmatine treatment reduced the contraction of white pulp, and inhibited the accumulation of CD11b macrophages and Treg cells in the spleen [78]. In addition, MFX treatment attenuated Ly6C expression in pro-inflammatory macrophage subsets and CCR2 expression in the spleen tissues [79]. Furthermore, the injection of cord blood reversed the reduction in spleen size and concomitant reductions in CD8 T cells after stroke, as well as increased IL-10 while inhibiting IFN-gamma [45].

## 6 Problems and Future Research Directions

It is well established that the spleen contributes to acute brain injury after stroke, but there are many questions raised from previous studies. First, the underlying mechanisms of the spleen's contribution to brain injury are not understood. Future studies can address the following questions: How are the nerve pathways, including SNS, PNS, and HPA involved in the interaction between the spleen and brain after stroke? How are immune cells released from the spleen? Which splenocytes migrate to the ischemic brain, and which cell types play the most important roles? Second, the spleen is only one of the immune organs, and how much it contributes to ischemic brain injury is unknown. Therefore, one may ask, what is the relative contribution of the spleen, in comparison to the other lymphoid organs, to brain injury? Third, previous studies have focused on studying the relationship between acute brain injury and the spleen; whether the spleen plays an important role in brain repair and recovery has not been studied. More scientific issues include: Does the spleen play a critical role in brain recovery after stroke? If so, what is the underlying mechanism?

## 7 Conclusions

Stroke results in the release of inflammatory factors that stimulate nerve tissue sensors inside and outside of the brain as well as sensors expressed on peripheral organs, such as the spleen. The contraction of the spleen after stroke leads to the release of splenocytes, which migrate to the ischemic brain and exacerbate brain injury. Taken together, the spleen plays an important role in acute brain injury induced by stroke, but the underlying mechanisms require more study, and the importance of the spleen to brain repair and recovery is not clear.

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