

Fluorescence Correlation Spectroscopy Studies of Polymer Systems

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Contents

1	Introduction	256
2	FCS Measurements and Analysis	257
2.1	FCS Setup	257
2.2	Autocorrelation Analysis	257
2.3	Analysis of Translational Diffusion	260
2.4	Analysis of Anomalous Diffusion	261
2.5	Full Correlation FCS to Detect Triplet Kinetics, Rotational Diffusion, and Fluorescence Antibunching	263
3	Technical Variations of FCS	264
3.1	Dual-Focus FCS	265
3.2	Total Internal Reflection FCS: Investigation of Interfaces	265
3.3	Superresolution in FCS	266
3.4	Temperature Control in FCS	266
4	Limitations of FCS	267
4.1	Refractive Index Changes	267
4.2	Spatial Resolution	268
4.3	Choice of the Fluorescent Probes	268
5	FCS in Polymer Solutions	269
6	Diffusion of Small Molecular Probes	271
7	Diffusion of Macromolecular Probes	272
8	Diffusion of Nanoparticles in Polymers	274
9	FCS in Polymer Gels	275
10	FCS in Charged Polymer Systems	277
11	FCS in Responsive Polymers	277
12	FCS in Polymeric Systems Near Interfaces	281
13	FCS in Polymeric Micellar Systems	282
14	Comparison of FCS with Other Methods	286
15	FCS and Simulations	287
16	Conclusion and Outlook	288
	References	289

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Abstract This chapter discusses the potential of fluorescence correlation spectroscopy (FCS) to study polymer systems. It introduces the technique and its variations, describes analysis methods, points out advantages and limitations, and summarizes FCS studies of molecular and macromolecular probes in polymer solutions, polymer gels, polymer nanoparticles, and polymeric micellar systems. In addition, a comparison with other experimental methods is presented and the potential of a combination with simulations discussed.

Keywords Fluorescence correlation spectroscopy • Fluorescence microscopy • Fluorescent probes • Polymer solutions • Polymer gels • Polymer nanoparticles • Polymeric micellar systems • Translational diffusion

1 Introduction

Fluorescence correlation spectroscopy (FCS) is a powerful method to measure the timescales of dynamics within different environments and allows for a determination of some photophysical properties of the fluorescent probes. Even though most studies have so far addressed biological questions, FCS could also give valuable insights into polymer systems [1]. Its particular advantages are that it allows for measurements with only minor sample amounts. Since the labeling concentrations are very low, a significant disturbance of the systems can be excluded. Thus, structures and their dynamics can be resolved in situ with a good spatial resolution at the diffraction limit of optical light, i.e., on a sub- μm length scale. The elucidation of diffusional processes on this scale is essential for a deeper understanding of the relationship between polymer structure and dynamics and its manifestation on the macroscopic properties of the polymer. The complexity of this relationship challenges all experimental and theoretical methods, and only combining their strengths will allow us to gain a consistent picture of polymers from the nanoscopic to the microscopic scale. Unraveling these secrets is still amongst the very dreams of polymer scientists and probably can foster the development of novel sophisticated functional materials with tunable properties.

This book chapter is intended to review the current state of the art of FCS and to emphasize the advantages, but also the limits of this technique for the measurement in polymer systems. It will discuss analysis methods for FCS data and point out the potential of FCS for future studies on polymers and their applications.

2 FCS Measurements and Analysis

2.1 FCS Setup

Already in 1972, Magde, Webb, and Elson published the first paper on fluorescence correlation spectroscopy yielding chemical rate constants and diffusion coefficients [2], followed by a series of further reports on these novel techniques [3–5]. However, several further developments were necessary for FCS to reach its current power which has been reviewed several times as for example in [6–10]. One key step in the evolution of FCS was its combination with confocal microscopy to enhance spatial resolution basically down to the diffraction limit of the fluorescence light and the concomitant increase in sensitivity [11]. Further important technical improvements concern the quality of optical components and the sensitivity and time resolution of detectors. Additionally, better labels and labeling strategies have become available, a point which should not be underestimated.

In a typical FCS experiment, as shown in Fig. 1, a collimated laser beam is focused by an objective lens into a diffraction-limited confocal volume within the sample placed on a glass cover slide. Part of the light emitted from this confocal volume is collected by the same objective and separated from excitation light using a dichroic mirror and an emission filter. The emission light is focused onto a pinhole blocking most of the light not originating from the confocal volume, thereby, as shown in Fig. 2, improving mainly the axial z -resolution. However, to a minor extent, also the lateral x - and y -resolution are improved. This way, the overall size of the confocal volume typically can be reduced to ca. 0.1 femtoliter. The photons passing through the pinhole are detected with a photo detector, typically an avalanche photo diode (APD). Such APDs possess good quantum efficiencies and, with appropriate electronics, allow for the determination of the arrival times of single photons with an accuracy in the picosecond range.

2.2 Autocorrelation Analysis

The number of detected photons within a certain time interval is typically binned and determines the measured fluorescence intensity. This intensity fluctuates on different timescales caused by different diffusional, photochemical, or photophysical processes. The timescales of these processes can be most straightforward analyzed using the autocorrelation function

$$G(\tau) = \frac{\langle \delta I(t) \cdot \delta I(t + \tau) \rangle}{\langle I(t) \rangle^2} = \frac{\langle I(t) \cdot I(t + \tau) \rangle}{\langle I(t) \rangle^2} - 1 \quad (1)$$

of the fluorescence intensity $I(t)$ or its deviations $\delta I(t) = I(t) - \langle I(t) \rangle$ from the mean intensity. This function describes the (average) correlation between the

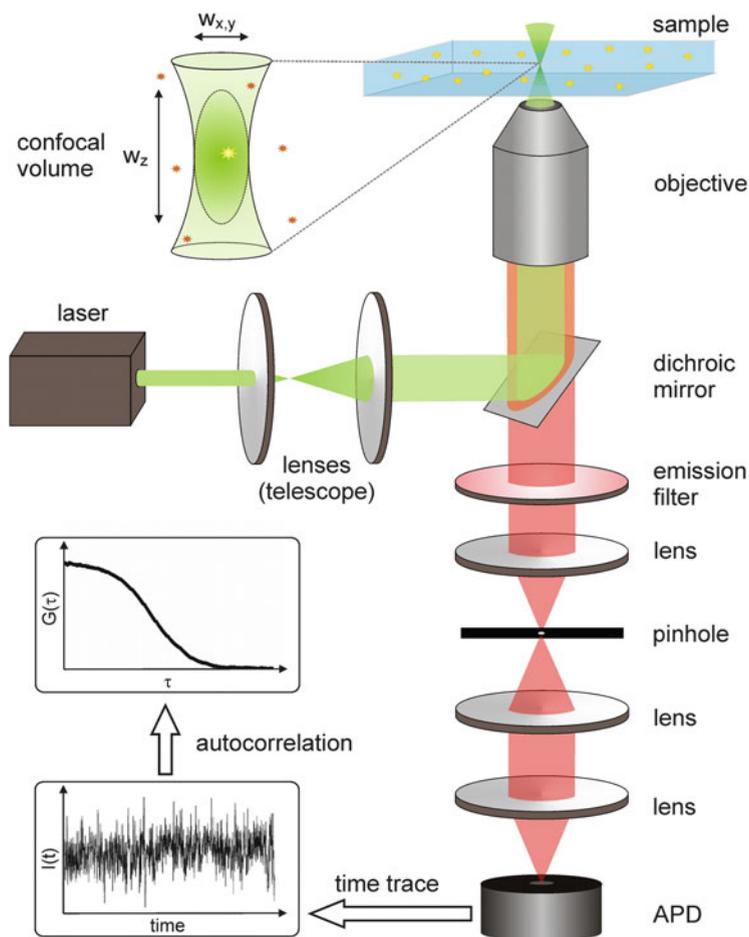


Fig. 1 Typical FCS setup (figure reproduced and adapted from Wöll [1] with permission of The Royal Society of Chemistry)

intensity at a time t with the intensity at a time shifted by the time interval τ . It can be interpreted as the conditional probability density of detecting photons, provided one photon is detected at zero time. The autocorrelation function contains information about the timescale of all processes that cause fluctuations in the fluorescence intensity.

Translational diffusion can be typically observed on timescales longer than 0.1 millisecond. In the μs range, the autocorrelation function often drops due to triplet blinking [12]. After excitation, the fluorophore undergoes intersystem crossing from a singlet (typically S_1) to a triplet state (typically T_1) and remains dark during the lifetime of this triplet state before it relaxes back to the singlet system, where it can fluoresce again by cycling between the S_0 and the S_1 states.

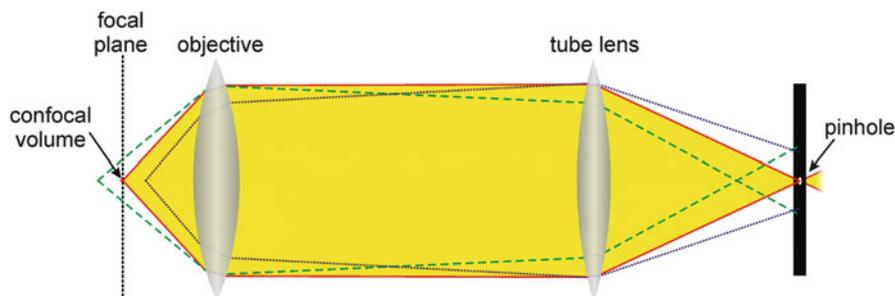


Fig. 2 Effect of the pinhole on the axial resolution of a confocal microscope. Only light originating from the confocal volume (*red solid line, yellow area*) can pass the pinhole without loss. Light from planes further away from the objective (*green dotted line*) focuses already in front of the pinhole (*green dotted line and blue dotted line*) and, thus, is mainly blocked, whereas light from planes closer to the objective is not yet focused at the plane of the pinhole and, therefore, most of this light also cannot pass (figure reproduced from Wöll [1] with permission of The Royal Society of Chemistry)

Photoisomerizations between two states with different fluorescence intensities can also be observed in the μs range [13]. The fast photophysics within different singlet states, i.e., the excitation from the singlet ground state and the emission of a fluorescence photon from an excited singlet state, are determined by the absorption cross section, the light intensity, and the fluorescence lifetime. This causes an intensity increase within nanoseconds which is called antibunching [14]. For concentrations with statistically less than one fluorescence molecule in the confocal volume, it is impossible to observe two fluorescence photons instantaneously because after one photon has been emitted, the fluorophore is in the electronic ground state. It requires time to be excited again and to emit a second photon. Thus, the autocorrelation functions approach a value of zero for very short (sub-ns) time intervals. Finally, rotational motion of single molecules with a well-defined transition dipole moment also causes intensity fluctuations. They can span a rather large timescale between sub-nanoseconds and milliseconds depending on the viscosity of the medium and even stronger on the probe size, since, according to the Stokes–Einstein–Debye equation, the rotational diffusion coefficient of a probe is indirectly proportional to the third power of its radius. However, care has to be taken when the fluorophore is part of a larger probe molecule and can reorient independently within this molecule. In this case, the fluorophore does not reflect the rotational motion of the probe but a complex combination of probe rotation and its own reorientation within the probe molecule.

