# **Partial Key Exposure Attacks on RSA with Exponent Blinding**

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**Abstract.** Partial key exposure attacks, introduced by Boneh, Durfee and Frankel in 1998, aim at retrieving an RSA private key when a fraction of its bits is known. These attacks are of particular interest in the context of side-channel attacks, where the attacker can retrieve bits of the key exploiting leakages in the implementation. In this work we analyze the effectiveness of partial key exposure when a countermeasure for side-channel attacks is adopted. In particular, we consider the exponent blinding technique, which consists in randomizing the private exponent at each execution. We address our analysis to both RSA and CRT-RSA, providing theoretical proofs and experimental results.

**Keywords:** RSA · Partial key exposure · Coppersmith's method · Exponent blinding · Horizontal attack

# **1 Introduction**

Partial key exposure attacks, introduced by Boneh et al. in 1998 [\[7](#page-21-0)], are attacks that rely on some knowledge about the private key, for example some portion of the bits, that can be used to fully recover the key itself and break the system. The high interest for this family of attacks is motivated by the fact that, in practice, some implementations may leak some bits of the private key, as in the case of side channel attacks.

In these attacks, introduced in 1996 by Paul Kocher [\[14\]](#page-21-1), some information can be extracted by examining the RSA computation (such as power consumption, electromagnetic emission, acoustic emission, etc.), and can be used to recover the secret key. Most side channel attacks leverage on combining the sidechannel leakages, i.e. traces, of several executions of the cryptographic algorithm with same secret but different input. The first attack of this family is Differential Power Analysis (DPA) [\[16\]](#page-21-2). Its main feature is the ability to significantly reduce the random noise, by averaging a large amount of traces, compared to Simple Power Analysis (SPA), where only one trace is used.

In general, side channel attacks allow the adversary to gain information about some number of either consecutive most significant bits (MSBs) or least significant bits (LSBs) of the private exponent. On the basis on this knowledge,

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Boneh et al. show that, for the common cases where the factorization of the public exponent is known, the given partial information on the private exponent can be used to obtain partial information on a prime factor of the modulus. In turn, those attacks rely on Coppersmith's method to find small solutions of univariate modular polynomials. This method has been presented in [\[4](#page-20-0)], and extended to bivariate equations, enabling the factorization of an RSA modulus given half of the bits of one of its prime factors [\[11](#page-21-3)].

The importance of partial key exposure attacks is given also by the consideration that in many cases some countermeasures can be adopted by implementers to thwart side channel attack and enable the attacker to obtain information on just a part of the secret exponent. Indeed, a common countermeasure used for RSA is exponent blinding, originally introduced in [\[14](#page-21-1)] but often attributed to [\[15\]](#page-21-4). This technique consists of adding a random multiple of  $\phi(N)$  to the RSA private exponent at each execution. This countermeasure has the feature to change the private exponent at each computation, thus not permitting the use of multiple traces, as required for DPA. This results in the need of using a single trace to discover the secret key. A method for this was originally proposed in [\[17\]](#page-21-5), for a particular exponentiation algorithm, and generalized for regular exponentiation algorithms in [\[18](#page-21-6)] and named horizontal attack.

The question as to whether partial key exposure could be applied in this setting was answered in [\[6\]](#page-21-7). The authors presented two techniques to recover the full exponent, knowing enough MSBs or LSBs portions of it, leaving the open question as up to which extent it is possible to apply partial key exposure when exponent blinding is applied to the Chinese Remainder Theorem variant of RSA (CRT-RSA) [\[21\]](#page-21-8).

**Our Contribution.** Our contribution consists of new methods for partial key exposure when exponent blinding is used, improving the results of [\[6](#page-21-7)] for common RSA settings and providing novel attacks for the CRT variant. Specifically, in this work, we:

- provide a more efficient technique for the LSBs attack, requiring to reduce a lattice basis of lower dimension;
- reduce the number of required bits for the MSBs attack and make it to not rely on a common heuristic assumption;
- present novel attacks against CRT-RSA implementations that make use of exponent blinding; This particular case has never been analyzed before;
- provide experimental results using moduli of 2048 or 3072-bit length.

This work is organized as follows. At first we present some previous results on partial key exposure attacks in Sect. [2.](#page-2-0) In Sect. [3](#page-2-1) we recall some basic information about RSA and the parameter choices commonly made for real applications. In Sect. [4](#page-5-0) we give a brief introduction about lattices and Coppersmith's method. In Sect. [5](#page-7-0) we present two partial key exposure attacks on RSA with exponent blinding and in Sect. [6](#page-12-0) on CRT-RSA with exponent blinding. Experimental results are then provided in Sect. [7.](#page-15-0)

### <span id="page-2-0"></span>**2 Related Works**

In their work, Boneh, Durfee and Frankel presented several attacks on RSA based on the knowledge of the least significant bits of the private exponent or of the most significant bits of the private exponent [\[7\]](#page-21-0). When the LSBs are known they show that a quarter of the private exponent is sufficient to break the system if the public exponent is relatively small, i.e. smaller than  $N^{\frac{1}{4}}$ . When the MSBs are known, the number of bits that the adversary needs to know depends on his knowledge of e. Supposing that  $N^{\frac{1}{4}} < e < N^{\frac{1}{2}}$  and its factorization is known, than at most half of the bits of  $d$  are required. The smaller  $e$  is, the smaller the number of required bits is. Indeed, when e is close to  $N^{\frac{1}{4}}$  then only a quarter of bits of  $d$  are sufficient to mount the attack. When the factorization for  $e$  is not known and  $e < N^{\frac{1}{2}}$ , at least half of the bits of d are required. Unlike the previous case, the smaller  $e$  is, the bigger number of required bits is.

In 2003, Blömer and May presented partial key exposure attacks considering larger values of the public exponent e. They show that for  $N^{\frac{1}{2}} < e < N^{\frac{3}{4}}$  the number of MSBs of d required to mount the attack increases as e grows. For instance, when e is close to  $N^{\frac{1}{2}}$  then half of the bits of d suffice to mount the attack, whereas for e close to  $N^{\frac{2}{3}}$  the fraction of required bits is bigger than 80 %. When LSBs of the private exponent are known, they provide results for all exponents  $e < N^{\frac{7}{8}}$ . When e is close to  $N^{\frac{1}{2}}$ , about 90% of the bits are required, whereas when e is close to  $N^{\frac{7}{8}}$ , almost all the bits must be known. In this work, Blömer and May provide also results for CRT-RSA. In the case of known LSBs, for low public exponents e (i.e.  $e = poly(\log N)$ ), half of the LSBs of  $d_p$  suffice to mount the attack. In the case of known MSBs for  $e < N^{\frac{1}{4}}$  again only half of the MSBs of  $d_p$  are required.

In [\[8\]](#page-21-9), Lu et. al. extended the attack for CRT-RSA up to  $e < N^{\frac{3}{8}}$  and  $d_p$  of full-size. The bigger e is, the bigger the number of required bits of  $d_p$  is, for both the MSBs and LSBs cases.

In  $[3]$  $[3]$ , Ernst et al. provided new results in the case e or d is full-size and the other is relatively small. For instance, when d is close to  $N^{\frac{1}{3}}$  then a quarter of its MSBs or of its LSBs are sufficient to mount the attack.

All these works consider the private exponent d smaller than N. In 2012, Joye and Lepoint [\[6](#page-21-7)] analyzed RSA implementations using larger exponents d, which is the scenario of exponent blinding. We will give more details about their results in the next sections when comparing our approach with their one.

# <span id="page-2-1"></span>**3 RSA Applications**

In literature, Coppersmith's method has been applied with very different, and unusual, RSA parameters. For example the case where  $e$  is of the same bitsize of N has been analyzed in  $[3]$  $[3]$ . In this work we preferred to focus our analysis on more common RSA settings. Before presenting them, we briefly introduce the RSA algorithm and its variant CRT-RSA.

#### **3.1 RSA**

A pair of private and public key for RSA is generated as follows.

