Chapter 9 The Role of Environmental Exposures in Preterm Birth

Kelly K. Ferguson and John D. Meeker

Abstract Preterm birth is a significant yet poorly understood public health problem that may arise in part from maternal exposure to chemicals in the environment. This review explores the state of the knowledge on prematurity in relation to: (1) Organic pollutants, including persistent organic pollutants, such as dichlorodiphenyltrichloroethane, polychlorinated biphenyls, and perfluorinated compounds, disinfection byproducts, such as trihalomethanes, non-persistent pesticides, such as atrazine, and non-persistent organics of emerging concern, such as phthalates and bisphenol-A; (2) Metals and metalloids, including lead, cadmium, arsenic, and mercury; and (3) Air pollutants, including EPA criteria air contaminants, environmental tobacco smoke, and polycyclic aromatic hydrocarbons. We also highlight pervasive study limitations as well as important directions for future research.

 Keywords Epidemiology • Pregnancy • Birth outcomes • Gestation • Environment

9.1 Introduction

Preterm birth, defined commonly as birth before 37 weeks completed gestation, is a complex and poorly understood disease that is highly prevalent in the US and elsewhere. Preterm newborns are at a much higher risk of mortality and various morbidities, and longitudinal studies have linked being born preterm to a range of morbidities in childhood and later in life, such as asthma and metabolic disorders as well as neurodevelopment delays. The combined cost of caring for preterm infants plus addressing subsequent complications was estimated at 26.2 billion dollars in the US in 2005 (Behrman and Butler [2007 \)](#page-18-0). Preventing preterm birth is a priority of the March of Dimes Foundation, the Surgeon General, and the Institute of Medicine. Despite concerted efforts, factors that are known to cause preterm birth, and their

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underlying mechanistic pathways, are few, and current strategies are likely to decrease preterm birth rates minimally by 2015 (Chang et al. 2013).

 Of emerging concern is the contribution of environmental exposures to preterm birth. Women are exposed to a chemical milieu throughout life, and the gestational time period is no exception. Furthermore, many chemicals are capable of infiltrating the placenta and accumulating in the fetus. These exposures may precipitate preterm birth through several hypothesized pathways, such as inducing inflammation, prostaglandin release, hormonal changes, or oxidative stress in the maternal-fetal compartment, or through mechanisms that remain unexplored.

 Examining environmental contributors to prematurity in an animal model is complicated by the fact that rodents do not naturally deliver preterm, but only do so with specific gene knockouts or with high doses of lipopolysaccharides injection (Cha et al. [2013 ;](#page-18-0) Kaga et al. [1996](#page-20-0)). Thus, evidence linking environmental contaminants to preterm birth largely comes from epidemiologic investigations. These studies have been rigorously examined in a number of substantive reviews (Ferguson et al. [2013](#page-21-0); Wigle et al. 2008) and meta-analyses (Nieuwenhuijsen et al. 2013). In this chapter we highlight some of the more robust and influential of these studies as well as more recently published results (summarized by pollutant category in Tables 9.1, [9.2](#page-7-0) and [9.3](#page-8-0)).

9.2 Organic Pollutants

 Of the organic pollutants, persistent compounds have historically received the most attention in the study of preterm birth. These include chemicals such as dichlorodiphenyltrichloroethane (DDT) and other persistent pesticides, polychlorinated biphenyls (PCBs) and perfluorinated compounds previously used in various industrial applications, and brominated flame retardants such as polybrominated diphenyl ethers (PBDEs) which are persistent in the environment and human tissue. Parent compounds and in some instances metabolites can be measured reliably in serum or plasma as well as the placenta, which has enabled study designs with accurate subject-specific exposure metrics. While use of some of these compounds continues, most have been restricted in the US and other highly developed countries; thus, attention to lower levels of exposure may be particularly important. However, overall, the body of evidence suggests that higher doses of these compounds are more clearly linked to prematurity.

 DDT and its metabolites dichlorodiphenyldichloroethylene (DDE) and dichlorodiphenyldichloroethane (DDD) were examined in a number of small $(N<60)$ casecontrol studies published in the 1980s and 1990s in relation to preterm birth. Evidence was largely conflicting, but associations were clearly stronger in studies with higher exposure levels (Berkowitz et al. 1996; Procianoy and Schvartsman 1981; Saxena et al. 1981; Wassermann et al. [1982](#page-23-0)). The most rigorous study to address this relationship measured concentrations of DDT, DDE, and DDD in maternal serum collected in the 3rd trimester of pregnancy in the Collaborative