The autocorrelation curve can then be fitted to autocorrelation functions calculated using reasonable models for the respective processes which are in detail explained in Chapter 5 of the “Handbook of Fluorescence Spectroscopy and Imaging” [15]. Here, we rather concentrate on providing the formulas typically used for fitting.

2.3 Analysis of Translational Diffusion

Translational diffusion can be described by a model with a 3D Gaussian intensity profile of the confocal volume which possesses the same width w_{xy} in the lateral x - and y -direction but a different width w_z in the axial z -direction. This can be related to the autocorrelation [see Eq. (1)] using the following equation:

$$G_{tr}(\tau) = \frac{1}{N} \left(1 + \frac{\tau}{\tau_D}\right)^{-1} \left(1 + \left(\frac{w_{xy}}{w_z}\right)^2 \frac{\tau}{\tau_D}\right)^{-\frac{1}{2}} \quad (2)$$

wherein N is the average number of fluorescent probes in the confocal volume and τ_D is the diffusional correlation time. The square root term corrects for the difference of the axial and lateral size of the elliptical confocal volume. Typical values are ca. 300 nm for w_{xy} and ca. 1.5 μm for w_z .

The highest sensitivity for the determination of τ_D is realized when the confocal volume contains in average approximately one independently diffusing probe, which is the case for sub-nanomolar concentrations. If the probe concentration is too low, the events of a probe diffusing through the confocal volume become rather seldom and (random) background noise will dominate the signal resulting in no correlation to be detectable. For much higher concentrations, the relative intensity of the fluctuations of the fluorescence signal becomes less, and thus the sensitivity of FCS decreases. However, it has been recently shown that with a high count rate detector system and applying laser fluctuation corrections, FCS diffusion measurements were possible even with fluorescent probe concentrations in the μM range [16].

The correlation time τ_D depends on the exact dimensions of the confocal volume and is therefore not a quantity to compare translational motion in different systems with each other. Therefore, in most cases, the diffusion coefficient D is calculated using Einstein's mean square displacement

$$\tau_D = \frac{4D}{w_{xy}^2} \quad (3)$$

which results in

$$G_{tr}(\tau) = \frac{1}{N} \left(1 + \frac{4D\tau}{w_{xy}^2}\right)^{-1} \left(1 + \frac{4D\tau}{w_z^2}\right)^{-\frac{1}{2}} \quad (4)$$

The dimensions w_{xy}^2 and w_z^2 have to be determined by a reference measurement. For aqueous systems, a common and reliable reference is rhodamine 6G (Rh6G) in water with a diffusion coefficient of $4.14 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$ [17]. The ratio w_z^2/w_{xy}^2 is typically in the range between 5 and 8. The diffusion coefficient D can be used to

determine the hydrodynamic radii of diffusing molecules, nanoparticles, or aggregates using the Stokes–Einstein equation

$$r_h = \frac{k_B T}{6\pi\eta D} \quad (5)$$

with the Boltzmann constant k_B , the sample temperature T , and the viscosity η .

It should however be kept in mind that Eqs. (2) and (4) only describe translational diffusion, if the shape of the focal spot convoluted with the detection efficiency profile is Gaussian, and if the emitters are point like. Micelles or other aggregates with multiple labels distributed over the whole nanoparticle require an analysis using the form factor of the distribution of labels on the particle [18]. Furthermore, in order to obtain reliable diffusion coefficients for complex systems, it has been reported that the minimum lag time, the maximum lag time, and the averaging time are critical parameters which have to be chosen appropriately [19].

If several independent diffusants with different diffusion coefficients are present in the sample, the autocorrelation function can be approximated by the summation of the terms of Eq. (4):

$$G_{tr}(\tau) = \frac{1}{N} \sum_{i=1}^n f_i \cdot Q_i^2 \cdot \left(1 + \frac{4D_i\tau}{w_{xy}^2}\right)^{-1} \left(1 + \frac{4D_i\tau}{w_z^2}\right)^{-\frac{1}{2}} \quad (6)$$

where D_i are the individual diffusion coefficients of the species i with a number fraction of f_i and a fluorescence quantum yield of Q_i . If the individual diffusion coefficients differ by more than an order of magnitude, they can be unambiguously identified as distinct shoulders in $G(\tau)$. A smaller difference makes it difficult to distinguish individual contributions. In principle, if it spans several orders of magnitude, the distribution of D_i 's can be yielded using a maximum entropy method [20] or a multicomponent analysis using the CONTIN algorithm [21]. Yet, the statistical quality of the measured $G(\tau)$ is the limiting factor of such types of analysis.

2.4 Analysis of Anomalous Diffusion

Another challenge is the analysis of anomalous diffusion from FCS measurements. Deviations from normal diffusion can be caused by internal chain motions [22–24] of (bio-)polymers, by molecular crowding [24–30], or by the restriction of diffusion to a certain “corral” region [31]. Different approaches have been suggested to deal with anomalous diffusion. One of the possibilities to describe anomalous diffusion uses a power law scaling of time τ^α [23, 26, 29, 30, 32, 33]. The scaling parameter α can depend on the timescale investigated and thus allows for a distinction between

translational and chain diffusion [22]. The ensemble average mean square displacement (MSD) $\langle \Delta r^2(\tau) \rangle$ with time τ can thus be expressed as follows:

$$\langle \Delta r^2(\tau) \rangle = 6D\tau^\alpha \quad (7)$$

If the probability distribution of displacements is Gaussian, the exponent α can be obtained by a combination of Eqs. (7) and (4) yielding [34]

$$G_{\text{tr}}(\tau) = \frac{1}{N} \left(1 + \frac{2\langle \Delta r^2(\tau) \rangle}{3w_{xy}^2} \right)^{-1} \left(1 + \frac{2\langle \Delta r^2(\tau) \rangle}{3w_z^2} \right)^{-\frac{1}{2}} \quad (8)$$

Using this approach, the internal dynamics of long DNA molecules was studied and discussed in the framework of theories for polymer dynamics [35–37]. Different scaling regimes with exponents α of 2/3 and 1 were found for the internal dynamics at intermediate timescales and the crossover to the diffusion of the whole chain at long timescales, respectively. It has however been carefully checked whether the assumptions for using Eq. (8) are justified by the underlying microscopic model of diffusion. Without the verification by such a specific microscopic model, false conclusions might be drawn about subdiffusive behavior [38]. In general, single particle or single molecule tracking are more suitable to distinguish between different types of diffusion [39]. For a non-Gaussian distribution of displacements, Höfling et al. [40] derived a relation between the particle trajectory and autocorrelation function $G(t)$. This, however, requires information about entire trajectories and the averaged information from FCS experiments is not sufficient for its application.

Apart from the abovementioned analytical tools, a technical approach to detect anomalous diffusion has been reported. Sample-volume-controlled-(SVC-) FCS can directly detect anomalous diffusion by changing the diameter of the collimated excitation laser beam [41, 42]. One of the challenges of this approach is however the control over the optical parameters such as distortions of the confocal volume [43].

At constant focus size, it was shown that FCS cannot distinguish between diffusion constrained by elastic force, walking confined diffusion, and hop diffusion averaged over many measurements [44]. The simulations indicate that the mean square displacements of all these types of restricted diffusion can reliably be interpreted using one archetypal model presented by the following equation:

$$\langle \Delta r^2(\tau) \rangle = 6D_L\tau + \frac{6}{5}a^2 \left(1 - \exp\left(-\frac{\gamma(5D_S\tau)}{a^2}\right) \right) \quad (9)$$

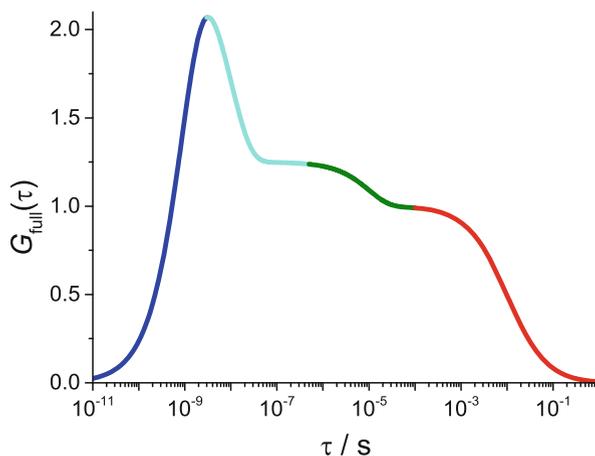
wherein D_L and D_S present the (macroscopic) diffusion coefficient measured for long time intervals and the (microscopic) diffusion coefficient measured for short time intervals, respectively. Equation (9) is only valid if the D_L and D_S are sufficiently well separated. Furthermore, a is an effective confinement radius and γ an exponential decay constant which depends on the model used ($\gamma = 1$ for diffusion constrained by elastic force, $\gamma \approx 0.866$ for walking confined diffusion, and $\gamma \approx 0.822$ for hop diffusion).

It should be emphasized at this point that FCS correlation curves can be often fitted equally well by an anomalous diffusion model and using two diffusion time constants. However, it has to be carefully analyzed which of the two models is more appropriate. Combining FCS results with simulations [45], Vagias et al. [46] for example showed that for the case of attractive tracer–polymer interactions, only a two-component diffusion process is a physically meaningful model.

2.5 Full Correlation FCS to Detect Triplet Kinetics, Rotational Diffusion, and Fluorescence Antibunching

In addition to translational diffusion, FCS is in principle also capable of determining the timescale of any process which causes fluctuations of the fluorescence signal. These processes are in particular triplet kinetics, isomerization, rotational diffusion, and fluorescence antibunching. Their timescales are typically shorter than the one of translational diffusion. One technical problem for measuring correlations on such short timescales is that measurements with one APD possess a dead time in the μs range, i.e., the detector is “blind” for this time interval after the detection of one photon. Therefore, any information about correlation of photons on a shorter timescale is lost. Additionally, depending on the detectors used, afterpulsing might cause artifacts. In order to obtain correlation values at shorter timescales, a Hanbury Brown and Twiss setup [47] can be used which splits the emission light and detects the photons on two independent detectors [48, 49]. Cross-correlation of the photon arrival times on the two detectors enables full correlation fluorescence correlation spectroscopy (fcFCS) measurements from the time range of picoseconds to the several minutes which can result in cross-correlation curves as presented in Fig. 3.