At first, two large distinct primes  $p$  and  $q$  are chosen at random. Then the modulus  $N = p \cdot q$  is defined together with  $\phi(N) = (p - 1) (q - 1)$ , where  $\phi$ denotes the Euler's totient function. Then an integer  $e$  is chosen such that  $1 <$  $e < \phi(N)$  and gcd  $(e, \phi(N)) = 1$  (i.e., e and  $\phi(N)$ ) are coprime). Finally the multiplicative inverse of e modulo  $\phi(N)$ , denoted by d, is computed. Namely, e and d satisfy

$$
ed \equiv 1 \bmod N . \tag{1}
$$

The pair  $(N,e)$  is released as the public key, whereas d is the private key. Notice that also p, q and  $\phi(N)$  are kept private, otherwise they can be used to calculate d.

To encrypt a message, it is first turned into an integer m, such that  $0 \leq$  $m < N$  and  $gcd(m, N) = 1$  and then a modular exponentiation by e is performed. Namely, the ciphertext is computed as  $c = m^e \pmod{N}$ . To decrypt the ciphertext c, an exponentiation by d is performed:  $m = c^e \pmod{N}$ .

The correctness of the algorithm relies on the Euler's theorem, which states that for each a that is coprime with N the equation  $a^{\phi(N)} \equiv 1 \text{ mod } sN$  holds. Thus, the following equalities show that  $m$  is correctly retrieved:

$$
m^{ed} \equiv m^{1 + k\phi(N)} \equiv m \left( m^{\phi(N)} \right)^k \equiv m(1)^k \equiv m \bmod N . \tag{2}
$$

The first equality holds since  $ed \equiv 1 \mod N$ , that implies  $ed = 1 + k\phi(N)$  for some integer  $k$ . By working separately modulo  $p$  and modulo  $q$  and then combing the results through the Chinese Remainder Theorem [\[21](#page-21-8)] it is possible to show that encryption and decryption works even when gcd  $(m, N) \neq 1$ .

#### **3.2 CRT-RSA**

In order to speed up the exponentiation computation, some RSA implementations make use of a technique based on the Chinese Remainder Theorem  $(CRT)[21]$  $(CRT)[21]$ . In particular, one can use exponents

$$
d_p = d \bmod (p-1) \quad \text{and} \quad d_q = d \bmod (q-1)
$$

to compute

$$
m_1 = c^{d_p} \mod p
$$
 and  $m_2 = c^{d_q} \mod q$ .

Then, the value

$$
h = q^{-1}(m_1 - m_2) \bmod p
$$

is computed and the message retrieved as  $m = m_2 + hq$ .

#### **3.3 Exponent Blinding**

The side-channel countermeasure considered in this work is the exponent blind-ing, introduced by Kocher [\[14\]](#page-21-1). It consists of adding a random multiple of  $\phi(N)$ to d. In particular, RSA exponentiation is computed by using the new exponent  $d^* = d + \ell \phi(N)$ , for some  $\ell > 0$  randomly chosen at each execution. The correctness of RSA is still valid, since

$$
m^{ed^*} \equiv m^{ed + e\ell\phi(N)} \equiv m^{1 + (k + e\ell)\phi(N)} \equiv m \left( m^{\phi(N)} \right)^{k + e\ell} \equiv m(1)^{k + e\ell} \equiv m \mod N \tag{3}
$$

Also CRT-RSA can be protected with exponent blinding. Thus, exponentiation is computed by using  $d_p^* = d_p + \ell_1(p-1)$  and  $d_q^* = d_q + \ell_2(q-1)$ , for some  $\ell_1, \ell_2 > 0$  randomly chosen.

#### **3.4 Common Parameters Setting**

The modulus N has prime factors  $p$  and  $q$  that for security purposes are chosen of equal bit-size. We assume wlog that  $p > q$ , that implies

$$
q<\sqrt{N}
$$

and

$$
\sqrt{N} < p + q < 3\sqrt{N} \; .
$$

It is common practice to choose the modulus N as 1024, 2048 or 3072-bit long.

The most common value for the public exponent e is  $2^{16} + 1$ . This is also the default value for the public exponent in the OpenSSL library. Other common values are 3 and 17. NIST mandates that e satisfies  $2^{16} < e < 2^{256}$  [\[12](#page-21-10)]. Therefore, to be as generic as possible but still adhering to realistic scenarios, we will consider in our analysis  $3 \le e < 2^{256}$ , but we will provide experiments only for the most common case  $e = 2^{16} + 1$ .

The exponent d is commonly chosen to be full size, namely as large as  $\phi(N)$ . In order to speed-up the decryption process, someone suggests to use smaller d. However, this choice may lead to security problems as Wiener's attack [\[2\]](#page-20-2). Therefore, it is usually avoided.

The dimension of the random factor  $\ell$  used in the exponent blinding countermeasure is a tradeoff between security and efficiency. If  $\ell$  is 32-bit long or smaller, it allows some combination of brute-forcing and side-channel as in [\[19\]](#page-21-11), where a brute-force on  $\ell$  is required. Thus, it is a safer choice to use  $\ell$  with bit-size 64. A larger dimension would make the decryption process less efficient.

In our analysis, to maintain generality, we will consider  $0 \leq \ell < 2^{128}$  and in our experiments we will test bit-sizes of 0, 10, 32, 64 and 100. Our methods never require the capability of brute-forcing the values of k or  $\ell$ , sometimes needed in other works.

To recap, in this work we will consider both RSA and CRT-RSA implementations that make use of the exponent blinding countermeasure. Our RSA settings will consider moduli of 1024, 2048 and 3072 bits, public exponent such that  $3 \le e \le 2^{256}$ , private exponent of full size and a randomization factor up to 128 bits. To derive theoretical bounds in next sections, we prefer to express the restrictions on e and  $\ell$  with respect to the modulus N. In general, we translate them to the less restrictive conditions:  $\ell < 2N^{\frac{1}{8}}$  and  $e < 2N^{\frac{1}{4}}$ . When necessary, we will consider more restrictive bounds. We will run experiments by considering the widely used public exponent  $e = 2^{16} + 1$  and random values  $\ell$  of different bit-size from 0 to 100. The modulus  $N$  will be 2048 or 3072-bit long, but note that our attacks are effective also for other sizes.

### <span id="page-5-0"></span>**4 General Strategy**

Partial key exposure attacks relies on Coppersmith's method for finding roots of modular polynomials and multivariate polynomials. This method makes significant use of lattices and lattice reduction algorithms.

We give here a brief introduction to lattices and to the general strategy used in partial key exposure attacks and thus also in our attacks.

#### **4.1 Lattices**

Given a set of real linearly independent vectors  $B = \{b_1, \ldots, b_n\}$  with  $b_i \in \mathbb{R}^n$ , a (full-rank) lattice spanned by  $B$  is the set of all integer linear combinations of vectors of B. Namely, the set  $L(B) = {\sum_i x_i b_i : x_i \in \mathbb{Z}}.$ 

B is called the *basis* of the lattice and the  $(n \times n)$ -matrix consisting of the row vectors  $b_1, \ldots, b_n$  is called basis matrix.

Every lattice has an infinite number of lattice bases. A basis is obtained from another through a unimodular transformation (i.e., by multiplying the basis matrix by a matrix with determinant  $\pm 1$ ). The determinant of the lattice is defined as  $\det(L) = |\det(B_i)|$  and is an invariant, namely it is independent of the choice of the basis. The dimension of the lattice is  $\dim(L) = n$ .

The goal of lattice reduction is to find a basis with short and nearly orthogonal vectors. The LLL algorithm [\[20](#page-21-12)] produces in polynomial time a set of reduced basis vectors. The following theorem bounds the norm of these vectors.

<span id="page-5-2"></span>**Theorem 1 (Lenstra-Lenstra-Lovász).** Let L be a lattice of dimension n. *The LLL-algorithm outputs in polynomial time reduced basis vectors*  $v_i$ ,  $1 \leq i \leq$ n*, satisfying*

$$
||v_1|| \le ||v_2|| \le \ldots \le ||v_i|| \le 2^{\frac{n(n-1)}{4(n+1-i)}} \det L^{\frac{1}{n+1-i}}.
$$

#### **4.2 General Strategy**

In [\[4\]](#page-20-0), Don Coppersmith presents a rigorous method to find small roots of univariate modular polynomials. The method is based on LLL and can be extended to polynomials in more variables, but only heuristically.