Exposure	References	Primary results
DDT	Saxena et al. (1981)	Higher DDT metabolite concentrations in placenta and blood from cases compared to controls
	Procianoy and Schvartsman (1981)	Higher DDT metabolite concentrations in cord blood but not maternal serum in cases compared to controls
	Wassermann et al. (1982)	Higher DDT metabolites in 3rd trimester serum of cases compared to controls
	Berkowitz et al. (1996)	No significant differences in DDE from 1st trimester maternal serum in cases of spontaneous PTB compared to controls
	Longnecker et al. (2001)	Elevated odds of PTB in women with higher 3rd trimester serum concentrations of DDE
	Ribas-Fitó et al. (2002)	Higher DDE in cord serum from preterm <i>vs. term newborns</i>
	Torres-Arreola et al. (2003)	No significant differences in maternal serum DDE at delivery in cases vs. controls
	Farhang et al. (2005)	No significant differences in maternal serum DDT or DDE in mothers who went on to deliver preterm vs. term
	Wood et al. (2007)	No change in odds of PTB in association with maternal serum DDE concentrations at delivery
	Pathak et al. (2009)	No differences in DDT or DDE concentrations in maternal or cord blood taken at delivery in cases vs. controls
	Wojtyniak et al. (2010)	No significant but some suggestive associations between DDE measured in maternal serum from the second half of pregnancy in cases compared to controls
	Bergonzi et al. (2011)	Higher DDE in serum and higher DDT in adipose tissue in mothers who delivered preterm compared to term; no differences in cord serum or placental levels
HCB	Saxena et al. (1981)	No difference in placenta or blood HCB in cases compared to controls
	Wassermann et al. (1982)	No difference in HCB in 3rd trimester serum of cases compared to controls
	Ribas-Fitó et al. (2002)	Higher HCB in cord serum from preterm vs. term newborns
	Torres-Arreola et al. (2003)	No difference in HCB in maternal serum at delivery in cases vs. controls
	Bergonzi et al. (2011)	No differences in HCB from 1st trimester maternal serum in cases of spontaneous PTB compared to controls
	Basterrechea et al. (2014)	No significant associations between HCB and PTB

Table 9.1 Findings from studies examining organic pollutants in associlation with preterm birth

Exposure	References	Primary results	
HCH/aldrin/dieldrin	Saxena et al. (1981)	Higher HCH and aldrin levels in placenta and blood from cases compared to controls	
	Wasserman et al. (1982)	Higher dieldrin in 3rd trimester serum of cases compared to controls	
	Ribas-Fitó et al. (2002)	No difference in HCH in cord serum from preterm vs. term newborns	
	Torres-Arreola et al. (2003)	Suggestively increased odds of PTB in association with HCH in maternal serum collected at delivery	
	Pathak et al. (2009)	Higher HCH in maternal or cord blood taken at delivery in cases vs. controls	
Chlordecone	Kadhel et al. (2014)	Levels in plasma collected at delivery associated with increased odds of PTB	
PCBs	Wassermann et al. (1982)	Higher summed PCBs in maternal 3rd trimester serum in cases vs. controls	
	Berkowitz et al. (1996)	No differences in 1st trimester serum concentrations of summed PCBs in cases compared to controls	
	Ribas-Fitó et al. (2002)	No differences in summed PCBs in cord serum from cases vs. controls	
	Longnecker et al. (2005)	No significant associations between summed PCBs and PTB	
	Wojtyniak et al. (2010)	No associations between maternal serum levels of PCB-153 and PTB	
	Bergonzi et al. (2011)	No differences in summed PCB concentrations in maternal or cord serum, placenta, or adipose in mothers who delivered preterm vs. term	
PFCs	Apelberg et al. (2007)	No differences in concentrations of PFOA or PFOS in cord serum from preterm vs. term newborns	
	Fei et al. (2007)	Suggestive associations between PFOS and PFOA measured in 1st trimester maternal serum and odds of PTB	
	Nolan et al. (2009)	No significant differences in rates of PTB in PFOA contaminated vs. uncontaminated areas	
	Hamm et al. (2010)	No significant associations between PFOA or PFOS measured in 2nd trimester maternal serum and PTB	
	Chen et al. (2012)	Increased odds of PTB in association with cord blood PFOS, but not PFOA, levels measured in cord blood	
	Arbuckle et al. (2012)	No differences in PFOS or PFOA in cord serum from preterm vs. term births	

Table 9.1 (continued)

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Abbreviations : *PTB* preterm birth, *DDT* dichlorodiphenyltrichloroethane, *DDE* dichlorodiphenyldichloroethylene, *HCB* hexachlorobenzene, *HCH* hexachlorocyclohexane, *PCBs* polychlorinated biphenyls, PFCs perfluorinated compounds, PFOA perfluorooctanoic acid, PFOS perfluorooctane sulfonic acid, *TCDD* 2,3,7,8-tetrachlorodibenzo-p-dioxin, *PCDD/F* polychlorinated dibenzo-pdioxins and dibenzofurans, *PBDEs* polybrominated diphenyl ethers, *DBPs* disinfection byproducts, *TTHMs* total trihalomethands, *HAAs* total haloacetic acids, *TCAA* trichloroacetic acid, *OP* organophosphate, *DEHP* di-2-ethylhexyl phthalate, *BPA* bisphenol-A