Fig. 3 Example of a full correlation FCS curve. The following parameters [see Eq. (10)] were used to simulate antibunching (blue), and correlation drops due to rotational diffusion (cyan), triplet blinking (green), and translational motion (red): $\tau_{\text{AB}} = 10^{-9}$ s; $\tau_{\text{R}} = 10^{-8}$ s; $R = 1$; $\tau_{\text{T}} = 10^{-5}$ s; $f_{\text{T}} = 0.2$; $\tau_{\text{D}} = 10^{-2}$ s; $\omega = 7$; $N = 1$



It was shown that for processes well separated in time, the correlation function can be simplified by factorization [50]. Therefore, the curve in Fig. 3 was constructed using the following combined equation with terms for translational diffusion, triplet blinking, rotational diffusion, and antibunching (each process in the corresponding line):

$$\begin{aligned}
 G_{\text{full}}(\tau) = & \frac{1}{N} \left(1 + \frac{\tau}{\tau_D}\right)^{-1} \left(1 + \frac{\tau}{\omega^2 \tau_D}\right)^{-\frac{1}{2}} \\
 & \cdot \left(1 + \frac{f_T}{1 - f_T} \cdot \exp\left(-\frac{\tau}{\tau_T}\right)\right) \\
 & \cdot \left(1 + R \cdot \exp\left(-\frac{\tau}{\tau_R}\right)\right) \\
 & \cdot \left(1 - \exp\left(-\frac{\tau}{\tau_{AB}}\right)\right)
 \end{aligned} \tag{10}$$

wherein N is the average number of independent emitters in the confocal volume, τ_D the translational diffusion time, ω a geometric factor (the ratio between axial and lateral diameter of the ellipsoid confocal volume), f_T the triplet fraction, τ_T the triplet lifetime, τ_R the rotational diffusion time, R the rotational amplitude, and τ_{AB} the antibunching time.

In the following we want to comment on the contribution of rotational diffusion. The theory to analyze rotational diffusion from FCS measurements was developed by Ehrenberg and Rigler [51] and Aragon and Pecora [52, 53]. Strictly spoken, the third term of Eq. (10) is only valid for the rotation of a spherical rotor with parallel transition dipole moments for absorption and emission and also with the same hydrodynamic properties in the ground and in the excited state. Furthermore, only the term with quantum number $l = 2$ of the angular momentum operator \mathbf{L}^2 has been considered [52, 54]. The sensitivity to measure rotational diffusion coefficients depends also on the polarization settings of the FCS setup, as nicely documented by Enderlein and coworkers [55, 56].

3 Technical Variations of FCS

As in most other experimental techniques, there is also a constant development and improvement involved in FCS which broadens its range, applicability, and accuracy. These developments resulted in advances such as dual-focus FCS, total internal reflection FCS, and STED-FCS which will be discussed in this section. Apart from this progress, a multitude of other variations have been reported which cannot be covered within this book chapter. These include FCS with two-photon excitation [57–61], spatial fluorescence cross-correlation spectroscopy (FCCS) which can be used to investigate microflows [62], dual-color FCCS to correlate

the fluctuations from two spectrally distinct fluorophores in order to analyze kinetics or association [63], pulsed interleaved excitation (PIE) FCS [56, 64], fluorescence triple correlation spectroscopy (F3CS) [65], and filtered FCS [66].

One of the main tasks in FCS is to obtain the maximum amount of (useful) information from the fluorescence light with reasonable effort and costs. Therefore, as many photons as possible should be detected including their parameters such as polarization, color, detection time, etc. [67]. Improvements have, however, not only been restricted to an improved detection of photons and their parameters, but also implemented new excitation and detection geometries.

3.1 *Dual-Focus FCS*

Enderlein and coworkers introduced dual-focus FCS (2fFCS) [68] in which two laser foci are alternately excited and detected. The distance between both laser foci serves as an internal distance reference, i.e., an intrinsic ruler. This avoids reference measurements which are otherwise necessary to relate diffusion time and diffusion coefficient. The accuracy of the obtained diffusion coefficients is sufficiently high to allow for sensitive measurements of temperature on a micrometer scale [69]. In addition, 2fFCS greatly reduces the dependency of FCS results on the size and shape of the excitation volume which due to optical saturation effects can vary significantly with excitation intensity. It is also less sensitive to slight changes in the refractive index [70], coverslip thickness, laser beam geometry, or optical saturation which can cause severe distortions of the confocal volume [43, 71] and, thus, can result in significant errors and misinterpretations in single focus FCS. The robustness of 2fFCS against optical and photophysical artifacts has been demonstrated for the investigation of systems with inherently large optical aberrations as they are often found in heterogeneous polymer systems [72]. However, when rather large colloids or macromolecules are used as probes for 2fFCS, their size with respect to the excitation laser focus has to be taken into account [73].

3.2 *Total Internal Reflection FCS: Investigation of Interfaces*

One of the challenges to investigate interfaces by FCS is the selective collection of the fluorescence emitted in the vicinity of the surface. The axial resolution of a confocal microscope results in averaging of the fluorescence emission within approx. 1 μm . As a consequence, surface effects are in most cases obscured in “normal” FCS measurements. With the evanescent wave of a laser beam totally reflected at the solid–liquid interface, however, the excitation can be restricted to a ca. 100 nm thin layer at the interface. This so-called total internal reflection FCS

(TIR-FCS) allows for an investigation of dynamic processes at liquid–solid interfaces [74–78]. Koynov and coworkers could for example measure diffusion coefficients of molecules and quantum dots at water–glass interfaces [77].

3.3 Superresolution in FCS

Superresolution microscopy methods have revolutionized optical microscopy within approx. the last two decades [79]. These methods can be separated in localization-based methods [80], which exploit the possibility to localize the isolated emission patterns of single molecules with high accuracy, and in methods which restrict the volume of excitation by stimulated emission. The latter can be combined with FCS resulting in STED-FCS (stimulated-emission-depletion FCS) [81, 82], where the excitation volume is minimized by an intensive donut-shaped STED laser pulse which depopulates basically all excited states except for a central volume of sub-diffraction size. This way, the spatial resolution Δd in lateral direction can be reduced to

$$\Delta d = \frac{\lambda}{2n \cdot \sin \alpha \sqrt{1 + \frac{I_{\max}}{I_S}}} \quad (11)$$

where n is the refractive index, α the half of the opening angle of the collected light, λ and I_{\max} are the wavelength and maximum intensity of the STED beam, and I_S represents the STED laser intensity at which the probability of fluorescence emission is reduced by half. STED-FCS has been used to study membrane dynamics [81, 83], but also found its way into polymer science. King et al. studied the mobility of end-labeled polystyrene chains in solutions of polystyrene in toluene near the polymer overlap concentration c^* . At concentrations higher than c^* , they found two modes of motion: self-diffusion and correlated segment fluctuations [84]. Leutenegger et al. went even one step further and combined STED-FCS with TIRF (see previous section) in order to achieve both high axial and lateral confinement reaching measurement volumes beyond 1 attoliter [85] (Fig. 4).

3.4 Temperature Control in FCS

Temperature is an important parameter which can significantly influence the properties and applicability of polymer systems. In order to capture all the interesting transitions in polymers, it is of considerable interest to be able to perform FCS measurements in polymers in an extended temperature range from cryogenic temperatures up to several hundred degrees centigrade with accurate temperature control and without artifacts from temperature gradients or convection. A setup

by FCS [90, 91]. The observation volume can also be calibrated using fluorescently labeled silica nanoparticles [92, 93] or dye-labeled polystyrene (PS) of known molecular mass [94], with the diffusion coefficient in dilute solution known from DLS measurements. One way to reduce the problems with distortions of the confocal volume is to measure at relatively small penetration depths of ca. 10 μm which is still reasonably far in the solution to avoid biased results due to influences of the interface. Another possibility to measure in systems with significant refractive index changes is dual-focus FCS as described in one of the previous sections [68]. Apart from the refractive index, slight changes in coverslip thickness, laser beam geometry, pinhole adjustment, or optical saturation can also lead to misinterpretations [43].

4.2 *Spatial Resolution*

The spatial resolution of FCS has been discussed in some papers [95, 96]. It has been stated that FCS is capable of resolving dynamics at the nanoscale, i.e., far beyond the limits of optical resolution [96]. On the other hand, it was mentioned that, as for all optical techniques, the diffraction limit has to be considered [95]. In general, it is possible to observe dynamics with a spatial accuracy beyond the diffraction limit of light. However, one has to be aware that, even though photon arrival times can be determined with picosecond accuracy, the obtained information about dynamics is averaged over time. This means dynamic heterogeneities which can be caused by mesh size fluctuations or fast structural changes cannot be observed directly and at the most could be estimated with an appropriate model explicitly implemented into the autocorrelation fit function. In addition, for the determination of spatially resolved diffusion coefficients from FCS measurements by changing the position of the focus, the diffraction limit determines the resolution. This can be only improved by superresolution techniques such as STED-FCS as states above.

4.3 *Choice of the Fluorescent Probes*

Just as for any other fluorescence microscopy technique, the choice of the fluorescent probe is of significant importance for FCS. Drops in the autocorrelations curves can occur as a result of photophysical and photochemical processes. In particular, the contribution of saturation effects and triplet blinking has been investigated [97, 98] and the rates of intersystem crossing and triplet decay as well as the excitation cross section of fluorophores could be determined [12]. In addition, antibunching is determined by the photophysics of the fluorophore. Therefore, the choice of appropriate dyes is essential to obtain meaningful results. Apart from that, the fluorescent probe should also serve as a selective label to

observe the diffusing species of interest. However, the interaction between dyes and polymer chains can also result in misinterpretations and should be carefully checked.

5 FCS in Polymer Solutions

FCS is a suitable technique to study polymer dynamics in solution, and the diffusional processes investigated by FCS provide important information about the local mechanical and viscoelastic properties of polymer solutions. Typically, a tiny amount of fluorescently labeled polymer chains or free dyes is added to the polymer solutions to be investigated or vice versa. This has not only the advantage of requiring minor amounts of fluorescent dyes or labeled polymers, but also minimizes the disturbance of the system by the introduced fluorophores.

One very interesting question concerning polymer solutions is how the diffusion of dye-labeled polymer chains and molecular probes changes with varying concentration and molecular weight of the probe and the surrounding. Principally, three different polymer concentration regimes can be distinguished: (i) dilute solutions in which diffusion is fully governed by the hydrodynamic radius of the diffusing species, (ii) semidilute solutions in which the polymer coils start to overlap, and (iii) concentrated solutions in which the chains strongly interact with each other. The border between the dilute and the semidilute regime is often called c^* , whereas the border between semidilute and concentrated regime is typically referred to as c^{**} . The semidilute and the concentrated solutions can be further divided into an unentangled and an entangled regime, the border of which is normally referred to as the entanglement concentration c_E . In the latter regime, topological constraints caused by entanglement dominate the dynamics. The transition between the regimes depends primarily on concentration and molecular weight of the polymer. In the following, the contributions of FCS to elucidate molecular dynamics in the various regimes will be discussed.