<span id="page-5-1"></span>In this work we use the following reformulation of Coppersmith's theorem due to Howgrave-Graham [\[5\]](#page-21-13).

**Theorem 2 (Howgrave-Graham).** Let  $f(x_1,...,x_k)$  be a polynomial in k *variables with* n *monomials. Let* m *be a positive integer. Suppose that*

1. 
$$
f(r_1, \ldots, r_k) = 0 \mod b^m
$$
 where  $|r_i| < X_i \forall i$ ;  
\n2.  $||f(x_1X_1, \ldots, x_kX_k)|| < \frac{b^m}{\sqrt{n}}$ .

*Then*  $f(r_1, \ldots, r_k) = 0$  *holds over the integers.* 

The general strategy is the following. Starting from an RSA equation we construct a multivariate polynomial  $f_b(x_1,...,x_k)$  modulo an integer b, such that its root  $(r_1,\ldots,r_k)$  contains secret values. Our goal is to find this root, even if no classic root finding method is known for modular polynomials. So, we construct k polynomials  $f_1, \ldots, f_k$  satisfying the two conditions of Theorem [2](#page-5-1) so that such polynomials will have the same root  $(r_1,\ldots,r_k)$  over  $\mathbb Z$ . Finally, we compute the common roots of these polynomials and recover the secret values.

To generate such polynomials we apply the following strategy. Starting from  $f_b$  we construct auxiliary polynomials  $g_i(x_1,\ldots,x_k)$  that all satisfy condition 1 of Howgrave-Graham's Theorem. Since every integer linear combination of these polynomials also satisfies condition 1, we look for linear combinations that also satisfy condition 2. Such combinations are the polynomials  $f_1,\ldots,f_k$ .

In order to construct  $f_1,\ldots,f_k$ , we build a lattice  $L(B)$  where the basis B is composed by the coefficient vectors of the polynomials  $g_i(x_1X_1,\ldots,x_kX_k)$  (with  $X_1,\ldots,X_k$  bounds on the root as in Theorem [2\)](#page-5-1).

By using the LLL-lattice reduction algorithm, we obtain a reduced basis for the lattice  $L$  as in Theorem [1.](#page-5-2) The first  $k$  vectors of the reduced basis have norm smaller than  $\frac{b^m}{\sqrt{n}}$ , if:

$$
2^{\frac{n(n-1)}{4(n+1-k)}} \det L^{\frac{1}{n+1-k}} < \frac{b^m}{\sqrt{n}} \, .
$$

<span id="page-6-1"></span>We may let terms that do not depend on N contribute to an error term  $\epsilon$  and consider the simplified condition

$$
\det L \le b^{m(n+1-k)} \tag{4}
$$

If this condition holds, then we can use the first  $k$  reduced-basis vectors to construct the polynomials  $f_1, \ldots, f_k$  satisfying the second condition of Theorem [2.](#page-5-1) Then, in order to compute  $(r_1,\ldots,r_k)$ , we do the following.

If  $k = 1$ , then we consider the polynomial  $F = f_1(x_1)$  and apply a classic roots finding algorithm for univariate polynomials over the integers.

If  $k > 1$ , we use the resultant computation to construct k univariate polynomials  $F_i(x_i)$  from  $f_1,\ldots,f_k$  and apply a classic roots finding algorithm for each of them. The effectiveness of this last method relies on the following heuristic assumption.

<span id="page-6-0"></span>**Assumption 1.** The resultant computation for the polynomials  $f_i$  described *above yields a non-zero polynomial.*

This assumption is fundamental and widely used for many attacks in literature [\[3](#page-20-1)[,6](#page-21-7)[–9](#page-21-14)]. None of our experiments has ever failed to yield a non-zero polynomial and hence to mount the attack.

In this work we will make use of a seminal result due to Coppersmith, based on the strategy described above. We present here a more general variant of it, due to May [\[13](#page-21-15)], together with a sketch of its proof to illustrate how we will construct lattices for our experiments.

<span id="page-7-1"></span>**Theorem 3.** Let  $N = pq$  with  $p > q$ . Let k be an unknown integer that is not a *multiple of q. Suppose we know an approximation*  $\widetilde{k}p$  *of*  $kp$  *with*  $|kp-\widetilde{k}p| \leq 2N^{\frac{1}{4}}$ . *Then we can factor* N *in time polynomial in* log N*.*

*Sketch of Proof.* Define the univariate polynomial

$$
f_p(x) = x + \widetilde{k p}
$$

with root  $x_0 = kp - \widetilde{k}p$  modulo p.

Divide the interval  $[-2N^{\frac{1}{4}}, 2N^{\frac{1}{4}}]$  into 8 subintervals of size  $\frac{1}{2}N^{\frac{1}{4}}$  centered at some  $x_i$ . For each subinterval consider the polynomial  $f_p(x - x_i)$  and find its roots r such that  $|r| \leq \frac{1}{4}N^{\frac{1}{4}}$ . Among all these roots of all these polynomials there is also  $x_0$ . So, for each  $f_p(x - x_i)$  set  $X = \frac{1}{4}N^{\frac{1}{4}}$ . Fix  $m = \lceil \log N/4 \rceil$  and set  $t = m$ .

Define the auxiliary polynomials

$$
g_{i,j}(x) = x^{j} N^{i} f^{m-i}
$$
 for  $i = 0, ..., m - 1$ ;  $j = 0$ ;  
\n $h_i(x) = x^{i} f^{m}(x)$  for  $i = 0, ..., t - 1$ .

and construct the lattice spanned by the vectors  $g_{i,j}(xX)$  and  $h_i(xX)$ .

By applying the LLL-algorithm to  $L$ , a reduced basis is obtained. From the shortest vector construct the polynomial  $f_i(x)$ . Among its roots over the integers, there are also the roots of  $f_p(x-x_i)$ . Compute the roots of  $f_i(x)$  by using a classic roots-finding algorithm. Construct the set  $R$  of all integer roots of the polynomials  $f_i(x)$ . The set R will contain also the root  $x_0$ .

Thus,  $f(x_0) = kp$  can be computed and, since k is not a multiple of q, the computation of gcd  $(N, kp)$  gives p.

Recall that the LLL-algorithm is polynomial in the dimension of the matrix basis and in the bit-size of its entries. Since the dimension of the lattice is  $m + t = \lceil \log N/2 \rceil$  and the bit-size of its entries is bounded by a polynomial in  $(m \log N)$ , every step of the proof can be done in polynomial time.

# <span id="page-7-0"></span>**5 Attacks on RSA**

In this section we present two attacks on RSA implementations, one given the most significant bits of the private exponent and the other one given its least significant bits. We assume that the private exponent  $d$  is full-size and that it is masked by a random multiple  $\ell$  of  $\phi(N)$ . Thus, exponentiation is performed by using the exponent  $d^* = d + \ell \phi(N)$  for some  $\ell \geq 0$ . When  $\ell = 0$  clearly  $d^* = d$ , that means that no countermeasure is applied.

#### **5.1 Partial Information on LSBs of** *d<sup>∗</sup>*

In this section, we assume that the attacker is able to recover the least significant bits of the secret  $d^*$ . We write  $d^* = d_1 \cdot M + d_0$ , where  $d_0$  represents the fraction of  $d^*$  known to the attacker while  $d_1$  represents the unknown part. For instance, if the attacker knows the m LSB of  $d^*$ , then  $M = 2^m$ .

<span id="page-8-0"></span>To prove our result, we generalize the method used in [\[9\]](#page-21-14), by introducing the new factor  $\ell$ .