Exposure	References	Primary results	
Lead	Torres- Sánchez et al. (1999)	Significant associations between umbilical cord blood lead levels and PTB among primiparous women in somewhat highly exposed population	
	Sowers et al. (2002)	No associations between maternal blood lead levels measured at four time points during pregnancy and PTB in a moderately exposed population	
	Falcon et al. (2003)	Significantly elevated levels of lead in placenta taken from pregnancies ending in preterm rupture of membranes or delivery compared to normal pregnancies	
	Jelliffe- Pawlowski et al. (2006)	Significant association between elevated $(>10 \mu g/dL)$ maternal blood lead levels during pregnancy and PTB	
	Cantonwine et al. (2010a)	Second trimester (but not 1st or 3rd trimester) maternal blood lead levels associated with increased odds of PTB; No associations with cord blood levels	
	Zhu et al. (2010)	No association between maternal blood lead levels before or at delivery and PTB	
	Vigeh et al. (2011)	Significantly increased odds of PTB in association with first trimester maternal blood lead levels	
	Perkins et al. (2014)	Significant association between maternal blood lead levels during pregnancy and PTB in male infants only; Exposure levels notably low across population	
	Taylor et al. (2014)	No association between maternal blood lead levels mid-pregnancy and PTB	
Cadmium	Landgren (1996)	Mothers residing in an area of cadmium contamination showed no greater risk of delivering preterm compared to mothers in non-contaminated areas	
	Fagher et al. (1993)	Elevated blood cadmium levels in mothers who delivered preterm compared to term in a small case-control study	
	Nishijo et al. (2002)	Significant association between gestational urinary cadmium levels and PTB in an area of high contamination in Japan	
	Zhang et al. (2004)	No association between maternal blood, cord blood, or placental cadmium concentrations and PTB	
Arsenic	Ahmad et al. (2001)	Significantly elevated PTB rates to mothers residing in areas with high well water concentrations of arsenic in Bangladesh	
	Yang et al. (2003)	No association between residence in areas of high drinking water arsenic contamination and PTB in Taiwan	
	Mukherjee et al. (2005)	No significant difference in PTB rates in mothers with exposure to elevated levels of arsenic in drinking water	
	Myers et al. (2010)	No significant change in odds of PTB for mothers residing in villages with elevated well water arsenic concentrations in China	
Mercury	Xue et al. (2007)	Increased odds of PTB in mothers with higher hair mercury levels between 15 and 27 weeks of pregnancy	
	Burch et al. (2014)	Higher rates of PTB observed in African American mothers residing in areas with elevated mercury contamination of fish in South Carolina	
	Bashore et al. (2014)	No association between umbilical cord blood mercury levels and PTB	

 Table 9.2 Findings from studies examining toxic metals and metalloids in association with PTB

Abbreviations : *PTB* , preterm birth

Exposure	References	Primary results
Criteria air pollutants	Sram et al. (2005)	Insufficient evidence to demonstrate causal associations with PTB and any individual criteria air pollutant in a review of the literature
	Stillerman et al. (2008)	Associations with these pollutants and PTB tend to be small in magnitude but statistically significant in a review of the literature
	Bonzini et al. (2010)	Evidence for a relationship between exposure to criteria air pollutant exposure in the first trimester and PTB in a review of the literature
	Shah et al. (2011)	Maternal exposure to sulfur dioxide and PM _{2.5} associated with PTB in a review of the literature. Inconclusive evidence for other criteria pollutants
	Nieuwenhuijsen et al. (2013)	In a summary of recent meta-analyses, $PM_{2.5}$ most clearly associated with PTB
	Stieb et al. (2012)	Meta-analysis demonstrated association between carbon monoxide and PM_{10} exposure from third trimester of pregnancy and PTB, but evidence was less strong for ozone or sulfur dioxide
	Lai et al. (2013)	Significant association between sulfur dioxide and PTB in a meta-analysis of Chinese populations
ETS	Leonardi-Bee et al. (2008)	No association between ETS and gestational age in a meta-analysis
	Salmasi et al. (2010)	No association between ETS exposure and length of gestation or preterm birth in a meta-analysis.
PAH	Vassilev et al. (2001)	Maternal ambient air concentrations of PAH, based on census tract, significantly associated with increased odds of PTB
	Choi et al. (2008)	Increased total PAH exposure levels measured using personal air monitors in the third trimester were associated with increased odds of PTB in African American, but not Dominican, mothers
	Singh et al. (2008)	Higher individual PAH concentrations in placenta from preterm compared to term pregnancies
	Wilhelm et al. (2011)	Increased odds of PTB in association with total and some individual PAH levels measured using ambient air monitors in Los Angeles County
	Guo et al. (2012)	Higher PAH concentrations in cord blood from pregnancies with adverse birth outcomes (including PTB, low birth weight, congenital malformations, and still birth) compared to others in a highly exposed region of China
	Padula et al. (2014)	Association between ambient air concentrations during the last 6 weeks of pregnancy and increased odds of early PTB but some protective associations between exposure and PTB as well

 Table 9.3 Findings from studies examining air pollution exposures in association with PTB

Note : For criteria air pollutants and environmental tobacco smoke only meta-analyses and reviews from the past 10 years are included as there are numerous studies examining the association with preterm birth. Criteria air pollutants included in this table are: ozone, particulate matter ($PM_{2.5}$ and PM₁₀), carbon monoxide, nitrogen oxides, and sulfur dioxide. *Abbreviations*: PTB preterm birth, *ETS* environmental tobacco smoke, *PAH* polycyclic aromatic hydrocarbons

Perinatal Project in the US ($N = 2380$) (Longnecker et al. 2001). These women were recruited from 1959 to 1965 and consequently had higher levels of exposure compared to those observed today. A strong increase in odds of delivering preterm was observed for mothers with high ($>60 \text{ µg/L}$) levels of serum DDE. However, a smaller study $(N = 455)$ with slightly higher median exposure levels failed to detect any effects (Farhang et al. [2005 \)](#page-19-0). More recent studies with lower exposure levels in various countries have shown conflicting results, but in general more associations have been detected in populations with higher exposure levels (Bergonzi et al. 2011; Pathak et al. 2009; Ribas-Fitó et al. 2002; Torres-Arreola et al. [2003](#page-23-0); Wojtyniak et al. 2010; Wood et al. 2007).