In this context, it is essential to discuss how the diffusion of single molecules or particles can be connected to the viscosity, if viscosity is a concept which still holds for length scales in the nanometer range. In fact, viscosity is a strong function of the length scale at which it is probed [99, 100], and already almost 30 years ago, the concept of length scale-dependent viscosity has been developed [101, 102]. Brochard and de Gennes suggested that the motion of a particle with a radius $R_0 \gg R_g$ (radius of gyration) is governed by the bulk viscosity [103]. As its size, however, becomes close to the tube diameter or smaller, the diffusion coefficient can increase dramatically by up to a few orders of magnitude. For entangled polymer solutions, this behavior is additionally governed by the entanglement spacing d_t (tube diameter) [104]. This was verified by diffusion measurements of particles with different diameter $2R_0$ which was comparable to the entanglement tube diameter using fluctuation correlation spectroscopy, i.e., correlation of the luminescence of gold nanoparticles after multiphoton excitation [105].

A similar approach was presented by Holyst et al. who studied the diffusion of differently sized probes ranging from RhB molecules (1.7 nm) up to silica spheres (114 nm) in polyethylene glycol (PEG) solutions with a combination of FCS, capillary electrophoresis, and macroviscosity measurements (see Fig. 5) [106]. They found that the large probes diffused as expected from the macroviscosity of the solutions, whereas the diffusion of the small probes was clearly faster. As a consequence of this behavior, they distinguish between a macroviscosity experienced by larger probes and a nanoviscosity felt by small probes where the latter was observed to be up to a factor of 100 times smaller than the macroviscosity. A comparable result was also obtained for the diffusion of 2–44 nm sized probes in PVA solutions by Michelman-Ribeiro et al. [107]. The crossover from probing nanoviscosity to probing macroviscosity was found at a length scale at which the probe reached a size of approximately the radius of gyration of the polyethylene glycol (PEG) polymer under investigation [106]. It could be shown that the dependency of viscosity η on the ratio between an effective probe size and the correlation length ξ of the polymer follows a stretched exponential function. Probes smaller than the radius of gyration R_g of the polymer experience the nanoviscosity and the effective probe size is the probe radius R , whereas it equals the radius of gyration R_g for probe molecules of larger size which feel the macroviscosity of the polymer solution [106]. Thus,

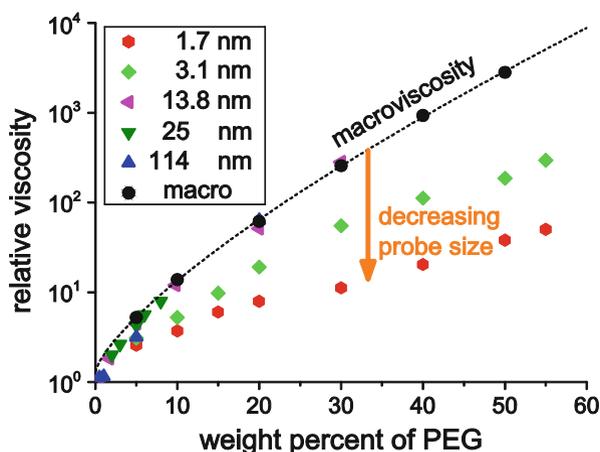


Fig. 5 Viscosity determined using diffusion measurements of differently sized probes in PEG 20000 solution. Small probes experience nanoviscosity, whereas large probes follow the macroviscosity which is shown as the *dashed black line* as a guide to the eye. The crossover length scale between nano- and macroviscosity in PEG20000 is larger than 3.1 nm and smaller than 13 nm (reproduced and adapted from Holyst et al. [106] with permission of the PCCP Owner Societies)

$$\frac{\eta}{\eta_0} = \begin{cases} \frac{\eta_{\text{nano}}}{\eta_0} = \exp\left(b\left(\frac{R}{\xi}\right)^a\right) & \text{for } R < R_g \\ \frac{\eta_{\text{macro}}}{\eta_0} = \exp\left(b\left(\frac{R_g}{\xi}\right)^a\right) & \text{for } R > R_g \end{cases} \quad (12)$$

where the ratio between radius of gyration and correlation length ξ depends on the polymer concentration and the overlap concentration according to

$$\frac{R_g}{\xi} = \left(\frac{c}{c^*}\right)^\beta \quad (13)$$

where β depends on the Flory parameter ν according to

$$\beta = -\frac{\nu}{(1 - 3 \cdot \nu)} \quad (14)$$

and for a three-dimensional polymer coil in a good solvent equals to $\frac{3}{4}$. Using the relationship shown in Eq. (12), all measured data of viscosity versus probe size could be plotted on one master curve. Furthermore, the parameter b in Equation (12) could be related to an excess activation energy for viscous flow compared to the one observed for pure solvent. The intrinsic viscosity was found to be directly proportional to this activation energy [108].

6 Diffusion of Small Molecular Probes

Small molecular probes sense the local viscosity and, therefore, no significant difference for their mobility in solutions of polymers of different (high) molecular weight is found. FCS measurements of the diffusion coefficients of a perylene monoimide dye for various concentrations of solutions of different molecular weight polystyrenes in acetophenone verified that all points fall onto the same master curve which could be fitted with a stretched exponential [109]. That means that from the perspective of the probes, the change in molecular weight of the polymer between 110 and 450 kg/mol does not seem to make a difference. At low polymer concentrations, the diffusion of the small probes is even not significantly influenced by the presence of polymer chains at all since it can diffuse basically unhindered through the polymer meshes. In a concentration range between $\sim 6c^*$ and $20c^*$, small molecules in polymer solutions were found to exhibit normal diffusion,[110] in contrast to larger nanoparticles for which a subdiffusive behavior was found (see below). The effect of the probe size on translational and rotational motion of perylene diimide derivatives of different size was monitored during the free radical bulk polymerization of styrene [54]. The increasing viscosity during bulk polymerization causes a drop in diffusion coefficient. The relative drop in rotational diffusion coefficient, i.e., the current diffusion coefficient D divided by

the diffusion coefficient D_0 in pure monomer solution (conversion = 0), was found to be similar for all probe sizes. All plots of D/D_0 versus the conversion showed the same behavior whereas significant differences were observed for the relative changes in the translational diffusion coefficient. The decrease in translational diffusion of the smallest dye resembles the rotational diffusion data. With respect to this scaling, the translational diffusion of the larger probes is significantly lowered, pointing to a change from probing nanoviscosity, apparently the property determining rotational diffusion, toward macroviscosity. A similar behavior was observed for the diffusion of the enzyme aldolase in aqueous solutions of polyethylene oxide. It was argued that the viscosity experienced for rotational motion is significantly reduced in comparison to the one experienced for translational motion due to entropic reasons [108].

Diffusion of small molecular probes was also studied in polymer melts. Herein, the diffusion of molecular tracers senses the glass transition temperature-dependent local segmental dynamics of the polymer matrix, rather than its macroscopic viscosity [111, 112]. The dependence of the diffusion coefficient on temperature could be fitted using a Vogel–Fulcher–Tammann function with an activation energy increasing with the tracer size and depending on the polymer. A combination of FCS and laser scanning confocal microscopy allowed for monitoring the dynamics of phase separation in a PS/poly(methyl phenyl siloxane) blend, two polymer components with a difference in glass transition temperature of more than 113 K and an upper critical solution temperature [113]. In polymer blends, the topology of the matrix polymer plays a pivotal role. Cherdhirankorn et al. observed significant differences in the diffusion of terrylene diimide probes in a polymer melt of linear and star-shaped 1,4-polyisoprenes, respectively [26]. FCS measurements are however not limited to small probes as will be shown in the following section.

7 Diffusion of Macromolecular Probes

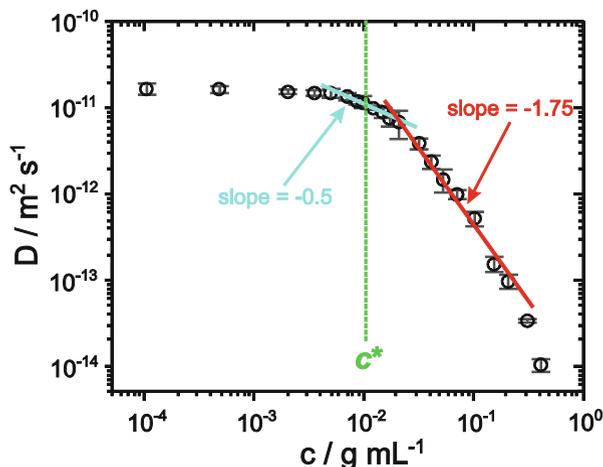
Fluorescence-labeled polymer chains have been investigated by FCS to determine macromolecular diffusion in different polymer concentration regimes. Most studies were performed on labeled PS chains in toluene solutions of non-labeled PS of similar length.

For highly dilute and non-interacting solutions, this allows for the determination of self-diffusion coefficients D_0 . Proceeding to the dilute regime where the polymer chains can interact with each other but still do not overlap, the dynamics is dominated by the hydrodynamic radius of the diffusing probe. According to the Kirkwood–Riseman theory [114], the diffusion coefficient can be calculated as follows:

$$D = D_0 - k_f \cdot c \quad (15)$$

where D_0 is the diffusion coefficient at infinite dilution (see above) and k_f is a

Fig. 6 Diffusion coefficient of labeled PS in toluene solutions as a function of polymer concentration and its prediction according to reptation and scaling theory (adapted with permission from Liu et al. [94]. Copyright 2005 American Chemical Society)



proportionality factor. This linear dependence of the diffusion coefficient on polymer concentration could be confirmed by the FCS measurements of Zettl et al. [90].

Further increase of the concentration above the overlap concentration c^* [115] causes a transition from the dilute to the semidilute regime. FCS measurements have verified that the concept of an overlap concentration is very useful as the plot of the diffusional correlation time versus the polymer concentration changes the slope at c^* [91]. In such a graph, linear fits of both regimes intersect at the overlap concentration which depends on the molecular weight of the dissolved polymer chains. For increasing molecular mass, c^* shifts to lower concentrations. Fitting the dependency of c^* on molecular mass resulted in a Flory exponent of 0.59 in excellent agreement with the value of 0.588 predicted by theory for a polymer in a good solvent [116].