**Theorem 4.** Let  $(N, e)$  be an RSA public key with  $e = N^{\alpha} \leq 2N^{\frac{1}{4}}$  and  $d^* =$  $d + \ell \phi(N)$ , for some  $\ell = N^{\sigma} \leq 2N^{\frac{1}{8}}$ . Suppose we are given  $d_0$  and M satisfying  $d_0 = d^* \mod M$  *with* 

$$
M \ge N^{\frac{1}{3}\sqrt{1+6(\alpha+\sigma)}+\frac{1}{6}(1+6\sigma)+\varepsilon},
$$

*for some*  $\varepsilon > 0$ *. Then, under Assumption [1,](#page-6-0) we can find the factorization of* N *in time polynomial in* log N*.*

*Proof.* We start from the RSA equation

$$
ed-1=k\phi(N) .
$$

Since  $d^* = d + \ell \phi(N)$ , we obtain the equation

$$
ed^* - 1 = (k + e\ell)\phi(N) .
$$

Let  $k^* = k + e\ell$ , so that  $ed^* - 1 = k^* \phi(N)$ .

By writing  $d^* = d_1M + d_0$  and considering that  $\phi(N) = N - (p + q - 1)$ , we get

$$
k^*N - k^*(p+q-1) - ed_0 + 1 = eMd_1.
$$

It follows that the bivariate polynomial

$$
f_{eM}(x,y) = xN - xy - ed_0 + 1
$$

has root  $(x_0, y_0) = (k^*, p + q - 1)$  modulo eM.

In order to bound  $x_0$ , notice that

$$
k^* = \frac{ed^* - 1}{\phi(N)} < e\left(\frac{d + \ell\phi(N)}{\phi(N)}\right) < e(1 + \ell) \le 2N^{\alpha + \sigma} \; .
$$

In addition, recall that  $p + q \leq 3N^{\frac{1}{2}}$ .

We can set the bounds  $X = 2N^{\alpha+\sigma}$  and  $Y = 3N^{\frac{1}{2}}$  so that  $x_0 \leq X$  and  $y_0 \le Y$ .

To construct the lattice, we consider the following auxiliary polynomials

$$
g_{i,j}(x,y) = x^{i}(eM)^{i} f_{eM}^{m-i} \text{ for } i = 0,\ldots,m; \ j = 0,\ldots,i; h_{i,j}(x,y) = y^{j}(eM)^{i} f_{eM}^{m-i} \text{ for } i = 0,\ldots,m; \ j = 1,\ldots,t,
$$

for some integers m and t, where  $t = \tau m$  has to be optimized.

All integer linear combinations of these polynomials have the root  $(x_0, y_0)$ modulo  $(e\tilde{M})^m$ , since they all have a term  $(e\tilde{M})^i f_{e\tilde{M}}^{m-i}$ . So the first condition of Theorem [2](#page-5-1) is satisfied. In order to satisfy the second condition, we have to find a short vector in the lattice spanned by  $g_{i,j}(xX, yY)$  and  $h_{i,j}(xX, yY)$ . In particular, this vector shall have a norm smaller than  $\frac{(eM)^m}{\sqrt{\dim L}}$ .

The second condition of Theorem  $2$  is satisfied when inequality  $(4)$  holds, i.e. if

$$
\det L \le (eM)^{m(n-1)}.\tag{5}
$$

.

An easy computation shows that  $n = (\tau + \frac{1}{2}) m^2$  and that

$$
\det L(M) = \left( (eMY)^{3\tau+2} Z^{3\tau^2+3\tau+1} \right)^{\frac{1}{6}m^3(1+o(1))}
$$

Considering the bounds  $X = 2N^{\alpha+\sigma}$  and  $Y = 3N^{\frac{1}{2}}$ , we obtain the condition

$$
\left( (eM2N^{\alpha+\sigma})^{3\tau+2} (3N^{\frac{1}{2}})^{3\tau^2+3\tau+1} \right)^{\frac{1}{6}m^3(1+o(1))} \leq (eM)^{m(n-1)}
$$

that reduces to

$$
N^{\frac{m^3}{6}((\alpha+\sigma)(3\tau+2)+\frac{1}{2}(3\tau^2+3\tau+1))(1+o(1))} \leq (eM)^{m(n-1)-\frac{m^3}{6}(3\tau+2)(1+o(1))}.
$$

We know that  $eM \geq N^{\alpha \frac{1}{3}} \sqrt{1+6(\alpha+\sigma)} + \frac{1}{6}(1+6\sigma)+\epsilon}$ , so the above condition is satisfied if

$$
9\tau^2 + 6(\alpha + \sigma + \tau) - 2\sqrt{1 + 6(\alpha + \sigma)}(1 + 3\tau) + 2 \le 0.
$$

The left-hand side is minimized, for

$$
\tau = \frac{1}{3} \left( \sqrt{1 + 6(\alpha + \sigma)} - 1 \right) .
$$

Thus, for this choice of  $\tau$  condition 5 is satisfied so we can successfully apply the LLL-algorithm.

From the LLL-reduced basis, we construct two polynomials  $f_1(x, y)$ ,  $f_2(x, y)$ with the common root  $(x_0, y_0)$  over the integers. By the heuristic assumption, the resultant  $res_x(f_1, f_2)$  is not zero and we can find  $y_0 = p+q-1$  using standard root finding algorithms. This gives us the factorization of N.

To conclude the proof, we need to show that every step of the method can be done in time polynomial in  $log(N)$ . The LLL-algorithm runs in polynomial time, since the basis matrix  $B$  has constant dimension (fixed by  $m$ ) and its entries are bounded by a polynomial in N. Additionally,  $res_x(f_1, f_2)$  has constant degree and coefficients bounded by a polynomial in  $N$ . Thus, every step can be done in polynomial time. 

We would like to make two considerations. The first is that when  $\sigma = 0$ , we get the same result of [\[9\]](#page-21-14). Indeed, our method is a generalization of it. The second is that we obtain the same bound of  $[6]$ , but our approach is more effective in practice. As we will show in Sect. [7.1,](#page-16-0) we are able to get closer to the theoretical bound by using smaller lattices.

### <span id="page-10-2"></span>**5.2 Partial Information on MSBs of** *d<sup>∗</sup>*

In this section, we prove that if the attacker knows a sufficiently large number of most significant bits of the protected exponent, then she can factor N. To prove this result, we show how the partial knowledge on  $d^*$  can be used to construct an approximation of p that allows to apply Theorem [3.](#page-7-1)

<span id="page-10-0"></span>The advantage of this approach compared to [\[6](#page-21-7)] is that it does not rely on the heuristic Assumption [1](#page-6-0) and yields to a better bound.

**Theorem 5.** Let  $(N, e)$  be an RSA public key with  $e = N^{\alpha}$  and  $d^* = d + \ell \phi(N)$ *for some*  $\ell = N^{\sigma}$  *with*  $\sigma > 0$  *and*  $N^{\alpha+\sigma} < 2N^{\frac{3}{2}}$ *. Suppose that*  $|p-q| \ge cN^{\frac{1}{2}}$ *, for some*  $c \leq \frac{1}{2}$ *, and suppose we are given an approximation*  $d^*$  *of*  $d^*$  *such that* 

$$
|d^* - \widetilde{d^*}| \le cN^{\frac{1}{4} + \sigma}.
$$

*Then we can find the factorization of* N *in time polynomial in* log N*.*

<span id="page-10-1"></span>Notice that, like in Theorem [4,](#page-8-0) we have  $ed^* - 1 = k^* \phi(N)$  with  $k^* = k + e\ell$ . In order to prove Theorem [5](#page-10-0) we need first to prove the following lemma.