 Other persistent pesticides examined in relation to preterm birth include hexachlorobenzene (HCB) , hexachlorocyclohexane (HCH) or lindane, heptachlor or heptachlor epoxide and aldrin or dieldrin. These studies showed some suggestive associations but were largely inconclusive (Bergonzi et al. [2011](#page-18-0) ; Fenster et al. [2006 ;](#page-19-0) Pathak et al. [2009](#page-21-0); Ribas-Fitó et al. [2002](#page-22-0); Saxena et al. 1981; Torres-Arreola et al. 2003; Wassermann et al. [1982](#page-23-0)). A large study in Spain ($N = 1568$) conducted recently also failed to detect any significant association between 1st trimester maternal HCB levels and preterm birth (Basterrechea et al. 2014). Interestingly, however, a small gene-environment interaction study $(N = 156 \text{ cases}, 151 \text{ controls})$ examining polymorphisms in the genes responsible for organochlorine pesticide metabolism and detoxification found significant interactions between a number of these compounds, particularly HCH, and risk of delivering preterm (Mustafa et al. [2013](#page-21-0)), suggesting some women may be more susceptible to these effects than others. Additionally, high levels of exposure may be more likely to have an effect. A study of mothers from the French West Indies, where chlordecone use is common, plasma levels measured at delivery were associated with increased odds of prematurity (Kadhel et al. 2014 .

 In the Collaborative Perinatal Project described above, Longnecker and colleagues also examined the association between exposure the industrial lubricants and insulators PCBs and preterm birth (Longnecker et al. [2005 \)](#page-21-0). Although this was one of the largest $(N = 1034)$ and best designed studies to examine this relationship no associations were detected, and any suggestive associations were diminished after models were adjusted for DDT exposure levels. As with DDT, a number of smaller case control studies have shown some evidence for associations between prenatal PCB exposures and preterm birth; however, most of the studies to date have shown no associations (Bergonzi et al. 2011; Berkowitz et al. 1996; Govarts et al. 2012; Ribas-Fitó et al. 2002; Wassermann et al. [1982](#page-23-0)).

Perfluorinated compounds (PFCs), including perfluorooctanoic acid (PFOA) or perfluorooctane sulfonic acid (PFOS) were used until recently for industrial and some consumer product applications as repellants of oil, grease, and water. Human exposure occurs primarily through consumption of contaminated food and drinking water or through inhalation of dusts in the US and other populations. Several studies have examined PFOA and/or PFOS concentrations in cord or maternal serum in association with preterm birth in populations with exposure levels consistent with those observed currently in the US. Results from these studies have been conflicting, with most reporting null or even protective associations (Apelberg et al. [2007](#page-18-0); Fei et al. [2007](#page-19-0); Hamm et al. [2010](#page-20-0); Whitworth et al. 2012), yet some evidence for increased odds of preterm birth or reduced gestational age at delivery in relation to PFOS concentrations specifically (Arbuckle et al. 2012; Chen et al. 2012).

 Incidences of drinking water contamination have resulted in higher than average PFC exposures to some populations. In the US, industry contamination of water sources in the Mid-Ohio valley resulted in PFOA human exposure levels 5 times higher than in subjects from the National Health and Nutrition Examination Survey (NHANES) , a nationally representative sample (Frisbee et al. [2009](#page-19-0)). The C8 Health Project was designed to investigate exposure levels in this population and to conduct epidemiologic studies of potential health consequences. Despite large sample sizes $(N > 1000)$ and a variety of sophisticated modeling techniques, no associations were detected between PFOA or PFOS exposures and preterm birth in that study popula-tion (Nolan et al. [2009](#page-21-0); Savitz et al. [2012a](#page-22-0), b; Stein et al. 2009). In a more recent study in the same population utilizing biomarkers of exposure measured somewhat proximally to pregnancy, no associations between PFOA or PFOS and preterm birth were detected either (Darrow et al. [2013](#page-19-0)). However, in a Chinese population with elevated exposures due to environmental contamination by electronic waste, PFOA in maternal serum was associated with preterm birth (Wu et al. [2012](#page-24-0)).

 Finally, in the realm of persistent organic pollutants, few studies exist measuring associations between preterm birth and either dioxin or PBDEs. Suggestive but gener-ally null relationships have been observed with dioxin (Le and Johansson [2001](#page-20-0); Lin et al. [2006 ;](#page-21-0) Revich et al. [2001](#page-22-0)), even in populations with high exposures resulting from a chemical explosion in Seveso, Italy (Eskenazi et al. [2003 ;](#page-19-0) Wesselink et al. [2014 \)](#page-23-0). One study observed higher cord blood levels of PBDE in newborns with an adverse birth outcome but that definition was not specific to preterm birth (Wu et al. [2010](#page-24-0)).