A very detailed study over a broad range of concentrations between 10^{-4} and 0.4 g/mL of high molecular weight PS chains ($M_W = 390$ kg/mol) in toluene was performed by Liu et al. [94]. In the dilute regime ($c < c^* \approx 0.01$ g/mL), they obtained basically a constant diffusion coefficient, i.e., $D \sim c^0$. Proceeding to the unentangled semidilute regime ($c^* \approx 0.01$ g/mL $< c < c_E \approx 0.02$ g/mL), where the polymer coils overlap but do not entangle effectively, a scaling of $D \sim c^{-1/2}$ in agreement with theory was determined [117]. When entanglements start to dominate the diffusional behavior at $c > c_E \approx 0.02$ g/mL, the scaling changes to $D \sim c^{-7/4}$ as predicted by basic scaling and reptation theory [115, 118]. The different regimes and their scaling behavior with polymer concentration are illustrated in the double-logarithmic plot in Fig. 6. FCS diffusion measurements of perylene-monoimide-labeled PS chains in unentangled and entangled semidilute solutions gave comparable results [109]. Additionally, in both of these studies, it was shown that the transition between the different regimes is rather smooth. In unentangled semidilute solutions of low molecular weight, the diffusion measurements of fluorescence-labeled polymer chains elucidate a linear dependency between viscosity and

temperature [58]. The activation energies obtained from Arrhenius fitting of these data for different polymer concentrations can be related to free volume theory [119].

Apart from the scaling of the diffusion coefficient of polymer chains with concentration, the dependency on their molecular weight is of high interest. For PS in the good solvent toluene, a scaling of $D \sim M^{-3/5}$ and $D \sim M^{-2}$ for dilute and semidilute entangled solutions was determined, respectively [24]. The question how the molecular weight of the surrounding chains depends on the diffusion coefficient of a small fraction of labeled PS chains in toluene has also been addressed [109]. It was found that higher molecular weights of the matrix polymer result in slower diffusion of the macromolecular probes as long as the molecular weight of the matrix does not exceed five times the molecular weight of the probe. For large matrix molecular weight, a master curve was obtained when plotting D normalized to the diffusion coefficient in infinite dilute solution versus the polymer concentration normalized to the overlap concentration of the diffusively fluorescently labeled polymer species. The obtained scaling parameters were -0.5 and -1.75 for the unentangled and entangled semidilute regime, respectively, in agreement with the previously mentioned study by Liu et al. [94]. In entangled polymer solutions, the motion of polymer chains is not independent from other chains anymore since the chains are coupled to each other through the entanglements. Therefore, in addition to self-diffusion, a collective diffusion mode could be observed in a semidilute solution of PS chains with a molecular weight of 515 kg/mol PS chains and a concentration of 13 wt [24]. This collective mode has a significant impact, for example, on the production of nanofibers [24].

For highly concentrated polymer solutions, FCS measurements revealed subdiffusive motion as an additional mode on an intermediate timescale between the fast collective diffusion and the slow self-diffusion [24]. In such slow systems, however, FCS reaches its limits when probe motion becomes so slow that the number of molecules moving into or out of the confocal volume within the measurement time is too small to allow for reliable statistics. Increasing the measurement time is often not straightforward since all fluorescence dyes have only a limited photostability. If a dye bleaches within the confocal volume, it will fake a faster diffusional motion than its real value. Therefore, for the study of such concentrated systems, wide-field fluorescence microscopy and subsequent single molecule tracking is a much better method [120] and has been utilized to study the glass transition [87, 121].

8 Diffusion of Nanoparticles in Polymers

As already discussed for molecular probes, the probe size plays a pivotal role for the analysis of polymer systems. Its size with respect to characteristic length scales of the investigated system determines the dynamics to be measured. For sufficiently large nanoparticles with diameters in the 100 nm range microviscosity can be

accessed. This technique is often referred to as passive microrheology [122–124]. In addition to its spatial resolution, the big advantage of microrheology is that only tiny sample volumes are required, a challenge for other rheometers.

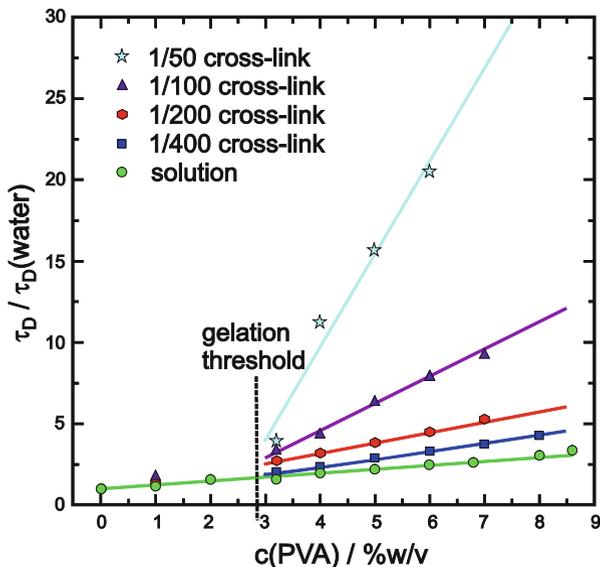
Mukhopadhyay and coworkers observed the diffusion of differently sized gold nanoparticles (NPs) within an entangled liquid of poly(*n*-butyl methacrylate) (PBMA) above the glass transition temperature [105]. Instead of using a fluorescence signal for detection, they used the multiphoton absorption-induced luminescence of gold nanoparticles to perform fluctuation correlation spectroscopy measurement. One key parameter for the diffusion behavior is the ratio of particle size and tube diameter within the entangled polymer. Small nanoparticles can use this path to “sneak” through the polymer meshes whereas for larger particles Stokes–Einstein behavior is recovered. The size of the probes with respect to the mesh size also determines the diffusional mode of the nanoparticles. Small molecules or nanoparticles exhibit normal diffusion whereas anomalous diffusion was found for larger particles [110].

Slight anomalous diffusion is also observed for the motion of nanoparticles in agarose, i.e., polysaccharide, gels when its size amounts to approx. half of the critical size for which particles become trapped in the gel [32]. This behavior indicates that the diffusion through the gel of slightly larger mesh size than the particle diameter is hindered by the interactions of the saccharide which act as obstacles, but the particles can still jump from pore to pore. For nanoparticles of larger size, the connectivity of the pores decreases rapidly, and the particles get trapped because percolating paths for them become very rare.

9 FCS in Polymer Gels

This section will concentrate on FCS measurements in covalently (chemically) cross-linked polymer gels. The diffusion inside gels is affected by several parameters, in particular by the mesh size of the gel, its microstructure, the degree of swelling, the size of the diffusing species, and interactions between diffusing species and gel. At low polymer concentration, there is no significant difference between solutions of linear or cross-linked chains. Above a certain threshold concentration, the diffusion of stronger cross-linked gels decreases more rapidly when increasing the polymer concentration [125, 126]. This threshold concentration is, for example, approx. 3% w/v for PVA gels as shown by Michelman-Ribeiro et al. [127]. The cross-links act as obstacles for the diffusing species and affect their motion. This hindrance becomes more pronounced the higher the cross-link density and depends strongly on the size of the probe compared to the mesh size of the cross-linked polymer. Modesti et al. report that the most reasonable description for the diffusion in polymer networks is obtained by assuming that the cross-link effect is additive to the effective friction coefficient of the probes, i.e., the friction coefficient in the network equals the effective friction coefficient for the probe in the pure solvent plus a friction coefficient caused by the permanent cross-links

Fig. 7 Scaled characteristic diffusion time of fluorescent TAMRA molecules in PVA solutions and gels at several cross-link densities as a function of polymer concentration with linear fits. The times are scaled by the diffusion time of the probe in water. The vertical dashed line indicates the approximate gelation threshold (adapted from Michelman-Ribeiro et al. [127])



[125]. The description of polymers bearing very high cross-link densities with this model however fails, presumably due to pronounced swelling heterogeneities, i.e., the solvent preferably swells the more weakly cross-linked matrix and, thus, even at rather low degrees of swelling, opens up percolating regions of lower polymer density in which the dye can diffuse rather easily. Such an explanation is also underlined by our own observations on heterogeneous diffusion in polymer solutions during their cross-linking free radical bulk polymerization [128]. Interestingly, such a behavior is also observed for the linear bulk polymerization of MMA and is probably one of the main reasons for a pronounced Trommsdorff effect [129]. The change of diffusion behavior of three different proteins with hydrodynamic radii between 1 and 5 nm during swelling of cross-linked polyethyleneglycol networks hydrogel matrices with mesh sizes of ca. 14–19 nm can be explained by free volume theory [130]. A theory which explicitly considers the confinement a particle experiences from polymer chemical cross-links and which describes nanoparticle diffusion as subsequent activated hopping processes due to polymer network fluctuations has been recently published [131]. As already mentioned before, FCS is not only capable of studying the effect of cross-links in readily synthesized gels, but allows also for an investigation of the polymerization or cross-linking process itself. This way, the photo-crosslinking of PS microbeads with UV light was followed using FCS [132] (Fig. 7).

10 FCS in Charged Polymer Systems

Charges often play an important role for the properties of polymer systems. Therefore, it is not surprising that this topic was also addressed using FCS. Pristinski et al. investigated the translational diffusion of Alexa-labeled polymethacrylic acid in aqueous solution as a function of polymer concentration, solution pH, and ionic strength [133]. Below the overlap concentration c^* only minor changes were observed when varying the concentration. Beyond c^* , the diffusion coefficients dropped significantly with increasing polymer concentration. A change from pH 5 to pH 8 resulted in an increased charge on the PMAA chains resulting in their twofold expansion. Enhanced ion concentrations of alkaline metal ions caused a chain contraction. Both dependencies could be detected using FCS diffusion measurements. Also the electrostatic interaction of oppositely charged polymers, in particular the complexation between negatively charged rhodamine-labeled oligonucleotides and cationic polymers, was studied [134]. The interaction of small (cationic) Rh6G dye molecules with (anionic) polystyrene sulfonate (PSS) polymers resulted in a fraction of free and a fraction of polymer-bound dye molecules [135]. The fraction of bound probes could be decreased by elevated salt levels indicating a dynamic exchange process between the free and bound cationic dyes. In another study, determination of the hydrodynamic radius of fluorescently labeled dextran could explain their solvent-dependent uptake into polyelectrolyte multilayer microcapsules [136]. FCS was also used to confirm the layer-by-layer assembly of PNIPAM microgel particles with (three) alternating layers of oppositely charged polymers as shown in Fig. 8 [137]. In general, a combination of simulations and theoretical studies with FCS measurements has a high potential to elucidate the effect of interaction in more detail. This is not only limited to charged species but can also take into account different interactions between polymer chains and probes [46].