**Lemma 1.** *With*  $N^{\alpha+\sigma} < 2N^{\frac{3}{8}}$ , given  $\tilde{d}^*$  such that  $|d^* - \tilde{d}^*| \leq \frac{1}{4}N^{1-\alpha}$  then the  $approximation\ \widetilde{k^*} := \left[\frac{e\widetilde{d^*}-1}{N+1}\right] \ of\ k^* \ is\ exact.$ 

*Proof.* This proof follows the same strategy used in the proof of Theorem 6 of [\[9](#page-21-14)]. Note that

$$
|k^* - \widetilde{k^*}| < \left| \frac{ed^* - 1}{\phi(N)} - \frac{e\widetilde{d^*} - 1}{N + 1} \right|
$$
  
< 
$$
< \left| \frac{(ed^* - 1)(N + 1) - (e\widetilde{d^*} - 1)(N + 1 - (p + q))}{\phi(N)(N + 1)} \right|.
$$

Then, given that  $\phi(N) > N/2$ ,  $p+q \leq 3N^{\frac{1}{2}}$ ,  $N^2+N>N^2$  and  $d^* < 2N^{1+\sigma}$ , we obtain

$$
|k^* - \widetilde{k^*}| < \left| \frac{e(d^* - \widetilde{d^*})}{\phi(N)} \right| + \left| \frac{(p+q)(e\widetilde{d^*} - 1)}{\phi(N)(N+1)} \right|
$$
\n
$$
\left| \frac{\frac{1}{4}N^{\alpha}N^{1-\alpha}}{\frac{N}{2}} \right| + \left| \frac{6N^{\frac{3}{2}+\alpha+1+\sigma}}{\frac{N}{2}(N+1)} \right|
$$
\n
$$
\left| \frac{1}{2} + 12N^{-\frac{1}{2}+\frac{3}{8}} < \frac{1}{2} + \frac{12}{N^{\frac{1}{8}}}
$$

With RSA parameters, we have  $12 \ll N^{1/8}$ , so we can safely assume  $|k^*-\tilde{k^*}| < 1$ . But the difference between two integers is an integer, thus we can conclude that it is zero, therefore  $k^* = k^*$ .  $k^* = k^*$ .

It is worth to observe two facts: first, the bound  $|d^* - \tilde{d}^*| \leq \frac{1}{4} N^{1-\alpha}$  requires the attacker to get the  $(\log_2(N^{\sigma+\alpha}) + 2)$  most significant bits of  $d^*$ , a result which holds even for  $\sigma = 0$  (i.e.  $d^* = d$ ); second, the assumption  $N^{\alpha + \sigma} < 2N^{\frac{3}{8}}$ of Lemma [1](#page-10-1) always holds for our choice of RSA parameters.

We can now prove Theorem [5.](#page-10-0)

*Proof of Theorem* [5.](#page-10-0) We begin by applying Lemma [1](#page-10-1) to obtain the value of  $k^*$ . The condition  $|d^*-\tilde{d}^*| \leq \frac{1}{4}N^{1-\alpha}$  of the lemma is always satisfied by our choices of RSA parameters because  $\frac{1}{2}N^{\frac{1}{4}+\sigma} \ll \frac{1}{4}N^{1-\alpha}$ , since  $N^{\sigma} < 2N^{\frac{1}{8}}$  and  $N^{\alpha} < 2N^{\frac{1}{4}}$ .

We can define an approximation  $\tilde{s}$  of  $s = p + q$  as

$$
\tilde{s} := 1 + N - \frac{e\tilde{d}^* - 1}{k^*}.
$$

Reminding that  $k^*$ , with the assumption of  $\sigma > 0$ , is lower bounded by  $N^{\alpha+\sigma}$ , we obtain

$$
|s-\tilde{s}| = \left|\frac{e}{k^*} \left( d^* - \tilde{d}^* \right) \right| \le \frac{N^{\alpha}}{N^{\alpha+\sigma}} c N^{\frac{1}{4}+\sigma} \le c N^{\frac{1}{4}}.
$$

We use  $\tilde{s}$  to define

$$
\tilde{p} := \frac{1}{2} \left( \tilde{s} + \sqrt{\tilde{s}^2 - 4N} \right)
$$

as an approximation of p.

Without loss of generality, following Appendix B of [\[7\]](#page-21-0), we now assume that  $\tilde{s} \geq s$ , so that  $\tilde{p} \geq p$ .

Observe that

$$
\tilde{p} - p = \frac{1}{2}(\tilde{s} - s) + \frac{1}{2}(\sqrt{\tilde{s}^2 - 4N} - \sqrt{s^2 - 4N})
$$

$$
= \frac{1}{2}(\tilde{s} - s) + \frac{(\tilde{s} + s)(\tilde{s} - s)}{2(\sqrt{\tilde{s}^2 - 4N} + \sqrt{s^2 - 4N})}.
$$

Since  $\tilde{s} \geq s$ , we have  $\tilde{s}^2 - 4N \geq s^2 - 4N = (p - q)^2$  and  $|p - q| \geq cN^{\frac{1}{2}}$  with  $c\leq \frac{1}{2}.$ 

Noting that  $\tilde{s} \leq s + cN^{\frac{1}{4}}$ , we have

$$
\tilde{s} + s \le 2s + cN^{\frac{1}{4}} \le 2(p+q) + N^{\frac{1}{4}} \le 6N^{\frac{1}{2}} + N^{\frac{1}{4}} \le 7N^{\frac{1}{2}}.
$$

It follows that

$$
\tilde{p} - p \le \frac{1}{2}(\tilde{s} - s) + \frac{(\tilde{s} + s)(\tilde{s} - s)}{4(p - q)}
$$
  

$$
\le \frac{1}{2}cN^{\frac{1}{4}} + \frac{(7N^{\frac{1}{2}})(cN^{\frac{1}{4}})}{4cN^{\frac{1}{2}}} \le \frac{1}{4}N^{\frac{1}{4}} + \frac{7}{4}N^{\frac{1}{4}} \le 2N^{\frac{1}{4}}.
$$

Since the approximation  $\tilde{p}$  satisfies the hypothesis of Theorem [3](#page-7-1) with  $k = 1$ , we can find the factorization of N in time polynomial in  $log N$ .

<span id="page-12-1"></span>From Theorem [5](#page-10-0) we can recover the minimum number of known MSBs required. In accordance to previous sections we define this quantity as  $\log_2 M$  where M is defined as

$$
M = \frac{d^*}{|d^* - \tilde{d}^*|} = \frac{2N^{1+\sigma}}{cN^{\frac{1}{4}+\sigma}} = \frac{2}{c}N^{\frac{3}{4}} \ge 4N^{\frac{3}{4}}.
$$
 (6)

It is important to underline that this bound is not affected by the size of  $\alpha$ and  $\sigma$  as long as the condition of Lemma [1](#page-10-1) holds. In fact, while it might seem counter-intuitive, the presence of the countermeasure (i.e.  $\sigma > 0$ ) improves the theoretical bound  $|d-\tilde{d}| \leq cN^{\frac{1}{4}-\alpha}$  of Theorem 3.3 of [\[7](#page-21-0)]. However, this difference was not shown in the experimental results, probably due to low value of  $\alpha$  when  $e = 2^{16} + 1.$ 

Also note that Theorem [\(5\)](#page-10-0) provides a significant improvement over the bound of [\[6\]](#page-21-7). In fact, for  $\alpha + \sigma \leq \frac{1}{2}$  (which is always true in our setting), their bound is  $|d^* - \tilde{d}^*| \le N^{\alpha+\sigma}$ , which would require knowledge of  $\log_2(N^{1-\alpha})$  bits.

**Considerations on C.** It can be noted from equation [\(6\)](#page-12-1) that the required number of bits to be recovered depends on  $c$  which is unknown to the attacker. It's easy to show that c is closely related to  $\frac{1}{2^{i+1}}$  where i is the number of most significant bits that  $p$  and  $q$  have in common. While it is true that attacker has no a priori knowledge of c and thus can't a priori know how many bits she needs to recover before being able to apply Theorem [5,](#page-10-0) it is also true that she can get its exact value after recovering the required minimum bits  $\log_2(4N^{\frac{3}{4}})$ . In fact, she can compute  $\tilde{p}$  and  $\tilde{q} = \frac{N}{\tilde{p}}$  and retrieve c which is lower bounded by NIST in the condition  $|p - q| > 2^{\log_2(N)/2 - 100}$  so that  $\log_2(4N^{\frac{3}{4}})$  are always enough to compute it.