Disinfection byproducts (DBPs), formed from chlorine used to treat drinking water, have been examined in a number of studies in relation to preterm birth. These include trihalomethanes (THMs) , such as chloroform, as well as haloacetic acids (HAAs) such as trichloroacetic acid (TCAA) . A recent review and meta-analysis of 9 studies by Grellier and colleagues concluded that insufficient evidence exists for a relationship between THMs or HAAs on preterm birth (Grellier et al. 2010; Dodds et al. 1999; Gallagher et al. [1998](#page-20-0); Hoffman et al. [2008](#page-20-0); Kramer et al. 1992; Lewis et al. 2007; Savitz et al. 1995; Wright et al. 2003, 2004; Yang et al. 2007). Since the publication of these findings several additional studies have been published, primarily with null findings. In areas of drinking water contamination, two large $(N > 1000)$ studies assigning exposure based on THM levels measured in drinking water paired with questionnaire data on drinking water use found no association with preterm birth (Patelarou et al. [2011](#page-23-0); Villanueva et al. 2011). Another study with similar exposure assessment methods found an association between total organic halide exposure and preterm birth in an area of brominated disinfection byproduct con-tamination (Horton et al. [2011](#page-20-0)). One study utilizing urinary biomarkers of exposure to TCAA also failed to detect any associations with PTB, although detection in samples was quite low (Costet et al. 2012). However, the most recent study to examine the relationship between DBPs and preterm birth observed significantly increased crude odds ratios across all exposure categories for most DBPs measured in public drinking water systems in the Boston area $(N = 712,394)$ (Rivera-Núñez and Wright [2013](#page-22-0)). Most associations were attenuated with adjustment for pertinent covariates; relationships remained statistically significant or suggestive for chloroform, TCAA, and summed DBPs.

 Trichloroethylene (TCE) and tetrachloroethylene (PCE) are also common, though unintentional, organic drinking water contaminants. Three studies examining associations between these compounds and preterm birth have utilized drinking water concentrations in areas of contamination and paired these data with information on maternal residence to assess exposures. None found significant associations despite large sample sizes (Aschengrau et al. [2008](#page-18-0); Bove et al. 2002; Sonnenfeld et al. 2001). Additionally, one study examined air concentrations in an area with contaminated soil and found no association with preterm birth (Forand et al. [2011 \)](#page-19-0). Benzene, like TCE and PCE, is a volatile organic compound, but exposure occurs more typically through inhalation. Two studies examining the relationship between ambient air monitoring levels of benzene observed significantly increased odds of preterm birth in association with exposure levels (Llop et al. 2010 ; Wilhelm et al. 2011). Finally, one study examined the relationship between maternal formaldehyde exposure during pregnancy and prematurity and did not observe any statisti-cally significant associations (Maroziene and Grazuleviciene [2002](#page-21-0)).

 Non-persistent pesticides are also a drinking water contaminant of concern. Although not bioaccumulative or persistent in the environment, these compounds have longer half-lives in drinking water which can results in population-wide exposures, particularly in agricultural areas. Atrazine is one such pesticide that has been examined in a number of studies in association with preterm birth. Most studies have utilized drinking water measurements paired with information on maternal residence and in some instances drinking water use. However, detection in drinking water sources is typically low, and while one study observed a slight increase in odds of preterm birth (Villanueva et al. [2005](#page-23-0)) other findings have been null (Ochoa-Acuña et al. [2009](#page-21-0); Rinsky et al. [2012](#page-22-0)). Two other studies have examined the non- persistent organophosphate pesticides in association with preterm birth in agricultural populations. One utilized biomarkers of parent compounds or their metabolites in urine samples collected during pregnancy and found no evidence of an association; although the authors did observe that decreased maternal cholinesterase activity, which is related to an overall increase in exposure to organophosphate pesticides, was associated with increased odds of preterm birth (Eskenazi et al. [2004 \)](#page-19-0). However, within the Agricultural Health Study, self-reported pesticide use was not associated with preterm birth (Sathyanarayana et al. 2010).

 Finally, the non-persistent endocrine disrupting compounds phthalates and bisphenol-a (BPA) have received recent attention for their potential contribution to preterm birth. As with the persistent organic pollutants, most studies investigating these compounds have utilized personal exposure measurements; however, as these are metabolized rapidly in the human body, levels are measured in urine samples and are less stable over time. The first study to examine phthalate exposure in relation to length of gestation measured di-2-ethylhexyl phthalate (DEHP) and its primary metabolite mono-2-ethylhexyl phthalate in cord blood of preterm and term newborns and found that concentrations were associated with earlier delivery (Latini et al. [2003](#page-20-0)). Another study measuring a panel of 9 phthalates in cord blood also observed an association with increased odds of preterm birth (Huang et al. [2014 \)](#page-20-0). Most other studies have utilized a single spot urine sample, in most instances collected from mothers in the third trimester of pregnancy. While some of these studies reported increased odds of preterm birth or decreased gestational age at delivery in association with several phthalate metabolites, particularly those of DEHP (Meeker et al. 2009; Whyatt et al. 2009; Latini et al. [2003](#page-20-0)), others reported null (Suzuki et al. 2010) or even protective effects (Adibi et al. 2009; Wolff et al. [2008 \)](#page-23-0). A more recent study examined associations with average levels of up to three phthalate metabolite measurements per subject over the course of pregnancy and observed significantly elevated odds of preterm birth, and even stronger associations when spontaneous preterm births, defined as deliveries following spontaneous preterm labor and/or preterm premature rupture of the membranes, were examined alone (Ferguson et al. $2014b$). When associations were examined with phthalates measured at each individual time point during pregnancy, relationships for spontaneous preterm birth were stronger when concentrations were measured later in pregnancy (Ferguson et al. $2014a$). Only one study has examined the relationship between BPA exposure and preterm birth. Concentrations in 3rd trimester urine samples were associated with a suggestive increase in odds of preterm birth, and the relationship was stronger when the case definition was restricted to deliveries at 36 weeks or less (Cantonwine et al. [2010b](#page-18-0)). This relationship remained after adjustment for urinary phthalate metabolite concentrations.