11 FCS in Responsive Polymers

Responsive polymers have attracted considerable interest. Thermoresponsive systems which change their polarity and, thus, their shape and behavior within a physiological range between ca. 30 to 40 °C (see Fig. 9) have for example potential as drug delivery systems. Exploiting the potential of these polymers requires a detailed knowledge of their structure and dynamics at the nanoscopic and mesoscopic scale. The most studied responsive polymer, so far, has been poly(*N*-isopropylacrylamide) (PNIPAM) which exhibits a lower critical solution temperature (LCST) at around 32 °C. Below this temperature, PNIPAM chains in water are in a swollen state. At the LCST a volume phase transition occurs and the gel collapses and expels water. FCS allows for the investigation of the diffusion of small molecules and labeled PNIPAM chains in PNIPAM hydrogels. It was shown

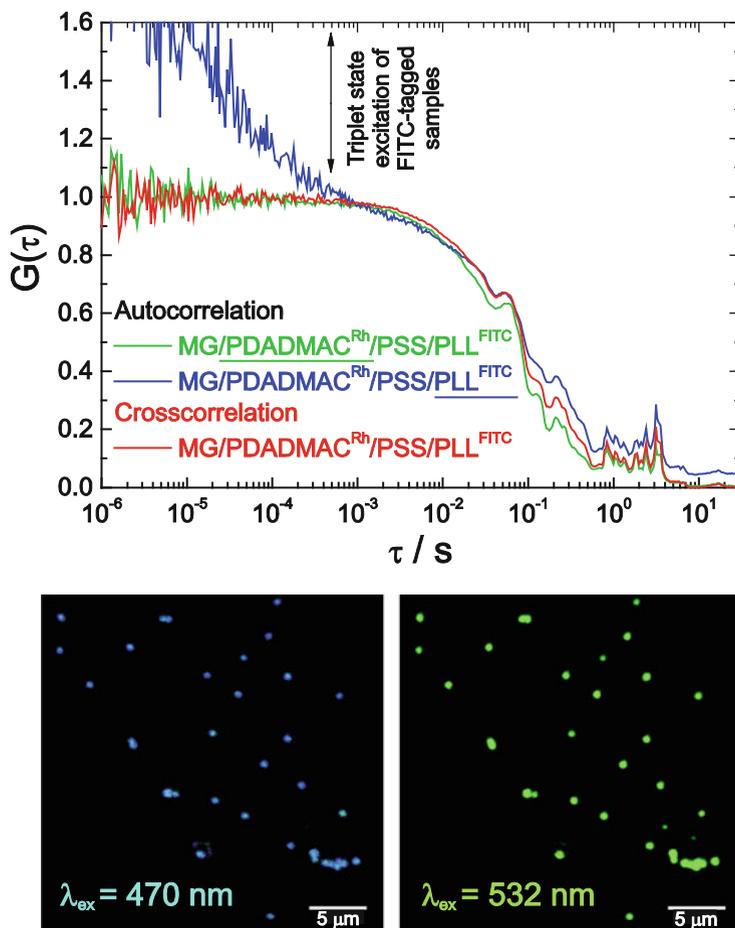


Fig. 8 FCS proves that the two differently labeled polyelectrolytes are anchored to the same PNIPAM microgel and, thus, that the layer-by-layer assembly has been successful. *Top*: Auto- and cross-correlation function of the coated PNIPAM nanoparticles. *Bottom*: Confocal fluorescence images of dried particles when excited at 470 nm (*left*) and 532 nm (*right*), respectively (adapted with permission from the Journal of Physical Chemistry [137]. Copyright (2007) American Chemical Society)

that the dependency of the diffusion coefficient on polymer concentration follows a stretched exponential [138]. Conventional hydrogels cross-linked with organic cross-linkers, however, suffer from severe disadvantages for technical and medical usage due to their low mechanical toughness, limited swelling ratio at equilibrium, and poor transparency. Clearly improved properties were reported for PNIPAM hydrogels cross-linked with clay nanoparticles [139]. These systems have also been studied by FCS using free probes [140] and covalent labeling of the clay nanoparticles [141]. In the latter case, FCS was used to verify the covalent labeling

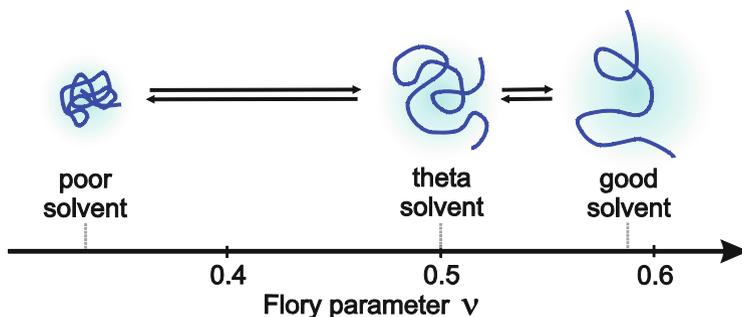


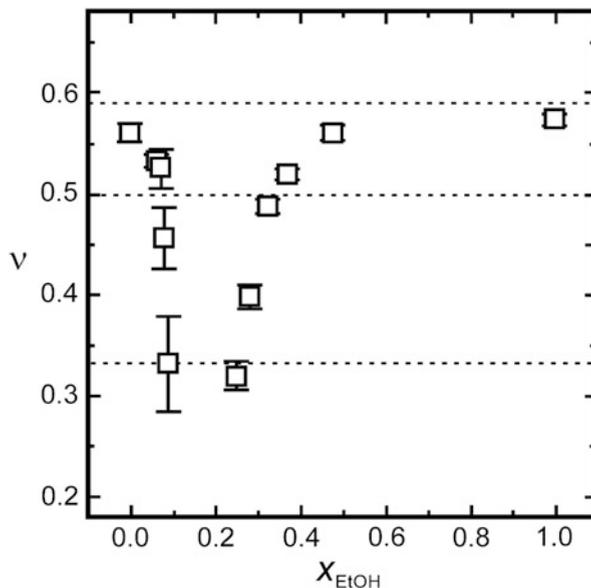
Fig. 9 Shapes and Flory parameters ν of polymer chains in different solvent quality. The *three dotted lines* denote the theoretical values of ν for different solvent qualities

and wide-field fluorescence microscopy studies revealed an anomalous diffusion of the clay nanoparticles in the hydrogels which significantly changed during the volume phase transition.

The temperature-induced change of mobility of differently sized probes through PNIPAM gels anchored to a solid substrate and, thus, with swelling restricted to one dimension was investigated by the Koynov group [126, 138]. In the swollen gel at low temperature, the small Alexa 647 probe exhibited a diffusion behavior which could be described with one diffusion coefficient, whereas the larger probe, green fluorescent protein (cylindrical shape with approx. $4.2 \times 2.4 \times 2.4 \text{ nm}^3$ [142]), significantly deviated from this single Fickian diffusion. Thus, the length scale of hindrance of probe motion due to the hydrogel structure is in a range between the sizes of both probes. In the transition regime from the swollen to the collapsed state around the volume phase transition temperature, two fractions of molecules with different diffusion coefficients were found for both dyes. This reflects the decreasing length scale of heterogeneous behavior of the probes caused by the denser polymer network which now also affects the diffusion of the small dye. After the collapse transition occurred at a swelling ratio of about 1.5, all dye molecules were expelled from the collapsed hydrogel films and thus moved freely outside of the polymer layer on the surface.

The diffusion of labeled dextrans in nanocomposites consisting of thermoresponsive PNIPAM microgels in a poly(acrylamide) hydrogel matrix could be measured with spatially resolved 2fFCS inside the microgel nanoparticles and in the surrounding hydrogel matrix [143]. The diffusion behavior of the probes in these nanocomposites depends on the cross-linking density of the microgels. For weakly cross-linked microgels, the nanocomposite forms an interpenetrating polymer network. As a consequence, swelling and deswelling of the microgel are obstructed and the mobility of embedded fluorescently labeled dextran probes is reduced. For highly cross-linked microgels, they collapse upon increasing the temperature above the volume phase transition temperature. This results in a heterogeneous swelling of the hydrogel matrix and the formation of pores near

Fig. 10 Flory exponents ν as a function of the mol fraction x_{EtOH} of ethanol. The three dotted lines denote the theoretical values for the different solvent qualities as given in Fig. 9 (adapted with permission from *Macromolecules* [149]. Copyright (2012) American Chemical Society)



the surface of the microgels. Such behavior allows for tailoring of pore structures, thus enabling a control of the motion through these systems.

Amongst other polymers, PNIPAM exhibits a peculiar behavior in solvent mixtures. This polymer is, e.g., well soluble in water and in ethanol, but not in certain mixing ratios of these solvents. The reasons for this phenomenon called cononsolvency are still under discussion [144–147]. Cooperative hydration and competitive hydrogen bonding are current concepts to explain cononsolvency [148] Wang et al. applied FCS on fluorescently labeled PNIPAM of different degrees of polymerization and different water–ethanol compositions.[149] One technical challenge in these measurements was the change in refractive index upon addition of the cosolvent ethanol to the aqueous polymer solution and the concomitant change in the confocal volume size and shape [43]. The change in refractive index was investigated using reference measurements of fluorescent nanoparticle diffusion in glycerol–water mixtures of known refractive indices by DLS. It was concluded that the effect of the refractive index mismatch and the consequential distortion of the confocal volume can be neglected for small distance of the focal point from the coverslip (ca. 10 μm is also in our view an appropriate distance for such measurements). From the diffusion times accessed by FCS, the hydrodynamic radii of the PNIPAM coils were determined for different polymer chain lengths and solvent mixtures. From the dependency of the hydrodynamic radius r_h on the polymer chain length N , the Flory scaling exponent [115] ν was determined as $r_h \propto N^\nu$. As shown in Fig. 10, the values in pure ethanol and pure water are close to the predicted values for good solvents. In water–ethanol mixtures, the solvent quality for PNIPAM becomes significantly lower. Between a mole fraction x_{EtOH} of 0.09 and 0.25, no

uniform fluorescent signal could be detected in solution due to the (reversible) formation of suspended aggregates.

FCS studies were not only performed in PNIPAM, but also in thermoresponsive poly(2-oxazolines) [150] and to investigate the diffusion of nanoparticles in methylcellulose [151]. At low temperature, the latter exhibits a transient polymer mesh networks in the fluid state which at higher temperatures switches to a gelled state due to a formation of fibrillar structures.

Apart from using temperature for the switching, also other triggers such as pH, salt concentration, and solvent composition have been reported. Changes in pH value and ionic strength result in different interactions between polymer chains and between the probes and the polymers and are especially pronounced for charged species [133]. FCS studies at different humidity are sparse, [152] but may give a lot of interesting information about the changes of the polymer dynamics during swelling and deswelling.