**Attack Using Both MSBs and LSBs of d**∗**.** We want to briefly analyze also the case where the attacker might be able to detect bits in different positions of d∗. In this scenario, the attacker could obtain enough most significant bits to satisfy Lemma [1](#page-10-1) and obtain  $\frac{1}{4} \log_2 N$  least significant bits to recover half of the bits of  $p$  and factor  $N$ , as shown in [\[7\]](#page-21-0). Thus, the knowledge of only  $(\log_2(N^{\frac{1}{4}+\sigma+\alpha})+2+\epsilon)$  bits and the resolution of an univariate equation are required. We don't describe the attack in details because, once  $k^*$  is recovered applying Lemma [1,](#page-10-1) it reduces to the method of [\[7](#page-21-0)]. Thus, we remind the reader to it. In Sect. [7,](#page-15-0) we will provide experimental results.

# <span id="page-12-0"></span>**6 Attacks on CRT-RSA**

In this section we present two attacks on CRT-RSA implementations, where we target exponentiation by  $d_p^*$ . One is based on the knowledge of the most significant bits of the CRT private exponent and one is based on the knowledge of its least significant bits. We assume that the private exponent  $d_p$  is full-size (with respect to p) and that it is masked by a random multiple  $\ell$  of  $(p-1)$ , for some  $\ell \geq 0$ . When  $\ell = 0$  clearly  $d_p^* = d_p$ , that means that no countermeasure is applied.

# **6.1 Partial Information on LSBs of d***∗* **p**

Assuming that the attacker is able to recover the least significant bits of the secret  $d_p^*$ , we can write  $d_p^* = d_1 \cdot M + d_0$  where  $d_0$  is known while  $d_1$  is unknown. The integer M is a power of two and represents the bound on the known part.

To prove our result we use a method presented by Herrmann and May to find the solutions of a bivariate linear equation modulo  $p \, [10]$  $p \, [10]$  $p \, [10]$ .

**Theorem 6.** Let  $(N, e)$  be an RSA public key with  $e = N^{\alpha}$ . Let  $d_p = d \mod p - 1$ *and let*  $d_p^* = d + \ell(p-1)$  *for some*  $\ell = N^{\sigma}$  *with*  $\sigma \geq 0$ *. Suppose that*  $N^{\alpha+\sigma} \leq$  $N^{\frac{1}{\sqrt{2}}-\frac{1}{2}}$  and that we are given  $d_0$  and M satisfying  $d_0=d_p^*$  mod M with

$$
M \ge N^{1 - \frac{1}{\sqrt{2}} + \alpha + 2\sigma + \varepsilon} ,
$$

*for some*  $\epsilon > 0$ *. Then, under Assumption [1,](#page-6-0) we can find the factorization of* N *(in time polynomial in* log N*).*

*Proof.* We start from the equation

$$
ed_p - 1 = k_p(p - 1) .
$$

Since  $d_p^* = d_p + \ell(p-1)$ , we obtain

$$
ed_p^* - 1 = (k_p + e\ell)(p - 1) .
$$

Let  $k_p^*$  denote  $k_p + e\ell$ . By writing  $d_p^* = d_1M + d_0$ , we obtain the following equation

$$
eMd_1 + k_p^* + ed_0 - 1 = k_p^*p.
$$

It follows that the bivariate polynomial

$$
f_p(x,y) = eMx + y + ed_0 - 1
$$

has root  $(x_0, y_0) = (d_1, k_p^*)$  modulo p.

In order to bound  $y_0$ , notice that

$$
k_p^* = \frac{ed_p^* - 1}{(p-1)} < e\left(\frac{d_p + \ell(p-1)}{(p-1)}\right) < e(1+\ell) \leq 2N^{\alpha+\sigma}.
$$

Additionally, recall that  $d_1 = \frac{d_p^*}{M} - d_0$ .

We can set bounds  $X = N^{\frac{1}{\sqrt{2}} - \frac{1}{2} - \alpha - \sigma}$  and  $Y = 2N^{\alpha + \sigma}$  so that  $x_0 \leq X$  and  $y_0 \leq Y$ .

To construct the lattice, we consider the following auxiliary polynomials:

$$
\bar{f} = x + Ry + R(ed_0 - 1)
$$
 where  $R = (eM)^{-1} \text{ mod } N$ ;  
\n $g_{k,i} = y^i \bar{f}^k N^{\max\{t-k,0\}}, \ k = 0, \ldots, m; i = 0, \ldots, m-k$ .

for some integers m and t, where  $t = \tau m$  has to be optimized.

All integer linear combinations of these polynomials share the root  $(x_0, y_0)$ modulo  $p<sup>t</sup>$ . Thus, the first condition of Theorem [2](#page-5-1) is satisfied. In order to satisfy the second condition we have to find a short vector in the lattice  $L$ , spanned by  $g_{k,i}(xX, yY)$ . In particular, this vector shall have a norm smaller than  $\frac{p^t}{\sqrt{\dim L}}$ .

The second condition of Theorem [2](#page-5-1) is satisfied when equation  $(4)$  holds, i.e. when

$$
\det L \le N^{\frac{1}{2}\tau m(n-1)}\,. \tag{7}
$$

A straightforward computation shows that  $n = \frac{1}{2}(m^2 + 3m + 2)$  and that

<span id="page-14-0"></span>
$$
\det L(M) = (XY)^{\frac{1}{6}(m^3 + 3m^2 + 2m)} N^{\frac{1}{6}m\tau(m\tau + 1)(4 + 3m - m\tau)}.
$$

Thus, condition [\(7\)](#page-14-0) becomes

$$
(XY)^{\frac{1}{6}(m^3+3m^2+2m)} \le N^{\frac{1}{4}\tau m(m^2+3m)-\frac{1}{6}m\tau(m\tau+1)(4+3m-m\tau)}
$$

that reduces to

$$
XY \le N^{\frac{1}{2}(3\tau + 2\tau^3 - 6\tau^2)}.
$$

Since  $XY = 2N^{\frac{1}{\sqrt{2}} - \frac{1}{2}}$ , the above condition is satisfied if

$$
\frac{1}{\sqrt{2}} - \frac{1}{2} - \frac{1}{2}(3\tau + 2\tau^3 - 6\tau^2) \le 0.
$$

The left-hand side is minimized for  $\tau = 1 - \frac{1}{\sqrt{2}}$ . For this choice of  $\tau$  condition [\(7\)](#page-14-0) is satisfied, so we can successfully apply LLL-algorithm and then find the root  $(d_1, k_p^*)$ . From this values, we can obtain  $p-1$  and then the factorization of N.

To conclude the proof, we need to show that every step of the method can be done in time polynomial in  $log(N)$ . The LLL-algorithm is polynomial in the dimension of the matrix, that is  $\mathcal{O}(m^2)$ , and in the bit-size of its entries, that are  $\mathcal{O}(m \log N)$ . Additionally,  $res_y(f_1, f_2)$  has constant degree and coefficients bounded by a polynomial in  $N$ . Thus, every step can be done in polynomial time.

# **6.2 Partial Information on MSBs of d***<sup>∗</sup>* **p**

In this section, we prove that if the attacker knows a sufficiently large number of most significant bits of the protected exponent  $d_p^*$ , then she can factor N.

<span id="page-14-1"></span>To prove this result, we show how the partial knowledge on  $d_p^*$  can be used to construct an approximation of a multiple of p that allows to apply Theorem [3.](#page-7-1)

**Theorem 7.** *Let*  $(N, e)$  *be an RSA public key with*  $e = N^{\alpha}$ *. Let*  $d_p = d \mod p - 1$ *and let*  $d_p^* = d_p + \ell(p-1)$ *, for some*  $\ell = N^{\sigma}$  *with*  $\sigma \geq 0$ *. Suppose that*  $N^{\alpha+\sigma} \leq \frac{1}{2}N^{\frac{1}{4}}$ and that we are given an approximation  $d_p^*$  of  $d_p^*$  such that

$$
|d_p^*-\widetilde{d}_p^*|\leq N^{\frac{1}{4}-\alpha}\;.
$$

*Then, we can find the factorization of* N *in time polynomial in* log N*.*

*Proof.* We start from equation

$$
ed_p^* - 1 = k_p^*(p - 1)
$$

with  $k_p^* = k_p + \ell e$ .