9.3 Metals and Metalloids

 Some of the most convincing evidence for a relationship between an environmental exposure and preterm birth comes from the literature on lead. Early findings, primarily from smaller case-control studies, are strongly indicative of a relationship between prenatal lead exposure and preterm birth, and suggest a dose-dependent effect (Andrews et al. 1994). Most of these studies also examined effects of high levels of exposure. More recent research on highly exposed populations has demon-strated associations with preterm birth as well (Torres-Sánchez et al. [1999](#page-23-0); Jelliffe-Pawlowski et al. [2006](#page-20-0)). Following the removal of lead from gasoline the general population in the US has experienced much lower levels of exposure (Pirkle et al. 1994), thus heightening the interest in studying levels at lower concentrations (e.g., blood levels <10 μg/dL). Studies examining lower levels of lead during pregnancy have been less consistent in establishing a relationship with preterm birth. No associations were observed in studies in populations with median maternal blood lead levels of approximately $1-2 \mu g/dL$ (Sowers et al. 2002; Zhu et al. [2010](#page-24-0)), while three other studies measuring levels in 1st trimester maternal blood (Vigeh et al. [2011 \)](#page-23-0), mid pregnancy red blood cells (Taylor et al. [2014 \)](#page-23-0), and placenta at delivery (Falcon et al. 2003), also with low exposure levels, did detect statistically significant associations with preterm birth.

 Some recent studies of preterm birth in association with lower levels of lead exposure illustrate that to detect more subtle effects additional attention must be paid to timing of exposure and specifi c characteristics of the pregnancy. One study in Mexico City showed significant associations between lead levels measured in the 2nd trimester, but no associations with levels measured in the 1st or 3rd trimesters (Cantonwine et al. $2010a$). Another study in eastern Massachusetts found that low maternal blood lead levels during pregnancy were strongly associated with preterm birth of male infants but not females (Perkins et al. [2014 \)](#page-21-0). Thus, a relationship may exist at lower levels of exposure but more care must be paid in statistical analysis in order to detect effects.

 Other toxic metals have been studied much less intensively in relation to preterm birth. An early ecologic study suggested that mothers residing in an area with elevated cadmium contamination during pregnancy were not more likely to have a preterm birth compared to women in non-contaminated areas (Landgren 1996). A small case-control study measuring cadmium levels in maternal blood, cord blood, and placenta likewise observed no significant associations between cadmium levels and preterm birth (Zhang et al. [2004](#page-24-0)). Two studies, however, suggest that a relationship may exist. Fagher and colleagues observed elevated maternal blood cadmium concentrations in mothers who delivered preterm compared to term in a small case-control study (Fagher et al. [1993](#page-19-0)). Additionally, a study that assessed exposure using urine biomarkers demonstrated a significant association between maternal gestational cadmium exposure and preterm birth in an area of high contamination in Japan (Nishijo et al. [2002](#page-21-0)). Thus, for cadmium there may be a threshold effect or, as with lower levels of lead exposure, the relationship with preterm birth may be more subtle in magnitude requiring more careful exploration in epidemiologic studies with attention to fetal gender, etiology, and timing of exposure.

 Arsenic exposure has been examined in association with prematurity in several studies, all of which have assigned exposure based on drinking water contamination levels in areas of relatively high exposure. Most of these studies showed no association between maternal drinking water levels and preterm birth (Mukherjee et al. 2005; Myers et al. 2010; Yang et al. [2003](#page-24-0)), although small study in Bangladesh observed a significant association (Ahmad et al. 2001).

 Finally, some evidence exists for a relationship between mercury exposure during pregnancy and preterm birth. One study demonstrated an association with elevated hair mercury concentrations representative of exposure during gestation (Xue et al. [2007 \)](#page-24-0). A second ecologic study observed higher rates of preterm birth among African American mothers residing in areas with high levels of mercury contamination in fish in South Carolina (Burch et al. 2014). However, a third study utilizing maternal urinary mercury concentrations during pregnancy as well as umbilical cord blood mercury levels did not detect any significant associations with prematu-rity in a population from Brooklyn, New York (Bashore et al. [2014](#page-18-0)). Associations with mercury may be particularly difficult to assess, as one of the major exposure sources is through consumption of contaminated fish, which have other characteristics (e.g., high concentration of omega-3 fatty acids) which may be beneficial to pregnancy. Additional studies with attention to adjustment for this confounding may be necessary to clarify this relationship.