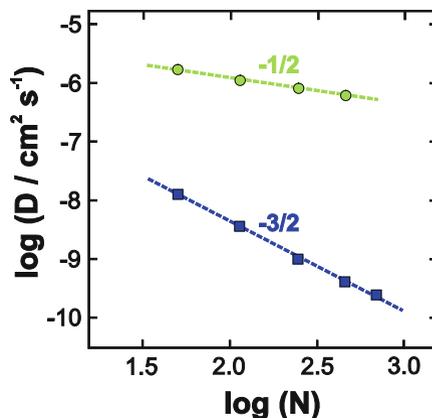
12 FCS in Polymeric Systems Near Interfaces

The way that polymer chains diffuse at an interface is of high practical importance for example for coating applications. This question, however, turned out to be rather complex and measurements as well as theoretical considerations are challenging. The reason for this complexity are the numerous interactions and conformations that have to be considered at interfaces. A variation of FCS that is quite suitable to investigate surfaces is TIR-FCS where the sensitivity of FCS measurements at solid–liquid interfaces is significantly enhanced [76–78]. However, so far most FCS studies at interfaces have been performed in the “classical way.”

It was, for example, found that end-labeled polyethylene glycol (PEG) chains adsorbed onto a hydrophobic self-assembled monolayer of octadecyltriethoxysilane coated onto a fused silica coverslip exhibit a flat “pancake” conformation due to significant adsorption of the polymer chains on the surface [60, 153]. This interaction causes that the diffusion coefficient scales with the number of chain segments according to a strong power law scaling with an exponent of $-3/2$, in contrast to the scaling of $-1/2$ in solution (see also Fig. 11). Not surprisingly, surface diffusion depends on surface coverage [154, 155]. At low surface coverages, an increase of the translational diffusion coefficient with increasing surface concentration was observed and attributed to a decrease of adsorption sites per molecule as chains switch from pancake to loop–train–tail conformation. When polymer chains start to overlap at the surface, the diffusion slows down significantly, due to crowding and entanglement with neighboring chains.

Translational diffusion within polymer brushes can be also accessed by FCS studies. It depends on the local viscosity within the brush and on probe size, thus causing different results for small and macromolecular probes [156]. For charged polymers, pH and ionic strength plays an additional role. If the dyes and the brushes are oppositely charged a dynamic association of dye molecules with the polymer

Fig. 11 Center-of-mass diffusion coefficients D in solution (green circles) and at the surface (blue squares) plotted against the degree of polymerization N in double-logarithmic scale. The solution data can be well fitted by a linear function with a slope of $-1/2$. For surface diffusion a slope of $-3/2$ was obtained (reproduced and adapted with permission from [60]. Copyright 2002 American Chemical Society)



brush can be observed. The association and dissociation kinetics can be adjusted by the pH or the addition of ions [157].

When the polymer brushes or surface coating are thermoresponsive, surfaces can be also sensitive to temperature. Wang et al. studied lateral diffusion of fluorescently labeled polyelectrolyte poly(2-vinylpyridine) (P2VP) on the surface of thermoresponsive poly(*N*-isopropylacrylamide) (PNIPAM) brushes [93]. At the low pH used for the measurements, the P2VP chains were fully charged and thus exhibited an extended coil conformation. Gradually increasing the temperature resulted in an increase in the diffusion coefficient of the P2VP probes as expected from the concomitant decrease of viscosity. However, at the volume phase transition temperature the diffusion coefficient started to decrease again. This behavior was attributed to the collapse of the PNIPAM chain conformation changing the hairy to a closely packed layer.

Apart from solid–liquid interfaces, also liquid–liquid interfaces can be investigated using FCS. As an example, the adsorption dynamics of proteins at the oil–water interface was measured [21]. However, changes in refractive index at the interfaces should be carefully considered in order to avoid misinterpretations. A thoughtful analysis of such effects has been performed by Donsmark et al. who determined the molecular detection function of their system using numerical wave-optical calculations [21].

13 FCS in Polymeric Micellar Systems

Polymeric amphiphiles can form different architectures such as spheres, disks, rods, vesicles, or flocs [158]. For applications, it is of paramount importance to understand how the shape and size of these assemblies varies with polymer concentration, quality of the solvent, and the lengths of the building blocks. FCS can

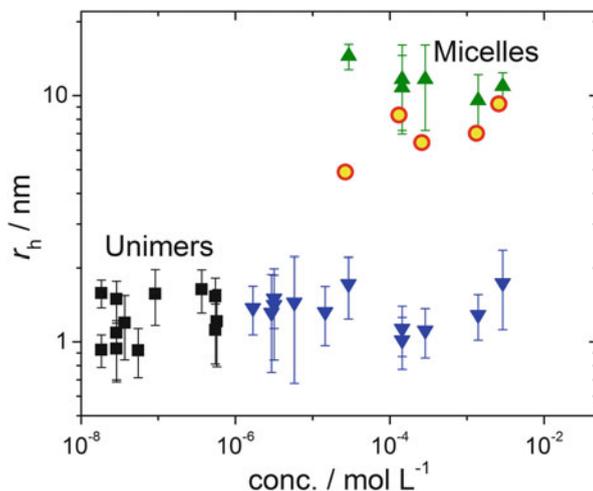
significantly contribute to solve these questions due to its capability to follow micellization and aggregation of polymers and detect their motion even at very low concentrations. Nörenberg et al. investigated the interaction of polymer and surfactant in solutions which can form mixed polymer–surfactant micelles. One of the main advantages using FCS was the very low concentration at which these measurement could be performed so that viscosity effects arising from interacting polymer tails and chains or Coulomb interactions could be neglected [159]. The majority of FCS studies in polymeric micellar systems, however, focus on the micellization and aggregation behavior of amphiphilic block copolymers which is expected to differ from common surfactants as the solvophobic and solvophilic parts of the molecule are much larger and also can be varied to a much greater extent.

Compared to other methods, the advantage of FCS is the ability to detect a very low critical micelle concentration [158, 160–163] (CMC) and a very low critical aggregation concentration [158, 160, 161] (CAC) as they often appear in block copolymer solutions. This could for example be demonstrated by Colombani et al. who could access the CMC of a diblock copolymers by FCS, but only obtained an upper estimate analyzing the absorption band of pyrene which is very sensitive to local polarity of its surrounding [162].

The principle of CMC or CAC measurements, respectively, by FCS is as follows. At concentrations below the CMC/CAC, the probes diffuse freely in solution and, therefore, FCS curves can be fitted with one correlation time. Once micelles or aggregates form, a fraction of the fluorescence probes will be implemented into the assemblies, whereas the remaining probes remain in solution. The fraction of probes in the assemblies diffuses significantly slower than the free probes in solution and will result in a longer diffusion time. Thus, the unimer concentration at which a fraction with a longer diffusion time appears in the autocorrelation curve can be defined as the CMC or CAC, respectively. Experimentally, this could be demonstrated by Bonn e et al. [161]. Using rhodamine 6G as a fluorescence probe, they found that with increasing concentration of an amphiphilic poly(2-alkyl-2-oxazoline) diblock copolymer in water a second correlation time appeared at the CMC. The fraction of this correlation time reflecting the incorporated probe gradually increased with increasing polymer concentration. The two correlation times, however, remained constant, indicating that only the number of micelles but not their size increased.

For a correct determination of CMC or CAC, it is essential to know the interactions of the probe with the micelles or aggregates, respectively. Binding of the dye to the micelles/aggregates is always a dynamic equilibrium process. If the time constants of the binding and unbinding process, however, are in a time range similar to the duration that a dye on average requires to diffuse through the confocal volume, a mixture of both diffusion times will be measured. For higher micelle concentrations, the periods of free diffusion become shorter. Thus, even though the size of the micelles stays constant, the average time it takes a fluorescent probe to diffuse through the confocal volume becomes longer and the (time-averaged) diffusion coefficient smaller. Thus, as shown in Fig. 12, the hydrodynamic radius

Fig. 12 (Apparent) hydrodynamic radii r_h as determined by FCS on P [(NO_x)₁₀(MO_x)₃₂] with different tracers. *Squares*: solutions containing only labeled copolymers. *Triangles*: solutions containing both fluorescence-labeled and non-labeled copolymers. *Circles*: solutions containing non-labeled polymers and Rh6G (adapted from Colloid and Polymer Sci. [161]. Copyright (2007) Springer)



seems to grow with increasing polymer concentration, and the magnitude of this effect will depend on the equilibrium constant of binding of the dye to the micelle. As a consequence, despite the fact that the addition of a small amount of a low molar mass dye to the polymer solutions is a straightforward way of studying the aggregation of amphiphilic copolymers by FCS, great care has to be taken that the dyes show significant binding to the micelles. Otherwise, a wrong dependence of the hydrodynamic radii on polymer concentration will be observed, i.e., a too small radius will be obtained at low polymer concentration [161]. A good example for a suitable dye–polymer combination is octadecyl rhodamine B (ORB) which was found to be a suitable dye for probing the micellization of PS-poly(methyl acrylate) (PMA) block copolymers [164]. In this block copolymer, the ORB binds strongly to the core-shell interface of the PS-PMA micelles with its nonpolar aliphatic tail buried in and partially adsorbed to the PS core. The triplet quantum yield of ORB is basically negligible and, therefore, the corresponding parameters do not have to be implemented in the model for fitting the correlation curve. Another advantageous property of ORB is its self-quenching in water, in which the probe molecules are only weakly soluble and, thus, form aggregates. These ORB molecules show only weak fluorescence and do not significantly contribute to the monitored FCS fluctuations, thus lowering the background. As a consequence, the FCS fluctuations originate mainly from the ORB molecules bound to the core-shell interface of the PS-PMA micelles, increasing the sensitivity of this method.

Circumvention of the abovementioned problem of a dynamic binding equilibrium is possible using probes covalently attached to the copolymers. Even though this requires an often elaborate covalent labeling of the polymeric amphiphile, the results are nonambiguous [161]. Figure 12 shows that only two hydrodynamic radii are obtained, one corresponding to the unimers and the other representing the micelles. The fraction of the unimers gradually decreases whereas the one of the

micelles increases due to the increase of the number of micelles and therefore the probability of finding a labeled unimer in the micelle.

Apart from the determination of the CMC, the average size of micelles and aggregates [158, 160–162] can be studied using FCS. Combining Eq. (3) and the Stokes–Einstein equation (5), the hydrodynamic radius can be calculated from the diffusion time, if the size w_{xy} of the confocal volume is known. This size can be obtained by a reference measurement of a dye with known diffusion coefficient. A common and reliable reference is Rh6G in water with a diffusion coefficient $4.14 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$ [71]. However, as already mentioned above, it should be checked that the binding behavior of the probing dye does not bias the results. Otherwise the hydrodynamic radius of the micelles might be misinterpreted to increase, i.e., micelles seem to grow with increasing monomer concentrations.

FCS studies on micellization and aggregation of polyoxazolines, an interesting polymer class due to their biocompatibility, non-toxicity, and immuno-response [165], have also been reported. The polarity of these polyoxazolines can be well adjusted by appropriate substitution with different alkyl groups, also allowing to tune their micellization and aggregation properties. In addition, polyoxazolines exhibit a lower critical solution temperature (LCST) which can also be tuned by appropriate substitution of the polymer backbone. Bonn e et al. performed FCS using polyoxazolines covalently labeled at the end of the hydrophobic poly(2-*n*-nonyl-2-oxazoline) block or the hydrophilic poly(2-methyl-2-oxazoline) block, respectively, and found that the position of the label did not significantly influence micellization [161].