Note that  $k_p^* \leq 2N^{\alpha+\sigma} < \frac{1}{2}N^{\frac{1}{2}}$  implies that q can't divide  $k_p^*$ . We compute an approximation

$$
\widetilde{k_p^*p} := e\widetilde{d_p^*} - 1
$$

of  $k_p^* p$ , up to an additive error of at most

$$
|k_p^* p - k_p^* p| = |ed_p^* - 1 + k_p^* - ed_p^* + 1|
$$
  
=  $|e(d_p^* - \tilde{d}_p^*) + k| \le N^{\frac{1}{4}} + 2N^{\alpha + \sigma} \le 2N^{\frac{1}{4}}$ .

Since the approximation  $k_p^* p$  satisfies the hypothesis of Theorem [3,](#page-7-1) we can find the factorization of N in time polynomial in  $log N$ .

The bound of Theorem [7](#page-14-1) implies that an attacker has to know at least  $\log_2 M$ bits, where

$$
M = \frac{d_p^*}{|d_p^* - \tilde{d}_p^*|} = \frac{2N^{\frac{1}{2} + \sigma}}{N^{\frac{1}{4} - \alpha}} = 2N^{\frac{1}{4} + \alpha + \sigma} . \tag{8}
$$

This bound holds when the condition  $N^{\alpha+\sigma} \leq \frac{1}{2}N^{\frac{1}{4}}$  holds, which is not always the case in our settings. For example an RSA modulus of 1024 bit with  $\log_2 e$  = 256 and  $log_2\ell = 128$  will have  $N^{\alpha+\sigma} \leq 2N^{\frac{3}{8}}$ . For these cases we are unaware of successful applications of Coppersmith's method.

In [\[8\]](#page-21-9) Sect. 4 it is presented a novel technique for the CRT case with better bound but with the requirement to have  $d_p$  not full size. This requirement also implies that no countermeasure is applied.

### <span id="page-15-0"></span>**7 Experimental Results**

In our experiments we target RSA applications with 2048 or 3072-bit modulus N and public exponent  $e = 2^{16} + 1$ , since this is the most common choice made for real implementations. In addition we assume that a random multiple  $\ell$  of  $\phi(N)$  (or of  $(p-1)$  for CRT-RSA applications) is added to the private exponent d (respectively  $d_p$ ).

For each dimension of  $\ell$ , we first report the theoretical bound on the minimum number of bits of the secret key that the attacker needs to know to recover it entirely. This values are derived from theorems we have proved in previous sections. Then, we report the average minimum number of bits that we really needed in our tests. In fact, theoretical bounds are reached when the lattice dimension goes to infinity. In general, the smaller is the number of known bits, the bigger the lattice shall be. To concretely mount an attack, one needs to construct a lattice whose dimension is such that the LLL-algorithm runs in practical time.

Recall that the running time of LLL-algorithm depends on the lattice dimension and on the dimension of the entries of its matrix-basis. Since the dimension of the entries depends on the bounds  $X_i$  and on the modular polynomial used, the LLL-algorithm may have different running times for the same lattice dimension. We decided to fix an upper bound on the dimension of the lattices we constructed. We chose the threshold 80 as a tradeoff between efficiency and effectiveness of our attacks. Indeed, this choice allows us to get closer to the theoretical bounds as opposed to smaller dimensions. On the other hand, 80 is small enough to make the LLL-algorithm running in practical time. We fixed the same threshold for all attacks in order to compare their effectiveness when using the same lattice dimension.

We implemented our methods with the SAGE computer-algebra system [\[1](#page-20-3)] and run it on a 3GHz Intel Core i5. With the exception of the CRT-MSB case, where we used only 5 experiments, for all other attacks we ran 100 experiments generating different key pairs and different values of  $\ell$ . We report the average values obtained from these experiments.

#### <span id="page-16-0"></span>**7.1 Results with Known LSBs of d***<sup>∗</sup>*

Experimental results are presented in Table [1.](#page-16-1) For generating lattices, we used  $m = 11$  and  $t = \tau m$ , where  $\tau$  is defined in the proof of Theorem [4.](#page-8-0) Notice that  $\tau$ is always very small resulting in  $t = 0$  for each experiment. Thus, the dimension of the lattice is always equal to 78.

<span id="page-16-1"></span>



The difference between theoretical and experimental bounds is of very few bits and the LLL-algorithm's running time is really small.

It is worth to say that for  $\ell = 0$  and small e, the attack in [\[7\]](#page-21-0) is more effective than our attack. Indeed the  $n/4$  least significant bits of d are sufficient to factor N. However their attack requires a brute-force search on  $k$ , that in our case is allowed only when  $e + e\ell$  is small. Thus, with the introduction of exponent

<span id="page-17-0"></span>**Table 2.** Comparison between the approach of [\[6](#page-21-7)] and our approach for partial key exposure attack given least significant bits of the secret exponent  $d^* = d + \ell \phi(N)$ . The modulus N is 1000-bit long and  $e = 2^{16} + 1$ .

$\log_2 \ell$	Approach of $[6]$				Our approach				
	theo. bound			exp. bound $\dim(L)$ LLL theo. bound		$exp.$ bound	dim(L)	LLL	
10	535	580	16	1 s	535	540	10	$1\,\mathrm{s}$	
100	700	760	16	1 s	700	720	10	1 s	
200	871	960	16	1 s	871	920	10	1 s	
300	1033	1160	16	1 s	1033	1120	10	1 s	

<span id="page-17-1"></span>**Table 3.** Experimental results for partial key exposure attack given most significant bits of the secret exponent  $d^* = d + \ell \phi(N)$ . The public exponent is  $e = 2^{16} + 1$ .



blinding, or for larger dimension of e, their method can't be applied, because the brute force-search becomes impractical.

In Table [2](#page-17-0) we report experimental results to compare our approach and the approach of [\[6\]](#page-21-7) for the same scenario. Specifically, we consider 1000-bit modulus N, public exponent  $e = 2^{16} + 1$  and  $\ell \in \{10, 100, 200, 300\}$  as used in [\[6\]](#page-21-7). In our analysis we use a bivariate polynomial instead of a trivariate polynomial, thus we perform a single resultant computation, instead of three. The theoretical bound we obtain is the same of  $[6]$  $[6]$ , but our approach allows us to get closer to it as shown in Table [2.](#page-17-0) Moreover, we do it by using smaller lattices.

#### **7.2 Results with Known MSBs of d***<sup>∗</sup>*

In Table [3](#page-17-1) we present our results. Since this method uses an univariate polynomial, it is possible, in theory, to match the theoretical limit, although the lattice dimension would make LLL highly impractical. By imposing the threshold for the maximum dimension of the lattice equal to 80, the LLL-algorithm's running time is about 2 hours. For constructing such a lattice, we used  $m = 40$  and  $t = 40.$ 

The experiments confirmed the independence of the bound with respect to the dimension of the random integer  $\ell$ .