9.4 Air Pollutants

 A large number of studies have examined the relationship between air pollutant exposures and preterm birth, and likewise there have been many systematic reviews summarizing this information (Glinianaia et al. 2004; Shah and Balkhair 2011; Sram et al. [2005](#page-22-0); Stillerman et al. [2008](#page-23-0); Stieb et al. 2012; Bonzini et al. 2010; Nieuwenhuijsen et al. [2013](#page-20-0); Lai et al. 2013). Most of the literature in this area has focused on EPA criteria air pollutants, identified by the Clean Air Act as common US exposures with need for regulation. These include ozone, particulate matter (including $PM_{2.5}$ and PM_{10}), carbon monoxide, nitrogen oxides, and sulfur dioxide. (Lead is also an EPA criteria air pollutant, as exposure prior to elimination from gasoline was common via inhalation routes.) The primary results from these reviews and meta-analyses conclude that there is strong evidence for a relationship between sulfur dioxide and $PM₂₅$ and preterm birth, but other exposures have only weak to moderate evidence for an association. The most recent of these published by Stieb et al. in 2012 calculated pooled estimates of preterm birth risk in association with each of these exposures in 62 studies meeting their inclusion criteria. The authors found that associations with exposures assessed in the third trimester of pregnancy were most precise, but were generally small in magnitude (odds ratios $0.80-1.15$). Significantly increased odds of preterm birth were found in association with carbon monoxide and PM_{10} exposures. The limitations from these and other criteria air pollutant studies have been described in the aforementioned reviews, and also in more depth in a report from the International Workshop on Air Pollution and Human Reproduction (Slama et al. [2008](#page-22-0)). Generally, recommendations for research in this area include utilization of prospective study designs, consideration of a uniform set of confounders across studies (importantly, including season of exposure and delivery and maternal diet), promotion of subject-specific exposure assessment methods (e.g., biomarkers, personal air monitoring), and investigation of mechanism of air pollutant action using molecular epidemiology (Ferguson et al. [2013 ;](#page-19-0) Slama et al. 2008).

 There are a number of non-criteria pollutants with primarily inhalation exposure routes that have been measured more frequently in epidemiologic studies on the individual level. These exposures include environmental tobacco smoke (ETS) and polycyclic aromatic hydrocarbons (PAH) , and will be examined in more detail here. Studies on ETS likely stemmed from the strong evidence that active maternal smoking during pregnancy increases odds of delivering preterm (Ion and Bernal 2015). Results from research on ETS effects are less conclusive. A recent meta-analysis demonstrated that maternal ETS exposure was associated with only a slight increase in risk of preterm birth, and associations were not significant in adjusted models (Salmasi et al. 2010). Likewise, another meta-analysis concluded no significant association between ETS and gestational age at delivery (Leonardi-Bee et al. 2008). However, systematic reviews by other groups conclude that there is an association (Stillerman et al. 2008 ; Wigle et al. 2008).

 PAH exposure can result inhalation exposure in ambient (industrial combustion, automobile emissions, etc.) as well as indoor (from heating or cooking emissions) air contamination. Additionally individuals can be exposed through consumption of certain foods, particularly grilled and smoked meats (ATSDR [1995](#page-18-0)). Several studies have examined the relationship between PAH exposure and preterm birth using personal exposure methods, including personal air monitoring or measurement of biomarkers. In New York City, one study observed that increased total PAH exposure measured using personal air monitors in the third trimester of pregnancy were associated with significantly increased odds of preterm birth in African American, but not Dominican mothers (Choi et al. [2008](#page-19-0)). Studies using biomarkers suggest an association as well. One small case-control study measured PAH concentrations in placental tissue and observed significantly higher levels of individual PAH compounds in cases compared to controls (Singh et al. [2008 \)](#page-22-0). In another study where PAH were measured in cord blood in a highly contaminated region of China, increased levels were also significantly associated with adverse birth outcomes, including preterm birth, low birth weight, congenital malformations, and stillbirth (Guo et al. 2012). Looking at length of gestation more specifically, there was an association between PAH exposure and decreased gestational age at delivery as well. Studies using ambient air monitoring for assessment purposes also suggest that maternal PAH exposure is associated with a significantly increased risk of hav-ing a preterm birth (Padula et al. 2014; Vassilev et al. [2001](#page-23-0); Wilhelm et al. [2011](#page-23-0)).

9.5 Conclusions and Research Needs

 Overall, these data suggest an important role of environmental chemical exposures in the etiology of preterm birth. Of the organic pollutants studied, the strongest evidence for a relationship with prematurity exists for DDT. Notably, however, most of the evidence for this association comes from studies where exposure levels were relatively high. This may be relevant to countries that continue to utilize DDT as an insecticide but less so in developed nations. Additionally, although research is nascent, there is strongly suggestive evidence for a relationship between phthalate exposure during pregnancy and preterm birth. While lead exposure, particularly at high concentrations, is strongly associated with preterm birth, other metals have received very little attention in this area of research. This may be an important area to expand upon in future studies, particularly as biomarkers of metals exposures are available and maternal exposures at relatively low levels have been linked to other adverse reproductive and developmental outcomes. Finally, reviews and metaanalyses of air pollution effects show somewhat conflicting evidence for relationships between criteria air pollutants and ETS on preterm birth. As previously mentioned, this may be due in large part to use of ambient air monitors to assess effects and poor ability to examine exposure levels on an individual basis. PAH exposure during pregnancy, which has been studied using ambient and personal air monitors and biomarkers, shows strong evidence for an effect, despite the fact that research in this area, as with phthalates, has been limited to the last decade.