Polyoxazolines also exhibit a thermoresponsive aggregation behavior around the cloud point which could be investigated using a combination of FCS with varying temperature from r.t. up to ca. 50 °C, turbidimetry, and small-angle neutron scattering [150]. Different combinations of iso-propyl-(^{*i*}PrOx), *n*-propyl-(^{*n*}PrOx) and *n*-nonyl-(NOx) substituted polyoxazolines were investigated. Thermoresponsive P(^{*i*}PrOx) and P(^{*n*}PrOx) homopolymers show a behavior similar to the one encountered with other thermoresponsive homopolymers, such as PNIPAM [166], with the cloud point significantly depending on concentration and on the degree of polymerization, decreasing with increasing concentration and increasing degree of polymerization. At room temperature, both homopolymers were dissolved as unimers. At the cloud point (above 40 °C for P(^{*i*}PrOx) and 24–38 °C for P(^{*n*}PrOx)) the polymer chains collapsed and formed large aggregates (Fig. 13a). This aggregation process was fully reversible for P(^{*i*}PrOx) whereas the aggregates of P(^{*n*}PrOx) could not be fully dissolved upon cooling, presumably due to crystallization of the *n*-propyl side chains. The aggregation of the copolymers with a P^{*i*}PrOx and a P^{*n*}PrOx block was dominated by the behavior of P^{*n*}PrOx, the block with the lower cloud point. In this mixture, aggregates formed directly (Fig. 13b) at the cloud point of P^{*n*}PrOx. In gradient copolymers with, on average, 2 or 4 out of 50 iso-propyl side groups replaced by the very hydrophobic *n*-nonyl side group, a complex aggregation behavior was obtained due to the interplay between intra- and intermolecular association mediated by the hydrophobic ^{*n*}PrOx side chains. Already below the cloud point, aggregates formed due to the strong interaction of

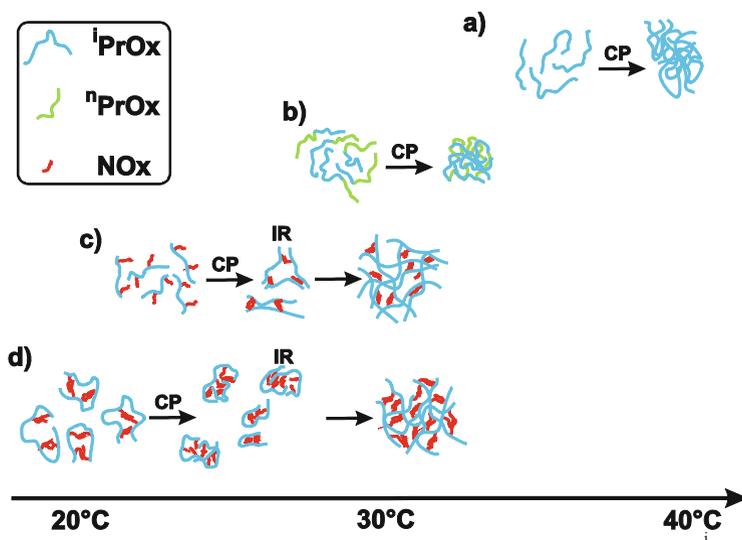


Fig. 13 Temperature-dependent aggregation behavior of (a) P(*i*PrOx) homopolymers, (b) P(*i*PrOx₂₅-*b*-*n*PrOx₂₅) diblock copolymers, (c) P[*i*PrOx₄₈NOx₂]_{grad}, and (d) P[*i*PrOx₄₆NOx₄]_{grad} gradient copolymers. The *different colors* indicate the different monomer types. CP stands for cloud point and IR for the intermediate regime (adapted from Colloid and Polymer Sci [150] Copyright (2012) Springer)

the strongly hydrophobic *n*-nonyl side groups (Fig. 13c, d). This effect was more pronounced for the gradient copolymers with a higher number of *n*-nonyl side groups. The hydrophobic interaction of these groups also shifts the collapse resulting in large aggregates to a few Kelvin above the cloud point. These aggregates, however, could not be detected with FCS, because sedimentation occurred due to their large size.

FCS is not only restricted to assembly studies of block copolymers and homopolymers, but also more complex aggregation systems can be analyzed. As an example, Štěpánek et al. investigated the solution behavior and self-assembly of a heteroarm star copolymer consisting of ca. 20 short PS and 20 long P2VP arms [167].

14 Comparison of FCS with Other Methods

Some observables that can be measured with FCS are in principle also accessible by other techniques. Thus, it is important to know the strengths and weaknesses of these methods with respect to FCS.

Dynamic light scattering (DLS, also known as photon correlation spectroscopy), for example, is an often used method to investigate the dynamics of particles,

micelles, and aggregates. The advantage of FCS, however, is the tiny amount of fluorescence probes required, which is approx. 3 orders of magnitude lower than the particle concentration in DLS [168]. Such small concentrations are of particular advantage for probing low micelle and aggregate concentrations. FCS also allows for the simultaneous detection of free dye, micelles, and large aggregates which is more difficult in DLS due to the fact that the scattered intensity is proportional to the particle mass and concentration [167]. Therefore, also small aggregates can be detected in the presence of large aggregates [160]. This advantage, however, disappears if the fluorescence signal is proportional to the particle or aggregate size, i.e., if a non-negligible amount of monomers or unimers are labeled. For polydisperse particles, micelles, or aggregates, it is important to keep in mind that FCS measures number-averaged molar masses (M_n) whereas weight-averaged molar masses (M_w) are obtained during DLS measurements [164].

Quasielastic neutron scattering (QENS) can detect diffusional processes at sub-nanometer length scales and with temporal resolution in picosecond time range [169]. In contrast to optical techniques, clearly higher spatial accuracy, however, comes along with a high technical demand, i.e., the necessity of a neutron source together with all its costs and security requirements. In addition, neutron scattering cross sections are ca. 10^9 times smaller than the absorption cross section of good fluorophores, resulting in long measuring times of hours. These long measuring times prohibit the scanning of many different sample areas and, thus, limit the imaging possibilities of QENS.

Combinations of FCS with DLS [158, 160, 163, 164], neutron scattering [150], or the application of all three techniques [170] to maximize the information about polymer systems have been successful.

15 FCS and Simulations

The combination of FCS with simulations in order to support the conclusions drawn from measurements bears a huge potential to gain a deeper understanding of dynamics in polymer systems. So far, only few such combined studies have been performed as discussed in a recent perspective article [171]. In contrast to measurements, different parameters can be well controlled and varied in simulations and interactions can be switched on and off. The comparison of the autocorrelation functions obtained from simulations under different assumptions with the measured FCS autocorrelation curves can subsequently point out the most appropriate model to describe polymer dynamics in different systems. One of the big challenges for FCS simulations is the large range of timescales which has to be accessed, typically from microseconds to seconds. The continuing development of computer technology helps to increase the time span and the number of particles which can be simulated with reasonable computational resources (CPU or GPU time, respectively). Yet, in order to cover the most interesting time range, even with the most powerful computational units the detailed level of the simulations has to be

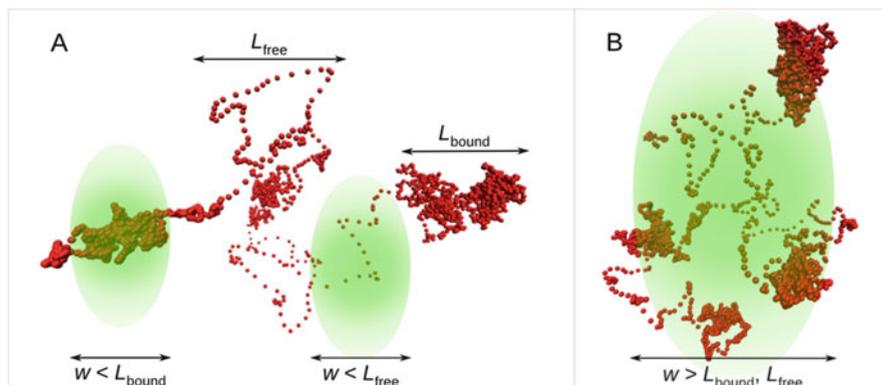


Fig. 14 Simulation of the trajectory of a tracer which can reversibly bind to polymer in a dilute polymer solution. When bound, the diffusion is strongly hindered whereas free diffusion is assumed in the non-bound periods. Panel (a) shows the case when the two length scales of the volumes with bound and unbound tracer are greater than the focal spot dimension, w , while panel (b) shows the opposite situation. In case (a), two distinct diffusion processes are resolved by FCS. In case (b), a single average process is observed. (adapted from Physical Review Letters [46] Copyright (2013) American Physical Society)

considerably reduced (coarse-graining) [172]. Such a simplification, however, can also bias the results calculated from the simulated data, and it is essential to carefully cross-check that the interpretations do not go beyond the approximations of the underlying model.

Concerning FCS, the most interesting observables from the simulations are the trajectories of single diffusion molecules or particles, respectively. From these trajectories, the mean square displacements and the autocorrelation functions can be calculated. This way, it can be analyzed how heterogeneity expresses itself in the FCS results, i.e., how anomalous diffusion is averaged over the relevant FCS time and length scales. Also, the question how interactions between dye and polymer chains influence FCS results has been recently addressed using a combination of FCS experiments with simulations [46] (Fig. 14).

16 Conclusion and Outlook

FCS has proven to be a suitable method for the investigation of dynamics in polymer systems. One of the main advantages consists in the high sensitivity of fluorescence detection, the potential to probe dynamics on a broad range of time-scales, and the possibility to measure in situ. Further development might focus on variation of local detection and, therefore, some kind of FCS imaging which allows to probe dynamics in different sample areas, e.g., inside and outside of gel and in the vicinity of gel surface. Also, improved labeling strategies and novel functional dyes are needed for example for a distinction of probes in areas of different polarity

or different local mobility. The limits of FCS are often set by the investigated systems which apart from bearing fluorescence impurities might scatter or refract light in an uncontrolled way at refractive index changes and therefore distort the confocal volume. The time resolution of scanning FCS approaches is limited by the period of time required to get sufficient photon counts in order to obtain reliable correlation functions. Despite these challenges, FCS has already contributed significantly to gain a deeper understanding of polymer physics. As shown in this book chapter, the studies so far focused mainly on diffusion of differently sized molecular and macromolecular probes in polymer solutions, classical and responsive polymer gels, polymer melts, glasses, and micellization, and aggregation systems. Combination of FCS with other experimental techniques or with simulations and theory will in future contribute to the establishment of FCS as a standard method to study polymer systems.

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