Unfortunately, in this case we cannot compare our approach with the approach of  $[6]$  $[6]$ , because they didn't provide any experimental result respecting our

$\log_2 \ell$	$\log_2 N = 2048$				$\log_2 N = 3072$			
	theo. bound	$exp.$ bound	dim(L)	LLL	theo. bound	$exp.$ bound	dim(L)	LLL.
$\theta$	$17 + 514$	$17 + 526$	80	2 h 27 m	$17 + 770$	$17 + 789$	80	$4h\,50m$
10	$27 + 514$	$27 + 526$	80	2 h 27 m	$27 + 770$	$27 + 789$	80	$4h\,50m$
32	$49 + 514$	$49 + 526$	80	2 h 27 m	$49 + 770$	$49 + 789$	80	$4h\,50m$
64	$81 + 514$	$81 + 526$	80	2 h 27 m	$81 + 770$	$81 + 789$	80	$4h\,50m$
100	$117 + 514$	$117 + 526$	80	2 h 27 m	$117 + 770$	$117 + 789$	80	$4h\,50m$

<span id="page-18-0"></span>**Table 4.** Experimental results for partial key exposure attack given most and least significant bits of the secret  $d^* = d + \ell \phi(N)$ . The public exponent is  $e = 2^{16} + 1$ .

assumptions. In fact, they use very large values of  $\ell$ , namely 500, 600 or 700-bit long for a modulus  $N$  of size 1000 bits. These settings do not satisfy our require-ment of Lemma [1](#page-10-1) for  $N^{\alpha+\sigma} \leq 2N^{\frac{3}{8}}$ . In any case, our approach improves their bound, as said in Sect. [5.2.](#page-10-2)

*Results Using Both MSBs and LSBs.* As said in Sect. [5.2,](#page-10-2) it is possible to mount an attack knowing both MSBs and LSBs of  $d^*$ . An univariate polynomial is constructed and its root is found by constructing a lattice as in the proof of Theorem [3.](#page-7-1) In Table [4](#page-18-0) we provide some experimental results for this method.

# **7.3 Results with Known LSBs of d***<sup>∗</sup>* **p**

In Table [5](#page-18-1) we present our results, obtained by generating lattices using  $m = 3$ an  $t = 11$ . To get closer to the theoretical bound, the lattice dimension should be significantly increased. But this makes the LLL-algorithm's running time highly impractical. By setting the threshold 80 for the lattice dimension, the LLL-algorithm's running time is about 13 minutes.

<span id="page-18-1"></span>**Table 5.** Experimental results for partial key exposure attack against CRT-RSA, given least significant bits of the secret exponent  $d_p^* = d_p + \ell(p-1)$ . The public exponent is  $e = 2^{16} + 1.$ 

$\log_2 \ell$	$\log_2 N = 2048$				$\log_2 N = 3072$				
	theo. bound	exp. bound $\dim(L)$ LLL			theo. bound	$exp.$ bound $\dim(L)$		LLL	
$\overline{0}$	617	691	80	8 <sub>m</sub>	917	1019	80	14 <sub>m</sub>	
10	637	712	80	10 <sub>m</sub>	937	1041	80	16m	
32	681	758	80	13m	981	1087	80	21 <sub>m</sub>	
64	745	822	80	17 <sub>m</sub>	1045	1154	80	28 <sub>m</sub>	
100	817	894	80	18 <sub>m</sub>	1117	1227	80	34 <sub>m</sub>	

In this case, the difference between theoretical and experimental bounds is about 80 bits for 2014-bit N and about 100 for 3072-bit N. Given a smaller number of leaked bits one can still mount the attack by constructing bigger

$\log_2 \ell$	$\log_2 N = 2048$				$\log_2 N = 3072$			
	theo. bound	$exp.$ bound	dim(L)	LLL	$exp.$ bound theo. bound		dim(L)	LLL
0	528	540	80	$3 h$ 03 m	783	803	80	$8h\,54m$
10	537	550	80	$3h\,59m$	793	813	80	$12h$ 17 $m$
32	560	573	80	4h23m	815	835	80	$13h$ 46 m
64	591	604	80	$4h$ 52 $m$	848	868	80	15h59m
100	628	640	80	6 h 13 m	884	903	80	$22h$ $25m$

<span id="page-19-0"></span>**Table 6.** Experimental results for partial key exposure attack given most significant bits of the CRT secret exponent  $d_p^* = d + \ell(p-1)$ . The public exponent is  $e = 2^{16} + 1$ .

lattices, but the computation will need more time to end. For example, by setting  $t = 5$  and  $m = 18$  it is sufficient to obtain 50 (or 70) bits more than the theoretical bound to solve. But the corresponding lattice dimension is 190, which makes the LLL-algorithm end in about one day. By setting  $t = 7$  and  $m = 24$  it is sufficient to obtain 40 (or 60) bits more than the theoretical bound to solve. But the lattice dimension is around 500 and we think that the LLL-al gorithm would be highly impractical in this case.

Notice that for  $\ell = 0$  and small e, Blömer and May show that a quarter of  $d_p$  is sufficient to the attacker to factor N [\[9\]](#page-21-14). To prove their result, they use a brute-force search on  $k_p$ , that is allowed only when  $e + e\ell$  is small. Thus, for  $e = 2^{16} + 1$  and  $\ell = 0$  their method is better than our method, since a smaller number of leaked bits are sufficient to factor  $N$ . But, for larger dimension of  $e$ and when  $\ell > 0$  their method is no more effective because the brute force-search becomes unfeasible.

# **7.4 Results with Known MSBs of d***<sup>∗</sup>* **p**

Also in this case we imposed the threshold 80 for the lattice dimension, which allowed us to run the LLL-algorithm in practical time. We constructed lattices by using  $m = 40$  and  $t = 40$ .

In Table [6](#page-19-0) we report the theoretical and experimental number of leaked bits, the lattice dimension and the running time of LLL-algorithm.

As opposite to the case based on LSBs, this method is the most effective also for  $\ell = 0$ . Indeed, our method is a generalization of [\[9](#page-21-14)], thus for  $\ell = 0$  we obtain their original result which is the most effective method in literature for this scenario.

#### **8 Conclusions**

We presented some methods to mount partial key exposure attacks on RSA with exponent blinding. We investigated both RSA and CRT-RSA, focusing on practical settings for the exponents and the blinding factor  $\ell$ . In particular, we focused on public exponent e such that  $3 \le e < 2^{256}$ , combining the upper bound

provided by NIST with the frequent value of 3. Additionally, we focused on full size private exponents and  $\ell < 2^{128}$ , as commonly used in real implementations.

We derived sufficient conditions to successfully mount partial key exposure attacks in different scenarios and validated them providing numerical experiments, using N of size 2048 or 3072 and  $e = 2^{16} + 1$ , which is the most commonly used setting in real implementations.

As for RSA, we improved the results of [\[6](#page-21-7)] with the aim of reducing the number of bits to be recovered by the adversary through side-channel. In particular, when least significant bits are exposed, our approach allows to get closer to the theoretical bound by using smaller lattices, as shown in Table [2.](#page-17-0) Whereas, when most significant bits are exposed, we presented a method that does not rely on the heuristic assumption and that provides better bounds, as shown in Sect. [5.2.](#page-10-2)

Additionally, we provided novel results for the particular case where the adversary is able to recover non-consecutive portions of the private information.

As for CRT-RSA with exponent blinding, we provided novel results for both scenarios when either least or most significant bits are exposed.

<span id="page-20-4"></span>**Table 7.** The number of bits that the attacker needs to know to successfully mount partial key exposure attacks. The public exponent is  $e = 2^{16} + 1$ . The private exponent is blinded using the random factor  $\ell$ .

$\log_2 \ell$	$\log_2 N = 2048$					$\log_2 N = 3072$				
	LSB	<b>MSB</b>	$MSB+LSB$	$CRT-LSB$	$CRT-MSB$	LSB	MSB	$MSB+LSB$	$CRT-LSB$	$CRT-MSB$
$\mathbf{0}$	1043	1555	$17 + 526$	691	540	1556	2331	$17 + 789$	1019	803
10	1063	1555	$27 + 526$	712	550	1577	2331	$27 + 789$	1041	813
32	1106	1555	$49 + 526$	758	573	1620	2331	$49 + 789$	1087	835
64	1171	1555	$81 + 526$	822	604	1684	2331	$81 + 789$	1154	868
100	1243	1555	$117 + 526$	894	640	1756	2331	$117 + 789$	1227	903

In Table [7,](#page-20-4) we recap the numerical results we obtained from our experiments. For each dimension of  $\ell$  we provide the minimum number of bits of the protected exponent that is sufficient to the attacker to successfully break the system.

With the only exception of the RSA attack based on most significant bits, the number of known bits depends on the bit-size of the blinding factor  $\ell$ .

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