Absence of statistically significant associations in many of these studies may be due to a true lack of relationship with preterm birth, or, alternatively, to issues with study design. Preterm birth is a complex disease that is defined typically, especially in studies of environmental contributors, by a cutoff point of 37 weeks gestation, rather than by diagnostic markers or by etiology. This broad diagnosis may be problematic in several ways. Attention to 37 weeks as a cutoff point, which is typical because of the association that this dichotomy has with adverse effects on the infant, may be inappropriate. Recent evidence shows that early term (e.g., 37–39 weeks gestation) delivery is also associated with increased risk of neonatal mortality and various morbidities (Boyle et al. [2012](#page-18-0)). Additionally, while cutoffs by time in gestation (e.g., <37 weeks, or early preterm birth, <32 weeks) are commonly examined in relation to exposures, these do not separate preterm cases by etiology. Many causes contribute to early delivery (e.g., spontaneous preterm labor, preterm premature rupture of the membranes, intrauterine growth restriction, preeclampsia, etc.) and, though there may be some overlap, these conditions arise through different mechanisms (McElrath et al. [2008](#page-21-0); Savitz 2008). While research in the field of obstetrics generally characterizes preterm birth into categories based on etiology (e.g., considering only spontaneous preterm births in a given analysis), few studies in the realm of environmental health sciences examine this distinction and thus may be observing diluted effects.

 Additional study design issues may contribute to the inconsistency of some effects observed in this literature. First, many studies examining the relationships with preterm birth were small or were designed with other objectives in mind and consequently have low power to detect effects. Studies with case-control designs, particularly with attention to the aforementioned subtypes of preterm birth, may be more appropriate for this area of research. Second, most studies fail to examine multiple exposure windows during pregnancy. Depending on the type of preterm birth, exposures early or late in pregnancy may be more relevant to this outcome. For example, if preterm birth originates from impaired placentation, exposures early in pregnancy may be more relevant. Conversely, if preterm birth originates from spontaneous preterm labor, exposures late in pregnancy may be the most significant predictors. Finally, some of the exposure assessment methods employed in this research may be inadequate to detect effects. For example, BPA is a rapidly metabolized and excreted compound and concentrations in urine show low reliability over time (Fisher et al. 2014). Spot urine samples may not fully characterize a mother's exposure either at the time point or over the course of gestation. Thus, greater attention to exposure assessment methods must be paid in this area of research as well.

Future research in the field of environmental health should address these study design limitations, their characterization of preterm birth, but additionally the effects of combined exposures to multiple pollutants during pregnancy and mechanism of toxicant action. Mothers are exposed to a complex milieu of environmental toxicants during gestation (Woodruff et al. 2011), and it is highly plausible that some of these compounds may act through similar pathways or through mechanisms that exacerbate one another to cause prematurity. Thus, study of effects of combined exposures should be a research priority.

 In addition to improving and expanding epidemiologic studies, translation of research findings to public health practice is essential, especially for those compounds with well-demonstrated associations with preterm birth. There are two routes to this end. First, with a better understanding of mechanism of toxicant, interventions may be developed to stop the pathway from exposure to prematurity. For example, if it is clear that a compound is leading to preterm birth by inducing maternal oxidative stress during pregnancy, supplementation with antioxidants could be a useful intervention. Unfortunately, understanding mechanisms by which exposures lead to preterm birth is difficult to assess. Animal models of preterm birth are limited, as rodents rarely deliver prematurely except with high doses of lipopolysac-charide injection or with gene knock-outs (Cha et al. [2013](#page-18-0); Kaga et al. 1996). However, such models may be useful in some circumstances if the limitations are fully acknowledged (Elovitz and Mrinalini 2004) or if specific mechanisms known to be relevant to human prematurity, such as inflammation, are the outcomes of interest. Additionally, mechanisms of action can be examined in human studies using biomarkers of intermediate effect.

 Nevertheless, attempting to stop pathological processes connecting exposure and preterm birth may not be practical, as decades of research devoted to understanding mechanisms of prematurity have been relatively ineffective in developing successful interventions (Chang et al. 2013). A second and potentially much more feasible strategy for ameliorating effects of environmental chemicals is preventing maternal exposures during pregnancy. For compounds that have clearly demonstrated links with preterm birth, development of clinician recommendations and studies examining effectiveness for reducing exposure will be an important next step.

 In summary, there is much evidence to suggest a relationship between environmental contaminant exposures and preterm birth, although additional work is necessary to fully assess the effect of individual chemicals. Nevertheless, this is an important area of future research, as maternal exposure to many chemicals may be modifiable, as opposed to other factors simultaneous under investigation, such as genetic polymorphisms. Also, identifying exposures that may be prevented prior to or during pregnancy may be more effective than interventions that can be implemented at delivery, which have shown low potential for reduction of preterm preva-lence thus far (Chang et al. [2013](#page-18-0)). Thus, further investigation of the role of environmental exposures in the etiology of prematurity is a promising line of research in the initiative to prevent this significant public health problem.

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